FORM ADV

UNIFORM APPLICATION FOR INVESTMENT ADVISER REGISTRATION AND REPORT BY EXEMPT REPORTING ADVISERS

D :					BY EXEMPT REPORTING ADVISERS
	mary Business Name: BOGLI		AGEMENT, L.P.		CRD Number: 108849 Rev. 10/2012
	nual Amendment - All Section 29/2016 2:24:36 PM	ris			Rev. 10/2012
3/2	.972010 2.24.30 FIVI				
	prosecution. You m	nust keep this form up	•	esult in denial of your applicat endments. See Form ADV Ger	ion, revocation of your registration, or criminal neral Instruction 4.
	m 1 Identifying Information			L	
Res	sponses to this Item tell us w				
Α.	Your full legal name (if you BOGLE INVESTMENT MAN	• •	your last, first, and middle	names):	
B.	Name under which you prim BOGLE INVESTMENT MAN	•	visory business, if different	from Item 1.A.:	
	List on Section 1.B. of Sched	dule D any additional na	ames under which you condu	uct your advisory business.	
C.	If this filing is reporting a chame change is of ☐ your legal name or ☐ you		•	ousiness name (Item 1.B.), er	nter the new name and specify whether the
D.	(1) If you are registered wi(2) If you report to the SEC		·		
E.	If you have a number (" <i>CRI</i>	D Number") assigned b	by the <i>FINRA's CRD</i> system	or by the IARD system, your	CRD number: 108849
	If your firm does not have a	CRD number, skip this	Item 1.E. Do not provide th	e CRD number of one of your o	officers, employees, or affiliates.
F.	Principal Office and Place of I	Business			
	(1) Address (do not use a l Number and Street 1: 2310 WASHINGTON ST	•		Number and Street 2 SUITE 310	
	City: NEWTON LOWER FALLS	5	State: Massachusetts	Country: United States	ZIP+4/Postal Code: 02462
	If this address is a priv	vate residence, check t	his box:		
	you are applying for reg which you are applying	gistration, or are registe for registration or with	ered, with one or more state whom you are registered. If	securities authorities, you mu	ich you conduct investment advisory business. If set list all of your offices in the state or states to stration, if you are registered only with the SEC, or others of employees.
	(2) Days of week that you Monday - Friday C	•	iness at your <i>principal office</i>	e and place of business:	
	Normal business hours 8:00 AM - 6:00 PM (3) Telephone number at t 781-283-5000				
	(4) Facsimile number at the 781-283-5010	is location:			
G.	Mailing address, if different	from your <i>principal off</i>	fice and place of business ad	dress:	
	Number and Street 1:		Number ar	nd Street 2:	
	City:	State:	Country:	ZIP+4/Post	al Code:
	If this address is a private	residence, check this	box: 🗖		
Н.	If you are a sole proprietor	, state your full reside	nce address, if different fro	om your <i>principal office and pla</i>	ce of business address in Item 1.F.:
	Number and Street 1:		Number a	nd Street 2:	
	City:	State:	Country:	ZIP+4/Pos	tal Code:

I. Do you have one or more websites?

Yes No

O

	published on the web, you r		ing addresses for all of the oth	erves as a portal through which to access other information yner information. Some advisers may need to list more than on Item.	,
J.		act information of your Chief Compliance Officer, if you hav		re an <i>exempt reporting adviser</i> , you must provide the contablete Item 1.K. below.	act
	Name:		Other titles, if any		
	Telephone number:		Facsimile number:		
	Number and Street 1:		Number and Stree		
	City:	State:	Country:	ZIP+4/Postal Code:	
	Electronic mail (e-mail) ad	ddress, if Chief Compliance Of	ficer has one:		
K.	• •	tact Person: If a person other may provide that information	·	Officer is authorized to receive information and respond to	o questions
	Name:		Titles:		
	Telephone number:		Facsimile number:		
	Number and Street 1:		Number and Stree	+ 2.	
		Stato			
	City:	State:	Country:	ZIP+4/Postal Code:	
	Electronic mail (e-mail) ac	ddress, if contact person has	one:		
					Yes No
L.	Do you maintain some or a	all of the books and records y	you are required to keep und	er Section 204 of the Advisers Act, or similar state law,	⊙ ○
	•	ur principal office and place of	·		
	If "yes," complete Section 1	I.L. of Schedule D.			
					Yes No
M.	Are you registered with a i	foreign financial regulatory aut	thority?		0 0
		registered with a foreign financ s," complete Section 1.M. of Sc		if you have an affiliate that is registered with a foreign finance	
N.	Are you a public reporting	company under Sections 12 o	or 15(d) of the Securities Exc	hange Act of 1934?	Yes No
					0 0
	ii yes, provide your cik i	number (Central Index Rey II	diffiber that the SEC assigns	to each public reporting company):	Yes No
Ο.	Did you have \$1 billion or i	more in assets on the last da	y of your most recent fiscal y	year?	o o
Р.	Provide your <i>Legal Entity Id</i>	dentifier if you have one:			
		unique number that companion in development. You may no	_	in the financial marketplace. In the first half of 2011, the r.	legal entity
SEC	CTION 1.B. Other Business	Names			
			No Information File	d	
SEC	CTION 1.F. Other Offices				
			No Information File	d	
SEC	CTION 1.I. Website Address	ses			
Lis	st your website addresses. Y	ou must complete a separate	e Schedule D Section 1.I. for	each website address.	
1 1/1	ahsita Addrass HTTD://M/	MAN DOCLETINDS COM			

SECTIO	IN T.L	. Location of Books and Records	S						
11		e following information for each lete a separate Schedule D Section		ur books and records, other	than your <i>principal office and place of business</i> . You				
	Name of entity where books and records are kept: RON MOUNTAIN								
		Street 1: OT ROAD		Number and Street 2:					
City: NORTH	IBORC	UGH	State: Massachusetts	Country: United States	ZIP+4/Postal Code: 01532				
If this	addre	ss is a private residence, check t	his box:						
Teleph 508-57		umber: 17	Facsimile number:						
		k one): our branch offices or affiliates.							
o a th	nird-p	arty unaffiliated recordkeeper.							
o oth	er.								
"		ibe the books and records kept a AND CONFIRM FILES DATING FRC							
SECTIO	N 1.N	l. Registration with Foreign Fina	ancial Regulatory Authorities						
			No Info	ormation Filed					
Item 2	SEC R	egistration/Reporting							
		this Item help us (and you) deto ion or submitting an <i>annual upda</i>		•	Complete this Item 2.A. only if you are applying for				
anı pro	<i>nual u</i> ovides	9 .	registration and you are no lo	nger eligible to register with	nrough 2.A.(12), below. If you are submitting an the SEC, check Item 2.A.(13). Part 1A Instruction 2 e items.				
F		are a large advisory firm that	oithor						
	(1)	(a) has regulatory assets under		(in ILS dollars) or more or					
			•		e time of filing its most recent annual updating				
		amendment and is registered		in c.e. deliars) or more at th	is time of ming its most rosent annual apaciting				
	(2)	are a mid-sized advisory firm (in U.S. dollars) and you are eit		der management of \$25 mill	lion (in U.S. dollars) or more but less than \$100 million				
		(a) not required to be registere of business, or	ed as an adviser with the <i>state</i>	e securities authority of the s	tate where you maintain your principal office and place				
		(b) not subject to examination	by the state securities authori	ty of the state where you ma	aintain your principal office and place of business;				
		Click HERE for a list of states authority.	s in which an investment advise	er, if registered, would not be	subject to examination by the state securities				
	(3)	have your principal office and pla	ace of business in Wyoming (w	hich does not regulate advis	sers);				
	(4)	have your principal office and pla	ace of business outside the Un	ited States;					
P	(5)	are an investment adviser (or	sub-adviser) to an investme	ent company registered unde	er the Investment Company Act of 1940;				
	(6)			· · · · · · · · · · · · · · · · · · ·	east \$25 million of regulatory assets under				
	(7)	are a pension consultant with in rule 203A-2(a);	respect to assets of plans hav	ving an aggregate value of a	t least \$200,000,000 that qualifies for the exemption				
	(8)	are a related adviser under rul registered with the SEC, and yo		-	mon <i>control</i> with, an investment adviser that is registered adviser;				

If you check this bo.	x, complete Section 2.A.(8) of Sch	nedule D.	
\square (9) are a newly forme	d adviser relying on rule 203A-	2(c) because you expect to be eligible for	SEC registration within 120 days;
If you check this bo.	x, complete Section 2.A.(9) of Sch	nedule D.	
(10) are a multi-state a	adviser that is required to regist	er in 15 or more states and is relying on	rule 203A-2(d):
	x, complete Section 2.A.(10) of So		
		niedule D.	
-	viser relying on rule 203A-2(e);		
(12) have received an S	SEC order exempting you from the	the prohibition against registration with t	he SEC;
If you check this bo.	x, complete Section 2.A.(12) of So	chedule D.	
\square (13) are no longer eligi	ble to remain registered with th	e SEC.	
State Securities Authority Notice	ce Filings and State Reporting I	by Exempt Reporting Advisers	
file with the SEC. These are of reports and any amendmento receive notice of this and additional state(s), check the	called <i>notice filings</i> . In addition, earts they file with the SEC. If thi all subsequent filings or reports box(es) next to the state(s) the ment to your registration to stop	exempt reporting advisers may be require is is an initial application or report, check you submit to the SEC. If this is an ame at you would like to receive notice of thi	a copy of the Form ADV and any amendments they do to provide state securities authorities with a copy the box(es) next to the state(s) that you would like adment to direct your notice filings or reports to so and all subsequent filings or reports you submit to state(s) that currently receive them, uncheck the
Jurisdictions			
☐ AL	□ ID	МО	□ PA
□ AK	□ IL □ IN	MT NE	□ PR □ RI
□ AZ □ AR	I IN	□ NE □ NV	□ RI SC
CA	□ KS	□ NV	□ SC □ SD
□ co	II II		
	□ KY	□ NJ	□ TN
СТ	□ LA	NM	□тх
□ DE	☐ ME	□ NY	<u></u> υτ
□ DC	□ MD	□ NC	
□ FL	☑ MA	□ ND	∥ ⊑ ∨ı
□ GA	□ MI	ОН	□ va
□ GU	□ MN	□ ок	□ WA
□н	□ MS	□ OR	∥ ⊑ w∨
			□ wı
state's notice filing or report f	illing fee for the coming year, your er on in rule 203A-2(b) from the pr	amendment must be filed before the end	ently receives them and you do not want to pay that of the year (December 31). Introl, are controlled by, or are under common control the same as that of the registered adviser, provide
Name of Registered Investment A	Adviser		
CRD Number of Registered Invest	ment Adviser		
SEC Number of Registered Invest 801 -	ment Adviser		
SECTION 2.A.(9) Newly Formed	Adviser		
		emption from the prohibition on registrat	ion, you are required to make certain
representations about your eligible representations. You must make	bility for SEC registration. By che both of these representations:	ecking the appropriate boxes, you will be	•

I undertake to withdraw from SEC registration if, on the 120th day after my registration with the SEC becomes effective, I would be prohibited by Section

register with the SEC within 120 days after the date my registration with the SEC becomes effective.

203A(a) of the Advisers $\mbox{Act}\ \mbox{from}\ \mbox{registering}\ \mbox{with}\ \mbox{the}\ \mbox{SEC}.$

SEC	CTION 2.A.(10) Multi-State Adviser						
-	you are relying on rule 203A-2(d), the multi-state adviser exemption from the prohibition on registration, you are required to make certain representatio but your eligibility for SEC registration. By checking the appropriate boxes, you will be deemed to have made the required representations.	ns					
lf y	you are applying for registration as an investment adviser with the SEC, you must make both of these representations:						
	I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of 15 or more states to register as an investment adviser with the state securities authorities in those states.						
	undertake to withdraw from SEC registration if I file an amendment to this registration indicating that I would be required by the laws of fewer than 15 states to register as an investment adviser with the state securities authorities of those states.						
	you are submitting your annual updating amendment, you must make this representation: Within 90 days prior to the date of filing this amendment, I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of at least 15 states to register as an investment adviser with the state securities authorities in those states.	1					
SEC	CTION 2.A.(12) SEC Exemptive <i>Order</i>						
lf y	you are relying upon an SEC order exempting you from the prohibition on registration, provide the following information:						
App 803	plication Number: 3-						
Dat	te of <i>order</i> :						
	m 3 Form of Organization						
Α.	How are you organized?						
	Corporation						
	Sole Proprietorship						
	C Limited Liability Partnership (LLP)						
	C Partnership						
	C Limited Liability Company (LLC)						
	Limited Partnership (LP)						
	Other (specify):						
	If you are changing your response to this Item, see Part 1A Instruction 4.						
B.	In what month does your fiscal year end each year? DECEMBER						
C.	Under the laws of what state or country are you organized?						
	State Country						
	Delaware United States						
	If you are a partnership, provide the name of the state or country under whose laws your partnership was formed. If you are a sole proprietor, provide the name of the state or country where you reside.						
	If you are changing your response to this Item, see Part 1A Instruction 4.						
lter	m 4 Successions	N:					
A.	Are you, at the time of this filing, succeeding to the business of a registered investment adviser? C	No ⊙					
	If "yes", complete Item 4.B. and Section 4 of Schedule D.						
B.	Date of Succession: (MM/DD/YYYY)						
	If you have already reported this succession on a previous Form ADV filing, do not report the succession again. Instead, check "No." See Part 1A Instruction	4.					

SEC	SECTION 4 Successions									
	No Information Filed									
14	F I.	- 6	ation About Value Advisory Business - France Clients and C	`						
			ation About Your Advisory Business - Employees, Clients, and C his Item help us understand your business, assist us in preparing	•	aminations a	nd provide	o us with d	lata wo uso	a when ma	king
			cy. Part 1A Instruction 5.a. provides additional guidance to newly					ata we use	e when ma	Killy
Em	ploye	ees								
_		_	nized as a sole proprietorship, include yourself as an employee in you than one function, you should count that employee in each of your i	•), (4), and	(5). If an e	mployee
Α.	Аррі 13	roxima	ately how many <i>employees</i> do you have? Include full- and part-tim	e <i>employees</i> bu	t do not inclu	de any cle	rical worke	ers.		
B.	(1)		oximately how many of the <i>employees</i> reported in 5.A. perform in	vestment adviso	ory functions	(including	research)?	,		
	(2)	5 Appr 0	roximately how many of the <i>employees</i> reported in 5.A. are registe	ered representa	tives of a bro	ker-dealer	?			
	(3)	Appr	roximately how many of the <i>employees</i> reported in 5.A. are registed esentatives?	ered with one or	more <i>state s</i>	ecurities a	uthorities	as <i>investm</i>	ent adviser	
	(4)	Appr repr	roximately how many of the <i>employees</i> reported in 5.A. are registed esentatives for an investment adviser other than you?	ered with one or	more <i>state s</i>	ecurities a	uthorities	as <i>investm</i>	ent adviser	
	(5)	0 Appr 0	roximately how many of the <i>employees</i> reported in 5.A. are license	ed agents of an	insurance cor	mpany or a	agency?			
	(6)		oximately how many firms or other persons solicit advisory clients	on your behalf	?					
	_	our re. - beha	sponse to Item 5.B.(6), do not count any of your employees and cou llf.	nt a firm only or	nce – do not co	ount each o	of the firm	s employee	es that solic	it on
Cli	ents									
			ses to Items 5.C. and 5.D. do not include as "clients" the investors in estors.	n a private fund	you advise, u	nless you f	nave a sepa	arate adviso	ory relation	ship
C.	(1)	To a	pproximately how many <i>clients</i> did you provide investment advisor	ry services duri	ng your most	recently c	ompleted f	fiscal year?)	
		0	O 1-10			O 11-25				
			26-100 O More than 100 If more than 100, ho (round to the neares	•		20				
	(2)	Appr 50%	roximately what percentage of your <i>clients</i> are non- <i>United States</i> μ	persons?						
D.	D. For purposes of this Item 5.D., the category "individuals" includes trusts, estates, and 401(k) plans and IRAs of individuals and their family members, but does not include businesses organized as sole proprietorships. The category "business development companies" consists of companies that have made an election pursuant to section 54 of the Investment Company Act of 1940. Unless you provide advisory services pursuant to an investment advisory contract to an investment company registered under the Investment Company Act of 1940, check "None" in response to Item 5.D.(1)(d) and do not check any of the boxes in response to Item 5.D.(2)(d).									
	(1)		t types of <i>clients</i> do you have? Indicate the approximate percentation t fits into more than one category, check all that apply.		•	·	•			
		(a)	Individuals (other than high net worth individuals)		Up to 10%				<u>76-99%</u>	<u>100%</u>
		(b)	High net worth individuals	•	0	0	0	0	0	0
		(c)	Banking or thrift institutions	⊙ ⊙	0	0	0	0	0	0
		(d)	Investment companies	0	•	0	0	0	0	0
		(e)	Business development companies	•	0	0	0	0	0	0
		(f)	Pooled investment vehicles (other than investment companies)	o	o	0	•	0	o	o

	(g)	Pension and profit sharing plans (but	not the plan participants)	0	0	•	0	0	0	0
	(h)	Charitable organizations		0	•	0	0	0	0	0
	(i)	Corporations or other businesses not	listed above	0	•	0	0	0	0	0
	(j)	State or municipal government entities		•	0	0	0	0	0	0
	(k)	Other investment advisers		•	0	0	0	0	0	0
	(I)	Insurance companies		•	0	0	0	0	0	0
	(m)	Other:		•	0	0	0	0	0	0
(2)		cate the approximate amount of your refer to a client. If a client fits into more than			ted in Iter	n 5.F. below)	attributable	to each (of the f	ollowing
					<u>None</u>	Up to 25%	Up to 50%	<u>Up to</u>	o 75%	>75%
	(a)	Individuals (other than high net worth	individuals)		•	0	0	í	0	0
	(b)	High net worth individuals			•	0	0		0	0
	(c)	Banking or thrift institutions			•	0	0		0	0
	(d)	Investment companies			o	•	o		0	0
	(e)	Business development companies			·	0	o		0	0
	(f)	Pooled investment vehicles (other tha	n investment companies)		o	0	0		0	•
	(g)	Pension and profit sharing plans (but	•							
	(h)	Charitable organizations	and plant participants)		0	0	0		0	0
		-	listed above		0	0	0		0	0
	(i)	Corporations or other businesses not			0	•	0		0	0
	(j)	State or municipal government entities			⊙	0	0		0	0
	(k)	Other investment advisers			•	0	0	•	0	0
	(1)	Insurance companies			⊙	0	0		0	0
	(m)	Other:			•	0	0	•	0	0
		n Arrangements ompensated for your investment adviso								
	(3) (4) (5) (6)	Hourly charges Subscription fees (for a newsletter or Fixed fees (other than subscription fee Commissions Performance-based fees Other (specify):	•							
		nation About Your Advisory Business - ssets Under Management	Regulatory Assets Under Mana	agement						
Regula	itoi y A	ssets officer mariagement								Yes No
F. (1)	Do vo	ou provide continuous and regular supe	rvisory or management services	s to securitie	es portfolio	ns?				
		s, what is the amount of your regulator			·					• c
(=)	, 500	, mat is the amount of your regulator	U.S. Dollar Amount	a total liaili.			er of Accoun	ts		
	Disci	retionary:	(a) \$ 3,353,866,556		(d)	10				
	Non-	Discretionary:	(b) \$ 0		(e)	0				
	Tota	l:	(c) \$ 3,353,866,556		(f)	10				
		1A Instruction 5.b. explains how to calcupleting this Item.	late your regulatory assets under	r manageme	nt. You mi	ist follow thes	se instruction	ıs carefull <u>'</u>	y when	
		nation About Your Advisory Business	Advisory Activities							
Adviso	•		-2 Ob I II th - t I.							
		e(s) of advisory services do you provide	er Uneck all that apply.							
		Financial planning services Portfolio management for individuals	and/or small businesses							
V	` '	Portfolio management for investment		s developme	ent compa	nies" that ha	ve made an	election	pursuar	nt to
V	(4)	section 54 of the Investment Company	•	o de mara a constante de la co						
V		Portfolio management for pooled inve Portfolio management for businesses			•	other than re	egistered inv	estment :	comnar	nies and
	(3)	other pooled investment vehicles)	(1.1.5. man aman baamiosses) 0	otrution	51151115 (or than I	. g	20th Office	- ompun	and
	(6)	Pension consulting services								

	 (7) Selection of other advisers (including private fund managers) (8) Publication of periodicals or newsletters (9) Security ratings or pricing services (10) Market timing services (11) Educational seminars/workshops
	(12) Other(specify):
	Do not check Item 5.G.(3) unless you provide advisory services pursuant to an investment advisory contract to an investment company registered under the Investment Company Act of 1940, including as a subadviser. If you check Item 5.G.(3), report the 811 or 814 number of the investment company or investment companies to which you provide advice in Section 5.G.(3) of Schedule D.
H.	If you provide financial planning services, to how many <i>clients</i> did you provide these services during your last fiscal year? O 0 O 1 - 10 O 11 - 25 O 26 - 50 O 101 - 250 O 101 - 250 O More than 500
	If more than 500, how many? (round to the nearest 500)
	In your responses to this Item 5.H., do not include as "clients" the investors in a private fund you advise, unless you have a separate advisory relationship with those investors.
I.	If you participate in a wrap fee program, do you (check all that apply):
	(1) sponsor the wrap fee program? (2) act as a portfolio manager for the wrap fee program?
	(2) dot as a portione manager for the imaprice program.
	If you are a portfolio manager for a wrap fee program, list the names of the programs and their sponsors in Section 5.1.(2) of Schedule D.
	If your involvement in a wrap fee program is limited to recommending wrap fee programs to your clients, or you advise a mutual fund that is offered through a wrap fee program, do not check either Item 5.1.(1) or 5.1.(2).
J.	Yes No In response to Item 4.B. of Part 2A of Form ADV, do you indicate that you provide investment advice only with respect to limited types of investments?
SEC	TION 5.G.(3) Advisers to Registered Investment Companies and Business Development Companies
СО	rou check Item 5.G.(3), what is the SEC file number (811 or 814 number) of each of the registered investment companies and business development inpanies to which you act as an adviser pursuant to an advisory contract? You must complete a separate Schedule D Section 5.G.(3) for each registered estment company and business development company to which you act as an adviser.
SE	C File Number 811-5518
SEC	TION 5.I.(2) Wrap Fee Programs
	No Information Filed
	n 6 Other Business Activities nis Item, we request information about your firm's other business activities.
	You are actively engaged in business as a (check all that apply):
	☐ (1) broker-dealer (registered or unregistered) ☐ (2) registered representative of a broker-dealer ☐ (3) commodity pool operator or commodity trading advisor (whether registered or exempt from registration) ☐ (4) futures commission merchant ☐ (5) real estate broker, dealer, or agent ☐ (6) insurance broker or agent ☐ (7) bank (including a separately identifiable department or division of a bank)
	(8) trust company (9) registered municipal advisor (10) registered security-based swap dealer

		 (11) major security-based swap participant (12) accountant or accounting firm (13) lawyer or law firm 		
		(14) other financial product salesperson (specify):		
	If yo	ou engage in other business using a name that is different from the names reported in Items 1.A. or 1.B, complete Section 6.A. of Schedule D.		
5	(4)			
В.				
	(2)			0
				Nο
	(3)	De vers elle annels et en annels de en alors ettern them been benedicted and de et en annels en alors et elle		
		If "yes," describe this other business on Section 6.B.(3) of Schedule D, and if you engage in this business under a different name, provide that nam	ie.	
SECT	ION	I 6.A. Names of Your Other Businesses		
		No Information Filed		
ECT	ION	I 6.B.(2) Description of Primary Business		
Des	cribe	your primary business (not your investment advisory business):		
lf yo	ou er	ngage in that business under a different name, provide that name:		
ECT	If "yes," describe this other business on Section 6.8 (3) of Schedule D, and if you engage in this business under a different name, provide that name. TION 6.8. (2) Description of Primary Business Stribe your primary business (not your investment advisory business): you engage in that business under a different name, provide that name: TION 6.8. (3) Description of Other Products and Services stribe other products or services you self to your client. You may omit products and services that you listed in Section 6.8. (2) above. you engage in that business under a different name, provide that name. Tion 6.8. (3) Description of Other Products and Services stribe other products or services you self to your client. You may omit products and services that you listed in Section 6.8. (2) above. you engage in that business under a different name, provide that name. Tin Financial Industry Affiliations this Item, we request information about your financial industry affiliations and activities. This information identifies areas in which conflicts of interest may use between you and your clients. This part of Item 7 requires you to provide information about you and your related persons, including foreign affiliates. Your related persons are all of your advisory affiliates and any parson that is under common control with you. You have a related person that is a clieck at linet apply) (3) inequality of the provide information about you and your related persons, including foreign affiliates. Your related persons are all of your advisory affiliates out you greated person that is a clieck at linet apply) (4) broker-dealer, municipal securities dealer, or government securities broker or dealer (registered or unregistered) (5) other investment advisor (including rinancial planners) (6) registered transferight advisor registered or exempt from registration) (7) futures commission merchant (8) benefit executives business company or agency (9) Item company			
Des	cribe	other products or services you sell to your client, You may omit products and services that you listed in Section 6.B.(2) above.		
lf yo	ou er	ngage in that business under a different name, provide that name.		
tem	7 F	inancial Industry Affiliations		
n th	nis It	em, we request information about your financial industry affiliations and activities. This information identifies areas in which conflicts of interest	may	y
			f you	ur
		(14) real estate broker or dealer		
	▽	(15) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles(16) sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
		each related person, including foreign affiliates that may not be registered or required to be registered in the United States, complete Section 7.A. of nedule D.		
	adv rela	do not need to complete Section 7.A. of Schedule D for any related person if: (1) you have no business dealings with the related person in connection visory services you provide to your clients; (2) you do not conduct shared operations with the related person; (3) you do not refer clients or business that the person, and the related person does not refer prospective clients or business to you; (4) you do not share supervised persons or premises with the person; and (5) you have no reason to believe that your relationship with the related person otherwise creates a conflict of interest with your clies.	to th he	

You must complete Section 7.A. of Schedule D for each related person acting as qualified custodian in connection with advisory services you provide to your clients (other than any mutual fund transfer agent pursuant to rule 206(4)-2(b)(1)), regardless of whether you have determined the related person to be

	(d) (e)	registered security-based swap dealer major security-based swap participant		
	(f)	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g)	futures commission merchant		
	(h)	banking or thrift institution		
	(i)	Tust company		
	(j)	accountant or accounting firm		
	(k)	□ lawyer or law firm		
	(l)	insurance company or agency		
	(m)	pension consultant		
	(n)	real estate broker or dealer		
	(o)	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
			Yes	No
6.	Do y	you control or are you controlled by the related person?	•	0
7.	Are	you and the related person under common control?	•	0
3.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	•
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8(a) above, have you overcome the	0	0
		presumption that you are not operationally independent (pursuant to rule 206(4)-(2)(d)(5)) from the related person and thus are not		
		required to obtain a surprise examination for your clients' funds or securities that are maintained at the related person?		
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients	'ass	ets:
		Number and Street 1: Number and Street 2:		
		City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box: \square		
			Yes	No
9.	(a)	If the related person is an investment adviser, is it exempt from registration?	\circ	\circ
	(b)	If the answer is yes, under what exemption?		
10.	(a)	Is the related person registered with a foreign financial regulatory authority?	0	•
	(b)	If the answer is yes, list the name and country, in English, of each foreign financial regulatory authority with which the related person is registed.	_	٠
	(D)	No Information Filed	ereu.	
11.	Doy	you and the related person share any supervised persons?	•	0
12.	Do y	you and the <i>related person</i> share the same physical location?	•	0
ten	n 7 <i>F</i>	Private Fund Reporting		
			Yes	No
3. A	Are y	ou an adviser to any <i>private fund</i> ?	•	0
	,		·	

If "yes," then for each private fund that you advise, you must complete a Section 7.B.(1) of Schedule D, except in certain circumstances described in the next sentence and in Instruction 6 of the Instructions to Part 1A. If another adviser reports this information with respect to any such private fund in Section 7.B.(1) of Schedule D of its Form ADV (e.g., if you are a subadviser), do not complete Section 7.B.(1) of Schedule D with respect to that private fund. You must, instead, complete Section 7.B.(2) of Schedule D.

In either case, if you seek to preserve the anonymity of a private fund client by maintaining its identity in your books and records in numerical or alphabetical code, or similar designation, pursuant to rule 204-2(d), you may identify the private fund in Section 7.B.(1) or 7.B.(2) of Schedule D using the same code or designation in place of the fund's name.

SECT	ION 7.B.(1) Private Fund Reporting	
A. P	RIVATE FUND	
Info	ormation About the <i>Private Fund</i>	
1.	 (a) Name of the private fund: BOGLE OFFSHORE OPPORTUNITY FUND II SRI, LTD. (b) Private fund identification number: (include the "805-" prefix also) 805-2064818360 	
2.	Under the laws of what state or country is the <i>private fund</i> organized:	
	State: Country: Cayman Islands	
3.	Name(s) of General Partner, Manager, Trustee, or Directors (or persons serving in a similar capacity):	
	Name of General Partner, Manager, Trustee, or Director	
	JOHN C. BOGLE JR., MARK COOK, CHRISTOPHER BOWRING	
5.	List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered. Name of Country/English Name of Foreign Financial Regulatory Authority	
	Cayman Islands - Cayman Islands Stock Exchange Authority	
		Yes No
6.	(a) Is this a "master fund" in a master-feeder arrangement?	၀ စ
	(b) If yes, what is the name and private fund identification number (if any) of the feeder funds investing in this private fund?	
	No Information Filed	
	(a) La thèir a lléandan foir dll in a marthan faoidea anns an an tO	Yes No
	(c) Is this a "feeder fund" in a master-feeder arrangement?	0 0
	(d) If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests? Name of the <i>Private Fund</i> :	
	Private Fund Identification Number: (include the "805-" prefix also)	
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Sect for the master-feeder arrangement or reporting on the funds separately.	ion 7.B.(1).
7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1) the feeder funds answer the following questions:	, for each of

No Information Filed

	assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.	issued	t
		Yes	No
8.	(a) Is this private fund a "fund of funds"?	0	•
	(b) If yes, does the private fund invest in funds managed by you or by a related person?	0	0
	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, whether or not they are also <i>private funds</i> , or registered investment companies.		
		Yes	
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0	•
10.	What type of fund is the private fund?		
	• hedge fund • liquidity fund • private equity fund • real estate fund • securitized asset fund • venture capital fund • Other priva	te fun	d
	NOTE: For funds of funds, refer to the funds in which the <i>private fund</i> invests. For definitions of these fund types, please see Instruction 6 of Instructions to Part 1A.	the	
11.	Current gross asset value of the <i>private fund</i> : \$ 396,263,419		
<u>Ow</u>	nership		
12.	Minimum investment commitment required of an investor in the <i>private fund</i> : \$ 1,000,000		
	NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in the organizational documents of the fund).		
13.	Approximate number of the <i>private fund</i> 's beneficial owners: 7		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 0%		
15.	What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 0%		
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 8%		
You	ur Advisory Services		
17	(a) And the control of the state of the stat	Yes	
17.	(a) Are you a subadviser to this <i>private fund</i>?(b) If the answer to question 17(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i>. If the answer question 17(a) is "no," leave this question blank.	to	•
	No Information Filed		
		Yes	No
18.	(a) Do any other investment advisers advise the private fund?	0	•
	(b) If the answer to question 18(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If the a to question 18(a) is "no," leave this question blank.		
	No Information Filed		
		Yes	No
19.	Are your <i>clients</i> solicited to invest in the <i>private fund</i> ?	⊙	0
20.	Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ? 15%		
Pri	vate Offering		

21. Does the *private fund* rely on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?

Yes No

NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their

	provide the <i>private fund</i> 's Form D file number (if any):		
021-53			
VICE P	ROVIDERS		
tors		V	es
(a) (1)	Are the <i>private fund</i> 's financial statements subject to an annual audit?	_	•
(2)	Are the financial statements prepared in accordance with U.S. GAAP?	(•
	ne answer to 23(a)(1) is "yes," respond to questions (b) through (f) below. If the <i>private fund</i> uses more than one auditing firm, y oplete questions (b) through (f) separately for each auditing firm.	ou mu	ıst
Ad	ditional Auditor Information : 1 Record(s) Filed.		
	the answer to 23(a)(1) is "yes," respond to questions (b) through (f) below. If the <i>private fund</i> uses more than one auditing firm, just complete questions (b) through (f) separately for each auditing firm.	you	
(k	PRICEWATERHOUSECOOPERS		
(0) The location of the auditing firm's office responsible for the <i>private fund</i> 's audit (city, state and country):		
	City: State: Country:		
	CAMANA BAY Cayman Islands	Yes	N.I
(0	d) Is the auditing firm an independent public accountant?	o €	C
(6	e) Is the auditing firm registered with the Public Company Accounting Oversight Board?	•	C
(f	If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules?	•	С
		Y	es
(g) Are	the private fund's audited financial statements distributed to the private fund's investors?	(•
	s the report prepared by the auditing firm contain an unqualified opinion?		
	Yes No Report Not Yet Received		
If y	ou check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report	is ava	ilak
ne Brok	<u>er</u>		
(a) Doe	es the <i>private fund</i> use one or more prime brokers?		es
If th	ne answer to 24(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If the <i>private</i> re than one prime broker, you must complete questions (b) through (e) separately for each prime broker.		o us∈
Ad	ditional Prime Broker Information : 2 Record(s) Filed.		
If	the answer to 24(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the private	ite fun	d
	ses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.		
(k) Name of the prime broker: J.P. MORGAN SECURITIES INC.		
(0) If the prime broker is registered with the SEC, its registration number: 8 - 36950		
	CRD Number (if any): 18718		
(0	d) Location of prime broker's office used principally by the <i>private fund</i> (city, state and country):		
	City: Country:		

(e) Does this prime broker ac	t as custodian for some or all of the μ	nivale iunu s assets!	•
		e) below for each prime broker the <i>private fund</i> uses. If the object of	ne <i>private fi</i>
(b) Name of the prime broker MORGAN STANLEY & CO. I			
(c) If the prime broker is regi	stered with the SEC, its registration r	umber:	
8 - 15869			
CRD Number (if any): 8209			
0207			
(d) Location of prime broker's	s office used principally by the <i>private</i>	fund (city, state and country):	
City:	State:	Country:	
NEW YORK	New York	United States	
			Ye
(e) Does this prime broker ac	t as custodian for some or all of the μ	rivate fund's assets?	•
<u>an</u>			
Dana the private funduos and a	satadiana (ingluding the prime braker	s listed should be held some or all of its secrets?	
Does the <i>private fund</i> use any ci	ustodians (including the prime broker:	s listed above) to hold some or all of its assets?	

Additional C	Custodian I	nformation :	: 3 Record(s) Filed.
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If the answer to 25(a) is "yes," respond to questions (b) through (f) below for each custodian the private fund uses. If the private fund uses more than one custodian, you must complete questions (b) through (f) separately for each custodian.

- (b) Legal name of custodian:
 - J.P. MORGAN SECURITIES INC.
- (c) Primary business name of custodian:
 - J.P. MORGAN SECURITIES INC.
- (d) The location of the custodian's office responsible for *custody* of the *private fund*'s assets (city, state and country):

City: State: Country: NEW YORK **United States** New York

Yes No

(e) Is the custodian a *related person* of your firm?

 \circ •

(f) If the custodian is a broker-dealer, provide its SEC registration number (if any)

8 - 36950

CRD Number (if any):

18718

If the answer to 25(a) is "yes," respond to questions (b) through (f) below for each custodian the private fund uses. If the private fund uses more than one custodian, you must complete questions (b) through (f) separately for each custodian.

(b) Legal name of custodian:

MORGAN STANLEY & CO INTL PLC

(c) Primary business name of custodian: MORGAN STANLEY & CO INTL PLC

			ody of the <i>private fund</i> 's assets (city, state and country	
	City:	State:	Country:	
L	ONDON		United Kingdom	
				Ye
(e) I:	s the custodian a <i>related p</i>	person of your firm?		0
(f) I1	f the custodian is a broker	-dealer, provide its SEC regist	ration number (if any)	
- C	CRD Number (if any):			
		•	ough (f) below for each custodian the <i>private fund</i> uses hrough (f) separately for each custodian.	s. If the <i>private fund</i> u
	egal name of custodian: MORGAN STANLEY & CO. LL	С		
	Primary business name of c			
N	MORGAN STANLEY & CO. LL	C		
			ody of the private fund's assets (city, state and country	y):
	City: IEW YORK	State: New York	Country: United States	
	NEW TORK	NOW FORK	office States	Ye
(e) I	s the custodian a <i>related p</i>	person of your firm?		0
	,	,		V
(f) I1	f the custodian is a broker	-dealer, provide its SEC regist		
		. 1	ration number (if any)	
8	3 - 15869		ration number (if any)	
С	CRD Number (if any):	3	ration number (if any)	
С			ration number (if any)	
С	CRD Number (if any):		ration number (if any)	
8 8	CRD Number (if any):		ration number (if any)	
8 8	CRD Number (if any):		ration number (if any)	
8 trator	CRD Number (if any): 3209			•
trator Does th	CRD Number (if any): 3209 ne <i>private fund</i> use an adm	inistrator other than your firm	?	
trator Does the lifthe a	CRD Number (if any): 3209 The private fund use an adm 31nswer to 26(a) is "yes," re	inistrator other than your firm	n? gh (f) below. If the <i>private fund</i> uses more than one ac	
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Does the street of the accomplete of the complete of the compl	cRD Number (if any): 3209 The private fund use an administrator to 26(a) is "yes," reste questions (b) through (conal Administrator Information of administrator: SS&C FUND SERVICES (BERMOCATION of administrator (continuous continuous formation of administrator).	inistrator other than your firm espond to questions (b) through separately for each adminismation: 1 Record(s) Filed. respond to questions (b) through (f) separately for each adminismation (f) separately for each adminismation. MUDA) LTD. Sity, state and country): State:	gh (f) below. If the <i>private fund</i> uses more than one actrator. Sugh (f) below. If the <i>private fund</i> uses more than one nistrator. Country:	
If the acomple (b) N (c) L (d) Is	ERD Number (if any): 3209 The private fund use an administrator to 26(a) is "yes," reste questions (b) through (conal Administrator Information of administrator: 35&C FUND SERVICES (BERECOCATION OF Administrator) (City: GEORGETOWN) South the administrator a related to the administrator (consistency of administrator) (consistency of admin	inistrator other than your firm espond to questions (b) through separately for each adminismation: 1 Record(s) Filed. Trespond to questions (b) through (f) separately for each adminismation (f) separately for each adminismation (f) separately for each adminismation (f) state:	gh (f) below. If the <i>private fund</i> uses more than one actrator. Fugh (f) below. If the <i>private fund</i> uses more than one nistrator. Country: Cayman Islands	dministrator, you must administrator, you must administrator, you m
Does the strator of the accomplete of the complete of the comp	TRD Number (if any): 3209 The private fund use an administrator to 26(a) is "yes," reste questions (b) through (incomplete answer to 26(a) is "yes," plete questions (b) through the administrator: SS&C FUND SERVICES (BERESTOWN) The administrator a relate to the administrator (continue) and the administrator (continue) and the administrator (continue) and the administrator (continue) and the administrator a relate to the administrator and the administrator are also the administrator and the administrator are also the admin	inistrator other than your firm espond to questions (b) through separately for each adminismation: 1 Record(s) Filed. respond to questions (b) through (f) separately for each adminismation (f) separately for each adminismation. MUDA) LTD. State: end person of your firm?	gh (f) below. If the <i>private fund</i> uses more than one actrator. Sugh (f) below. If the <i>private fund</i> uses more than one nistrator. Country:	administrator, you must

27.	you	ing your last fiscal year, what percentage of the <i>private fund</i> 's assets (by value) was valued by a <i>person</i> , such as an administrator, that i r <i>related person</i> ? ,	s not	
	rele	dude only those assets where (i) such person carried out the valuation procedure established for that asset, if any, including obtaining arevant quotes, and (ii) the valuation used for purposes of investor subscriptions, redemptions or distributions, and fee calculations (includ cations) was the valuation determined by such person.	•	
<u>Ma</u>	rkete	<u>ers</u>		
28.	(a)	Does the <i>private fund</i> use the services of someone other than you or your <i>employees</i> for marketing purposes?	Yes	No ⊙
	` ,	You must answer "yes" whether the person acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicito similar person. If the answer to 28(a) is "yes", respond to questions (b) through (g) below for each such marketer the <i>private fund</i> uses <i>private fund</i> uses more than one marketer you must complete questions (b) through (g) separately for each marketer.	r, or	
		No Information Filed		
А . Р	RIVA ⁻	TE FUND		
Info	rmat	tion About the <i>Private Fund</i>		
1.	(a)	Name of the <i>private fund</i> :		
••	(4)	BOGLE OFFSHORE OPPORTUNITY FUND II, LTD.		
	(b)	Private fund identification number: (include the "805-" prefix also) 805-7565829488		
2.	Und	der the laws of what state or country is the <i>private fund</i> organized:		
		State: Country: Bermuda		
3.	Nan	ne(s) of General Partner, Manager, Trustee, or Directors (or persons serving in a similar capacity):		
		me of General Partner, Manager, Trustee, or Director		
	JOH	HN C. BOGLE JR., MARK COOK, PAUL STEVENSON		
4.	The	private fund (check all that apply; you must check at least one):		
		(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940		
	V	(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940		
5.		the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.		
		me of Country/English Name of Foreign Financial Regulatory Authority rmuda - Bermuda Monetary Authority		
	20.	The decimal of the control of the co	Yes	 No
6.	(a)	Is this a "master fund" in a master-feeder arrangement?	0	•
	(b)	If yes, what is the name and private fund identification number (if any) of the feeder funds investing in this private fund?		
		No Information Filed		
			Yes	No
	(c)	Is this a "feeder fund" in a master-feeder arrangement?	0	(O
		If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests? Name of the <i>Private Fund</i> :		•
		Private Fund Identification Number: (include the "805-" prefix also)		
		TE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section the master-feeder arrangement or reporting on the funds separately.	7.B.(1	1).

7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for the feeder funds answer the following questions:	r each	n of
	No Information Filed		
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.		
		Yes	No
8.	(a) Is this private fund a "fund of funds"?	0	⊙
	(b) If yes, does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ?		0
	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, whether or not they are also <i>private funds</i> , or registered investment companies.	t Yes	No
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?		•
10.	What type of fund is the <i>private fund</i> ?		
	• hedge fund • liquidity fund • private equity fund • real estate fund • securitized asset fund • venture capital fund • Other private of the	te fun	d
	NOTE: For funds of funds, refer to the funds in which the <i>private fund</i> invests. For definitions of these fund types, please see Instruction 6 or Instructions to Part 1A.	f the	
11.	Current gross asset value of the <i>private fund</i> : \$ 1,039,506,371		
<u>Ow</u>	<u>vnership</u>		
12.	Minimum investment commitment required of an investor in the <i>private fund</i> : \$ 1,000,000 NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in the organizational documents of the fund).)	
13.	Approximate number of the <i>private fund</i> 's beneficial owners: 12		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 0%		
15.	What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 17%		
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 42%		
Υοι	ur Advisory Services		
17.	(a) Are you a subadviser to this <i>private fund</i> ?	Yes	No ©
	(b) If the answer to question 17(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the answer question 17(a) is "no," leave this question blank.		٠
	No Information Filed		
		Yes	No
18.	(a) Do any other investment advisers advise the <i>private fund</i> ?	0	\odot
	(b) If the answer to question 18(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If the a to question 18(a) is "no," leave this question blank.	answe	er
	No Information Filed		
10	Are your ellents calleited to invest in the private fund	Yes	
ı۶.	Are your <i>clients</i> solicited to invest in the <i>private fund</i> ?	⊙	0

	Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ? 26%		
<u>Priv</u>	ate Offering		
21.	Does the private fund rely on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	Yes ©	No.
22.	If yes, provide the <i>private fund</i> 's Form D file number (if any):		
	Form D file number		
D CE	021-128038 RVICE PROVIDERS		
	itors		
Auu	<u>1013</u>	Yes	. No
23.	(a) (1) Are the <i>private fund</i> 's financial statements subject to an annual audit?	\odot	С
	(2) Are the financial statements prepared in accordance with U.S. GAAP?	•	С
	If the answer to 23(a)(1) is "yes," respond to questions (b) through (f) below. If the <i>private fund</i> uses more than one auditing firm, yo complete questions (b) through (f) separately for each auditing firm.	u must	
	Additional Auditor Information : 1 Record(s) Filed.		
	If the answer to 23(a)(1) is "yes," respond to questions (b) through (f) below. If the <i>private fund</i> uses more than one auditing firm, must complete questions (b) through (f) separately for each auditing firm.	you	
	(b) Name of the auditing firm: PRICEWATERHOUSECOOPERS		
	(c) The location of the auditing firm's office responsible for the <i>private fund</i> 's audit (city, state and country): City: State: Country:		
	BOSTON Massachusetts United States		
	(d) Is the auditing firm an independent public accountant?	Yes I	No C
	(e) Is the auditing firm registered with the Public Company Accounting Oversight Board?	•	0
	(f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules?	•	0
		Yes	. No
	(g) Are the private fund's audited financial statements distributed to the private fund's investors?(h) Does the report prepared by the auditing firm contain an unqualified opinion?	•	С
	• Yes • No • Report Not Yet Received		
	If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report i	s availa	ıble.
<u>Prin</u>	ne Broker	Yes	. No
24.	(a) Does the <i>private fund</i> use one or more prime brokers?	•	С
	If the answer to 24(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If the <i>private</i> more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.	<i>fund</i> us	es
	Additional Prime Broker Information : 2 Record(s) Filed.		
	If the answer to 24(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If the <i>private</i> uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.	e fund	
	(b) Name of the prime broker: J.P. MORGAN SECURITIES INC.		
	(c) If the prime broker is registered with the SEC, its registration number:		

(d) Location of prime broker's	s office used principally by the <i>private</i>	o fund (city, state and country):	
City:	State:	Country:	
NEW YORK	New York	United States	
			,
(e) Does this prime broker ac	et as custodian for some or all of the	private fund's assets?	
		(e) below for each prime broker the <i>private fund</i> us b) through (e) separately for each prime broker.	es. If the <i>private</i>
(b) Name of the prime broker MORGAN STANLEY & CO. I			
(c) If the prime broker is regi	istered with the SEC, its registration	number:	
8 - 15869			
CRD Number (if any):			
8209			
(d) Location of prime broker's	s office used principally by the <i>private</i>	e fund (city, state and country):	
City:	State:	Country:	
NEW YORK	New York	United States	
			`
() D		'	
<u>n</u>	et as custodian for some or all of the		
n Does the <i>private fund</i> use any cu If the answer to 25(a) is "yes,"	ustodians (including the prime broke respond to questions (b) through (f)	rs listed above) to hold some or all of its assets? below for each custodian the <i>private fund</i> uses. If	
n Does the <i>private fund</i> use any cu If the answer to 25(a) is "yes,"	ustodians (including the prime broke respond to questions (b) through (f) nust complete questions (b) through	rs listed above) to hold some or all of its assets? below for each custodian the <i>private fund</i> uses. If	the <i>private fund</i> (
n Does the <i>private fund</i> use any culf the answer to 25(a) is "yes," more than one custodian, you n Additional Custodian Information of the answer to 25(a) is "yes."	ustodians (including the prime broke respond to questions (b) through (f) nust complete questions (b) through tion: 3 Record(s) Filed.	rs listed above) to hold some or all of its assets? below for each custodian the <i>private fund</i> uses. If (f) separately for each custodian. (f) below for each custodian the <i>private fund</i> uses.	the <i>private fund</i> (
n Does the <i>private fund</i> use any culf the answer to 25(a) is "yes," more than one custodian, you n Additional Custodian Information of the answer to 25(a) is "yes."	ustodians (including the prime broke respond to questions (b) through (f) nust complete questions (b) through tion: 3 Record(s) Filed. " respond to questions (b) through must complete questions (b) through	rs listed above) to hold some or all of its assets? below for each custodian the <i>private fund</i> uses. If (f) separately for each custodian. (f) below for each custodian the <i>private fund</i> uses.	the <i>private fund</i> (
n Does the <i>private fund</i> use any culf the answer to 25(a) is "yes," more than one custodian, you n Additional Custodian Informa If the answer to 25(a) is "yes more than one custodian, you (b) Legal name of custodian:	ustodians (including the prime broke respond to questions (b) through (f) nust complete questions (b) through tion: 3 Record(s) Filed. " respond to questions (b) through must complete questions (b) through must complete questions (b) through INC.	rs listed above) to hold some or all of its assets? below for each custodian the <i>private fund</i> uses. If (f) separately for each custodian. (f) below for each custodian the <i>private fund</i> uses.	the <i>private fund</i> (
n Does the private fund use any culf the answer to 25(a) is "yes," more than one custodian, you n Additional Custodian Informa If the answer to 25(a) is "yes more than one custodian, you (b) Legal name of custodian: J.P. MORGAN SECURITIES (c) Primary business name of J.P. MORGAN SECURITIES (d) The location of the custodian.	ustodians (including the prime broke respond to questions (b) through (f) nust complete questions (b) through tion: 3 Record(s) Filed. " respond to questions (b) through must complete questions (b) through must complete questions (b) through INC. INC.	rs listed above) to hold some or all of its assets? below for each custodian the <i>private fund</i> uses. If (f) separately for each custodian. (f) below for each custodian the <i>private fund</i> uses. gh (f) separately for each custodian.	the <i>private fund</i> (
n Does the private fund use any culf the answer to 25(a) is "yes," more than one custodian, you n Additional Custodian Informa If the answer to 25(a) is "yes more than one custodian, you (b) Legal name of custodian: J.P. MORGAN SECURITIES (c) Primary business name of J.P. MORGAN SECURITIES (d) The location of the custodian: City:	ustodians (including the prime broke respond to questions (b) through (f) nust complete questions (b) through tion: 3 Record(s) Filed. "respond to questions (b) through must complete questions (b) through must complete questions (b) through INC. INC. dian's office responsible for custody of State:	rs listed above) to hold some or all of its assets? below for each custodian the <i>private fund</i> uses. If (f) separately for each custodian. (f) below for each custodian the <i>private fund</i> uses. (gh (f) separately for each custodian.	the <i>private fund</i> of
n Does the private fund use any culf the answer to 25(a) is "yes," more than one custodian, you n Additional Custodian Informa If the answer to 25(a) is "yes more than one custodian, you (b) Legal name of custodian: J.P. MORGAN SECURITIES (c) Primary business name of J.P. MORGAN SECURITIES (d) The location of the custodian.	ustodians (including the prime broke respond to questions (b) through (f) nust complete questions (b) through tion: 3 Record(s) Filed. " respond to questions (b) through must complete questions (b) through must complete questions (b) through INC. INC.	rs listed above) to hold some or all of its assets? below for each custodian the <i>private fund</i> uses. If (f) separately for each custodian. (f) below for each custodian the <i>private fund</i> uses. gh (f) separately for each custodian.	the <i>private fund</i> o
n Does the private fund use any culf the answer to 25(a) is "yes," more than one custodian, you n Additional Custodian Informa If the answer to 25(a) is "yes more than one custodian, you (b) Legal name of custodian: J.P. MORGAN SECURITIES (c) Primary business name of J.P. MORGAN SECURITIES (d) The location of the custodian: City:	ustodians (including the prime broke respond to questions (b) through (f) nust complete questions (b) through tion: 3 Record(s) Filed. "respond to questions (b) through must complete questions (b) through must complete questions (b) through INC. f custodian: INC. dian's office responsible for custody of State: New York	rs listed above) to hold some or all of its assets? below for each custodian the <i>private fund</i> uses. If (f) separately for each custodian. (f) below for each custodian the <i>private fund</i> uses. (gh (f) separately for each custodian.	the <i>private fund</i> (
n Does the private fund use any culf the answer to 25(a) is "yes," more than one custodian, you need that the answer to 25(a) is "yes more than one custodian Informa If the answer to 25(a) is "yes more than one custodian, you (b) Legal name of custodian: J.P. MORGAN SECURITIES (c) Primary business name of J.P. MORGAN SECURITIES (d) The location of the custodian: City: NEW YORK (e) Is the custodian a related	ustodians (including the prime broke respond to questions (b) through (f) nust complete questions (b) through tion: 3 Record(s) Filed. "respond to questions (b) through must complete questions (b) through must complete questions (b) through INC. f custodian: INC. dian's office responsible for custody of State: New York	rs listed above) to hold some or all of its assets? below for each custodian the private fund uses. If (f) separately for each custodian. (f) below for each custodian the private fund uses. (g) gh (f) separately for each custodian. of the private fund's assets (city, state and country): Country: United States	the <i>private fund</i> If the <i>private fun</i>

If the answer to 25(a) is "yes," respond to questions (b) through (f) below for each custodian the private fund uses. If the private fund uses more than one custodian, you must complete questions (b) through (f) separately for each custodian.

	MORGAN STANLEY & CO INTL PL	.C		
	Primary business name of custo MORGAN STANLEY & CO INTL PL			
(d)	The location of the custodian's	office responsible for <i>custc</i>	ody of the <i>private fund</i> 's assets (city, state and c	country):
	City:	State:	Country:	3,
	LONDON		United Kingdom	
(e)	Is the custodian a related person	<i>n</i> of your firm?		
(f)	If the custodian is a broker-deal	ler, provide its SEC registr	ation number (if any)	
	CRD Number (if any):			
		•	ugh (f) below for each custodian the <i>private fur</i> nrough (f) separately for each custodian.	nd uses. If the <i>private fu</i>
	Legal name of custodian:	complete questions (b) th	Tought (1) separately for each custodian.	
	MORGAN STANLEY & CO. LLC			
	Primary business name of custo MORGAN STANLEY & CO. LLC	odian:		
(d)	The location of the custodian's	office responsible for <i>custc</i>	ody of the <i>private fund</i> 's assets (city, state and c	country):
	City:	State:	Country:	3,
	NEW YORK	New York	United States	
(e)	Is the custodian a related person	<i>n</i> of your firm?		
	If the custodian is a broker-deal	ler, provide its SEC registr	ation number (if any)	
	8 - 15869 CRD Number (if any): 8209			
<u>rator</u>				
Does 1	the <i>private fund</i> use an administr	rator other than your firm?	?	
	answer to 26(a) is "yes," resporete questions (b) through (f) se		h (f) below. If the <i>private fund</i> uses more than rator.	one administrator, you r
Addit	cional Administrator Informatio	on : 1 Record(s) Filed.		
	ne answer to 26(a) is "yes," resp uplete questions (b) through (f) s		ugh (f) below. If the <i>private fund</i> uses more tha istrator.	n one administrator, yo
` ′	Name of the administrator: SS&C FUND SERVICES (BERMUD	A),LTD.		
	Location of administrator (city,	state and country):		
(c)	City:	State:	Country:	
	_		Bermuda	
	HAMILTON			Y
	_	erson of your firm?		Y
(d)	HAMILTON Is the administrator a related pe	-	nt statements to the <i>private fund</i> 's investors?	

		(f) If the answer to 26(e) is "no" or "some," who sends the investor account statements to the (rest of the) private fund's investors? investor account statements are not sent to the (rest of the) private fund's investors, respond "not applicable."	If	
27.	your 99% Inclurelev	ng your last fiscal year, what percentage of the <i>private fund</i> 's assets (by value) was valued by a <i>person</i> , such as an administrator, that is related person? Indee only those assets where (i) such person carried out the valuation procedure established for that asset, if any, including obtaining arrowant quotes, and (ii) the valuation used for purposes of investor subscriptions, redemptions or distributions, and fee calculations (including attions) was the valuation determined by such person.	٦y	
Ma	rketer	<u>rs</u>		
28.	(a) [Does the <i>private fund</i> use the services of someone other than you or your <i>employees</i> for marketing purposes?	Yes	No ⊙
	\ S	You must answer "yes" whether the person acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicito similar person. If the answer to 28(a) is "yes", respond to questions (b) through (g) below for each such marketer the private fund uses. private fund uses more than one marketer you must complete questions (b) through (g) separately for each marketer.	r, or	
		No Information Filed		
A. Pl	RIVAT	E FUND		
Info	<u>rmati</u>	on About the <i>Private Fund</i>		
1.	(b) /	Name of the <i>private fund</i> : BOGLE OPPORTUNITY FUND II SRI, L.P. Private fund identification number: (include the "805-" prefix also) 805-3140787385		
2.		er the laws of what state or country is the <i>private fund</i> organized: State: Country: United States		
	l	Delaware United States		
3.		e(s) of General Partner, Manager, Trustee, or Directors (or persons serving in a similar capacity):		
		ne of General Partner, Manager, Trustee, or Director GLE OPPORTUNITY INVESTMENT GROUP, LLC		
4.		private fund (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940		
5.	List t	the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered. No Information Filed		
			Yes	No
6.		Is this a "master fund" in a master-feeder arrangement?	0	•
	(b) I	If yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund?</i> No Information Filed		
		No finormation rilea		
			Yes	No
		Is this a "feeder fund" in a master-feeder arrangement? If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests?	0	•
		Name of the <i>Private Fund</i> :		
		Private Fund Identification Number: (include the "805-" prefix also)		

7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for the feeder funds answer the following questions:	r each	ı of
	No Information Filed		
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.		
		Yes	No
8.	(a) Is this private fund a "fund of funds"?(b) If yes, does the private fund invest in funds managed by you or by a related person?	0	•
		0	О
	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, whether or not they are also <i>private funds</i> , or registered investment companies.	L	
		Yes	No
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0	•
10.	What type of fund is the private fund?		
	• hedge fund • liquidity fund • private equity fund • real estate fund • securitized asset fund • venture capital fund • Other private equity	te fun	d
	NOTE: For funds of funds, refer to the funds in which the <i>private fund</i> invests. For definitions of these fund types, please see Instruction 6 or Instructions to Part 1A.	f the	
Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)? 10. What type of fund is the <i>private fund</i> ? 10. What type of fund of liquidity fund of private equity fund of real estate fund of securitized asset fund of venture capital fund of other <i>private</i> funds of funds, refer to the funds in which the <i>private fund</i> invests. For definitions of these fund types, please see Instruction 6 of these funds of the funds o			
Ow	<u>nership</u>		
12.	·		
	NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in the organizational documents of the fund).	Ż	
13.	Approximate number of the <i>private fund</i> 's beneficial owners: 5		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 0%		
15.	What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 0%		
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 0%		
You	ur Advisory Services	Voo	No
17.	(a) Are you a subadviser to this <i>private fund</i> ?	Yes	INO ⊙
	(b) If the answer to question 17(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the answer question 17(a) is "no," leave this question blank.	to	
	No Information Filed		
		Yes	No

No Information Filed

		Yes	s No
19. Ar	e your <i>clients</i> solicited to invest in the <i>private fund</i> ?	•	0
20. Ap	proximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ? %		
Private	e Offering		
21. Do	es the <i>private fund</i> rely on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	Ye:	s No
22. If	yes, provide the <i>private fund</i> 's Form D file number (if any):		
	orm D file number 21-89165		
	ICE PROVIDERS		
. OLIV			
Audito		Ye	s No
23. (a _.	(1) Are the private fund's financial statements subject to an annual audit?(2) Are the financial statements prepared in accordance with U.S. GAAP?	0	
	If the answer to 23(a)(1) is "yes," respond to questions (b) through (f) below. If the <i>private fund</i> uses more than one auditing firm, yeomplete questions (b) through (f) separately for each auditing firm.	ou mus	
	Additional Auditor Information : 1 Record(s) Filed.		
	If the answer to 23(a)(1) is "yes," respond to questions (b) through (f) below. If the <i>private fund</i> uses more than one auditing firm must complete questions (b) through (f) separately for each auditing firm.	, you	
	(b) Name of the auditing firm: PRICEWATERHOUSECOOPERS		
	(c) The location of the auditing firm's office responsible for the <i>private fund</i> 's audit (city, state and country): City: State: Country:		
	BOSTON Massachusetts United States		_
	(d) Is the auditing firm an independent public accountant?	Yes •	No
		•	
	(e) Is the auditing firm registered with the Public Company Accounting Oversight Board?	•	0
	(f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules?	•	0
		Ye	s No
	Are the <i>private fund</i> 's audited financial statements distributed to the <i>private fund</i> 's investors?	•	0
(h)			
	• Yes • No • Report Not Yet Received If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report	t is avail	lable.
<u>Prime</u>	<u>Broker</u>		
24 (2)	Doos the private fundues one or more prime brokers?		s No
24. (a _.	Does the <i>private fund</i> use one or more prime brokers? If the answer to 24(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If the <i>private</i> more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.	⊙ e fund u	-
	more than one prime broker, you must complete questions (b) through (c) separately for each prime broker.		

uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.

(e) Does this prime broker act as custodian for some or all of the <i>private fund</i> 's assets? (e) Does this prime broker act as custodian for some or all of the <i>private fund</i> 's assets? (f) If the answer to 24(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If the <i>private fund</i> uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker. (b) Name of the prime broker: MORGAN STANLEY & CO. LLC (c) If the prime broker is registered with the SEC, its registration number: 8 - 15869 CRD Number (if any): 8209 (d) Location of prime broker's office used principally by the <i>private fund</i> (city, state and country): City: NEW YORK New York United States Yes Yes (e) Does this prime broker act as custodian for some or all of the <i>private fund</i> 's assets? (c)	8 CI 18 (d) Lc Ci Ni (e) Di If the uses r (b) Ni M (c) If 8 CI 8: (d) Lc Ci Ni	- 36950 RD Number (if any): 8718 ocation of prime broker's off ity: IEW YORK oces this prime broker act as answer to 24(a) is "yes," r more than one prime broker: IORGAN STANLEY & CO. LLC of the prime broker is registe - 15869 RD Number (if any): 209 ocation of prime broker's off ity: IEW YORK	State: New York s custodian for some or all of the private of the second to questions (b) through, you must complete question of the second with the SEC, its registration of the second of the secon	country: Country: United States the private fund's assets? gh (e) below for each prime broker the private funds (b) through (e) separately for each prime broker on number: wate fund (city, state and country): Country:	duses. If the <i>private fund</i>
CRI Number (if any): 18718 (d) Location of prime broker's office used principally by the private fund (city state and country): City: NEW YORK New York United States Yes (e) Does this prime broker act as custodian for some or all of the private funds asserts? (f) The answer to 24(a) is "yes." respond to questions (b) through (e) below for each prime broker the private fund uses. If the private fundual new more than one prime broker, you must complete questions (b) through (e) separately for each prime broker. (b) Name of the prime broker is registered with the SEC, its registration number: 8 - 15869 CRD Number (if any): \$209 (d) Location of prime broker's office used principally by the private fund (city, state and country): City: State: Country: New York United States You one of the prime broker is registered with the SEC, its registration number: 9 - 15869 (d) Does this prime broker is act as custodian for some or all of the private fund (city, state and country): City: State: Country: New York United States You one than one custodian, you must complete questions (b) through (f) below for each custodian the private fund uses. If the private fund use one custodian, you must complete questions (b) through (f) below for each custodian the private fund uses. If the private fund use one custodian, you must complete questions (b) through (f) below for each custodian the private fund uses. If the private fund uses one than one custodian, you must complete questions (b) through (f) below for each custodian the private fund uses. If the private fund uses one than one custodian, you must complete questions (b) through (f) below for each custodian the private fund uses. If the private fund use one custodian to private fund uses in the private fund uses. If the private fund use one custodian to private fund uses. If the private fund use one custodian to private fund uses. If the private fund use one custodian to private fund uses. If the private fund use one custodian to private fund uses. If the private fu	(d) Loc Ci NI (f) Ni (e) Di (f) Ni (f) Ni (g) If the Ci Ni (h) N	eRD Number (if any): 8718 ocation of prime broker's officity: IEW YORK oces this prime broker act as e answer to 24(a) is "yes," remore than one prime broker: IORGAN STANLEY & CO. LLC of the prime broker is registered. 15869 IRD Number (if any): 209 ocation of prime broker's officity: IEW YORK	State: New York s custodian for some or all of the service of the	Country: United States the private fund's assets? The private fund's assets? The private fund's assets? The private fund (e) below for each prime broker the private fund (so the private fund to be so the private fund (city, state and country): Country:	d uses. If the private fund
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(e) Does this prime broker act as custodian for some or all of the <i>private fund's</i> assets? (f) If the answer to 24(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If the <i>private funduses</i> are than one prime broker, you must complete questions (b) through (e) separately for each prime broker. (b) Name of the prime broker, you must complete questions (b) through (e) separately for each prime broker. (c) If the prime broker is registered with the SEC, its registration number: 8 - 15869 CRD Number (if any): 8-200 (d) Location of prime broker is office used principally by the <i>private fund</i> (city, state and country): City: NEW YORK New York United States Yes (e) Does this prime broker act as custodian for some or all of the <i>private fund's</i> assets? (e) Does this prime broker act as custodian for some or all of the <i>private fund's</i> assets? (f) the answer to 25(a) is "yes," respond to questions (b) through (f) below for each custodian the <i>private fund</i> uses. If the <i>private fund's</i> some or custodian, you must complete questions (b) through (f) separately for each custodian the <i>private fund</i> uses. If the <i>private fund's</i> some or custodian the private fund uses. If the private fund uses that one custodian, you must complete questions (b) through (f) separately for each custodian the <i>private fund</i> uses. If the <i>private fund uses</i> if the private fund uses. If the private fund uses the private fund uses. If the private fund uses the private fund uses. If the private fund uses the private fund uses. If the private fund uses the private fund uses. If the private fund uses the private fund uses. If the private fund uses the private fund uses. If the private fund uses the private fund uses. If the private fund uses the private fund uses. If the private fund uses the private fund uses. If the private fund uses the private fund uses. If the private fund uses the private fund uses. If the private fund uses the private fund uses the private fund uses the priva	If the uses r (b) Ni M (c) If 8 CI 8: (d) Lc Ci NI	e answer to 24(a) is "yes," remore than one prime broker. Ilame of the prime broker: IORGAN STANLEY & CO. LLC If the prime broker is registe - 15869 IRD Number (if any): 209 ocation of prime broker's officity: IEW YORK	respond to questions (b) through, you must complete question ered with the SEC, its registration fice used principally by the <i>priv</i>	gh (e) below for each prime broker the <i>private fund</i> s (b) through (e) separately for each prime broker on number: **Table 1. **Table 2. **Ta	d uses. If the private fund
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the answer to 25(a) is "yes," respond to questions (b) through (f) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses nore than one custodian, you must complete questions (b) through (f) separately for each custodian. Additional Custodian Information: 3 Record(s) Filed. If the answer to 25(a) is "yes," respond to questions (b) through (f) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses are than one custodian, you must complete questions (b) through (f) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses are than one custodian, you must complete questions (b) through (f) separately for each custodian. (b) Legal name of custodian: J.P. MORGAN SECURITIES INC. (c) Primary business name of custodian: J.P. MORGAN SECURITIES INC.					
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If the answer to 25(a) is "yes," respond to questions (b) through (f) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> u more than one custodian, you must complete questions (b) through (f) separately for each custodian. (b) Legal name of custodian: J.P. MORGAN SECURITIES INC. (c) Primary business name of custodian: J.P. MORGAN SECURITIES INC.	the ar	nswer to 25(a) is "yes," res	spond to questions (b) through	(f) below for each custodian the <i>private fund</i> uses.	•
more than one custodian, you must complete questions (b) through (f) separately for each custodian. (b) Legal name of custodian: J.P. MORGAN SECURITIES INC. (c) Primary business name of custodian: J.P. MORGAN SECURITIES INC.	dditio	onal Custodian Information	n : 3 Record(s) Filed.		
J.P. MORGAN SECURITIES INC. (c) Primary business name of custodian: J.P. MORGAN SECURITIES INC.				•	es. If the <i>private fund</i> use:
J.P. MORGAN SECURITIES INC.		-	C.		
(d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund</i> 's assets (city, state and country):		_			
City: Country:	Ci	ity:	State:	Country:	ry):
NEW YORK New York United States Yes	N	IEW YORK	New York	United States	Yes N
(e) Is the custodian a <i>related person</i> of your firm?	(e) Is	s the custodian a related per	erson of your firm?		0 0
(f) If the custodian is a broker-dealer, provide its SEC registration number (if any)		f the custodian is a broker-d - 36950	dealer, provide its SEC registrat	tion number (if any)	
8 - 30900	CI				

(b)) Legal name of custodia	n:		
	MORGAN STANLEY & CO	O INTL PLC		
(c)	Primary business name			
	MORGAN STANLEY & CC) INTL PLC		
(d)) The location of the cus	todian's office responsible for cus	stody of the private fund's assets (city, state and country):	
	City: LONDON	State:	Country: United Kingdom	
	LONDON			Yes
(e)) Is the custodian a <i>relat</i>	ted person of your firm?		0
(f)	If the custodian is a bro	oker-dealer, provide its SEC regis	tration number (if any)	
	- CRD Number (if any):			
16.4				
	•	·	rough (f) below for each custodian the <i>private fund</i> uses. If the through (f) separately for each custodian.	he <i>private fund</i> us
(b)) Legal name of custodia	ın:		
	MORGAN STANLEY & CO			
(0)) Primary business name	of austodian		
(C)	Primary business name MORGAN STANLEY & CC			
(d)		·	stody of the private fund's assets (city, state and country):	
	City: NEW YORK	State: New York	Country: United States	
				Yes
(e)) Is the custodian a <i>relat</i>	ted person of your firm?		0
(f)	If the custodian is a bro	oker-dealer, provide its SEC regis	tration number (if any)	
(1)	8 - 15869	oker-dealer, provide its SEC regis	tration number (ir any)	
	CRD Number (if any):			
	8209			
<u>strato</u>	<u>)r</u>			Ye
Does	s the <i>private fund</i> use an	administrator other than your firr	n?	•
	•	s," respond to questions (b) through (f) separately for each adminis	ugh (f) below. If the <i>private fund</i> uses more than one administrator.	strator, you must
Add	litional Administrator In	formation : 1 Record(s) Filed.		
		res," respond to questions (b) through (f) separately for each admi	rough (f) below. If the <i>private fund</i> uses more than one administrator.	nistrator, you mus
11) Name of the administra	tor.		
// // >	I MAIND OF THE Administra	417.11.		
(b)	SS&C HEDGE FUND SER			
	SS&C HEDGE FUND SER	RVICES, INC.		
	SS&C HEDGE FUND SER		Country:	

		(d) Is the administrator a <i>related person</i> of your firm?	•	
		(a) Does the administrator propers and condinuector account statements to the private funds investors?		
		(e) Does the administrator prepare and send investor account statements to the <i>private fund</i> 's investors? (a) Yes (provided to all investors) (b) Some (provided to some but not all investors) (c) No (provided to no investors)		
		6 (pressure to an increase)		
		(f) If the answer to 26(e) is "no" or "some," who sends the investor account statements to the (rest of the) private fund's investors investor account statements are not sent to the (rest of the) private fund's investors, respond "not applicable."	? If	
27		ing your last fiscal year, what percentage of the <i>private fund</i> 's assets (by value) was valued by a <i>person</i> , such as an administrator, that r <i>related person</i> ? 2	is not	
	Inclu	oude only those assets where (i) such person carried out the valuation procedure established for that asset, if any, including obtaining a vant quotes, and (ii) the valuation used for purposes of investor subscriptions, redemptions or distributions, and fee calculations (inclu	-	
	alloc	cations) was the valuation determined by such person.		
Ма	ırkete	are.		
IVIA	ırkete	<u> </u>	Yes	. No
28	. (a)	Does the <i>private fund</i> use the services of someone other than you or your <i>employees</i> for marketing purposes?	0	•
		You must answer "yes" whether the person acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicit similar person. If the answer to 28(a) is "yes", respond to questions (b) through (g) below for each such marketer the <i>private fund</i> use		
		private fund uses more than one marketer you must complete questions (b) through (g) separately for each marketer.		
		No Information Filed		
		No memation med		
A. F	RIVAT	re fund		
Info	ormat	ion About the <i>Private Fund</i>		
1.	, ,	Name of the <i>private fund</i> : BOGLE OPPORTUNITY FUND II, L.P.		
		Private fund identification number:		
		(include the "805-" prefix also)		
		805-2647758859		
2	Und	er the laws of what state or country is the <i>private fund</i> organized:		
۷.		State: Country:		
		Delaware United States		
3.		ne(s) of General Partner, Manager, Trustee, or Directors (or persons serving in a similar capacity):		
		me of General Partner, Manager, Trustee, or Director		
	BOC	GLE OPPORTUNITY INVESTMENT GROUP, LLC		
1	Tho	private fund (check all that apply; you must check at least one):		
4.		(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940		
		(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940		
5.	List	the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.		
		No Information Filed		
			Yes	No
6.	(a)	Is this a "master fund" in a master-feeder arrangement?	0	\odot
	(b)	If yes, what is the name and private fund identification number (if any) of the feeder funds investing in this private fund?		
		No Information Filed		
	(0)	Is this a "fooder fund" in a master fooder errangement?	Yes	No
		Is this a "feeder fund" in a master-feeder arrangement? If was, what is the name and private fund identification number (if any) of the master fund in which this private fund invests?	0	⊙
		If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests? Name of the <i>Private Fund</i> :		

	Private Fund Identification Number: (include the "805-" prefix also)		
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section for the master-feeder arrangement or reporting on the funds separately.	7.B.(1).
	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for the feeder funds answer the following questions:	r each	า of
	No Information Filed		
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.	issue	ed
!	(a) Is this <i>private fund</i> a "fund of funds"?	Yes	
٠.	(b) If yes, does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ?	0	
	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, whether or not they are also <i>private funds</i> , or registered investment companies.	t	C
		Yes	N
١.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0	•
0.	What type of fund is the <i>private fund</i> ?		
	• hedge fund • liquidity fund • private equity fund • real estate fund • securitized asset fund • venture capital fund • Other private equity	te fur	nd
	NOTE: For funds of funds, refer to the funds in which the <i>private fund</i> invests. For definitions of these fund types, please see Instruction 6 or Instructions to Part 1A.	f the	
1.	Current gross asset value of the <i>private fund</i> : \$ 300,637,493		
)w	<u>nership</u>		
2.	Minimum investment commitment required of an investor in the <i>private fund</i> : \$ 10,000,000		
	NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in the organizational documents of the fund).)	
3.	Approximate number of the <i>private fund</i> 's beneficial owners: 17		
4.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 66%		
5.	What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 33%		
6.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 0%		
ou	ur Advisory Services		
7.	(a) Are you a subadviser to this <i>private fund</i> ?	Yes	
•	(b) If the answer to question 17(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i>. If the answer question 17(a) is "no," leave this question blank.	_	
	No Information Filed		

			Yes	s No
18.	(a)	Do any other investment advisers advise the <i>private fund</i> ?	0	\odot
	(b)	If the answer to question 18(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If to question 18(a) is "no," leave this question blank.	the answ	er
		No Information Filed		
			Yes	s No
19.	Are	your <i>clients</i> solicited to invest in the <i>private fund</i> ?	•	0
20.	App 379	proximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ?		
<u>Priv</u>	<u>vate</u>	Offering		
21.	Do	es the private fund rely on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	Yes	S No
22.	If y	es, provide the <i>private fund</i> 's Form D file number (if any):		
	Fo	rm D file number		
	02	1-49759		
	ditor	CE PROVIDERS <u>S</u>		
			Yes	s No
23.	(a)	(1) Are the <i>private fund</i> 's financial statements subject to an annual audit?	⊙	0
		(2) Are the financial statements prepared in accordance with U.S. GAAP?	⊙	0
		If the answer to 23(a)(1) is "yes," respond to questions (b) through (f) below. If the <i>private fund</i> uses more than one auditing firm, complete questions (b) through (f) separately for each auditing firm.	you mus	t
		Additional Auditor Information : 1 Record(s) Filed.		
		If the answer to 23(a)(1) is "yes," respond to questions (b) through (f) below. If the <i>private fund</i> uses more than one auditing firm must complete questions (b) through (f) separately for each auditing firm.	n, you	
		(b) Name of the auditing firm: PRICEWATERHOUSECOOPERS		
		(c) The location of the auditing firm's office responsible for the <i>private fund</i> 's audit (city, state and country):		
		City: State: Country:		
		BOSTON Massachusetts United States		
			Yes	No
		(d) Is the auditing firm an independent public accountant?	•	0
		(e) Is the auditing firm registered with the Public Company Accounting Oversight Board?	•	0
		(f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules?	•	0
			Ye	s No
	(g)	Are the private fund's audited financial statements distributed to the private fund's investors?	•	0
	(h)	Does the report prepared by the auditing firm contain an unqualified opinion?		
		● Yes O No O Report Not Yet Received		
		If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the repo	rt is availa	able.
<u>Prii</u>	me E	<u>Broker</u>	Va	s No
24.	(a)	Does the <i>private fund</i> use one or more prime brokers?	(e)	_
	. ,	If the answer to 24(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If the <i>priva</i> more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.	_	

Additional Prime Broker Information : 2 Record(s) Filed.

(c) Does this prime broker act as custodian for some or all of the private fund's assets? (d) Name of the prime broker, you must complete questions (b) through (e) separately for each prime broker. When prime broker that uses more than one prime broker; you must complete questions (b) through (e) separately for each prime broker. (b) Name of the prime broker is registered with the SEC, its registration number: (c) If the prime broker is registered with the SEC, its registration number: (d) The prime broker is registered with the SEC, its registration number: (e) The prime broker is registered with the SEC, its registration number: (f) Location of prime broker's office used principally by the private fund (city, state and country): (f) Location of prime broker's office used principally by the private fund (city, state and country): (g) The Description of prime broker act as custodian for some or all of the private fund's assets? (g) Does this prime broker act as custodian for some or all of the private fund's assets? (g) Does this prime broker act as custodian for some or all of the private fund's assets? (g) The private fund use any custodians (including the prime brokers listed above) to hold some or all of its assets? (g) The private fund use any custodians (including the prime brokers listed above) to hold some or all of its assets? (g) The private fund use any custodians (including the prime brokers listed above) to hold some or all of its assets? (g) The private fund uses. If the private fund uses if t	(0)	Name of the prime broker			
8 A8-50 GRD Number (if any): 19718 (de) Location of prime broker's office used principally by the <i>private fund</i> (city, state and country): City: City: City: New YORK New York United States Yes N (e) Does this prime broker act as custodian for some or all of the <i>private funds</i> assets? (e) The answer to 24(a) is "yes," respond to questions (b) through (a) below for each prime broker the <i>private fund</i> uses, if the <i>private fund</i> uses make than one prime broker: (b) Name of the prime broker is registered with the SEC, its registration number: 8 - 19199 GRD Number (if any): ROW YORK New York United States Yes N (e) Does this prime broker act as custodian for some or all of the <i>private fund</i> (city, state and country): City: New YORK New York United States Yes N (e) Does this prime broker act as custodian for some or all of the <i>private fund</i> (city, state and country): City: New YORK New York United States Yes N (e) Does this prime broker act as custodian for some or all of the <i>private fund</i> sassets? (f) The private fund use any custodians (including the prime brokers likited above) to hold some or all or its assets? (e) Does this prime broker act as custodian for some or all of the <i>private fund</i> sassets? (f) City: New York New York United States Yes N (g) Private fund uses any custodians (including the prime brokers likited above) to hold some or all or its assets? (g) Private fund uses any custodians (including the prime brokers likited above) to hold some or all or its assets? (g) Private fund uses any custodians (including the prime brokers likited above) to hold some or all or its assets? (g) Private fund uses any custodians (including the prime brokers likited above) to hold some or all or its assets? (g) Private fund uses any custodians (including the prime brokers likited above) to hold some or all or its assets? (g) Private fund uses any custodians (including the private funds above) to hold some or all or its assets? (g) Private fund uses any custodians (including the private f		·			
CRI Number (if any) 18718 (d) Teastlian of prime broker's office used principally by the private fund (city, state and country): City State: Country NEW YORK New York United States Yes N (d) Does this prime broker act as outstodian for some or all of the private fund's resorts? (e) Does this prime broker act as outstodian for some or all of the private fund's resorts? (f) the enswer to 24(a) is "yes," respond to questions (b) through (c) below for each prime broker the private fund uses. If the private fund uses more train one prime broker, you must complete questions (b) through (e) separately for each prime broker. (g) Name of the prime broker is registered with the SFC, its registration number: 9 - 15869 CICO Number (if any). 1929 (d) Librarian of prime broker's office used principally by the private fund (city, state and country). City State. Country. N.W.YSBN New York Dinter States Yes N (e) Does this prime broker act us obsolution for some or all of the private fund's useries? Yes N (b) Does this prime broker act us obsolution for some or all of the private fund's useries? Yes N (c) Does this prime broker act us obsolution for some or all of the private fund's useries? (e) Does this prime broker act us obsolution for some or all of the private fund's useries? (b) Liquid name of subsolution. (c) Primary business name of custodian: JP MRCAN SECURITIES INC (d) Primary business name of custodian: JP MRCAN SECURITIES INC (d) In la location of the custodian's office respondible for custodiay of the private fund's sasets, (city, state and country): Country: New York United States Yes N (d) Interestination of the custodian's office respondible for custodiay of the private fund's sasets, (city, state and country): Cuty State New York United States Yes N	(c)	If the prime broker is regi	stered with the SEC, its registration i	number:	
(d) Location of prime broker's office used principally by the private fund (city, state and country): City: City: NEW YORK New York United States Yes N (c) Doos this prime broker act as custodian for some or at of the private fund's associs? (d) Doos this prime broker act as custodian for some or at of the private fund's associs? (e) Doos this prime broker act as custodian for some or at of the private fund's associs? (f) If the answer to 24(a) is "yes," respond to questions (b) through (c) below for each prime broker the prime broker. (h) Name of the prime broker, you must complete questions (b) through (e) separately for each prime broker. (h) Name of the prime broker is registered with the SEC, its registration number: 8 - 1866 CRD Number (if any). 1970 (d) Location of prime broker is registered with the SEC, its registration number: 9 - 1970 (d) Location of prime broker is called principally by the private fund (city, state and country): City: NEW YORK New York United States Yes N (e) Does this prime broker act as custodian for some or all of the private fund's asserts? (e) Country The answer to 25(a) is "yes," respond to questions (b) through (f) below for each custodian the private fund uses. If the private fund uses are than one custodian, you must complete questions (b) through (f) separately for each custodian. If the answer to 25(a) is "yes," respond to questions (b) through (f) below for each custodian. If the answer to 25(a) is "yes," respond to questions (b) through (f) separately for each custodian. If the answer to 25(a) is "yes," respond to questions (b) through (f) separately for each custodian. If the answer to 25(a) is "yes," respond to questions (b) through (f) below for each custodian. If the answer to 25(a) is "yes," respond to questions (b) through (f) separately for each custodian. If the answer to 25(a) is "yes," respond to questions (b) through (f) separately for each custodian. If the answer to 25(a) is "yes," respond to questions (b) through (f) separately for each					
City: New York State: Country: United States (Power Vork United States)					
NEW YORK Now York United States Yes No (c) Does this prime broker act as custedian for some or all of the private fund's assets? (d) Does this prime broker act as custedian for some or all of the private fund's assets? (e) Does this prime broker act as custedian for some or all of the private fund's assets? (f) If the answer to 24(a) is "yes," respond to questions (b) through (a) below for each prime broker the private fund uses. If the private fund uses more than one prime broker. (h) Name of the prime broker: (h) Name of the prime broker: (h) Name of the prime broker: (h) Name of the prime broker is registered with the SEC, its registration number: 8 - 15669 (c) If the prime broker is registered with the SEC, its registration number: 8 - 15669 (d) Location of prime brokers office used principally by the private fund (city, state and country): (it):	(d)	Location of prime broker's	office used principally by the <i>private</i>	fund (city, state and country):	
Yes No.		•		· · · · · · · · · · · · · · · · · · ·	
if the answer to 24(a) is "yes," respond to questions (b) through (c) below for each prime broker the private fund uses. If the primate fund uses more than one prime broker; you must complete questions (b) through (c) separately for each prime broker. (b) Name of the prime broker is registered with the SEC, its registration number: a - 15869 CRD Number (if any): 3207 (d) Location of prime brokers office used principally by the private fund (city, state and country): City: NEW YORK New York (e) Does this prime broker act as custodian for some or all of the private fund's assets? (e) Does this prime broker act as custodian for some or all of the private fund's assets? (e) Does this prime broker act as custodian for some or all of the private fund's assets? (f) Legal name or 25(a) is "yes," respond to questions (b) through (f) separately for each custodian the private fund uses. If the private fund uses more than one custodian, you must complete questions (b) through (f) separately for each custodian the private fund uses. If the private fund uses where the answer to 25(a) is "yes," respond to questions (b) through (f) separately for each custodian the private fund uses. If the private fund uses where the none custodian, you must complete questions (b) through (f) separately for each custodian the private fund uses. If the private fund uses where the none custodian, you must complete questions (b) through (f) separately for each custodian the private fund uses. If the private fund uses are the none custodian, you must complete questions (b) through (f) separately for each custodian the private fund uses. If the private fund uses the private fund uses are the fund uses. If the private fund uses are the fund uses are the fund uses. If the private fund uses are the private fund uses. If the private fund uses are the private fund uses. If the private fund uses are the private fund uses. If the private fund uses are the private fund uses. If the private fund uses are the private fund uses. If the private fund u		NEW YORK	New York	United States	Van Na
uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker. (b) Name of the prime broker: MORGAN STANLEY & CO. LLC (c) If the prime broker is registered with the SEC, its registration number: 8 - 15869 CRD Number (if any): 8200 (d) Location of prime broker's office used principally by the private fund (city, state and country): City: State: Country: NeW YORK NeW York United States Yes No (e) Does this prime broker act as custodian for some or all of the private fund's assets? (e) Does this prime broker act as custodian for some or all of the private fund's assets? (e) The answer to 25(a) is "yes," respond to questions (b) through (f) below for each custodian the private fund uses. If the private fund uses are custodian, you must complete questions (b) through (f) separately for each custodian. If the answer to 25(a) is "yes," respond to questions (b) through (f) below for each custodian the private fund uses. If the private fund uses more than one custodian, you must complete questions (b) through (f) below for each custodian the private fund uses. If the private fund uses more than one custodian, you must complete questions (b) through (f) separately for each custodian the private fund uses. If the private fund uses more than one custodian, you must complete questions (b) through (f) separately for each custodian the private fund uses. If the private fund uses more than one custodian, you must complete questions (b) through (f) separately for each custodian the private fund uses. If the private fund uses more than one custodian: J. P. MORGAN SECURITIES INC. (d) The location of the custodians office responsible for custody of the private fund's assets (city, state and country): City: New York New York New York United States Yes New Yes	(e)	Does this prime broker ac	t as custodian for some or all of the μ	private fund's assets?	
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(b) Legal name of custodian: J.P. MORGAN SECURITIES INC. (c) Primary business name of custodian: J.P. MORGAN SECURITIES INC. (d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund</i> 's assets (city, state and country): City: State: Country: NEW YORK New York United States Yes No	noes the	the <i>private fund</i> use any cue answer to 25(a) is "yes," than one custodian, you m	ustodians (including the prime broker respond to questions (b) through (f) nust complete questions (b) through	s listed above) to hold some or all of its assets? below for each custodian the <i>private fund</i> uses. If	⊙ C Yes ⊙
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J.P. MORGAN SECURITIES INC. (c) Primary business name of custodian: J.P. MORGAN SECURITIES INC. (d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund</i> 's assets (city, state and country): City: City: NEW YORK New York United States Yes No.	noes the thore	the <i>private fund</i> use any cue answer to 25(a) is "yes," than one custodian, you mitional Custodian Informational Custodian In	ustodians (including the prime broker respond to questions (b) through (f) nust complete questions (b) through tion: 3 Record(s) Filed.	s listed above) to hold some or all of its assets? below for each custodian the <i>private fund</i> uses. If (f) separately for each custodian. f) below for each custodian the <i>private fund</i> uses.	Yes the private fund uses
J.P. MORGAN SECURITIES INC. (d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund</i> 's assets (city, state and country): City: NEW YORK New York United States Yes No.	nooes f the nore Addi If t mo	the <i>private fund</i> use any cue answer to 25(a) is "yes," than one custodian, you make the answer to 25(a) is "yes, the answer to 25(a) is "yes, the than one custodian, you	ustodians (including the prime broker respond to questions (b) through (f) nust complete questions (b) through tion: 3 Record(s) Filed.	s listed above) to hold some or all of its assets? below for each custodian the <i>private fund</i> uses. If (f) separately for each custodian. f) below for each custodian the <i>private fund</i> uses.	Yes f the private fund uses
J.P. MORGAN SECURITIES INC. (d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund</i> 's assets (city, state and country): City: NEW YORK New York United States Yes No.	noes the nore	the <i>private fund</i> use any cue answer to 25(a) is "yes," than one custodian, you make the answer to 25(a) is "yes, the than one custodian, you be than one custodian, you be the custodian.	respond to questions (b) through (f) nust complete questions (b) through tion: 3 Record(s) Filed. " respond to questions (b) through (must complete questions (b) through (b) through (b) through (b) through (c)	s listed above) to hold some or all of its assets? below for each custodian the <i>private fund</i> uses. If (f) separately for each custodian. f) below for each custodian the <i>private fund</i> uses.	Yes the private fund uses
City: State: Country: NEW YORK New York United States Yes No.	noes the hore Addi If t mo (b)	the <i>private fund</i> use any cue answer to 25(a) is "yes," than one custodian, you make the answer to 25(a) is "yes, are than one custodian, you be than one custodian, you be the custodian of custodian. J.P. MORGAN SECURITIES	respond to questions (b) through (f) nust complete questions (b) through tion: 3 Record(s) Filed. " respond to questions (b) through (must complete questions (b) through (must complete questions (b) through (must complete questions (b) through (c) through (s listed above) to hold some or all of its assets? below for each custodian the <i>private fund</i> uses. If (f) separately for each custodian. f) below for each custodian the <i>private fund</i> uses.	Yes f the private fund uses
City: State: Country: NEW YORK New York United States Yes No.	noes the nore Addi If t mo	the <i>private fund</i> use any cue answer to 25(a) is "yes," than one custodian, you make the answer to 25(a) is "yes, are than one custodian, you be than one custodian, you be the custodian of custodian. J.P. MORGAN SECURITIES Primary business name of	ustodians (including the prime broker respond to questions (b) through (f) nust complete questions (b) through (ion: 3 Record(s) Filed. " respond to questions (b) through (must complete questions (b) through (including the prime broker respond to questions (b) through (b) thr	s listed above) to hold some or all of its assets? below for each custodian the <i>private fund</i> uses. If (f) separately for each custodian. f) below for each custodian the <i>private fund</i> uses.	Yes f the private fund uses
NEW YORK New York United States Yes No	noes f the nore Addi If t mo (b)	the <i>private fund</i> use any cue answer to 25(a) is "yes," than one custodian, you make the answer to 25(a) is "yes, the answer to 25(a) is "yes, the than one custodian, you be than one custodian, you be the custodian of custodian. J.P. MORGAN SECURITIES Primary business name of J.P. MORGAN SECURITIES	respond to questions (b) through (f) nust complete questions (b) through instinction in the street of the street o	s listed above) to hold some or all of its assets? below for each custodian the <i>private fund</i> uses. If (f) separately for each custodian. f) below for each custodian the <i>private fund</i> uses. n (f) separately for each custodian.	Yes f the private fund uses If the private fund uses
Yes No	noes the nore Addi If t mo (b)	the <i>private fund</i> use any cue answer to 25(a) is "yes," than one custodian, you make the answer to 25(a) is "yes, are than one custodian, you be than one custodian, you be a larger than one custodian. J.P. MORGAN SECURITIES Primary business name of J.P. MORGAN SECURITIES The location of the custodian.	respond to questions (b) through (f) nust complete questions (b) through ition: 3 Record(s) Filed. " respond to questions (b) through (must complete questions (b) through (must complete questions (b) through (must complete questions (b) through (linc). INC. custodian: INC.	s listed above) to hold some or all of its assets? below for each custodian the <i>private fund</i> uses. If (f) separately for each custodian. f) below for each custodian the <i>private fund</i> uses. In (f) separately for each custodian.	Yes the private fund uses If the private fund uses
	noes the nore Addi If t mo (b)	the <i>private fund</i> use any cue answer to 25(a) is "yes," than one custodian, you make that one custodian Informational Custodian Information Informati	respond to questions (b) through (f) nust complete questions (b) through sion: 3 Record(s) Filed. " respond to questions (b) through (must complete questions	s listed above) to hold some or all of its assets? below for each custodian the <i>private fund</i> uses. If (f) separately for each custodian. f) below for each custodian the <i>private fund</i> uses. In (f) separately for each custodian.	Yes the private fund uses If the private fund uses
· · · · · · · · · · · · · · · · · · ·	oes the nore Addi If t mo (b)	the <i>private fund</i> use any cue answer to 25(a) is "yes," than one custodian, you make that one custodian Informational Custodian Information Informati	respond to questions (b) through (f) nust complete questions (b) through sion: 3 Record(s) Filed. " respond to questions (b) through (must complete questions	s listed above) to hold some or all of its assets? below for each custodian the <i>private fund</i> uses. If (f) separately for each custodian. f) below for each custodian the <i>private fund</i> uses. In (f) separately for each custodian.	Yes the private fund uses If the private fund uses

(f) If the custodian is a broker-dealer, provide its SEC registration number (if any)

	CRD Number (if any): 18718			
11			through (f) below for each custodian the <i>private fund</i> uses. (b) through (f) separately for each custodian.	If the <i>private fund</i> us
(b)	Legal name of custodian: MORGAN STANLEY & CO IN	TL PLC		
(c)	Primary business name of MORGAN STANLEY & CO IN			
(d)	The location of the custodia	an's office responsible for	custody of the private fund's assets (city, state and country)	:
	City: LONDON	State:	Country: United Kingdom	
	20.12 0.1		ogae	Yes
(e)	Is the custodian a related μ	person of your firm?		0
(f)	If the custodian is a broker	r-dealer, provide its SEC re	egistration number (if any)	
	- CRD Number (if any):			
(b)	Legal name of custodian: MORGAN STANLEY & CO. LL	LC		
(c)	Primary business name of MORGAN STANLEY & CO. LL			
(d)	The location of the custodia	an's office responsible for a	custody of the private fund's assets (city, state and country)	:
	City:	State:	Country:	
	NEW YORK	New York	United States	Ye
(e)	Is the custodian a related μ	person of your firm?		0
(f)	If the custodian is a broker 8 - 15869	r-dealer, provide its SEC re	egistration number (if any)	
	CRD Number (if any): 8209			
strator				Y
	the <i>private fund</i> use an adm	ninistrator other than your	firm?	
Does If the	the <i>private fund</i> use an adm	espond to questions (b) th	nrough (f) below. If the <i>private fund</i> uses more than one adn	Y ninistrator, you mus
Does If the compl	the <i>private fund</i> use an adm answer to 26(a) is "yes," r	espond to questions (b) th (f) separately for each adm	nrough (f) below. If the <i>private fund</i> uses more than one administrator.	

If the answer to 26(a) is "yes," respond to questions (b) through (f) below. If the private fund uses more than one administrator, you must

complete questions (b) through (f) separately for each administrator.

(b) Name of the administrator:

SS&C HEDGE FUND SERVICES, INC.

8 - 36950

	(c)	Location of admir	istrator (city, state and country)			
		City: BOSTON	State:	Country: United States		
		BOSTON	Massachusetts		∕es No	
	(d)	Is the administra	tor a related person of your firm?		0 0	
	(e)		·	r account statements to the <i>private fund</i> 's investors?		
		• Yes (provided	to all investors) OSome (provid	ed to some but not all investors) ONO (provided to no investors)		
	(f)			nds the investor account statements to the (rest of the) private fund's investors rest of the) private fund's investors, respond "not applicable."	s? If	
27.		our last fiscal year, ted person?	what percentage of the private	fund's assets (by value) was valued by a <i>person</i> , such as an administrator, that	t is not	
	relevant	quotes, and (ii) th	•	the valuation procedure established for that asset, if any, including obtaining investor subscriptions, redemptions or distributions, and fee calculations (including contents).	-	
<u>Maı</u>	rketers					
28	(a) Doos	the private fund u	so the services of semeene other	r than you or your employees for marketing purposes?	Yes N	
20.		•		acement agent, consultant, finder, introducer, municipal advisor or other solici	itor or	9
	simila	ar person. If the ar	swer to 28(a) is "yes", respond	to questions (b) through (g) below for each such marketer the <i>private fund</i> use applete questions (b) through (g) separately for each marketer.		
				No Information Filed		
						_
		NID.				=
4. PI	RIVATE FUI	ND				
nfo	rmation A	bout the <i>Private</i> I	<u>Fund</u>			
1.		e of the <i>private fun</i>				
		LE WORLD OFFSHO				
		<i>te fund</i> identificatio ude the "805-" pref				
		2197229418	,			
2.	Under the	e laws of what stat	e or country is the <i>private fund</i> c	organized:		
	State	2:		Country:		
				Bermuda		
3.	Name(s)	of General Partner	, Manager, Trustee, or Directors	(or persons serving in a similar capacity):		
	Name of	General Partner,	Manager, Trustee, or Director			
	JOHN C.	BOGLE JR., MARK (COOK, PAUL STEVENSON			
4	The prive	to final (about all t		at analy		
4.			hat apply; you must check at lea usion from the definition of inves	st one): the Investment Company Act of 1940		
				tment company under section 3(c)(7) of the Investment Company Act of 1940		
5.			in English, of each <i>foreign financi</i> Name of Foreign Financial Regi	al regulatory authority with which the private fund is registered.		
		- Bermuda Monet		actory Additionity		1
					Yes N) C
6.	(a) Is thi	s a "master fund"	in a master-feeder arrangement	?	0 0	
	(b) If yes	s, what is the nam	e and <i>private fund</i> identification r	number (if any) of the feeder funds investing in this private fund?		

No Information Filed

	(c) Is this a "feeder fund" in a master-feeder arrangement?	0	\odot
	(d) If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests? Name of the <i>Private Fund</i> :		
	Private Fund Identification Number: (include the "805-" prefix also)		
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section for the master-feeder arrangement or reporting on the funds separately.	7.B.([1).
7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for the feeder funds answer the following questions:	eac	h of
	No Information Filed		
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it is multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.		
		Yes	No
3.	(a) Is this <i>private fund</i> a "fund of funds"?	0	⊙
	(b) If yes, does the private fund invest in funds managed by you or by a related person?	0	0
	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, whether or not they are also <i>private funds</i> , or registered investment companies.		s No
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0	•
10.	What type of fund is the private fund?		
	• hedge fund O liquidity fund O private equity fund O real estate fund O securitized asset fund O venture capital fund O Other <i>private</i>	te fur	าd
	NOTE: For funds of funds, refer to the funds in which the <i>private fund</i> invests. For definitions of these fund types, please see Instruction 6 of Instructions to Part 1A.	f the	
11.	Current gross asset value of the <i>private fund</i> : \$ 639,560,756		
<u>Ow</u>	nership		
12.	Minimum investment commitment required of an investor in the <i>private fund</i> : \$ 1,000,000 NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in the	ž	
	organizational documents of the fund).		
13.	Approximate number of the <i>private fund</i> 's beneficial owners: 2		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 0%		
15.	What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 0%		
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 0%		

Your Advisory Services

Yes No

		0	⊙
	If the answer to question 17(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the answ question 17(a) is "no," leave this question blank.	er to	
	No Information Filed		
		Yes	s No
18. (a)	Do any other investment advisers advise the private fund?	0	⊙
	If the answer to question 18(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If the to question 18(a) is "no," leave this question blank.	ie answ	er
	No Information Filed		
		Yes	s No
9. Are	your <i>clients</i> solicited to invest in the <i>private fund</i> ?	•	0
20. App	proximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ?		
Private	Offering		
21. Doe	es the private fund rely on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	Yes ©	s No
22. If ye	es, provide the <i>private fund</i> 's Form D file number (if any):		
For	m D file number		
021	1-103655		
Auditors (a)		_	s No
	(1) Are the <i>private fund</i> 's financial statements subject to an annual audit?	•	0
	(2) Are the financial statements prepared in accordance with U.S. GAAP?	•	
	If the answer to 23(a)(1) is "yes," respond to questions (b) through (f) below. If the <i>private fund</i> uses more than one auditing firm, y complete questions (b) through (f) separately for each auditing firm.	ou mus	t
	Additional Auditor Information : 1 Record(s) Filed.		
	If the answer to 23(a)(1) is "yes," respond to questions (b) through (f) below. If the <i>private fund</i> uses more than one auditing firm, must complete questions (b) through (f) separately for each auditing firm.	you	
	(b) Name of the auditing firm: PRICEWATERHOUSECOOPERS		
	(c) The location of the auditing firm's office responsible for the <i>private fund</i> 's audit (city, state and country):		
	City: State: Country: BOSTON Massachusetts United States		
		Yes	No
	(d) Is the auditing firm an independent public accountant?	•	0
	(d) Is the auditing firm an <i>independent public accountant</i> ? (e) Is the auditing firm registered with the Public Company Accounting Oversight Board?	⊙	0
	(e) Is the auditing firm registered with the Public Company Accounting Oversight Board?(f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in	⊙	0
(g)	(e) Is the auditing firm registered with the Public Company Accounting Oversight Board?(f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in	⊙	0

Yes No

Prime Broker

Additional Prime Broker Info	ormation : 2 Record(s) Filed.		
Augusta in the Brokes in the			
		(e) below for each prime broker the <i>private fund</i> uses. If b) through (e) separately for each prime broker.	the <i>private fun</i>
(b) Name of the prime broke J.P. MORGAN SECURITIES			
(c) If the prime broker is real	gistered with the SEC, its registration	number:	
CRD Number (if any): 18718			
(d) Location of prime broker	's office used principally by the <i>private</i>	e fund (city, state and country):	
City:	State:	Country:	
NEW YORK	New York	United States	Yes
(e) Does this prime broker a	act as custodian for some or all of the	private fund's assets?	•
		(e) below for each prime broker the <i>private fund</i> uses. Ifb) through (e) separately for each prime broker.	the <i>private fun</i>
(b) Name of the prime broke MORGAN STANLEY & CO.			
(c) If the prime broker is reall 8 - 15869 CRD Number (if any):	gistered with the SEC, its registration	number:	
8209			
(d) Location of prime broker	's office used principally by the <i>private</i>	e fund (city, state and country):	
City:	State: New York	Country: United States	
	New York	United States	
NEW YORK			Yes
	act as custodian for some or all of the	private fund's assets?	Yes ©
	act as custodian for some or all of the	private fund's assets?	
	act as custodian for some or all of the	private fund's assets?	•
(e) Does this prime broker a			⊙
(e) Does this prime broker a an Does the <i>private fund</i> use any of the answer to 25(a) is "yes,"	custodians (including the prime broke	rs listed above) to hold some or all of its assets? below for each custodian the <i>private fund</i> uses. If the <i>p</i>	⊙ Ye
(e) Does this prime broker a an Does the <i>private fund</i> use any of the answer to 25(a) is "yes,"	custodians (including the prime broke " respond to questions (b) through (f) must complete questions (b) through	rs listed above) to hold some or all of its assets? below for each custodian the <i>private fund</i> uses. If the <i>p</i>	⊙ Ye
(e) Does this prime broker a an Does the private fund use any If the answer to 25(a) is "yes, more than one custodian, you Additional Custodian Inform If the answer to 25(a) is "yes	custodians (including the prime broke " respond to questions (b) through (f) must complete questions (b) through ation: 3 Record(s) Filed.	rs listed above) to hold some or all of its assets? below for each custodian the <i>private fund</i> uses. If the <i>p</i> (f) separately for each custodian. (f) below for each custodian the <i>private fund</i> uses. If the	Yourivate fund use:
(e) Does this prime broker a an Does the private fund use any If the answer to 25(a) is "yes, more than one custodian, you Additional Custodian Inform If the answer to 25(a) is "yes	custodians (including the prime broke " respond to questions (b) through (f) must complete questions (b) through ation: 3 Record(s) Filed. es," respond to questions (b) through ou must complete questions (b) through	rs listed above) to hold some or all of its assets? below for each custodian the <i>private fund</i> uses. If the <i>p</i> (f) separately for each custodian. (f) below for each custodian the <i>private fund</i> uses. If the	Ye orivate fund use:
(e) Does this prime broker a an Does the private fund use any of the answer to 25(a) is "yes, more than one custodian, you Additional Custodian Inform If the answer to 25(a) is "yes more than one custodian, you more than one custodian, you to be be a second of the custodian	custodians (including the prime broke "respond to questions (b) through (f) must complete questions (b) through ation: 3 Record(s) Filed. es," respond to questions (b) through ou must complete questions (b) through it. S INC.	rs listed above) to hold some or all of its assets? below for each custodian the <i>private fund</i> uses. If the <i>p</i> (f) separately for each custodian. (f) below for each custodian the <i>private fund</i> uses. If the	Y e orivate fund uses
an Does the <i>private fund</i> use any of the answer to 25(a) is "yes, more than one custodian, you Additional Custodian Inform If the answer to 25(a) is "yes more than one custodian, you (b) Legal name of custodian, you (b) Legal name of custodian, J.P. MORGAN SECURITIES (c) Primary business name of J.P. MORGAN SECURITIES	custodians (including the prime broke "respond to questions (b) through (f) must complete questions (b) through ation: 3 Record(s) Filed. es, "respond to questions (b) through ou must complete questions (b) through the second complete questions (c) through the second complete ques	rs listed above) to hold some or all of its assets? below for each custodian the <i>private fund</i> uses. If the <i>p</i> (f) separately for each custodian. (f) below for each custodian the <i>private fund</i> uses. If the	Ye Orivate fund uses

′ - N				
(e)	Is the custodian a related p	person of your firm?		Yes
)	If the custodian is a broker	-dealer, provide its SEC registr	ration number (if any)	
	8 - 36950	. 1	, ,	
	CRD Number (if any):			
	18718			
	_		ugh (f) below for each custodian the <i>private fund</i> uses. If the <i>pa</i> nrough (f) separately for each custodian.	rivate fund us
o)	Legal name of custodian:			
	MORGAN STANLEY & CO IN	TL PLC		
c)	Primary business name of omegan STANLEY & CO IN-			
d)			ody of the private fund's assets (city, state and country):	
	City: LONDON	State:	Country: United Kingdom	
	LONDON		omted Kingdom	Yes
∋)	Is the custodian a related p	person of your firm?		0
f)	If the custodian is a broker	-dealer, provide its SEC registr	ration number (if any)	
	-			
	- CRD Number (if any):			
	ne answer to 25(a) is "yes,"		ugh (f) below for each custodian the <i>private fund</i> uses. If the <i>p</i> anders of the panders of the	rivate fund us
nor	ne answer to 25(a) is "yes,"	must complete questions (b) th		rivate fund us
nor b)	ne answer to 25(a) is "yes," e than one custodian, you r Legal name of custodian:	must complete questions (b) the complete questions (c) the complete questions (c) the complete questions (c) the complete questions (d) the complete questio		rivate fund us
mor b)	ne answer to 25(a) is "yes," re than one custodian, you r Legal name of custodian: MORGAN STANLEY & CO. LL Primary business name of of MORGAN STANLEY & CO. LL The location of the custodia	must complete questions (b) the custodian: an's office responsible for <i>custo</i>	prough (f) separately for each custodian. Sody of the private fund's assets (city, state and country):	rivate fund us
nor b) c)	ne answer to 25(a) is "yes," e than one custodian, you re than one custodian, you re Legal name of custodian: MORGAN STANLEY & CO. LL Primary business name of common MORGAN STANLEY & CO. LL The location of the custodian City:	must complete questions (b) the custodian: an's office responsible for custom State:	prough (f) separately for each custodian. Sody of the <i>private fund</i> 's assets (city, state and country): Country:	rivate fund us
nor b)	ne answer to 25(a) is "yes," re than one custodian, you r Legal name of custodian: MORGAN STANLEY & CO. LL Primary business name of of MORGAN STANLEY & CO. LL The location of the custodia	must complete questions (b) the custodian: an's office responsible for <i>custo</i>	prough (f) separately for each custodian. Sody of the private fund's assets (city, state and country):	
nor b) d)	ne answer to 25(a) is "yes," e than one custodian, you re than one custodian, you re Legal name of custodian: MORGAN STANLEY & CO. LL Primary business name of common MORGAN STANLEY & CO. LL The location of the custodian City:	must complete questions (b) the custodian: an's office responsible for custom State: New York	prough (f) separately for each custodian. Sody of the <i>private fund</i> 's assets (city, state and country): Country:	rivate fund use Yes
nor b) d)	ne answer to 25(a) is "yes," re than one custodian, you re than one custodian, you re Legal name of custodian: MORGAN STANLEY & CO. LL Primary business name of comorgan STANLEY & CO. LL The location of the custodian City: NEW YORK Is the custodian a related possible of the custodian is a broker.	must complete questions (b) the custodian: an's office responsible for custom State: New York	prough (f) separately for each custodian. Sody of the private fund's assets (city, state and country): Country: United States	Yes
(b) (c) (d)	ne answer to 25(a) is "yes," re than one custodian, you re than one custodian, you re Legal name of custodian: MORGAN STANLEY & CO. LL Primary business name of common MORGAN STANLEY & CO. LL The location of the custodian City: NEW YORK Is the custodian a related point of the custodian a related point of the custodian a related point of the custodian is a broker 8 - 15869	must complete questions (b) the custodian: Complete questions (b) the custom of your firm?	prough (f) separately for each custodian. Sody of the private fund's assets (city, state and country): Country: United States	Yes
(b) (c) (d)	ne answer to 25(a) is "yes," re than one custodian, you re than one custodian, you re Legal name of custodian: MORGAN STANLEY & CO. LL Primary business name of comorgan STANLEY & CO. LL The location of the custodian City: NEW YORK Is the custodian a related possible of the custodian is a broker.	must complete questions (b) the custodian: Complete questions (b) the custom of your firm?	prough (f) separately for each custodian. Sody of the private fund's assets (city, state and country): Country: United States	Yes

Administrator

Yes No

26. (a) Does the *private fund* use an administrator other than your firm?

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If the answer to 26(a) is "yes," respond to questions (b) through (f) below. If the *private fund* uses more than one administrator, you must complete questions (b) through (f) separately for each administrator.

	omplete questions (b) through (f) separ		ow. If the <i>private fund</i> uses more than one administrator, you r	must	
	(b) Name of the administrator:				
	SS&C FUND SERVICES (BERMUDA), LT	D.			
	(c) Location of administrator (city, state	and country):			
	City:	State:	Country:		
	HAMILTON		Bermuda		
			Yes	No	
	(d) Is the administrator a related person	of your firm?	o	•	
	(e) Does the administrator prepare and • Yes (provided to all investors) • S		nts to the <i>private fund</i> 's investors? all investors) No (provided to no investors)		
			count statements to the (rest of the) private fund's investors? e fund's investors, respond "not applicable."	lf	
allo <u>Market</u>	ers Does the <i>private fund</i> use the services of so You must answer "yes" whether the persor similar person. If the answer to 28(a) is "yes"	omeone other than you or your n acts as a placement agent, co es", respond to questions (b) the		Yes M	Jo ⊙
		No Informat	ion Filed		
SECTION	7.B.(2) Private Fund Reporting				
		No Information	Filed		
Itom O Do	which at land and a land and the second in t				
	rticipation or Interest in Client Transaction m. we request information about your partic		nts' transactions. This information identifies additional areas in	which	
	f interest may occur between you and your		ms transactions. This information identifies additional aleas in	WITICI	
Like Item	7, Item 8 requires you to provide informatio	n about you and your related pe	ersons, including foreign affiliates.		
Proprieta	ry Interest in <i>Client</i> Transactions				
•	ou or any <i>related person</i> :			Yes	No
	ouy securities for yourself from advisory clier	•		0	•
	ouy or sell for yourself securities (other than	•	·	0	•
	recommend securities (or other investment p (ownership) interest (other than those menti	•	which you or any <i>related person</i> has some other proprietary	•	0
Sales Into	erest in <i>Client</i> Transactions				
•	ou or any <i>related person</i> :			Yes	No
	as a broker-dealer or registered representat <i>client</i> securities are sold to or bought from th		securities trades for brokerage customers in which advisory cross transactions)?	0	•
	recommend purchase of securities to advisor partner, or purchaser representative?	ry <i>clients</i> for which you or any <i>r</i>	elated person serves as underwriter, general or managing	•	0

(3) recommend purchase or sale of securities to advisory *clients* for which you or any *related person* has any other sales interest (other than

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	the receipt of sales commissions as a broker of registered representative of a broker-dealer)?		
In	evestment or Brokerage Discretion		
C.	Do you or any related person have discretionary authority to determine the:	Yes	No
	(1) securities to be bought or sold for a <i>client's</i> account?	•	С
	(2) amount of securities to be bought or sold for a <i>client's</i> account?	•	С
	(3) broker or dealer to be used for a purchase or sale of securities for a client's account?	\odot	С
	(4) commission rates to be paid to a broker or dealer for a <i>client's</i> securities transactions?	•	С
D.	If you answer "yes" to C.(3) above, are any of the brokers or dealers related persons?	0	œ
E.	Do you or any related person recommend brokers or dealers to clients?	0	œ
F.	If you answer "yes" to E above, are any of the brokers or dealers related persons?	_	0
G.			C
	("soft dollar benefits") in connection with <i>client</i> securities transactions?	•	
	(2) If "yes" to G.(1) above, are all the "soft dollar benefits" you or any related persons receive eligible "research or brokerage services" under section 28(e) of the Securities Exchange Act of 1934?	•	С
Н.	Do you or any related person, directly or indirectly, compensate any person for client referrals?	0	•
1.	Do you or any related person, directly or indirectly, receive compensation from any person for client referrals?	0	•
	In responding to Items 8.H and 8.I., consider all cash and non-cash compensation that you or a related person gave to (in answering Item 8.H) or refermals, including any bonus that is based, at least in part, on the number or amount referrals.		
	em 9 Custody		
	this Item, we ask you whether you or a related person has custody of client (other than clients that are investment companies registered under twestment Company Act of 1940) assets and about your custodial practices.	ne	
A.	(1) Do you have custody of any advisory clients':	Yes	No
	(a) cash or bank accounts?	0	•
	(b) securities?	0	•
	If you are registering or registered with the SEC, answer "No" to Item 9.A.(1)(a) and (b) if you have custody solely because (i) you deduct your advi:	ory for	
	directly from your clients' accounts, or (ii) a related person has custody of client assets in connection with advisory services you provide to clients, be overcome the presumption that you are not operationally independent (pursuant to Advisers Act rule 206(4)-(2)(d)(5)) from the related person.	_	
	(2) If you checked "yes" to Item 9.A.(1)(a) or (b), what is the approximate amount of <i>client</i> funds and securities and total number of <i>clients</i> for you have <i>custody</i> :	or whicl	า
	U.S. Dollar Amount Total Number of <i>Clients</i>		
	(a) \$ 0		
	If you are registering or registered with the SEC and you have custody solely because you deduct your advisory fees directly from your clients' account include the amount of those assets and the number of those clients in your response to Item 9.A.(2). If your related person has custody of client as connection with advisory services you provide to clients, do not include the amount of those assets and number of those clients in your response to Instead, include that information in your response to Item 9.B.(2).	sets in	,
B.	(1) In connection with advisory services you provide to clients, do any of your related persons have custody of any of your advisory clients':	Yes	. No
	(a) cash or bank accounts?	0	•
	(b) securities?	0	•
	You are required to answer this item regardless of how you answered Item 9.A.(1)(a) or (b).		
	(2) If you checked "yes" to Item 9.B.(1)(a) or (b), what is the approximate amount of <i>client</i> funds and securities and total number of <i>clients</i> for your <i>related persons</i> have <i>custody</i> :	or whicl	า
	U.S. Dollar Amount Total Number of <i>Clients</i>		
	(a) \$ (b)		
C.	If you or your related persons have custody of client funds or securities in connection with advisory services you provide to clients, check all the that apply:	follow	ing

	(1) A qualified custodian(s) sends account statements at least quarterly to the investors in the pooled investment vehicle(s) you manage.	
	(2) An <i>independent public accountant</i> audits annually the pooled investment vehicle(s) that you manage and the audited financial statements are distributed to the investors in the pools.	
	(3) An independent public accountant conducts an annual surprise examination of client funds and securities.	
	(4) An <i>independent public accountant</i> prepares an internal control report with respect to custodial services when you or your <i>related persons</i> are qualified custodians for <i>client</i> funds and securities.	
	If you checked Item 9.C.(2), C.(3) or C.(4), list in Section 9.C. of Schedule D the accountants that are engaged to perform the audit or examination of an internal control report. (If you checked Item 9.C.(2), you do not have to list auditor information in Section 9.C. of Schedule D if you already provide information with respect to the private funds you advise in Section 7.B.(1) of Schedule D).	
D.		Yes No
	(1) you act as a qualified custodian	0 0
	(2) your related person(s) act as qualified custodian(s)	0 0
	If you checked "yes" to Item 9.D.(2), all related persons that act as qualified custodians (other than any mutual fund transfer agent pursuant to rule 206(4)-2(b)(1)) must be identified in Section 7.A. of Schedule D, regardless of whether you have determined the related person to be operationally in under rule 206(4)-2 of the Advisers Act.	
E.	If you are filing your annual updating amendment and you were subject to a surprise examination by an independent public accountant during your fiscal year, provide the date (MM/YYYY) the examination commenced:	our last
F.	If you or your <i>related persons</i> have <i>custody</i> of <i>client</i> funds or securities, how many persons, including, but not limited to, you and your <i>related p</i> as qualified custodians for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ? 3	ersons, act
SEC	CTION 9.C. Independent Public Accountant	
	No Information Filed	
Iter	m 10 Control Persons	
	m 10 Control Persons this Item, we ask you to identify every <i>person</i> that, directly or indirectly, <i>controls</i> you.	
In t		reported
In t	this Item, we ask you to identify every <i>person</i> that, directly or indirectly, <i>controls</i> you. you are submitting an initial application or report, you must complete Schedule A and Schedule B. Schedule A asks for information about your direct owners. If this is an amendment and you are updating information you recommendation.	
In t	this Item, we ask you to identify every <i>person</i> that, directly or indirectly, <i>controls</i> you. you are submitting an initial application or report, you must complete Schedule A and Schedule B. Schedule A asks for information about your direct owners. If this is an amendment and you are updating information your either Schedule A or Schedule B (or both) that you filed with your initial application or report, you must complete Schedule C.	Yes No
In t	this Item, we ask you to identify every <i>person</i> that, directly or indirectly, <i>controls</i> you. you are submitting an initial application or report, you must complete Schedule A and Schedule B. Schedule A asks for information about your direct owners. If this is an amendment and you are updating information you reither Schedule A or Schedule B (or both) that you filed with your initial application or report, you must complete Schedule C. Does any <i>person</i> not named in Item 1.A. or Schedules A, B, or C, directly or indirectly, <i>control</i> your management or policies? If yes, complete Section 10.A. of Schedule D.	Yes No
In the If y and on A.	this Item, we ask you to identify every <i>person</i> that, directly or indirectly, <i>controls</i> you. you are submitting an initial application or report, you must complete Schedule A and Schedule B. Schedule A asks for information about your directly of executive officers. Schedule B asks for information about your indirect owners. If this is an amendment and you are updating information you reither Schedule A or Schedule B (or both) that you filed with your initial application or report, you must complete Schedule C. Does any <i>person</i> not named in Item 1.A. or Schedules A, B, or C, directly or indirectly, <i>control</i> your management or policies? If yes, complete Section 10.A. of Schedule D. If any <i>person</i> named in Schedules A, B, or C or in Section 10.A. of Schedule D is a public reporting company under Sections 12 or 15(d) of the Schedule D.	Yes No
In the If y and on A.	this Item, we ask you to identify every <i>person</i> that, directly or indirectly, <i>controls</i> you. you are submitting an initial application or report, you must complete Schedule A and Schedule B. Schedule A asks for information about your direct dexecutive officers. Schedule B asks for information about your indirect owners. If this is an amendment and you are updating information you reither Schedule A or Schedule B (or both) that you filed with your initial application or report, you must complete Schedule C. Does any <i>person</i> not named in Item 1.A. or Schedules A, B, or C, directly or indirectly, <i>control</i> your management or policies? If yes, complete Section 10.A. of Schedule D. If any <i>person</i> named in Schedules A, B, or C or in Section 10.A. of Schedule D is a public reporting company under Sections 12 or 15(d) of the Schedule A of 1934, please complete Section 10.B. of Schedule D.	Yes No
In the If y and on A.	this Item, we ask you to identify every <i>person</i> that, directly or indirectly, <i>controls</i> you. you are submitting an initial application or report, you must complete Schedule A and Schedule B. Schedule A asks for information about your direct describing an initial application or report, you must complete Schedule B. Schedule B asks for information about your indirect owners. If this is an amendment and you are updating information you reither Schedule A or Schedule B (or both) that you filed with your initial application or report, you must complete Schedule C. Does any <i>person</i> not named in Item 1.A. or Schedules A, B, or C, directly or indirectly, <i>control</i> your management or policies? If yes, complete Section 10.A. of Schedule D. If any <i>person</i> named in Schedules A, B, or C or in Section 10.A. of Schedule D is a public reporting company under Sections 12 or 15(d) of the Schedule A (1934), please complete Section 10.B. of Schedule D.	Yes No
In the If you and on A.	this Item, we ask you to identify every <i>person</i> that, directly or indirectly, <i>controls</i> you. you are submitting an initial application or report, you must complete Schedule A and Schedule B. Schedule A asks for information about your direct describing an initial application or report, you must complete Schedule B. Schedule B asks for information about your indirect owners. If this is an amendment and you are updating information you reither Schedule A or Schedule B (or both) that you filed with your initial application or report, you must complete Schedule C. Does any <i>person</i> not named in Item 1.A. or Schedules A, B, or C, directly or indirectly, <i>control</i> your management or policies? If yes, complete Section 10.A. of Schedule D. If any <i>person</i> named in Schedules A, B, or C or in Section 10.A. of Schedule D is a public reporting company under Sections 12 or 15(d) of the Schedule A (1934), please complete Section 10.B. of Schedule D.	Yes No
In the If you and on A.	this Item, we ask you to identify every <i>person</i> that, directly or indirectly, <i>controls</i> you. you are submitting an initial application or report, you must complete Schedule A and Schedule B. Schedule A asks for information about your direct owners. If this is an amendment and you are updating information you reither Schedule A or Schedule B (or both) that you filed with your initial application or report, you must complete Schedule C. Does any <i>person</i> not named in Item 1.A. or Schedules A, B, or C, directly or indirectly, <i>control</i> your management or policies? If yes, complete Section 10.A. of Schedule D. If any <i>person</i> named in Schedules A, B, or C or in Section 10.A. of Schedule D is a public reporting company under Sections 12 or 15(d) of the Schedule A of 1934, please complete Section 10.B. of Schedule D. No Information Filed	Yes No
In the If you and on A. B.	this Item, we ask you to identify every <i>person</i> that, directly or indirectly, <i>controls</i> you. you are submitting an initial application or report, you must complete Schedule A and Schedule B. Schedule A asks for information about your dired executive officers. Schedule B asks for information about your indirect owners. If this is an amendment and you are updating information you reither Schedule A or Schedule B (or both) that you filed with your initial application or report, you must complete Schedule C. Does any <i>person</i> not named in Item 1.A. or Schedules A, B, or C, directly or indirectly, <i>control</i> your management or policies? If yes, complete Section 10.A. of Schedule D. If any <i>person</i> named in Schedules A, B, or C or in Section 10.A. of Schedule D is a public reporting company under Sections 12 or 15(d) of the Schedule A of 1934, please complete Section 10.B. of Schedule D. ETION 10.A. Control Persons No Information Filed No Information Filed	Yes No
In the If y and on A. B. SECO	this Item, we ask you to identify every <i>person</i> that, directly or indirectly, <i>controls</i> you. you are submitting an initial application or report, you must complete Schedule A and Schedule B. Schedule A asks for information about your direct owners. If this is an amendment and you are updating information you reither Schedule B (or both) that you filed with your initial application or report, you must complete Schedule C. Does any <i>person</i> not named in Item 1.A. or Schedules A, B, or C, directly or indirectly, <i>control</i> your management or policies? If yes, complete Section 10.A. of Schedule D. If any <i>person</i> named in Schedules A, B, or C or in Section 10.A. of Schedule D is a public reporting company under Sections 12 or 15(d) of the Schedule A (1934, please complete Section 10.B. of Schedule D. CTION 10.A. Control Persons No Information Filed No Information Filed No Information Filed	Yes No C ©
In the If you and on A. B. SECO Item in the detain of the investment of the inves	this Item, we ask you to identify every <i>person</i> that, directly or indirectly, <i>controls</i> you. you are submitting an initial application or report, you must complete Schedule A and Schedule B. Schedule A asks for information about your dired executive officers. Schedule B asks for information about your indirect owners. If this is an amendment and you are updating information you reither Schedule A or Schedule B (or both) that you filed with your initial application or report, you must complete Schedule C. Does any <i>person</i> not named in Item 1.A. or Schedules A, B, or C, directly or indirectly, <i>control</i> your management or policies? If yes, complete Section 10.A. of Schedule D. If any <i>person</i> named in Schedules A, B, or C or in Section 10.A. of Schedule D is a public reporting company under Sections 12 or 15(d) of the Schedule A of 1934, please complete Section 10.B. of Schedule D. ETION 10.A. Control Persons No Information Filed No Information Filed	Yes No O • ecurities to as an

of your officers, partners, or directors (or any person performing similar functions); and (3) all persons directly or indirectly controlling you or controlled by you.

If you are a "separately identifiable department or division" (SID) of a bank, see the Glossary of Terms to determine who your advisory affiliates are. If you are registered or registering with the SEC or if you are an exempt reporting adviser, you may limit your disclosure of any event listed in Item 11 to ten years following the date of the event. If you are registered or registering with a state, you must respond to the questions as posed; you may, therefore, limit your disclosure to ten years following the date of an event only in responding to Items 11.A.(1), 11.A.(2), 11.B.(1), 11.B.(2), 11.D.(4), and 11.H.(1)(a). For purposes of calculating this ten-year period, the date of an event is the date the final order, judgment, or decree was entered, or the date any rights of appeal from preliminary orders, judgments, or decrees lapsed. You must complete the appropriate Disclosure Reporting Page ("DRP") for "yes" answers to the questions in this Item 11. Yes No Do any of the events below involve you or any of your supervised persons? 0 \odot For "yes" answers to the following questions, complete a Criminal Action DRP: A. In the past ten years, have you or any advisory affiliate: Yes No (1) been convicted of or pled guilty or nolo contendere ("no contest") in a domestic, foreign, or military court to any felony? \circ **(** (2) been charged with any felony? 0 \odot If you are registered or registering with the SEC, or if you are reporting as an exempt reporting adviser, you may limit your response to Item 11.A.(2) to charges that are currently pending. In the past ten years, have you or any advisory affiliate: (1) been convicted of or pled guilty or nolo contendere ("no contest") in a domestic, foreign, or military court to a misdemeanor involving: investments or an investment-related business, or any fraud, false statements, or omissions, wrongful taking of property, bribery, perjury, forgery, counterfeiting, extortion, or a conspiracy to commit any of these offenses? (2) been charged with a misdemeanor listed in Item 11.B.(1)? If you are registered or registering with the SEC, or if you are reporting as an exempt reporting adviser, you may limit your response to Item 11.B.(2) to charges that are currently pending. For "yes" answers to the following questions, complete a Regulatory Action DRP: Has the SEC or the Commodity Futures Trading Commission (CFTC) ever: Yes No (1) found you or any advisory affiliate to have made a false statement or omission? \odot (2) found you or any advisory affiliate to have been involved in a violation of SEC or CFTC regulations or statutes? \circ **©** (3) found you or any advisory affiliate to have been a cause of an investment-related business having its authorization to do business denied, suspended, revoked, or restricted? (4) entered an order against you or any advisory affiliate in connection with investment-related activity? \circ **©** (5) imposed a civil money penalty on you or any advisory affiliate, or ordered you or any advisory affiliate to cease and desist from any activity? 0 **(** Has any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority: (1) ever found you or any advisory affiliate to have made a false statement or omission, or been dishonest, unfair, or unethical? (2) ever found you or any advisory affiliate to have been involved in a violation of investment-related regulations or statutes? **(** 0 (3) ever found you or any advisory affiliate to have been a cause of an investment-related business having its authorization to do business denied, suspended, revoked, or restricted? (4) in the past ten years, entered an order against you or any advisory affiliate in connection with an investment-related activity? 0 (5) ever denied, suspended, or revoked your or any advisory affiliate's registration or license, or otherwise prevented you or any advisory affiliate, by order, from associating with an investment-related business or restricted your or any advisory affiliate's activity? Has any *self-regulatory organization* or commodities exchange ever: (1) found you or any advisory affiliate to have made a false statement or omission? \odot (2) found you or any advisory affiliate to have been involved in a violation of its rules (other than a violation designated as a "minor rule violation" under a plan approved by the SEC)? (3) found you or any advisory affiliate to have been the cause of an investment-related business having its authorization to do business denied, **©** suspended, revoked, or restricted? (4) disciplined you or any advisory affiliate by expelling or suspending you or the advisory affiliate from membership, barring or suspending you \circ or the advisory affiliate from association with other members, or otherwise restricting your or the advisory affiliate's activities? Has an authorization to act as an attorney, accountant, or federal contractor granted to you or any advisory affiliate ever been revoked or Are you or any advisory affiliate now the subject of any regulatory proceeding that could result in a "yes" answer to any part of Item 11.C., \circ 11.D., or 11.E.? For "yes" answers to the following questions, complete a Civil Judicial Action DRP: H. (1) Has any domestic or foreign court: Yes No

	(a) in the past ten years, enjoined you or any advisory affiliate in connection with any investment-related activity?	\circ	⊙
	(b) ever found that you or any advisory affiliate were involved in a violation of investment-related statutes or regulations?	0	•
	(c) ever dismissed, pursuant to a settlement agreement, an <i>investment-related</i> civil action brought against you or any <i>advisory affiliate</i> by a state or <i>foreign financial regulatory authority</i> ?	0	•
	(2) Are you or any advisory affiliate now the subject of any civil proceeding that could result in a "yes" answer to any part of Item 11.H.(1)?	0	•
	n 12 Small Businesses		
	SEC is required by the Regulatory Flexibility Act to consider the effect of its regulations on small entities. In order to do this, we need to determing ther you meet the definition of "small business" or "small organization" under rule 0-7.	ne	
unde	wer this Item 12 only if you are registered or registering with the SEC and you indicated in response to Item 5.F.(2)(c) that you have regulatory are management of less than \$25 million. You are not required to answer this Item 12 if you are filing for initial registration as a state adviser, ameent state registration, or switching from SEC to state registration.		
or _l	purposes of this Item 12 only:		
	 Total Assets refers to the total assets of a firm, rather than the assets managed on behalf of <i>clients</i>. In determining your or another <i>person's</i> to assets, you may use the total assets shown on a current balance sheet (but use total assets reported on a consolidated balance sheet with subsidiaries included, if that amount is larger). Control means the power to direct or cause the direction of the management or policies of a <i>person</i>, whether through ownership of securities, it 		
	contract, or otherwise. Any <i>person</i> that directly or indirectly has the right to vote 25 percent or more of the voting securities, or is entitled to 25 or more of the profits, of another <i>person</i> is presumed to <i>control</i> the other <i>person</i> .	5 per	
Α	contract, or otherwise. Any <i>person</i> that directly or indirectly has the right to vote 25 percent or more of the voting securities, or is entitled to 25 or more of the profits, of another <i>person</i> is presumed to <i>control</i> the other <i>person</i> .	Yes	No
	contract, or otherwise. Any person that directly or indirectly has the right to vote 25 percent or more of the voting securities, or is entitled to 25	Yes	
If "y	contract, or otherwise. Any <i>person</i> that directly or indirectly has the right to vote 25 percent or more of the voting securities, or is entitled to 25 or more of the profits, of another <i>person</i> is presumed to <i>control</i> the other <i>person</i> . Did you have total assets of \$5 million or more on the last day of your most recent fiscal year?	Yes	No
If "y	contract, or otherwise. Any <i>person</i> that directly or indirectly has the right to vote 25 percent or more of the voting securities, or is entitled to 25 or more of the profits, of another <i>person</i> is presumed to <i>control</i> the other <i>person</i> . Did you have total assets of \$5 million or more on the last day of your most recent fiscal year? Ves," you do not need to answer Items 12.B. and 12.C.	Yes	No
If "y	contract, or otherwise. Any <i>person</i> that directly or indirectly has the right to vote 25 percent or more of the voting securities, or is entitled to 25 or more of the profits, of another <i>person</i> is presumed to <i>control</i> the other <i>person</i> . Did you have total assets of \$5 million or more on the last day of your most recent fiscal year? ves," you do not need to answer Items 12.B. and 12.C. Do you: (1) <i>control</i> another investment adviser that had regulatory assets under management (calculated in response to Item 5.F.(2)(c) of Form ADV)	Yes O	No O
If "y B.	contract, or otherwise. Any <i>person</i> that directly or indirectly has the right to vote 25 percent or more of the voting securities, or is entitled to 25 or more of the profits, of another <i>person</i> is presumed to <i>control</i> the other <i>person</i> . Did you have total assets of \$5 million or more on the last day of your most recent fiscal year? ves," you do not need to answer Items 12.B. and 12.C. Do you: (1) <i>control</i> another investment adviser that had regulatory assets under management (calculated in response to Item 5.F.(2)(c) of Form ADV) of \$25 million or more on the last day of its most recent fiscal year? (2) <i>control</i> another <i>person</i> (other than a natural person) that had total assets of \$5 million or more on the last day of its most recent fiscal	Yes O	No O
If "y B.	contract, or otherwise. Any <i>person</i> that directly or indirectly has the right to vote 25 percent or more of the voting securities, or is entitled to 25 or more of the profits, of another <i>person</i> is presumed to <i>control</i> the other <i>person</i> . Did you have total assets of \$5 million or more on the last day of your most recent fiscal year? All you do not need to answer Items 12.B. and 12.C. Do you: (1) control another investment adviser that had regulatory assets under management (calculated in response to Item 5.F.(2)(c) of Form ADV) of \$25 million or more on the last day of its most recent fiscal year? (2) control another person (other than a natural person) that had total assets of \$5 million or more on the last day of its most recent fiscal year?	Yes O	No O

Schedule A

Direct Owners and Executive Officers

- 1. Complete Schedule A only if you are submitting an initial application or report. Schedule A asks for information about your direct owners and executive officers. Use Schedule C to amend this information.
- 2. Direct Owners and Executive Officers. List below the names of:
 - (a) each Chief Executive Officer, Chief Financial Officer, Chief Operations Officer, Chief Legal Officer, Chief Compliance Officer (Chief Compliance Officer is required if you are registered or applying for registration and cannot be more than one individual), director, and any other individuals with similar status or functions;
 - (b) if you are organized as a corporation, each shareholder that is a direct owner of 5% or more of a class of your voting securities, unless you are a public reporting company (a company subject to Section 12 or 15(d) of the Exchange Act);

 Direct owners include any *person* that owns, beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 5% or more of a class of your voting securities. For purposes of this Schedule, a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.
 - (c) if you are organized as a partnership, <u>all</u> general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 5% or more of your capital;
 - (d) in the case of a trust that directly owns 5% or more of a class of your voting securities, or that has the right to receive upon dissolution, or has contributed, 5% or more of your capital, the trust and each trustee; and
 - (e) if you are organized as a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 5% or more of your capital, and (ii) if managed by elected managers, all elected managers.
- 3. Do you have any indirect owners to be reported on Schedule B? ${}_{\bigodot}$ Yes ${}_{\bigodot}$ No
- 4. In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner or executive officer is an individual.
- 5. Complete the Title or Status column by entering board/management titles; status as partner, trustee, sole proprietor, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- 6. Ownership codes are: NA less than 5% B 10% but less than 25% D 50% but less than 75%
- A 5% but less than 10% C 25% but less than 50% E 75% or more

 7. (a) In the *Control Person* column, enter "Yes" if the *person* has *control* as defined in the Glossary of Terms to Form ADV, and enter "No" if the *person* does

- not have *control*. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are *control persons*.
- (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
- (c) Complete each column.

FULL LEGAL NAME (Individuals: Last Name, First Name, Middle Name)	DE/FE/I	Status	Date Status Acquired MM/YYYY	•	Control Person	PR	CRD No. If None: S.S. No. and Date of Birth, IRS Tax No. or Employer ID No.
HARTT, KEITH, DAVID	I	LIMITED PARTNER	05/1999	В	Υ	N	4373231
BOGLE JR., JOHN, CLIFTON	I	LIMITED PARTNER	05/1999	С	Υ	N	2662428
BARDINELLI, BRITT, STEPHANIE	I	PARTNER AND	09/1999	A	Y	N	2839637
BOGLE INVESTMENT GROUP LLC	DE	GENERAL PARTNER	05/1999	NA	Υ	N	04-3479131
SABBEY, CHRISTOPHER, N.	I	LIMITED PARTNER	06/2001	В	N	N	5648650
LEWIS, JONATHON, D.	I	LIMITED PARTNER	08/2002	В	N	N	5648652
HUMMEL, PAUL, R.	I	LIMITED PARTNER	04/2004	В	N	N	5648654

Schedule B

Indirect Owners

- 1. Complete Schedule B only if you are submitting an initial application. Schedule B asks for information about your indirect owners; you must first complete Schedule A, which asks for information about your direct owners. Use Schedule C to amend this information.
- 2. Indirect Owners. With respect to each owner listed on Schedule A (except individual owners), list below:
 - (a) in the case of an owner that is a corporation, each of its shareholders that beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 25% or more of a class of a voting security of that corporation;
 - For purposes of this Schedule, a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.
 - (b) in the case of an owner that is a partnership, <u>all</u> general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 25% or more of the partnership's capital;
 - (c) in the case of an owner that is a trust, the trust and each trustee; and
 - (d) in the case of an owner that is a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 25% or more of the LLC's capital, and (ii) if managed by elected managers, all elected managers.
- 3. Continue up the chain of ownership listing all 25% owners at each level. Once a public reporting company (a company subject to Sections 12 or 15(d) of the Exchange Act) is reached, no further ownership information need be given.
- 4. In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner is an individual.
- 5. Complete the Status column by entering the owner's status as partner, trustee, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- 6. Ownership codes are: C 25% but less than 50% E 75% or more
 - D 50% but less than 75% F Other (general partner, trustee, or elected manager)
- 7. (a) In the *Control Person* column, enter "Yes" if the *person* has *control* as defined in the Glossary of Terms to Form ADV, and enter "No" if the *person* does not have *control*. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are *control persons*.
 - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
 - (c) Complete each column.

(o) comprete eden cordinin								
FULL LEGAL NAME (Individuals:	DE/FE/I	Entity in Which	Status	Date Status	Ownership	Control	PR	CRD No. If None: S.S. No. and
Last Name, First Name, Middle		Interest is		Acquired	Code	Person		Date of Birth, IRS Tax No. or
Name)		Owned		MM/YYYY				Employer ID No.
BOGLE INVESTMENT GROUP LLC	DE	LLC	GENERAL PARTNER	05/1999	F	Υ	N	04-3479131
BOGLE JR., JOHN, CLIFTON	I	NA	SOLE OWNER OF	05/1999	E	Υ	N	2662428
			BOGLE INVESTMENT					
			GROUP LLC					

Schedule D - Miscellaneous

You may use the space below to explain a response to an Item or to provide any other information.

CRIMINAL DISCLOSURE REPORTING PAGE (ADV)
No Information Filed
REGULATORY ACTION DISCLOSURE REPORTING PAGE (ADV)
No Information Filed
CIVIL JUDICIAL ACTION DISCLOSURE REPORTING PAGE (ADV)
No Information Filed

Part 2

Exemption from brochure delivery requirements for SEC-registered advisers

SEC rules exempt SEC-registered advisers from delivering a firm brochure to some kinds of clients. If these exemptions excuse you from delivering a brochure to *all* of your advisory clients, you do not have to prepare a brochure.

Yes No

Are you exempt from delivering a brochure to all of your clients under these rules?

If no, complete the ADV Part 2 filing below.

Amend, retire or file new brochures:

Brochure ID	Brochure Name	Brochure Type(s)
95791	FORM ADV PART 2	Individuals, High net worth individuals, Pension
		plans/profit sharing plans, Pension consulting,
		Foundations/charities, Government/municipal, Other
		institutional, Private funds or pools

Execution Pages

DOMESTIC INVESTMENT ADVISER EXECUTION PAGE

You must complete the following Execution Page to Form ADV. This execution page must be signed and attached to your initial submission of Form ADV to the SEC and all amendments.

Appointment of Agent for Service of Process

By signing this Form ADV Execution Page, you, the undersigned adviser, irrevocably appoint the Secretary of State or other legally designated officer, of the state in which you maintain your *principal office and place of business* and any other state in which you are submitting a *notice filing*, as your agents to receive service, and agree that such *persons* may accept service on your behalf, of any notice, subpoena, summons, *order* instituting *proceedings*, demand for arbitration, or other process or papers, and you further agree that such service may be made by registered or certified mail, in any federal or state action, administrative *proceeding* or arbitration brought against you in any place subject to the jurisdiction of the United States, if the action, *proceeding*, or arbitration (a) arises out of any activity in connection with your investment advisory business that is subject to the jurisdiction of the United States, and (b) is *founded*, directly or indirectly, upon the provisions of: (i) the Securities Act of 1933, the Securities Exchange Act of 1934, the Trust Indenture Act of 1939, the Investment Company Act of 1940, or the Investment Advisers Act of 1940, or any rule or regulation under any of these acts, or (ii) the laws of the state in which you maintain your *principal office and place of business* or of any state in which you are submitting a *notice filing*.

Signature

I, the undersigned, sign this Form ADV on behalf of, and with the authority of, the investment adviser. The investment adviser and I both certify, under penalty of perjury under the laws of the United States of America, that the information and statements made in this ADV, including exhibits and any other information submitted, are true and correct, and that I am signing this Form ADV Execution Page as a free and voluntary act.

I certify that the adviser's books and records will be preserved and available for inspection as required by law. Finally, I authorize any *person* having *custody* or possession of these books and records to make them available to federal and state regulatory representatives.

Signature:

BRITT S. BARDINELLI

Printed Name:

BRITT S. BARDINELLI

Adviser CRD Number:

108849

Date: MM/DD/YYYY 03/29/2016

Title:

CHIEF COMPLIANCE OFFICER

NON-RESIDENT INVESTMENT ADVISER EXECUTION PAGE

You must complete the following Execution Page to Form ADV. This execution page must be signed and attached to your initial submission of Form ADV to the SEC and all amendments.

1. Appointment of Agent for Service of Process

By signing this Form ADV Execution Page, you, the undersigned adviser, irrevocably appoint each of the Secretary of the SEC, and the Secretary of State or other legally designated officer, of any other state in which you are submitting a *notice filing*, as your agents to receive service, and agree that such persons may accept service on your behalf, of any notice, subpoena, summons, *order* instituting *proceedings*, demand for arbitration, or other process or papers, and you further agree that such service may be made by registered or certified mail, in any federal or state action, administrative *proceeding* or arbitration brought against you in any place subject to the jurisdiction of the United States, if the action, *proceeding* or arbitration (a) arises out of any activity in connection with your investment advisory business that is subject to the jurisdiction of the United States, and (b) is *founded*, directly or indirectly, upon the provisions of: (i) the Securities Act of 1933, the Securities Exchange Act of 1934, the Trust Indenture Act of 1939, the Investment Company Act of 1940, or the Investment Advisers Act of 1940, or any rule or regulation under any of these acts, or (ii) the laws of any state in which you are submitting a *notice filing*.

2. Appointment and Consent: Effect on Partnerships

If you are organized as a partnership, this irrevocable power of attorney and consent to service of process will continue in effect if any partner withdraws from or is admitted to the partnership, provided that the admission or withdrawal does not create a new partnership. If the partnership dissolves, this irrevocable power of attorney and consent shall be in effect for any action brought against you or any of your former partners.

3. Non-Resident Investment Adviser Undertaking Regarding Books and Records

By signing this Form ADV, you also agree to provide, at your own expense, to the U.S. Securities and Exchange Commission at its principal office in Washington D.C., at any Regional or District Office of the Commission, or at any one of its offices in the United States, as specified by the Commission, correct, current, and complete copies of any or all records that you are required to maintain under Rule 204-2 under the Investment Advisers Act of 1940. This undertaking shall be binding upon you, your heirs, successors and assigns, and any *person* subject to your written irrevocable consents or powers of attorney or any of your general partners and *managing agents*.

Signature

I, the undersigned, sign this Form ADV on behalf of, and with the authority of, the *non-resident* investment adviser. The investment adviser and I both certify, under penalty of perjury under the laws of the United States of America, that the information and statements made in this ADV, including exhibits and any other information submitted, are true and correct, and that I am signing this Form ADV Execution Page as a free and voluntary act.

I certify that the adviser's books and records will be preserved and available for inspection as required by law. Finally, I authorize any *person* having *custody* or possession of these books and records to make them available to federal and state regulatory representatives.

Signature:	Date: MM/DD/YYYY
Printed Name:	Title:

Adviser *CRD* Number: 108849