# Notes for COM1026 in semester test 1, condensed from lecture slides

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# 1 Set Theory

# 1.1 Introduction

Quick recap on Naive set theory, meaning:

- 1. Introducing the basic concept of sets;
- 2. Introduce notation;
- 3. Illustrate Union, Intersection, and Set Difference operations;
- 4. Venn diagrams as proof;
- 5. Power sets;
- 6. How to proof with more rigor.

#### 1.2 Set Definition

Question: Why are sets relevant to computing?

We have to represent data to compute it. To group data, we put it into sets. Some sets that I have seen before:

```
The set of natural numbers: \mathbb{N} = \{1, 2, 3, ...\}
The set of integers: \mathbb{Z} = \{..., -3, -2, -1, 0, 1, 2, 3, ...\}
```

Question: What are sets?

A set is a collection of objects, called elements of the set. The elements of a set can be anything, but they must be distinct.

#### 1.3 Notation

Sets are denoted with capital letters, e.g. A, B, C. The elements of a set are listed inside curly brackets:

$$A = \{1, 2, 3\}$$
  
 $B = \{a, b, c, d, e, f, g, h\}$ 

# 1.3.1 Cardinality

The cardinality of a set is the number of elements in the set. The cardinality of a set is denoted with vertical bars, with the hash, or alternatively, the function card:

$$\operatorname{Card}(A) = |A| = 3$$
  
 $\#\{1, 2, 3, 4, 5\} = 5$   
 $\#A = 3$   
 $\#\mathbb{N} = \infty$ 

#### 1.3.2 Abstraction axiom

GIven a property P(x), we can define a set A as:

$$A = \{x | P(x)\}$$

In other words, whatever property P, there exists a set A containing the objects that satisfy P and only these objects.

#### 1.3.3 Set builder notation

Other than enumerating the elements of a set, there are other ways to describe a set. Verbal descriptions and adding an inclusion rule to the set builder notation are two more examples:

$$C = \{x | x \in \mathbb{N}, 0 \le x \le 5\}$$
  
=  $\{x | x \text{ is in the appropriate set}, 0 \le x \le 5\}$ 

# 1.3.4 Empty set

The empty set is a set with no elements. It is denoted  $\emptyset$  or  $\{\}$ .

$$\emptyset = \{\}$$
$$\emptyset \neq \{\emptyset\}$$

# 1.4 Basic set operations

# 1.4.1 Membership

The membership relation is denoted  $\in$  and is used to indicate that an element is in a set. Conversely,  $\notin$  is used to indicate that an element is not in a set.

Let the set 
$$A = \{1, 2, 3\}$$
  
 $1 \in A = \text{True}$   
 $3 \notin A = \text{False}$ 

To denote a subset, we use  $\subseteq$ . Since a subset can include the set itself, we use  $\subset$  to denote a proper subset.

Let the set 
$$A = \{1, 2, 3\}$$
  
 $\{1, 2\} \subseteq A = \text{True}$   
 $\{1, 2, 3\} \subset A = \text{False}$ 

# 1.4.2 Union, intersection, and set difference

The union of two sets A and B is denoted  $A \cup B$  and contains all elements of both sets.

The intersection  $A \cap B$  contains elements common to both.

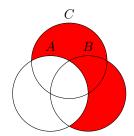
Set diffrence  $A \setminus B$  contains elements in A but not in B. (Note: A must come first, otherwise the result is different.)

$$A \cup B = \{1, 2, 3, a, b, c\}$$
$$A \cap B = \{1, 2, 3\}$$
$$A \setminus B = \{1, 2, 3\}$$

# 1.5 Venn diagrams

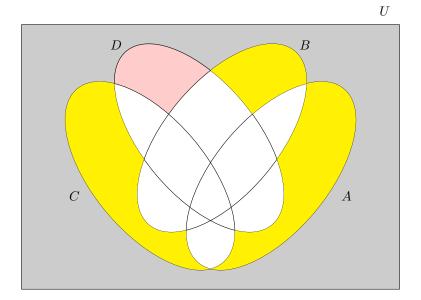
Venn diagrams are a way to visualise sets and their operations. Use circles to represent sets, and shading to distinguish areas of interest.

Let the set =  $\{1, 2, 3\}$ Let the set =  $\{2, 3, 4\}$ Let the set =  $\{3, 4, 5\}$  $(C \cup B) \cap A = \{4, 5\}$ 



Here is a more complicated example involving 4 sets:

 $Refrenced\ from\ \texttt{https://www.overleaf.com/latex/examples/example-venn-diagram-with-isolated-xjptmqsjfdlc}$ 



This covers the basics of set notation and operations.

# 1.6 Power sets

The power set of a set is the set of all subsets of that set.

The power set of a set A is denoted in various ways, including  $2^A$ ,  $\mathcal{P}(A)$ ,  $\mathbb{P}(A)$ , or  $\wp(A)$ .

Let the set 
$$A = \{1, 2, 3\}$$
 
$$\wp(A) = \{\emptyset, \{1\}, \{2\}, \{3\}, \{1, 2\}, \{1, 3\}, \{2, 3\}, \{1, 2, 3\}\}$$
 More generally:  $\mathbb{P}(A) = \{B | B \subseteq A\}$ 

# 1.7 Proofs

# 1.7.1 Proof by property

Proposition (example from lecutre notes): For any sets A, B, and C:

$$A \setminus (B \cup C) = (A \setminus B) \cap (A \setminus C)$$

Recapping some of the basic properties of sets, using sets S and T, and element x:

| Property 1: | $S \subseteq T \text{ and } T \subseteq S \iff S = T$       |
|-------------|---|
| Property 2: | (For any $x \in S \implies x \in T$ ) $\iff S \subseteq T$  |
| Property 3: | $x \in S$ and $x \in T \iff x \in S \cap T$                 |
| Property 4: | $x \in S \text{ or } x \in T \iff x \in S \cup T$           |
| Property 5: | $x \in S \text{ and } x \notin T \iff x \in S \setminus T$  |
| Property 6: | $x \notin S \text{ and } x \notin T \iff x \notin T \cup S$ |

Using property 1, we can prove that two sets are equal by proving that each is a subset of the other.

Let 
$$x \in A \setminus (B \cup C)$$
  
 $\iff x \in A \text{ and } x \notin B \cup C$   
 $\iff x \in A \text{ and } x \notin B \text{ and } x \notin C$   
 $\iff x \in A \setminus B \text{ and } x \in A \setminus C$   
 $\iff x \in (A \setminus B) \cap (A \setminus C)$   
 $\therefore A \setminus (B \cup C) \subseteq (A \setminus B) \cap (A \setminus C)$ 

Doing this for the other direction:

$$A \setminus (B \cup C) = (A \setminus B) \cap (A \setminus C)$$
Let  $x \in (A \setminus B) \cap (A \setminus C)$ 
 $\iff x \in A \setminus B \text{ and } x \in A \setminus C$ 
 $\iff x \in A \text{ and } x \notin B \text{ and } x \in A \text{ and } x \notin C$ 
 $\iff x \in A \text{ and } x \notin B \cup C$ 
 $\iff x \in A \setminus (B \cup C)$ 
 $\therefore (A \setminus B) \cap (A \setminus C) \subseteq A \setminus (B \cup C)$ 

# 1.8 Von Neumann Ordinals

The von Neumann ordinals are a way of representing the natural numbers using sets.

let 
$$0 = \emptyset$$
,  $n + 1 = n \cup \{n\}$ :

$$\begin{split} 0 &= \emptyset \\ 1 &= \{0\} = \{\emptyset\} \\ 2 &= \{0,1\} = \{\emptyset,\{\emptyset\}\} \\ 3 &= \{0,1,2\} = \{\emptyset,\{\emptyset\},\{\emptyset,\{\emptyset\}\}\} \} \\ n &= \{0,1,2,...,n-1\} \text{ you get the idea} \end{split}$$

# 2 Relations

# 2.1 Cartesian product and relations

The cartesian product of two sets A and B is the set of all ordered pairs (x, y), where:  $x \in A$  and  $y \in B$ . The cartesian product of A and B is denoted as  $A \times B$ .

Example:

```
Books = \{1984, Concrete, Incerto\}
Rating = \{Good, Evil, Unimportant\}
Books \times Rating = \{(1984, Good), (1984, Evil), (1984, Unimportant)\}
\cup \{(Concrete, Good), (Concrete, Evil), (Concrete, Unimportant)\}
\cup \{(Incerto, Good), (Incerto, Evil), (Incerto, Unimportant)\}
```

A relation R from A to B is a subset of the cartesian product of A and B. i.e.  $R \subseteq A \times B$ . Taking the example above, we can define a relation R from Books to Rating as:

```
R = \{(1984, Good), (Concrete, Evil), (Incerto, Unimportant)\}
```

Note that R is a subset of the cartesian product of Books and Rating.

# 2.2 Relation notation

There are three main ways to denote a relation R from A to B:

- Ordered pairs
- Table
- Mapping

Should you want to confuse yourself even further, the infix notation is a good option.

```
Let the relation "likes" be defined as: \mathbf{L} = \{(x, y) | x \text{ likes } y\}
You can denote (x, y) as a subset of the likes by writing: \mathbf{x}\mathbf{L}\mathbf{y}
```

# 2.3 Representing relations

Since winter is approaching, let's define a relation "likes" from people to clothing.

```
Let the set of people P = \{\text{Jim}, \text{Bob}, \text{Alice}, \text{Eve}, \text{Mallory}\}
Let the set of clothing C = \{\text{Jacket}, \text{Scarf}, \text{Gloves}, \text{Hat}, \text{Socks}\}
Let the relation \mathbf{L} = \{(x,y)|x \text{ likes }y\}
In table form:
```

| Р                    | L      |
|----------------------|--------|
| $\operatorname{Jim}$ | Scarf  |
| Bob                  | Jacket |
| Alice                | Scarf  |
| Eve                  | Gloves |
| Mallory              | Hat    |
| Mallory              | Socks  |

Since a table popped into your view, it is as good a time as any to introduce it's application in databases.

Given this example relation of students and their various attributes, we can represent it in a table.

Let the set of students  $S = \{Jim, Bob, Alice, Eve, Mallory\}$ 

Let the set of attributes  $A = \{ \text{name}, \text{Age}, \text{Height}, \text{Weight}, \text{textHappiness}, \text{Net Worth} \}$ 

Let the relation  $\mathbf{R} = \{(x, y) | x \text{ has attribute } y\}$ 

In table form:

| Name    | Age | Height | Weight | Happiness | Net Worth |
|---------|-----|--------|--------|-----------|-----------|
| Jim     | 20  | 180    | 80     | 0.5       | 0.1       |
| Bob     | 21  | 170    | 70     | 0.6       | 0.2       |
| Alice   | 19  | 160    | 60     | 0.7       | 0.3       |
| Eve     | 18  | 150    | 50     | 0.8       | 0.4       |
| Mallory | 17  | 140    | 40     | 0.9       | 0.5       |

We can extract information by getting a subset of relations.

For example:  $(Jim, 180) \in Height$ 

# 2.4 Domain and range

The domain of a relation is the set of all first elements of the ordered pairs in the relation.

$$Dom(\mathbf{L}) = \{ x \in A \mid \exists x \in A : (x, y) \in \mathbf{L} \}$$

The range of a relation is the set of all second elements of the ordered pairs in the relation.

$$Ran(\mathbf{L}) = \{ y \in B \mid \exists y \in B : (x, y) \in \mathbf{L} \}$$

# 2.5 Relational composition

The relational composition of two relations  $\mathbf{R}$  and  $\mathbf{S}$  is the relation  $\mathbf{R} \circ \mathbf{S}$  (or  $\mathbf{R}; \mathbf{S}$ , used to avoid confusion with function composition) defined as:

$$\begin{aligned} \mathbf{R}; \mathbf{S} &= \{(x,z) \mid \exists y \in B : (x,y) \in \mathbf{R} \text{ and } (y,z) \in \mathbf{S} \} \\ \text{EXAMPLE:} \\ \mathbf{R} &= \{(1,2), (2,3), (3,4) \} \\ \mathbf{S} &= \{(2,3), (3,4), (4,5) \} \\ \mathbf{R}; \mathbf{S} &= \{(1,3), (2,4), (3,5) \} \end{aligned}$$

# 2.6 Closures and equivalence classes

#### 2.6.1 Property of relations

A relation **R** is reflexive if  $\forall x \in A : (x, x) \in \mathbf{R}$ .

A relation **R** is symmetric if  $\forall x,y \in A: (x,y) \in \mathbf{R} \implies (y,x) \in \mathbf{R}$ . A relation **R** is transitive if  $\forall x,y,z \in A: (x,y) \in \mathbf{R}$  and  $(y,z) \in \mathbf{R} \implies (x,z) \in \mathbf{R}$ .

#### 2.6.2 Closures

The closure of a relation  ${\bf R}$  is the smallest relation containing  ${\bf R}$  that is transitive.

Example of constructing a reflexive closure:

Let the relation 
$$\mathbf{R} = \{(1,2), (2,3), (3,4)\}$$
  
The reflexive closure of  $\mathbf{R} = \{(1,1), (1,2), (2,3), (3,4), (2,2), (3,3), (4,4)\}$ 

Example of constructing a symmetric closure:

Let the relation 
$$\mathbf{R} = \{(1,2), (2,3), (3,4)\}$$
  
The symmetric closure of  $\mathbf{R} = \{(1,2), (2,3), (3,4), (2,1), (3,2), (4,3)\}$ 

Example of constructing a transitive closure:

Let the relation 
$$\mathbf{R} = \{(1,2), (2,3), (3,4)\}$$
  
The transitive closure of  $\mathbf{R} = \{(1,2), (2,3), (3,4), (1,3), (2,4), (1,4)\}$ 

# 2.6.3 Equivalence classes

let  $\rho \subseteq A \times A$  be an equivalence relation on A, given  $A \neq \emptyset$ ,  $a \in A$  be an arbitrary element of A.

NOTE: MUST CONSTRUCT EQUIVALENCE RELATION BEFORE CONSTRUCTING EQUIVALENCE CLASSES.

$$[a]_{\rho} = \{ x \in A \mid (a, x) \in \rho \}$$

#### 2.6.4 More properties of relations

- A relation **R** is antisymmetric if  $\forall x, y \in A : (x, y) \in \mathbf{R}$  and  $(y, x) \in \mathbf{R} \implies x = y$ .
- A relation R is a partial order if it is reflexive, antisymmetric, and transitive.
- A connex relation is a relation **R** such that  $\forall x, y \in A : (x, y) \in \mathbf{R}$  or  $(y, x) \in \mathbf{R}$
- Total order means that a relation is a partial order and a connex relation.

# 3 Functions

# 3.1 Definition

What is a function in the context of discrete mathematics?

A function is a relation **f** from A to B such that every element in A is mapped to exactly one element in B, i.e.:

$$\forall x \in A. \forall y, z \in B : ((x, y) \in f \land (x, z) \in f \implies y = z).$$

# 3.2 Notation

A function **f** from A to B is denoted as  $\mathbf{f}: A \to B$ .

# 3.3 Injective, surjective, bijective

- A function  $\mathbf{f}: A \to B$  is injective if  $\forall x, y \in A: \mathbf{f}(x) = \mathbf{f}(y) \implies x = y$ .
- A function  $\mathbf{f}: A \to B$  is surjective if  $\forall y \in B: \exists x \in A: \mathbf{f}(x) = y$ .
- A function  $\mathbf{f}: A \to B$  is bijective if it is both injective and surjective.

# 3.4 Composition

The composition of two functions  $\mathbf{f}:A\to B$  and  $\mathbf{g}:B\to C$  is the function  $\mathbf{g}\circ\mathbf{f}:A\to C$  defined as:

$$\mathbf{g} \circ \mathbf{f} = \{(x, z) \mid \exists y \in B : (x, y) \in \mathbf{f} \text{ and } (y, z) \in \mathbf{g} \}$$

#### 3.5 Inverse

The inverse of a function  $\mathbf{f}: A \to B$  is the function  $\mathbf{f}^{-1}: B \to A$  defined as:

$$\mathbf{f}^{-1} = \{ (y, x) \mid (x, y) \in \mathbf{f} \}$$

# 4 Language and regular expressions

# 4.1 Alphabet

We specify an Alphabet using the symbol  $\Sigma$ . Examples:

$$\begin{split} &\Sigma_1 = \{a,b,c,d,e,f\} \\ &\Sigma_2 = \{0,1,2,3,4,5,6,7,8,9\} \\ &\Sigma_3 = \{S \mid S \subseteq \Sigma_1\} \\ &\Sigma_4 = \{(x,y) \mid x \in \Sigma_1 \land y \in \Sigma_2\} \end{split} \qquad \text{(The power set of } \Sigma_1, \#\Sigma_3 = 2^6 = 64) \end{split}$$

An alphabet must be a set that contain finite elements, hence sets like  $\mathbb{N} = \{1, 2, 3, 4, 5, 6, 7, 8, 9, \ldots\}$  cannot be the alphabet of a language.

# 4.2 Strings

A string is a finite sequence of characters from an alphabet.

A string of length n is denoted as the n-tuple  $w=a_1a_2a_3...a_n,$  written without punctuation.

The set of all finite strings are denoted as  $\Sigma^*$ , and we can say that string s is in  $\Sigma^*$  if  $s \in \Sigma^*$ .

#### 4.2.1 Empty string

Might be jarring to you, Jim, but you have the option to denote an empty string as  $\epsilon$ . Will be useful later on.

# 4.2.2 String Operations

- Concatenation:  $w_1w_2$  is the concatenation of strings  $w_1$  and  $w_2$ .
- Length: |w| is the length of string w. The length of concatenated strings are simply the sum of the lengths of the individual strings.

# 4.3 Language

A language is a set of strings.

#### 4.4 Regular expressions

A regular expression is a string that denotes a language. Here are the rules:

- let  $\Sigma$  be an alphabet set.
- a denotes the language  $\{a\}$ , where  $a \in \Sigma$ , which is on its own a regular expression.
- $\epsilon$  and  $\emptyset$  denote the languages  $\{\epsilon\}$  and  $\emptyset$ , which are also regular expressions.
- Given r and s as regular expressions, the following are also regular expressions:

$$-rs, r|s, r^*$$

# 4.4.1 Examples

The following are rules for matching strings to RegEx, let s be a string and r be a regular expression:

- s matches a when s = a
- $\epsilon$  matches  $\epsilon$  when  $s = \epsilon$
- Ø matches nothing.
- r|s matches r or s.
- rs matches r followed by s.
- $r^*$  matches if  $s = \epsilon$  or  $s = s_1 s_2 ... s_n$ , where  $s_i$  matches r for all i.

# 4.4.2 Regular languages

A language is regular if it is denoted by a regular expression.

For alphabet  $\Sigma$  and regular expressions r:

$$L(r) = \{ s \in \Sigma^* \mid s \text{ matches } r \}$$

# 5 Finite automata

# 5.1 Deterministic finite automata

States:  $Q = \{q_0, q_1, q_2, q_3\}$ 

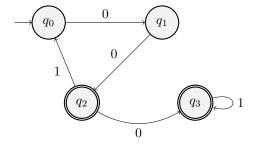
Symbol:  $\Sigma = \{0, 1\}$ 

Transition Function  $\delta: Q \times \Sigma \to Q$ 

Start:  $= q_0 \in Q$ 

Accepting:  $= \{q_2, q_3\} \subseteq Q$ 

DFA( $^{\epsilon}$ ):  $M = (Q, \Sigma, \delta, q_0, \{q_2, q_3\})$ 



# 5.1.1 Criteria for a DFA

- DFAs have exactly one start state.
- May have one or more accepting states.
- For each state, there must be at most one outgoing transition for each symbol in the alphabet.

#### 5.1.2 Language definition with DFAs

For automaton M, the language L(M) consists of all strings s over its alphabet of input symbols statisfying:

$$q_0 \xrightarrow{s} *q$$
 (1)

$$s = q_0, q_1, q_2, ..., q_n$$
 for the states:  $q_0, q_1, q_2, ..., q_n$  (2)

If (1) is the case, s is accepted by M. More formally:

$$L(M) = \{u \mid u \text{ is accepted by } M\}$$

#### 5.2 Non-deterministic finite automata

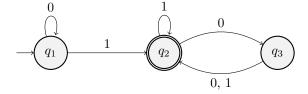
# 5.2.1 What is the difference?

- NDFAs can have multiple outgoing transitions for a given symbol.
- NDFAs can have  $\epsilon$ -transitions, which are transitions that can be taken without consuming an input symbol.
- NDFAs can have multiple start states.
- NDFAs can have no accepting states.
- NDFAs can have multiple accepting states.

# 5.3 Examples

Referenced from https://www3.nd.edu/~kogge/courses/cse30151-fa17/Public/other/tikz\_tutorial.pdf.

# 5.3.1 DFA 1



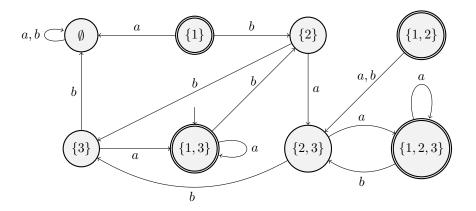
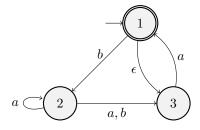


Figure 1: DFA

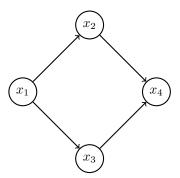
# 5.3.2 NFA 1



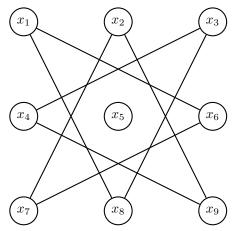
# 5.3.3 DFA 2

# 6 logic

# 7 Graphs and trees



Ayyy lmao.



Now onto the  $3 \times 4$  example.

