

ARTIFICIAL INTELLIGENCE

Generative Adversarial Networks for beginners

Build a neural network that learns to generate handwritten digits.

By Jon Bruner and Adit Deshpande. June 7, 2017

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Practical Generative Adversarial Networks for Beginners

You can download and modify the code from this tutorial on GitHub here.

According to Yann LeCun, "adversarial training is the coolest thing since sliced bread." Sliced bread certainly never created this much excitement within the deep learning community. Generative adversarial networks—or GANs, for short—have dramatically sharpened the possibility of AI-generated content, and have drawn active research efforts since they were first described by Ian Goodfellow et al. in 2014.

GANs are neural networks that learn to create synthetic data similar to some known input data. For instance, researchers have generated convincing images from photographs of everything from bedrooms to album covers, and they display a remarkable ability to reflect higher-order semantic logic.

Those examples are fairly complex, but it's easy to build a GAN that generates very simple images. In this tutorial, we'll build a GAN that analyzes lots of images of handwritten digits and gradually learns to generate new images from scratch—essentially, we'll be teaching a neural network how to write.





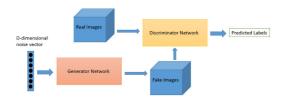




Sample images from the generative adversarial network that we'll build in this tutorial. During training, it gradually refines its ability to generate digits.

GAN architecture

Generative adversarial networks consist of two models: a generative model and a discriminative model.



Define the generator

Generate a sample image

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The discriminator model is a classifier that determines whether a given image looks like a real image from the dataset or like an artificially created image. This is basically a binary classifier that will take the form of a normal convolutional neural network (CNN).

The generator model takes random input values and transforms them into images through a deconvolutional neural network.

Over the course of many training iterations, the weights and biases in the discriminator and the generator are trained through backpropagation. The discriminator learns to tell "real" images of handwritten digits apart from "fake" images created by the generator. At the same time, the generator uses feedback from the discriminator to learn how to produce convincing images that the discriminator can't distinguish from real images.

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Getting started

We're going to create a GAN that will generate handwritten digits that can fool even the best classifiers (and humans too, of course). We'll use TensorFlow, a deep learning library opensourced by Google that makes it easy to train neural networks on GPUs.

This tutorial expects that you're already at least a little bit familiar with TensorFlow. If you're "Hello,

not, we recommend reading TensorFlow!"

"Hello,

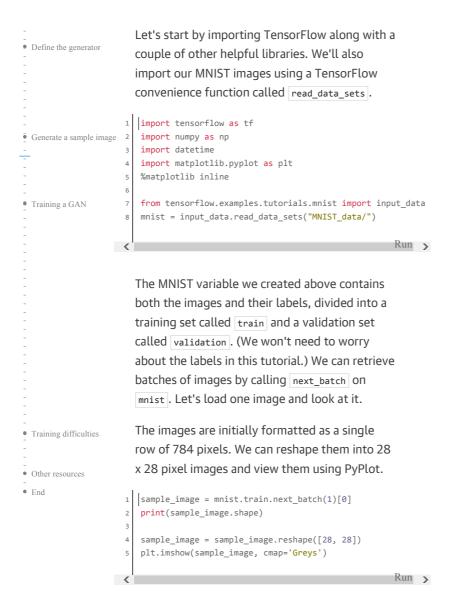
or watching the Tensorflow!" interactive tutorial on Safari before proceeding.

Loading MNIST data

We need a set of real handwritten digits to give the discriminator a starting point in distinguishing between real and fake images. We'll use MNIST, a benchmark dataset in deep learning. It consists of 70,000 images of handwritten digits compiled by the U.S. National Institute of Standards and Technology from Census Bureau employees and high school students.

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If you run the cell above again, you'll see a different image from the MNIST training set.

Discriminator network

Our discriminator is a convolutional neural network that takes in an image of size 28 x 28 x 1 as input and returns a single scalar number that describes whether or not the input image is "real" or "fake"—that is, whether it's drawn from the set of MNIST images or generated by the generator.



The structure of our discriminator network is based closely on

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```
TensorFlow's sample CNN classifier

model
. It features
two convolutional layers that find 5x5 pixel
features, and two "fully connected" layers that
multiply weights by every pixel in the image.
```

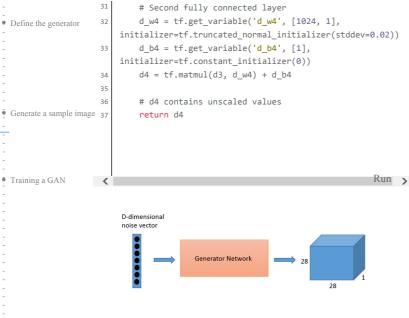
To set up each layer, we start by creating weight and bias variables through tf.get_variable.

Weights are initialized from a truncated normal distribution, and biases are initialized at zero.

tf.nn.conv2d() is TensorFlow's standard convolution function. It takes 4 arguments. The first is the input volume (our 28 x 28 x 1 images in this case). The next argument is the filter/weight matrix. Finally, you can also change the stride and padding of the convolution. Those two values affect the dimensions of the output volume.

If you're already comfortable with CNNs, you'll recognize this as a simple binary classifier—nothing fancy.

```
def discriminator(images, reuse=False):
       if (reuse):
           tf.get_variable_scope().reuse_variables()
       # First convolutional and pool layers
       # This finds 32 different 5 x 5 pixel features
       d_w1 = tf.get_variable('d_w1', [5, 5, 1, 32],
   initializer=tf.truncated_normal_initializer(stddev=0.02))
       d_b1 = tf.get_variable('d_b1', [32],
   initializer=tf.constant_initializer(0))
       d1 = tf.nn.conv2d(input=images, filter=d_w1, strides=
   [1, 1, 1, 1], padding='SAME')
       d1 = d1 + d_b1
16
       d1 = tf.nn.relu(d1)
11
12
       d1 = tf.nn.avg_pool(d1, ksize=[1, 2, 2, 1], strides=
   [1, 2, 2, 1], padding='SAME')
13
       # Second convolutional and pool layers
14
       # This finds 64 different 5 x 5 pixel features
15
       d_w2 = tf.get_variable('d_w2', [5, 5, 32, 64],
16
   initializer=tf.truncated normal initializer(stddev=0.02))
      d_b2 = tf.get_variable('d_b2', [64],
17
   initializer=tf.constant_initializer(0))
18
       d2 = tf.nn.conv2d(input=d1, filter=d_w2, strides=[1,
   1, 1, 1], padding='SAME')
       d2 = d2 + d_b2
19
      d2 = tf.nn.relu(d2)
21
       d2 = tf.nn.avg_pool(d2, ksize=[1, 2, 2, 1], strides=
   [1, 2, 2, 1], padding='SAME')
22
       # First fully connected layer
23
       d_w3 = tf.get_variable('d_w3', [7 * 7 * 64, 1024],
24
   initializer=tf.truncated normal initializer(stddev=0.02))
       d_b3 = tf.get_variable('d_b3', [1024],
25
   initializer=tf.constant_initializer(0))
26
      d3 = tf.reshape(d2, [-1, 7 * 7 * 64])
27
       d3 = tf.matmul(d3, d_w3)
28
       d3 = d3 + d_b3
       d3 = tf.nn.relu(d3)
29
30
```



Now that we have our discriminator defined, let's take a look at the generator model. We'll base the overall structure of our model on a simple generator published by <u>Tim O'Shea</u>.

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You can think of the generator as a kind of reverse convolutional neural network. A typical CNN like our discriminator network transforms a 2- or 3-dimensional matrix of pixel values into a single probability. A generator, however, takes a d-dimensional vector of noise and upsamples it to become a 28 x 28 image. ReLU and batch normalization are used to stabilize the outputs of each layer.

In our generator network, we use three convolutional layers along with interpolation until a 28×28 pixel image is formed. (Actually, as you'll see below, we've taken care to form $28 \times 28 \times 1$ images; many TensorFlow tools for dealing with images anticipate that the images will have some number of *channels*—usually 1 for greyscale images or 3 for RGB color images.)

At the output layer we add a tf.sigmoid() activation function; this squeezes pixels that would appear grey toward either black or white, resulting in a crisper image.

```
def generator(z, batch_size, z_dim):
    g_w1 = tf.get_variable('g_w1', [z_dim, 3136],
    dtype=tf.float32,
    initializer=tf.truncated_normal_initializer(stddev=0.02))
    g_b1 = tf.get_variable('g_b1', [3136],
    initializer=tf.truncated_normal_initializer(stddev=0.02))
    g1 = tf.matmul(z, g_w1) + g_b1
    g1 = tf.reshape(g1, [-1, 56, 56, 1])
    g1 = tf.contrib.layers.batch_norm(g1, epsilon=1e-5,
```

```
g1 = tf.nn.relu(g1)
• Define the generator
                            # Generate 50 features
                    10
                            g_w2 = tf.get_variable('g_w2', [3, 3, 1, z_dim/2],
                        dtype=tf.float32,
                        initializer=tf.truncated_normal_initializer(stddev=0.02))
                            g_b2 = tf.get_variable('g_b2', [z_dim/2],
                    11
                        initializer=tf.truncated_normal_initializer(stddev=0.02))
• Generate a sample image 12
                            g2 = tf.nn.conv2d(g1, g_w2, strides=[1, 2, 2, 1],
                        padding='SAME')
                           g2 = g2 + g_b2
                            g2 = tf.contrib.layers.batch norm(g2, epsilon=1e-5,
                    14
                        scope='bn2')

    Training a GAN

                           g2 = tf.nn.relu(g2)
                    15
                            g2 = tf.image.resize_images(g2, [56, 56])
                    16
                    17
                    18
                            # Generate 25 features
                    19
                            g_w3 = tf.get_variable('g_w3', [3, 3, z_dim/2,
                        z_dim/4], dtype=tf.float32,
                        initializer=tf.truncated_normal_initializer(stddev=0.02))
                    20
                           g_b3 = tf.get_variable('g_b3', [z_dim/4],
                        initializer=tf.truncated_normal_initializer(stddev=0.02))
                    21
                            g3 = tf.nn.conv2d(g2, g_w3, strides=[1, 2, 2, 1],
                        padding='SAME')
                           g3 = g3 + g_b3
                    22
                    23
                            g3 = tf.contrib.layers.batch_norm(g3, epsilon=1e-5,
                        scope='bn3')
                            g3 = tf.nn.relu(g3)
                    24
                            g3 = tf.image.resize_images(g3, [56, 56])
                    25
                            # Final convolution with one output channel

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                    27
                            g_w4 = tf.get_variable('g_w4', [1, 1, z_dim/4, 1],
                        dtvpe=tf.float32.
• Other resources
                        initializer=tf.truncated_normal_initializer(stddev=0.02))
                    29
                            g_b4 = tf.get_variable('g_b4', [1],
• End
                        initializer=tf.truncated_normal_initializer(stddev=0.02))
                            g4 = tf.nn.conv2d(g3, g_w4, strides=[1, 2, 2, 1],
                    36
                        padding='SAME')
                    31
                            g4 = g4 + g_b4
                    32
                            g4 = tf.sigmoid(g4)
                    33
                    34
                            # Dimensions of g4: batch_size x 28 x 28 x 1
                    35
                            return g4
                                                                              Run >
```

Generating a sample image

Now we've defined both the generator and discriminator functions. Let's see what a sample output from an untrained generator looks like.

We need to open a TensorFlow session and create a placeholder for the input to our generator. The shape of the placeholder will be None x z_dimensions. The None keyword means that the value can be determined at session runtime. We normally have None as our first dimension so that we can have variable batch sizes. (With a batch size of 50, the input to the generator would be 50 x 100). With the None

```
keywoard, we don't have to specify batch size
• Define the generator
                      until later.
                      z_dimensions = 100
                       z_placeholder = tf.placeholder(tf.float32, [None,
                       z dimensions])
                                                                         Run >
Generate a sample image
                      Now, we create a variable
                      ( generated_image_output ) that holds the output

    Training a GAN

                     of the generator, and we'll also initialize the
                     random noise vector that we're going to use as
                     input. The np.random.normal() function has three
                      arguments. The first and second define the
                      mean and standard deviation for the normal
                      distribution (O and 1 in our case), and the third
                      defines the the shape of the vector (1 \times 100).
                     generated_image_output = generator(z_placeholder, 1,
                       z_dimensions)
                      z_batch = np.random.normal(0, 1, [1, z_dimensions])
                                                                        Run >
                 <

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                      Next, we initialize all the variables, feed our
                      z_batch into the placeholder, and run the

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                      session.
• End
                      The sess.run() function has two arguments.
                     The first is called the "fetches" argument; it
                      defines the value you're interested in
                      computing. In our case, we want to see what the
                     output of the generator is. If you look back at
                      the last code snippet, you'll see that the output
                      of the generator function is stored in
                      generated_image_output , so we'll use
                      generated_image_output for our first argument.
                      The second argument takes a dictionary of
                     inputs that are substituted into the graph when
                      it runs. This is where we feed in our
                     placeholders. In our example, we need to feed
                      our z_batch variable into the z_placeholder that
                      we defined earlier. As before, we'll view the
                     image by reshaping it to 28 x 28 pixels and
                     show it with PyPlot.
                      with tf.Session() as sess:
                          sess.run(tf.global_variables_initializer())
                          generated_image = sess.run(generated_image_output,
                                                     feed_dict={z_placeholder:
                       z batch})
                          generated_image = generated_image.reshape([28, 28])
                          plt.imshow(generated_image, cmap='Greys')
                  <
```



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That looks like noise, right? Now we need to train the weights and biases in the generator network to convert random numbers into recognizable digits. Let's look at loss functions and optimization!

Training a GAN

One of the trickiest parts of building and tuning GANs is that they have two loss functions: one that encourages the generator to create better images, and the other that encourages the discriminator to distinguish generated images from real images.

We train both the generator and the discriminator simultaneously. As the discriminator gets better at distinguishing real images from generated images, the generator is able to better tune its weights and biases to generate convincing images.

Here are the inputs and outputs for our networks.

```
tf.reset_default_graph()
     batch_size = 50
    z placeholder = tf.placeholder(tf.float32, [None,
    z_dimensions], name='z_placeholder')
    # z placeholder is for feeding input noise to the
    x_placeholder = tf.placeholder(tf.float32, shape =
    [None,28,28,1], name='x_placeholder')
     # x_placeholder is for feeding input images to the
    discriminator
 10 Gz = generator(z_placeholder, batch_size, z_dimensions)
 # Gz holds the generated images
 12
 Dx = discriminator(x_placeholder)
 # Dx will hold discriminator prediction probabilities
 # for the real MNIST images
 16
 17
    Dg = discriminator(Gz, reuse=True)
    # Dg will hold discriminator prediction probabilities for
 18
    generated images
                                                      Run >
<
```

So, let's first think about what we want out of our networks. The discriminator's goal is to correctly label real MNIST images as real (return a higher output) and generated images as fake (return a lower output). We'll calculate two losses for the discriminator: one loss that compares Dx and 1 for real images from the MNIST set, as well as a loss that compares Dg



Now let's set up the generator's loss function. We want the generator network to create images that will fool the discriminator: the generator wants the discriminator to output a value close to 1 when it's given an image from the generator. Therefore, we want to compute the loss between pg and 1.

```
g_loss = tf.reduce_mean
  (tf.nn.sigmoid_cross_entropy_with_logits(Dg, tf.ones_like
  (Dg)))
                                                    Run >
```

<

Now that we have our loss functions, we need to define our optimizers. The optimizer for the generator network needs to only update the generator's weights, not those of the discriminator. Likewise, when we train the discriminator, we want to hold the generator's weights fixed.

In order to make this distinction, we need to create two lists of variables, one with the

```
discriminator's weights and biases, and another
• Define the generator
                      with the generator's weights and biases. This is
                      where naming all of your TensorFlow variables
                      with a thoughtful scheme can come in handy.
                    1 | tvars = tf.trainable_variables()
· Generate a sample image
                       d_vars = [var for var in tvars if 'd_' in var.name]
                       g_vars = [var for var in tvars if 'g_' in var.name]
                       print([v.name for v in d_vars])
                       print([v.name for v in g_vars])

    Training a GAN

                                                                         Run >
                  <
                      Next, we specify our two optimizers. Adam is
                      usually the optimization algorithm of choice for
                      GANs; it utilizes adaptive learning rates and
                      momentum. We call Adam's minimize function
                      and also specify the variables that we want it to
                      update-the generator's weights and biases
                      when we train the generator, and the
                      discriminator's weights and biases when we train
                      the discriminator.

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                      We're setting up two different training
                      operations for the discriminator here: one that

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```

We're setting up two different training operations for the discriminator here: one that trains the discriminator on real images and one that trains the discriminator on fake images. It's sometimes useful to use different learning rates for these two training operations, or to use them separately to regulate learning in other ways.

```
# Train the discriminator
d_trainer_fake = tf.train.AdamOptimizer(0.0003).minimize
(d_loss_fake, var_list=d_vars)
d_trainer_real = tf.train.AdamOptimizer(0.0003).minimize
(d_loss_real, var_list=d_vars)

# Train the generator
g_trainer = tf.train.AdamOptimizer(0.0001).minimize
(g_loss, var_list=g_vars)
```

It can be tricky to get GANs to converge, and moreover they often need to train for a very long time. <u>TensorBoard</u> is useful for tracking the training process; it can graph scalar properties like losses, display sample images during training, and illustrate the topology of the neural networks.

If you run this script on your own machine, include the cell below. Then, in a terminal window, run tensorboard -- logdir=tensorboard/



And now we iterate. We begin by briefly giving the discriminator some initial training; this helps it develop a gradient that's useful to the generator.

Then we move on to the main training loop. When we train the generator, we'll feed a random z vector into the generator and pass its output to the discriminator (this is the pg variable we specified earlier). The generator's weights and biases will be updated in order to produce images that the discriminator is more likely to classify as real.

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To train the discriminator, we'll feed it a batch of images from the MNIST set to serve as the positive examples, and then train the discriminator again on generated images, using them as negative examples. Remember that as the generator improves its output, the discriminator continues to learn to classify the improved generator images as fake.

Because it takes a long time to train a GAN, we recommend not running this code block if you're going through this tutorial for the first time. Instead, follow along but then run the following code block, which loads a pre-trained model for us to continue the tutorial.

If you want to run this code yourself, prepare to wait: it takes about 3 hours on a fast GPU, but could take ten times that long on a desktop CPU.

```
z_batch = np.random.normal(0, 1, size=[batch_size,
                        z dimensions])
• Define the generator
                           real image batch = mnist.train.next batch(batch size)
                        [0].reshape([batch_size, 28, 28, 1])
                             _, __, dLossReal, dLossFake = sess.run
                        ([d_trainer_real, d_trainer_fake, d_loss_real,
                        d_loss_fake],
• Generate a sample image
                        {x_placeholder: real_image_batch, z_placeholder: z_batch})
                    10
                            if(i % 100 == 0):
                    11
                               print("dLossReal:", dLossReal, "dLossFake:",
                    12
                        dLossFake)

    Training a GAN

                    13
                        # Train generator and discriminator together
                    14
                    15
                        for i in range(100000):
                    16
                            real_image_batch = mnist.train.next_batch(batch_size)
                        [0].reshape([batch_size, 28, 28, 1])
                           z batch = np.random.normal(0, 1, size=[batch size,
                    17
                        z dimensions])
                    18
                    19
                            # Train discriminator on both real and fake images
                            _, __, dLossReal, dLossFake = sess.run
                    20
                        ([d_trainer_real, d_trainer_fake, d_loss_real,
                        d_loss_fake],
                    21
                        {x_placeholder: real_image_batch, z_placeholder: z_batch})
                    22
                            # Train generator
                    23
                    24
                            z_batch = np.random.normal(0, 1, size=[batch_size,
                        z dimensions])

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                            _ = sess.run(g_trainer, feed_dict={z_placeholder:
                        z batch})
• Other resources
                    27
                            if i % 10 == 0:
• End
                                # Update TensorBoard with summary statistics
                    28
                    29
                                z_batch = np.random.normal(0, 1, size=
                        [batch size, z dimensions])
                               summary = sess.run(merged, {z_placeholder:
                        z_batch, x_placeholder: real_image_batch})
                    31
                                writer.add_summary(summary, i)
                    32
                           if i % 100 == 0:
                    33
                    34
                                # Every 100 iterations, show a generated image
                                print("Iteration:", i, "at", datetime.datetime.now
                    35
                    36
                                z_batch = np.random.normal(0, 1, size=[1,
                        z dimensions])
                    37
                               generated_images = generator(z_placeholder, 1,
                        z dimensions)
                    38
                                images = sess.run(generated_images,
                        {z_placeholder: z_batch})
                               plt.imshow(images[0].reshape([28, 28]),
                    39
                        cmap='Greys')
                    40
                               plt.show()
                    41
                               # Show discriminator's estimate
                    42
                    43
                               im = images[0].reshape([1, 28, 28, 1])
                                result = discriminator(x placeholder)
                    44
                    45
                                estimate = sess.run(result, {x_placeholder: im})
                                print("Estimate:", estimate)
                    46
                                                                             Run >
                   <
```

Because it can take so long to train a GAN, we recommend that you skip the cell above and execute the following cell. It loads a model that we've already trained for several hours on a fast GPU machine, and lets you experiment with the output of a trained GAN.

```
1 | saver = tf.train.Saver()
• Define the generator
                       with tf.Session() as sess:
                         saver.restore(sess, 'pretrained-
                       model/pretrained gan.ckpt')
                           z_batch = np.random.normal(0, 1, size=[10,
                       z_dimensions])
                         z_placeholder = tf.placeholder(tf.float32, [None,
                       z_dimensions], name='z_placeholder')
• Generate a sample image 6
                         generated_images = generator(z_placeholder, 10,
                       z_dimensions)
                         images = sess.run(generated_images, {z_placeholder:
                       z_batch})
                         for i in range(10):

    Training a GAN

                              plt.imshow(images[i].reshape([28, 28]),
                       cmap='Greys')
                             plt.show()
                                                                           Run >
```

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GANs are notoriously difficult to train. Without the right hyperparameters, network architecture, and training procedure, the discriminator can overpower the generator, or vice-versa.

In one common failure mode, the discriminator overpowers the generator, classifying generated images as fake with absolute certainty. When the discriminator responds with absolute certainty, it leaves no gradient for the generator to descend. This is partly why we built our discriminator to produce unscaled output rather than passing its output through a sigmoid function that would push its evaluation toward either 0 or 1.

In another common failure mode, known as **mode collapse**, the generator discovers and exploits some weakness in the discriminator. You can recognize mode collapse in your GAN if it generates many very similar images regardless of variation in the generator input *z*. Mode collapse can sometimes be corrected by "strengthening" the discriminator in some way—for instance, by adjusting its training rate or by reconfiguring its layers.

Researchers have identified a handful of <u>"GAN hacks"</u> that can be helpful in building stable GANs.

Closing thoughts

GANs have tremendous potential to reshape the digital world that we interact with every day.

• Define the generator

The field is still very young, and the next great GAN discovery could be yours!

Other resources

• Generate a sample image

Training a GAN

- The original GAN paper by Ian Goodfellow and his collaborators, published in 2014
- A more recent tutorial by Goodfellow that explains GANs in somewhat more accessible
- · A paper by Alec Radford, Luke Metz, and Soumith Chintala that introduces deep convolutional GANs, whose basic structure we use in our generator in this tutorial. Also see their DCGAN code on GitHub.

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