

Characterizing PSSs through graph theory

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1 Structural equivalence

2 Characterizing PSSs

3 Characterizing positive bases

Why should we care ?

Question

How to minimize a smooth function f ? (∇f is not available).

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Through direct search:

- **Inputs:** $x \in \mathbb{R}^n$, $M = \{c_1, \dots, c_s\} \subset \mathbb{R}^n$.
- Compare $f(x)$ to each $f(x + \alpha c_i)$.
- If $f(x)$ is the smallest, decrease α .
- Otherwise, $x \leftarrow x_0 + \alpha c_j$.

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- Otherwise, $x \leftarrow x_0 + \alpha c_i$.

If the elements of M are 'well spread' in \mathbb{R}^n , the algorithm converges.

Positive spanning sets and positive bases

Definition

A **PSS** is a set of vectors well spread in \mathbb{R}^n .

A **positive basis** is minimal for this property.

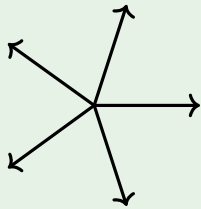
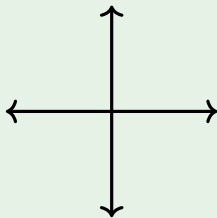
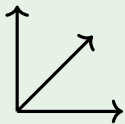
Positive spanning sets and positive bases

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Examples in \mathbb{R}^2



One of these is not a PSS...

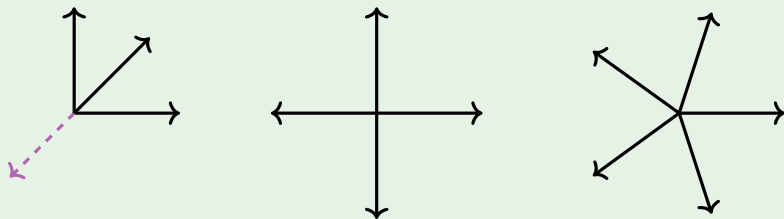
Positive spanning sets and positive bases

Definition

A **PSS** is a matrix M such that $y^\top M \leq 0^\top$ implies $y = 0$.

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Examples in \mathbb{R}^2



No close neighbor for the *dashed vector*.

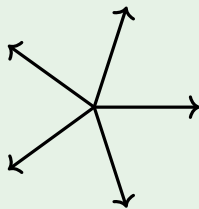
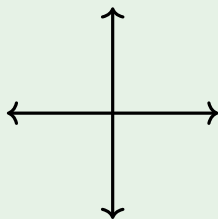
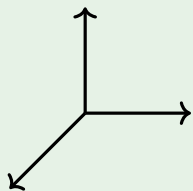
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Which is not a positive basis ?

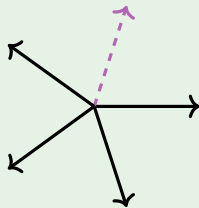
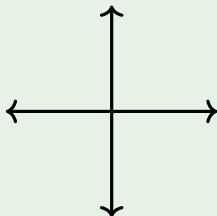
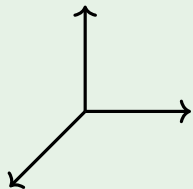
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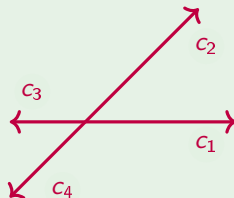
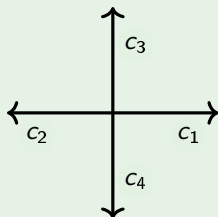
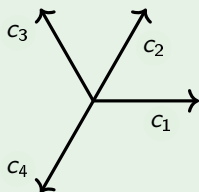


The *dashed vector* can be removed.

Structural equivalence

Quiz

Examples in \mathbb{R}^2



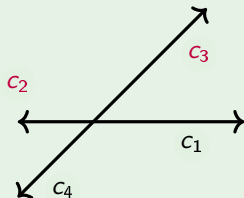
Which set is 'equivalent' to the red ?

We're looking for transformations that leave the 'positively spanning' property invariant.

Structural equivalence

Quiz

Examples in \mathbb{R}^2



Reorder the elements.

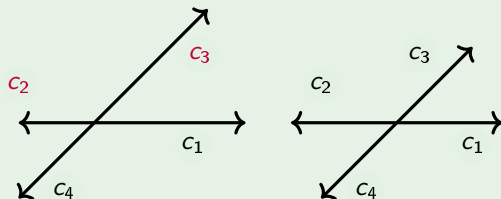
Definition (Structural equivalence \equiv)

M is **structurally equivalent** to N if $M = NP$ where P is a permutation matrix,

Structural equivalence

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Examples in \mathbb{R}^2



Rescale them.

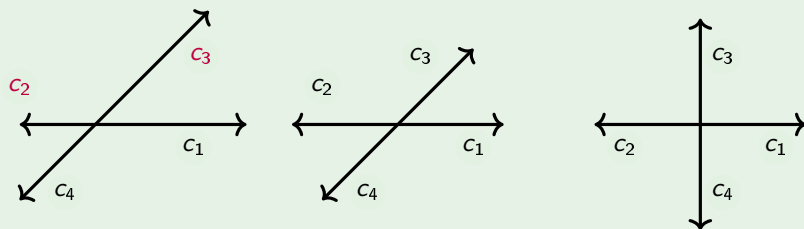
Definition (Structural equivalence \equiv)

M is **structurally equivalent** to N if $M = NPD$ where P is a permutation matrix, D is diagonal with positive entries

Structural equivalence

Quiz

Examples in \mathbb{R}^2



Change bases.

Definition (Structural equivalence \equiv)

M is **structurally equivalent** to N if $M = BNPD$ where P is a permutation matrix, D is diagonal with positive entries and B is invertible.

An algebraic approach

Remark

- If $M \equiv N$:
$$\left\{ \begin{array}{ll} M \text{ PSS} & \Longleftrightarrow N \text{ PSS.} \\ M \text{ positive basis} & \Longleftrightarrow N \text{ positive basis.} \end{array} \right. .$$

An algebraic approach

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$$\begin{cases} M \text{ PSS} & \iff N \text{ PSS.} \\ M \text{ positive basis} & \iff N \text{ positive basis.} \end{cases} \quad .$$
- $M \text{ positive basis} \implies n + 1 \leq |M| \leq 2n.$

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- $[I_n \quad -1_n]$ and $[I_n \quad -I_n]$ are positive bases, where $-1_n = \begin{bmatrix} -1 \\ \vdots \\ -1 \end{bmatrix}$.

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- $[I_n \quad -1_n]$ and $[I_n \quad -I_n]$ are positive bases, where $-1_n = \begin{bmatrix} -1 \\ \vdots \\ -1 \end{bmatrix}$.
- PSSs span \mathbb{R}^n with positive combinations. *obviously...*

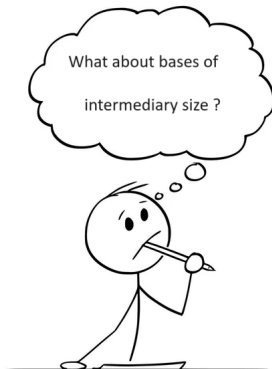
Characterizing positive bases

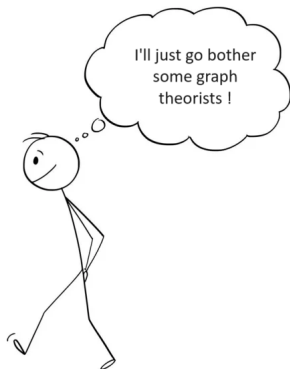
Proposition

$$\begin{aligned} M \text{ positive basis and } |M| = n + 1 &\implies M \equiv \begin{bmatrix} I_n & -1_n \end{bmatrix}. \\ M \text{ positive basis and } |M| = 2n &\implies M \equiv \begin{bmatrix} I_n & -I_n \end{bmatrix}. \end{aligned}$$

Application

$$\text{In } \mathbb{R}^3, \text{ any non-minimal PSS of size 5 satisfies } M \equiv \begin{bmatrix} 1 & 0 & 0 & -1 & \times \\ 0 & 1 & 0 & -1 & \times \\ 0 & 0 & 1 & -1 & \times \end{bmatrix}.$$





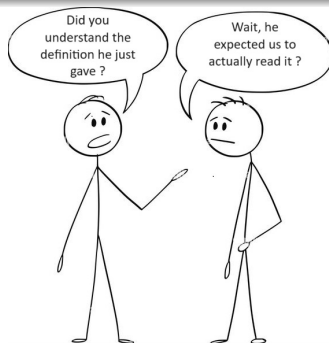
- 1 Structural equivalence
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nec matrices

Definition (Negative echelon column matrix)

$N \in \mathbb{R}^{n \times s}$ is a **nec matrix** if there exists a sequence $z_0 = 1 < z_1 < z_2 < \dots < z_{s-1} \leq n$ of integers satisfying

- ① For all $j \in \llbracket 1, s-1 \rrbracket$, for all $i \in \llbracket z_j, n \rrbracket$, $N_{i,j} = 0$.
- ② For all $j \in \llbracket 1, s-1 \rrbracket$, for all $i \in \llbracket z_{j-1}, z_j - 1 \rrbracket$, $N_{i,j} < 0$.
- ③ $N_{i,s} < 0$, for all $i \geq z_{s-1}$.



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except the last.

the first column starts with it.

Example

$$\begin{bmatrix} -1 & \times & \times \\ 0 & -1 & \times \\ 0 & -1 & \times \\ 0 & -1 & \times \\ 0 & -1 & \times \\ 0 & 0 & -1 \\ 0 & 0 & -1 \end{bmatrix}, \quad \begin{bmatrix} -1 & \times & \times & \times \\ -1 & \times & \times & \times \\ 0 & -1 & \times & \times \\ 0 & -1 & \times & \times \\ 0 & 0 & -1 & \times \\ 0 & 0 & 0 & -1 \end{bmatrix}, \quad \begin{bmatrix} -1 & \times & \times \\ -1 & \times & \times \\ 0 & -1 & \times \\ 0 & 0 & -1 \\ 0 & 0 & -1 \\ 0 & 0 & -1 \end{bmatrix}.$$

Nec and PSS

Theorem

$$M \equiv \begin{bmatrix} I_n & N & X \end{bmatrix} \text{ (with } N \text{ nec)} \implies M \text{ PSS}$$

Proof.

Simply note that $-1_n \in \text{cone}(M)$! □

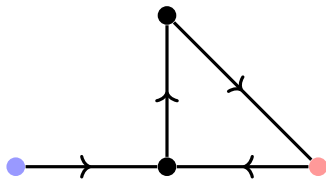
Example

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Strong edge-connection

Definition (Strongly connected)

A digraph G is **strongly connected** if for each two vertices u and v , an oriented path joins u to v .

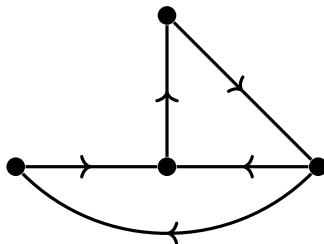


Not strongly connected: no path from red to blue.

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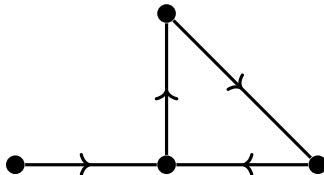


A strongly connected digraph G .

Ears

Definition (Ear)

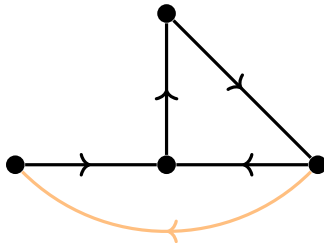
An **ear** is a directed path with no vertices in G , except the extremities.



Ears

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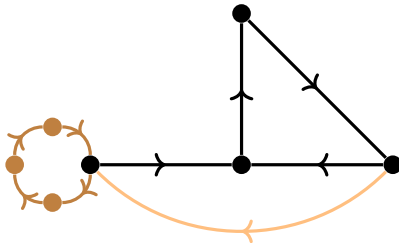
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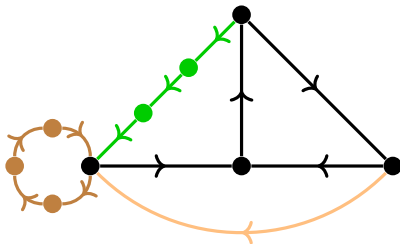
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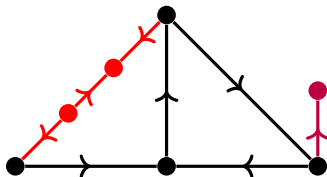


Three ears of the black digraph G .

Ears

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Not ears !

Ear-decomposition

Characterization

G strongly connected $\iff G$ can be built from a sequence of ears.

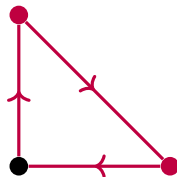


An ear-decomposition of the boat G .

Ear-decomposition

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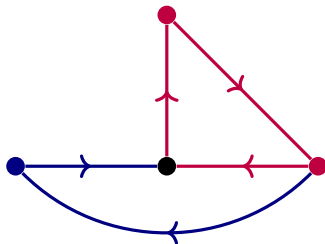


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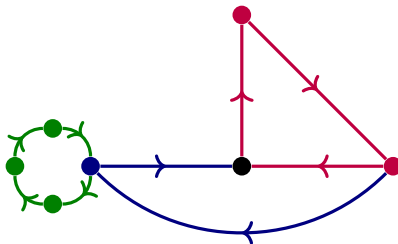


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Ear-decomposition

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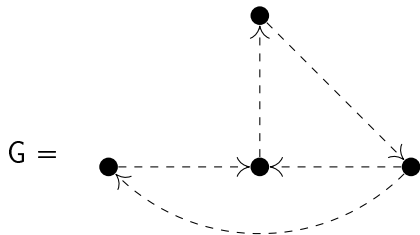
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Network matrices

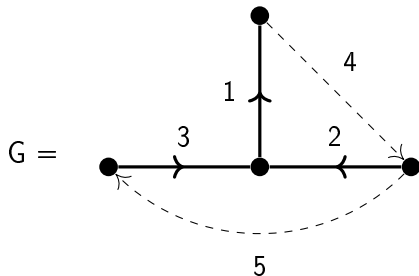
PSSs arise from strongly connected digraphs through **network matrices**.



Draw your favourite connected digraph G .

Network matrices

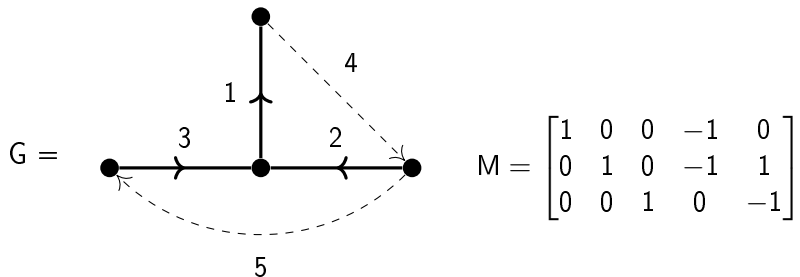
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Choose a spanning tree. That's your basis.

Network matrices

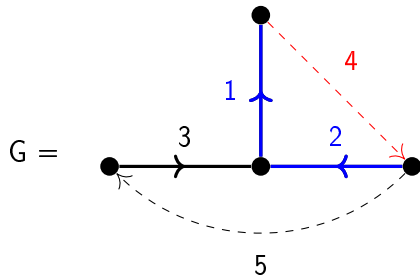
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The n^{th} column is the n^{th} arc expressed in the basis.

Network matrices

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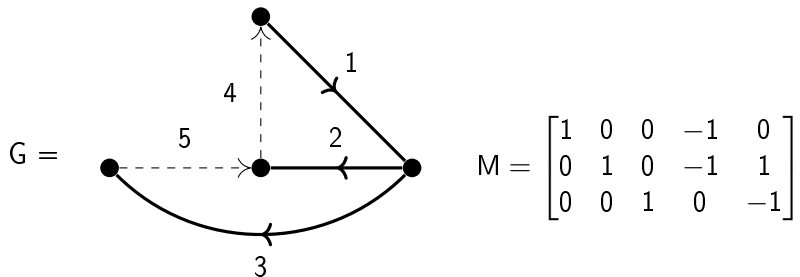
arcs: $-1 - 2 = 4$

$$M = \begin{bmatrix} 1 & 0 & 0 & -1 & 0 \\ 0 & 1 & 0 & -1 & 1 \\ 0 & 0 & 1 & 0 & -1 \end{bmatrix}$$

columns: $-c_1 - c_2 = c_4$

Network matrices

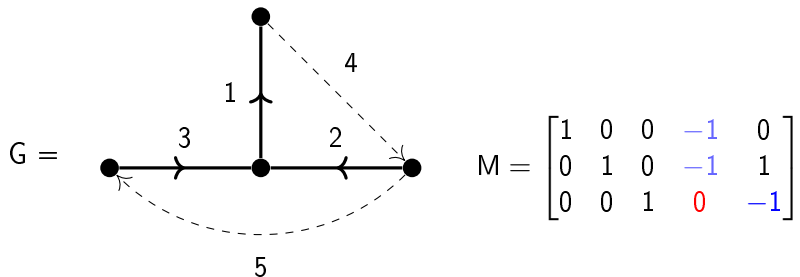
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All network matrices are equivalent.

Network matrices

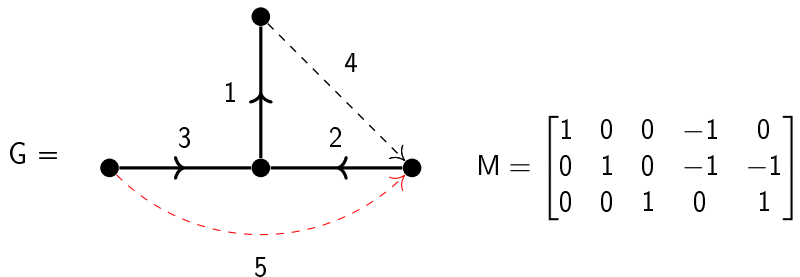
PSSs arise from strongly connected digraphs through **network matrices**.



G is strongly connected $\implies M$ is a PSS.

Network matrices

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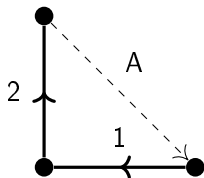
Strongly connected \iff PSS ?

G strongly connected $\iff G$ associated to $\begin{bmatrix} I_n & N & X \end{bmatrix}$.

Let's prove it.

Strongly connected \iff PSS ?

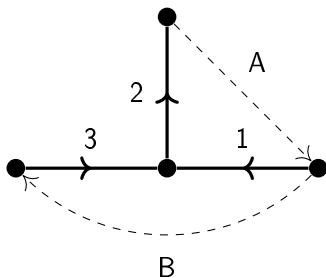
G cycle \implies network matrix $\begin{bmatrix} \mathbf{I}_n & -\mathbf{1}_n \end{bmatrix}$.



$$\begin{bmatrix} 1 & 0 & -1 \\ 0 & 1 & -1 \end{bmatrix}$$

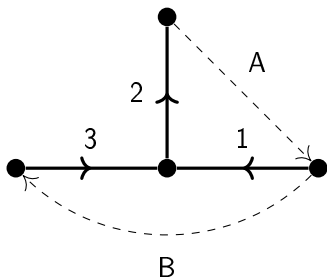
Strongly connected \iff PSS ?

Add an ear. What happens ?



Strongly connected \iff PSS ?

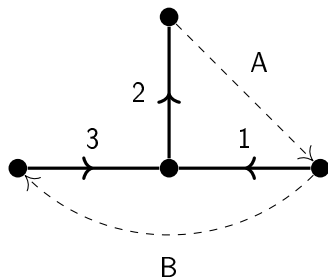
The **previous matrix** is contained in the new one...



$$\begin{bmatrix} 1 & 0 & 0 & -1 & 1 \\ 0 & 1 & 0 & -1 & 0 \\ 0 & 0 & 1 & 0 & -1 \end{bmatrix}$$

Strongly connected \iff PSS ?

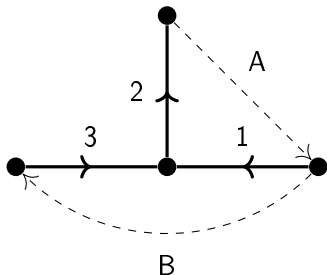
...with **zeros** ending its columns.



$$\begin{bmatrix} 1 & 0 & 0 & -1 & 1 \\ 0 & 1 & 0 & -1 & 0 \\ 0 & 0 & 1 & 0 & -1 \end{bmatrix}$$

Strongly connected \iff PSS ?

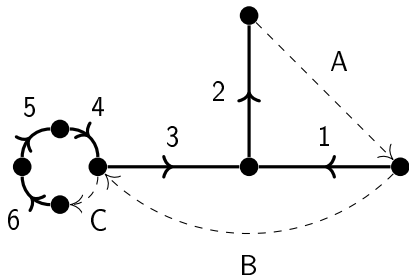
The new column ends with -1.



$$\begin{bmatrix} \mathbf{I}_3 & \begin{matrix} -1 & 1 \\ -1 & 0 \\ 0 & -1 \end{matrix} \end{bmatrix}$$

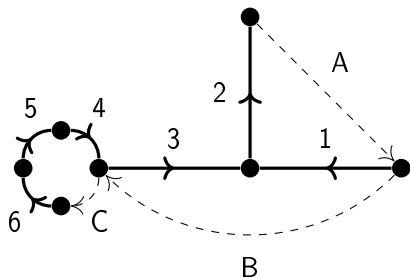
Strongly connected \iff PSS ?

Add another ear.



Strongly connected \iff PSS ?

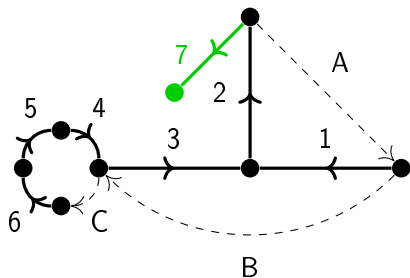
Same phenomenon ! **nec** matrix !



$$\begin{bmatrix} \mathbb{I}_6 & \begin{matrix} -1 & 1 & 0 \\ -1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & -1 \\ 0 & 0 & -1 \\ 0 & 0 & -1 \end{matrix} \end{bmatrix}$$

Strongly connected \iff PSS ?

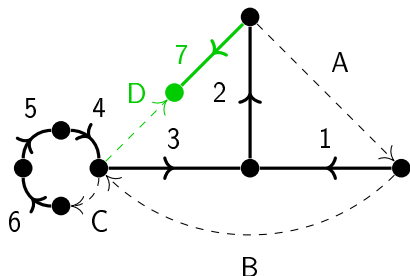
Non-ears break the pattern.



$$I_7 = \begin{bmatrix} -1 & 1 & 0 \\ -1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & -1 \\ 0 & 0 & -1 \\ 0 & 0 & -1 \\ 0 & 0 & 0 \end{bmatrix}$$

Strongly connected \iff PSS ?

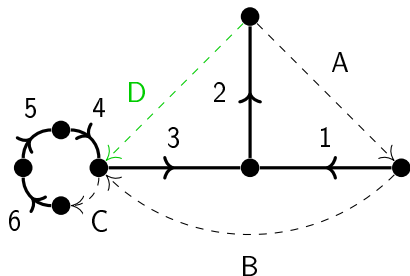
Non-ears break the pattern.



$$\begin{bmatrix} -1 & 1 & 0 & 0 \\ -1 & 0 & 0 & 1 \\ 0 & -1 & 0 & 1 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

Strongly connected \iff PSS ?

Trivial ears add useless columns.



$$\mathbf{I}_6 \begin{bmatrix} -1 & 1 & 0 & 0 \\ -1 & 0 & 0 & -1 \\ 0 & -1 & 0 & -1 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & -1 & 0 \end{bmatrix}$$

Graphs and PSSs

Theorem

Graph strongly connected \iff its network matrices are PSSs !

Remark

Characterizations of strongly connected digraphs can be restated in the language of linear algebra !

Restating properties

Characterization

G strongly connected \iff a network matrix is $\begin{bmatrix} I & N & X \end{bmatrix}$ (N nec).

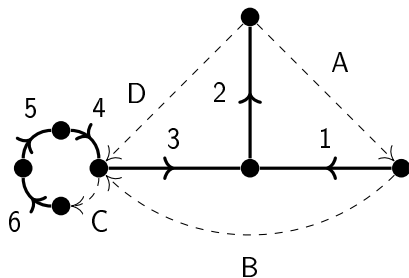
G not strongly connected \iff it is $\begin{bmatrix} I & N & X \\ 0 & 0 & A \end{bmatrix}$ (A non-negative).

Restating properties

Characterization

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G is strongly connected.

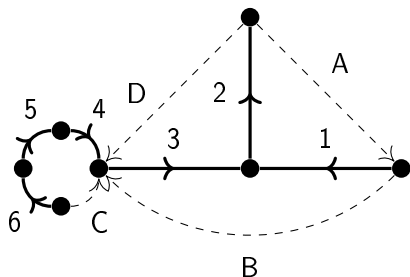
	I	N	X
I_6	$\begin{bmatrix} -1 & -1 & 0 & 0 & 0 & 0 \end{bmatrix}$	$\begin{bmatrix} 1 & 0 & -1 & 0 & 0 & 0 \end{bmatrix}$	$\begin{bmatrix} 0 & -1 & 0 & 0 & 0 & 0 \end{bmatrix}$

Restating properties

Characterization

G strongly connected \iff a network matrix is $\begin{bmatrix} I & N & X \end{bmatrix}$ (N nec).

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G is not strongly connected.

$$\begin{bmatrix} \begin{array}{cc|cc|cc} I & N & X \\ \hline I_3 & \begin{array}{cc} -1 & 1 \\ -1 & 0 \\ 0 & -1 \end{array} & \begin{array}{cc} 0 & 0 \\ 0 & -1 \\ 0 & -1 \end{array} & 0_3 \\ \hline 0_3 & \begin{array}{cc} 0 & 0 \\ 0 & 0 \\ 0 & 0 \end{array} & \begin{array}{cc} 1 & 0 \\ 1 & 0 \\ 1 & 0 \end{array} & I_3 \end{array} \end{bmatrix}$$

$\begin{array}{cc|cc|cc} 0 & 0 & A \end{array}$

Restating properties

Characterization

G strongly connected \iff a network matrix is $\begin{bmatrix} I & N & X \end{bmatrix}$ (N nec).

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The property can be generalized !

Characterization

M PSS $\iff M \equiv \begin{bmatrix} I & N & X \end{bmatrix}$ (N nec).

M not PSS $\iff M \equiv \begin{bmatrix} I & N & X \\ 0 & 0 & A \end{bmatrix}$ (A non-negative).

- 1 Structural equivalence
- 2 Characterizing PSSs
- 3 Characterizing positive bases

A very nice conjecture

Characterization

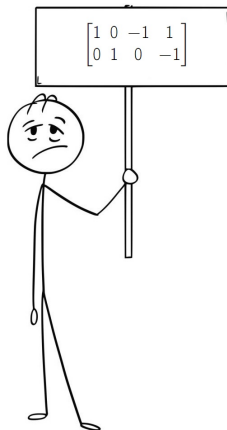
M PSS \iff it is equivalent to $\begin{bmatrix} I & N & X \end{bmatrix}$ (N nec).

M not PSS \iff it is equivalent to $\begin{bmatrix} I & N & X \\ 0 & 0 & A \end{bmatrix}$ (A non-negative).



If I remove this X block,
I probably get a
characterization of
positive bases !

...well, maybe not



Nec matrices

Definition (Negative echelon column matrix)

$N \in \mathbb{R}^{n \times s}$ is a **nec matrix** if

- 1 Each column ends with zeros.
- 2 Each has less zeros than its predecessor.
- 3 Each has a block of -1 above the zeros.
- 4 The other values are arbitrary.

except the last.

the first column starts with it.

Example

$$\begin{bmatrix} -1 & \times & \times \\ 0 & -1 & \times \\ 0 & -1 & \times \\ 0 & -1 & \times \\ 0 & -1 & \times \\ 0 & 0 & -1 \\ 0 & 0 & -1 \end{bmatrix}, \quad \begin{bmatrix} -1 & \times & \times & \times \\ -1 & \times & \times & \times \\ 0 & -1 & \times & \times \\ 0 & -1 & \times & \times \\ 0 & 0 & -1 & \times \\ 0 & 0 & 0 & -1 \end{bmatrix}, \quad \begin{bmatrix} -1 & \times & \times \\ -1 & \times & \times \\ 0 & -1 & \times \\ 0 & 0 & -1 \\ 0 & 0 & -1 \\ 0 & 0 & -1 \end{bmatrix}.$$

Creating a basis

$$\begin{bmatrix}
 & & & \\
 & & & \\
 & & & \\
 & & & \\
 & & & \\
 & & & \\
 & & & \\
 & & & \\
 & & & \\
 & & &
 \end{bmatrix}
 \mathbb{I}_{10}
 \begin{bmatrix}
 -1 & \times & \times & \times \\
 -1 & \times & \times & \times \\
 0 & -1 & \times & \times \\
 0 & -1 & \times & \times \\
 0 & -1 & \times & \times \\
 0 & 0 & -1 & \times \\
 0 & 0 & 0 & -1 \\
 0 & 0 & 0 & -1 \\
 0 & 0 & 0 & -1 \\
 0 & 0 & 0 & -1
 \end{bmatrix}
 \begin{matrix}
 x_2 \\
 x_2 \\
 x_3 \\
 x_3 \\
 x_3 \\
 x_1 \\
 \\
 \\
 \\
 \end{matrix}$$

We see three 'arbitrary' blocks.

Creating a basis

$$\begin{bmatrix}
 & & & \\
 & & & \\
 & & & \\
 & & & \\
 & & & \\
 & & & \\
 & & & \\
 & & & \\
 & & & \\
 & & &
 \end{bmatrix}
 \mathbb{I}_{10}
 \begin{bmatrix}
 -1 & \times & \times & \times \\
 -1 & \times & \times & \times \\
 0 & -1 & \times & \times \\
 0 & -1 & \times & \times \\
 0 & -1 & \times & \times \\
 0 & 0 & -1 & \times \\
 0 & 0 & 0 & -1 \\
 0 & 0 & 0 & -1 \\
 0 & 0 & 0 & -1 \\
 0 & 0 & 0 & -1
 \end{bmatrix}
 \begin{matrix}
 x_2 \\
 x_2 \\
 x_3 \\
 x_3 \\
 x_3 \\
 x_1 \\
 \\
 \\
 \\
 \end{matrix}$$

Let's list the restrictions on these blocks.

Creating a basis

$$\begin{bmatrix} -1 & \times & \times & \times \\ -1 & \times & \times & \times \\ 0 & -1 & \times & \times \\ 0 & -1 & \times & \times \\ 0 & -1 & \times & \times \\ 0 & 0 & -1 & \times \\ 0 & 0 & 0 & -1 \\ 0 & 0 & 0 & -1 \\ 0 & 0 & 0 & -1 \\ 0 & 0 & 0 & -1 \end{bmatrix} \begin{matrix} \\ \\ \\ \\ \\ X_1 \\ \\ \\ \\ \end{matrix}$$

X_2

 X_3

 X_1

I_{10}

- Vectors in $\text{cone}(X_i)$ do not have one positive coordinate.

Creating a basis

$$\begin{bmatrix}
 -1 & \boxed{\times} & \times & \times \\
 -1 & \boxed{\times} & \times & \times \\
 0 & -1 & \boxed{\times} & \times \\
 0 & -1 & \boxed{\times} & \times \\
 0 & -1 & \boxed{\times} & \times \\
 0 & 0 & -1 & \boxed{\times} \\
 0 & 0 & 0 & -1 \\
 0 & 0 & 0 & -1 \\
 0 & 0 & 0 & -1 \\
 0 & 0 & 0 & -1
 \end{bmatrix}
 \begin{matrix}
 \\ \\ \\ \\ \\ X_1 \\ \\ \\ \\ X_2 \\ \\ X_3
 \end{matrix}$$

I_{10}

- Vectors in $\text{cone}(X_i)$ do not have one positive coordinate.
- Vectors in $\text{cone}(X_i)$ are not negative.

Creating a basis

$$\mathbf{I}_{10} = \begin{bmatrix} -1 & 3 & 9 & 2 \\ -1 & 3 & 9 & 2 \\ 0 & -1 & \times & \times \\ 0 & -1 & \times & \times \\ 0 & -1 & \times & \times \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & -1 \\ 0 & 0 & 0 & -1 \\ 0 & 0 & 0 & -1 \\ 0 & 0 & 0 & -1 \end{bmatrix} \quad \mathbf{X}_3$$

- The max entry of $x \in \text{cone}(X_i)$, when positive, is not unique.
- Vectors in $\text{cone}(X_i)$ are not negative.

\Rightarrow Columns of X_2 are positive multiples of a same $y \in \{1_2, -e_1, -e_2\}$.

Creating a basis

$$\begin{bmatrix} -1 & 3 & 9 & 2 \\ -1 & 3 & 9 & 2 \\ 0 & -1 & 0 & 0 \\ 0 & -1 & -3 & 5 \\ 0 & -1 & -3 & 5 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & -1 \\ 0 & 0 & 0 & -1 \\ 0 & 0 & 0 & -1 \\ 0 & 0 & 0 & -1 \end{bmatrix}$$

I_{10}

- The max entry of $x \in \text{cone}(X_i)$, when positive, is not unique.
- Vectors in $\text{cone}(X_i)$ are not negative.

There are no other restrictions !

Characterizing bases

Definition (Critical set)

$K(\mathbb{R}^n)$ is the set of vectors v satisfying condition 1 or 2.

- ① $v \leq 0_n$ & $\exists i, v_i = 0$.
- ② $\exists i, j, v_i = v_j = \max_{k \leq n} v_k > 0$.

Characterizing bases

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Theorem

$$\left[\begin{array}{c|c|c} I_n & \begin{array}{c} \boxed{\begin{array}{c} -1 \\ \dots \\ -1 \end{array}} & X_{n_1} \\ 0 & \begin{array}{c} \boxed{\begin{array}{c} -1 \\ \dots \\ -1 \end{array}} & X_{n_2} \\ & 0 & \dots \end{array} \right] \dots \text{recursive}$$

is a basis $\iff \forall i, \text{cone}(X_{n_i}) \subset K(\mathbb{R}^{n_i})$.

Near-maximal bases

Proposition

$$M \text{ has size } 2n - 1 \iff M \equiv \begin{bmatrix} & -1 & 0 \\ I_n & -1 & x^\top \\ & 0 & -I_{n-2} \end{bmatrix}, x \in \mathbb{R}^{n-2}, x \leq 0_{n-2}.$$

Example

$$\text{Basis of size 7 in } \mathbb{R}^4 \iff \text{equivalent to } \begin{bmatrix} 1 & 0 & 0 & 0 & -1 & 0 & 0 \\ 0 & 1 & 0 & 0 & -1 & -x & -y \\ 0 & 0 & 1 & 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 & -1 \end{bmatrix},$$

where $x \leq 0$ and $y \leq 0$.

Near-minimal bases

Proposition

$$|M| = n + 2 \iff M \equiv \begin{bmatrix} I_n & -1_k & x \\ 0_{n-k} & -1_{n-k} & \end{bmatrix}, \quad \begin{cases} 1 \leq k < n, x \in \mathbb{R}^k \\ x \leq 0, x_1 = 0 \end{cases}.$$

Example

$$\text{Basis of size 5 in } \mathbb{R}^3 \iff \text{equivalent to } \begin{bmatrix} 1 & 0 & 0 & -1 & 0 \\ 0 & 1 & 0 & -1 & x \\ 0 & 0 & 1 & 0 & -1 \end{bmatrix}, x \leq 0.$$

Conclusion

In a nutshell

- Digraphs are pretty cool.
- The notion of PSS generalizes that of strongly connected digraph.
- Knowing so allows to find new properties of PSSs.

Perspectives

- Characterizing blocks with even more rows.
- Characterizing blocks with few columns.
- Characterizing PkSSs in a similar fashion.

Thanks
for your attention !