

# 5

## Divide & Conquer

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# Learning Outcomes

## Unit 5: *Divide & Conquer*

1. Know the steps of the Divide & Conquer paradigm.
2. Be able to design and analyze new algorithms using the Divide & Conquer paradigm.

# Outline

## 5 Divide & Conquer

5.1 Order Statistics

5.2 Further D&C Algorithms

# Divide and conquer

**Divide and conquer** *idiom* (Latin: *divide et impera*)

to make a group of people disagree and fight with one another  
so that they will not join together against one

(Merriam-Webster Dictionary)

↪ in politics as in algorithms, many independent, small problems are better than a big one!

## Divide-and-conquer algorithms:


1. Break problem into smaller, independent subproblems. (Divide!)
2. Recursively solve all subproblems. (Conquer!)
3. Assemble solution for original problem from solutions for subproblems.

## Examples:

- ▶ Mergesort
- ▶ Quicksort
- ▶ Binary search
- ▶ (arguably) Tower of Hanoi

## 5.1 Order Statistics

# Selection by Rank

- ▶ Standard data summary of numerical data: (Data scientists, listen up!)
    - ▶ mean, standard deviation
    - ▶ min/max (range)
    - ▶ histograms
    - ▶ median, quartiles, other quantiles (a.k.a. order statistics)
- } easy to compute in  $\Theta(n)$  time
-  computable in  $\Theta(n)$  time?

## General form of problem: Selection by Rank

- ▶ **Given:** array  $A[0..n)$  of numbers and number  $k \in [0..n)$ .
- ▶ **Goal:** find element that would be in position  $k$  if  $A$  was sorted ( $k$ th smallest element).
- ▶  $k = \lfloor n/2 \rfloor \rightsquigarrow$  median;  $k = \lfloor n/4 \rfloor \rightsquigarrow$  lower quartile  
 $k = 0 \rightsquigarrow$  minimum;  $k = n - \ell \rightsquigarrow \ell$ th largest

but 0-based &  
counting dups

# Quickselect

- ▶ Key observation: Finding the element of rank  $k$  seems hard.  
But computing the rank of a given element is easy!

↪ Pick any element  $A[b]$  and find its rank  $j$ .

↖ count smaller elements

- ▶  $j = k$ ? ↪ Lucky Duck! Return chosen element and stop
- ▶  $j < k$ ? ↪ ... not done yet. But: The  $j + 1$  elements smaller than  $\leq A[b]$  can be excluded!
- ▶  $j > k$ ? ↪ similarly exclude the  $n - j$  elements  $\geq A[b]$

▶ partition function from Quicksort:

- ▶ returns the rank of pivot
- ▶ separates elements into smaller/larger

↪ can use same building blocks

(recursion can be replaced by loop)

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```
1 procedure quickselect( $A[l..r]$ ,  $k$ )
2   if  $r - l \leq 1$  then return  $A[l]$ 
3    $b :=$  choosePivot( $A[l..r]$ )
4    $j :=$  partition( $A[l..r]$ ,  $b$ )
5   if  $j == k$ 
6     return  $A[j]$ 
7   else if  $j < k$ 
8     quickselect( $A[j + 1..n]$ ,  $k - j - 1$ )
9   else //  $j > k$ 
10    quickselect( $A[0..j]$ ,  $k$ )
```

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# Quickselect Discussion

👎  $\Theta(n^2)$  worst case (like Quicksort)

👍 can prove: expected cost  $\Theta(n)$

👍 no extra space needed

👍 adaptations possible to find several order statistics



For practical purposes, Quickselect is fine.



Yeah . . . maybe. But can we select by rank in  $O(n)$  **worst case**?



# Better Pivots

It turns out, we can!

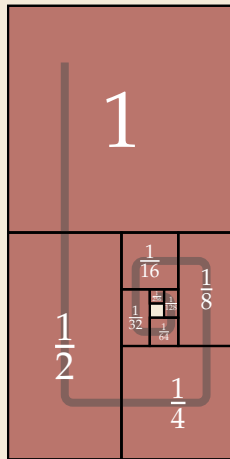
- ▶ All we need is better pivots!
  - ▶ If pivot was the exact median, we would at least halve #elements in each step
  - ▶ Then the total cost of all partitioning steps is  $\leq 2n = \Theta(n)$ .



But: finding medians is (basically) our original problem!



It totally suffices to find an element of rank  $\alpha n$  for  $\alpha \in (\varepsilon, 1 - \varepsilon)$  to get overall costs  $\Theta(n)$ !



# The Median-of-Medians Algorithm

```

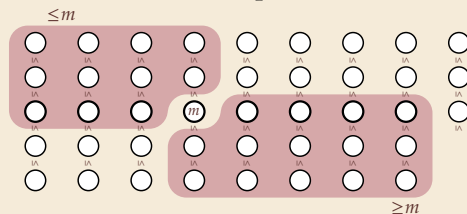
1 procedure choosePivotMoM( $A[l..r]$ )
2    $m := \lfloor n/5 \rfloor$ 
3   for  $i := 0, \dots, m-1$ 
4      $\text{sort}(A[5i..5i+4])$ 
5     // collect median of 5
6     Swap  $A[i]$  and  $A[5i+2]$ 
7   return quickselectMoM( $A[0..m]$ ,  $\lfloor \frac{m-1}{2} \rfloor$ )
8
9 procedure quickselectMoM( $A[l..r]$ ,  $k$ )
10  if  $r - l \leq 1$  then return  $A[l]$ 
11   $b := \text{choosePivotMoM}(A[l..r])$ 
12   $j := \text{partition}(A[l..r], b)$ 
13  if  $j == k$ 
14    return  $A[j]$ 
15  else if  $j < k$ 
16    quickselectMoM( $A[j+1..n]$ ,  $k - j - 1$ )
17  else //  $j > k$ 
18    quickselectMoM( $A[0..j]$ ,  $k$ )

```

## Analysis:

► Note: 2 mutually recursive procedures  
 $\rightsquigarrow$  effectively 2 recursive calls!

1. recursive call inside choosePivotMoM on  $m \leq \frac{n}{5}$  elements
2. recursive call inside quickselectMoM



$\rightsquigarrow$  partition excludes  $\sim 3 \cdot \frac{m}{2} \sim \frac{3}{10}n$  elem.

$$\begin{aligned}
 \rightsquigarrow C(n) &\leq \Theta(n) + C\left(\frac{1}{5}n\right) + C\left(\frac{7}{10}n\right) \\
 &\leq \Theta(n) + C\left(\frac{1}{5}n + \frac{7}{10}n\right) \\
 &= \Theta(n) + C\left(\frac{9}{10}n\right) \rightsquigarrow C(n) = \Theta(n)
 \end{aligned}$$

ansatz: overall cost linear

## 5.2 Further D&C Algorithms

# Majority

- ▶ **Given:** Array  $A[0..n)$  of objects
- ▶ **Goal:** Check if there is an object  $x$  that occurs at  $> \frac{n}{2}$  positions in  $A$   
if so, return  $x$
- ▶ Naive solution: check each  $A[i]$  whether it is a majority  $\rightsquigarrow \Theta(n^2)$  time

Can be solved faster using a simple Divide & Conquer approach:

- ▶ If  $A$  has a majority, that element must also be a majority of at least one half of  $A$ .  
 $\rightsquigarrow$  Can find majority (if it exists) of left half and right half recursively  
 $\rightsquigarrow$  Check these  $\leq 2$  candidates.
- ▶ Costs similar to mergesort  $\Theta(n \log n)$

# Majority – Linear Time

We can actually do much better!

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```
1 def MJRTY(A[0..n])
2   c := 0
3   for i := 1, ..., n - 1
4     if c == 0
5       x := A[i]; c := 1
6     else
7       if A[i] == x then c := c + 1 else c := c - 1
8   return x
```

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► MJRTY(A[0..n]) returns *candidate* majority element

► either that candidate is the majority element or none exists(!)

👍 Clearly  $\Theta(n)$  time



# Closest pair

- ▶ **Given:** Array  $P[0..n)$  of points in the plane  
each has  $x$  and  $y$  coordinates:  $P[i].x$  and  $P[i].y$
- ▶ **Goal:** Find pair  $P[i], P[j]$  that is closest in (Euclidean) distance
- ▶ Naive solution: compute distance of each pair  $\rightsquigarrow \Theta(n^2)$  time
- ▶ Can be done in  $O(n \log n)$  time using a clever divide & conquer algorithm.  
(Details not part of the module material.)