

# 9

# Graph Algorithms

*8 December 2025*

Prof. Dr. Sebastian Wild

# Learning Outcomes

## Unit 9: *Graph Algorithms*

1. Know basic terminology from graph theory, including types of graphs.
2. Know adjacency matrix and adjacency list representations and their performance characteristics.
3. Know graph-traversal based algorithm, including efficient implementations.
4. Be able to proof correctness of graph-traversal-based algorithms.
5. Know algorithms for maximum flows in networks.
6. Be able to model new algorithmic problems as graph problems.

## Outline

# 9 Graph Algorithms

- 9.1 Introduction & Definitions
- 9.2 Graph Representations
- 9.3 Graph Traversal
- 9.4 Breadth-First Search
- 9.5 Depth-First Search
- 9.6 Advanced Uses of DFS I
- 9.7 Advanced Uses of DFS II
- 9.8 Network flows
- 9.9 The Ford-Fulkerson Method
- 9.10 The Edmonds-Karp Algorithm

## 9.1 Introduction & Definitions

# Clicker Question

List all matching pairs:

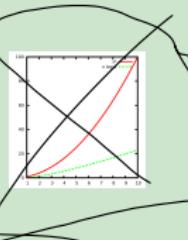


(A) Graph

(B) Graf

(C) Grave

(1)



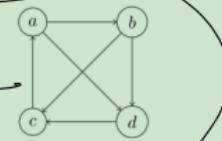
(4)



(2)



(5)



(3)



(6)

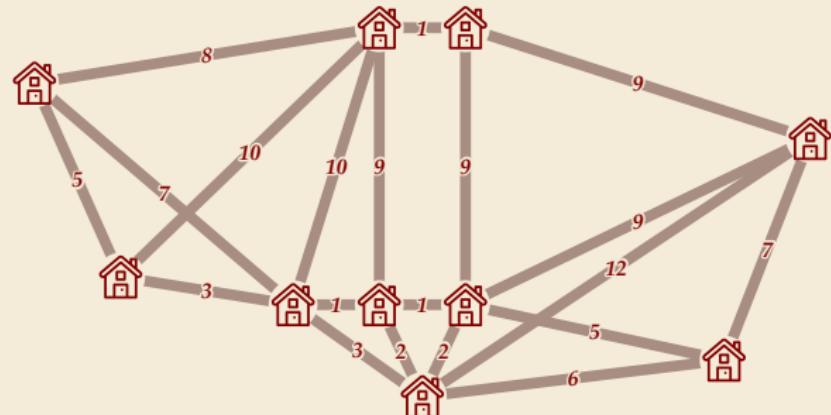
à



→ [sli.do/cs566](https://sli.do/cs566)

# Graphs in real life

- ▶ a graph is an abstraction of *entities* with their (pairwise) *relationships*
- ▶ abundant examples in real life (often called network there)
  - ▶ social networks: e. g. persons and their friendships, ...      *Five/Six? degrees of separation*
  - ▶ physical networks: cities and highways, roads networks, power grids etc., the Internet, ...
  - ▶ content networks: world wide web, ontologies, ...
  - ▶ ...



Many More examples, e. g., in Sedgewick & Wayne's videos:

<https://www.coursera.org/learn/algorithms-part2>

# Flavors of Graphs

- ▶ Since graphs are used to model so many different entities and relations, they come in several variants

Property	Yes	No
edges are one-way	<i>directed graph (digraph)</i>	<i>undirected graph</i>
$\leq 1$ edge between $u$ and $v$	<i>simple graph</i>	<i>multigraph / with parallel edges</i>
edges can lead from $v$ to $v$	with <i>loops</i>	(loop-free)
edges have weights	<i>(edge-) weighted graph</i>	<i>unweighted graph</i>

💡 any combination of the above can make sense ...

- ▶ Synonyms:
  - ▶ **vertex** („Knoten“) = node = point = „Ecke“
  - ▶ **edge** („Kante“) = arc = line = relation = arrow = „Pfeil“
  - ▶ **graph** = network

# Graph Theory

- ▶ default: unweighted, undirected, loop-free & simple graphs
- ▶ *Graph*  $G = (V, E)$  with
  - ▶  $V$  a finite set of *vertices*
  - ▶  $E \subseteq [V]^2$  a set of *edges*, which are 2-subsets of  $V$ :  $[V]^2 = \{e : e \subseteq V \wedge |e| = 2\}$

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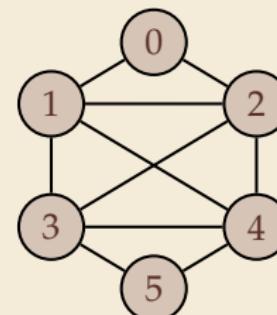
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## Example

$$V = \{0, 1, 2, 3, 4, 5\}$$

$$E = \{\{0, 1\}, \{1, 2\}, \{1, 4\}, \{1, 3\}, \{0, 2\}, \\ \{2, 4\}, \{2, 3\}, \{3, 4\}, \{3, 5\}, \{4, 5\}\}.$$

## Graphical representation



like so ...

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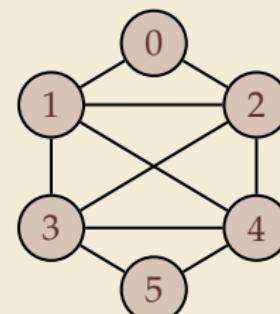
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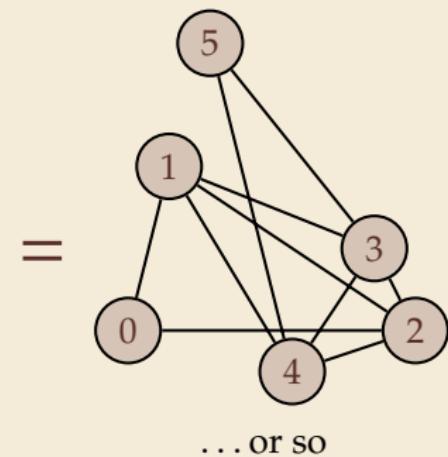
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## Graphical representation



like so ...



(same graph)

# Digraphs

- ▶ default digraph: unweighted, loop-free & simple
  - ▶ *Digraph (directed graph)*  $G = (V, E)$  with
    - ▶  $V$  a finite of *vertices*
    - ▶  $E \subseteq V^2 \setminus \{(v, v) : v \in V\}$  a set of (*directed*) *edges*,
- $$V^2 = V \times V = \{(x, y) : x \in V \wedge y \in V\} \text{ 2-tuples / ordered pairs over } V$$

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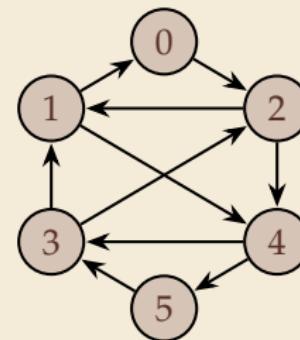
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$$E = \{(0, 2), (1, 0), (1, 4), (2, 1), (2, 4), (3, 1), (3, 2), (4, 3), (4, 5), (5, 3)\}$$

## Graphical representation



# Graph Terminology

## Undirected Graphs

- ▶  $V(G)$  set of vertices,  $E(G)$  set of edges
- ▶ write  $uv$  (or  $vu$ ) for edge  $\{u, v\}$
- ▶ edges *incident* at vertex  $v$ :  $E(v) = \{e : v \in e\}$
- ▶  $u$  and  $v$  are *adjacent* iff  $\{u, v\} \in E$ ,
- ▶ *neighborhood*  $N(v) = \{w \in V : w$  adjacent to  $v\}$
- ▶ *degree*  $d(v) = \underline{|E(v)|}$

## Directed Graphs (where different)

- ▶  $uv$  for  $(u, v)$
- ▶ iff  $(u, v) \in E \vee (v, u) \in E$
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- ▶ walk („Weg“)  $w[0..n]$  of length  $n$ : sequence of vertices with  $\forall i \in [0..n] : w[i]w[i + 1] \in E$
  - ▶ path („Pfad“)  $p$  is a (vertex-) simple walk: no duplicate vertices except possibly its endpoints
  - ▶ *edge-simple* walk: no edge used twice
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  - ▶  $G$  is *connected*  
iff for all  $u \neq v \in V$  there is a path from  $u$  to  $v$
  - ▶  $G$  is *acyclic* iff  $\nexists$  cycle (of length  $n \geq 1$ ) in  $G$
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  - ▶ iff  $(u, v) \in E \vee (v, u) \in E$
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  - ▶ in-/out-degree  $d_{\text{in}}(v), d_{\text{out}}(v)$
  - ▶ *strongly connected* for digraphs  
(*weakly connected* = connected ignoring directions)

# Typical graph-processing problems

- ▶ **Path:** Is there a path between  $s$  and  $t$ ?  
**Shortest path:** What is the shortest path (distance) between  $s$  and  $t$ ?
- ▶ **Cycle:** Is there a cycle in the graph?  
**Euler tour:** Is there a cycle that uses each edge exactly once?  
**Hamilton(ian) cycle:** Is there a cycle that uses each vertex exactly once.
- ▶ **Connectivity:** Is there a way to connect all of the vertices?  
**MST:** What is the best way to connect all of the vertices?  
**Biconnectivity:** Is there a vertex whose removal disconnects the graph?
- ▶ **Planarity:** Can you draw the graph in the plane with no crossing edges?
- ▶ **Graph isomorphism:** Are two graphs the same up to renaming vertices?

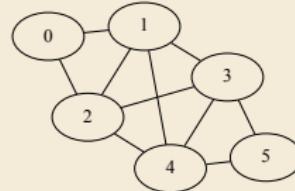
**Challenge:** Which of these problems  
can be computed in (near) linear time?  
in reasonable polynomial time?  
are intractable?

↑ can vary a lot, despite superficial similarity of problems

# Tools to work with graphs

- ▶ Convenient GUI to edit & draw graphs: *yEd live*  
[yworks.com/yed-live](http://yworks.com/yed-live)
- ▶ *graphviz* cmdline utility to draw graphs
  - ▶ Simple text format for graphs: DOT

```
graph G {
    0 --- 2;      2 --- 4;
    1 --- 0;      2 --- 3;
    1 --- 4;      3 --- 4;
    1 --- 3;      3 --- 5;
    2 --- 1;      4 --- 5;
}
```



```
dot -Tpdf graph.dot -Kfdp > graph.pdf
```

- ▶ graphs are typically not built into programming languages, but libraries exist
  - ▶ e. g. part of *Google Guava* for Java
  - ▶ they usually allow arbitrary objects as vertices
  - ▶ aimed at ease of use

## 9.2 Graph Representations

# Graphs in Computer Memory

- ▶ We defined graphs in set-theoretic terms...  
but computers can't directly deal with sets efficiently
- ~~ need to choose a *representation* for graphs.
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## Key Operations:

- ▶ `isAdjacent( $u, v$ )`  
Test whether  $uv \in E$
- ▶ `adj( $v$ )`  
Adjacency list of  $v$  (iterate through (out-)neighbors of  $v$ )
- ▶ most others can be computed based on these

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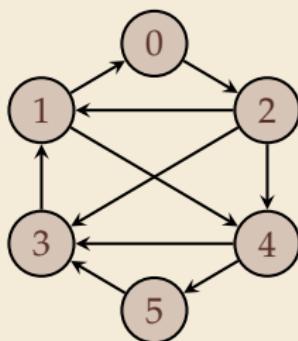
## Conventions:

- ▶ (di)graph  $G = (V, E)$  (omitted if clear from context)
- ▶  $n = |V|, m = |E|$
- ▶ in implementations assume  $V = [0..n]$  (if needed, use symbol table to map complex objects to  $V$ )

# Adjacency Matrix Representation

- adjacency matrix  $A \in \{0, 1\}^{n \times n}$  of  $G$ : matrix with  $A[u, v] = [uv \in E]$ 
  - works for both directed and undirected graphs (undirected  $\rightsquigarrow A = A^T$  symmetric)
  - can use a weight  $w(uv)$  or multiplicity in  $A[u, v]$  instead of 0/1
  - can represent loops via  $A[v, v]$

Example:

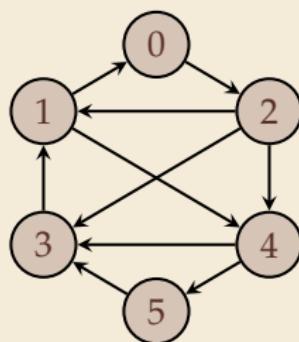


$$A = \begin{pmatrix} 0 & 0 & 1 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 1 & 1 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 1 \\ 0 & 0 & 0 & 1 & 0 & 0 \end{pmatrix}$$

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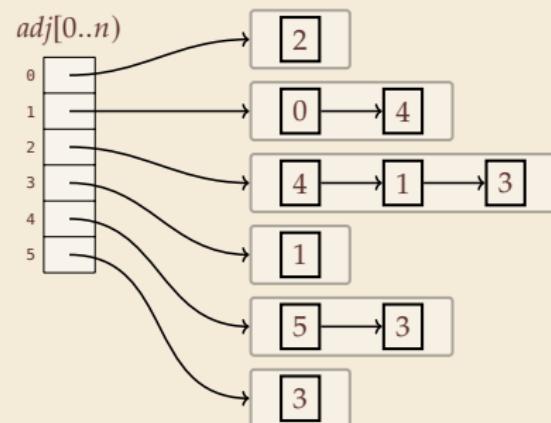
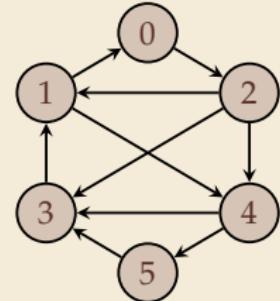
👍 isAdjacent in  $O(1)$  time

👎  $O(n^2)$  (bits of) space wasteful for sparse graphs

👎  $\text{adj}(v)$  iteration takes  $O(n)$  (independent of  $d(v)$ )

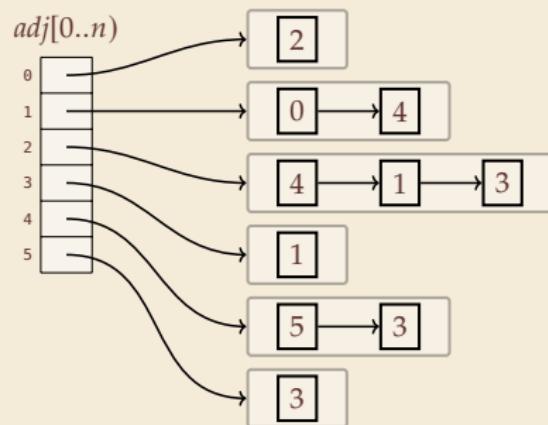
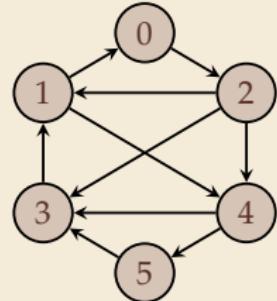
# Adjacency List Representation

- ▶ Store a linked list of neighbors for each vertex  $v$ :
  - ▶  $adj[0..n]$  bag of neighbors (as linked list)
  - ▶ undirected edge  $\{u, v\}$   $\rightsquigarrow v$  in  $adj[u]$  and  $u$  in  $adj[v]$
  - ▶ weighted edge  $uv$   $\rightsquigarrow$  store pair  $(v, w(uv))$  in  $adj[u]$
  - ▶ multiple edges and loops can be represented



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👎  $\text{isAdjacent}(u, v)$  takes  $\Theta(d(u))$  time (worst case)

👍  $\text{adj}(v)$  iteration  $O(1)$  per neighbor

👍  $\Theta(n + m)$  (words of) space for any graph      ( $\ll \Theta(n^2)$  bits for moderate  $m$ )

⇝ de-facto standard for graph algorithms

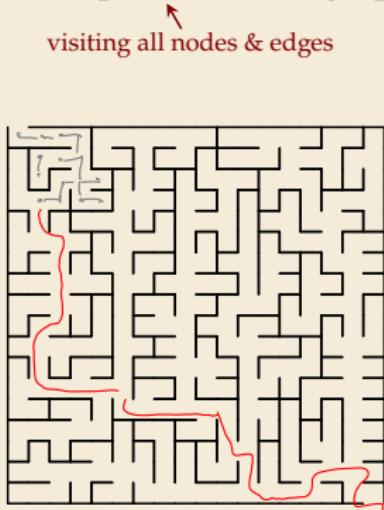
# Graph Types and Representations

- ▶ Note that adj matrix and lists for undirected graphs effectively are representation of directed graph with directed edges both ways
  - ▶ conceptually still important to distinguish!
- ▶ multigraphs, loops, edge weights all naturally supported in adj lists
  - ▶ good if we allow and use them
  - ▶ but requires explicit checks to enforce simple / loopfree / bidirectional!
- ▶ we focus on **static graphs**  
dynamically changing graphs much harder to handle

## 9.3 Graph Traversal

# Generic Graph Traversal

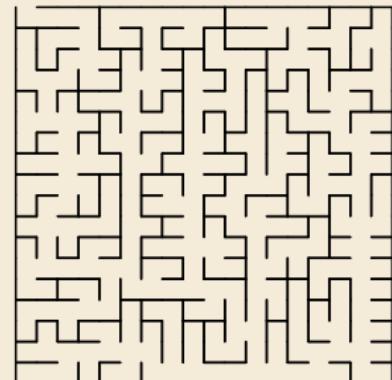
- ▶ Plethora of graph algorithms can be expressed as a systematic exploration of a graph
  - ▶ depth-first search, breadth-first search
  - ▶ connected components
  - ▶ detecting cycles
  - ▶ topological sorting
  - ▶ Hierholzer's algorithm for Euler walks
  - ▶ strong components
  - ▶ testing bipartiteness
  - ▶ Dijkstra's algorithm
  - ▶ Prim's algorithm
  - ▶ Lex-BFS for perfect elimination orders of chordal graphs
  - ▶ ...



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visiting all nodes & edges



- ~~ Formulate generic traversal algorithm
- ▶ first in abstract terms to argue about correctness
  - ▶ then again for concrete instance with efficient data structures

# Tricolor Graph Traversal

- ▶ maintain vertices in 3 (dynamic) sets
  - ▶ Gray: unseen vertices  
The traversal has not reached these vertices so far.
  - ▶ **Red: active vertices** (a.k.a. frontier („Rand“) of traversal)  
Vertices that have been reached and some unexplored edges remain;  
initially some selected start vertices  $\underline{S}$ .
  - ▶ **Green: done vertices** (a.k.a. visited vertices)  
Visited vertices with all their edges explored.
- ▶ maintain edge status: either **unused** or **used**

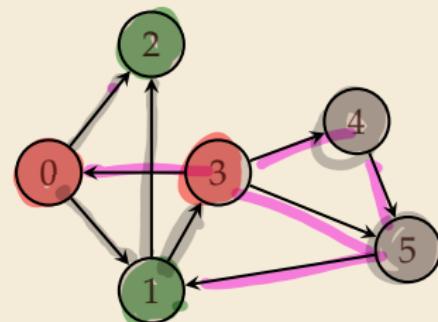
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## Tricolor Graph Search:

- ▶ Repeat until no more changes:
  - (T1) Pick arbitrary **active** vertex  $v$
  - (T2) If no more **unused** edges  $vw$ , mark  $v$  **done** (**D step**)
  - (T3) Else pick arbitrary **unused** edge  $vw$ , mark  $vw$  **used**
  - (T4) If  $w$  **unseen**, mark  $w$  **active** (**A step**)

Vertices “want” to be **done**.  
To do so, they turn neighbors **active**.



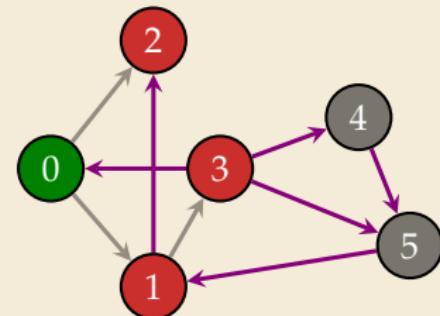
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# Generic Reachability – Correctness

## Theorem 9.1 (Generic Reachability)

When Tricolor Graph Search terminates, the following holds:

$v \in V$  is reachable from  $S$  iff  $v \in \text{done}$ .



$$\exists \text{ path } P[0..l] \quad P[0] \in S \quad \wedge \quad P[l] = v$$

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Proof:

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- **Invariant:** For every  $\text{done}$  or  $\text{active}$  vertex  $v$ , there exists a path from  $S$  to  $v$ .

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~~ in final state:

- ▶  $v \in \text{done}$  ~~  $\exists$  path from  $S$  to  $v$  ~~ reachable from  $S$   
 $\{uv,$

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When Tricolor Graph Search terminates, the following holds:

$v \in V$  is reachable from  $S$  iff  $v \in \text{done}$ .

Proof:

- ▶ We prove the following invariant (next slide)
- ▶ **Invariant:** For every  $\text{done}$  or  $\text{active}$  vertex  $v$ , there exists a path from  $S$  to  $v$ .

$\rightsquigarrow$  in final state:

$\Leftarrow$  ▶  $v \in \text{done} \rightsquigarrow \exists$  path from  $S$  to  $v \rightsquigarrow$  reachable from  $S$

$\Rightarrow$  ▶ Let  $v$  be reachable from  $S$ , i. e.,  $\exists$  path  $p[0..l]$  from  $p[0] \in S$  to  $p[l] = v$  of length  $l \leq n$ .

Assume towards a contradiction  $v \notin \text{done}$ .  $\rightsquigarrow v \in \text{unseen}$  ( $\text{active} = \emptyset$  upon termination).

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↝ in final state:

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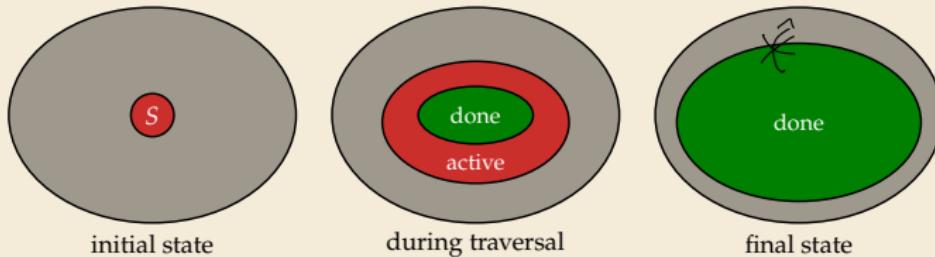
Let  $v$  be such a vertex with *minimal distance*  $l$  from  $S$ . ↝  $p[l-1] = w \in \text{done}$ .

But then  $wv$  *unused* and yet  $w$  was marked  $\text{done}$  ↳ (T2).

$P[l-1] \not\in P[2]$

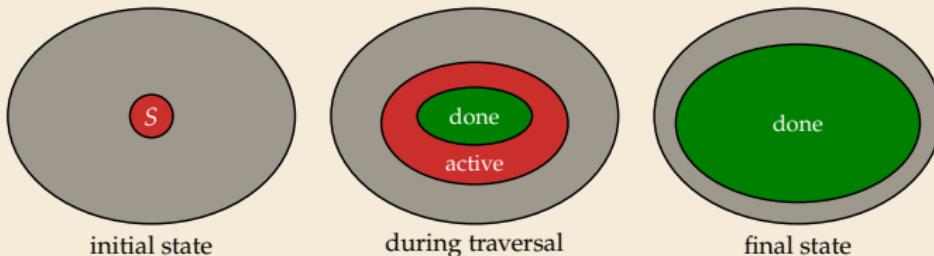
# Generic Reachability – Invariant

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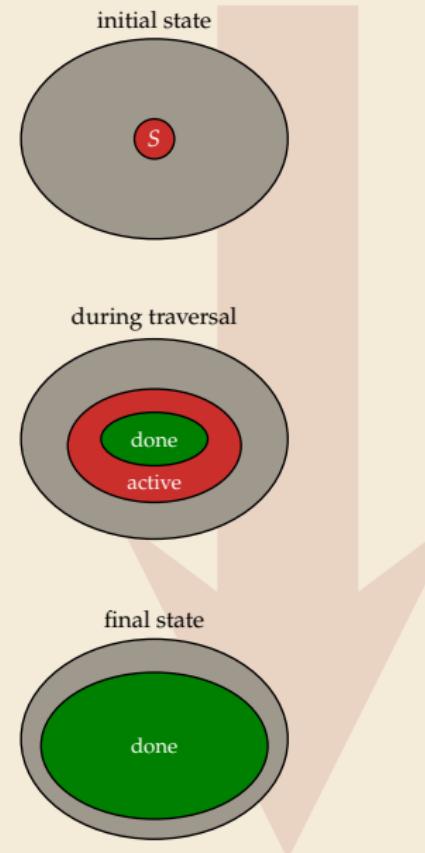
By induction over the number of executed steps of tricolor traversal.

- **IB:** (1) no *done* vertices yet. (2) ✓ *trivial path (w/o edges)*
- **IH:** Invariant fulfilled for first  $k$  steps.
- **IS:** Step  $k + 1$  is either *A step* (T3)–(T4) or *D step* (T2)
  - *A step:* new *active* vertex  $w$  reached via  $vw$  with  $v \in \text{active}$   
 $\exists$  path  $P[0..l]$  with  $P[0] \in S$  and  $P[l] = v$  by IH  $\rightsquigarrow$  path  $Pw$  from  $S$  to  $w$ .
  - *D step:* new *done* vertex previously was *active*, so  $\exists$  path from  $S$  to  $v$  by IH.



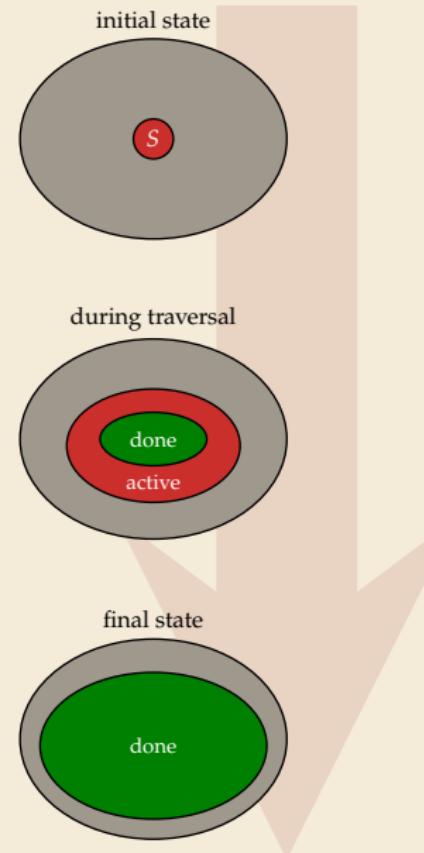
# Generic Tricolor Graph Traversal – Code

```
1 procedure genericGraphTraversal( $G, S$ ):  
2     // (di)graph  $G = (V, E)$  and start vertices  $S \subseteq V$   
3      $C[0..n] := \text{unseen}$  // Color array, all cells initialized to unseen  
4     for  $s \in S$  do  $C[s] := \text{active}$  end for  
5     unusedEdges :=  $E$   
6     while  $\exists v : C[v] == \text{active}$   
7          $v := \text{nextActiveVertex}()$  // Freedom 1: Which frontier vertex?  
8         if  $\nexists vw \in \text{unusedEdges}$  // no more edges from  $v \rightsquigarrow$  done with  $v$   
9              $C[v] := \text{done}$   
10        else  
11             $w := \text{nextUnusedEdge}(v)$  // Freedom 2: Which of its edges?  
12            if  $C[w] == \text{unseen}$   
13                 $C[w] := \text{active}$   
14                unusedEdges.remove( $vw$ )  
15            end if  
16        end while
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- ▶ Any implementation of `nextActiveVertex()` and `nextUnusedEdge(v)` suffices for correctness
- ▶ Choice depends on (and defines!) specific traversal-based graph algorithms

## 9.4 Breadth-First Search

# Data Structures for Frontier

- ▶ We need efficient support for
  - ▶ test  $\exists v : C[v] = \text{active}$ , `nextActiveVertex()`
  - ▶ test  $\exists vw \in \text{unusedEdges}$ , `nextUnusedEdge(v)`
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  - ▶ `unusedEdges` represented implicitly: edge used iff previously returned by  $i$ 
    - ~~ `unusedEdges.remove(vw)` doesn't need to do anything
  - ▶ Implement  $\exists v : C[v] = \text{active}$  via *frontier*.`isEmpty()`
  - ▶ Implement  $\exists vw \in \text{unusedEdges}$  via  $i.\text{hasNext}()$  assuming  $(v, i) \in \text{frontier}$
  - ▶ Implement `nextUnusedEdge(v)` via  $i.\text{next}()$  assuming  $(v, i) \in \text{frontier}$ 
    - ~~ all operations apart from `nextActiveVertex()` in  $O(1)$  time
    - ~~ *frontier* requires  $O(n)$  extra space

## Breadth-First Search

- Maintain *frontier* in a **queue** (FIFO: first in, first out)

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$$\underline{dist}_G(s, t) = \min\{\ell : \exists \text{ path } P[0..\ell] : P[0] = s \wedge P[\ell] = t\} \cup \{\infty\}$$

$$dist_G(S, t) = \min_{s \in S} dist_G(s, t)$$

$P[0] \rightarrow P[1] \rightarrow P[?]$

# Breadth-First Search

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- Like generic tricolor search, BFS finds vertices reachable from  $S$ . But it does more:

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A BFS from  $S \subseteq V$  reaches all vertices reachable from  $S$  via a shortest path.



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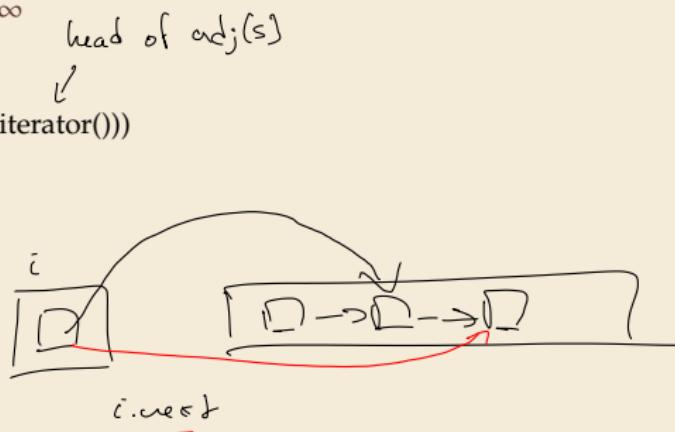
A BFS from  $S \subseteq V$  reaches all vertices reachable from  $S$  via a shortest path.



- To preserve paths, we collect extra information during traversal:
  - $\text{parent}[v]$  stores predecessor on path from  $S$  via which  $v$  was first reached (made *active*)
  - $\text{distFromS}[v]$  stores the length of this path

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4      $\text{frontier} := \text{new Queue};$   
5      $\text{parent}[0..n] := \text{NOT\_VISITED}; \text{distFromS}[0..n] := \infty$   
6     for  $s \in S$   
7          $\text{parent}[s] := \text{NONE}; \text{distFromS}[s] := 0$   
8          $C[s] := \text{active}; \text{frontier.enqueue}((s, G.\text{adj}[s].\text{iterator}()))$   
9     end for  
10    while  $\neg \text{frontier.isEmpty}()$   
11         $(v, i) := \text{frontier.peek}()$   
12        if  $\neg i.\text{hasNext}()$  //  $v$  has no unused edge  
13             $C[v] := \text{done}; \text{frontier.dequeue}()$   
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15             $w := i.\text{next}()$  // Advance  $i$  in  $\text{adj}[v]$   
16            if  $C[w] == \text{unseen}$   
17                 $\text{parent}[w] := v; \text{distFromS}[w] := \text{distFromS}[v] + 1$   
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- ▶  $\text{parent}$  stores a *shortest-path tree/forest*
- ▶ can retrieve shortest path to  $v$  from some vertex  $s \in S$  (backwards) by following  $\text{parent}[v]$  iteratively

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- ▶ running time  $\Theta(n + m)$
- ▶ extra space  $\Theta(n)$

# Breadth-First Search – Correctness

- ▶ BFS correctness directly follows from the following invariant.
- ▶ **BFS Invariant:**
  1. All *done* or *active* vertices were reached via a **shortest path** from  $S$
  2. Vertices enter and leave *frontier* in order of increasing  $\underline{\delta(v)}$

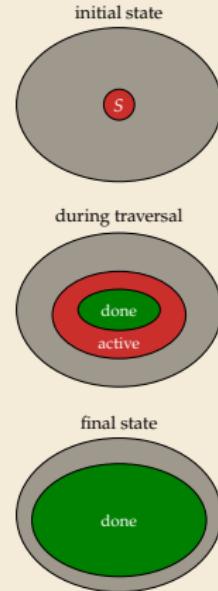
fewest edges

$$\underline{\delta(v)}$$

Proof:

By induction over number of steps. Abbreviate  $\underline{\delta(v)} := \text{dist}_G(S, v)$

- ▶ **IB:** (1) only  $S$  *active*, reached via path of length 0.  
(2) only  $S$  in *frontier*, minimal by  $\underline{\delta}$ . ✓
- ▶ **IH:** Invariant fulfilled for first  $k$  steps.



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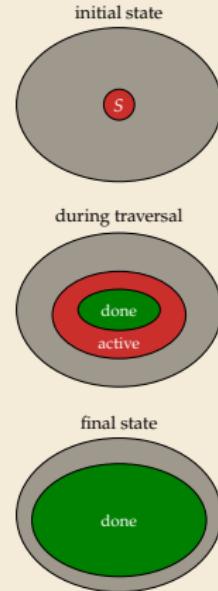
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  - ▶ *D step:*  $v$  moved from *active* to *done*  $\rightsquigarrow$  (1) unchanged. ( $\mathbb{I}^k$ )  
By IH,  $v$  entered *frontier* at correct time, queue keeps order  $\rightsquigarrow$  (2) ✓



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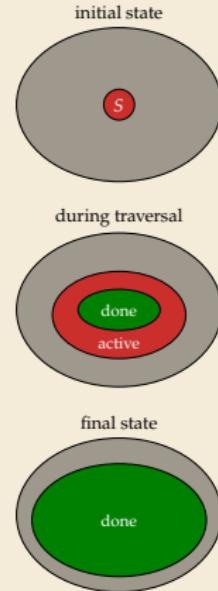
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By IH,  $v$  entered *frontier* at correct time, queue keeps order  $\rightsquigarrow$  (2) ✓
  - ▶ *A step, (i):*  $vw \in \text{unusedEdges}$  leads to  $w \in \text{active} \cup \text{done}$   
no changes, (1) and (2) ✓



## Breadth-First Search – Correctness [2]

Proof (cont.):

- ▶ A step, (ii):  $vw \in \text{unusedEdges}$  leads to  $w \in \text{unseen}$ 
  - ↝  $w$  is now marked *active* and enqueued in *frontier*.

## Breadth-First Search – Correctness [2]

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 $S \rightsquigarrow u \rightarrow x$

Any shortest path from  $S$  to  $x \notin S$  must go via some  $u$  with  $\delta(u) < \delta(v)$ , so  $u$  is **done**.

↝ all edges from  $u$ , including  $ux$ , have been **used**, thus  $x$  is **active** or **done**.

⇒ (2)



## 9.5 Depth-First Search

# Depth-First Search

- ▶ Maintain *frontier* in a **stack** (LIFO: last in, first out)
  - ▶ only consider  $S = \{s\}$
  - ▶ usual mode of operation: call `dfs( $v$ )` for all *unseen*  $v$ , for  $v = 0, \dots, n - 1$

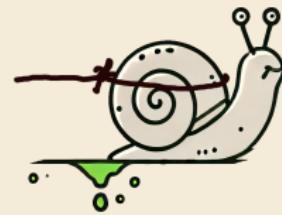
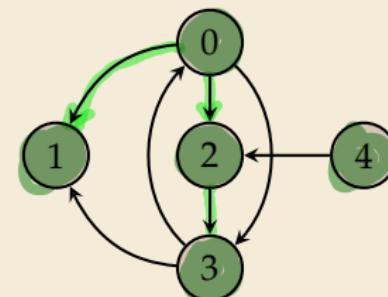
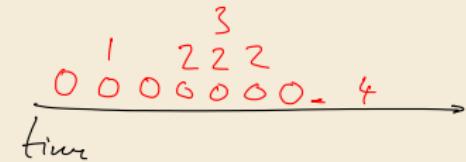
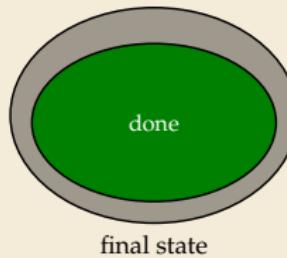
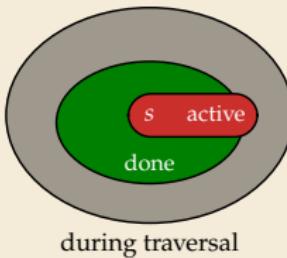
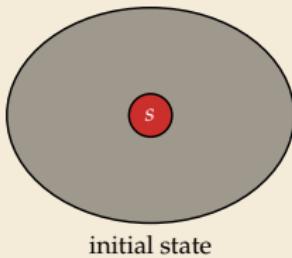
# Depth-First Search

- Maintain *frontier* in a **stack** (LIFO: last in, first out)

- only consider  $S = \{s\}$
- usual mode of operation: call  $\text{dfs}(v)$  for all *unseen*  $v$ , for  $v = 0, \dots, n - 1$

- **DFS Invariant:**

1. All *done* or *active* vertices are reached via a path from  $s$
2. The *active* vertices form a **single path** from  $s$



# Depth-First Search – Code

```
1 procedure dfsTraversal(G):
2     C[0..n) := unseen
3     for  $v := 0, \dots, n - 1$ 
4         if  $C[v] == \text{unseen}$ 
5             dfs( $G, v$ )
6
7 procedure dfs( $G, s$ ):
8     frontier := new Stack;
9     C[s] := active; frontier.push(( $s, G.\text{adj}[s].\text{iterator}()$ ))
10    while  $\neg \text{frontier.isEmpty}()$ 
11         $(v, i) := \text{frontier.top}()$ 
12        if  $\neg i.\text{hasNext}()$  //  $v$  has no unused edge
13            C[v] := done; frontier.pop(); postorderVisit( $v$ )
14        else
15             $w := i.\text{next}()$ ; visitEdge( $vw$ )
16            if  $C[w] == \text{unseen}$ 
17                preorderVisit( $w$ )
18                C[w] := active; frontier.push(( $w, G.\text{adj}[w].\text{iterator}()$ ))
19            end if
20        end if
21    end while
```

- ▶ define *hooks* to implement further operations
  - ▶ preorder: visit  $v$  when made *active* (start of  $T(v)$ )
  - ▶ postorder: visit  $v$  when marked *done* (end of  $T(v)$ )
  - ▶ visitEdge: do something for every edge
- ▶ if needed, can store DFS forest via *parent* array

# Depth-First Search – Code

```
1 procedure dfsTraversal(G):
2     C[0..n) := unseen
3     for v := 0, . . . , n − 1
4         if C[v] == unseen
5             dfs(G, v)
6
7 procedure dfs(G, s):
8     frontier := new Stack;
9     C[s] := active; frontier.push((s, G.adj[s].iterator()))
10    while ¬frontier.isEmpty()
11        (v, i) := frontier.top()
12        if ¬i.hasNext() // v has no unused edge
13            C[v] := done; frontier.pop(); postorderVisit(v)
14        else
15            w := i.next(); visitEdge(vw)
16            if C[w] == unseen
17                preorderVisit(w)
18                C[w] := active; frontier.push((w, G.adj[w].iterator()))
19            end if
20        end if
21    end while
```

- ▶ define *hooks* to implement further operations
  - ▶ preorder: visit *v* when made *active* (start of  $T(v)$ )
  - ▶ postorder: visit *v* when marked *done* (end of  $T(v)$ )
  - ▶ visitEdge: do something for every edge
- ▶ if needed, can store DFS forest via *parent* array
  - ▶ running time  $\Theta(n + m)$
  - ▶ extra space  $\Theta(n)$

## Simple DFS Application: Connected Components

- ▶ In an undirected graph, find all *connected components*.
  - ▶ Given: simple undirected  $G = (V, E)$
  - ▶ Goal: assign component ids  $CC[0..n]$ , s.t.  $CC[v] = CC[u]$  iff  $\exists$  path from  $v$  to  $u$

# Simple DFS Application: Connected Components

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---

```
1 procedure connectedComponents(G):
2   // undirected graph G = (V, E) with V = [0..n)
3   C[0..n) := unseen
4   CC[0..n) := NONE
5   id := 0
6   for v := 0, ..., n - 1
7     if C[v] == unseen
8       dfs(G, v)
9       id := id + 1
10  return CC
11
12 procedure preorderVisit(v):
13   CC[v] := id
```

---

```
1 // same as before
2 procedure dfs(G, s):
3   frontier := new Stack;
4   C[s] := active; frontier.push((s, G.adj[s].iterator()))
5   while ¬frontier.isEmpty()
6     (v, i) := frontier.top()
7     if ¬i.hasNext() // v has no unused edge
8       C[v] := done; frontier.pop()
9       postorderVisit(v)
10    else
11      w := i.next(); visitEdge(vw)
12      if C[w] == unseen
13        preorderVisit(w)
14        C[w] := active
15        frontier.push((w, G.adj[w].iterator()))
16      end if
17    end if
18  end while
```

---

# Dijkstra's Algorithm & Prim's Algorithm

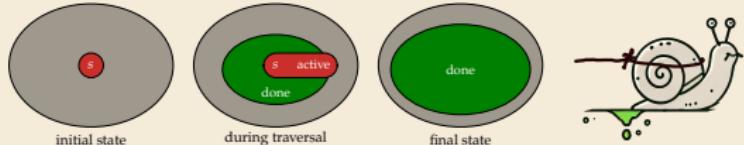
- ▶ On edge-weighted graphs, we can use tricolor traversal with a *priority queue* as *frontier*
  - ▶ Dijkstra's Algorithm for shortest paths from  $s$  in digraphs with weakly positive edge weights
    - ▶ priority of vertex  $v$  = length of shortest path known so far from  $s$  to  $v$
  - ▶ Prim's Algorithm for finding a minimum spanning tree
    - ▶ priority of vertex  $v$  = weight of cheapest edge connecting  $v$  to current tree
- ~~~ Detailed discussion in Unit 11

## 9.6 Advanced Uses of DFS I

# Properties of DFS

- ▶ Recall DFS Invariant (2):

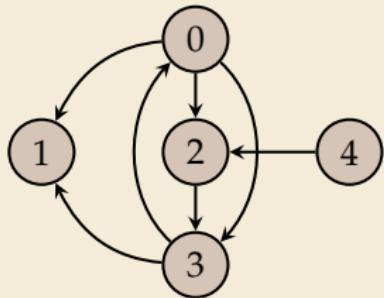
The *active* vertices form a **single path** from  $s$



input graph  $G$

DFS forest

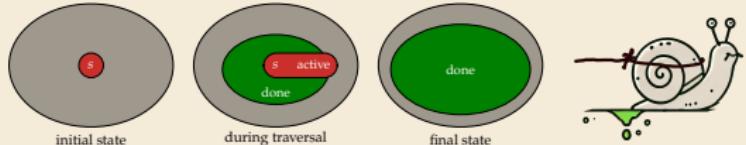
stack over time



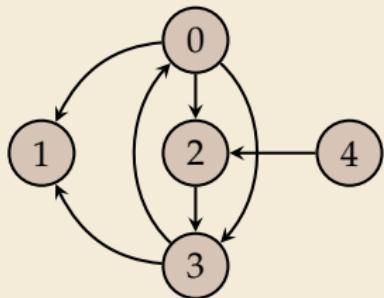
# Properties of DFS

- Recall DFS Invariant (2):

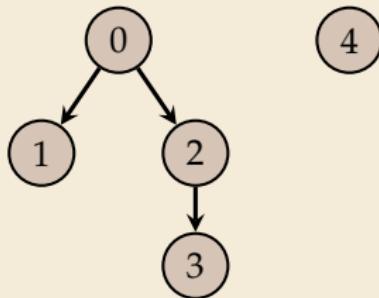
The *active* vertices form a **single path** from  $s$



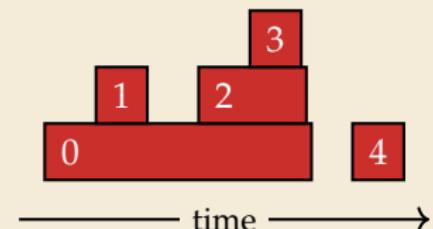
input graph  $G$



DFS forest



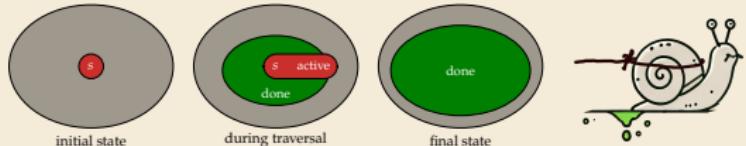
stack over time



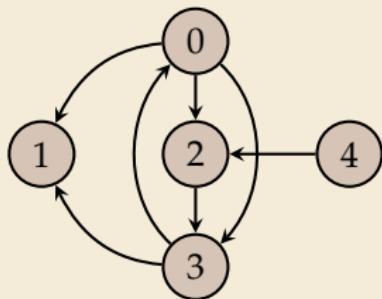
# Properties of DFS

## ► Recall DFS Invariant (2):

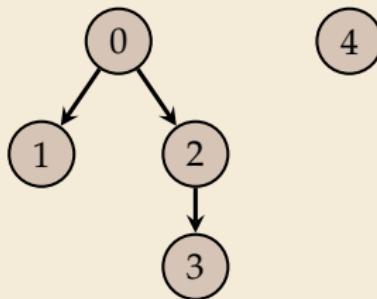
The *active* vertices form a **single path** from  $s$



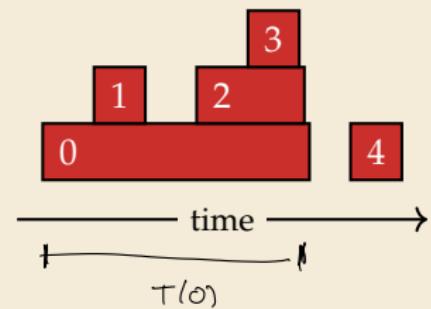
input graph  $G$



DFS forest



stack over time

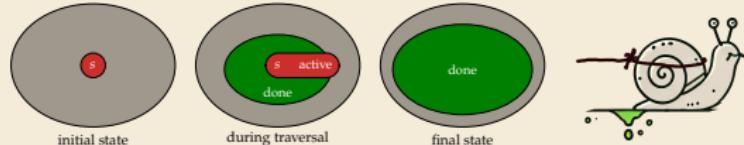


↝ Each vertex  $v$  spends *time interval*  $T(v)$  as *active* vertex

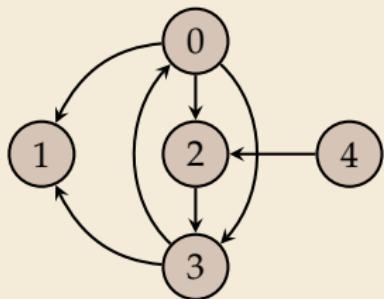
# Properties of DFS

## ► Recall DFS Invariant (2):

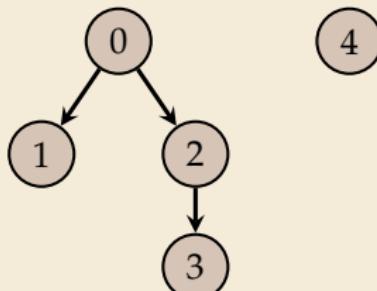
The *active* vertices form a **single path** from  $s$



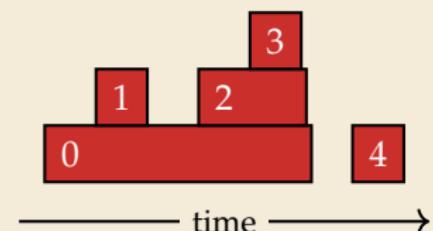
input graph  $G$



DFS forest



stack over time



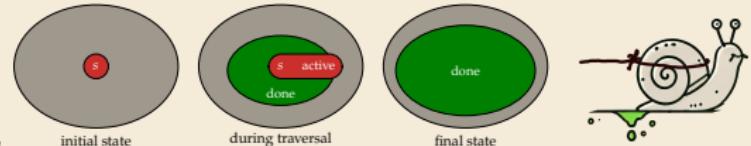
~ Each vertex  $v$  spends *time interval*  $T(v)$  as *active* vertex

1. *frontier* is stack ~  $\{T(v) : v \in V\}$  forms *laminar set family*: ("disjoint or contained") either  $T(v) \cap T(w) = \emptyset$  or  $T(v) \subseteq T(w)$  or  $T(v) \supseteq T(w)$

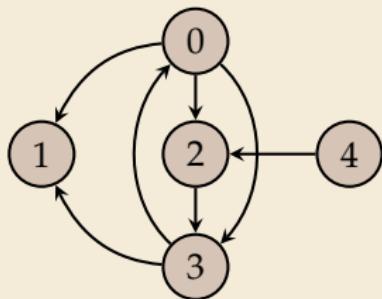
# Properties of DFS

## ► Recall DFS Invariant (2):

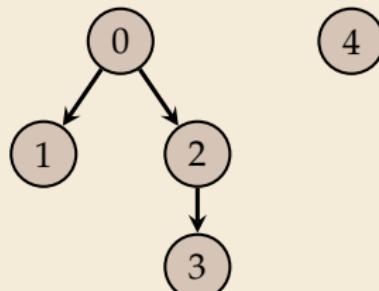
The *active* vertices form a single path from  $s$



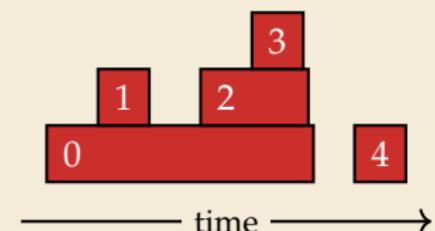
input graph  $G$



DFS forest



stack over time



~ Each vertex  $v$  spends *time interval*  $T(v)$  as *active* vertex

1. *frontier* is stack ~  $\{T(v) : v \in V\}$  forms *laminar set family*: ("disjoint or contained") either  $T(v) \cap T(w) = \emptyset$  or  $T(v) \subseteq T(w)$  or  $T(v) \supseteq T(w)$

2. **Parenthesis Theorem:**  $T(v) \supseteq T(w)$  iff  $v$  is ancestor of  $w$  in DFS tree

' $\Rightarrow$ ' during  $T(v)$ , all discovered vertices become descendants of  $v$

' $\Leftarrow$ '  $T(v)$  covers  $v$ 's entire subtree, which contains  $w$ 's subtree



## Properties of DFS – Unseen-Path Theorem

- **Unseen-Path Theorem:** In a DFS forest of a (di)graph  $G$ ,  $w$  is a descendant of  $v$  iff at the time of  $\text{preorderVisit}(v)$ , there is a path from  $v$  to  $w$  using only *unseen* vertices.

