

9

Graph Algorithms

8 December 2025

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Learning Outcomes

Unit 9: *Graph Algorithms*

1. Know basic terminology from graph theory, including types of graphs.
2. Know adjacency matrix and adjacency list representations and their performance characteristics.
3. Know graph-traversal based algorithm, including efficient implementations.
4. Be able to proof correctness of graph-traversal-based algorithms.
5. Know algorithms for maximum flows in networks.
6. Be able to model new algorithmic problems as graph problems.

Outline

9 Graph Algorithms

- 9.1 Introduction & Definitions
- 9.2 Graph Representations
- 9.3 Graph Traversal
- 9.4 Breadth-First Search
- 9.5 Depth-First Search
- 9.6 Advanced Uses of DFS I
- 9.7 Advanced Uses of DFS II
- 9.8 Network flows
- 9.9 The Ford-Fulkerson Method
- 9.10 The Edmonds-Karp Algorithm

9.1 Introduction & Definitions

Clicker Question

List all matching pairs:



(A) Graph

(B) Graf

(C) Grave

(1)



(4)



(2)



(5)



(3)



~~(6)~~

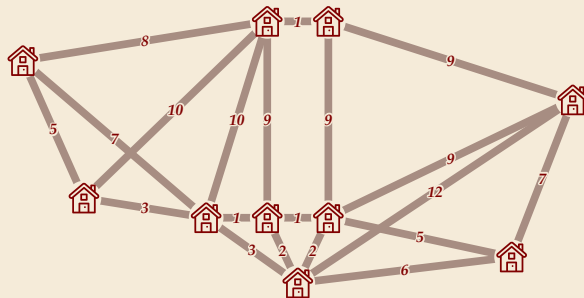
à



→ sli.do/cs566

Graphs in real life

- ▶ a graph is an abstraction of *entities* with their (pairwise) *relationships*
- ▶ abundant examples in real life (often called network there)
 - ▶ social networks: e. g. persons and their friendships, ... *Five/Six? degrees of separation*
 - ▶ physical networks: cities and highways, roads networks, power grids etc., the Internet, ...
 - ▶ content networks: world wide web, ontologies, ...
 - ▶ ...



Many More examples, e. g., in Sedgewick & Wayne's videos:

<https://www.coursera.org/learn/algorithms-part2>

Flavors of Graphs

- ▶ Since graphs are used to model so many different entities and relations, they come in several variants

Property	Yes	No
edges are one-way	<i>directed</i> graph (<i>digraph</i>)	<i>undirected</i> graph
≤ 1 edge between u and v	<i>simple</i> graph	<i>multigraph</i> / with <i>parallel</i> edges
edges can lead from v to v	with <i>loops</i>	(loop-free)
edges have weights	(<i>edge-</i>) <i>weighted</i> graph	<i>unweighted</i> graph

☺ any combination of the above can make sense . . .

- ▶ Synonyms:
 - ▶ **vertex** („Knoten“) = node = point = „Ecke“
 - ▶ **edge** („Kante“) = arc = line = relation = arrow = „Pfeil“
 - ▶ **graph** = network

Graph Theory

- ▶ default: unweighted, undirected, loop-free & simple graphs
- ▶ *Graph* $G = (V, E)$ with
 - ▶ V a finite of *vertices*
 - ▶ $E \subseteq [V]^2$ a set of *edges*, which are 2-subsets of V : $[V]^2 = \{e : e \subseteq V \wedge |e| = 2\}$

Graph Theory

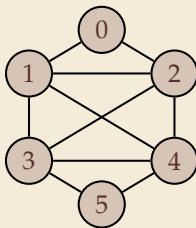
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Example

$$V = \{0, 1, 2, 3, 4, 5\}$$

$$E = \{\{0, 1\}, \{1, 2\}, \{1, 4\}, \{1, 3\}, \{0, 2\}, \\ \{2, 4\}, \{2, 3\}, \{3, 4\}, \{3, 5\}, \{4, 5\}\}.$$

Graphical representation



like so ...

Graph Theory

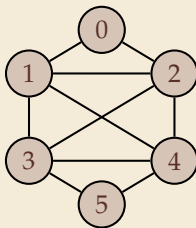
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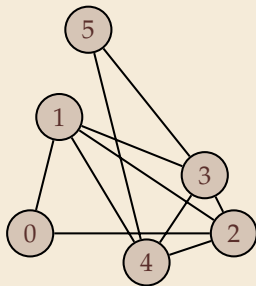
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Graphical representation



like so ...

=



... or so

(same graph)

Digraphs

- ▶ default digraph: unweighted, loop-free & simple
- ▶ *Digraph (directed graph)* $G = (V, E)$ with
 - ▶ V a finite set of *vertices*
 - ▶ $E \subseteq V^2 \setminus \{(v, v) : v \in V\}$ a set of (*directed*) *edges*,
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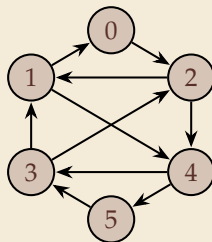
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Graphical representation



Graph Terminology

Undirected Graphs

- ▶ $V(G)$ set of vertices, $E(G)$ set of edges
- ▶ write uv (or vu) for edge $\{u, v\}$
- ▶ edges *incident* at vertex v : $E(v) = \{e : v \in e\}$
- ▶ u and v are *adjacent* iff $\{u, v\} \in E$,
- ▶ *neighborhood* $N(v) = \{w \in V : w \text{ adjacent to } v\}$
- ▶ *degree* $d(v) = |E(v)|$

Directed Graphs (where different)

- ▶ uv for (u, v)
- ▶ iff $(u, v) \in E \vee (v, u) \in E$
- ▶ in-/out-neighbors $N_{\text{in}}(v), N_{\text{out}}(v)$
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- ▶ walk („Weg“) $w[0..n]$ of length n : sequence of vertices with $\forall i \in [0..n) : w[i]w[i+1] \in E$
- ▶ path („Pfad“) p is a (vertex-) simple walk: no duplicate vertices except possibly its endpoints
- ▶ *edge-simple* walk: no edge used twice
- ▶ *cycle* c is a closed path, i. e., $c[0] = c[n]$

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- ▶ *cycle* c is a closed path, i. e., $c[0] = c[n]$
- ▶ G is *connected*
iff for all $u \neq v \in V$ there is a path from u to v
- ▶ G is *acyclic* iff \nexists cycle (of length $n \geq 1$) in G

Directed Graphs (where different)

- ▶ uv for (u, v)
- ▶ iff $(u, v) \in E \vee (v, u) \in E$
- ▶ in-/out-neighbors $N_{\text{in}}(v), N_{\text{out}}(v)$
- ▶ in-/out-degree $d_{\text{in}}(v), d_{\text{out}}(v)$
- ▶ *strongly connected* for digraphs
(*weakly connected* = connected ignoring directions)

Typical graph-processing problems

- ▶ **Path:** Is there a path between s and t ?
Shortest path: What is the shortest path (distance) between s and t ?
- ▶ **Cycle:** Is there a cycle in the graph?
Euler tour: Is there a cycle that uses each edge exactly once?
Hamilton(ian) cycle: Is there a cycle that uses each vertex exactly once.
- ▶ **Connectivity:** Is there a way to connect all of the vertices?
MST: What is the best way to connect all of the vertices?
Biconnectivity: Is there a vertex whose removal disconnects the graph?
- ▶ **Planarity:** Can you draw the graph in the plane with no crossing edges?
- ▶ **Graph isomorphism:** Are two graphs the same up to renaming vertices?

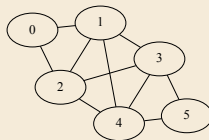
↖ can vary a lot, despite superficial similarity of problems

Challenge: Which of these problems
can be computed in (near) linear time?
in reasonable polynomial time?
are intractable?

Tools to work with graphs

- ▶ Convenient GUI to edit & draw graphs: *yEd live*
yworks.com/yed-live
- ▶ *graphviz* cmdline utility to draw graphs
 - ▶ Simple text format for graphs: DOT

```
graph G {  
    0 -- 2;    2 -- 4;  
    1 -- 0;    2 -- 3;  
    1 -- 4;    3 -- 4;  
    1 -- 3;    3 -- 5;  
    2 -- 1;    4 -- 5;  
}
```



```
dot -Tpdf graph.dot -Kfdp > graph.pdf
```

- ▶ graphs are typically not built into programming languages, but libraries exist
 - ▶ e. g. part of *Google Guava* for Java
 - ▶ they usually allow arbitrary objects as vertices
 - ▶ aimed at ease of use

9.2 Graph Representations

Graphs in Computer Memory

- ▶ We defined graphs in set-theoretic terms. . .
but computers can't directly deal with sets efficiently
- ↪ need to choose a *representation* for graphs.
 - ▶ which is better depends on the required operations

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Key Operations:

- ▶ `isAdjacent(u, v)`
Test whether $uv \in E$
- ▶ `adj(v)`
Adjacency list of v (iterate through (out-)neighbors of v)
- ▶ most others can be computed based on these

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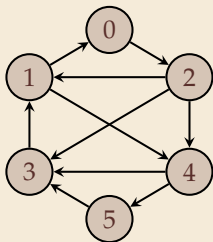
Conventions:

- ▶ (di)graph $G = (V, E)$ (omitted if clear from context)
- ▶ $n = |V|$, $m = |E|$
- ▶ in implementations assume $V = [0..n)$ (if needed, use symbol table to map complex objects to V)

Adjacency Matrix Representation

- ▶ adjacency matrix $A \in \{0, 1\}^{n \times n}$ of G : matrix with $A[u, v] = [uv \in E]$
 - ▶ works for both directed and undirected graphs (undirected $\rightsquigarrow A = A^T$ symmetric)
 - ▶ can use a weight $w(uv)$ or multiplicity in $A[u, v]$ instead of 0/1
 - ▶ can represent loops via $A[v, v]$

Example:

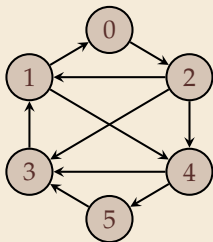


$$A = \begin{pmatrix} 0 & 0 & 1 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 1 & 1 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 1 \\ 0 & 0 & 0 & 1 & 0 & 0 \end{pmatrix}$$

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isAdjacent in $O(1)$ time



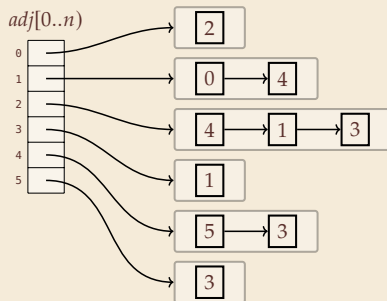
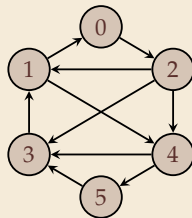
$O(n^2)$ (bits of) space wasteful for sparse graphs



adj(v) iteration takes $O(n)$ (independent of $d(v)$)

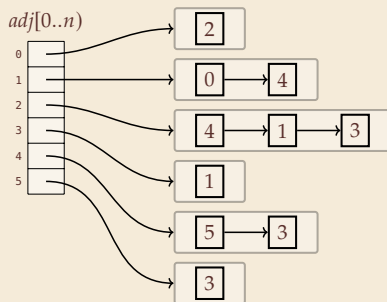
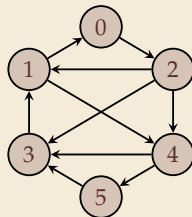
Adjacency List Representation

- Store a linked list of neighbors for each vertex v :
 - $adj[0..n)$ bag of neighbors (as linked list)
 - undirected edge $\{u, v\} \rightsquigarrow v$ in $adj[u]$ and u in $adj[v]$
 - weighted edge $uv \rightsquigarrow$ store pair $(v, w(uv))$ in $adj[u]$
 - multiple edges and loops can be represented



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👎 $isAdjacent(u, v)$ takes $\Theta(d(u))$ time (worst case)

👍 $adj(v)$ iteration $O(1)$ per neighbor

👍 $\Theta(n + m)$ (words of) space for any graph ($\ll \Theta(n^2)$ bits for moderate m)

\rightsquigarrow de-facto standard for graph algorithms

Graph Types and Representations

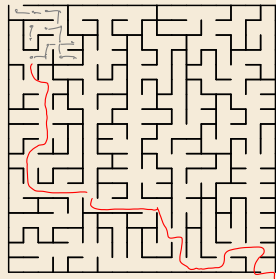
- ▶ Note that adj matrix and lists for undirected graphs effectively are representation of directed graph with directed edges both ways
 - ▶ conceptually still important to distinguish!
- ▶ multigraphs, loops, edge weights all naturally supported in adj lists
 - ▶ good if we allow and use them
 - ▶ but requires explicit checks to enforce simple / loopfree / bidirectional!
- ▶ we focus on **static graphs**
dynamically changing graphs much harder to handle

9.3 Graph Traversal

Generic Graph Traversal

- ▶ Plethora of graph algorithms can be expressed as a systematic exploration of a graph
 - ▶ depth-first search, breadth-first search
 - ▶ connected components
 - ▶ detecting cycles
 - ▶ topological sorting
 - ▶ Hierholzer's algorithm for Euler walks
 - ▶ strong components
 - ▶ testing bipartiteness
 - ▶ Dijkstra's algorithm
 - ▶ Prim's algorithm
 - ▶ Lex-BFS for perfect elimination orders of chordal graphs
 - ▶ ...

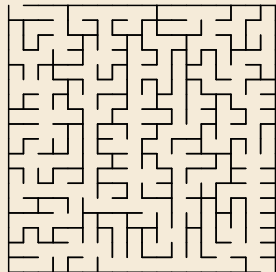
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↪ Formulate generic traversal algorithm

- ▶ first in abstract terms to argue about correctness
- ▶ then again for concrete instance with efficient data structures

Tricolor Graph Traversal

- ▶ maintain vertices in 3 (dynamic) sets
 - ▶ **Gray: unseen vertices**
The traversal has not reached these vertices so far.
 - ▶ **Red: active vertices** (a.k.a. frontier („Rand“) of traversal)
Vertices that have been reached and some unexplored edges remain;
initially some selected start vertices \underline{S} .
 - ▶ **Green: done vertices** (a.k.a. visited vertices)
Visited vertices with all their edges explored.
- ▶ maintain edge status: either **unused** or **used**

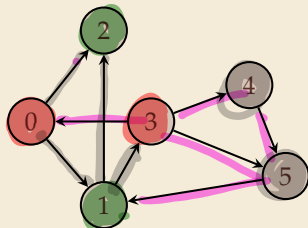
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Vertices “want” to be **done**.
To do so, they turn neighbors **active**.

Tricolor Graph Search:

- ▶ Repeat until no more changes:
 - (T1) Pick arbitrary **active** vertex v
 - (T2) If no more **unused** edges vw , mark v **done** (*D step*)
 - (T3) Else pick arbitrary **unused** edge vw , mark vw **used**
 - (T4) If w **unseen**, mark w **active** (*A step*)



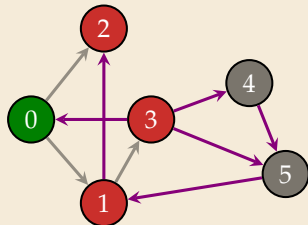
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Generic Reachability – Correctness

Theorem 9.1 (Generic Reachability)

When Tricolor Graph Search terminates, the following holds:

$v \in V$ is reachable from S iff $v \in \text{done}$.

$$\exists \text{ path } P[0..l] \quad P[0] \in S \wedge P[l] = v$$

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Proof:

- ▶ We prove the following invariant (next slide)
- ▶ **Invariant:** For every *done* or *active* vertex v , there exists a path from S to v .

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\rightsquigarrow in final state:

\Leftarrow ▶ $v \in \text{done} \rightsquigarrow \exists \text{ path from } S \text{ to } v \rightsquigarrow \text{reachable from } S$

\Rightarrow ▶ Let v be reachable from S , i. e., $\exists \text{ path } p[0..l] \text{ from } p[0] \in S \text{ to } p[l] = v \text{ of length } l \leq n$.

Assume towards a contradiction $v \notin \text{done} \rightsquigarrow v \in \text{unseen}$ (*active* = \emptyset upon termination).

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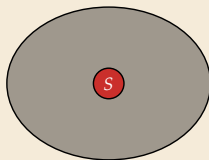
Let v be such a vertex with *minimal distance* ℓ from S . $\rightsquigarrow p[\ell - 1] = w \in \text{done}$.

But then wv *unused* and yet w was marked *done* ⚡ (T2).

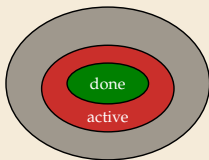
$$\begin{array}{c} \uparrow \\ p[\ell-1] \quad p[\ell] \end{array}$$

Generic Reachability – Invariant

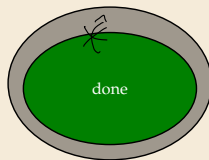
- **Invariant:** For every *done* or *active* vertex v , there exists a path from S to v .



initial state



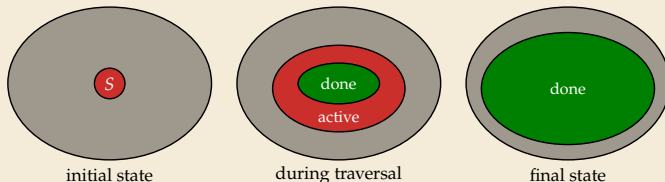
during traversal



final state

Generic Reachability – Invariant

- **Invariant:** For every *done* or *active* vertex v , there exists a path from S to v .



Proof:

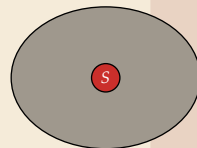
By induction over the number of executed steps of tricolor traversal.

- **IB:** (1) no *done* vertices yet. (2) \checkmark trivial path (w/o edges)
- **IH:** Invariant fulfilled for first k steps.
- **IS:** Step $k + 1$ is either *A step* (T3)–(T4) or *D step* (T2)
 - *A step:* new *active* vertex w reached via vw with $v \in \text{active}$
 \exists path $P[0..\ell]$ with $P[0] \in S$ and $P[\ell] = v$ by IH \rightsquigarrow path Pw from S to w .
 - *D step:* new *done* vertex previously was *active*, so \exists path from S to v by IH.

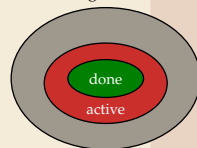
Generic Tricolor Graph Traversal – Code

```
1 procedure genericGraphTraversal( $G, S$ ):  
2   // (di)graph  $G = (V, E)$  and start vertices  $S \subseteq V$   
3    $C[0..n] := \text{unseen}$  // Color array, all cells initialized to unseen  
4   for  $s \in S$  do  $C[s] := \text{active}$  end for  
5    $\text{unusedEdges} := E$   
6   while  $\exists v : C[v] == \text{active}$   
7      $v := \text{nextActiveVertex}()$  // Freedom 1: Which frontier vertex?  
8     if  $\nexists vw \in \text{unusedEdges}$  // no more edges from  $v \rightsquigarrow$  done with  $v$   
9        $C[v] := \text{done}$   
10    else  
11       $w := \text{nextUnusedEdge}(v)$  // Freedom 2: Which of its edges?  
12      if  $C[w] == \text{unseen}$   
13         $C[w] := \text{active}$   
14         $\text{unusedEdges.remove}(vw)$   
15    end if  
16  end while
```

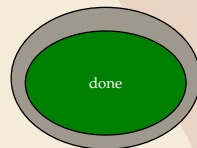
initial state



during traversal

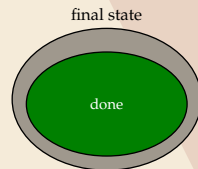
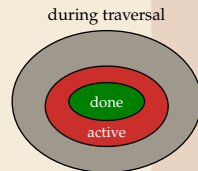
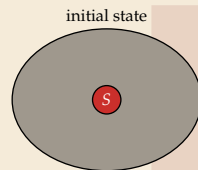


final state



Generic Tricolor Graph Traversal – Code

```
1 procedure genericGraphTraversal(G, S):  
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4   for  $s \in S$  do  $C[s] := \text{active}$  end for  
5    $\text{unusedEdges} := E$   
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7      $v := \text{nextActiveVertex}()$  // Freedom 1: Which frontier vertex?  
8     if  $\nexists vw \in \text{unusedEdges}$  // no more edges from  $v \rightsquigarrow$  done with  $v$   
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11       $w := \text{nextUnusedEdge}(v)$  // Freedom 2: Which of its edges?  
12      if  $C[w] == \text{unseen}$   
13         $C[w] := \text{active}$   
14         $\text{unusedEdges.remove}(vw)$   
15    end if  
16  end while
```



- Any implementation of `nextActiveVertex()` and `nextUnusedEdge(v)` suffices for correctness
- Choice depends on (and defines!) specific traversal-based graph algorithms

9.4 Breadth-First Search

Data Structures for Frontier

- ▶ We need efficient support for
 - ▶ test $\exists v : C[v] = \text{active}$, `nextActiveVertex()`
 - ▶ test $\exists vw \in \text{unusedEdges}$, `nextUnusedEdge(v)`
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Data Structures for Frontier

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- ▶ Typical solution maintains **bag** “*frontier*” of *pairs* (v, i) where $v \in V$ and i is an **iterator** in `adj[v]`
 - ▶ `unusedEdges` represented implicitly: edge used iff previously returned by i
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Data Structures for Frontier

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 - ▶ test $\exists v : C[v] = \text{active}$, `nextActiveVertex()`
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 - ▶ `unusedEdges` represented implicitly: edge used iff previously returned by i
 - \rightsquigarrow `unusedEdges.remove(vw)` doesn't need to do anything
 - ▶ Implement $\exists v : C[v] = \text{active}$ via `frontier.isEmpty()`
 - ▶ Implement $\exists vw \in \text{unusedEdges}$ via `i.hasNext()` assuming $(v, i) \in \text{frontier}$
 - ▶ Implement `nextUnusedEdge(v)` via `i.next()` assuming $(v, i) \in \text{frontier}$
- \rightsquigarrow all operations apart from `nextActiveVertex()` in $O(1)$ time
- \rightsquigarrow *frontier* requires $O(n)$ extra space

Breadth-First Search

- Maintain *frontier* in a **queue** (FIFO: first in, first out)

Breadth-First Search

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- Unweighted shortest path distance:

$$\text{dist}_G(s, t) = \min \{ \ell : \exists \text{ path } P[0..\ell] : P[0] = s \wedge P[\ell] = t \} \cup \{ \infty \}$$

$$\text{dist}_G(S, t) = \min_{s \in S} \text{dist}_G(s, t)$$

$$P[0] \rightarrow P[1] \rightarrow P[2]$$

Breadth-First Search

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- Like generic tricolor search, BFS finds vertices reachable from S . But it does more:

Theorem 9.2 (BFS Correctness)

A BFS from $S \subseteq V$ reaches all vertices reachable from S via a shortest path.



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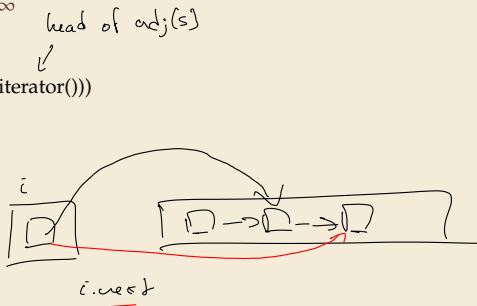
Theorem 9.2 (BFS Correctness)

A BFS from $S \subseteq V$ reaches all vertices reachable from S via a shortest path. ◀

- ▶ To preserve paths, we collect extra information during traversal:
 - ▶ *parent*[v] stores predecessor on path from S via which v was first reached (made *active*)
 - ▶ *distFromS*[v] stores the length of this path

Breadth-First Search – Code

```
1 procedure bfs( $G, S$ ):
2   // (di)graph  $G = (V, E)$  and start vertices  $S \subseteq V$ 
3    $C[0..n] := \text{unseen}$  // New array initialized to all unseen
4    $\text{frontier} := \text{new Queue}$ ;
5    $\text{parent}[0..n] := \text{NOT\_VISITED}$ ;  $\text{distFromS}[0..n] := \infty$ 
6   for  $s \in S$ 
7      $\text{parent}[s] := \text{NONE}$ ;  $\text{distFromS}[s] := 0$ 
8      $C[s] := \text{active}$ ;  $\text{frontier.enqueue}((s, G.\text{adj}[s].\text{iterator}()))$ 
9   end for
10  while  $\neg \text{frontier.isEmpty}()$ 
11     $(v, i) := \text{frontier.peek}()$ 
12    if  $\neg i.\text{hasNext}()$  //  $v$  has no unused edge
13       $C[v] := \text{done}$ ;  $\text{frontier.dequeue}()$ 
14    else
15       $w := i.\text{next}()$  // Advance  $i$  in  $\text{adj}[v]$ 
16      if  $C[w] == \text{unseen}$ 
17         $\text{parent}[w] := v$ ;  $\text{distFromS}[w] := \text{distFromS}[v] + 1$ 
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```

- ▶ parent stores a shortest-path tree/forest
- ▶ can retrieve shortest path to v from some vertex $s \in S$ (backwards) by following $\text{parent}[v]$ iteratively

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- ▶ running time $\Theta(n + m)$
- ▶ extra space $\Theta(n)$

Breadth-First Search – Correctness

► BFS correctness directly follows from the following invariant.

► **BFS Invariant:**

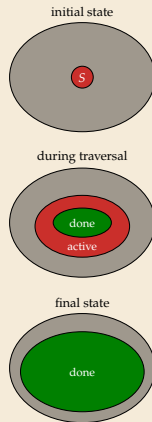
1. All *done* or *active* vertices were reached via a **shortest path** from S
2. Vertices enter and leave *frontier* in order of increasing distance from S

fewest edges

Proof:

By induction over number of steps. Abbreviate $\delta(v) := \text{dist}_G(S, v)$

- **IB:** (1) only S *active*, reached via path of length 0.
(2) only S in *frontier*, minimal by δ . ✓
- **IH:** Invariant fulfilled for first k steps.



Theorem 9.2 (BFS Correctness)

A BFS from $S \subseteq V$ reaches all vertices reachable from S via a shortest path.

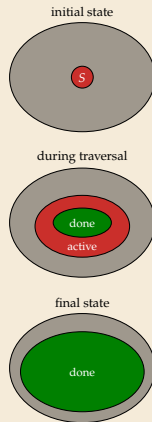
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- ▶ **IH:** Invariant fulfilled for first k steps.
- ▶ **IS:** Step $k + 1$ can be an *A step* or a *D step* on $v \in \text{active}$
 - ▶ *D step:* v moved from *active* to *done* \rightsquigarrow (1) unchanged. ($\mathbb{I} \nVdash$)
By IH, v entered *frontier* at correct time, queue keeps order \rightsquigarrow (2) ✓



Breadth-First Search – Correctness

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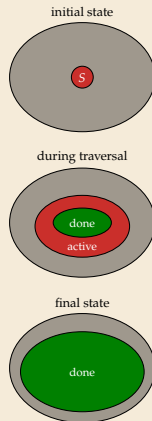
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- ▶ **IH:** Invariant fulfilled for first k steps.
- ▶ **IS:** Step $k + 1$ can be an A step or a D step on $v \in \text{active}$
 - ▶ D step: v moved from *active* to *done* \rightsquigarrow (1) unchanged.
By IH, v entered *frontier* at correct time, queue keeps order \rightsquigarrow (2) ✓
 - ▶ A step, (i): $vw \in \text{unusedEdges}$ leads to $w \in \text{active} \cup \text{done}$
no changes, (1) and (2) ✓



Breadth-First Search – Correctness [2]

Proof (cont.):

- ▶ A step, (ii): $vw \in \text{unusedEdges}$ leads to $w \in \text{unseen}$
 \rightsquigarrow w is now marked **active** and enqueued in *frontier*.



Breadth-First Search – Correctness [2]

Proof (cont.):

- A step, (ii): $vw \in \text{unusedEdges}$ leads to $w \in \text{unseen}$
 \rightsquigarrow w is now marked **active** and enqueued in **frontier**.

By IH, we reached v by shortest path of $\underline{\delta(v)}$ edges,
and any node u with $\delta(u) < \delta(v)$ is **done** (since dequeued before v).

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$\rightsquigarrow \delta(w) = \delta(v) + 1$, and we reach w with shortest path \rightsquigarrow (1) ✓



Breadth-First Search – Correctness [2]

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- It remains to show that any x with $\delta(x) = \delta(v)$ is **active** or **done** by now;
then adding w to **frontier** respects the order by δ .

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and any node u with $\delta(u) < \delta(v)$ is **done** (since dequeued before v).

$\rightsquigarrow \delta(w) = \delta(v) + 1$, and we reach w with shortest path \rightsquigarrow (1) ✓

- ▶ It remains to show that any x with $\delta(x) = \delta(v)$ is **active** or **done** by now;
then adding w to **frontier** respects the order by δ . $S \rightsquigarrow u \rightarrow x$

Any shortest path from S to $x \notin S$ must go via some u with $\delta(u) < \delta(v)$, so u is **done**.

\rightsquigarrow all edges from u , including ux , have been **used**, thus x is **active** or **done**.

\Rightarrow (2)

9.5 Depth-First Search

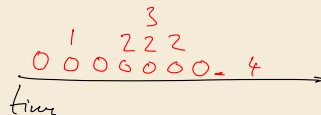
Depth-First Search

- ▶ Maintain *frontier* in a **stack** (LIFO: last in, first out)
 - ▶ only consider $S = \{s\}$
 - ▶ usual mode of operation: call $\text{dfs}(v)$ for all *unseen* v , for $v = 0, \dots, n - 1$

Depth-First Search

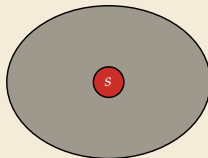
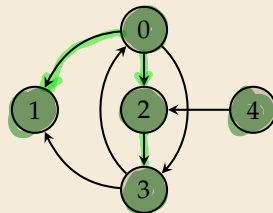
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- only consider $S = \{s\}$
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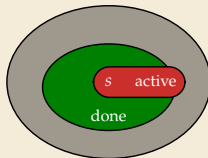


- **DFS Invariant:**

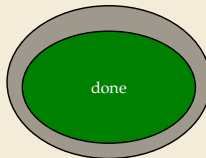
1. All *done* or *active* vertices are reached via a path from s
2. The *active* vertices form a **single path** from s



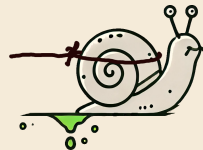
initial state



during traversal



final state



Depth-First Search – Code

```
1 procedure dfsTraversal(G):
2    $C[0..n] := \text{unseen}$ 
3   for  $v := 0, \dots, n-1$ 
4     if  $C[v] == \text{unseen}$ 
5       dfs(G, v)
6
7 procedure dfs(G, s):
8    $\text{frontier} := \text{new Stack};$ 
9    $C[s] := \text{active}; \text{frontier.push}((s, G.\text{adj}[s].\text{iterator}()))$ 
10  while  $\neg \text{frontier.isEmpty}()$ 
11     $(v, i) := \text{frontier.top}()$ 
12    if  $\neg i.\text{hasNext}()$  //  $v$  has no unused edge
13       $C[v] := \text{done}; \text{frontier.pop}(); \text{postorderVisit}(v)$ 
14    else
15       $w := i.\text{next}(); \text{visitEdge}(vw)$ 
16      if  $C[w] == \text{unseen}$ 
17         $\text{preorderVisit}(w)$ 
18         $C[w] := \text{active}; \text{frontier.push}((w, G.\text{adj}[w].\text{iterator}()))$ 
19      end if
20    end if
21  end while
```

- ▶ define *hooks* to implement further operations
 - ▶ preorder: visit v when made *active* (start of $T(v)$)
 - ▶ postorder: visit v when marked *done* (end of $T(v)$)
 - ▶ visitEdge: do something for every edge
- ▶ if needed, can store DFS forest via *parent* array

Depth-First Search – Code

```
1 procedure dfsTraversal(G):
2   C[0..n] := unseen
3   for v := 0, ..., n - 1
4     if C[v] == unseen
5       dfs(G, v)
6
7 procedure dfs(G, s):
8   frontier := new Stack;
9   C[s] := active; frontier.push((s, G.adj[s].iterator()))
10  while ¬frontier.isEmpty()
11    (v, i) := frontier.top()
12    if ¬i.hasNext() // v has no unused edge
13      C[v] := done; frontier.pop(); postorderVisit(v)
14    else
15      w := i.next(); visitEdge(vw)
16      if C[w] == unseen
17        preorderVisit(w)
18        C[w] := active; frontier.push((w, G.adj[w].iterator()))
19      end if
20    end if
21  end while
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- ▶ define *hooks* to implement further operations
 - ▶ preorder: visit v when made *active* (start of $T(v)$)
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 - ▶ visitEdge: do something for every edge
- ▶ if needed, can store DFS forest via *parent* array
- ▶ running time $\Theta(n + m)$
- ▶ extra space $\Theta(n)$

Simple DFS Application: Connected Components

- ▶ In an undirected graph, find all *connected components*.
 - ▶ **Given:** simple undirected $G = (V, E)$
 - ▶ **Goal:** assign component ids $CC[0..n)$, s.t. $CC[v] = CC[u]$ iff \exists path from v to u

Simple DFS Application: Connected Components

- ▶ In an undirected graph, find all *connected components*.
 - ▶ **Given:** simple undirected $G = (V, E)$
 - ▶ **Goal:** assign component ids $CC[0..n)$, s.t. $CC[v] = CC[u]$ iff \exists path from v to u

```
1 procedure connectedComponents(G):
2   // undirected graph  $G = (V, E)$  with  $V = [0..n)$ 
3    $C[0..n) := \text{unseen}$ 
4    $CC[0..n) := \text{NONE}$ 
5    $id := 0$ 
6   for  $v := 0, \dots, n - 1$ 
7     if  $C[v] == \text{unseen}$ 
8       dfs(G, v)
9        $id := id + 1$ 
10  return CC
11
12 procedure preorderVisit(v):
13   $CC[v] := id$ 
```

```
1 // same as before
2 procedure dfs(G, s):
3   frontier := new Stack;
4    $C[s] := \text{active}$ ; frontier.push((s, G.adj[s].iterator()))
5   while  $\neg \text{frontier.isEmpty}()$ 
6      $(v, i) := \text{frontier.top}()$ 
7     if  $\neg i.hasNext()$  //  $v$  has no unused edge
8        $C[v] := \text{done}$ ; frontier.pop()
9       postorderVisit(v)
10    else
11       $w := i.next()$ ; visitEdge(vw)
12      if  $C[w] == \text{unseen}$ 
13        preorderVisit(w)
14         $C[w] := \text{active}$ 
15        frontier.push((w, G.adj[w].iterator()))
16      end if
17    end if
18  end while
```

Dijkstra's Algorithm & Prim's Algorithm

- ▶ On edge-weighted graphs, we can use tricolor traversal with a *priority queue* as *frontier*
- ▶ Dijkstra's Algorithm for shortest paths from s in digraphs with weakly positive edge weights
 - ▶ priority of vertex v = length of shortest path known so far from s to v
- ▶ Prim's Algorithm for finding a minimum spanning tree
 - ▶ priority of vertex v = weight of cheapest edge connecting v to current tree

⇒ Detailed discussion in Unit 11

9.6 **Advanced Uses of DFS I**

Properties of DFS

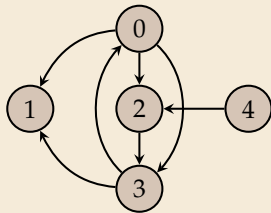
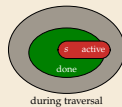
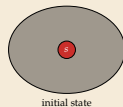
► Recall DFS Invariant (2):

The *active* vertices form a **single path** from s

input graph G

DFS forest

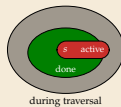
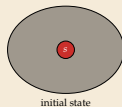
stack over time



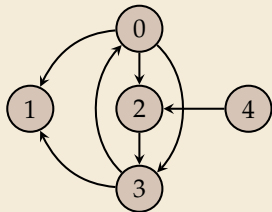
Properties of DFS

► Recall DFS Invariant (2):

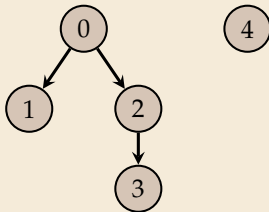
The *active* vertices form a **single path** from s



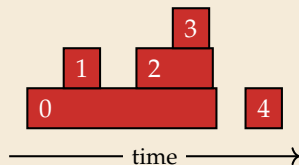
input graph G



DFS forest



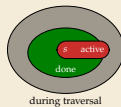
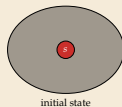
stack over time



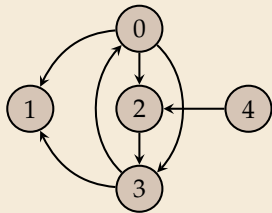
Properties of DFS

► Recall DFS Invariant (2):

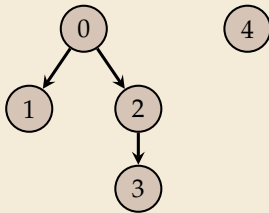
The **active** vertices form a **single path** from s



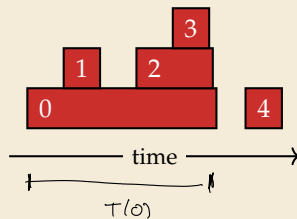
input graph G



DFS forest



stack over time

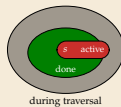
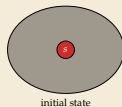


↪ Each vertex v spends *time interval* $T(v)$ as **active** vertex

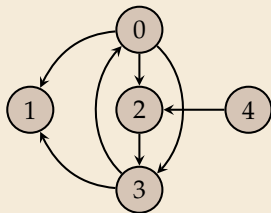
Properties of DFS

► Recall DFS Invariant (2):

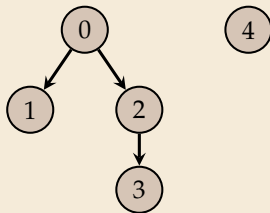
The **active** vertices form a **single path** from s



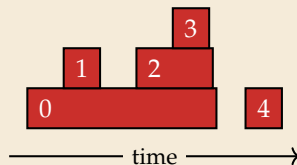
input graph G



DFS forest



stack over time



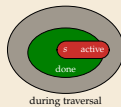
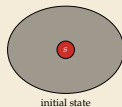
\rightsquigarrow Each vertex v spends *time interval* $T(v)$ as **active** vertex

- frontier** is stack $\rightsquigarrow \{T(v) : v \in V\}$ forms **laminar set family**: (“disjoint or contained”) either $T(v) \cap T(w) = \emptyset$ or $T(v) \subseteq T(w)$ or $T(v) \supseteq T(w)$

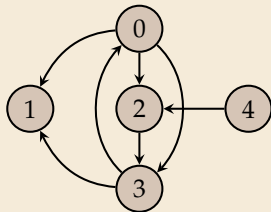
Properties of DFS

► Recall DFS Invariant (2):

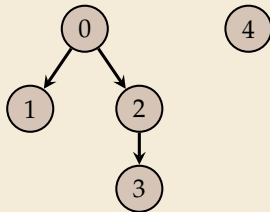
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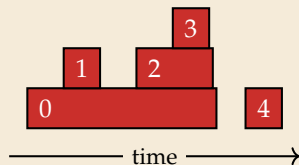
input graph G



DFS forest



stack over time



↪ Each vertex v spends *time interval* $T(v)$ as **active** vertex

1. **frontier** is stack ↪ $\{T(v) : v \in V\}$ forms **laminar set family**: (“disjoint or contained”)
either $T(v) \cap T(w) = \emptyset$ or $T(v) \subseteq T(w)$ or $T(v) \supseteq T(w)$

2. **Parenthesis Theorem**: $T(v) \supseteq T(w)$ **iff** v is ancestor of w in DFS tree

‘ \Rightarrow ’ during $T(v)$, all discovered vertices become descendants of v

‘ \Leftarrow ’ $T(v)$ covers v ’s entire subtree, which contains w ’s subtree



Properties of DFS – Unseen-Path Theorem

- **Unseen-Path Theorem:** In a DFS forest of a (di)graph G , w is a descendant of v iff at the time of `preorderVisit(v)`, there is a path from v to w using only *unseen* vertices.

