

# 9 Graph Algorithms

9 December 2024

Prof. Dr. Sebastian Wild

# Learning Outcomes

## Unit 9: *Graph Algorithms*

1. Know basic terminology from graph theory, including types of graphs.
2. Know adjacency matrix and adjacency list representations and their performance characteristics.
3. Know graph-traversal based algorithm, including efficient implementations.
4. Be able to proof correctness of graph-traversal-based algorithms.
5. Know algorithms for maximum flows in networks.
6. Be able to model new algorithmic problems as graph problems.

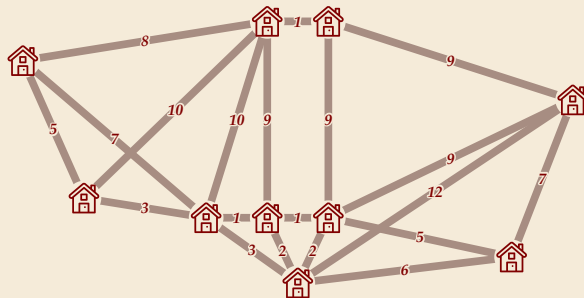
## 9 Graph Algorithms

- 9.1 Introduction & Definitions
- 9.2 Graph Representations
- 9.3 Graph Traversal
- 9.4 BFS and DFS
- 9.5 Advanced Uses of Graph Traversal
- 9.6 Network flows
- 9.7 The Ford-Fulkerson Method

## **9.1 Introduction & Definitions**

# Graphs in real life

- ▶ a graph is an abstraction of *entities* with their (pairwise) *relationships*
- ▶ abundant examples in real life (often called network there)
  - ▶ social networks: e. g. persons and their friendships, ... *Five/Six? degrees of separation*
  - ▶ physical networks: cities and highways, roads networks, power grids etc., the Internet, ...
  - ▶ content networks: world wide web, ontologies, ...
  - ▶ ...



Many More examples, e. g., in Sedgewick & Wayne's videos:

<https://www.coursera.org/learn/algorithms-part2>

# Flavors of Graphs

- ▶ Since graphs are used to model so many different entities and relations, they come in several variants

Property	Yes	No
edges are one-way	<i>directed</i> graph ( <i>digraph</i> )	<i>undirected</i> graph
$\leq 1$ edge between $u$ and $v$	<u><i>simple</i></u> graph	<i>multigraph</i> / with <i>parallel</i> edges
edges can lead from $v$ to $v$	with <i>loops</i> ( <i>Self-Loops, Self-Loops</i> )	<u>(loop-free)</u>
edges have weights	<i>(edge-) weighted</i> graph	<u><i>unweighted</i></u> graph

☺ any combination of the above can make sense ...

- ▶ Synonyms:
  - ▶ **vertex** („Knoten“) = node = point = „Ecke“
  - ▶ **edge** („Kante“) = arc = line = relation = arrow = „Pfeil“
  - ▶ **graph** = network

# Graph Theory

► default: unweighted, undirected, loop-free & simple graphs

► *Graph*  $G = (V, E)$  with

►  $V$  a finite of *vertices*

$$e = \{u, v\}$$

►  $E \subseteq [V]^2$  a set of *edges*, which are 2-subsets of  $V$ :  $[V]^2 = \{e : e \subseteq V \wedge |e| = 2\}$

# Graph Theory

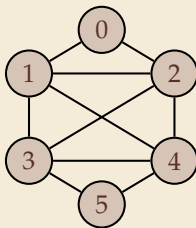
- ▶ default: unweighted, undirected, loop-free & simple graphs
- ▶ *Graph*  $G = (V, E)$  with
  - ▶  $V$  a finite set of *vertices*
  - ▶  $E \subseteq [V]^2$  a set of *edges*, which are 2-subsets of  $V$ :  $[V]^2 = \{e : e \subseteq V \wedge |e| = 2\}$

## Example

$$V = \{0, 1, 2, 3, 4, 5\}$$

$$E = \{\{0, 1\}, \{1, 2\}, \{1, 4\}, \{1, 3\}, \{0, 2\}, \\ \{2, 4\}, \{2, 3\}, \{3, 4\}, \{3, 5\}, \{4, 5\}\}.$$

## Graphical representation



like so ...



# Graph Theory

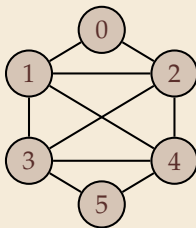
- ▶ default: unweighted, undirected, loop-free & simple graphs
- ▶ *Graph*  $G = (V, E)$  with
  - ▶  $V$  a finite of *vertices*
  - ▶  $E \subseteq [V]^2$  a set of *edges*, which are 2-subsets of  $V$ :  $[V]^2 = \{e : e \subseteq V \wedge |e| = 2\}$

## Example

$$V = \{0, 1, 2, 3, 4, 5\}$$

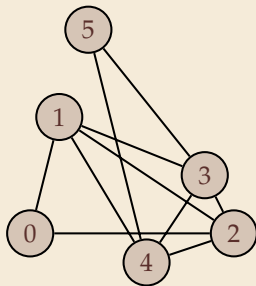
$$E = \{\{0, 1\}, \{1, 2\}, \{1, 4\}, \{1, 3\}, \{0, 2\}, \\ \{2, 4\}, \{2, 3\}, \{3, 4\}, \{3, 5\}, \{4, 5\}\}.$$

## Graphical representation



like so ...

=

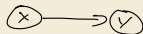


... or so

(same graph)

# Digraphs

- ▶ default digraph: unweighted, loop-free & simple
- ▶ *Digraph (directed graph)*  $G = (V, E)$  with
  - ▶  $V$  a finite of *vertices*
  - ▶  $E \subseteq V^2 \setminus \{(v, v) : v \in V\}$  a set of (*directed*) *edges*,  
 $V^2 = V \times V = \{(x, y) : x \in V \wedge y \in V\}$  2-tuples / ordered pairs over  $V$



# Digraphs

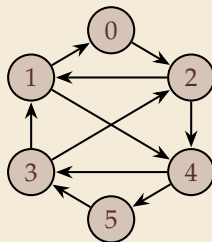
- ▶ default digraph: unweighted, loop-free & simple
- ▶ *Digraph (directed graph)*  $G = (V, E)$  with
  - ▶  $V$  a finite of *vertices*
  - ▶  $E \subseteq V^2 \setminus \{(v, v) : v \in V\}$  a set of (*directed*) *edges*,  
 $V^2 = V \times V = \{(x, y) : x \in V \wedge y \in V\}$  2-tuples / ordered pairs over  $V$

## Example

$$V = \{0, 1, 2, 3, 4, 5\}$$

$$E = \{(0, 2), (1, 0), (1, 4), (2, 1), (2, 4), \\ (3, 1), (3, 2), (4, 3), (4, 5), (5, 3)\}$$

## Graphical representation



# Graph Terminology

## Undirected Graphs

- ▶  $V(G)$  set of vertices,  $E(G)$  set of edges
- ▶ write  $\underline{uv}$  (or  $vu$ ) for edge  $\{u, v\}$
- ▶ edges *incident* at vertex  $v$ :  $E(v)$
- ▶  $u$  and  $v$  are *adjacent* iff  $\{u, v\} \in E$ ,
- ▶ *neighborhood*  $N(v) = \{w \in V : w \text{ adjacent to } v\}$
- ▶ *degree*  $d(v) = |E(v)|$

## Directed Graphs (where different)

- ▶  $uv$  for  $(u, v)$
- ▶ iff  $(u, v) \in E \vee (v, u) \in E$
- ▶ in-/out-neighbors  $N_{\text{in}}(v), N_{\text{out}}(v)$
- ▶ in-/out-degree  $d_{\text{in}}(v), d_{\text{out}}(v)$

# Graph Terminology

## Undirected Graphs

- ▶  $V(G)$  set of vertices,  $E(G)$  set of edges
- ▶ write  $uv$  (or  $vu$ ) for edge  $\{u, v\}$
- ▶ edges *incident* at vertex  $v$ :  $E(v)$
- ▶  $u$  and  $v$  are *adjacent* iff  $\{u, v\} \in E$ ,
- ▶ *neighborhood*  $N(v) = \{w \in V : w \text{ adjacent to } v\}$
- ▶ *degree*  $d(v) = |E(v)|$
- ▶ <sup>Kantenweg</sup> *walk*  $w$  of length  $n$ : sequence of vertices  $w[0..n]$  with  $\forall i \in [0..n) : w[i]w[i+1] \in E$
- ▶ <sup>Weg</sup> *path*  $p$  is a (vertex-) simple walk: without duplicate vertices except possibly its endpoints
- ▶ *edge-simple* walk/path: no edge used twice
- ▶ *cycle*  $c$  is a closed path, i. e.,  $c[0] = c[n]$

## Directed Graphs (where different)

- ▶  $uv$  for  $(u, v)$
- ▶ iff  $(u, v) \in E \vee (v, u) \in E$
- ▶ in-/out-neighbors  $N_{\text{in}}(v), N_{\text{out}}(v)$
- ▶ in-/out-degree  $d_{\text{in}}(v), d_{\text{out}}(v)$

(geordnete Menge, Weg, Zykkel, Kreis, Zykkel)

# Graph Terminology

## Undirected Graphs

- ▶  $V(G)$  set of vertices,  $E(G)$  set of edges
- ▶ write  $uv$  (or  $vu$ ) for edge  $\{u, v\}$
- ▶ edges *incident* at vertex  $v$ :  $E(v)$
- ▶  $u$  and  $v$  are *adjacent* iff  $\{u, v\} \in E$ ,
- ▶ *neighborhood*  $N(v) = \{w \in V : w \text{ adjacent to } v\}$
- ▶ *degree*  $d(v) = |E(v)|$
- ▶ *walk*  $w$  of length  $n$ : sequence of vertices  $w[0..n]$  with  $\forall i \in [0..n) : \underline{w[i]w[i+1]} \in E$
- ▶ *path*  $p$  is a (vertex-) simple walk: without duplicate vertices except possibly its endpoints
- ▶ *edge-simple* walk/path: no edge used twice
- ▶ *cycle*  $c$  is a closed path, i. e.,  $c[0] = c[n]$
- ▶  $G$  is *connected*  
iff for all  $u \neq v \in V$  there is a path from  $u$  to  $v$
- ▶  $G$  is *acyclic* iff  $\nexists$  cycle (of length  $n \geq 1$ ) in  $G$

## Directed Graphs (where different)

- ▶  $uv$  for  $(u, v)$
- ▶ iff  $(u, v) \in E \vee (v, u) \in E$
- ▶ in-/out-neighbors  $N_{\text{in}}(v), N_{\text{out}}(v)$
- ▶ in-/out-degree  $d_{\text{in}}(v), d_{\text{out}}(v)$
- ▶ *strongly connected* for digraphs  
(*weakly connected* = connected ignoring directions)

# Typical graph-processing problems

- ▶ **Path:** Is there a path between  $s$  and  $t$ ?  
**Shortest path:** What is the shortest path (distance) between  $s$  and  $t$ ?
- ▶ **Cycle:** Is there a cycle in the graph?  
**Euler tour:** Is there a cycle that uses each edge exactly once?  
**Hamilton(ian) cycle:** Is there a cycle that uses each vertex exactly once. |
- ▶ **Connectivity:** Is there a way to connect all of the vertices?  
**MST:** What is the best way to connect all of the vertices?  
**Biconnectivity:** Is there a vertex whose removal disconnects the graph?
- ▶ **Planarity:** Can you draw the graph in the plane with no crossing edges?
- ▶ **Graph isomorphism:** Are two graphs the same up to renaming vertices? |

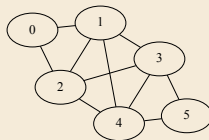
↖ can vary a lot, despite superficial similarity of problems

**Challenge:** Which of these problems  
can be computed in (near) linear time?  
in reasonable polynomial time?  
are intractable?

# Tools to work with graphs

- ▶ Convenient GUI to edit & draw graphs: *yEd live*  
[yworks.com/yed-live](http://yworks.com/yed-live)
- ▶ *graphviz* cmdline utility to draw graphs
  - ▶ Simple text format for graphs: DOT

```
graph G {  
    0 -- 2;    2 -- 4;  
    1 -- 0;    2 -- 3;  
    1 -- 4;    3 -- 4;  
    1 -- 3;    3 -- 5;  
    2 -- 1;    4 -- 5;  
}
```



```
dot -Tpdf graph.dot -Kfdp > graph.pdf
```

- ▶ graphs are typically not built into programming languages, but libraries exist
  - ▶ e. g. part of *Google Guava* for Java
  - ▶ they usually allow arbitrary objects as vertices
  - ▶ aimed at ease of use



## 9.2 Graph Representations

# Graphs in Computer Memory

- ▶ We defined graphs in set-theoretic terms. . .  
but computers can't directly deal with sets efficiently
- ↪ need to choose a *representation* for graphs.
  - ▶ which is better depends on the required operations

# Graphs in Computer Memory

- ▶ We defined graphs in set-theoretic terms. . .  
but computers can't directly deal with sets efficiently

↪ need to choose a *representation* for graphs.

- ▶ which is better depends on the required operations

## Key Operations:

- ▶  $\text{isAdjacent}(u, v)$   
Test whether  $uv \in E$
- ▶  $\text{adj}(v)$   
Adjacency list of  $v$  (iterate through (out-)neighbors of  $v$ )
- ▶ most others can be computed based on these

# Graphs in Computer Memory

- ▶ We defined graphs in set-theoretic terms. . .  
but computers can't directly deal with sets efficiently

↪ need to choose a *representation* for graphs.

- ▶ which is better depends on the required operations

## Key Operations:

- ▶  $\text{isAdjacent}(u, v)$   
Test whether  $uv \in E$
- ▶  $\text{adj}(v)$   
Adjacency list of  $v$  (iterate through (out-)neighbors of  $v$ )
- ▶ most others can be computed based on these

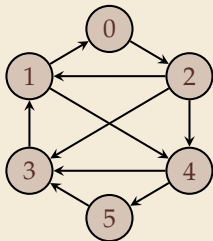
## Conventions:

- ▶ (di)graph  $G = (V, E)$  (omitted if clear from context)
- ▶  $n = |V|$ ,  $m = |E|$
- ▶ in implementations assume  $V = [0..n)$  (if needed, use symbol table to map complex objects to  $V$ )

# Adjacency Matrix Representation

- ▶ adjacency matrix  $A \in \{0, 1\}^{n \times n}$  of  $G$ : matrix with  $A[u, v] = [uv \in E] = \begin{cases} 1 & uv \in E \\ 0 & \text{sonst} \end{cases}$ 
  - ▶ works for both directed and undirected graphs (undirected  $\rightsquigarrow A = A^T$  symmetric)
  - ▶ can use a weight  $w(uv)$  or multiplicity in  $A[u, v]$  instead of 0/1
  - ▶ can represent loops via  $A[v, v]$

Example:

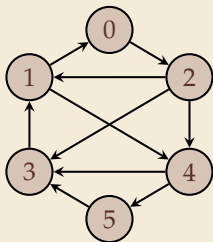


$$A = \begin{matrix} & \begin{matrix} 0 & 1 & 2 & 3 & 4 & 5 \end{matrix} \\ \begin{matrix} 0 \\ 1 \\ 2 \\ 3 \\ 4 \\ 5 \end{matrix} & \begin{pmatrix} 0 & 0 & 1 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 1 & 1 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 1 \\ 0 & 0 & 0 & 1 & 0 & 0 \end{pmatrix} \end{matrix}$$

# Adjacency Matrix Representation

- ▶ adjacency matrix  $A \in \{0, 1\}^{n \times n}$  of  $G$ : matrix with  $A[u, v] = [uv \in E]$ 
  - ▶ works for both directed and undirected graphs (undirected  $\rightsquigarrow A = A^T$  symmetric)
  - ▶ can use a weight  $w(uv)$  or multiplicity in  $A[u, v]$  instead of 0/1
  - ▶ can represent loops via  $A[v, v]$

Example:



$$A = \begin{pmatrix} 0 & 0 & 1 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 1 & 1 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 1 \\ 0 & 0 & 0 & 1 & 0 & 0 \end{pmatrix}$$



isAdjacent in  $O(1)$  time



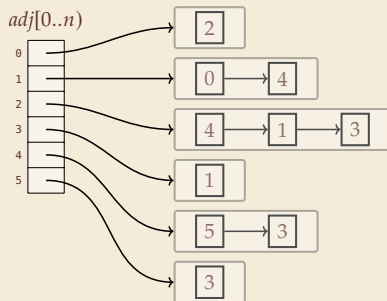
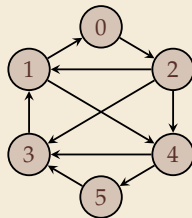
$O(n^2)$  (bits of) space wasteful for sparse graphs



adj( $v$ ) iteration takes  $O(n)$  (independent of  $d(v)$ )

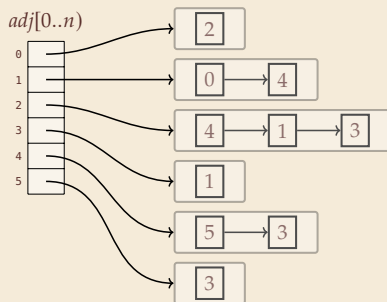
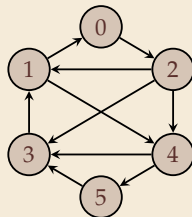
# Adjacency List Representation

- Store a linked list of neighbors for each vertex  $v$ :
  - $\underline{adj[0..n]}$  bag of neighbors (as linked list)
  - undirected edge  $\{u, v\} \rightsquigarrow v$  in  $adj[u]$  and  $u$  in  $adj[v]$
  - weighted edge  $\underline{uv} \rightsquigarrow$  store pair  $(v, w(uv))$  in  $adj[u]$
  - multiple edges and loops can be represented



# Adjacency List Representation

- ▶ Store a linked list of neighbors for each vertex  $v$ :
  - ▶  $adj[0..n)$  bag of neighbors (as linked list)
  - ▶ undirected edge  $\{u, v\} \rightsquigarrow v$  in  $adj[u]$  and  $u$  in  $adj[v]$
  - ▶ weighted edge  $uv \rightsquigarrow$  store pair  $(v, w(uv))$  in  $adj[u]$
  - ▶ multiple edges and loops can be represented



👎  $isAdjacent(u, v)$  takes  $\Theta(d(u))$  time (worst case)

👍  $adj(v)$  iteration  $O(1)$  per neighbor

👍  $\Theta(n + m)$  (words of) space for any graph ( $\ll \Theta(n^2)$  bits for moderate  $m$ )

$\rightsquigarrow$  de-facto standard for graph algorithms



# Graph Types and Representations

- ▶ Note that adj matrix and lists for undirected graphs effectively are representation of directed graph with directed edges both ways
  - ▶ conceptually still important to distinguish!
- ▶ multigraphs, loops, edge weights all naturally supported in adj lists
  - ▶ good if we allow and use them
  - ▶ but requires explicit checks to enforce simple / loopfree / bidirectional!
- ▶ we focus on **static graphs**  
dynamically changing graphs much harder to handle