

10

Approximation Algorithms

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10 Approximation Algorithms

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10.1 Motivation and Definitions

Recap: Optimization Problems, NPO

Recall general optimization problem $U \in \text{NPO}$:

- ▶ each instance x has non-empty set of *feasible solutions* $M(x)$
- ▶ objective function *cost* assigns value $\text{cost}(y)$ to all candidate solutions $y \in M(x)$
- ▶ can check in polytime
 - ▶ whether x is a valid instance
 - ▶ whether $y \in M(x)$
 - ▶ compute $\text{cost}(y) \in \mathbb{Q}$

For each U , consider two variants:

- ▶ *optimization problem*: output $y \in M(x)$ s.t. $\text{cost}(y) = \overset{\text{min or max}}{\text{goal}}_{y' \in M(x)} \text{cost}(y')$
- ▶ *evaluation problem*: output $\text{goal}_{y \in M(x)} \text{cost}(y)$

Perfect is the enemy of good

Optimal solutions are great, but if they are too expensive to get, maybe “*close-to-optimal*” suffices?

A *heuristic* is an algorithm A that always computes a feasible solution $A(x) \in M(x)$, but we may not have any guarantees about $\text{cost}(A(x))$.

A “consistent” with problem
↓

(Sometimes that’s all we have . . .)

Our goal: Prove guarantees about worst possible $\text{cost}(A(x))$.

Problem: optimal objective function value depends on x ,
so how to define “good enough”?

Relate $\text{cost}(A(x))$ to $\mathbf{OPT} = \text{goal}_{y \in M(x)} \text{cost}(y)$. \rightsquigarrow *approximation algorithm*

Approximation Algorithms

Definition 10.1 (Approximation Ratio)

Let $U = (\Sigma_I, \Sigma_O, L, L_I, M, cost, goal)$ be an optimization problem. For every $x \in L_I$ we denote its *optimal objective value* by $OPT = OPT_U(x) = goal_{y \in M(x)} cost(y)$.

Let further A be an algorithm consistent with U .

The *approximation ratio* $R_A(x)$ of A on x is defined as $R_A(x) = \frac{cost(A(x))}{OPT_U(x)}$. ◀

Note: For minimization problems, $R_A \geq 1$; for maximization problems $R_A \leq 1$

Definition 10.2 (Approximation Algorithm)

An algorithm A consistent with an optimization problem $U = (\Sigma_I, \Sigma_O, L, L_I, M, cost, goal)$ is called a *c-approximation (algorithm) for U* if

- ▶ $goal = \min$ and $\forall x \in L_I : R_A(x) \leq c$;
- ▶ $goal = \max$ and $\forall x \in L_I : R_A(x) \geq c$.

10.2 Vertex Cover and Matchings

Example: Vertex Cover

Recall the VERTEXCOVER optimization problem.

C is a VC iff $\{u, v\} \in E : \{u, v\} \cap C \neq \emptyset$

goal = min

How can we vouch for a VC C to be (close to) optimal?

Definition 10.3 ((Maximal/Maximum/Perfect) Matching)

Given graph $G = (V, E)$, a set $M \subseteq E$ is a *matching* (in G) if (V, M) has max-degree 1.

↖ disjoint pairs of vertices

M is (\subseteq) -*maximal* (a.k.a. *saturated*) if no superset of M is a matching.

M is a *maximum matching* if there is no matching of strictly larger cardinality in G .

M is a *perfect matching* if $|M| = |V|/2$.

Note:

- ▶ \subseteq -maximal matchings easy to find via greedy algorithm.
- ▶ Maximum matchings are much more complicated, but also computable in polytime (Edmonds's "Blossom algorithm")

Matching \rightarrow Vertex Cover

Lemma 10.4 (VC \geq M)

If M is a matching and C is a vertex cover in G , then $|C| \geq |M|$.

Proof:

Let $\{v, w\} \in M \subseteq E$. \rightsquigarrow C has to contain v or w (or both).

Since all $|M|$ matching edges are disjoint, C must cover them by $\geq |M|$ distinct endpoints. ■

```
1 procedure matchingVertexCoverApprox( $G = (V, E)$ )
2   // greedy maximal matching
3    $M := \emptyset$ 
4   for  $e \in E$  // arbitrary order
5     if  $M \cup \{e\}$  is a matching
6        $M := M \cup \{e\}$ 
7   return  $\bigcup_{\{u,v\} \in M} \{u, v\}$ 
```

Theorem 10.5 (Matching is 2-approx for Vertex Cover)

matchingVertexCoverApprox is a *2-approximation* for VERTEXCOVER.

Can we do better?

Maybe do smarter analysis?

A tight example for “ $VC \geq M$ ”: $K_{n,n}$

Assuming the *unique games conjecture*, no polytime $(2 - \epsilon)$ approx for VC.

Simple matching-based approximation worst-case optimal . . .

10.3 The Drosophila of Approximation: Set Cover

(Weighted) Set Cover

Definition 10.6 (SETCOVER)

Given: a number n , $\mathcal{S} = \{S_1, \dots, S_k\}$ of k subsets of $U = [n]$,
and a cost function $c : \mathcal{S} \rightarrow \mathbb{N}$.

Solutions: $\mathcal{C} \subseteq [k]$ with $\bigcup_{i \in \mathcal{C}} S_i = U$

Cost: $\sum_{i \in \mathcal{C}} c(S_i)$

Goal: \min

- ▶ *cardinality version* a.k.a. UNWEIGHTEDSETCOVER has cost $c(S) = |S|$
- ▶ UNWEIGHTEDSETCOVER generalizes VERTEXCOVER:
For VERTEXCOVER instances, the sets S_i are the sets of edges incident at a vertex v
 \rightsquigarrow additional property that each $e \in U$ occurs in **exactly** 2 sets S_i
- ▶ general UNWEIGHTEDSETCOVER = Vertex Cover on hypergraphs

We will use SETCOVER to illustrate various techniques for approximation algorithms.

Greedy Algorithm

Arguably simplest approach: **Greedily** pick set with current best *cost-per-new-item* ratio.

```
1 procedure greedySetCover( $n, \mathcal{S}, c$ )
2    $\mathcal{C} := \emptyset; C := \emptyset$ 
3   // For analysis:  $i := 1$ 
4   while  $C \neq [n]$ 
5      $i^* := \arg \min_{i \in [n]} \frac{c(S_i)}{|S_i \setminus C|}$ 
6      $\mathcal{C} := \mathcal{C} \cup \{i^*\}$ 
7      $C := C \cup S_{i^*}$ 
8     // For analysis only:
9     //  $\alpha_i := \frac{c(S_{i^*})}{|S_{i^*} \setminus C|}$ 
10    // for  $e \in S_{i^*} \setminus C$  set  $\text{price}(e) := \alpha_i$ 
11    //  $i := i + 1$ 
12  return  $\mathcal{C}$ 
```

Lemma 10.7 (Price Lemma)

Let e_1, e_2, \dots, e_n the order, in which greedySetCover covers the elements of U .

Then for all $j \in \{1, \dots, n\}$ we have

$$\text{price}(e_j) \leq \frac{\text{OPT}}{n - j + 1}.$$

Proof:

Consider time when the j th element e_j is covered.

$|\overline{C}| = n - (j - 1)$ elements uncovered (for $\overline{C} = U \setminus C$).

Optimal SC \mathcal{C}^* covers \overline{C} with cost $\leq \text{OPT}$

$$\rightsquigarrow \exists S_{i^*} : \underbrace{\frac{c(S_{i^*})}{|S_{i^*} \setminus C|}}_{\geq \text{price}(e_j)} \leq \frac{\text{OPT}}{|\overline{C}|} \leq \frac{\text{OPT}}{n - j + 1}.$$

in \mathcal{C}^* , but not (yet) in \mathcal{C}

Arbitrarily order sets in \mathcal{C}^* , assign prices to uncovered elements.

If all prices were $> \text{OPT}/|\overline{C}|$, covering \overline{C} would cost $> \text{OPT}$. ⚡

Greedy Set Cover Analysis

Theorem 10.8 (greedySetCover approx)

greedySetCover is an H_n -approximation for WEIGHTEDSETCOVER.

Proof:

$$\begin{aligned} c(\mathcal{C}) &= \sum_{i \in \mathcal{C}} c(S_i) = \sum_{j=1}^n \text{price}(e_j) \\ &\stackrel{[\text{Lemma 10.7}]}{\leq} \sum_{j=1}^n \frac{OPT}{n-j+1} = OPT \sum_{i=1}^n \frac{1}{n} = H_n \cdot OPT \end{aligned}$$

Greedy Worst Case

$H_n \sim \ln n$ is . . . not amazing. (Guarantee becomes worse with growing input size)

Unfortunately, bound is **tight** for greedySetCover in the worst case even on WEIGHTEDVERTEXCOVER instances:

- ▶ Consider star graph where leaves cost $\frac{1}{n}, \frac{1}{n-1}, \dots, 1$, and middle vertex costs $1 + \varepsilon$.
- ▶ greedySetCover picks all leaves $\rightsquigarrow H_n$
- ▶ $OPT = 1 + \varepsilon$

More complicated constructions: $\Omega(\log n)$ -approx even for (UNWEIGHTED)VERTEXCOVER.

10.4 The Layering Technique for Set Cover

Size-proportional cost functions

Greedy failed on “unfair” costs for sets . . . what if costs are “nicer”?

Larger sets “should” be more costly.

Definition 10.9 (Size-proportional cost function)

A cost function c is called *size proportional* if there is a constant p so that $c(S_i) = p|S_i|$. ◀

Definition 10.10 (Frequency)

The *frequency* f_e of an element $e \in [n]$ is the number of sets in which it occurs:

$$f_e = |\{j : e \in S_j\}|.$$

The (maximal) *frequency* of a SETCOVER instance is $f = \max_e f_e$. ◀

Note: (WEIGHTED)VERTEXCOVER instance $\rightsquigarrow f = 2$

Size-proportional indeed easier

Lemma 10.11 (size-proportionality \rightarrow trivial f -approx)

For a size proportional weight function c we have $c(S) \leq f \cdot \text{OPT}$.

Proof:

$$c(S) = \sum_{i=1}^k c(S_i) = p \sum_{i=1}^k |S_i| = p \sum_{e \in U} f_e \leq p \sum_{e \in U} f \stackrel{\text{size-prop.} \rightsquigarrow \text{OPT} \geq p \cdot n}{\leq} f \cdot \text{OPT}$$

Taking *all* sets gives f -approx, so certainly true for greedySetCover.

But probably not too many problem instances are that simple ...

Layering Algorithm

Idea: Split cost function into sum of

- ▶ size-proportional part c_0 and
- ▶ a some residue c_1

```
1 procedure layeringSetCover( $U, S, c$ )
2    $p := \min \left\{ \frac{c(S_j)}{|S_j|} : j \in [k] \right\}$ 
3    $c_0(S_i) := p \cdot |S_i|$  // size-prop. part
4    $c_1(S_i) := c(S_i) - c_0(S_i)$  //  $\geq 0$ 
5    $\mathcal{C}_0 := \{j \in [k] : c_1(S_j) = 0\}$ 
6    $U_0 := \bigcup_{j \in \mathcal{C}_0} S_j$  // covered by size-prop.
7   if  $U_0 == U$ 
8     return  $\mathcal{C}_0$ 
9   else
10     $U_1 := U \setminus U_0$  // rest of universe
11     $S_1 := \{S \in \{S_1, \dots, S_k\} \mid S \cap U_1 \neq \emptyset\}$ 
12     $\mathcal{C}_1 := \text{layeringSetCover}(U_1, S_1, c_1)$ 
13  return  $\mathcal{C}_0 \cup \mathcal{C}_1$ 
```

Theorem 10.12 (layering f -approx)

layeringSetCover is f -approx. for SETCOVER. ◀

Proof:

Show by induction over recursive calls that

(a) computes cover (b) of cost $\leq f \cdot OPT$.

Basis: $U_0 = U$

All of U covered by size-prop. part/

\rightsquigarrow f -approx by Lemma 10.11

Inductive step:

IH: \mathcal{C}_1 covers U_1 at cost $c_1(\mathcal{C}_1) \leq f \cdot OPT(U_1, S_1, c_1)$.

Let \mathcal{C}^* be **optimal** set cover w.r.t. c

Lemma 10.11: $\mathcal{C} = \mathcal{C}_0 \cup \mathcal{C}_1$ is f -approx w.r.t. c_0 .

$\rightsquigarrow c_0(\mathcal{C}) \leq f \cdot c_0(\mathcal{C}^*) \quad (0)$

Layering Algorithm [2]

Proof (cont.):

Define $\mathcal{C}_1^* = \{i \in \mathcal{C}^* : S_i \in \mathcal{S}_1\}$

\mathcal{C}_1^* is a set cover for U_1

$$\rightsquigarrow c_1(\mathcal{C}_1) \underset{IH}{\leq} OPT(U_1, \mathcal{S}_1, c_1) \leq f \cdot c_1(\mathcal{C}_1^*) \quad (1)$$

$$c(\mathcal{C}) = c_0(\mathcal{C}) + c_1(\mathcal{C})$$

$$= c_0(\mathcal{C}) + c_1(\mathcal{C}_1)$$

$$\uparrow$$
$$i \in \mathcal{C}_0 \rightsquigarrow c_1 = 0$$

$$\underset{(0),(1)}{\leq} f \cdot (c_0(\mathcal{C}^*) + c_1(\mathcal{C}_1^*))$$

$$\leq f \cdot (c_0(\mathcal{C}^*) + c_1(\mathcal{C}^*))$$

$$= f \cdot c(\mathcal{C}^*)$$

Note: For VERTEXCOVER, this yields again a 2-approximation.

\rightsquigarrow Same as using maximal matching

But the layering algorithm can handle *arbitrary vertex costs* (WEIGHTEDVERTEXCOVER)!

10.5 Applications of Set Cover

Shortest Superstrings

Definition 10.13 (SHORTESTSUPERSTRING)

Given: alphabet Σ , set of strings $W = \{w_1, \dots, w_n\} \subseteq \Sigma^+$

Feasible Instances: *superstrings* s of S , i. e., s contains w_i as substring for $1 \leq i \leq n$.

Cost: $|s|$

Goal: min



Remark 10.14

Without-loss-of-generality assumption: no string is a substring of another.



- ▶ Motivation: DNA assembly (sequencing from many shorter “reads”)
- ▶ General problem is NP-complete

Here: Reduce this problem to SETCOVER!

Shortest Superstring by Set Cover

Construct *all* pairwise superstrings: overlap w_i and w_j by exactly ℓ characters (if possible)

$\sigma_{i,j,\ell} = w_i[0..|w_i|-\ell) \cdot w_j$ valid iff $w_j[0..\ell) = w_i[|w_i|-\ell..|w_i|)$

$M = \{\sigma_{i,j,\ell} : i, j \in [u], \ell \in [0..\min\{|w_i|, |w_j|\}]\}$

\rightsquigarrow **Set Cover instance:**

- ▶ **Universe:** $[n]$ \rightsquigarrow try to *cover* all words in W with superstring ...
- ▶ **Subsets:** $S = \{S_\pi : \pi \in W \cup M\}$... by combining pairwise superstrings.
where $S_\pi = \{k \in [n] : \exists i, j : w_k = \pi[i..j)\}$
- ▶ **Cost function:** $c(S_\pi) = |\pi|$

Given set-cover solution $\{S_{\pi_1}, \dots, S_{\pi_k}\}$

\rightsquigarrow superstring $s = \pi_1 \dots \pi_k$ (in any order)

Shortest Superstring by Set Cover – Analysis

Lemma 10.15 (Pairwise superstrings yield 2-SC-approx)

Let W be an instance for SHORTESTSUPERSTRING and (n, S, c) the corresponding SETCOVER instance. Let further OPT resp. OPT_{SC} be the optimal objective value of W resp. (n, S, c) . Then $OPT \leq OPT_{SC} \leq 2 \cdot OPT$.

Corollary 10.16 ($2H_n$ approximation for superstring)

By solving the transformed set cover instance with greedySetCover, we obtain a $2H_n$ -approximation for the shortest superstring problem.

Proof (Lemma 10.15):

► “ $OPT \leq OPT_{SC}$ ”

It suffices to show that $s = \pi_1 \dots \pi_k$ is a valid superstring.

By definition, every w_i must be contained in some π_k as a substring.

► “ $OPT_{SC} \leq 2 \cdot OPT$ ”

$OPT = |s^*|$ for a *shortest* superstring s^* for W .

Without loss of generality, suppose s^* contains w_1, \dots, w_n *in this order*.

Shortest Superstring by Set Cover – Analysis [2]

Proof:

Define groups: $i_1 = 1$; $i_j = \min\{i > i_{j-1} : \text{first occurrence of } w_i \text{ does not overlap } w_{i_{j-1}}\}$.

Group j starts with w_{i_j} and ends with $w_{i_{j+1}-1}$

\rightsquigarrow overlap of two strings $\rightsquigarrow \pi_j = \sigma_{i_j, i_{j+1}-1, \ell_j}$

Groups can overlap (so concatenation of σ s longer than s^*).

But group j and $j + 2$ cannot overlap!

$\rightsquigarrow |\pi_1 \dots \pi_k| \leq 2|s^*| = 2 \cdot OPT.$

(Note: Better approximation algorithms for SHORTESTSUPERSTRING possible via different techniques.)

10.6 (F)PTAS: Arbitrarily Good Approximations

Approximation Schemes

The problems so far had a barrier to arbitrarily good approximations; but sometimes we can achieve the latter!

Definition 10.17 ((F)PTAS)

Let $U = (\Sigma_I, \Sigma_O, L, L_I, M, cost, \text{min})$ an optimization problem.

An algorithm $A = A_\varepsilon(x)$ with input (ε, x) is called

polynomial-time approximation scheme (PTAS) for U ,

if for every constant $\varepsilon \in \mathbb{Q}_{>0}$, the algorithm A_ε is a $(1 + \varepsilon)$ -approximation for U with running time polynomial in $|x|$.

If the running time of $A_\varepsilon(x)$ is bounded by a polynomial in $|x|$ **and** ε^{-1} , A is called a *fully polynomial-time approximation scheme (FPTAS)* for U . ◀

Note: PTAS could have running time $O(n^c \cdot 2^{2^{1/\varepsilon}})$ or so (akin to fpt running time)

FPTAS much stronger ... *but do they even exist for any NP-hard problems?* Yes!

Pseudopolynomial DP Reprise

Recall **0/1-KNAPSACK**: **Given:** items $1, \dots, n$ with *weights* w_1, \dots, w_n and *values* v_1, \dots, v_n ;

Feasible solutions: subset of items with total weight $\leq b$

Goal: maximize total value

Approximation Idea: Work with *rounded* values (depending on ε)

In Unit 3, we solved Knapsack

► using a DP table $V[n', b'] = \max$ value from items $1..n'$ and total weight $b' \leq b$

$\rightsquigarrow n \cdot b$ entries \rightsquigarrow total time $O(n \cdot b \cdot \log(\text{MaxInt}(v)))$

\rightsquigarrow good if *weights* are small, but we want to round *values*

► actually, DP also works with values as index!

Assumption: $w_1, \dots, w_n, v_1, \dots, v_n \in \mathbb{N}$

► DP table $W[n', v] = \min$ weight from items $1, \dots, n'$ with value $= v$

$$W[n', v] = \begin{cases} \min\{W[n' - 1, v], W[n' - 1, v - v_{n'}] + w_{n'}\} & \text{if } v_{n'} < v \\ W[n' - 1, v] & \text{otherwise} \end{cases} \quad (+ \text{ initial values})$$

$\rightsquigarrow n \cdot nV$ entries for $V = \max v_i$ \rightsquigarrow total time $O(n^2 \cdot V \cdot \log(\text{MaxInt}(w)))$

FPTAS for Knapsack

Convenience Assumption: any item fits in the knapsack alone, i. e., $w_i \leq b$

```
1 procedure knapsackFPTAS( $w, v, b, \varepsilon$ )
2    $V := \max_{i=1, \dots, n} v_i$ 
3    $K := \varepsilon V / n$ 
4    $\tilde{v} := \lfloor \frac{v}{K} \rfloor$  // rounded  $v$ 
5   return DPKnapsack( $w, \tilde{v}, b$ )
```

DPKnapsack is pseudopolynomial DP algorithm
with running time $O(n^2 \cdot V \cdot \log(\text{MaxInt}(w)))$

Theorem 10.18

approxKnapsack is an FPTAS for 0/1-KNAPSACK.

Proof:

First consider running time; dominated by DPKnapsack.

$$O(n^2 \tilde{V} \log(\text{MaxInt}(w))) \leq O(n^2 \tilde{V} |x|) \leq O\left(n^2 |x| \frac{V}{K}\right) \leq O(n^3 |x| \varepsilon^{-1}) \leq O(|x|^4 \varepsilon^{-1})$$

It remains to show that total value of $I = \text{DPKnapsack}(w, \tilde{v}, b)$ is $v(I) \geq (1 - \varepsilon) \cdot \text{OPT}$

FPTAS for Knapsack [2]

Proof (cont.):

Let I^* be an optimal solution, $v(I^*) = \sum_{i \in I^*} v_i = OPT$

For each $i \in [n]$, we have by definition $\boxed{v_i - K < K \cdot \tilde{v}_i \leq v_i} \quad (*)$.

FPKnapsack returns *optimal* solution for rounded values $\rightsquigarrow \tilde{v}(I) \geq \tilde{v}(I^*) \quad (o)$

Moreover, $OPT \geq V$ by our assumption that each item fits into knapsack. (V)

We now have

$$v(I) \underset{(*)}{\geq} K \cdot \tilde{v}(I) \underset{(o)}{\geq} K \cdot \tilde{v}(I^*) \underset{(*)}{\geq} v(I^*) - nK = OPT - \varepsilon V \underset{(V)}{\geq} (1 - \varepsilon) \cdot OPT$$

FPTAS asks for much

Theorem 10.19 (FPTAS \rightarrow FPT and pseudopolynomial)

1. $U \in \text{FPTAS} \implies p\text{-}U \in \text{FPT}$
2. $U \in \text{FPTAS}$ and $\text{cost}(u, x) < p(\text{MaxInt}(x))$ for some polynomial p
 $\implies \exists$ pseudopolynomial algorithm for U .



10.7 Christofides's Algorithm

Metric TSP – MST Approximation

METRICTRAVELINGSALESPERSONPROBLEM: TSP where distances obey triangle inequality

Step 1: MST

- ▶ Consider edge-weighted complete graph $G = ([n], E, D)$ of cities with pairwise distances $D_{i,j}$.
- ▶ Compute a minimum spanning tree T in G .

“Baby-Christofides”: Walk around T (Euler tour after doubling all edges)

If this visits a vertex another time, simply skip it
(shortcut edge to next vertex)

Lemma 10.20

Baby-Christofides is a 2-approximation for METRICTSP.

Proof:

- ↪ Walking around T uses each edge twice: cost = $2c(T)$.
- ▶ Shortcutting does not make the tour longer by the triangle inequality.
- ▶ Removing one edge from an optimal TSP tour yields a spanning tree (path)
- ↪ $OPT \geq c(T)$.


Matchings and Tours

Can we improve upon the specific Euler tour we used?

Doubling edges was costly. For even-degree vertices this is not needed!

Recall: graph has an Euler tour iff all vertices have even degrees.

Lemma 10.21

Let $V' \subseteq V$ with $|V'|$ even and let M be a minimum-cost perfect matching on V' (in the TSP graph). Then $c(M) \leq OPT/2$. 


Proof:

Let C^* be the optimal TSP tour and let C' be the tour (on V') where we shortcut all vertices not in V' .

By triangle inequality $c(C') \leq c(C^*)$.

Since $|V'|$ is even, C' is the *disjoint union of two perfect matchings* of V' (odd and even steps).

So the cheaper of these two matchings has cost $\leq c(C')/2 \leq c(C^*)/2 = OPT/2$.

\rightsquigarrow optimal perfect matching also has $c(M) \leq OPT/2$. 

Christofides's 3/2-Approximation

Step 2: Christofides's Algorithm

- ▶ $T := \text{MST in } G$.
- ▶ $V' := \text{vertices with odd degree in } T$.
- ▶ $M := \text{minimum-cost perfect matching of } V' \text{ in } G$.
- ▶ Output Euler cycle C in $([n], E(T) \cup M)$, shortcutting repeated vertices.

Theorem 10.22

Christofides's algorithm is a $\frac{3}{2}$ -approximation for METRICTSP.

Proof:

$$c(C) = c(T) + c(M) \leq OPT + OPT/2 = \frac{3}{2} \cdot OPT$$

Major open problem: Can $\frac{3}{2}$ be improved?

- ▶ Was open since 1976
- ▶ (Tiny) improvement published at STOC 2021 ($(\frac{3}{2} - \delta)$ -approximation)
out of PhD project of Nathan Klein (!)


10.8 Randomized Approximations

Randomized Approximation Guarantees

Definition 10.23 (Randomized δ -approx.)

Let $U = (\Sigma_I, \Sigma_O, L, L_I, M, cost, \mathbf{max})$ an optimization problem. For $\delta > 1$ a randomized algorithm A is called *randomized δ -approximation algorithm for U* , if


- ▶ $\mathbb{P}[A(x) \in M(x)] = 1$, (always feasible) and
- ▶ $\mathbb{P}[R_A(x) \leq \delta] \geq \frac{1}{2}$ (typically within δ)

for all $x \in L_I$. 

Definition 10.24 (δ -expected approx.)

Let $U = (\Sigma_I, \Sigma_O, L, L_I, M, cost, \max)$ an optimization problem. For $\delta > 1$ a randomized algorithm A is called *(randomized) δ -expected approximation algorithm for U* , if

- ▶ $\mathbb{P}[A(x) \in M(x)] = 1$ (always feasible) and
- ▶ $\frac{\mathbb{E}[cost(A(x))]}{OPT_U(x)} \leq \delta$ (expected within δ)

for all $x \in L_I$. 

(Minimization problems similar.)