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# New search for $\tau \to \mu \gamma$ and $\tau \to e \gamma$ decays at Belle

## **Belle Collaboration**

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#### ABSTRACT

We report on a search for the lepton flavor violating  $\tau^- \to \mu^- \gamma$  and  $\tau^- \to e^- \gamma$  decays based on 535 fb<sup>-1</sup> of data accumulated at the Belle experiment. No signal is found and we set 90% confidence level upper limits on the branching ratios  $\mathcal{B}(\tau^- \to \mu^- \gamma) < 4.5 \times 10^{-8}$  and  $\mathcal{B}(\tau^- \to e^- \gamma) < 1.2 \times 10^{-7}$ . © 2008 Elsevier B.V. Open access under CC BY license.

### 1. Introduction

Lepton flavor violation (LFV) could appear in various new physics scenarios beyond the Standard Model, e.g., in the Minimal Supersymmetric extension (MSSM) [1], in Grand Unified Theories [2], and seesaw mechanisms [3]. In most models describing LFV in the  $\tau$  lepton sector, the radiative decays  $\tau \to \mu(e)\nu$  have the largest probability; this has motivated many experimental searches in the pre-B-factory era [4-10]. Using 86 fb<sup>-1</sup> of data recorded at the  $\Upsilon(4S)$  resonance with the Belle experiment [11] at the KEKB asymmetric-energy  $e^+e^-$  collider [12] we have previously obtained the upper limits  $\mathcal{B}(\tau^- \to \mu^- \gamma) < 3.1 \times 10^{-7}$ [13] and  $\mathcal{B}(\tau^- \to e^- \gamma) < 3.9 \times 10^{-7}$  [14] at the 90% confidence level (CL). The BaBar Collaboration subsequently obtained the upper limits  $\mathcal{B}(\tau^- \to \mu^- \gamma) < 6.8 \times 10^{-8}$  [15] and  $\mathcal{B}(\tau^- \to e^- \gamma) <$  $1.1 \times 10^{-7}$  [16] with 232.2 fb<sup>-1</sup> of data. Here, we report on an updated analysis with 535 fb<sup>-1</sup> of data, which corresponds to  $4.77 \times 10^8$  produced  $\tau^+\tau^-$  pairs [17]. In our analysis, the method used to evaluate the upper limit on the branching ratio is similar to that in [10,13-15].

The Belle detector is a large-solid-angle magnetic spectrometer that consists of a silicon vertex detector (SVD), a 50-layer central drift chamber (CDC), an array of aerogel threshold Cherenkov counters (ACC), a barrel-like arrangement of time-of-flight scintillation counters (TOF), and an electromagnetic calorimeter comprised of CsI(Tl) crystals (ECL) located inside a superconducting solenoid

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coil that provides a 1.5 T magnetic field. An iron flux-return located outside the coil is instrumented to detect  $K_L^0$  mesons and to identify muons (KLM). The detector is described in detail elsewhere [11].

The basic analysis procedure is similar to our previous one [13,14]. The selection criteria for  $\tau^- \to \mu^- \gamma / e^- \gamma$  are determined and optimized by examining Monte Carlo (MC) simulated signal and background (BG) events, including generic  $\tau^+\tau^-$ ,  $q\bar{q}$  (q=u, d, s, c, b), Bhabha,  $\mu^+\mu^-$ , and two-photon events. The BG  $\tau^+\tau^$ events are generated by the KKMC/TAUOLA [17] and the response of the Belle detector is simulated by the GEANT3 [18] based pro-

Photon candidates are selected from ECL clusters that are consistent with an electromagnetic shower shape and not associated with charged tracks. Muon candidates are identified by using a muon likelihood ratio,  $\mathcal{L}_{\mu}$  [19], which is based on the difference between the penetration depth of the track in the KLM calculated from the particle momentum and that measured by the KLM. This ratio includes the value of  $\chi^2$  formed from the KLM hit locations with respect to the extrapolated track. The muon identification efficiency for these selection criteria ( $\mathcal{L}_{\mu} >$  0.95) is 90%, with a pion fake probability of 0.8%. Identification of electrons uses an electron likelihood ratio,  $\mathcal{L}_e$ , based on dE/dx information from the CDC, the ratio of the energy deposited in the ECL to the momentum measured by the CDC and SVD, the shower shape in the ECL, hit information from the ACC and matching between the positions of the charged track and the ECL cluster [20]. The electron identification efficiency for these selection criteria ( $\mathcal{L}_{e} > 0.9$ ) is 95%, with a pion fake probability of 0.07%.

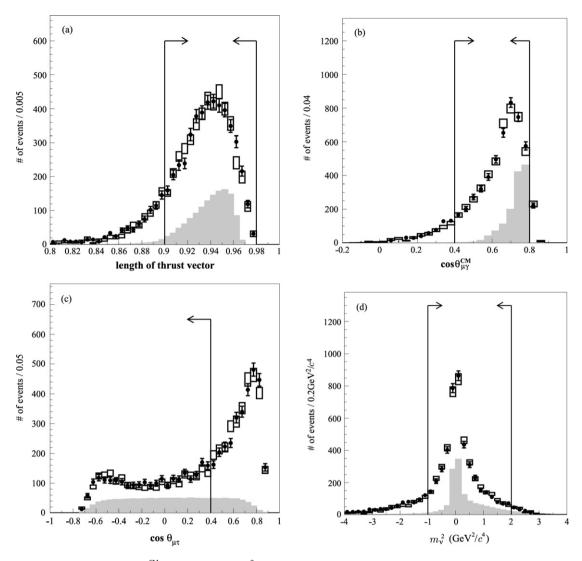


Fig. 1. (a) Magnitude of the thrust vector, (b)  $\cos\theta_{\mu\gamma}^{\rm CM}$ , (c)  $\cos\theta_{\mu\tau}$  and (d)  $m_{\nu}^2$  distributions for  $\tau \to \mu\gamma$ . Dots are data, open boxes show the BG MC distribution and shaded histograms are the signal MC. Arrows indicate the selected region. Here, the branching ratio of  $\tau \to \mu\gamma$  is assumed to be  $1.0 \times 10^{-5}$  for the signal MC histograms. The requirements on particle identification for the signal and tag sides and  $1.5~{\rm GeV/c^2} < M_{\rm inv} < 2.0~{\rm GeV/c^2}, -0.5~{\rm GeV} < \Delta E < 0.5~{\rm GeV}$  are imposed here.

#### 2. $\tau \rightarrow \mu \gamma$

#### 2.1. Event selection

We select events that include exactly two oppositely charged tracks and at least one photon, consistent with  $\tau^+\tau^-$  decays: one  $\tau^\pm$  (signal side) decays to  $\mu^\pm\gamma$  and the other (tag side) decays to a charged particle that is not a muon (denoted hereafter as  $\mu$ ), neutrino(s) and any number of photons. In this analysis, before particle identification, we assign the pion mass to all charged tracks to calculate their energies and momenta in the center-of-mass (CM) frame.

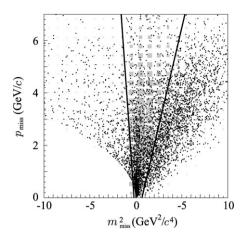
Each track must have a momentum  $p^{\text{CM}} < 4.5 \text{ GeV/}c$  in the CM frame to reduce contamination from Bhabha and  $\mu^+\mu^-$  events and a transverse component to the beam axis of  $p_t > 0.1 \text{ GeV/}c$  within the detector fiducial region,  $-0.866 < \cos\theta < 0.956$ , to suppress two-photon background. Here  $\theta$  is the polar angle with respect to the z axis, which is anti-parallel to the  $e^+$  beam. (Hereafter, all the variables defined in the CM frame have superscripts "CM".) Each photon is required to have an energy  $E_{\gamma} > 0.1 \text{ GeV}$  within the fiducial region. The total energy in the CM frame must be  $E_{\text{total}}^{\text{CM}} < 10.5 \text{ GeV}$  to further suppress Bhabha and  $\mu^+\mu^-$  events. The magnitude of the thrust vector, constructed from all selected

charged tracks and photons mentioned above, is required to be in the range from 0.90 to 0.98 in order to suppress  $\mu^+\mu^-$  and  $q\bar{q}$  background (Fig. 1(a)).

For muon identification, we require a likelihood ratio of  $\mathcal{L}_{\mu} > 0.95$  and p > 1.0 GeV/c. On the tag side, a track with  $\mathcal{L}_{\mu} < 0.8$  is defined as  $\not\!\!\mu$ . The photon that forms a  $(\mu\gamma)$  candidate is required to have  $E_{\gamma} > 0.5$  GeV and  $-0.602 < \cos\theta_{\gamma} < 0.829$  to remove any spurious combinations of  $\gamma$ 's.

The opening angle between the  $\mu$  and  $\gamma$  of the  $(\mu\gamma)$  candidate,  $0.4 < \cos\theta_{\mu\gamma}^{\rm CM} < 0.8$ , is useful in rejecting  $\tau^+\tau^-$  background that contains  $\pi^0$ 's from  $\tau$  decays (Fig. 1(b)). The sum of the energies of the two charged tracks and the photon of the  $(\mu\gamma)$  candidate,  $E_{\rm sum}^{\rm CM}$ , should be less than 9.0 GeV to reject  $\mu^+\mu^-$  events. The opening angle between the two tracks should be greater than 90° in the CM frame, and the opening angle between the  $\mu$  in the  $\tau$  rest frame and the boost direction of its mother  $\tau$  in the CM frame is required to satisfy  $\cos\theta_{\mu\tau} < 0.4$  to remove combinations of  $\mu$ 's and  $\gamma$ 's from BG (Fig. 1(c)).

The following constraints on the momentum and the polar angle of the missing particle are imposed:  $p_{\rm miss} > 0.4~{\rm GeV/c}$  and  $-0.866 < \cos\theta_{\rm miss} < 0.956$ . Here,  $p_{\rm miss}$  is calculated by subtracting the sum of the momenta of all charged tracks and photons from the beam momenta. To remove the  $\tau^+\tau^-$  background



**Fig. 2.** Distributions in  $m_{\rm miss}^2 - p_{\rm miss}$  plane for data (dot) and signal MC (shaded box) events. Events are chosen between the two lines in the figure. Also,  $p_{\rm miss} > 0.4$  is required. The two lines correspond to the equations  $p_{\rm miss} = -5 \times m_{\rm miss}^2 - 1$  and  $p_{\rm miss} = 1.5 \times m_{\rm miss}^2 - 1$ . The applied requirements are the same as those in Fig. 1.

events, a requirement on the opening angle between the tagside track and the missing particle is applied,  $0.4 < \cos\theta_{\mathrm{miss}-\mu}^{\mathrm{CM}} < 0.98$ . We calculate the missing mass squared on the tag side,  $m_{\nu}^2 = (E_{\mu\gamma}^{\mathrm{CM}} - E_{\mathrm{tag}}^{\mathrm{CM}})^2 - (p_{\mathrm{miss}}^{\mathrm{CM}})^2$ , where  $E_{\mu\gamma}^{\mathrm{CM}}$  ( $E_{\mathrm{tag}}^{\mathrm{CM}}$ ) is the sum of the energy of the signal side  $\mu$  and  $\gamma$  (the sum of the energies of all tag-side particles) in the CM frame, and then require  $-1.0~\mathrm{GeV}^2/c^4 < m_{\nu}^2 < 2.0~\mathrm{GeV}^2/c^4$ , as shown in Fig. 1(d). Finally, the condition,  $p_{\mathrm{miss}} > -5 \times m_{\mathrm{miss}}^2 - 1$  and  $p_{\mathrm{miss}} > 1.5 \times m_{\mathrm{miss}}^2 - 1$ , is imposed. The missing mass is given by  $m_{\mathrm{miss}}^2 = E_{\mathrm{miss}}^2 - p_{\mathrm{miss}}^2$  (in  $\mathrm{GeV}/c^2$ ), where  $E_{\mathrm{miss}}$  is the sum of the beam energies minus the visible energy. This relation is illustrated by Fig. 2.

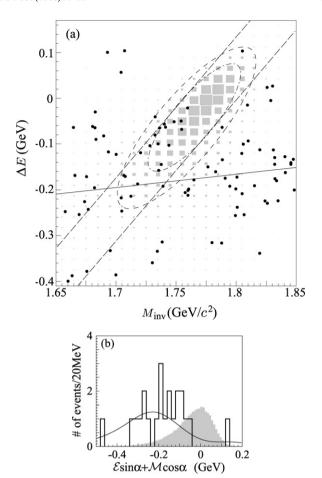
## 2.2. Background contribution

After the selection requirements described in the previous subsection, the dominant BG source is  $\tau^+\tau^-$  events with the decay  $\tau^\pm \to \mu^\pm \nu_\mu \nu_\tau$  or  $\tau^\pm \to \pi^\pm \nu_\tau$  where the  $\pi$  is misidentified as a  $\mu$  and is then combined with a photon from initial state radiation or beam BG. Another source is the radiative  $e^+e^- \to \mu^+\mu^-$  process.

Two variables are used to identify the signal:  $M_{\rm inv}$ , the invariant mass of  $(\mu\gamma)$ , and  $\Delta E = E_{\mu\gamma}^{\rm CM} - E_{\rm beam}^{\rm CM}$ , the energy difference between the  $(\mu\gamma)$  energy and the beam energy in the CM frame, where the signal should have  $M_{\rm inv} \sim m_{\tau}$  and  $\Delta E \sim 0$ . The resolutions in  $M_{\rm inv}$  and  $\Delta E$  are estimated by fitting asymmetric Gaussians to the signal MC distributions giving  $\sigma_{M_{\rm inv}}^{\rm high/low} = 14.49 \pm 0.10/24.24 \pm 0.13~{\rm MeV}/c^2$  and  $\sigma_{\Delta E}^{\rm high/low} = 35.29 \pm 0.49/81.41 \pm 0.94~{\rm MeV}$ , where  $\sigma^{\rm high/low}$  means the standard deviation on the higher/lower side of the peak.

To compare the data and MC simulation, we examine a  $5\sigma$  region with 1.65 GeV/ $c^2$  <  $M_{\rm inv}$  < 1.85 GeV/ $c^2$  and -0.41 GeV <  $\Delta E$  < 0.17 GeV, as shown in Fig. 3(a). A 'blind analysis' method is used: a  $3\sigma$  region as indicated by the dashed ellipse in Fig. 3(a) is 'blinded' (not examined) until all selection criteria are finalized. The detection efficiency for this region is determined from MC simulation to be 6.05%.

After the selections, we find 71 events remaining in data and 73.4  $\pm$  6.7 events in MC in the  $5\sigma$  region outside the blinded ellipse. According to MC, the remaining events are dominated by the initial state radiation process  $\tau^+\tau^-\gamma$ –58.8  $\pm$  4.3 (70.3  $\pm$  4.7) events and also include 13.1  $\pm$  4.9 (15.0  $\pm$  5.3)  $\mu^+\mu^-\gamma$  events with incorrect  $\mu$  identification, and 1.6  $\pm$  1.6 (3.2  $\pm$  2.2) two-photon events, where the numbers in parentheses are the BGs that remain in the entire  $5\sigma$  region.



**Fig. 3.** (a)  $M_{\rm inv}$ - $\Delta E$  distribution in the search for  $\tau \to \mu \gamma$  in the 5σ region. Dots are the data and shaded boxes indicate the signal MC. The dashed ellipse shows the 3σ blinded region and the dot-dashed ellipse is the 2σ signal region. The dashed lines indicate the 2σ band of the shorter ellipse axis, projected onto the longer ellipse axis. The solid line indicates the dense BG region. (b) Data distribution within the 2σ band. Here,  $\mathcal{E} \equiv \Delta E - \Delta E^{(0)}$ ,  $\mathcal{M} \equiv 3.0 \times c^2 \times (M_{\rm inv} - M_{\rm inv}^{(0)})$  and  $\alpha = 46^\circ$ . We obtain the most probable values  $\Delta E^{(0)}$  and  $M_{\rm inv}^{(0)}$ ,  $M_{\rm inv}^{(0)} = 1.776$  Gev/ $c^2$ ,  $\Delta E^{(0)} = -5$  MeV, by fitting the signal MC distribution to an asymmetric Gaussian. The open histogram is the data and the shaded one is the signal MC assuming a branching ratio of  $5 \times 10^{-7}$ . The solid curve shows the projection of the 2-dimensional BG shape obtained by the unbinned extended maximum likelihood fit.

This background composition was understood in the previous analysis; the  $\tau^+\tau^-\gamma$  process yields contributions in the  $\Delta E < 0$ region, while  $\mu^+\mu^-\gamma$  events mostly have  $\Delta E > 0$ . Each contribution has a ridge-like distribution along the  $\Delta E$ -direction that has a small correlation with  $M_{\rm inv}$ . Therefore, these BG distributions are well represented by a combination of Landau and Gaussian functions as was found in Ref. [14]. Their shapes are determined by a fit to a high statistics MC sample equivalent to more than three times the data luminosity (a control data sample with twenty times the statistics of the data) for the  $\Delta E < 0$  region (the  $\Delta E > 0$  region) in the  $5\sigma$   $(M_{\rm inv}, \Delta E)$  region. To obtain the final BG distribution, we perform a binned maximum likelihood fit to the candidates in the  $5\sigma$  region outside the blinded ellipse. The final event distribution in the data is very similar to that obtained from the MC:  $(79.0 \pm 9.2)\%$  is  $\tau^+\tau^-\gamma$ ,  $(15.8 \pm 8.5)\%$  is  $\mu^+\mu^-\gamma$ , and  $(5.2 \pm 4.1)\%$ is from  $e^+e^-\gamma \rightarrow e^+e^-\mu^+\mu^-$ .

### 2.3. Signal extraction and upper limit determination

After unblinding, we find 23 and 94 data events in the blinded and  $5\sigma$  regions, respectively, while  $15.0\pm3.1$  and  $88.4\pm7.4$  events

are expected from the MC. Fig. 3(a) shows the final event distributions (data and signal MC) in the  $M_{\rm inv}$ - $\Delta E$  plane.

The data is fit with a generalized extended unbinned maximum-likelihood (GEUML) fit to extract estimators for the amount of signal and background events. The GEUML fit is based on the likelihood function:

$$\mathcal{L} = \frac{e^{-(s+b)}}{N!} \prod_{i=1}^{N} \left( sS(M_{\text{inv}}^{(i)}, \Delta E^{(i)}) + bB(M_{\text{inv}}^{(i)}, \Delta E^{(i)}) \right). \tag{1}$$

Here, N is the number of observed events; i indicates the ith event; s and b are the numbers of signal and BG events to be extracted, respectively;  $S(M_{\rm inv}, \Delta E)$  and  $B(M_{\rm inv}, \Delta E)$  are the signal and BG distribution functions, whose integrations are normalized to 1 inside the region defined below in the  $M_{\rm inv}$ - $\Delta E$  plane. In order to get Gaussian-like distributions for the fitted signal in the toy MC, the total distribution function is not constrained to be greater than or equal to zero in the integration region, therefore, the fits employed for signal and background extractions are referred to as generalized ML fits. Since the background and signal distribution have a significant overlap, even when the signal distribution function has a negative coefficient in the GEUML fit, the total distribution is positive in most of the integration area and the fit results are close to those that would be obtained with a proper ML fit. In particular, this occurs for the fit on the data. The shape of the signal distribution function,  $S(M_{inv}, \Delta E)$ , is obtained by smoothing the signal MC distribution, and  $B(M_{\rm inv}, \Delta E)$  is the distribution function for the background mentioned above, whose distribution is concentrated around  $\Delta E \simeq -0.2$  GeV, as indicated by the solid line in Fig. 3(a). To avoid this dense BG region, we use a  $2\sigma$  ellipse as the signal region for the GEUML fit. Although a larger region would facilitate background fitting and would reduce a correlation between signal and background, we have found that the upper limit determination is stable when using larger regions up to  $5\sigma$ . The background uncertainties are considered as errors of the fit result and the BG shape decision in the extraction of the frequentist limit. The result of the fit is  $s = -3.9^{+3.6}_{-3.2}$ ,  $b = 13.9^{+6.0}_{-4.8}$ with N = 10.

Fig. 3(b) shows the event distribution within the  $2\sigma$  band of the shorter ellipse axis, projected onto the longer ellipse axis, and the BG curve evaluated from the GEUML fit. No events are found near the peak of the signal distribution. The negative s value is consistent with no signal. As there is no evidence for signal, a 90% CL frequentist upper limit is set as follows.

A frequentist upper limit is obtained through a toy MC simulation using the background estimated on data and searching for the minimum signal for which the fitted signal is larger than on data for 90% of the MC runs, using the same GEUML fit used for the data [21]. The toy MC generates signal and BG events according to their distribution functions fixing the expected number of BG events (b) at b = b, while varying the number of signal events  $(\tilde{s})$ . Using these events, we perform the GEUML fit and obtain an  $\hat{s}^{MC}$  and  $\hat{b}^{MC}$  as the results of one pseudo-experiment. For every assumed  $\tilde{s}$ , we execute 10000 times pseudo-experiments by generating events following Poisson statistics with mean  $(\tilde{s})$  and (b)for the signal and BG, respectively. To obtain the upper limit at the 90% CL ( $\tilde{s}_{90}$ ) we take an  $\tilde{s}$  value that gives a 10% probability for  $s^{MC}$  to be smaller than s. The probability to obtain  $s \leq -3.9$  is 25% in the case of a null true signal. In other words, due to BG fluctuations a negative s value is possible with a large probability, although the physical signal rate is positive.<sup>1</sup>

The toy MC provides an upper limit on the signal at the 90% CL as  $\tilde{s}_{90}=2.0$  events from the GEUML fit. We then obtain the upper limit on the branching ratio  $\mathcal{B}_{90}(\tau\to\mu\gamma)$  at the 90% CL as

$$\mathcal{B}_{90}(\tau^- \to \mu^- \gamma) \equiv \frac{\tilde{s}_{90}}{2\epsilon N_{TT}} = 4.1 \times 10^{-8},$$
 (2)

where the number of  $\tau$  pairs produced  $N_{\tau\tau}=(4.77\pm0.07)\times10^8$  is calculated from the integrated luminosity and the theoretical cross section  $\sigma_{\tau\tau}=(0.892\pm0.002)$  nb [17] while the detection efficiency for the  $2\sigma$  elliptical region is  $\epsilon=5.07\%$ .

The systematic uncertainties for the shape of the BG distribution function increase  $\tilde{s}_{90}$  to  $2.2.^2$  The other systematic uncertainties arise from the track reconstruction efficiency (2.0%), the photon reconstruction efficiency (2.0%), the selection criteria (2.2%), the luminosity (1.4%), the trigger efficiency (0.9%), and the MC statistics (0.3%). All contributions are added in quadrature to obtain the total uncertainty of 4.0%. This uncertainty increases the upper limit on the branching ratio by 0.2% [22]. Since the angular distribution for  $\tau \to \mu \gamma$  depends on the LFV interaction structure, we evaluate its effect on the result by assuming the maximum possible variation,  $V \pm A$  interactions, rather than the uniform distribution that is the default in the MC analysis. No appreciable effect is found for the upper limit.

Finally, the following upper limit on the branching ratio is obtained:

$$\mathcal{B}(\tau^- \to \mu^- \gamma) < 4.5 \times 10^{-8}$$
 at the 90% CL. (3)

3.  $\tau \rightarrow e \gamma$ 

For  $\tau \to e \gamma$  we use a procedure similar to that for  $\tau \to \mu \gamma$ .

## 3.1. Event selection

We examine a  $\tau^+\tau^-$  sample, in which one  $\tau$  decays to an electron and a photon, and the other  $\tau$  decays to a charged particle, but not an electron ( $\not\in$ ), neutrino(s) and any number of photons. Because the selection criteria are quite similar to those for  $\tau \to \mu \gamma$ , below we describe only the differences.

An obvious difference is the replacement of the  $\mu$  by an e on the signal side, and using an e veto ( $\rlap/e$ ) rather than a  $\mu$  veto ( $\rlap/e$ ) on the tag side. The electron on the signal side ( $e\gamma$ ) is required to have  $\mathcal{L}_e > 0.90$  and a momentum p > 1.0 GeV/c, while the  $\rlap/e$  on the tag side should have  $\mathcal{L}_e < 0.1$ . Minor differences in the kinematical selection include requirements on the missing mass squared on the tag side and the opening angle between the tag-side track and the missing particle on the tag side:  $-0.5~{\rm GeV}^2/c^4 < m_\nu^2 < 2.0~{\rm GeV}^2/c^4$ , and  $0.4 < \cos\theta_{{\rm miss}-\rlap/e}^{{\rm CM}} < 0.99$ . The other requirements are the same as those for  $\tau \to \mu\gamma$ , as shown in Fig. 4.

The  $M_{\rm inv}$  and  $\Delta E$  resolutions are  $\sigma_{M_{\rm inv}}^{\rm high/low}=14.76\pm0.18/25.38\pm0.38~{\rm MeV}/c^2$  and  $\sigma_{\Delta E}^{\rm high/low}=35.66\pm0.62/89.98\pm1.72~{\rm MeV}$ . The  $5\sigma$  region with 1.65 GeV/ $c^2$  <  $M_{\rm inv}$  < 1.85 GeV/ $c^2$  and  $-0.45~{\rm GeV}$  <  $\Delta E$  < 0.18 GeV is used for the signal evaluation. A  $3\sigma$  ellipse is also blinded.

After the selection requirements we find 42 and 34.7  $\pm$  3.3 events outside the blind in the  $5\sigma$  region in data and MC, respectively. As our MC study shows, the BG comes from  $\tau^+\tau^-\gamma$  events.

<sup>&</sup>lt;sup>1</sup> We restricted s to be positive in the previous analysis. Due to BG fluctuations, however, s can be negative as we found in this case. Our previous upper limit is then reduced by a factor of  $\simeq 3$  when negative s is allowed.

<sup>&</sup>lt;sup>2</sup> A method to incorporate the systematic uncertainties into a branching ratio is discussed in Ref. [10]. A similar discussion for the Cousins and Highland techniques is found in [22].

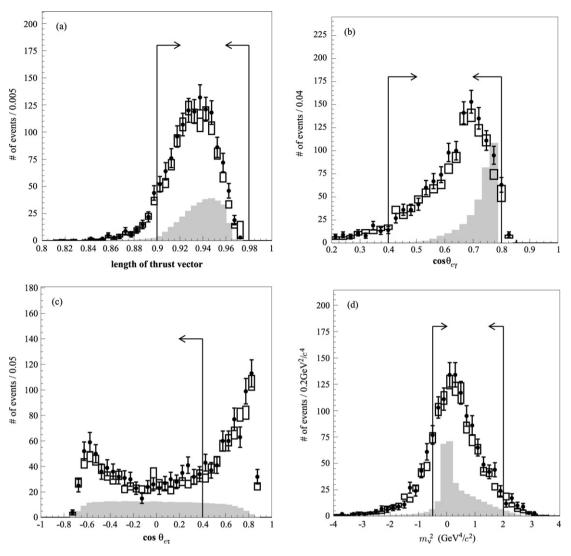


Fig. 4. (a) Magnitude of the thrust vector, (b)  $\cos\theta_{e\gamma}^{CM}$ , (c)  $\cos\theta_{e\tau}$  and (d)  $m_{\nu}^2$  distributions for  $\tau \to e\gamma$ . Dots are data, open boxes show the BG Monte Carlo (MC) distribution and shaded histograms are the signal MC. Arrows indicate the selected region. Here, the branching ratio of  $\tau \to e\gamma$  is assumed to be  $5.0 \times 10^{-6}$  for the signal MC histograms. The requirements on particle identification for the signal and tag sides and  $1.5~\text{GeV}/c^2 < M_{\text{inv}} < 2.0~\text{GeV}/c^2$ ,  $-0.5~\text{GeV} < \Delta E < 0.5~\text{GeV}$  were applied for these figures.

# 3.2. Signal extraction and upper limit determination

After opening the blind we find 13 and 55 data events in the blinded region and  $5\sigma$  region, respectively, while the MC predicts  $8.1\pm1.6$  and  $42.8\pm3.7$  events, respectively. Fig. 5(a) shows the event distribution in the  $M_{\rm inv}$ - $\Delta E$  plane.

The signal extraction process is the same as that for  $\tau \to \mu \gamma$ , described in the former section. The BG is composed of  $(18\pm18)\%$   $e^+e^-\gamma$  (radiative Bhabha), while the remainder is  $\tau^+\tau^-\gamma$ . No other background source is found in MC. The GEUML fit over the  $2\sigma$  elliptical region results in  $s=-0.14^{+2.18}_{-2.45}$ ,  $b=5.14^{+3.86}_{-2.81}$  with N=5. The toy MC gives a probability of 48% to obtain  $s\leqslant -0.14$  in the case of a null signal. Fig. 5(b) is the same as Fig. 3(b), but for the  $\tau\to e\gamma$  case. The upper limit of  $\tilde{s}_{90}=3.3$  is obtained by the toy MC in the case of the GEUML fit result. The upper limit on the branching ratio is calculated as

$$\mathcal{B}_{90}(\tau^- \to e^- \gamma) \equiv \frac{\tilde{s}_{90}}{2\epsilon N_{\tau\tau}} = 11.7 \times 10^{-8},$$
 (4)

where the detection efficiency for the  $2\sigma$  elliptical region is  $\epsilon = 2.99\%$ .

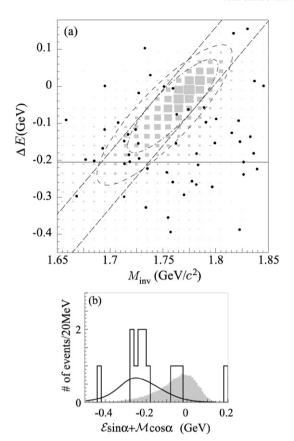
The systematic uncertainties for the shape of the distribution function increase  $\tilde{s}_{90}$  to 3.4. The other systematic uncertainties are

similar to those for  $\tau \to \mu \gamma$ ; minor differences are in the selection criteria (2.5%) and the trigger efficiency (2.0%). The total uncertainty is 4.5%, and it increases the upper limit on the branching ratio by 0.2%. Taking into account this systematic error, we obtain the 90% CL upper limit,

$$\mathcal{B}(\tau^- \to e^- \gamma) < 12.0 \times 10^{-8}$$
 (5)

# 4. Summary

We have updated our searches for the LFV decay modes,  $\tau \to \mu \gamma$  and  $\tau \to e \gamma$ , using 535 fb<sup>-1</sup> of data, corresponding to about six times higher statistics than in the previous publication [13, 14]. In this study, we have introduced four new requirements for  $E_{\rm total}^{\rm CM}$ ,  $m_{\nu}^2$ ,  $\cos\theta_{\mu\tau}$  and the magnitude of the thrust vector in the  $\tau \to \mu \gamma$  search and three new requirements for  $m_{\nu}^2$ ,  $\cos\theta_{e\tau}$  and the magnitude of the thrust vector in the  $\tau \to e \gamma$  search. As a result of the optimized selection criteria, we obtain  $\epsilon = 5.07$  (2.99)% and  $N_{\rm obs} = 10$  (5) events in the  $2\sigma$  elliptical signal region for  $\tau \to \mu \gamma$  ( $e\gamma$ ) in this analysis, compared to  $\epsilon = 12.0$  (6.39)% and  $N_{\rm obs} = 54$  (20) events over a  $5\sigma$  signal box in our previous study. The improved selection leads to a value of  $\epsilon/\sqrt{N_{\rm obs}}$  that is almost the same as that in the previous analysis, and therefore



**Fig. 5.** (a)  $M_{\rm inv}$ - $\Delta E$  distribution in the search for  $\tau \to e \gamma$  in the  $5\sigma$  region. Dots are the data and shaded boxes indicate the signal MC. The dashed ellipse shows the  $3\sigma$  blinded region and the dot-dashed ellipse is the  $2\sigma$  signal region. The dashed lines indicate the  $2\sigma$  band of the shorter ellipse axis, projected onto the longer ellipse axis. The solid line indicates the dense BG region. (b) Data distribution within the  $2\sigma$  band. Here,  $\mathcal{E} \equiv \Delta E - \Delta E^{(0)}$ ,  $\mathcal{M} \equiv 3.0 \times c^2 \times (M_{\rm inv} - M_{\rm inv}^{(0)})$  and  $\alpha=48^{\circ}$ . We obtain the most probable values  $\Delta E^{(0)}$  and  $M_{\rm inv}^{(0)}$ ,  $M_{\rm inv}^{(0)}=1.775$  GeV/ $c^2$ ,  $\Delta E^{(0)} = -2$  MeV, by a fit of the signal MC distribution to an asymmetric Gaussian. The open histogram is the data and the shaded one is the signal MC assuming a branching ratio of  $5 \times 10^{-7}$ . The solid curve shows the projection of the 2-dimensional BG shape obtained by the GEUML fit.

the expected upper limit, which is approximately proportional to  $\sqrt{N_{\rm obs}}/(\epsilon \int L dt)$  in the case of no signal, has improved as  $1/\int L dt$ rather than in proportion to  $1/\sqrt{\int L dt}$ , as would be the case if the previous selection criteria were used. (Here  $\int L dt$  is the integrated luminosity.)

The resulting upper limits on the branching ratios are

$$\mathcal{B}(\tau^- \to \mu^- \gamma) < 4.5 \times 10^{-8},$$
 (6)

$$\mathcal{B}(\tau^- \to e^- \gamma) < 12.0 \times 10^{-8}$$
 (7)

at the 90% CL.

Our results constrain the parameter space of various theoretical models that predict LFV decays [1-3].

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