# Sudoku Solving and Generation

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#### **Abstract**

These slides document the implementation of a Sudoku puzzle solver, starting from a more or less formal specification, using constraint resolution and depth first tree search.

We make an excursion to the important topic of search trees, and depth first versus breadth first search algorithms.

Next, a random generator for Sudoku problems is constructed, by randomly deleting values from a randomly generated Sudoku grid.

The Sudoku setting will give us ample opportunity for specification and testing, and for discussing the choice of appropriate datatypes.

### **Key words:**

Magic square, Sudoku grid, Sudoku puzzle, constraint solving, search trees, search algorithms, depth first search, breadth first search, problem generation, random search.

```
import Data.List
import System.Random
```

module SS where

# **Specifying Sudoku Solving**

# 100 SAMURAI

# SUDOKU

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A Sudoku is a  $9 \times 9$  matrix of numbers in  $\{1, \ldots, 9\}$ , possibly including blanks, satisfying certain constraints. A Sudoku problem is a Sudoku containing blanks, but otherwise satisfying the Sudoku constraints. A Sudoku solver transforms the problem into a solution.

You have already carried out the following exercise during a workshop session:

Exercise 1 Give a Hoare triple for a Sudoku solver. If the solver is called P, the Hoare triple consists of

$$\{ precondition \}$$
 $P$ 
 $\{ postcondition \}$ 

The precondition of the Sudoku solver is that the input is a correct Sudoku problem.

The postcondition of the Sudoku solver is that the transformed input is a solution to the initial problem.

State the pre- and postconditions as clearly and formally as possible.

If declarative specification is to be taken seriously, all there is to solving Sudokus

is specifying what a Sudoku problem is. A Sudoku is a  $9 \times 9$  matrix of numbers in  $\{1, \ldots, 9\}$  satisfying the following constraints:

- Every row should contain each number in  $\{1, \dots, 9\}$
- Every column should contain each number in  $\{1, \dots, 9\}$
- Every subgrid [i, j] with i, j ranging over 1..3, 4..6 and 7..9 should contain each number in  $\{1, \ldots, 9\}$ .

A Sudoku problem is a partial Sudoku matrix (a list of values in the matrix). A solution to a Sudoku problem is a complete extension of the problem, satisfying the Sudoku constraints.

A partial Sudoku should satisfy the following constraints:

- ullet The values in every row should be in  $\{1,\ldots,9\}$ , and should all be different.
- The values in every column should be in  $\{1, \ldots, 9\}$ , and should all be different.
- The values in every subgrid [i, j] with i, j ranging over 1..3, 4..6 and 7..9 should be in  $\{1, \ldots, 9\}$ , and should all be different.

+	+	+	+ +		+
5 3	7		5 3 4	6 7 8	9 1 2
6	1 9 5		672	1 9 5	3 4 8
9 8		6	1 9 8	3 4 2	5 6 7
	+	+	+ +		+
8	1 6	3	8 5 9	761	4 2 3
4	8 3	1	426	8 5 3	7 9 1
7	2	6		924	8 5 6
	+	+	+ +		+
6		2 8	961	5 3 7	2 8 4
	4 1 9	5	287	4 1 9	6 3 5
	8	7 9	3 4 5	286	1 7 9
	+	+	+ +	++-	+

Figure 1: Sudoku problem with solution

Figure 1 gives an example problem, with a solution. This is the format we are going to use for display; see below for details.

#### Sudoku constraints as injectivity requirements

To express the Sudoku constraints, we have to be able to express the property that a function is injective (or: one-to-one, or: an injection).

A function  $f: X \to Y$  is an injection if it preserves distinctions: if  $x_1 \neq x_2$  then  $f(x_1) \neq f(x_2)$ .

Equivalently: a function  $f: X \to Y$  is injective if  $f(x_1) = f(x_2)$  implies that  $x_1 = x_2$ .

Thus, we can represent a Sudoku as a matrix f[i, j], satisfying:

• The members of each row should be all different. I.e., for every i, the function  $j \mapsto f[i,j]$  should be injective (one to one). I.e., the list of values

$$[f[i,j] | j \leftarrow [1..9]]$$

should not have duplicates.

• The members of every column should be all different. I.e., for every j, the function  $i \mapsto f[i,j]$  should be injective (one to one). I.e., the list of values  $[f[i,j] \mid i < -[1..9]]$ 

should not have duplicates.

• The members of every subgrid [i, j] with i, j ranging over 1..3, 4..6 and 7..9 should be all different. I.e., the list of values

```
[f[i,j] | i \leftarrow [1..3], j \leftarrow [1..3]]
```

should not have duplicates, and similarly for the other subgrids.

# Implementing a Sudoku Solver

The specification in the previous section suggests the following declarations:

```
type Row = Int
type Column = Int
type Value = Int
type Grid = [[Value]]

positions, values :: [Int]
positions = [1..9]
values = [1..9]

blocks :: [[Int]]
blocks = [[1..3], [4..6], [7..9]]
```

# **Showing Sudoku stuff**

Use 0 for a blank slot, so show 0 as a blank. Showing a value:

```
showVal :: Value -> String
showVal 0 = " "
showVal d = show d
```

Showing a row by sending it to the screen; not the type IO() for the result:

```
showRow :: [Value] -> IO()
showRow [a1, a2, a3, a4, a5, a6, a7, a8, a9] =
do putChar '|' ; putChar ''
    putStr (showVal a1); putChar ' '
    putStr (showVal a2); putChar ' '
    putStr (showVal a3); putChar ' '
    putStr (showVal a4); putChar ' '
    putStr (showVal a5) ; putChar ' '
putStr (showVal a6) ; putChar ' '
    putStr (showVal a7); putChar ' '
    putStr (showVal a8); putChar ' '
    putStr (showVal a9) ; putChar ' '
    putChar '|' ; putChar '\n'
```

Showing a grid, i.e., a sequence of rows.

```
showGrid :: Grid -> IO()
showGrid [as,bs,cs,ds,es,fs,gs,hs,is] =
do putStrLn ("+-----+")
showRow as; showRow bs; showRow cs
putStrLn ("+-----+")
showRow ds; showRow es; showRow fs
putStrLn ("+----+")
showRow gs; showRow hs; showRow is
putStrLn ("+----+")
```

### Sudoku Type

Define a Sudoku as a function from positions to values

```
type Sudoku = (Row, Column) -> Value
```

Useful conversions:

```
sud2grid :: Sudoku -> Grid
sud2grid s =
  [[s (r,c) | c <- [1..9]] | r <- [1..9]]

grid2sud :: Grid -> Sudoku
grid2sud gr = \ (r,c) -> pos gr (r,c)
where
  pos :: [[a]] -> (Row,Column) -> a
  pos gr (r,c) = (gr !! (r-1)) !! (c-1)
```

### **Showing a Sudoku**

### Show a Sudoku by displaying its grid:

```
showSudoku :: Sudoku -> IO()
showSudoku = showGrid . sud2grid
```

#### Picking the block of a position

```
bl :: Int -> [Int]
bl x = concat $ filter (elem x) blocks
```

Picking the subgrid of a position in a Sudoku.

```
subGrid :: Sudoku -> (Row, Column) -> [Value]
subGrid s (r,c) =
  [ s (r',c') | r' <- bl r, c' <- bl c ]</pre>
```

#### **Free Values**

Free values are available values at open slot positions. Free in a sequence are all values that have not yet been used.

```
freeInSeq :: [Value] -> [Value]
freeInSeq seq = values \\ seq
```

Free in a row are all values not yet used in that row.

```
freeInRow :: Sudoku -> Row -> [Value]
freeInRow s r =
  freeInSeq [ s (r,i) | i <- positions ]</pre>
```

Similarly for free in a column.

```
freeInColumn :: Sudoku -> Column -> [Value]
freeInColumn s c =
  freeInSeq [ s (i,c) | i <- positions ]</pre>
```

And for free in a subgrid.

```
freeInSubgrid :: Sudoku -> (Row, Column) -> [Value]
freeInSubgrid s (r,c) = freeInSeq (subGrid s (r,c))
```

#### The key notion

The available values at a position are the values that are free in the row of that position, free in the column of that position, and free in the subgrid of that position.

```
freeAtPos :: Sudoku -> (Row, Column) -> [Value]
freeAtPos s (r,c) =
  (freeInRow s r)
   'intersect' (freeInColumn s c)
   'intersect' (freeInSubgrid s (r,c))
```

### **Injectivity**

A list of values is injective if each value occurs only once in the list:

```
injective :: Eq a => [a] -> Bool
injective xs = nub xs == xs
```

#### **Injectivity Checks**

Check (the non-zero values on) the rows for injectivity.

```
rowInjective :: Sudoku -> Row -> Bool
rowInjective s r = injective vs where
  vs = filter (/= 0) [ s (r,i) | i <- positions ]</pre>
```

Check (the non-zero values on) the columns for injectivity.

```
colInjective :: Sudoku -> Column -> Bool
colInjective s c = injective vs where
  vs = filter (/= 0) [ s (i,c) | i <- positions ]</pre>
```

Check (the non-zero values on) the subgrids for injectivity.

```
subgridInjective :: Sudoku -> (Row, Column) -> Bool
subgridInjective s (r,c) = injective vs where
  vs = filter (/= 0) (subGrid s (r,c))
```

# **Consistency Check**

Combine the injectivity checks defined above.

#### **Sudoku Extension**

Extend a Sudoku by filling in a value in a new position.

```
extend :: Sudoku -> ((Row, Column), Value) -> Sudoku
extend = update
```

```
update :: Eq a => (a \rightarrow b) \rightarrow (a,b) \rightarrow a \rightarrow b
update f (y,z) x = if x == y then z else f x
```

#### Search for a Sudoku Solution

A Sudoku constraint is a list of possible values for a particular position.

```
type Constraint = (Row, Column, [Value])
```

Nodes in the search tree are pairs consisting of a Sudoku and the list of all empty positions in it, together with possible values for those positions, according to the constraints imposed by the Sudoku.

```
type Node = (Sudoku,[Constraint])
showNode :: Node -> IO()
showNode = showSudoku . fst
```

#### **Solution**

A Sudoku is solved if there are no more empty slots.

```
solved :: Node -> Bool
solved = null . snd
```

#### **Successors in the Search Tree**

The successors of a node are the nodes where the Sudoku gets extended at the next empty slot position on the list, using the values listed in the constraint for that position.

prune removes the new value v from the relevant constraints, given that v now occupies position (r, c). The definition of prune is given below.

#### Put constraints that are easiest to solve first

```
length3rd :: (a,b,[c]) -> (a,b,[c]) -> Ordering
length3rd (_,_,zs) (_,_,zs') =
  compare (length zs) (length zs')
```

### **Pruning**

Prune values that are no longer possible from constraint list, given a new guess (r, c, v) for the value of (r, c).

```
prune :: (Row, Column, Value)
      -> [Constraint] -> [Constraint]
prune [] = []
prune (r,c,v) ((x,y,zs):rest)
  | r == x = (x,y,zs \setminus [v]) : prune (r,c,v) rest
  | c == y = (x,y,zs \setminus [v]) : prune (r,c,v) rest
  \mid sameblock (r,c) (x,y) =
         (x,y,zs\setminus [v]): prune (r,c,v) rest
  otherwise = (x,y,zs): prune (r,c,v) rest
sameblock :: (Row, Column) -> (Row, Column) -> Bool
sameblock (r,c) (x,y) = bl r == bl x && bl c == bl y
```

#### **Initialisation**

Success is indicated by return of a unit node [n].

The open positions of a Sudoku are the positions with value 0.

#### Sudoku constraints, in a useful order

Put the constraints with the shortest lists of possible values first.

### **Depth First Search**

The depth first search algorithm is completely standard. The goal property is used to end the search.

#### **Aside: Search Trees**

Here is a datatype for trees with lists of branches:

```
data Tree a = T a [Tree a] deriving (Eq,Ord,Show)
```

### Here are some example trees:

```
exmple1 = T 1 [T 2 [], T 3 []]
exmple2 = T 0 [exmple1, exmple1, exmple1]
```

#### This gives:

### **Growing Trees**

If you have a step function of type node -> [node] and a seed, you can grow a tree, as follows.

```
grow :: (node -> [node]) -> node -> Tree node
grow step seed = T seed (map (grow step) (step seed))
```

### **Growing Trees**

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```
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```

```
SS> grow (x \rightarrow if x < 2 then [x+1, x+1] else []) 0 T 0 [T 1 [T 2 [],T 2 []],T 1 [T 2 [],T 2 []]]
```

#### **Tree Puzzle**

```
count :: Tree a -> Int
count (T _ ts) = 1 + sum (map count ts)
```

#### Consider the following output:

```
*SS> count (grow (x - x + 1, x+1] else []) 0)
```

### Can you predict the value of the following:

```
count (grow (\xspace x - x = 1) of x < 6 then [x+1, x+1] else []) 0)
```

#### **Tree Puzzle**

```
count :: Tree a -> Int
count (T _ ts) = 1 + sum (map count ts)
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#### Consider the following output:

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*SS> count (grow (x - x + 1, x+1] else []) 0)
```

### Can you predict the value of the following:

```
count (grow (\x ->  if x < 6 then [x+1, x+1] else []) 0)
```

127

# **Explanation**

The tree grown by ( $\x ->$  if x < 6 then [x+1, x+1] else []) 0 is a balanced binary branching tree of depth 6.

A balanced binary tree of depth n has  $2^n + 1$  internal nodes and  $2^n$  leaf nodes, which makes  $2^{n+1} - 1$  nodes in total. For a balanced binary tree of depth 6 this gives  $2^7 - 1 = 127$  nodes.

#### **Another Tree**

```
*SS> :set +m
*SS> grow (\ (x,y) -> if x+y < 10 then [(x,x+y),(x+y,y)]
*SS|
    else []) (1,1)
T(1,1) [T(1,2) [T(1,3) [T(1,4) [T(1,5) [T(1,6)
[T (1,7) [T (1,8) [T (1,9) [],T (9,8) []],T (8,7) []],
T(7,6)[],T(6,5)[]],T(5,4)[T(5,9)[],T(9,4)[]],
T(4,3)[T(4,7)[],T(7,3)[]],T(3,2)[T(3,5)
[T (3,8) [],T (8,5) []],T (5,2) [T (5,7) [],T (7,2)
[T(7,9)],T(9,2)],T(2,1),T(2,3),T(2,5)
[T(2,7)][T(2,9)][],T(9,7)][]],T(7,5)][]],T(5,3)
[T (5,8) [],T (8,3) []],T (3,1) [T (3,4) [T (3,7) [],
T(7,4) []], T(4,1) [T(4,5) [T(4,9) [], T(9,5) []],
T(5,1)[T(5,6)],T(6,1)[T(6,7)],T(7,1)
[T (7,8) [],T (8,1) [T (8,9) [],T (9,1) []]]]]]
```

### **Depth First Search**

This is a generic algorithm for depth-first search.

The third argument, of type [node], gives the list of nodes that still have to be searched.

### **Understanding this...**

### Explain as clearly as you can:

- What does the first argument children :: node -> [node] represent?
- What does the second argument goal :: node -> Bool represent?
- Can you explain the types of these arguments?

# **Understanding this...**

#### Explain as clearly as you can:

- What does the first argument children :: node -> [node] represent?
- What does the second argument goal :: node -> Bool represent?
- Can you explain the types of these arguments?

#### Answers:

- The first argument is the step function that can be used to generate the search tree.
- The second argument represents the nodes that are success nodes. These are the nodes we are looking for while searching through the tree.

```
*SS> search (\x - \ if x < 6 then [x+1, x+2] else []) odd [0] [1,3,5]
```

Q: What makes this a definition of depth-first search?

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A: The successors of a node are visited before the siblings of a node.

Q: What makes this a definition of depth-first search?

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Q: How can you change this into a definition of breadth-first search (where the tree is searched layer-by-layer)?

Q: What makes this a definition of depth-first search?

A: The successors of a node are visited before the siblings of a node.

Q: How can you change this into a definition of breadth-first search (where the tree is searched layer-by-layer)?

A: Just make sure that the siblings are visited before the daughters, by changing

```
search successors goal ((successors x) ++ xs)
```

into

```
search successors goal (xs ++ (successors x))
```

## **Pursuing the Sudoku Search**

```
solveNs :: [Node] -> [Node]
solveNs = search succNode solved

succNode :: Node -> [Node]
succNode (s,[]) = []
succNode (s,p:ps) = extendNode (s,ps) p
```

## **Solving and showing the results**

This uses some monad operators: fmap and sequence.

```
solveAndShow :: Grid -> IO[()]
solveAndShow gr = solveShowNs (initNode gr)

solveShowNs :: [Node] -> IO[()]
solveShowNs = sequence . fmap showNode . solveNs
```

### **Examples**

	   	3 + 7	+   	     3	+    +       8	
	6     1   	 +	5 +	     	 +   +	
		4	 	   	3   1	

Figure 2: Sudoku exercise, NRC, Saturday Nov 26, 2005.

#### **Sudoku Generation**

An empty node is a Sudoku function that assigns 0 everywhere, together with the trivial constraints that forbid nothing.

```
emptyN :: Node
emptyN = (\ _ -> 0, constraints (\ _ -> 0))
```

Get a random integer from the random generator:

```
getRandomInt :: Int -> IO Int
getRandomInt n = getStdRandom (randomR (0,n))
```

Pick a random member from a list; the empty list indicates failure.

```
getRandomItem :: [a] -> IO [a]
getRandomItem [] = return []
getRandomItem xs =
  do n <- getRandomInt maxi
  return [xs !! n]
  where maxi = length xs - 1</pre>
```

#### Randomize a list.

```
sameLen :: Constraint -> Constraint -> Bool
sameLen (_,_,xs) (_,_,ys) = length xs == length ys
```

```
getRandomCnstr :: [Constraint] -> IO [Constraint]
getRandomCnstr cs = getRandomItem (f cs)
  where f [] = []
      f (x:xs) = takeWhile (sameLen x) (x:xs)
```

```
rsuccNode :: Node -> IO [Node]
rsuccNode (s,cs) =
  do xs <- getRandomCnstr cs
   if null xs
      then return []
      else return (extendNode (s,cs\\xs) (head xs))</pre>
```

#### Find a random solution

```
rsolveNs :: [Node] -> IO [Node]
rsolveNs ns = rsearch rsuccNode solved (return ns)
```

This uses random search ...

```
rsearch :: (node -> IO [node])
         -> (node -> Bool) -> IO [node] -> IO [node]
rsearch succ goal ionodes =
  do xs <- ionodes
     if null xs then return []
     else
        if goal (head xs) then return [head xs]
        else
         do ys <- rsearch succ goal (succ (head xs))</pre>
            if (not . null) ys then return [head ys]
            else
              if null (tail xs) then return []
else rsearch succ goal (return $ tail xs)
```

```
randomS = genRandomSudoku >>= showNode
```

```
uniqueSol :: Node -> Bool
uniqueSol node = singleton (solveNs [node]) where
  singleton [] = False
  singleton [x] = True
  singleton (x:y:zs) = False
```

### Erase a position from a Sudoku.

```
eraseS :: Sudoku -> (Row, Column) -> Sudoku eraseS s (r,c) = update s ((r,c),0)
```

Erase a position from a Node.

```
eraseN :: Node -> (Row, Column) -> Node
eraseN n (r,c) = (s, constraints s)
where s = eraseS (fst n) (r,c)
```

Return a 'minimal' node with a unique solution by erasing positions until the result becomes ambiguous.

## Example output from this:

```
*SS> main
          5 8 2
```

+	     9   2 8	1   1   5 7
7     3		5 8 6 3 9
4 6     5 8   +	· 	3   

#### **Exercises**

See Lab work for this week.

Some further exercises:

**Exercise 2** Let's say that a crossed Sudoku is a Sudoku satisfying the additional constraints that the values on the two diagonals are all different. Write a program that generates minimal problems for crossed Sudokus. How many hints do these problems contain, on average?

**Exercise 3** How about crossed NRC Sudokus or NRCX Sudokus: Sudokus satisfying both the NRC constraints and the diagonal constraints? Write a program that generates minimal problems for crossed NRC Sudokus. How many hints do these problems contain, on average? Can you generate examples with 9 hints? With less than 9 hints?

Exercise 4 Andries Brouwer mentions on his NRC Sudoku webpage that there are

different NRC Sudokus. Confirm this number with a program. See http://homepages.cwi.nl/~aeb/games/sudoku/nrc.html.

**Exercise 5** How many NRCX Sudokus are there?

# **Further Reading**

For further background, you might wish to read Rosenhouse and Taalman [2011]. Information about counting methods for Sudokus can be found in Felgenhauer and Jarvis [2006] and Russell and Jarvis [2006].

#### References

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