# CS3230

Design and Analysis of Algorithms github.com/securespider

# 01. Stable matching

Both sides rank each other and goal is to pair each up Constraints No rogue couples - matched partner likes someone more than current partner that also likes them back

# Gale-Shapley Algo

```
Initialize each person to be free.

while (some man is free and hasn't proposed to every woman) {
    Choose such a man m
    w = 1st woman on m's list to whom m has not yet proposed
    if (w is free)
        assign m and w to be engaged
    else if (w prefers m to her fiancé m')
        assign m and w to be engaged, and m' to be free
    else
        w rejects m
}
```

#### Invariant

- If woman not on boy list, she has a better current fav
- Boy choice is strictly worsening
- Girl choice cannot worsen (weakly increasing)

# 02. Algorithm Analysis

**Algorithm** Finite sequence of well-defined instruction to solve computational problem

- Optimize running time
- Runtime is machine and input dependent

### Word-RAM model

- Word is basic unit of memory (few bytes)
- Runtime ≈ number of instructions taken
- Ram can be accessed in the same time irrespective of location
- Every operation involves constant number of words and cycles by CPU

#### Problem vs Algorithm

 Problem does not specify approach but algorithm defines ways/methods to solve prob

### Polynomial time

- $\bullet$  There exist constants  $c,d\in\mathbb{R}$  on every input of size N such that its running time is bounded by  $cN^d$
- $\bullet$  Brute Force Checks all possible solution and typically takes exponentia time  $2^N$
- Generally efficient because c and d are low

# Worst-Case Analysis

- Bound on largest possible running of algorithm on input
- Captures efficiency in practice

vs Average case running time

- Hard to accurately model real instances by random distributions
- Tuned for certain distributions

# **Asymptotic Order of Growth**

- Compare for asymptotically large values of input sizes (rate of growth)
- Suppress constant factor and lower-order terms

#### Bounds

**Upper Bound**  $\mathsf{T}(\mathsf{n}) = \mathsf{O}(\mathsf{f}(\mathsf{n}))$ : Exist constants  $c, n_o \geq 0$  such that  $\forall n \geq n_0$ , we have  $T(n) \leq cf(n)$ 

**Lower Bound**  $\mathsf{T}(\mathsf{n}) = \omega(\mathsf{f}(\mathsf{n}))$ : Exist constants  $c, n_o \geq 0$  such that  $\forall n \geq n_0$ , we have  $T(n) \geq cf(n)$ 

**Tight Bound**  $T(n) = \theta(f(n))$ : T(n) is both O(f(n)) and  $\omega(f(n))$ 

# **Properties**

**Transitivity** If f = O(g) and g = O(h) then f = O(h)

Additivity If f = O(h) and g = O(h) then f + g = O(h)

#### **Bounds for some functions**

- Polynomials Just take the highest power
- Logarithms Base doesn't matter and always smaller than polynomial
- Exponential Always grows faster than polynomial

#### Common runtimes

- O(n) List traversal or merging lists
- nlogn sorting, intervals, divide and conquer algos (recursive)
- $n^2$  Finding pairs of elements
- $n^k$  Enumerate subsets of k nodes, set disjoints
- Exponential All subsets

# 03. Graph

• Describes relationships between nodes/entities

### Representation

Adjacency Matrix NxN matrix where  $A_uv =$  jweight; if (u,v) is an edge

- Good for big graphs/a lot of edges(dense)
- O(1) time for checking edge
- Space is  $O(n^2)$
- Identifying all edges take  $O(n^2)$  time

Adjacency list List of linked lists representing nodes that are attached to each node

- Good for sparse graphs/trees
- O(deg(u)) for checking edge
- Space is V+E
- Identifying all edges takes O(V+E) time

# Path and Connectivity

 $\bullet$  Path  $\,$  - Sequence PE  $\{v_0,v..v_k\}$  of nodes such that each adjacent pair is joined by an edge

Simple All nodes are distinct

**Cycle** Path such that  $v_0 = v_k, k > 2$  and first k-1 nodes are distinct

- Connected There is a path for all pairs of nodes
- Tree Undirected connected graph that does not contain a cycle
- Any 2 implies Tree
- G is connected
- G does not contain cycle
- G has n-1 edges
- Rooted tree Arrangement of tree such that root is top and nodes attached to parent is in different level

#### Connectivity problems

- s-t connectivity Is there path between any 2 points s, t
- s-t shortest path prob Length of shortest path btw s,t

# **Breadth First Search**

# Algorithm

- Explore outward from some node, s in all directions adding nodes one layer at a time
- Algorithm
  - 1. Layer<sub>0</sub>=s
  - 2.  $L_1$ =neighbours of  $L_0$
  - 3.  $L_n = \text{neighbours of } L_{n-1} \text{ not in all prev layers}$

# **Properties**

- Induction theorem:  $\forall i, L_i$  consist of nodes at dist(s) = i
- ullet Let T be a BFS tree of G=(V,E), and let (x,y) be an edge of G. Then the level of x and y differ by at most 1.
- BFS runs in O(V+# E) given adjacency list

# Bipartite graph

- Independent sets such that no nodes within the set are connected to each other but are connected to nodes of other sets
- Colour each node red or blue and every edge has strictly one red and blue end each
- Bipartite graphs cannot contain odd length cycles

### Algo for Bipartiteness

- ullet Let G be a connected graph and  $L_0..L_k$  are layers produced by BFS
- 1. Edge between 2 nodes in same layer
  - Graph is bipartite as we can colour alternate layers as red and blue
- 2. No edge btw nodes in same layer
  - Graph cannot be bipartite as there is odd length cycle
  - Let x,y be the 2 points in same level and has edge btw them
  - Cycle: s-x-y-s (s-x == y-s) Odd length

# Directed graph

- Asymmetric edges: (u,v) (edge from u to v) does not imply (v,u)
- Directed reachability problem: Find all nodes reachable from node s

# **Strong Connectivity**

Mutually reachable Path from u to v and vice versa

Strongly connected Every pair of nodes are mutually reachable

• Strongly connected iff every node reachable from s and s reachable from every node

**Algorithm** Pick any node and run bfs on G and  $G^{rev}$  (reverse orientation of every edge in G)

- G is strongly connected if all nodes reached in both BFS executions
- Runs in O(m+n) time

# **Directed Acyclic Graph**

- Directed graph that has no directed cycle
- Precedence constraints Edge  $(v_i, v_j)$  means  $v_i$  must precede  $v_j$
- Topological order For every edge  $(v_i, v_j)$  we have i < j
- If G has a topological order, then G is a DAG (Proof by contra)
- If G is a DAG then G has a node without incoming edges (Proof contra)

# 04. Greedy algorithms

- Consider jobs in some order and immediately take the job that is compatible with the previous jobs
- Has some heuristic to sort the jobs

### Scheduling intervals

- Maximize number of jobs run
- Heuristic: end time, start time, interval size, fewest conflict

# **Interval Partitioning**

- Minimize number of classrooms to run lectures concurrently
- Heuristic: start time
- Assign lecture to first compatible classroom

# Minimize lateness scheduling

- Heuristic: Earliest deadline, Shortest processing time first, Smallest slack
- Start with an optimal solution and inductively reduce number of inversions until it reaches the greedy solution

### Inversions

- Pair of jobs i and j where dl(i)¡dl(j) but j schedule before i
- Greedy solution does not have any inversions

# **Greedy analysis Strategies**

- 1. Each step in greedy solution is at least as good as other solutions
- 2. Exchange argument: Transforming solution to greedy algorithm without hurting its quality
- 3. Structural: Every solution has a certain value and greedy algos can be in this bound

# Optimal offline caching

- Eviction strategy that minimises number of cache misses
- Note that the full sequence of requests is known a priori
- vs Online: Request not known in advance
- Heuristic: Farthest in future
- Evict item in cache that is not requested until farthest in the future

# 05. Divide and conquer algo

- Break up problem into several parts and sort each part recursively
- Combine solutions to sub-problems into overall solution

$$T(n) \le T(\lceil \frac{n}{2} \rceil) + T(\lfloor \frac{n}{2} \rfloor) + n$$

# 07. Network Flow

# Minimum Cut/Max Flow Problem

#### Environment

- Directed graph with "capacity" as edge weights c(e)
- 2 nodes denoted as source and sink

- Partition (A,B) of graph V with  $s \in A$  and  $t \in B$
- $\bullet$  Capacity of a cut:  $cap(A,B) = \sum_{edgesoutofA} c(e)$

#### s-t flow

- Function that satisfies:
- $\forall e \in E0 < f(e) < c(e)$
- $-\forall v \in V (s, t), \sum_{eintoV} = \sum_{eoutofV} f(v)$
- Value of flow:  $v(f) = \sum_{eoutofs} f(e)$

#### Goals

Min s-t cut Find an s-t cut of minimum capacity

Max s-t flow Find an s-t flow of maximum value

#### Flow Value Lemma

• Let f be any flow, and let (A,B) be any s-t cut. The net flow sent across the cut is equal to the amount leaving s

$$\sum_{eout of A} f(e) - \sum_{einto A} f(e) = v(f)$$

### Weak Duality

ullet Let f be any flow. Then for any s-t cut (A,B) we have  $v(f) \leq cap(A,B)$ 

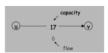
### Certificate of Optimality

• Let f be any flow and let (A,B) be any cut, if v(f) = cap(A,B) then f is a max flow and (A,B) is a min cut

### Residual Graph

Original edge: e = (u, v) ∈ E.

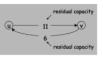
Flow f(e), capacity c(e).



#### Residual edge

- "Undo" flow sent
- e = (u, v) and e<sup>R</sup> = (v, u).
- Residual capacity:

$$c_f(e) = \begin{cases} c(e) - f(e) & \text{if } e \in E \\ f(e) & \text{if } e^R \in E \end{cases}$$



- Residual graph is all residual edges with positive residual capacity
- $E_f = \{e : f(e) < c(e)\} \cup \{e^R : c(e) > 0\}$

#### Ford Fulkerson

- 1. Find an augmenting path/flow
  - Can use BFS or DFS to find the path and get the bottleneck edge
  - Flow value is the smallest value
  - Keep track of the flow value
- 2. Augment the graph using residual edges
- 3. Repeat until no feasible paths possible

#### Proof

- Flow f is a max flow iff there are no augmenting path
- (i) There exists a cut (A,B) st v(f) = cap(A,B)
- (ii) Flow f is a max flow
- (iii) There is no augmenting path relative to f
- (i)-(ii): Corollary to weak duality to weak duality lemma
- (ii)-(iii): Contrapositive
- Suppose there exists an augmenting path, then we can improve flow by sending flow along path
- (iii)-(i)
  - 1. Let f be a flow with no augmenting path
  - 2. Let A be set of vertices reachable from s in residual path
  - 3. By definition of A.  $s \in A$
  - 4. By definition of f,  $t \notin A$

- All capacities are integers btw 1 and C
- Every flow value f(e) and every residual capacity remains an integer throughout the algorithm
- Algorithm terminates in at most  $v(f^*) \leq nC$  iterations
- Each augmentation increase value by at least 1
- If C = 1, Ford-Fulkerson runs in O(mn) time
- If all capacities are integers, then there exists a max flow f for which every flow value f(e) is an integer.
- Since algorithm terminates, theorum follows from point 2