

Spring School on the lattice Boltzmann Method,

May 2-6, 2010, Beijing, China

**Theory and applications of lattice
Boltzmann equation for microscale flows**

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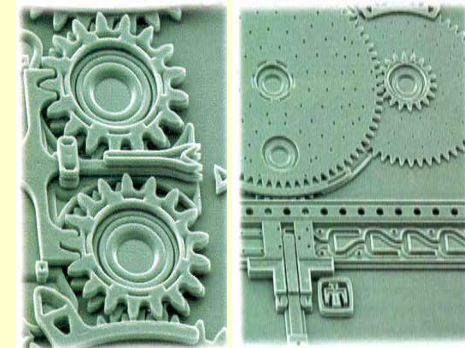
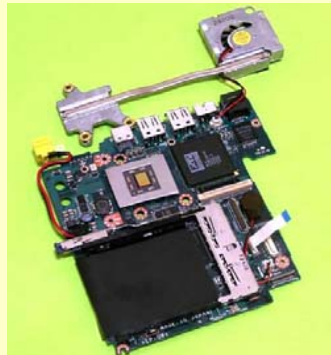
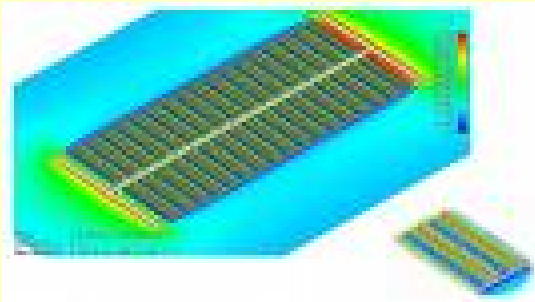
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Outline

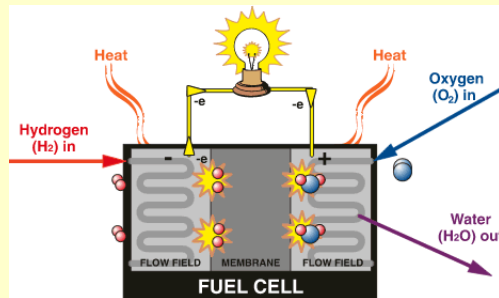
- **Basic Concepts**
- **Extending LBE to micro flows**
 - Relaxation time and Boundary conditions
 - Knudsen Layer
- **Applications & Extensions**
- **Summary**

1. Basic Concepts

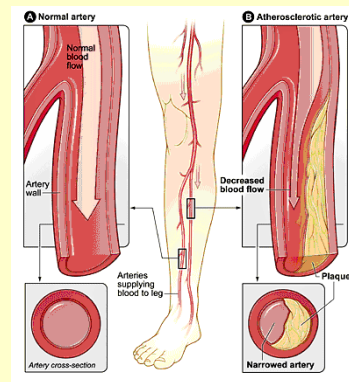
- **Micro Flows**



Micro-Chips

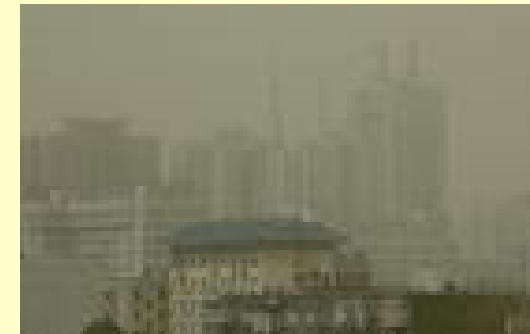


Fuel Cell



Bio-flow

MEMS



Inhalable particles

- **Characteristics of Micro flows:**

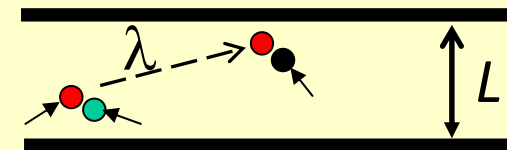
- **Large Knudsen number**

$$Kn = \frac{\lambda}{L}$$

Mean-free-path

Characteristic length

$$\lambda = \frac{1}{\sqrt{2}n\sigma^2}$$

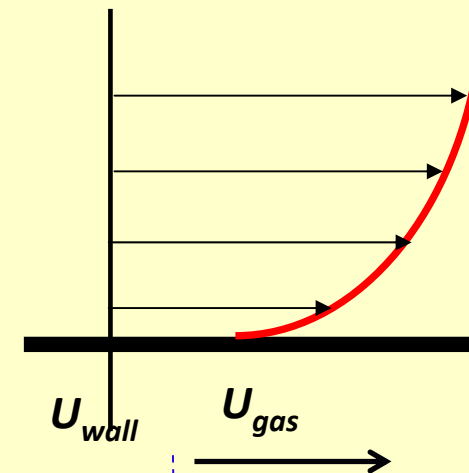


- **Discontinuous at solid surface**

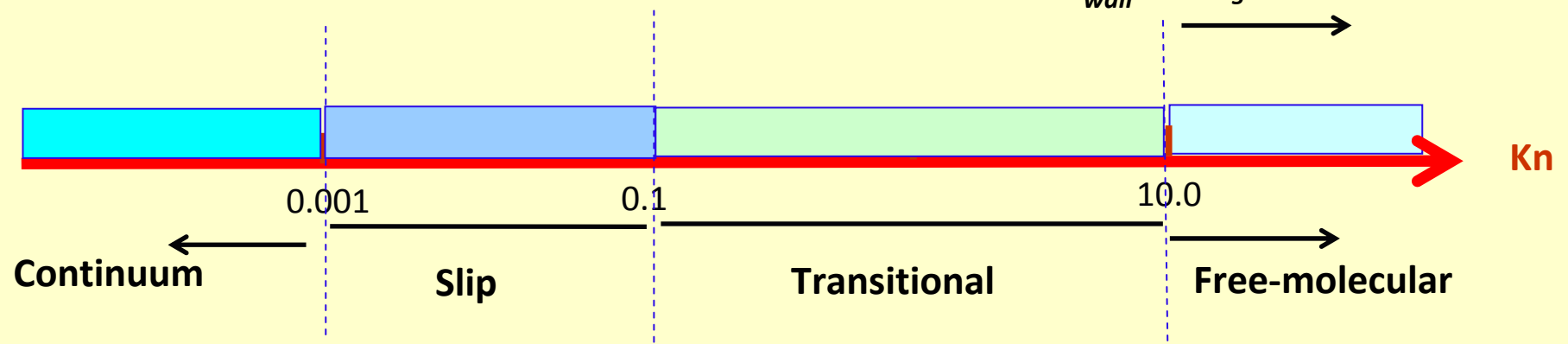
Velocity slip, temperature jump, ...

- **Low speed**

Different from the high-altitude high-speed flows



- **Flow Regimes**



- **Modeling micro gas flows:**

- **Continuum models**

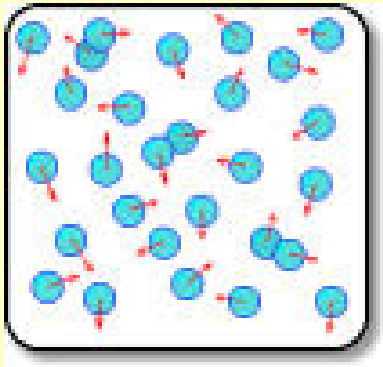
- Navier-Stokes-Fourier equations
 - High-order equations: Burnett, Super-Burnett, Argument Burnett,
 - Moment equations: Grad's 13, Regularized 13, Gaussian Closure,

- **Limitations:**

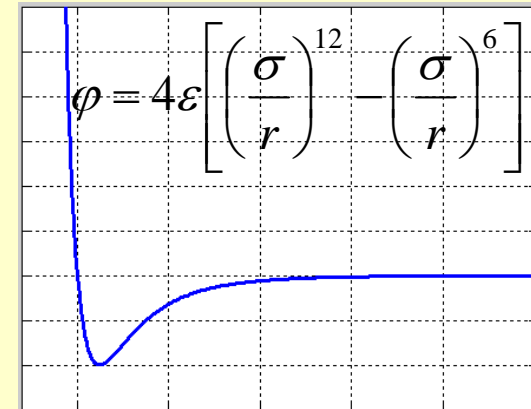
- Navier-Stokes is Limited to continuous flows;
 - Burnett-type and moment equations are facing some challenging difficulties (boundary conditions, numerical instability,...).

– Molecular Dynamics (MD)

- Tracking the motion of each molecular (Newton's 2nd-law)

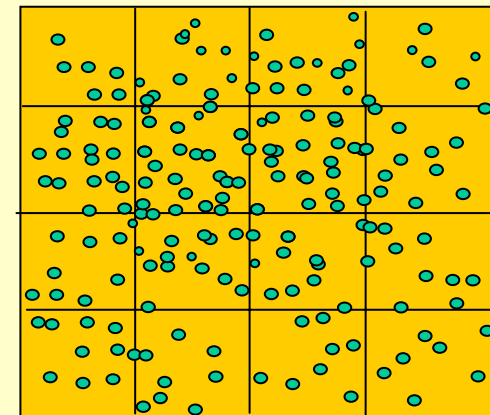


$$\frac{d^2 x_i}{dt^2} = F_i$$



– Advantages and disadvantages

- Detailed microscopic information
- All Kn number
- Expensive computational cost



$$\rho_k \Phi_k = \frac{1}{V_k} \sum_{i \in V_k} m_i \phi_i$$

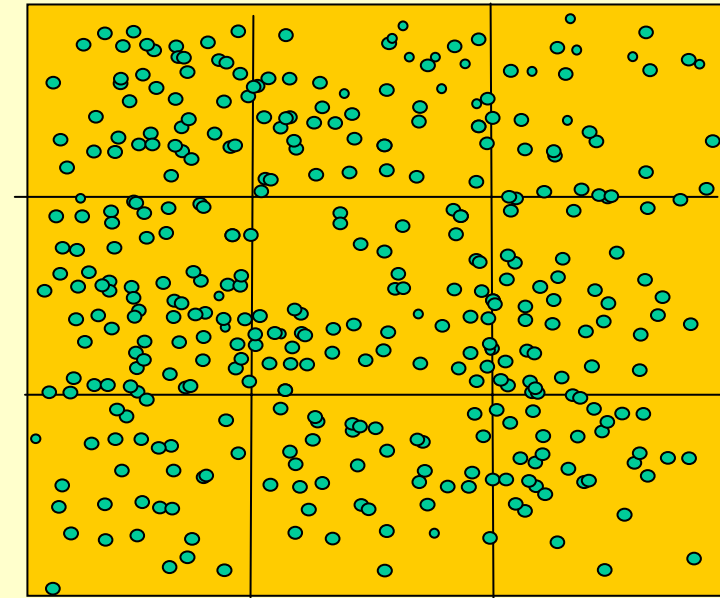
– Direct simulation Monte Carlo (DSMC)

- Tracking the motion of simulated particles
- The motion of the particles are decoupled: collision and free-flight

$$\frac{d\mathbf{v}_i}{dt} = \Omega_i(p_i, p_j)$$

$$\frac{dx_i}{dt} = \mathbf{v}_i$$

$$\Delta t < \tau$$

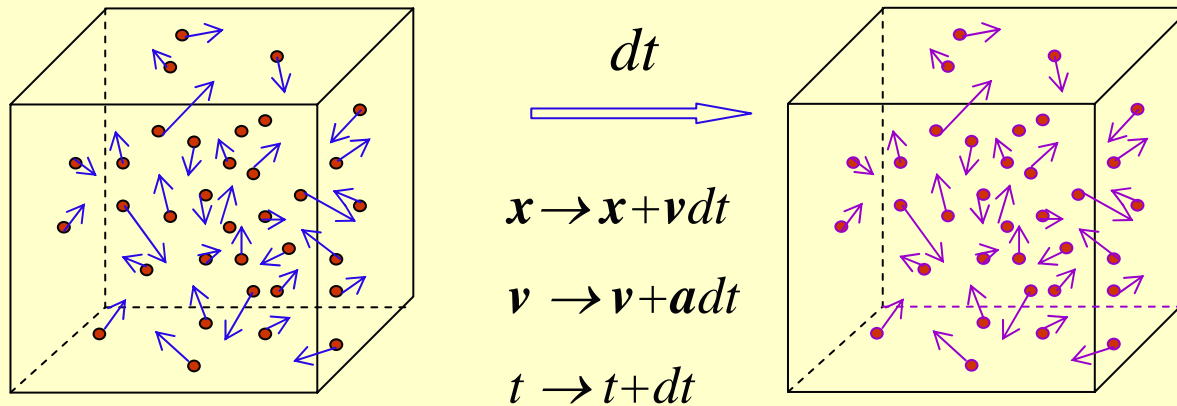


– limitations

- Large statistical noise for low speed micro flows,
- High-computational costs

– Boltzmann Equation (BE)

- Tracking the evolution of the **probability distribution function** rather than the **individual molecules** of the gas.



Ludwig Boltzmann
(1844-1906)

$$dN = f(\mathbf{x}, \mathbf{v}, t) d\mathbf{x} d\mathbf{v}$$

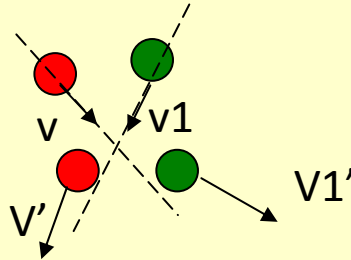
$$dN = f(\mathbf{x} + \mathbf{v}dt, \mathbf{v} + \mathbf{a}dt, t + dt) d\mathbf{x} d\mathbf{v}$$

$$f(\mathbf{x} + \mathbf{v}dt, \mathbf{v} + \mathbf{a}dt, t + dt) d\mathbf{x} d\mathbf{v} = f(\mathbf{x}, \mathbf{v}, t) d\mathbf{x} d\mathbf{v} + \Omega_B(f, f) d\mathbf{x} d\mathbf{v} dt$$

$$\frac{\partial f}{\partial t} + \mathbf{v} \cdot \nabla f + \mathbf{a} \cdot \nabla_{\mathbf{v}} f = \Omega_B(f, f)$$

Some properties of the Boltzmann equation

– Collision operator



$$\Omega_B(f, f) = \int \left[f(x, v_1', t) f(x, v', t) - f(x, v_1, t) f(x, v, t) \right] B(\theta, V) d\varepsilon d\theta dv_1$$

Post-collision

Pre-collision

Depending on
molecular interactions

– Properties of the collision operator

Symmetry

$$\int \Omega_B \phi(v_1) dv_1 = \frac{1}{4} \int \Omega_B [\phi(v_1) + \phi(v) - \phi(v_1') - \phi(v')] dv_1$$

Conservation

$$\int \Omega_B dv = 0, \quad \int v \Omega_B dv = 0, \quad \frac{1}{2} \int (v - u)^2 \Omega_B dv = 0$$

– **BGK model**

H-theorem $H = \int f \ln f d\mathbf{v}$

$$\frac{\partial f}{\partial t} + \mathbf{v} \cdot \nabla f + \mathbf{a} \cdot \nabla_{\mathbf{v}} f = \Omega_B(f) \implies \frac{dH}{dt} \leq 0$$

$$\frac{dH}{dt} = 0 \quad \xleftrightarrow{\text{(if and only if)}} \quad f^{eq} = \frac{\rho}{(2\pi RT)^{D/2}} \exp\left[-\frac{(\mathbf{v}-\mathbf{u})^2}{2RT}\right]$$

$$\Omega(f^{(eq)}) = 0 \quad \text{(at equilibrium)}$$

The role of collision is to make the distribution function to approach its equilibrium !!!

BGK model: $\Omega_{BGK} = \frac{1}{\tau_c} [f - f^{(eq)}]$

Satisfying the symmetry and conservation properties

– Hydrodynamics equations from BE

$$\int \varphi \left[\frac{\partial f}{\partial t} + \mathbf{v} \cdot \nabla f + \mathbf{a} \cdot \nabla_{\mathbf{v}} f \right] d\mathbf{v} = \int \varphi \Omega_B(f) d\mathbf{v} \quad \varphi = 1, m\mathbf{v}, m\mathbf{v}^2/2$$

$$\frac{\partial \rho}{\partial t} + \nabla \cdot (\rho \mathbf{u}) = 0$$

$$\frac{\partial (\rho \mathbf{u})}{\partial t} + \nabla \cdot (\rho \mathbf{u} \mathbf{u}) + \nabla \cdot \mathbf{P} = \rho \mathbf{a}$$

$$\frac{\partial (\rho e)}{\partial t} + \nabla \cdot (\rho \mathbf{u} e) + \nabla \cdot \mathbf{q} = 0$$

$$\mathbf{P} = \int \mathbf{C} \mathbf{C} f d\mathbf{v},$$

$$\mathbf{q} = \int \frac{1}{2} \mathbf{C}^2 \mathbf{C} f d\mathbf{v},$$

$$\mathbf{C} = (\mathbf{v} - \mathbf{u})$$

– Chapman-Enskog Expansion

$$f = f^{(0)} + \varepsilon f^{(1)} + \varepsilon^2 f^{(2)} + \dots$$

$$\partial_t = \partial_{t0} + \varepsilon \partial_{t1} + \varepsilon^2 \partial_{t2} + \dots$$

$$\mathbf{P}^{(0)} = p\mathbf{I}, \quad \mathbf{q}^{(0)} = 0$$

$$\mathbf{P}^{(1)} = \mu \mathbf{S} + \mu' (\nabla \cdot \mathbf{u}) \mathbf{I}, \quad \mathbf{q}^{(1)} = -k \nabla T$$

$$\mathbf{P}^{(2)} = \dots, \quad \mathbf{q}^{(2)} = \dots$$

.....

—————→ Euler

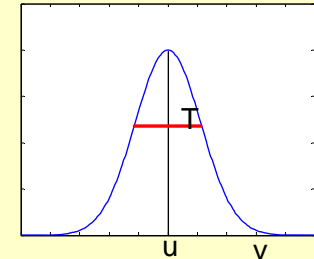
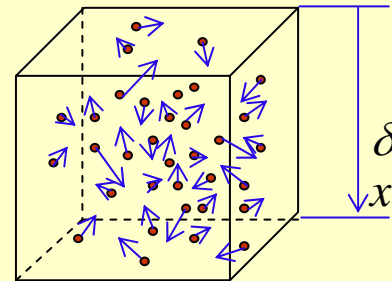
—————→ Navier-Stokes

—————→ Burnett

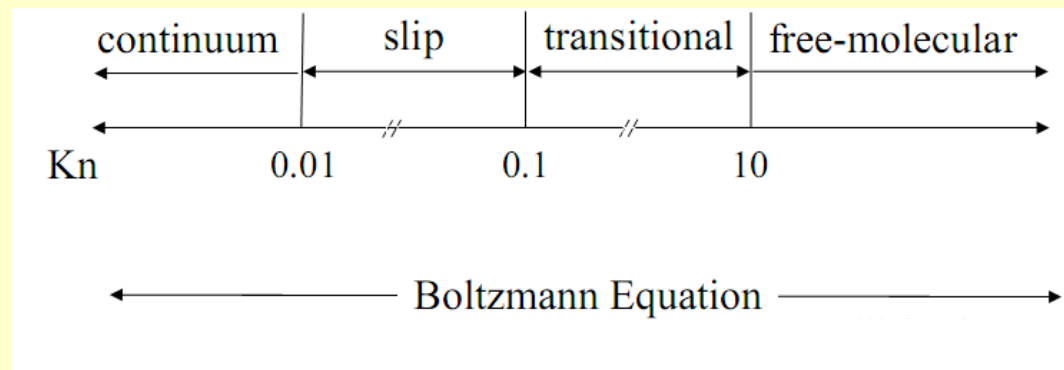
– Advantages of Boltzmann Equation (for micro-flows)

- No continuum assumption

$$dN = f(\mathbf{x}, \mathbf{v}, t) \delta V d\mathbf{v}$$



- Arbitrary Kn number



The Boltzmann equation can serve as a **good base** for modeling and simulating micro (gas) flows

- **Solving the Boltzmann Equation**

- **Linearized Boltzmann Equation (LBE) method**

- **Finite-difference** method (e.g., C. Cercignani)
 - **Variational** approach (e.g., C. Cercignani);
 - **Discrete velocity** method (e.g., T. Ohwada);

(Difficult to solve even for simple geometries)

- **DSMC method– converges to Boltzmann Equation**

- **Lattice Boltzmann equation (LBE) method**

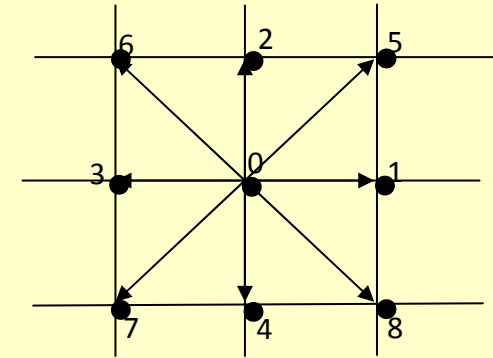
- **Efficient** discrete kinetic model with **simple structure**;
 - Based on Boltzmann equation, **No continuum assumption (in principle)**

(A potential tool for micro gas flows)

- **Structure of Lattice Boltzmann Equation (LBE)**

- **Evolution of LBE**

$$f_i(\mathbf{x} + \mathbf{c}_i \delta_t, t + \delta_t) - f_i(\mathbf{x}, t) = \Omega_i(f)$$



- **Collision models**

BGK or Single-Relaxation-Time model (1992, Qian et al., Chen et al.)

$$\Omega_i = -\frac{1}{\tau} [f_i - f_i^{(eq)}]$$

Multiple-Relaxation-Time model (1992, d'Humieres)

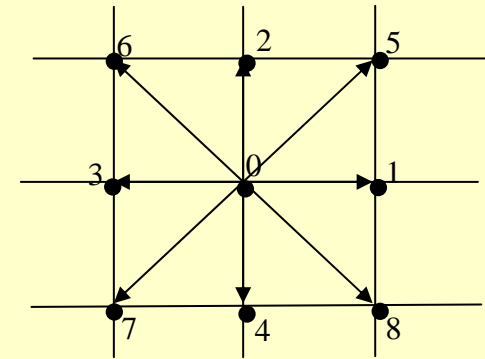
$$\Omega_i = -\sum_j M_{ij}^{-1} \tau_j^{-1} (\hat{f}_j - \hat{f}_j^{(eq)}) \quad \hat{f} = Mf \quad \hat{f}^{(eq)} = Mf^{(eq)}$$

Received increasing interests due to some important features.

– Example: D2Q9 model

$$f_i(\mathbf{x} + \mathbf{c}_i \delta_t, t + \delta_t) - f_i(\mathbf{x}, t) = - \sum_j M_{ij}^{-1} \tau_j^{-1} (\hat{f}_j - \hat{f}_j^{(eq)})$$

$$\hat{\mathbf{f}} = M \mathbf{f} = (\rho, e, \varepsilon, j_x, q_x, j_y, q_y, p_{xx}, p_{xy})^T$$



$$\boldsymbol{\tau} = (0, \tau_e, \tau_\varepsilon, 0, \tau_q, 0, \tau_q, \tau_s, \tau_s)^T$$

$$f^{(eq)} = w_i \rho \left[1 + \frac{\mathbf{c}_i \cdot \mathbf{u}}{c_s^2} + \frac{(\mathbf{c}_i \cdot \mathbf{u})^2}{2c_s^4} - \frac{\mathbf{u} \cdot \mathbf{u}}{2c_s^2} \right]$$

$$c_s = \sqrt{RT} = \frac{c^2}{3} = \frac{1}{3} \left(\frac{\delta_x}{\delta_t} \right)^2$$

$$\rho = \sum_i f_i \quad \rho \mathbf{u} = \sum_i \mathbf{c}_i f_i$$

Theoretical analysis gives the Navier-Stokes equations with

$$\mu = \rho c_s^2 \left(\tau_s - \frac{1}{2} \right) \delta_t = \rho \left(\tau_s - \frac{1}{2} \right) \delta_t$$

$$\eta = \rho c_s^2 \left(\tau_e - \frac{1}{2} \right) \delta_t$$

$$M = \begin{pmatrix} 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 \\ -4 & -1 & -1 & -1 & -1 & 2 & 2 & 2 & 2 \\ 4 & -2 & -2 & -2 & -2 & 1 & 1 & 1 & 1 \\ 0 & 1 & 0 & -1 & 0 & 1 & -1 & -1 & 1 \\ 0 & -2 & 0 & 2 & 0 & 1 & -1 & -1 & 1 \\ 0 & 0 & 1 & 0 & -1 & 1 & 1 & -1 & -1 \\ 0 & 0 & -2 & 0 & 2 & 1 & 1 & -1 & -1 \\ 0 & 1 & -1 & 1 & -1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 & -1 & 1 & -1 \end{pmatrix} \begin{matrix} \\ \\ \\ \mathbf{c}_{ix} \\ \\ \mathbf{c}_{iy} \\ \\ \end{matrix}$$

$$MM^T = D \equiv \text{diag}[9, 36, 36, 6, 12, 6, 12, 4, 4]$$

$$\mathbf{M}^{-1} = \mathbf{M}^T \mathbf{D}^{-1}$$

$$= \begin{pmatrix} 1/9 & -1/9 & 1/9 & 0 & 0 & 0 & 0 & 0 & 0 \\ 1/9 & -1/36 & -1/18 & 1/6 & -1/6 & 0 & 0 & 1/4 & 0 \\ 1/9 & -1/36 & -1/18 & 0 & 0 & 1/6 & -1/6 & -1/4 & 0 \\ 1/9 & -1/36 & -1/18 & -1/6 & 1/6 & 0 & 0 & 1/4 & 0 \\ 1/9 & -1/36 & -1/18 & 0 & 0 & -1/6 & 1/6 & -1/4 & 0 \\ 1/9 & 1/18 & 1/36 & 1/6 & 1/12 & 1/6 & 1/12 & 0 & 1/4 \\ 1/9 & 1/18 & 1/36 & -1/6 & -1/12 & 1/6 & 1/12 & 0 & -1/4 \\ 1/9 & 1/18 & 1/36 & -1/6 & -1/12 & -1/6 & -1/12 & 0 & 1/4 \\ 1/9 & 1/18 & 1/36 & 1/6 & 1/12 & -1/6 & -1/12 & 0 & -1/4 \end{pmatrix}$$

Outline

- **Basic Concepts**
- **Extending LBE to micro flows**
 - **Relaxation time and Boundary conditions**
 - **Knudsen Layer**
- **Applications & Extensions**
- **Summary**

2. Extending LBE to micro flows

- Key problems of LBE for micro-flows

Standard LBE is designed for **continuum** flows

Continuum flow

- Characterized by the **Reynolds number** (Re)
- **No-slip** on a wall

Micro-scale flow

- Characterized by the **Knudsen number** (Kn)
- **Slip** on a wall

Two key problems to simulate micro flows using LBE:

- How to incorporate the **Knudsen number** into LBE
- How to realize **slip boundary conditions**

2.1 Incorporating Kn into LBE

Basic idea:

Physically, the mean-free-path λ is related to the relaxation time τ_c :

$$\tau_c = \frac{\lambda}{\bar{c}} = \frac{Kn \cdot L}{\bar{c}}$$

Certain mean
particle velocity

The choice is rather **diverse** in the literature:

– lattice speed:

$$\bar{c} = \delta x / \delta t$$

– mean molecular velocity:

$$\bar{c} = \sqrt{8RT/\pi}$$

– root-mean-square velocity

$$\bar{c} = \sqrt{3RT}$$

Which mean velocity ?

- Consistency requirement: in the continuum limit ($\text{Kn} \rightarrow 0$),

$$\tau_c = \frac{\mu}{p}$$

- From kinetic theory (C. Cercignani 1988):

$$\lambda = \frac{\mu}{p} \sqrt{\frac{\pi RT}{2}} \quad \longrightarrow \quad \boxed{\bar{c} = \sqrt{\frac{\pi RT}{2}} \quad (\text{Kn} \rightarrow 0)}$$

Application to D2Q9 LBE:

$$\mu = \rho c_s^2 \left(\tau_s - \frac{1}{2} \right) \delta_t = p \left(\tau_s - \frac{1}{2} \right) \delta_t$$

$$\eta = \rho c_s^2 \left(\tau_e - \frac{1}{2} \right) \delta_t$$

Kinetic theory gives

$$\lambda = \frac{\mu}{p} \sqrt{\frac{\pi R T}{2}}$$

$$\lambda = \left(\tau_s - \frac{1}{2} \right) \delta_t \sqrt{\frac{\pi R T}{2}} = \sqrt{\frac{\pi}{6}} \left(\tau_s - \frac{1}{2} \right) \delta_x$$

$$\tau_s = \frac{1}{2} + \sqrt{\frac{6}{\pi}} Kn N$$

$$Kn = \frac{\lambda}{L} \quad N = \frac{L}{\delta_x}$$

Remark: Different τ - Kn relationships adopted in previous work

S. Ansumali et al. Physica A 2006 $\tau = \sqrt{2.5} Kn N$ Sbragaglia & Succi, Phys. Fluids, 2005 $\tau = 0.5 + \sqrt{3} Kn N$

Nie et al. J. Stat. Phys. 2002 $\tau = 0.5 + \rho Kn N / \alpha$, $\alpha = 0.388$

Lim et al. J. Stat. Phys. 2002; Lee & Lin PRE 2005; Niu et al, Europhys Lett. 2004; Shu et al, J. Stat. Phys 2004;
Sofonea et al. J. Comput Phys 2005

$$\tau = 0.5 + Kn N \quad (\lambda = \delta_x)$$

Cannot give correct Knudsen number !

2.2 Kinetic boundary conditions in LBE

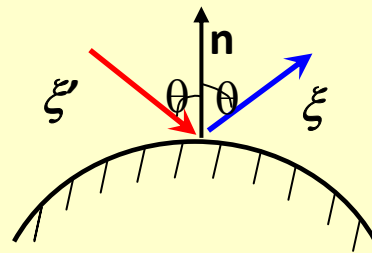
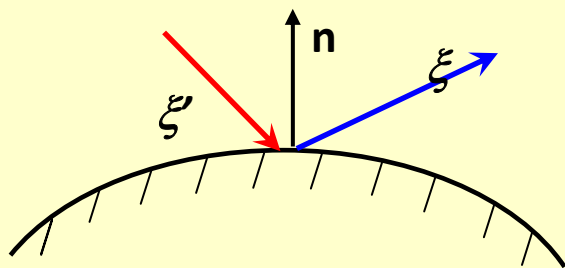
Kinetic theory:

$$|\xi \cdot \mathbf{n}| f(\xi) = \int_{\xi' \cdot \mathbf{n} < 0} R(\xi' \rightarrow \xi) f(\xi') |\xi' \cdot \mathbf{n}| d\xi' \quad \xi \cdot \mathbf{n} > 0$$

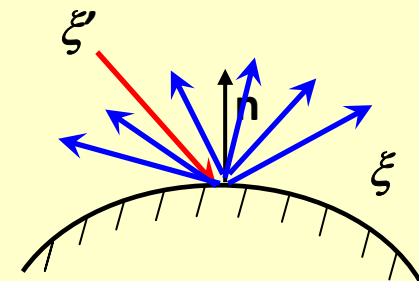
Interaction Kernel $\int_{\xi \cdot \mathbf{n} > 0} R(\xi' \rightarrow \xi) d\xi = 1$

Maxwell's diffuse Kernel

$$R(\xi' \rightarrow \xi) = \underbrace{\sigma_{phy}}_{\text{Accommodation coefficient}} f^{(eq)}(\mathbf{u}_w, T_w, \xi) |\xi \cdot \mathbf{n}| + (1 - \sigma_{phy}) \delta(\xi' - \xi + 2\mathbf{n} \xi \cdot \mathbf{n})$$



Specular-reflection



Fully diffusive

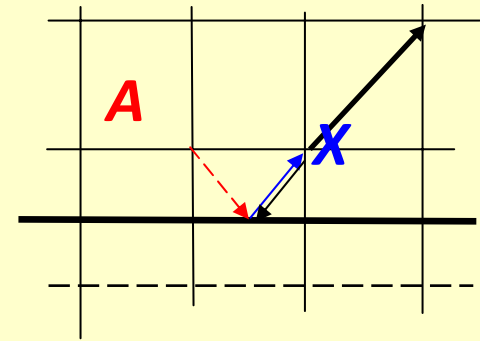
Three kinetic boundary conditions for LBE for micro-scale flows

- **Bounce-back / Specular-reflection** scheme (BS, S. Succi, PRL, 2002)

$$f_i(X, t + \delta) = r \left[f_i^+ + w_i \rho \frac{2\mathbf{c}_i \cdot \mathbf{u}_w}{c_s^2} \right] (X, t) + (1 - r) f_{i'}^+(A, t)$$

Post-collision

$$f_i^+ = f_i(\mathbf{x}, t) + \sum_j \Omega_{ij} [f_j(\mathbf{x}, t) - f_j^{(eq)}(\mathbf{x}, t)] + \delta t F_i(\mathbf{x}, t)$$



- **Discrete Diffuse Scattering** scheme (DS, Karlin, PRE, 2002)

$$f_i(X, t + \delta t) = \underbrace{\sigma K}_{\text{Numerical accommodation coefficient}} f_i^{(eq)}(\mathbf{u}_w) + (1 - \sigma) f_{i'}^+(A, t)$$

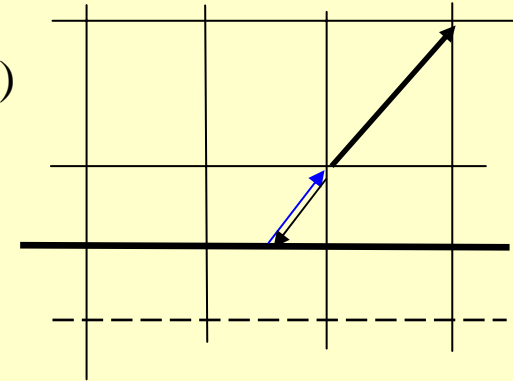
K is a parameter such that

$$\sum_{\mathbf{c}_i \cdot \mathbf{n} > 0} \mathbf{c}_i f_i = \sum_{\mathbf{c}_j \cdot \mathbf{n} < 0} \mathbf{c}_j f_j$$

- **Diffuse / bounce-back Scheme (DB)**

(first proposed by Luo et al for **first-order** slip boundary condition of **fully diffusive wall**, JCP 2009)

$$f_i(X, t + \delta t) = \alpha \left[f_i^+ + w_i \rho \frac{2\mathbf{c}_i \cdot \mathbf{u}_w}{c_s^2} \right]_{(X, t)} + (1 - \alpha) f_i^{(eq)}(\mathbf{u}_w)$$



- **Comments:**

- The **BS** and **DS** schemes are
 - non-local because of the reflection parts
 - not easy for curved walls
- The **DB scheme is local** and thus can be easily applied to **curved walls**

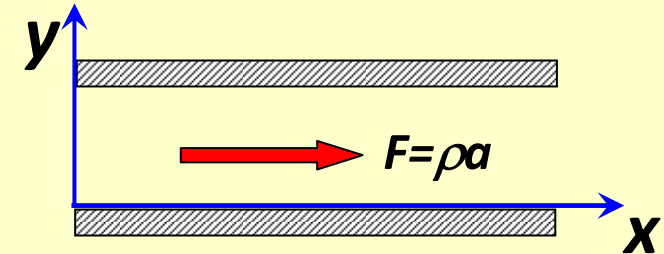
Key points in the 3 schemes:

How to determine the parameters (r , α , σ) ?

Analysis of kinetic boundary conditions: the plane Poiseuille flow case

- Assumptions (unidirectional flow)

$$\rho = \rho_0 \quad v = 0 \quad \frac{\partial \phi}{\partial x} = 0$$

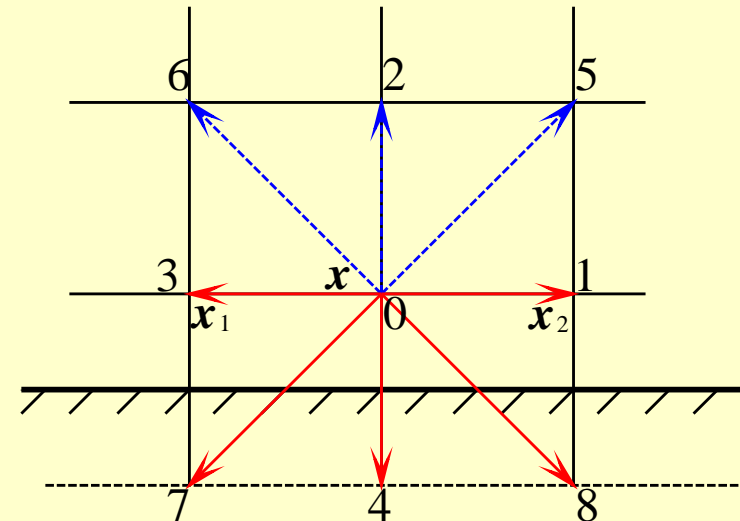


- BS scheme (Bounce-back/specular-reflection)

$$f_2(\mathbf{x}, t + \delta t) = f_4^+(\mathbf{x}, t)$$

$$f_5(\mathbf{x}, t + \delta t) = r \left[f_7^+ + w_5 \rho \frac{2\mathbf{c}_5 \cdot \mathbf{u}_w}{c_s^2} \right](\mathbf{x}, t) + (1-r)f_8^+(\mathbf{x}_1, t)$$

$$f_6(\mathbf{x}, t + \delta t) = r \left[f_8^+ + w_6 \rho \frac{2\mathbf{c}_6 \cdot \mathbf{u}_w}{c_s^2} \right](\mathbf{x}, t) + (1-r)f_7^+(\mathbf{x}_2, t)$$



- **DS scheme** (Diffuse-scattering)

$$f_2(\mathbf{x}, t + \delta t) = \sigma f_2^{(eq)}(\rho_w, \mathbf{u}_w) + (1 - \sigma) f_4^+(\mathbf{x}, t)$$

$$f_5(\mathbf{x}, t + \delta t) = \sigma f_5^{(eq)}(\rho_w, \mathbf{u}_w) + (1 - \sigma) f_8^+(\mathbf{x}_1, t)$$

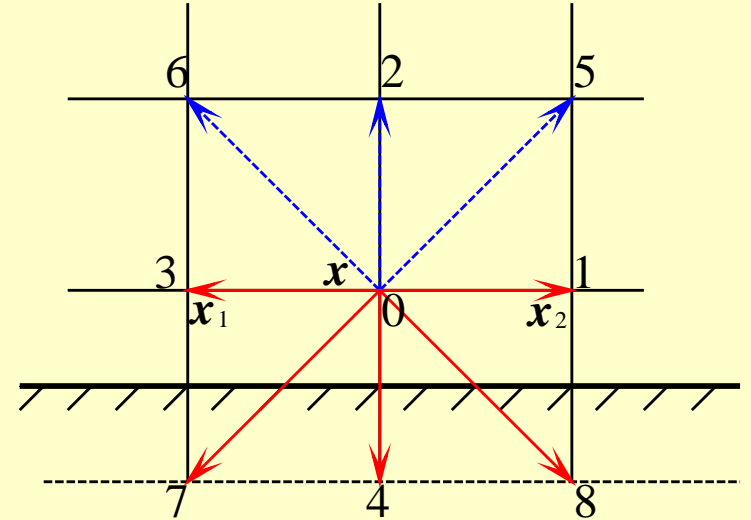
$$f_6(\mathbf{x}, t + \delta t) = \sigma f_6^{(eq)}(\rho_w, \mathbf{u}_w) + (1 - \sigma) f_7^+(\mathbf{x}_2, t)$$

- **DB scheme** (Diffuse / Bounce-back)

$$f_2(\mathbf{x}, t + \delta t) = \alpha f_4^+(\mathbf{x}, t) + (1 - \alpha) f_2^{(eq)}(\rho_w, \mathbf{u}_w)$$

$$f_5(\mathbf{x}, t + \delta t) = \alpha \left[f_7^+ + w_5 \rho \frac{2\mathbf{c}_5 \cdot \mathbf{u}_w}{c_s^2} \right]_{(\mathbf{x}, t)} + (1 - \alpha) f_5^{(eq)}(\rho_w, \mathbf{u}_w)$$

$$f_6(\mathbf{x}, t + \delta t) = \alpha \left[f_8^+ + w_6 \rho \frac{2\mathbf{c}_6 \cdot \mathbf{u}_w}{c_s^2} \right]_{(\mathbf{x}, t)} + (1 - \alpha) f_6^{(eq)}(\rho_w, \mathbf{u}_w)$$



- Analysis of the velocity profile

LBE

$$f_i(\mathbf{x} + \mathbf{c}_i \delta_t, t + \delta_t) - f_i(\mathbf{x}, t) = - \sum_j M_{ij}^{-1} \tau_j^{-1} (\hat{f}_j - \hat{f}_j^{(eq)}) + \delta_t F_i$$

↓

$$\bar{F}_i = w_i \rho \left[\frac{\mathbf{c}_i \cdot \mathbf{a}}{c_s^2} + \frac{(\mathbf{u} \mathbf{a} + \mathbf{a} \mathbf{u}) : (c_s^2 \mathbf{I} - \mathbf{c}_i \mathbf{c}_i)}{c_s^4} \right]$$

With the assumption of
unidirectional, at **steady state**
we have the following relation
for an inner node ***j***

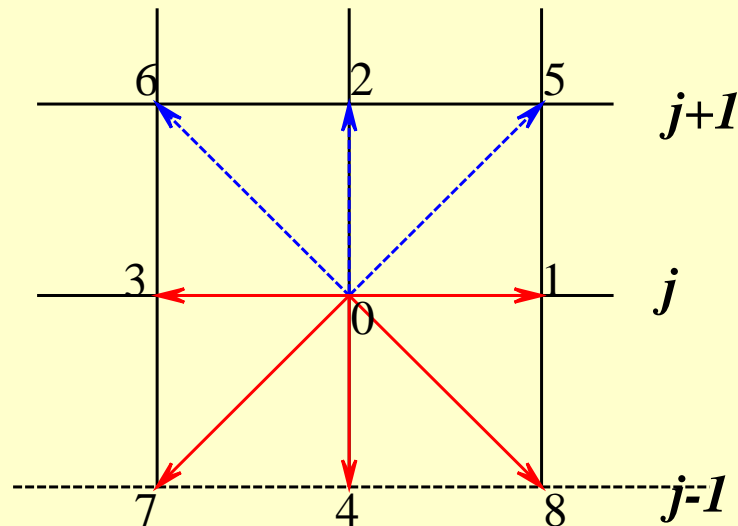
$$f_1(j) - f_3(j) = f_1^+(j) - f_3^+(j)$$

$$f_5(j) - f_6(j) = f_5^+(j-1) - f_6^+(j-1)$$

$$f_5(j+1) - f_6(j+1) = f_5^+(j) - f_6^+(j)$$

$$f_8(j) - f_7(j) = f_8^+(j+1) - f_7^+(j+1)$$

$$f_8(j-1) - f_7(j-1) = f_8^+(j) - f_7^+(j)$$



↓

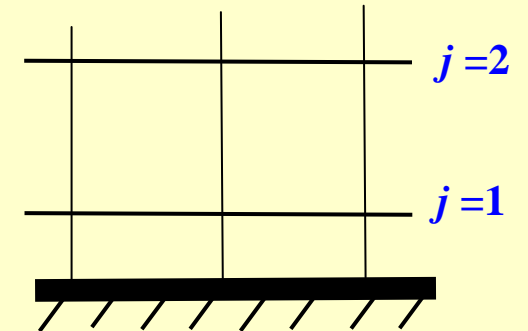
$$\nu \frac{u_{j+1} - 2u_j + u_{j-1}}{\delta x^2} = a$$

↓

$$u_j = U_0 \frac{y_j}{H} \left(1 - \frac{y_j}{H} \right) + u_s \quad U_0 = aH^2 / 2\nu$$

- Determination of slip velocity

$$\rho u_1 = c(f_1^1 - f_3^1) + c(f_5^1 - f_6^1) + c(f_8^1 - f_7^1) + \frac{\delta t}{2} \rho a$$



Boundary condition



$$u_j = U_0 \frac{y_j}{H} \left(1 - \frac{y_j}{H} \right) + u_s$$

$$\frac{u_s}{U_0} = \begin{cases} \frac{1-r}{r} \sqrt{\frac{6}{\pi}} Kn + \frac{\chi}{2\pi} Kn^2, & \text{BS} \\ \frac{2-\sigma}{\sigma} \sqrt{\frac{6}{\pi}} Kn + \frac{\chi}{2\pi} Kn^2, & \text{DS} \\ \frac{1-\alpha}{1+\alpha} \sqrt{\frac{6}{\pi}} Kn + \frac{\chi}{2\pi} Kn^2, & \text{DB} \end{cases}$$

$$\chi = \frac{16\tau_s\tau_q - 8\tau_s - 8\tau_q + 1}{(\tau_s - 0.5)^2}$$

the slippages at the **first order of Kn** depend on the kinetic boundary condition, while those at the **order of Kn²** are all identical. This means that the three schemes are **equivalent** as $\sigma = 1 + \alpha = 2r$.

- **Realization of a given 2nd-order slip boundary condition**

Consider the **2nd-order slip** boundary condition

$$u_s = L_1 \lambda \partial_y u - L_2 \lambda^2 \partial_y^2 u$$

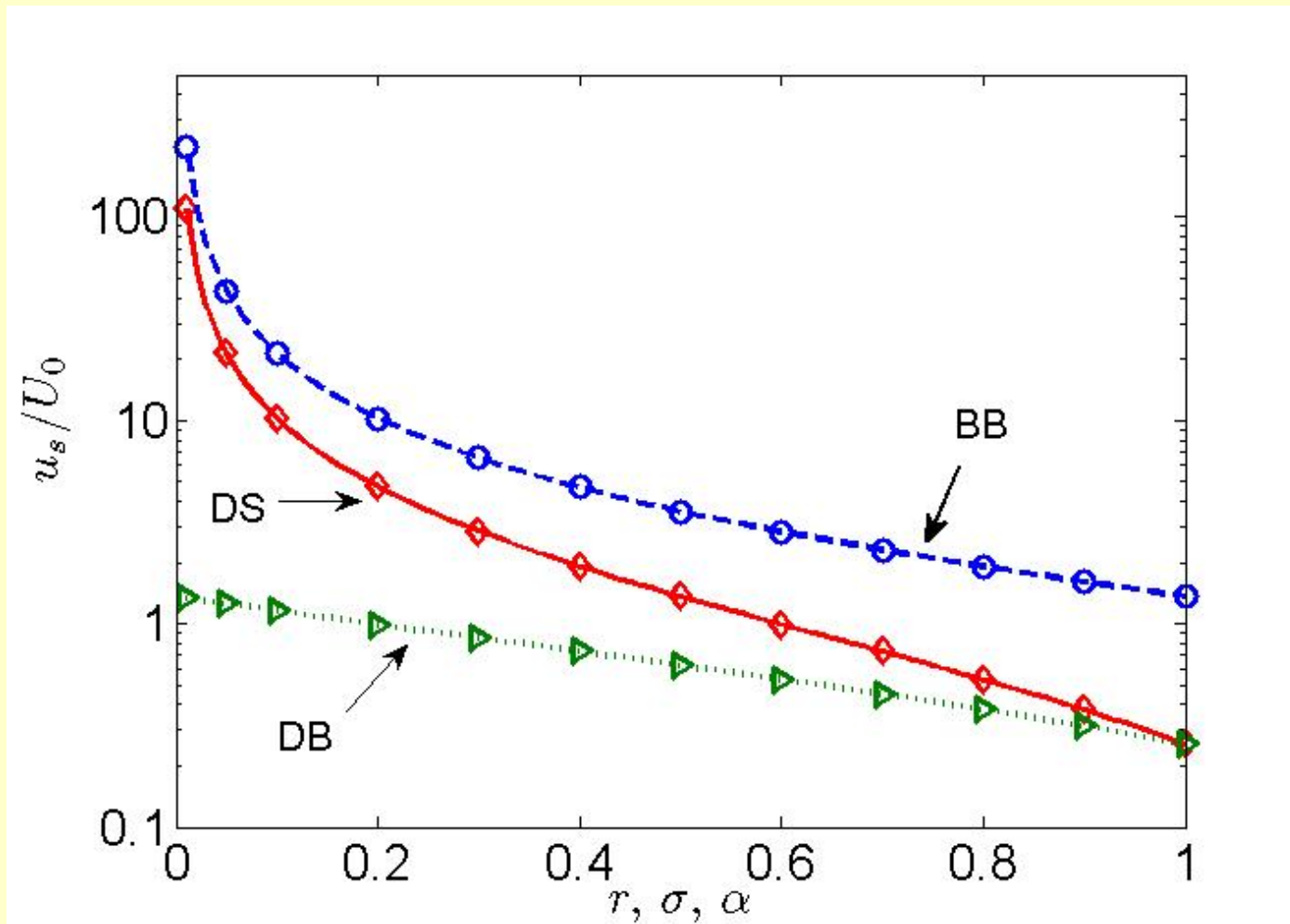
This BC gives

$$\frac{u_s}{U_0} = L_1 Kn + 2L_2 Kn^2$$

In order to realize this BC, we should choose

$$r = \frac{\sigma}{2} = \frac{1+\alpha}{2} = \left(1 + \sqrt{\frac{\pi}{6}} L_1\right)^{-1}, \quad \tau_q = 0.5 + \frac{4\pi L_2 (\tau_s - 0.5)^2 + 3}{16(\tau_s - 0.5)}$$

Numerical results: Slip velocity of the kinetic boundary conditions



2.3 Discrete effects in the kinetic B.C.

Can we take $\sigma = \sigma_{phy}$ in the DS scheme ?

Example: Fully diffusive wall ($\sigma_{phy} = 1$)

- The Boltzmann equation gives (C. Cercignani):

$$U_s = 4.586 Kn + 7.804 Kn^2$$

Discrete Effects !

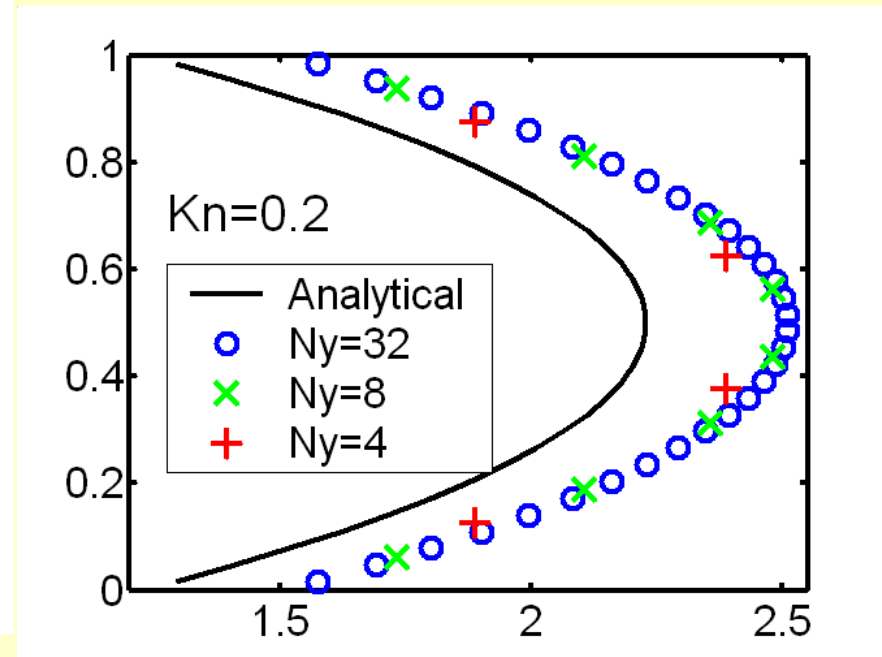
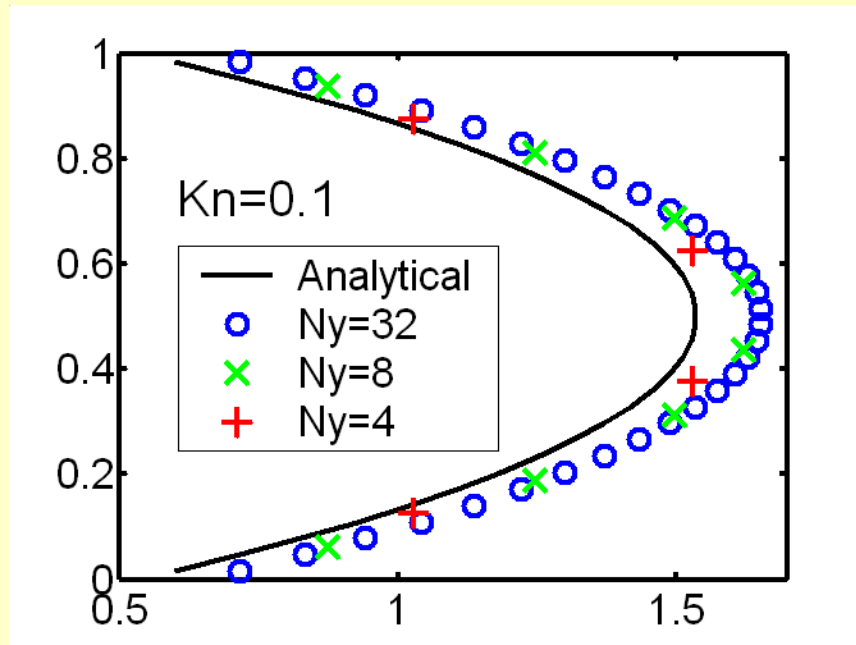
- The LBE gives

$$U_s = 4\sqrt{\frac{6}{\pi}}Kn + \frac{32}{\pi}Kn^2 - \Delta^2 \approx 5.528 Kn + 10.186 Kn^2 - \Delta^2$$

Proper choice:

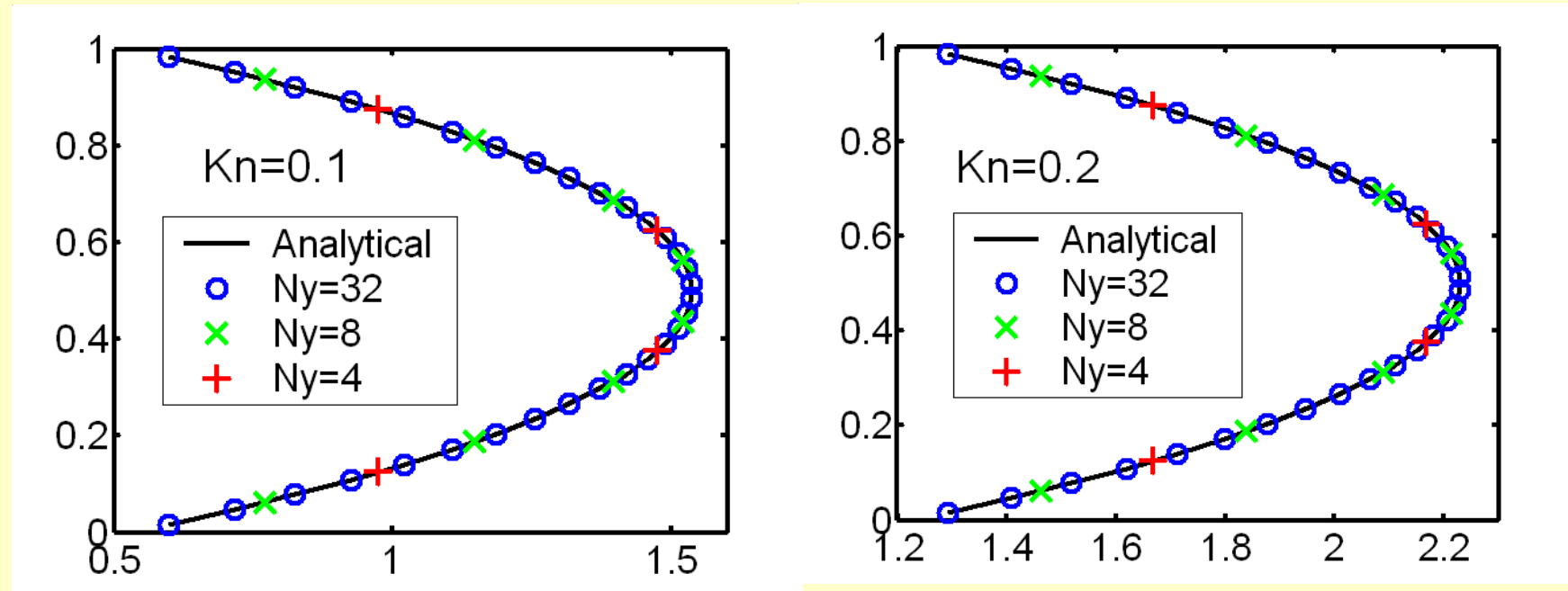
$$\sigma = 2 \left(1 + \sqrt{\frac{\pi}{6}} L_1 \right)^{-1} \approx 1.093, \quad \tau_q = 0.5 + \frac{4\pi L_2 (\tau_s - 0.5)^2 + 3}{16(\tau_s - 0.5)}$$

LBE with fixed $\sigma(=1)$ and $\tau_q = \tau_s$



LBE results **Over-predict** the slip, and are **mesh dependent**

MRT-LBE with modified $\sigma (=1.093)$ and τ_q



Excellent agreement even with a coarse mesh (+)

Outline

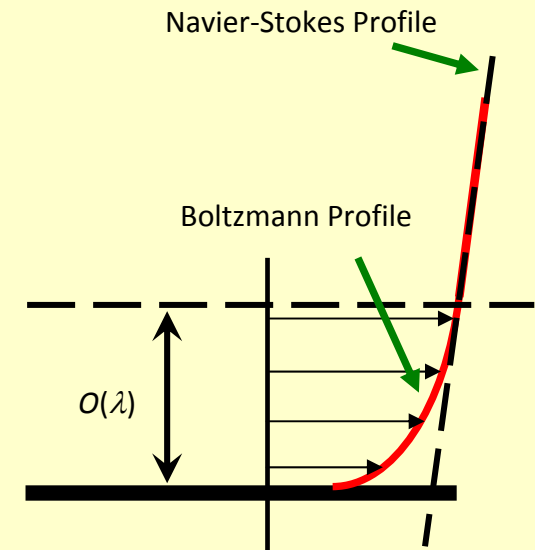
- **Basic Concepts**
- **Extending LBE to micro flows**
 - Relaxation time and Boundary conditions
 - Knudsen Layer
- **Applications & Extensions**
- **Summary**

- **Knudsen Layer (KL)**

- **rare** inter-molecular collisions
- **failure** of quasi thermodynamic equilibrium
- **inadequate** of Navier-Stokes equations

- **LBE**

- most existing models aim to solve **Navier-Stokes** equation
- **insufficient** to capture the Knudsen Layer



Extending LBE to capture the KL

- **Two ways:**

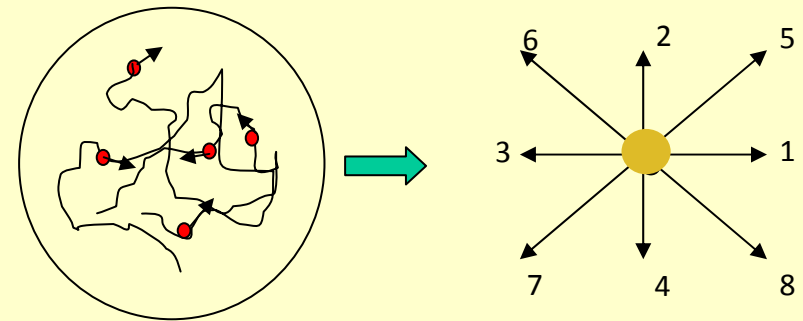
- Improve the **discretization accuracy** of LBE (Shan 2006)

Key point: increasing the **symmetric** of discrete velocities, so that LBE can match the BE at **higher orders** than NS level

Test case: Pressure driven Poiseuille flow

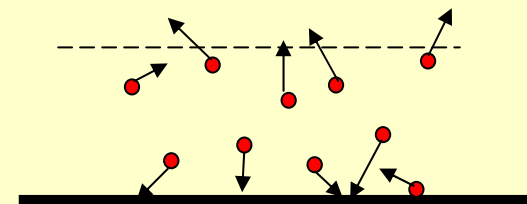
- **Modeling** the Knudsen layer (Guo 2006,2008; Zhang 2006).

Key point: incorporating the **gas-wall collision** effect into the model

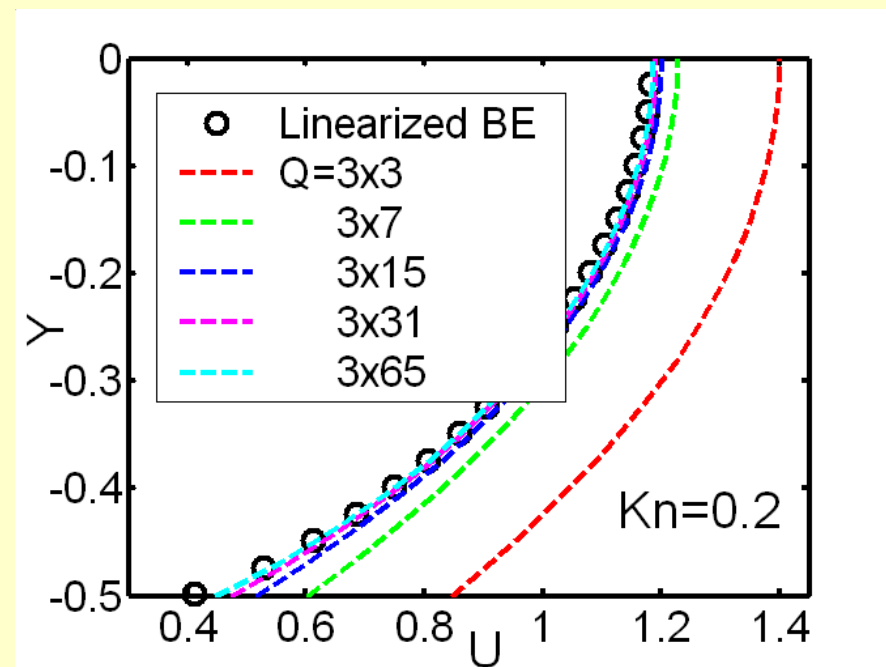
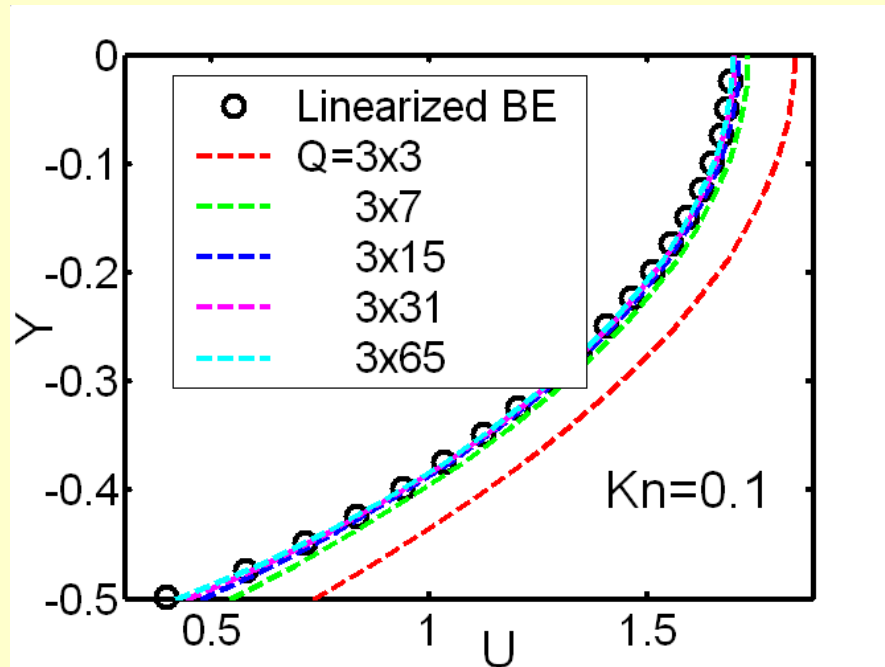


BE

LBE

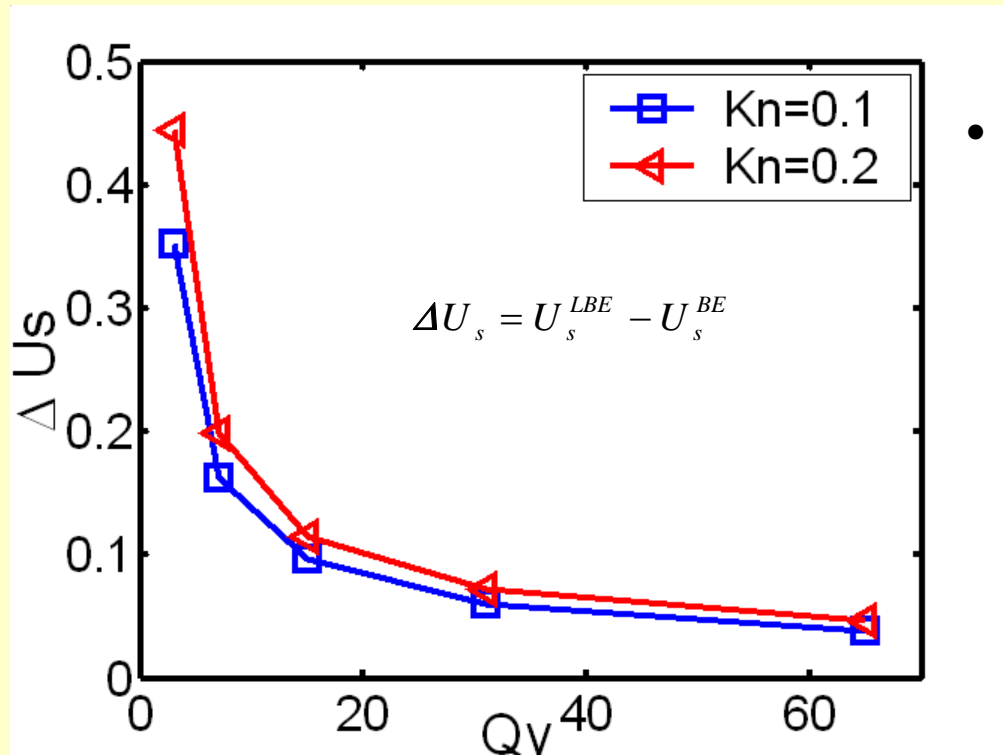


Test case: Pressure driven Poiseuille flow



With increasing number of discrete velocities (c_{iy}), the predicted velocities approach to the analytical solutions of the BE.

Errors in the slip velocity



- Remark on **high-order LBE**:
 - The Knudsen layer can be captured by LBE given the discretization **accuracy is sufficient**
 - Computational **cost will be expensive** if the number of discrete velocities is large.

With increasing number of discrete velocities (c_{iy}), the slip velocity approaches to the analytical solution of the BE.

Modeling the Knudsen layer

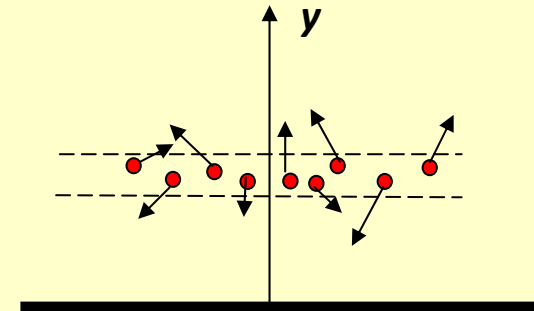
Basic idea:

- The effect of gas-wall collision on the **local mean-free-path**

$$\lambda_{local} = \lambda_{\infty} \phi(Kn, y)$$

- The **relaxation time** can be related to the **local mean-free-path**

$$\tau_{local} = \lambda_{local} / c^*$$



Key point: the effective function ϕ

Z.L. Guo et al: 2006

$$\phi = \frac{2}{\pi} \arctan(\sqrt{2} Kn^{-3/4})$$

Y.H. Zhang et al: 2006

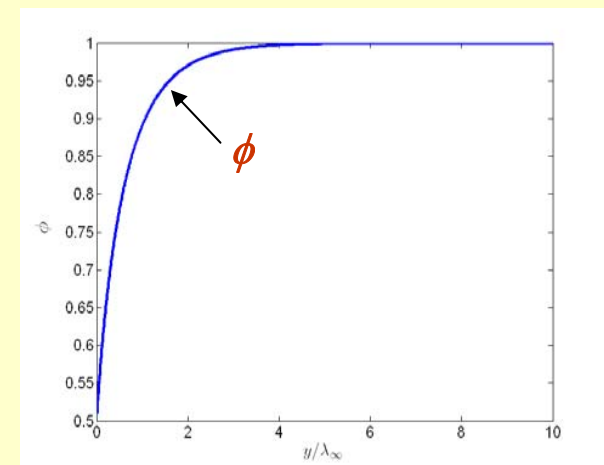
$$\phi = [1 + 0.7 C \exp(-Cy / \lambda_{\infty})]^{-1}$$

Z.L. Guo et al: 2008

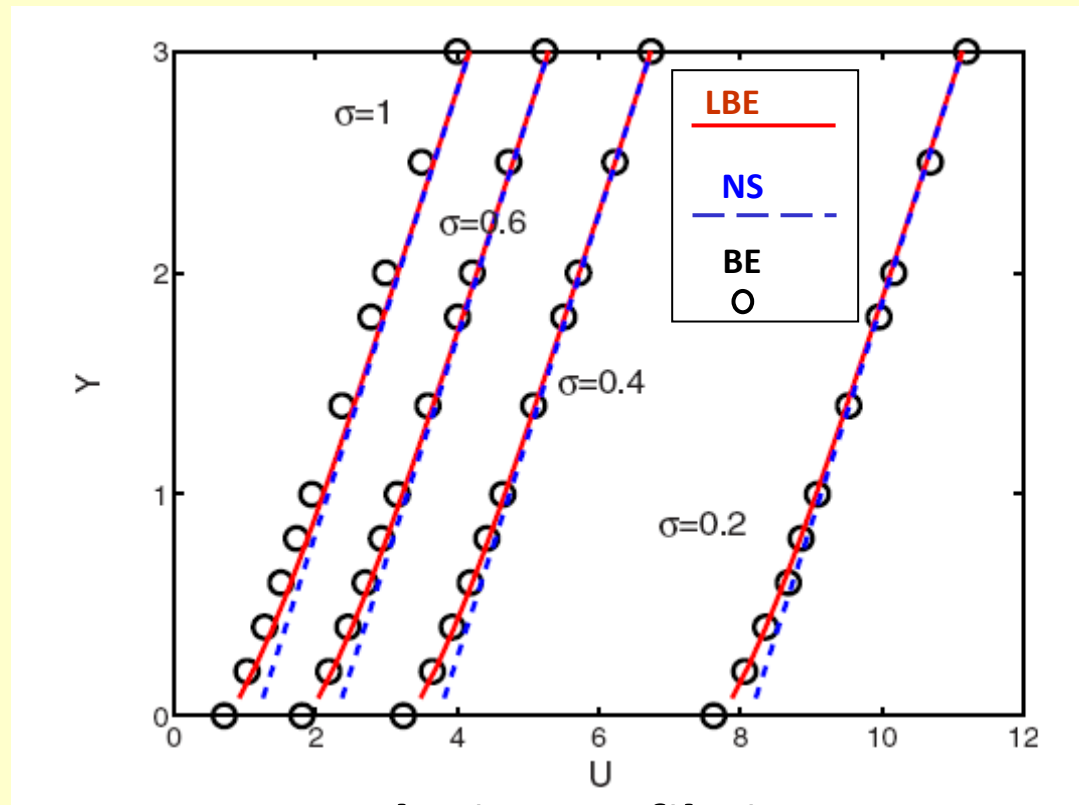
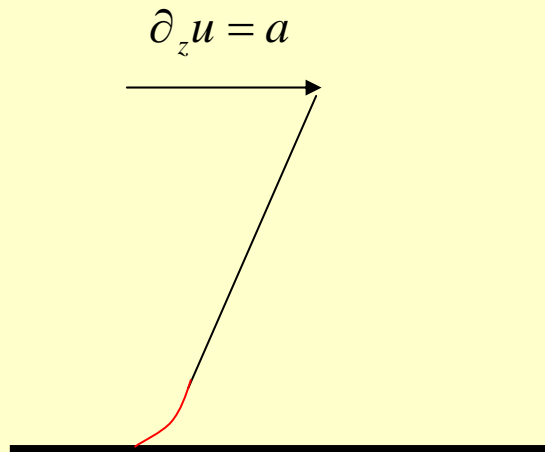
$$\phi(y) = \frac{1}{2} \left[\xi \left(\frac{y}{\lambda_{\infty}} \right) + \xi \left(\frac{H-y}{\lambda_{\infty}} \right) \right]$$

$$\xi(\alpha) = 1 + (\alpha - 1)e^{-\alpha} - \alpha^2 E_i(\alpha)$$

$$E_i(\alpha) = \int_1^{\infty} t^{-1} e^{-\alpha t} dt$$

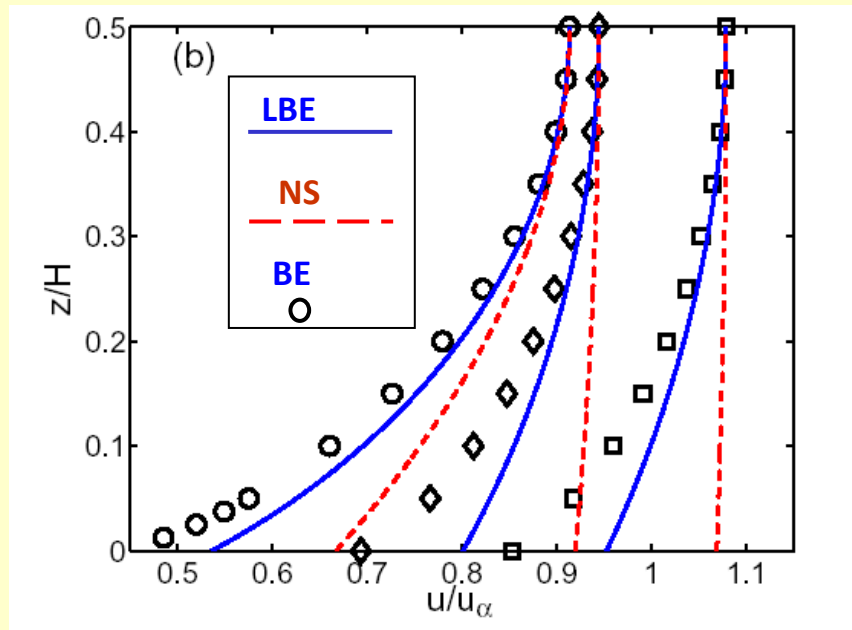


Test case: Kramers problem

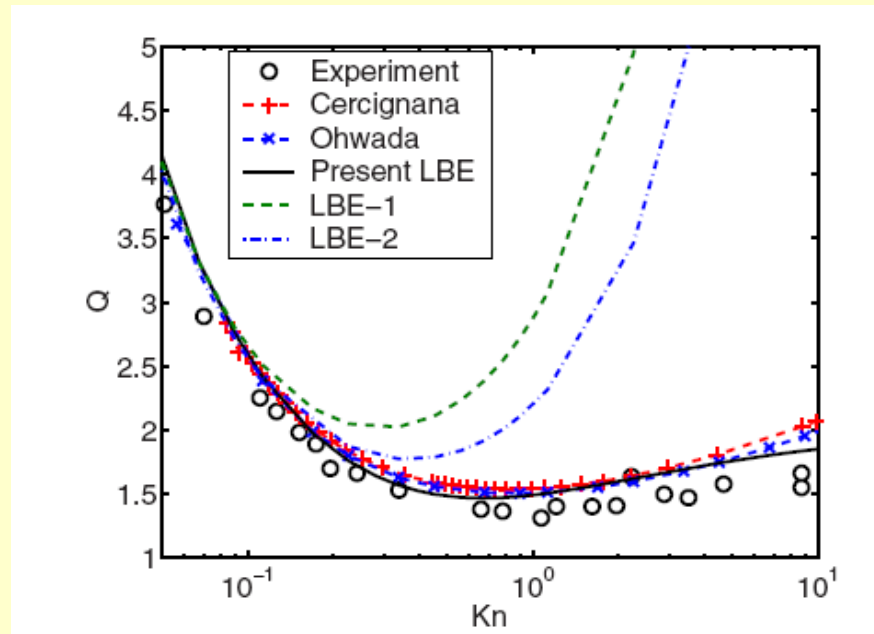


Velocity profile in KL

Test case: Poiseuille flow



Velocity profile



Mass flux

$Kn = 0.4512, 4.512, 11.28$

Outline

- **Basic Concepts**
- **Extending LBE to micro flows**
 - Relaxation time and Boundary conditions
 - Knudsen Layer
- **Applications & Extensions**
- **Summary**

3. Applications & Extensions

3.1 Flows in a rough channel

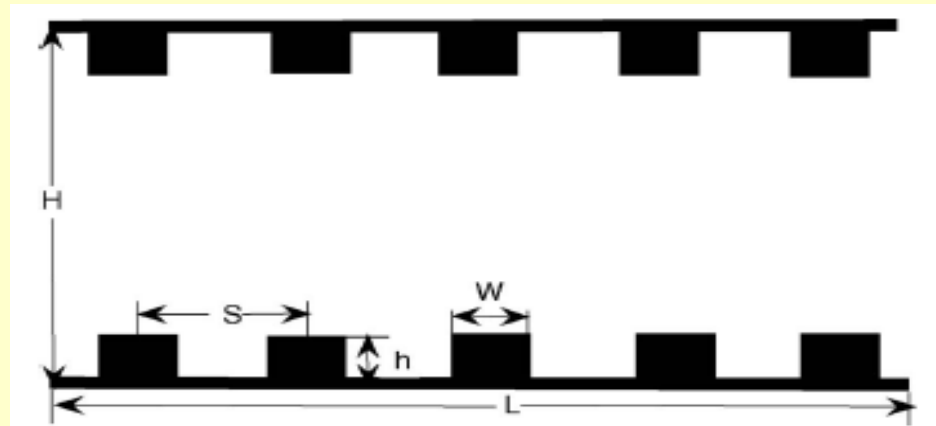
Roughness modeling: array of blocks distributed uniformly and symmetrically

Relative roughness

$$e = 2h/H$$

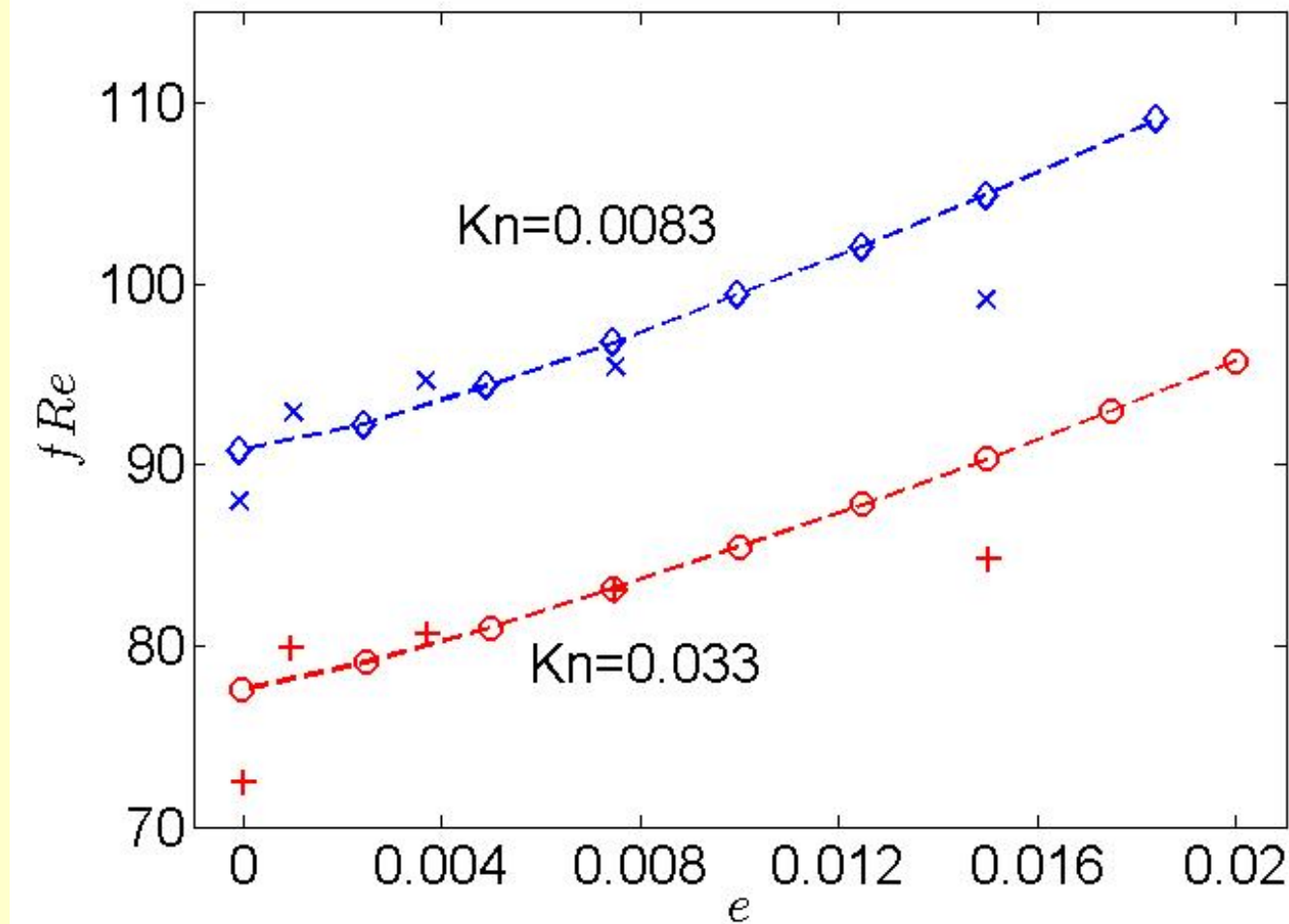
Roughness distribution

$$\varepsilon = h/S$$

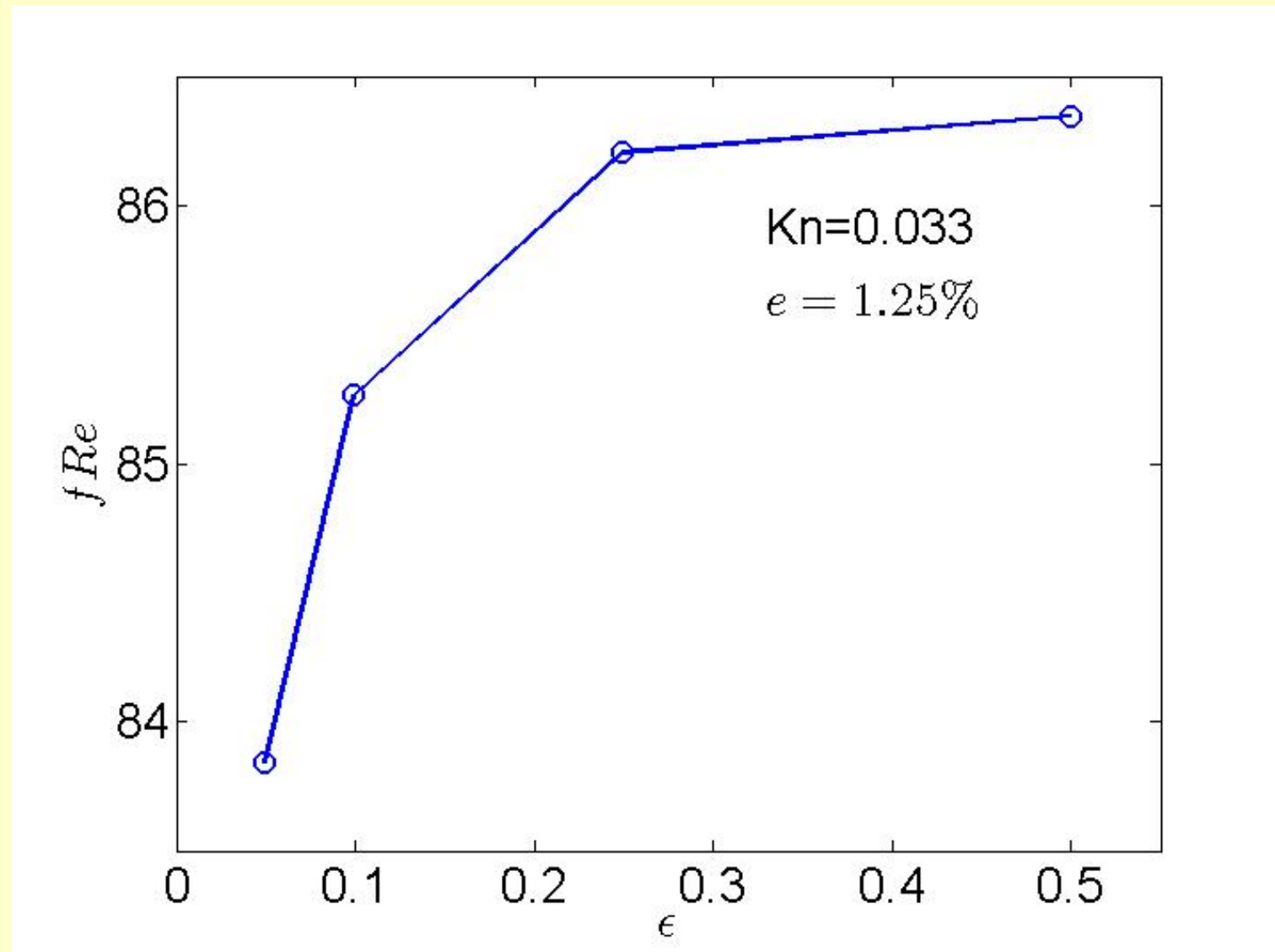


Drag coefficient vs relative roughness

$$f Re = - \frac{8H^2}{\mu \bar{u}} \frac{\partial P}{\partial x}$$



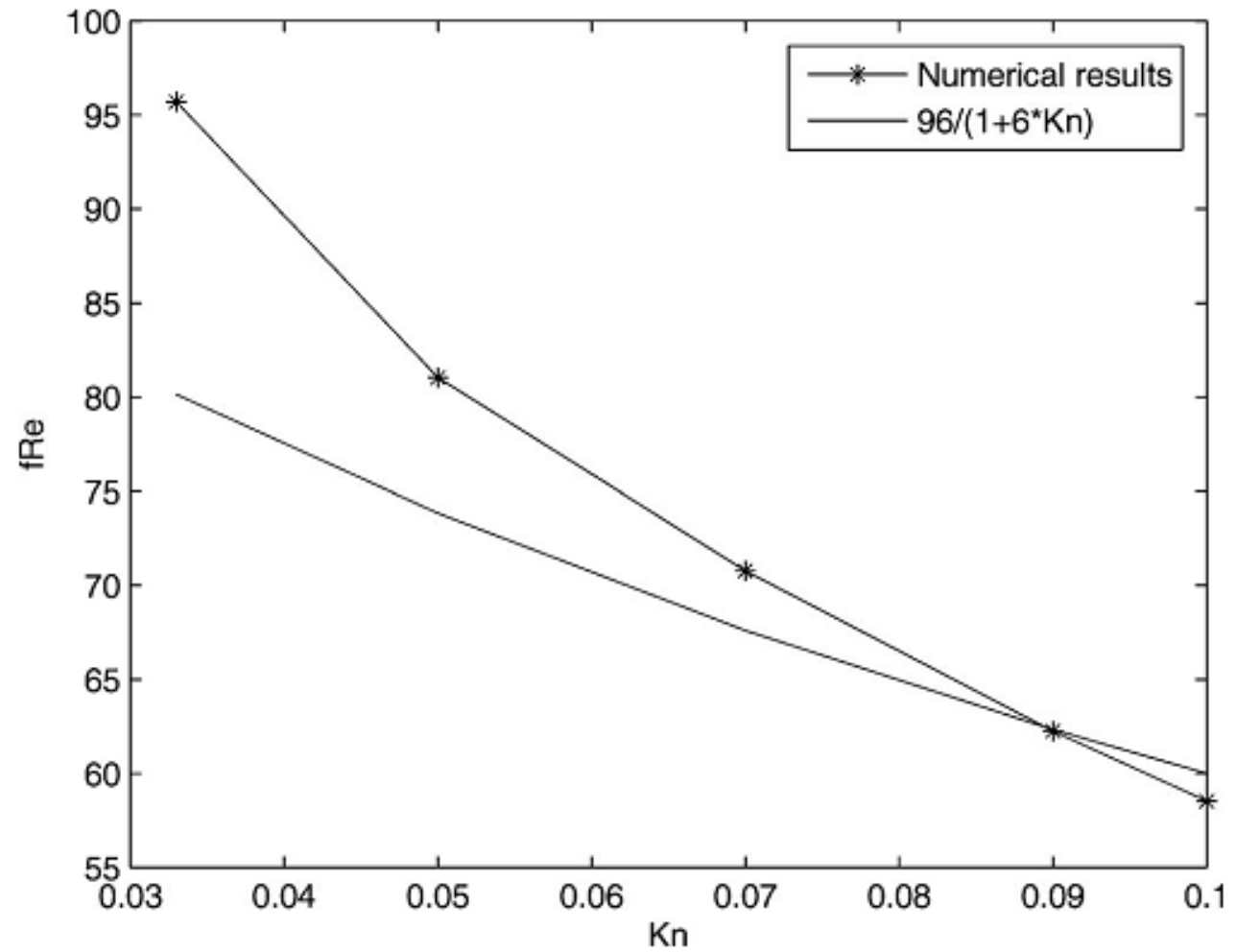
Drag coefficient vs roughness distribution



Drag coefficient vs Kn

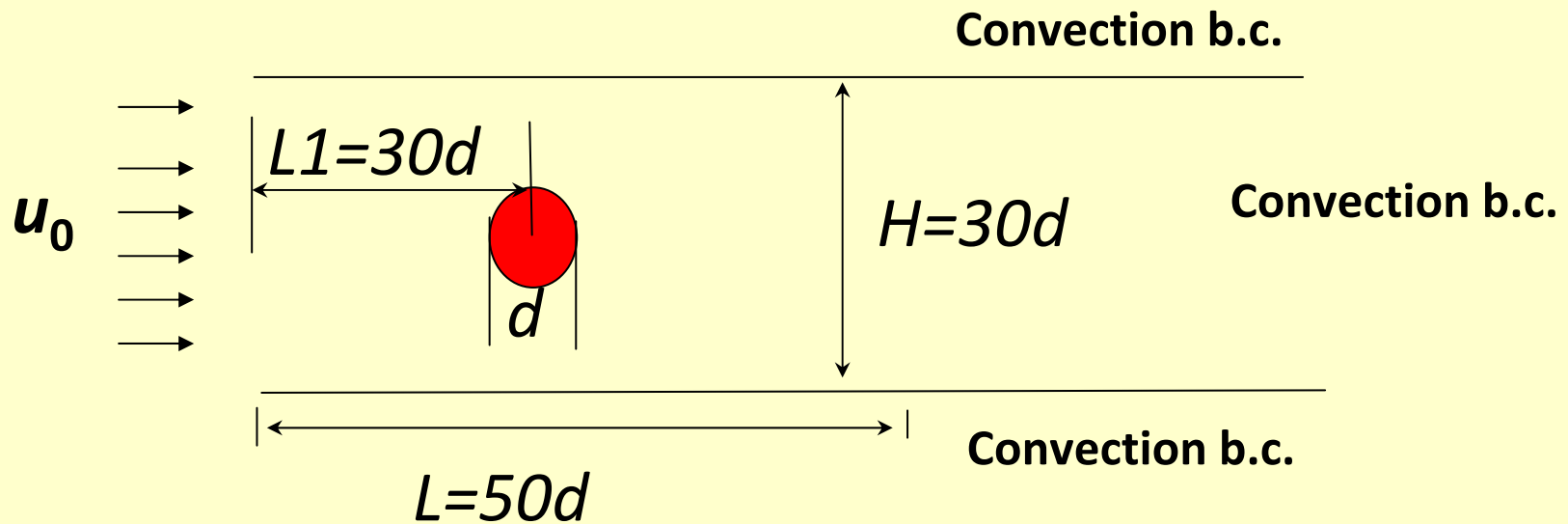
$$e = 2\%$$

$$\varepsilon = 0.08$$



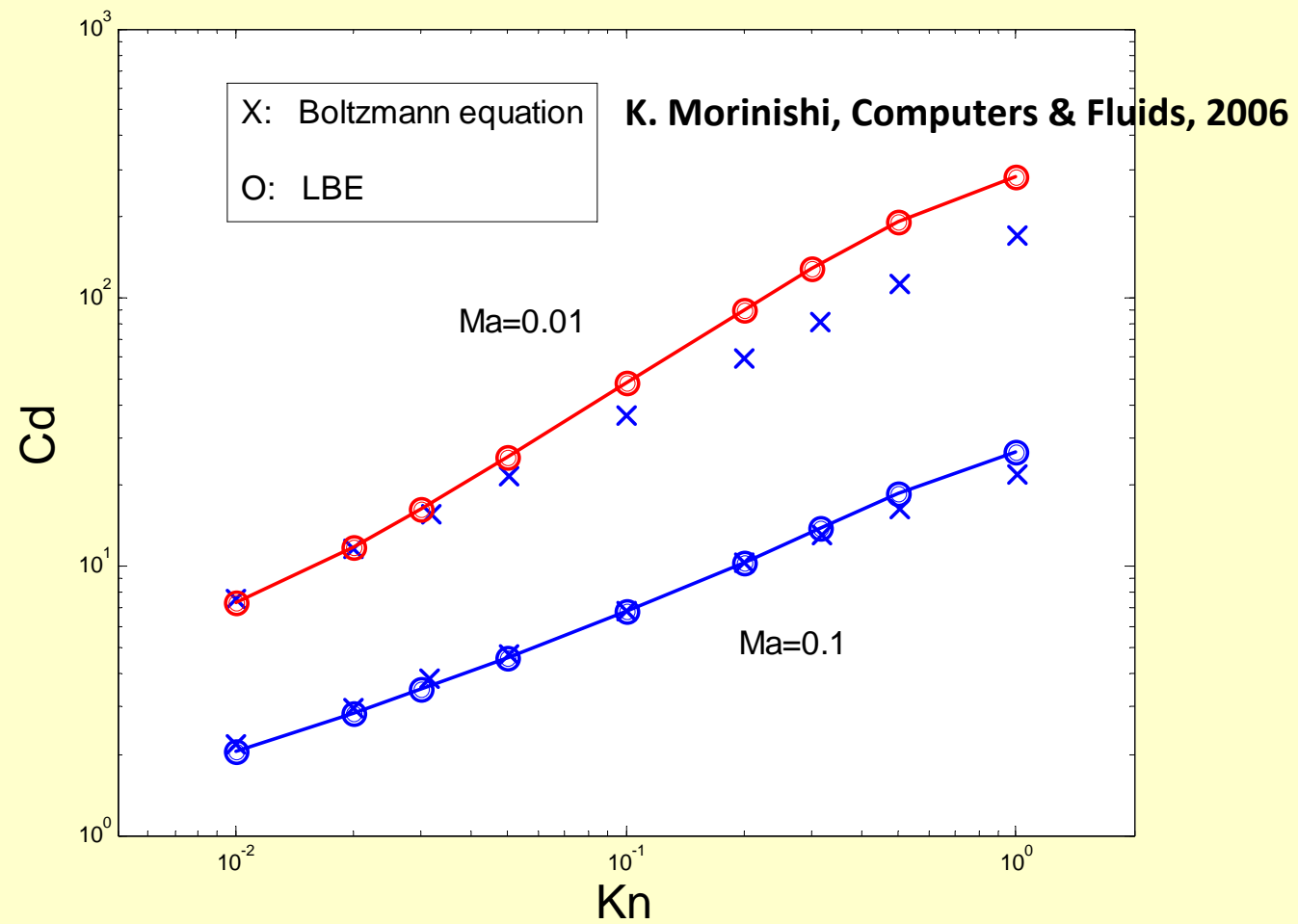
3.2 Flows with curved walls

Flow around a micro-cylinder

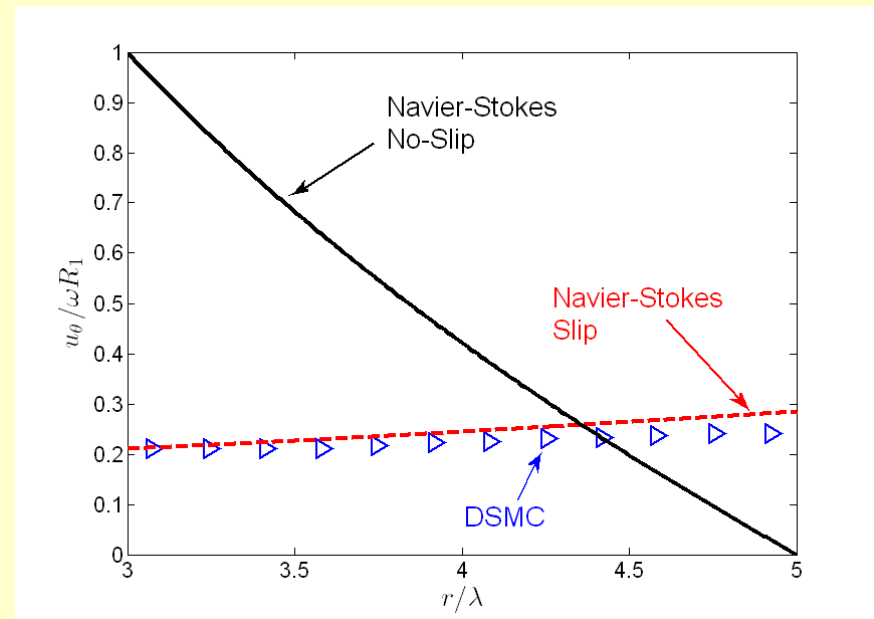
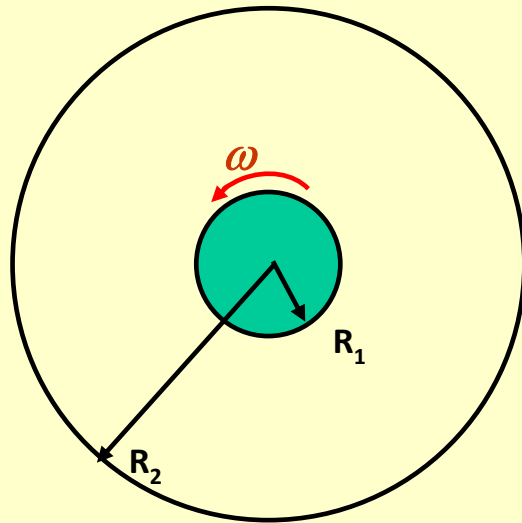


Computational mesh: 300 x 500

Drag coefficients vs Kn



- **Velocity inversion**



- Previous work

- Navier-Stokes (NS) equation with slip boundary conditions (BC)

- Slip BC with curvature effect (Einzel et al. PRL1990, Int. J. Mod. Phys B 1992)
 - Generalized Maxwell's slip BC (Lockerby et al, PRE 2004)
 - Langmuir BC (Myong et al. POF 2005)

- Direct Simulation Monte Carlo (DSMC)

(Tibbs et al. PRE 1997; Aoki et al. PRE 2003)

- Molecular Dynamics (MD)

(Jung. PRE 2007; Kim PRE 2009)

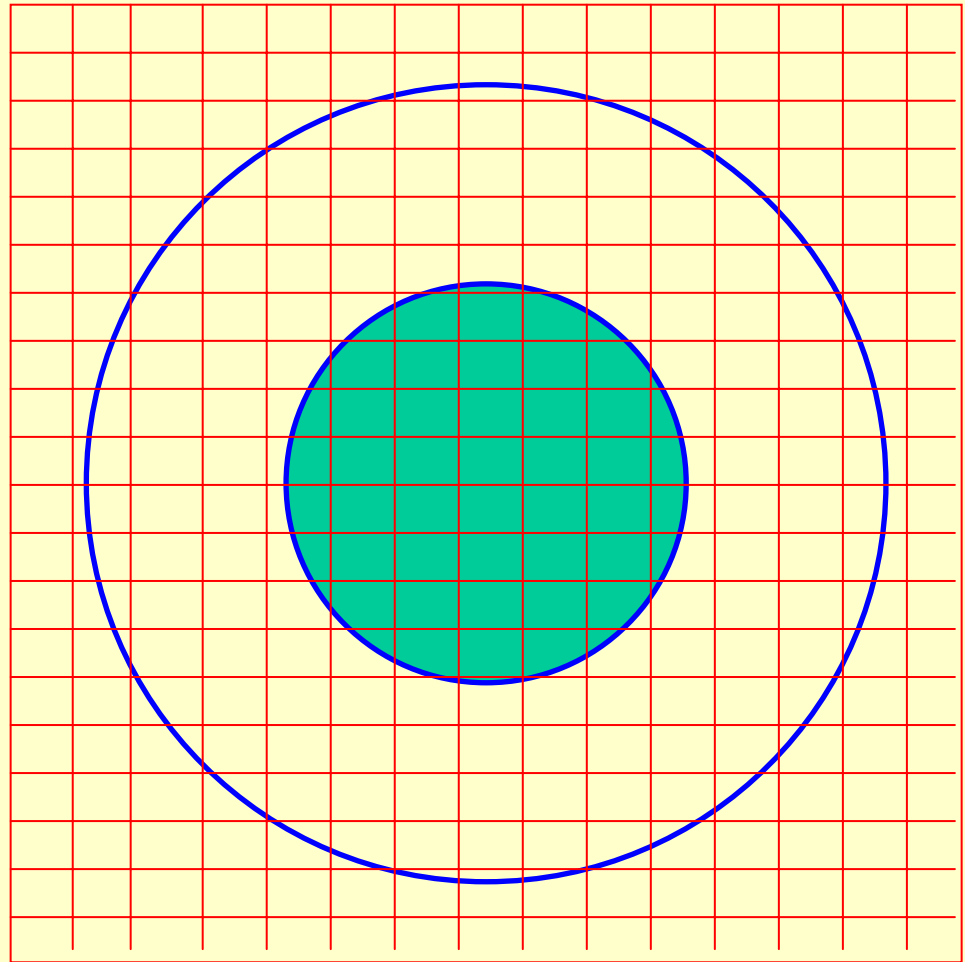
- Boltzmann equation with kinetic boundary condition

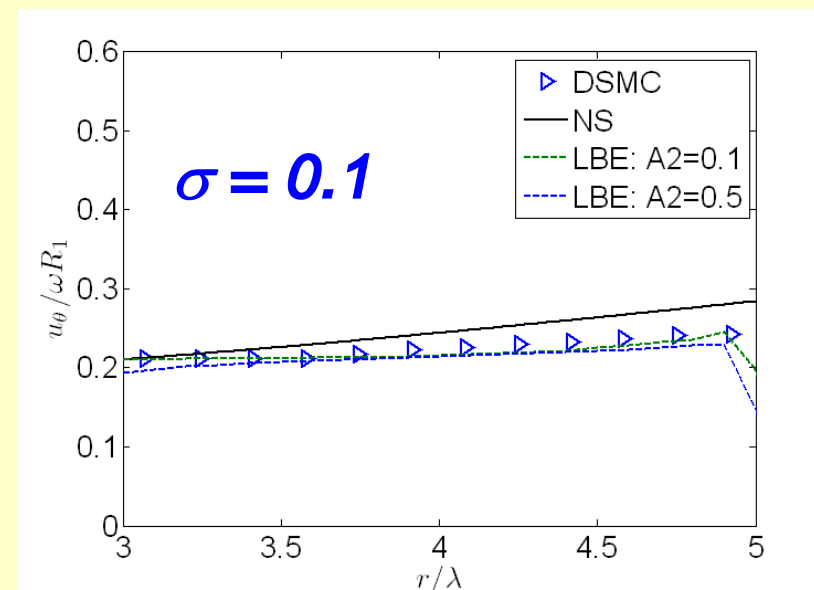
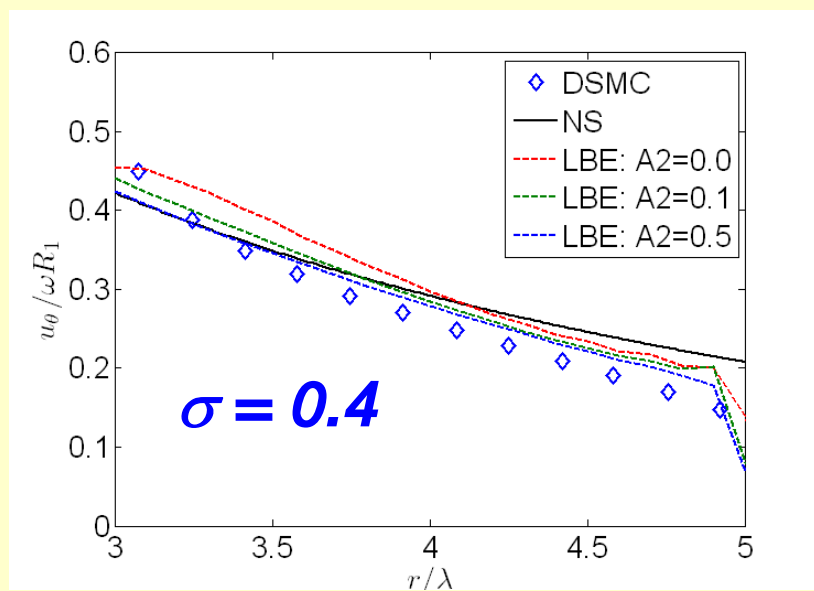
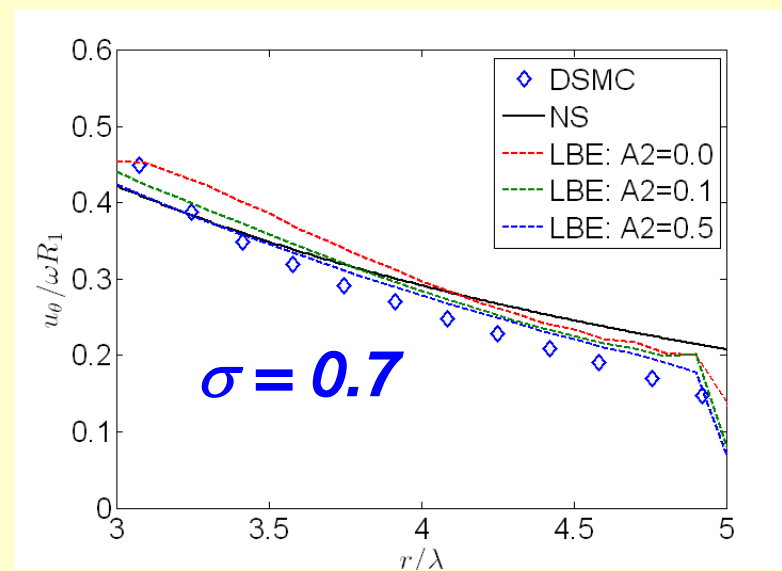
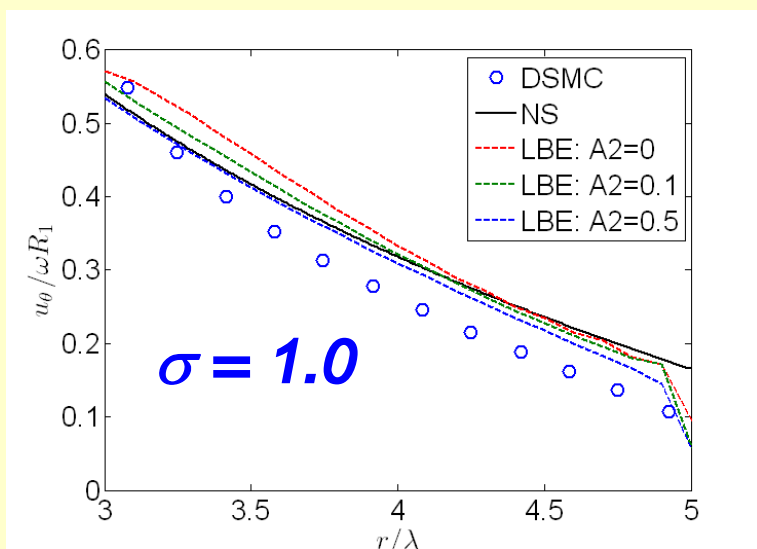
(Tibbs et al. PRE 1997; Aoki et al. PRE 2003)

- **Computation setup**

$$R_1 = 3\lambda \quad R_2 = 5\lambda$$

- **Domain: $11\lambda \times 11\lambda$**
Mesh: 110×110





3.3 Klinkenberg effect in compact porous media

Klinkenberg effect:

The effective permeability of a porous media depends on the average pressure

$$K_g = K_\infty \left(1 + \frac{b_k}{\bar{p}} \right)$$

b_k

Knudsen number:

$$Kn = \frac{\lambda}{D_{pore}} \quad D_{pore} = \frac{2\varepsilon}{3(1-\varepsilon)} D_p$$

effective pore size

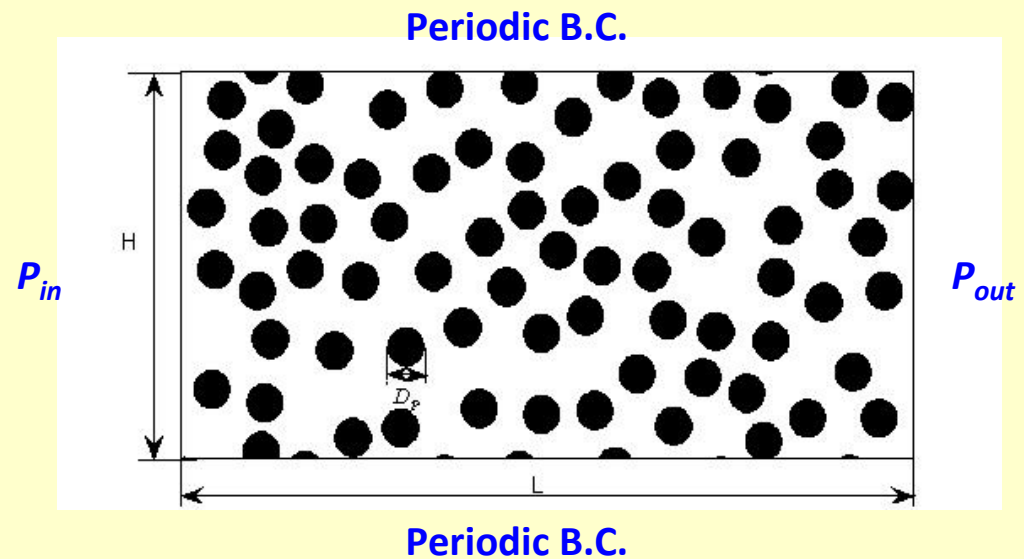
LBE: MRT-D2Q9

$$\tau_s - \frac{1}{2} = \sqrt{\frac{6}{\pi}} \frac{Kn}{\Delta}$$

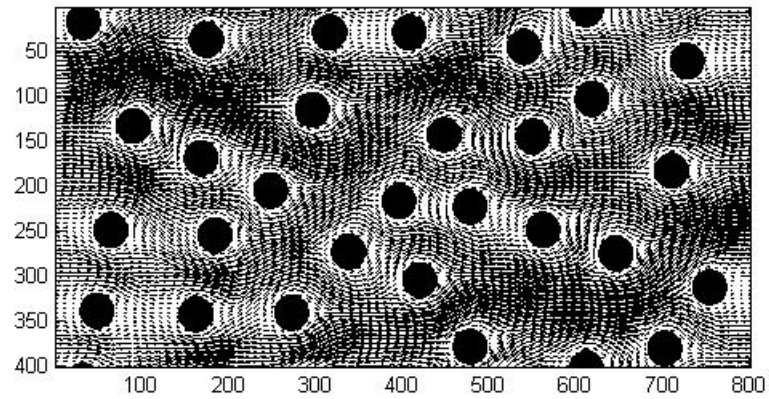
Boundary condition: Maxwell + Bounce-Back

$$f_i = r f_i^{(eq)}(\mathbf{u}_w) + (1-r) f_{-i}^*$$

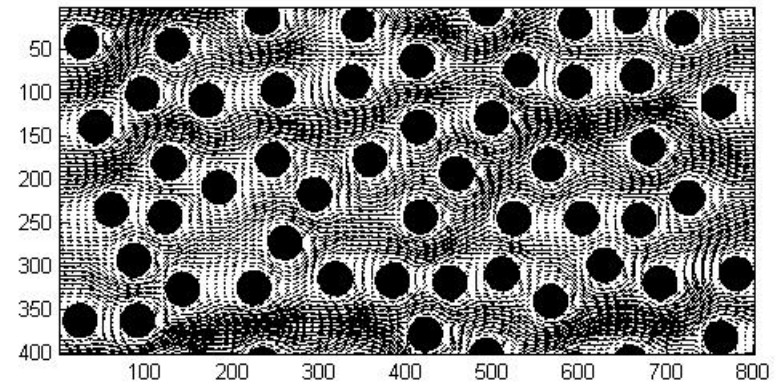
$$r = \frac{2A_1}{A_1 + \sqrt{6/\pi}}, \quad \tau_q = 0.5 + \frac{(\tau_s - 0.5)^2 + 3}{16(\tau_s - 0.5)}$$



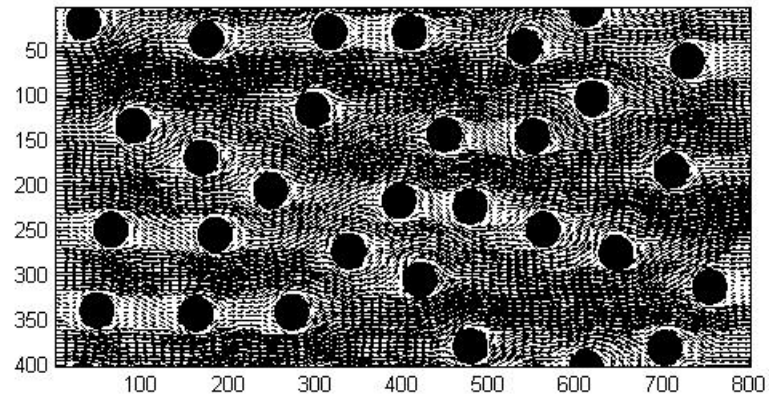
$Kn=0.001 (N=29)$



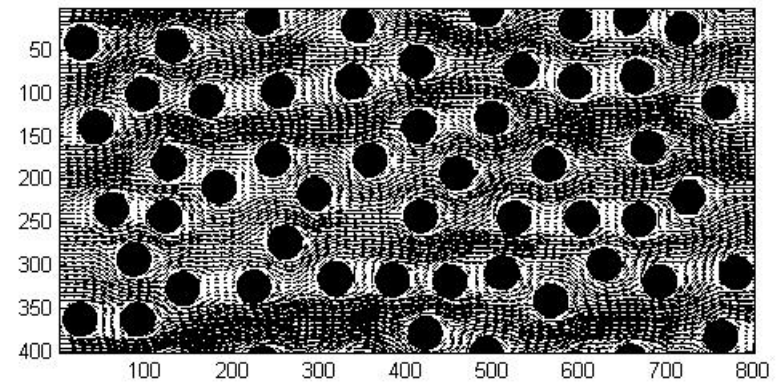
$Kn=0.001 (N=51)$



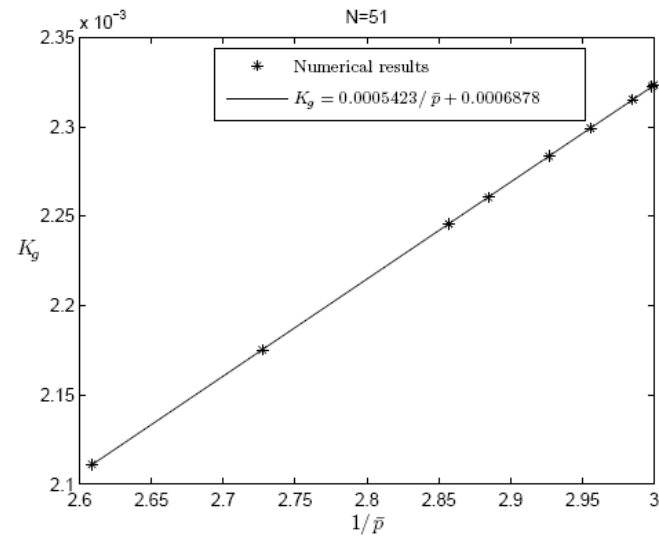
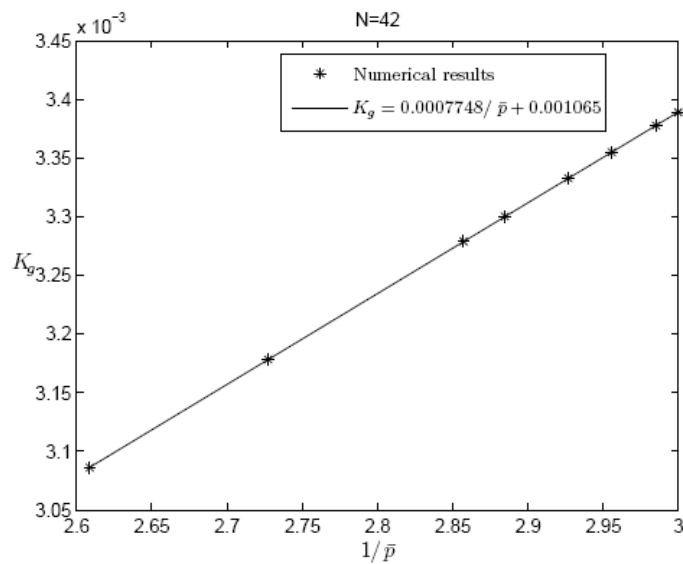
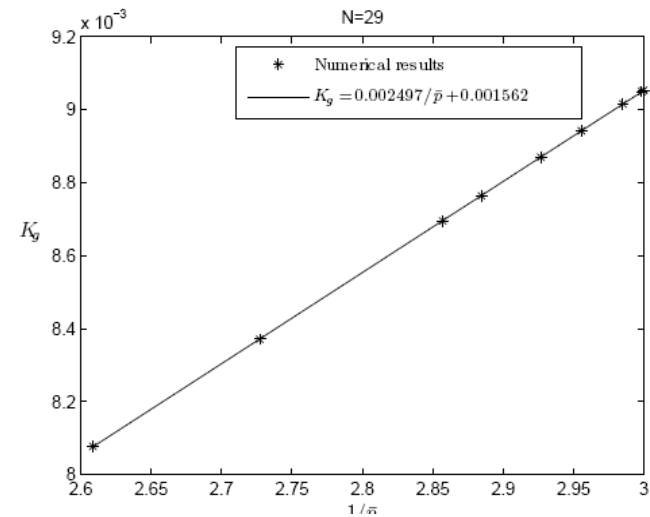
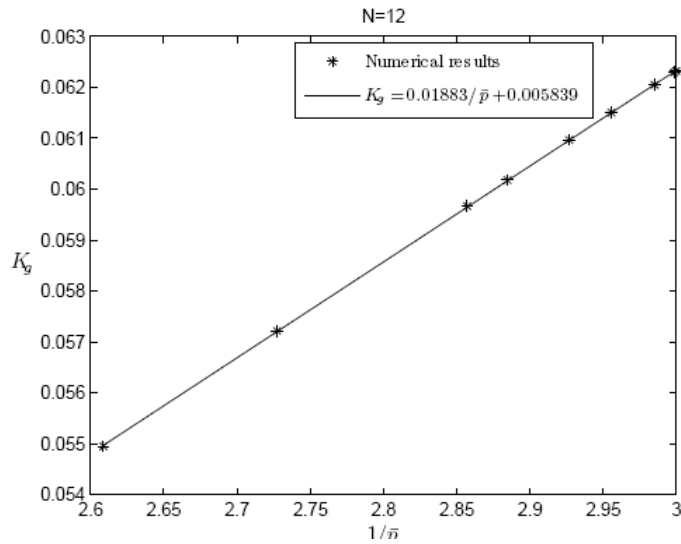
$Kn=0.1 (N=29)$



$Kn=0.1 (N=51)$



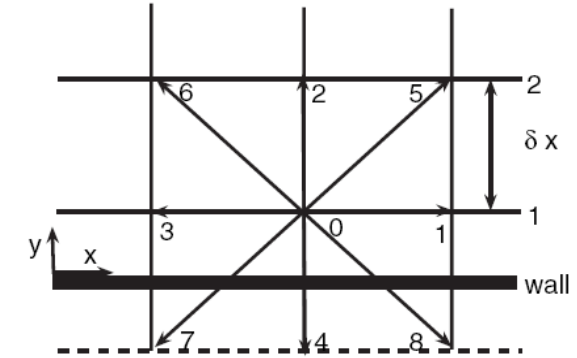
Kn=0.1



3.4 LBE for micro flow with heat transfer

$$f_i(\mathbf{x} + \mathbf{c}_i \delta t, t + \delta t) - f_i(\mathbf{x}, t) = -\frac{(f_i - f_i^{(eq)})}{\tau_f}$$

$$g_i(\mathbf{x} + \mathbf{c}_i \delta t, t + \delta t) - g_i(\mathbf{x}, t) = -\frac{(g_i - g_i^{(eq)})}{\tau_g},$$



Maxwell + Specular-Reflection

$$f_2 = \sigma f_2^{(eq)}(\mathbf{u}_w) + (1 - \sigma) f_4^+,$$

$$f_5 = \sigma f_5^{(eq)}(\mathbf{u}_w) + (1 - \sigma) f_8^+,$$

$$f_6 = \sigma f_6^{(eq)}(\mathbf{u}_w) + (1 - \sigma) f_7^+,$$

$$g_2 = \sigma' g_2^{(eq)}(\mathbf{u}_w, T_w) + (1 - \sigma') g_4^+,$$

$$g_5 = \sigma' g_5^{(eq)}(\mathbf{u}_w, T_w) + (1 - \sigma') g_8^+,$$

$$g_6 = \sigma' g_6^{(eq)}(\mathbf{u}_w, T_w) + (1 - \sigma') g_7^+,$$

Maxwell + Bounce-Back

$$f_2 = \sigma f_2^{(eq)}(\mathbf{u}_w) + (1 - \sigma)(f_4^+ + 2\rho\omega_2\mathbf{c}_2 \cdot \mathbf{u}_w/RT_0),$$

$$f_5 = \sigma f_5^{(eq)}(\mathbf{u}_w) + (1 - \sigma)(f_7^+ + 2\rho\omega_5\mathbf{c}_5 \cdot \mathbf{u}_w/RT_0),$$

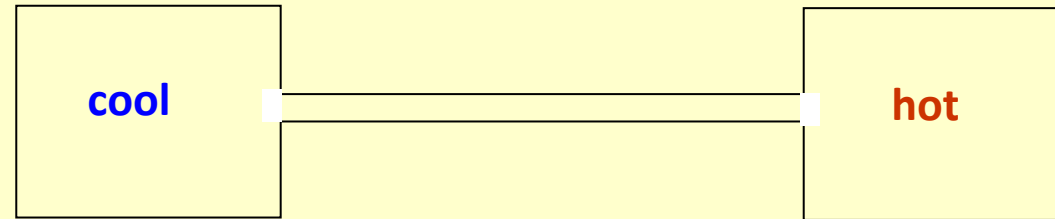
$$f_6 = \sigma f_6^{(eq)}(\mathbf{u}_w) + (1 - \sigma)(f_8^+ + 2\rho\omega_6\mathbf{c}_6 \cdot \mathbf{u}_w/RT_0),$$

$$g_2 = \sigma' g_2^{(eq)}(\mathbf{u}_w, T_w) + (1 - \sigma') g_4^+,$$

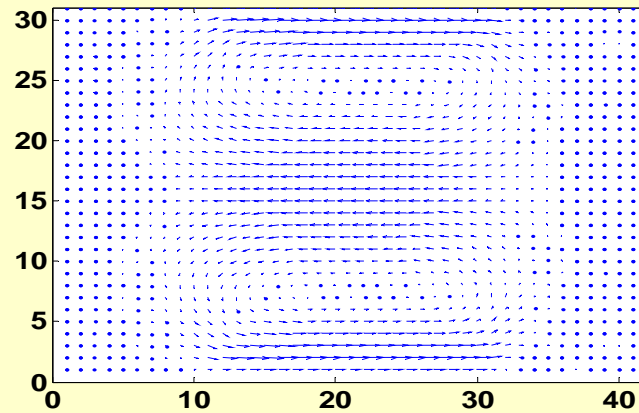
$$g_5 = \sigma' g_5^{(eq)}(\mathbf{u}_w, T_w) + (1 - \sigma') g_7^+,$$

$$g_6 = \sigma' g_6^{(eq)}(\mathbf{u}_w, T_w) + (1 - \sigma') g_8^+,$$

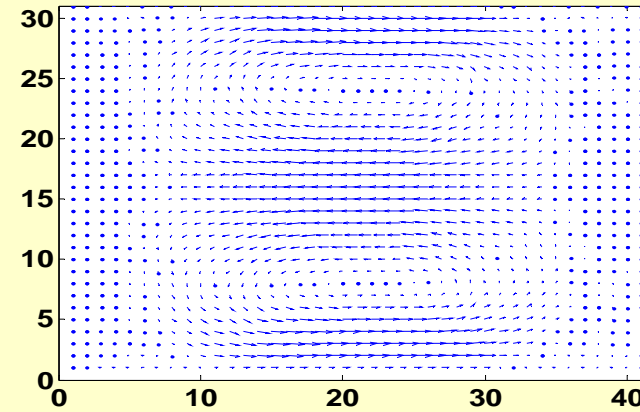
Thermal creep



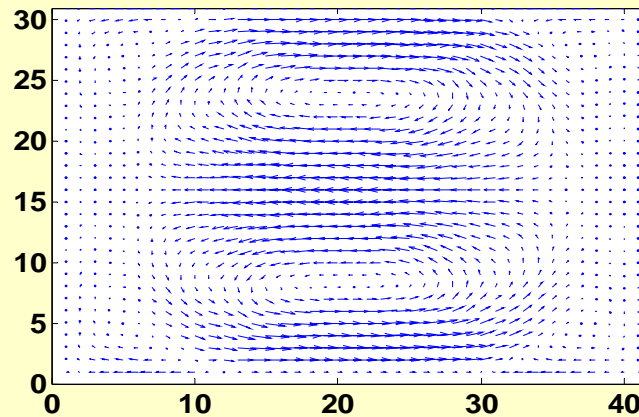
$Kn=0.01$



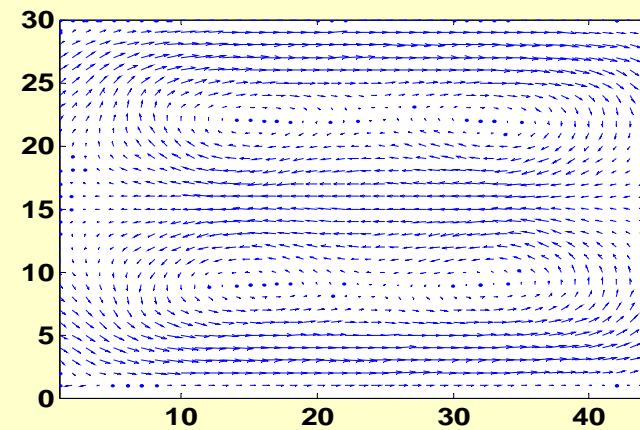
$Kn=0.05$



$Kn=0.1$



$Kn=0.3$



3.5 LBE for micro flow of binary mixture

Evolution equation

$$f_{\sigma i}(\mathbf{x} + \mathbf{c}_i \delta_t, t + \delta_t) - f_{\sigma i}(\mathbf{x}, t) = \Omega_{\sigma i}(f) = - \sum_j (M^{-1} S M)_{ij} [f_{\sigma j} - f_{\sigma j}^{(eq)}]$$

$$f_{\sigma i}^{(eq)} = \omega_i \rho_\sigma \left[\alpha_\sigma + \frac{\mathbf{c}_i \cdot \mathbf{u}}{c_s^2} + \frac{(\mathbf{c}_i \cdot \mathbf{u})^2}{2c_s^4} - \frac{\mathbf{u} \cdot \mathbf{u}}{2c_s^2} \right] \quad S = \text{diag}[0, \tau_e, \tau_\varepsilon, \tau_d, \tau_q, \tau_d, \tau_q, \tau_s, \tau_s]$$

$$\rho_\sigma = \sum_i f_{\sigma i}, \quad \rho = \rho_\sigma + \rho_\varsigma, \quad \rho \mathbf{u} = \sum_\sigma \sum_i \mathbf{c}_i f_{\sigma i}, \quad \rho_\sigma \mathbf{u}_\sigma = \frac{\tau_d - 0.5}{\tau_d} \sum_i \mathbf{c}_i f_{\sigma i} + \frac{\rho_\sigma \mathbf{u}}{2\tau_d},$$

Transport coefficients

$$\nu = c_s^2 \left(\tau_s - \frac{1}{2} \right) \delta_t, \quad \zeta_\sigma = c_s^2 (2 - s_\sigma) \left(\tau_s - \frac{1}{2} \right) \delta_t, \quad D_{\sigma\varsigma} = \frac{m_r \rho}{m_\sigma m_\varsigma n} c_s^2 \left(\tau_d - \frac{1}{2} \right) \delta_t,$$

$$m_r = \min(m_\sigma, m_\varsigma), \quad s_\sigma = m_r / m_\sigma$$

Boundary condition: Bounce-back + Specular-reflection

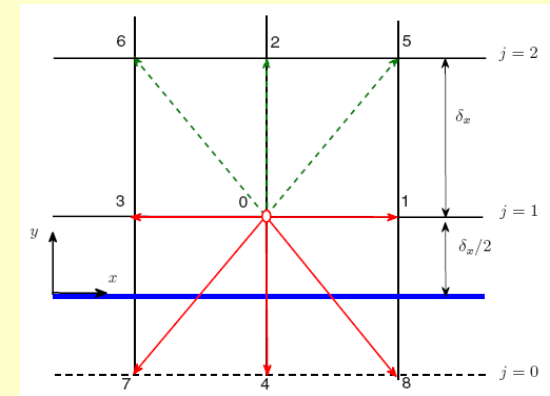
$$f_{\sigma 2}^1 = \tilde{f}_{\sigma 4}^1 + 2r_\sigma \rho_\sigma \mathbf{c}_2 \cdot \mathbf{u}_w / c_s^2,$$

$$f_{\sigma 5}^1 = r_\sigma \tilde{f}_{\sigma 7}^1 + (1 - r_\sigma) \tilde{f}_{\sigma 8}^1 + 2r_\sigma \rho_\sigma \mathbf{c}_5 \cdot \mathbf{u}_w / c_s^2,$$

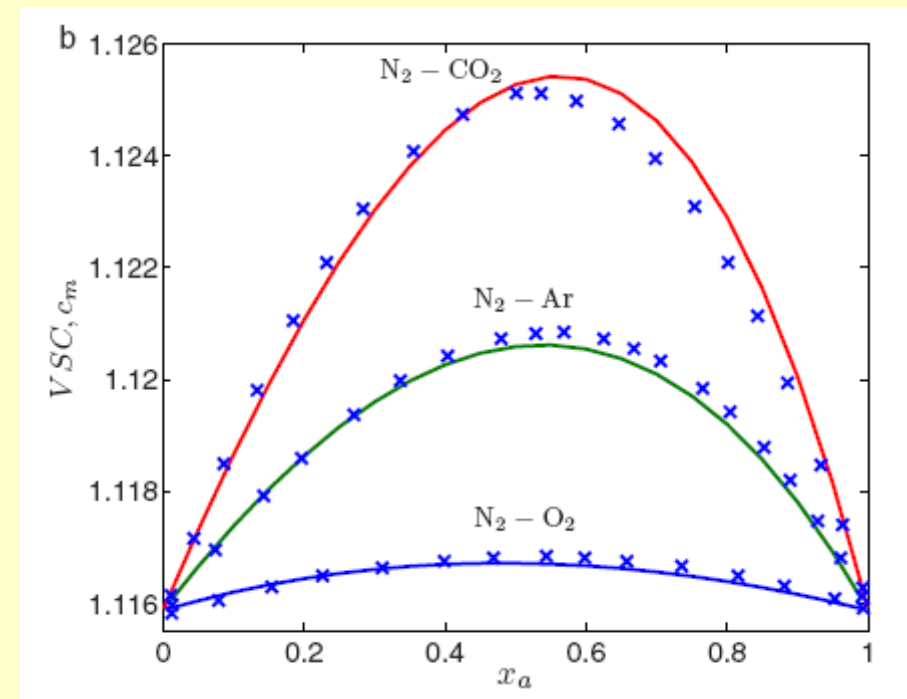
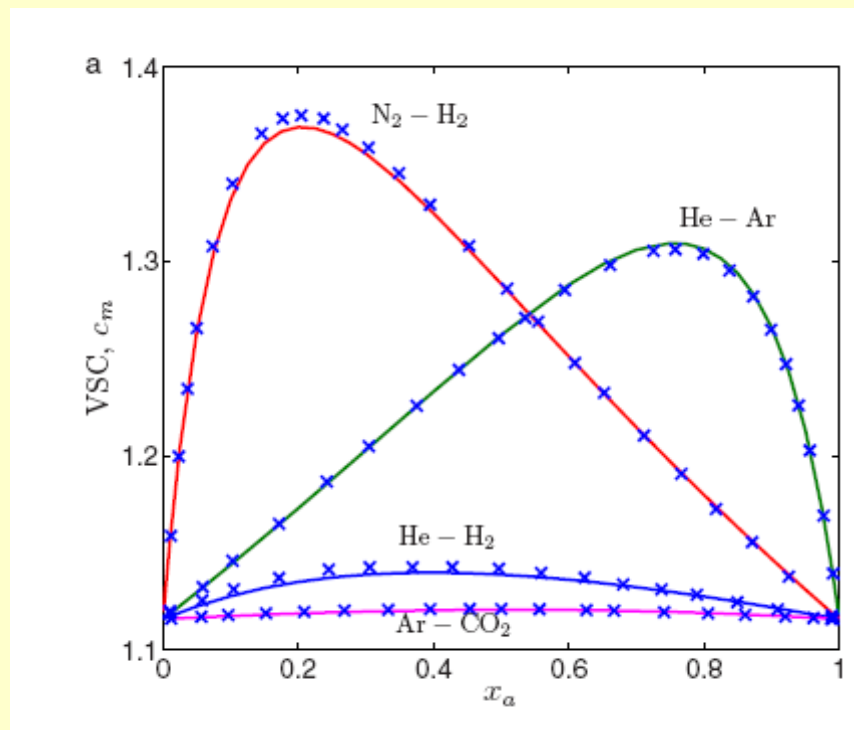
$$f_{\sigma 6}^1 = r_\sigma \tilde{f}_{\sigma 8}^1 + (1 - r_\sigma) \tilde{f}_{\sigma 7}^1 + 2r_\sigma \rho_\sigma \mathbf{c}_6 \cdot \mathbf{u}_w / c_s^2,$$

$$r_\sigma = r = \left[1 + c_m \sqrt{\frac{\pi m_x}{6 m_r}} \right]^{-1}$$

$$m_x = \rho / n$$



Velocity slip coefficient of binary gases: Fully diffusive wall



×: Linearized Boltzmann equation (I. N. Ivchenko et al. J. Vac. Sci. Technol. A 1997.)

—: LBE

4. Summary

- LBE provides a **potential way** for modeling and simulating micro flows;
- Care must be taken when applying LBE to microflows, particularly
 - The **relaxation time(s)** must be properly defined
 - **Discrete effects** in boundary conditions must be considered
- Capturing the flows in **Knudsen layer** efficiently with LBE is still an open problem.

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**Thank you for
your attention!**

- **Appendix: Analysis of the velocity profile of the LBE for the Poiseuille flow**

LBE

$$f(\mathbf{x} + \mathbf{c}_i \delta_t, t + \delta_t) = f^+(\mathbf{x}, t) = \mathbf{M}^{-1} \left[\hat{\mathbf{f}} - \mathbf{S}(\hat{\mathbf{f}} - \hat{\mathbf{f}}^{(eq)}) + \delta_t \left(\mathbf{I} - \frac{\mathbf{S}}{2} \right) \hat{\mathbf{F}} \right] = \mathbf{M}^{-1} \hat{\mathbf{f}}^+(\mathbf{x}, t)$$

$$\rho = \sum_i f_i \quad \rho u = \sum_i c_i f_i + \frac{\delta_t}{2} \mathbf{F}$$

$$\hat{\mathbf{f}} = \mathbf{M} \mathbf{f} = \begin{bmatrix} \rho \\ e \\ \varepsilon \\ j_x \\ q_x \\ j_y \\ q_y \\ p_{xx} \\ p_{xy} \end{bmatrix} = \begin{bmatrix} \rho \\ e \\ \varepsilon \\ \rho u - \delta_t \rho a / 2 \\ q_x \\ 0 \\ q_y \\ p_{xx} \\ p_{xy} \end{bmatrix}$$

$$\hat{\mathbf{f}}^{(eq)} = \mathbf{M} \mathbf{f}^{(eq)} = \begin{bmatrix} \rho \\ -2\rho + 3\rho u^2 \\ \rho - 3\rho u^2 \\ \rho u \\ -\rho u \\ 0 \\ 0 \\ \rho u^2 \\ 0 \end{bmatrix}$$

$$\hat{\mathbf{F}} = \begin{bmatrix} 0 \\ 6\rho a u \\ -6\rho a u \\ \rho a \\ -\rho a \\ 0 \\ 0 \\ 2\rho a u \\ 0 \end{bmatrix}$$

$$\begin{bmatrix} f_{13}^+ \\ f_{56}^+ \\ f_{87}^+ \end{bmatrix} = \begin{bmatrix} f_1^+ - f_3^+ \\ f_5^+ - f_6^+ \\ f_8^+ - f_7^+ \end{bmatrix} = \begin{bmatrix} -q_x / 3 + \rho u / 3 + \rho a \delta_t / 2 + s_q (q_x / 3 + \rho u / 3 - \rho a \delta_t / 6) \\ q_x / 6 + \rho u / 3 + s_q (-q_x / 6 - \rho u / 6 + \rho a \delta_t / 12) + (1 - s_v / 2) p_{xy} \\ q_x / 6 + \rho u / 3 + s_q (-q_x / 6 - \rho u / 6 + \rho a \delta_t / 12) - (1 - s_v / 2) p_{xy} \end{bmatrix}$$

$$\begin{bmatrix} f_{13} \\ f_{56} \\ f_{87} \end{bmatrix} = \begin{bmatrix} f_1 - f_3 \\ f_5 - f_6 \\ f_8 - f_7 \end{bmatrix} = \begin{bmatrix} -q_x / 3 + \rho u / 3 - \rho a \delta_t / 6 \\ q_x / 6 + \rho u / 3 - \rho a \delta_t / 6 + p_{xy} / 2 \\ q_x / 6 + \rho u / 3 - \rho a \delta_t / 6 - p_{xy} / 2 \end{bmatrix}$$

$$f_{13}^+ = f_{13} \Rightarrow q_x = -\rho u + \frac{1}{2} (1 - 4\tau_q) \rho a \delta_t$$

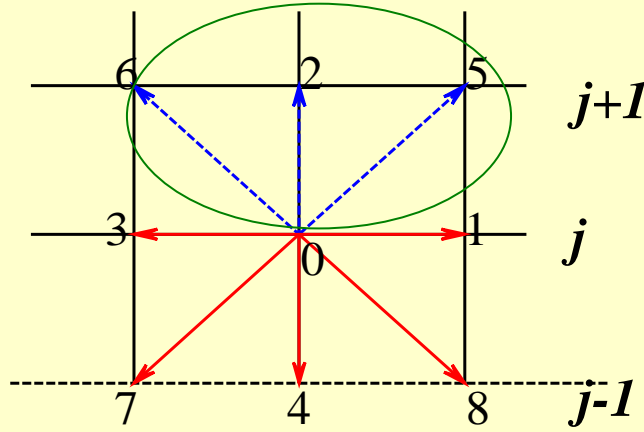
$$f_{56}^+(j) = f_{56}(j+1)$$

$$\begin{bmatrix} f_{56}^+ \\ f_{87}^+ \\ f_{56} \\ f_{87} \end{bmatrix} = \begin{bmatrix} \frac{1}{6} \rho u + \frac{1}{2} (1 - \tau_v) p_{xy} + (\frac{1}{12} - \frac{1}{3} \tau_q) \rho a \delta_t \\ \frac{1}{6} \rho u - \frac{1}{2} (1 - \tau_v) p_{xy} + (\frac{1}{12} - \frac{1}{3} \tau_q) \rho a \delta_t \\ \frac{1}{6} \rho u + \frac{1}{2} p_{xy} - (\frac{1}{12} + \frac{1}{3} \tau_q) \rho a \delta_t \\ \frac{1}{6} \rho u - \frac{1}{2} p_{xy} - (\frac{1}{12} + \frac{1}{3} \tau_q) \rho a \delta_t \end{bmatrix}$$

$$f_{87}(j) = f_{87}^+(j+1)$$

$$f_{87}^+(j) = f_{87}(j-1)$$

$$f_{56}(j) = f_{56}^+(j-1)$$

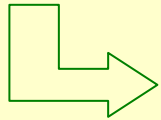


$$f_{56}^+(j) = f_{56}(j+1)$$

$$f_{87}(j) = f_{87}^+(j+1)$$

$$\begin{aligned} & \Downarrow \\ & \frac{1}{2} \left[(1 - \tau_v) p_{xy}^j - p_{xy}^{j+1} \right] + \frac{1}{6} \rho a \delta_t \\ & = \frac{1}{6} \rho (u_{j+1} - u_j) \end{aligned}$$

$$\begin{aligned} & \Downarrow \\ & \frac{1}{2} \left[-p_{xy}^j + (1 - \tau_v) p_{xy}^{j+1} \right] - \frac{1}{6} \rho a \delta_t \\ & = \frac{1}{6} \rho (u_{j+1} - u_j) \end{aligned}$$



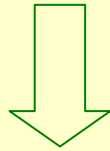
$$p_{xy}^j = -\frac{1}{3\tau_v} \rho (u_{j+1} - u_j) - \frac{1}{3(2-\tau_v)} \rho a \delta_t$$

$$p_{xy}^{j+1} = -\frac{1}{3\tau_v} \rho (u_{j+1} - u_j) + \frac{1}{3(2-\tau_v)} \rho a \delta_t$$

$$p_{xy}^j = -\frac{1}{3\tau_v} \rho (u_j - u_{j-1}) + \frac{1}{3(2-\tau_v)} \rho a \delta_t$$

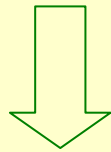
$$p_{xy}^j = -\frac{1}{3\tau_v} \rho(u_{j+1} - u_j) - \frac{1}{3(2-\tau_v)} \rho a \delta_t$$

$$p_{xy}^j = -\frac{1}{3\tau_v} \rho(u_j - u_{j-1}) + \frac{1}{3(2-\tau_v)} \rho a \delta_t$$



$$\nu \frac{u_j - 2u_j + u_{j-1}}{\delta_x^2} + a = 0$$

$$\nu = \frac{1}{3} \left(\tau_v - \frac{1}{2} \right) \delta_x$$



$$u_j = U_0 \frac{y_j}{H} \left(1 - \frac{y_j}{H} \right) + u_s$$

$$\left(\nu \frac{\partial^2 u}{\partial y^2} + a = 0 \right)$$

$$U_0 = aH^2 / 2\nu$$