

# Segmatation by color algorithm:

## **\*\*General idea\*\*:**

Since the characters in our CAPTCHA image are mostly in different colors, we can classify pixels based on their colors. Then, we copy pixels of the same color to a new image with a white background.

## **Version 1: based on the length of filename (known of how many characters)**

### **1. Color Extraction (`extract_colors`):**

- Scans the image pixel-by-pixel, ignoring a specified background color (white).
- Counts occurrences of each unique non-background color.
- Sorts colors based on frequency (most frequent first).

### **2. Color Sorting by Horizontal Position (`sort_colors_by_position`):**

- For each color, calculates the average horizontal position (x-coordinate) of pixels matching that color.
- Sorts colors from left to right based on their average horizontal positions.

### **3. Processing Each Target File:**

- Reads image data and determines the intended number of distinct colors based on the file's base name length.
- Extracts and sorts colors by frequency and position.
- Maps each extracted color to characters in the image's base name for naming output files.

### **4. Creating and Saving Individual Color Images:**

- For each identified color:
  - Generates a new image with a white background.
  - Transfers pixels of the current color to this new image.
  - Saves the generated image separately with a filename derived from the base name, associated character, and occurrence count.



### **Version 2: based on the frequency of the pixels with the same color (unknown of how many characters)**

Color Selection Criteria:

- First algorithm - version 1:
  - The number of colors extracted is based solely on the length of the image's filename.
  - It extracts the most frequent colors up to that predetermined number, without considering sudden drops in color frequency.
- Second algorithm – version 2:
  - Dynamically selects colors based on their frequencies, specifically looking for **a significant frequency drop** (a sudden decrease by more than half).

```
[(np.uint8(58), np.uint8(249), np.uint8(158)), (np.uint8(228), np.uint8(174),
[489, 401, 399, 374, 348, 282, 151, 150, 55, 48, 47, 43, 42, 30, 29, 28, 22,
Saved ./try/0024mijh-0-0-1.png
Saved ./try/0024mijh-0-0-2.png
Saved ./try/0024mijh-0-2-1.png
Saved ./try/0024mijh-0-4-1.png
Saved ./try/0024mijh-0-m-1.png
Saved ./try/0024mijh-0-i-1.png
Saved ./try/0024mijh-0-i-2.png
Saved ./try/0024mijh-0-h-1.png
```

- Always selects at least 4 colors, but no more than 8 colors.
- If no sharp drop is found, it defaults to extracting the top 8 colors.

Accuracy:

- Train:
  - More than real length: 937
  - Less than real length: 328

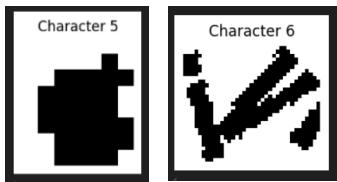
- **accuracy =  $1 - (937+328)/7832 = 83.85\%$**
- Test:
  - More than real length: 245
  - Less than real length: 75
  - **accuracy =  $1 - (245+75)/1967 = 83.73\%$**

### **Summary Table of Differences:**

Aspect	First Algorithm	Second Algorithm
Color Selection Method	Filename length	Frequency drop (>50%) with min/max bounds
Number of Colors	Fixed (filename-dependent)	Dynamic (4 to 8, frequency-dependent)
Robustness	Less robust, dependent on naming	More robust, adaptive to image data

### **\*\*Advantages: Compare with CFS and Drop-Fall Algorithm\*\*:**

1. Characters like "i" and "j" will not be mistakenly split into two images just because their pixels are not connected.



2. When characters overlap, segmentation issues won't cause parts of one character to end up in another character's segment.



3. Even though some parts of a character might be lost due to overlapping with others, the overall shape remains recognizable.



### **\*\*Limitations\*\***

1. Designed for specific dataset (project) only.

# Data preprocessing

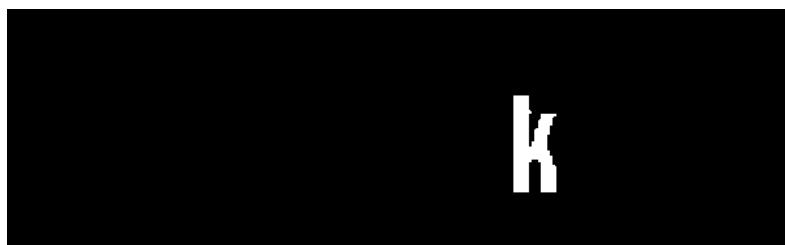
Step 0: Original image

A single blue letter 'k' on a white background.

Step 1: Convert to grayscale

A single black letter 'k' on a white background, representing the grayscale conversion of the original image.

Step 2: Binarization



Step 3: Find contours

A single black letter 'k' on a white background, with its outline highlighted in red, representing the detected contour.

Step 4: Get bounding box and crop

A single black letter 'k' on a white background, enclosed within a red rectangular bounding box, representing the cropped and bounded version of the character.A single black letter 'k' on a white background, representing the final cropped output.

Step 5: Pad image to a square shape



Step 6: Resize to standard dimensions (32x32)



Step 7: Normalize pixel values

```
normalized = resized / 255.0
```



example\_0\_original



example\_1\_gray



example\_2\_binary



example\_3\_contours



example\_4\_bbox



example\_5\_cropped



example\_6\_padded



example\_7\_resized

# Model

## 1.SVM

```
# === 2. Train SVM model ===  
label_encoder = LabelEncoder()  
y_train_encoded = label_encoder.fit_transform(y_train)  
  
clf = SVC(  
    kernel='rbf',  
    C=1.0,  
    gamma='scale',  
    probability=True,  
    random_state=42  
)  
clf.fit(x_train, y_train_encoded)
```

SVC  
SVC(probability=True, random\_state=42)

Training dataset:

precision recall f1-score support

0	0.72	0.71	0.72	1255
1	0.60	0.72	0.65	1271
2	0.92	0.89	0.90	1184
3	0.92	0.90	0.91	1275
4	0.88	0.89	0.88	1246
5	0.92	0.87	0.89	1229
6	0.92	0.89	0.90	1243
7	0.87	0.89	0.88	1208
8	0.91	0.86	0.89	1215
9	0.92	0.86	0.89	1250
a	0.90	0.84	0.87	1231
b	0.92	0.86	0.89	1267

c	0.87	0.90	0.89	1234
d	0.92	0.86	0.89	1292
e	0.93	0.86	0.89	1275
f	0.89	0.87	0.88	1275
g	0.91	0.85	0.88	1283
h	0.80	0.89	0.84	1288
i	0.56	0.81	0.66	1266
j	0.81	0.84	0.82	1203
k	0.85	0.87	0.86	1276
l	0.76	0.67	0.71	1246
m	0.93	0.91	0.92	1250
n	0.89	0.88	0.88	1289
o	0.72	0.68	0.70	1249
p	0.91	0.89	0.90	1283
q	0.85	0.84	0.84	1306
r	0.92	0.81	0.86	1261
s	0.85	0.84	0.85	1253
t	0.80	0.84	0.82	1266
u	0.86	0.90	0.88	1239
v	0.91	0.82	0.86	1255
w	0.95	0.91	0.93	1233
x	0.90	0.88	0.89	1293
y	0.71	0.89	0.79	1232
z	0.94	0.88	0.91	1241

accuracy 0.85 45162

macro avg 0.86 0.85 0.85 45162

weighted avg 0.86 0.85 0.85 45162

Test dataset:

Classification Report:

	precision	recall	f1-score	support
0	0.57	0.59	0.58	335
1	0.44	0.62	0.51	308
2	0.88	0.77	0.82	314
3	0.87	0.82	0.84	334
4	0.73	0.79	0.76	313
5	0.80	0.72	0.76	315
6	0.83	0.78	0.80	297
7	0.78	0.84	0.81	304
8	0.82	0.74	0.78	329
9	0.85	0.73	0.79	327
a	0.77	0.67	0.72	350
b	0.84	0.72	0.78	312
c	0.74	0.80	0.77	327
d	0.81	0.71	0.76	336
e	0.88	0.77	0.82	308
f	0.76	0.77	0.76	315
g	0.83	0.70	0.76	322
h	0.67	0.78	0.72	347
i	0.45	0.67	0.54	350
j	0.70	0.76	0.73	325
k	0.70	0.76	0.73	349
l	0.69	0.56	0.62	351
m	0.87	0.84	0.86	316
n	0.80	0.79	0.80	350

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l	0.69	0.56	0.62	351
m	0.87	0.84	0.86	316
n	0.80	0.79	0.80	350

o	0.58	0.54	0.56	344
p	0.84	0.80	0.82	315
q	0.71	0.73	0.72	326
r	0.78	0.65	0.71	297
s	0.75	0.72	0.73	339
t	0.71	0.78	0.74	354
u	0.79	0.80	0.80	322
v	0.81	0.75	0.78	344
w	0.90	0.86	0.88	370
x	0.81	0.78	0.80	313
y	0.58	0.77	0.66	341
z	0.86	0.80	0.83	309

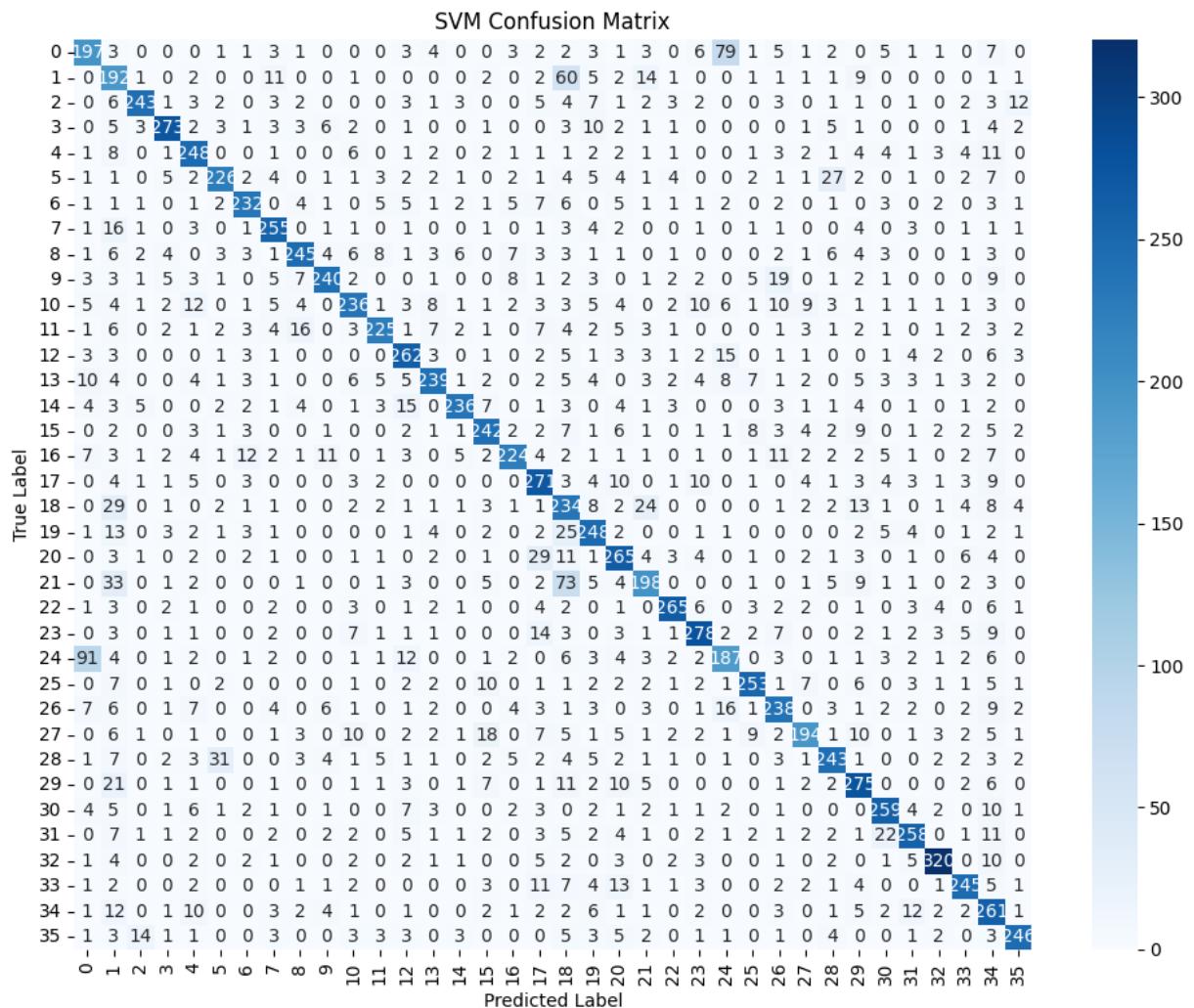
accuracy 0.74 11808

macro avg 0.76 0.74 0.75 11808

weighted avg 0.75 0.74 0.74 11808

Accuracy: 0.7412771002710027

Confusion Matrix:



**\*\* Captcha accuracy = 0**

## 2.CNN

Version 1: (base)

Model: "sequential"

Layer (type)	Output Shape	Param #
conv2d (Conv2D)	(None, 30, 30, 32)	320
max_pooling2d (MaxPooling2D)	(None, 15, 15, 32)	0
conv2d_1 (Conv2D)	(None, 13, 13, 64)	18,496
max_pooling2d_1 (MaxPooling2D)	(None, 6, 6, 64)	0
flatten (Flatten)	(None, 2304)	0
dense (Dense)	(None, 128)	295,040
dense_1 (Dense)	(None, 36)	4,644

Total params: 318,500 (1.21 MB)

Trainable params: 318,500 (1.21 MB)

Non-trainable params: 0 (0.00 B)

Version 2:

```
Model: "sequential_2"
```

Layer (type)	Output Shape	Param #
conv2d_5 (Conv2D)	(None, 30, 30, 32)	320
batch_normalization_3 (BatchNormalization)	(None, 30, 30, 32)	128
max_pooling2d_5 (MaxPooling2D)	(None, 15, 15, 32)	0
conv2d_6 (Conv2D)	(None, 13, 13, 64)	18,496
batch_normalization_4 (BatchNormalization)	(None, 13, 13, 64)	256
max_pooling2d_6 (MaxPooling2D)	(None, 6, 6, 64)	0
conv2d_7 (Conv2D)	(None, 4, 4, 128)	73,856
batch_normalization_5 (BatchNormalization)	(None, 4, 4, 128)	512
max_pooling2d_7 (MaxPooling2D)	(None, 2, 2, 128)	0
flatten_2 (Flatten)	(None, 512)	0
dense_4 (Dense)	(None, 256)	131,328
dropout_1 (Dropout)	(None, 256)	0
dense_5 (Dense)	(None, 36)	9,252

```
Total params: 234,148 (914.64 KB)
```

```
Trainable params: 233,700 (912.89 KB)
```

```
Non-trainable params: 448 (1.75 KB)
```

Using batch:

```
history = model.fit(  
    x_train,  
    y_train_encoded,  
    epochs=50,  
    batch_size=64,  
    validation_split=0.1,  
    callbacks=[early_stopping, timing]  
)
```

Data processing:

A method of `ImageDataGenerator` in Keras that calculates **certain normalization statistics** before data augmentation

```
datagen = ImageDataGenerator(  
    rotation_range=10,           # random rotate degree  
    width_shift_range=0.1,  
    height_shift_range=0.1,  
    zoom_range=0.1,             # random zoom range  
    horizontal_flip=False       # not using horizontal_flip in char  
    featurewise_center=True,  
    featurewise_std_normalization=True  
)  
  
datagen.fit(X_train)
```

Classification Report: (Test)

	precision	recall	f1-score	support
0	0.57	0.65	0.61	335
1	0.53	0.60	0.56	308
2	0.81	0.85	0.83	314
3	0.88	0.87	0.88	334
4	0.87	0.80	0.84	313
5	0.87	0.71	0.78	315
6	0.88	0.87	0.87	297
7	0.81	0.84	0.83	304
8	0.84	0.83	0.84	329
9	0.88	0.80	0.83	327
a	0.83	0.76	0.80	350
b	0.84	0.78	0.81	312
c	0.84	0.86	0.85	327
d	0.84	0.84	0.84	336
e	0.78	0.88	0.83	308
f	0.81	0.83	0.82	315

g	0.77	0.76	0.76	322
h	0.83	0.81	0.82	347
i	0.38	0.60	0.47	350
j	0.74	0.78	0.76	325
k	0.89	0.86	0.88	349
l	0.67	0.65	0.66	351
m	0.91	0.88	0.89	316
n	0.85	0.83	0.84	350
o	0.60	0.44	0.51	344
p	0.90	0.83	0.87	315
q	0.72	0.76	0.74	326
r	0.81	0.77	0.79	297
s	0.71	0.79	0.75	339
t	0.86	0.83	0.84	354
u	0.80	0.83	0.82	322
v	0.82	0.78	0.80	344
w	0.92	0.89	0.91	370
x	0.89	0.83	0.86	313
y	0.84	0.82	0.83	341
z	0.86	0.78	0.82	309

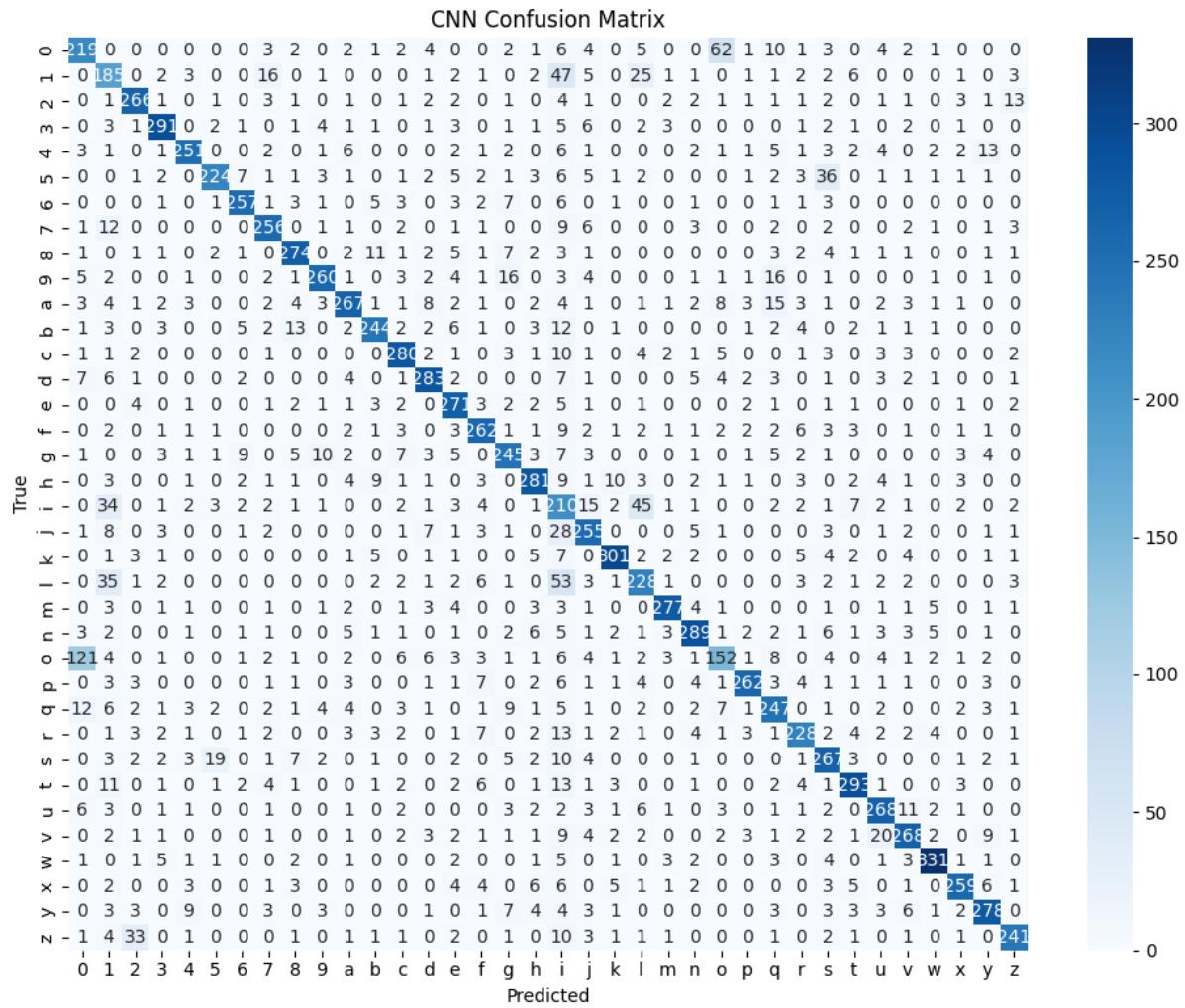
accuracy 0.79 11808

macro avg 0.80 0.79 0.79 11808

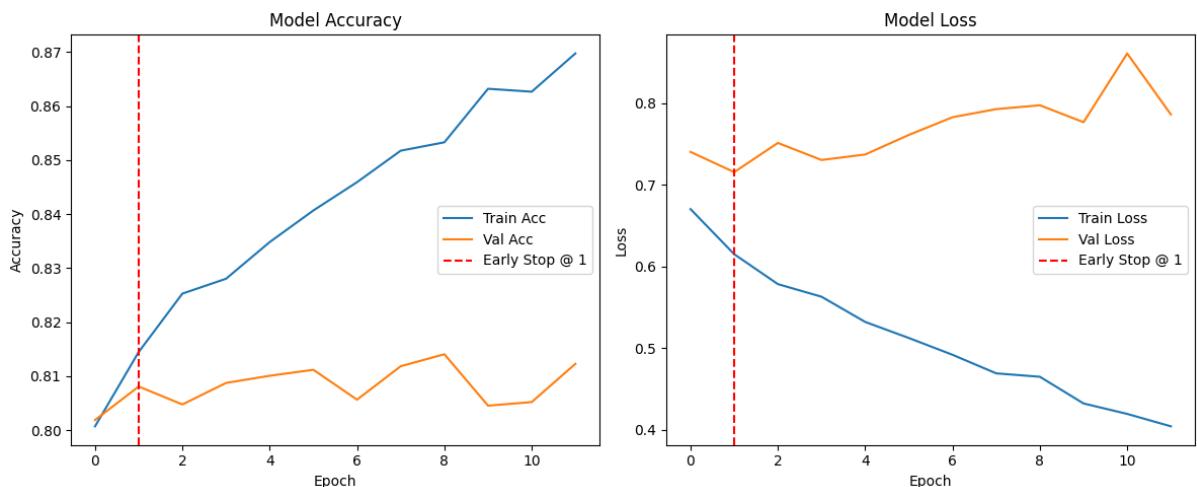
weighted avg 0.80 0.79 0.79 11808

Accuracy: 0.7850609756097561

Confusion Matrix:



Model accuracy / loss plot:



\*\* Captcha accuracy: 0.7851 (segmatation version 1: known length)

## Key Observations

- **CNN consistently outperforms SVM** in most metrics: accuracy, precision, recall, and F1. (likely due to CNN's ability to learn hierarchical features, which traditional SVMs cannot do as effectively)
- **Hard-to-classify characters** like i, o, 1, and 0 have **low performance across both models**, possibly due to **visual similarity**.
- CNN shows **significantly better performance** on complex, high-variation characters such as 4, 6, 9, e, and w.

## CNN Highlights:

- Performs **consistently well** across most classes, especially:
  - m: 0.91 precision, 0.88 recall
  - w: 0.92 precision, 0.89 recall
  - k, p, t: f1-scores  $\geq 0.84$
- Weakest classes:
  - i: 0.38 precision, 0.60 recall  $\rightarrow$  difficult to distinguish
  - o: 0.60 precision, 0.44 recall

## SVM Highlights:

- Also strong for m, w, k, and p – but slightly behind CNN on many of these.
- Shows a **notably better recall** for:
  - 1: 0.62 recall vs CNN's 0.60
  - 4: 0.79 recall vs CNN's 0.80
- Generally **lower precision**, meaning more false positives than CNN.

## Important Points

**Think!**

- So, just THINK! About
  - How to optimally tokenize the Captchas, in other words, how to separate characters in a Captcha image.
  - Shall we normalize the characters? i.e., resizing all of them to the same size or no, it's not necessary.
  - Does the dataset need any filtering and pruning?
- Segmentation by colors (version 1 and version 2)
- Yes, resizing them to 32x32, using grayscale and binarization to eliminate the noisy generated by colour
- Training dataset does filtering by removing some uncleaned and hard tell Captcha images  $\rightarrow$  accuracy of CNN model increase around 0.03

## **Folder / Files**

## Segmentation by Color:

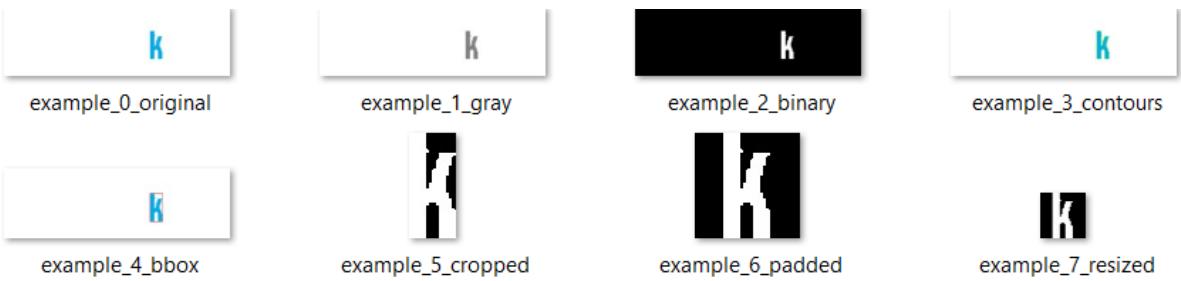
- Summary.pdf
  - Preprocess\_idea.doc
  - comparation\_algos\_segment.ipynb
    - comparation of all segmentation algos, eg. CFS, Drop-Fall, version 1, version 2
  - dataset
    - train\_data\_process.ipynb
    - test\_data\_process.ipynb
    - valid\_train --- Captcha images without errors
    - valid\_test
    - clean\_train --- Captcha images without black lines (training data)
    - clean\_test
    - segmat\_train\_filename ---Characters extracted by version 1 algo (training data)
    - segmat\_test\_filename ---Characters extracted by version 1 algo (test data)
    - segmat\_train\_frequency ---Characters extracted by version 1 algo (training data)
      - more --- Characters extracted more than the actual length
      - more\_list.txt
        - A list of Captchas that characters extracted more than actual length
      - less --- Characters extracted less than the actual length
      - less\_list.txt
        - A list of Captchas that characters extracted less than actual length
      - color\_extraction\_results.csv --- Contains all the information about test data
    - segmat\_test\_frequency ---Characters extracted by version 1 algo (test data)
      - more
      - more\_list.txt
      - less
      - less\_list.txt
      - color\_extraction\_results.csv

1wu0cul-0.png	1wu0cul	7	[(np.uint8(47), np.uint8(242, 190, 157, 143, 140, 9)	8 more
1x44n7nx-0.pr	1x44n7nx	8	[(np.uint8(72), np.uint8(24, 23, 21, 21, 20, 18, 16,	8 same
1x8ljpi-0.png	1x8ljpi	7	[(np.uint8(133), np.uint8(76, 63, 54, 48, 36, 30, 29,	7 same
1xut0w4-0.png	1xut0w4	7	[(np.uint8(37), np.uint8(89, 84, 63, 59, 47, 44, 42,	8 more
20ka8wmo-0.i	20ka8wmo	8	[(np.uint8(28), np.uint8(292, 273, 256, 238, 222, 212,	8 same
21iyxsn-0.png	21iyxsn	7	[(np.uint8(36), np.uint8(340, 337, 247, 246, 184, 84,	5 less
21z1y-0.png	21z1y	5	[(np.uint8(87), np.uint8(362, 288, 209, 132, 130, 120,	5 same

- train\_dataset --- Character images after regularization (ready for training)
    - X\_features.npy
      - Contains all the features information, can be read for training directly
    - y\_labels.npy

```
--- Contains all the y labels, can be read for training directly  
x_train = np.load('train_dataset/x_features.npy')  
y_train = np.load('train_dataset/y_labels.npy')
```

- test\_dataset --- Character images after regularization (ready for training)
  - X\_features.npy
  - y\_labels.npy
- data\_preprocess\_example
  - data\_preprocess\_Standardized.ipynb
  - preprocess\_steps --- Contains the results of each step



- models
  - SVM
    - SVM.ipynb
    - svm.pkl --- SVM model
    - svm\_predictions.csv
    - confusion\_matrix\_svm.png
  - CNN
    - CNN.ipynb
    - cnn\_model.h5
    - cnn\_predictions.csv
    - confusion\_matrix\_cnn.png
    - cnn\_training\_curves.png