Method Level Change Prediction Using Commit History Data

by

Joseph Heron

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Supervisor: Dr. Jeremy Bradbury

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Abstract

Software project development requires a large amount of changes to be made to a project. Any change to a project can introduce new faults which will cost more time and money to the project owners. Therefore is it feasible to predict future code changes from commit history using either Support Vector Machines or Random Forest? Also as a follow up, what factors have the most impact on the performance of predicting using either Support Vector Machines or Random Forest with future code changes using commit history? We are proposing a technique that will predict whether elements within a project will change in the near future given the development history of the project. The development history is collected from source code management tools such as GitHub and stored local in a PostgreSQL. The predictions are developed using the machine learning approaches Support Vector Machine and Random Forest. To validate the results the open source software projects acra, storm, fresco, dagger, and deeplearning4j were selected and analyzed. The prediction results for the specific projects prove to be useful in certain cases to accurately predict future changes of the project.

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Listings

Abbreviations

ANN Artifical Neural Network.

API Application Programming Interface.

DVCS Distributed Version Control System.

IR Information Retrieval.

LD Levenshtein Distance.

MSR Mining Software Repositories.

NLD Normalized Levenshtein Distance.

OS Oversampling.

OSS Open Source Software.

RF Random Forest.

SQL Structured Query Language.

SVM Support Vector Machine.

SVN Apache Subversion.

SWR Sample Window Range.

VCM Version Control Management.

VCS Version Control System.

Chapter 1

Introduction

Software has become wide spread and integrated with mobile devices providing people with an easy to use device that is always close by. Developers are also able to create applications which can reach a wider audience through the use of application market places such as the Google Play Store, Apple App Store. In other cases such as web development system are expected to be working constantly. The applications must provide maximum availability with minimal number of issues as possible. Developing large scale applications is a difficult task that when executed incorrectly can lead to massive losses for all parties involved in the project.

The software development process can be time consuming and costly even if the project is successful. During the development of a project, a large number of changes will be applied to the original source code. These changes can introduce features, issues or fixes to the project. Further still, projects will often have multiple developers contributing. Managing the changes made to a project is often managed by a Version Control System (VCS). A VCS will store the change data and help facilitate the collaboration between different developers. Predicting where changes will occur within the project could help developers of a project keep track of sections of the

software project that need more attention. The data may also promote a reflection on the design of the section to improve the software project.

1.1 Objective & Methodology

The mining of open source projects is widely used to help research into various software topics relating to project development and quality assurance. Research can provide improvements to the development process of software projects. With an improved development process, more projects may succeed in accomplishing their outlined goal. The process of developing a project will of course take time to complete. The time for a project to be completed relies on numerous factors including project scope, man power, experience. Over the course of project development, changes will be made to project. Changes can be made to almost any part of the project including design, number of developers and type of developers. These changes will in most cases have a measurable impact on the project. In case of adding more developers, the intended result may be to increase project capabilities within a shorter span of time than previously. Even with an intended result, the actual result may differ and should be measured to determine the effectiveness of a given change.

The developers of the project should manage the growth of the project to ensure that the changes that are made result in an expected outcome. Keeping track of every change to a project can be difficult because of external changes which are beyond the control of the developers. However, for the majority of the changes within the project they can be kept track by using a VCS. With proper use of a VCS, the important changes made to the project will be stored. This can help keep previous releases of the software available or even help resolve a bug that was introduced in a recent change. Furthermore, developers have control over what is stored in the VCS allowing for

granularity based on developer preferences. With numerous developers, a VCS can also help improve how these developers interact and share the changes they made. Some commonly used VCS include Git [1], Apache Subversion (SVN)[2] and Mercurial[3].

The impact of changes can be measured and provides insights into how the project changes. However first the data must be collected, processed and stored. While changes may occur in various forms, a more accessible one would be the source code changes in the software project. These changes are very fine grain since they will account for almost all functionality changes with the project. The only functionality changes not accounted by source code would be external changes (e.g. library changes). Changes will map to functionality changes that provide fixes, new functionality, or removal of functionality. The source code changes will provide a large amount of noise since every change is included. This excessive granularity can make tracking the desired changes more difficult. Visualization of the data collected allows for a more accessible look at the data to provide potential insights.

As discussed earlier, there are two main types of projects software that are developed, either closed source or open source. Open Source Software (OSS) projects will generally provide access to the source code, the ability to change and finally redistribute the changes. OSS is widely used in developing projects of various sizes and scope. In these projects developers are able to contribute towards the a project that is often used by a wider audience. While smaller OSS projects may have a small number of developers, larger projects can contain developers from numerous locations around the world contributing at different times. The development of OSS is often the focus of research related to software development since the projects are open and freely available. The authors are able to publish and use the data as they wish since it

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[1] https://git-scm.com/
[2] https://subversion.apache.org/
[3] https://www.mercurial-scm.org/

is publicly available. There are also countless OSS projects to study and investigate.

The collection of data is done through data mining. Data mining is the act of collecting data from one or more sources to use for another goal. Often data mining will use a data source not traditionally used, since the goal of data mining is to extract and use information. The actual use of the data once collected can vary greatly from visualizing to modeling. Data can also be collected in several forms including continuous streams of data, sporadic data and one time collection. Depending on what type of data is being collected and the purpose of the collection the means of collection may also vary. Another concern related to data mining is that of Big Data. If a source provides a wealth of data, then extra measures should be taken to manage the size of the data set. Without diligent management, a data set can become unwieldy with massive overhead that are entirely avoidable.

The goal of the approach is to predict changes that will occur within the project using the commit history. In this case machine learning techniques are leveraged to create a model based on the data collected through mining GitHub to predict changes. Machine learning techniques are widely used to support the completion of difficult tasks that involve patterns. A machine learning algorithm is generally an algorithm that attempts to detect and mimic patterns within a data set. There are numerous different types machine learning algorithms including SVM, RF, Artifical Neural Network (ANN). Each technique provides advantages and disadvantages depending on the purpose and the data set in use. The primary focus will be on SVM and RF since they are used as part of the proposed work. In order to create the predictive model, a subset from the data is used for the training of the model. To test the model a second subset that is distinct from the first is necessary to allow measure the performance of the model.

SVM is an algorithm that attempts to classify data into two distinct categories.

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This algorithm is a supervised learning technique that requires a training data set to build the categorization model. The training set will consist of data samples from each classification as well as which classification the data sample belongs to. After creating the model for a SVM new data vectors can be provided to the model and be classified into one of the two categories. The model will be constructed by attempting to linearly separate the data into two distinct groups. If the data cannot be separated linearly, then the data is mapped to a higher dimension to allow for proper separation. During the separation of data points into two groups, the model may reclassify data points in an attempt to fix errors within the data set. This feature allows for some error to be present within the training set without causing further errors. In the case that points which are valid are detected as errors then the separation has generated errors and the features or data used may not be useful towards making a prediction.

RF is another supervised learning technique that requires a training data set to create an prediction model. The origin of random forests is the decision tree learning method. A single decision tree creates a tree structure where each internal node in the tree represents a decision where in the final destination is the outcome. RF extends decision trees to address the tendency for a decision tree to overfit the data. A RF uses numerous decision trees as well as a modified version of bootstrap aggregation to get more robust predictions.

The machine learning algorithm makes use of the training data to create a model for predicting the classification of a given value. An analysis of change data can help in the selection of useful information for creating the predictive model. The data is collected from the project's historical data that can provide a large data set to work with. Managing the data and selecting ideal samples for training must be considered to provide a strong predictive model.

We propose a tool that assists in managing the development of software projects
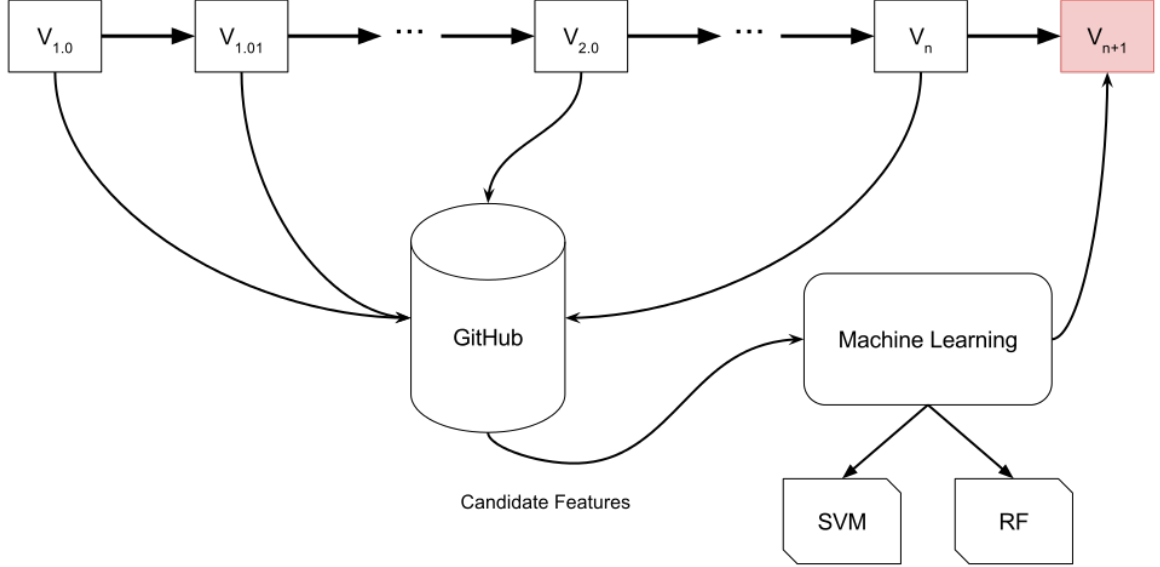
Figure 1.1: Approach Overview

by predicting changes that are likely to occur. This work explores leveraging change prediction of the source code using the commit history to assist in the development of OSS scale projects. The key factors; sampling size, feature set and data balancing are investigated using the tool to provide a deeper understanding the feasibility of the tool. Several OSS projects were selected to conduct experiments to determine the impact of each of the factors.

1.2   Contributions

Our contributions are in mining of OSS, visualization of a project's change history, machine learning change prediction, data collect which can be used and extended. Providing clear and accessible visualizations allows for the development data to be inspected more thoroughly. Likewise, strong predictions of change can assist in the development of more robust, efficient and less costly software projects. Finally, the collection of historical data for use of predicting future changes is presented as a

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possible option when predicting future changes within a project.

There are several different areas where this work can be applied and provide improvements. The main area which this research is applicable would be that of software development by providing support during the development process. The prediction of future changes within a project are made available to developers to support them when choosing tasks or making new changes to the project. With the knowledge of where changes are likely to occur within the project developers may be more prepared in making such changes. This is especially true if this work was extended to provide more specific change information about future changes. Another potential use for this approach would be in resource allocation for project development. A larger project with numerous developers contributing will require each developer to work on various task an attempt to limit conflicting contributions. With the ability to predict where future changes will likely occur developers can coordinate more effectively with other developers mange interactions and overlap. Finally, the approach could help with resource allocation for a VCS provider, such as GitHub. A VCS could use the data for the stored projects to efficiently allocate resources based change predictions for each project. If a project is likely of have near future changes then more resources are necessary for that project compared to one that is less likely to receive changes in the near future. With strong predictions a system could be more effectively managed and offer savings to the company.

1.3   Organization

The remainder of the this thesis is organized into 5 more chapters. Literature Review, Visualization of Commit Data, Prediction of Commit Data, Experiments and finally the Conclusion. In chapter 2 more details are given related to the foundation of

this work. Primarily this will cover the data that is collected for the analysis. The following chapter 3 discusses the change visualization of the data from how the data is collected and stored. Chapter 4 outlines the data and methods that are used to predict change within the project. Chapter 5 reports the experiments conducted and their results. Finally the conclusion summarizes the results and contributions and proposes future work to build of the thesis.

Chapter 2

Literature Review

2.1 Data Mining

Data collection from some original source provides access to a data set that may not be initially available. This data source could also be in a state that is not convenient or feasible for use without leveraging data mining techniques to transform the data to a more accessible state. The source of the data can vary greatly based on the interests for the individual(s) collecting the data. Data mining has mostly focused on single source mining and multiple data sources. Data mining in general has however also taken a large focus on data collection from software repositories which can be either single or multiple source [10, 19, 21, 24, 29, 44].

Zimmermann et al. collect change the version history of a software project to predict changes that should be made in relation to an initial set of changes. The recommendations their tool provides helps point the developer to make changes that are more common within the project. As well the tool can be used to detect which changes may be missed by a developer when making changes to a project. Maletic and Collard investigate source code changes during a software project's development

cycle. The changes are extracted and stored in an more easily usable form to be more easily analyzed. Canfora et al. propose a method for extracting and refining the changes made throughout the life a project to be used in more effective analyses. The changes made to a project are refined through linking lines of source code that are related. Hemmati et al. take a comprehensive review at the research related to Mining Software Repositories (MSR). Several best practices are proposed and areas of future work are identified. Hassan discusses the value of data mining from software repositories. The possible uses of the data collected can be used towards are assisting developers or managers. A benchmark data set of software project development change history is provided by Dit et al. The data set is processed to provide change request description and tracing, where changes that are requested are able to be traced to where they were implemented within the source code. The data set also provides a corpus of various key aspects of the project including files, classes and methods. The data set is targeted to be used for providing a benchmark for tools attempting to improve software maintenance tasks.

2.1.1   Mining Open Source Software Repositories

OSS generally is software that provides with the ability access the source code and make modifications to the source code. While certain licenses provide some restrictions on the ability to redistribute the software the main point of the source code of the software being freely available is key. The scope and capability of OSS projects vary greatly. Several very popular OSS projects are listed in Table 2.1.

The development of large software projects (whether OSS or not) often make use of VCS. A VCS helps the developers of the project manage the changes of the project and facilitate the collaboration between developers. A VCS will keep an current version of the project and keep track of the previous version of the project as well.

Owner	Project	Description
Mozilla	Firefox[a]	Internet Browser
Linux	Linux Kernel[b]	Operation System Kernel
VideoLAN	VLC[c]	Media Player
PostgreSQL	PostgreSQL[d]	Object-Relational Database Management System
git	git[e]	Version Control System

Table 2.1: Open Source Software Projects

[a]https://www.mozilla.org/en-US/firefox/desktop/
[b]https://www.kernel.org/
[c]http://www.videolan.org/vlc/index.html
[d]http://www.postgresql.org/
[e]https://git-scm.com/

This may be done through keeping a copy of each version of the project or by keeping track of all each change made to the project. SVN and git would be two examples of VCSs.

Git is a Distributed Version Control System (DVCS) and differs greatly from SVN which is a normal VCS. Git will provide the user with a complete copy of the repository that is worked on independent of network connection. The independence of each repository also allows for a repository to be developed without a centralized server. The distributed aspect of git tends to allows for easier use for all involved parities. The one main issue with a DVCS is that while decentralization is useful, developers will require some method to collaborate and communicate to transfer changes made to the repository. Therefore typically one centralized server is used to maintain communication between all interested parties.

Git has grown in popularity since it was created and is at the core of several Version Control Management (VCM) sites such as GitHub [1], BitBucket [2] and GitLab [3]. These platforms tend to be fairly supportive of OSS projects through providing their

[1]https://github.com/
[2]https://bitbucket.org/
[3]https://gitlab.com/

services free of charge. For example, GitHub provides unlimited public repositories completely free. While these projects do not have to be licensed with an open source license typically they will be since they are already publicly visible.

GitHub is the most popular of the VCM websites and hosts numerous very popular OSS projects including, the Linus Kernel, Swift[4] and React[5]. GitHub also provides a public Application Programming Interface (API) to allow for access to the data related to project repositories which is discussed further below. Given the popularity of GitHub for use by developers and the availability of the project data, GitHub is an obvious choice for mining project data. Especially since the goal of mining software is to capture OSS project data to both explore and test analysis methods. Publicly visible projects are also publicly accessible through the API and the majority are open source.

Git provides a simple interface to manage the repository regardless of which site is the central server. Therefore regardless which site the project resides on users can easily interact with the project as long as they know the git interface. Git in essences is a file storage for the project that keeps track of changes made to the project. A *commit* is a set of changes that a developer has made at a certain time. The developer has full control what gets committed, when it gets committed and even modified at a later date.

A branch is a series of commits that are often related. In Figure 2.1, each dot would represent a commit and a set of dots connected by the same colored lines are a branch. Branches can be considered different paths or deviations in the development from each other allowing for different versions of the project to be maintained and developed. The *master* branch is the main branch, represented with black, from

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[4]`https://swift.org/`
[5]`https://facebook.github.io/react/`

which all branches usually stem from and is generally where projects are developed on. On a similar note, a *tag* is a branch that is frozen to allow for future reference. Tags are often uses to mark a significant point in the development history such as a project release. Finally, when two differently branches converge into a single dot then the two branches have been *merged*. A merge indicates that the differences between the two branches are consolidated based on the developer's discretion.
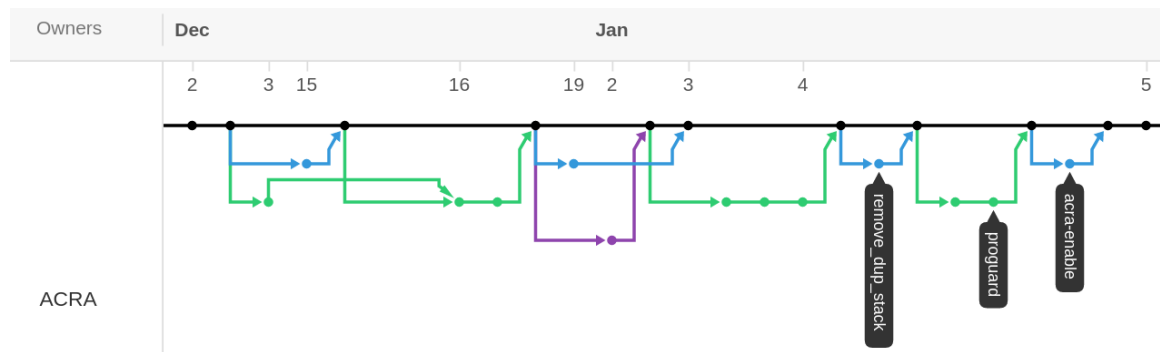
Figure 2.1: Network diagrams

A commit consists of files that have been changed, more specifically a list of *patch* files which each outline the changes made to their corresponding file. The patch file consists of a series of differences between the previous version of the file and this new version of the file. These patch files are key since they contain the actual changes made to the project and thus are the major point of interest.

2.1.2 Visualization

Visualizations are often used to represent data to be more accessible to appealing for use. Rather than view large amounts of complex data a visualization can restrict the amount of information shown to prevent the user from being overwhelmed. Alternatively, a well designed visual representation of the data can retain information and represent it in a way that is more convenient. Visualizations are widely used

throughout research to represent software evolution [5, 8, 12, 16, 25, 36] and developer interactions [9, 14, 16, 36, 37].

Some of the visualizations attempt to focus on a particular aspect. However, by their very nature of evolution visualization the visualization attempts to showcase higher level information. Gall and Lanza discuss uses of project traits including the source code changes, release information and quality metrics to provide the necessary data for powerful visualizations. Similarly, Collberg, Kobourov, Nagra, Pitts and Wampler use CSV version control systems to visualize software evolution. A visualization is produced which provides a temporal element for development data. Another approach was to study visualize the change-proneness within the software project [5]. Lanza, Ducasse, Gall and Pinzger present a high level visualization tool for object-oriented projects. Ogawa and Ma create a story view visualization for software projects with an summary of changes for each commit. The visualization can have issues with large amount of information available.

Ogawa and Ma provide a expansive visualization which includes developer and source code interactions. Gonzalez, Theron, Telea and Garcia visualize a combination of software project metric and structural changes. Four designs are proposed to provide unique and complementary views.

2.2   Machine Learning

Machine learning is a complex method for software algorithms to attempt to determine patterns within the data. One such problem example would be an algorithm to detect certain people within an image. For an individual such a task may seem trivial however for a software system to detect it is far more difficult. Algorithms that can determine patterns and mimic them from abstract set of data is useful when such pat-

terns are extremely complex. There are numerous algorithms which apply machine learning approaches. Each approach has both advantages or disadvantages. Some examples of machine learning algorithms are SVM, RF and ANN. The three provided examples are also commonly used for data mining [1, 4, 17, 22, 23, 42]. Bhattacharyya et al. provide a detailed description of RF and SVM.

2.2.1 Support Vector Machines

A SVM is used to predict what type of change will occur based on a set of features provided. A feature is a data extracted from the project represented as a floating point number. In order to be useful a feature must in some way characterize the the category that it is assigned to. The feature must also not rely on the category that it belongs to in order to be calculated. For example, given a category of the method change within the next 5 commits or not, then the features must not rely on knowledge of future changes to the project. If the features fail to effectively characterize the category they are assigned to then the SVM may have poor predictions. It is also necessary for the features to independent of each other to not negatively affect the categorization.

SVM has been widely used for making predictions for various aspects including predicting battery charge state [2], pharmaceutical data [6], software faults [11, 15, 28, 30, 31, 35], bug localization [33, 35], software mutation testing score [23], financial stocks [27], credit score [22], credit card fraud [4], solar power output [43].

Malhotra reviews numerous machine learning techniques, including SVM and RF, used by various studies. The results of which outline where each approaches succeed and falls short. When using a machine learning algorithm it is imperative to use a suitable algorithm for current situation. Kim et al. outline a approach that uses a SVM to predict changes that will occur within the project. By identifying these changes the a project developer can potentially locate a bug within a change and fix it

prior to being reported. Erturk and Sezer compare the performance of their proposed method, an Adaptive Neuro Fuzzy Inference System, to that of an SVM for predicting software faults. The models are trained using project metrics as well as the project's historical fault data. Zeng and Qiao use a SVM to provide short-term predict solar power output. The SVM model outperformed both an autoregressive and a neural network model. Anton et al. propose a method for predicting the state of charge of a battery using SVM model. Neuhaus et al. mines vulnerability databases and version archives determine components within the software that were vulnerable. A SVM was then used to predict other component that were also vulnerable. Several feature selection techniques have been assessed by Shivaji et al. for bug prediction methods. Features which are less useful to the prediction are removed to reduce the set to only the essential features. Kim investigates the possible use of SVM as a prediction model for financial forecasting. The model was used to predict whether the stock price would go up or down for the next day.

Bhattacharyya et al. uses RF, SVM, logistic regression to detect credit card fraud. Both RF and SVM are able to predict a large number of fraudulent credit card transactions.

SVM requires all feature data be encoded as floating point numbers. For any numerical data the conversion to floating point is trivial. However, for more complex data the conversion is a little more difficult. Categorical data can be mapped into a unique vector entry per category. For example, if a feature can be 1 of 3 options: 0, 1 or 2 then it can be converted into three entries in the feature vector. Encoding the value 2 the sub-vector of the feature set would be {0, 0, 1} where 1 indicates a field that feature is present in the data for this vector, and 0 indicates the feature is not present. Data that is in the form of a string can be converted to a floating point number by assigning a unique number for each string (similar to hashing). The one

downside to this method is that the numbers corresponding to each string maintain no numerical properties. In essence the data becomes categorical, such that if *bob* is mapped to 1 and *sally* is mapped to 2 there is no relationship between 1 and 2. Ideally, this data would then be further converted using the previously described method however if the set of possible strings is large then it may be unreasonable to convert it. For example, if there are 100 possible strings then that would add 100 new entries to a single vector.

The categorization is used for the prediction, where each value of the category relates to a unique prediction type. For example, a simple binary categorization could simply 1 or 0 where 1 predicts the event will occur and 0 predicts that the event will not occur. In essence an SVM is tasked with separating a dataset into two different categories given a sample set of data that has already been categorized into two subsets. Given the categorization of the sample dataset the SVM model is trained to allow for categorization of new data. The categorization of any new vectors (that were not used for training) is called a prediction and is made by the SVM model created through the training. More specifically, the sample dataset is a dataset extracted from the target dataset. The sample dataset is then categorized based on the predetermined criteria (the prediction goal). This dataset along with the categorization for each vector in the dataset is the training dataset, and is then used to *train* the SVM model. Once the model has been trained, the SVM model is ready to be used for making classification predictions. The data for each feature can be extracted from the new dataset, allowing for the model to classify each new vector. Given that the SVM model is accurate and reliable the results can then be used towards making predictions about the dataset. For example if the classification is that of predicting change to occur within the next six commits the developer may wish to be careful with the use of the method or assess the method's quality and

determine if any issues within the method need to be addressed.

A lower prediction score often relates to the data from the feature set poorly characterizing the categories. Similarly a warning will be given if the dataset is inseparate. In this case, the dataset for each category may be too similar and cannot be properly split into the two category subsets. In both cases a change to the feature set may help, whether that is a decrease or increase of features in the set. Some features are detrimental to the model, especially two features related to one another.

More details about the specific features used will be given a little later on. Features are descriptive aspects of the dataset that are classified into the predetermined categories. Since these features relate directly to the category understanding of the classification critical and can help determine which features should be used. For example for a classification of whether a change will occur within the next few commits, a useful feature may be the frequency by which a method changes within the project. Picking a descriptive feature set is paramount to providing a strong prediction of future data.

Most of this was done using database queries or user defined functions created in the database language.

2.2.2 Random Forests

RF are a popular machine learning algorithm and is used in numerous areas including predictions for software fault [18,30,31], software development effort [31], credit card fraud [4], database indexing [42], malware detection [1].

Malhotra provides an extensive review of studies involving machine learning to predict software faults. The results showed that RF tended to preform better than other machine learning algorithms studied. Moeyersoms et al. made use of RF and SVM as well as a few other data mining approaches to predict software faults and

effort estimation. The data mining techniques are used as part of another model, ALPA rule extraction, to improve the predictions and increase traceability. Guo et al. attempt use RF to predict the fault proneness of modules within a project. The RF prediction results for the five sample projects prove more accurate to that of a logistic regression. Yu et al. attempt to use RF to determine a more effective database indexing for video data. The database index are used to provide faster searching of the database for action detection.

RFs are commonly used to on data that has been mined from some source to make predictions [1], [17], [42]. A RF leverages numerous decision trees to provide attempt to improve prediction capabilities. Therefore to fully understand a RF first an understanding of decision trees is necessary. A decision tree is a technique which will create a tree based on a data set that has been classified. Once the decision tree model is created it can be used to predict or categorize data that has not yet to be classified. In the tree model the leafs will be categorizations where as the connections between inner nodes are the decisions by which the categorizations are made.

One issue with decisions trees and more generally machine learning techniques in general is imbalanced data sets for training the model [26]. The data set used rarely provided even sample sizes of each set therefore without taking necessary pro-cautions the algorithm will bias the results. In the worse case the model will classify any input data as the larger data classification.

In case of imbalanced datasets there are several methods to help provide stronger predictions [26]. The most obvious and easiest to attempt would be to sample more data. However if the dataset in general follows this trend then some more advanced techniques can used to improve the model.

The first method would be to *undersample* larger category this will even out both of the categories. This will remove some of the input values within the dataset to

reduce the set size. However if there are very few samples of the smaller category the performance will suffer as well. A second method of OS is useful in the case were the data samples are small. The input data from the smaller category is selected to be duplicated in the set to increase the size of the set. This helpful since it will increase the size of the dataset but could lead to bias based on the data selected from the smaller dataset. The selection method for which input vectors to over or under sample can be based off on the data's statistical distribution or made by random choice. Another advantage of these over and under sampling is that they can also be used together to in the case of a large disparity between the category's set size.

Another feature of RF which is used to help provide more reliable predictions is *Bootstrap Aggregation* [4]. Similar to normal sampling methods it will take the initial dataset. However rather than using the dataset as is the dataset will be uniformly sampled n times and repeated m times to create m datasets of n values. These newly created datasets will then be used to train m models. Finally, when attempting to categorize a new input data it will be given to every model and the prediction result will be aggregated to provide a more accurate results. For some machine learning methods such as SVM this method will improve the results and help with imbalanced datasets.

A RF is a collection of decisions trees trained on random samples of the initial dataset. So the RF will take an input dataset and then train m decisions trees using m randomly sampled sub-datasets of the initial dataset. This helps improve the model created and makes RFs far easier to use. As well RFs have a feature that determines the importance of each feature is assessed during the training of the model [4]. The importance outlines the quality of each feature in providing the prediction [40]. Therefore in order to properly understand the feature importance the accuracy, precision and recall of the model should be determined by running a test

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dataset to determine the quality of the model.

2.3   Software Development Prediction

The development of large scale projects can take a long time and involve a huge time investment from the developers. The development of the project will cause for the developers to make changes to projects. Changes made to a project may introduce new faults, increase functionality and fix previous problems. Therefore changes to a project can be both positive or negative. The developers of a project must control how a project is changed to attempt to limit the number of negative changes and increase the positive changes. Beyond ensuring that the project is developed correctly the developers typically have a limited amount of time to spend on the project and therefore must allocate their time wisely. Software development prediction models are used to help developers allocate their time more effectively. For example a developer may have a list of features that should be added to the project. However implementing the most fundamental features first will help ensure that these features are more likely to be completed.

Software development prediction contains numerous areas of study which generally attempt to improve projects by focusing on their development and providing feedback to the developers through predictions. Some of these areas include predicting: fault detection [32, 34, 38, 39], mutation score [23], software changes [3, 7, 13, 20, 24, 41]. While there may be a large overlap in the objective for these studies often they will vary in what is used to make the prediction.

2.3.1 Fault Prediction

Fault prediction is a key area of study for software development since the goal is to provide insight into where issues within the project are located. Identifying these areas can be very beneficial to the developers in saving time from searching for bugs. Rather the developers are able to use their time on fixing those issues. Therefore accurate identification of faulty code improves both development efficiency and software product quality. In order to predict these faults studies used one or more of the following; change metrics [32,34,38], code metrics [32,39], defect history [38], software dependencies [34].

Fault predictions using static and change metrics are studied by Moser et al. The change metrics used outperformed the static metrics in accuracy, and recall. Sisman and Kak alternatively look specifically at change metrics using Information Retrieval (IR) framework to provide the predictions. The prediction framework also uses a time sensitive factor to bias towards more recent changes for predictions. Nagappan and Ball attempted to predict post release project failures for commercial projects. These predictions were done using a software dependency analysis as well as using churn metrics from the project's development. Their method proved to be capable in predicting these failures providing an ability to mitigate these failures from occurring.

2.3.2 Change Prediction

Software projects will have faults within the project especially during the development phase. A project in its early stages may not meet the full set of functionality since it has not been completed yet. Since the development team will known that such features are not yet implemented these faults or fails are not a huge concern. Rather faults that are unknown to the developer team are far more serious. Such cases as a

feature was thought to be implemented correct but was not or a feature implementation breaks other features. In both those cases changes made to the project cause the fault to be revealed. Changes to the project are the means by which all development occurs. The ability to analyze and predict changes within a project could give deep insights into the development of a project. A large amount of research as focused on predictions of changes based on changes [3, 7, 13, 20, 24, 41].

Ying et al. present a method that predicts which parts of the system will change given a set of changes or change propagation. The prediction is done using the project's change history. The results of the prediction method were mixed with some projects recording a stronger precision and recall and others recording a far lower results. Kagdi and Maletic also leverage version history changes to perform software change predictions. The actually analysis applied is two fold, through the dependency analysis of the current version and the change analysis of the version history. The data is collected through MSR which is a popular field of study. In a similar work, Hassan and Holt, worked towards predicting change propagation of a given initial change. The main question was to determine given a change to an entity (e.g. function or variable) will propagate to changes in other entities. This work is very related since it tests various methods and leverages presents the best one. Bantelay et al. propose a method that mines the file and method level evolutionary couplings to attempt to predict commits and other interactions within the project. Both methods were used in isolation as well to determine whether the attributes were more helpful when used together. Giger et al. attempt to build off of previous work in change proneness by providing predictions relating to more refined entities. While typical change analysis will involve the use of syntactic changes. However Giger et al. suggest that extracting and tracking semantic change could prove to be more helpful and accessible for developers for predicting future changes within a project.

Chaturvedi et al. attempt to predict the complexity of code changes to a project. The project's change history is analyzed and the entropy is calculated. The future amount of changes necessary, the complexity of code changes, is then predicted.

2.4 Change Analysis

Changes that occur within a project are made to achieve a goal or task. Whether the task is high level such as implement a new features for the program or lower level like fix a syntactical bug. Investigations into how changes are made or used can help provide a better understanding for making a better changes or better use of the changes.

Bieman et al. study the change-proneness of different entities within a software project. In order to provide a deeper understanding visualizations were used as well providing a bit of a different approach from some of the other works. Koru and Liu study and describe change-prone classes found within open source projects. Providing further details into characteristics of different changes that are made to a software project throughout development. Similarly Wilkerson attempts to classify different types of changes that occur to a project throughout development. The classification can then be used to identify the impact that a given change will have on other aspects of the project. Snipes et al. provide a tool that attempts to locate areas within the source code that have a large amount of changes. These areas could be classified as underdevelopment and are likely to be very unstable given the amount of change occurring within them.

Chapter 3

Visualization with Commit Data

The goal of the research proposes and assess a research tool for predicting changes within a project. This is accomplished through mining of software data, analysis of collected data, candidate feature analysis. Once the data has been collected a further analysis is used to extract key features. As part of this analysis, custom visualizations are used to help to provide insights into the data set. Candidate features are then selected from possible features and analyzed later on to attempt to determine the best feature set.

3.1 Collection

In order to be able to predict changes within a project, some project data must first be collected. The data collection is targeted towards OSS projects that are developed using GitHub. Specifically projects were selected that are predominately written in Java. A project would be predominately written in Java if it has over 75% of the source code in Java. Some of the sampled projects, especially larger ones, included other languages for small purposes such as a database schema outline. The purposed

approach is not language specific in theory, however in order to simplify the implementation the method was restricted to only work with Java. Other languages that will likely work are languages that are modular based around method or functions. Only a Java implementation was created, therefore the ability for other languages to be used with the approach may require redesign expecially prediction model features. The data collection process simply data mines the complete development history of the project through the commits stored in GitHub. The commit data includes developers related, the source files and the changes associated with them. Finally the project's release information is collected in the form of tags is recorded.

The data is kept unprocessed and stored directly into a relational database (MySQL) that allows the data to be used and manipulated without requiring access to GitHub again. This was ideal during the more initial phase of the research since a decoupled collection allows for various methods of analysis to be applied on the dataset without requiring the data to be download again. The collection process can take long to perform and depends largely on the size of the project. In the case of an incomplete collection, the collection process can be resumed to collect the remaining data. Similarly, a project that was previously collected can be mined a second time to collect any new commits made to the project. These maintenance collections will often be much smaller and require a smaller amount of time to collect.

The collection method chosen for mining data from GitHub projects was using GitHub's web API. The GitHub API allows access to the complete set of publicly available information stored in GitHub. Accessing the data through the web API allows for the collection process to be automated and vastly simplifies the process. This project commit history dataset can be rather large since it includes a snapshot of the commit, all the change data and developer data related. Therefore the process may take both a long time and lots of space. The collection process requires both

the name of the developer and repository. To actually collect the data from GitHub a Ruby script was used. This collection is built around a Ruby library, $github\_api^1$, which is a convenient wrapper for GitHub's web API. The script systematically collects the desired data related from a given GitHub project which is stored locally. As noted above, the collection can take a bit of time to complete since it must go commit by commit to collect the necessary data.

Some aspects of the GitHub project's dataset are not collected as they were deemed unnecessary however the collection method could easily be extended to collect the other aspects. The aspects not collected are the issues, branches, forks and pull requests. The issues data outlines the problems reported in the project by users or developers of that project. GitHub allows for issues to be optional and thus some projects do not offer issue reporting through GitHub. Branches are also directly related to the project and they are essentially different workspaces for the developers. They allow for development of different versions (such as a development version compared to a stable version). For simplicities sake, the approach assumes that the main branch (the master branch) is the development branch and the target of the analysis. Therefore the branches are ignored at least for the scope of this work and could be included in future work. Of course other branches could be analyzed however the perspective of the other branches typically originates from the master branch.

A similar subset of data not collected or used for this approach is any forks of the repository. In GitHub a fork is an externally created branch of the project. The major differences between a fork and a branch are that a fork is owned by another developer and a fork is in fact a project onto itself. This allows for a developer who are not contributors to make a copy of the project and work on it without affecting the original. Forks typically denote a deviation from the original project that is unlikely

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[1]`https://github.com/piotrmurach/github`

to be reconciled. Finally, pull requests facilitate external developers making small changes which tend to be fixes to problems found or desired feature implementation. The owner of the original repository can then decide to integrate the changes made the original repository.

3.2   Storage

As mentioned above the data is stored in a MySQL relational database which leverages Structured Query Language (SQL). There are three databases used for the collection and the analysis. The first stores the raw mined data, whereas the second stores the analyzed data in a more convenient layout to be used later. Finally, the third database stores the same data as the second however uses a different relational database implementation because of some limitations within MySQL. This third database uses PostgreSQL, which has a more advanced set of features than MySQL and is simply a clone of the second database. The specific limitations that were encountered will be discussed more fully later in this section.

The first database, *github_data*, stores the semi-raw data collected from GitHub's API. This database contains 8 tables which store various aspects about the projects considered potentially important for the analysis later on. The tables of primary concern are *repositories*, *commits*, *users*, *files* and *tags* tables. The complete schema is outlined in Figure 3.1. Other aspects are available from the API and if needed the database could be extended to store more elements as necessary. In some cases, data from the API is not available for one reason or another (usually inaccessible files or such) these are simply removed or a note is made of them depending on their importance. For example, non Java source files that are missing are not essential and if inaccessible are ignored. If a Java file is inaccessible, a note is made as this is a greater
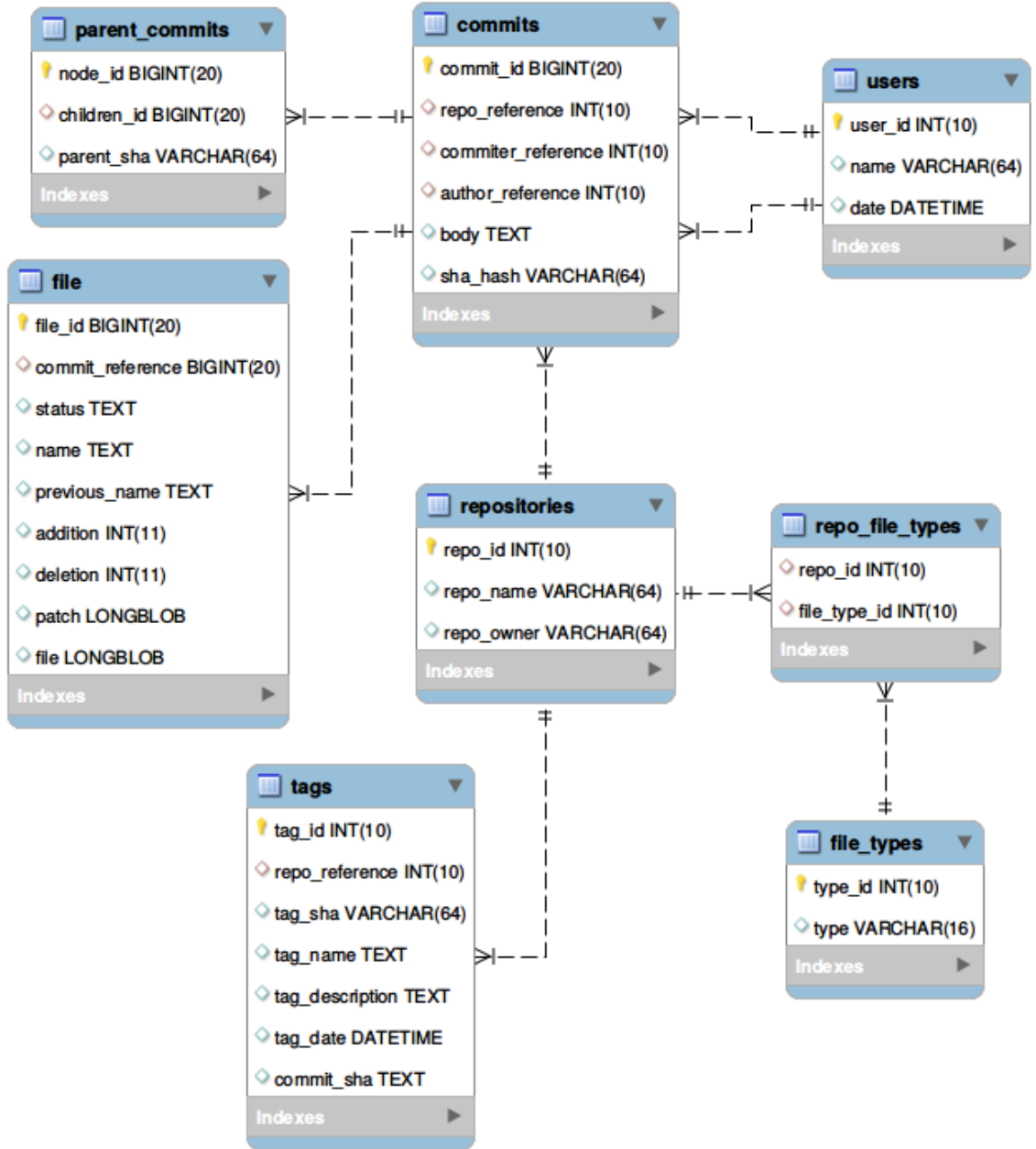
Figure 3.1: GitHub Data Schema

concern. These files can be retrieved if enough information is available (previous version and corresponding patch file). In the case that insufficient information is available, the analysis can still be applied but will likely adversely effect the result.

After storing the data in the *github_data* database, the analysis process is done.

The *parsing* script is run next and discussed further in the section 3.3. The results are then stored in the *project_stats* database that is very similar in layout to the first database except some extra tables have been added and a few data items have been removed. Mostly the storage expansions are designed to hold change information calculated from the analysis of the data. The complete schema is outlined in Figure 3.2.
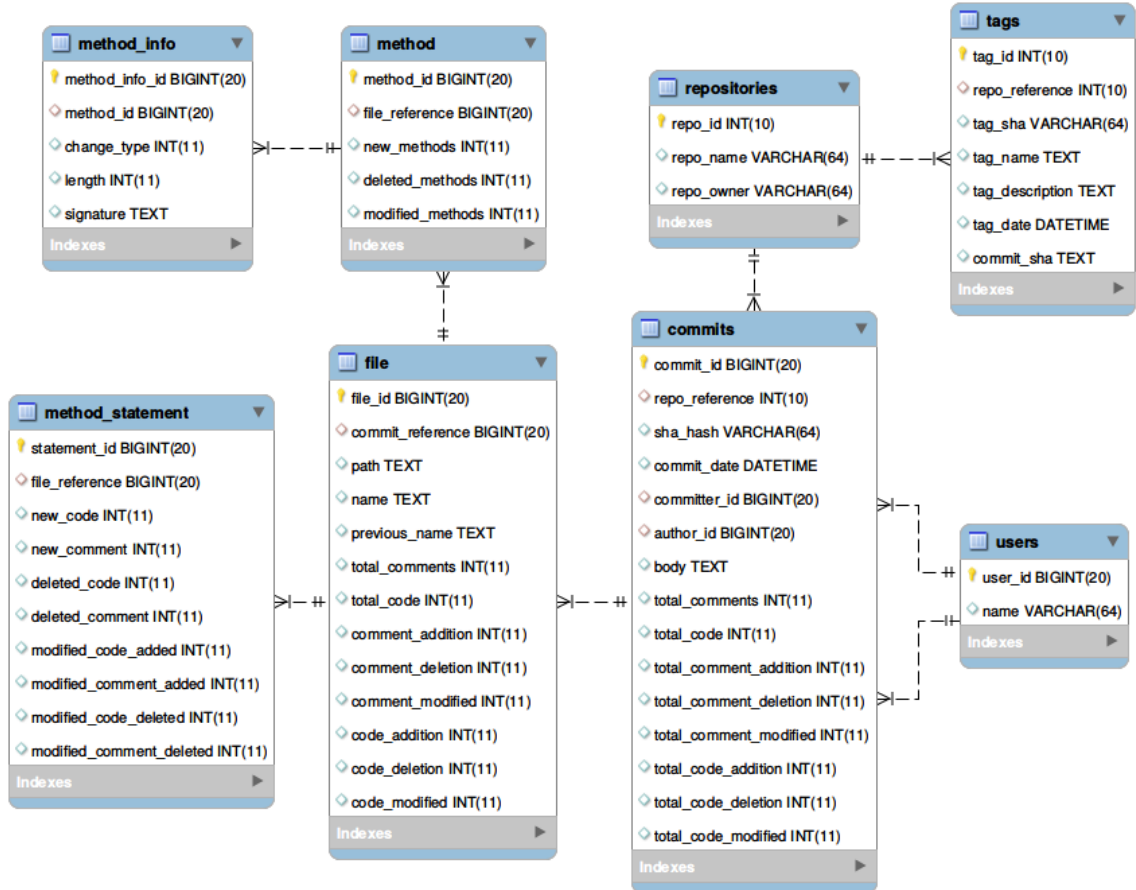

Figure 3.2: Project Stats Schema

The third and final database uses PostgreSQL because of limitations within the MySQL implementation. The calculation of the candidate features, discussed in further detail in section 5.1, required a more versatile partitioning function and the ability to perform multiple inner queries. The first of which is more difficult to

implement and the second is not available at all MySQL. Therefore the data was transferred over to PostgreSQL, using simple program called *pgloader*[2]. Only one difficulty was encountered during the transferring process. One of the tables in the MySQL database was called *user*, however in PostgreSQL, this is a reserved table name and therefore the table cannot be interacted with properly. The work around was to simply rename the table in MySQL prior to transferring to avoid any issues with the database. After transferring the data over to PostgreSQL, the data change predictions are ready to be preformed.

3.3   Parsing

The raw data collected from GitHub is stored and undergoes an analysis to extract more refined details. The process first requires the changes from a commit, the patches, to be merged into their corresponding full file. A patch is simply a stub file which summarizes the changes that occurred within a source file. Once the patch is merged with the raw source file, a full file is formed that contains every change as well as the source code that did not change. Within a patch file and a full source file, three different types of changes are present; additions, deletions and no change. These are represented as a plus sign, minus sign and space respective. An example is outlined of each of these changes in Figures 3.3, 3.4, 3.5, 3.6. The coloring used within these images is purely for visual effect and not present in raw patch files.

The process of reconstructing a full file using a patch file requires modifying the original source file. Since the source file used is the product of the patch file, the patch must be applied in reverse. Therefore lines with a plus sign, additions, are assumed to be within the file and lines with minus signs, deletions, are assumed to not be

---

[2]`http://pgloader.io/`

```
+    private static <E, T extends Comparable<T> > Integer binarySearch
+        (ArrayList<HashMap<E, T > > patterns, T target, E attribute,
+        int start, int end) {
+
+        if(start > end) return null;
+
+        // One element left lets check that element
+        if(start == end) {
+            if(patterns.get(start).get(attribute).compareTo(target) == 0) {
+                // The last value is the one we are looking for
+                return start;
+            }
+            return null; // The value is not here.
+        }
+
+        int middle = (start + end) / 2;
+        int result = patterns.get(middle).get(attribute).compareTo(target);
+        if (result > 0)
+            return binarySearch(patterns, target, attribute, middle+1, end);
+        else if(result < 0)
+            return binarySearch(patterns, target, attribute, start, middle-1);
+        return middle;
+    }
```

Figure 3.3: Newly added method

present within the file. The lines previous removed from the source file are added back to their original location with a minus sign to preserve the original meaning of the line. The lines that were added to the source file are perpended with a plus sign to identify that the line is an addition.

This full source file is then analyzed to extract each method to identify the type of change as well as other method metrics. The type of change that occurred to a method is identified as one of four possible changes. First, a method may be completely new and is thus classified as a new method shown in Figure 3.3. The second method closely, related to the first would be an entirely removed method that is classified as a deleted method shown in Figure 3.4. The third classification that is more difficult method to identify is a modified method. Simply a modified method

```
-    private static <E, T extends Comparable<T> > Integer binarySearch
-        (ArrayList<HashMap<E, T > > patterns, T target, E attribute,
-        int start, int end) {
-
-        if(start > end) return null;
-
-        if(start == end) {
-            if(patterns.get(start).get(attribute).compareTo(target) == 0) {
-                // Value Found
-                return start;
-            }
-            return null; // The value is not
-        }
-
-        int mid = (start + end) / 2;
-        int result = patterns.get(mid).get(attribute).compareTo(target);
-        if (result > 0)
-            return binarySearch(patterns, target, attribute, mid+1, end);
-        else if(result < 0)
-            return binarySearch(patterns, target, attribute, start, mid-1);
-        return mid;
-    }
```

Figure 3.4: Removed method

is one that contains at least two of following three change types; added, removed or
unchanged lines. An example of a method that contains all three change types is
shown in Figure 3.5. In the event that a method consists entirely of additions and
deletions then the method is classified as both a new method and deleted method.
A deleted and added classification is used over a modified classification because if
all lines are deleted and re-added then the method is change far more drastic than a
simple modification. The final change type is that of no change, where the method
does not contain any changes and is shown in Figure 3.6.

For each commit, this information is stored to allow for easier access and save time
since the analysis of larger datasets can be time intensive. In order to maintain the
integrity of the initial dataset, this information is stored in a new database. There

```
    private static <E, T extends Comparable<T> > Integer binarySearch
        (ArrayList<HashMap<E, T > > patterns, T target, E attribute,
        int start, int end) {

        if(start > end) return null;

        if(start == end) {
            if(patterns.get(start).get(attribute).compareTo(target) == 0) {
                // Value Found
                return start;
            }
            return null; // The value is not
        }

-       int middle = (start + end) / 2;
-       int result = patterns.get(middle).get(attribute).compareTo(target);
+       int mid = (start + end) / 2;
+       int result = patterns.get(mid).get(attribute).compareTo(target);
        if (result > 0)
-           return binarySearch(patterns, target, attribute, middle+1, end);
+           return binarySearch(patterns, target, attribute, mid+1, end);
        else if(result < 0)
-           return binarySearch(patterns, target, attribute, start, middle-1);
-       return middle;
+           return binarySearch(patterns, target, attribute, start, mid-1);
+       return mid;
    }
```

Figure 3.5: Mixed changed method

are several other features available in the data set from the extraction process beyond the ones outlined here in detail. A few of those features include: the commit author, the commit message and the method length per commit. This data is stored in the database to help create the prediction model later on.

```java
    private static <E, T extends Comparable<T> > Integer binarySearch
        (ArrayList<HashMap<E, T > > patterns, T target, E attribute,
        int start, int end) {

        if(start > end) return null;

        // One element left lets check that element
        if(start == end) {
            if(patterns.get(start).get(attribute).compareTo(target) == 0) {
                // The last value is the one we are looking for
                return start;
            }
            return null; // The value is not here.
        }

        int middle = (start + end) / 2;
        int result = patterns.get(middle).get(attribute).compareTo(target);
        if (result > 0)
            return binarySearch(patterns, target, attribute, middle+1, end);
        else if(result < 0)
            return binarySearch(patterns, target, attribute, start, middle-1);
        return middle;
    }
```

Figure 3.6: Unchanged method

3.4   Visualization

3.4.1   Line Change

The key features are extracted from the data set after performing the collection and analysis. Visualizations were used in order to to better understand resulting data. The first visualization simply showed the changes recorded on a per line basis. These changes were divided into several closely related subcategories of additions, deletions and modifications. Additions identify changes that are new and do not have a corresponding set of deleted code. Similarly, deletions refer to changes that remove lines of code without a corresponding set of additions. Finally modifications are a set of changes which contain a set of additions and deletions that are related.

The relationship between two sets of additions and deletions is determined through the Levenshtein Distance (LD) formula. The LD calculation will determine the edit distance between two strings, where edit distance is defined as the number of characters difference between two different strings. For example, the LD for *happy* and *mapper* would be 3, since h would be changed to m, y to e and r would be added at the end. Normalization is used to allow for more general use of LD for comparing strings of different length. To calculate Normalized Levenshtein Distance (NLD) the LD would be divided by the larger of the two strings sizes shown in Equation 3.1.

$$NLD(a_i, d_j) = \frac{LD(a_i, d_j)}{\max(|a_i|, |d_j|)} \tag{3.1}$$

Line modifications are assumed to only take place in a series of line changes that involved both additions and deletions shown in Figure 3.5. In this example, 3 line modifications take place each containing 1 addition and 1 deletion. A line modification can also have an $|a|$ to $|d|$ relationship. That is to say more generally, $|a|$ lines of addition may relate to $|d|$ lines of deletion where both $|a|, |d| > 0$. In order to determine whether two lines are closely related enough, a threshold Δ_m is defined. As outlined in Equation 3.2, when the NLD is below the threshold Δ_m then the two lines are related.

$$m(a_i, d_j) = NLD(a_i, d_j) < \Delta_m \tag{3.2}$$

Normalizing the LD calculation accounts for the differences in line sizes when being compared. With shorter lines, the change of a variable name could change a large portion. Therefore with smaller lines likely modifications result in a dramatically higher distance between lines. Likewise, longer lines can contain more text modifications and still result in a low score because of the length of the line. This resulted

in the creation of the a threshold α to separate small and large line changes. The Equation 3.2 is updated accordingly shown in Equation 3.3.

$$m(a_i, d_j) = \begin{cases} NLD(a_i, d_j) < \Delta_s & \text{if } \max(|a_i|, |d_j|) < \alpha \\ NLD(a_i, d_j) < \Delta_l & \text{otherwise} \end{cases} \qquad (3.3)$$

Only lines that are part of the same block of additions and deletions are selected for the similarity check to determine whether they can be classified as a modification. As noted before, line modifications will consist of one to many addition lines mapped to one to many lines of deletion. Therefore a modification is more easily referred to as a modification set. For addition lines that do not meet the threshold of similarity with all deletion line in the change block are classified as additions. Similarly, deletion lines that fail to meet the similarity threshold for all addition lines will be classified as deletions. A block of changes will therefore contain a set of added and deleted lines, some of which may be related.

While creating the parsing method, both code and comments were considered separate entities. However each was analyzed with the same method. Therefore for the visualization below, the changes are separated into source code and comments. The comments were never used towards the prediction method presented later on in chapter 4 and will therefore not be covered as deeply. The comments however are available for use and could be used to extend the approach.

Each of the following visualizations presented have a interactive component which is not available. Their capabilities are summarized to provide context to their use. The line change data is visualized in Figure 3.7. The number of changes lines of source code added, deleted and modifications are all shown aggregated per month. A per commit view is available but is very cluttered because of the excess of data with in a project. The bottom half of the visualization shows the sum of changes

37

up till the given point. Tags for the project are shown at the bottom of the graph to provide some context of the release cycle. Tags often mark points of significance within the project and therefore can be thought as road signs. The visualization also provides some options to refine or generalize the view. For all of the views, the user is allowed to select the project, package path, and the committers as desired. Specifically for the line level graph, a further option is provided to condense the data based on a monthly, weekly and commit summary. The commit message and a link to the commit on GitHub are provided when viewing either the commit view, method level or statement level. This information allows for a direct link to the project and can be a handy tool for referring back to the software repository.

Each view is also supplemented with a summary of key stats for the project. In Figure 3.8 the project stats are outlined for *acra*. The ratio of comments to code for the history of the project is shown in a pie chart. Several table entries outline several top performers metrics are outlined with the top five for each category. The first set outlines top contributers for source code, which is broken down into the categories: coder, modifier and deleter. Coder, modifier and deleter all map to the top committer to provide additions, modifications and deletions respectively. The number of line added is also outlined as well next to the committer's name in square brackets. The second set of lists outlines the top commenters for additions, modification and deletions. Finally, the top committers and contributors are listed, where the committer is sum of commits contributed to the project, and contributer is the sum of total code and comments contributed.
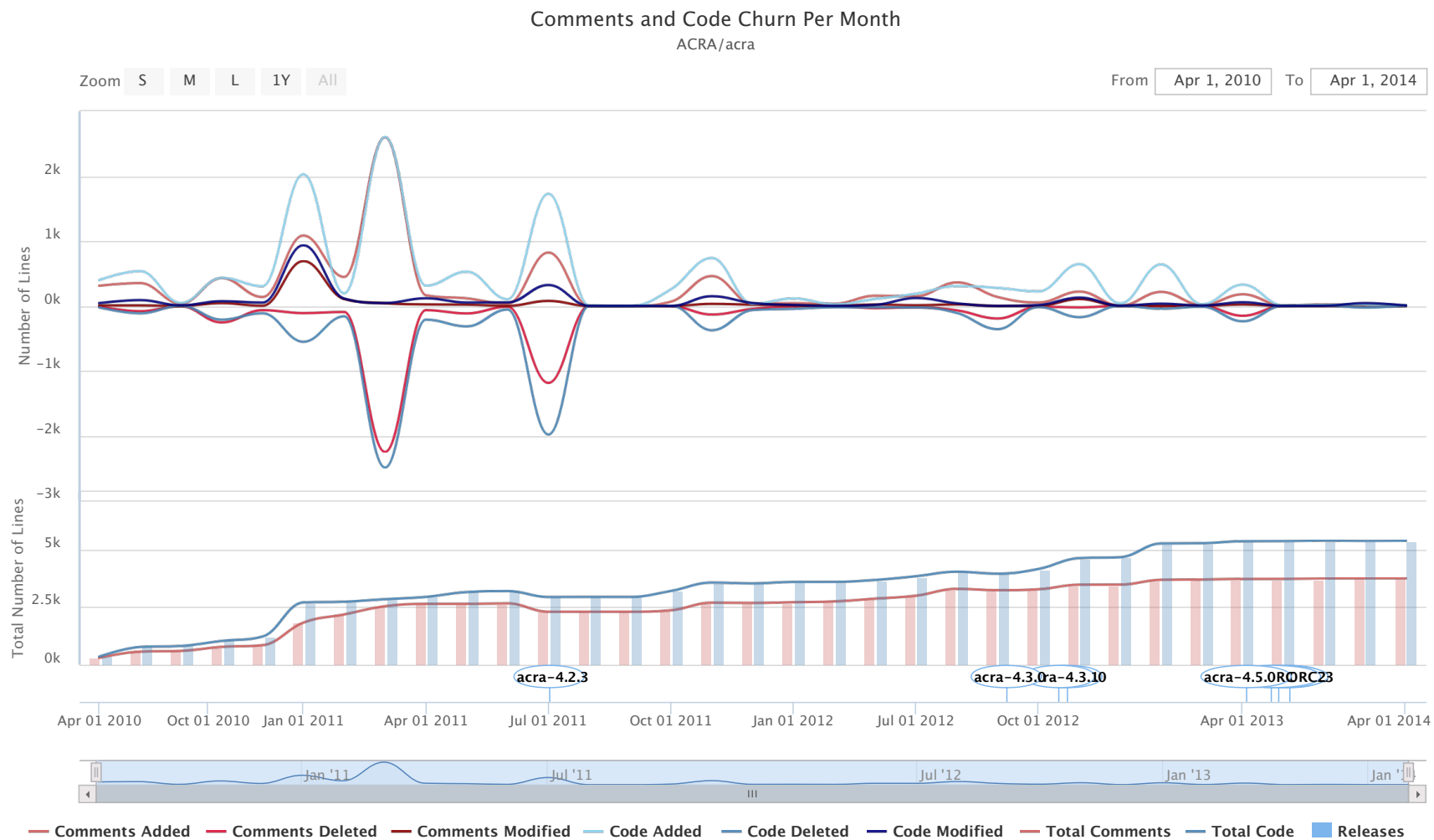
Figure 3.7: Line Change Visualization for acra

Project stats

Comment to Code for ACRA/acra

Comments: 40.8%

Code: 59.2%

Highcharts.com

Top Coders	Top Modifiers	Top Deleters
1. KevinGaudin [8388]	1. KevinGaudin [2182]	1. KevinGaudin [3126]
2. pyricau [2469]	2. William Ferguson [332]	2. pyricau [2469]
3. William Ferguson [1826]	3. npombourcq [43]	3. William Ferguson [2089]
4. william-ferguson-au [358]	4. william-ferguson-au [29]	4. Richard Meinsen [104]
5. Richard Meinsen [126]	5. meddins86 [13]	5. william-ferguson-au [40]

Top Commenters	Top Comment Modifiers	Top Comment Deleters
1. KevinGaudin [5502]	1. KevinGaudin [1191]	1. pyricau [2219]
2. pyricau [2219]	2. William Ferguson [80]	2. KevinGaudin [1461]
3. William Ferguson [840]	3. meddins86 [3]	3. William Ferguson [1196]
4. Richard Meinsen [96]	4. william-ferguson-au [2]	4. Richard Meinsen [96]
5. william-ferguson-au [34]	5. fernandohur [2]	5. william-ferguson-au [8]

Top Committers	Top Contributors
1. KevinGaudin [573]	1. KevinGaudin [8569]
2. William Ferguson [133]	2. William Ferguson [-720]
3. pyricau [48]	3. william-ferguson-au [341]
4. william-ferguson-au [19]	4. npombourcq [-42]
5. npombourcq [5]	5. Richard Meinsen [22]

Figure 3.8: Project Summary Statistics for acra

3.4.2   Method Change

The visualization of line changes was very noisy and proved difficult to use. Instead of viewing every line of change separately, the changes are grouped together based on the method from which they originate from. Similar classifications are used for method changes however their definitions vary slightly and are outlined in more detail in section 3.3. There are three types of method level changes that can occur. Firstly, a method is classified as newly added when that method had not existed in the previous version, consisting only of additions. Secondly, a deleted method implies that the method is completely removed from the current version, consisting only of deletions. Thirdly, a method is classified as modified if it contains two or more types of changes, either additions, deletions or no change.

The method level change visualization, shown in Figure 3.9, presents the amount of method changes that occurs in the project development over time for acra. The low level changes details are ignored in this view, instead the focus is placed on that of the three types of changes. The visualization for the method level uses a bar graph since it provided a more clear picture of the relationship between commits. Compared to the first visualization which implied that a relationship between different commits of the same type of changes. The contrast in magnitude between each type of change and each commit is also more clear and defines the visualization. The amount of change occurring over time is clearly visible and the amount of data available is not as overwhelming as the line change visualization.
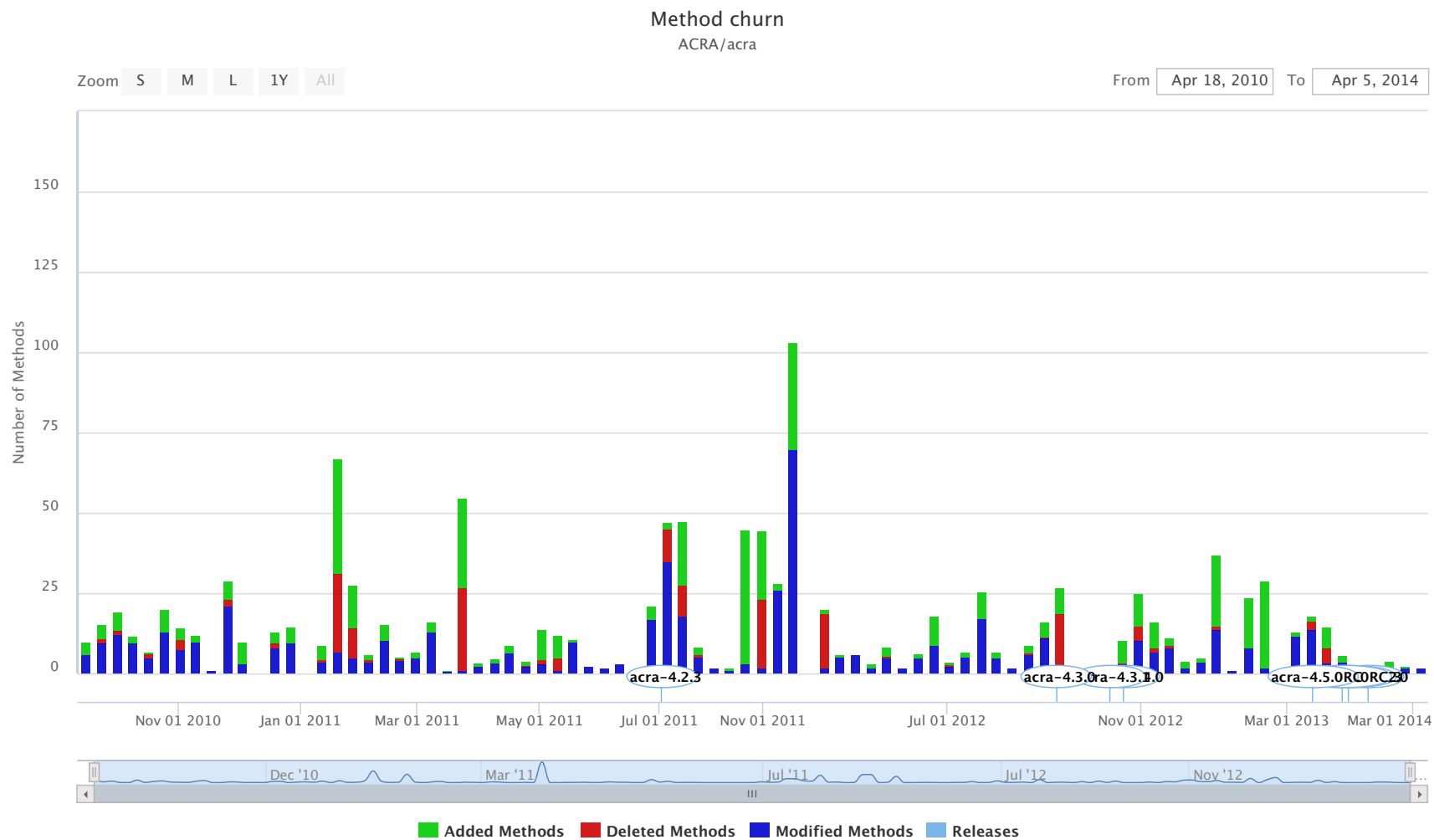
Figure 3.9: Method Change Visualization for acra

3.4.3 Method Statement Change

The method statement level visualization is a more granular view of the method level view. By building on top of the method level view, the method statement level view provides more details similar to that of the line level view. The classifications are kept from the method level view for changes but are broken down into line changes made to comments and code. Therefore both methods consist of two parts, the comments and the code. So the previous classification added, deleted and modified are divided into new categories. Added and deleted methods are divided into two new categories each; added code, added comment, deleted code and deleted comment respectively. In Figure 3.10, the added and removed method data is shown. The modifications are not shown in the visualization to reduce clutter in the view.

In Figure 3.11 the modifications for method statement changes are shown for acra. Modifications are divided into four categories instead of two. The first two categories relate to modification of comments, and the second two relate to modifications of code. The comments changes are classified as either modified added or deleted comments. Likewise for source code modifications, the classification is either modified added or deleted code. Each line classified under modification is based on the change type of the method. Therefore if a line of source code is part of a method that is modified then it will fall into one of the four modification classifications. For example in Figure 3.5, there would be 5 lines classified as a modified deleted code, 5 lines of modified added code and 0 lines of modified added or deleted comments.
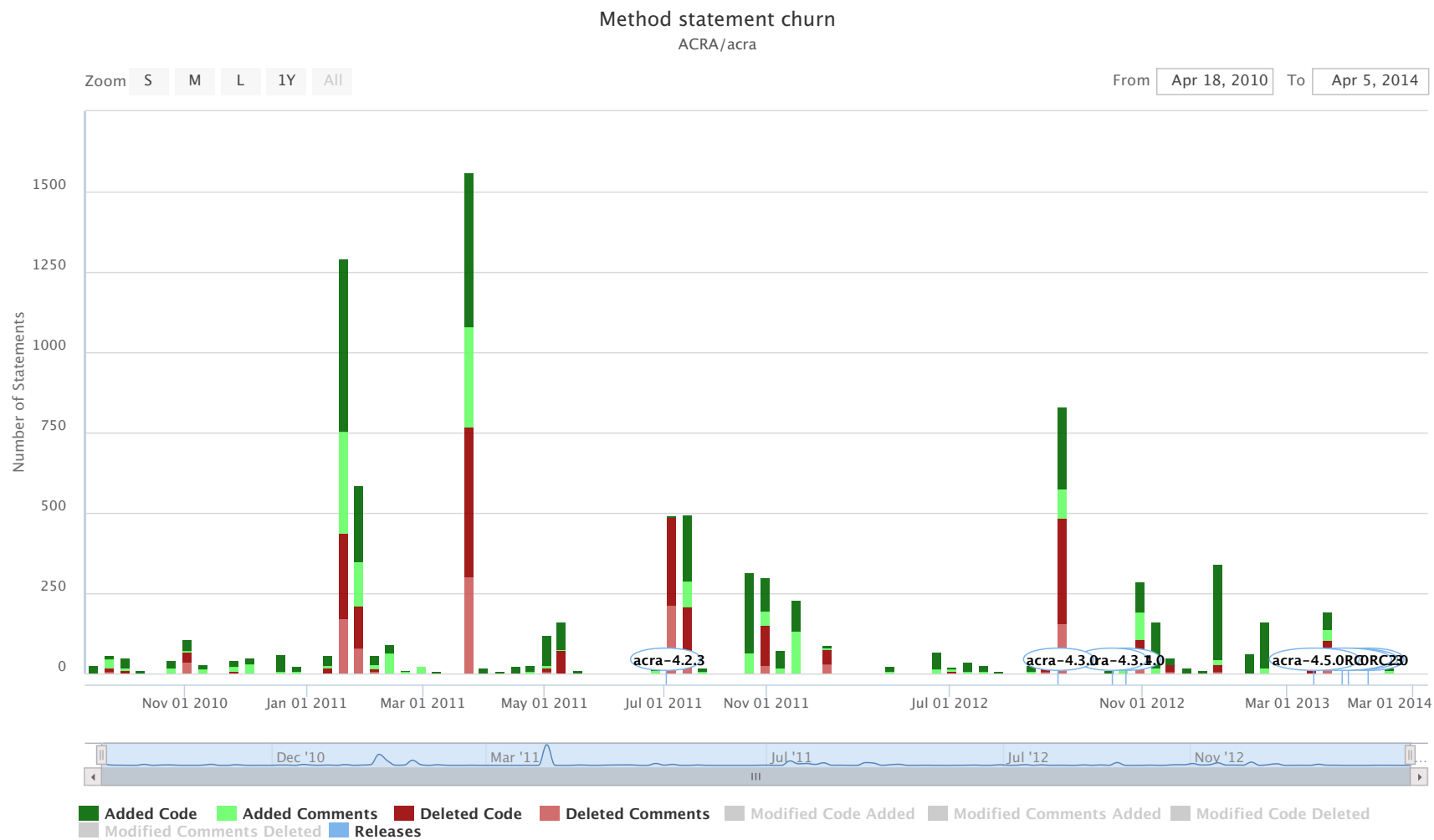
Figure 3.10: Method Statement Added & Deleted Visualization for acra
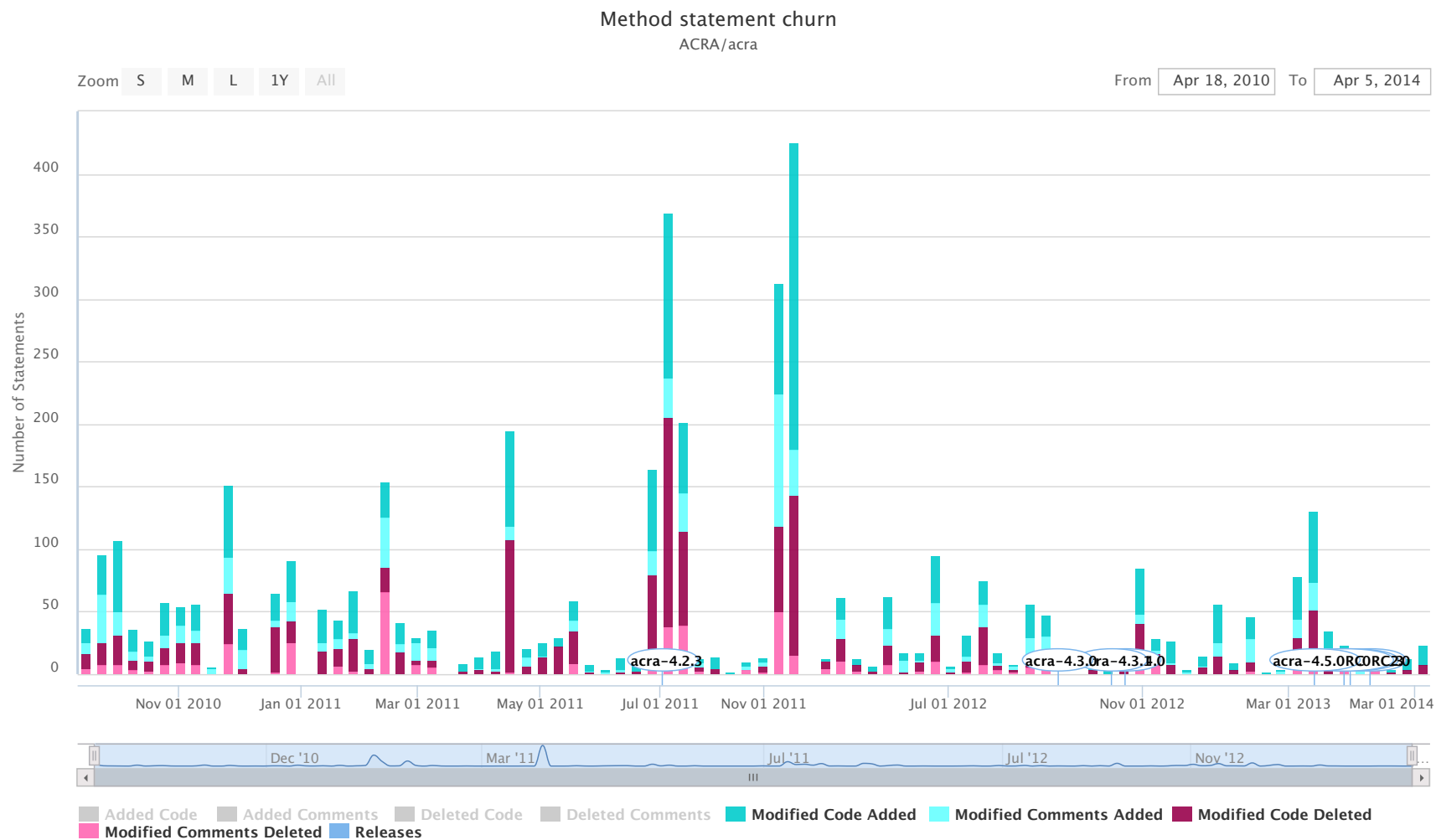
Figure 3.11: Method Statement Modification Visualization for acra

Chapter 4

Prediction with Commit Data

The visualization for the data collected from the projects helps provide several insights into data set which can be used to help with the creation of the prediction scheme. With the data visualized, a more general look of the data collected is available. While creating the method for predicting change within the project, the visualizations provide a helpful resource. The visualization can help identify relationships between variables and general trends. The actual data used for training the prediction model is outlined in section 4.1. After that the prediction model is detailed in section 4.2.

The data presented in the visualization is used towards creating an approach to predict whether a method will change within the next five commits. The machine learning algorithms used in the approach are SVM and RF. Of course the performance of a prediction method will be influenced by several factors including; the size of the sample, the features used for training and balancing of the data set.

4.1 Prediction Data

The data used to predict changes within a project is originally from the visualizations. For more information about the specific information collected see: section 3.1, section 3.2 and section 3.3. The commit data collected from the target OSS project is used to make predictions. The goal is to predict whether a method within a project will change within the next five commits. As outlined in section 3.3, the different types of changes can be either additions, deletions, modifications or no change at all.

The machine learning model requires samples from the data set to train from which allows for predictions of new elements. The training samples taken must also be categorized based on the desired outcome of the machine learning algorithm. This requirement provides some restrictions on which values can be included in the sampling. Since the categorization is whether a method will change, all methods sampled need to be able to collect data the next five commits following the current commit. Therefore methods that are within the last five commits of the training sample window are not included in the training set for the data model.
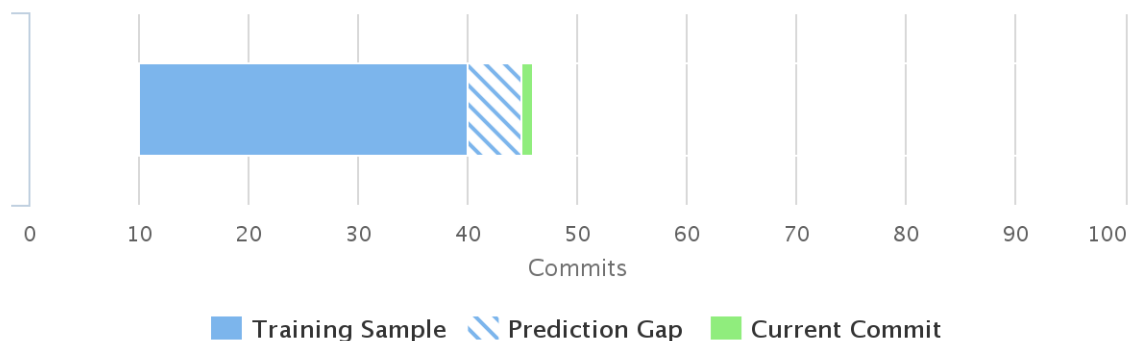
Figure 4.1: Training Sampling Layout

As noted above, one of the key factors in the performance of the prediction approach is the size of the sample set. The sample set size is restricted by a variable

value SWR which controls the number of commits considered to sample from. The data will only be sampled within a limited range of commits as outlined in Figure 4.1. In this case, the SWR is 30 and the prediction gap is 5. The prediction gap takes into account the categorization restriction, preventing sampling from the last five commits.

Another consideration when sampling the data is the distribution of the categories. Most of the time, a data set will contain more samples in one category than the other. For example, a sample may contain 80% methods with no change within the next five commits and 20% methods with change. Ideally the number of methods with changes and without changes in the next five commits would be close to 50%. When the distribution of samples for each category is very close the model will often perform better. However in cases where the data is highly skewed to one classification over the other, the model will often predict the larger classification for input values. OS will re-samples from the smaller classification to reduce the size difference with the larger classification. Alternatively, undersampling the data set will remove samples from the larger classification to reduce the difference in size with the smaller classification.

Undersampling is applied to a data set by measuring the number of samples in each category. The larger of the two is reduced by discarding samples at random until the data set is the same size as the smaller data set. This will reduce the number of samples used to train the model and may reduce the performance of the model based on the decreased number of samples. In cases where there are a limited number of samples for the smaller category, undersampling may not be ideal. OS alternatively increases the number of samples by calculating the number of samples in each category and expanding the smaller category by re-sampling values from the data set until both categories are equal in size. Both approaches can be used together so that the smaller category is expanded to at most twice its original size. If

the initially smaller category is still smaller, the larger category set will be reduced to the size of the smaller category. When selecting values for re-sampling or removal, a randomized selection process is used to ensure the distribution of the data is preserved. For example, if category a, with $|a| = 100$ and category b with $|b| = 1000$. OS will be applied to category a since $|a| < |b|$. Therefore a will apply random re-sampled until $|a_n| = |a| \times 2$ or $|a_n| = |b|$. Once one of the conditions is met, OS is complete. Next undersampling is applied to the larger category b, where samples are randomly removed from b until $|b_n| = |a_n|$.

Once the categories are balanced then the model can use the data. However with large sample sizes, a reduction of the sample set may be necessary. The variable $sample_r$ is the percentage of the number of samples taken from the range. Instead of picking an arbitrary number of samples, a ratio was used to scale based on the number of available samples. When sampling, if the ratio is at 50% then only half of the values retrieved will be used to train or test. For some of the larger data sets sampling 100% of the data from the range would take a lot longer. Therefore sampling a percentage of the data set is commonly used to decrease the training time. In order to provide a more stable model, a random sample of the sample range is used so that each data entry in the sample has the same chance to be within the training or test data set. Using the example from above, a and b have been oversampled and undersampled such that their new sizes are represented by $|a_n|$ and $|b_n|$ respectively. Given that a sample ratio of 50% is used then both sets a and b would be reduced by the ratio by randomly sampling from each set to create new sets. The size of each set would be $|a_n| \times r$ where r is the ratio value.

The Table 4.1 outlines each of the considered features used for training the prediction model. An example of each feature is provided to further illustrate the feature. As stated in the previous subsection 2.2.1, the values need to be processed into a

Feature	Description	Data	Example Vector
Com	The individual who committed the change	bob	5
Sig	The method signature related to the change details	void getValue()	46
Name	The name of the file	Main.java	3
Δ_i	Whether the method changed or not in the current commit	3	1
m_+	Whether the is newly added	3	0
m_-	Whether the method was deleted	3	0
m_c	Whether the is a modification	3	1
m_x	Whether the received no change	3	0
Δ_{i-j}	Whether the method changed in a previous commit	0	0
$type_{\Delta_{i-j}}$	Type of method changed in a previous commit	2	2
f_Δ	The frequency that the method is changed within the SWR	0.0464	0.0464
sf_Δ	The frequency that the method is changed within the last 10 commits.	0.1	0.1
t_Δ	The time between the current commit c_i and the previous commit c_{i-1}	2148	2148
$t_{\Delta_{i-j}}$	The time difference between a sequence of two previous commits	453	453
Length	The length of the method in this commit	10	10
$change_{t-1}$	Whether a change has occurred in the previous 5 commits	$\{3, 0, 0, 3, 0\}$	1
$change_t$	Identifies whether a change occurred within the next 5 commits for the given method	0	0

Table 4.1: Candidate features for SVM model

usable format for SVM or RF. First the data is extracted from the database as *raw* values as shown in the **Data** column. Text values are mapped to a integer value. For example the *Name* value, "Main.java" will be mapped to the value 3. The reason the value is 3 is because 2 other methods have already been mapped and therefore method name is mapped to the next available mapping. Similarly both *Com* and *Sig* will be mapped from their respective values "void getValue()" and "bob" to 46 and 5. Numerical values are converted by casting the value to a floating point value if the value is not that type already. For spacing reasons, all the values in the table that have no decimal value are shown without a ".0" following.

Several experiments were conducted to investigate the value of each of the candidate features. The candidate features were narrowed down from this initial list into a smaller set of training features. These features sets were constructed to determine potential ideal feature sets. The complete list of features used are shown in Table 4.2. Some of the feature sets are not full explained in the table for spacing reasons. Feature sets 16, 17, 18 and 27 all use the last five previous changes or durations. Each are marked similarly to those that only use the most recent previous change or duration except for a footnote marker. Likewise feature set 29 is also different since the previous change used is only the change made five commits ago. Each of these feature sets are tested on the repository acra and the results are shown in Figure 4.2.
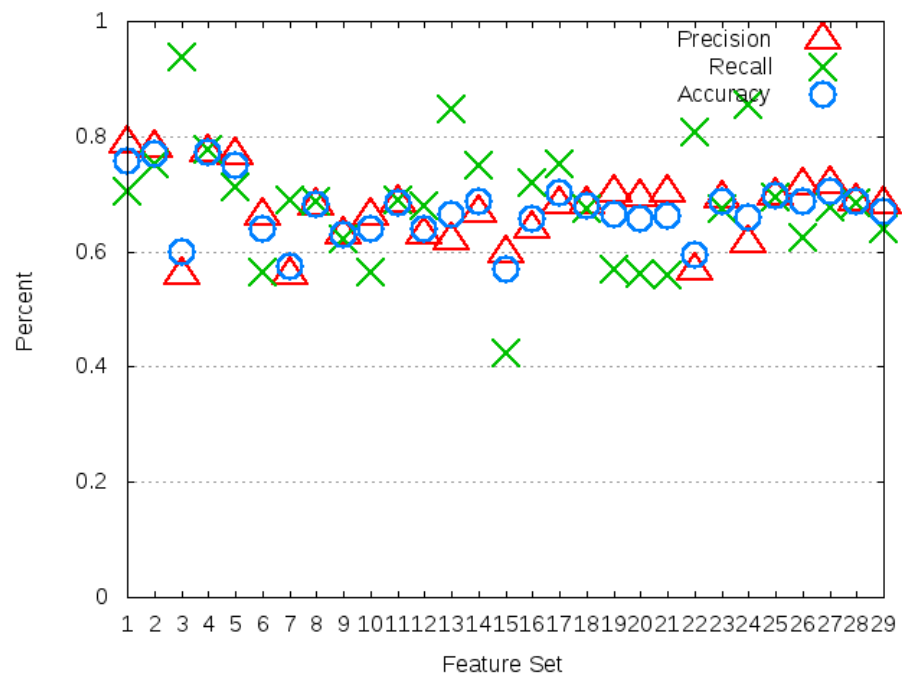
Figure 4.2: Feature Sets Analysis using RF

Feature Sets	Com	Sig	Name	Δ_i	m_+	m_-	m_c	m_x	Δ_{i-j}	$type_{\Delta_{i-j}}$	f_Δ	sf_Δ	t_Δ	$t_{\Delta_{i-j}}$	Length	$change_{t-1}$
1	•	•	•								•	•			•	•
2	•	•	•								•		•		•	•
3	•	•	•								•		•			•
4		•	•								•		•			•
5	•	•	•								•					•
6	•	•	•	•							•					•
7	•	•	•		•						•					•
8	•	•	•			•					•					•
9	•	•	•				•				•					•
10	•	•	•					•			•					•
11	•	•	•						•		•		•			•
12	•	•	•							•	•		•			•
13	•	•	•							•	•					•
14	•	•	•						•		•					•
15	•	•	•								•			•		•
16	•	•	•						•		•					•
17	•	•	•							•	•					•
18	•	•	•							•	•		•			•
19	•	•	•		•	•	•				•					•
20	•	•	•			•	•				•					•
21	•	•	•			•		•			•					•
22		•	•								•					•
23		•	•								•					
24		•	•						•		•					
25		•	•	•							•					
26		•	•	•							•		•			
27		•	•	•					•		•					
28		•	•	•					•		•					
29		•	•	•					•		•					

Table 4.2: Training Features

Another small change made to the data to create a vector for the prediction model was to convert the change type into a change indicator vector using Equation 4.1. The vector is converted into a single value which indicates whether a change has occurred in the previous five commits. This process is done through calculating the sum of the change vector using Equation 4.2. Finally, the change indicator, $changei - 1$, is identified using Equation 4.3.

$$C = \begin{cases} 1 & \text{if} change > 0 \\ 0 & \text{otherwise} \end{cases} \tag{4.1}$$

$$reduce = \sum_{i=t-5}^{t} c_i \tag{4.2}$$

$$P = \begin{cases} 1 & \text{if} reduce > 0 \\ 0 & \text{otherwise} \end{cases} \tag{4.3}$$

f_Δ is calculated by taking the number commits in which involve changes to the current method (c_i) within the SWR divided by the current number of commits (c_{cur}) since the start of the SWR. This is formalized in Equation 4.4. A frequency of change is available for the duration of the SWR.

$$f_\Delta = \frac{|c_i|}{|c_{cur}|} \tag{4.4}$$

sf_Δ is calculated by reducing the range sampled to s. Then counting the number of times the method changes within the last s commits and dividing it by s. The size of the short frequency can be any value that is less than the size of the SWR. For use in the rest of the paper $s = 10$ which means that the sf_Δ is for the last 10 commits.

t_Δ is the difference between the current commit time ($t(c_i)$) and the previous com-

mit time ($t(c_{i-1})$) calculated in Equation 4.5. Both time values are provided as time stamps and the result is calculated in seconds. Only the difference in time between the current commit and the previous one is calculated therefore, in Equation 4.5, i denotes the current commit.

$$\Delta t_i = t(c_i) - t(c_{i-1}), i > 1 \tag{4.5}$$

4.2 Prediction Method

For this approach a machine learning algorithm is used to create a prediction model. The data used to train the model is collected as shown in section 4.1. The machine learning algorithms that can be used are either SVM or RF. Each of these method are widely used for data mining techniques and are easy to use. Figure 1.1 outlines the overall structure of the approach for how changes are predicted.

The SVM model was created through the use of a libsvm[1] binding for Ruby, rb-libsvm[2]. This library was a good fit since the data was collected using a Ruby script. For RF python library scikit-learn[3] was used. The reason for switching from Ruby to Python was a lack of a mature library for RF in Ruby.

Use of the approach requires a few steps in total before predictions can begin. Firstly, the data related to the project must be collected from GitHub. Once the data is collected, the prediction model can be created by providing training set built from sampling the data set. After training the model, predictions can be made using the model on new data. The next chapter analysis the approach by training and testing the model using subsets of the project data sets.

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[1] https://www.csie.ntu.edu.tw/~cjlin/libsvm/
[2] https://github.com/febeling/rb-libsvm
[3] http://scikit-learn.org/stable/

Chapter 5

Experiments

Experiments were conducted in order to provide some validation for the proposed approach. The goal of these experiments is to test the whether the historical commit data from an OSS project can be used towards predicting future changes within the project. These experiments are based on the approach outlined in the previous chapter, chapter 4. The experiment was conducted through measuring the performance of each core factors varied in isolation. Specifically the SWR, the feature set and categorization balancing using OS. These three factors are explored for both machine learning algorithms.

5.1 Experimental Project Data

The complete list of projects that were experimented on are found in Table 5.1. Data from each project was collected from the creation date for the project till the date the data was collected on. The number of commits excludes any commit that lacked a change to a file containing Java code. Since the primary interest was to parse Java code, files containing Java code were used while all other files are ignored.

These measures provide a more accurate description of the project in terms of the analysis and predictions made on it. Secondly, the number of developers does not map effectively to what git uses as committers and authors. Instead, the number of developers includes all individuals (removing duplicates) who committed or authored commits to the current project.

Owner	Project	Start Date	End Date	# of Commits	# of Developers
ACRA	acra[1]	2010-04-18	2015-06-05	404	32
apache	storm[2]	2011-09-16	2015-12-28	2445	261
facebook	fresco[3]	2015-03-26	2015-10-30	313	47
square	dagger[4]	2012-06-25	2016-01-30	496	39
deeplearning4j	deeplearning4j[5]	2013-11-27	2016-02-13	3523	62

Table 5.1: Experiment projects

Given the large number of project experimented on a categorization system was necessary to allow for grouping of projects. Four project measures were selected for comparing the projects and are outlined in Table 5.2. The measures are: project length in years, project size in number of methods, number of developers and the rate of commits made in commits per year. The project length represents the number of years the project has been under development for. The size of the project is measured in the number of method signatures within the project since created. The number of developers is tallied from the beginning of the project for this measure. Finally, the rate of commits is the number of commits contributed to the project per year. A yearly rate of commits was sufficient since the majority of the projects had more than one year of development history.

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[1]https://github.com/ACRA/acra
[2]https://github.com/apache/storm
[3]https://github.com/facebook/fresco
[4]https://github.com/square/dagger
[5]https://github.com/deeplearning4j/deeplearning4j

project length	project size	# devs	commit rate
short $(t < 1)$	small $(m < 2000)$	small $(d < 30)$	low rate $(r < 100)$
medium $(1 \leq t < 3)$	medium $(2000 \leq m < 10000)$	medium $(30 \leq d < 100)$	medium $(100 \leq r < 300)$
long $(t \geq 3)$	large $(m \geq 10000)$	large $(d \geq 100)$	high rate $(300 \leq r < 600)$
			very high rate $(r \geq 600)$

Table 5.2: Experiment project summary

Using the classifications outline in Table 5.2, the projects are grouped and organized with similar projects. In Table 5.3 the projects are sorted by their classification and have dividing lines around similar projects. For example four projects; http-request, nettosphere, parceler and retrolambda are all classified in the same group. Some projects like ion or storm are not grouped in with another project and thus are in a group of their own.

Each of the projects are selected from GitHub using the list of Java projects with a large amount of development. OSS projects were targeted to simplify any usage concerns. Specifically, OSS projects are open and freely available immediately and can be discussed without restriction. Therefore in order to be selected the program had to clearly use an OSS license. Secondly, the project also needed to have at least a 6 months worth of development and at least 300 commits to provide a large enough dataset to analyze. An effort was also made to pick projects of different sizes to provide better tests of various conditions.

In order to get a more detailed understand of the selected projects, numerous measures were taken. These measures also allow for each projects to be compared to each other in terms of the development of each of the projects. For example the size of the project is represented through several measures including: number of commits, methods and developers. Several averages are calculated to help establish how the development occurred within a project during the development. A few examples of

name	project length	project size	# devs	commit rate
yardstick	medium	small	small	medium
tempto	medium	medium	small	medium
blockly-android	medium	medium	small	high rate
fresco	medium	medium	medium	high rate
http-request	long	small	small	low rate
nettosphere	long	small	small	low rate
parceler	long	small	small	low rate
retrolambda	long	small	small	low rate
ion	long	small	small	medium
acra	long	small	medium	low rate
dagger	long	small	medium	low rate
ShowcaseView	long	small	medium	low rate
greenDAO	long	medium	small	low rate
smile	long	medium	small	low rate
cardslib	long	medium	small	medium
jadx	long	medium	small	medium
mapstruct	long	medium	small	medium
arquillian-core	long	medium	medium	low rate
brave	long	medium	medium	low rate
spark	long	medium	medium	low rate
governator	long	medium	medium	medium
deeplearning4j	long	large	medium	very high rate
storm	long	large	large	high rate

Table 5.3: Experiment project summary

Project	# of Methods	# of Methods Changes	Avg # of Commits / Year	Avg # of Methods Change / Commit
acra	1309	3605	67.33	9.51
storm	14599	50037	489	24.03
fresco	3463	4139	313	14.73
dagger	1827	6314	99.2	13.70
deeplearning4j	29896	82198	880.75	24.33

Table 5.4: Project Change Statistics

Project	Avg # of Methods Change / Year	Avg # of Changes / Method	Avg # of Commits / Developer	Max Commits / Year	Min Commits / Year
acra	600.83	4.52	13.93	119	33
storm	10007.4	5.93	15.47	948	118
fresco	4139	1.49	156.5	313	313
dagger	1578.5	5.64	16	236	4
deeplearning4j	20549.5	5.69	65.24	2018	65

Table 5.5: Project Change Statistics 2

average measurements are the number of commits per year, changes per method.

1. **acra** is a Android bug logging tool used with Android applications to capture information related to bugs or crashes. The information is sent to the developers to help them address the issues that their clients encounter while using there application.

2. **storm** from apache real time computational system for continuous streams of data. This project is one of the larger projects and has a large development community.

3. **fresco** from facebook is the smallest project with the shortest development period. This project provides a library for using images on Android to attempt to solve limited memory issues with mobile devices.

4. **dagger** from square is a Java application used to satisfy dependencies for classes to replace the factory model of development.

5. **deeplearning4j** is a distributed neural network library that integrates Hadoop and Spark. This application is the largest of the 5 projects and provides a large wealth of data to analyze.

Project	Max # of Methods Changed / Year	Min # of Methods Changed / Year	Max # of Change / Method	Min # of Change / Method	Max # of Commits / Developer	Min # of Commits / Developer
acra	1503	183	52	1	229	1
storm	26526	2152	314	1	622	1
fresco	4139	4139	33	1	269	44
dagger	3374	171	65	1	157	1
deeplearning4j	35869	4377	345	1	1987	1

Table 5.6: Project Change Statistics 3

Several average measures were also taken which detail the amount of change that occurs within the project. The average number of commits per project coupled with the average number of changes per commit clearly indicates the amount of changes that are occurring with in the project. The rate at which methods are change provides good insight into the growth of a project. While some changes may involve the addition of new methods, others may include the removal of methods or the modification of methods. The other measures relating to the amount of change occurring with a project on average are the number of methods changed per year and the number of changes per method. Each of these further outline how the changes are being made to the project on average.

A few of the measures are related to the number of developers. These while provided are not the primary focus. The information provided by tracking developer interactions with each other or the repository could be integrated into future work.

While the purposed method was being developed ACRA's acra project was primarily used for exploring and initial testing of the approach. After experimenting on acra a few of the potential candidate feature sets were distinguished based on their superior performance. Experiments were then run on other projects using the feature sets that performed better.

5.2 Experimental Setup

The experiment is setup to have a set of parameters that can be set. These parameters will remain constant to observer the difference that the independent variable will have on the dependent variables; precision, recall and accuracy. Each experiments will use one of the parameters as the independent variable. An experiment consists of a set of trails where the independent variable is modified to measure the resulting dependent variables. The results of a single trial or of the set of trails for a project will be referred to as the performance of the approach. In order to reduce specific project confounding factors numerous projects were tested on. This will be discussed further in the discussions section.

This thesis works to determine whether SVM or RF can be used to effectively predict changes that will occur within the project. To potentially provide an answer to this question the factors that are used for the prediction method are studied. The experiments attempt to determine what impact the different factors will have on the purposed methods. These factors include:

1. The SWR which is the size of range which the samples are taken from.

2. The set of features used to train the machine learning model.

3. The distribution of the data through use of OS.

Through investigating these factors a more clear picture of the performance of the approach will be be provided. Without such a investigation the method contains could produce capable solution just as likely as poor solutions. Worse still, the setup may produce poor solutions more often than capable solutions. Once a more concrete understanding is developed of the different factors and the performance of the algorithm accordingly the research question can be answered as to whether it is possible

to predict changes within a project using the commit data.

5.2.1 Prediction Features

The experimental design to allow for the predictions made on historical data to be tested with available data. Therefore within the data collected for the project the predictions must be made for values that are already known to allow for verification. Therefore the experimental sampling would build off of the prediction sampling outlined in section 4.1. A second region defined as the prediction sample range. The Figure 5.1 outlines the updated layout. The size of the training range ($|s_t|$) and the size of the prediction ($|s_p|$) are able to be different sizes, however for each experiment they remain the same ($|s_t| = |s_p|$).

The sample range is taken from the current commit c_i to c_{i-g-m} in the case that $i > m$. m denotes the size of SWR in commits and g is the number of commits the change is predicted within. For example if the model is predict a change that occurs within the next 5 commits ($g = 5$) and $m = 30$ then Figure 5.1 shows how the data would be sampled. The training sample would be where data would be collected from to train the model. The prediction gap is to account for the data sampling calculating whether methods at commit 40 will have a change within the next 5 commits. Therefore to properly test it on data that is not used as part of the testing model the offset is needed. The SWR for the testing data set is labeled as the *Testing Sampling*.

The sliding window factor is one of core aspects related to extracting samples from the data set. When using the sliding window to sample the data the data is divided as shown in Figure 5.1. The training sample is where the training data set is sampled from. The testing sample is where the testing data is sampled from.

A data set with an extended sampling range will extend the sampling range beyond
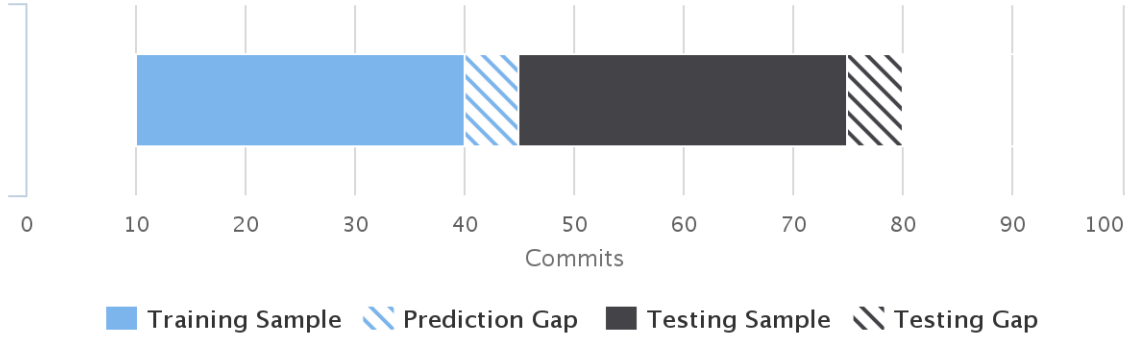
Figure 5.1: Sampling Window Layout

the original size for either the training sample or the testing sample. The training range can be expanded to include earlier samples to increase the sample space.

The training and testing sampling range are defined as the number of commits from which the samples can be taken. In Figure 5.1, both the training and testing sample ranges are set to 30 commits. These two values can differ from one another but tend to be kept the same for most of the experiments.

As discussed in section 4.1, sample biasing can cause the distribution to favor the selection of one category over another. Undersampling and OS can prevent the model from simply classifying all samples as one category or the other.

For each project data set there are numerous windows that be can be used. The window number is setting which window is used broadly mapping to the position within the data set that the model will be trained on and then predicted on. In Figure 5.1 the *current commit* is located at 45. This is the point from which predictions will be made after. The gap preceding the starting point is is 5 commits long and is followed by the sample window for the training data which is 30 commits long. To calculate the window offset simply using the starting position (p_s), the gap length (g), and the SWR can be calculated in Equation 5.1. Therefore in this case the window offset would be $45 - 5 - 30$ which is 10.

$$wo = p_s - g - swr \qquad (5.1)$$

Finally, the last factor of note is the parameters used to configure each prediction method. RF use a single parameter, the size of the forest. SVM meanwhile uses two parameters; C and gamma. Picking the most suitable parameters is ideal to achieve good performance from the prediction model. For SVM a grid search technique is provided by the developers of the libsvm source for optimizing the parameters. For RF, the size of the forest will have an impact but is far more manageable since it is a single parameter. A larger number of trees in the forest will generally provide better results, but will cause the algorithm to take longer to train.

5.2.2 Prediction Performance

For each experiment where the used random sampling the experiment was performed 5 times to account for variations in the random sample. Therefore if the initial results using the first sample set were not characteristic of the full dataset then running the experiment with more random samples is more likely to represent the true characteristics of the dataset. This required taking five random samples from each quarter, training the model and running the tests on the model to then determine the average prediction score.

The goal of the prediction methods are to provide a good prediction of whether the a given vector will fit in one category or the other. A model's prediction performance can be rated using three measures of accuracy, precision and recall. Accuracy is measured as how often predictions p_i are classified correctly where a_i represents vector v_i correct classification. The prediction accuracy ($P_{accuracy}$) can then be calculated using Equation 5.6. This simply sums up the accuracy for each vector and then

divides it by the total number of vectors (where $n = |v|$).

$$
tp = \sum_{i=0}^{n} \begin{cases} 1 & \text{if } p_i = a_i \ \& \ a_i = 1 \\ 0 & \text{otherwise} \end{cases} \tag{5.2}
$$

$$
tn = \sum_{i=0}^{n} \begin{cases} 1 & \text{if } p_i = a_i \ \& \ a_i = 0 \\ 0 & \text{otherwise} \end{cases} \tag{5.3}
$$

$$
fp = \sum_{i=0}^{n} \begin{cases} 1 & \text{if } p_i \neq a_i \ \& \ a_i = 0 \\ 0 & \text{otherwise} \end{cases} \tag{5.4}
$$

$$
fn = \sum_{i=0}^{n} \begin{cases} 1 & \text{if } p_i \neq a_i \ \& \ a_i = 1 \\ 0 & \text{otherwise} \end{cases} \tag{5.5}
$$

$$
P_{accuracy} = \frac{tp + tn}{tp + tn + fp + fn} \times 100 \tag{5.6}
$$

The precision of a model is the measure of how correct the model predicts that a change will occur when it predicts that a change will occur. Given the true positives tp, represents the number of predictions that the model correctly identified as having a change and the false positives fp is the number of times the model incorrect predicted a change to occur when it in fact did not. The equation for calculating precision is show in Equation 5.7.

$$
P_{precision} = \frac{tp}{tp + fp} \tag{5.7}
$$

The recall of the model is the measure of how correct the model predicts that change will occur out of all the times changes really occurred. Again using tp as the number of true positives, and false negatives fn which is the number of times the

model fails to predict that a change will occur. The recall can be calculated using the Equation 5.8.

$$P_{recall} = \frac{tp}{tp + fn} \qquad (5.8)$$

5.3 SVM Experiments

For this set of experiments the machine learning algorithm SVM is used to provide the change predictions. As noted in section 4.2, the implementation for SVM is a Ruby binding of the original library. The data used to train and test the approach is collected using a Ruby script to query a PostgreSQL database. The parameters used for all of the experiments with SVM are $C = 10$ and $gamma = 8$.

5.3.1 Window Range Experiments

In this experiment the independent variable is the size of the SWR in commits. For each variation of the SWR the performance is measured. In Table 5.7, the features used by the prediction model are outlined. Features with a mark, $\bullet$, are used while those without are not. In this experiment only the sf_Δ is not used while all the rest are. Each of these features is outlined in further detail in Table 4.1.

Com	Sig	Name	f_Δ	sf_Δ	t_Δ	Length	$change_{t-1}$
$\bullet$	$\bullet$	$\bullet$	$\bullet$		$\bullet$	$\bullet$	$\bullet$

Table 5.7: SWR Experiment Features

As noted above the independent variable for this experiment is the SWR. The remaining parameters for the experiment are constant for each test. The parameters for this experiment are outlined in Table 5.8.

Extended Window	Over Sampling	Under Sampling	Sample Rate	Window Offset	SVM C	gamma
No	No	Yes	100%	5	10	8

Table 5.8: SWR Experiment Setup

The results for the experiments are shown with the precision, recall and accuracy. For each graph the independent variable is the number of commits in the SWR. Y-axis is the value of each of the different plot, either precision, recall and accuracy. The first project, acra, in Figure A.1 has the strongest performance out of all the projects. The best performance for acra is at SWR at 80 commits width.
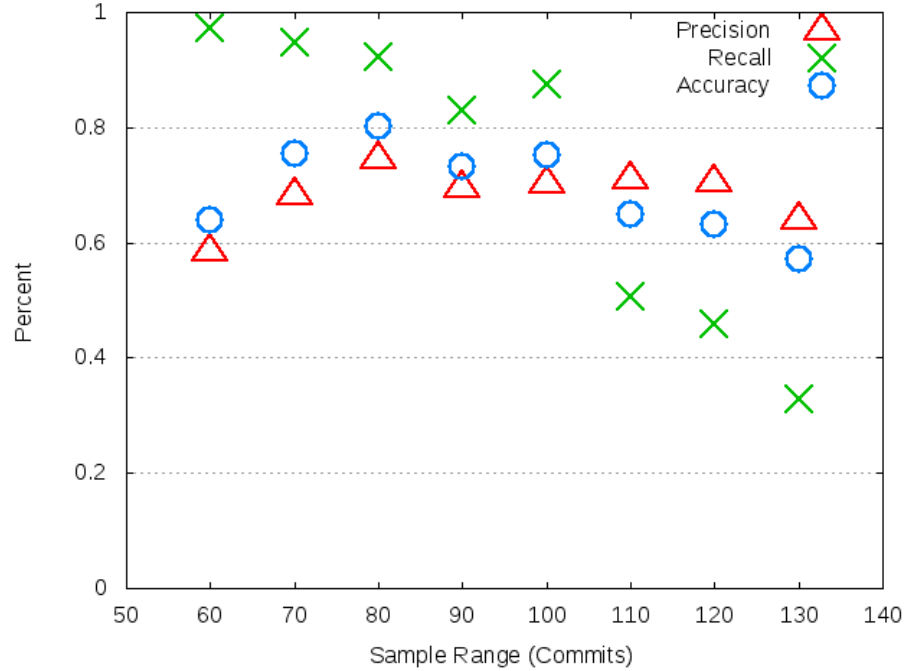
Figure 5.2: SWR for acra using SVM

Alternatively, dagger has abysmal performance with the exception of a SWR of 60. Fresco prediction performance does not particularly differ greatly. Also, it should be noted that the experiments with a SWR of 120 and 130 since both resulted in a undefined precision and recall of 0 and accuracy of 0.5.
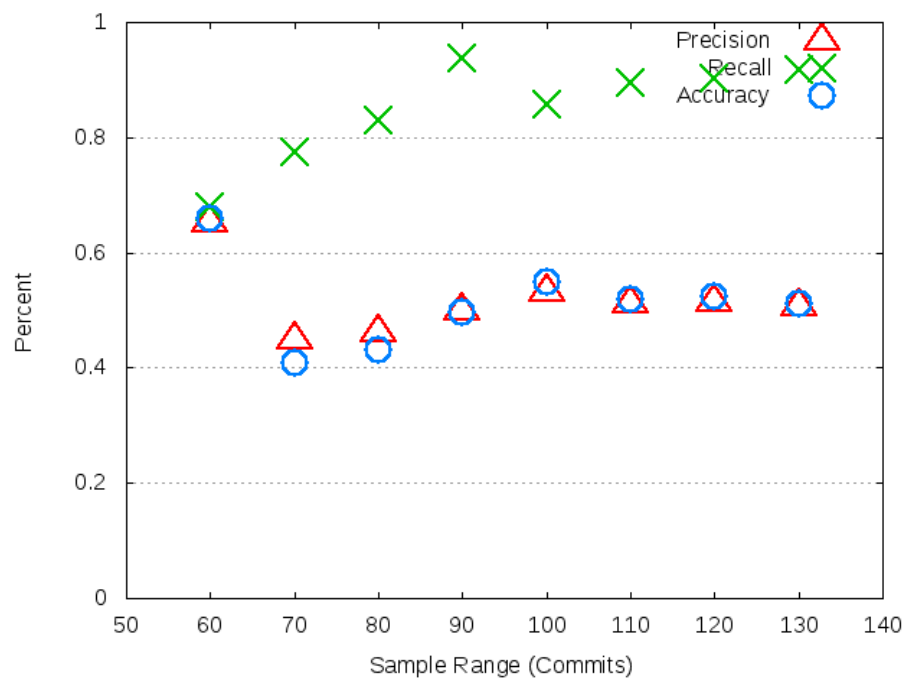
Figure 5.3: SWR for dagger using SVM
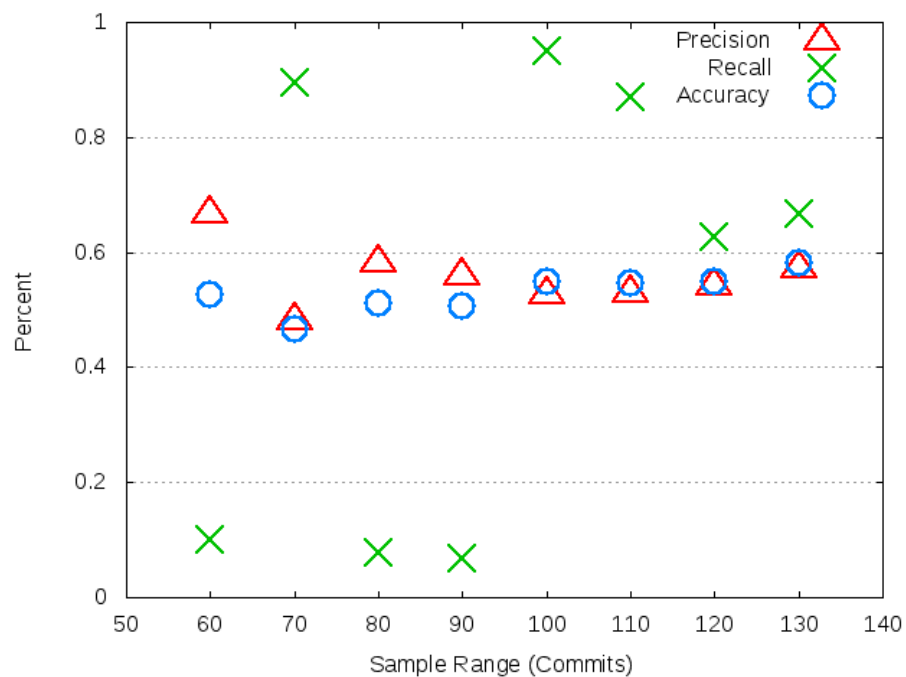
Figure 5.4: SWR for fresco using SVM
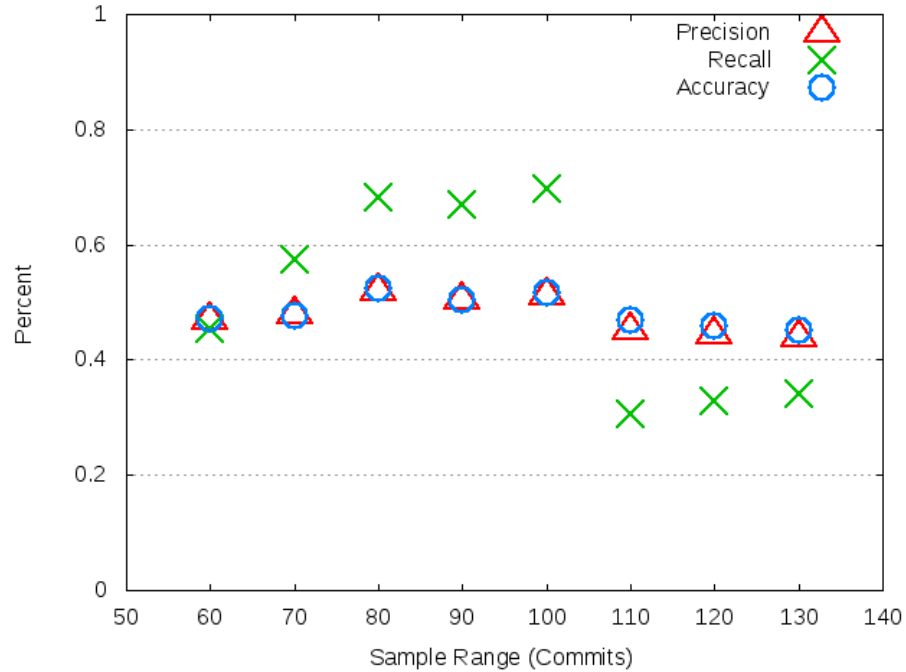
Figure 5.5: SWR for storm using SVM

Apache had slight variations, however the precision and accuracy never exceeded 0.55. Finally, deeplearning4j does not have very good performance with low recall results. As shown in the plots, the SWR has a significant impact on the performance of the model. While the actual width varies widely per project, there are projects with a width that fares worse and others that fare far better.

Overall there was no clear value for the SWR which held consistent positive results. Generally speaking, projects that were smaller; acra, dagger, fresco, appeared to get positive results with smaller windows. Alternatively, larger projects; storm and deeplearning4j both did fairly poorly with the test range from 60 to 130. The largest project, deeplearning4j also has a slight positive trend towards the end of the range. However, storm does have a negative trend towards the end of the range.

The precision and accuracy remain fairly stable throughout this experiment. While the value of these attributes changes with different values of the SWR these changes
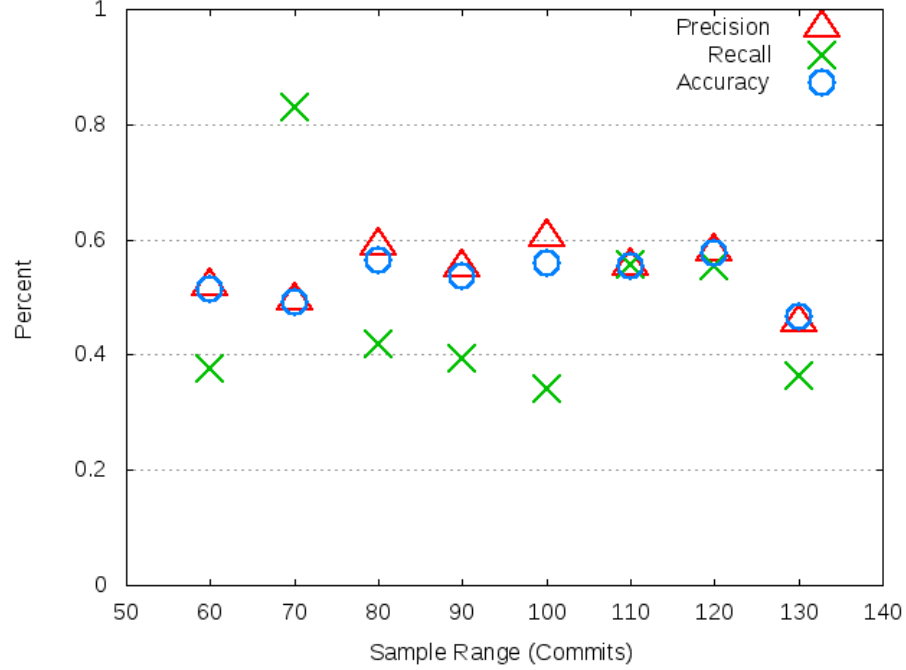
Figure 5.6: SWR for deeplearning4j using SVM

tend to be fairly close and follow a general trend. However for dagger in Figure A.20 the performance of accuracy and precision drops from $0.6 - 0.7$ range to $0.4 - 0.45$ range. The accuracy and precision also do however remain rather stable for the remainder of the experiments in this set.

Finally, the recall for the prediction is very unstable. While both accuracy and precision are very closely related and so will be likely close in value. Recall however will vary from a very low value to a very high value. For example in Figure A.9 the value of recall at SWR of 60 is 0.1 and then jumps to 0.9 at SWR of 70. Figure A.12 and Figure A.6 both also have large changes in the recall but none as drastic as shown in fresco.

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Extended Window	Over Sampling	Under Sampling	Sample Rate	Window Offset	SWR	SVM C	gamma
No	No	Yes	100%	5	90	10	8

Table 5.9: Feature Experiment Setup

5.3.2 Feature Set Experiments

This experiment uses different sets of candidate feature to test to explore the available features. The remaining variables were kept constant to allow for the candidate feature sets to be viewed in isolation. These constants are provided in Table 5.9. The value of 90 for SWR was selected based on the value being in the middle of the range experimented on for the previous experiment. The remaining variables are kept the same as the previous experiment in subsection 5.3.1.

Feature	Com	Sig	Name	f_Δ	sf_Δ	t_Δ	Length	$change_{t-1}$
1	●	●	●	●	●		●	●
2	●	●	●	●		●	●	●
3	●	●	●	●		●		●
4		●	●	●		●		●
5	●	●	●	●				●

Table 5.10: Candidate Feature Sets

The candidate feature sets are outlined in Table 5.10. Each set is assigned an index value to allow for easier reference later on. For the remainder of this section the experiment sets will be referenced using the assigned index. Therefore if feature set 3 is referenced then that refers to the candidate feature set in the third row.

The first project, acra, performs well with accuracy and precision maintaining around 0.7 with the recall above in Figure A.65. Similarly the recall is always above 0.75 for each tests even for the feature set that performed the worst. However the rest of the experiments did not perform as well. The accuracy and precision did not
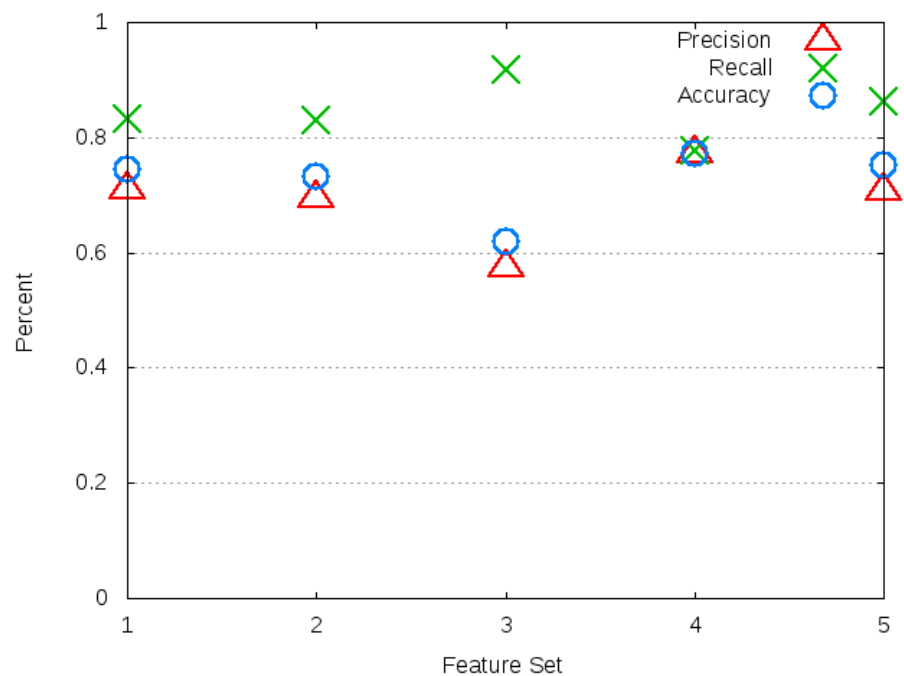
Figure 5.7: Feature for acra using SVM
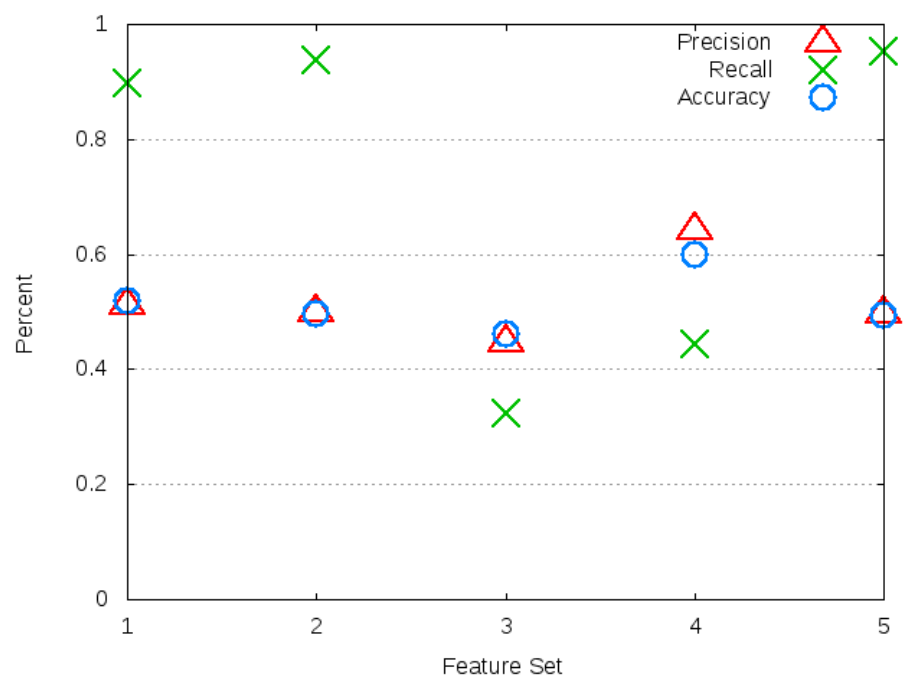
Figure 5.8: Feature for dagger using SVM

73
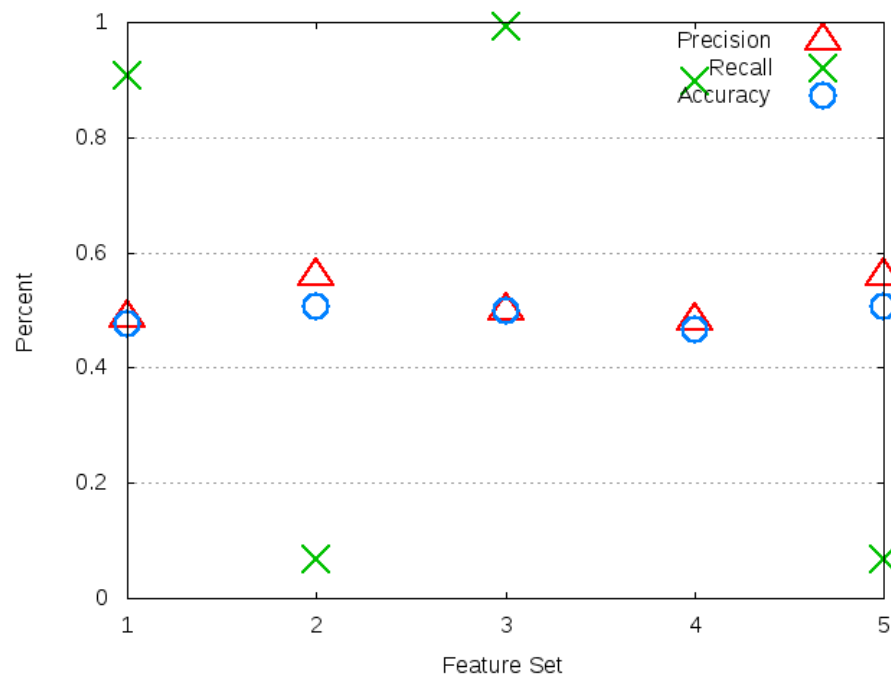
Figure 5.9: Feature for fresco using SVM
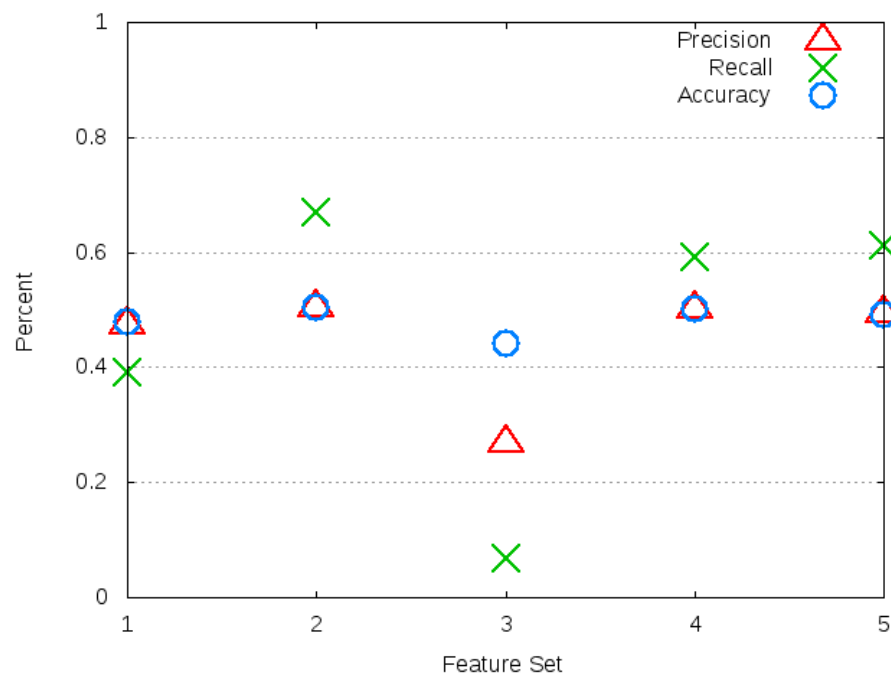
Figure 5.10: Feature for storm using SVM

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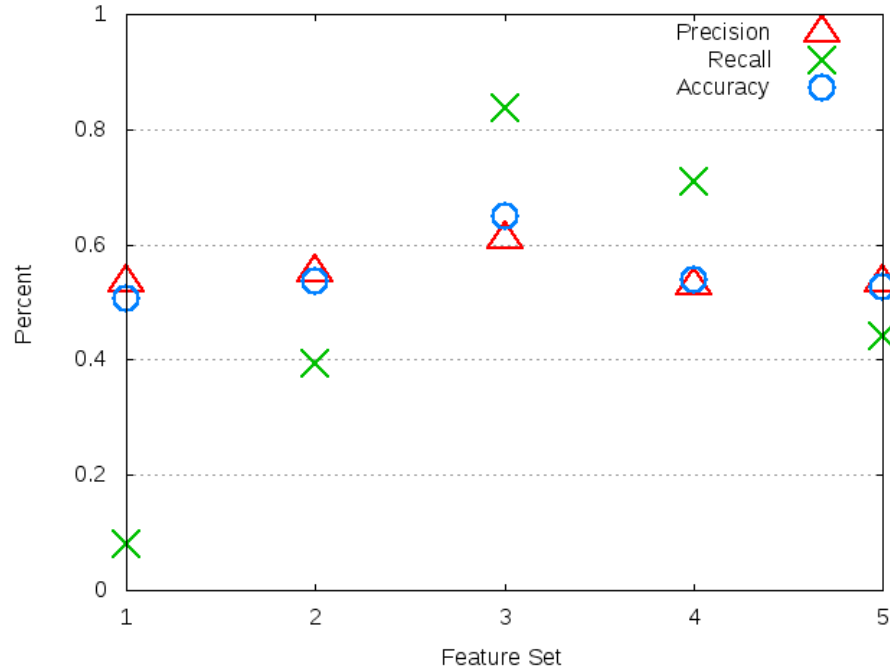
Figure 5.11: Feature for deeplearning4j using SVM

very greatly between the different feature sets. The project dagger in Figure A.84 has mixed results with accuracy and precision staying around 0.5 and recall either very high or low. Likewise for fresco and storm in Figure A.73 and Figure A.76 respectively have very little variations in the accuracy and precision. Some of the feature sets tested provide high recall while others provide low without a clear pattern. Finally, deeplearning4j in Figure A.70 rather similar to results as last two, however unlike the other projects candidate feature set 4 performs the best out of all the feature sets.

5.3.3   SVM Oversampling Experiment

OS is a balancing technique used to increase the amount of samples available. Samples from the smaller data set are re-sampled to increase the size of the data set. While this does introduce duplicates into the model it also counter acts biasing that is present when one classification is more common then the other by a large margin. Under

sampling is also used to remove excess elements from the larger set of classification. OS This is especially useful for data sets that contain a small number of samples for a particular category. In that case under sampling may limit the performance of a model by removing nearly all of the elements in the data set.

Project	SWR	
	Best	Worst
acra	90	130
dagger	60	70
fresco	130	90
storm	80	130
deeplearning4j	120	130

Table 5.11: Best And Worst Results From SWR experiments for SVM

The experiment below took the best and worst trials from subsection 5.3.1 and used OS when sampling the data. For each of these experiments the candidate feature set from Table 5.7 was used. In some cases the best performing experiment may not have been entirely clear. For example with some projects having very high recall ($\geq$ 0.9) while having lower precision and accuracy. The best trail was picked on having the all three parameters higher rather than one an of the values being outlier. Of course accuracy and precision are very closely related and therefore all three were attempted to be maximized rather than just two of the three.

The best and worst from subsection 5.3.1 to determine the effect of OS. In the plots for this section the performance of the SVM model from the experiment in subsection 5.3.1 is represented by either *best* or *worst*. Alternatively, the values for the performance of the SVM model when using OS is represented by either *best-O* or *worst-O*. In Table 5.11, the value of SWR used for to obtain best and worst. For the experiments the SWR will be set to the corresponding value from Table 5.11. Therefore the project *acra*, the trail for *best* and *best-O* both use an SWR of 90.
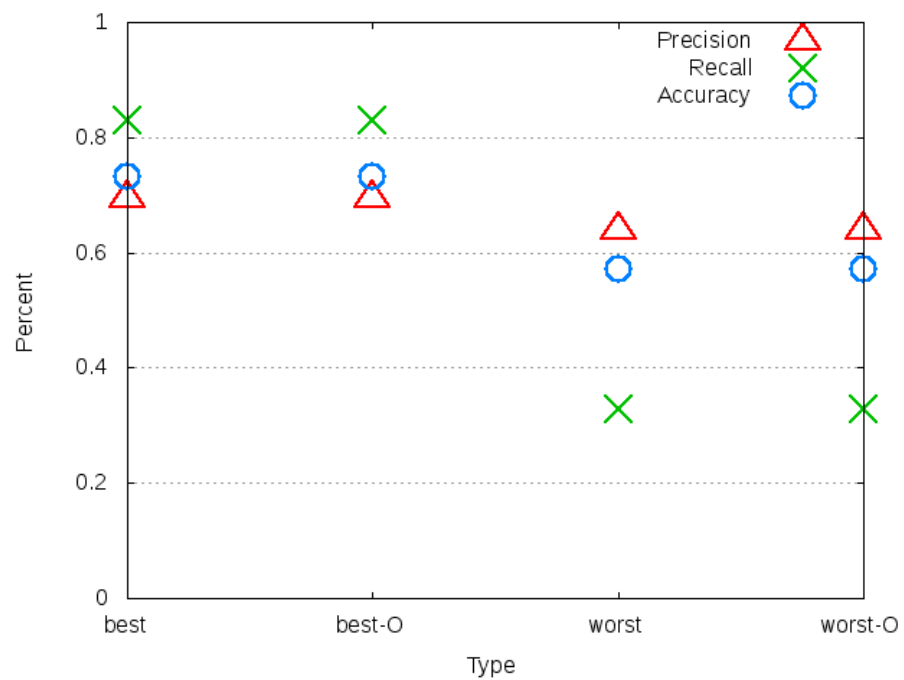
Figure 5.12: Oversampling for acra using SVM
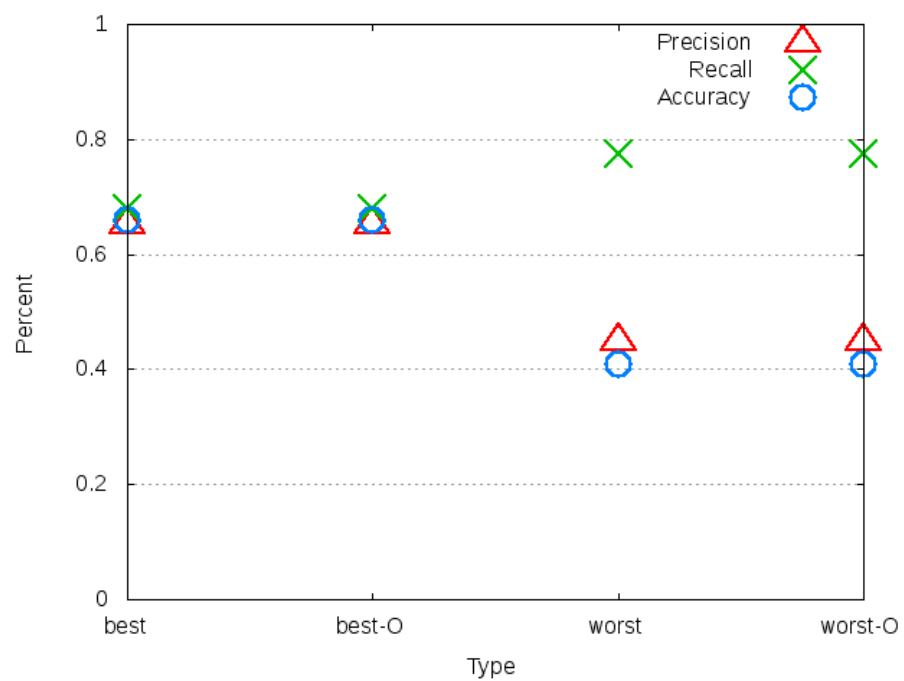
Figure 5.13: Oversampling for dagger using SVM
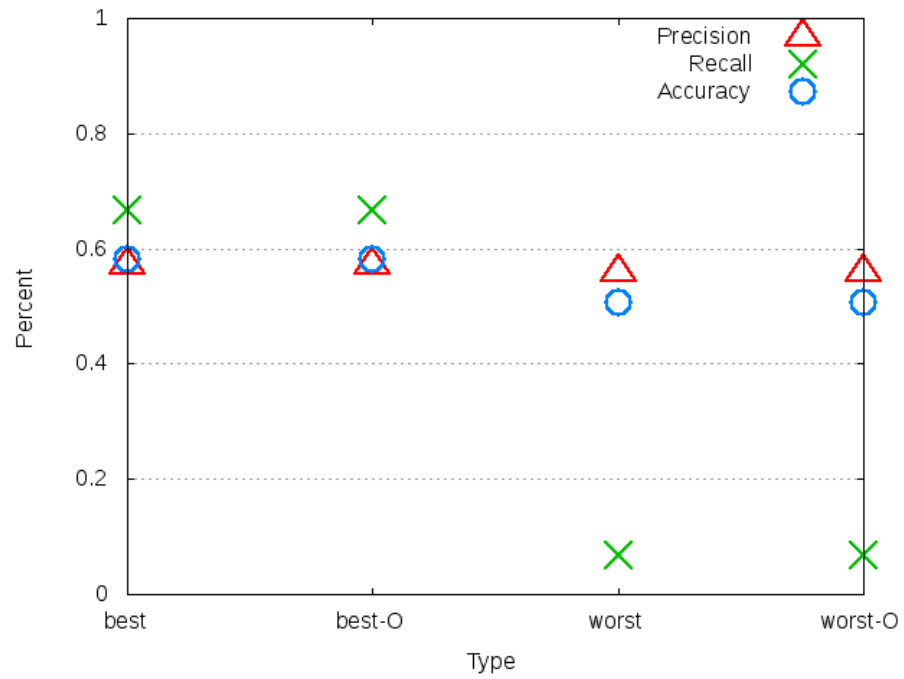
Figure 5.14: Oversampling for fresco using SVM
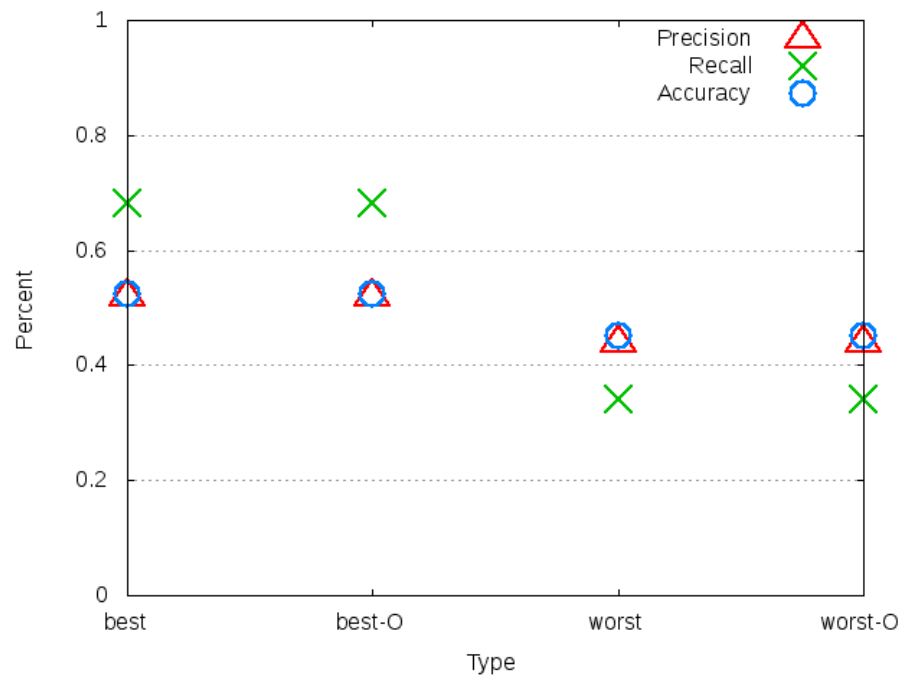
Figure 5.15: Oversampling for storm using SVM
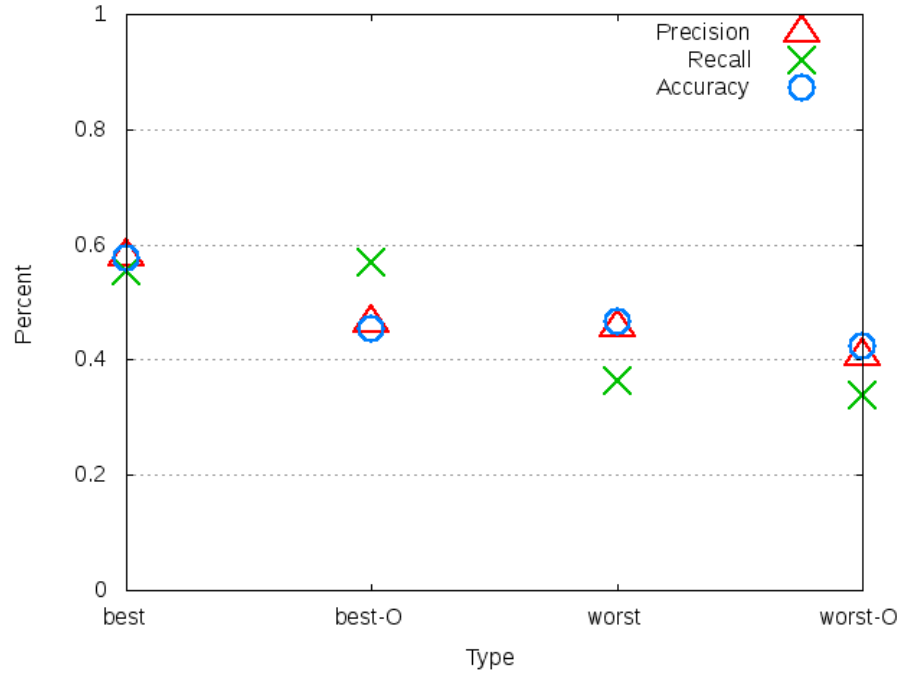
Figure 5.16: Oversampling for deeplearning4j using SVM

Furthermore, for *worst* and *worst-O* will use an SWR of 130.

The majority of the experiments there is little to no impact whether OS was used or not. The only experiment that OS had a noticeable impact was deeplearning4j. In Figure 5.16 the performance of both the best and the worst with OS is worse with the exception of a slight improvement for the recall in the best trials.

5.3.4   SVM Discussion

The three different experiments attempted to determine the impact of the different factors on the prediction method. The three factors that were tested are:

1. SWR

2. Model features

3. Sampling balancing

Overall SWR had the greatest impact on the performance of the prediction method. While the SWR does have a large impact some projects are less affected. Specifically, the accuracy and precision remained rather stable will primarily small variations for any change in SWR, model features and sampling balancing. The model's recall however, tended to vary more greatly for the first two experiments. Small changes to the SWR will result in large changes to the recall. Likewise in some of the trails from the second experiment the recall will change drastically with slightly different sets of features. While positive results were achieved negative results also were present. Furthermore, no clear pattern was discovered to allow for simple configuration of the parameters to provide positive results. Therefore use of this approach with a SVM model can be beneficial but also incurs a risk associated with poor predictions.

5.4   Random Forest Experiments

The machine learning algorithm RF is used for the second set of experiments. RF was selected as an alternative to SVM for it's success in various data mining related tools. The implementation of RF is in a python library *scikit-learn* which is outlined in section 4.2. Only one parameter is used for RF, the forest size, which is set to 10000 all of these experiments.

5.4.1   Window Range Experiments

Com	Sig	Name	f_Δ	sf_Δ	t_Δ	Length	$change_{t-1}$
●	●	●	●		●	●	●

Table 5.12: SWR Experiment Features

The independent variable for this set of experiments is the sample window size

Extended Window	Over Sampling	Under Sampling	Sample Rate	Window Offset	RF Size
No	No	Yes	100%	5	10000

Table 5.13: SWR Experiment Setup
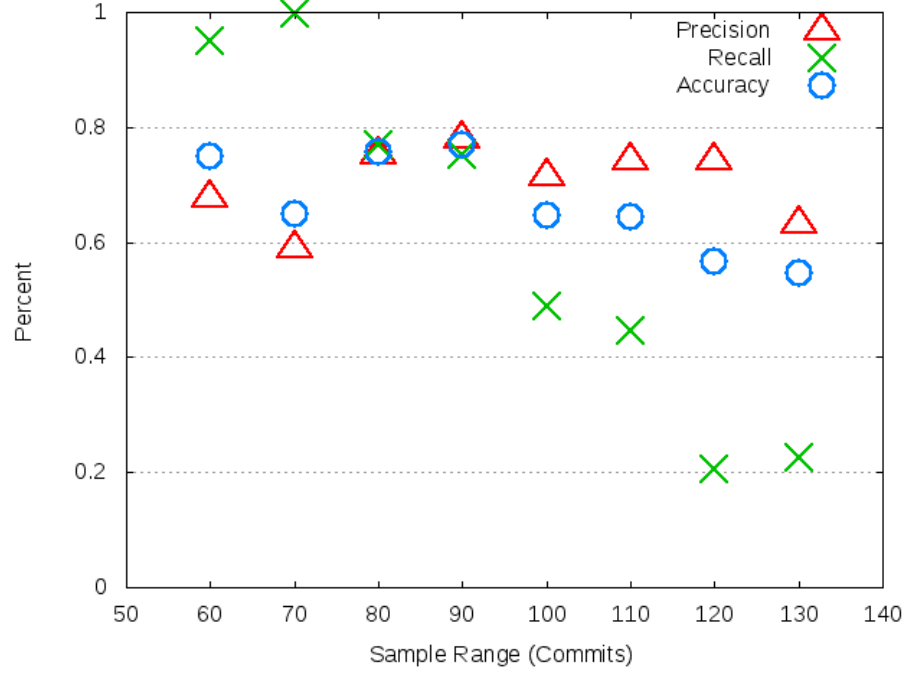
Figure 5.17: SWR for acra using RF

measured in commits. The candidate features are outlined in Table 5.12. The features used for this experiment is the same as the first SVM experiment the candidate feature set.

The parameter for this experiment are outlined in Table 5.13. The major difference between the SVM and this experiment, RF, is the parameters used for the RF. This allows for a fairly clear comparison between these two methods with the given independent variable, the SWR.

The results for the experiments with the independent variable sample window size using random forest were mixed. Both acra in Figure A.24 and dagger in Figure A.58
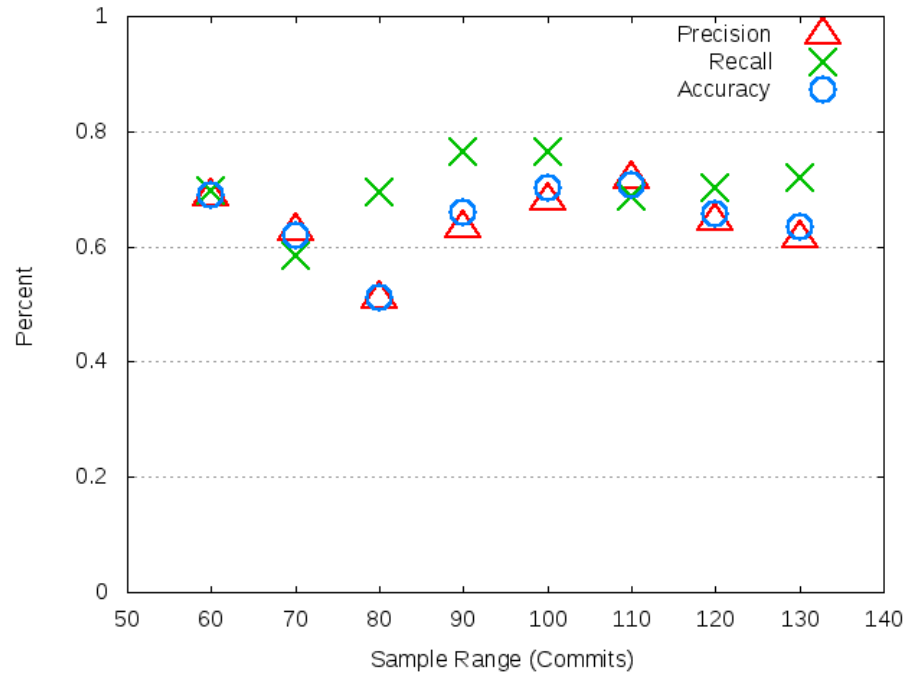
Figure 5.18: SWR for dagger using RF
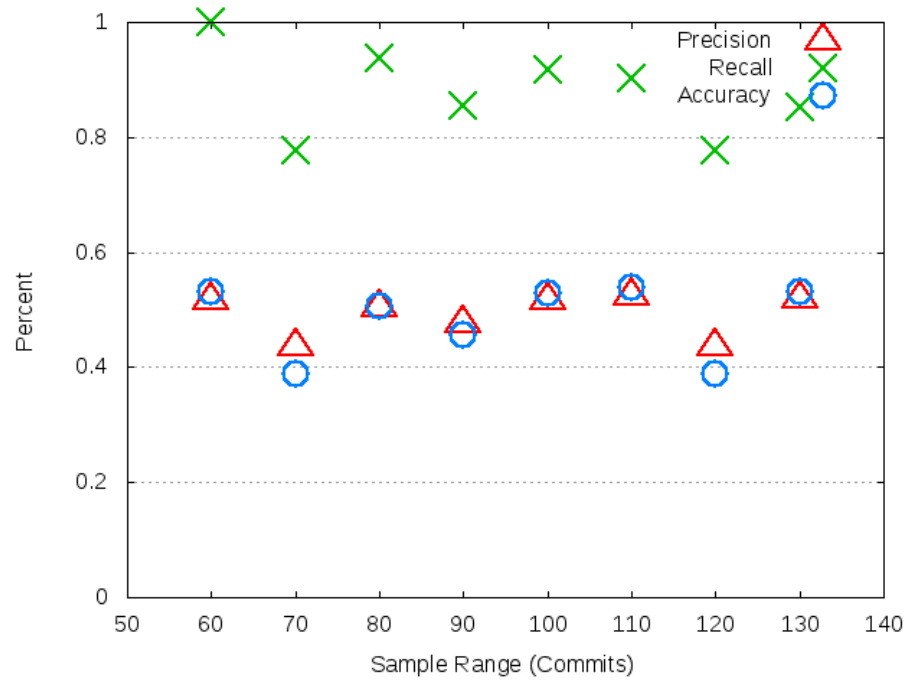
Figure 5.19: SWR for fresco using RF
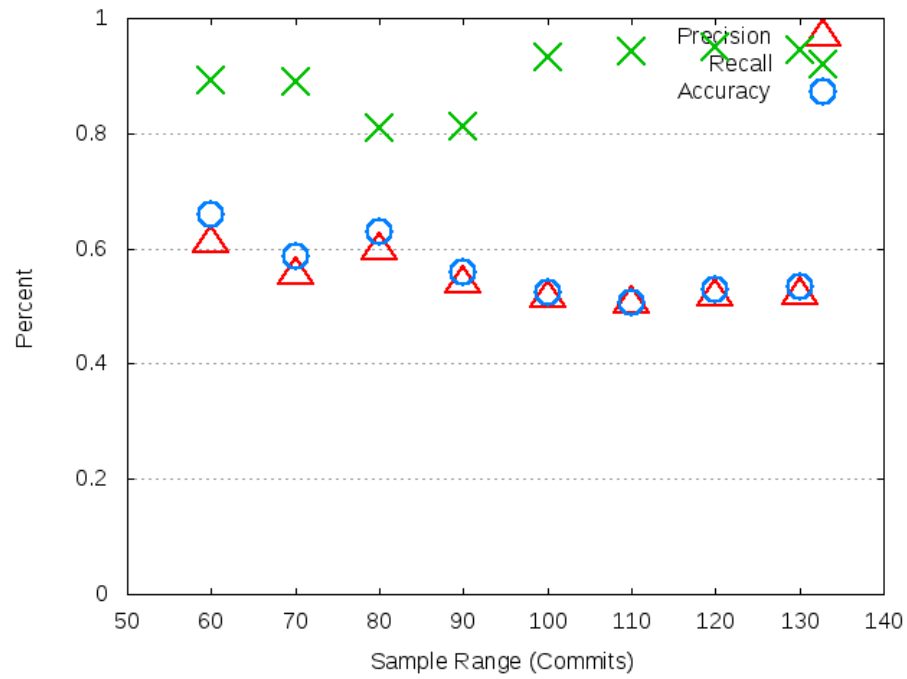
Figure 5.20: SWR for storm using RF
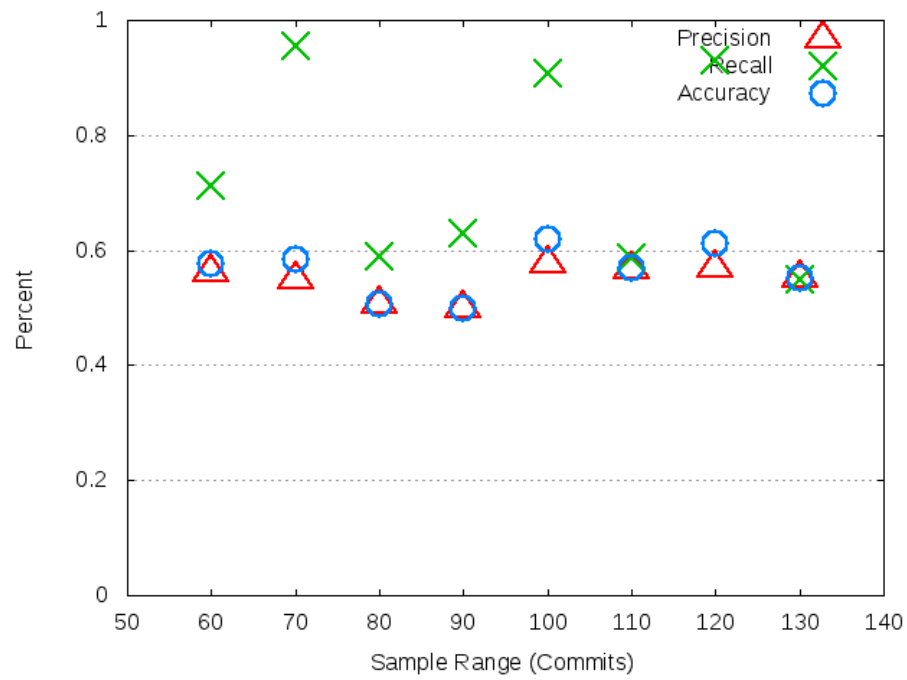
Figure 5.21: SWR for deeplearning4j using RF

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have strong prediction results. While the remainder of the projects; fresco in Figure A.38, storm in Figure A.43 and deeplearning4j in Figure A.33 did not perform as well. For these projects the accuracy and precision were lower, the recall was very high.

While acra had positive results several values of SWR, as the SWR increased the recall decreased as well. Both acra and dagger also had a larger variation in performance for accuracy and precision than the remaining three projects. The actual difference however was smaller with the largest variation of around 0.2. The lack of a large variation for different values of SWR points to the independent variable not having as strong of impact on the performance. The variable is still useful to help provide a better result but is not the main contributer.

5.4.2 Feature Set Experiments

Extended Window	Over Sampling	Under Sampling	Sample Rate	Window Offset	SWR	RF Size
No	No	Yes	100%	5	90	10000

Table 5.14: Candidate Feature Experiment Setup

Feature	Com	Sig	Name	f_Δ	sf_Δ	t_Δ	Length	$change_{t-1}$
1	●	●	●	●	●		●	●
2	●	●	●	●		●	●	●
3	●	●	●	●		●		●
4		●	●	●		●		●
5	●	●	●	●				●

Table 5.15: Candidate Feature Sets

Similar to the experiment using a SVM in subsection 5.3.2. The experiment parameters are outlined in Table 5.14. The candidate features are likewise outlined
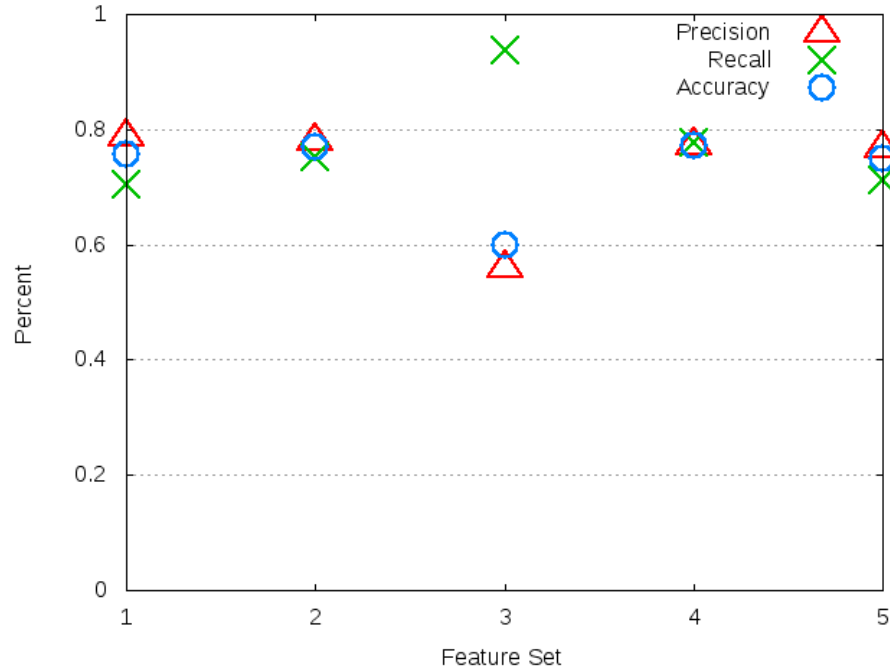
Figure 5.22: Feature for acra using RF

in Table 5.15. Each set is assigned an index value to allow for easier reference later on in this section. The candidate feature set will be referenced by the index assigned in the plots and discussions related. The candidate feature sets were used experimented on with each project which are discussed below.

Generally the experiment results were not particularly strong. In Figure A.88 the performance for candidate feature sets 1, 2, 3, 5 were all high and is the performs the best out of all the other projects. For dagger in Figure A.107 the result for candidate feature set 1 is high where as the rest are far worse. The remainder of the projects the accuracy and precision are less than 0.6. In Figure A.96 and Figure A.99 the recall is far more consistently high. However deeplearning4j has generally low results for accuracy, precision and recall.
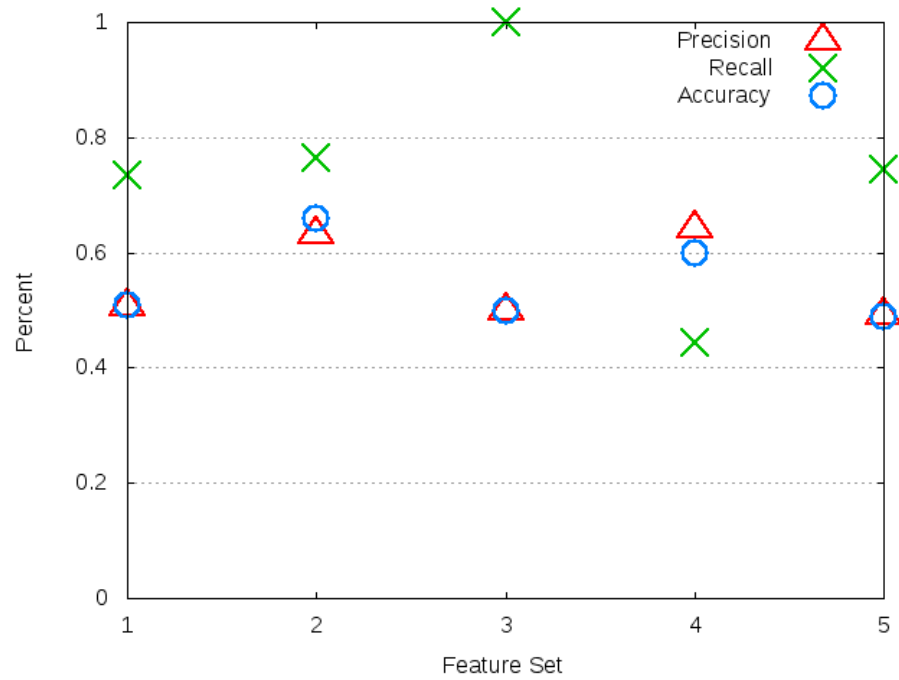
Figure 5.23: Feature for dagger using RF
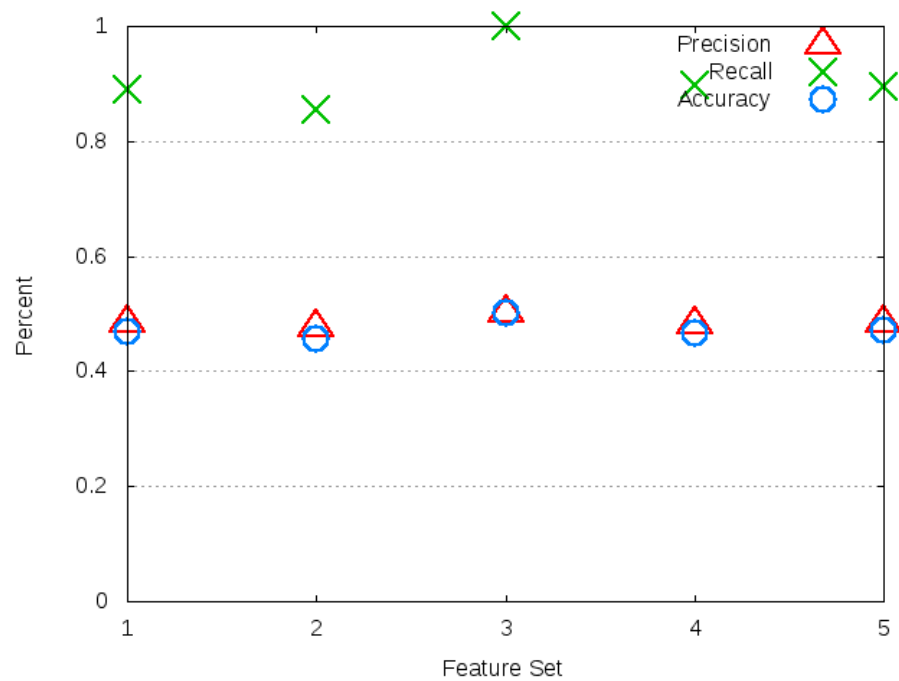
Figure 5.24: Feature for fresco using RF
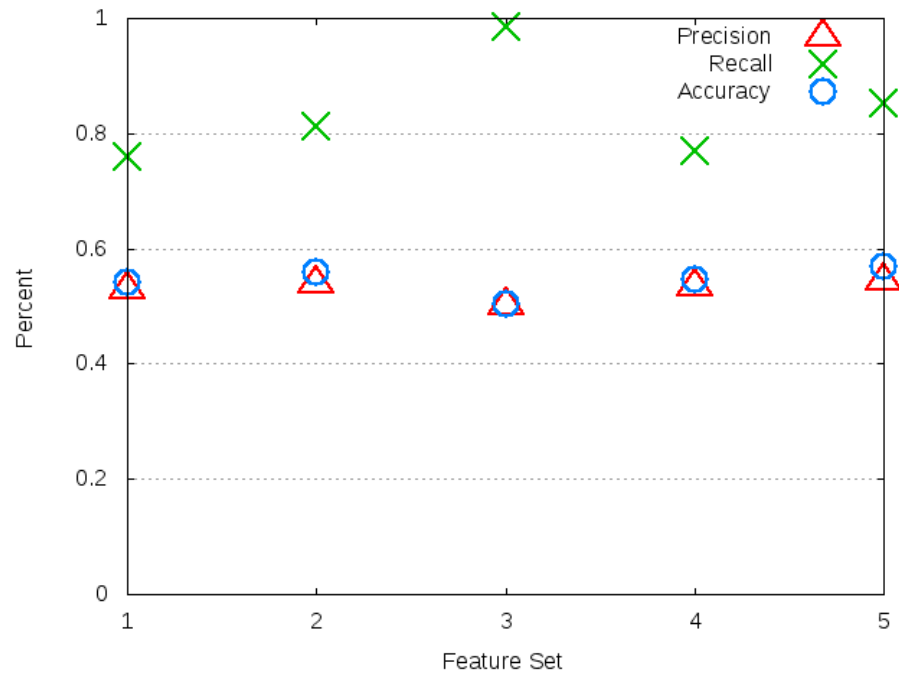
Figure 5.25: Feature for storm using RF
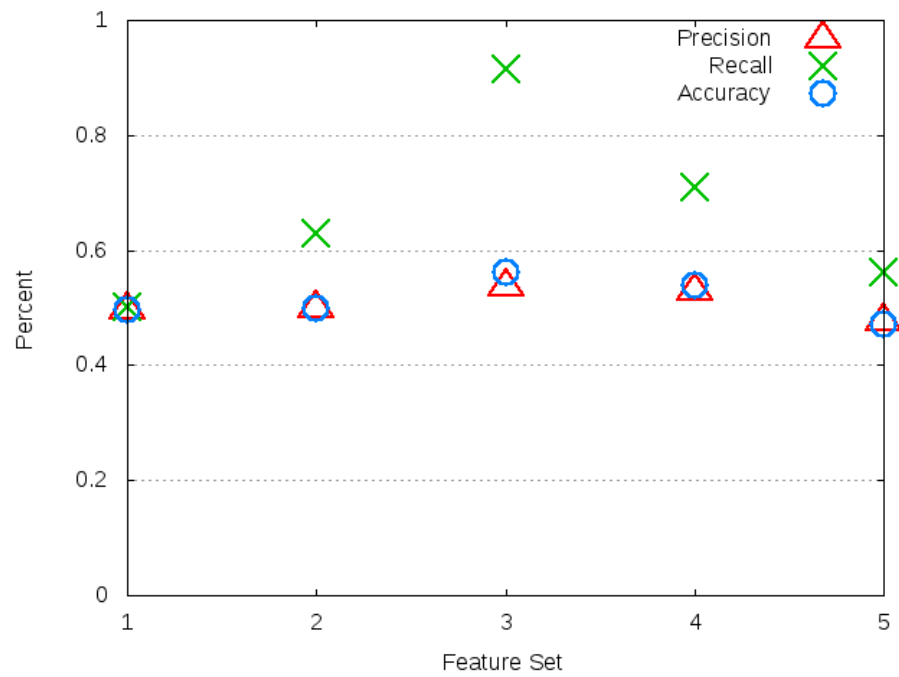
Figure 5.26: Feature for deeplearning4j using RF

Com	Sig	Name	f_Δ	sf_Δ	t_Δ	Length	$change_{t-1}$
●	●	●	●		●	●	●

Table 5.16: OS Experiment Features

Extended Window	Under Sampling	Sample Rate	Window Offset	RF Size
No	Yes	100%	5	10000

Table 5.17: OS Experiment Setup

5.4.3   Oversampling Experiment

This experiment builds on top of the previous experiment in subsection 5.3.1 and shares a very similar setup as the previous experiment. The features used for this experiment are outlined in Table 5.16. The experiment parameters are outlined in Table 5.17. The best and worst trails for each project are taken from the previous experiment. The value of the SWR was recorded in Table 5.18 for each project for the best and worst performance of the RF model. The experiment uses these trails that were preformed without OS to compare against new trials that use the exact same parameters except for the use of OS.

Project	SWR	
	Best	Worst
acra	90	130
dagger	90	80
fresco	60	70
storm	60	70
deeplearning4j	100	80

Table 5.18: Best And Worst Results From SWR Experiments for RF

The result of a trail with OS are represented in the figures by either *best-O* or *worst-O*. The results without OS from the previous experiment are represented with
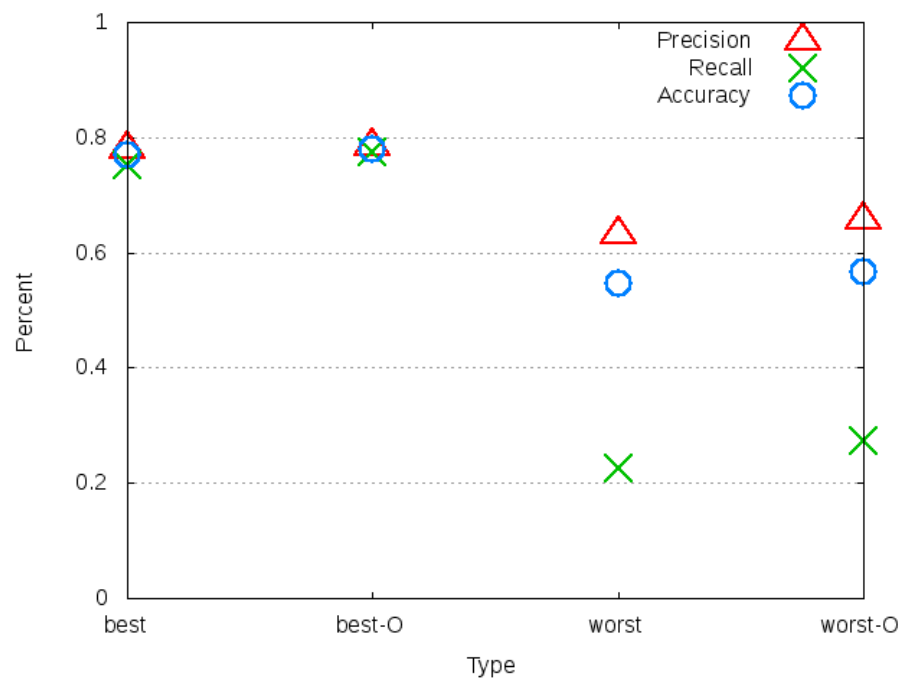
Figure 5.27: Oversampling for acra using RF

best and *worst*.
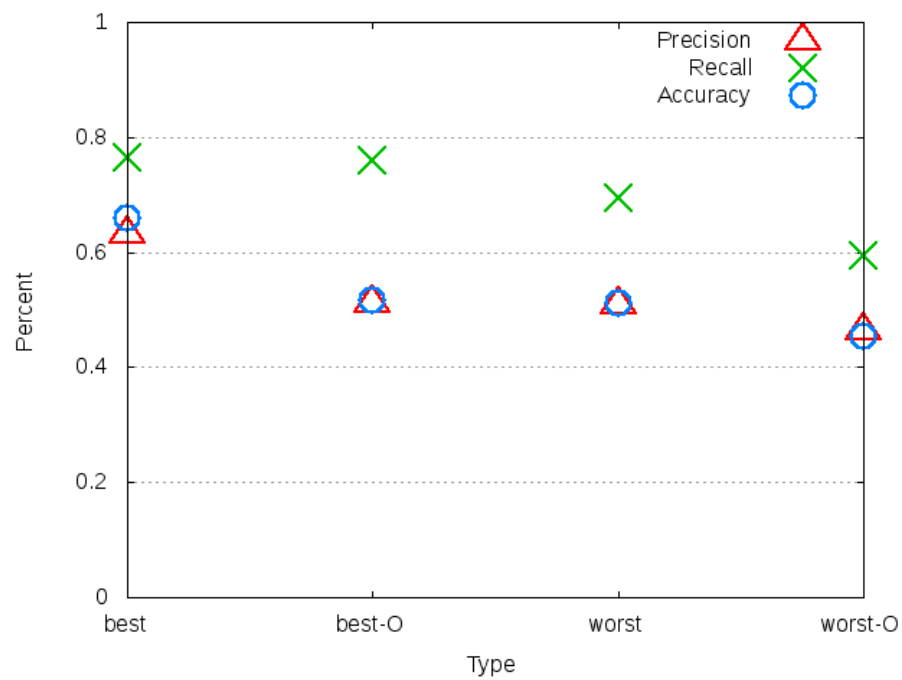
Figure 5.28: Oversampling for dagger using RF
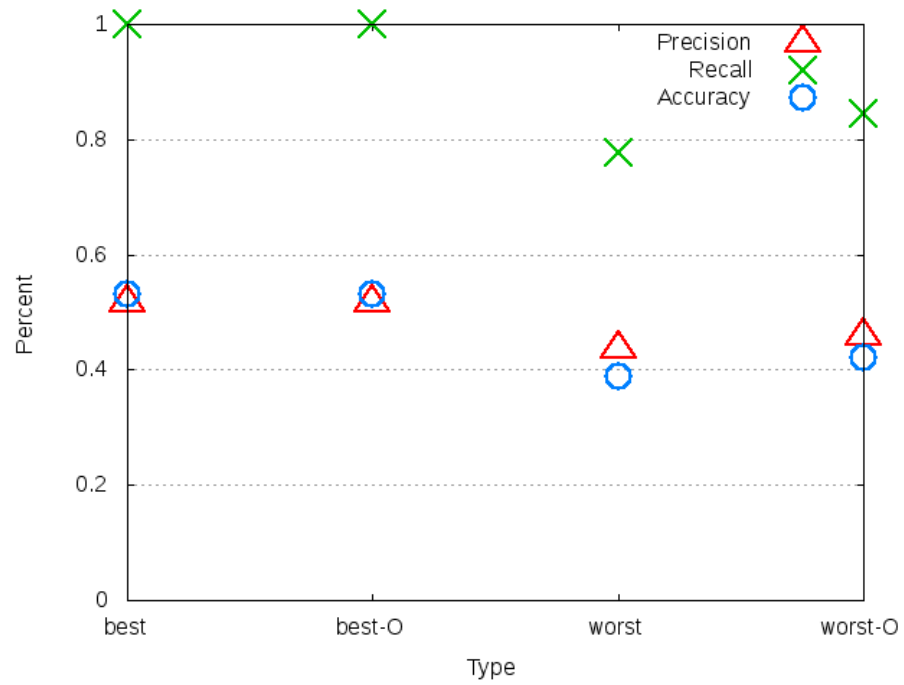
Figure 5.29: Oversampling for fresco using RF
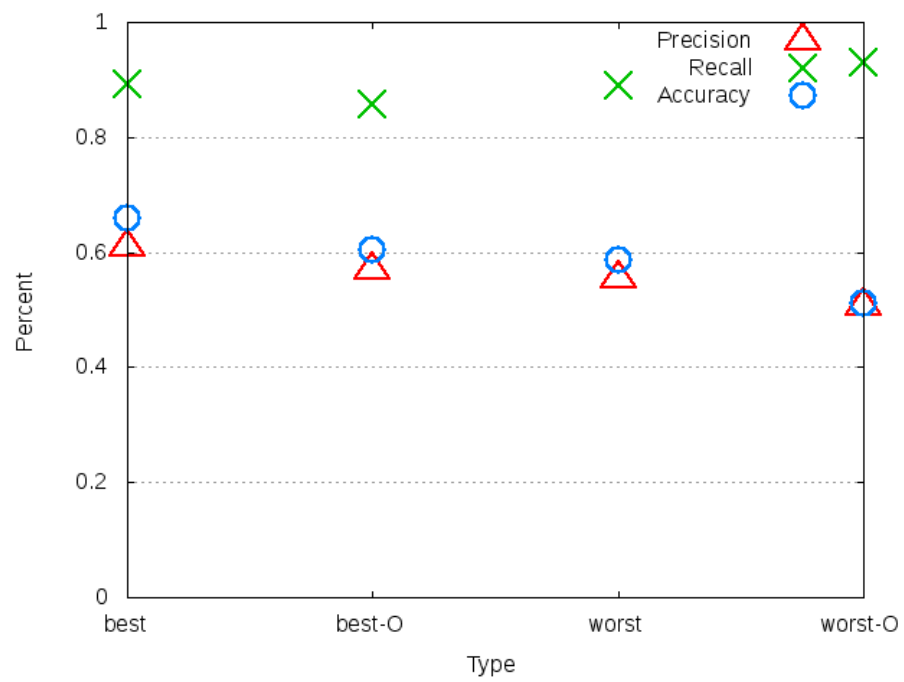
Figure 5.30: Oversampling for storm using RF
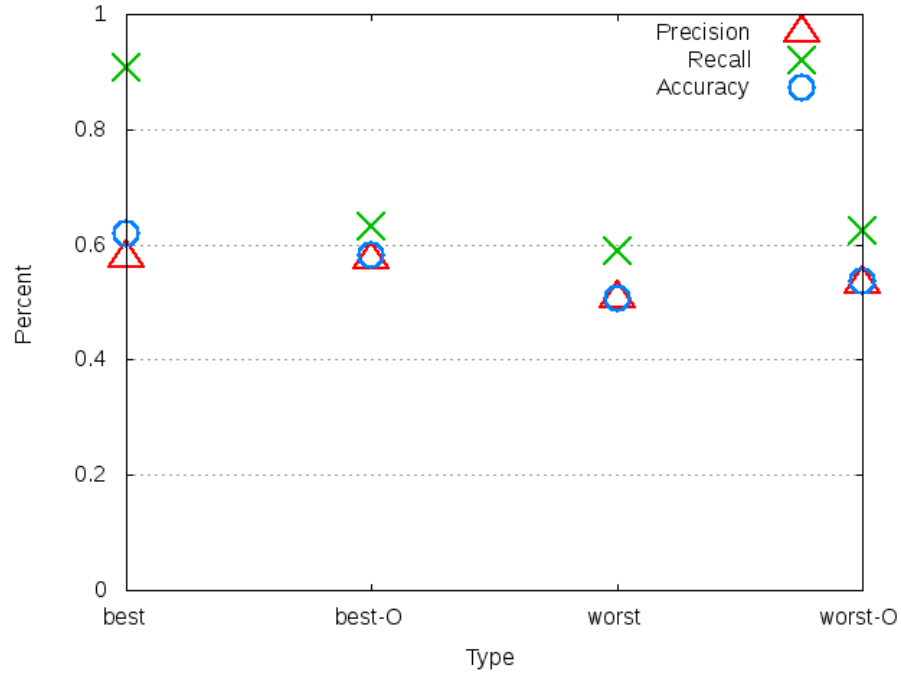
Figure 5.31: Oversampling for deeplearning4j using RF

The results for acra showed a slight improvement for both the best and worst case when using OS in Figure 5.27. While the improvements were marginal they are still present nonetheless. Similarly, fresco in Figure 5.29 also had a slight improvement in the performance for all three parameters for both *best-O* and *worst-O*.

While both acra and fresco had slight improvements, dagger in Figure 5.28 and storm in Figure 5.30 both had decreases in performance when OS was employed. The decrease in performance for the precision, accuracy and recall was small. Storm did have a slight improvement in the recall for *worst-O* over *worst*. The final project, deeplearning4j, had mixed results with the use of OS. In Figure 5.31, the performance for *best-O* was worse than the original experiment. However the performance of the *worst-O* was marginally better than the original.

5.4.4 Random Forest Discussion

The approach was experimented on using the machine learning algorithm RF. The three factors; SWR, feature set and OS were investigated. The first factor, SWR, had positive results for the two smaller projects and lower performance for the remaining projects. The changes made to the SWR caused little variation between samples. Of course there were some exceptions, for example the recall for acra decreased at 80, 100 and 120. Each drop was around 0.2 and in total led to a fall of 0.8. This should however not lessen the positive performance achieved especially in projects like acra.

The second experiment also had very small variability for different values of the independent variable. This time however the independent variable was the feature set used to train and predict with the RF model. The accuracy and precision for each project's trial set experienced very small changes. The only two projects to experience a higher amount of variability for the accuracy and precision were acra and dagger. For acra the third feature set decreased the performance. For dagger the first, third and fifth all decreased the performance to around the same level with the second and fourth both providing better performance at around the same level as well. The recall remained high for all projects except for dagger and deeplearning4j. In dagger the recall for the fourth feature set is far lower than the other feature sets at 0.45. Finally, deeplearning4j for the first feature set has a recall of around 0.5 and for the fifth feature set around 0.55. The different feature sets lead to small variations in performance for the RF. No clear relationship was determined however, since each project will perform different for given feature sets. For example, acra performs the best with feature set 4. Alternatively, dagger performs best with feature set 2 (which acra also performed well with). For the remaining 3 projects very variation occurs between performance for the 5 feature sets. Another factor of interest is that both acra and dagger had performed well for in the initial experiment using an SWR of

90. The other three projects which did not perform as well in this experiment also did not perform well at the given SWR value of 90.

Finally for the third experiment the factor of OS was investigated. The best and the worst results were taken from the first experiment. OS was applied to using the same parameters as before. While some projects saw small improvements with the use of OS, others saw the performance reduce or stay the same. Overall this experiment shows that OS provides little impact that is inconsistent per project. Since the any increase or decrease to the performance were marginal the impact of OS on the project is small. While use of OS for some projects provided an improvement, others the use of OS was a detriment. The The reason for this could have to do with RF being a fairly robust to biasing. However Since the original experiment was already balanced using under sampling the experiment had less to do with the balance of the data set and more to do with the number of samples used. As noted earlier, when both OS and undersampling are used together then the number of samples re-sampled from the smaller category will be at most double the original amount.

5.5   Threats to Validity

This wider experimentation also proved to be very beneficial for the analysis of the method since performance was not consistent across all projects. A concerted effort was made to contrasting positive results for one project with negative results. Such a contrast may mitigate the impact of the positive results, however provide the full context and help direct future work in this area.

Each experiment was designed to attempt to provide a robust setup to measure accurately the performance of the approach given the changes to the current factor. The setup was designed to attempt to preventing the influence of other variables

beyond the independent variable. The factors that may have had an influence on the experimental results are the third experiment only sampling the extremes (best and worst).

A major concern with the final experiment was that of the sampling of the best and worst results from the previous experiments to test the use of OS. While the results of the use of OS should not be discounted, only the sampling the extremes of the previous experiment may have limited the measurable impact of the use of OS. This experiment could be extended to test the middle performance or even test each trail from the previous experiments.

The differences between the projects prevented a more direct comparison between the projects. Furthermore, as shown through the experiments, certain projects (acra, dagger) generally performed better than other projects (fresco, storm, deeplearning4j). This leads to the conclusion that certain project related factors have a large impact on the performance of the approach. Further investigation into these project specific factors could lead to improved results for the approach.

Chapter 6

Conclusions

We proposed a method that leverages the commit history to predict future changes within the project. The changes that are predicted are in the short term of 5 commits. The approach was then tested on five different OSS projects developed in Java. The tests investigated the different factors that impacted the performance of the approach. The results of the tests show that while the SWR had a strong impact on the performance, the projects themselves often had internal factors which caused differences in performance.

The contributions of this work are:

1. Providing an approach that with some success can predict future changes within a project using the commit data. Both SVM and RF are viable for some projects.

2. Determined which factors more strongly influence the performance of the predictions. Out of the three factors investigated, the SWR proved to have the greatest impact for both SVM and RF.

Future work includes investigating the projects and they differ in the way they

change. The two projects that tended to do will together were acra and dagger which also shared similar project characteristics. Finally a more extensive look at the other factors that were involved in the approach to determine their impact of the approach.

Appendix A

Experimental Data

A.1   Experiment 1

A.1.1   Support Vector Machine
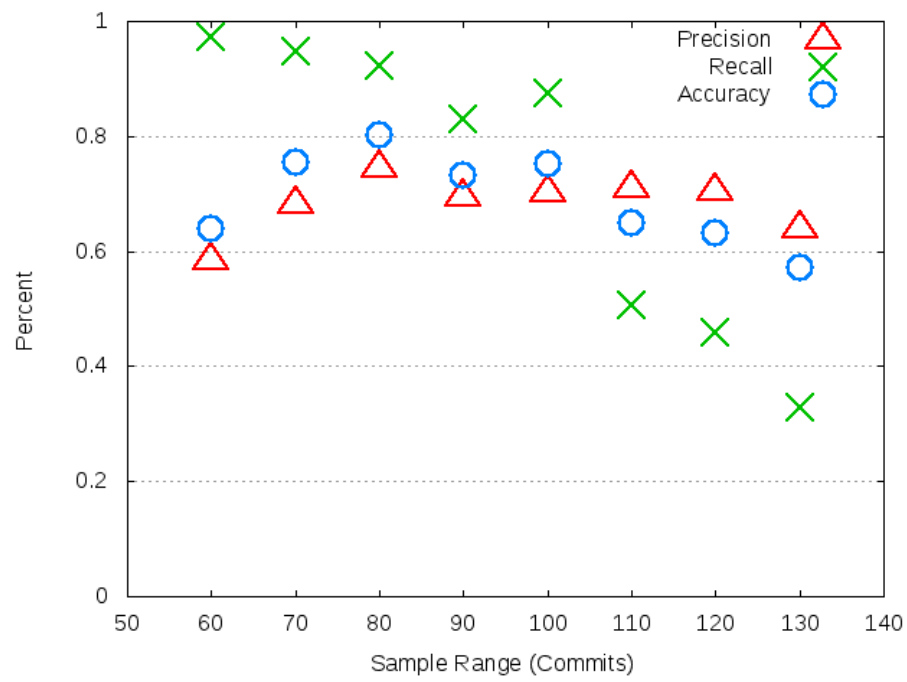
Figure A.1: SWR for acra using SVM
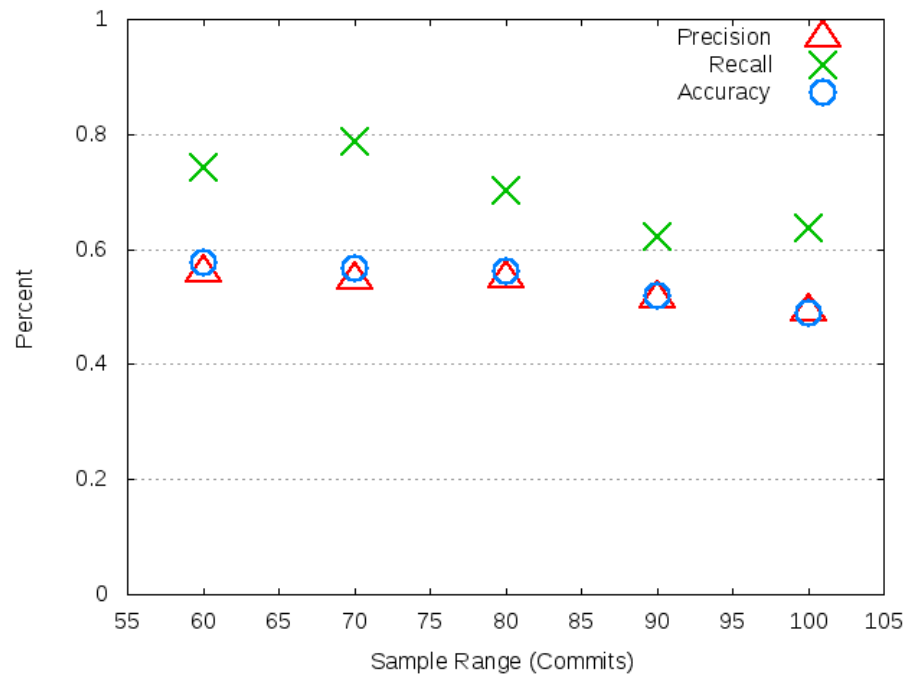
Figure A.2: SWR for yardstick using SVM

98
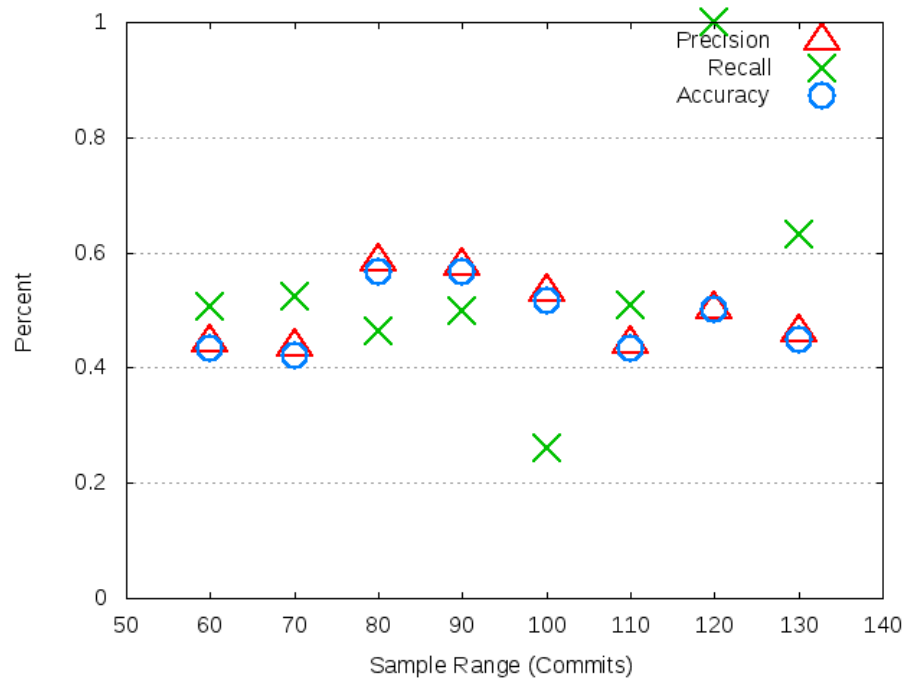
Figure A.3: SWR for cardslib using SVM
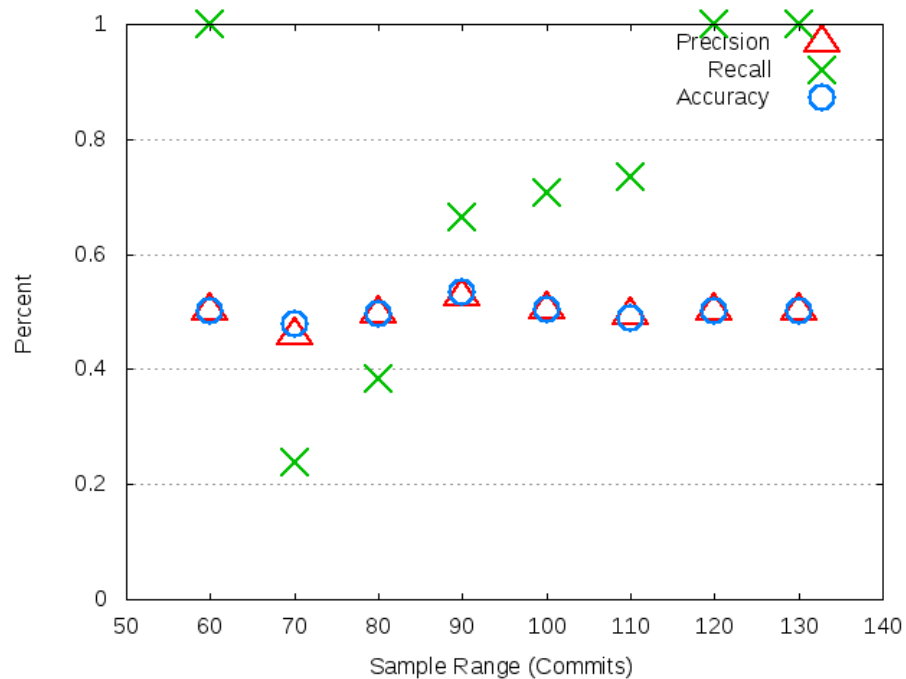
Figure A.4: SWR for greenDAO using SVM
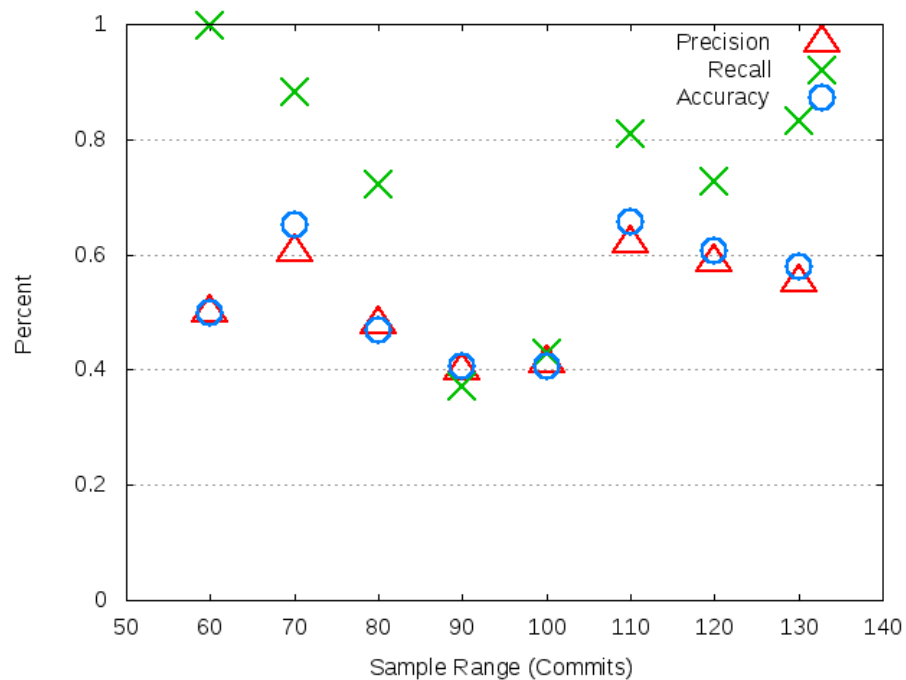
Figure A.5: SWR for mapstruct using SVM
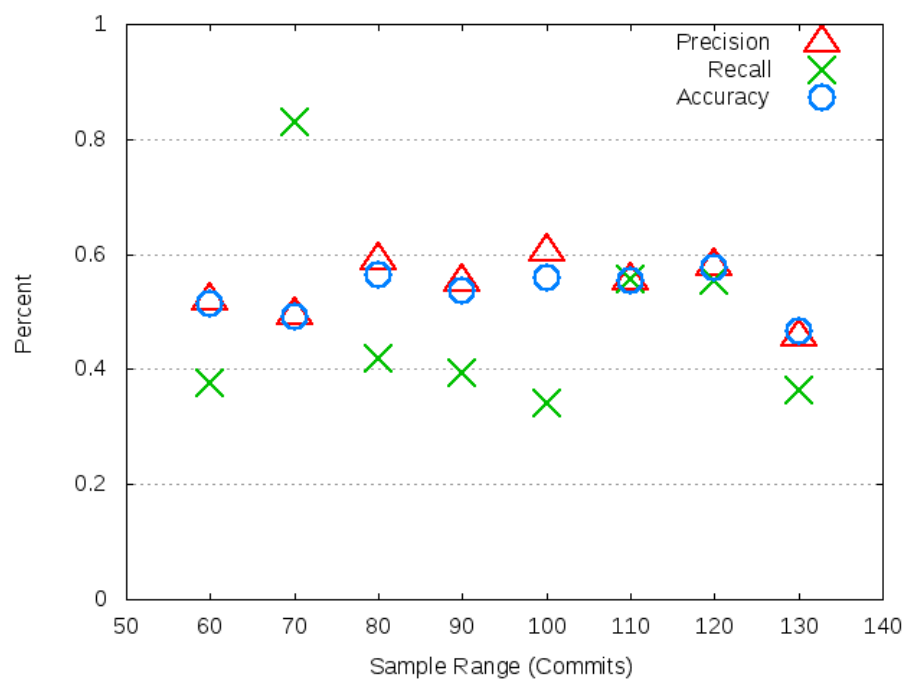
Figure A.6: SWR for deeplearning4j using SVM
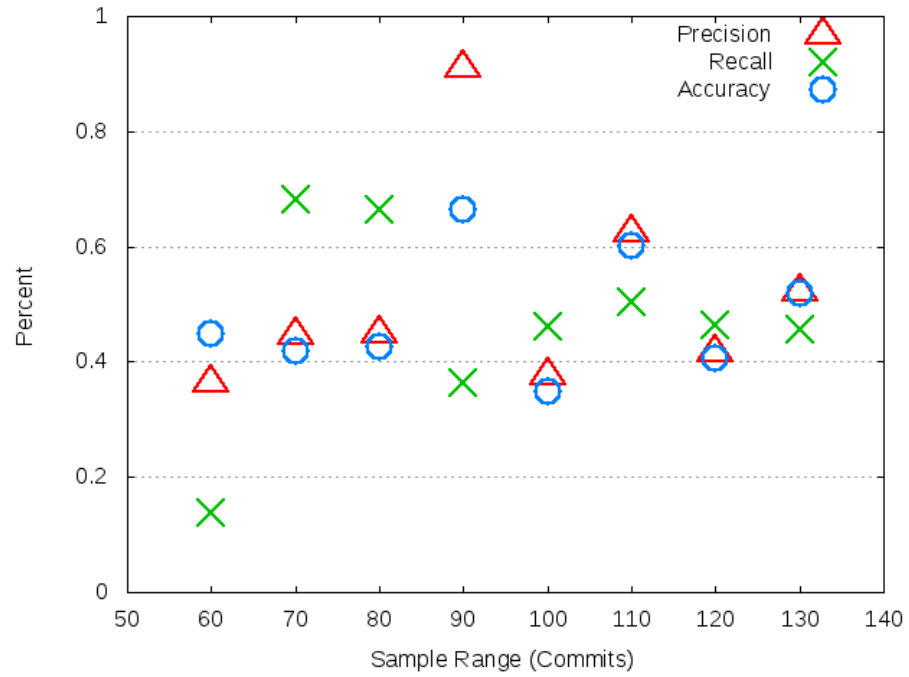
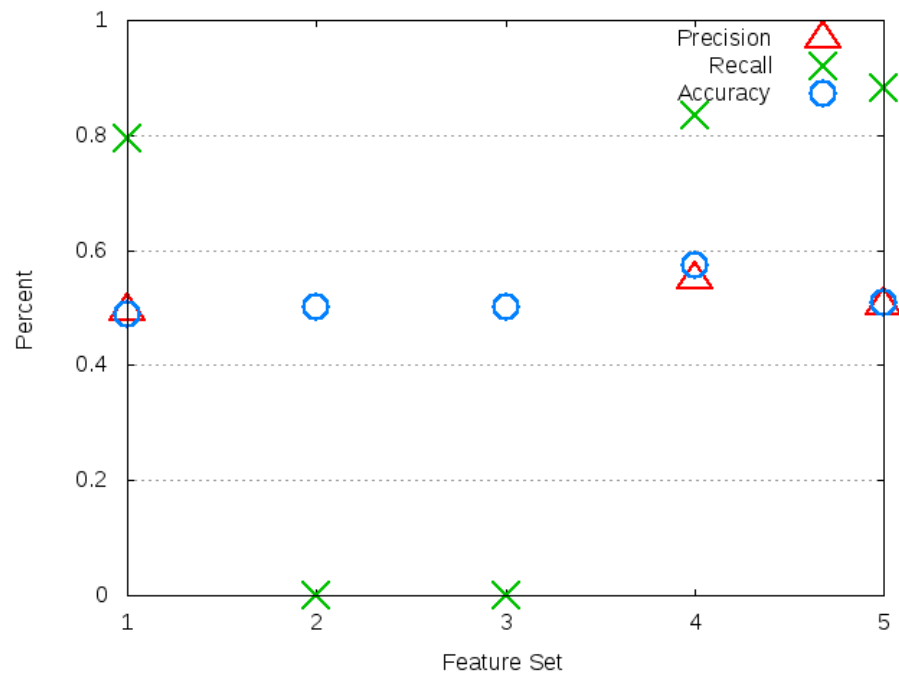Figure A.7: SWR for governator using SVM
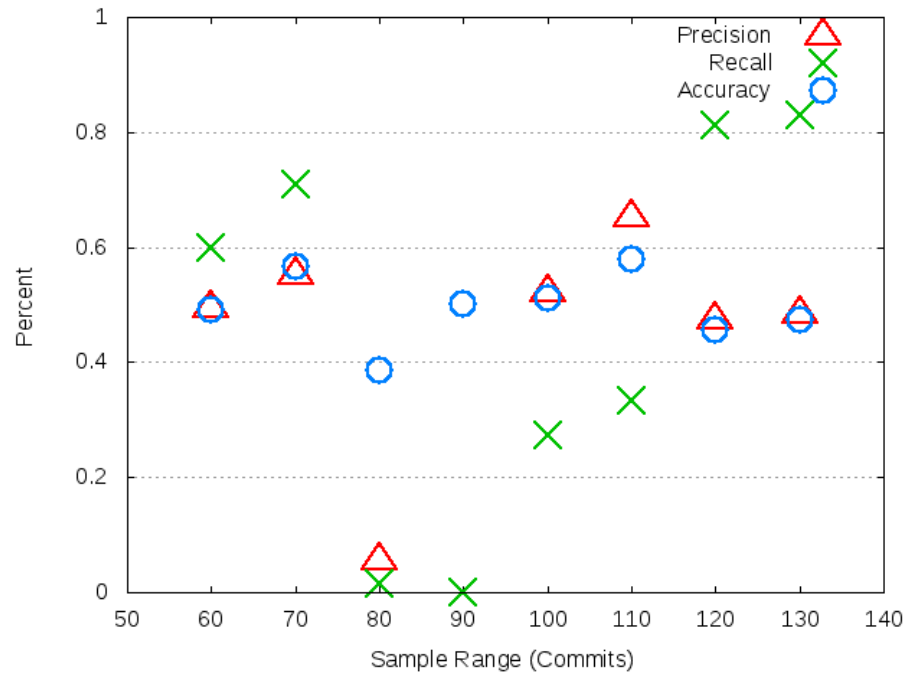

Figure A.8: SWR for arquillian-core using SVM
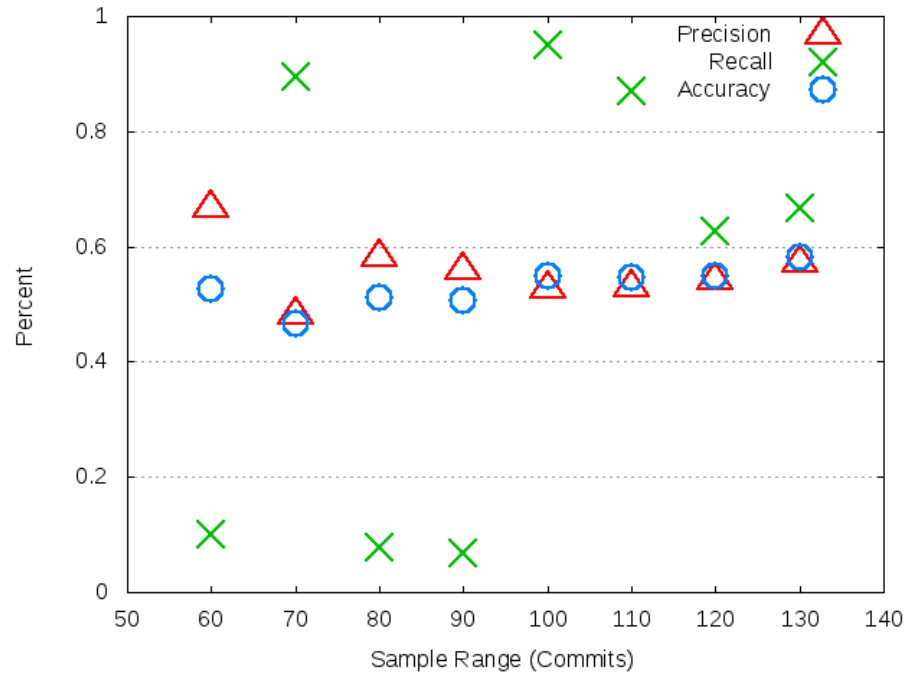
Figure A.9: SWR for fresco using SVM
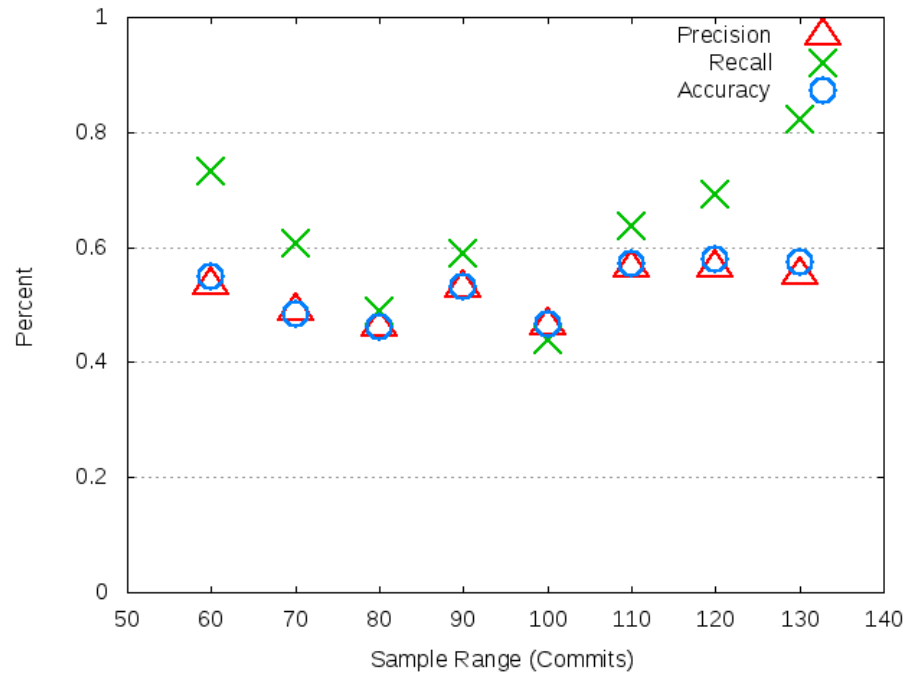
Figure A.10: SWR for jadx using SVM
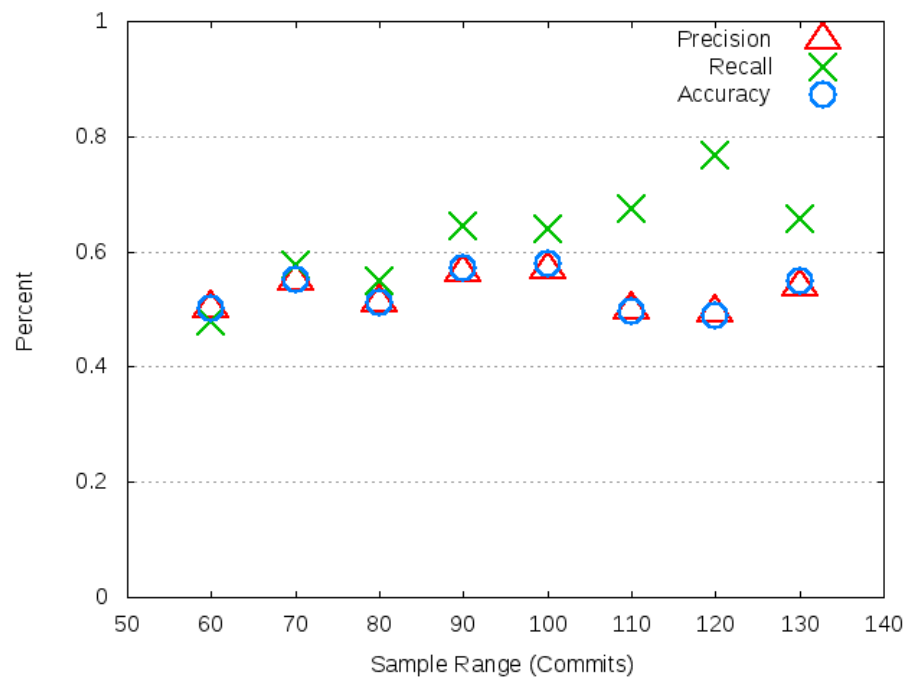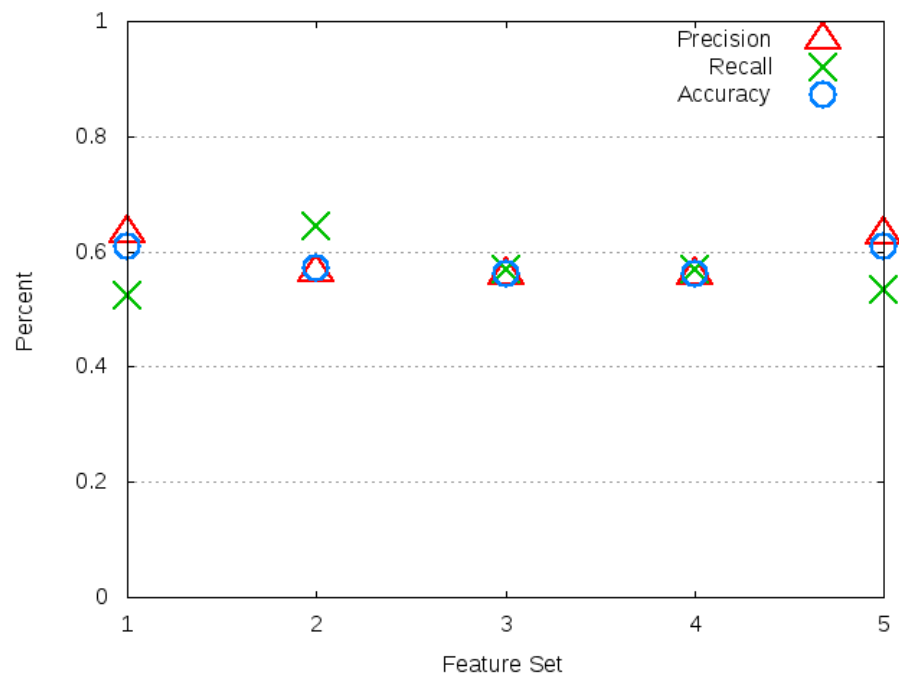
Figure A.11: SWR for nettosphere using SVM
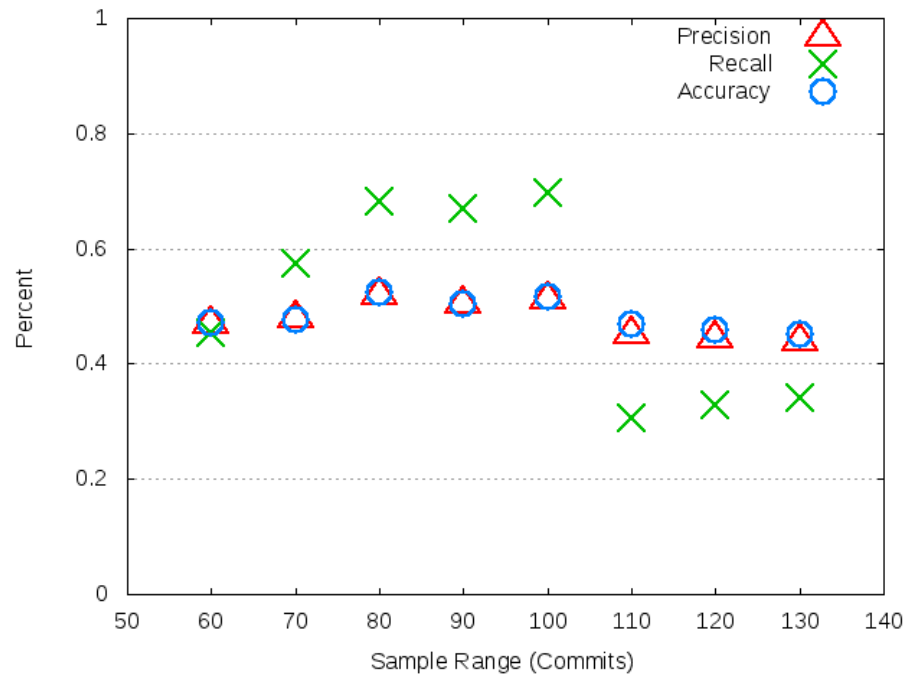
Figure A.12: SWR for storm using SVM
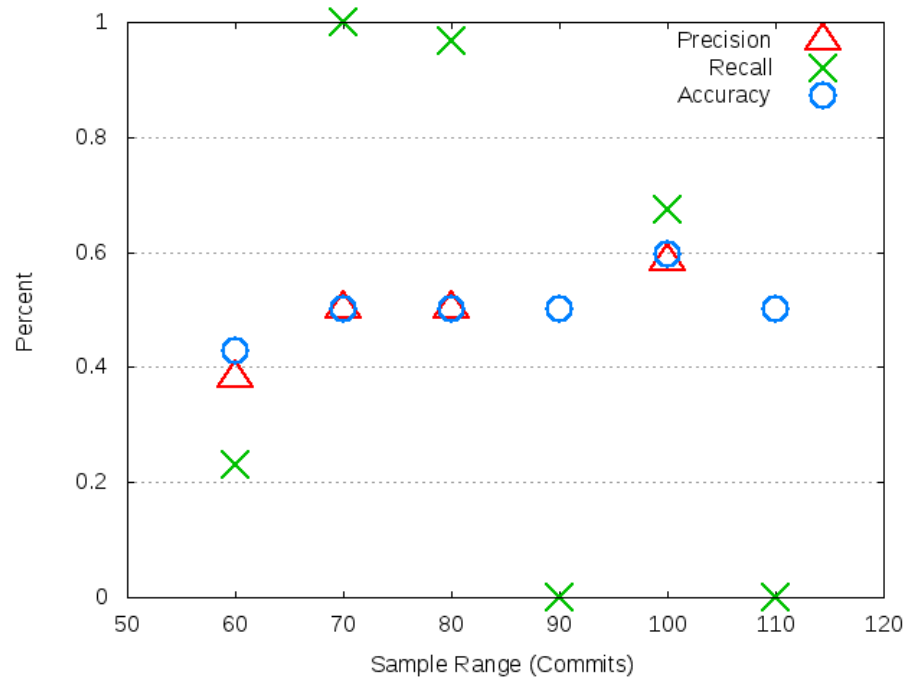
Figure A.13: SWR for smile using SVM

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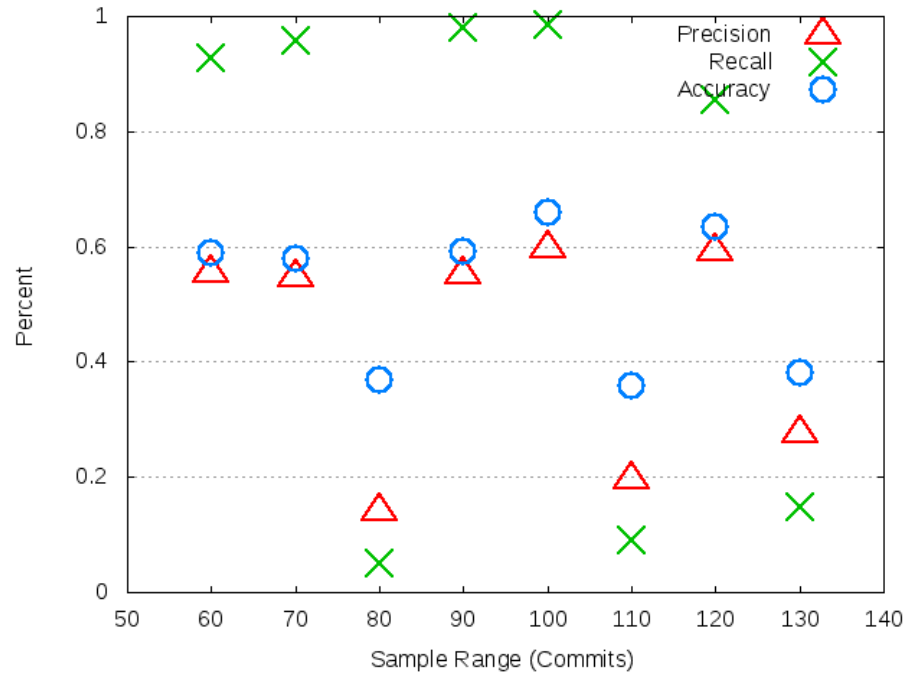
Figure A.14: SWR for ShowcaseView using SVM
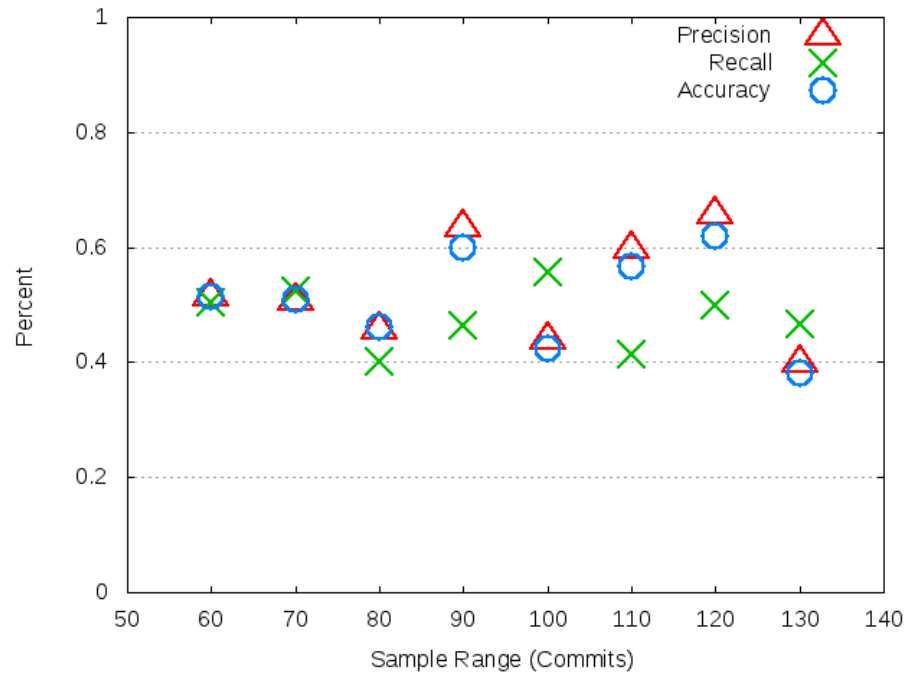
Figure A.15: SWR for tempto using SVM
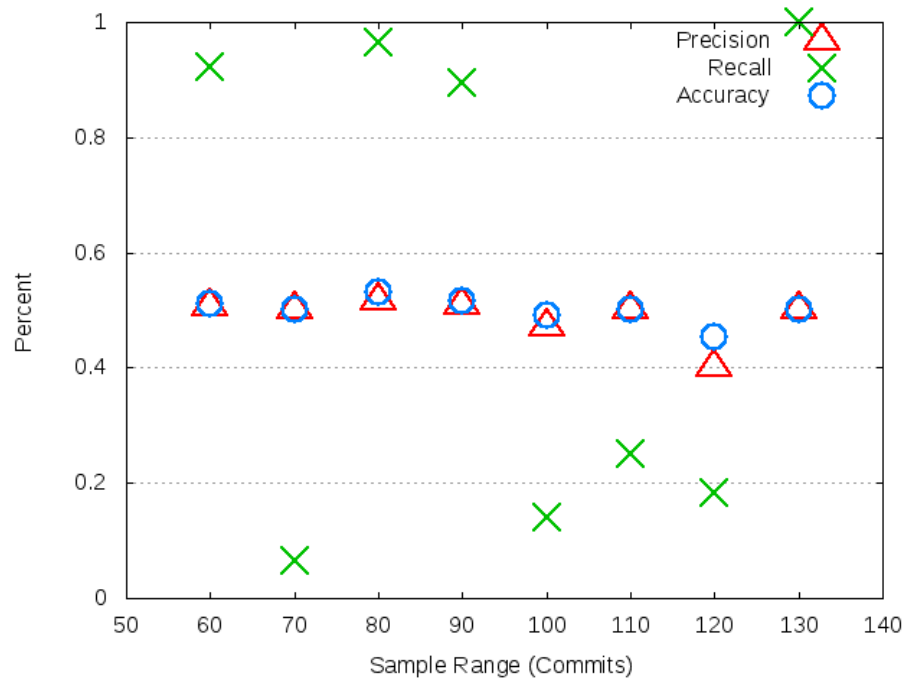
Figure A.16: SWR for retrolambda using SVM
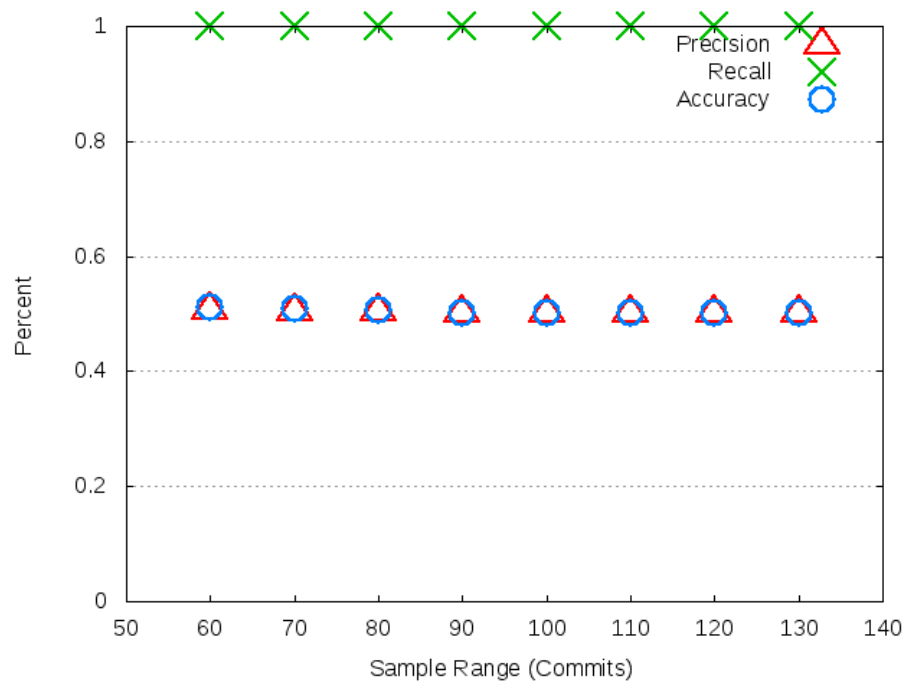
Figure A.17: SWR for blockly-android using SVM
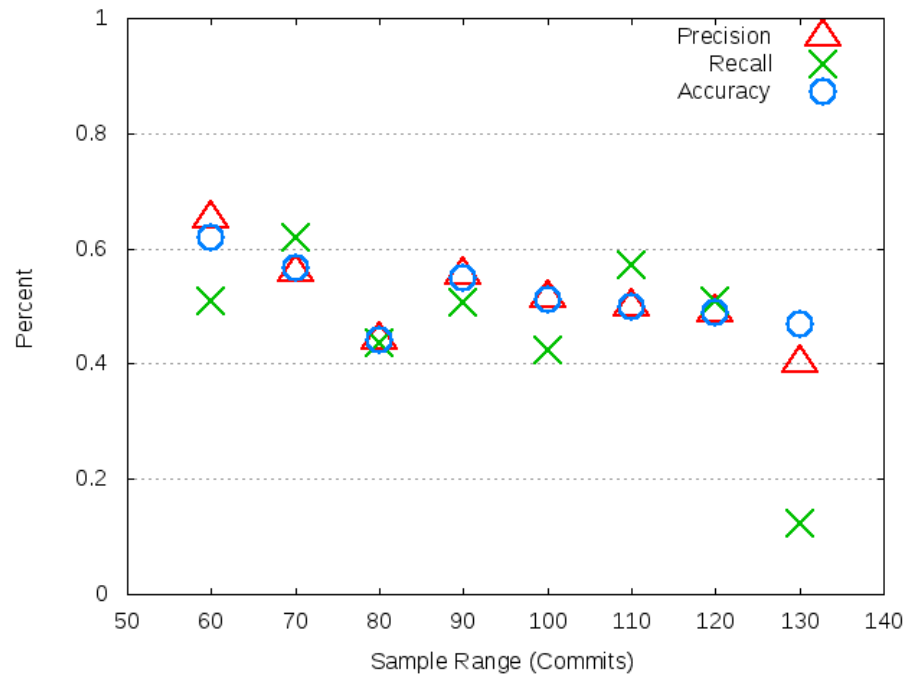
Figure A.18: SWR for ion using SVM
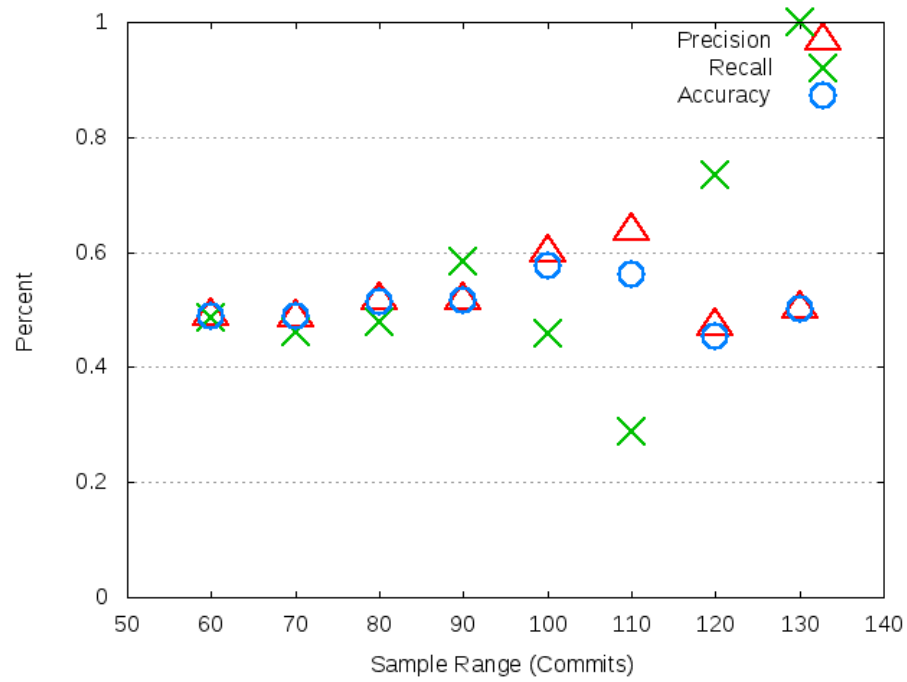
Figure A.19: SWR for brave using SVM
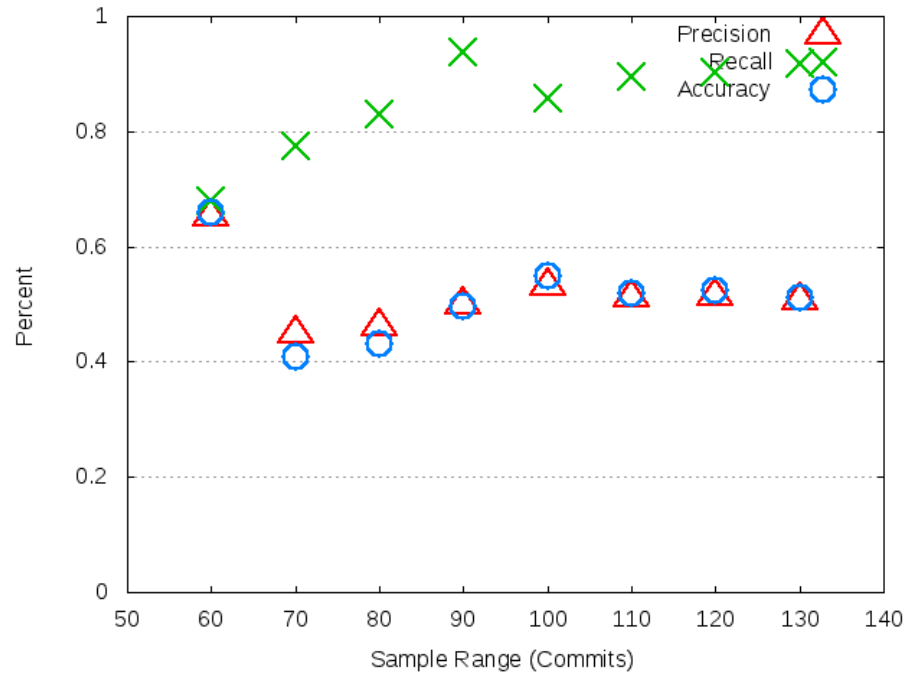
Figure A.20: SWR for dagger using SVM
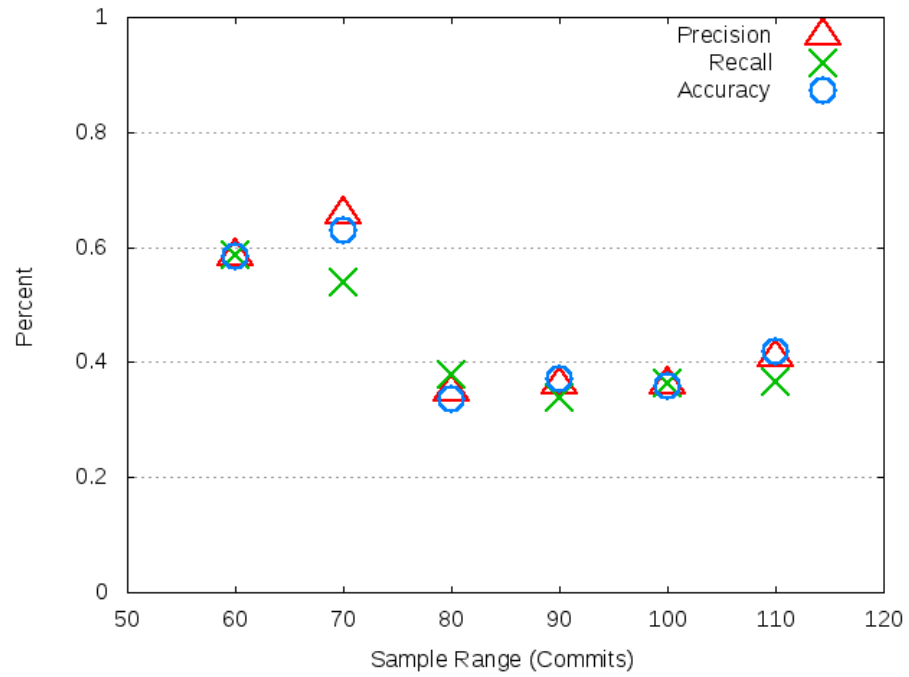
Figure A.21: SWR for parceler using SVM
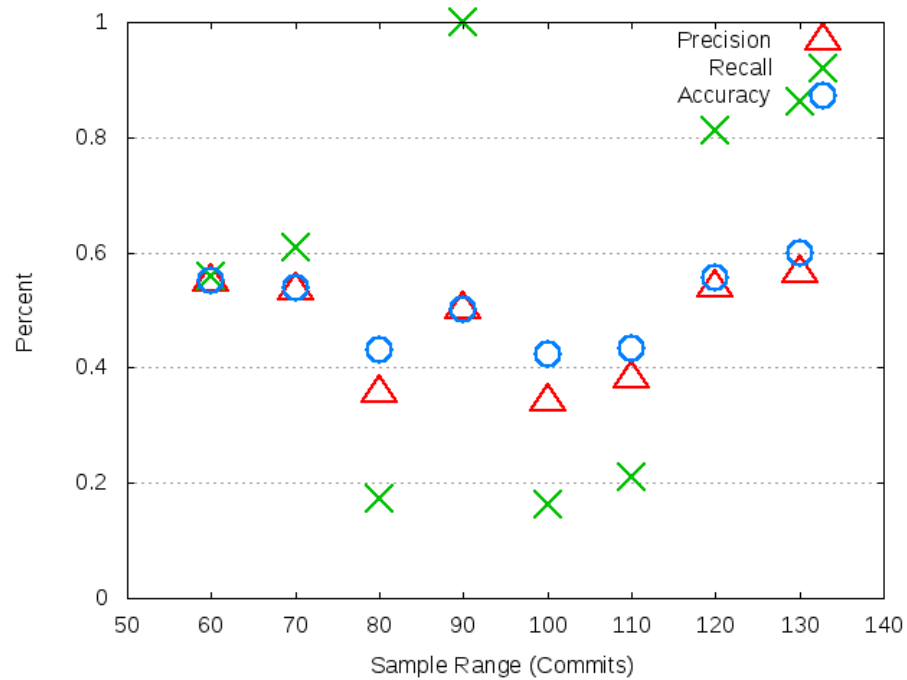
Figure A.22: SWR for spark using SVM
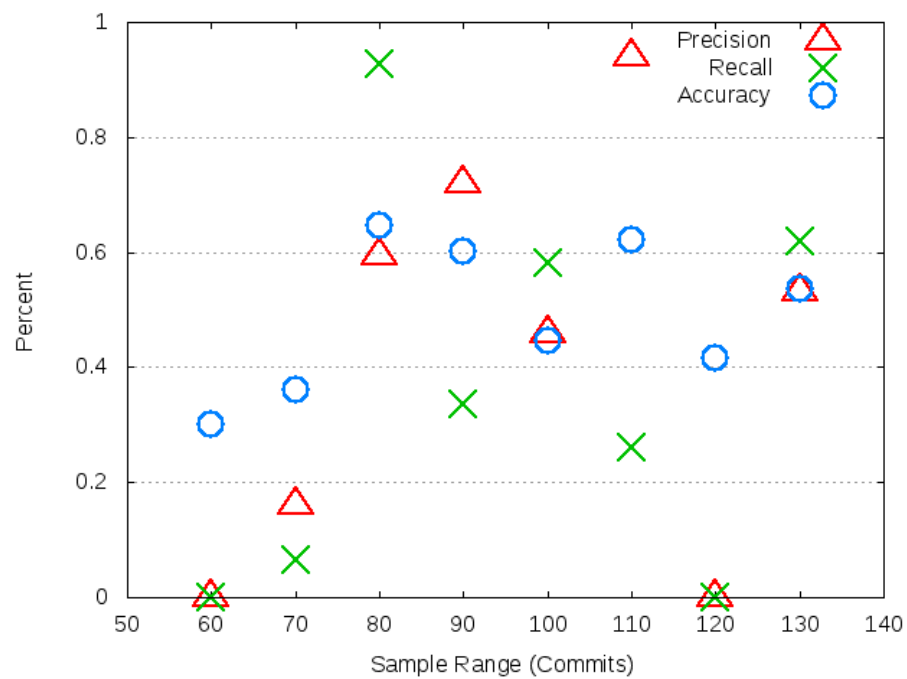
Figure A.23: SWR for http-request using SVM

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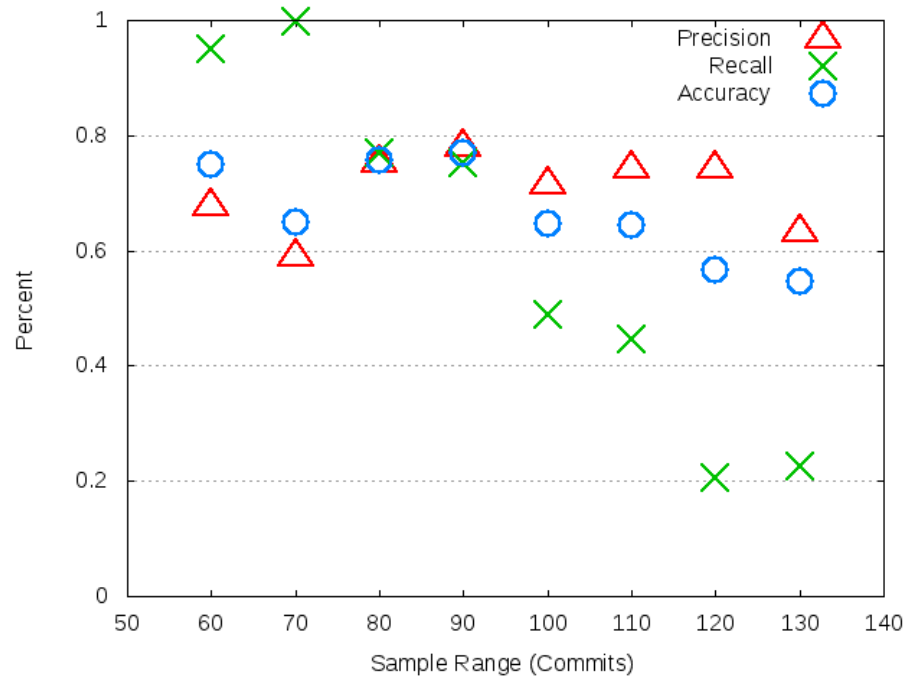
Figure A.24: SWR for acra using RF

A.1.2 Random Forest
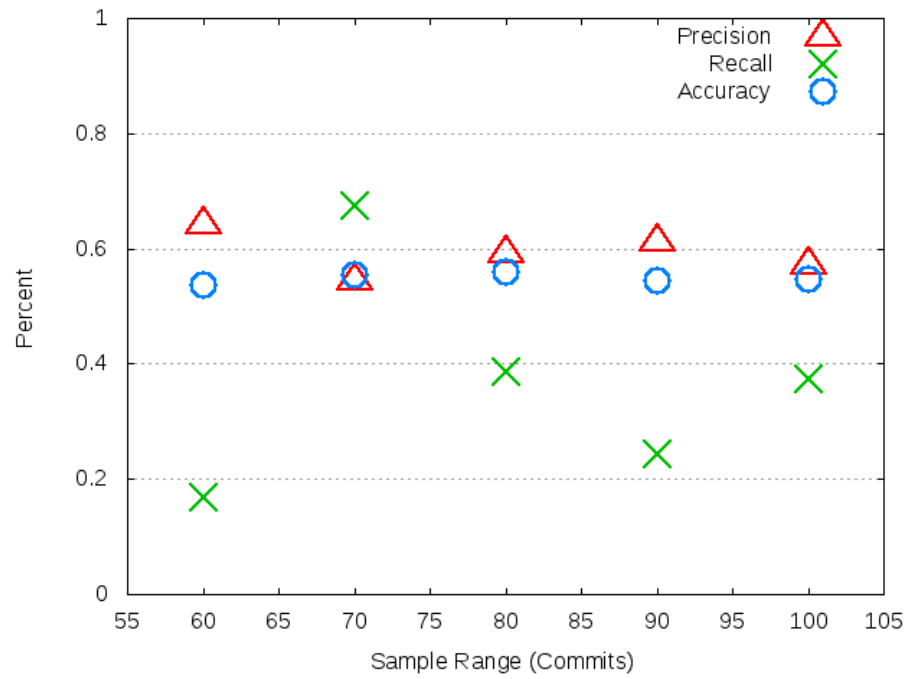
Figure A.25: SWR for yardstick using RF
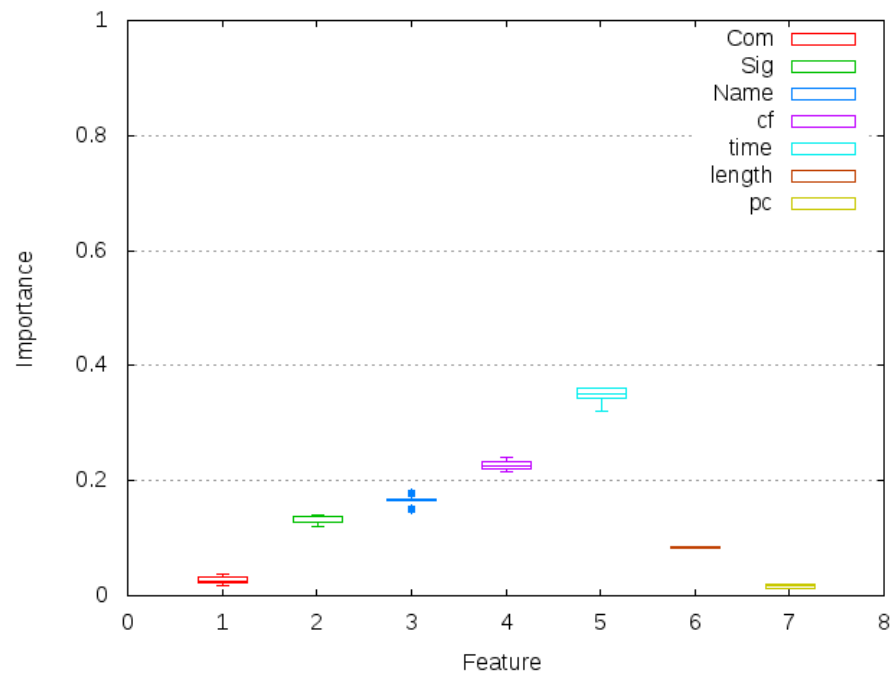
Figure A.26: Feature Importance SWR for yardstick using RF
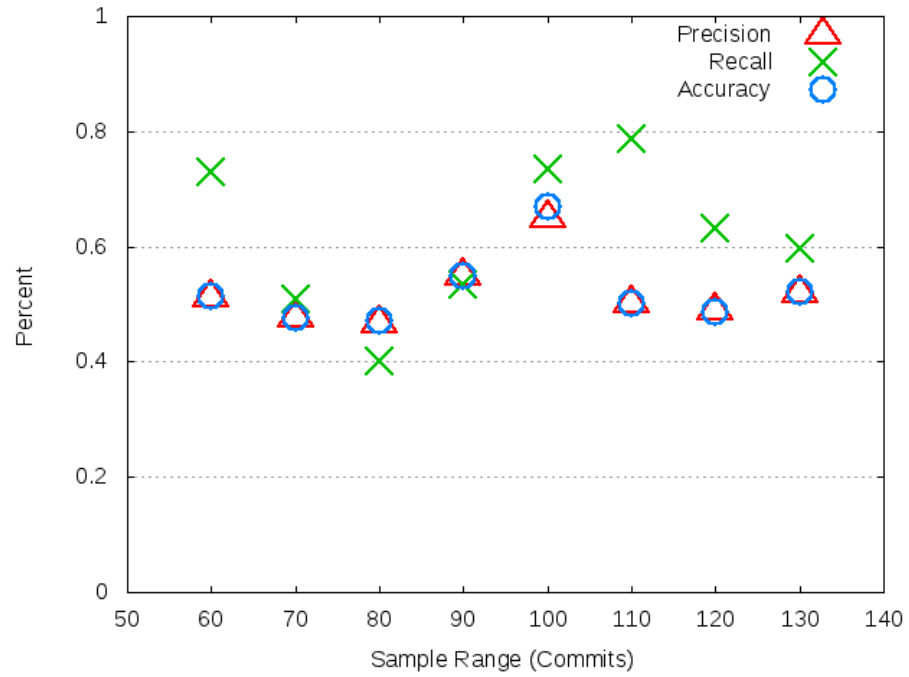
Figure A.27: SWR for cardslib using RF
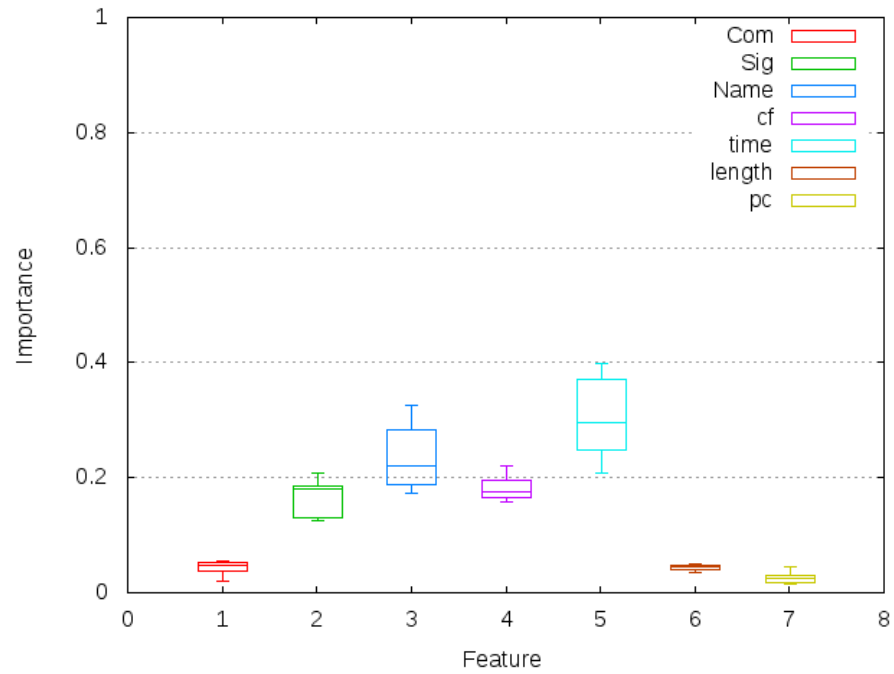
Figure A.28: Feature Importance SWR for cardslib using RF
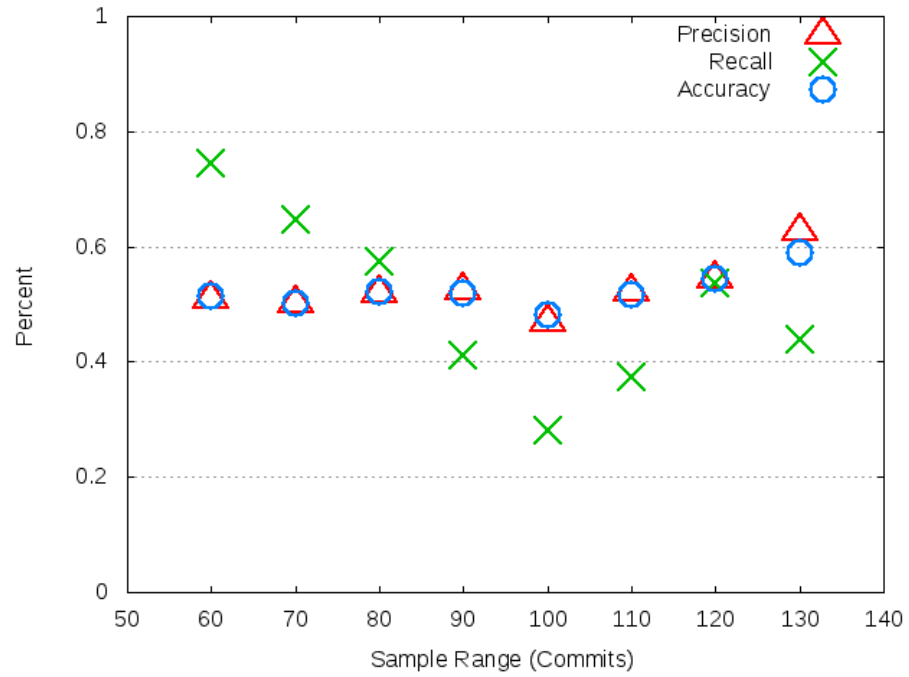
Figure A.29: SWR for greenDAO using RF
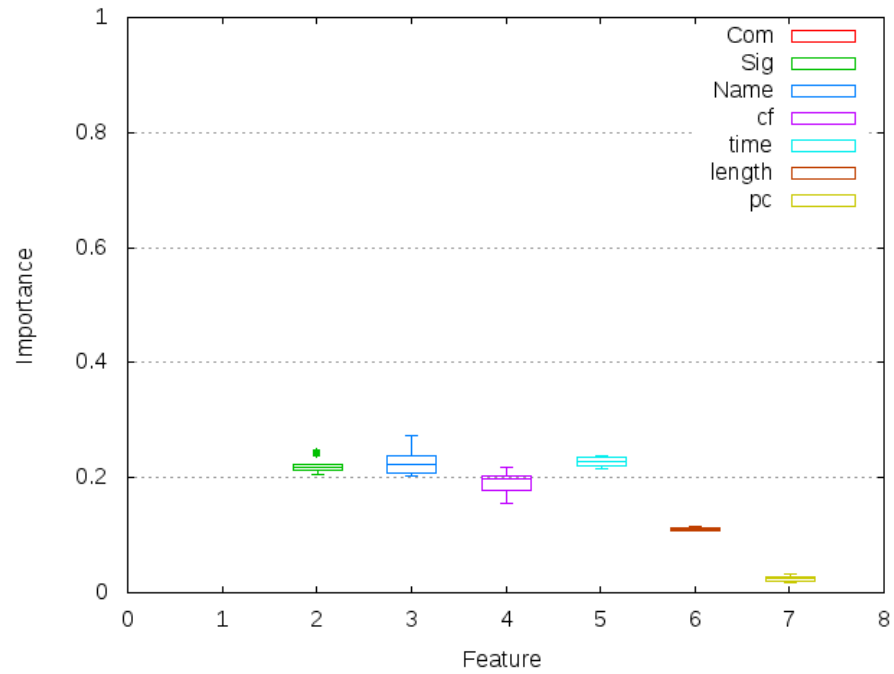
Figure A.30: Feature Importance SWR for greenDAO using RF
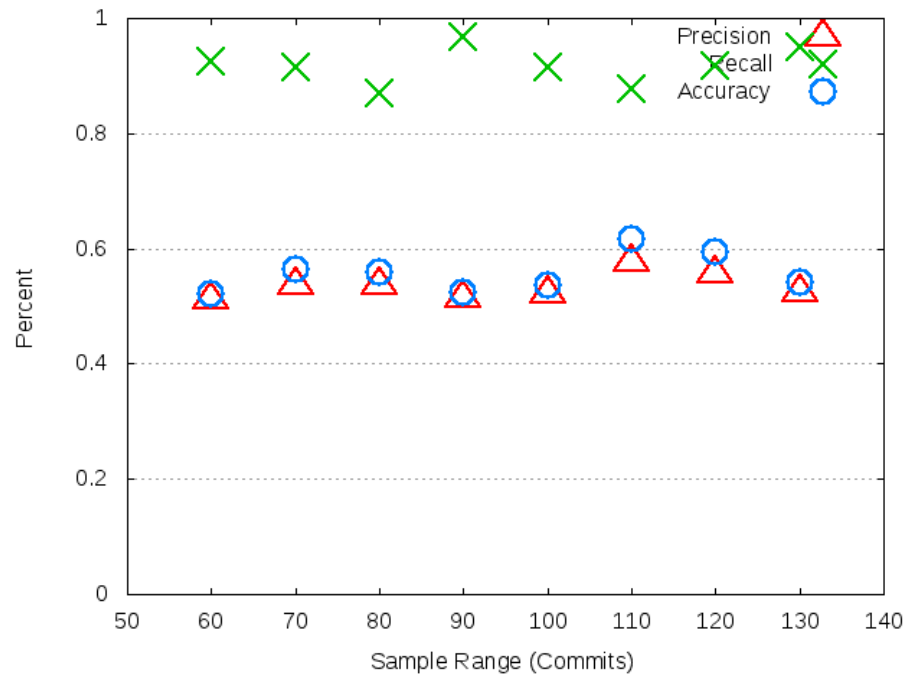
Figure A.31: SWR for mapstruct using RF
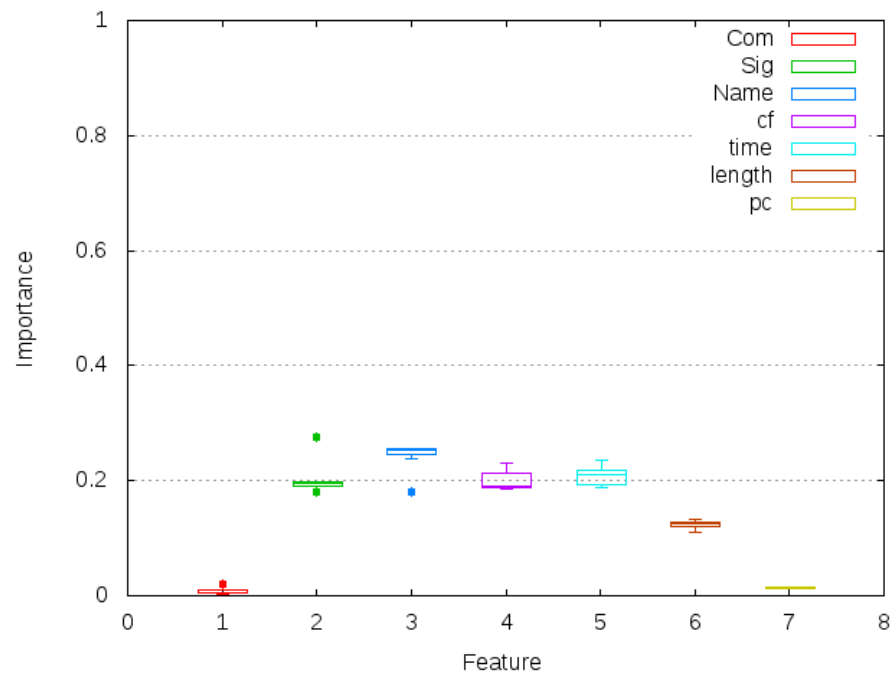
Figure A.32: Feature Importance SWR for mapstruct using RF
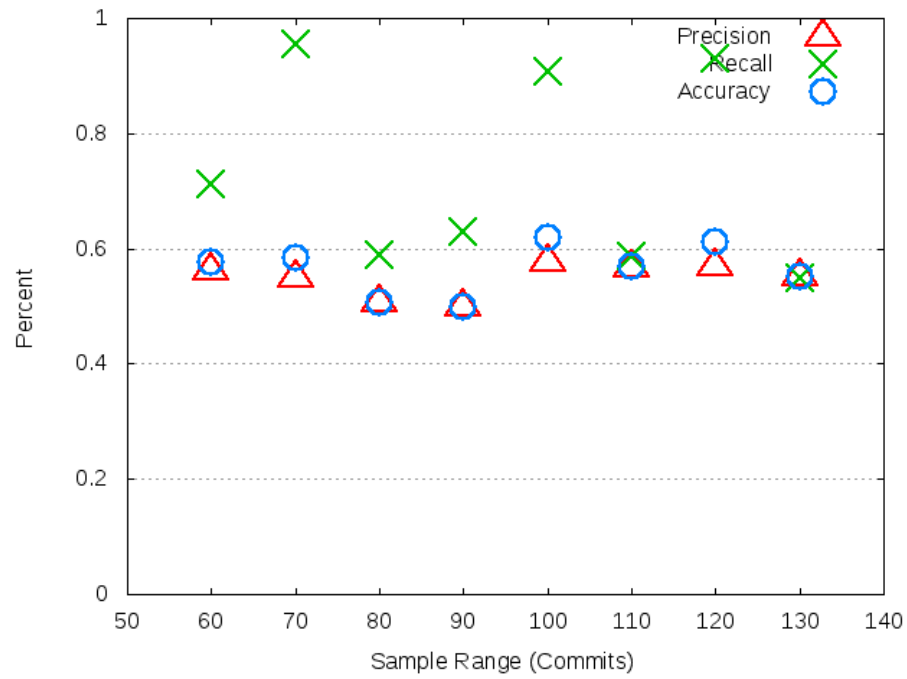
Figure A.33: SWR for deeplearning4j using RF
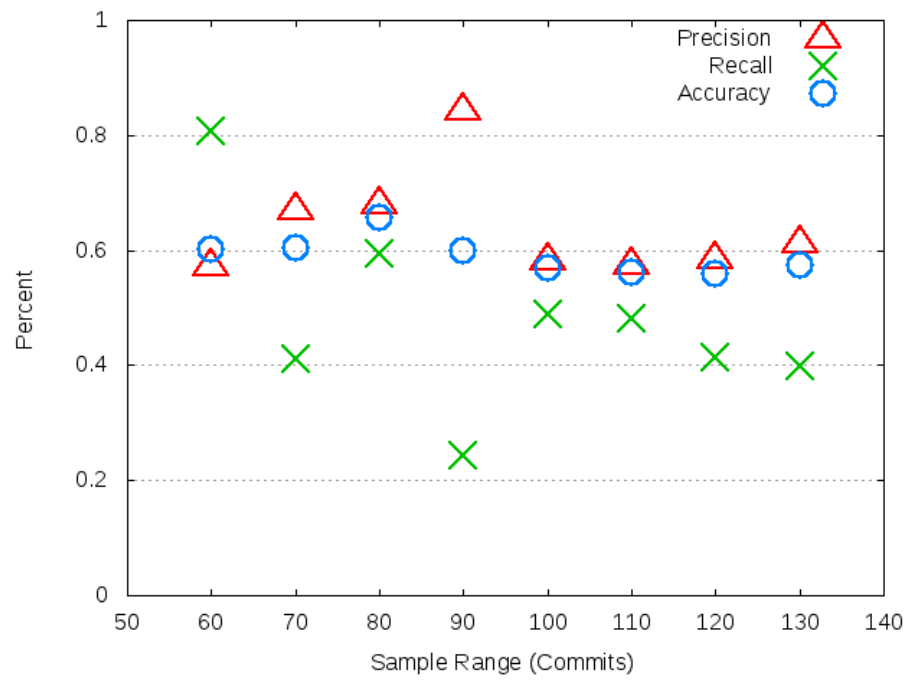
Figure A.34: SWR for governator using RF

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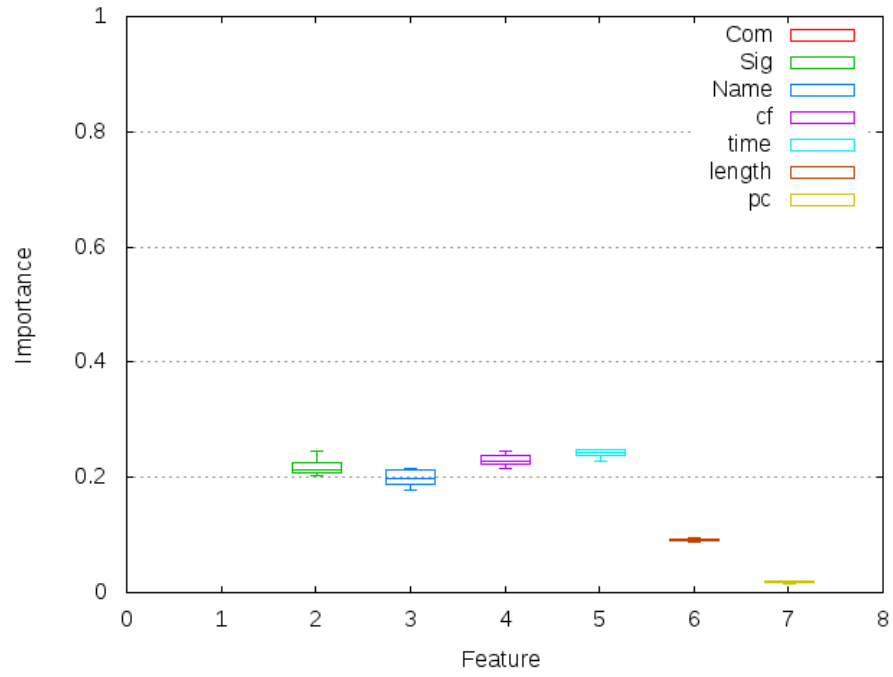
Figure A.35: Feature Importance SWR for governator using RF
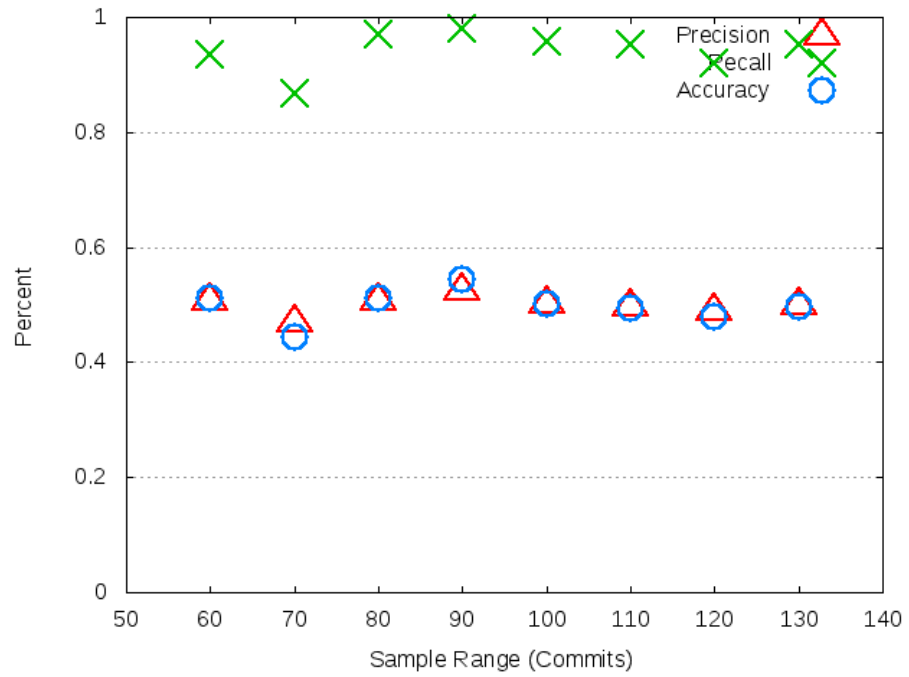
Figure A.36: SWR for arquillian-core using RF
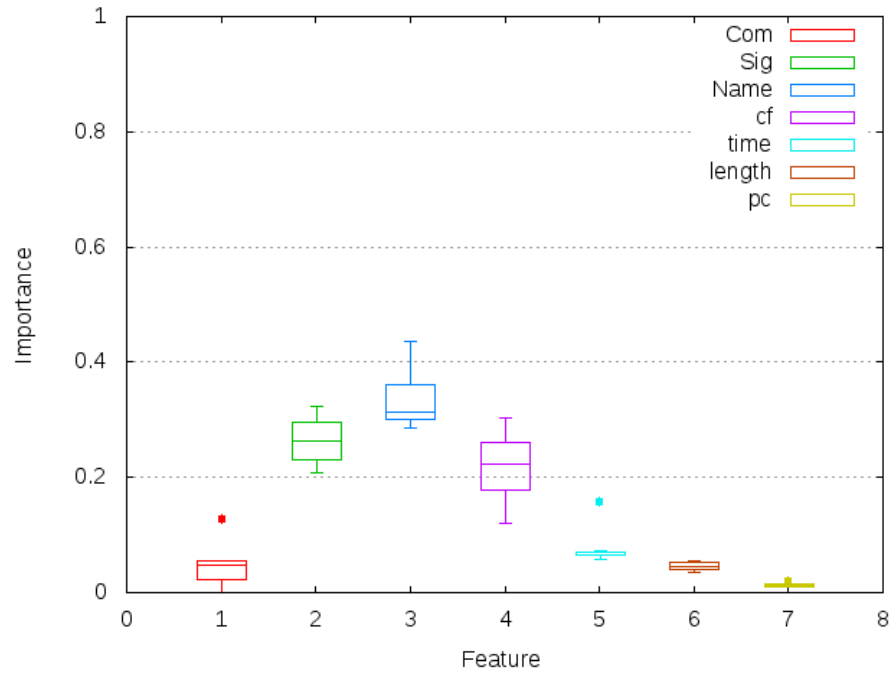
Figure A.37: Feature Importance SWR for arquillian-core using RF
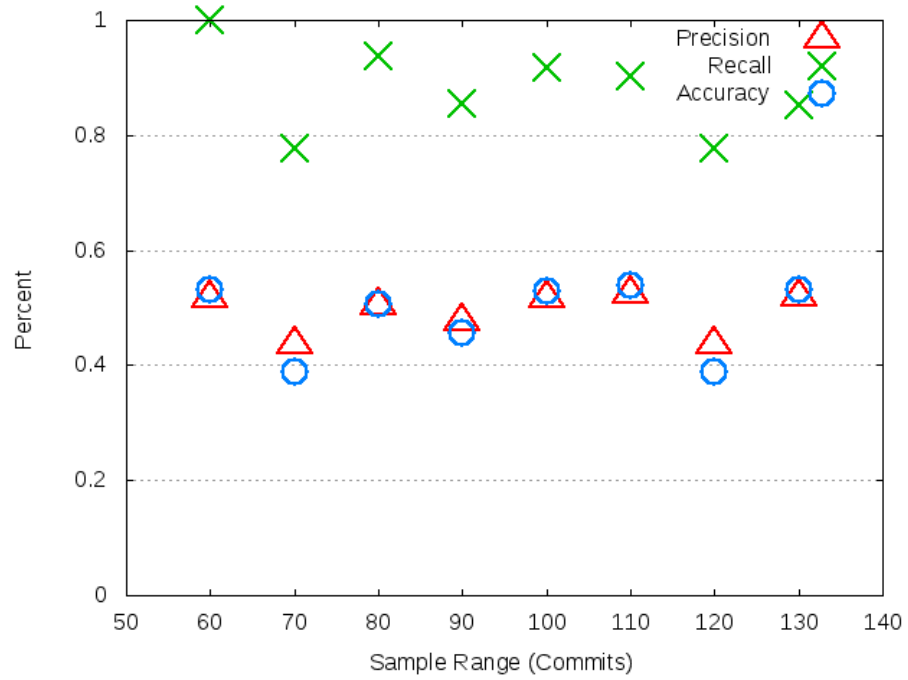
Figure A.38: SWR for fresco using RF

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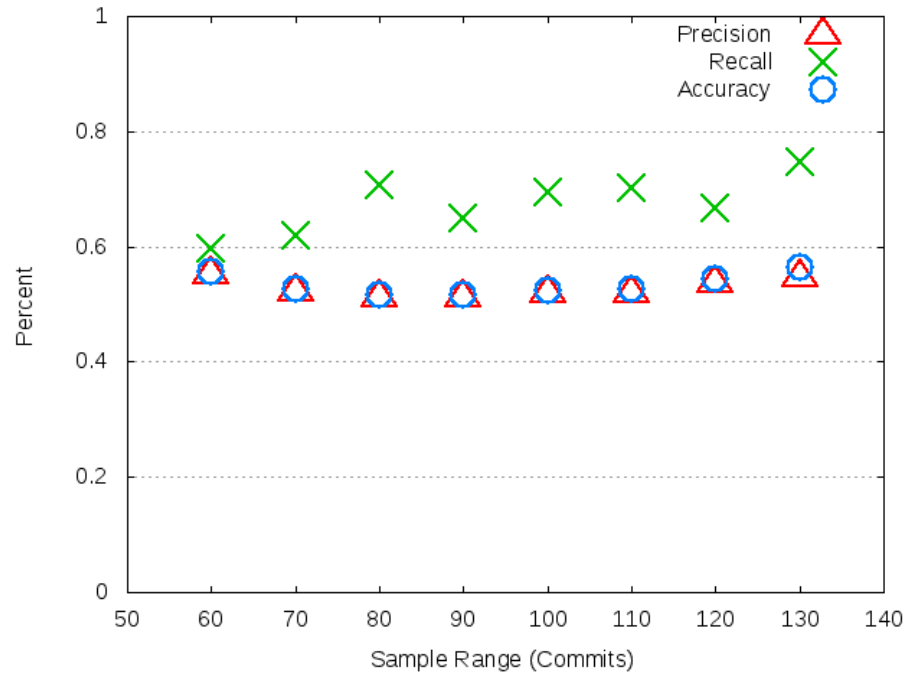
Figure A.39: SWR for jadx using RF
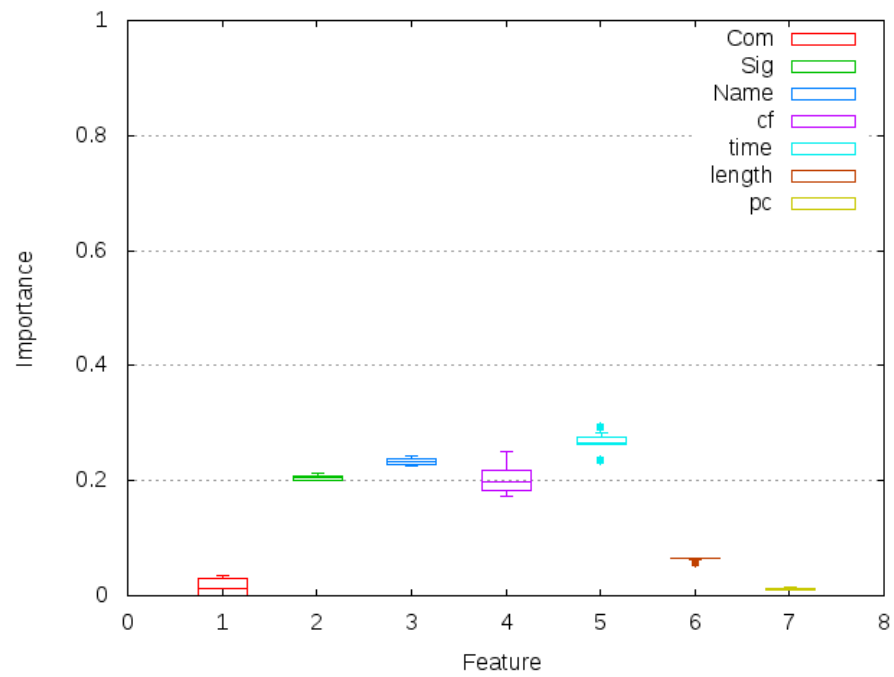
Figure A.40: Feature Importance SWR for jadx using RF
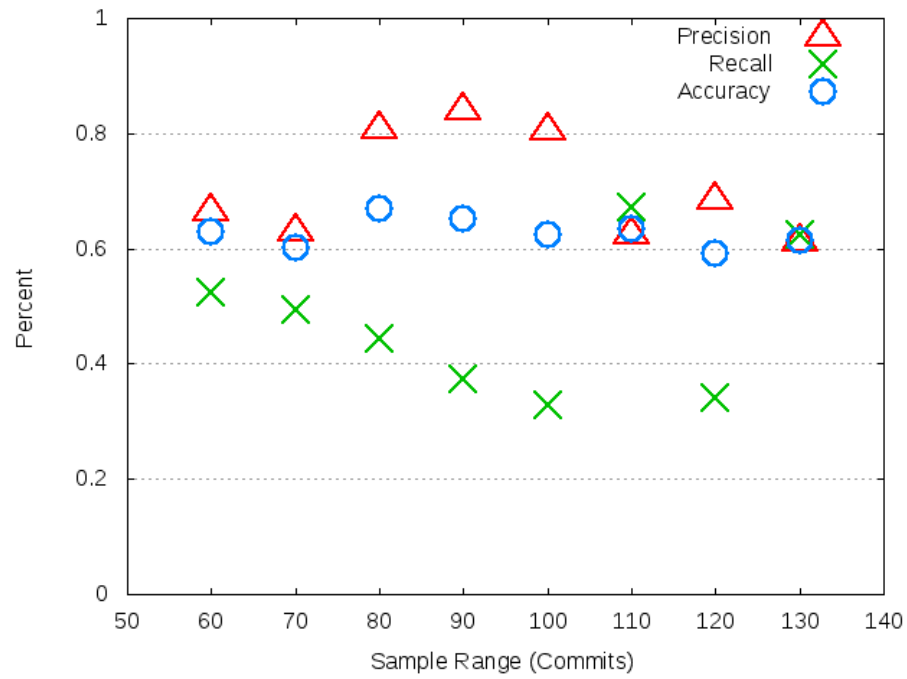
Figure A.41: SWR for nettosphere using RF
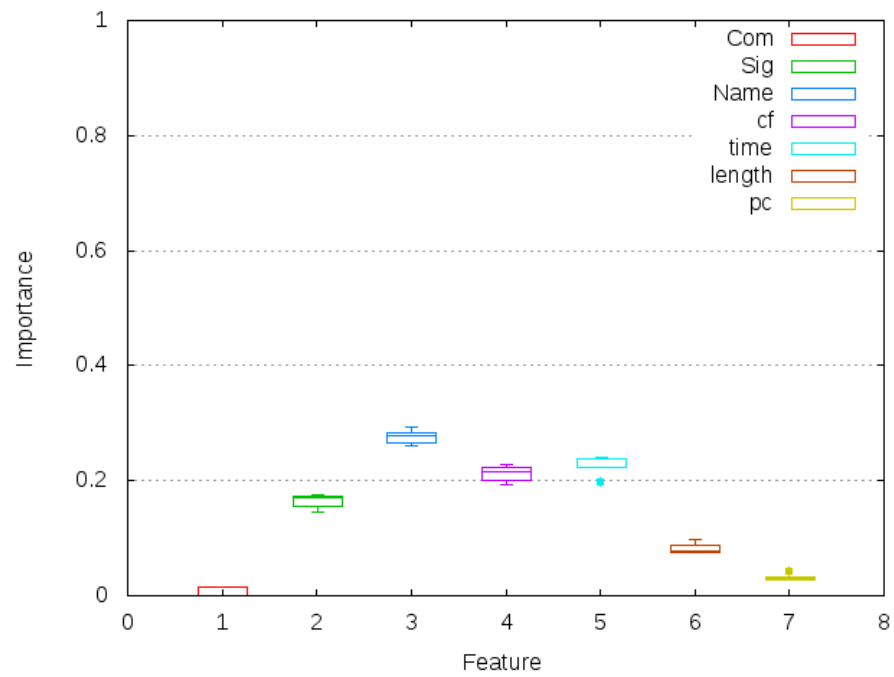
Figure A.42: Feature Importance SWR for nettosphere using RF
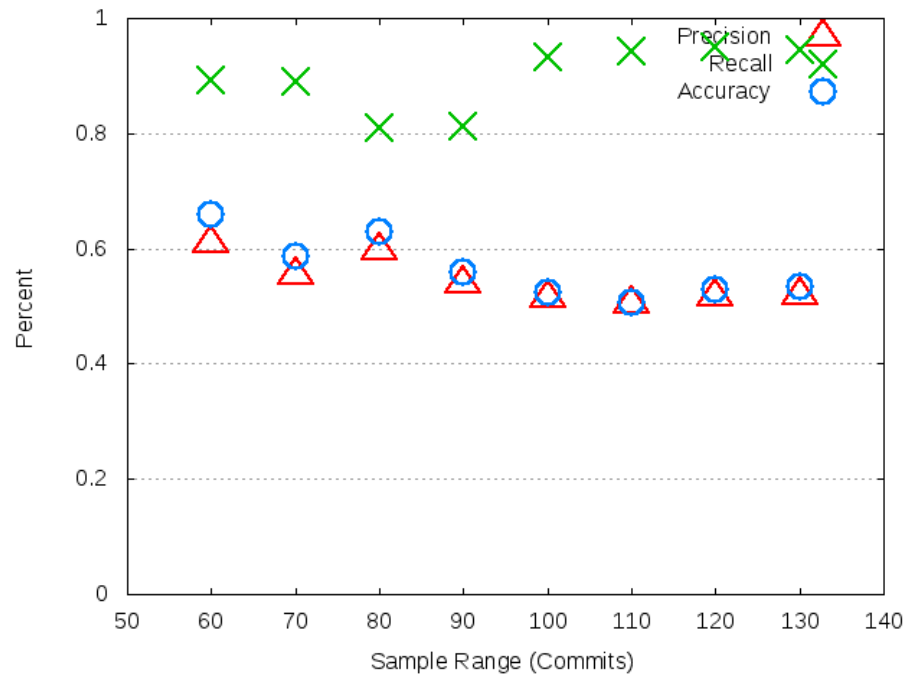
Figure A.43: SWR for storm using RF
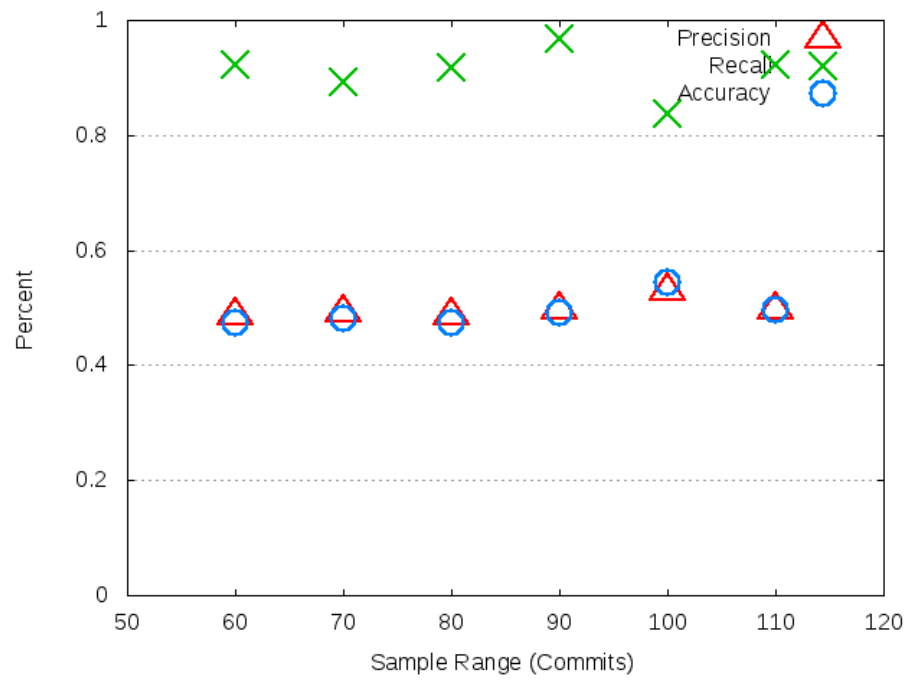
Figure A.44: SWR for smile using RF
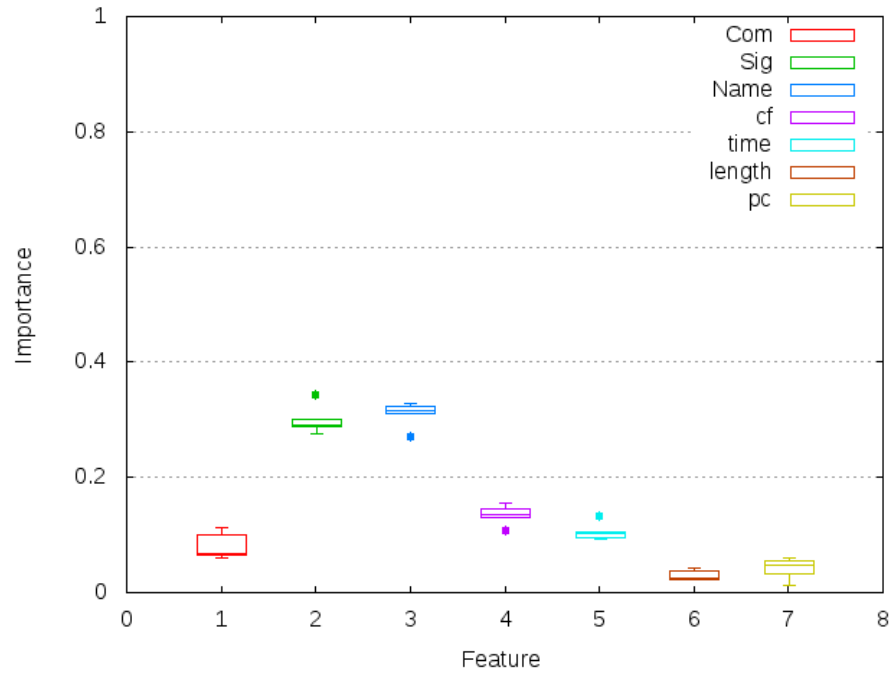
Figure A.45: Feature Importance SWR for smile using RF
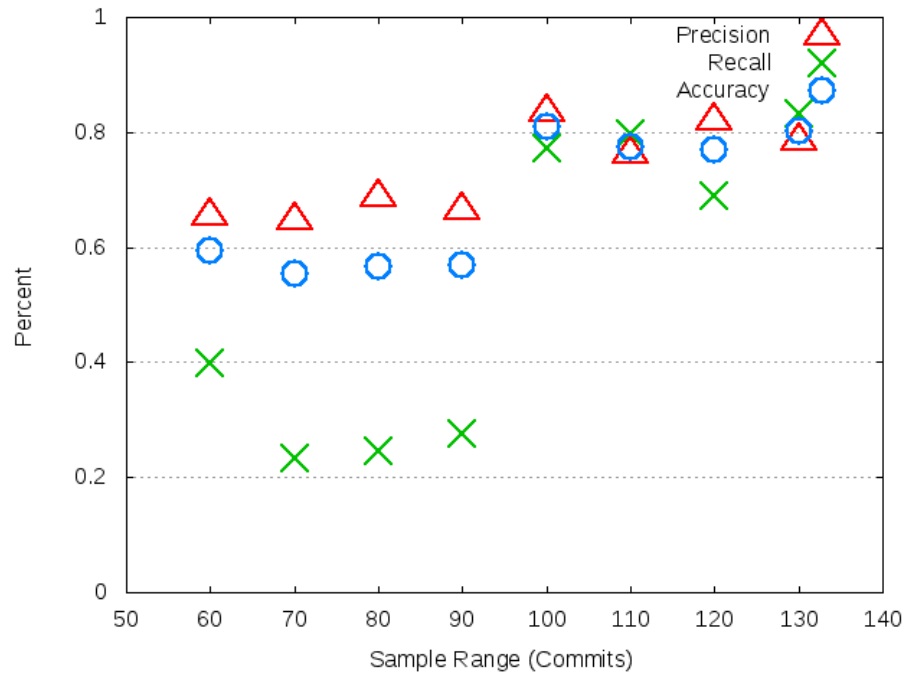
Figure A.46: SWR for ShowcaseView using RF

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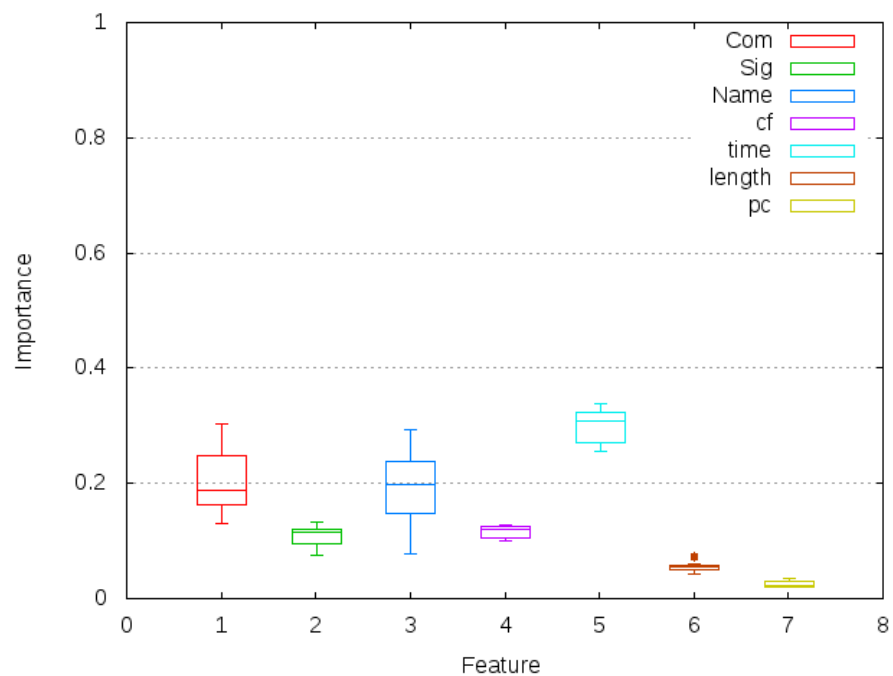
Figure A.47: Feature Importance SWR for ShowcaseView using RF
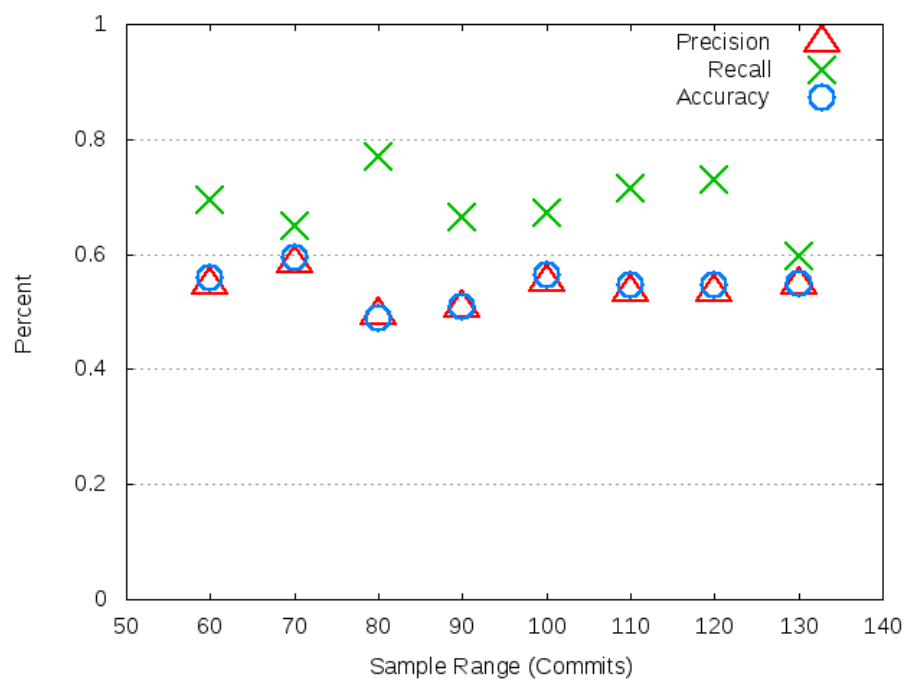
Figure A.48: SWR for tempto using RF

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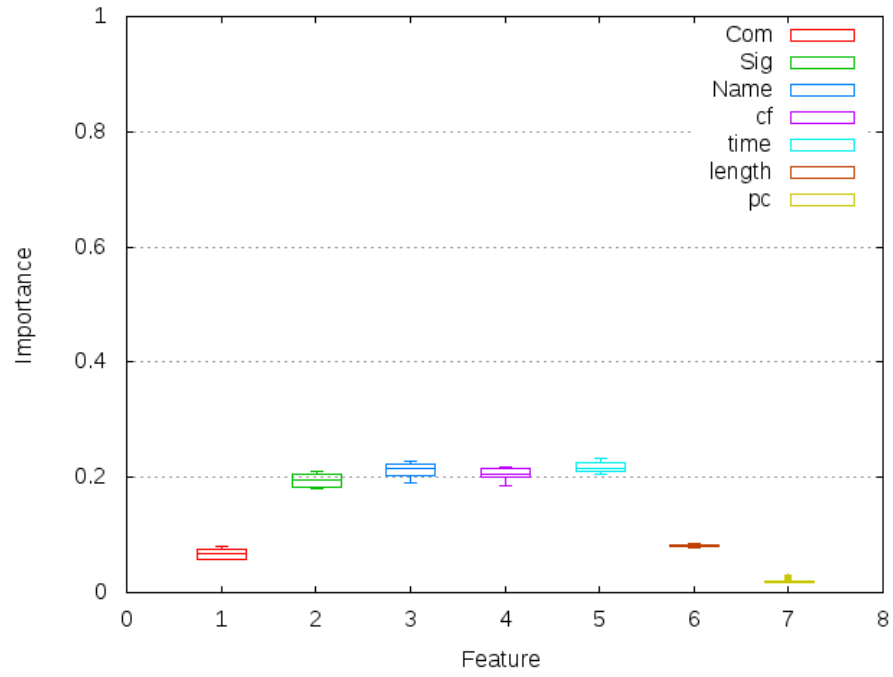
Figure A.49: Feature Importance SWR for tempto using RF
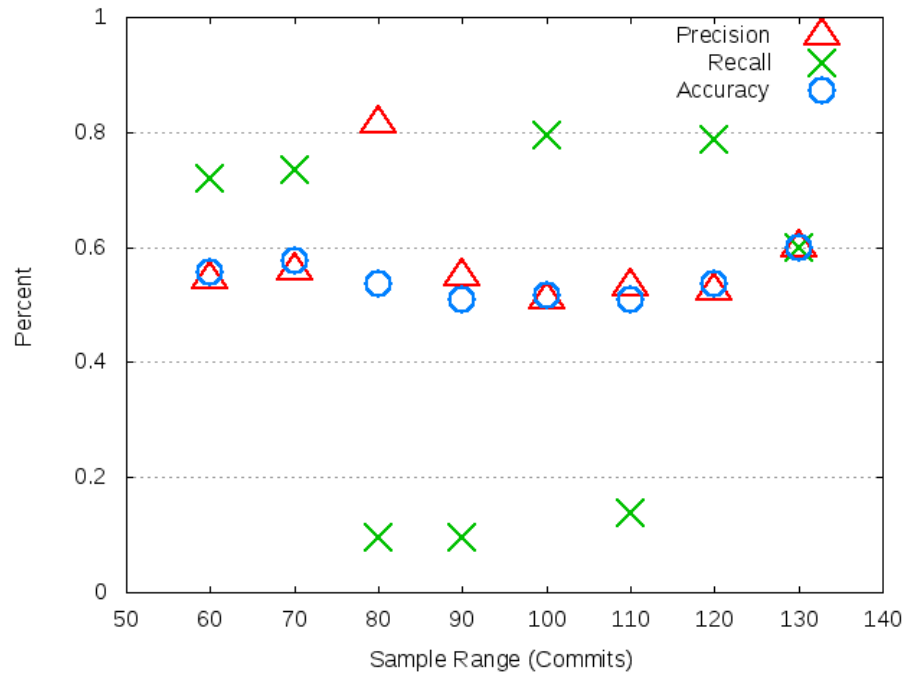
Figure A.50: SWR for retrolambda using RF
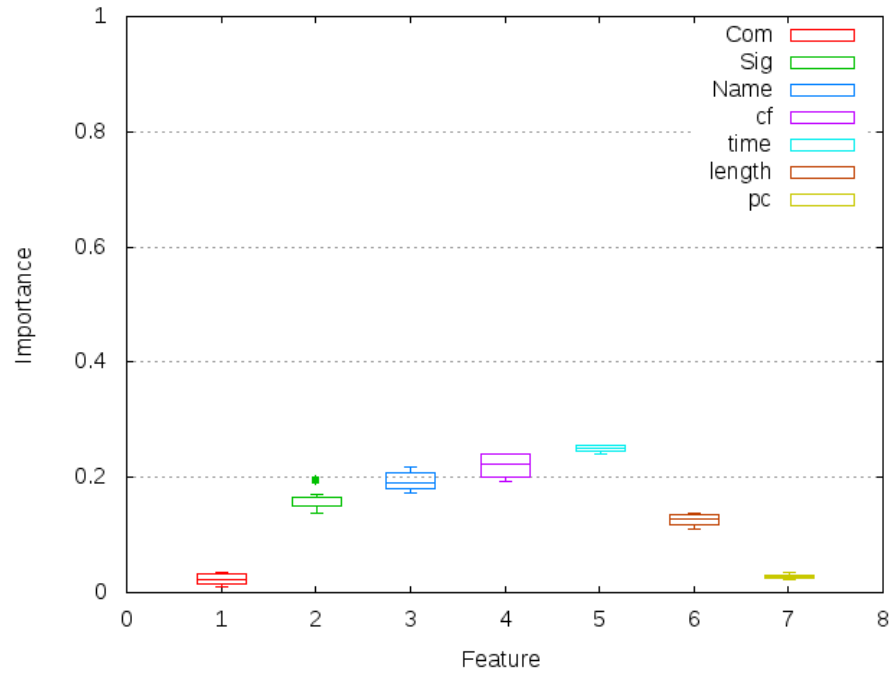
Figure A.51: Feature Importance SWR for retrolambda using RF
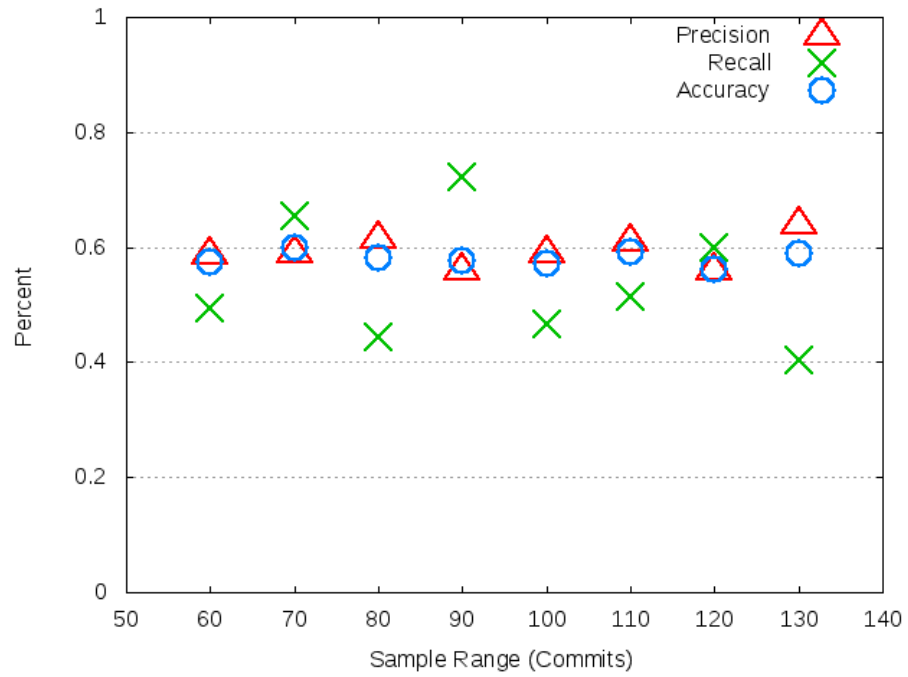
Figure A.52: SWR for blockly-android using RF
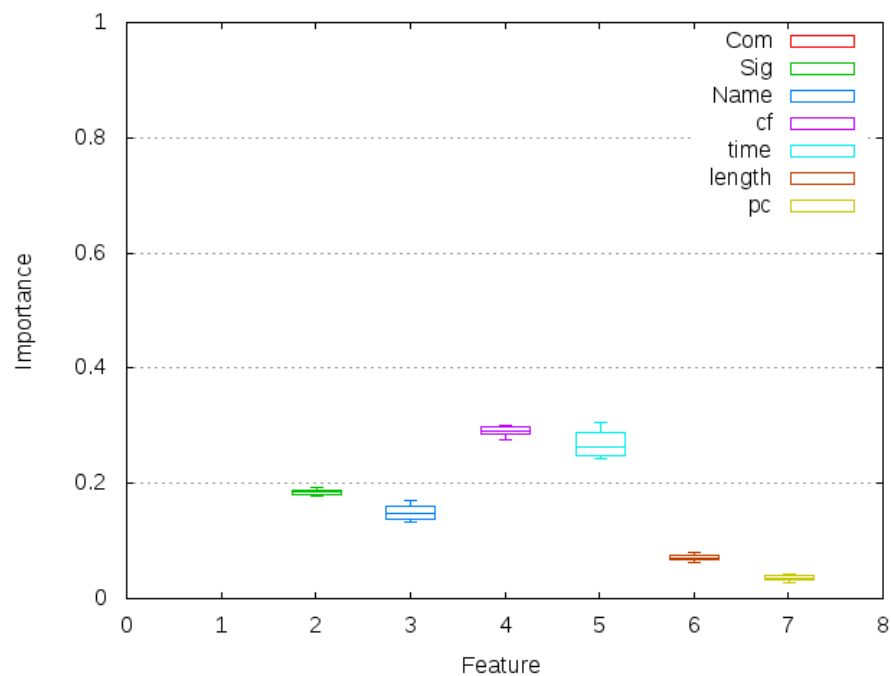
Figure A.53: Feature Importance SWR for blockly-android using RF
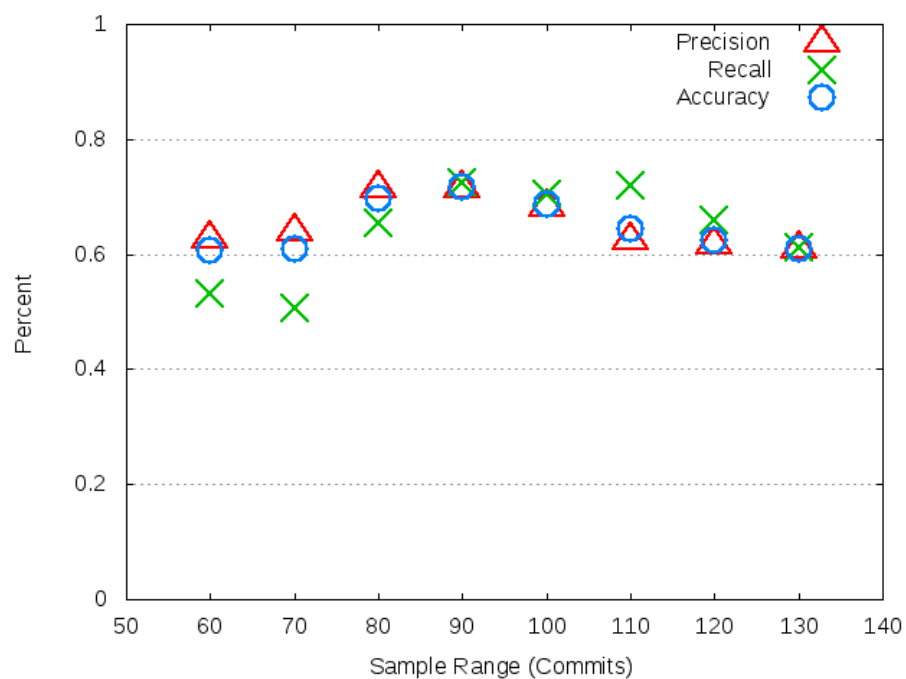
Figure A.54: SWR for ion using RF
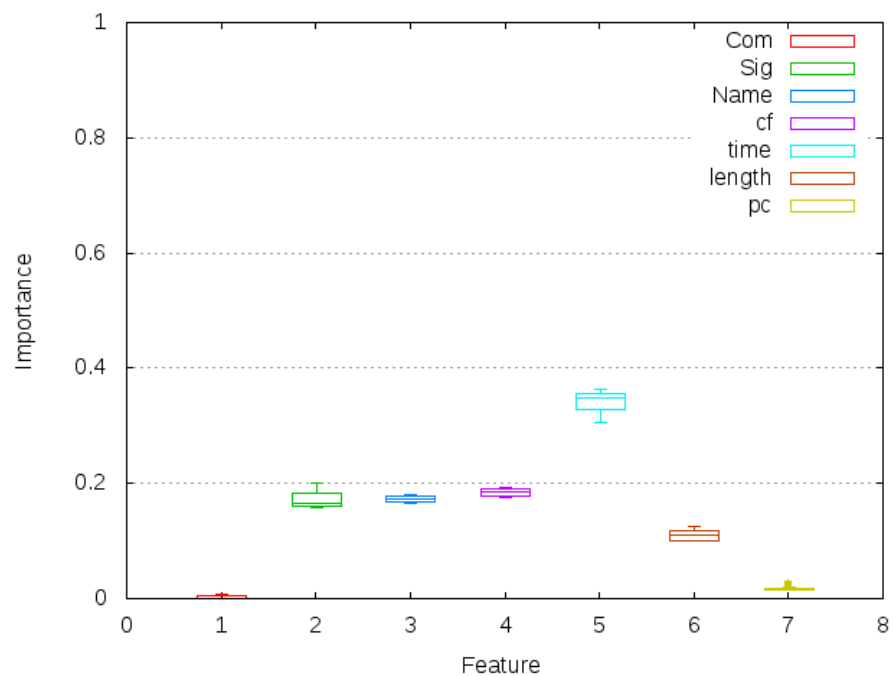
Figure A.55: Feature Importance SWR for ion using RF
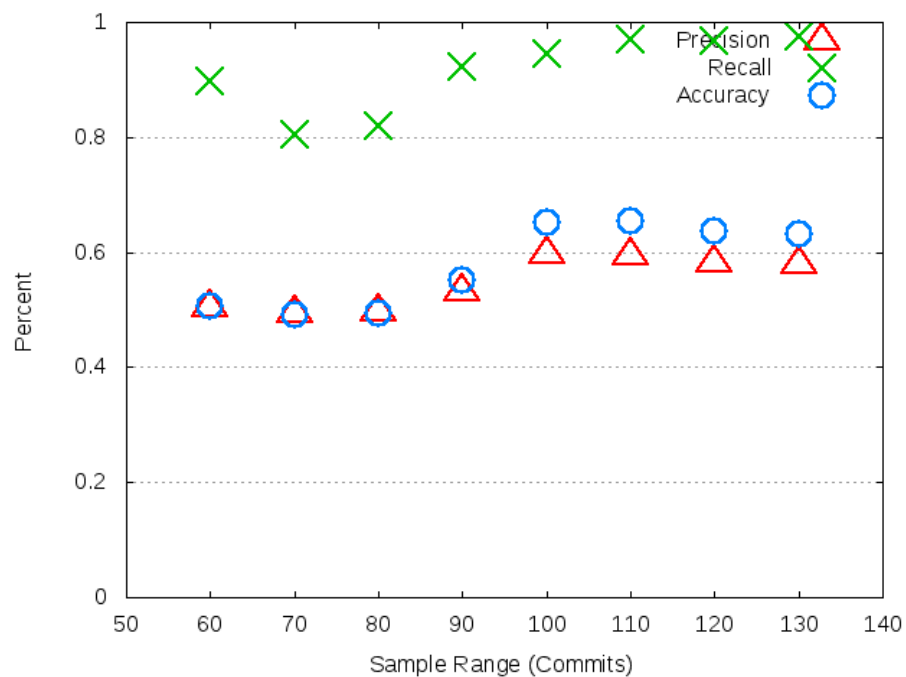
Figure A.56: SWR for brave using RF

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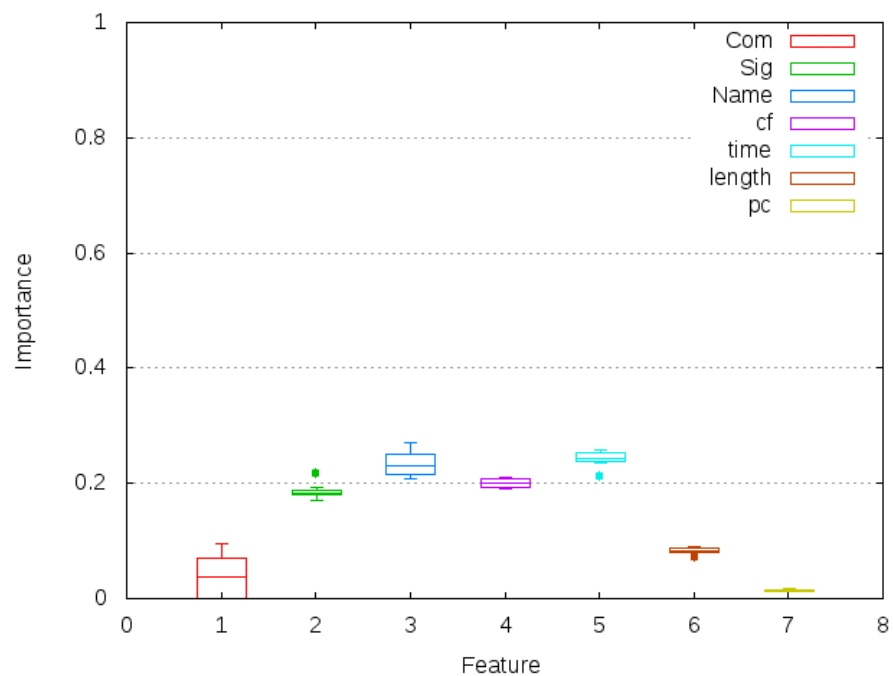
Figure A.57: Feature Importance SWR for brave using RF
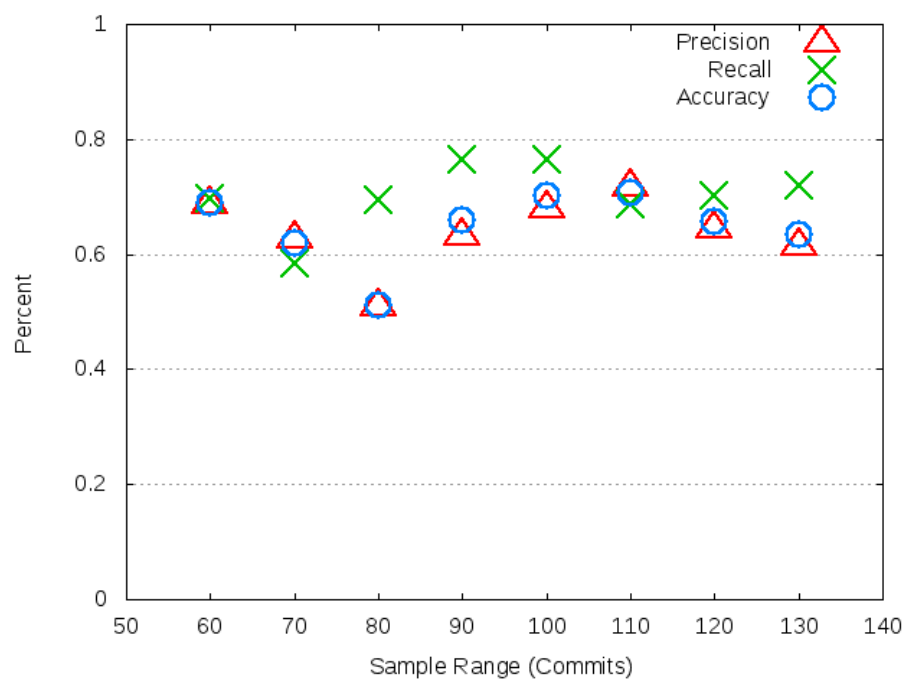
Figure A.58: SWR for dagger using RF
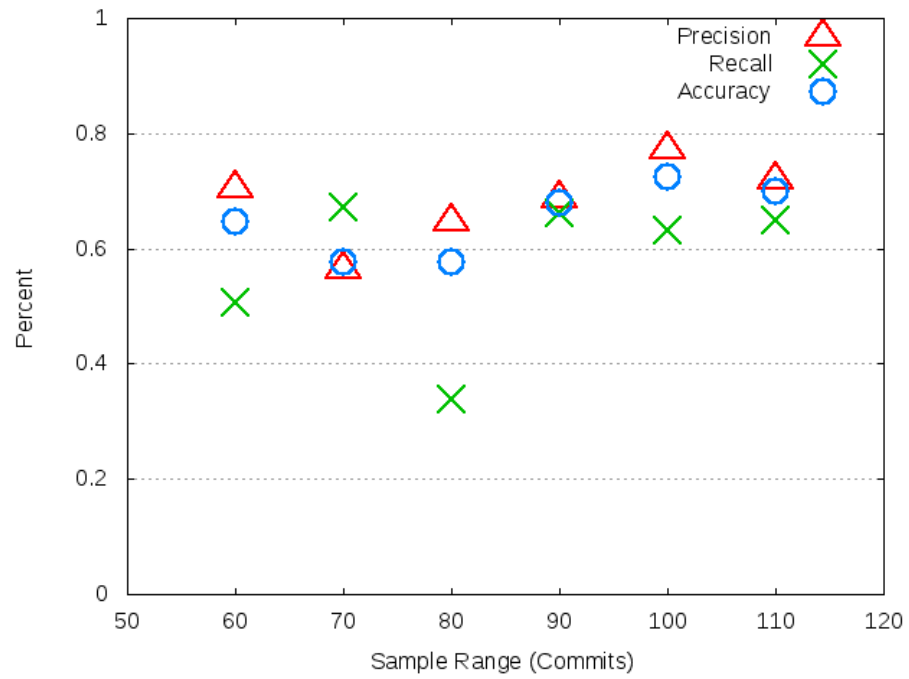
Figure A.59: SWR for parceler using RF
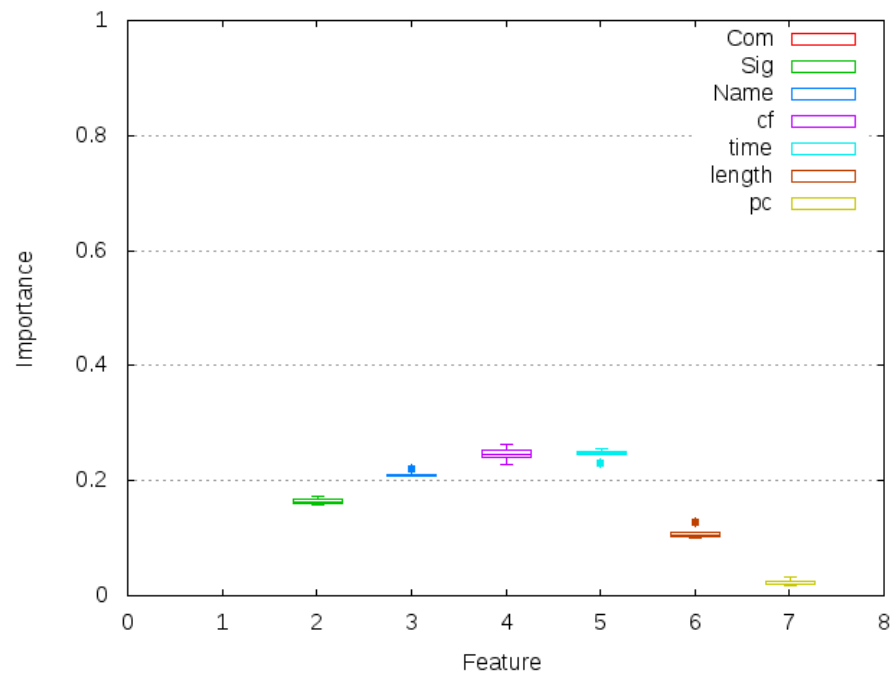
Figure A.60: Feature Importance SWR for parceler using RF
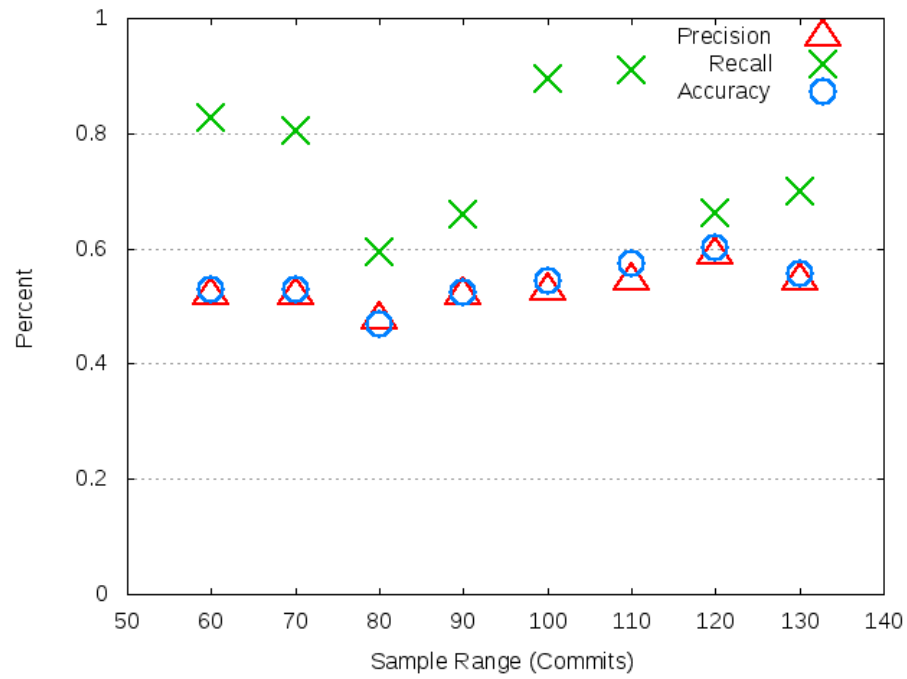
Figure A.61: SWR for spark using RF
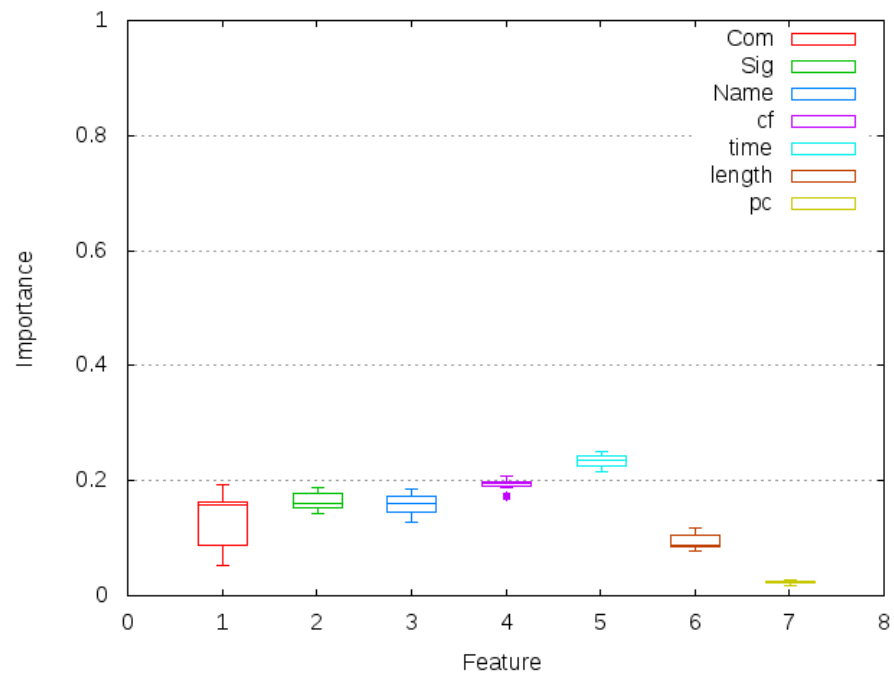
Figure A.62: Feature Importance SWR for spark using RF

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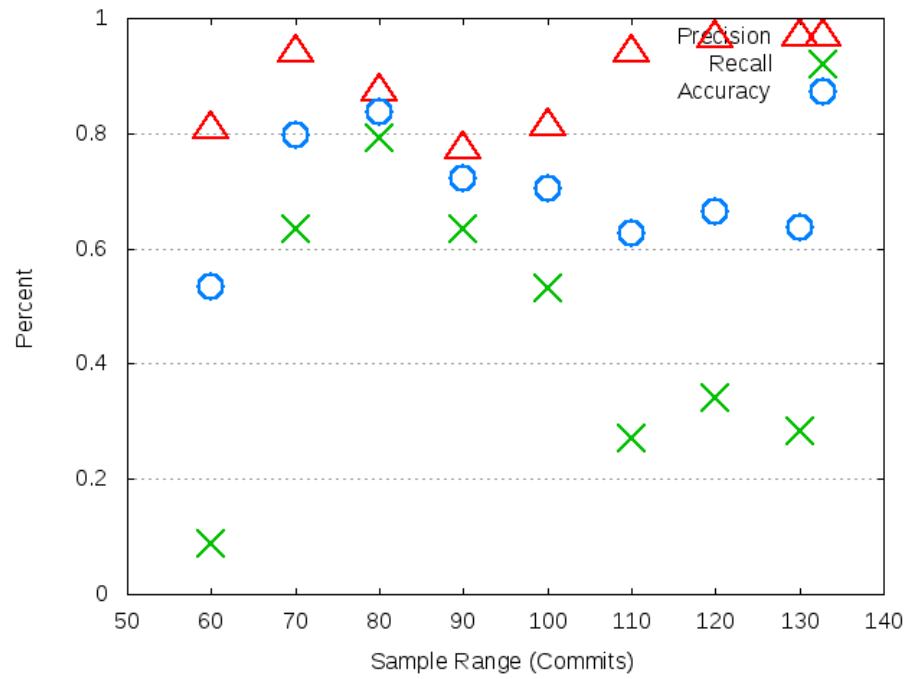
Figure A.63: SWR for http-request using RF
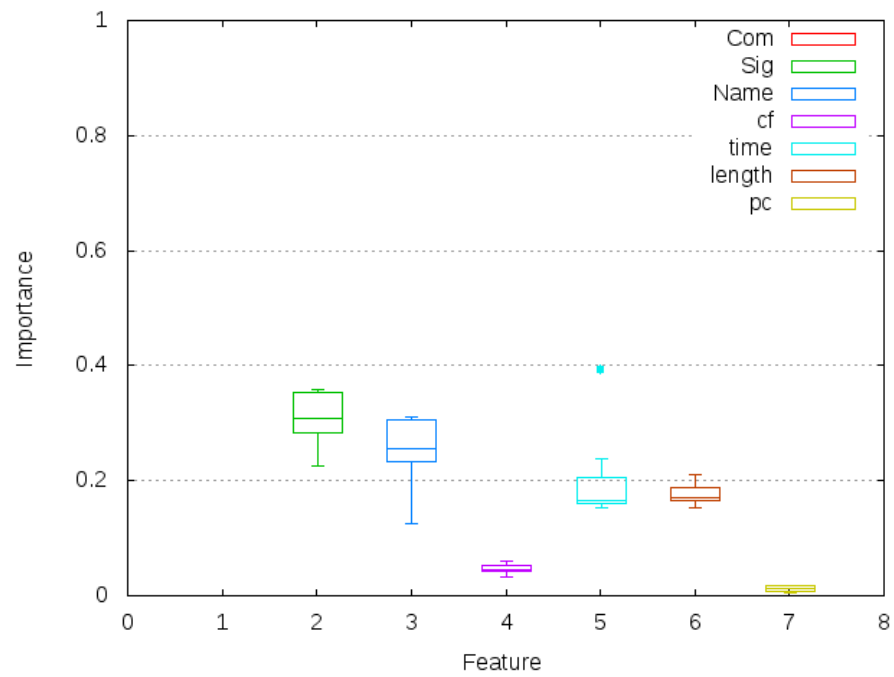
Figure A.64: Feature Importance SWR for http-request using RF
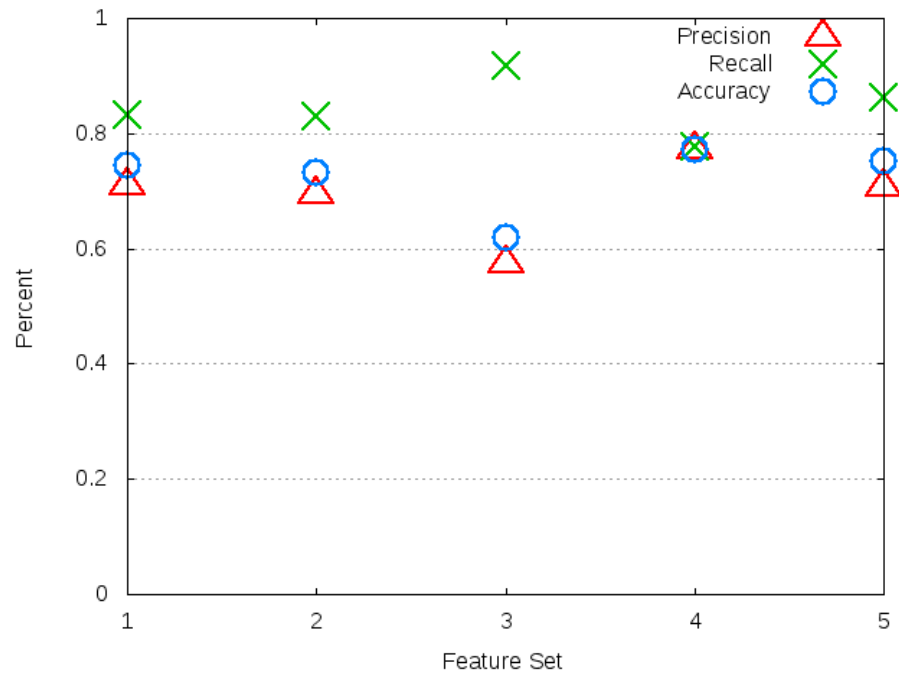
Figure A.65: Feature for acra using SVM

A.2 Experiment 2

A.2.1 Support Vector Machine
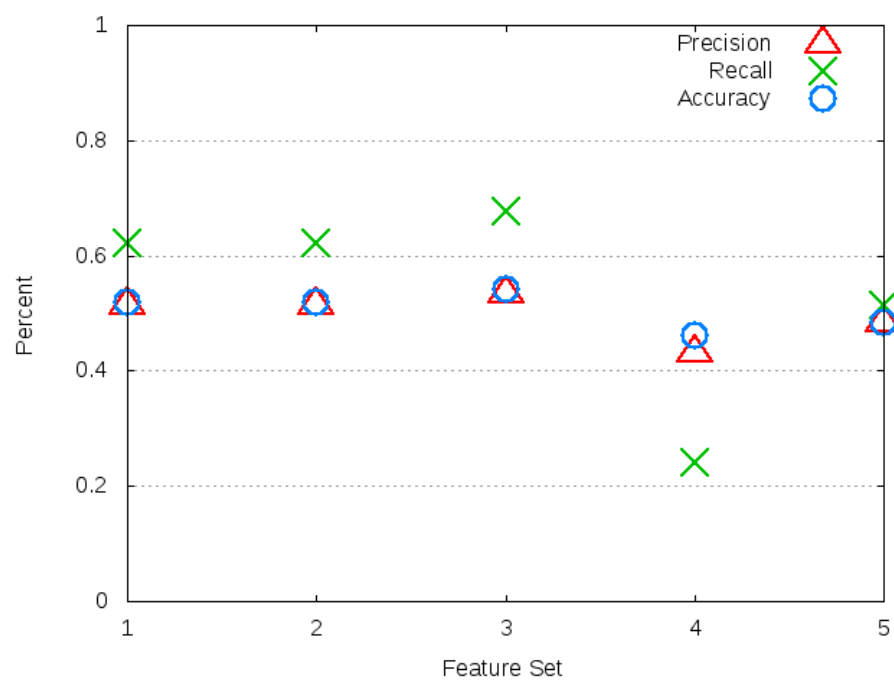
Figure A.66: Feature for yardstick using SVM
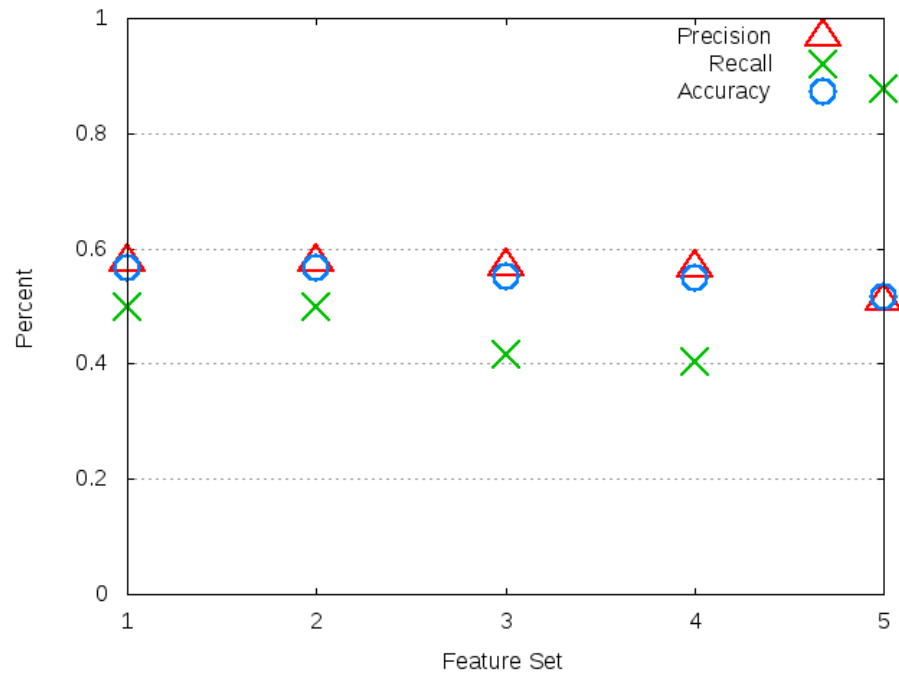
Figure A.67: Feature for cardslib using SVM
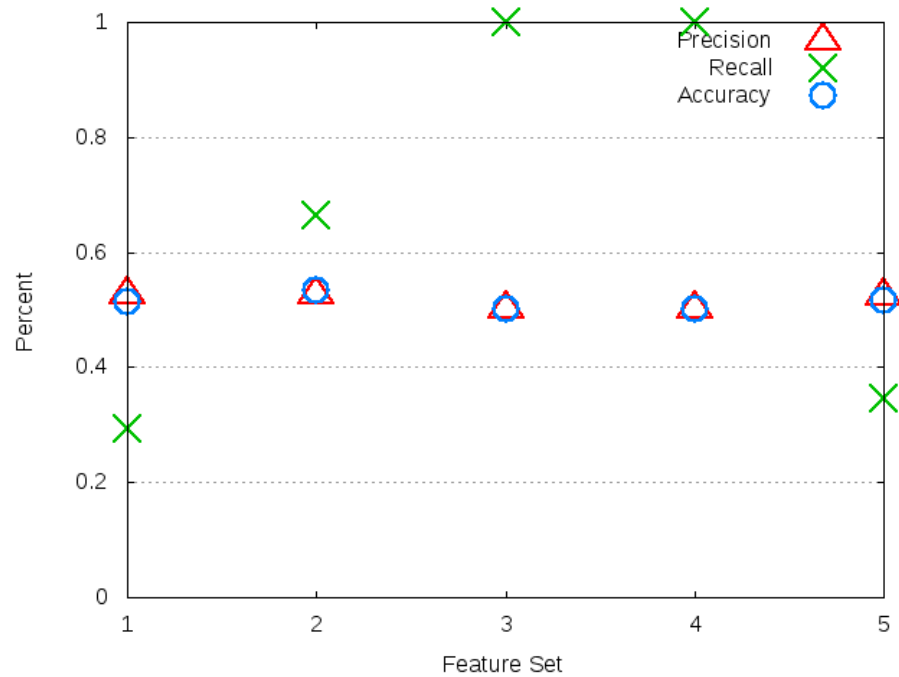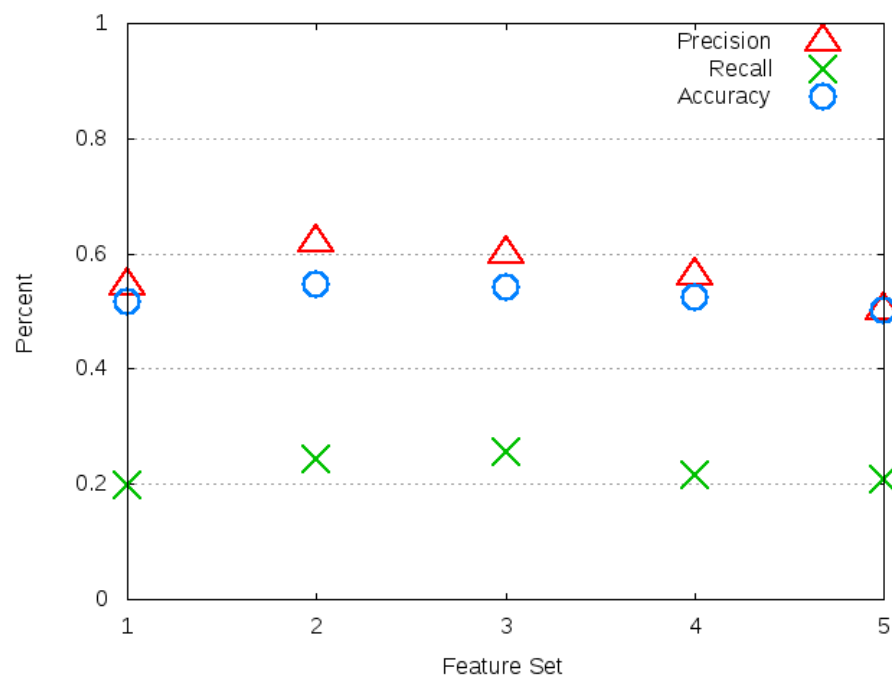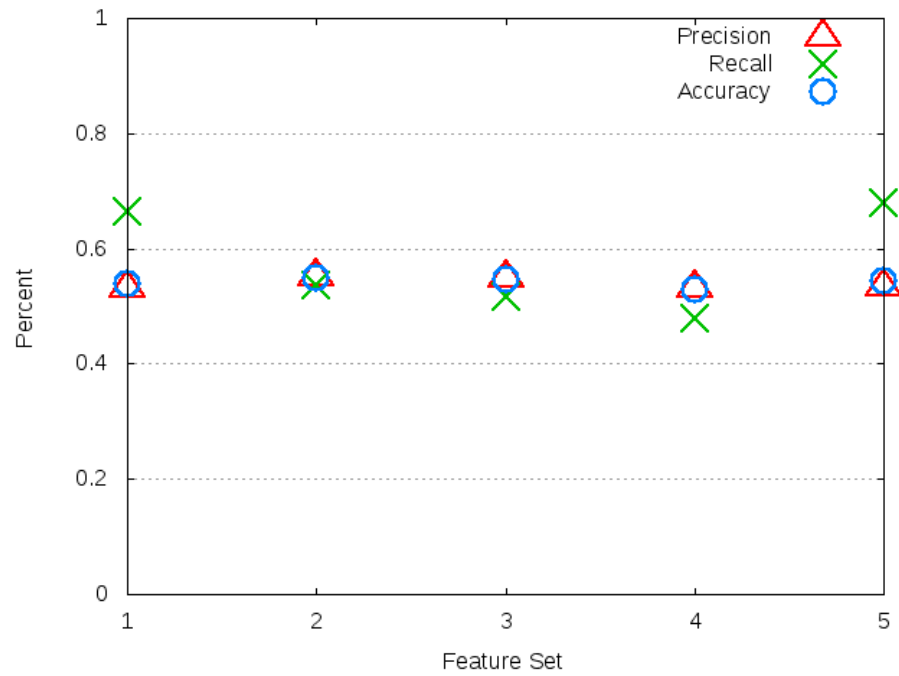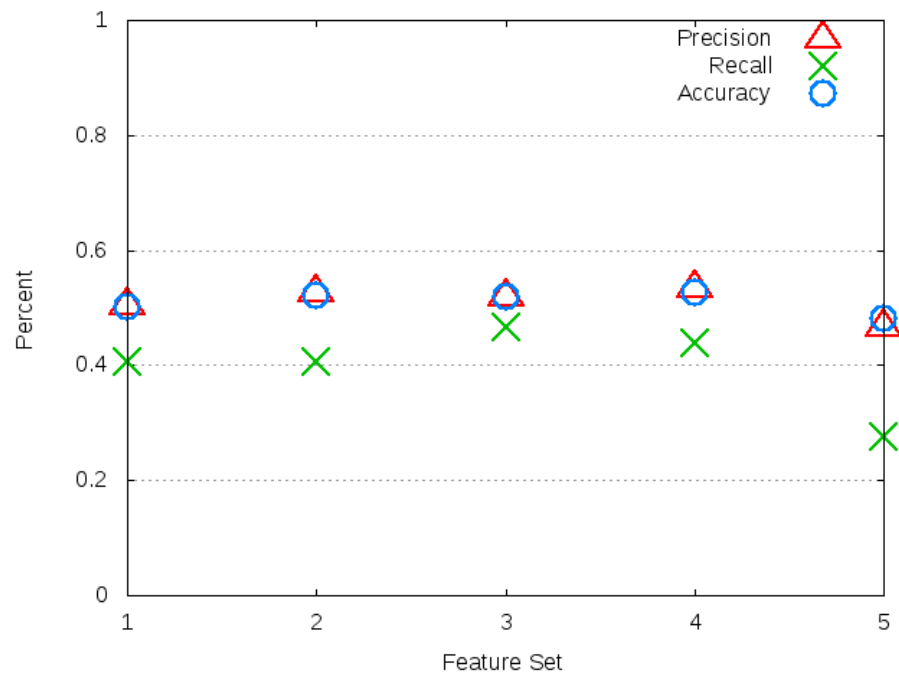

Figure A.68: Feature for greenDAO using SVM
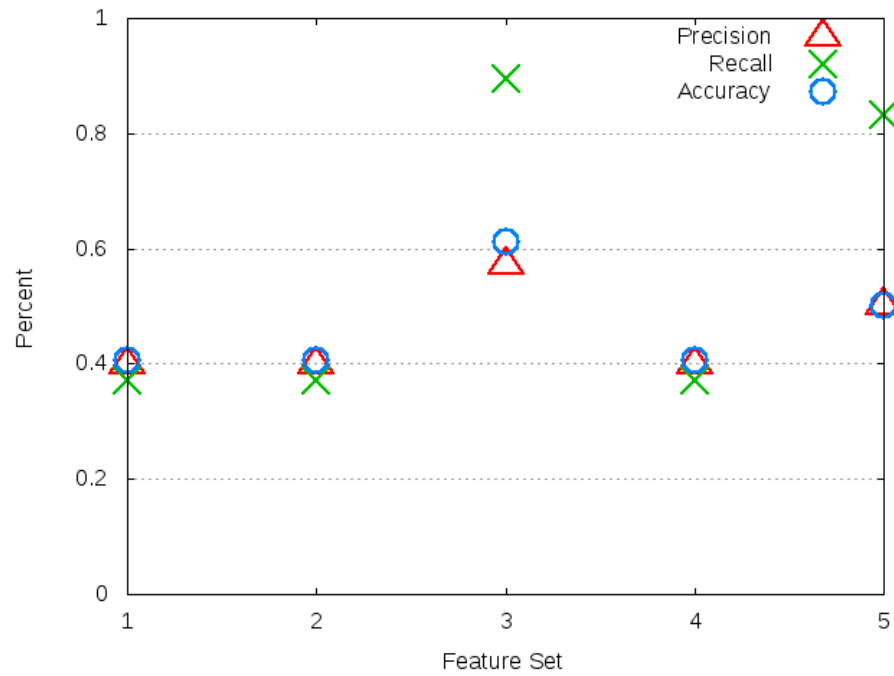
Figure A.69: Feature for mapstruct using SVM
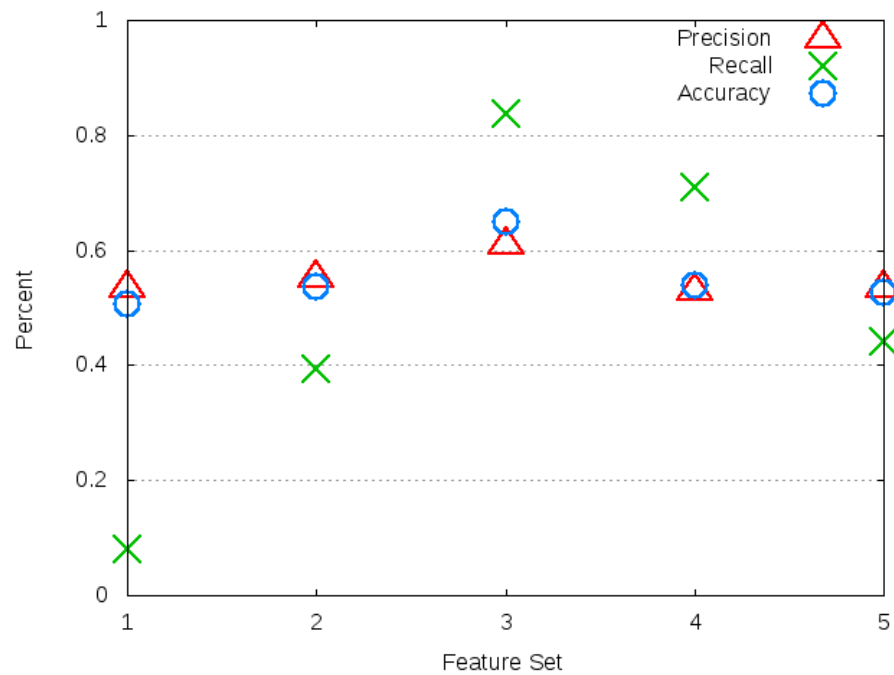
Figure A.70: Feature for deeplearning4j using SVM
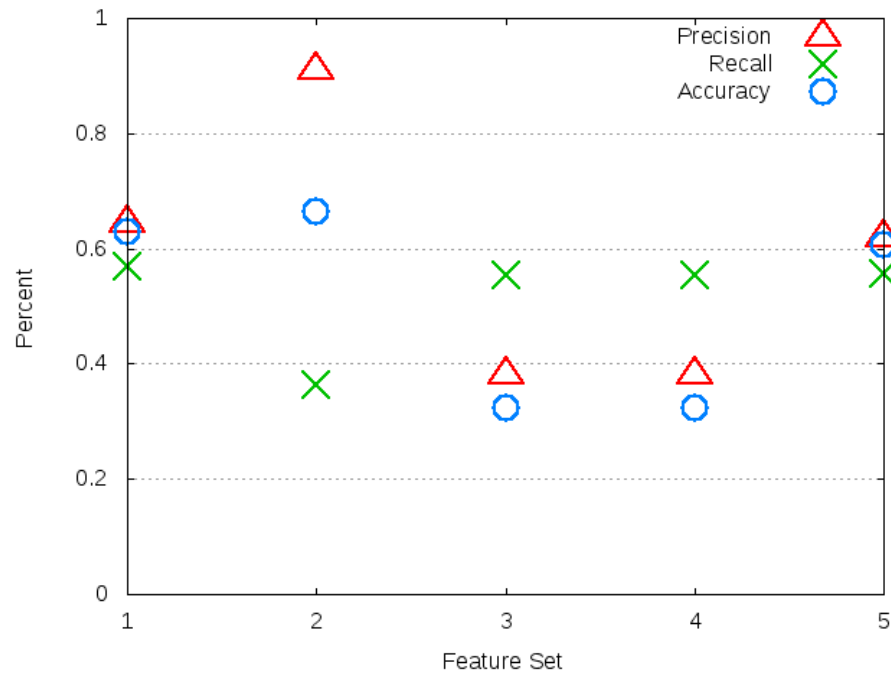
Figure A.71: Feature for governator using SVM

Figure A.72: Feature for arquillian-core using SVM
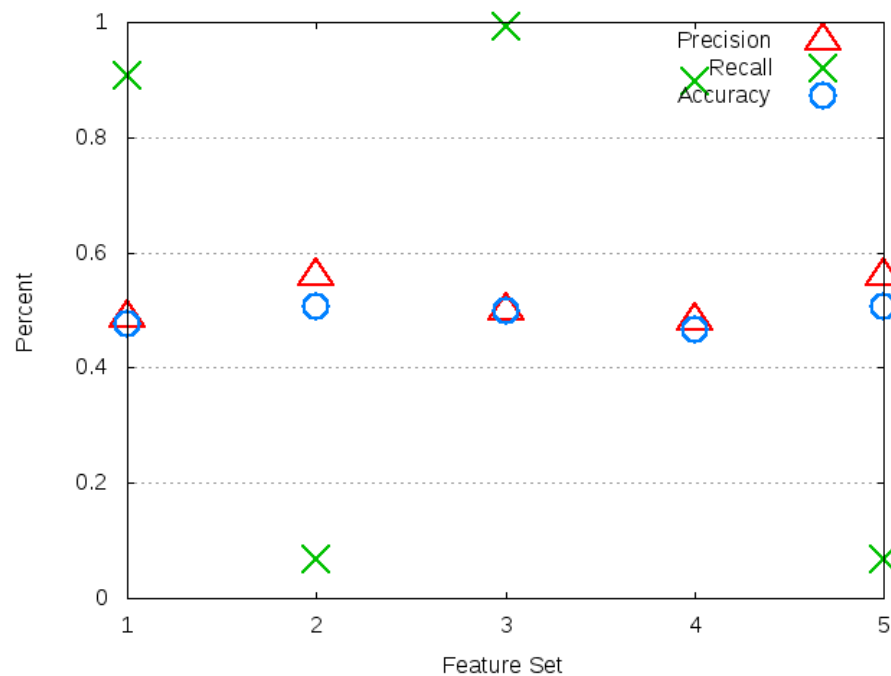
Figure A.73: Feature for fresco using SVM
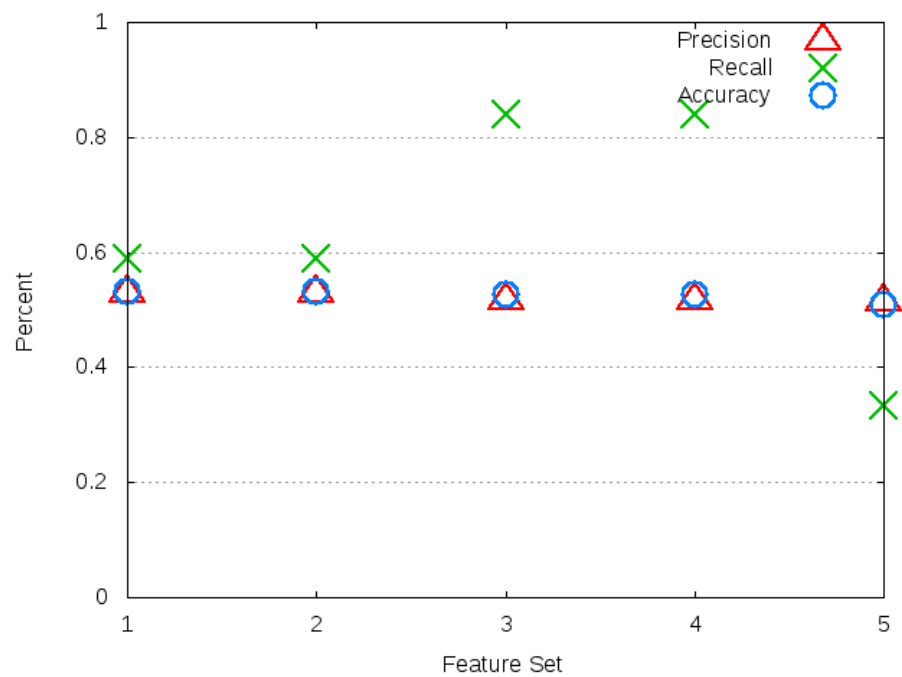
Figure A.74: Feature for jadx using SVM

Figure A.75: Feature for nettosphere using SVM
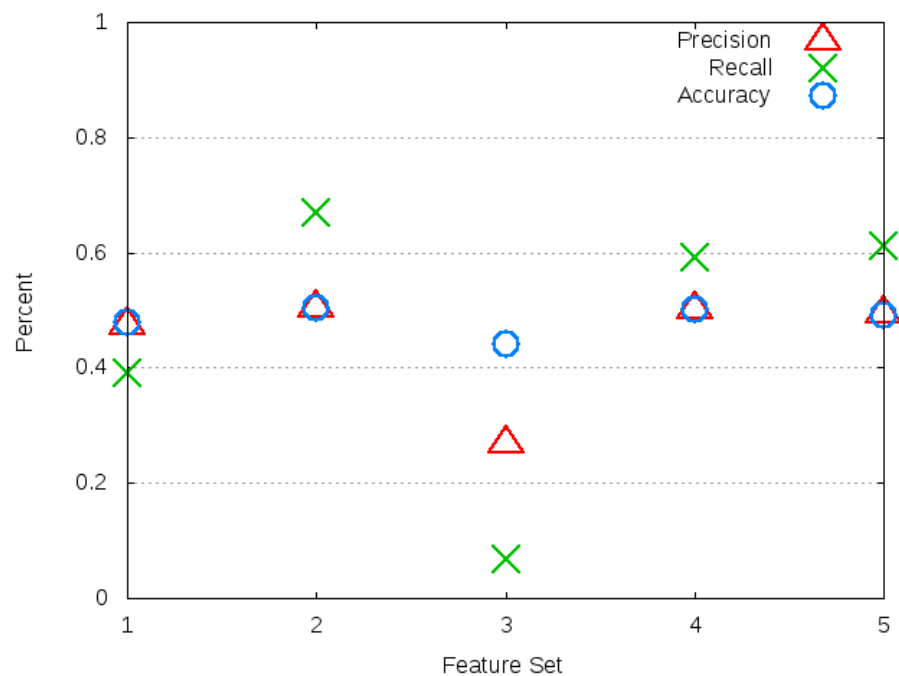
Figure A.76: Feature for storm using SVM
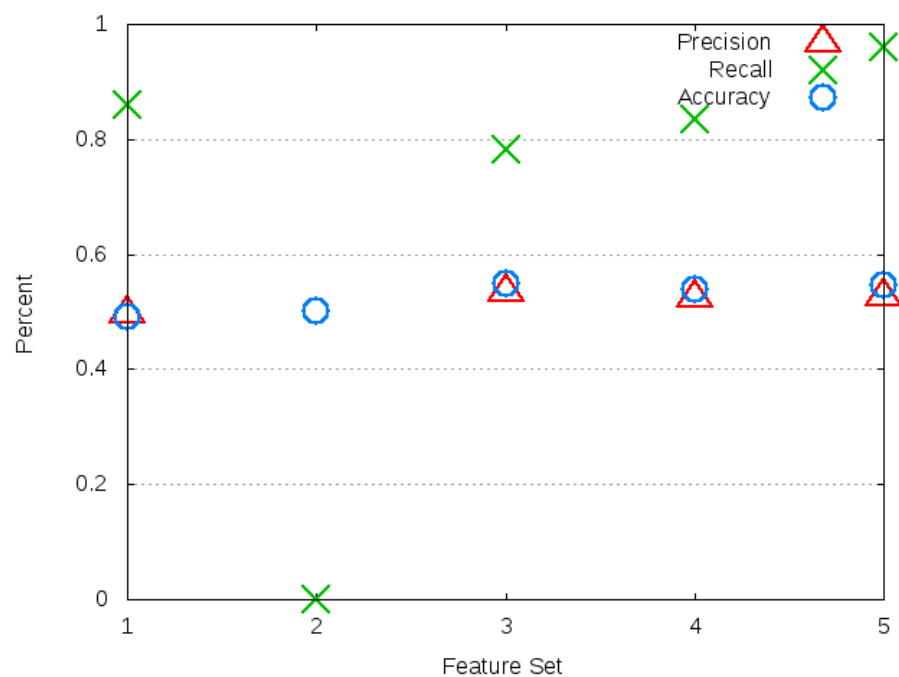
Figure A.77: Feature for smile using SVM
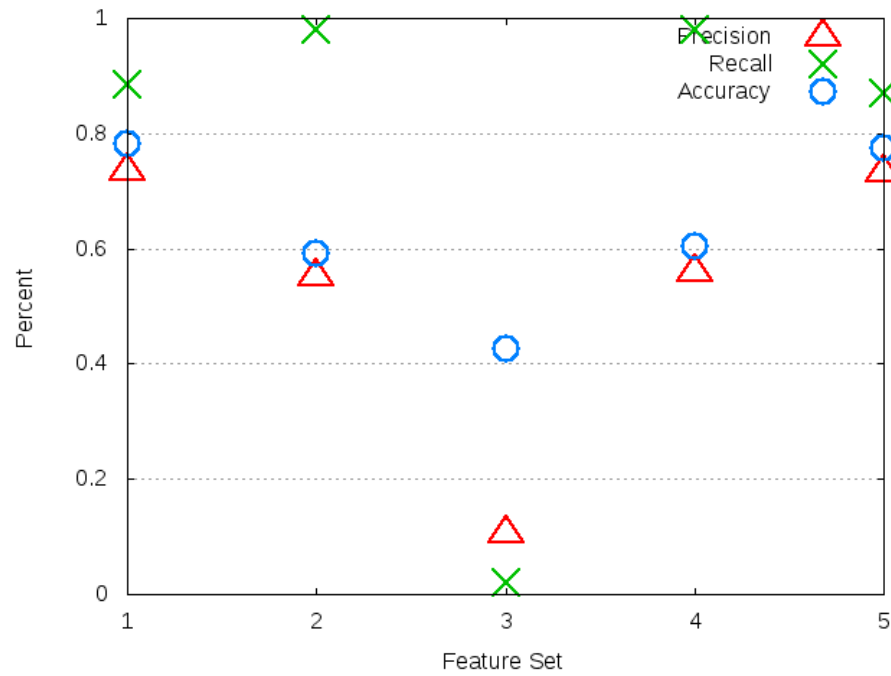
Figure A.78: Feature for ShowcaseView using SVM
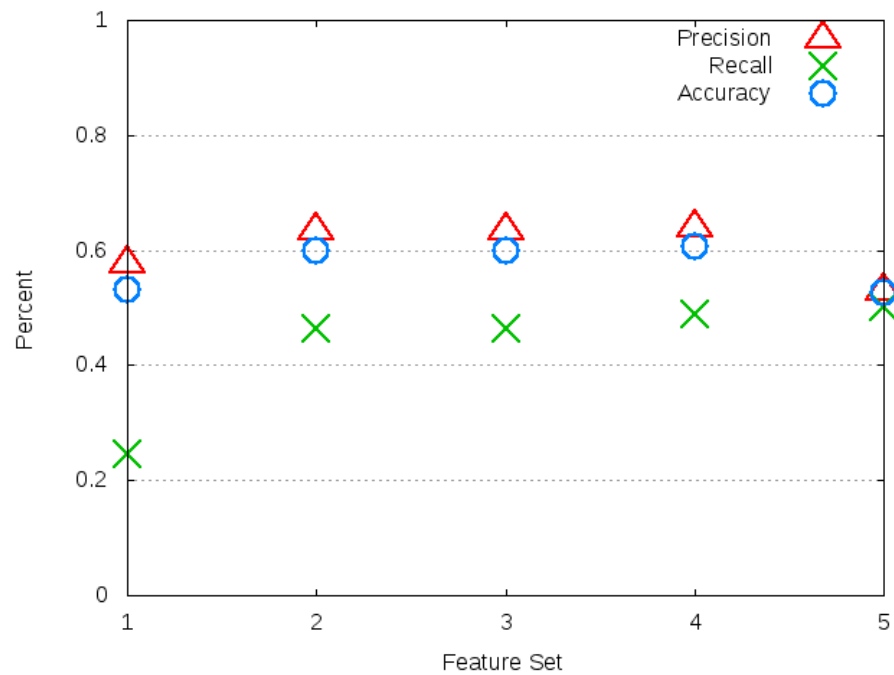
Figure A.79: Feature for tempto using SVM
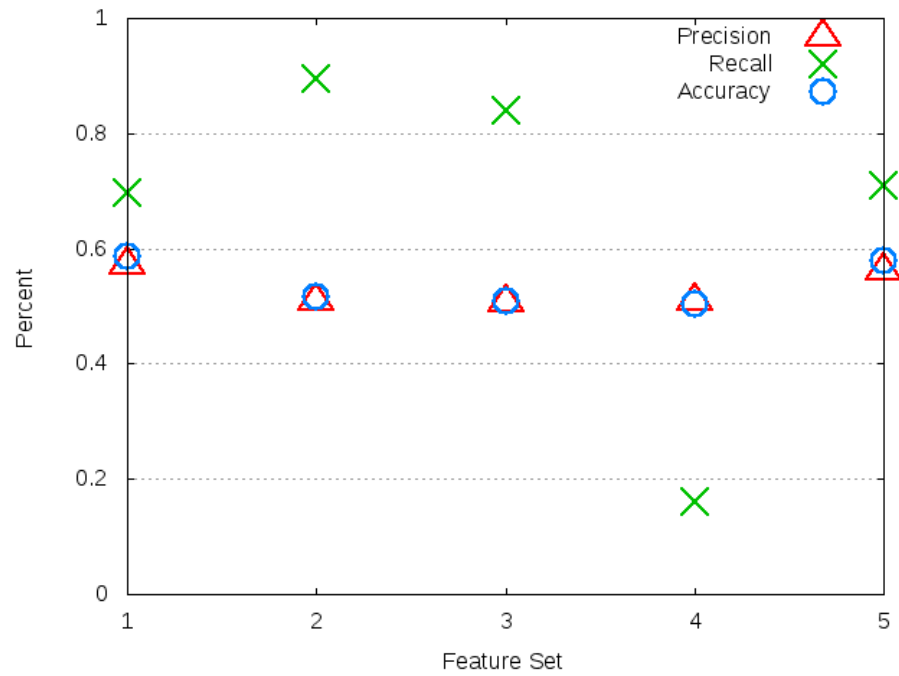
Figure A.80: Feature for retrolambda using SVM
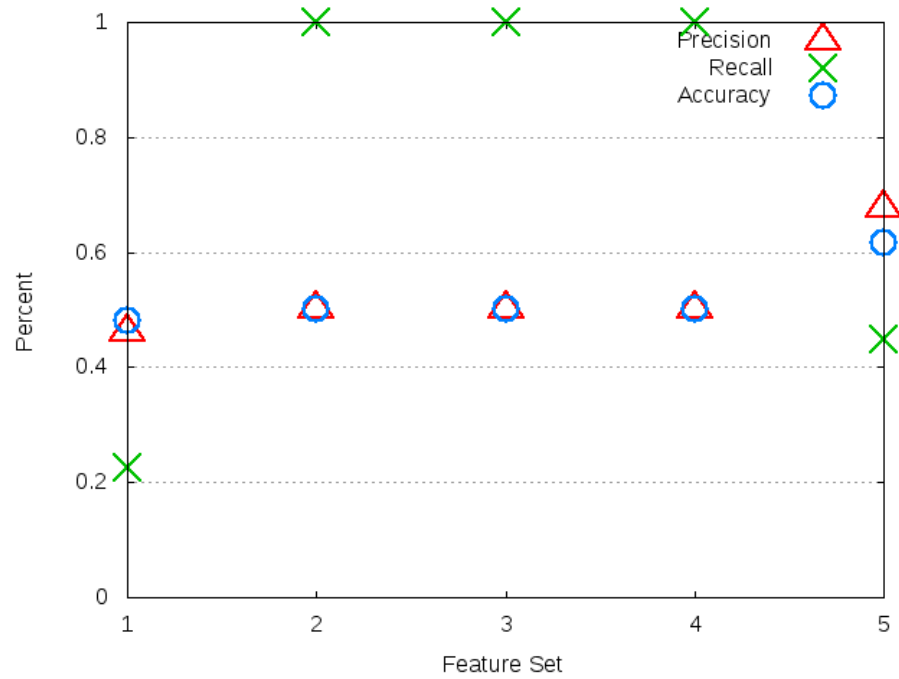
Figure A.81: Feature for blockly-android using SVM
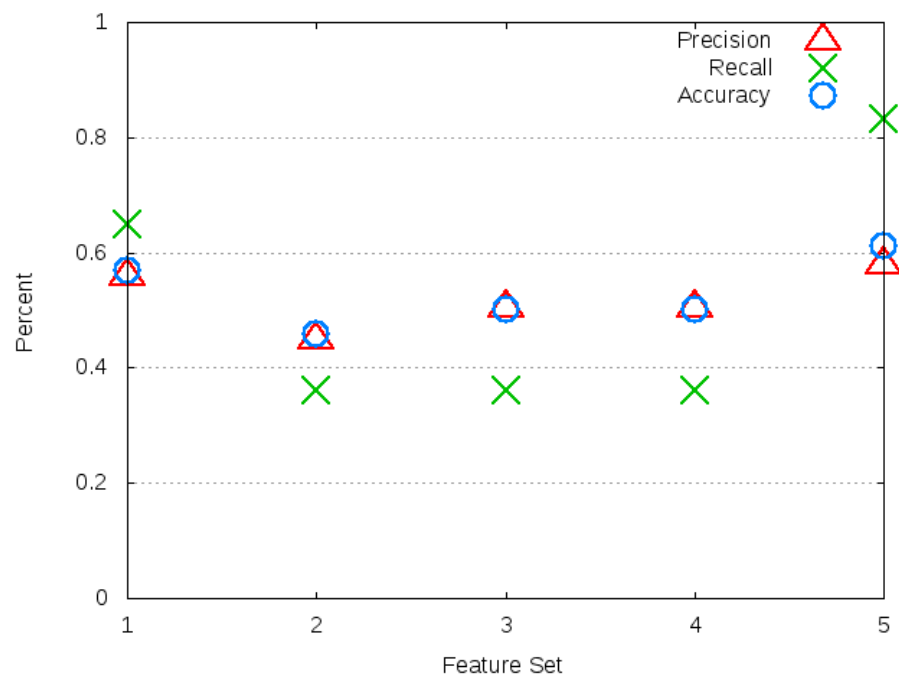
Figure A.82: Feature for ion using SVM
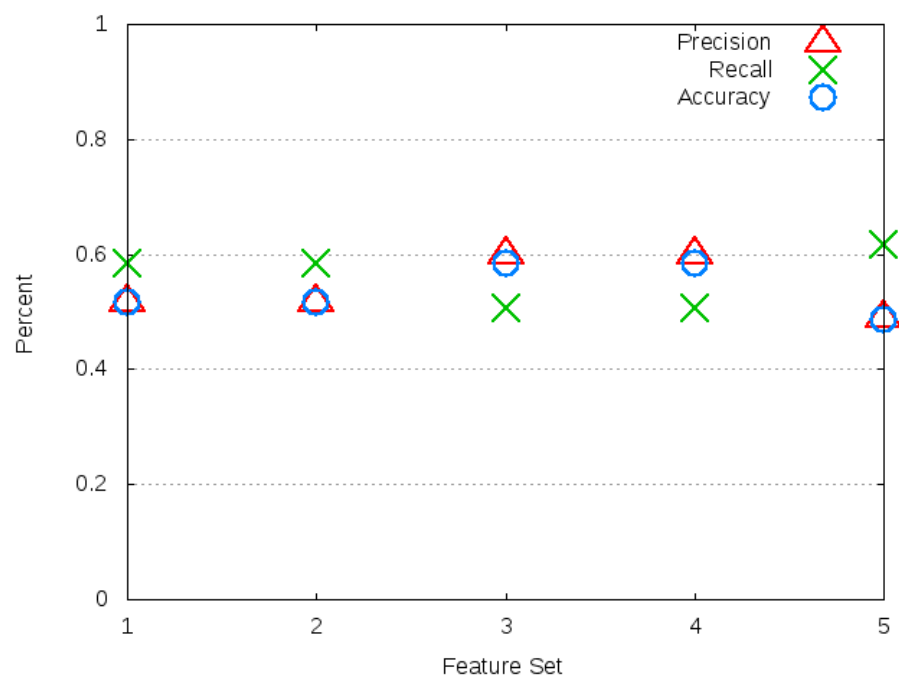
Figure A.83: Feature for brave using SVM
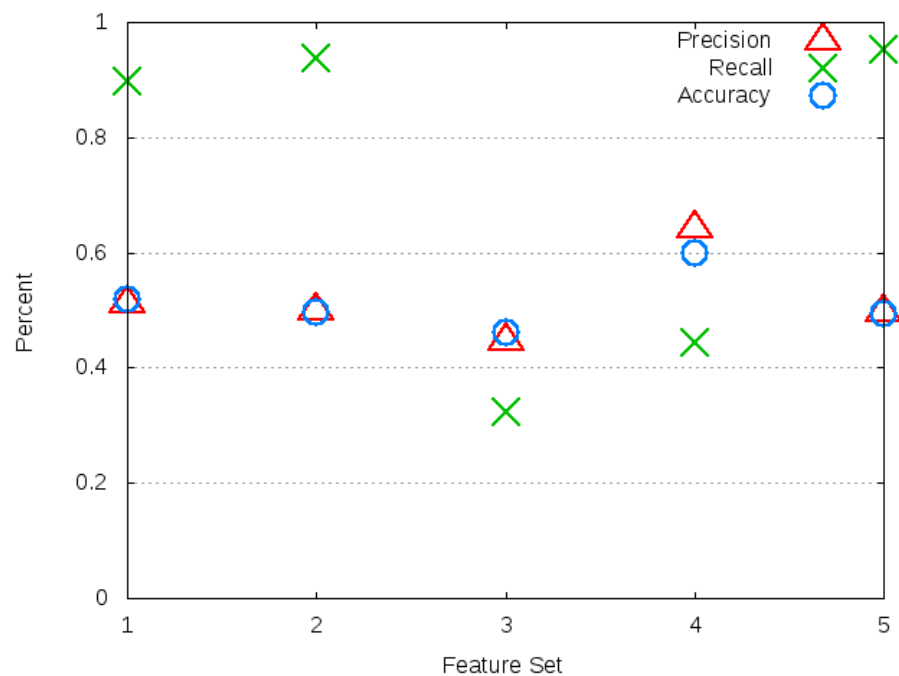
Figure A.84: Feature for dagger using SVM
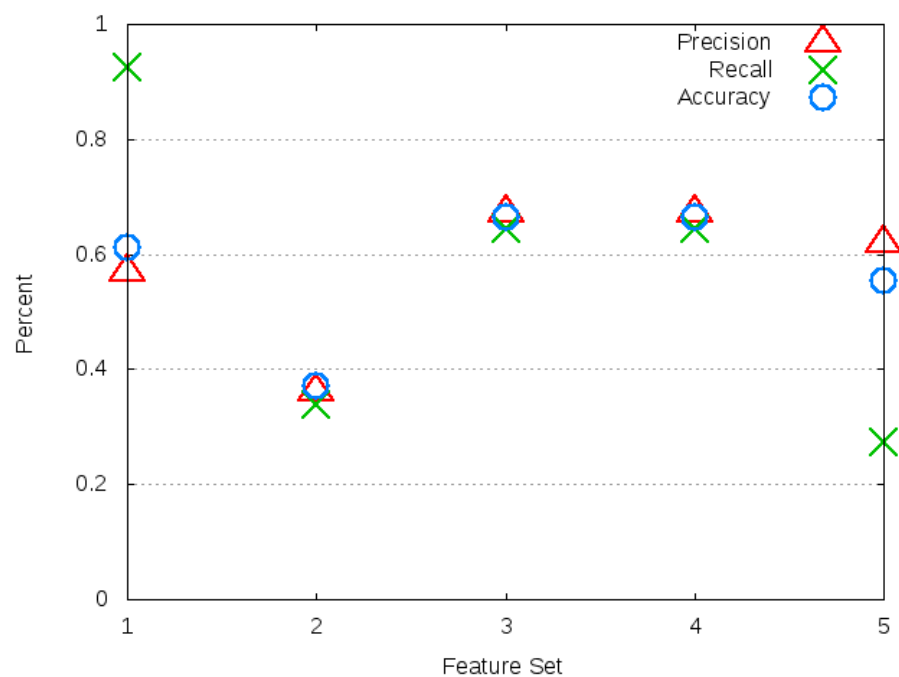
Figure A.85: Feature for parceler using SVM
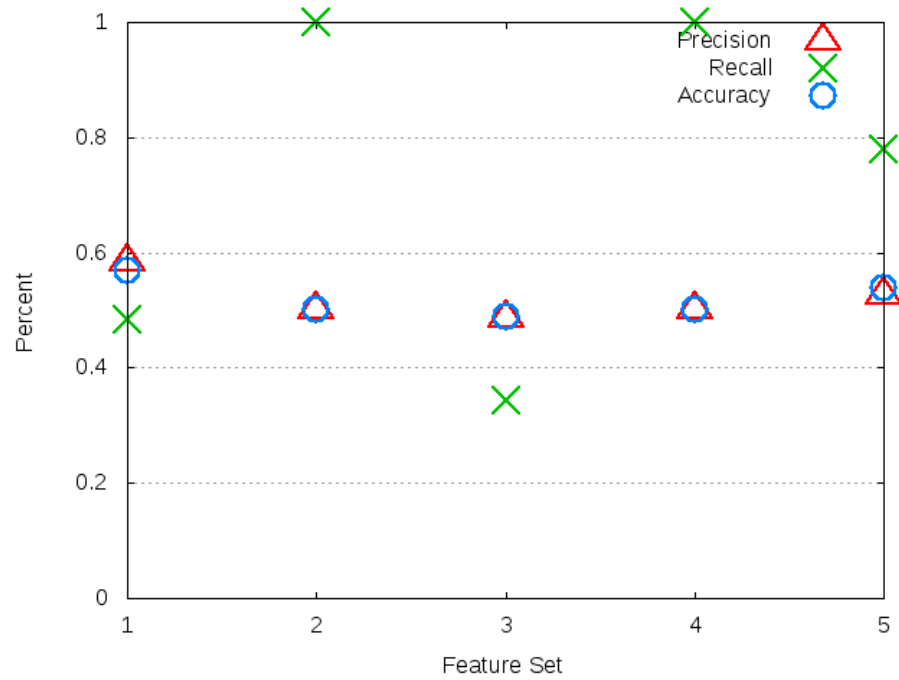
Figure A.86: Feature for spark using SVM
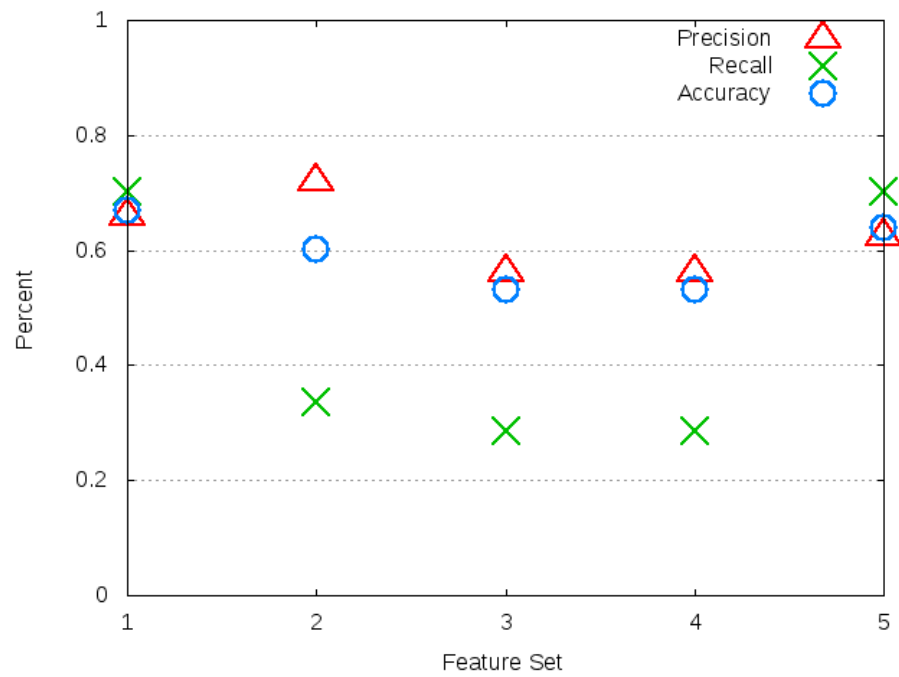
Figure A.87: Feature for http-request using SVM
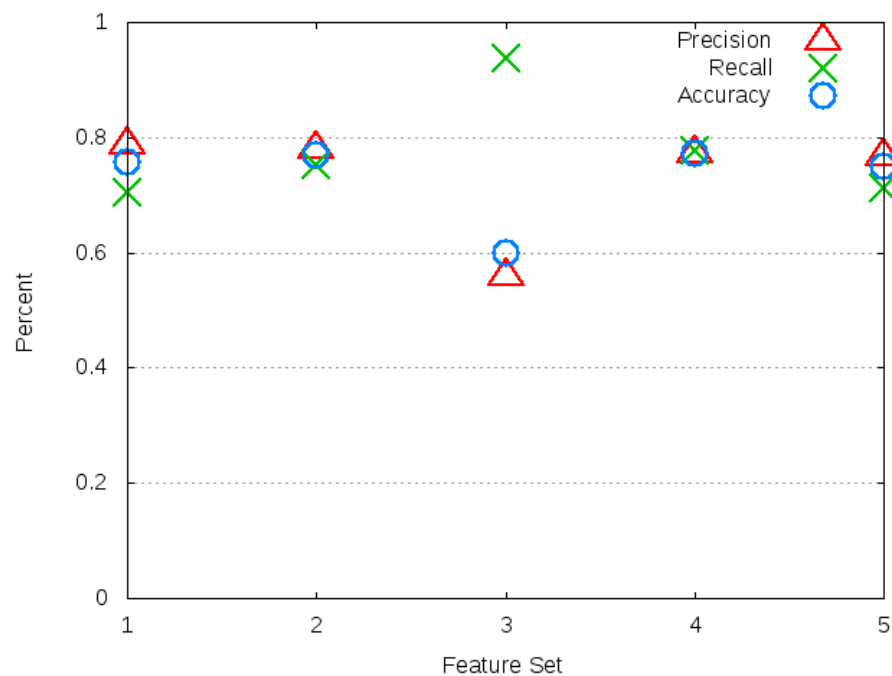
Figure A.88: Feature for acra using RF

A.2.2   Random Forest

Figure A.89: Feature for yardstick using RF

Figure A.90: Feature for cardslib using RF

Figure A.91: Feature for greenDAO using RF
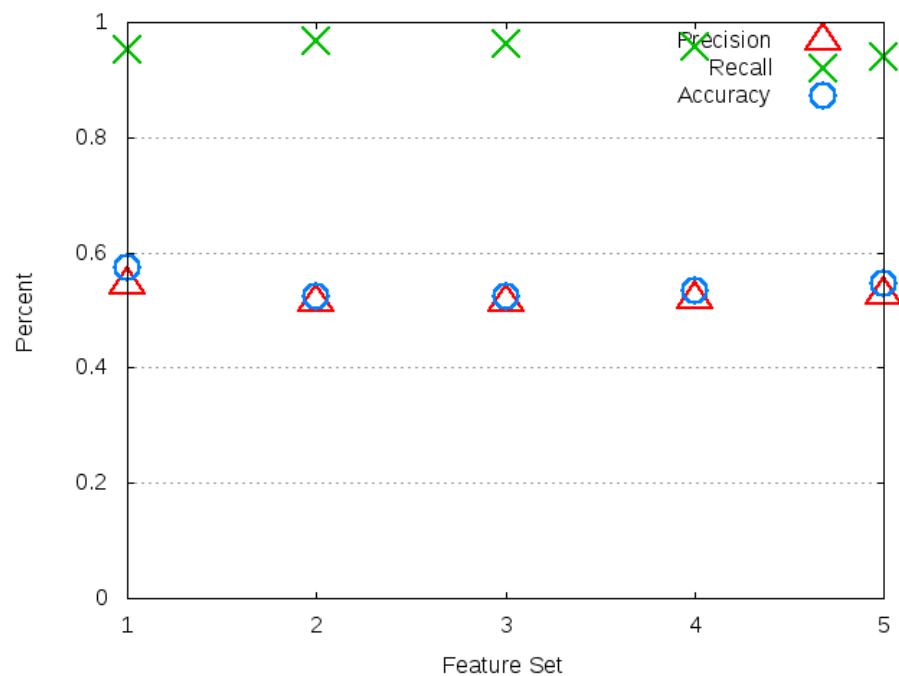
Figure A.92: Feature for mapstruct using RF
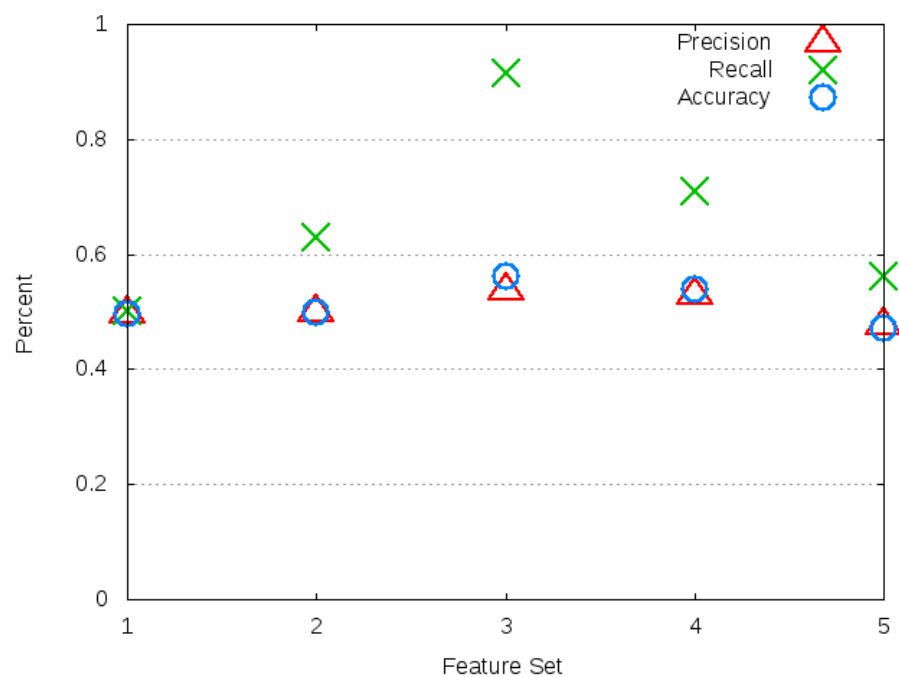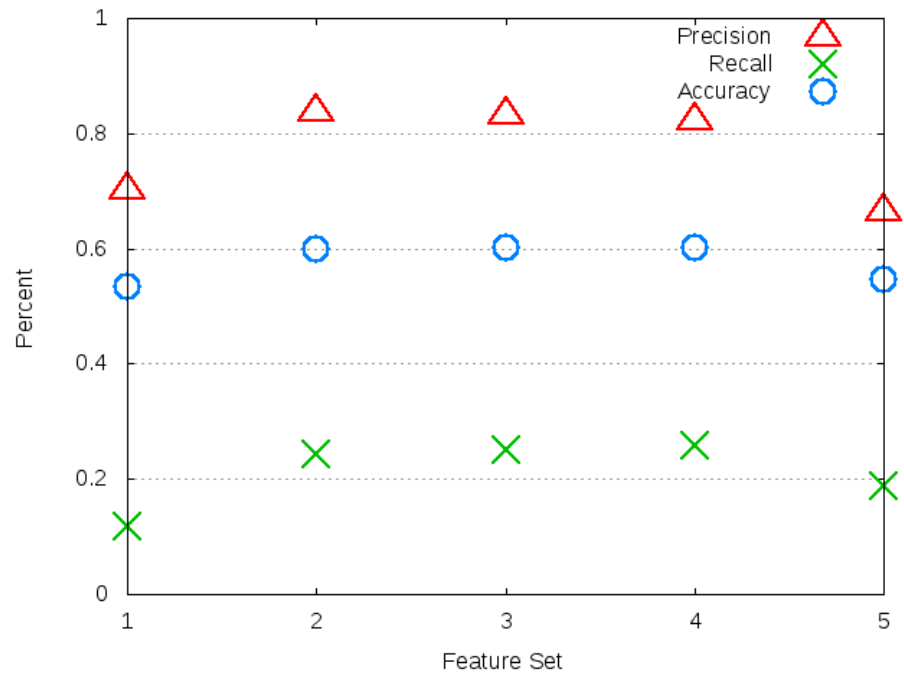

Figure A.93: Feature for deeplearning4j using RF
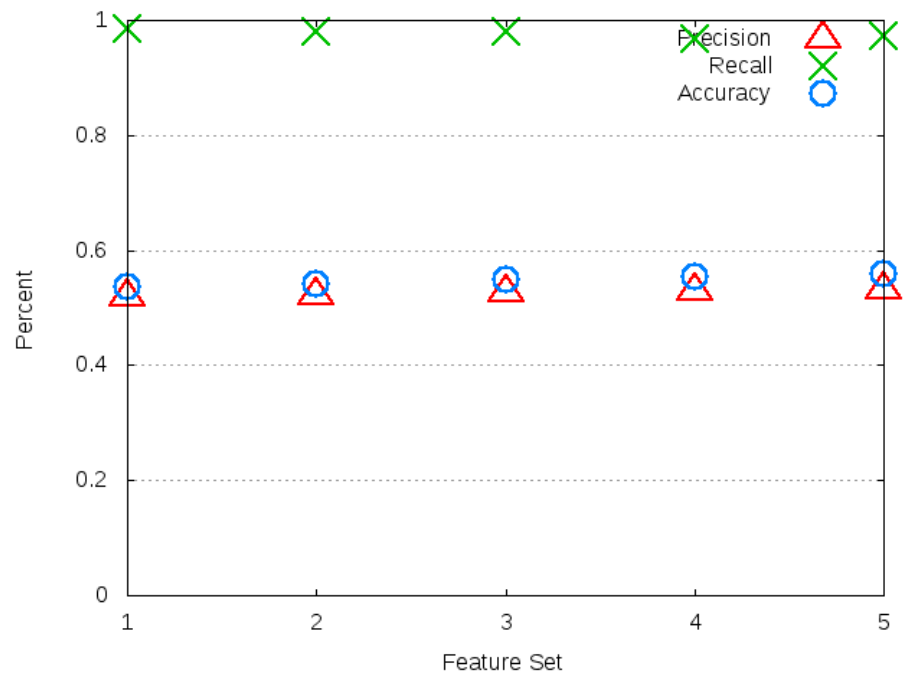
Figure A.94: Feature for governator using RF

Figure A.95: Feature for arquillian-core using RF
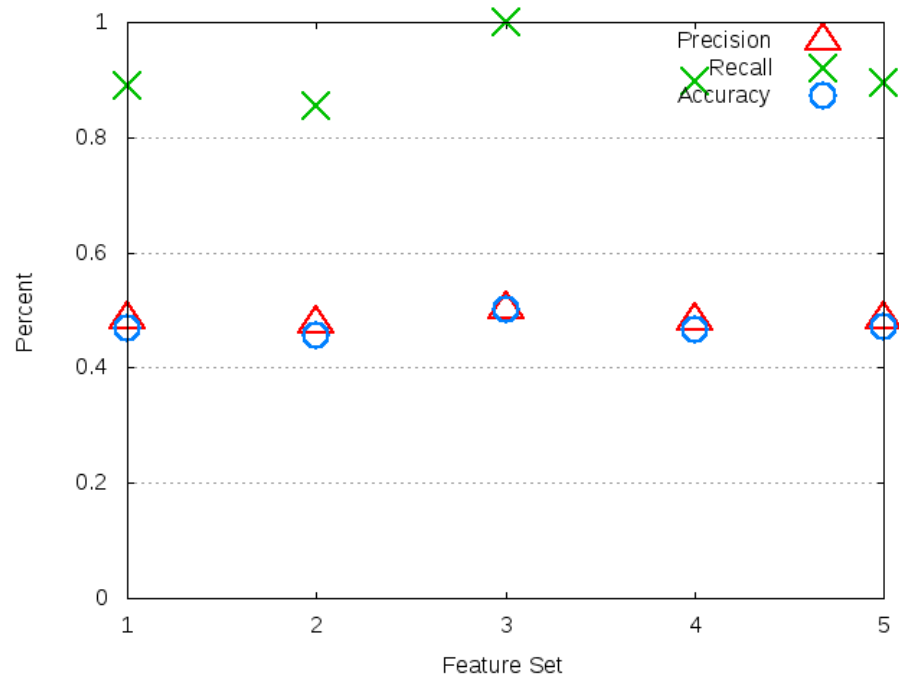
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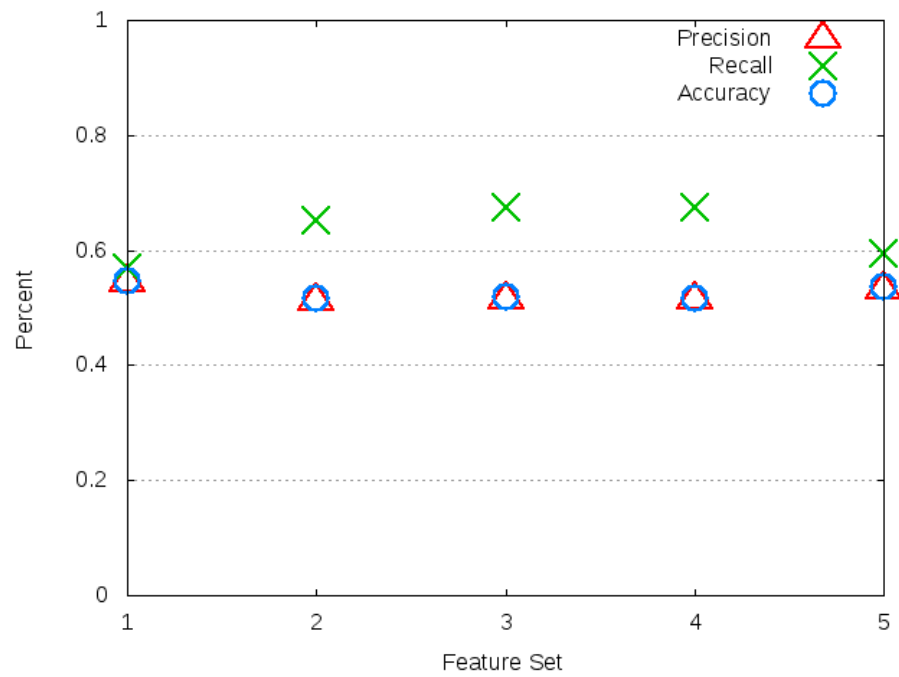Figure A.96: Feature for fresco using RF

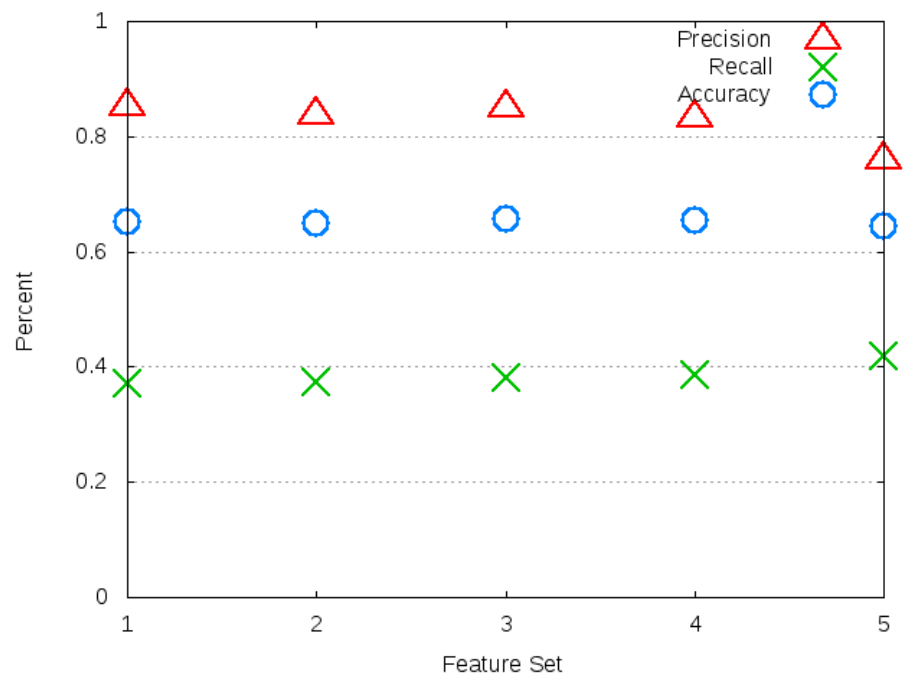Figure A.97: Feature for jadx using RF

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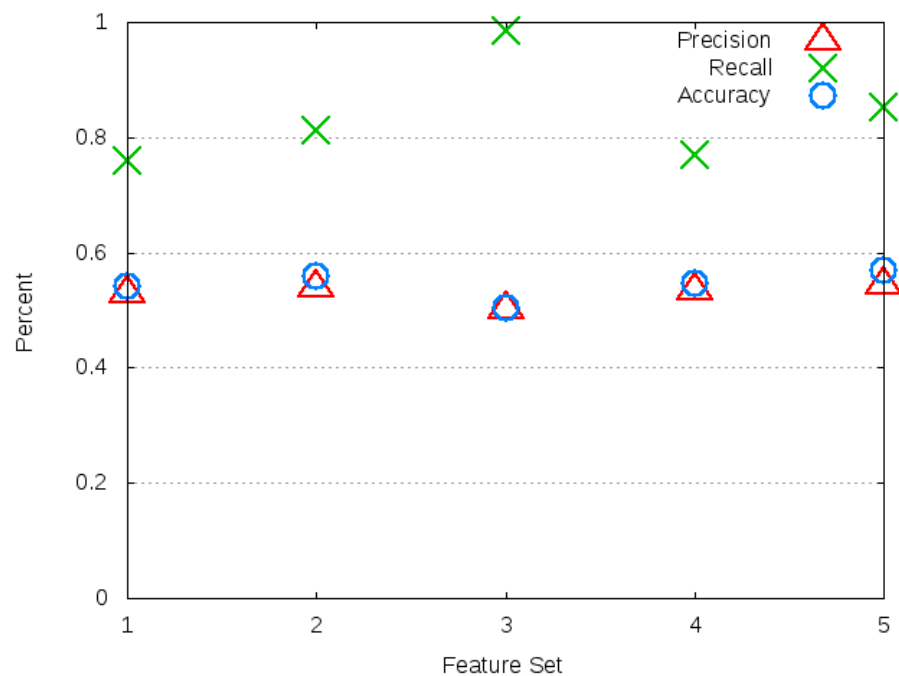Figure A.98: Feature for nettosphere using RF
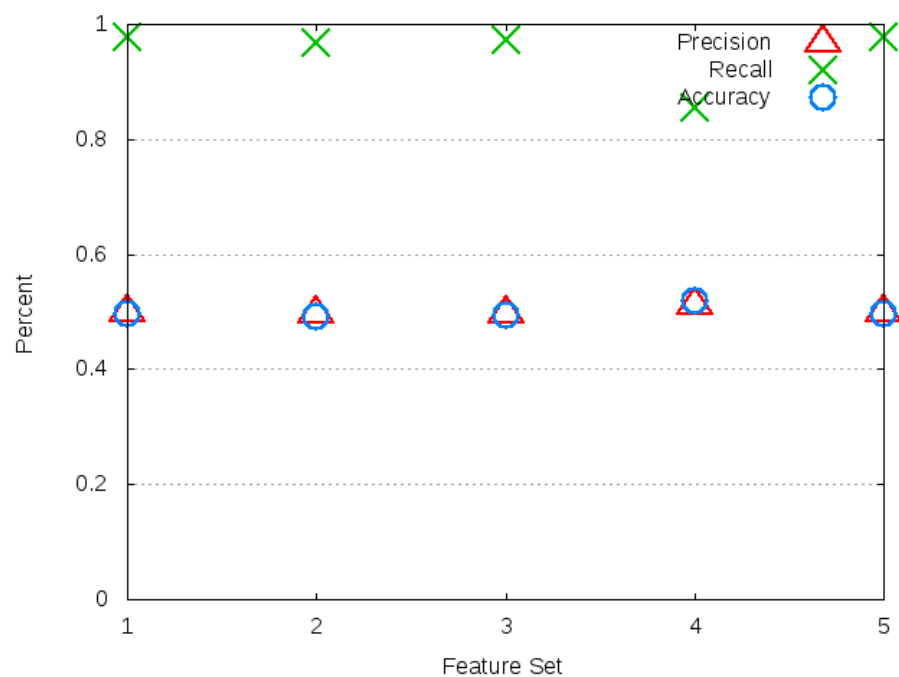
Figure A.99: Feature for storm using RF

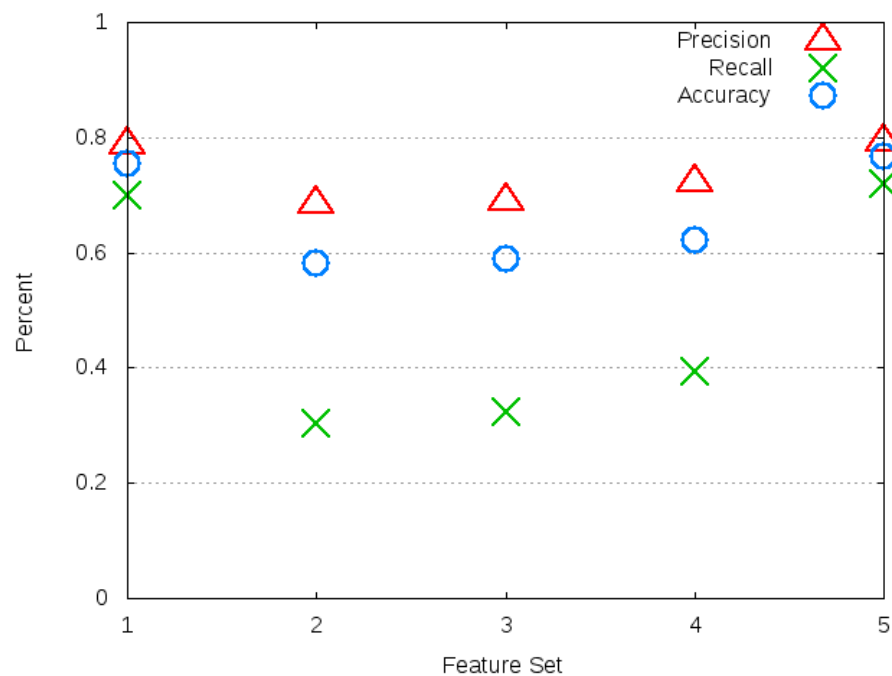

Figure A.100: Feature for smile using RF

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Figure A.101: Feature for ShowcaseView using RF

Figure A.102: Feature for tempto using RF
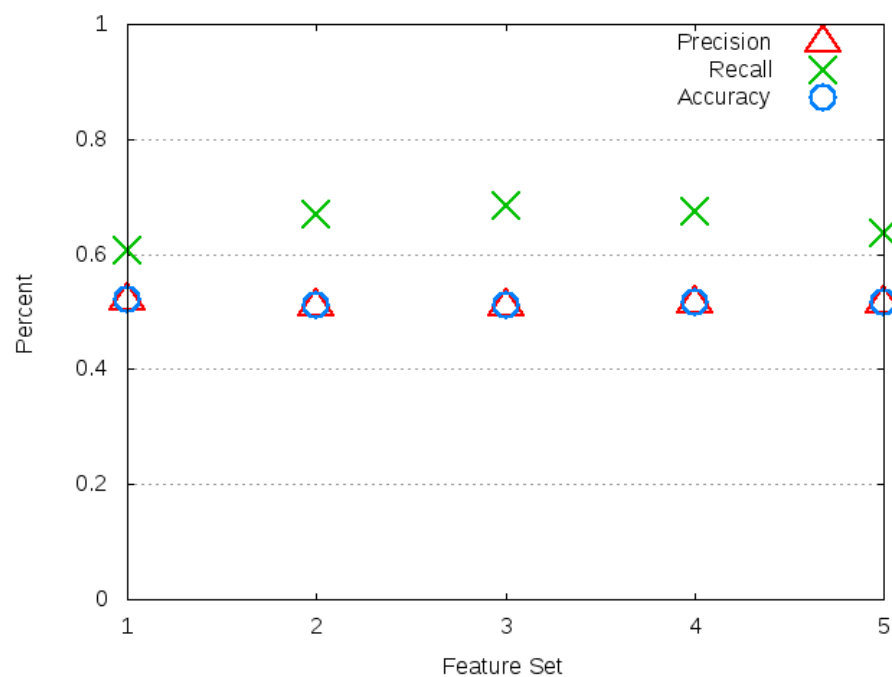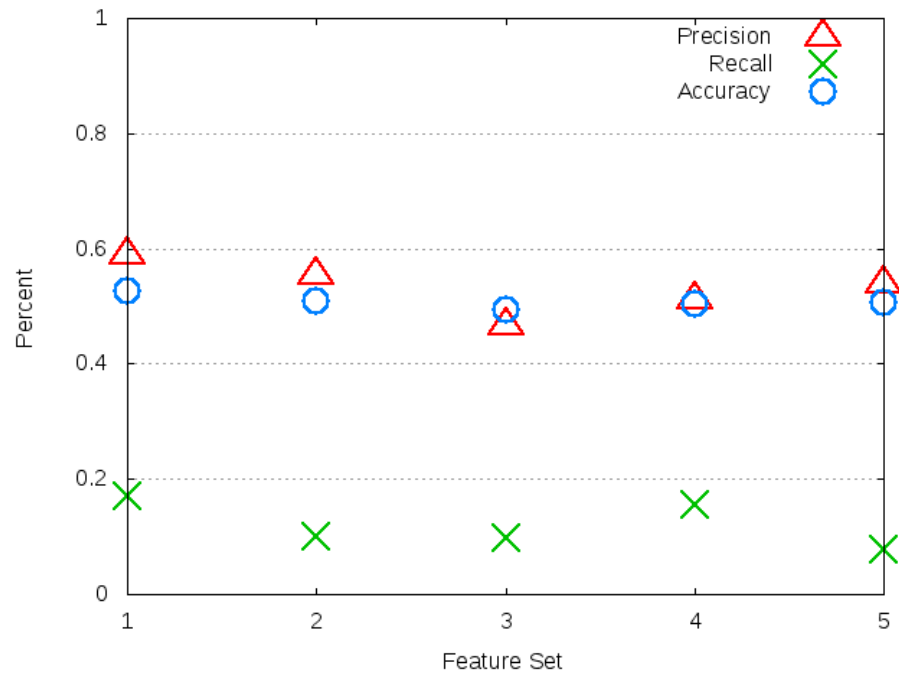
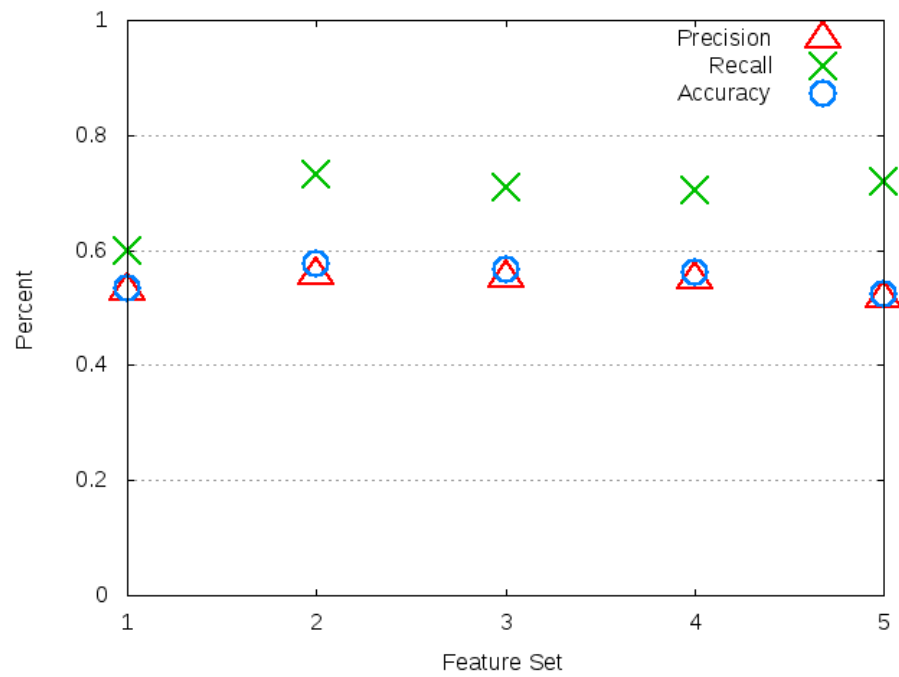Figure A.103: Feature for retrolambda using RF

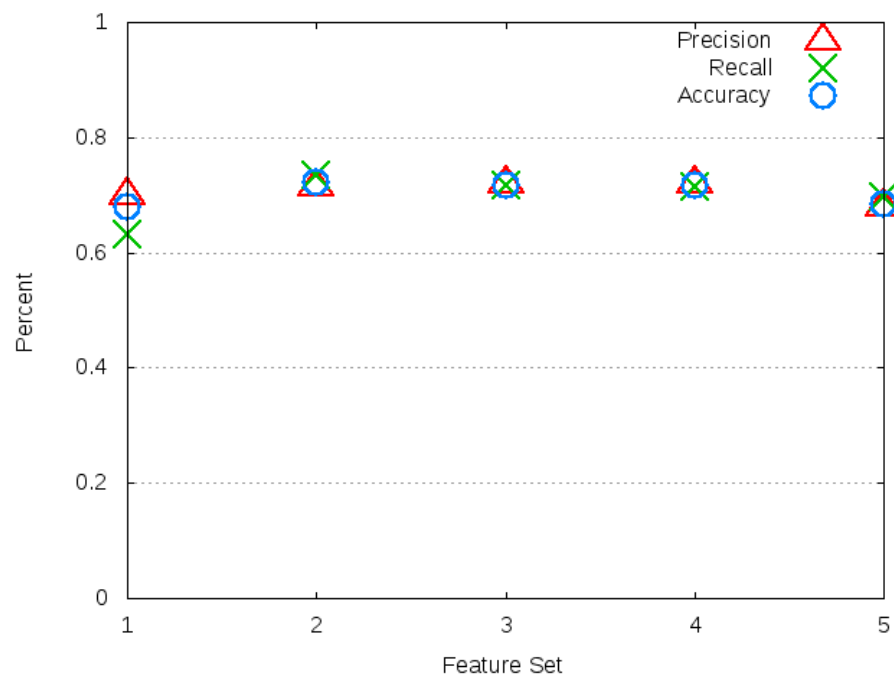Figure A.104: Feature for blockly-android using RF

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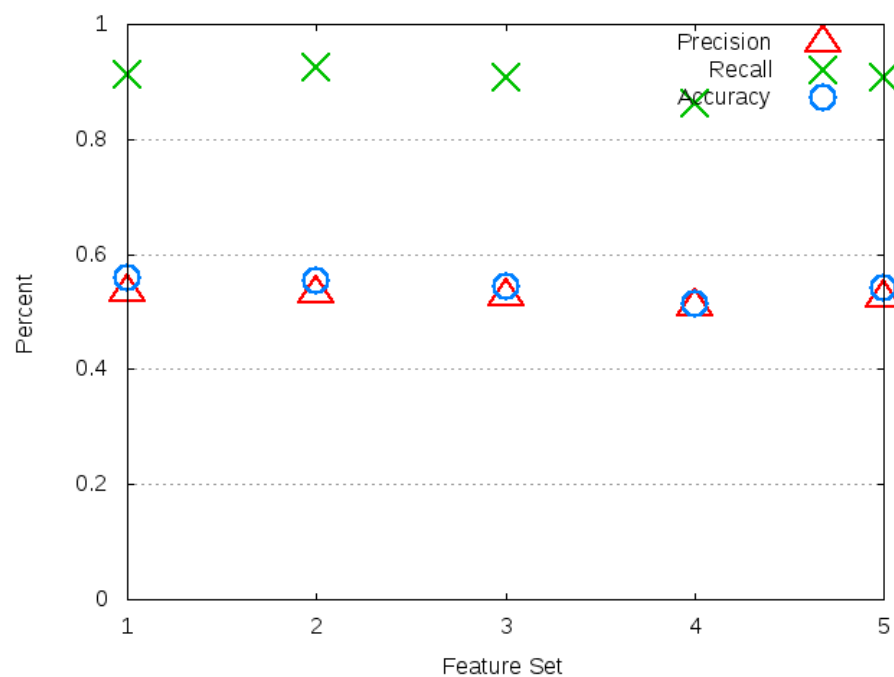
Figure A.105: Feature for ion using RF

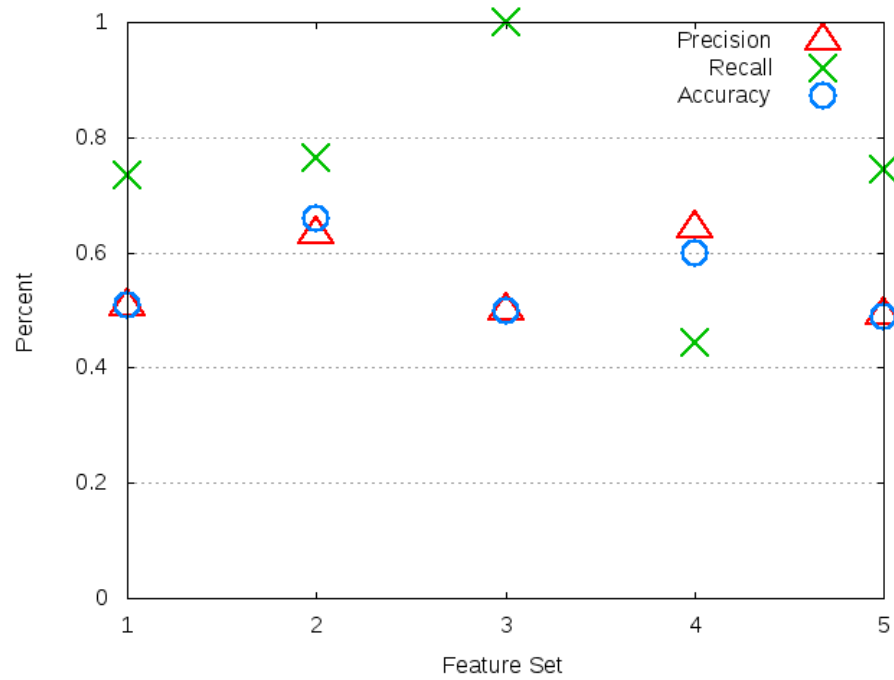Figure A.106: Feature for brave using RF

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Figure A.107: Feature for dagger using RF
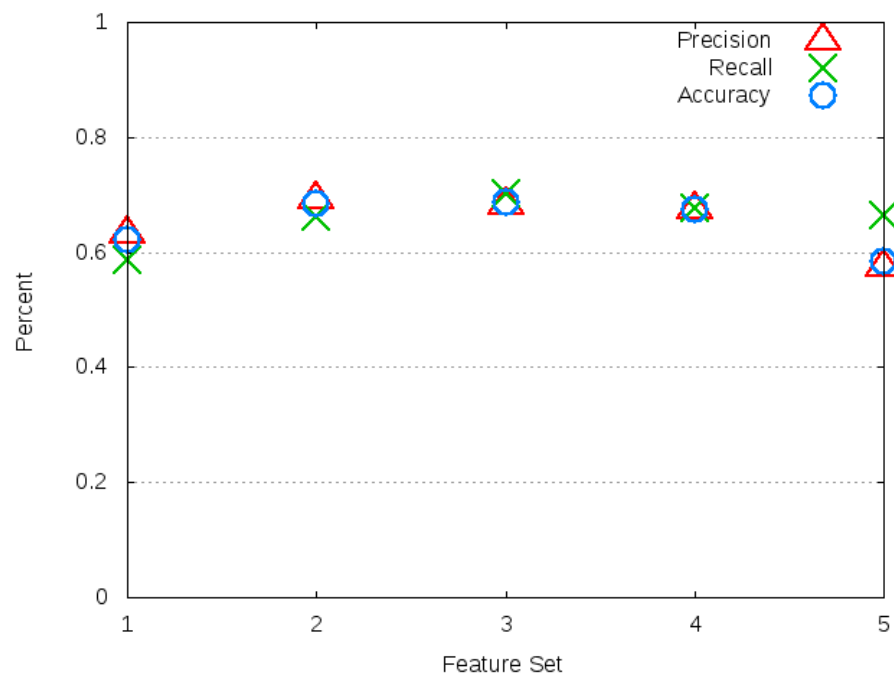
Figure A.108: Feature for parceler using RF

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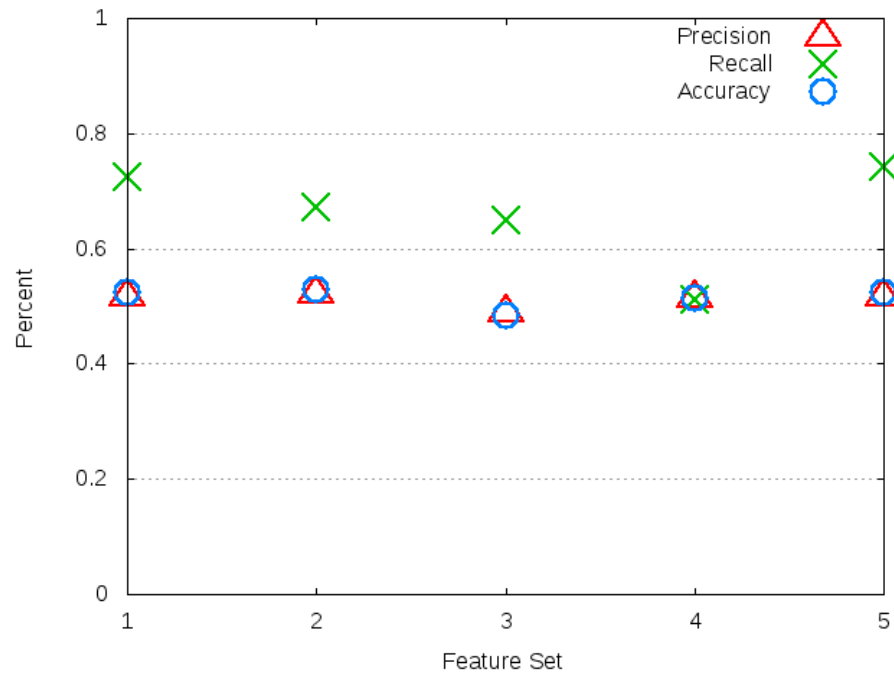
Figure A.109: Feature for spark using RF
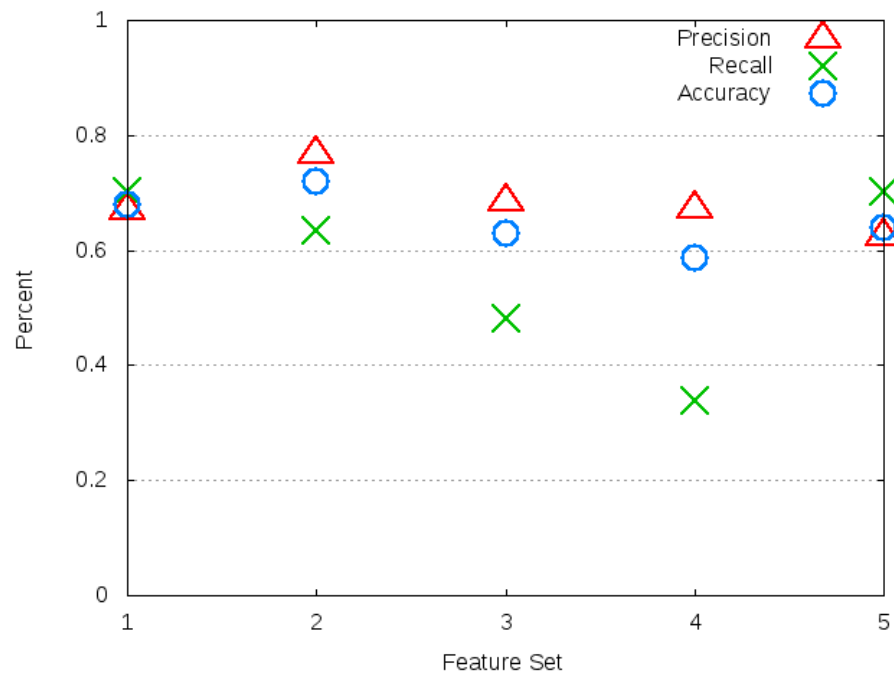
Figure A.110: Feature for http-request using RF

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