
EEGPT: Pretrained Transformer for Universal and Reliable Representation of EEG Signals

Guangyu Wang

Faculty of Computing

Harbin Institute of Technology

wangguangyu@stu.hit.edu.cn

Wenchao Liu

Faculty of Computing

Harbin Institute of Technology

23b903096@stu.hit.edu.cn

Yuhong He

Faculty of Computing

Harbin Institute of Technology

19S003002@stu.hit.edu.cn

Cong Xu

Faculty of Computing

Harbin Institute of Technology

congxu@hit.edu.cn

Lin Ma

Faculty of Computing

Harbin Institute of Technology

malin_li@hit.edu.cn

Haifeng Li*

Faculty of Computing

Harbin Institute of Technology

lihaifeng@hit.edu.cn

Abstract

Electroencephalography (EEG) is crucial for recording brain activity, with applications in medicine, neuroscience, and brain-computer interfaces (BCI). However, challenges such as low signal-to-noise ratio (SNR), high inter-subject variability, and channel mismatch complicate the extraction of robust, universal EEG representations. We propose EEGPT, a novel 10-million-parameter pretrained transformer model designed for universal EEG feature extraction. In EEGPT, a mask-based dual self-supervised learning method for efficient feature extraction is designed. Compared to other mask-based self-supervised learning methods, EEGPT introduces spatio-temporal representation alignment. This involves constructing a self-supervised task based on EEG representations that possess high SNR and rich semantic information, rather than on raw signals. Consequently, this approach mitigates the issue of poor feature quality typically extracted from low SNR signals. Additionally, EEGPT’s hierarchical structure processes spatial and temporal information separately, reducing computational complexity while increasing flexibility and adaptability for BCI applications. By training on a large mixed multi-task EEG dataset, we fully exploit EEGPT’s capabilities. The experiment validates the efficacy and scalability of EEGPT, achieving state-of-the-art performance on a range of downstream tasks with linear-probing. Our research advances EEG representation learning, offering innovative solutions for bio-signal processing and AI applications. The code for this paper is available at: <https://github.com/BINE022/EEGPT>.

1 Introduction

Electroencephalography (EEG) dynamically reflects the brain’s functional state by recording electrical signals from the cerebral cortex [1]. EEG is essential for studying brain activity and is pivotal in

*Corresponding author

brain-computer interface (BCI) applications due to its non-invasive and portable nature [2]. Despite its potential, EEG-based methods face practical challenges due to low signal-to-noise ratio (SNR) [3], high inter-subject variability, and significant task-dependent variations in EEG signals [4]. Self-supervised learning, as described by Yann LeCun in his AAAI 2020 keynote [5], has shown advantages in natural language processing (NLP) [6], computer vision (CV) [7, 8], and speech analysis [9]. More and more state-of-the-art (SOTA) models are pretrained by self-supervised learning on large datasets and fine-tuned for specific applications, effectively reducing the need for extensive labeled data. Masked autoencoders, a type of self-supervised learning method, have been successful in NLP [6] and CV [8] by recovering masked patches based on context.

Recent advances in EEG analysis using self-supervised learning techniques have shown promising results. In Falck et al. [10], a framework for learning EEG representations through contrastive learning was proposed. This framework extends the SimCLR framework to time series data, training a channel feature extractor. The model achieved an accuracy of 85.12% on the Sleep-EDF dataset [11] but was only trained on EEG data collected during 20-second tasks, making it unsuitable for shorter tasks such as motor imagery. BENDR [12] applied self-supervised learning based on masked autoencoders and contrastive learning to EEG data. This approach addresses the challenges of multi-task and multi-paradigm EEG data characterization, enhancing the model’s universality. BENDR uses a convolutional encoder to extract features from local time windows, masks some features, and then a transformer decoder predicts the information in the masked parts. EEG2VEC [13] introduced a self-supervised model that learns EEG representations based on contrastive loss and reconstruction loss. The pretrained model is used as a feature extractor for downstream tasks. Both EEG2VEC and BENDR utilize convolutional neural network and transformer networks to learn local and global features. EEG2VEC was validated in EEG match-mismatch and EEG regression tasks of the auditory EEG challenge [14]. The Biosignal Transformer (BIOT) [15] model addresses the challenges of cross-data learning with mismatched channels, variable lengths, and missing values in biosignals such as EEG, ECG, and human activity sensory signals. BIOT tokenizes each channel separately into fixed-length segments containing local signal features and then re-arranges the segments to form a long “sentence”. In the CHB-MIT seizure detection task [16], the pretrained BIOT models achieved a 4% improvement. The Large Brain Model (LaBraM) [17] addresses EEG-based deep learning model limitations by enabling cross-dataset learning. It segments EEG signals into channel patches and uses vector-quantized neural spectrum prediction for training a neural tokenizer. This tokenizer encodes raw EEG patches into neural codes, which pretrain transformers to predict the original neural codes for masked patches. LaBraM outperformed SOTA methods in abnormal detection, event type classification [18], emotion recognition [19], and gait prediction [20].

Universal models have clearly made progress in EEG data analysis. However, the extremely low SNR of EEG signals and the complexity of brain activities during tasks make it challenging to learn abstract features using masked autoencoders, which are commonly used in NLP and CV [12]. Additionally, the inconsistent sampling rates of different EEG acquisition devices and variations in electrode channel locations [15] hinder the convolutional encoder’s ability to decouple the correlation between electrode channels and EEG signals, resulting in robustness and scalability issues.

To address these issues, we propose a dual self-supervised EEG universal representation method based on the spatio-temporal consistency of EEG signals [21], introducing the EEG Pretrained Transformer (EEGPT) for efficient feature extraction. Our method includes spatio-temporal representation alignment and mask-based reconstruction, enhancing representation quality and model robustness. Our method adopts a BERT-style masked recovery task [22] (not autoregressive task) objectives for pretraining. Beyond the original waveform recovery, we align the predicted EEG signal features of the masked parts with full EEG signal features. Additionally, a local spatio-temporal embedding method improves compatibility across different EEG acquisition devices.

EEGPT, with over 10 million parameters, is pretrained on a mixed multi-task EEG dataset, including data from PhysioMI [23], HGD [24], and M3CV [25]. This pretraining enables EEGPT to extract universal representations for various tasks. For downstream tasks, we employ a linear-probing method, which achieves SOTA performance while also reducing computational resource consumption and preventing overfitting. Our experiments demonstrate EEGPT’s superior performance in motor imagery classification [26], event-related potential (ERP) detection [27], and sleep stage detection [28], showcasing its capability to extract high-level abstract features across spatio-temporal dimensions.

Contributions of this paper:

- Proposal of EEGPT, a 10-million-parameter model for EEG universal feature extraction, leveraging a mixed dataset to enhance performances across tasks and subjects.
- Development of a dual self-supervised method for EEG signals, combining spatio-temporal representation alignment and mask-based reconstruction, improving feature quality and convergence.
- Design of a hierarchical structure for decoupled processing of spatial and temporal information, reducing computational complexity and enhancing model flexibility for BCI applications.
- Implementation of a local spatio-temporal embedding method, increasing robustness and compatibility across different EEG acquisition devices.
- Conduct of comprehensive experiments on downstream datasets, demonstrating EEGPT significantly outperforms existing models across multiple EEG tasks and that larger models exhibit improved performance.

2 Method

Background: According to Kong and Zhang [29], a masked autoencoder learns features through a form of denoising autoencoder: input signals occluded with random patch masks are fed into the encoder, and the decoder predicts the original embeddings of the masked patches:

$$\min_{\theta, \phi} \mathbb{E}_{x \sim \mathcal{D}} \mathcal{H}(d_\phi(z), x \odot (1 - M)), \quad z = f_\theta(x \odot M) \quad (1)$$

where " \odot " denotes element-wise product; M is the patch mask; $f_\theta(\cdot)$ and $d_\phi(\cdot)$ are the encoder and decoder, respectively; z is the learned representation; and $\mathcal{H}(\cdot, \cdot)$ is the similarity measurement. By minimizing the loss function, the model learns the optimal representation z of the input signal. However, in practice, there is no explicit representation z (no split of encoder and decoder) in the BERT-style model [22], and the model must be fine-tuned to locate effective representations. In contrast, we add a spatio-temporal representation alignment branch to explicitly represent z , which changes Equation 1 to Equation 2 (dual self-supervised method):

$$\min_{\theta, \phi} \mathbb{E}_{x \sim \mathcal{D}} \mathcal{H}(d_\phi(z), x \odot (1 - M)) + \mathcal{H}(z, f_\theta(x)), \quad z = f_\theta(x \odot M) \quad (2)$$

This method encourages the encoded representations to take on a larger extent of semantics, similar to the minimal sufficient representation in the Multi-View Entropy Bottleneck (MVEB) approach [30], thereby improving the encoding quality and generalization [31].

EEG Pretrained Transformer (EEGPT): The structure of the EEGPT model is shown in Figure 1, which includes operations such as patching, embedding, masking, encoder, predictor and reconstructor. First, the model chunks the input EEG signal $x \in \mathbb{R}^{M \times T}$ (M channels and T time points) into patches $p_{i,j}$, and embeds each patch as a token $token_{i,j}$ by local spatio-temporal embedding (Section 2.3), followed by splitting into masked parts M and unmasked parts \bar{M} , respectively. Then, we pretrain the model using a dual self-supervised learning method, including spatio-temporal representation alignment (Section 2.1) and mask-based reconstruction (Section 2.2). Finally, the linear-probing method is used in downstream tasks (Section 2.4).

2.1 Spatio-temporal Representation Alignment

The spatio-temporal representation alignment method aligns the predicted features with the momentum encoder's output, enhancing the encoder's ability to extract robust features and ensuring that the encoder's output contains high-quality global features. We employ the encoder and the predictor to decouple spatial and temporal features, significantly reducing computational complexity.

Encoder: The encoder integrates spatial information from masked patches. Equation 3 describes how the encoder (ENC) processes all masked $token_{i,j}$ at time j as input and produces the corresponding output feature enc_j :

$$enc_j = ENC \left(\left\{ token_{i,j} \right\}_{(i,j) \in M} \right) \quad (3)$$

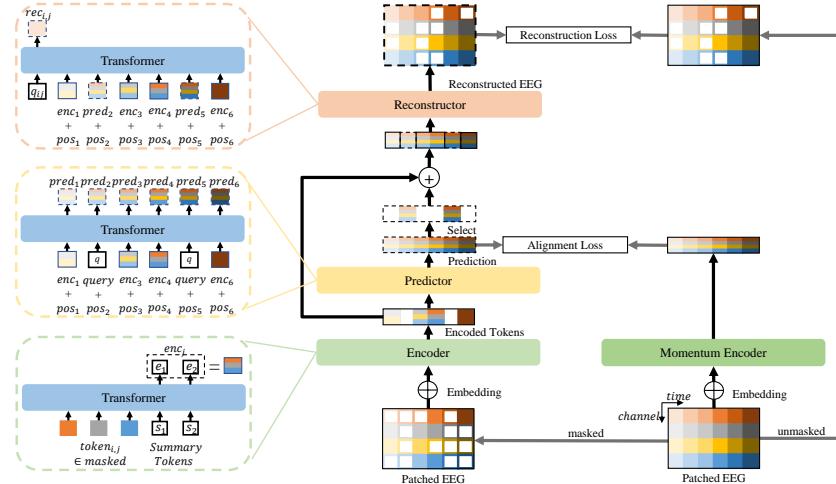


Figure 1: The EEGPT structure involves patching the input EEG signal as $p_{i,j}$ through masking (50% time and 80% channel patches), creating masked part \mathcal{M} and unmasked part $\overline{\mathcal{M}}$ and then embedding as $token_{i,j}$ by local spatio-temporal embedding. The encoder processes the masked part, extracting features (enc_j) consisting of $\{e_i\}_{i=1}^S$ for each time segment in the \mathcal{M} part with summary tokens $\{s_i\}_{i=1}^S$. The predictor predicts features ($pred_j$) for all time segments, aligning with the Momentum Encoder output ($menc_j$). Based on features extracted by the predictor and encoder, the reconstructor generates $rec_{i,j}$ to reconstruct the EEG signal of the $\overline{\mathcal{M}}$ part.

Predictor: As in Equation 4, the predictor (PRED) utilizes features enc_j of the masked part from the encoder, combined with temporal position information pos_j , to predict the complete encoded feature. Embedding and unembedding [32] are performed linearly on the input and output. We adopt the rotary position embedding method [33] to generate pos_j , introducing relative positional and temporal information. To generate prediction features belonging to $\overline{\mathcal{M}}$, a learnable vector $query$ is used as the query token. Through self-supervised training, the encoder is encouraged to extract more information about the correlation among tokens:

$$\{pred_t\}_{t \in \{1, 2, \dots, N\}} = \text{PRED} \left(\{enc_j + pos_j\}_{\exists i, (i, j) \in \mathcal{M}} \right) \quad (4)$$

Momentum Encoder: The structure of the momentum encoder is identical to that of the encoder. Equation 5 outlines how the momentum encoder (MENC) processes all $token_{i,j}$ at time j as input and produces the corresponding output $menc_j$. After each training iteration, the parameters of the encoder are accumulated into the momentum encoder with a factor of $\tau = 0.01$.

$$menc_j = \text{MENC} \left(\{token_{i,j}\}_{(i,j) \in \mathcal{M} \cup \overline{\mathcal{M}}} \right) \quad (5)$$

We employ an alignment loss based on Mean Square Error (MSE) [34] to achieve spatio-temporal representation alignment:

$$\mathcal{L}_A = -\frac{1}{N} \sum_{j=1}^N ||pred_j, \text{LN}(menc_j)||_2^2 \quad (6)$$

In Equation 6, LN denotes layer normalization [35], which helps to mitigate the effects of extreme values and covariate shift, allowing the model to focus on more important features.

2.2 Mask-based Reconstruction

The mask-based reconstruction method aligns the reconstructed patches generated by the reconstructor with the raw patches $p_{i,j}$ in the $\overline{\mathcal{M}}$ part.

Reconstructor: As shown in Equation 7, the reconstructor (REC) utilizes features enc_j from the \mathcal{M} part encoded by the encoder and features $pred_j$ of the $\overline{\mathcal{M}}$ part predicted by the predictor, along with temporal position pos_j , to generate the reconstructed patch $rec_{u,t}$. We establish a "skip connection" between the encoder and the reconstructor to help maintain the features and accelerate convergence.

$$\{rec_{u,t}\}_{(u,t) \in \overline{\mathcal{M}}} = \text{REC} \left(\{enc_j + pos_j\}_{\exists i, (i,j) \in \mathcal{M}} \cup \{pred_j + pos_j\}_{\forall i, (i,j) \in \overline{\mathcal{M}}} \right) \quad (7)$$

Mask-based reconstruction is achieved using a reconstruction loss based on the Mean Square Error (MSE):

$$\mathcal{L}_R = -\frac{1}{|\mathcal{M}|} \sum_{(i,j) \in \mathcal{M}} \|rec_{i,j}, \text{LN}(p_{i,j})\|_2^2 \quad (8)$$

The complete pretraining loss \mathcal{L} is constructed by summing both \mathcal{L}_A and \mathcal{L}_R :

$$\mathcal{L} = \mathcal{L}_A + \mathcal{L}_R \quad (9)$$

2.3 Local Spatio-Temporal Embedding

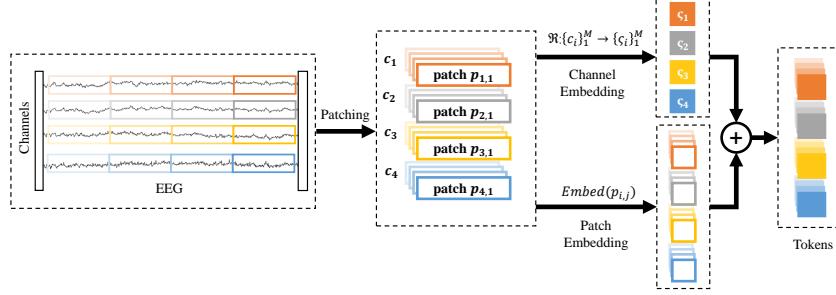


Figure 2: Illustration of local spatio-temporal embedding. The EEG signal is divided into equally sized patches in the spatio-temporal dimensions. Each patch represents a time segment for a specific channel without overlap. The patches are linearly embedded and incorporated with channel embedding information to obtain a corresponding feature.

The local spatio-temporal embedding method first patches and embeds the EEG signal in the spatio-temporal dimension before feeding it into the encoder, as shown in Figure 2. We denote the set of EEG signal channels as $\{c_i\}_{i=1}^M$, where c_i is the name of each channel. Firstly, the EEG signal is divided into equally sized patches in the spatio-temporal dimensions, denoted as $p_{i,j}$, $i \in \{1, 2, \dots, M\}$, $j \in \{1, 2, \dots, N\}$:

$$p_{i,j} = x_{i,(j-1)d:jd} \quad (10)$$

where d represents patch's time length, and $N = T/d$ is the number of time patches. Next, the patches are linearly embedded, combining the channel embedding information. We construct a Codex book [36] $\{\varsigma_i \in \mathbb{R}^{d_e}\}_{i=1}^M$ (d_e is the embedding dimension) containing all learnable channel embedding vectors and a mapping from channel names to channel embedding vectors $\mathfrak{R} : \{c_i\}_{i=1}^M \rightarrow \{\varsigma_i\}_{i=1}^M$. This mapping flexibly corresponds the channels of the EEG data to the channels of the model inputs, allowing the model to adapt to multiple datasets and improve channel adaptation. The patch's content is linearly embedded as $Embed(p_{i,j}) = W_p^T p_{i,j} + b_p$, where $W_p \in \mathbb{R}^{d \times d_e}$ and $b_p \in \mathbb{R}^{d_e}$ are learnable parameters. Embedded token denotes as $token_{i,j} \in \mathbb{R}^{d_e}$:

$$token_{i,j} = Embed(p_{i,j}) + \varsigma_i \quad (11)$$

Based on self-supervised learning task, the extracted features of patches are mutually predictable and can ignore noise signals at smaller scales. The method aims to extract macroscopic features that span larger scales, which are believed to be more easily recognizable and considered meaningful features.

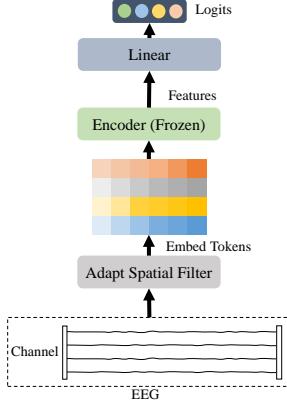


Figure 3: Linear-probing method.

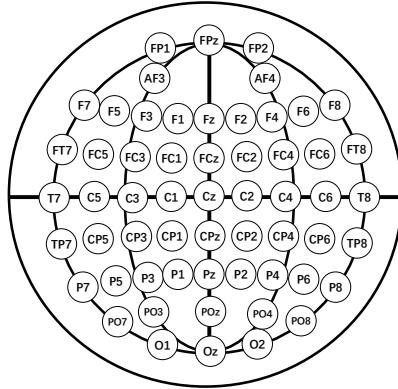


Figure 4: Electrode locations.

2.4 Linear-Probing Method

In the downstream tasks, we apply the pretrained encoder and concatenate additional modules to solve classification tasks. As shown in Figure 3, we introduce the linear-probing method, which freezes the parameters in the pretrained model and only changes the parameters in the additional linear modules. These modules include adaptive spatial filters (1×1 convolution) for aligning channels between EEG and the model, and a linear layer to map the features to logits. The encoder passes the output tokens corresponding to summary tokens to the linear classification head. This approach helps avoid the overfitting problem when a large parameter model is fine-tuned using a limited number of samples. Since the additional module is straightforward, the performance is solely determined by the encoder, allowing us to assess the model’s capability.

3 Experiments

3.1 Datasets and Data Processing

Table 1: Datasets for pretraining and downstream tasks

	Datasets	Paradigms	Subjects	Targets
pretraining Datasets	PhysioMI	MI&ME	109	5
	HGD	MI	14	4
	TSU	SSVEP	35	40
	SEED	EMO	15	3
	M3CV	MULTI	106	-
Downstream Datasets	BCIC-2A	MI	10	4
	BCIC-2B	MI	10	2
	Sleep-EDFx	SLEEP	197	5
	KaggleERN	ERN	26	2
	PhysioP300	P300	9	2
	TUAB	Abnormal	2383	2
	TUEV	Event	288	6

We curated EEG public datasets of various paradigms for model pretraining as shown in Table 1. It contains motor imagery (MI) and execution (ME) datasets PhysioMI [23], HGD [24], steady-state visual evoked potential (SSVEP) dataset TSU [37], emotional classification dataset SEED [38] and multi-subject multi-session multi-paradigm dataset M3CV [25]. To assess the practical utility of the learned representations in downstream tasks, we curated a list of datasets as shown in Table 1. It contains MI datasets BCIC-2A [39], BCIC-2B [40], sleep stage detection dataset Sleep-EDFx [11], error related negativity (ERN) dataset KaggleERN [41] and event-related potentials dataset PhysioP300 [23]. We also curated a dataset of abnormal EEG signals dataset TUAB and event type classification dataset TUEV from Temple University EEG Corpus [18]. These diverse datasets

enable comprehensive evaluation of the proposed EEGPT model across various tasks. Each dataset underwent similar and distinct preprocessing steps, including cropping (4s), re-referencing (average), channels selecting, scaling (mV) and resampling (256Hz). There are 0-38Hz bandpass filtering in MI datasets for downstream tasks. More details refer to Appendix C.

3.2 Implementation and Settings

Model implementation. The implementation of encoder, predictor and reconstructor in EEGPT adopts the vision transformer (ViT) [42] and sets S learnable summary tokens (similar to [CLS] token) for summarizing information within the same time patches. We used 58 electrodes ($M = 58$), as shown in Figure 4. The input signal has a sampling rate of $f_s = 256$ Hz, and the input signal time length is $T = 1024$. Each patch has a time length of $d = 64$, corresponding to a 250ms time window. The 50% time and 80% channel patches of the patches are masked during training.

pretraining strategy. In pretraining, for each training dataset, we randomly sampled 10% of the samples as the validation set. As shown in Table 6, we trained 8 variants with different embedding dims, layers of transformer models (encoder, predictor, reconstructor) and summary tokens S . The AdamW optimizer was employed with the OneCycle learning rate strategy [43] (initial learning rate of 2.5e-4, maximum of 5e-4, minimum of 3.13e-5). The training was conducted for 200 epochs, with a batch size of 64 and 16-bit mixed precision training on 8 Nvidia 3090 GPUs.

Evaluation strategy. For the data splitting of TUAB and TUEV, we strictly follow the same strategy as BIOT [15] to compare all methods fairly. In other downstream tasks, we use the same experimental configuration as BENDR [12], in which Leave-One-Subject-Out (LOSO) validation method are used. Specially, KaggleERN uses 4-fold cross-validation with 10 subjects for testing, Sleep-EDFx uses 10-fold cross-validation and ratio 6:2:2 in training, validation and test splitting. We use linear probing method for downstream tasks. Particularly, in the sleep stage detection task, we use a 4-layer transformer encoder model as a classifier that integrates the output of our model for every 0.25s for the purpose of processing a long task of 30s. We used the optimal large model in Section 3.5 for testing on all downstream tasks. To ensure the reliability of the experiments, we repeated each experiment three times and calculated the standard deviation.

Baselines & Metrics. For the TUAB and TUEV datasets, we use the same baselines from BIOT which are fully fine-tuned models. In other tasks, we use the pretrained BENDR [12], BIOT [15] and LaBraM [17] as the baselines. The following metrics are used for comparison: 1) Balanced Accuracy (BAC), 2) AUROC, 3) Weighted F1, 4) Cohen’s Kappa. We use AUROC only for binary classification tasks and Weighted F1 only for multi-class classification tasks. More details refer to Appendix D.

3.3 Downstream Experiment Results

Table 2: The results of different methods on TUAB.

Methods	Model Size	Balanced Accuracy	AUROC
SPaRCNet [44]	0.79M	0.7896±0.0018	0.8676±0.0012
ContraWR [45]	1.6M	0.7746±0.0041	0.8456±0.0074
CNN-T [46]	3.2M	0.7777±0.0022	0.8461±0.0013
FFCL [47]	2.4M	0.7848±0.0038	0.8569±0.0051
ST-T [48]	3.5M	0.7966±0.0023	0.8707±0.0019
BIOT [15]	3.2M	0.7959±0.0057	0.8815±0.0043
Ours-Tiny	4.7M	0.7959±0.0021	0.8716±0.0041
Ours	25M	0.7983±0.0030	0.8718±0.0050

We conducted a comparative analysis with other large models on the Temple University datasets, using the same configuration as BIOT [15] for experiments on the TUAB and TUEV datasets. The results are presented in Tables 2 and 3. In the TUAB dataset, the performance of EEGPT is comparable to that of the BIOT model. In the TUEV dataset, EEGPT improves the balanced accuracy by 9.5%, and the weighted F1 score by 6.9% compared to BIOT.

To further validate the effectiveness of our model, we conducted comparative experiments with BENDR, BIOT, and LaBraM. The results are shown in Table 4. On the BCIC-2A and BCIC-2B datasets for motor imagery tasks and the Sleep-EDFx dataset for sleep stage detection, our

Table 3: The results of different methods on TUEV.

Methods	Model Size	Balanced Accuracy	Weighted F1	Cohen’s Kappa
SPaRCNet [44]	0.79M	0.4161±0.0262	0.7024±0.0104	0.4233±0.0181
ContraWR [45]	1.6M	0.4384±0.0349	0.6893±0.0136	0.3912±0.0237
CNN-T [46]	3.2M	0.4087±0.0161	0.6854±0.0293	0.3815±0.0134
FFCL [47]	2.4M	0.3979±0.0104	0.6783±0.0120	0.3732±0.0188
ST-T [48]	3.5M	0.3984±0.0228	0.6823±0.0190	0.3765±0.0306
BIOT [15]	3.2M	0.5281±0.0225	0.7492±0.0082	0.5273±0.0249
Ours-Tiny	4.7M	0.5670±0.0066	0.7535±0.0097	0.5085±0.0173
Ours	25M	0.6232±0.0114	0.8187±0.0063	0.6351±0.0134

Table 4: The results of universal EEG models on various datasets.

Datasets	Methods	Balanced Accuracy	Cohen’s Kappa	Weighted F1 / AUROC
BCIC-2A	BENDR	0.4899±0.0070	0.3199±0.0094	0.4836±0.0076
	BIOT	0.4590±0.0196	0.2787±0.0261	0.4282±0.0289
	LaBram	0.5613±0.0052	0.4151±0.0069	0.5520±0.0052
	Ours	0.5846±0.0070	0.4462±0.0094	0.5715±0.0051
BCIC-2B	BENDR	0.7067±0.0011	0.4131±0.0022	0.7854±0.0029
	BIOT	0.6409±0.0118	0.2817±0.0236	0.7095±0.0141
	LaBram	0.6851±0.0063	0.3703±0.0125	0.7576±0.0067
	Ours	0.7212±0.0019	0.4426±0.0037	0.8059±0.0032
Sleep-EDFx	BENDR	0.6655±0.0043	0.6659±0.0043	0.7507±0.0029
	BIOT	0.6622±0.0013	0.6461±0.0017	0.7415±0.0010
	LaBram	0.6771±0.0022	0.6710±0.0006	0.7592±0.0005
	Ours	0.6917±0.0069	0.6857±0.0019	0.7654±0.0023
KaggleERN	BENDR	0.5672±0.0020	0.1461±0.0037	0.6030±0.0044
	BIOT	0.5118±0.0089	0.0297±0.0224	0.5495±0.0167
	LaBram	0.5439±0.0029	0.0944±0.0066	0.5693±0.0052
	Ours	0.5837±0.0064	0.1882±0.0110	0.6621±0.0096
PhysioP300	BENDR	0.6114±0.0118	0.2227±0.0237	0.6588±0.0163
	BIOT	0.5485±0.0325	0.0968±0.0647	0.5308±0.0333
	LaBram	0.6477±0.0110	0.2935±0.0227	0.7068±0.0134
	Ours	0.6502±0.0063	0.2999±0.0139	0.7168±0.0051

model exhibited accuracy improvements of 9.4%, 1.5%, 2.6%, respectively, compared to BENDR. Considering that BENDR used full model fine-tuning while our model only fine-tuned an additional linear layer, this suggests that our model extracts richer and more universal features. We used the linear-probing method for BIOT and LaBram. EEGPT improved by 2.3%, 3.6%, and 1.4%, respectively, compared to LaBram, and by 12.5%, and 8.1%, and 2.9%, respectively, compared to BIOT. For the ERP-type task datasets, our model outperforms BENDR by 2.6% and 3.9% on the KaggleERN and PhysioP300 datasets, respectively. The performance of our model on the PhysioP300 dataset is comparable to that of LaBram, but higher by 3.0% on KaggleERN. Our model also outperforms BIOT on the KaggleERN and PhysioP300 by 7.2% and 10.2%, respectively. On all tasks, our model EEGPT achieves competitive results compared to BENDR, BIOT, and LaBram. This demonstrates that EEGPT learns consistent representational features over the temporal-spatial dimensions, enabling the model to be more widely applied to multiple paradigm tasks and to achieve better classification performance.

Combining the above experimental results, we demonstrate that the method proposed in this paper addresses the issues of poor EEG channel adaptability, poor quality of EEG representations extracted by existing self-supervised learning methods, and the lack of universality of representations across multiple paradigms. Our method effectively extracts high-quality universal EEG representations.

3.4 Ablation Experiment Results

We conducted ablation experiments using the large model with four different configurations, and the results are presented in Table 5. In the absence of alignment loss (\mathcal{L}_A), the reconstruction loss

Table 5: The results of the ablation study.

Variants	\mathcal{L}_A	\mathcal{L}_R	BCIC-2A-BAC	BCIC-2B-AUROC	KaggleERN-AUROC
A: w/o \mathcal{L}_A	37.13	0.57	0.5287±0.0086	0.7264±0.0381	0.5752±0.0164
B: w/o LN	0.15	0.002	0.5567±0.0088	0.7920±0.0012	0.5891±0.0227
C: w/o skip	0.12	0.56	0.5796±0.0011	0.7702±0.0122	0.6356±0.0296
D: with all	0.24	0.56	0.5846±0.0070	0.8059±0.0032	0.6621±0.0096

Table 6: The results of pretrained models.

variants	d_e	layers	S	params	\mathcal{L}_A	\mathcal{L}_R	BCIC-2A-BAC (%)
tiny1	64	2/2/4	1	0.4M	0.32	0.60	49.19
tiny2	64	2/2/4	4	0.5M	0.36	0.60	50.03
tiny3	64	8/8/8	4	1.6M	0.17	0.59	51.58
little	128	8/8/8	4	6.4M	0.18	0.57	54.18
base1	256	6/6/6	1	19M	0.24	0.56	54.53
base2	256	8/8/8	4	25M	0.33	0.56	56.48
base3	512	6/6/6	1	76M	0.14	0.58	54.47
large	512	8/8/8	4	101M	0.24	0.56	58.46

is comparable to that of the version D model, but there is a significant performance degradation of 6%~9% in the downstream task. Without layer normalization on the targets of reconstruction loss, the version B model demonstrated a lower pretrain loss \mathcal{L} , but it was affected by extreme values and covariate shift [35], resulting in a 3%, 1% and 7% reduction in downstream tasks performance. The version C model, which removes the skip connection and uses all $\{pred_t\}_{t=1}^N$ from the predictor as inputs to the reconstructor, exhibited lower alignment loss and comparable reconstruction loss compared to the version D model, but showed a 1%~3% lower performance in the downstream task. These results suggest that the dual self-supervised method proposed in this paper is effective, as the spatio-temporal alignment improves the quality of the EEG representations extracted by the model.

3.5 Pretrain Experiment Results

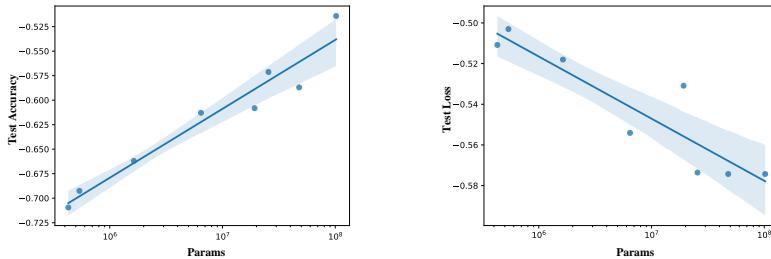


Figure 5: Scaling laws with EEGPT parameter size N . Axes are all on a logarithmic scale.

We designed 8 variants to investigate the effects of model size (embedding size d_e / layers) and summary token (S) on pretraining loss and downstream task accuracy. To evaluate the performance of the pretrained models, we used the linear-probing method to test cross-subject classification tasks on the BCIC-2A dataset. The experimental results are presented in Table 6. As the model size (embedding size d_e / layers) and summary tokens (S) increased, the reconstruction loss (\mathcal{L}_R) gradually decreased, and the performance on the downstream task improved. For models with the same S , larger models exhibited lower alignment loss \mathcal{L}_A and higher performance. We also investigated the trends in accuracy and reconstruction loss as the model size increased, as shown in Figure 5. These trends can be summarized by the scaling laws: $ACC = (33.6 * N)^{0.029}$ and $\mathcal{L}_R = (0.72 * N)^{-0.014}$, where N is the parameter count of the model. The results of the downstream task experiments indicate that larger models generally achieve higher accuracy, with the large model, featuring an 8-layer, 512-embedding dimension, and 4 summary tokens, exhibiting the highest accuracy. For simplicity, we used the optimal large model for testing on all downstream tasks.

4 Conclusion

In this paper, we propose a self-supervised EEG Pretrained Transformer (EEGPT) model with over 10 million parameters for universal EEG representation learning. We employ a dual self-supervised approach for pretraining, involving spatio-temporal representation alignment and mask-based reconstruction. The spatio-temporal representation alignment aligns masked patches' features with full patches' features, enhancing the quality of EEG representations and concentrating key information in the encoder output. The mask-based reconstruction leverages the spatial and temporal consistency exhibited by EEG signals to extract complementary features in both dimensions. We design a hierarchical structure for EEGPT, which first extracts stable spatial representations from short-term EEG signals, then captures the temporal correlations among long-term EEG signals. This structure not only reduces computational complexity but also enhances the flexibility and adaptability of EEGPT in BCI applications. Experiments demonstrate that our dual self-supervised pretrain model significantly outperforms the popular universal feature extraction models BENDR, BIOT, and LaBraM on tasks such as motor imagery, sleep stage detection and ERP-type classification. Compared with BIOT on the TUEV dataset, we achieved a 9.5% performance improvement. These tasks, which have different channel configurations and sampling rates, suggest that EEGPT is scalable. In the future, we plan to further enrich the pretraining EEG dataset and expand the model size and applicability.

References

- [1] Gernot R Müller-Putz. Electroencephalography. *Handbook of clinical neurology*, 168:249–262, 2020.
- [2] Sharlene N Flesher, John E Downey, Jeffrey M Weiss, Christopher L Hughes, Angelica J Herrera, Elizabeth C Tyler-Kabara, Michael L Boninger, Jennifer L Collinger, and Robert A Gaunt. A brain-computer interface that evokes tactile sensations improves robotic arm control. *Science*, 372(6544):831–836, 2021.
- [3] Eunjin Jeon, Wonjun Ko, Jee Seok Yoon, and Heung-Il Suk. Mutual information-driven subject-invariant and class-relevant deep representation learning in bci. *IEEE Transactions on Neural Networks and Learning Systems*, 34(2):739–749, 2023. doi: 10.1109/TNNLS.2021.3100583.
- [4] Christian O'Reilly, Nadia Gosselin, Julie Carrier, and Tore Nielsen. Montreal archive of sleep studies: an open-access resource for instrument benchmarking and exploratory research. *Journal of Sleep Research*, 23(6):628–635, 2014. doi: <https://doi.org/10.1111/jsr.12169>. URL <https://onlinelibrary.wiley.com/doi/abs/10.1111/jsr.12169>.
- [5] Timothy Hospedales, Antreas Antoniou, Paul Micaelli, and Amos Storkey. Meta-learning in neural networks: A survey. *IEEE Transactions on Pattern Analysis and Machine Intelligence*, 44(9):5149–5169, 2022. doi: 10.1109/TPAMI.2021.3079209.
- [6] Jacob Devlin, Ming-Wei Chang, Kenton Lee, and Kristina Toutanova. Bert: Pre-training of deep bidirectional transformers for language understanding, 2019.
- [7] Xinlei Chen, Saining Xie, and Kaiming He. An empirical study of training self-supervised vision transformers, 2021.
- [8] Kaiming He, Xinlei Chen, Saining Xie, Yanghao Li, Piotr Dollár, and Ross Girshick. Masked Autoencoders Are Scalable Vision Learners, December 2021. URL <http://arxiv.org/abs/2111.06377>. arXiv:2111.06377 [cs].
- [9] Alexei Baevski, Henry Zhou, Abdelrahman Mohamed, and Michael Auli. wav2vec 2.0: A framework for self-supervised learning of speech representations, 2020.
- [10] Fabian Falck, Suproteem K. Sarkar, Subhrajit Roy, and Stephanie L. Hyland. Contrastive representation learning for electroencephalogram classification. In *ML4H@NeurIPS*, 2020. URL <https://api.semanticscholar.org/CorpusID:229781944>.
- [11] B. Kemp, A.H. Zwinderman, B. Tuk, H.A.C. Kamphuisen, and J.J.L. Oberye. Analysis of a sleep-dependent neuronal feedback loop: the slow-wave microcontinuity of the eeg. *IEEE Transactions on Biomedical Engineering*, 47(9):1185–1194, 2000. doi: 10.1109/10.867928.

- [12] Demetres Kostas, Stéphane Aroca-Ouellette, and Frank Rudzicz. BENDR: Using Transformers and a Contrastive Self-Supervised Learning Task to Learn From Massive Amounts of EEG Data. *Frontiers in Human Neuroscience*, 15, 2021. ISSN 1662-5161. doi: 10.3389/fnhum.2021.653659. URL <https://www.frontiersin.org/articles/10.3389/fnhum.2021.653659>.
- [13] Qiushi Zhu, Xiaoying Zhao, Jie Zhang, Yu Gu, Chao Weng, and Yuchen Hu. Eeg2vec: Self-Supervised Electroencephalographic Representation Learning, May 2023. URL <http://arxiv.org/abs/2305.13957>. arXiv:2305.13957 [eess].
- [14] Simon Geirnaert, Tom Francart, and Alexander Bertrand. Time-adaptive unsupervised auditory attention decoding using eeg-based stimulus reconstruction. *IEEE Journal of Biomedical and Health Informatics*, 26(8):3767–3778, 2022.
- [15] Chaoqi Yang, M. Brandon Westover, and Jimeng Sun. BIOT: Cross-data Biosignal Learning in the Wild, May 2023. URL <http://arxiv.org/abs/2305.10351>. arXiv:2305.10351 [cs, eess].
- [16] Ali Hossam Shoeb. *Application of machine learning to epileptic seizure onset detection and treatment*. PhD thesis, Massachusetts Institute of Technology, 2009.
- [17] Wei-Bang Jiang, Li-Ming Zhao, and Bao-Liang Lu12. Large brain model for learning generic representations with tremendous eeg data in bci.
- [18] Iyad Obeid and Joseph Picone. The temple university hospital eeg data corpus. *Frontiers in Neuroscience*, 10, 2016. ISSN 1662-453X. doi: 10.3389/fnins.2016.00196. URL <https://www.frontiersin.org/journals/neuroscience/articles/10.3389/fnins.2016.00196>.
- [19] Wei Liu, Jie-Lin Qiu, Wei-Long Zheng, and Bao-Liang Lu. Comparing recognition performance and robustness of multimodal deep learning models for multimodal emotion recognition. *IEEE Transactions on Cognitive and Developmental Systems*, 14(2):715–729, 2021.
- [20] Yongtian He, Trieu Phat Luu, Kevin Nathan, Sho Nakagome, and Jose L Contreras-Vidal. A mobile brain-body imaging dataset recorded during treadmill walking with a brain-computer interface. *Scientific data*, 5(1):1–10, 2018.
- [21] Alexander A Fingelkurts, Andrew A Fingelkurts, Victor A Ermolaev, and Alexander Ya Kaplan. Stability, reliability and consistency of the compositions of brain oscillations. *International Journal of Psychophysiology*, 59(2):116–126, 2006.
- [22] Jacob Devlin, Ming-Wei Chang, Kenton Lee, and Kristina Toutanova. Bert: Pre-training of deep bidirectional transformers for language understanding. In *Proceedings of the 2019 Conference of the North*, Jan 2019. doi: 10.18653/v1/n19-1423. URL <http://dx.doi.org/10.18653/v1/n19-1423>.
- [23] Ary L. Goldberger, Luis A. N. Amaral, Leon Glass, Jeffrey M. Hausdorff, Plamen Ch. Ivanov, Roger G. Mark, Joseph E. Mietus, George B. Moody, Chung-Kang Peng, and H. Eugene Stanley. Physiobank, physiotoolkit, and physionet. *Circulation*, 101(23):e215–e220, 2000. doi: 10.1161/01.CIR.101.23.e215. URL <https://www.ahajournals.org/doi/abs/10.1161/01.CIR.101.23.e215>.
- [24] Robin Tibor Schirrmeister, Jost Tobias Springenberg, Lukas Dominique Josef Fiederer, Martin Glasstetter, Katharina Eggensperger, Michael Tangermann, Frank Hutter, Wolfram Burgard, and Tonio Ball. Deep learning with convolutional neural networks for eeg decoding and visualization. *Human Brain Mapping*, aug 2017. ISSN 1097-0193. doi: 10.1002/hbm.23730. URL <http://dx.doi.org/10.1002/hbm.23730>.
- [25] Gan Huang, Zhenxing Hu, Weize Chen, Zhen Liang, Linling Li, Li Zhang, and Zhiguo Zhang. M3CV:A Multi-subject, Multi-session, and Multi-task database for EEG-based Biometrics Challenge. *bioRxiv*, 2022. doi: 10.1101/2022.06.28.497624. URL <https://www.biorxiv.org/content/early/2022/07/03/2022.06.28.497624>.

- [26] Ali Al-Saegh, Shefa A Dawwd, and Jassim M Abdul-Jabbar. Deep learning for motor imagery eeg-based classification: A review. *Biomedical Signal Processing and Control*, 63:102172, 2021.
- [27] Fotis P. Kalaganis, Elisavet Chatzilari, Spiros Nikolopoulos, Ioannis Kompatsiaris, and Nikos A. Laskaris. An error-aware gaze-based keyboard by means of a hybrid bci system. *Scientific Reports*, Aug 2018. doi: 10.1038/s41598-018-31425-2. URL <http://dx.doi.org/10.1038/s41598-018-31425-2>.
- [28] Khalid Ali I Aboalayon, Miad Faezipour, Wafaa S Almuhammadi, and Saeid Moslehpoor. Sleep stage classification using eeg signal analysis: a comprehensive survey and new investigation. *Entropy*, 18(9):272, 2016.
- [29] Xiangwen Kong and Xiangyu Zhang. Understanding masked image modeling via learning occlusion invariant feature, 2022.
- [30] Liangjian Wen, Xiasi Wang, Jianzhuang Liu, and Zenglin Xu. Mveb: Self-supervised learning with multi-view entropy bottleneck. *IEEE Transactions on Pattern Analysis and Machine Intelligence*, 2024.
- [31] Xiaokang Chen, Mingyu Ding, Xiaodi Wang, Ying Xin, Shentong Mo, Yunhao Wang, Shumin Han, Ping Luo, Gang Zeng, and Jingdong Wang. Context autoencoder for self-supervised representation learning. *International Journal of Computer Vision*, 132(1):208–223, 2024.
- [32] Mary Phuong and Marcus Hutter. Formal Algorithms for Transformers, July 2022. URL <http://arxiv.org/abs/2207.09238>. Number: arXiv:2207.09238 arXiv:2207.09238 [cs].
- [33] Jianlin Su, Yu Lu, Shengfeng Pan, Bo Wen, and Yunfeng Liu. Roformer: Enhanced transformer with rotary position embedding, 2021.
- [34] Jiawei Ren, Mingyuan Zhang, Cunjun Yu, and Ziwei Liu. Balanced mse for imbalanced visual regression, 2022.
- [35] Jimmy Lei Ba, Jamie Ryan Kiros, and Geoffrey E. Hinton. Layer normalization, 2016.
- [36] Yiqun Duan, Jinzhao Zhou, Zhen Wang, Yu-Kai Wang, and Chin-Teng Lin. DeWave: Discrete EEG Waves Encoding for Brain Dynamics to Text Translation, October 2023. URL <http://arxiv.org/abs/2309.14030>. arXiv:2309.14030 [cs].
- [37] Yijun Wang, Xiaogang Chen, Xiaorong Gao, and Shangkai Gao. A benchmark dataset for ssvep-based brain–computer interfaces. *IEEE Transactions on Neural Systems and Rehabilitation Engineering*, 25(10):1746–1752, 2017. doi: 10.1109/TNSRE.2016.2627556.
- [38] Wei-Long Zheng and Bao-Liang Lu. Investigating critical frequency bands and channels for eeg-based emotion recognition with deep neural networks. *IEEE Transactions on Autonomous Mental Development*, 7(3):162–175, 2015. doi: 10.1109/TAMD.2015.2431497.
- [39] Michael Tangermann, Klaus-Robert Müller, Ad Aertsen, Niels Birbaumer, Christoph Braun, Clemens Brunner, Robert Leeb, Carsten Mehring, Kai J. Miller, Gernot R. Müller-Putz, Guido Nolte, Gert Pfurtscheller, Hubert Preissl, Gerwin Schalk, Alois Schlögl, Carmen Vidaurre, Stephan Waldert, and Benjamin Blankertz. Review of the bci competition iv. *Frontiers in Neuroscience*, 6, 2012. URL <https://api.semanticscholar.org/CorpusID:790253>.
- [40] David Steyrl, Reinhold Scherer, Josef Faller, and Gernot R. Müller-Putz. Random forests in non-invasive sensorimotor rhythm brain-computer interfaces: a practical and convenient non-linear classifier. *Biomedical Engineering / Biomedizinische Technik*, 61:77 – 86, 2016. URL <https://api.semanticscholar.org/CorpusID:7782626>.
- [41] Perrin Margaux, Emmanuel Maby, Sébastien Daligault, Olivier Bertrand, and Jérémie Mattout. Objective and subjective evaluation of online error correction during p300-based spelling. *Advances in Human-Computer Interaction*, 2012, 01 2012. doi: 10.1155/2012/578295.

- [42] Alexey Dosovitskiy, Lucas Beyer, Alexander Kolesnikov, Dirk Weissenborn, Xiaohua Zhai, Thomas Unterthiner, Mostafa Dehghani, Matthias Minderer, Georg Heigold, Sylvain Gelly, Jakob Uszkoreit, and Neil Houlsby. An Image is Worth 16x16 Words: Transformers for Image Recognition at Scale, June 2021. URL <http://arxiv.org/abs/2010.11929>. arXiv:2010.11929 [cs].
- [43] Leslie N. Smith and Nicholay Topin. Super-convergence: Very fast training of neural networks using large learning rates, 2018. URL <https://arxiv.org/abs/1708.07120>.
- [44] Jin Jing, Wendong Ge, Shenda Hong, Marta Bento Fernandes, Zhen Lin, Chaoqi Yang, Sungtae An, Aaron F Struck, Aline Herlopian, Ioannis Karakis, et al. Development of expert-level classification of seizures and rhythmic and periodic patterns during eeg interpretation. *Neurology*, 100(17):e1750–e1762, 2023.
- [45] Chaoqi Yang, Cao Xiao, M Brandon Westover, Jimeng Sun, et al. Self-supervised electroencephalogram representation learning for automatic sleep staging: model development and evaluation study. *JMIR AI*, 2(1):e46769, 2023.
- [46] Wei Yan Peh, Yuanyuan Yao, and Justin Dauwels. Transformer convolutional neural networks for automated artifact detection in scalp eeg. In *2022 44th Annual International Conference of the IEEE Engineering in Medicine & Biology Society (EMBC)*, pages 3599–3602. IEEE, 2022.
- [47] Hongli Li, Man Ding, Ronghua Zhang, and Chunbo Xiu. Motor imagery eeg classification algorithm based on cnn-lstm feature fusion network. *Biomedical signal processing and control*, 72:103342, 2022.
- [48] Yonghao Song, Xueyu Jia, Lie Yang, and Longhan Xie. Transformer-based spatial-temporal feature learning for eeg decoding. *arXiv preprint arXiv:2106.11170*, 2021.
- [49] He He and Dongrui Wu. Transfer learning for brain–computer interfaces: A euclidean space data alignment approach. *IEEE Transactions on Biomedical Engineering*, 67(2):399–410, 2019.
- [50] Jianlin Su, Yu Lu, Shengfeng Pan, Ahmed Murtadha, Bo Wen, and Yunfeng Liu. RoFormer: Enhanced Transformer with Rotary Position Embedding, August 2022. URL <http://arxiv.org/abs/2104.09864>. arXiv:2104.09864 [cs].

A Additional results

This section provides additional experimental results to support the claims in the main paper.

A.1 Ablation study for the predictor

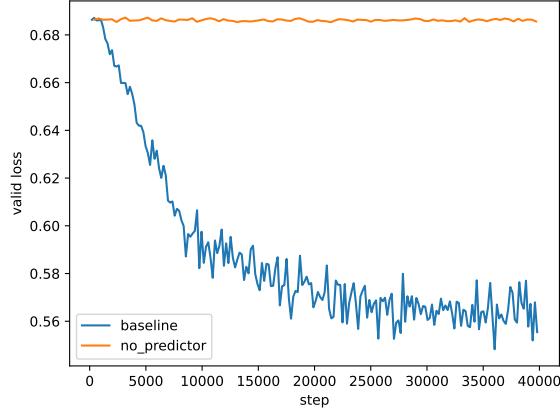


Figure 6: Validation loss curves during pretraining, where 'baseline' is the standard EEGPT model and 'no_predictor' is the model without the predictor.

We conducted a pretraining experiment after removing the predictor, the variation of L_R loss with the number of iteration steps during training is shown in Figure 6. In this figure, 'baseline' is the standard EEGPT model and 'no_predictor' is the model without the predictor. We can see that the reconstruction loss L_R of the 'no_predictor' model after removing the predictor does not decrease, which indicates that directly aligning the outputs of the encoder and the momentum encoder does lead to the problem of representation collapse, resulting in the reconstruction task not being able to learn meaningful representation.

A.2 Ablation study for pretraining methods

Table 7: The results of the ablation study for pretraining methods.

Variants	\mathcal{L}_A	\mathcal{L}_R	BCIC-2A-BAC	BCIC-2B-AUROC	KaggleERN-AUROC
A: w/o \mathcal{L}_A	37.13	0.57	0.5287 ± 0.0086	0.7264 ± 0.0381	0.5752 ± 0.0164
B: w/o LN	0.15	0.002	0.5567 ± 0.0088	0.7920 ± 0.0012	0.5891 ± 0.0227
C: w/o skip	0.12	0.56	0.5796 ± 0.0011	0.7702 ± 0.0122	0.6356 ± 0.0296
D: with all	0.24	0.56	0.5846 ± 0.0070	0.8059 ± 0.0032	0.6621 ± 0.0096

In the ablation experiments, we used the BCIC-2A, BCIC-2B and KaggleERN datasets to test the model with the linear probing method, the results are shown in Table 7. We can conclude that (1) the model performance degradation on all datasets without \mathcal{L}_A loss is significant (6% to 9%); (2) without layer normalisation on the reconstruction target, the performance of the version B model degraded by 3%, 1%, and 7% on BCIC-2A, BCIC-2B, and KaggleERN, respectively; and (3) the performance of version C model (removed the skip connection) is reduced by 1%, 3% and 3% on these datasets, respectively.

A.3 Ablation study for fine-tuning methods

We have added experiments comparing linear probing method and full fine-tuning method, as well as experiments comparing with and without adaptive spatial filter. The experimental results are displayed in Table 8. In Table 8, 'ASF' stands for with an adaptive spatial filter, in contrast to feeding the signal directly into the model; 'L-P' stands for using linear probing, in contrast to using full fine-tuning of the model. Model variants A and C are the models with full fine-tuning and linear probing after excluding the adaptive spatial filter, respectively. Model variants B and D are models

Table 8: The results of the ablation study for fine-tuning methods.

Variants	ASF	L-P	BCIC-2A-BAC	BCIC-2B-AUROC	KaggleERN-AUROC
A			0.5774±0.0072	0.7871±0.0054	0.6078±0.0101
B	✓		0.5183±0.0155	0.7541±0.0083	0.6110±0.0019
C		✓	0.5586±0.0089	0.7974±0.0030	0.6463±0.0081
D	✓	✓	0.5846±0.0070	0.8059±0.0032	0.6621±0.0096

with full fine-tuning and linear probing after using adaptive spatial filter, respectively. The results show that on the BCIC-2B and KaggleERN datasets, variants C and D tested with linear probing achieved better results than variants A and B using full fine-tuning; on the BCIC-2A dataset, variant A with full fine-tuning and no adaptive spatial filter is close to variant D with linear probing and an adaptive spatial filter, but overall the linear probing used by models C and D outperform A and B. The results show that variants B and D using adaptive spatial filter achieve better results compared to variants A and C without adaptive spatial filter.

A.4 Scaling laws experiments

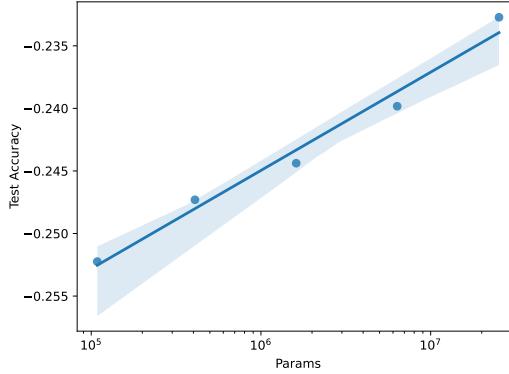


Figure 7: Results on TUAB dataset. Scaling laws with EEGPT parameter size N. Axes are all on a logarithmic scale.

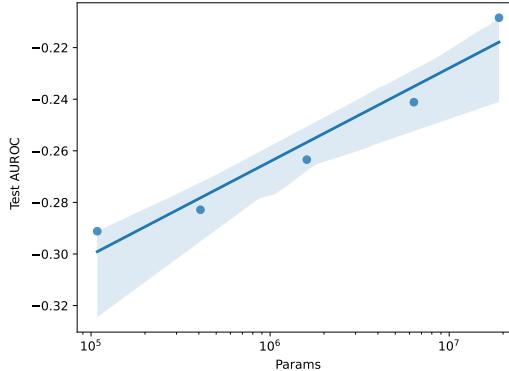


Figure 8: Results on BCIC-2B dataset. Scaling laws with EEGPT parameter size N. Axes are all on a logarithmic scale.

We added the results of the scale law experiments on the TUAB dataset and the BCIC-2B dataset, as shown in Figure 7 and 8. The results on the TUAB dataset show that the scaling law of the test balanced accuracy metric with model size (N) for TUAB is: $BAC = (0.74 * N)^{0.0034}$, the results

on the BCIC-2B dataset show that the scaling law of the AUROC metric with model size (N) for BCIC-2B is: $\text{AUROC} = (0.61 * N)^{0.0157}$.

A.5 Effect of pretrain data size

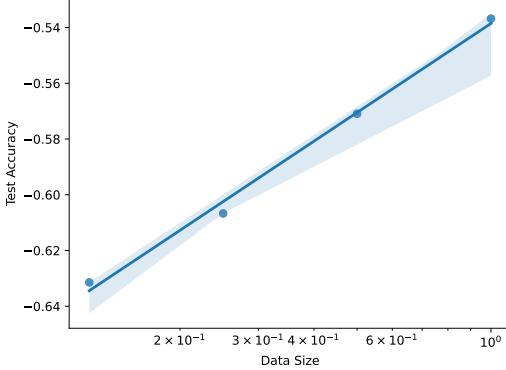


Figure 9: Results on BCIC-2A dataset. Scaling laws with pretrain data size D . Axes are all on a logarithmic scale.

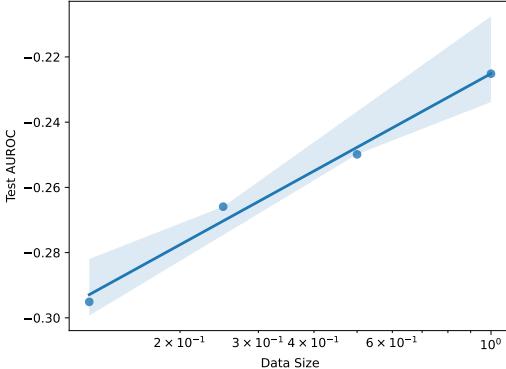


Figure 10: Results on BCIC-2B dataset. Scaling laws with pretrain data size D . Axes are all on a logarithmic scale.

We added pretraining experiments using 100%, 50%, 25%, and 12.5% of the training data and tested them on the downstream tasks of BCIC-2A and BCIC-2B. The results are presented in Figure 9 and 10. The results show that the scaling law of the balanced accuracy metric with the total amount of data D (the percentage of the training data used) for BCIC-2A is: $\text{ACC} = (0.58 * D)^{0.0461}$; and the scaling law of the AUROC metric with the total amount of data D for BCIC-2B is: $\text{AUROC} = (0.79 * D)^{0.0325}$.

A.6 Results of LaBraM on TUAB and TUEV

Table 9: The results of different methods on TUAB.

Methods	Model Size	Balanced Accuracy	AUROC
BIOT [15]	3.2M	0.7959 ± 0.0057	0.8815 ± 0.0043
LaBraM [17]	5.8M	0.8140 ± 0.0019	0.9022 ± 0.0009
Ours-Tiny	4.7M	0.7959 ± 0.0021	0.8716 ± 0.0041
Ours	25M	0.7983 ± 0.0030	0.8718 ± 0.0050

Table 10: The results of different methods on TUEV.

Methods	Model Size	Balanced Accuracy	Weighted F1	Cohen’s Kappa
BIOT [15]	3.2M	0.5281±0.0225	0.7492±0.0082	0.5273±0.0249
LaBraM [17]	5.8M	0.6409±0.0065	0.8312±0.0052	0.6637±0.0093
Ours-Tiny	4.7M	0.5670±0.0066	0.7535±0.0097	0.5085±0.0173
Ours	25M	0.6232±0.0114	0.8187±0.0063	0.6351±0.0134

LaBraM employs a larger pretraining dataset than ours, which also contains the TUEG dataset that is similar to the TUAB and TUEV distributions, and whose paper illustrates the scale effect of the amount of pretraining data, which may have facilitated the learning of a richer and more downstream task-adaptable representation for LaBraM.

A.7 Ablation study of self-supervised learning on TUAB

Table 11: The results of different methods on TUAB.

Methods	Model Size	Balanced Accuracy	AUROC
BIOT [15]	3.2M	0.7959±0.0057	0.8815±0.0043
Ours (no pretrained)	25M	0.7553±0.0014	0.8260±0.0018
Ours	25M	0.7983±0.0030	0.8718±0.0050

We tested the model with randomly initialized parameters (no pretrained model) on the TUAB dataset and the test results are shown in Table 11. In Table 11, ‘Ours (no pretrained)’ represents the model that is not loaded with pretrained parameters. By comparison, we see that the model without using self-supervised pretraining has the worst performance on TUAB, with a 4% reduction in balance accuracy and a 5% reduction in AUROC compared to the model loaded with pretraining parameters.

B CODE ACCESS

The code for this paper is available at: <https://github.com/BINE022/EEGPT>.

C DATASET DESCRIPTION

C.1 PRETRAINING DATASET DESCRIPTION

C.1.1 Motor Imagery and Motor Execution tasks: PhysioNetMI [23]

The PhysioNetMI dataset² consists of over 1500 one-minute and two-minute EEG recordings obtained from 109 volunteers. Subjects performed different motor execution/imagery tasks while recording 64-channel EEG using the BCI2000 system.

Preprocessing: All eight tasks were used during the pretraining of this paper, using a global average reference, intercepting data from 0 to 6s after the start of each trial, and randomly intercepting data from a 4s time window of these during pretraining.

C.1.2 Motor Imagery task: HGD [24]

The HGD dataset, a 128-electrode dataset, was taken from 14 healthy subjects with approximately 1,000 trials each. The four-second executive movement trials were divided into 13 runs. The four types of movements were left-handed movements, right-handed movements, bipedal movements, and rest. The training set consisted of approximately 880 trials for all but the last two runs, and the test set consisted of approximately 160 trials for the last two runs. We access this dataset by MOABB³.

Preprocessing: All four tasks were used in the pretraining process of this paper, first downsampled to 256 Hz and then standardized to mV, using a global average reference to intercept the data from 0

²<https://physionet.org/content/eegmmidb/1.0.0/>

³https://neurotechx.github.io/moabb/dataset_summary.html

to 10s after the start of each trial, and randomly intercepting the data from a 4-s time window of these during pretraining.

C.1.3 SSVEP task: TSU [37]

The TSU dataset⁴ is a benchmark dataset for brain-computer interfaces based on steady-state visual evoked potentials (TSUBenchmark). This dataset collects SSVEP-BCI recordings from 35 healthy subjects using a brain-computer interface (BCI) speller with 40 characters for experimental data acquisition. SSVEP-BCI recordings were made for 40 characters that flashed at different frequencies (8-15.8 Hz with 0.2 Hz intervals).

Stimuli: Each trial began with a visual cue (red square) indicating the target stimulus. The cue appeared on the screen for 0.5 seconds. Subjects were asked to shift their eyes to the target as soon as possible within the cue duration. After the cue was offset, all stimuli began flashing on the screen simultaneously for 5 seconds. After the stimulus offset, the screen was blanked for 0.5 seconds, and then the next trial began, which allowed subjects to have a short rest period between successive trials.

Signal: EEG of 35 subjects (64 channels, 250 Hz). Each subject's experiment consisted of 6 blocks. Each block contained 40 trials corresponding to all 40 characters displayed in randomized order. Total: 35 persons x 6 blocks x 40 trials.

Preprocessing: All 40 tasks were used in the pretraining process of this paper, first downsampled to 256 Hz, and then standardized to mV, using a globally averaged reference to intercept the data from 0 to 4s after the start of each trial for pretraining.

C.1.4 Emotion Recognition task: SEED [38]

The SEED dataset, Shanghai Jiao Tong University Emotion EEG dataset (SEED)⁵, is an EEG dataset provided by the BCMI lab led by Prof. Baoliang Lu.

Stimuli: 15 four-minute long movie clips from six Chinese movies.

Signal: EEG (62 channels, 200 Hz) from 15 subjects and eye movement data from 12 subjects. Three experiments were conducted per subject, each approximately one week apart, for a total of 15 subjects x 3 sessions = 45 subjects.

Scores: Positive (1), negative (-1), and neutral (0).

Preprocessing: All three tasks were used in the pretraining process of this paper, first downsampled to 256 Hz and then standardized to mV, using a global average reference to intercept the data from 0 to 10s after the start of each trial for pretraining.

C.1.5 Identification task: M3CV [25]

The M3CV dataset⁶ is a reliable brainprint identification system designed to withstand changes in the mental state of the subjects (cross-paradigm test) and successfully identify individuals even after several days (cross-session test). The Multi-Session Multi-Paradigm EEG database (Multi-Subject Multi-Session Multi-Paradigm Commonality and Variability (M3CV)) contains 106 healthy subjects, two sessions, and six types of EEG paradigms.

Experimental paradigms: Resting state, event-related potentials, evoked stimuli, P300, motor execution, and SSVEP.

Preprocessing: The full task-state data were used in the pretraining process of this paper, first upsampled to 256 Hz, and then standardized to mV, using a globally averaged reference, intercepting data from 0 to 4s after the start of each trial for pretraining.

⁴<http://bci.med.tsinghua.edu.cn/download.html>

⁵<https://bcmi.sjtu.edu.cn/home/seed/>

⁶<https://aistudio.baidu.com/competition/detail/315/0/related-material>

C.2 DOWNSTREAM DATASET DESCRIPTION

C.2.1 Motor Imagery Task: BCIC-2A [39]

This dataset⁷ consists of EEG data from 10 subjects and includes four different motor imagery tasks: motor imagery of the left hand (Class 1), right hand (Class 2), feet (Class 3), and tongue (Class 4). Each subject performed two sessions on different days, with a total of 288 trials per session.

Preprocessing: Uniform units; 0 to 38 Hz filtering was used; resampling to 256 Hz; EA normalization [49] was used for each session. EA normalization is a common normalization method applied to data from motion imagery tasks such as BCIC2A.

Experimental Configuration: Experiments using the LOSO method. In the BCIC2A dataset 4-category cross-subject experiment, the accuracy of the model in this paper is lower than that of the task-specific SOTA model. This may be related to the high individual variability of the BCIC2A data. The fine-tuning method of combining the task-specific model with the model in this paper was used for testing. The MSConvNet model, which has a multi-scale convolutional EEGNet-like structure, was used as the motor imagery task-specific model. The model branch first maps the input 22-channel EEG signal into 10-20 system standard channel data using an adaptive spatial filter (convolution); then a pretrained encoder is used to extract the generic representations; and after collocating the generic representations and the in-domain representations of Wenchao’s model branch, they are mapped into category logits using a linear layer. Training is performed using the AdamW optimizer, OneCycle learning rate strategy [43] (starting learning rate 5e-4, maximum 1e-3, minimum 4.22e-7), 100 rounds of training, and batch size of 72.

C.2.2 Motor Imagery Task: BCIC-2B [40]

This dataset⁸ consists of EEG data from 10 subjects. Each subject was given five training opportunities, the first two of which were training data without feedback (screening) and the last three with feedback. The three bipolar recordings (C3, Cz, and C4) were sampled at a frequency of 250 Hz. The dataset consisted of motor imagery (MI) for two categories: left-handed (category 1) and right-handed (category 2). Each subject participated in two sessions without feedback recordings on two different days within a two-week period. Each session consisted of 120 category-balanced trials.

Preprocessing: Uniform units; 0 to 38 Hz filtering was used; resampling to 256 Hz; EA normalization [49] was used for each session. EA normalization is a common normalization method applied to data from motion imagery tasks such as BCIC2A.

Experimental Configuration: Experiment using LOSO validation method. The fine-tuned modeling approach was used to test a cross-subject 2-categorization task on the BCIC2B dataset for the motor imagery task. The input data are 3-channel (C3, Cz, and C4 channels) EEG data with 4s, 256Hz sampling rate; to fully utilize the feature extraction capability of the model, spatial filters (convolution) were used to map the 3-channels of the input data into 7-channels, which corresponds to the C5, C3, C1, CZ, C2, C4, and C6 channels of the pretrained encoder; the features are extracted using the pretrained encoder and then mapped into 7 channels using the linear layer mapped to category logits. The training process is performed using AdamW optimizer, OneCycle learning rate strategy [43] (starting learning rate 1.6e-5, maximum 4e-4, minimum 1.51e-7), 100 rounds of training, and batch size of 64.

C.2.3 Sleep Stage Detection Task: Sleep-EDFx [11]

This dataset⁹ gives further insight into the generalizability of the model, as BCI data are typically categorized in the context of a specific trial or event, whereas SSC is a much more continuous problem that requires labeling the specific sleep stage that a subject is in over a long period of time. The Sleep-EDFx dataset contains 197 (78 healthy subjects) all-night sleep recordings, which include EEG, electrooculogram, chin EMG, and event markers.

Preprocessing: The preprocessing method of Banville et al. (2020) was referred to, which first converts the data unit to mV, and then uses a 30Hz low-pass filter, intercepts the samples in 30s

⁷<https://www.bbci.de/competition/iv/#datasets>

⁸<https://www.bbci.de/competition/iv/#datasets>

⁹<https://physionet.org/content/sleep-edfx/1.0.0/>

non-overlapping windows, and uses a channel-wise (channel-independent) z-score normalization for each sample.

Experimental Configuration: Subjects were randomly divided according to the ratio of 60

C.2.4 ERN task: KaggleERN [41]

In this dataset¹⁰, each subject is presented with letters and numbers (showing 36 possible items on a matrix) to spell words. Each item of the word is flashed in a random order grouped together and selected one at a time. The selected item is the one that the online algorithm is most likely to recognize as a typical target response. The goal of this challenge was to determine whether the selected items were correct by analyzing the brain signals of subjects after receiving feedback. Two transcription-spelling conditions were used: a fast mode with more errors (4 blinks per item); and a slower, less error-prone condition (8 blinks per item).

Preprocessing: Uniform units; downsampling to 256 Hz; global averaging reference; intercepting 2 s of data starting at -0.7 s before the onset of flicker.

Experimental Configuration: For comparison with the BENDR paper, 10 of the subject data were used as the test dataset, and the remaining subject data was used for 4-fold cross-validation method training. KaggleERN uses fine-tuning method 1, with a sliding window of 125ms in steps, to firstly map the 56 channels to the 19-channel EEG data of the 10-20 system by adaptive spatial filters (convolution), and then features are extracted using a pretrained encoder, and finally mapped into classification logits using a linear layer. The training process is performed using the AdamW optimizer, the OneCycle learning rate strategy [43] (starting learning rate of 1.6e-5, maximum of 4e-4, and minimum of 1.51e-7), and 100 rounds of training with a batch size of 64.

C.2.5 P300 task: PhysioNetP300 [23]

In this dataset¹¹, each participant was asked to spell a total of 20 characters using a traditional matrix speller (Donchin speller). The target characters were randomly selected before the start of the run. Each row and column of a standard 6x6 character matrix was randomly augmented for 100 ms at 50 ms intervals with approximately 20 flashes. During this time, subjects were asked to focus their attention on the target character and mentally count the number of times the target character was highlighted.

Preprocessing: Uniform units; 120Hz low-pass filtering was used; downsampling to 256Hz; and 2s of data starting at -0.7s before the onset of the flicker was intercepted.

Experimental Configuration: For comparison with the BENDR paper, subjects 8, 10, and 12 were removed and the data from the remaining 9 subjects were retained. Experiments were performed using the LOSO cross-validation method. The PhysioNetP300 uses a fine-tuning method with a sliding window of 125ms step size, using all channels used in the pretraining phase; the data from each EEG channel is first adaptively scaled by an adaptive spatial filter (learnable scaling factor), then features are extracted using the pretraining encoder, and finally mapped using the linear layer mapping for classification logits. The training process uses AdamW optimizer, OneCycle learning rate strategy [43] (starting learning rate 3.2e-5, maximum 8e-4, minimum 3.02e-7), 100 rounds of training, and batch size of 64.

C.2.6 TUAB (abnormal detection) and TUEV (event type classification) [18]

TUAB¹² is a corpus of EEGs which are 23-channel and sampled at 256 Hz. All data have been annotated as normal or abnormal. There are total 409,455 10-second samples that we use for binary classification to predict normal/abnormal.

TUEV¹³ is a subset of TUEG that contains annotations of EEG segments as one of six classes: (1) spike and sharp wave (SPSW), (2) generalized periodic epileptiform discharges (GPED), (3) periodic lateralized epileptiform discharges (PLED), (4) eye movement (EYEM), (5) artifact (ARTF) and

¹⁰<https://www.kaggle.com/c/inria-bci-challenge/data>

¹¹<https://physionet.org/content/erpbc1/1.0.0/>

¹²https://isip.piconepress.com/projects/tuh_eeg/html/downloads.shtml

¹³https://isip.piconepress.com/projects/tuh_eeg/html/downloads.shtml

(6) background (BCKG). The EEG signals contain 23 channels at 256 Hz and are segmented into 112,491 5-second samples.

We conducted experiments using linear-probing on these two datasets. Since these datasets use different electrodes and sampling rates compared to the model pretraining, we used two layers of convolution (spatial convolution and temporal convolution) to adaptively fit the data. The spatial convolution used a 1x1 convolution, and the temporal convolution used depthwise convolution. The convolution kernel size for TUAB was (1, 15), and for TUEV, it was (1, 55). Both experiments used the same optimizer and a learning rate of 5e-4. Due to GPU memory limitations, the batch size for TUAB was 100, and for TUEV, it was 500.

Table 12: Model design for TUAB dataset.

Input Size	Operator	kernel	stride	groups	padding
23×2000	conv1d	1	1	1	0
20×2000	batchnorm,gelu	-	-	-	-
20×2000	conv1d	15	1	20	7
20×2000	batchnorm,gelu	-	-	-	-
20×2000	dropout(0.25)	-	-	-	-
20×2000	eegpt-encoder	64	64	-	-
$31 \times 4 \times 512$	flatten,linear	-	-	-	-
1	output	-	-	-	-

Table 13: Model design for TUEV dataset.

Input Size	Operator	kernel	stride	groups	padding
23×1000	conv1d	1	1	1	0
20×1000	batchnorm,gelu	-	-	-	-
20×1000	conv1d	55	1	20	27
20×1000	batchnorm,gelu	-	-	-	-
20×1000	dropout(0.5)	-	-	-	-
20×1000	eegpt-encoder	64	64	-	-
$15 \times 4 \times 512$	flatten,linear	-	-	-	-
6	output	-	-	-	-

The detailed modelling structure used in the downstream tasks is presented in Table 12 and Table 13. The 23-channel input is first reduced to 20 channels by convolution. Then, the eegpt-encoder uses the following 20 channel embeddings as the inputs' channel embeddings: [FP1, FPZ, FP2, F7, F3, FZ, F4, F8, T7, C3, CZ, C4, T8, P7, P3, PZ, P4, P8, O1, O2]. The eegpt-encoder maps 64-length window segments of the input signals to 4 (number of summary tokens) \times 512-dimensional features. Finally, the flatten and linear layers are used to output the final classification score.

D METRICS DESCRIPTION

The following metrics are used for comparison: 1) Balanced Accuracy (BAC): The mean recall across all classes, applicable to both binary and multi-class classification. 2) AUROC: The area under the receiver operating characteristic curve, primarily used for binary classification. 3) Weighted F1: The harmonic mean of precision and recall, with equal emphasis on both metrics, employed for evaluating multi-class classification. 4) Cohen's Kappa: A statistic measuring the agreement between categorical variables X and Y, derived from the observed and expected frequencies in the diagonal of a square contingency table. We set AUROC as the monitor score for binary classification and Cohen's Kappa as the monitor score for multi-class classification.

E MORE IMPLEMENTATION DESCRIPTION

For model implementation, the BENDR code is downloaded and modified upon the github¹⁴, the BENDR code is downloaded and modified upon this github¹⁵, and the LaBraM code is downloaded and modified upon this github¹⁶. Other baselines implementations on the TUAB and TUEV datasets are also referred to this repo¹⁷. The EEGPT structure implementation is mainly modified upon the github¹⁸, and also references the code of BENDR. The ROPE embedding is implemented using the code of Roformer [50] in github¹⁹.

We mainly use MNE package²⁰, braindecode package²¹, and torcheeg package²² to load and preprocess the data.

F MORE EXPERIMENT RESULTS DESCRIPTION

In the comparative experiments, the baseline model BIOT demonstrated an accuracy of 54% in the binary classification task of EEG P300 signals on PhysioP300 dataset4, suggesting its ineffectiveness.

The P300 signal is an event-related potential (ERP) component commonly observed in EEG recordings. It is characterized by a positive deflection in voltage occurring approximately 300 milliseconds after the presentation of a stimulus. The P300 component is primarily concentrated around the 300 ms mark and is crucial for tasks involving attention and stimulus evaluation.

One plausible reason for the suboptimal performance of the BIOT model is the inherent nature of the P300 signal classification task, which predominantly relies on time-domain waveform features. The BIOT model, however, applies a fast Fourier transform (FFT) to the input signals, thereby primarily extracting frequency-domain features. This fundamental discrepancy between the feature domain utilized by the model and the domain that is most pertinent to the classification task likely accounts for the observed lack of efficacy. Additionally, while the P300 component is concentrated around the 300 ms mark, the BIOT model applies an FFT on 1-second length patches, which is overly extensive and results in significant information loss. The "significant information loss" is mainly reflected in the fact that the BIOT model only retains the spectral energy information for 1s of each patch after the FFT, and discards the phase information. A similar situation also occurs in the binary classification task for ERN signals [27] on the KaggleERN dataset.

G VISUALIZATION

G.1 Channel Relationship Visualization

To further demonstrate the effectiveness of the model implementation, this paper visualizes the trained model. During the pretraining process, channel information is encoded through learnable channel embeddings. This section visualizes the similarity between positional encodings to show the relationship information learned by the model from the data.

Figure 11(a) shows the channel relationship diagram after model pretraining, using the cosine similarity between channel embeddings to represent the relationships between channels. The left figure shows relationships with similarity greater than 0.5, where channels are clustered based on their front, back, left, and right positions. The right figure shows relationships with similarity greater than 0.4, revealing not only nearby relationships but also long-distance relationships across brain regions.

¹⁴<https://github.com/SPOClab-ca/BENDR>

¹⁵<https://github.com/ycq091044/BIOT>

¹⁶<https://github.com/935963004/LaBraM>

¹⁷<https://github.com/ycq091044/BIOT>

¹⁸https://github.com/google-research/vision_transformer

¹⁹<https://github.com/ZhuiyiTechnology/roformer>

²⁰<https://github.com/mne-tools/mne-python>

²¹<https://github.com/braindecode/braindecode>

²²<https://github.com/torcheeg/torcheeg>

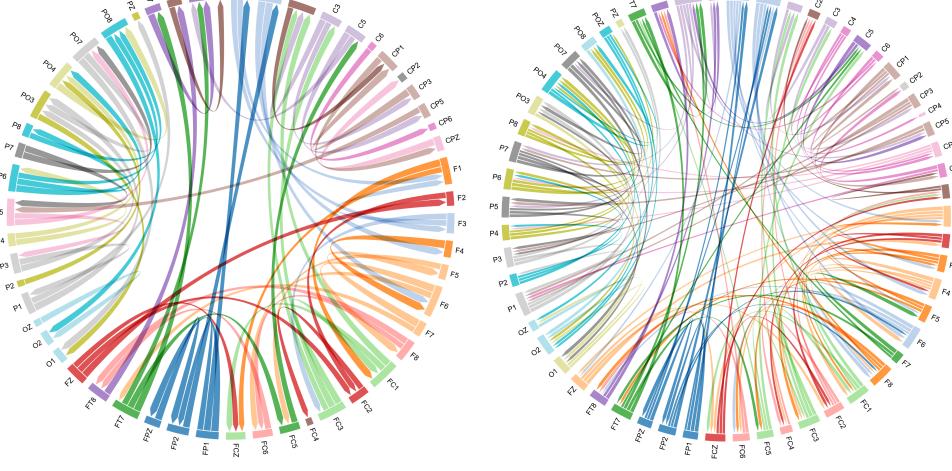


Figure 11: Channel Embedding Similarity Relationships

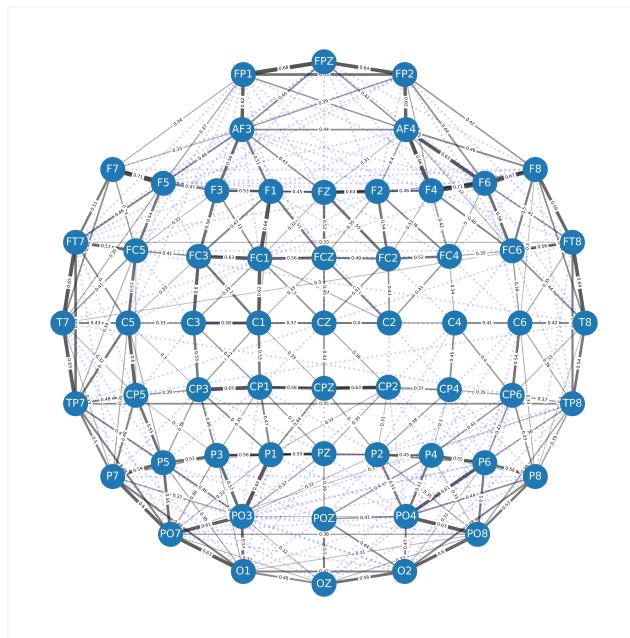


Figure 12: Channel Embedding Similarity Connection Diagram

Figure 12 shows the cosine similarity relationships between channel embeddings, with electrode positions placed according to their actual locations. Solid lines indicate relationships with similarity greater than 0.3, while dashed lines indicate relationships with similarity between 0.1 and 0.3. It can be seen that channels in close proximity have higher similarity, and there is also significant similarity between distant electrodes on opposite sides.

G.2 BCIC2A Experiment Results Visualization

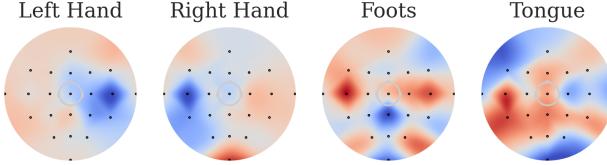


Figure 13: BCIC2A Channel and Class Pearson Correlation Diagram

Figure 13 shows the correlation between channels and motor imagery classes detected using the channel perturbation method after training on the BCIC2A dataset. Gaussian multiplicative random noise is randomly added to the signal amplitude of each channel, and the Pearson correlation between the noise intensity and the changes in the corresponding class logits is calculated and presented as a heatmap. Symmetric relationships are observed for electrodes related to left and right hand movements, with bilateral electrodes corresponding to foot movements, and distinct channels corresponding to the four different classes.

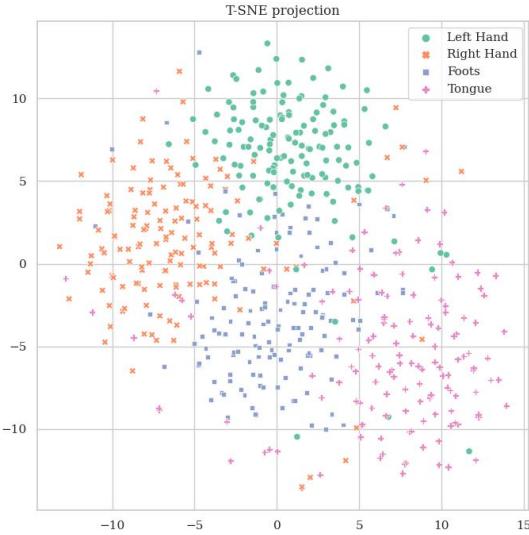


Figure 14: BCIC2A t-SNE Diagram

Figure 14 shows the t-SNE diagram of features learned by the model. It can be seen that the features of the four classes are clustered in four different regions, demonstrating linear separability.

Figure 15 shows the confusion matrix, indicating that the recognition performance for foot movements is the best, while samples from other classes are often misclassified as foot movements.

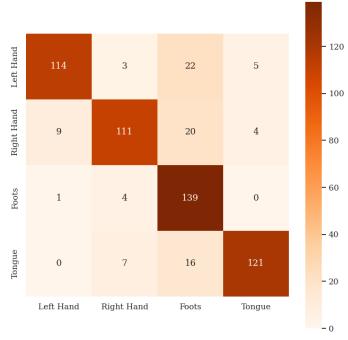


Figure 15: BCIC2A Confusion Matrix Diagram

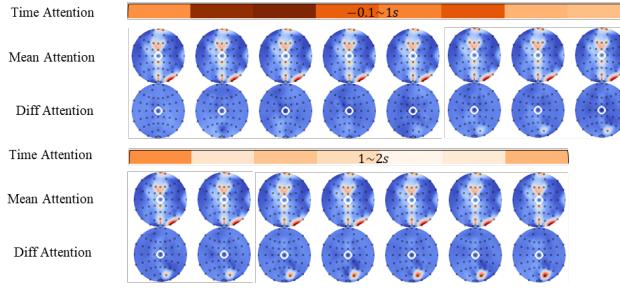


Figure 16: P300 spatio-temporal Attention Diagram

G.3 PhysioP300 Experiment Results Visualization

Figure 16 shows the model’s attention distribution for the P300 task. For temporal attention, the model focuses more on the -0.1 to 1s time period. For spatial attention, the model focuses more on the signals from the F1, FZ, F2, and FCZ channels.

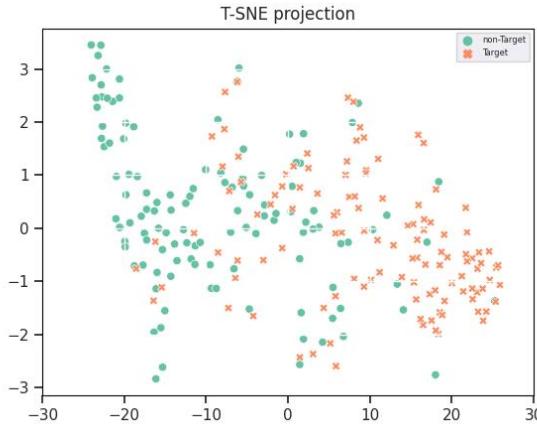


Figure 17: P300 t-SNE Diagram

Figure 17 shows the t-SNE diagram of features extracted by the model for the two classes of samples. The features of the two classes are distributed on two sides, with a confusion belt in the middle, but overall the classes are linearly separable.

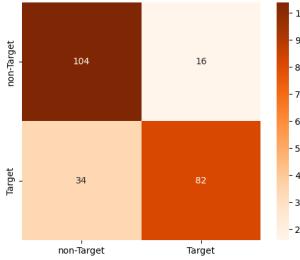


Figure 18: P300 Confusion Matrix Diagram

The confusion matrix shows that the performance for the non-target class is better.

H LIMITATIONS

Firstly, although we have collected a large-scale multi-task mixed EEG dataset and utilized a model structure with extensive parameters during the pretraining phase, there remains a significant disparity compared to today’s large vision and language models. Our work is still in the exploratory phase of training large EEG models to learn general representations. Encouragingly, our experiments have shown that large EEG models can continue to be optimized, achieving considerable performance improvements compared to existing methods developed for specific BCI tasks and general large EEG models. Secondly, while EEGPT requires only linear-probing to adapt to small-scale downstream tasks, it may still result in high memory costs. Finally, EEGPT was pretrained using 4s EEG data. This may strict model’s capability. It is worth exploring the training of large EEG models with long recordings.

NeurIPS Paper Checklist

1. Claims

Question: Do the main claims made in the abstract and introduction accurately reflect the paper's contributions and scope?

Answer: [Yes]

Justification: We clearly state the claims made, including the contributions made in the paper and important assumptions and limitations.

Guidelines:

- The answer NA means that the abstract and introduction do not include the claims made in the paper.
- The abstract and/or introduction should clearly state the claims made, including the contributions made in the paper and important assumptions and limitations. A No or NA answer to this question will not be perceived well by the reviewers.
- The claims made should match theoretical and experimental results, and reflect how much the results can be expected to generalize to other settings.
- It is fine to include aspirational goals as motivation as long as it is clear that these goals are not attained by the paper.

2. Limitations

Question: Does the paper discuss the limitations of the work performed by the authors?

Answer: [Yes]

Justification: We point out the limitations of the work and explain why they are important to consider in H.

Guidelines:

- The answer NA means that the paper has no limitation while the answer No means that the paper has limitations, but those are not discussed in the paper.
- The authors are encouraged to create a separate "Limitations" section in their paper.
- The paper should point out any strong assumptions and how robust the results are to violations of these assumptions (e.g., independence assumptions, noiseless settings, model well-specification, asymptotic approximations only holding locally). The authors should reflect on how these assumptions might be violated in practice and what the implications would be.
- The authors should reflect on the scope of the claims made, e.g., if the approach was only tested on a few datasets or with a few runs. In general, empirical results often depend on implicit assumptions, which should be articulated.
- The authors should reflect on the factors that influence the performance of the approach. For example, a facial recognition algorithm may perform poorly when image resolution is low or images are taken in low lighting. Or a speech-to-text system might not be used reliably to provide closed captions for online lectures because it fails to handle technical jargon.
- The authors should discuss the computational efficiency of the proposed algorithms and how they scale with dataset size.
- If applicable, the authors should discuss possible limitations of their approach to address problems of privacy and fairness.
- While the authors might fear that complete honesty about limitations might be used by reviewers as grounds for rejection, a worse outcome might be that reviewers discover limitations that aren't acknowledged in the paper. The authors should use their best judgment and recognize that individual actions in favor of transparency play an important role in developing norms that preserve the integrity of the community. Reviewers will be specifically instructed to not penalize honesty concerning limitations.

3. Theory Assumptions and Proofs

Question: For each theoretical result, does the paper provide the full set of assumptions and a complete (and correct) proof?

Answer: [NA]

Justification: The paper does not include theoretical results.

Guidelines:

- The answer NA means that the paper does not include theoretical results.
- All the theorems, formulas, and proofs in the paper should be numbered and cross-referenced.
- All assumptions should be clearly stated or referenced in the statement of any theorems.
- The proofs can either appear in the main paper or the supplemental material, but if they appear in the supplemental material, the authors are encouraged to provide a short proof sketch to provide intuition.
- Inversely, any informal proof provided in the core of the paper should be complemented by formal proofs provided in appendix or supplemental material.
- Theorems and Lemmas that the proof relies upon should be properly referenced.

4. Experimental Result Reproducibility

Question: Does the paper fully disclose all the information needed to reproduce the main experimental results of the paper to the extent that it affects the main claims and/or conclusions of the paper (regardless of whether the code and data are provided or not)?

Answer: [Yes]

Justification: This paper has detailed instructions on how to reproduce the main experimental results.

Guidelines:

- The answer NA means that the paper does not include experiments.
- If the paper includes experiments, a No answer to this question will not be perceived well by the reviewers: Making the paper reproducible is important, regardless of whether the code and data are provided or not.
- If the contribution is a dataset and/or model, the authors should describe the steps taken to make their results reproducible or verifiable.
- Depending on the contribution, reproducibility can be accomplished in various ways. For example, if the contribution is a novel architecture, describing the architecture fully might suffice, or if the contribution is a specific model and empirical evaluation, it may be necessary to either make it possible for others to replicate the model with the same dataset, or provide access to the model. In general, releasing code and data is often one good way to accomplish this, but reproducibility can also be provided via detailed instructions for how to replicate the results, access to a hosted model (e.g., in the case of a large language model), releasing of a model checkpoint, or other means that are appropriate to the research performed.
- While NeurIPS does not require releasing code, the conference does require all submissions to provide some reasonable avenue for reproducibility, which may depend on the nature of the contribution. For example
 - (a) If the contribution is primarily a new algorithm, the paper should make it clear how to reproduce that algorithm.
 - (b) If the contribution is primarily a new model architecture, the paper should describe the architecture clearly and fully.
 - (c) If the contribution is a new model (e.g., a large language model), then there should either be a way to access this model for reproducing the results or a way to reproduce the model (e.g., with an open-source dataset or instructions for how to construct the dataset).
 - (d) We recognize that reproducibility may be tricky in some cases, in which case authors are welcome to describe the particular way they provide for reproducibility. In the case of closed-source models, it may be that access to the model is limited in some way (e.g., to registered users), but it should be possible for other researchers to have some path to reproducing or verifying the results.

5. Open access to data and code

Question: Does the paper provide open access to the data and code, with sufficient instructions to faithfully reproduce the main experimental results, as described in supplemental material?

Answer: [Yes]

Justification: We release all code and data preprocess scripts in the supplemental material and figshareB.

Guidelines:

- The answer NA means that paper does not include experiments requiring code.
- Please see the NeurIPS code and data submission guidelines (<https://nips.cc/public/guides/CodeSubmissionPolicy>) for more details.
- While we encourage the release of code and data, we understand that this might not be possible, so “No” is an acceptable answer. Papers cannot be rejected simply for not including code, unless this is central to the contribution (e.g., for a new open-source benchmark).
- The instructions should contain the exact command and environment needed to run to reproduce the results. See the NeurIPS code and data submission guidelines (<https://nips.cc/public/guides/CodeSubmissionPolicy>) for more details.
- The authors should provide instructions on data access and preparation, including how to access the raw data, preprocessed data, intermediate data, and generated data, etc.
- The authors should provide scripts to reproduce all experimental results for the new proposed method and baselines. If only a subset of experiments are reproducible, they should state which ones are omitted from the script and why.
- At submission time, to preserve anonymity, the authors should release anonymized versions (if applicable).
- Providing as much information as possible in supplemental material (appended to the paper) is recommended, but including URLs to data and code is permitted.

6. Experimental Setting/Details

Question: Does the paper specify all the training and test details (e.g., data splits, hyperparameters, how they were chosen, type of optimizer, etc.) necessary to understand the results?

Answer: [Yes]

Justification: The paper specify all the training and test details in 3.2.

Guidelines:

- The answer NA means that the paper does not include experiments.
- The experimental setting should be presented in the core of the paper to a level of detail that is necessary to appreciate the results and make sense of them.
- The full details can be provided either with the code, in appendix, or as supplemental material.

7. Experiment Statistical Significance

Question: Does the paper report error bars suitably and correctly defined or other appropriate information about the statistical significance of the experiments?

Answer: [Yes]

Justification: The results are accompanied by error bars, confidence intervals, or statistical significance tests.

Guidelines:

- The answer NA means that the paper does not include experiments.
- The authors should answer "Yes" if the results are accompanied by error bars, confidence intervals, or statistical significance tests, at least for the experiments that support the main claims of the paper.
- The factors of variability that the error bars are capturing should be clearly stated (for example, train/test split, initialization, random drawing of some parameter, or overall run with given experimental conditions).

- The method for calculating the error bars should be explained (closed form formula, call to a library function, bootstrap, etc.)
- The assumptions made should be given (e.g., Normally distributed errors).
- It should be clear whether the error bar is the standard deviation or the standard error of the mean.
- It is OK to report 1-sigma error bars, but one should state it. The authors should preferably report a 2-sigma error bar than state that they have a 96% CI, if the hypothesis of Normality of errors is not verified.
- For asymmetric distributions, the authors should be careful not to show in tables or figures symmetric error bars that would yield results that are out of range (e.g. negative error rates).
- If error bars are reported in tables or plots, The authors should explain in the text how they were calculated and reference the corresponding figures or tables in the text.

8. Experiments Compute Resources

Question: For each experiment, does the paper provide sufficient information on the computer resources (type of compute workers, memory, time of execution) needed to reproduce the experiments?

Answer: [Yes]

Justification: The paper provide sufficient information on the computer resources in 3.2.

Guidelines:

- The answer NA means that the paper does not include experiments.
- The paper should indicate the type of compute workers CPU or GPU, internal cluster, or cloud provider, including relevant memory and storage.
- The paper should provide the amount of compute required for each of the individual experimental runs as well as estimate the total compute.
- The paper should disclose whether the full research project required more compute than the experiments reported in the paper (e.g., preliminary or failed experiments that didn't make it into the paper).

9. Code Of Ethics

Question: Does the research conducted in the paper conform, in every respect, with the NeurIPS Code of Ethics <https://neurips.cc/public/EthicsGuidelines>?

Answer: [Yes]

Justification: The research conducted in the paper conform, in every respect, with the NeurIPS Code of Ethics.

Guidelines:

- The answer NA means that the authors have not reviewed the NeurIPS Code of Ethics.
- If the authors answer No, they should explain the special circumstances that require a deviation from the Code of Ethics.
- The authors should make sure to preserve anonymity (e.g., if there is a special consideration due to laws or regulations in their jurisdiction).

10. Broader Impacts

Question: Does the paper discuss both potential positive societal impacts and negative societal impacts of the work performed?

Answer: [Yes]

Justification: The paper discusses the potential positive societal impacts, such as advancements in EEG-based diagnostics and therapeutic applications, which could improve health-care outcomes.

Guidelines:

- The answer NA means that there is no societal impact of the work performed.
- If the authors answer NA or No, they should explain why their work has no societal impact or why the paper does not address societal impact.

- Examples of negative societal impacts include potential malicious or unintended uses (e.g., disinformation, generating fake profiles, surveillance), fairness considerations (e.g., deployment of technologies that could make decisions that unfairly impact specific groups), privacy considerations, and security considerations.
- The conference expects that many papers will be foundational research and not tied to particular applications, let alone deployments. However, if there is a direct path to any negative applications, the authors should point it out. For example, it is legitimate to point out that an improvement in the quality of generative models could be used to generate deepfakes for disinformation. On the other hand, it is not needed to point out that a generic algorithm for optimizing neural networks could enable people to train models that generate Deepfakes faster.
- The authors should consider possible harms that could arise when the technology is being used as intended and functioning correctly, harms that could arise when the technology is being used as intended but gives incorrect results, and harms following from (intentional or unintentional) misuse of the technology.
- If there are negative societal impacts, the authors could also discuss possible mitigation strategies (e.g., gated release of models, providing defenses in addition to attacks, mechanisms for monitoring misuse, mechanisms to monitor how a system learns from feedback over time, improving the efficiency and accessibility of ML).

11. Safeguards

Question: Does the paper describe safeguards that have been put in place for responsible release of data or models that have a high risk for misuse (e.g., pretrained language models, image generators, or scraped datasets)?

Answer: [NA]

Justification: The paper poses no such risks.

Guidelines:

- The answer NA means that the paper poses no such risks.
- Released models that have a high risk for misuse or dual-use should be released with necessary safeguards to allow for controlled use of the model, for example by requiring that users adhere to usage guidelines or restrictions to access the model or implementing safety filters.
- Datasets that have been scraped from the Internet could pose safety risks. The authors should describe how they avoided releasing unsafe images.
- We recognize that providing effective safeguards is challenging, and many papers do not require this, but we encourage authors to take this into account and make a best faith effort.

12. Licenses for existing assets

Question: Are the creators or original owners of assets (e.g., code, data, models), used in the paper, properly credited and are the license and terms of use explicitly mentioned and properly respected?

Answer: [Yes]

Justification: We cite the original paper of existing assets.

Guidelines:

- The answer NA means that the paper does not use existing assets.
- The authors should cite the original paper that produced the code package or dataset.
- The authors should state which version of the asset is used and, if possible, include a URL.
- The name of the license (e.g., CC-BY 4.0) should be included for each asset.
- For scraped data from a particular source (e.g., website), the copyright and terms of service of that source should be provided.
- If assets are released, the license, copyright information, and terms of use in the package should be provided. For popular datasets, paperswithcode.com/datasets has curated licenses for some datasets. Their licensing guide can help determine the license of a dataset.

- For existing datasets that are re-packaged, both the original license and the license of the derived asset (if it has changed) should be provided.
- If this information is not available online, the authors are encouraged to reach out to the asset's creators.

13. New Assets

Question: Are new assets introduced in the paper well documented and is the documentation provided alongside the assets?

Answer: [Yes]

Justification: We release our code.

Guidelines:

- The answer NA means that the paper does not release new assets.
- Researchers should communicate the details of the dataset/code/model as part of their submissions via structured templates. This includes details about training, license, limitations, etc.
- The paper should discuss whether and how consent was obtained from people whose asset is used.
- At submission time, remember to anonymize your assets (if applicable). You can either create an anonymized URL or include an anonymized zip file.

14. Crowdsourcing and Research with Human Subjects

Question: For crowdsourcing experiments and research with human subjects, does the paper include the full text of instructions given to participants and screenshots, if applicable, as well as details about compensation (if any)?

Answer: [NA]

Justification: The paper does not involve crowdsourcing nor research with human subjects.

Guidelines:

- The answer NA means that the paper does not involve crowdsourcing nor research with human subjects.
- Including this information in the supplemental material is fine, but if the main contribution of the paper involves human subjects, then as much detail as possible should be included in the main paper.
- According to the NeurIPS Code of Ethics, workers involved in data collection, curation, or other labor should be paid at least the minimum wage in the country of the data collector.

15. Institutional Review Board (IRB) Approvals or Equivalent for Research with Human Subjects

Question: Does the paper describe potential risks incurred by study participants, whether such risks were disclosed to the subjects, and whether Institutional Review Board (IRB) approvals (or an equivalent approval/review based on the requirements of your country or institution) were obtained?

Answer: [NA]

Justification: The paper does not involve crowdsourcing nor research with human subjects.

Guidelines:

- The answer NA means that the paper does not involve crowdsourcing nor research with human subjects.
- Depending on the country in which research is conducted, IRB approval (or equivalent) may be required for any human subjects research. If you obtained IRB approval, you should clearly state this in the paper.
- We recognize that the procedures for this may vary significantly between institutions and locations, and we expect authors to adhere to the NeurIPS Code of Ethics and the guidelines for their institution.
- For initial submissions, do not include any information that would break anonymity (if applicable), such as the institution conducting the review.