

# Low Dimensional Complex Spin Groups

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February 15, 2022

*Spin group* is a universal cover of an orthogonal group. In this note, we will show that low dimensional complex spin groups,  $\text{Spin}(3, \mathbb{C})$ ,  $\text{Spin}(4, \mathbb{C})$ ,  $\text{Spin}(5, \mathbb{C})$  and  $\text{Spin}(6, \mathbb{C})$  are isomorphic to familiar groups.

## 1 $\text{Spin}(3, \mathbb{C}) \simeq \text{SL}(2, \mathbb{C})$

First, we are going to prove the following theorem:

**Theorem 1.**

$$\text{PSL}(2, \mathbb{C}) \simeq \text{SO}(3, \mathbb{C})$$

Using this Theorem, we can prove that  $\text{Spin}(3, \mathbb{C})$  is isomorphic to a well-known group.

**Corollary 1.**

$$\text{Spin}(3, \mathbb{C}) \simeq \text{SL}(2, \mathbb{C})$$

*Proof.* First, we have to show that  $\text{SL}(2, \mathbb{C})$  is a simply connected group. To prove this, consider a natural action  $\text{SL}(2, \mathbb{C})$  on  $\mathbb{C}^2 \setminus \{0\}$ . Then this is a transitive action and the stabilizer subgroup of  $\mathbf{e}_1 = (1, 0)^T$  is

$$\text{Stab}(\mathbf{e}_1) = \left\{ \begin{pmatrix} 1 & z \\ 0 & 1 \end{pmatrix} : z \in \mathbb{C} \right\} \simeq \mathbb{C}.$$

Hence we have a diffeomorphism

$$\text{SL}(2, \mathbb{C}) / \text{Stab}(\mathbf{e}_1) \simeq \mathbb{C}^2 \setminus \{0\}.$$

We know that  $\mathbb{C}^2 \setminus \{0\}$  is homotopic to  $S^3$ , which is simply connected. Also, since  $\text{Stab}(\mathbf{e}_1) \simeq \mathbb{C}$  is contractible,  $\text{SL}(2, \mathbb{C})$  is homotopic to  $S^3$ , so is simply connected. Now we have a 2-cover  $\text{SL}(2, \mathbb{C}) \twoheadrightarrow \text{PSL}(2, \mathbb{C}) \simeq \text{SO}(3, \mathbb{C})$ , so  $\text{SL}(2, \mathbb{C})$  is a universal cover of  $\text{SO}(3, \mathbb{C})$ .  $\square$

So, how we can prove the Theorem 1? Actually, there is a one line proof:

*proof of the Theorem 1.* The map  $\Phi : \mathrm{SL}(2, \mathbb{C}) \rightarrow \mathrm{SO}(3, \mathbb{C})$  defined as

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} \mapsto \begin{pmatrix} \frac{1}{2}(a^2 - b^2 - c^2 + d^2) & -(ab - cd) & \frac{i}{2}(a^2 + b^2 - c^2 - d^2) \\ -(ac - bd) & ad + bc & -i(ac + bd) \\ -\frac{i}{2}(a^2 - b^2 + c^2 - d^2) & i(ab + cd) & \frac{1}{2}(a^2 + b^2 + c^2 + d^2) \end{pmatrix}$$

is a surjective homomorphism whose kernel is the center of  $\mathrm{SL}(2, \mathbb{C})$ .  $\square$

Our main question is: where does the isomorphism come from? This map seems very complicated and unnatural. It is not even trivial that  $\phi$  is a group homomorphism and surjective. We will construct such homomorphism by using the *adjoint* action of a Lie group on a Lie algebra.

Let  $\mathfrak{sl}(2, \mathbb{C})$  be a Lie algebra of  $\mathrm{SL}(2, \mathbb{C})$ . This is a 3-dimensional complex vector space with a basis

$$E = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, \quad H = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}, \quad F = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}.$$

Let  $G = \mathrm{SL}(2, \mathbb{C})$ . Consider a left  $G$ -action on  $G$  itself by a conjugation, i.e.  $g \in G$  acts on  $G$  by  $h \mapsto ghg^{-1}$ . Then we have an induced action of  $G$  on its Lie algebra  $\mathfrak{g} = \mathfrak{sl}(2, \mathbb{C})$  by conjugation  $v \mapsto gvg^{-1}$  again. Hence we get an adjoint representation  $\mathrm{ad} : G \rightarrow \mathrm{GL}(\mathfrak{g})$  of  $G$ , and we will analyze this map more rigorously.

Let

$$g = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \mathrm{SL}(2, \mathbb{C}).$$

Then its action on  $E, H, F$  is given by

$$\begin{aligned} E &\mapsto gEg^{-1} = \begin{pmatrix} -ac & a^2 \\ -c^2 & ac \end{pmatrix} \\ H &\mapsto gHg^{-1} = \begin{pmatrix} ad + bc & -2ab \\ 2cd & -(ad + bc) \end{pmatrix} \\ F &\mapsto gFg^{-1} = \begin{pmatrix} bd & -b^2 \\ d^2 & -bd \end{pmatrix} \end{aligned}$$

so the automorphism  $\phi_g : \mathfrak{sl}(2, \mathbb{C}) \rightarrow \mathfrak{sl}(2, \mathbb{C})$  corresponds to the  $g$  can be represented as a 3 by 3 matrix

$$\phi_g = \begin{pmatrix} a^2 & -2ab & -b^2 \\ -ac & ad + bc & bd \\ -c^2 & 2cd & d^2 \end{pmatrix}$$

with respect to the ordered basis  $\{E, H, F\}$  of  $\mathfrak{sl}(2, \mathbb{C})$ . We have  $\det(\phi_g) = 1$ : if we expand determinant by the second row, we have

$$\begin{aligned} \det(\phi_g) &= ac(-2abd^2 + 2cdb^2) + (ad + bc)(a^2d^2 - b^2c^2) - bd(2a^2cd - 2c^2ab) \\ &= -2abcd + (ad + bc)^2 - 2abcd = (ad - bc)^2 = 1. \end{aligned}$$

Now define an inner product on  $\mathfrak{sl}(2, \mathbb{C})$  by  $\langle v, w \rangle = \text{Tr}(vw)$ , which is clearly  $\mathbb{C}$ -bilinear. The associated quadratic form is

$$Q_1(v) = \langle v, v \rangle = \text{Tr}(v^2) = 2(y^2 + xz)$$

for  $v = xE + yH + zF$ , and this is a nondegenerated quadratic form. Clearly, this inner product and the quadratic form is invariant under the  $G$ -action:

$$\langle \phi_g(v), \phi_g(w) \rangle = \text{Tr}(gvg^{-1}gwg^{-1}) = \text{Tr}(gvwg^{-1}) = \text{Tr}(vw) = \langle v, w \rangle.$$

Hence image of the map  $g \mapsto \phi_g$  lies in  $\text{SO}(Q_1)$ , special orthogonal group which preserves the quadratic form  $Q_1$ . If we denote  $Q_2$  as a standard quadratic form defined as  $Q_2(xE + yH + zF) = x^2 + y^2 + z^2$ , a basis change matrix

$$B = \begin{pmatrix} \frac{1}{\sqrt{2}} & 0 & \frac{i}{\sqrt{2}} \\ 0 & \frac{1}{\sqrt{2}} & 0 \\ \frac{1}{\sqrt{2}} & 0 & -\frac{i}{\sqrt{2}} \end{pmatrix}$$

relates two quadratic forms as  $Q_2(v) = Q_1(Bv)$ . Since  $\text{SO}(Q_2) = \text{SO}(3, \mathbb{C})$ , we have an isomorphism  $\text{SO}(Q_1) \simeq \text{SO}(3, \mathbb{C})$  given by  $A \mapsto B^{-1}AB$ . Explicit computation gives us that

$$\begin{aligned} B^{-1}\phi_g B &= \begin{pmatrix} \frac{1}{\sqrt{2}} & 0 & \frac{1}{\sqrt{2}} \\ 0 & \sqrt{2} & 0 \\ -\frac{i}{\sqrt{2}} & 0 & \frac{i}{\sqrt{2}} \end{pmatrix} \begin{pmatrix} a^2 & -2ab & -b^2 \\ -ac & ad+bc & bd \\ -c^2 & 2cd & d^2 \end{pmatrix} \begin{pmatrix} \frac{1}{\sqrt{2}} & 0 & \frac{i}{\sqrt{2}} \\ 0 & \frac{1}{\sqrt{2}} & 0 \\ \frac{1}{\sqrt{2}} & 0 & -\frac{i}{\sqrt{2}} \end{pmatrix} \\ &= \begin{pmatrix} \frac{1}{2}(a^2 - b^2 - c^2 + d^2) & -(ab - cd) & \frac{i}{2}(a^2 + b^2 - c^2 - d^2) \\ -(ac - bd) & ad + bc & -i(ac + bd) \\ -\frac{i}{2}(a^2 - b^2 + c^2 - d^2) & i(ab + cd) & \frac{1}{2}(a^2 + b^2 + c^2 + d^2) \end{pmatrix} \end{aligned}$$

which gives the previous homomorphism  $\text{SL}(2, \mathbb{C}) \rightarrow \text{SO}(3, \mathbb{C})$ .

Since  $\text{SO}(Q_1) \simeq \text{SO}(3, \mathbb{C})$ ,  $\ker \Phi$  is same as  $\ker \phi$ . If  $\phi_g = \text{id}$ , then we should have  $b = c = 0$  and  $a^2 = d^2 = ad = 1$ . So the only possible choice is  $(a, d) = (1, 1)$  or  $(-1, -1)$ , which corresponds to elements in the center. Hence we have an induced map  $\text{PSL}(2, \mathbb{C}) \rightarrow \text{SO}(3, \mathbb{C})$ , which is an embedding.

Now we only need to show that this map is an isomorphism. First, both have dimension 3: since  $\text{SL}(2, \mathbb{C}) \rightarrow \text{PSL}(2, \mathbb{C})$  is a finite cover, both group have a same dimension, which is 3 since  $\dim_{\mathbb{C}} \mathfrak{sl}(2, \mathbb{C}) = 3$ . For  $\text{SO}(3, \mathbb{C})$ , one can check that  $\mathfrak{so}(3, \mathbb{C}) = \{X \in M_{3 \times 3}(\mathbb{C}) : X^T + X = 0\}$ , and this space also has dimension 3 over  $\mathbb{C}$ . We need the following lemma:

**Lemma 1.** *Let  $G$  be a conneted Lie group of dimension  $n$  and  $H$  be a Lie subgroup of  $G$  with same dimension. Then  $G = H$ .*

*Proof.* Since  $H \subseteq G$  is a Lie subgroup,  $G/H$  has a smooth manifold structure. Since  $\dim G = \dim H$ ,  $\dim(G/H) = 0$  and thus  $G/H$  is a 0-dimensional smooth manifold, i.e. a set of points endowed wit a discrete topology. Since  $G = \coprod_{g \in G/H} gH$ ,  $G$  is not connected if  $G \neq H$ .  $\square$

By lemma, it is enough to show that  $\mathrm{SO}(3, \mathbb{C})$  is connected. Actually, we can prove more general result:

**Lemma 2.**  $\mathrm{SO}(n, \mathbb{C})$  is connected for  $n \geq 1$ .

*Proof.* Clearly,  $\mathrm{SO}(1, \mathbb{C}) = \{1\}$  is connected. We will use induction on  $n$ . Assume that  $\mathrm{SO}(n-1, \mathbb{C})$  is connected for some  $n \geq 2$ . Let

$$X_n = \{(x_1, \dots, x_n) \in \mathbb{C}^n : x_1^2 + \dots + x_n^2 = 1\}.$$

$g \in \mathrm{SO}(n, \mathbb{C})$  acts on  $X$  as  $v \mapsto gv$ . If  $ge_1 = e_1$  for  $e_1 = (1, 0, \dots, 0)^T$ , since  $g \in \mathrm{SO}(n, \mathbb{C})$ ,  $g$  should has a form

$$g = \begin{pmatrix} 1 & \mathbf{0}^T \\ \mathbf{0} & g' \end{pmatrix}$$

where  $g' \in \mathrm{SO}(n-1, \mathbb{C})$ . Thus  $\mathrm{Stab}(e_1) \simeq \mathrm{SO}(n-1, \mathbb{C})$ . Also, we can show that the action is transitive, hence we have  $\mathrm{SO}(n, \mathbb{C})/\mathrm{SO}(n-1, \mathbb{C}) \simeq X_n$ . It is known that  $X_n$  is connected, so  $\mathrm{SO}(n, \mathbb{C})$  is also connected since both  $\mathrm{SO}(n-1, \mathbb{C})$  and  $X_n$  are connected. (First one is because of the induction hypothesis and the Lemma 3 in the Appendix. For the second one, in general, for any irreducible polynomial  $f(x_1, \dots, x_n) \in \mathbb{C}[x_1, \dots, x_n]$ , zero set of  $f$  in  $\mathbb{C}^n$  is connected with respect to the usual topology on  $\mathbb{C}^n$ , which is hard to prove in general.)  $\square$

Thus we get  $\mathrm{PSL}(2, \mathbb{C}) \simeq \mathrm{SO}(3, \mathbb{C})$  with an explicit isomorphism. Since  $\mathrm{SL}(2, \mathbb{C})$  is a double cover of  $\mathrm{PSL}(2, \mathbb{C})$  and is simply connected, we just showed that the complex spin group  $\mathrm{Spin}(3, \mathbb{C})$  is  $\mathrm{SL}(2, \mathbb{C})$ .

## 2 $\mathrm{Spin}(4, \mathbb{C}) \simeq \mathrm{SL}(2, \mathbb{C}) \times \mathrm{SL}(2, \mathbb{C})$

By the similar way, we can also show the following:

**Theorem 2.**

$$(\mathrm{SL}(2, \mathbb{C}) \times \mathrm{SL}(2, \mathbb{C})) / \langle (-I, -I) \rangle \simeq \mathrm{SO}(4, \mathbb{C}).$$

**Corollary 2.**

$$\mathrm{Spin}(4, \mathbb{C}) \simeq \mathrm{SL}(2, \mathbb{C}) \times \mathrm{SL}(2, \mathbb{C}).$$

*Proof.* By the Theorem 2, there exists a surjective homomorphism  $\mathrm{SL}(2, \mathbb{C}) \times \mathrm{SL}(2, \mathbb{C}) \rightarrow \mathrm{SO}(4, \mathbb{C})$ , which is a double cover of  $\mathrm{SO}(4, \mathbb{C})$ . Since  $\mathrm{SL}(2, \mathbb{C})$  is simply connected,  $\mathrm{SL}(2, \mathbb{C}) \times \mathrm{SL}(2, \mathbb{C})$  is also simply connected.  $\square$

To prove the Theorem 2, we may find some appropriate  $\mathrm{SL}(2, \mathbb{C}) \times \mathrm{SL}(2, \mathbb{C})$  on a 4-dimensional  $\mathbb{C}$ -vector space. Consider the action of the group on a space  $M_{2 \times 2}(\mathbb{C})$  (the space of complex  $2 \times 2$  matrices) defined as

$$(g, h) \cdot v := gvh^{-1}, \quad (g, h) \in \mathrm{SL}(2, \mathbb{C}) \times \mathrm{SL}(2, \mathbb{C}), \quad v \in M_{2 \times 2}(\mathbb{C}).$$

Then this is a well-defined action on  $M_{2 \times 2}(\mathbb{C})$ . With respect to the basis  $\{\mathbf{e}_{11}, \mathbf{e}_{12}, \mathbf{e}_{21}, \mathbf{e}_{22}\}$ , the map  $\phi_{g,h} : M_{2 \times 2}(\mathbb{C}) \rightarrow M_{2 \times 2}(\mathbb{C})$  corresponds to a  $4 \times 4$  matrix

$$A_{g,h} = \begin{pmatrix} a\delta & -a\gamma & b\delta & -b\gamma \\ -a\beta & a\alpha & -b\beta & b\alpha \\ c\delta & -c\gamma & d\delta & -d\gamma \\ -c\beta & c\alpha & -d\beta & d\alpha \end{pmatrix}, \quad g = \begin{pmatrix} a & b \\ c & d \end{pmatrix}, \quad h = \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} \in \mathrm{SL}(2, \mathbb{C}).$$

We can check that  $\det(A_{g,h}) = (ad - bc)(\alpha\delta - \beta\gamma) = 1$ , so the image of

$$\phi : \mathrm{SL}(2, \mathbb{C}) \times \mathrm{SL}(2, \mathbb{C}) \rightarrow \mathrm{GL}(M_{2 \times 2}(\mathbb{C}))$$

is contained in  $\mathrm{SL}(M_{2 \times 2}(\mathbb{C}))$ . Now we need a bilinear map and a (non-degenerate) quadratic form on  $M_{2 \times 2}(\mathbb{C})$  so that  $\phi_{g,h}$  preserves the quadratic form. Define  $Q : M_{2 \times 2}(\mathbb{C}) \rightarrow \mathbb{C}$  as the *determinant*, i.e.  $Q(v) = \det(v)$ . Then we have

$$Q(\phi_{g,h}(v)) = \det(gvh^{-1}) = \det(g) \det(v) \det(h)^{-1} = \det(v) = Q(v),$$

so it is preserved by the action. The corresponding inner product can be defined as

$$\langle v, w \rangle = \frac{1}{2} (Q(v+w) - Q(v) - Q(w)) = \frac{1}{2} (\det(v+w) - \det(v) - \det(w))$$

which satisfies  $\langle v, v \rangle = Q(v)$ . Simple computation shows that the inner product is given as

$$\langle v, w \rangle = \frac{1}{2} (x_1 w_2 - y_1 z_2 + x_2 w_1 - y_2 z_1), \quad v = \begin{pmatrix} x_1 & y_1 \\ z_1 & w_1 \end{pmatrix}, \quad w = \begin{pmatrix} x_2 & y_2 \\ z_2 & w_2 \end{pmatrix} \in M_{2 \times 2}(\mathbb{C}).$$

Hence we obtain a map  $\phi : \mathrm{SL}(2, \mathbb{C}) \times \mathrm{SL}(2, \mathbb{C}) \rightarrow \mathrm{SO}(4, \mathbb{C})$ . If  $(g, h) \in \ker \phi$ , then  $gvh^{-1} = v$  for any  $v \in M_{2 \times 2}(\mathbb{C})$ . If we put  $v = h$ , we get  $g = h$  and  $gv g^{-1} = v$ . Thus  $g \in Z(M_{2 \times 2}(\mathbb{C})) = \mathbb{C}I_2$ . Since  $\det(g) = 1$ , we should have  $g = h = \pm I_2$ , and  $\phi$  induces an injection

$$\phi : (\mathrm{SL}(2, \mathbb{C}) \times \mathrm{SL}(2, \mathbb{C})) / \langle (-I, -I) \rangle \rightarrow \mathrm{SO}(4, \mathbb{C}).$$

One can check that both groups has dimension 6 (by computing dimensions of Lie algebra of each groups), so  $\phi$  is an isomorphism by the Lemma 1 and 2.

### 3 $\mathrm{Spin}(6, \mathbb{C}) \simeq \mathrm{SL}(4, \mathbb{C})$

We are going to see  $\mathrm{Spin}(6, \mathbb{C})$  first since  $\mathrm{Spin}(5, \mathbb{C})$  uses similar technic but slightly more difficult. For  $\mathrm{Spin}(6, \mathbb{C})$ , we have the following isomorphism

**Theorem 3.**

$$\mathrm{SL}(4, \mathbb{C}) / \langle -I \rangle \simeq \mathrm{SO}(6, \mathbb{C}).$$

**Corollary 3.**

$$\text{Spin}(6, \mathbb{C}) \simeq \text{SL}(4, \mathbb{C}).$$

*Proof.* More generally, we will prove that  $\text{SL}(n, \mathbb{C})$  is simply connected for  $n \geq 2$ . The natural action of  $\text{SL}(n, \mathbb{C})$  on  $\mathbb{C}^n \setminus \{0\}$  is transitive, so we have a diffeomorphism

$$\text{SL}(n, \mathbb{C}) / \text{Stab}(\mathbf{e}_1) \simeq \mathbb{C}^n \setminus \{0\}.$$

We know that  $\mathbb{C}^n \setminus \{0\}$  is homotopic to  $S^{2n-1}$ , which is simply connected. Also, we have

$$\text{Stab}(\mathbf{e}_1) = \left\{ \begin{pmatrix} 1 & \mathbf{v}^T \\ \mathbf{0} & g \end{pmatrix} : g \in \text{SL}(n-1, \mathbb{C}) \right\} \simeq \text{SL}(n-1, \mathbb{C}) \ltimes \mathbb{C}^{n-1}$$

which is diffeomorphic to  $\text{SL}(n-1, \mathbb{C}) \times \mathbb{C}^{n-1}$ , so is homotopic to  $\text{SL}(n-1, \mathbb{C})$ . Thus by induction with the Lemma 4,  $\text{SL}(n, \mathbb{C})$  is simply connected for any  $n \geq 2$ .  $\square$

What is a 6-dimensional  $\mathbb{C}$ -vector space that  $\text{SL}(4, \mathbb{C})$  can act on?  $\text{SL}(4, \mathbb{C})$  naturally acts on  $\mathbb{C}^4$ , and this induces an action on  $\wedge^2 \mathbb{C}^4$ , which has a dimension  $\binom{4}{2} = 6$ . The action is defined as

$$g(v_1 \wedge v_2) = gv_1 \wedge gv_2, \quad g \in \text{SL}(4, \mathbb{C}), \quad v_1, v_2 \in \mathbb{C}^4.$$

It is easy to prove that this action has determinant 1. Recall that for any finite  $d$ -dimensional  $\mathbb{C}$ -vector space  $V$ , we have the determinant map  $\det : \text{GL}(V) \rightarrow \mathbb{C}^\times$  defined as  $g \mapsto \det(g) = \wedge^d g$ , where  $\wedge^d g$  is the induced map on  $\wedge^d V$  which is isomorphic to  $\mathbb{C}$ . Now we define  $\text{SL}(V) = \ker(\det)$ . In our case, for  $g \in \text{SL}(4, \mathbb{C}) = \text{SL}(\mathbb{C}^4)$ , we have to check that  $\wedge^2 g \in \text{SL}(\wedge^2 \mathbb{C}^4)$ , which trivially follows from  $\wedge^4 g \in \ker(\det : \text{GL}(\wedge^4 \mathbb{C}^4) \rightarrow \mathbb{C}^\times)$ : then  $\wedge^4 g$  acts on  $\wedge^4 \mathbb{C}^4$  trivially, and then  $\wedge^6(\wedge^2 g) = \wedge^3(\wedge^4 g)$  also acts on  $\wedge^6(\wedge^2 \mathbb{C}^4)$  trivially.

For a bilinear pairing on  $\wedge^2 \mathbb{C}^4$ , define it as

$$\langle v_1 \wedge v_2, w_1 \wedge w_2 \rangle = v_1 \wedge v_2 \wedge w_1 \wedge w_2 \in \wedge^4 \mathbb{C}^4 \simeq \mathbb{C}, \quad v_1, v_2, w_1, w_2 \in \mathbb{C}^4$$

This is a symmetric pairing on  $\wedge^2 \mathbb{C}^4$  since  $(13)(24) \in S_4$  is an even permutation. Actually, this pairing can be considered as a determinant of the matrix with column vectors  $v_1, v_2, w_1, w_2$ , and linearly extends to  $\wedge^2 \mathbb{C}^4$ . One can check that this is a nondegenerate pairing on  $\wedge^2 \mathbb{C}^4$ , so we get a map

$$\phi : \text{SL}(4, \mathbb{C}) \rightarrow \text{SO}(Q, \wedge^2 \mathbb{C}^4) \simeq \text{SO}(6, \mathbb{C}).$$

where the nondegenerate quadratic form  $Q$  on  $\wedge^2 \mathbb{C}^4$  corresponds to the above pairing is

$$Q(v) = \langle v, v \rangle = 2(a_{12}a_{34} - a_{13}a_{24} + a_{14}a_{23}), \quad v = \sum_{i < j} a_{ij}(e_i \wedge e_j).$$

To show that the kernel of  $\phi$  is  $\langle -I \rangle$ , assume that  $g = (b_{ij})_{1 \leq i, j \leq 4} \in SL(4, \mathbb{C})$  trivially acts on  $\wedge^2 \mathbb{C}^4$ . From  $ge_1 \wedge ge_2 = e_1 \wedge e_2$ , we get, for example, the following equations:

$$\begin{aligned} b_{11}b_{22} - b_{21}b_{12} &= 1 \\ b_{11}b_{32} - b_{31}b_{12} &= 0 \\ b_{21}b_{32} - b_{31}b_{22} &= 0 \end{aligned}$$

by comparing coefficients of each basis elements  $e_i \wedge e_j$  ( $i < j$ ). Then

$$b_{31} = b_{31}b_{11}b_{22} - b_{31}b_{21}b_{12} = b_{21}b_{32}b_{11} - b_{11}b_{21}b_{32} = 0.$$

By the similar way, we can prove that all off-diagonal entries of  $g$  are zero, and  $b_{ii}b_{jj} = 1$  for all  $i < j$  gives  $b_{11} = b_{22} = b_{33} = b_{44} = \pm 1$ .

## 4 $\text{Spin}(5, \mathbb{C}) \simeq \text{Sp}(4, \mathbb{C})$

This is the most difficult one among 3, 4, 5 and 6, since we need to consider symplectic structure. The *standard symplectic form* on  $\mathbb{C}^4$  is a bilinear map  $\omega : \mathbb{C}^4 \times \mathbb{C}^4 \rightarrow \mathbb{C}$  which is anti-symmetric and nondegenerate. More explicitly, it is defined as

$$\omega(v_1, v_2) := v_1^T J v_2, \quad J = \begin{pmatrix} O & I_2 \\ -I_2 & O \end{pmatrix}, \quad v_1, v_2 \in \mathbb{C}^4.$$

Since  $\omega$  is anti-symmetric, we have an induced map  $\omega : \wedge^2 \mathbb{C}^4 \rightarrow \mathbb{C}$ . The standard action of  $\text{Sp}(4, \mathbb{C})$  on  $\mathbb{C}^4$  induces the action on the space  $\wedge^2 \mathbb{C}^4$  as before, and its dual action on  $V' = \text{Hom}_{\mathbb{C}}(\wedge^2 \mathbb{C}^4, \mathbb{C})$  defined as

$$(g \cdot f)(v_1 \wedge v_2) = f(g^{-1}v_1 \wedge g^{-1}v_2), \quad f : \wedge^2 \mathbb{C}^4 \rightarrow \mathbb{C}.$$

We can easily check that  $\omega$  is fixed by the action (in some sense,  $\text{Sp}(4, \mathbb{C})$  is *defined* to be the group that fixes  $\omega$ ), and in fact, it is a unique such element in  $V'$  up to constant multiplication. This would be the heart of the our following proof.

**Theorem 4.**

$$\text{PSp}(4, \mathbb{C}) = \text{Sp}(4, \mathbb{C}) / \langle -I \rangle \simeq \text{SO}(5, \mathbb{C})$$

**Corollary 4.**

$$\text{Spin}(5, \mathbb{C}) \simeq \text{Sp}(4, \mathbb{C}).$$

*Proof.* It is enough to show that  $\text{Sp}(4, \mathbb{C})$  is simply connected. We use the argument of Eric Wofsey in [2]. Consider the standard action of  $\text{Sp}(4, \mathbb{C})$  on  $\mathbb{C}^4 \setminus \{0\}$ . This action is transitive: choose any nonzero vector  $v = (a_{11}, a_{21}, c_{11}, c_{21})^T \in \mathbb{C}^4$ . Note that the matrix  $g = \begin{pmatrix} A & B \\ C & D \end{pmatrix}$  is in  $\text{Sp}(4, \mathbb{C})$  if and only if

$$A^T C = C^T A, \quad B^T D = D^T B, \quad A^T D - C^T B = I.$$

Assume that  $(a_{11}, a_{21}) \neq (0, 0)$ . Then we can find  $a_{21}, a_{22} \in \mathbb{C}$  s.t.  $a_{11}a_{22} - a_{12}a_{21} \neq 0$ . Then we can also find  $c_{12}, c_{22} \in \mathbb{C}$  s.t.

$$a_{11}c_{12} + a_{21}c_{22} = a_{12}c_{11} + a_{22}c_{21},$$

which implies  $A^T C = C^T A$  for  $A = \begin{pmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{pmatrix}$  and  $C = \begin{pmatrix} c_{11} & c_{12} \\ c_{21} & c_{22} \end{pmatrix}$ . Now take  $D = A^{-T} = (A^{-1})^T$  and  $B = O$ , then we have  $\begin{pmatrix} A & B \\ C & D \end{pmatrix} \in \text{Sp}(4, \mathbb{C})$ . If  $(a_{11}, a_{21}) = (0, 0)$ , we have  $(c_{11}, c_{21}) \neq (0, 0)$  and do the similar thing with  $D = O$ .

Now consider the diagonal action of  $\text{Sp}(4, \mathbb{C})$  on  $(\mathbb{C}^4 \setminus \{0\}) \times (\mathbb{C}^4 \setminus \{0\})$ . We will figure out what is the orbit and the stabilizer of the element  $(e_1, e_3) \in (\mathbb{C}^4 \setminus \{0\}) \times (\mathbb{C}^4 \setminus \{0\})$ . First, assume that  $g \in \text{Sp}(4, \mathbb{C})$  fixes  $e_1$  and  $e_3$ . Since  $\omega$  is preserved under the action,  $g$  must also fix their orthogonal complement with respect to the symplectic form, which is  $\mathbb{C}e_2 \oplus \mathbb{C}e_4$ . So we can see that the stabilizer group of  $(e_1, e_3)$  is isomorphic to  $\text{Sp}(2, \mathbb{C}) = \text{SL}(2, \mathbb{C})$ , which is simply connected.

For the orbit of  $(e_1, e_3)$ , we just saw that  $\text{Sp}(4, \mathbb{C})$  acts on  $\mathbb{C}^4 \setminus \{0\}$  transitively, so we can map  $e_1$  to the any vector in  $\mathbb{C}^4 \setminus \{0\}$ . Once we choose the image of  $e_1$ , then  $e_3$  may goes to some vector that lies on the affine space

$$S = \{v \in \mathbb{C}^4 \setminus \{0\} : \omega(ge_1, v) = \omega(e_1, e_3) = 1\}$$

which is just  $\mathbb{C}^3$  topologically. Hence our orbit space is a fiber bundle over  $\mathbb{C}^4 \setminus \{0\}$  with fiber  $\mathbb{C}^3$ . Since both  $\mathbb{C}^4 \setminus \{0\}$  and  $\mathbb{C}^3$  are simply connected, the orbit space should be simply connected, too.

So both stabilizer and the orbit of  $(e_1, e_3)$  are simply connected, and so  $\text{Sp}(4, \mathbb{C})$  too by the Lemma 4.  $\square$

Now consider the non-degenerate bilinear paring on  $\wedge^2 \mathbb{C}^4$ , defined as

$$\langle v_1 \wedge v_2, v_3 \wedge v_4 \rangle = v_1 \wedge v_2 \wedge v_3 \wedge v_4 \in \wedge^4 \mathbb{C}^4 \simeq \mathbb{C}, \quad v_i \in \mathbb{C}^4 \text{ for } 1 \leq i \leq 4.$$

Then we have an isomorphism

$$\wedge^2 \mathbb{C}^4 \simeq \text{Hom}_{\mathbb{C}}(\wedge^2 \mathbb{C}^4, \mathbb{C}), \quad v_1 \wedge v_2 \mapsto \langle v_1 \wedge v_2, - \rangle$$

which is a  $\text{Sp}(4, \mathbb{C})$ -equivariant isomorphism, from the fact that  $\text{Sp}(4, \mathbb{C}) \subseteq \text{SL}(4, \mathbb{C})$ . (This was proven in the previous section.) Hence there exists a nonzero vector  $v_\omega$  in  $\wedge^2 \mathbb{C}^4$  which is fixed by the action that corresponds to  $\omega$ , and we can compute it explicitly -  $\{e_i \wedge e_j\}_{1 \leq i < j \leq 4}$  is a basis of  $\wedge^2 \mathbb{C}^4$ , and if we write dual basis of  $e_i \wedge e_j$  as  $(e_i \wedge e_j)^*$ , then  $\omega = (e_1 \wedge e_3)^* + (e_2 \wedge e_4)^*$  and the corresponding element that fixed by  $\text{Sp}(4, \mathbb{C})$ -action is

$$v_\omega = -e_1 \wedge e_3 - e_2 \wedge e_4.$$

So we have an induced action on the 5-dimensional vector space  $V = \wedge^2 \mathbb{C}^4 / \langle v_\omega \rangle$ , which is the desired space that  $\text{Sp}(4, \mathbb{C})$  acts on. Now define a bilinear paring on  $V$  as

$$\langle v_1 \wedge v_2, v_3 \wedge v_4 \rangle_V := \omega(v_1 \wedge v_3)\omega(v_2 \wedge v_4) - \omega(v_1 \wedge v_4)\omega(v_2 \wedge v_3).$$



We can check that this is a well-defined on  $V$  by checking that  $\langle v_\omega, e_i \wedge e_j \rangle_V = 0$  for any  $1 \leq i < j \leq 4$ . Also, this is a  $\mathrm{Sp}(4, \mathbb{C})$ -invariant bilinear paring since  $\omega$  does. By the Lemma 5 and the previous section, the action on  $V$  has determinant 1, hence the image of the representation  $\mathrm{Sp}(4, \mathbb{C}) \hookrightarrow \mathrm{GL}(V)$  lies in  $\mathrm{SO}(5, \mathbb{C})$ .

To prove that the kernel of the map is  $\langle -I \rangle$ , assume that  $g \in \mathrm{Sp}(4, \mathbb{C})$  is in the kernel, so that  $gv_1 \wedge gv_2 = v_1 \wedge v_2$  for all  $v_1 \wedge v_2 \in V$ . This means that  $gv_1 \wedge gv_2 = v_1 \wedge v_2 + \lambda v_\omega$  for some  $\lambda \in \mathbb{C}$ . Now define  $\lambda_{ij} \in \mathbb{C}$  as  $ge_i \wedge ge_j = e_i \wedge e_j + \lambda_{ij}(e_1 \wedge e_3 + e_2 \wedge e_4)$ . Let  $g = (b_{ij})_{1 \leq i, j \leq 4}$ . For  $(i, j) = (1, 3)$ , we get the following equations

$$\begin{aligned} b_{11}b_{23} - b_{21}b_{13} &= 0 \\ b_{11}b_{33} - b_{13}b_{31} &= \lambda_{13} + 1 \\ b_{11}b_{43} - b_{41}b_{13} &= 0 \\ b_{21}b_{33} - b_{31}b_{23} &= 0 \\ b_{21}b_{43} - b_{41}b_{23} &= \lambda_{13} \\ b_{31}b_{43} - b_{41}b_{33} &= 0 \end{aligned}$$

and by using the same trick as before, we get

$$\begin{aligned} (\lambda_{13} + 1)(b_{21}, b_{23}, b_{41}, b_{43}) &= (0, 0, 0, 0) \\ \lambda_{13}(b_{11}, b_{13}, b_{31}, b_{33}) &= (0, 0, 0, 0). \end{aligned}$$

Now we can prove that  $\lambda_{13} = 0$  - if not, we must have  $b_{11} = b_{31} = b_{13} = b_{33} = 0$ , and this gives a contradiction when we do the similar computation for  $(i, j) = (1, 2)$  and  $(i, j) = (1, 4)$ . (I'm not going to write down all the equations since margin is too small to contain.) Hence we must have  $\lambda_{13} = 0$  and  $b_{21} = b_{23} = b_{41} = b_{43} = 0$ . Similar argument shows that the off-diagonal elements should be all zero, and the diagonal entries should satisfy  $b_{ii}b_{jj} = 1$ , which implies  $b_{11} = b_{22} = b_{33} = b_{44} = \pm 1$ .

## 4.1 Appendix

**Lemma 3.** *Let  $G$  be a Lie group and  $H$  be a closed Lie subgroup. If both  $H$  and  $G/H$  are connected, then  $G$  is also connected.*

*Proof.* Assume that  $G$  is not connected. Then there exists a proper clopen subset  $U$  of  $G$ . Since  $H$  is connected,  $U$  is a union of some cosets of  $H$ , and then  $U/H$  is a proper clopen subset of  $G/H$ , which contradicts to the connectedness of  $G/H$ .  $\square$

**Lemma 4.** *Let  $G$  be a connected Lie group and  $H$  be a closed Lie subgroup. If both  $H$  and  $G/H$  are simply connected, then  $G$  is also simply connected.*

*Proof.* The canonical projection  $G \rightarrow G/H$  is an  $H$ -fibration, so we obtain a long exact sequence of homotopy groups

$$\cdots \rightarrow \pi_2(G/H) \rightarrow \pi_1(H) \rightarrow \pi_1(G) \rightarrow \pi_1(G/H) \rightarrow \pi_0(H) \rightarrow \cdots$$

Since both  $\pi_1(H)$  and  $\pi_1(G/H)$  are trivial, so is  $\pi_1(G)$ .  $\square$

**Lemma 5.** *Let  $V$  be a  $d$ -dimensional  $\mathbb{C}$ -vector space and  $\phi : V \rightarrow V$  be the invertible linear map, i.e.  $\phi \in \text{GL}(V)$ . Assume that there exists a nonzero vector  $v_0 \in V$  which is fixed by  $\phi$ . If  $\phi \in \text{SL}(V)$ , then the induced map  $\bar{\phi} : V/\langle v_0 \rangle \rightarrow V/\langle v_0 \rangle$  also satisfies  $\bar{\phi} \in \text{SL}(V/\langle v_0 \rangle)$ .*

*Proof.* Consider a basis  $\mathcal{B} = \{v_0, v_1, \dots, v_{d-1}\}$  which contains  $v_0 \neq 0$ . Then  $\bar{\mathcal{B}} = \{\bar{v}_1, \dots, \bar{v}_{d-1}\}$  is a basis of  $V/\langle v_0 \rangle$ . Since  $\phi \in \text{SL}(V)$ , it acts on  $\wedge^d V$  trivially, i.e.

$$\phi(v_0) \wedge \phi(v_1) \wedge \dots \wedge \phi(v_{d-1}) = v_0 \wedge v_1 \wedge \dots \wedge v_{d-1}.$$

Since  $\phi(v_0) = v_0$ , we have

$$v_0 \wedge (\phi(v_1) \wedge \dots \wedge \phi(v_{d-1}) - v_1 \wedge \dots \wedge v_{d-1}) = 0,$$

which implies that

$$\phi(v_1) \wedge \dots \wedge \phi(v_{d-1}) - v_1 \wedge \dots \wedge v_{d-1} = \sum_{i=1}^{d-1} c_i (v_1 \wedge \dots \wedge \widehat{v_i} \wedge v_{d-1})$$

for some  $c_1, \dots, c_{d-1} \in \mathbb{C}$ . Since the image of RHS in  $\wedge^{d-1}(V/\langle v_0 \rangle)$  is 0, we get Now we have to show that  $\bar{\phi}(\bar{v}_1) \wedge \dots \wedge \bar{\phi}(\bar{v}_{d-1}) = \bar{v}_1 \wedge \dots \wedge \bar{v}_{d-1}$  and so  $\bar{\phi} \in \text{SL}(V/\langle v_0 \rangle)$ .  $\square$

## References

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- [2] Eric Wofsey, Answer to the MSE question " $\text{Sp}(4, \mathbb{C})$  is simply connected", <https://math.stackexchange.com/a/2931022/350772>.