Seewoo Lee Research Statement

My research centers on number theory, particularly delving into the realms of automorphic forms and the Langlands program, leveraging computational tools to enhance exploration and understanding.

(Relative) Langlands program

Introduced by Robert Langlands, the *Langlands Program* constitutes a comprehensive unification theory in number theory and beyond, seeking to establish connections between two seemingly disparate mathematical domains: *Galois Representations* and *Automorphic Forms*.

In 1846, Evariste Galois delved into the zeros of polynomial equations by examining their symmetries, specifically the Galois groups. These groups are a fundamental and crucial tool for the study of Diophantine equations. For example, after the discovery of the formulas for cubic and quartic equations by Cardano, it took about 300 more years for Abel and Ruffini to provide a negative answer to the question of the solvability of general polynomial equations of degree at least 5, using Galois theory. One ultimate goal for number theorists is to understand the structure of the absolute Galois group $Gal_{\mathbb{Q}}$, which encodes all possible symmetries for polynomials with rational coefficients. Galois representations propose a way to explore the group through the lens of linear algebra.

On the other side of the mathematical spectrum are *automorphic forms* - special functions exhibiting profound internal symmetries. Take *modular forms*, for instance, which are automorphic forms defined on the space of two by two matrices with specific symmetries. They not only encode intricate arithmetic information through *Fourier coefficients* but also play a role in deriving non-trivial formulas in number theory, including the Lagrange's four squares theorem.

The crux of the Langlands program lies in its conjecture that Galois representations and automorphic forms share a profound connection, mediated by entities known as L-functions. Evidence of this conjecture can be discovered in Wiles's proof of Fermat's Last Theorem, where the existence of a non-trivial integer solution necessitated the concurrent construction of a Galois representation and an associated modular form with special properties, ultimately making them impossible to exist.

My research delves into the rich world of automorphic forms within the Langlands program, focusing particularly on the Langlands functorialities — the relationships between automorphic forms defined on different spaces. Known results for specific pairs of spaces have already yielded significant theorems in number theory, such as the Sato-Tate conjectures [27, 4] or generalized Ramanujan conjectures [46] on the Fourier coefficients of automorphic forms.

(Something on BZSV)

Ichino-Ikeda formula for general spin groups (Bessel case)

For example, the Gan–Gross–Prasad conjecture [23] proposes an answer to the restriction problem - restricting an automorphic representation on a group to a subgroup - in terms of non-vanishing of the special values of the associated L-functions. Ichino and Ikeda presented a refined version of the conjecture, an equation directly relates period integrals and L-values, and proved certain cases [29]. Building upon the groundwork laid by Liu [41] on the special orthogonal groups (SO₂ × SO₅ and SO₃ × SO₆) and drawing insights from Emory's work [20] on general spin groups (GSpin_n × GSpin_{n+1} for n = 2, 3, 4), I am working on the Ichino–Ikeda conjecture for general spin groups, particularly in cases involving general Bessel periods. Furthermore, I'm trying to generalize Furusawa and Morimoto's work on the SO₂ × SO_{2n+1} case and Böcher's conjecture [22] in this direction. My approach involves leveraging exceptional isomorphisms between low-rank general spin groups and other classical groups and reducing the conjecture to the already known cases.

Mao-Rallis trace formula for dual pairs

I'm also working on the conjectural Ichino-Ikeda type formula for the dual pairs introduced by Mao and Rallis [42]. For a simple, split, simply laced groups G' which is not of the type A_n , Mao and Rallis construct a dual pair (SL_2 , G) in G', using Heisenberg groups of G', which includes the case of (SL_2, SL_6) in E_6 . They proposed conjectural relative trace formulae for the dual pairs, which should relate the period integrals of automorphic forms on G (resp. SL_2) and special L-values associated to the automorphic representations of SL_2 (resp. G). The authors proved fundamental lemma for the unit elements in the Hecke algebras of Gand SL₂. Recently, Mao-Wan-Zhang [43] formulated the refined version of the conjecture (Ichino-Ikeda type formula) in context of the relative Langlands program [5], and proved smooth transfer of the local functions for non-archimedean places. With Yuchan Lee, we are working completing the comparision of the relative trace formula for the (SL_2, SL_6) case, by proving the fundamental lemma for the full Hecke algebras, along with the smooth transfer at the archimedean places and spectral decomposition of the relative traces. Combined with the result of Lapid-Mao [33] on Whittaker-Fourier coefficients, this would prove one direction of the non-refined version of the conjecture: if Π is a cuspidal automorphic representation of SL_2 and π is a functorial lift of Π to G through the morphism between L-groups $PGL_2 \to {}^LG$, then the Mao-Rallis automorphic period is nonzero if the adjoint L-value $L(1,\Pi,\mathrm{Ad})$ of Π is nonzero. We also expect to prove the refined formula by proving the local relative character identities, and combine with refined identity of Lapid-Mao for SL₂.

Computational Approach in Number Theory

In the realm of the Langlands Program, dealing with abstract objects like Galois representations and automorphic forms often benefits from grounding these concepts in tangible, computable counterparts. Especialy, these "classical" objects (e.g. modular forms and Maass

wave forms, instead of automorphic representations of $GL_2(\mathbb{A}_{\mathbb{Q}})$) are usually easy to compute explicitly with help of computer algebra systems like SageMath [49] or MATLAB [30].

Modular forms and optimal sphere packings

Optimal sphere packing problem asks the densest packing of d-dimensional space \mathbb{R}^d with unit balls. The problem is trivial for d=1, and d=2 case is solved by Thue in 1890. The three-dimensional case, known as Kepler's conjecture, was resolved by Thomas Hales based on heavy computer calculations [26]. It took nearly a decade to be formally checked via computer proof assistants HOL Light and Isabelle [25].

A surprising bridge emerges between the 8 and 24-dimensional sphere packing problem and number theory. Cohn and Elikies introduced the *linear programming bound* [14], which suggests that identifying specific "magic functions" holds the key to optimal sphere packing in these dimensions. However, constructing these functions, requiring control over both the function and its Fourier transform, is challenging due to the uncertainty principle. Maryna Viazovska used modular forms from number theory to construct a magic function for dimension 8 [50], and the 24-dimensional case was soon resolved using similar methods [15].

To prove these two cases, the authors [50, 15] relied on numerical approximations and extensive computer assisted computations to establish desired inequalities between modular forms. However, it is natural to ask if there is a more general and conceptual proof for these inequalities. While a more straightforward proof exists for the case of dimension 8 by Dan Romik [45], I found algebraic proofs for both of the dimensions 8 and 24 cases that circumvents reliance on numerical calculations or approximations [37]. Notably, I develop a theory of positive and completely positive quasimodular forms, and use the theory to study the magic modular forms appear in the optimal sphere packing. I also found an interesting connection with Kaneko and Koike's extremal quasimodular forms [32], which are conjectured to have nonnegative Fourier coefficients. The main inegredients are the differential equations satisfied by the modular forms. This also opens a new possibility to generalize Viazovska's construction to the dimensions other than 8 and 24, based on the construction of Fourier eigenfunctions by Feigenbaum, Grabner, and Hardin [21]. Especially, it would imply a new upper bound for the uncertainty principle [6] in specific dimensions. Also, as a byproduct, I proved Kaneko-Koike's conjecture on the positivity of Fourier coefficients of extremal forms in the case of depth 1.

The above proofs are hinted a lot from extensive experiments with SageMath. Especially, when I saw the plot of the quotient of two modular forms (Figure 1), it becomes clear that what I should try to prove (monotonicity and limit as $t \to 0^+$), where both out to be true (Proposition 5.1 and 5.2 of [37]).

Maass wave forms, Quantum modular forms, and Hecke operators

In [13], Cohen construct the first explicit example of mass wave form, based on one of the Ramanujan's q-series. Its coefficients are certain Hecke character of the real quadratic field $\mathbb{Q}(\sqrt{6})$, and Cohen conjectured that the Mass wave form is an eigenform for suitable Hecke

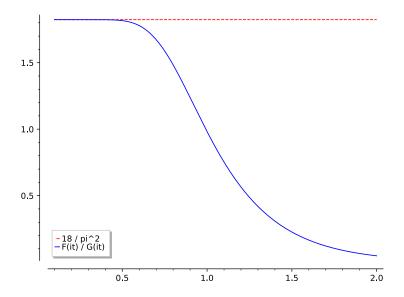


Figure 1: Graph of the quotient F(it)/G(it) of two modular forms as a function in t>0.

operators. However, the usual Hecke operator is not the right candidate since the multiplier system (Nebentypus) of Cohn's Maass wave form does not come from Dirichlet characters. In my undergraduate and master's thesis, I propose the correct definition of Hecke operator that works for more general multiplier systems, including Cohn's Maass wave form, and prove that the Maass wave form is indeed eigenform under the operators [35, 36]. Also, one can associate quantum modular forms to the Maass wave form as a period integral (following [39, 52]), and I proved that this map is Hecke-equivariant. As a corollary, this gives a nontrivial identities on ceratin p-th root of unities and p-th coefficient of the Maass wave form for primes p. Same argument also worked for Li–Ngo–Rhoades' Maass wave form [40].

Other Projects

My interest is not restricted to number theory. I'm interested in various subjects, including

- formalization of mathematics,
- discrete geometry,
- homomorphic encryption.

Formalization of polynomial FLT and sphere packing in \mathbb{R}^8

Formal verification of mathematical proofs is a rapidly growing field, with the aim of ensuring the correctness of mathematical results. A lot of the mathematical objects and proofs are formalized, including the Hales' proof of Kepler's conjecture [25], Scheme [9], Perfectoid space [8], and Gowers-Green-Manners-Tao's proof of the polynomial Frieman-Rusza conjecture [24, 48].

There's also an ongoing project to formalize the proof of Fermat's Last theorem in Lean 4 [7], lead by Kevin Buzzard. Since the proof of Fermat's Last Theorem is very complicated and requires a lot of advanced mathematics that are not in Lean's mathlib4 library [16], we expect that the complete formalization would take more than 10 years. However, the polynomial version of the Fermat's Last Theorem is much easier to prove, and in fact we have a more general result called the Mason–Stothers theorem [47, 44], which is an analogue of ABC conjecture for polynomials.

With Jineon Baek, we give a complete formalization of the Mason–Stothers theorem in Lean 4 [3]. In fact, the theorem is already formalized in HOL by Eberl [19] and in Lean 3 by Wagemaker [51]. We gave extensive comparison between those previous formalizations and ours [3, Section 7], and we also in the process of integrating our formalization of the Mason–Stothers theorem to the mathlib4 library. The code is available at

https://github.com/seewoo5/lean-poly-abc

Also, I'm working on a project formalizing the Viazovska's [50] proof of the optimal sphere packing in \mathbb{R}^8 in Lean 4, with several people including Sidharth Hariharan (project leader), Chris Birkbeck, Gareth Ma and Maryna Viazovska. This may includes the formalization of my algebraic proof of the modular form inequalities [37], which help us to completely avoid formalizing various numerical analysis and the Hardy–Ramanujan formula. Currently, we completed to formalize the E_8 lattice and its density, and now we are on the stage of formalzing Cohn–Elkies bound and the basic theory of (quasi)modular forms.

Conway-Soifer conjecture - homothetic case

Consider an equilateral triangle of side length $n + \varepsilon$ for an integer $n \ge 1$ and a sufficiently small $\varepsilon > 0$. What is the minimum number of unit equilateral triangles needed to cover the whole triangle? It is easy to see that at least $n^2 + 1$ triangles are required, by considering area. Conway and Soifer give two different ways to cover the large triangle with $n^2 + 2$ unit triangles [17], and conjectured that this is the minimum number of triangles required.

With Jineon Baek, we proved that the conjecture is true if we restrict our attention to homothetic triangles, i.e. assuming all the sides of the unit equilateral triangles are parallel to the large triangle (\triangle or ∇) [2]. In fact, we proved the following general statement.

Theorem (Baek–L.). Call a triangle a horizontal triangle of base b and height h, if it has a side of length b parallel to the x-axis, and the height h measured in the direction of y-axis. Then $n^2 + 1$ horizontal triangles of base b and height h cannot cover a horizontal triangle of base nb and height > nh.

The proof is elementary, and we also determined the largest possible ε such that an equilateral triangle of side length $n + \varepsilon$ can be covered by $n^2 + 2$ or $n^2 + 3$ homothetic unit

equilateral triangles ($\varepsilon = 1/(n+1)$ and $\varepsilon = 1/n$, respectively). We expect that our method can be generalized to higher dimensions, or to find the largest side length of an equilateral triangle that can be covered by $n^2 + k$ homothetic unit equilateral triangles for $1 \le k \le 2n$.

Encrypted transfer learning with homomorphic encryption

While I was working at CryptoLab as a Research Engineer during my alternative military service, I developed privacy-preserving machine learning library called HEaaN.SDK [1] based on Cheon–Kim–Kim–Song (CKKS) homomorphic encryption (HE) scheme [10]. In theory, one can compute arbitrary arithmetic circuit over encrypted real and complex numbers (with small errors) using CKKS scheme, and one might think implementing machine learning algorithms with HE is not hard. However, encrypted computations over ciphertexts are much slower than over plaintexts, and naive implementations could be highly impractical. Hence we need to re-design the algorithm in HE-friendly way, which is usually a nontrivial research problem. In particular, I found that there were no HE-based training algorithms for multiclass classification tasks at the moment, and most of the previous works are only applicable for binary classifications with small number of features.

To implement such an HE-based multiclass classification algorithm, we need 1) efficient encrypted softmax computation with large input, and 2) efficient large encrypted matrix multiplication. Both problems were resolved in HETAL (efficient Homomorphic Encryption based Transfer Learning) [38]. For the softmax computation, we found that homomorphic comparison [11] can be used to normalize inputs (subtract maximum value), then homomorphic domain extension [12] let us to cover wider range of inputs with much smaller errors, compared to the previous works [31, 34, 28]. To perform efficient encrypted matrix multiplications, we implement two types of multiplications AB^{\dagger} and $A^{\dagger}B$ separately, which allow us to avoid transpose operation. Tiling and complex packing techniques are used to reduce the number of rotations required substantially, which result matrix multiplication algorithms that are 1.8 to 323 times faster than the previous algorithms [18, 31]. As a result, we were able to fine-tune commonly used vision and language models within an hour with a single A40 GPU on five benchmark datasets, which shows HE-based encrypted fine-tuning is indeed practical.

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