Binocular combination in the autonomic nervous system

1 Abstract

Pupil diameters are regulated by the autonomic nervous system, which combines light signals across the eyes independently of the visual cortex. Distinct classes of retinal photoreceptor are involved in this process, with cones and rods driving the initial constriction and intrinsically photosensitive retinal ganglion cells maintaining diameter over prolonged time periods. We investigated binocular combination by targeting different photoreceptor pathways using a novel binocular multiprimary system to modulate the input spectra via silent substitution. At the first harmonic of the modulation frequency, light flux and S-cone responses showed strong binocular facilitation, and weak interocular suppression. Melanopsin responses were invariant to the number 10 of eyes stimulated. Notably, the L-M pathway involved binocular inhibition, whereby responses to binocular 11 stimulation were weaker than for monocular stimulation. The second harmonic involved strong interocular 12 suppression in all pathways, but with some evidence of binocular facilitation. Our results are consistent with 13 a computational model of binocular signal combination (implemented in a Bayesian hierarchical framework), 14 in which the weight of interocular suppression differs across pathways. We also find pathway differences in 15 response phase, consistent with different lag times for phototransduction. This work demonstrates for the 16 first time the algorithm governing binocular combination in the autonomic nervous system. 17

$_{*}$ 2 Introduction

The autonomic nervous system regulates many involuntary bodily processes, including the constriction and 19 dilation of the pupils in response to light [1]. The anatomical pathway from the retina to the subcortical nuclei 20 controlling the pupillary light response (PLR) is well established: it includes the Pretectal Olivary nucleus 21 (PON), the Superior Cervical ganglion and the Edinger-Westphal nucleus, which project to the iris sphincter 22 muscles that directly control the pupil size [1]. Evidence of a binocular component to the PLR is shown 23 by the consensual response of the pupil (stimulation of one eye will cause constriction of the other eye [2]). 24 The anatomical segregation of the subcortical pathway from the rest of the brain means that this binocular 25 combination of signals must occur independently of the cortical processes of binocular integration required for visual perception. Recent work [3] has shown that the algorithm underlying binocular combination of light in the pupil pathway differs from that in the cortex. Here we extend this paradigm to compare binocular 28 combination in different photoreceptor pathways that feed into the autonomic nervous system. 29

Different classes of retinal photoreceptors, including cones, rods and melanopsin-containing intrinsically photosensitive retinal ganglion cells (ipRGCs), are directly involved in controlling and maintaining the size 31 of the pupils [4–8]. Cones drive the initial rapid constriction of the pupils [9], while the slower and longer 32 activation of the ipRGCs maintains constriction over a prolonged period of time and regulates the postillumination pupillary response [4,10]. The ipRGCs are a recently discovered photoreceptor class [11] that 34 express the photopigment melanopsin, and are involved in the regulation of the circadian rhythm [12,13], 35 forming a major input to the PON [14]. The first direct evidence of the involvement of the ipRGCs in the PLR was shown in melanopsin knockout rats [15], resulting in the loss of the intrinsic photosensitivity of the cells and a reduced pupil constriction. Similar behaviour was later observed in primates and humans [16] using silent substitution (see Methods), where it was demonstrated that the PLR continues during light presentation even when cone and rod signalling is blocked, indicating the primary role of the ipRGCs in maintaining pupil constriction over a prolonged time.

Binocular combination has been extensively studied in visual perception, where it is mediated by neurons in primary visual cortex [17]. For pattern vision, binocular summation occurs at threshold, such that a lower 43 contrast is required to detect a target shown to both eyes than a target shown to one eye [18,19]. At higher 44 contrasts, the phenomenon of 'ocularity invariance' is observed, in which the response to monocularly- and binocularly-presented patterns is equal [20,21]. This is explained by a process of interocular suppression 46 that cancels out the additional excitatory drive caused by stimulating two eyes. Recent work [3] showed that cortical signal combination for luminance flicker is substantially more linear than for spatial patterns, 48 whereas there is evidence of interocular suppression in the pupil pathway. Here we measure the amplitude of pupil modulations in response to flickering stimuli presented as light flux, or directed towards the L-M 50 cone, S-cone, and melanopsin pathways (see Figure 1e-l). Our key comparisons are between monocular and binocular stimulation, and in a dichoptic masking condition where the two eyes are stimulated at different 52 frequencies. The results are interpreted using a contemporary model of binocular vision [22] implemented 53 within a hierarchical Bayesian framework.

55 3 Materials and methods

$_{56}$ 3.1 Participants

Twenty-four participants were recruited for each of the four experiments for a total of ninety-six adult participants (28 male, 68 female), whose ages ranged from 18 to 41. All participants had normal or corrected to normal vision, no known abnormalities of binocular or colour vision, and gave written informed consent.

Our procedures were approved by the Ethics Committee of the REDACTED FOR DOUBLE ANONYMOUS PEER REVIEW (identification number 184).

62 3.2 Apparatus & stimuli

To present synchronised stimulus modulations independently to each eye, two light engines (SpectraTuneLAB, which are thermally stable across time with active cooling according to the manufacturers: LEDMOTIVE Technologies, LLC, Barcelona, Spain), each with 10 independently addressable LED colour channels, were integrated into a customised binocular viewing system. The light engines were operated via a Python interface to their REST API [23], which supports synchronous launch and playback of spectral sequences prepared in advance and stored in JSON format. A special command was commissioned from LEDMOTIVE to allow two different stimulus files to be launched simultaneously from the two devices. The synchronisation of the spectra from the two devices was tested and showed that they were synchronised to within approximately 3ms.

When preparing the spectral sequences, the age of participants was used to account for the yellowing of the 72 lenses. We used the silent substitution technique [24] to selectively stimulate specific photoreceptor classes. 73 Silent substitution exploits the fact that each photoreceptor class has a distinct spectral tuning that overlaps 74 with the others. Using a multiprimary system, in which the primaries (i.e. LEDs) have different spectra, it 75 is possible to target one class of photoreceptors while maintaining the others at a constant activity level, 76 effectively silencing them [25,26]. We calculated silent substitution solutions using the PySilSub toolbox [27], 77 using linear algebra. The outputs of the two light engines (see Figure 1b,c) were calibrated using an Ocean 78 Optics Jaz spectroradiometer, which was wavelength-calibrated to an Argon lamp and intensity calibrated 79 using a NIST-traceable light source. Each primary was also linearized using a polynomial fit (see Figure S1 80 for details). We used the 10-degree cone fundamentals [28], and estimates of melanopsin absorbance spectra 81 from CIE S 026 [27] to calculate α -opic irradiance. 82

The output from the light engines was directed through liquid light guides (LLG3-8H: Thorlabs Ltd, Cambridgeshire, UK) and diffused onto semi-opaque and highly diffusive white glass discs with a diameter of 50 mm for even illumination (34-473: Edmund Optics, York, UK). The light guide gaskets were butt-coupled to the light engine diffusers with threaded adapters (SM1A9, AD3LLG: Thorlabs Ltd, Cambridgeshire,

UK) and the exiting ends of the light guides were mated with 51 mm depth optical cylinders (SM2L20: Thorlabs Ltd, Cambridgeshire, UK) via appropriately threaded adapters (AD3LLG, SM2A6: Thorlabs Ltd, Cambridgeshire, UK). The stimulus diffuser discs were retained at the front end of the optical cylinders 89 approximately 51 mm from the light source, at which distance the output beam was sufficiently dispersed to afford even illumination of the diffuser when viewed from the front. To guarantee safe illumination levels, a 91 circular neutral-density filter with the same diameter of the white glass discs (50 mm) and an optical density of 0.6 log units was placed in the optical path between the light source and the diffusers. A small circular 93 piece of blackout material with a diameter of approximately 8 degrees (10 mm) was positioned centrally on 94 the front of each diffuser disc to aid as a fusion lock, as a fixation point, and to occlude the fovea. 95

The diffuser discs were positioned in the objective planes of the lenses of a modified VR headset (SHINECON SC-G01, Dongguan Shinecon Industrial Co. Ltd., Guangdong, China), which was used by the participants to 97 view the stimuli. The stimuli were two discs of flickering light with a diameter of approximately 30 degrees, 98 which were fused together into a cyclopean percept resembling a donut-shaped ring of light, similar to that 99 used in other studies [5-7,29,30]. The VR headset modifications allowed for small adjustments to account 100 for individual differences in interpupillary distance and focal length. The use of this set up allowed us to modulate the stimuli in three different ocular configurations, similar to the ones used in a previous study [3]: 102 monocular, binocular and dichoptic. In the monocular configuration, the unstimulated eye still saw a non-103 flickering disc of mean light flux. A schematic of the stimulation system is shown in Figure 1a. Pupillometry 104 data were collected using a binocular Pupil Core eye-tracker headset (Pupil Labs GmbH, Berlin, Germany 105 [31]) running at 120 Hz, and the signals were recorded with the Pupil Capture software. 106

A previous study [3] used a temporal frequency of 2Hz for foveal luminance flicker, and recorded EEG data simultaneously with pupillometry. Initial pilot experiments indicated that this frequency was too high to elicit measurable responses when stimulating individual photoreceptor pathways. For all experiments, we 109 therefore used a primary flicker frequency of 0.5Hz, as previous literature showed that this was slow enough 110 to elicit a pupil response from all photoreceptor classes [7]. We also focussed on only recording pupillometry 111 data as this frequency would be too slow to elicit steady-state EEG responses [32].

107

108

121

122

123

124

126

128

For all experiments, sinusoidal temporal modulations were presented against the same background spectrum 113 (matched between the eyes), which was used to achieve silent substitution in the three photoreceptor mod-114 ulation experiments. The background spectra were defined by setting all channels to half maximum output 115 for the brighter of the two devices (STLab 1, left eye) and then using the STLab 1/STLab 2 calibration 116 ratio to find the equivalent settings for the companion device (STLab 2, right eye). The background spec-117 trum illuminance was approximately 74 lux, or 68.5 cd/m². The spectral power distributions and α -opic 118 irradiances of the background spectra for both eyes are shown in Figure 1c-d. 119

Silent substitution stimuli were prepared and calibrated for each participant with custom Python software [27] 120 and Python scripts. Estimates of photoreceptor spectral sensitivities for each participant were constructed from the known photopigment absorbance spectra [28], taking account of the peak axial density of the respective photopigments, as well as lens [28,33,34] and macular pigment density [34,35], in accordance with the field size and age-dependant CIEPO06 observer model [36]. The melanopic and rhodopic action spectra of the 32-year-old standard observer were taken from CIE S 026 [37] and then adjusted for age-related lens transmittance with a spectral correction function, in line with the standard. Macular pigment correction was not applied to the rhodopic and melanopic action spectra because rods are not present at the fovea and ipRGCs sit above the retinal pigment layer [38].

In the light flux experiment, the stimulus intensity was increased and decreased relative to the background, 129 which we expected to modulate all photoreceptor classes (see Figure 1e,i). In the L-M cone modulation experiment, we used silent substitution to increase the L-cone activity, and simultaneously decrease the 131 M-cone activity, during the first half-cycle of the sine wave. In the second half-cycle the polarity of the modulation reversed (see Figure 1f.j). The maximum available L-M contrast was approximately 10%. In the 133 S-cone modulation experiment, we increased and decreased S-cone-directed signals, whilst keeping activity 134 in the other photoreceptors constant (see Figure 1g,k). Our system allowed a maximum contrast of 45%. 135 Finally, in the melanopsin experiment, we modulated the activity of the melanopsin-containing intrinsically photoreceptive retinal ganglion cells, whilst keeping cone activity constant (see Figure 1h,l). The maximum 137 available melanopsin contrast was 22%. We assume that the activity of rods was constant at the high

background luminance intensity used here, and so did not attempt to silence rod activity in any condition, as 139 this would have greatly reduced the available dynamic range. Splatter on nominally silenced photoreceptors 140 was very small (see Figure 1j-1), well below the levels that would be expected to generate measurable pupil 141 modulations, although we can observe that the rods may not be saturated in the L-M and melanopsindirected stimuli (Figure 1j and 1l) and could intrude in these two experiments. We also estimated activation 143 of penumbral L and M cones [5,29,39], which was minimal (< 1.5 contrast; less than splatter on the open-field cones) for melanopsin-directed stimuli. We note that the temporal frequency of our modulation (0.5Hz) is 145 well below the range where penumbral cone activation can elicit visible percepts, and that such percepts 146 fade after around 1 second [29], and do not typically affect pupil responses [7]. 147

3.3 Procedure 148

149

150

151

152

153

154

155

156

157

158

160

162

163

164

165

167

168

178

Before the start of each experiment, participants adjusted the objective planes of the lenses with the help of the experimenter until the stimulus was in focus and they perceived the two pieces of blackout material as one fused disc. Pupil responses to binocular temporal contrast modulations were examined in a factorial design that combined six ocular conditions and five temporal contrast levels: 6, 12, 24, 48 and 96% of the available dynamic range. This design, similar to that used in previous studies [3,40,41], was applied in four separate experiments, each with a different mode of photoreceptor stimulation. In the first three conditions, the discs flickered at 0.5 Hz, in either a monocular, binocular or dichoptic arrangement. In the dichoptic condition the non-target eye saw a flickering fixed contrast of 48% of the available dynamic range. In the remaining three conditions (the cross-frequency conditions) one eye's disc flickered at 0.4 Hz, and the other eye's disc flickered at 0.5 Hz. This included monocular responses at 0.4 Hz, as well as binocular (one eye sees each frequency at the target contrast) and dichoptic (target stimulus flickering at 0.5 Hz, mask contrast of 48% at 0.4 Hz in the other eye) arrangements. We counterbalanced presentation of the target stimulus across the left and right eyes.

The experiments were conducted in a windowless room, in which the only source of light was the modified VR headset. The participants sat as close as possible to the VR headset, leaving enough space for the eye-tracker to record the eyes. Each experiment was carried out in a single session of around 45-60 minutes, divided into three blocks of 15-17 minutes each. In each block, there were a total of 60 trials lasting 15 seconds each (12s of stimulus presentation, followed by 3s of interstimulus interval). The participants were given no task other than look at the black fixation dot while trying to minimise their blinking during the presentation period. For all experiments other than the light flux condition, participants adapted to the unmodulated background luminance for two minutes before stimulation began. 169

Before the start of the L-M experiment, participants completed a luminance nulling perceptual calibration 170 procedure in L-M cone space on an Iiyama VisionMaster TM Pro 510 display (800 x 600 pixels, 60 Hz refresh 171 rate). During the task, participants were presented with a disc flickering within the L-M cone space (between 172 magenta and cyan). Using a trackball, participants adjusted the angle in cone space to find their subjective 173 isoluminant point, which resulted in changing the flickering intensity of the stimulus until the amplitude of 174 the flicker appeared to be minimised. The result was used to modify the requested contrasts during stimulus 175 preparation so as to account for individual differences affecting perceived illuminance, principally the L:M 176 cone ratio [42,43].

3.4 Data analysis

The pupillometry data were analysed using the same method used in a previous study [3]. The data were 179 converted from mp4 videos to a csv text file using the Pupil Player software [31], which estimated pupil 180 diameter for each eye on each frame using a 3D model of the eyeball. The individual data were then loaded into R for analysis, where a ten-second waveform for each trial in each eye was extracted (excluding the 182 first two seconds after stimulus onset). We interpolated across any dropped or missing frames to ensure 183 regular and continuous sampling over time. The Fourier transform was calculated for each waveform, and all 184 repetitions of each condition were pooled across eye and then averaged. Finally, data were averaged across all participants to obtain the group results. We used coherent averaging and at each stage we excluded data points with a Mahalanobis distance exceeding D=3 from the complex-valued mean [44]. For monocular stimulation, we confirmed that the consensual response was equivalent to the response in the stimulated eye. For all experiments, we used a bootstrapping procedure with 10^4 iterations to estimate standard errors across participants. All analysis and figure construction was conducted using a single R-script, available online, making this study fully computationally reproducible: REDACTED FOR DOUBLE ANONYMOUS PEER REVIEW.

3.5 Computational model and parameter estimation

To quantitatively summarise our data, we used the same model described in a previous study [3]. The model
has the same general form as the first stage of the contrast gain control model proposed by Meese and
colleagues [22], but omits the second stage. For the previous model that was used by Segala and colleagues
[3], the exponent of the numerator and denominator had fixed values of 2 and (implicitly) 1. Here, we allow
these parameters (called p and q) to be free, in order to permit different shapes of contrast response function,
e.g. accelerating or saturating. The responses of the left eye and right eye channels are as follows:

$$resp_L = \frac{L^p}{Z + L^q + \omega R^q},\tag{1}$$

$$resp_R = \frac{R^p}{Z + R^q + \omega L^q},\tag{2}$$

where L and R are the contrast signals from the left and right eyes, p and q are exponents, Z is a saturation constant that shifts the contrast-response function laterally, and ω is the weight of suppression from the other eye.

203 The responses from the two eyes are then summed binocularly:

$$resp_B = R_{max}(resp_L + resp_R) + k, (3)$$

where k is a noise parameter, and R_{max} scales the overall response amplitude.

The models were fit using a hierarchical Bayesian framework implemented in Stan [45]. The data for each photoreceptor type and response frequency was fit separately, for a total of 8 model fits. The prior for the ω parameter was Gaussian, with a mean of 1 and standard deviation of 0.5. Priors for the other free parameters were also Gaussian, with mean values based on previous work [3]. We calculated over 10^6 posterior samples, and retained 10% for plotting.

210 4 Results

Figure 1m-p shows averaged waveforms of pupil diameter in response to binocular stimulation. For light 211 flux stimuli (Figure 1m) a strong modulation is apparent at the stimulation frequency (0.5Hz), which is also 212 clear in the Fourier amplitude spectrum (Figure 1q). For binocular stimulation of the L-M pathway, S-cone 213 pathway and melanopsin pathway, pupil modulations were less than 10% of the amplitude of the light flux 214 modulation (note the change in y-axis scale for the Fourier spectra), but still apparent at 0.5Hz in the Fourier 215 spectra (Figure 1n-p). We also observed a second harmonic response (at 1Hz) for all conditions, which was 216 weaker than the first harmonic for light flux and melanopsin stimulation, but stronger for L-M and S-cone 217 stimulation. The second harmonic is also apparent in the pupil waveforms shown in Figure 1n-p. Our main 218 analysis therefore focuses on the amplitude of the pupil modulations at both the first and second harmonic 219 frequencies across different stimulus conditions.

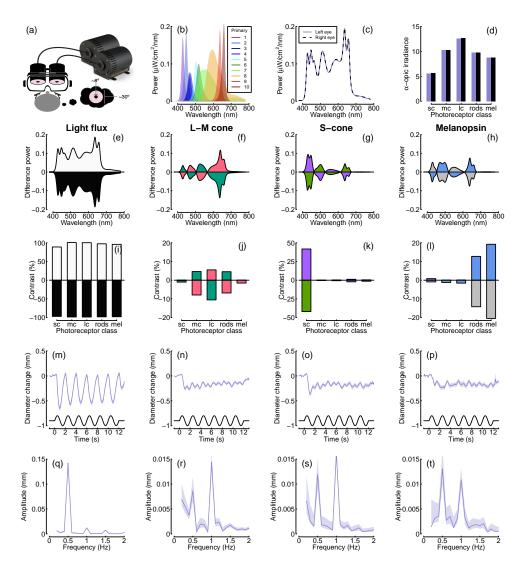


Figure 1: Summary of the spectral power distributions and alpha-opic irradiances for the background and each condition, as well as averaged pupil diameters and Fourier spectra. Panel (a) shows a schematic of the binocular stimulation system for presenting spectrally tuned modulations independently to each eye. The VR headset was attached to a clamp stand that the experimenters could use to adjust the height and align the headset with the eyes of the participant. The participant's head was supported by a chin rest to keep it in position throughout the experiment. Panel (b) shows the outputs of each LED primary at maximum intensity, and panels (c) and (d) show the overall spectral power distributions and the alpha-opic irradiances of the background spectra used for both eyes. The subsequent rows show the power differences (e-h), and photoreceptor contrasts (i-l) relative to the background, averaged pupil diameter waveforms (m-p) and Fourier spectra (q-t) for binocular stimulation. Column headings indicate the pathway stimulated, and shaded regions in panels m-t indicate bootstrapped 95% confidence intervals.

Figure 2a-d shows contrast response functions across stimulation conditions for responses at the first harmonic of the main stimulation frequency (0.5Hz). In each plot, the response to monocular stimulation is given by the red circles and typically increases monotonically as a function of stimulus (temporal) contrast. Relative to monocular stimulation, binocular stimulation led to higher response amplitudes, indicating a binocular facilitation effect, for the light flux and S-cone conditions (Figure 2a,c), and to some extent for the melanopsin condition (Figure 2d). However, the L-M cone condition (Figure 2b) produced a binocular suppression effect, where the response to binocular stimulation was weaker than the response to monocular stimulation (blue squares below red circles). These results indicate that the magnitude of binocular facilitation differs across photoreceptor pathway, suggesting heterogeneity in the underlying neural computation.

In contemporary models of binocular signal combination, the amount of binocular facilitation is determined by the magnitude of interocular suppression, with strong suppression reducing facilitation [46]. We can estimate the strength of interocular suppression by measuring how much monocular responses are reduced when a dichoptic 'mask' is shown to the other eye. In our paradigm, the two components flickered at different frequencies (0.5 and 0.4Hz) so that their responses remained distinct in the Fourier spectrum [47]. The yellow diamond symbols in Figure 2a-d show the target responses in this condition, and in most cases were weaker than the monocular responses (red circles). The strongest dichoptic masking is found in the L-M condition, where we also observed the binocular suppression effect. Suppression can also be estimated from the responses at 0.4Hz (Figure 2f-i). The reduced amplitude in the binocular cross condition (where the two eyes received different temporal frequencies; purple squares) relative to the 0.4Hz monocular condition (grey circles), and the progressive decline in amplitude of the dichoptic cross response (yellow diamonds) also differ across photoreceptor conditions, showing similar differences to those observed at 0.5Hz.

To estimate the extent of interocular suppression for each photoreceptor pathway, we fitted each data set using a Bayesian hierarchical implementation of a simple binocular combination model [3,22]. Our primary objective was to compare posterior distributions of the weight of interocular suppression, which are shown in Figure 2e. Consistent with our earlier observations, the strongest suppressive weight corresponds to the L-M and Melanopsin conditions, and the weakest suppression corresponds to the light flux and S-cone conditions, with virtually no overlap between the posterior distributions for weak and strong suppression. The model fits were of good quality, as shown by the curves in Figure 2a-d,f-i. The fitted model parameters are given in Table 1.

Table 1: Summary of maximum a posteriori (MAP) parameter estimates for each data set.

Experiment	Z	k	w	p	q	Rmax
Light flux 1F	95.77	0.00083	0.37	1.32	1.24	0.0905
L-M cone 1F	49.82	0.00109	1.71	1.50	1.21	0.0032
S cone 1F	63.00	0.00121	0.39	1.94	1.79	0.0042
Melanopsin 1F	79.61	0.00094	1.71	1.29	0.76	0.0025
Light flux 2F	48.81	0.00089	1.24	1.15	0.88	0.0039
L-M cone 2F	66.00	0.00075	0.95	1.10	0.82	0.0050
S cone 2F	75.94	0.00097	2.22	1.41	0.83	0.0018
Melanopsin 2F	67.94	0.00101	1.37	0.90	0.49	0.0035

At the second harmonic frequencies, the levels of suppression were more uniform across different photoreceptor pathways (see Figure 3). In general, suppression estimates were near or above 1 (see lower rows of Table 1), with substantial overlap between the posterior distributions (Figure 3e). The contrast response functions also looked more uniform, and generally involved less binocular facilitation and more interocular suppression than were seen at the first harmonics. The L-M condition now featured the weakest suppressive weight, and the strongest binocular facilitation, which was the opposite pattern seen at the first harmonic.

Finally, we inspected the response phase of our four stimulation conditions, given previous reports that these differ across pathways [7], and may be in antiphase for melanopsin and S-cone signals. Figure 4 shows the phase angles for the first (a) and second (b) harmonic frequencies for binocular stimulation (monocular

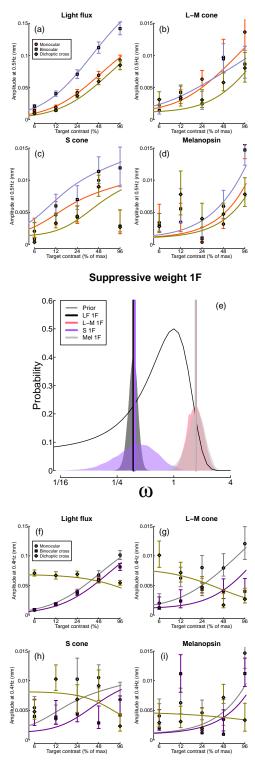


Figure 2: Contrast response functions for pupil modulations in response to flicker at 0.5Hz (panels a-d), and 0.4Hz (panels f-i), and posterior parameter distributions for the weight of interocular suppression (panel e). Within each panel (except panel e), data points are the coherently averaged amplitudes for each condition, and error bars indicate bootstrapped 95% confidence intervals. Curves show model fits using the maximum a posteriori (MAP) parameter values (see Table 1). In panel (e), vertical lines show the MAP estimates, and the thin black curve indicates the prior (note the logarithmic x-axis).

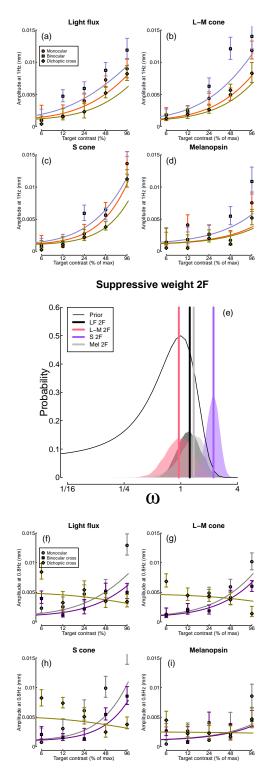


Figure 3: Responses at the second harmonic frequencies (1Hz and 0.8Hz), in the same format as Figure 2.

stimulation produced very similar results). At the first harmonic, melanopsin and S-cone signals differed in phase by more than 90 degrees, though they were not fully in antiphase. The light flux and L-M responses were approximately in antiphase to each other, however this is likely due to our choice to modulate L+M- in the first half-cycle of the sine wave (corresponding to a luminance increase in the light flux condition), and L-M+ in the second half-cycle (corresponding to a luminance decrease in the light flux condition). Had we reversed this phase arrangement, we would likely have seen a close phase correspondence between the L-M and light flux conditions (another way to think about this is that L-cone decreases and M-cone increases are processed like luminance increases). Phase differences between the light flux, S-cone and melanopsin conditions are likely attributable to different lags in phototransduction at the earliest stage (i.e. in the retina). At the second harmonic frequency (Figure 4b), the light flux condition was again out of phase with the other three, and was approximately in quadrature phase with the L-M condition, and in antiphase with both the S-cone and melanopsin conditions (which were in phase with each other). We note that the marked phase differences between conditions make the possibility that our results are dominated by rod activity relatively unlikely (e.g. in the L-M and melanopsin conditions, which have very different phase profiles).

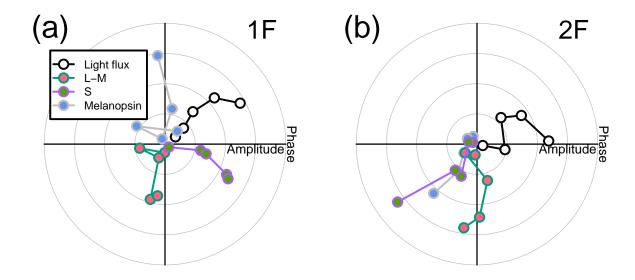


Figure 4: Pupil phase plots at the first and second harmonic frequencies for the light flux, melanopsin, L-M pathway and the S-cone pathway conditions. Panel (a) shows the pupil response at the first harmonic frequency during binocular stimulation. Panel (b) shows the pupil response at the second harmonic frequency during binocular stimulation. The five data points for each pathway correspond to the five contrast levels displayed. In panel (a) the light flux amplitudes have been scaled down by a factor of 10 to enable comparison with the other conditions.

5 Discussion

We used binocular pupillometry and silent substitution to measure monocular and binocular responses of the pupils to flickering stimuli when stimulating specific photoreceptor pathways. In all four experiments, we were able to record contrast response functions at both the first and the second harmonic frequencies. All experiments showed that binocular combination in the autonomic nervous system happens in a non-linear manner, with evidence of different magnitudes of interocular suppression depending on the photoreceptor pathway. This pattern of results was confirmed by a computational model, which allowed us to compare the weight of interocular suppression for each pathway. We found that at the first harmonic frequency the L-M and melanopsin pathways involved strong suppression, whereas the light flux and S-cone pathways involved

weaker suppression. Suppression was strong in all four pathways at the second harmonic frequency. Finally, the phase of the pupil response revealed different lag times for the different pathways at the first and second harmonic frequencies.

This is the first study to investigate binocular interactions in the melanopsin pathway directly. A previous meta-analysis [48] indicated that there may be a substantial binocular facilitation effect in the circadian pathway, as indexed by melatonin suppression (melatonin is a hormone released by the pineal gland; its production is suppressed by exposure to bright light, particularly when the melanopsin-containing ipRGCs are stimulated). In brief, monocular stimulation of the ipRGCs requires up to ten times the signal strength to produce an equivalent effect to binocular stimulation. This superadditive effect implies an absence of interocular suppression, and perhaps the presence of either a highly compressive nonlinearity, or an AND-style neural operation. Our findings here are very different - we do not see substantial binocular facilitation effects in response to melanopsin modulation, and our data indicate that interocular suppression is very strong. Moreover, by measuring the full contrast response function, we can rule out compressive nonlinearities, because the functions accelerate at both the first and second harmonic frequencies. The explanation for this difference could be due to different anatomical pathways. Binocular combination in the circadian system likely takes place in the suprachiasmatic nucleus, whereas in the pupil constriction circuit the Edinger-Westphal nucleus is the most likely site of binocular integration [9]. Presumably these anatomical differences, and the practical constraints of the two systems, lead to differences in response.

A recent psychophysical work [49] has looked at binocular interactions in the L-M and S-cone pathways, and compared these to the light flux pathway. Using a contrast discrimination paradigm with spatial modulations of light flux and colour, the study [49] found equally strong interocular suppression in all three pathways. This is rather different from our pupillometry results here, which demonstrate weaker suppression in the light flux and S-cone pathways than in the L-M pathway (see Figure 2). However, the current experiments involve temporal modulations, which are quite distinct from the spatial modulations used in the study by Baker and colleagues [49]. Other recent work [3] has shown that temporal luminance modulations involve much weaker interocular suppression in the cortical response than do spatial luminance modulations [40]. These different normalization processes might reflect different priorities for spatial and temporal vision. Spatial vision aims to fuse images to provide binocular single vision, and benefits from 'ocularity invariance' [20], in which visual appearance is constant when viewed with one eye or two. Temporal vision is critical for motion perception, which can involve alternative binocular computations, such as calculating velocity differences between the eyes [50]. Of course the present measurements were of pupil size, which may be subject to different anatomical and functional constraints from those in the cortex. Future research should aim to extend our current findings to perception using psychophysical approaches.

Conclusions

We have demonstrated that binocular combination of temporal flickering light in the autonomic nervous system depends on the photoreceptor pathway stimulated. We were able to elicit pupil responses by stimulating the periphery of the retina and we were able to record contrast response functions for all photoreceptor pathways. While all pathways showed non-linear combination, they varied in how the signals are combined, particularly in the weight of interocular suppression. This was strong ($\omega \geq 1$) for L-M and melanopsin signals at the first harmonic, and all pathways at the second harmonic. Suppression was weaker ($\omega < 1$) in the light flux pathway (consistent with previous work), and also for S-cone directed modulations.

³²³ 7 References

- 1. McDougal DH, Gamlin PD. 2015 Autonomic control of the eye. Comprehensive Physiology 5, 439–473. (doi:10.1002/cphy.c140014)
- 26 2. Wyatt HJ, Musselman JF. 1981 Pupillary light reflex in humans: Evidence for an unbalanced pathway

- from nasal retina, and for signal cancellation in brainstem. Vision Res 21, 513-25. (doi:10.1016/0042-6989(81)90097-3)
- 329 3. Segala FG, Bruno A, Martin JT, Aung MT, Wade AR, Baker DH. 2023 Different rules for binocular combination of luminance flicker in cortical and subcortical pathways. *eLife* 12. (doi:10.7554/elife.87048)
- 4. McDougal DH, Gamlin PD. 2010 The influence of intrinsically-photosensitive retinal ganglion cells on the spectral sensitivity and response dynamics of the human pupillary light reflex. *Vision Research* **50**, 72–87. (doi:10.1016/j.visres.2009.10.012)
- 5. Barrionuevo PA, Cao D. 2016 Luminance and chromatic signals interact differently with melanopsin activation to control the pupil light response. *Journal of Vision* **16(11)**, 29. (doi:10.1167/16.11.29)
- 6. Murray IJ, Kremers J, McKeefry D, Parry NRA. 2018 Paradoxical pupil responses to isolated M-cone increments. *Journal of the Optical Society of America A* **35**, B66–B71. (doi:10.1364/JOSAA.35.000B66)
- 7. Spitschan M, Jain S, Brainard DH, Aguirre GK. 2014 Opponent melanopsin and s-cone signals in the human pupillary light response. *Proceedings of the National Academy of Sciences* **111**, 15568–15572. (doi:10.1073/pnas.1400942111)
- 8. Woelders T, Leenheers T, Gordijn MCM, Hut RA, Beersma DGM, Wams EJ. 2018 Melanopsin- and L-cone-induced pupil constriction is inhibited by S- and M-cones in humans. *Proceedings of the National Academy of Sciences* **115**, 792–797. (doi:10.1073/pnas.1716281115)
- 9. Mathôt S. 2018 Pupillometry: Psychology, physiology, and function. J Cogn 1, 16. (doi:10.5334/joc.18)
- 10. Markwell EL, Feigl B, Zele AJ. 2010 Intrinsically photosensitive melanopsin retinal ganglion cell contributions to the pupillary light reflex and circadian rhythm. Clinical and Experimental Optometry 93, 137–149. (doi:10.1111/j.1444-0938.2010.00479.x)
- ³⁴⁸ 11. Provencio I, Rodriguez IR, Jiang G, Hayes WP, Moreira EF, Rollag MD. 2000 A Novel Human Opsin in the Inner Retina. *Journal of Neuroscience* **20**, 600–605. (doi:10.1523/JNEUROSCI.20-02-00600.2000)
- 12. Panda S, Sato TK, Castrucci AM, Rollag MD, DeGrip WJ, Hogenesch JB, Provencio I, Kay SA. 2002
 Melanopsin (opn4) requirement for normal light-induced circadian phase shifting. Science 298, 2213–2216.
 (doi:10.1126/science.1076848)
- 13. Ruby NF, Brennan TJ, Xie X, Cao V, Franken P, Heller HC, O'Hara BF. 2002 Role of melanopsin in circadian responses to light. *Science* **298**, 2211–2213. (doi:10.1126/science.1076701)
- 14. Dacey DM, Peterson BB, Robinson FR, Gamlin PD. 2003 Fireworks in the primate retina:
 In vitro photodynamics reveals diverse lgn-projecting ganglion cell types. Neuron 37, 15–27.
 (doi:https://doi.org/10.1016/S0896-6273(02)01143-1)
- 15. Lucas RJ, Hattar S, Takao M, Berson DM, Foster RG, Yau K-W. 2003 Diminished pupillary light reflex
 at high irradiances in melanopsin-knockout mice. Science 299, 245–247. (doi:10.1126/science.1077293)
- 16. Gamlin PDR, McDougal DH, Pokorny J, Smith VC, Yau K-W, Dacey DM. 2007 Human and macaque
 pupil responses driven by melanopsin-containing retinal ganglion cells. Vision Research 47, 946–954.
 (doi:10.1016/j.visres.2006.12.015)
- ³⁶³ 17. Hubel DH, Wiesel TN. 1962 Receptive fields, binocular interaction and functional architecture in the cat's visual cortex. *J Physiol* **160**, 106–54. (doi:10.1113/jphysiol.1962.sp006837)
- 18. Baker DH, Lygo FA, Meese TS, Georgeson MA. 2018 Binocular summation revisited: Beyond $\sqrt{2}$.

 Psychol Bull 144, 1186–1199. (doi:10.1037/bul0000163)
- 19. Campbell FW, Green DG. 1965 Monocular versus binocular visual acuity. Nature 208, 191–2.
 (doi:10.1038/208191a0)
- 20. Baker DH, Meese TS, Georgeson MA. 2007 Binocular interaction: Contrast matching and contrast discrimination are predicted by the same model. Spat Vis 20, 397–413. (doi:10.1163/156856807781503622)

- 21. Moradi F, Heeger DJ. 2009 Inter-ocular contrast normalization in human visual cortex. J Vis **9**, 13.1–22. (doi:10.1167/9.3.13)
- ³⁷³ 22. Meese TS, Georgeson MA, Baker DH. 2006 Binocular contrast vision at and above threshold. *Journal* of Vision **6**, 1224–43. (doi:10.1167/6.11.7)
- ³⁷⁵ 23. Martin JT, Pinto J, Bulte D, Spitschan M. 2022 PyPlr: A versatile, integrated system of hardware and software for researching the human pupillary light reflex. *Behavior Research Methods* **54**, 2720–2739. (doi:10.3758/s13428-021-01759-3)
- ³⁷⁸ 24. Estévez O, Spekreijse H. 1982 The "silent substitution" method in visual research. Vision Research 22, 681-691. (doi:10.1016/0042-6989(82)90104-3)
- 25. Shapiro AG, Pokorny J, Smith VC. 1996 Conerod receptor spaces with illustrations that use CRT phosphor and light-emitting-diode spectra. *Journal of the Optical Society of America A* 13, 2319. (doi:10.1364/josaa.13.002319)
- ³⁸³ 26. Spitschan M, Woelders T. 2018 The Method of Silent Substitution for Examining Melanopsin Contribu-³⁸⁴ tions to Pupil Control. *Frontiers in Neurology* **9**. (doi:10.3389/fneur.2018.00941)
- 27. Martin JT, Boynton GM, Baker DH, Wade AR, Spitschan M. 2023 PySilSub: An open-source python
 toolbox for implementing the method of silent substitution in vision and nonvisual photoreception research.
 Journal of Vision 23, 10. (doi:10.1167/jov.23.7.10)
- 28. Stockman A, Sharpe LT. 2000 The spectral sensitivities of the middle- and long-wavelengthsensitive cones derived from measurements in observers of known genotype. *Vision Res* **40**, 1711–37. (doi:10.1016/s0042-6989(00)00021-3)
- 29. Spitschan M, Aguirre GK, Brainard DH. 2015 Selective stimulation of penumbral cones reveals perception in the shadow of retinal blood vessels. *PLoS One* **10**, e0124328. (doi:10.1371/journal.pone.0124328)
- 30. Zele AJ, Feigl B, Adhikari P, Maynard ML, Cao D. 2018 Melanopsin photoreception contributes to human visual detection, temporal and colour processing. *Scientific Reports* 8. (doi:10.1038/s41598-018-22197-w)
- 31. Kassner M, Patera W, Bulling A. 2014 Pupil: An open source platform for pervasive eye tracking and mobile gaze-based interaction. In *Proceedings of the 2014 ACM international joint conference on pervasive* and ubiquitous computing: Adjunct publication, ACM. (doi:10.1145/2638728.2641695)
- 32. Norcia AM, Appelbaum LG, Ales JM, Cottereau BR, Rossion B. 2015 The steady-state visual evoked potential in vision research: A review. *Journal of Vision* **15(6)**, 4. (doi:10.1167/15.6.4)
- 33. Pokorny J, Smith VC, Lutze M. 1987 Aging of the human lens. *Applied Optics* **26**, 1437. (doi:10.1364/ao.26.001437)
- 402 34. Stockman A, Sharpe LT, Fach C. 1999 The spectral sensitivity of the human short-wavelength sensitive
- cones derived from thresholds and color matches. Vision Research 39, 2901–2927. (doi:https://doi.org/10.1016/S0042-6989(98)00225-9)
- 35. Bone RA, Landrum JT, Fernandez L, Tarsis SL. 1988 Analysis of the macular pigment by HPLC: Retinal distribution and age study. *Investigative Ophthalmology & Visual Science* **29**, 843–849.
- 407 36. CIE. 2006 Fundamental chromaticity diagram with physiological axes.
- 408 37. CIE. 2018 CIE system for metrology of optical radiation for ipRGC-influenced responses to light.
 409 (doi:10.25039/S026.2018)
- 38. Trieschmann M, Kuijk FJGM van, Alexander R, Hermans P, Luthert P, Bird AC, Pauleikhoff D. 2007
 Macular pigment in the human retina: Histological evaluation of localization and distribution. Eye 22,
 132–137. (doi:10.1038/sj.eye.6702780)
- 39. Zele AJ, Adhikari P, Cao D, Feigl B. 2019 Melanopsin driven enhancement of cone-mediated visual processing. Vision Res 160, 72–81. (doi:10.1016/j.visres.2019.04.009)

- 40. Baker DH, Wade AR. 2017 Evidence for an optimal algorithm underlying signal combination in human visual cortex. *Cereb Cortex* 27, 254–264. (doi:10.1093/cercor/bhw395)
- 41. Baker DH, Vilidaite G, McClarnon E, Valkova E, Bruno A, Millman RE. 2020 Binaural summation of amplitude modulation involves weak interaural suppression. *Sci Rep* **10**, 3560. (doi:10.1038/s41598-020-60602-5)
- 42. Carroll J, Neitz J, Neitz M. 2002 Estimates of L:M cone ratio from ERG flicker photometry and genetics.

 42. Journal of Vision 2, 1. (doi:10.1167/2.8.1)
- 43. Hofer H, Carroll J, Neitz J, Neitz M, Williams DR. 2005 Organization of the human trichromatic cone mosaic. The Journal of Neuroscience 25, 9669–9679. (doi:10.1523/jneurosci.2414-05.2005)
- 424 44. Baker DH. 2021 Statistical analysis of periodic data in neuroscience. *Neurons, Behavior, Data analysis,*425 and Theory 5. (doi:10.51628/001c.27680)
- 426 45. Carpenter B *et al.* 2017 Stan: A probabilistic programming language. *J Stat Softw* **76**. 427 (doi:10.18637/jss.v076.i01)
- 428 46. Kingdom FAA, Libenson L. 2015 Dichoptic color saturation mixture: Binocular luminance contrast promotes perceptual averaging. J Vis 15, 2. (doi:10.1167/15.5.2)
- 430 47. Busse L, Wade AR, Carandini M. 2009 Representation of concurrent stimuli by population activity in visual cortex. *Neuron* **64**, 931–42. (doi:10.1016/j.neuron.2009.11.004)
- 48. Spitschan M, Cajochen C. 2019 Binocular facilitation in light-mediated melatonin suppression? *J Pineal Res* 67, e12602. (doi:10.1111/jpi.12602)
- 49. Baker DH, Hansford KJ, Segala FG, Morsi AY, Huxley RJ, Martin JT, Rockman M, Wade AR. 2024
 Binocular integration of chromatic and luminance signals. *J Vis* **24(12)**, 7. (doi:10.1167/jov.24.12.7)
- 50. Kaestner M, Maloney RT, Wailes-Newson KH, Bloj M, Harris JM, Morland AB, Wade AR. 2019
 Asymmetries between achromatic and chromatic extraction of 3D motion signals. Proc Natl Acad Sci U S
 A 116, 13631–13640. (doi:10.1073/pnas.1817202116)

8 Supplementary material

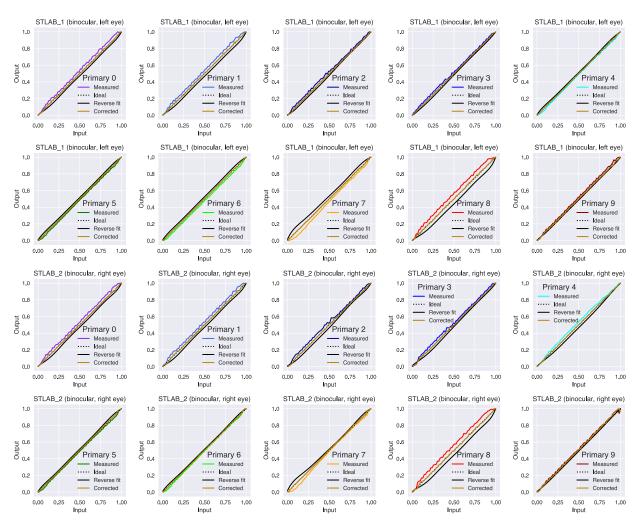


Figure S1: Linearity of primaries for each device. The calibration measures were summed (i.e., total unweighted irradiance), and the input-output relationship summarised by a 7th order polynomial reverse curve fit. By applying the coefficients of the regression, it is possible to achieve a linear output in the primaries.