

JOE SEIDEL

# REAL ANALYSIS

## EIGHTH WEEK

Exercise 3.6.12-DROPPED

Suppose that  $A$  and  $B$  are nonempty subsets of a metric space  $X$ . The distance between  $A$  and  $B$  is defined by

$$d(A, B) = \inf\{d(a, b) \mid a \in A, b \in B\}$$

We say that  $d(A, B)$  is *assumed* if there exists  $a_0 \in A$  and  $b_0 \in B$  such that  $d(A, B) = d(a_0, b_0)$ . Determine whether or not the distance between  $A$  and  $B$  is necessarily assumed in (i) – (iii).

1.  $A$  is closed and  $B$  is closed.

Suppose  $A = \{x, \frac{-1}{x} \mid x < 0\}$  and  $B = \{x, \frac{1}{x} \mid x > 0\}$  in  $\mathbb{R}^2$ . Then  $d(A, B) = 0$  but,  $\forall a \in A$  and  $\forall b \in B$  distance is  $\sqrt{(-x - x)^2 + (\frac{1}{x} - \frac{1}{x})^2} > 0$ , i.e.  $d(a, b) > 0$ . Therefore, distance is not necessarily assumed when  $A$  and  $B$  are closed.

2.  $A$  is compact and  $B$  is closed. Considering the same example of above, but with  $A$  now being compact. This means that any sequence in  $A$  converges in  $A$ , so it achieves its minimum and maximum values. However,  $B$  is still closed and there is a sequence in  $(b_n) \in B$  such that  $\lim_{n \rightarrow \infty} b_n = 0$ , however  $0 \notin B$  which is required in order to make  $d(A, B) = d(a_0, b_0) = 0$  for some  $a_0 \in A$  and  $b_0 \in B$ . So distance in this case is not necessarily assumed.

3.  $A$  is compact and  $B$  is compact.

There exists a sequence  $(a_n) \in A$  and  $(b_n) \in B$  such that

$$\lim_{n \rightarrow \infty} d(a_n, b_n) = d(A, B)$$

Being compact in a metric is equivalent to being sequentially compact, so  $A$  and  $B$  are sequentially compact. This means

$$\lim_{k \rightarrow \infty} d(a_{n_k}) = a \text{ with } a \in A$$

Additionally, note

$$\lim_{k \rightarrow \infty} d(a_{n_k}, b_{n_k}) = d(A, B)$$

Since  $B$  is sequentially compact,

$$\lim_{j \rightarrow \infty} b_{n_{k_j}} = b \text{ with } b \in B$$

Note once more,

$$\lim_{j \rightarrow \infty} d(a_{n_{k_j}}, b_{n_{k_j}}) = d(A, B)$$

As long as  $d$  is a continuous function, the above implies  $d(a, b) = d(A, B)$  with  $a \in A$  and  $b \in B$ . Hence, distance can be assumed under these conditions.

4. What happens to the above cases if we assume  $X$  is complete?

We proved in exercise 3.4.8 (hw 6) that a closed subset of a complete space is also complete. Therefore, all cauchy sequences in  $X$  converge in  $X$ . Hence, in the above cases distance can be assumed.

### Exercise 3.6.25

1. In the usual metric,  $\mathbb{Q}$  is dense in  $\mathbb{R}$ .

Consider  $a, b \in \mathbb{R}$ , without loss of generality let  $a < b$ . We want to show that  $\exists q \in \mathbb{Q}$  such that  $a < q < b$ . By Archimedean ordering principle  $\exists N \in \mathbb{N}$  such that  $\frac{1}{N} < b - a$ .

Claim:  $\exists k \in \mathbb{Z}$  such that  $a < \frac{k}{N} < b$ . Suppose this is not true and prove by contradiction. Then  $\exists k \in \mathbb{Z}$  such that  $\frac{k}{N} \leq a$  and  $\frac{k+1}{N} \geq b$ . Then  $b - a \leq \frac{k+1}{N} - \frac{k}{N} = \frac{1}{N}$  which contradicts Archimedean ordering principle.

2. The "dyadic numbers," that is, the set  $D = \{\frac{a}{2^n} \in \mathbb{Q} \mid a, n \in \mathbb{Z}\}$ , are dense in  $\mathbb{R}$  in the usual metric.

Consider  $a < b \in \mathbb{R}$ . By Archimedean property,  $\exists n \in \mathbb{N}$  such that  $0 < \frac{1}{n} < b - a$  which implies  $0 < \frac{1}{2^n} < \frac{1}{n} < b - a$ .

Therefore,  $1 < (2^n * b) - (2^n * a)$ , and note that,  $(2^n * b) > 1$  and  $(2^n * a) > 1$  so there exists an integer  $m$  such that  $2^n * a < m < 2^n * b \Rightarrow a < \frac{m}{2^n} < b$  where  $2^n \neq 0$ . Hence between any two rational numbers there exists  $d \in D$  and between any two dyadic numbers, there exists a real number. So similar to  $\mathbb{Q} \subset \mathbb{R}$ ,  $\overline{D} = \mathbb{R} \setminus (D^c)^o \setminus \emptyset = \mathbb{R}$

### Exercise 3.6.26

1. Show that in any metric space  $X$ ,  $X$  is dense in  $X$ .

$$\overline{X} = X \setminus (X^c)^o = X \setminus (X \setminus X)^o = X \setminus (\emptyset)^o = X.$$

2. Show that in any discrete metric space  $X$ , the only dense subset of  $X$  is  $X$  itself.

Any proper subset  $S \subset X$  contains a single point  $\{x\}$ . Hence  $\overline{S} = S$ . Therefore, there does not exist  $S \subset X$  such that  $\overline{S} = X$  unless  $S = X$ , as seen in item one of this exercise.

3. Show that if the only dense subset of a metric  $X$  is  $X$  itself, then  $X$  is discrete.

Suppose  $X$  is a metric space and the only dense subset of  $X$  is  $X$ .

This means that no subset  $X \setminus \{x\}$ ,  $\forall x \in X$  is a dense subset of  $X$ . Since  $\overline{X \setminus \{x\}} \neq X$ ,  $x$  is an isolated point in  $X$ . Since  $x$  was arbitrary,  $\forall x \in X$  are isolated and  $X$  is a discrete metric space.

## Exercise 3.6.30

Suppose  $X$  and  $X'$  are metric spaces with  $X$  separable. Let  $f : X \rightarrow X'$  be continuous surjection. Show that  $X'$  is separable.

*Proof.* Pick any nonempty open set  $U \subset X'$ , want to show that  $U \cap f(S) \neq \emptyset$ , i.e.  $f(S)$  is dense in  $X'$ .

Since  $f$  is continuous, we have  $f^{-1}(U)$  is open and not empty. Next, since  $X$  is separable we can find a countable set  $S \subset X$  that is dense in  $X$ . Therefore  $f^{-1}(U) \cap S \neq \emptyset$ . Pick any  $x \in f^{-1}(U) \cap S$ , we get  $f(x) \in f(S) \cap U$ . Therefore  $f(S)$  is dense in  $X'$ . Additionally, since  $S$  is countable, and  $f$  is surjective then for any  $y \in X'$  there exists an  $x \in X$  such that  $f(x) = y$ , so  $f(S)$  is countable, since  $S$  is countable. To conclude we have a subset of  $X'$  that is countable and dense in  $X'$  which means  $X'$  is separable.  $\square$

## Exercise 3.6.31

Find a metric  $d$  on  $\mathbb{R}$  such that  $(\mathbb{R}, d)$  is not separable.

The discrete metric. Suppose  $X = (\mathbb{R}, d)$  where  $d$  is the discrete metric. Choose  $A \subset \mathbb{R}$  such that  $\overline{A} = \mathbb{R}$ . However, since  $X$  is discrete,  $\overline{A} = \mathbb{R}$  implies  $A = \mathbb{R}$ , but  $A$  is uncountable. As seen in exercise 3.6.26, in discrete space, the only dense subset of  $X$  is  $X$ . Therefore, there exists no countable subset of  $X$  that is dense in  $X$ . So  $X$  is not separable.

## Exercise 3.7.6

1. Find a continuous function  $f : \mathbb{R} \rightarrow \mathbb{R}$  that does not have a fixed point.

$$f(x) = x + 1.$$

2. Find a continuous function  $f : (0, 1) \rightarrow (0, 1)$  that does not have a fixed point.

$$f(x) = x^2$$

3. Let  $f : [0, 1] \rightarrow [0, 1]$  be continuous. Show that  $f$  has a fixed point. Since  $f$  is continuous, then it could have a fixed point  $f(0) = 0$  or  $f(1) = 1$ . If it does not then  $f(0) > 0$  and  $f(1) - 1 < 0$ . Consider the function  $g(x) = f(x) - x$ . Since  $f(x)$  is continuous,  $g(x)$  is continuous. Note that  $g(x)$  is positive at  $x = 0$  and negative at  $x = 1$ . By the intermediate value theorem, there is some point  $x_0$  such that  $g(x_0) = 0$ . Which is to say  $f(x_0) - x_0 = 0$  hence  $x_0$  is a fixed point.

*Exercise Supplement 1*

Consider a family of functions  $f_n(x) : [0, 1] \rightarrow \mathbb{R}$  that is uniformly bounded and uniformly Lipschitz, where uniformly Lipschitz means that there exists a constant  $L > 0$  such that for all  $n$  and all pair  $x, y$ , we have

$$|f_n(x) - f_n(y)| \leq L|x - y|.$$

Prove that this family is sequentially compact.

*Proof.* We are already given that  $f_n$  is uniformly bounded. We only need to show that  $f_n$  is equicontinuous and then apply Arzela-Ascoli. Let  $\epsilon > 0$  and choose  $\delta = \frac{\epsilon}{L}$ . Then,  $|x - y| < \frac{\epsilon}{L}$  but then since the family of functions is uniformly Lipschitz,

$$|f_n(x) - f_n(y)| \leq L|x - y| < L \frac{\epsilon}{L} = \epsilon$$

For any  $n$ . Therefore,  $f$  is a equicontinuous and uniformly bounded meaning that it is sequentially compact.  $\square$

*Exercise Supplement 2*

Consider a family of functions  $f_n(x) : [0, 1] \rightarrow \mathbb{R}$  that is uniformly bounded and uniformly  $\alpha$ -Hölder, where uniformly  $\alpha$ -Hölder means that there exists a constant  $C > 0$  and  $0 < \alpha < 1$  such that for all  $n$  and pair  $x, y$  we have

$$|f_n(x) - f_n(y)| \leq C|x - y|^\alpha.$$

Prove that this family is sequentially compact.

*Proof.* We are given that  $f_n(x) : [0, 1] \rightarrow \mathbb{R}$  is uniformly bounded, it remains to be shown that this family of functions is equicontinuous. Since  $f_n$  is uniformly  $\alpha$ -Hölder there  $\exists C > 0$  and  $0 < \alpha < 1$  such that

$$|f_n(x) - f_n(y)| \leq C|x - y|^\alpha$$

For  $\forall n$  and  $\forall x, y \in [0, 1]$ . Since  $0 < \alpha < 1$ ,  $|x - y|^\alpha \leq |x - y|$ . Choose  $\delta = \frac{\epsilon}{C}$  which implies

$$|f_n(x) - f_n(y)| \leq C|x - y|^\alpha \leq C|x - y| < C \frac{\epsilon}{C} = \epsilon.$$

Hence,  $f_n$  is equicontinuous. Applying Arzela-Ascoli, this family of functions is sequentially compact.  $\square$