

Application of Convolutional Neural Network in Brain MRI for detecting Psychosis

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Chapter 1: Introduction

1.1 Introduction

Artificial Intelligence has grown tremendously and is now being applied to many different fields. This study examines the Deep Learning concept and how it might be applied to psychological diagnostic. This introduction will talk about Deep Learning, medical imaging, and psychosis.

Deep Learning is a subfield of Machine Learning that "attempts to mimic the human brain, albeit far from matching its ability, allowing systems to cluster data and make predictions with incredible accuracy." (IBM Cloud Education, 2020). To understand human decision making, it employs a concept known as neural networks or artificial neural networks. There are numerous algorithms available for this purpose, the most popular of which are: Convolutional Neural Networks (CNN), Recurrent Neural Networks (RNN), and Long Short-Term Memory Networks (LSTM).

This dissertation research will focus on the CNN application, which is mainly used for image analysis. It can do different image processing tasks such as classification, segmentation, and object detection. (Wang, et al., 2021). The foundation of CNN is the convolutional layer which gives it the ability to classify our images by taking features from sections of the input image and creating filters to train the algorithm for accurate image detection. The benefit of using CNN is that it is a form of unsupervised learning which doesn't require human interaction or a ridiculous amount of processing power

1.2 Psychosis

Psychosis is a mental health condition in which a person loses contact with reality, making them hear or see things that do not exist. Disturbed speech, hallucination, and delusion are the most common symptoms of psychosis. Although there is no direct cure for psychosis, there are treatments that can help manage the symptoms. These are especially useful for detecting first-episode psychosis (FEP). Generally, the earlier a diagnosis is made, the better the prognosis of the disease.

Psychosis can be diagnosed by observing behaviour, physical exams, and blood tests. It can also be analysed using medical imaging devices such as CT scans or brain MR; this generates images for which lesions associated with psychosis can be identified. There are no specific guidelines for identifying Psychosis through MRI for several reasons: the patient may present the illness differently from others. Another reason could be that some overlapping symptoms make it difficult to diagnose. Occasionally, an MRI of the brain may not be able to detect early-stage Psychosis.

1.3 Research motivation

The advancement of technology has resulted in accumulating a large amount of data. Significant progress has been made in the Deep Learning infrastructure over the last ten years, making training data into essential information more feasible. Deep Learning techniques applied to the medical field can help doctors improve their clinical workflow and decision-making process. Current MRI data on patients with mental health conditions can be

used to train a CNN to detect any issues. The average time to prepare a psychologist is around 3-6 years. Investing in AI to perform these tasks can be a more cost-effective alternative to an easily accessible support system. Pursuing research on CNN's implication on Psychosis can help build a foundation for future research and development.

1.4 Aims and objectives

This project aims to develop/adapt deep CNN algorithms to a database of brain MRI data from first-episode patients. The MRI data highlights the structural changes in the brain of psychiatric patients. The first objective would be to gain a current perception of how deep learning could be adapted to the medical industry. An understanding must be made by identifying relative achievements, the current technologies, and the existing constraints.

Exploration of the MRI dataset will take place to gain a data understanding and subsequently apply any data pre-processing. Then develop the CNN model and identify the optimal hyperparameter for the convolution layer.

1.5 Research approach

This dissertation will research the CNN on mental diagnostics and develop a CNN model through a supervised learning method. There is no current research on the exact specification of this project. Therefore, to develop an understanding, literature will be reviewed by submissions from other universities in the related field. Python will be used in conjunction with libraries such as Keras or TensorFlow to develop the CNN, which will produce the

computational graph and model. The methodology approach will follow agile and scrum as some requirements are still unknown.

1.6 Report outline

This report comprises four chapters. Chapter 2 will provide a comprehensive literature review on research that applies machine learning techniques to distinct mental disorders. Chapter 3 will assess the different technology being used for this research. Chapter 4 will state the methodology used and a breakdown of the project into tasks demonstrated using a Gantt chart.

Chapter 2: Literature review

This chapter presents a literature review of the Deep Convolutional Neural Network (CNN) and its current deployment in medical imaging. The research will be explored within the last ten years due to our epistemic limitations in the technological and medical sector, i.e., Machine learning and medical imaging. Analysis of the literature will be based on the following sections. The first section reviews structural changes in the brain for mental health conditions. The second section analyses the application of Convolution Neural Network (CNN) for computer vision. Finally, the third section reviews the classification of psychiatric disorders on CNN.

2.0.1 Literature related to the scope of research

Table 1 Literature summary

Article name	Year	Summary	Authors
Deep learning based automatic diagnosis of first-episode psychosis, bipolar disorder, and healthy controls	2021	CNN model that can automatically classify gray matter density images of FEP, BD, and HC.	Zhuangzhuang Li, Wenmei Li, Yan Wei, Guan Gui, Rongrong Zhang, Haiyan Liu, Yuchen Chen, Yiqiu Jiang
Common and distinct structural features of schizophrenia and bipolar disorder: The European Network on Psychosis, Affective disorders, and Cognitive Trajectory (ENPACT) study	2017	Schizophrenia and Bipolar disorder share elements of pathology. The study gains data from those two sets of the group and health controls to analyse Gray matter volume using voxel-based morphometry.	Eleonora Maggioni, Benedicto Crespo-Facorro, Igor Nenadic, Francesco Benedetti, Christian Gaser, Heinrich Sauer
How frequent are radiological abnormalities in patients with psychosis? A review of 1379 MRI scans	2012	Investigates abnormalities in patients with psychosis. This concluded that abnormalities could not be identified using MRI scans.	Iris E. Sommer, Gérard A. P. de Kort, Anne Lotte Meijering, Paola Dazzan, Hilleke E. Hulshoff Pol, René S. Kahn, Neeltje E. M. van Haren

Classification of first-episode psychosis in a large cohort of patients using a support vector machine and multiple kernel learning techniques	2015	Uses support vector machine for classification of patients with FEP. This source identified that analysis of temporal Gray matter and frontal lobe could help identify early symptoms of psychosis.	Letizia Squarcina, Umberto Castellani, Marcella Bellani, Cinzia Perlini, Antonio Lasalvia
Machine Learning Approaches: From Theory to Application in Schizophrenia	2013	Explores MRI data for innovative bioinformatics methods to detect human brain features. The source revealed that schizophrenia causes structural changes to MRI data.	Elisa Veronese, Umberto Castellani, Denis Peruzzo, Marcella Bellani, Paolo Brambilla
Psychiatric Disorders Classification with 3D Convolutional Neural Networks	2019	Compare techniques of 2D and 3D CNN models for neuroimaging tasks such as Schizophrenia and Bipolar disorder classification.	Stefano Campese, Ivano Lauriola, Cristina Scarpazza, Giuseppe Sartori Fabio Aiolli
A Comparison of 2D and 3D Convolutional Neural Networks for Hand Gesture Recognition from RGB-D Data	2019	Comparison study of 2D and 3D CNN for detecting hand gestures. The conclusion stated that 2D CNN outperforms 3D CNN model in terms of recall, accuracy, and time in task.	Meghdad Kurmanji Foad Ghaderi
What are artificial neural networks?	2008	Introduction to neural networks, describing its concept, how it works, and the classification tasks it can solve.	Anders Krogh
Application of convolutional neural networks in object detection, reidentification, and recognition	2020	The deployment of CNN in areas for security and surveillance and how well it can perform in object detection	Aburasain, Rua
Application of deep learning in detecting neurological disorders from magnetic resonance images: a survey on the detection of Alzheimer's disease, Parkinson's disease and schizophrenia	2020	Compares DL techniques for detecting neurological disorders from MRI data. Does overview of different DL applications and provides accuracy. Lists CNN architecture that may be used in this research	Manan Binth Taj Noor, Nusrat Zerin Zenia, M Shamim Kaiser, Shamim Al Mamun Mufti Mahmud
Evaluation of machine learning algorithms and structural features for optimal MRI-based diagnostic prediction in psychosis	2017		Raymond Salvador, Joaquim Radua, Erick J. Canales- Rodríguez, Aleix Solanes, Salvador Sarró, José M. Goikolea
Using Machine Learning and Structural Neuroimaging to Detect First Episode Psychosis: Reconsidering the Evidence	2020		Sandra Vieira, Qi-yong Gong, Walter H L Pinaya,

		Cristina Scarpazza, Stefania Tognin
Machine-learning classification using neuroimaging data in schizophrenia, autism, ultra-high risk and first-episode psychosis	2020	Walid Yassin, Hironori Nakatani, Yinghan Zhu, Masaki Kojima, Keiho Owada, Hitoshi Kuwabara
Identifying Schizophrenia Using Structural MRI With a Deep Learning Algorithm	2020	Jihoon Oh, Baek-Lok Oh, Kyong-Uk Lee, Jeong-Ho Chae, Kyongsik Yun
Classification of first-episode psychosis using cortical thickness: A large multicenter MRI study	2021	A Pigoni, D Dwyer, L Squarcina, S Borgwardt, B Crespo- Facorro, P Dazzan, S Smesny

2.1 Structural features of psychiatric conditions

Symptoms of Psychosis are commonly associated with various mental health conditions such as schizophrenia (SCZ) or bipolar disorder (BD). "Although both brain disorders share pathological elements, their neural underpinnings are still being researched" (Maggioni, et al., 2017) There are still many unknowns when it comes to identifying associated conditions in the brain.

There are over 300 mental illnesses according to DSM-5 (Diagnostics and Statistical Manual of Mental Disorders). Many neurological studies are being conducted to better understand the structural differences between these disorders. (Maggioni, et al., 2017) investigates the structural similarities and differences between SCZ and BD. The researchers used both region of interest approach and voxel-based morphometry to examine MRI data structural significance and grey matter volume. The data was gathered from a large sample of BD and SCZ patients and healthy controls and will be the same dataset used in this research to build a CNN model.

The study sought to understand the neurobiological relationship between the two mental disorders, concluding that they both have shared and distinct neuroanatomical features. Nine years ago, none of the neuropathological findings observed in the patients was interpreted as a possible substrate for organic psychosis (Sommer, et al., 2012). Presently, It is theoretically possible to differentiate SCZ and BD. The significant advances in research and technology have increased the validity of radiological findings in Psychosis and other mental diseases. With more structured guidelines for defining psychosis, it becomes more feasible to integrate the data into a Deep Learning algorithm such as the convolutional neural network.

2.2 Support Vector Machines (SVM)

The classification of psychiatric disorders using Machine Learning techniques has rapidly evolved over the last decade. Because of technological advancements, many theoretical AI concepts are now being put into practice. The analysis of neuroimaging data has been increasingly accurate in the aspect that it can now be integrated into a supervised learning model (Veronese, et al., 2013). There are numerous factors to consider when building models with MRI data and incorporating them into an ML algorithm. The research article, "Classification of first-episode psychosis in a large cohort of patients using support vector machine and multiple kernel learning techniques" (Squarcina, et al., 2015), discusses how heterogeneity affects the results, so they wanted to take age and gender into account when doing the classification. The article analyses brain structural patterns with the

"FreeSurfer" software package, which employs machine learning models and support vector machines (SVM) to detect early stages of psychosis.

A Support Vector Machine (SVM) is a supervised learning model used for regression and classification tasks. It finds the hyperplane in an N dimension to dichotomies the data points. SVM application has been applied in several real-life problems such as image classification, facial detection, bioinformatics and, as discussed in the literature reviews, the classification of psychiatric disorders. Using an SVM is its effectiveness in high dimension spaces and its memory efficiency and versatility when defining the kernel functions.

An SVM was used to examine the cortical thickness by taking into account age and gender. This was successfully able to distinguish FEP from HC.

Mental disorders can be discovered using MRI data using SVM and MKL by identifying frontal and temporal grey matter characteristics. Even though SVM models provide excellent accuracy, it still falls slightly short compared to CNN.

SVM uses only a subset of the dataset, following in a faster training time. In contrast, CNN processes the entirety of the dataset resulting in a longer processing time but making up for it with its learning capabilities. Furthermore, Image recognition tasks have been shifting onto CNN as a practical improvement to recall, accuracy and time in task.

2.3 2D and 3D CNN

CNN has been proven and widely used to solve neurological pathologies. It has been used to classify a variety of psychiatric illnesses. However, the traditional CNN has limitations due to the algorithm's inability to manage the

entire 3D structure of the brain. To address the aforementioned issues, (Campese, et al., 2019) proposed a 3D CNN and tested it to compare it to other algorithms. Campese concluded that the results for a 3D CNN have been quite favourable. This opens a debate on whether this research should pursue a 3D or 2D CNN.

Clinical examination is still the gold standard for detecting brain problems.

Even though Deep Learning has received a great deal of attention for its visual recognition task. A disadvantage of using a standard SVM or 2D CNN model is that it only examines the intensity value of each voxel rather than the position, resulting in a significant loss of information. Compared to the 3D CNN, the literature shows that working on the brain's 3D structure outperforms the other models because it can correctly capture spatial information.

However, the training technique becomes significantly more expensive.

With a 3D dataset, it becomes possible to validate the parameters for the homogeneity and spatial positions, which will increase accuracy. Although 3D-CNN has a structural advantage in visual recognition tasks for 3D images or video, 2D-CNN can also outperform 3D in the recall, accuracy, and time in task (Kurmanji & Ghaderi, 2019). A 3D-CNN is computationally expensive, prone to over-fitting and memory intensive. Brain MRIs are 3D images, so for this research, it becomes functional to explore the 2D ConvNet and 3D ConvNet.

2.3 ConvNet architectures

The invention of ConvNet has led to many different architectures of the model. Developers of CNN models are always looking for ways to improve their models. We can see a boom in the most popular architecture development within the last decade. The main architecture that will be discussed in this section are AlexNet, VGG16 and ResNet

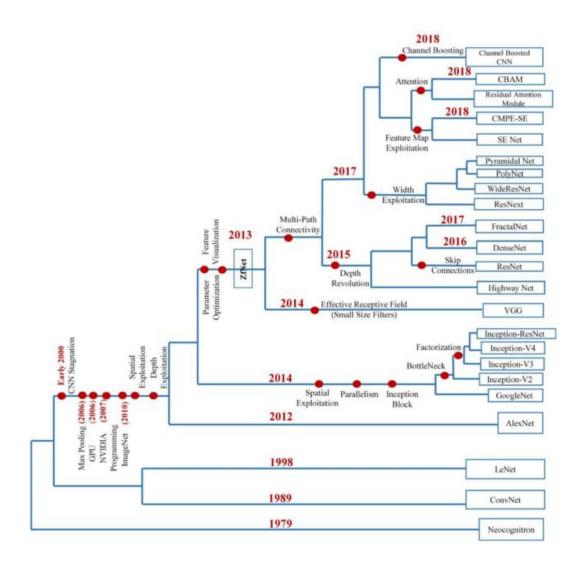


Figure 1 CNN architectures over the years (Dang, 2021)

2.3.1 AlexNet

AlexNet was among the first architecture to gain popularity after the CNN stagnation period. This architecture was developed by Alex Krizhevesky in

2012. The model was proposed to solve the ImageNet challenge or also known as The ImageNet Large Scale Visual Recognition Challenge (ILSVRC), which is a large dataset contain over 15 million images and over 1000 classes. This model proceeded to win with an accuracy of 84.7% and resulted in a "significant breakthrough in the field of machine learning and computer vision for visual recognition and classification tasks" (Alom, et al., 2018), which then sparked interest in deep learning development. The network consists of five ConvNet layers that also perform Local Response Normalization and max pooling. Then two fully connected layer is used in conjunction with dropout, followed by a SoftMax.

2.3.2 VGG16

VGG16 is another CNN architecture proposed K. Simonyan and A. Zisserman from the University of Oxford in 2014. The model was designed as an improvement to AlexNet by replacing the large Kernel sized filters. As the name suggests it is 16 layers deep and has a total of 138 million parameters. VGG16 proceeded to be trained on the ImageNet dataset, resulting in an accuracy of 92.7%. This enhancement to the AlexNet achieved a better accuracy but would longer to process. AlexNet took 6 simultaneous days to train on the GTX 580 whilst VGG16 would take 2-3 week on the Nvidia Titan. Even so, improvements are always being made to the architecture as the "degradation problem occurring due to compression." (Qassim, et al., 2018) which utilises residual learning, a method for training neural networks by mapping shortcut connections. This project will aim to implement a simplified version of VGG16 to experiments its capabilities.

2.3.3 ResNet

Residual Network (ResNet) was first described in the paper "Deep Residual Learning for Image Recognition" (He, et al., 2015). This was ground-breaking work that made it possible to "train hundreds or even thousands of layers and still achieve compelling performance" (Feng, 2017). Since the release of AlexNet there have been many different architectures presented.

The general consensus is that the network needs to go deeper. However, the network will always be prone to overfitting. In addition, the problem with deep networks is its vanishing gradient problem, where the deeper the network goes the more saturated the performance becomes. There have been solutions to tackling this issue such as adding ReLU activation function or auxiliary loss in the middle layer, but none eliminates this problem. The whole concept of ResNet is to tackle this issue with its *identity shortcut connection* which sets a link between distant layers without involving anything in between. This architecture could be implemented into this project but would be excessive as there is not enough data.

2.4 Related studies

Chapter 3: Technical review

This section of the report will explore the different technology available to accomplish the goals of this project. The

3.1 Artificial Neural Networks

Neural networks (NN) are computer systems made up of interconnected nodes. It attempts to mimic the decision-making process caused by the neurons in our brain. To perform computation tasks such as cluster analysis and classification, they can take a raw input of data and "perform sophisticated pattern recognition" (Krogh, 2008). There are several types of NN; this section will review Recurrent Neural Networks, Feedforward Neural networks, and Convolutional Neural networks.

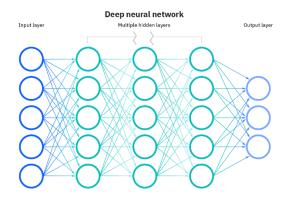


Figure 2 NN model by IBM

3.1.1 Recurrent Neural Networks (RNN)

RNN utilises a recurrency technique. It feeds the input back into the hidden layer to guarantee that the sequential data is collected. This algorithm only takes sequential or time-series data as an input and is commonly used for ordinal or temporal problems, whereas this research will rely on the

classification of images. The main advantages to RNN are its recurrent feed of information and the fact that each neuron retains its memory. The primary application to RNN is usually based on speech recognition, time series or sentiment analysis. A common issue with RNN is that it can suffer from the vanishing and exploding gradient.

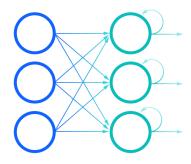


Figure 3 RNN model by IBM

3.1.2 Feedforward Neural Network (FNN)

FNN is the simplest type of NN; it is essentially like the RNN but without recurrency. Every neuron is linked to every perceptron in the following layer, and the data is just fed forwards into the algorithm. The advantage of FNN is its ability to learn any nonlinear functions. It is among the easiest NN and has excellent responsiveness to noisy data. The problem with this is that it can only take input from 1-dimensional vectors, making image classification (a 2D or 3D vector) much more difficult and completely rejecting the options to use it for this research.

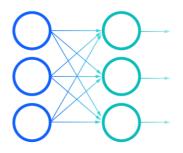


Figure 4 FNN model by IB

3.1.3 Convolutional Neural Network (CNN)

CNN has gained immense popularity in recent years because of its image classification and object detection capabilities. It has three types of layers: convolution, pooling and fully connected. The Convolution layer oversees the extraction of image features to use in the form of multiple filters. The pooling layer is used for dimension reduction after the process of the convolution layer (Aburasain, 2020). The fully connected layer is the layer that comes before the network's final classification output; It's a vector that combines all the pixels from the previous layer into one.

CNN's key advantage is that it excels in visualisation tasks; it can learn the features from input data, allowing for fast and accurate categorisation. The drawback to using a CNN is that it becomes significantly slower when using the maximum pooling operation. The maximum pooling calculates the maximum value for each vector of the image. The CNN needs a large dataset and uses multiple layers to train and process the data. This will take a long time if the computer does not have good hardware capabilities.

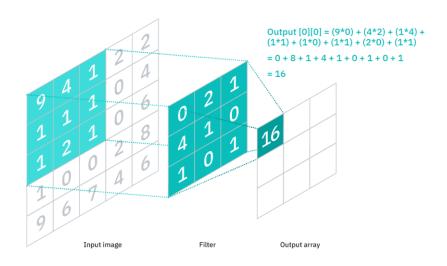


Figure 5 CNN model by IBM

3.3 Programming languages

There are many different programming languages and quite a few support machine learning capabilities. This subsection will review some of the best programming languages for Machine Learning: Python, Scala, and R.

3.3.1 Python

Python's popularity over the last decade has grown exponentially. Its core attraction was based on its simplicity and readability. Since then, it has gained enormous support and a plethora of libraries. Some of the most popular ML libraries are built on Python, increasing its significance for this research. Some ML libraries include: TensorFlow, Keras, and PyTorch. The advantage of using Python is its support and likeliness to the English language, making it easier to understand and code. The disadvantage to using Python is its speed compared to high-performance languages such as C or C++.

3.3.2 Scala

Scala is a programming language based on the criticism of Java. It eliminates all the flaws of Java and allows you to build object-orientated and functional programming in one concise high-level language. Scala has grown in popularity over the recent years for its scalable machine learning and extensive data capabilities. The advantage of using Scala is that it is compatible with Java's massive collection of libraries. In comparison to Python, Scala is almost ten times faster. (Sharma, 2021) but lacks the necessary support.

3.3.3 R

R is a programming language adopted by researchers and statisticians for data analysis and visualisation. It is based on Fortran and C, making it favoured by researchers and giving it a highly active community. The advantage of using R is connecting with another language, analysis capabilities, and data exploration in statistical models. R may be the best choice for creating a rapid machine learning prototype. In contrast, Python does seem to perform better in data manipulation and machine learning tasks with its robust libraries.

3.4 Libraries

3.4.1 NumPy

The NumPy library is used to perform mathematical operations. It offers powerful data structure and can also utilises high-level functions to operate on

matrices and arrays. This will be needed for this research to handle multidimensional arrays of an MRI.

3.4.2 Pandas

The Pandas library is used for data manipulation and analysis. This is essential for importing data into the code and will be a fundamental library within this project. With panda you can develop the data structure and perform basic analysis.

3.4.3 Matplotlib

Matplotlib is a plotting library that allows interactive visualisations. The library will be useful for this research to analyse the data and create models for evaluations. This also works in conjunction with NumPy, to enhance mathematical operations.

3.4.4 Scikit-learn

The Scikit library is popular for its machine learning capabilities. It allows various classification, clustering, and regression algorithm. This library will be used to help prepare the data into training and validation partitions.

3.5 Machine Learning libraries

ML libraries are tools built into the programming language; this includes standard learning algorithms to allow classification and regression tasks. This section will review the Python libraries: TensorFlow, Keras and PyTorch. A quick comparison of the three libraries is shown in table 2.

Table 2 Comparison of ML libraries (Terra, 2022)

	Keras	PyTorch	TensorFlow
API Level	High	Low	High and Low
Architecture	Simple, concise, readable	Complex, less readable	Not easy to use
Datasets	Smaller datasets	Large datasets, high performance	Large datasets, high performance
Debugging	Simple network, so debugging is not often needed	Good debugging capabilities	Difficult to conduct debugging
Does It Have Trained Models?	Yes	Yes	Yes
Popularity	Most popular	Third most popular	Second most popular
Speed	Slow, low performance	Fast, high- performance	Fast, high- performance
Written In	Python	Lua	C++, CUDA, Python

3.5.1 TensorFlow

TensorFlow is an open-source platform which supports ML capabilities. It produces a visual representation of your data, making it a practical approach to debugging. This can be especially helpful for this project when developing the CNN model from scratch. The issue with TensorFlow is its lack of features on the Windows OS, as contrasted to Linux OS. The advantage of using TensorFlow is its capability to take in a large dataset and produce high performance. When stacked alongside other libraries, TensorFlow triumphs in functionality and object detection

3.5.2 Keras

Keras is a library built upon TensorFlow, using the Python language. It utilises a high-level API architecture, meaning the functions will be simpler and more

generic than TensorFlow's low-level API. The upside to building on Keras is its low-level API, making it easy for beginners to learn and allowing a fast deployment of a neural network. The downside to using Keras and its low-level API is its simple functions may not be edited to your exact requirement; this also can lead to low-level backend errors and become difficult to debug. The great thing about Keras is that it can work in conjunction with TensorFlow allowing certain functions to integrate into the network. This library would be most useful when working with a small dataset and need to deploy a quick prototype.

3.5.3 PyTorch

PyTorch is another library that is simpler to learn and code. A comprehensive set of robust APIs for extending the PyTorch Libraries. It is designed to be more adaptable, optimised and faster. The great thing about PyTorch is its ability to generate computational graphs during runtime, allowing the user to visualise their network and make use of the debugging tools if anything goes wrong. The drawback to using this is that it's one of the newer libraries. PyTorch was released in 2016, and compared to Keras and TensorFlow, it has a smaller developer community.

3.5 Technology to be used

After reviewing the different technologies used for this project, this section will provide supportive reasoning for my choice. The focus of this project will be on the Convolutional Neural Network; this is because CNN has the most potential

for image classification tasks. It has the highest accuracy among all the algorithm that uses image data. Compared to SVM, it is easier to understand and can allow a fast deployment.

The leading choice of language would be Python; this is because I already have familiarity with using this language, and Python has exceptional development in Machine Learning. Some of the most popular ML libraries have been developed for Python.

The library that has been considered is PyTorch because of its powerful low-level API. The choice for this library is still subject to change as I have no prior experience using any of these, and I wish to experiment with a different type to see which best meets my requirement. Furthermore, in this technical review, I haven't discussed the cloud computing platform that I will be using to train the data; the runner up is Google collab, but I will research more on this over the upcoming weeks.

Chapter 4: Methodology

This project will follow the Agile Scrum methodology. The approach allows flexible management, which will help cover undefined requirements by breaking down tasks into sprints. The sprints will help achieve an incremental progression throughout this project. Breaking down the project can allow room for change and makes each task much more accomplishable. Below is a breakdown of the general tasks.

A breakdown of the tasks is shown in the form of a Gantt chart (fig. 6). This will help visualise the general breakdown of tasks and keep track of progress. The green indicates the duration of the project. The red Finally, the blue relates to the documentation for my dissertation and will overlap some of the red tasks.

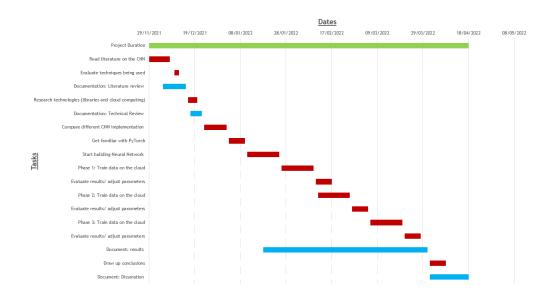


Figure 6 Gantt chart

Jira will be used to manage projects effectively. This enables a visual concept to build a dashboard, populate backlogs, and assign tasks. The tasks specified have been quite vague to appeal to the agile methodology. A bi-Page **27** of **53**

weekly sprint cycle will be implemented to meet the project's deadline. The sprints will be divided into five sections: research, skill development, development, and training.

The research is an essential part of this project. Rather than reinventing the wheel, it is far more advantageous to study the material available on the subject at hand so that it can be used as supporting data to establish a solid foundation for progress. The research phase would focus on broadening the scope of the DL field, including CNN for image classification on psychosis. The sprints have provided enough time for research to gain an understanding of the technologies involved in this project, namely Python.

This project's development phase will be the most time-consuming. For the duration of this sprint, an agile approach will be used to identify an effective method for building a CNN model. Many technical aspects must be considered, such as coding, hyperparameters, and accuracy.

Chapter 5: Design

When developing the model, the CNN architecture must be carefully designed. Fine-tuning the model is critical for achieving greater accuracy and effectiveness. As mentioned in the technical review, A CNN is composed of many different layers. This section will discuss how the model will be implemented and the various layers.

The first step in creating a model is to import the dataset. The dataset we're using is brain MRI images, and the images' details are in a separate excel file. The Pytorch dataset loader will be used to import these files and link them together. After importing the dataset, the next step is to divide it into training and validation sets. Building a reliable Deep Learning model, is a fundamental data science concept. This is done to improve reliability and prevent biased results.

To construct the CNN, the various layers must be built. The layer serves as the input. The input layer will contain image data and the brain MRI dataset. The input will contain the values of each pixel in an image, such as dimensions or colour channel. A brain MRI has a three-dimensional data structure (fig.7). This project may experiment with both 3D and 2D layers to

generate a comparison result. If the 2d layer is used, the three-dimensional matrix must be reshaped into a single value.

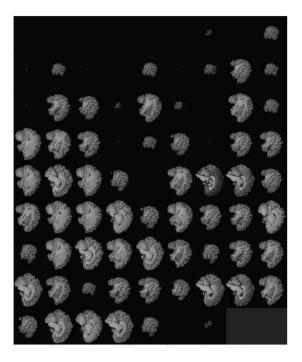


Figure 7 MRI sample

All image transformations are handled by the convolutional layer, also known as the Conv layer. Multiple layers are typically used to extract features from images by computing the dot product of the receptive field. This project aims to use a torch to implement a model based on a 3D convolutional layer, using the following syntax:

```
torch. nn.Conv3d(in_channels, out_channels, kernel_size,
stride=1, padding=0, dilation=1, groups=1, bias=True,
padding mode='zeros', device=None, dtype=None)
```

This initial model will be built on five layers, with four basic Conv layers and one 3D Conv layer. Depending on computational resources, additional layers can be added to improve performance.

The formula below describes the output results when determining the conv3d layer's input parameters.

$$out(N_i, C_{out_j}) = bias(C_{out_j}) + \sum_{k=0}^{C_{in}-1} weight(C_{out_j}, k) \star input(N_i, k)$$

The cover layer will also include a ReLU (Rectified Linear Unit) layer, which will be used to convert all negative values to zeros when designing the model to an element-wise activation function. The benefit of this is that it does not activate all neurons simultaneously; if the output is less than 0, the neuron is not activated.

The pooling layer reduces dimensions after each convolution by downsampling the spatial volume. This is necessary to implement because it reduces the already high computational cost. The CNN design will use max poling to reduce the size of each feature map, which will be explicitly applied after the ReLU activation. Max pooling chooses the image's maximum pixel value and is the preferred pooling method over average pooling. This is because average pooling smoothes out the appearance, making it challenging to identify specific features, whereas max pooling selects from the brightest pixel.

This section has gone over the process of creating a CNN model and provided justification for each layer. The initial model proposed will be simple in design to obtain a benchmarking score for further fine-tuning and discovering optimal hyperparameters and layers. A significant amount of time and resources will be invested in developing and testing variants of the models. Using different architectures such as AlexNet, UNett, VGG16, ResNet, etc. Due to time and

computational resource constraints, it may not be possible to build the optimal model at this time.

Chapter 6: Implementation

Models are created to interpret data and provide meaningful information to understand it better. CNN has been discussed throughout this report, and it will be the focus of implementation in this section. This section will describe the evolution of the proposed CNN model and identify critical components. The implementation is divided into two parts. Part 1 will use PyTorch to build the CNN model, and Part 2 will move the development to Keras.

The CNN model will be built on Google Colab, a cloud-based service hosted by Jupyter Notebook that allows for in-browser code execution. This is the best option for machine learning because it does not require your personal computational power. Because of the amount of storage and RAM needed to process a 3D Convent, Colab Pro was purchased for this project.

6.1 Part 1: PyTorch

6.1.1 Library:

The first step is to import the required libraries. The libraries exist to help simplify the programming process by inheriting code bundles for specific modules.

The libraries used in this project will vary and will be imported as needed. The initial libraries, as shown in fig.8, are the most important ones for getting the project started:

- Pandas: A data manipulation and analysis software library. The CSV file associated with the brain MRI dataset will be imported using this.
- Matplotlib: A plotting library that can be used for interactive visualisation and can be used to gain insight into brain MRI data.
- NumPy: A data manipulation library for performing mathematical operations on large datasets with multiple arrays and dimensions.
- Nibabel: Image formatting library that provides full meta data for image data and access to NumPy arrays.
- Sklearn: A library for machine learning. The model selection inheritance allows you to divide the dataset into training and validation segments.
- Torch: Another machine learning library with a diverse set of deep learning algorithms, including neural networks.

6.1.2 Importing datasets

The dataset included images as well as a CSV file containing the associated data. Panda was used to locate the dataset after uploading it to Google Drive.

```
BASE_DIR="/content/drive/MyDrive/brains"
#TRAIN_IMAGES_DIR=os.path.join(BASE_DIR, 'train_images')

data_df= pd.read_csv('/content/drive/MyDrive/Classification_clean.csv')

data_df["Class"]=data_df["Diagnosis"]>0
#data_df["Class"].replace(True,2,inplace=True)
#data_df["Class"].replace(False,1,inplace=True)
data_df.Class = data_df.Class.astype('int')
```

Figure 9 Reading CSV file

By inspecting the file's header (fig.10), you can see that it successfully loads the correct file.



Figure 10 Header

The next step in importing the dataset is to ensure that the images are included. To connect the image path to the CSV file, the Milano MRI Dataset class is created. The code searches for the attribute 'ID CF' and determines whether it matches the image.

```
class Milano MRI Dataset(Dataset):
    def __init__(self,df:pd.DataFrame,imfolder:str,train:bool = True, transforms=None):
    self.df=df
        self.imfolder=imfolder
         self.train=train
        self.transforms=transforms
    def __getitem__(self,index):
    filename='w'+self.df.iloc[index]['ID_CF']+'_MRI_sMRI_'+self.df.iloc[index]['ID_CF']+'_brain.nii.gz';
         im_path=os.path.join(self.imfolder,filename)
         nimg = nb.load(im_path)
        x = np.array(nimg.dataobj)
x=nimg.get_fdata()
         x = transforms.ToTensor()(x)
         x=x.unsqueeze(0).type(torch.FloatTensor);
         m=torch.mean(x)
        s=torch.std(x)
         x=(x-m)/s
        if(self.transforms):
           x=self.transforms(image=x)['image']
        if(self.train):
             y=self.df.iloc[index]['Class']
             return x,y
             return x
    def __len__(self):
    return len(self.df)
```

Figure 11 Class: Milano_MRI_Dataset

6.1.3 Training and testing

Now it's time to divide the data into training and validation partitions. The Milano MRI Dataset class is loaded and applied to the train loader and valid loader. The test set would use 20% of the total dataset. The training set would use 539 samples, while the validation set would use 135.

6.1.4 CNN model

We can now begin building the CNN model. This is a straightforward implementation that makes use of conv3d. The model will have five layers and a filter size of 3. Filter layers are determined by the input and output channels listed below.

Layer 1: 1, 32

- Layer 2: 32, 64,
- Layer 3: 64, 128
- Layer 4: 128, 256
- Layer 5: 256, 2048

```
class Simple3DCNN(nn.Module):
    def __init__(self, num_classes):
        super(Simple3DCNN, self).__init__()
        self.conv_layer1 = self._make_conv_layer(1, 32)
        self.conv_layer2 = self._make_conv_layer(32, 64)
        self.conv_layer3 = self._make_conv_layer(64, 128)
self.conv_layer4 = self._make_conv_layer(128, 256)
        self.conv_layer5=nn.Conv3d(256, 2048, kernel_size=(4, 4, 5), padding=0)
        self.fc5 = nn.Linear(2048, 512)
        self.relu = nn.LeakyReLU()
        self.batch0=nn.BatchNorm1d(512)
        self.drop=nn.Dropout(p=0.25)
        self.fc6 = nn.Linear(512, 256)
        self.relu = nn.LeakyReLU()
        self.batch1=nn.BatchNorm1d(256)
        self.drop=nn.Dropout(p=0.25)
        self.fc7 = nn.Linear(256, num_classes)
    def _make_conv_layer(self, in_c, out_c):
        conv_layer = nn.Sequential(
        nn.Conv3d(in_c, out_c, kernel_size=3, padding=1),
        nn.LeakyReLU(),
        #nn.Conv3d(out_c, out_c, kernel_size=3, padding=1),
        #nn.LeakyReLU()
        nn.BatchNorm3d(out_c),
        nn.MaxPool3d(kernel_size=2, stride=2),
        return conv_layer
```

Figure 12 CNN model

Other layers are included to optimise the model. Multiple ReLU activation functions are available. 3D Maxpool with stride two and filter size 2. The node has three fully connected layers: (2048, 512), (512, 256), and (256, num classes)—finally, the dropout layer, which guards against overfitting.

6.1.5 Training

The training function is defined after the model is created. This section of code structures the implementation process and aids in model evaluation by printing details of the training and validation partitions for accuracy and loss. The model will run on multiple epochs to help manage computational power and avoid underfitting.

```
def train model(datasets, dataloaders, model, criterion, optimizer, scheduler, num epochs, device):
    since = time.time()
    best_model_wts = copy.deepcopy(model.state_dict())
    for epoch in range(num_epochs):
    print('Epoch {}/{}\".format(epoch, num_epochs-1))
    print('-' * 10)
         for phase in ['train', 'valid']:
   if phase == 'train':
                  model.train()
                  model.eval()
             running_loss = 0.0
              running_corrects = 0.0
              for inputs, labels in dataloaders[phase]:
                  inputs = inputs.to(device)
labels=labels.to(device)
                  # Zero out the grads
                  optimizer.zero_grad()
                  # Track history in train mode
                  with torch.set_grad_enabled(phase == 'train'):
    model=model.to(device)
                       outputs = model(inputs)
_, preds = torch.max(outputs, 1)
                       #loss = criterion(outputs, labels.type(torch.LongTensor).unsqueeze(1).to(device))
                       loss = criterion(outputs, labels.type(torch.LongTensor).to(device))
                       if phase == 'train':
                            loss.backward()
                            optimizer.step()
                  running_loss += loss.item()*inputs.size(0)
                  running_corrects += torch.sum(preds == labels.data)
phase == 'train';
                  scheduler.step()
              epoch_loss = running_loss/len(datasets[phase])
              epoch_acc = running_corrects.double()/len(datasets[phase])
             print('{} Loss: {:.4f} Acc: {:.4f}'.format(
                  phase, epoch_loss, epoch_acc))
              if phase == 'valid' and epoch_acc > best_acc:
                   best_acc = epoch_acc
                 best_model_wts = copy.deepcopy(model.state_dict())
         print()
    time_elapsed = time.time()-since
print('Training complete in {:.0f}m {:.0f}s'.format(
    time_elapsed // 60, time_elapsed % 60))
print('Best val Acc: {:4f}'.format(best_acc))
    model.load_state_dict(best_model_wts)
    return model
```

Figure 13 Training function

Once the training function is defined, the weights can be for the model, as shown below.

```
class_sample_count = np.array([len(np.where(train_targets == t)[0]) for t in np.unique(train_targets)])
weight = 1. / class_sample_count
class_weight=torch.from_numpy(weight)
class_weight=class_weight.max()/class_weight
class_weight=class_weight/class_weight.max()
class_weight=class_weight.type(torch.FloatTensor)
print(class_weight)
```

Figure 14 Weights

Finally, we can train the model to our specifications. The Adam optimiser will be used for training, which is the recommended algorithm for deep learning models. The criterion is also set to Cross-Entropy Loss. In this case, the number of epochs is set to 10.

6.2 Part 2: Keras

Using the Keras library, this section of the implementation will rebuild the CNN model. This is because the Keras library's syntax is simpler to create. Many CNN architectures produce better results, and the majority of them have been published using Keras. This new implementation will aim to develop and improve the model. The code will be available in the appendix for further examination.

The Keras development process is similar to Torch, with the exception of the use of the torch dataset loader. The first section of code would be to use Panda to import the dataset and join the paths of the images and CSV files. The images are then processed with Nibabel. The dataset is also divided into

train and test segments, with the shuffle set to true to avoid repeatedly using the same partitions.

```
x_train,x_test,y_train,y_test = train_test_split(X, y,
test size=0.2, shuffle=True, random state=69)
```

The dataset is now prepared for modelling. The next step in the implementation would be to structure the ConvNet layers and determine the best modelling technique. In the following chapter, the CNN will be developed and tested. The model will also be improved by incorporating techniques from other CNN architectures.

Chapter 7: Testing

7.1 PyTorch 3D CNN

The PyTorch library was used to create the first CNN model implementation. The model included ReLU activation, max pooling, dropout, and FC layer in addition to a 3D convnet with five layers. This version received the highest accuracy score of 64%. When compared to other works of literature, this is not the most accurate. The general architecture of this CNN model could be improved further. Some suggestions for enhancements include optimising hyperparameters and increasing the number of layers and epochs.

```
Epoch 0/9
train Loss: 0.7579 Acc: 0.5399
valid Loss: 0.6982 Acc: 0.5407
Epoch 1/9
train Loss: 0.6951 Acc: 0.5993
valid Loss: 0.6733 Acc: 0.5926
Epoch 2/9
train Loss: 0.6595 Acc: 0.6308
valid Loss: 0.6913 Acc: 0.5852
Epoch 3/9
train Loss: 0.6157 Acc: 0.6790
valid Loss: 0.7274 Acc: 0.5630
Epoch 4/9
train Loss: 0.6050 Acc: 0.6772
valid Loss: 0.6986 Acc: 0.5778
Epoch 5/9
train Loss: 0.5790 Acc: 0.6827
valid Loss: 0.6968 Acc: 0.6074
Epoch 6/9
train Loss: 0.5458 Acc: 0.7310
valid Loss: 0.7149 Acc: 0.6074
Epoch 7/9
```

train Loss: 0.5341 Acc: 0.7291 valid Loss: 0.6797 Acc: 0.6296

Epoch 8/9
-----train Loss: 0.5121 Acc: 0.7570 valid Loss: 0.6999 Acc: 0.6148

Epoch 9/9
----train Loss: 0.4969 Acc: 0.7774 valid Loss: 0.6915 Acc: 0.6444

Training complete in 82m 15s
Best val Acc: 0.644444

After working with the torch library, It was clear that Keras is the most popular machine learning library with the amount of content available online. Although PyTorch is designed for faster processing, the syntax for Keras is much simpler, and many of the sources have based their architecture on Keras.

7.2 Keras basic model

The first model is based on Keras and has a 2D ConvNet layer. This is a simple design for generating initial accuracy. This model's precise summary is labelled below.

Model: "sequential 1"

Layer (type)	Output Shape	Param #
conv2d_3 (Conv2D)	(None, 77, 93, 32)	22784
activation_4 (Activation)	(None, 77, 93, 32)	0
<pre>max_pooling2d_3 (MaxPooling 2D)</pre>	(None, 39, 47, 32)	0
conv2d_4 (Conv2D)	(None, 37, 45, 64)	18496
activation_5 (Activation)	(None, 37, 45, 64)	0
<pre>max_pooling2d_4 (MaxPooling 2D)</pre>	(None, 18, 22, 64)	0
conv2d_5 (Conv2D)	(None, 16, 20, 128)	73856

```
activation 6 (Activation) (None, 16, 20, 128)
max pooling2d 5 (MaxPooling (None, 8, 10, 128)
2D)
flatten 1 (Flatten)
                        (None, 10240)
                                               0
dense 2 (Dense)
                        (None, 64)
                                              655424
activation 7 (Activation)
                        (None, 64)
dropout 1 (Dropout)
                        (None, 64)
dense 3 (Dense)
                        (None, 1)
                                               6.5
______
Total params: 770,625
Trainable params: 770,625
Non-trainable params: 0
```

After ten epochs of training, the results show an accuracy of 69.5%. Although this is 5% better than our 3D CNN model, the validation accuracy results give a final score of 56.3%.

```
0.6930 - accuracy: 0.6827 - val loss: 0.7590 - val accuracy: 0.5630
Epoch 2/10
17/17 [=========== ] - 14s 847ms/step - loss:
0.6410 - accuracy: 0.7013 - val loss: 0.7118 - val accuracy: 0.5630
0.6367 - accuracy: 0.6809 - val loss: 0.7272 - val accuracy: 0.5630
Epoch 4/10
0.6415 - accuracy: 0.6957 - val loss: 0.7119 - val accuracy: 0.5630
Epoch 5/10
0.6181 - accuracy: 0.6957 - val loss: 0.7186 - val accuracy: 0.5630
Epoch 6/10
0.6187 - accuracy: 0.6957 - val loss: 0.7126 - val accuracy: 0.5630
Epoch 7/10
0.6137 - accuracy: 0.6957 - val loss: 0.7028 - val accuracy: 0.5630
Epoch 8/10
17/17 [============ ] - 14s 837ms/step - loss:
0.6065 - accuracy: 0.6957 - val loss: 0.7067 - val accuracy: 0.5630
Epoch 9/10
```

The model was further evaluated by plotting graphs of model loss and train accuracy vs validation accuracy. The graphs show that the validation loss increases while the accuracy remains constant. The model is overfitting in this case.

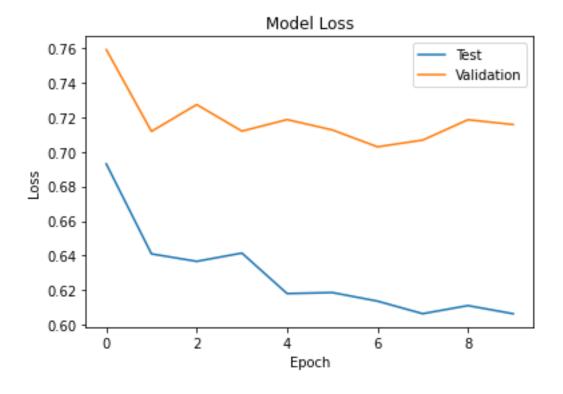


Figure 15 Model loss

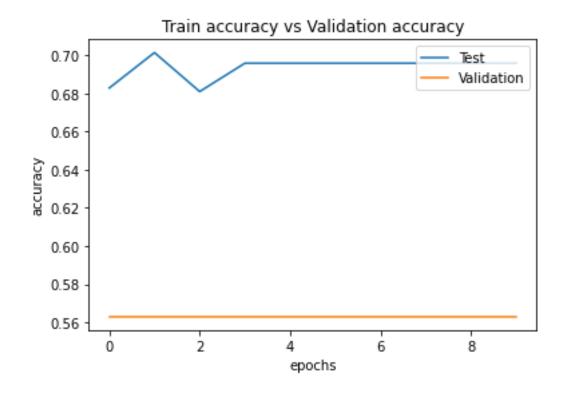


Figure 16 Train accuracy vs validation accuracy

7.3 VGG16

The VGG16 architecture will be used in this section of the testing. This is a significant improvement to the model, which has a total parameter count of 40 million as opposed to the previous model's 770 thousand. The summary of the model is shown below.

Model: "sequential"

Layer (type)	Output Shape	Param #
conv2d (Conv2D)	(None, 79, 95, 64)	45568
conv2d_1 (Conv2D)	(None, 79, 95, 64)	36928
<pre>max_pooling2d (MaxPooling2D)</pre>	(None, 39, 47, 64)	0
conv2d_2 (Conv2D)	(None, 39, 47, 128)	73856

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conv2d_3 (Conv2D)	(None, 39, 47, 128)	147584
<pre>max_pooling2d_1 (MaxPooling 2D)</pre>	(None, 19, 23, 128)	0
conv2d_4 (Conv2D)	(None, 19, 23, 256)	295168
conv2d_5 (Conv2D)	(None, 19, 23, 256)	590080
conv2d_6 (Conv2D)	(None, 19, 23, 256)	590080
<pre>max_pooling2d_2 (MaxPooling 2D)</pre>	(None, 9, 11, 256)	0
conv2d_7 (Conv2D)	(None, 9, 11, 512)	1180160
conv2d_8 (Conv2D)	(None, 9, 11, 512)	2359808
conv2d_9 (Conv2D)	(None, 9, 11, 512)	2359808
<pre>max_pooling2d_3 (MaxPooling 2D)</pre>	(None, 4, 5, 512)	0
conv2d_10 (Conv2D)	(None, 4, 5, 512)	2359808
conv2d_11 (Conv2D)	(None, 4, 5, 512)	2359808
conv2d_12 (Conv2D)	(None, 4, 5, 512)	2359808
<pre>max_pooling2d_4 (MaxPooling 2D)</pre>	(None, 2, 2, 512)	0
flatten (Flatten)	(None, 2048)	0
dense (Dense)	(None, 4096)	8392704
dense_1 (Dense)	(None, 4096)	16781312
dense_2 (Dense)	(None, 2)	8194

Total params: 39,940,674
Trainable params: 39,940,674
Non-trainable params: 0

This architecture produced better validation accuracy results, at 68.9%, but this percentage remained constant throughout the epoch, showing problems with the model.

```
Epoch 2/10
- accuracy: 0.6642 - val loss: 0.6302 - val accuracy: 0.6889
17/17 [============= ] - 92s 5s/step - loss: 0.6403
- accuracy: 0.6642 - val loss: 0.6206 - val accuracy: 0.6889
Epoch 4/10
- accuracy: 0.6642 - val_loss: 0.6211 - val_accuracy: 0.6889
Epoch 5/10
- accuracy: 0.6642 - val loss: 0.6204 - val accuracy: 0.6889
Epoch 6/10
- accuracy: 0.6642 - val loss: 0.6231 - val accuracy: 0.6889
Epoch 7/10
- accuracy: 0.6642 - val loss: 0.6203 - val_accuracy: 0.6889
Epoch 8/10
- accuracy: 0.6642 - val loss: 0.6217 - val accuracy: 0.6889
Epoch 9/10
- accuracy: 0.6642 - val loss: 0.6210 - val accuracy: 0.6889
Epoch 10/10
- accuracy: 0.6642 - val_loss: 0.6204 - val_accuracy: 0.6889
```

After evaluating the model, the Keras model performed better in validation accuracy, but the graphs do not show a healthy model. This could be interpreted as a clear indication that the model is overfitting.

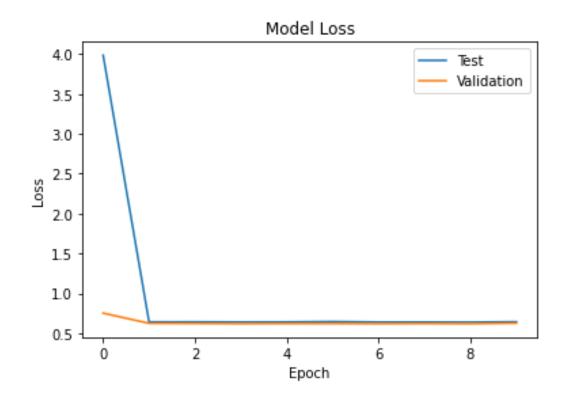


Figure 17 Model loss 2

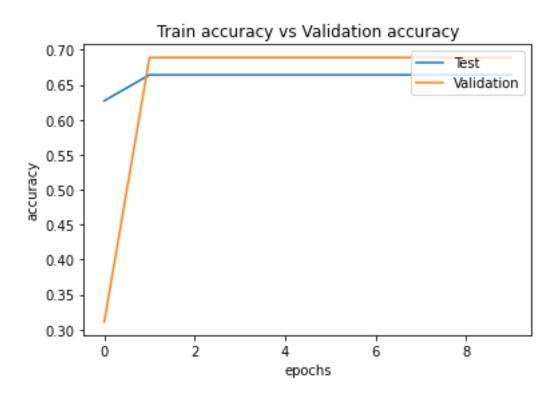


Figure 18 Train accuracy vs validation accuracy 2

Chapter 8: Evaluation

The first model was created using PyTorch to generate a ConvNet with 3D layers. The initial results for this 3D-CNN achieved the best accuracy of 64.4%. This is an impressive score for a basic implementation of this model. This followed the system's initial design to achieve the classification of Psychosis, but further improvements could have been made by implementing a different architecture. There was no architectural framework supporting 3D layers, so it was impossible to proceed. Furthermore, the computational cost of training this model is high, and training would take a long time. Due to the limited time, it was the best option to switch to Keras, which has a simpler syntax and implement a 2D layer instead, generating a faster result.

The second model was based on the Keras library, utilising a 2D convnet. There were two versions of this model. The first version was built with a basic structure, and the second one implements the VGG16 architecture. This gave an accuracy score of 56.3%, which improved to 68.8% through VGG16. This implementation shared the issues of overfitting, thus harming the validity of the results. This could not be resolved in time, but suggestions to fix this could lie in the dataset's dropout layer, weights, or partition. The primary structuring for this model is laid out and given more time, sufficient testing and configuration could have been carried out to generate a healthy model.

Chapter 9: Conclusion and reflection

The Deep Learning application for diagnosing psychosis has a promising future and may be adopted by the general public in the future. The research aided in developing a comprehensive understanding of the field of Deep Learning Diagnostics for Mental Health Diagnostics. There was a wealth of literature demonstrating ground-breaking discoveries and technological trends. The findings of these studies are documented throughout this report, which addresses one of the dissertation's objectives: learning more about the current state of affairs.

The project followed an agile scrum methodology which helped tackle progress of the project in a timely manner. Although more time dedicated to the implementation phase would have led to better results. The results gathered from the various implementation of these models produced an accuracy greater than 55%, which was then subject to configuration and optimisation.

The developed code was able to take the paths of the dataset which comprised of the CSV file containing the information and the images stored in the zip format. The code can then transform the arrays to make it suitable to fit onto a 2D or 3D model. A data partition was also used to create a set for training and validation.

The modelling of the actual ConvNet had two different versions made on the PyTorch and Keras library. On the Torch library, the 3D ConvNet was implemented which generate

The overfitting issue.

would often crash; this consumed a lot of time

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