Relational Data Model

Outline

1. Relational Data Model

1. From ER Diagrams to Relational Schema

1. Relational Operations

Relational Data Model

- Key concept:

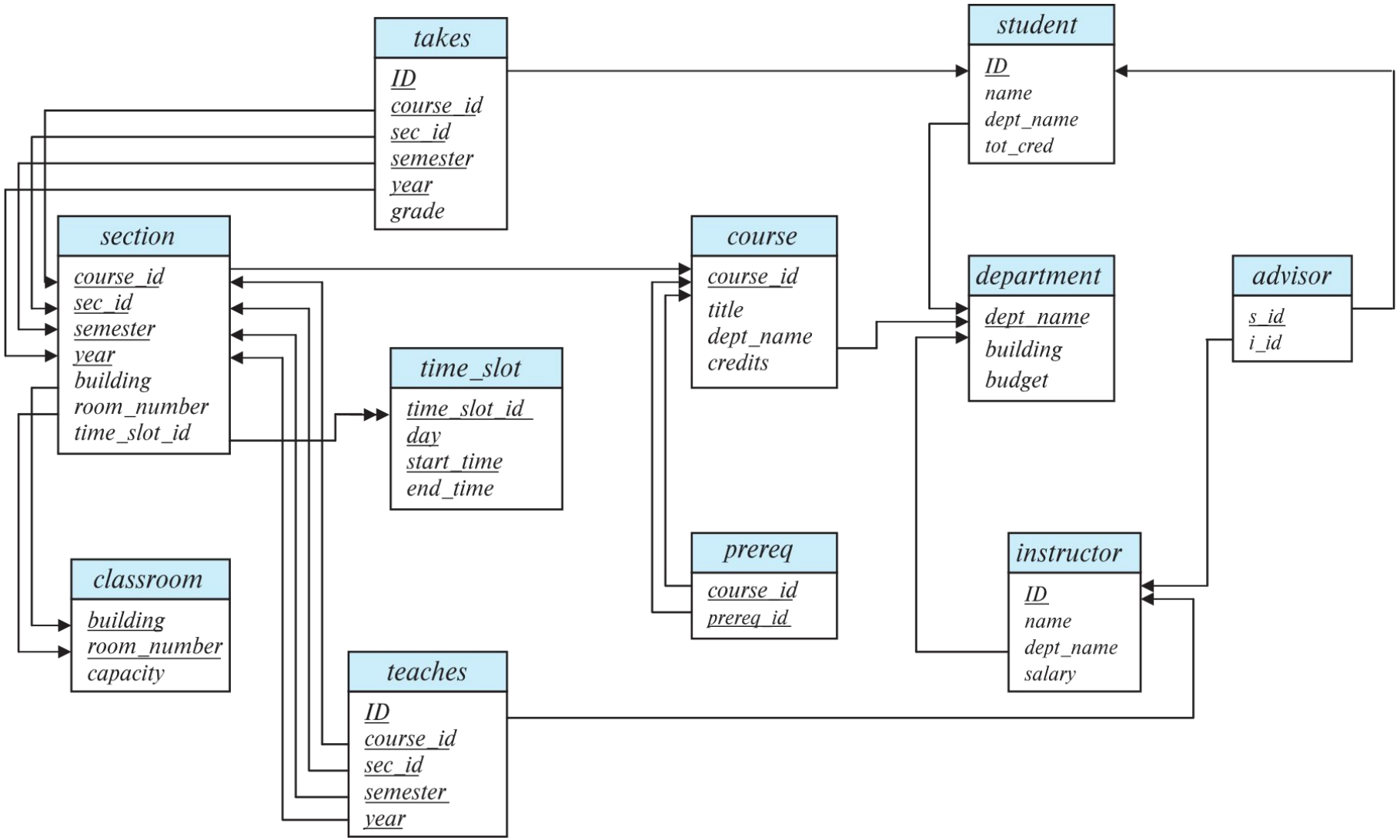
  In ER both ***Entity sets*** and ***Relationships*** become relations (tables in RDBMS)

- Database schema is the logical structure of the database.
- Database instance is a snapshot of the data in the database at a given instant in time.

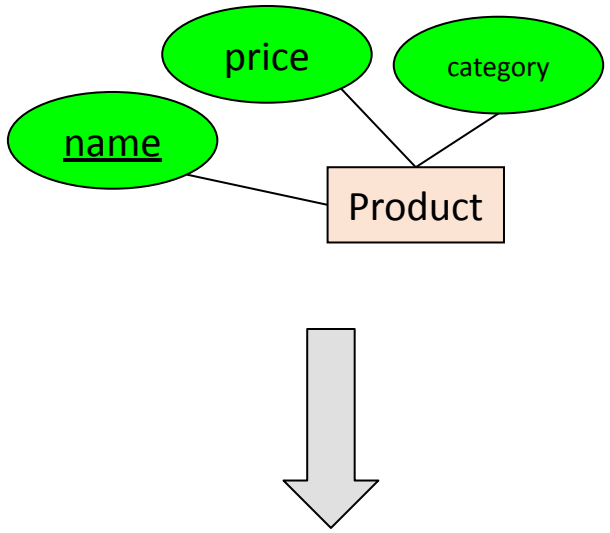
Keys

- Let K ⊆ R, *K* is a **superkey** of *R* if values for *K* are sufficient to identify a unique tuple of each possible relation *r(R)*
  - Example: {*ID*} and {ID,name} are both superkeys of *instructor.*
- Superkey *K* is a **candidate key** if *K* is minimal
  - Example: {*ID*} is a candidate key for *Instructor*
- One of the candidate keys is selected to be the **primary key**.
  - Which one?
- **Foreign key** constraint: Value in one relation must appear in another
  - **Referencing** relation
  - **Referenced** relation
  - Example: *dept_name* in i*nstructor* is a foreign key from *instructor* referencing *department*

Schema Diagram for University Database



From ER Diagrams to Database Instance



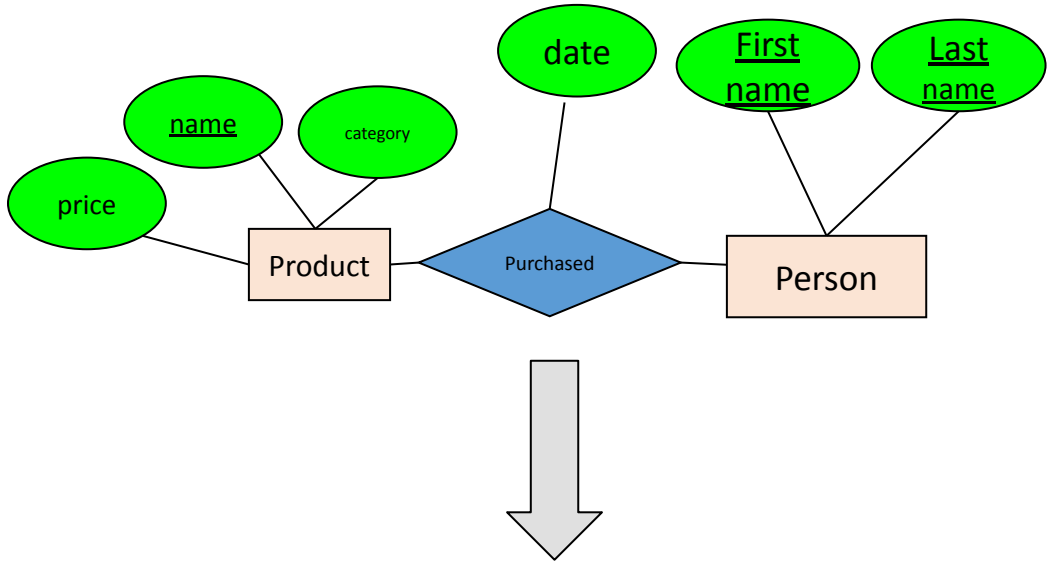

```
CREATE TABLE Product(
  name     CHAR(50) PRIMARY KEY,
  price    DOUBLE,
  category VARCHAR(30)
)
```

Product(<u>name</u>: string, prince: double, category: string)

Product

name	price	category
Gizmo1	99.99	Camera
Gizmo2	19.99	Edible

From ER Diagrams to Database Instance



Product(name:string, price: double, category: string)
Person(firstname: string, lastname: string)
Purchased(name: sting, firstname: string, lastname: string, date: date)

```
CREATE TABLE Purchased(
  name      CHAR(50),
  firstname CHAR(50),
  lastname  CHAR(50),
  date      DATE,
  PRIMARY KEY (name, firstname,
lastname),
  FOREIGN KEY (name)
       REFERENCES Product,
  FOREIGN KEY (firstname, lastname)
       REFERENCES Person
)
```

Purchased

name	firstname	lastname	date
Gizmo1	Bob	Joe	01/01/15
Gizmo2	Joe	Bob	01/03/15
Gizmo1	JoeBob	Smith	01/05/15

Reduction to Relation Schemas

- Entity sets and relationship sets can be expressed uniformly as *relation schemas* that represent the contents of the database.
- A database which conforms to an ER diagram can be represented by a collection of schemas.
- For each entity set and relationship set there is a unique schema that is assigned the name of the corresponding entity set or relationship set.
- Each schema has a number of columns (generally corresponding to attributes), which have unique names.
- Specification of domain (data types) for each column is optional but will be required in the data definition

Representing Entity Sets

- A strong entity set reduces to a schema with the same attributes

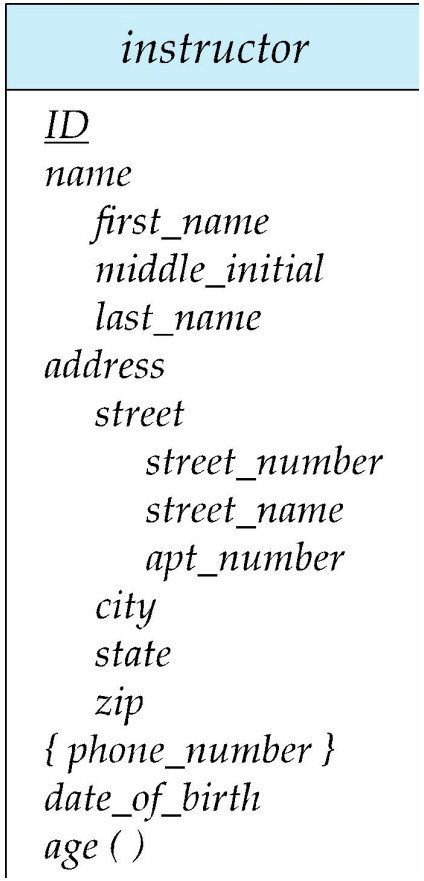
  student(ID, name, tot_cred)

- A weak entity set becomes a table that includes a column for the primary key of the identifying strong entity set

  section (course_id, sec_id, sem, year)

- Example

Representation of Entity Sets with Composite Attributes



- Composite attributes are flattened out by creating a separate attribute for each component attribute
  - Example: given entity set *instructor* with composite attribute *name* with component attributes *first_name* and *last_name* the schema corresponding to the entity set has two attributes *name_first_name* and *name_last_name*
    - Prefix omitted if there is no ambiguity (*name_first_name* could be *first_name)*
- Ignoring multivalued attributes, extended instructor schema is
  - *instructor(ID, first_name, middle_initial, last_name, street_number, street_name, apt_number, city, state, zip_code, date_of_birth)*

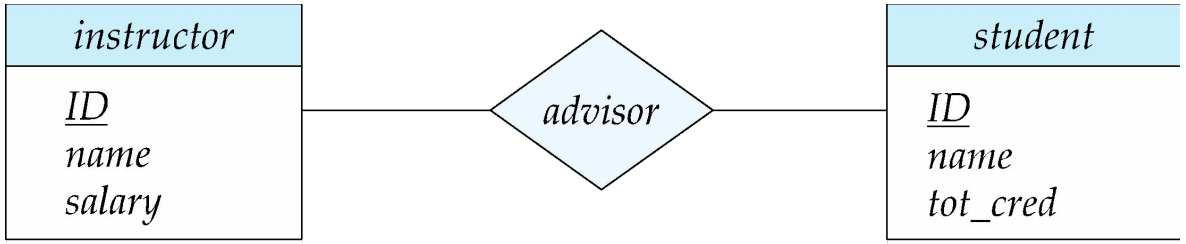
Representation of Entity Sets with Multivalued Attributes

- A multivalued attribute *M* of an entity *E* is represented by a separate schema *EM*
- Schema *EM* has attributes corresponding to the primary key of *E* and an attribute corresponding to multivalued attribute *M*
- Example: Multivalued attribute *phone_number* of *instructor* is represented by a schema:
  inst_phone= (*ID*, *phone_number*)
- Each value of the multivalued attribute maps to a separate tuple of the relation on schema *EM*
  - For example, an *instructor* entity with primary key 22222 and phone numbers 456-7890 and 123-4567 maps to two tuples:
    (22222, 456-7890) and (22222, 123-4567)

Representing Relationship Sets

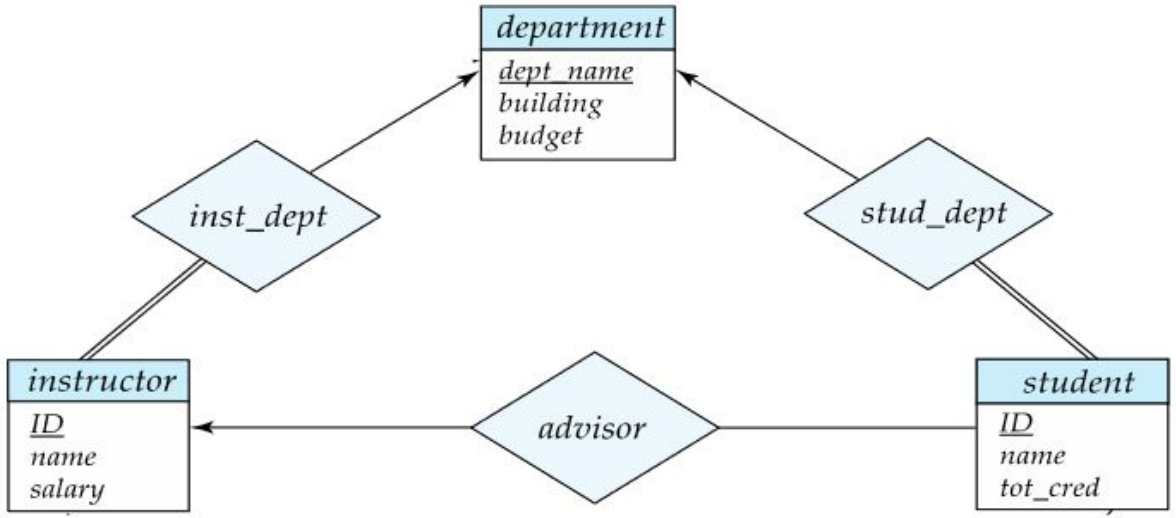
- A many-to-many relationship set is represented as a schema with attributes for the primary keys of the two participating entity sets, and any descriptive attributes of the relationship set.
- Example: schema for relationship set *advisor*

advisor = (*s_id, i_id*)



Redundancy of Schemas

- Many-to-one and one-to-many relationship sets that are total on the many-side can be represented by adding an extra attribute to the “many” side, containing the primary key of the “one” side
- Example: Instead of creating a schema for relationship set *inst_dept*, add an attribute *dept_name* to the schema arising from entity set *instructor*
- Example



Redundancy of Schemas (Cont.)

- For one-to-one relationship sets, either side can be chosen to act as the “many” side
  - That is, an extra attribute can be added to either of the tables corresponding to the two entity sets
- If participation is *partial* on the “many” side, replacing a schema by an extra attribute in the schema corresponding to the “many” side could result in null values

Redundancy of Schemas (Cont.)

- The schema corresponding to a relationship set linking a weak entity set to its identifying strong entity set is redundant.
- Example: The *section* schema already contains the attributes that would appear in the *sec_course* schema

Specialization and Generalization

- **Top-down design process**; we designate sub-groupings within an entity set that are distinctive from other entities in the set.
  - These sub-groupings become lower-level entity sets that have attributes or participate in relationships that do not apply to the higher-level entity set.
  - Depicted by a *triangle* component labeled ISA (e.g., *instructor* "is a" *person*).
  - **Attribute inheritance** – a lower-level entity set inherits all the attributes and relationship participation of the higher-level entity set to which it is linked.
- **A bottom-up design process** – combine a number of entity sets that share the same features into a higher-level entity set.
  - Specialization and generalization are simple inversions of each other; they are represented in an E-R diagram in the same way.
  - The terms specialization and generalization are used interchangeably.

Specialization Example

- **Overlapping** – *employee* and *student*
- **Disjoint** – *instructor* and *secretary*
- Total and partial

Representing Specialization via Schemas

- Method 1:
  - Form a schema for the higher-level entity
  - Form a schema for each lower-level entity set, include primary key of higher-level entity set and local attributes

schema	attributes
person	ID, name, street, city
student	ID, tot_cred
employee	ID, salary

  - Drawback: getting information about, an *employee* requires accessing two relations, the one corresponding to the low-level schema and the one corresponding to the high-level schema

Representing Specialization as Schemas (Cont.)

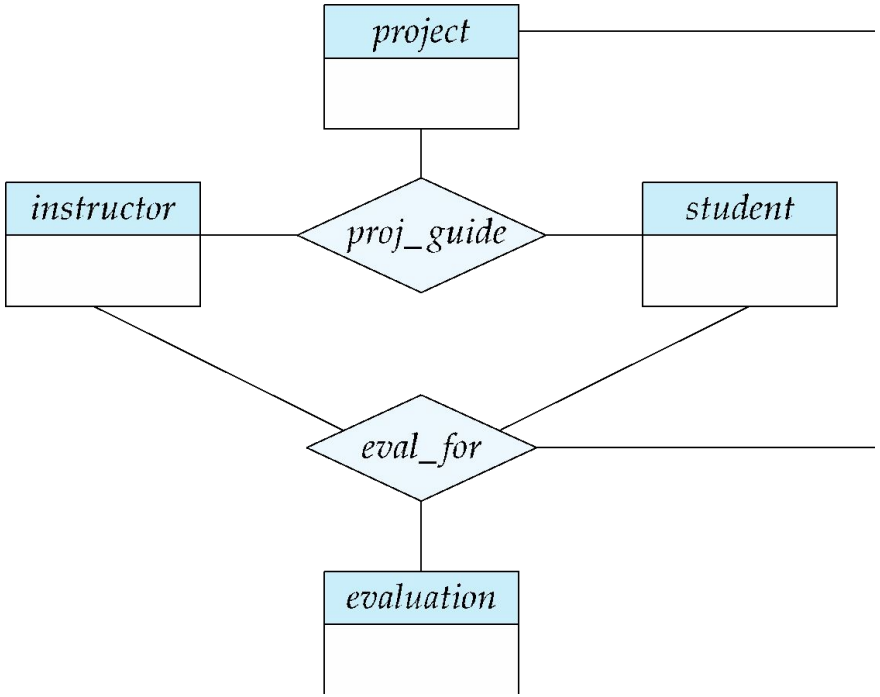
- Method 2:
  - Form a schema for each entity set with all local and inherited attributes

schema	attributes
person	ID, name, street, city
student	ID, name, street, city, tot_cred
employee	ID, name, street, city, salary

  - Drawback: *name, street* and *city* may be stored redundantly for people who are both students and employees

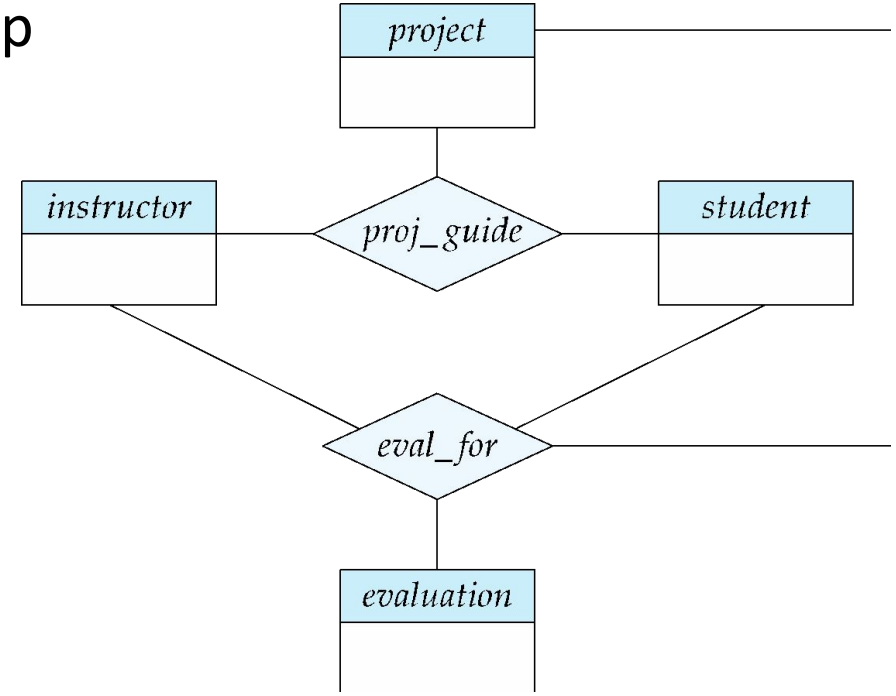
Aggregation

- Consider the ternary relationship *proj_guide*, which we saw earlier
- Suppose we want to record evaluations of a student by a guide on a project



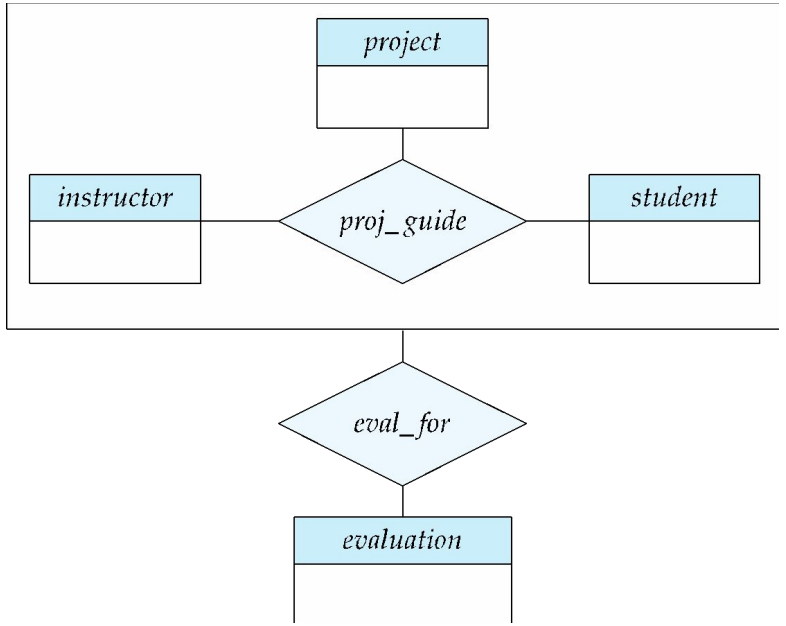
Aggregation (Cont.)

- Relationship sets *eval_for* and *proj_guide* represent overlapping information
  - Every *eval_for* relationship corresponds to a *proj_guide* relationship
  - However, some *proj_guide* relationships may not correspond to any *eval_for* relationships
    - So we can't discard the *proj_guide* relationship
- Eliminate this redundancy via *aggregation*
  - Treat relationship as an abstract entity
  - Allows relationships between relationships
  - Abstraction of relationship into new entity



Aggregation (Cont.)

- Eliminate this redundancy via *aggregation* without introducing redundancy, the following diagram represents:
  - A student is guided by a particular instructor on a particular project
  - A student, instructor, project combination may have an associated evaluation



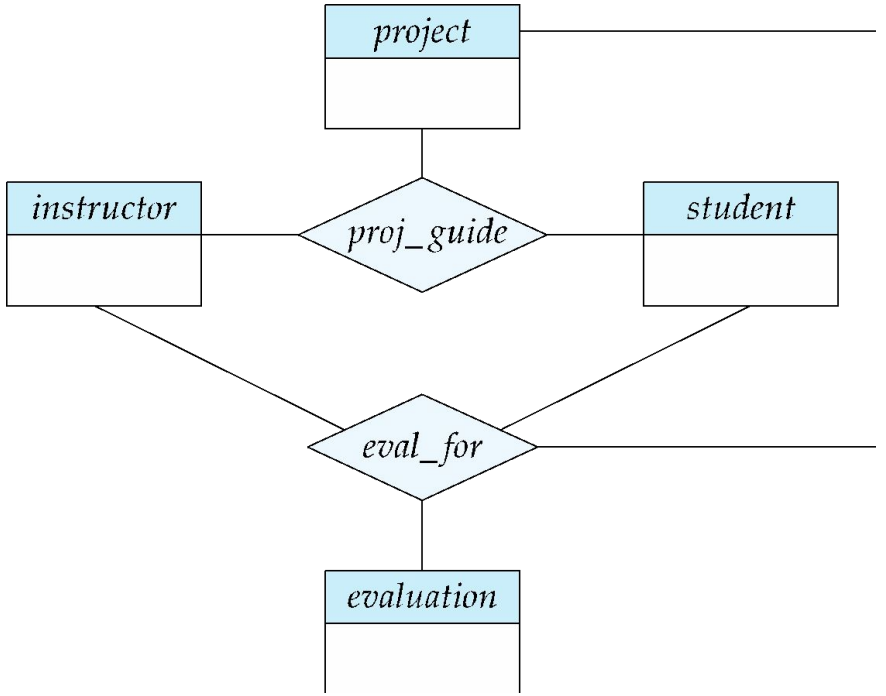
Reduction to Relational Schemas

- To represent aggregation, create a schema containing
  - Primary key of the aggregated relationship,
  - The primary key of the associated entity set
  - Any descriptive attributes
- In our example:

  The schema *eval_for* is:

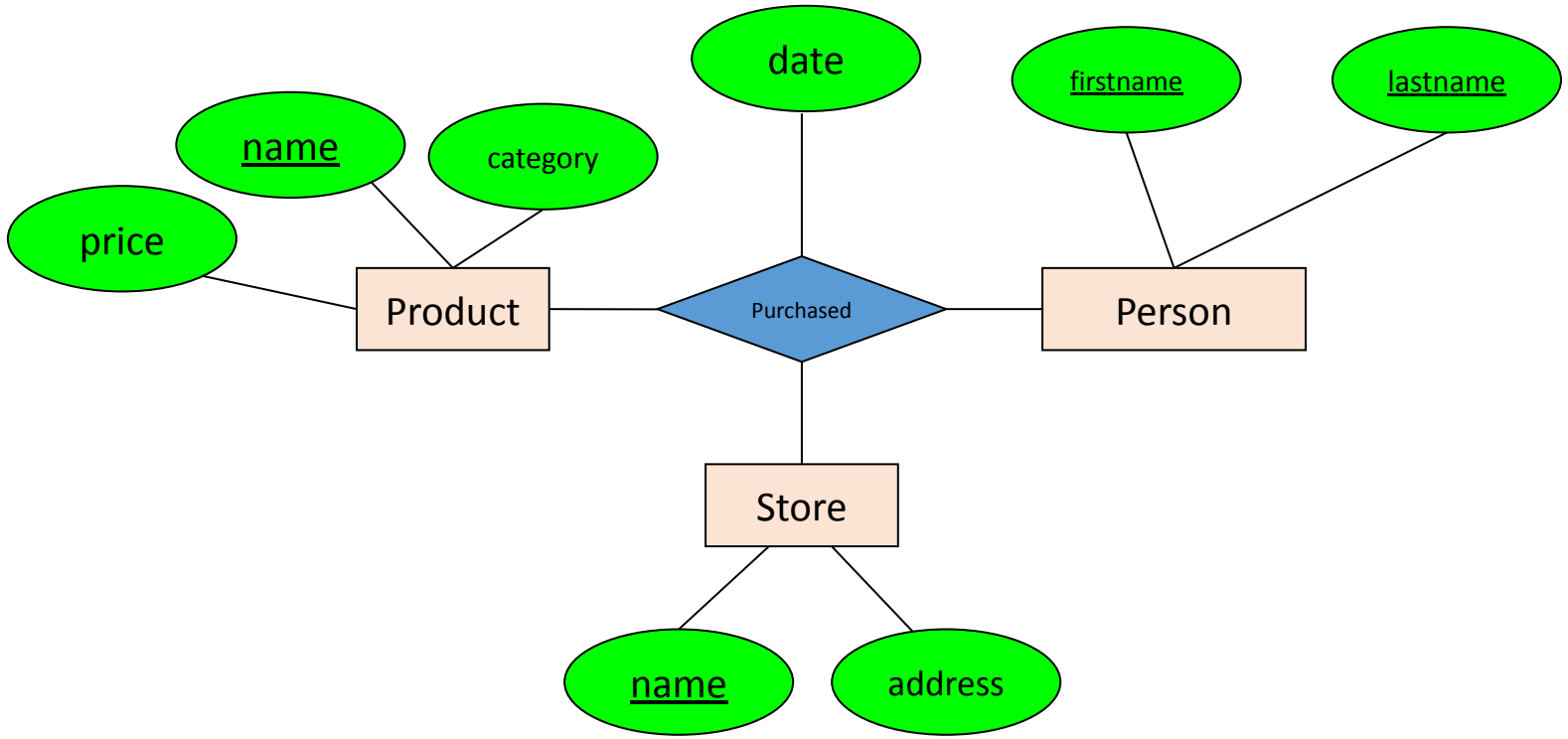
  eval_for (*s_ID, project_id, i_ID, evaluation_id*)

  The schema *proj_guide* is redundant.



From ER Diagram to Relational Schema

How do we represent this as a relational schema?



Relational Operations

Relational Operations

- Order of tuples is irrelevant (tuples may be stored in an arbitrary order)
- The special value ***null*** is a member of every domain. Indicated that the value is "unknown"
  - The null value causes complications in the definition of many operations

- Relational operations take one or two relations as input and produce a new relation as their result.
- Six basic operations and corresponding operators in Relational Algebra
  - select: σ
  - project: ∏
  - union: ∪
  - set difference: –
  - Cartesian product: x
  - rename: ρ

Select Operation

- The **select** operation selects tuples that satisfy a given predicate.
- Notation: $\sigma_p(r)$, p is called the **selection predicate**
- Example: select those tuples of the *instructor* relation where the instructor is in the "Physics" department.
  - Query

    $\sigma_{dept\_name="Physics"}(instructor)$

  - Result

ID	*name*	*dept_name*	*salary*
22222	Einstein	Physics	95000
33456	Gold	Physics	87000

Select Operation (Cont.)

- We allow comparisons using $=, \neq, >, \geq, <, \leq$ in the selection predicate.
- We can combine several predicates into a larger predicate by using the connectives:

  $\wedge$ (**and**), $\vee$ (**or**), $\neg$ (**not**)

- Example: Find the instructors in Physics with a salary greater $90,000, we write:

  $\sigma_{dept\_name=\text{“}Physics\text{”} \wedge salary > 90,000}(instructor)$

- The select predicate may include comparisons between two attributes.
  - Example, find all departments whose name is the same as their building name:
  - $\sigma_{dept\_name=building}(department)$

Project Operation

- A unary operation that returns its argument relation, with certain attributes left out.
- Notation:

$$\prod_{A1,A2,A3\ \ldots Ak} (r)$$

where A_1, A_2, …, A_k are attribute names and r is a relation name.

- The result is defined as the relation of k columns obtained by erasing the columns that are not listed
- Duplicate rows removed from result, since relations are sets

Project Operation Example

- Example: eliminate the *dept_name* attribute of *instructor*
- Query*:*

$$\Pi_{ID,\ name,\ salary}\ (instructor)$$

- Result:

ID	*name*	*salary*
10101	Srinivasan	65000
12121	Wu	90000
15151	Mozart	40000
22222	Einstein	95000
32343	El Said	60000
33456	Gold	87000
45565	Katz	75000
58583	Califieri	62000
76543	Singh	80000
76766	Crick	72000
83821	Brandt	92000
98345	Kim	80000

Composition of Relational Operations

- The result of a relational operation is relation and therefore of operations can be composed together into a single expression.
- Consider the query -- Find the names of all instructors in the Physics department.

$$\prod_{name}(\sigma_{dept\_name = \text{“Physics”}} (instructor))$$

- Instead of giving the name of a relation as the argument of the projection operation, we give an expression that evaluates to a relation.

Cartesian-Product Operation

- To combine information from any two relations. Denoted by X

  instructor X *teaches*

- Since the instructor *ID* appears in both relations we distinguish between these attribute by attaching to the attribute the name of the relation from which the attribute originally came.
  - *instructor.ID*
  - *teaches.ID*

The *instructor* x *teaches table*

instructor.ID	*name*	*dept_name*	*salary*	*teaches.ID*	*course_id*	*sec_id*	*semester*	*year*
10101	Srinivasan	Comp. Sci.	65000	10101	CS-101	1	Fall	2017
10101	Srinivasan	Comp. Sci.	65000	10101	CS-315	1	Spring	2018
10101	Srinivasan	Comp. Sci.	65000	10101	CS-347	1	Fall	2017
10101	Srinivasan	Comp. Sci.	65000	12121	FIN-201	1	Spring	2018
10101	Srinivasan	Comp. Sci.	65000	15151	MU-199	1	Spring	2018
10101	Srinivasan	Comp. Sci.	65000	22222	PHY-101	1	Fall	2017
...	...	...	...	...	...	...	...	...
...	...	...	...	...	...	...	...	...
12121	Wu	Finance	90000	10101	CS-101	1	Fall	2017
12121	Wu	Finance	90000	10101	CS-315	1	Spring	2018
12121	Wu	Finance	90000	10101	CS-347	1	Fall	2017
12121	Wu	Finance	90000	12121	FIN-201	1	Spring	2018
12121	Wu	Finance	90000	15151	MU-199	1	Spring	2018
12121	Wu	Finance	90000	22222	PHY-101	1	Fall	2017
...	...	...	...	...	...	...	...	...
...	...	...	...	...	...	...	...	...
15151	Mozart	Music	40000	10101	CS-101	1	Fall	2017
15151	Mozart	Music	40000	10101	CS-315	1	Spring	2018
15151	Mozart	Music	40000	10101	CS-347	1	Fall	2017
15151	Mozart	Music	40000	12121	FIN-201	1	Spring	2018
15151	Mozart	Music	40000	15151	MU-199	1	Spring	2018
15151	Mozart	Music	40000	22222	PHY-101	1	Fall	2017
...	...	...	...	...	...	...	...	...
...	...	...	...	...	...	...	...	...
22222	Einstein	Physics	95000	10101	CS-101	1	Fall	2017
22222	Einstein	Physics	95000	10101	CS-315	1	Spring	2018
22222	Einstein	Physics	95000	10101	CS-347	1	Fall	2017
22222	Einstein	Physics	95000	12121	FIN-201	1	Spring	2018
22222	Einstein	Physics	95000	15151	MU-199	1	Spring	2018
22222	Einstein	Physics	95000	22222	PHY-101	1	Fall	2017
...	...	...	...	...	...	...	...	...
...	...	...	...	...	...	...	...	...

Join Operation

- The Cartesian-Product

  instructor X *teaches*

  associates every tuple of instructor with every tuple of teaches.

- To get only those tuples of "*instructor* X *teaches* " that pertain to instructors and the courses that they taught, we write:

$$\sigma_{instructor.id = teaches.id} (instructor \times teaches)$$

Join Operation (Cont.)

- The table corresponding to:

$$\sigma_{instructor.id = teaches.id}(instructor \times teaches))$$

instructor.ID	*name*	*dept_name*	*salary*	*teaches.ID*	*course_id*	*sec_id*	*semester*	*year*
10101	Srinivasan	Comp. Sci.	65000	10101	CS-101	1	Fall	2017
10101	Srinivasan	Comp. Sci.	65000	10101	CS-315	1	Spring	2018
10101	Srinivasan	Comp. Sci.	65000	10101	CS-347	1	Fall	2017
12121	Wu	Finance	90000	12121	FIN-201	1	Spring	2018
15151	Mozart	Music	40000	15151	MU-199	1	Spring	2018
22222	Einstein	Physics	95000	22222	PHY-101	1	Fall	2017
32343	El Said	History	60000	32343	HIS-351	1	Spring	2018
45565	Katz	Comp. Sci.	75000	45565	CS-101	1	Spring	2018
45565	Katz	Comp. Sci.	75000	45565	CS-319	1	Spring	2018
76766	Crick	Biology	72000	76766	BIO-101	1	Summer	2017
76766	Crick	Biology	72000	76766	BIO-301	1	Summer	2018
83821	Brandt	Comp. Sci.	92000	83821	CS-190	1	Spring	2017
83821	Brandt	Comp. Sci.	92000	83821	CS-190	2	Spring	2017
83821	Brandt	Comp. Sci.	92000	83821	CS-319	2	Spring	2018
98345	Kim	Elec. Eng.	80000	98345	EE-181	1	Spring	2017

Join Operation (θ-join)

- The **join** operation allows us to combine a select operation and a Cartesian-Product operation into a single operation.
- Consider relations *r* and *s*
- Let "theta" be a predicate on attributes in the schema r "union" s. The join operation r $\bowtie_\theta$ s is defined as follows:

$$r \bowtie_\theta s = \sigma_\theta (r \times s)$$

- Thus

$$\sigma_{instructor.id = teaches.id} (instructor \times teaches)$$

can equivalently be written as

$$instructor \bowtie_{Instructor.id = teaches.id} teaches.$$

- If θ has the equality operator, it is called **equijoin**

Natural Join

- **Natural join** (⋈) is a binary operator that is written as (r ⋈ s) where r and s are relations.
- The result of the natural join is the set of all combinations of tuples in r and s that are equal on their common attribute names.

Student Takes Student ⋈ Takes

ID	*name*	*dept_name*	*tot_cred*
00128	Zhang	Comp. Sci.	102
12345	Shankar	Comp. Sci.	32
19991	Brandt	History	80
23121	Chavez	Finance	110
44553	Peltier	Physics	56
45678	Levy	Physics	46
54321	Williams	Comp. Sci.	54
55739	Sanchez	Music	38
70557	Snow	Physics	0
76543	Brown	Comp. Sci.	58
76653	Aoi	Elec. Eng.	60
98765	Bourikas	Elec. Eng.	98
98988	Tanaka	Biology	120

ID	*course_id*	*sec_id*	*semester*	*year*	*grade*
00128	CS-101	1	Fall	2017	A
00128	CS-347	1	Fall	2017	A-
12345	CS-101	1	Fall	2017	C
12345	CS-190	2	Spring	2017	A
12345	CS-315	1	Spring	2018	A
12345	CS-347	1	Fall	2017	A
19991	HIS-351	1	Spring	2018	B
23121	FIN-201	1	Spring	2018	C+
44553	PHY-101	1	Fall	2017	B-
45678	CS-101	1	Fall	2017	F
45678	CS-101	1	Spring	2018	B+
45678	CS-319	1	Spring	2018	B
54321	CS-101	1	Fall	2017	A-
54321	CS-190	2	Spring	2017	B+
55739	MU-199	1	Spring	2018	A-
76543	CS-101	1	Fall	2017	A
76543	CS-319	2	Spring	2018	A
76653	EE-181	1	Spring	2017	C
98765	CS-101	1	Fall	2017	C-
98765	CS-315	1	Spring	2018	B
98988	BIO-101	1	Summer	2017	A
98988	BIO-301	1	Summer	2018	*null*

ID	*name*	*dept_name*	*tot_cred*	*course_id*	*sec_id*	*semester*	*year*	*grade*
00128	Zhang	Comp. Sci.	102	CS-101	1	Fall	2017	A
00128	Zhang	Comp. Sci.	102	CS-347	1	Fall	2017	A-
12345	Shankar	Comp. Sci.	32	CS-101	1	Fall	2017	C
12345	Shankar	Comp. Sci.	32	CS-190	2	Spring	2017	A
12345	Shankar	Comp. Sci.	32	CS-315	1	Spring	2018	A
12345	Shankar	Comp. Sci.	32	CS-347	1	Fall	2017	A
19991	Brandt	History	80	HIS-351	1	Spring	2018	B
23121	Chavez	Finance	110	FIN-201	1	Spring	2018	C+
44553	Peltier	Physics	56	PHY-101	1	Fall	2017	B-
45678	Levy	Physics	46	CS-101	1	Fall	2017	F
45678	Levy	Physics	46	CS-101	1	Spring	2018	B+
45678	Levy	Physics	46	CS-319	1	Spring	2018	B
54321	Williams	Comp. Sci.	54	CS-101	1	Fall	2017	A-
54321	Williams	Comp. Sci.	54	CS-190	2	Spring	2017	B+
55739	Sanchez	Music	38	MU-199	1	Spring	2018	A-
76543	Brown	Comp. Sci.	58	CS-101	1	Fall	2017	A
76543	Brown	Comp. Sci.	58	CS-319	2	Spring	2018	A
76653	Aoi	Elec. Eng.	60	EE-181	1	Spring	2017	C
98765	Bourikas	Elec. Eng.	98	CS-101	1	Fall	2017	C-
98765	Bourikas	Elec. Eng.	98	CS-315	1	Spring	2018	B
98988	Tanaka	Biology	120	BIO-101	1	Summer	2017	A
98988	Tanaka	Biology	120	BIO-301	1	Summer	2018	*null*

Union Operation

- To combine two relations. Notation: $r \cup s$
- For $r \cup s$ to be valid.
  1. *r, s* must have the *same* **arity** (same number of attributes)
  2. The attribute domains must be **compatible** (example: 2nd column of *r* deals with the same type of values as does the 2nd column of *s*)

Example: to find all courses taught in the Fall 2017 semester, or in the Spring 2018 semester, or in both

$$\prod_{course\_id}(\sigma_{semester=\text{“Fall”} \wedge year=2017}(section)) \cup \prod_{course\_id}(\sigma_{semester=\text{“Spring”} \wedge year=2018}(section))$$

Union Operation (Cont.)

- Result of:

$$\prod_{course\_id} (\sigma_{semester=\text{“Fall”} \wedge year=2017} (section)) \cup \prod_{course\_id} (\sigma_{semester=\text{“Spring”} \wedge year=2018} (section))$$

course_id
CS-101
CS-315
CS-319
CS-347
FIN-201
HIS-351
MU-199
PHY-101

Set-Intersection Operation

- To find tuples that are in both the input relations. Notation: $r \cap s$
- Assume:
  - r, s have the *same arity*
  - attributes of r and s are compatible
- Example: Find the set of all courses taught in both the Fall 2017 and the Spring 2018 semesters.

$$\prod_{course\_id} (\sigma_{semester=\text{“Fall”} \wedge year=2017} (section)) \cap \prod_{course\_id} (\sigma_{semester=\text{“Spring”} \wedge year=2018} (section))$$

  - Result

course_id
CS-101

Set Difference Operation

- To find tuples that are in one relation but are not in another. Notation $r - s$
- Set differences must be taken between **compatible** relations.
  - r and s must have the same arity
  - attribute domains of r and s must be compatible
- Example: to find all courses taught in the Fall 2017 semester, but not in the Spring 2018 semester

$$\prod_{course\_id}(\sigma_{semester=\text{“Fall”} \wedge year=2017}(section)) - \prod_{course\_id}(\sigma_{semester=\text{“Spring”} \wedge year=2018}(section))$$

course_id
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The Assignment Operation

- Creates temporary relations.
- Denoted by ← and works like assignment in a programming language.
- Example: Find all instructor in the “Physics” and Music department.

$$Physics \leftarrow \sigma_{dept\_name=\text{“Physics”}}(instructor)$$
$$Music \leftarrow \sigma_{dept\_name=\text{“Music”}}(instructor)$$
$$Physics \cup Music$$

The Rename Operation

- The results of relational expressions do not have a name that we can use to refer to them. The rename operator, ρ, is provided for that purpose
- The expression:

$$\rho_x(E)$$

returns the result of expression *E* under the name *x*

- Another form of the rename operation:

$$\rho_{x(A1,A2,\ldots An)}(E)$$

Equivalent Queries

- There is more than one way to write a query
- Example: Find information about courses taught by instructors in the Physics department with salary greater than 90,000
- Query 1

$$\sigma_{dept\_name=\text{“Physics”} \wedge salary > 90{,}000}(instructor)$$

- Query 2

$$\sigma_{dept\_name=\text{“Physics”}}(\sigma_{salary > 90.000}(instructor))$$

- The two queries are not identical; they are, however, equivalent -- they give the same result on any database.

Equivalent Queries

- There is more than one way to write a query in relational algebra.
- Example: Find information about courses taught by instructors in the Physics department
- Query 1

$$\sigma_{dept\_name=\text{“Physics”}}(instructor \bowtie_{instructor.ID = teaches.ID} teaches)$$

- Query 2

$$(\sigma_{dept\_name=\text{“Physics”}}(instructor)) \bowtie_{instructor.ID = teaches.ID} teaches$$

- The two queries are not identical; they are, however, equivalent -- they give the same result on any database.

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- From the slides of the textbook Database System Concepts, Seventh Edition by Avi Silberschatz, Henry F. Korth, S. Sudarshan.
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