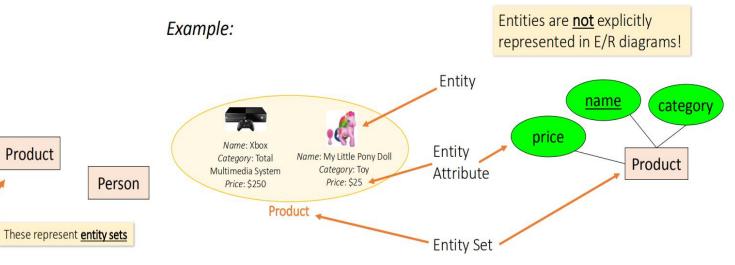
Ders02 - ER Model:

Entities and Entity Sets

- Entities & entity sets are the primitive unit of the E/R model
 - Entities are the individual objects, which are members of entity sets
 - Ex: A specific person or product
 - Entity sets are the classes or types of objects in our model
 - · Ex: Person, Product
 - These are what is shown in E/R diagrams as rectangles
 - Entity sets represent the sets of all possible entities

Entities vs. Entity Sets

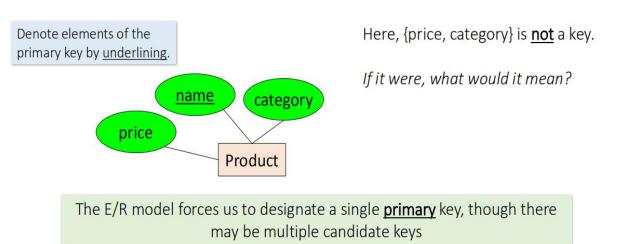


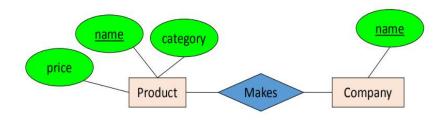
Keys

What is a Relationship?

A key is a minimal set of attributes that uniquely identifies an entity.

Product





A relationship between entity sets P and C is a subset of all possible pairs of entities in P and C, with tuples uniquely identified by P and C's keys

What is a Relationship?

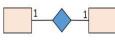
- There can only be one relationship for every unique combination of entities
- This also means that the relationship is uniquely determined by the keys of its entities
- Example: the "key" for Makes (to right) is {Product.name, Company.name}

This follows from our mathematical definition of a relationship-it's a SET!

Key_{Makes} = Key_{Product} U Key_{Company}

Multiplicity of E/R Relationships



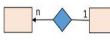


Indicated using arrows

Many-to-one:

One-to-one:



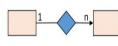




One-to-many:

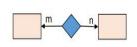
Many-to-many:





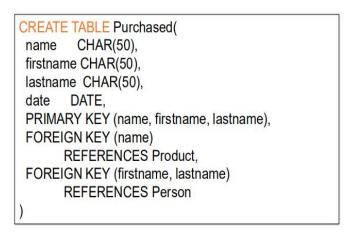


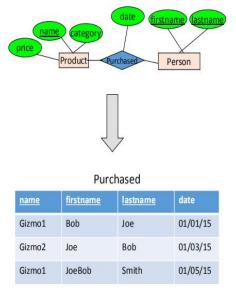




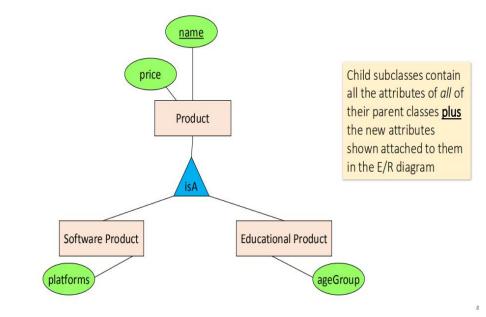
X -> Y means there exists a function mapping from X to Y (recall the definition of a function)

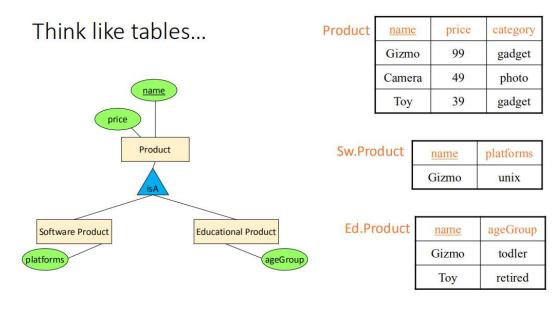
From E/R Diagrams to Relational Schema



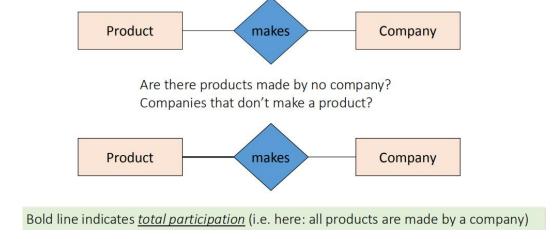


Modeling Subclasses





Participation Constraints: Partial v. Total



Weak Entity Sets

Entity sets are <u>weak</u> when their key comes from other classes to which they are related.





E/R Summary

- E/R diagrams are a visual syntax that allows technical and non-technical people to talk
 - For conceptual design
- Basic constructs: entity, relationship, and attributes
- A good design is faithful to the constraints of the application, but not overzealous

Der03 - SQL:

❖ SQL is a...

- ✓ Data Definition Language (DDL)
 - Define relational schemata
 - Create/alter/delete tables and their attributes
- Data Manipulation Language (DML)
 - Insert/delete/modify tuples in tables
 - Query one or more tables discussed next!

Data Types in SQL

Atomic types:

- ✓ Characters: CHAR(20), VARCHAR(50)
- ✓ Numbers: INT, BIGINT, SMALLINT, FLOAT
- ✓ Others: MONEY, DATETIME, ...

Every attribute must have an atomic type. Hence tables are flat.

Table Schemas

The schema of a table is the table name, its attributes, and their types:

Product(Pname: string, Price: float, Category: string, Manufacturer: string)

A key is an attribute whose values are unique; we underline a key:

Product(Pname: string, Price: float, Category: string,

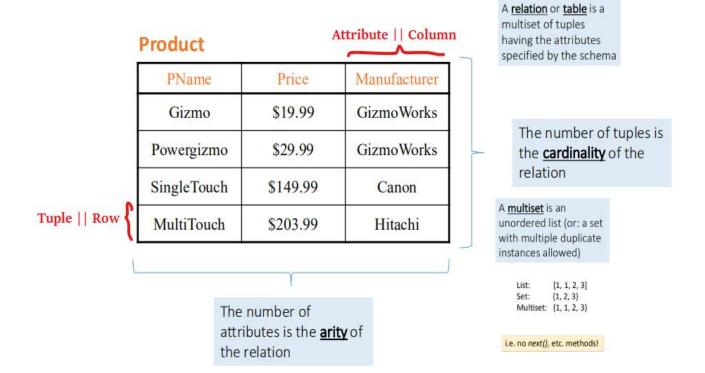
Manufacturer: string)

SQL Query

• Basic form (there are many many more bells and whistles)

SELECT <attributes>
FROM <one or more relations>
WHERE <conditions>

Tables in SQL



A Few Details

- ✓ SQL statements are case insensitive: "Same: SELECT, Select, select" or "Same: Product, product"
- ✓ Values are not: "Different: 'Seattle', 'seattle'
- ✓ Use single quotes for constants: 'abc' yes but "abc" no

Call this a SFW query.

LIKE: Simple String Pattern Matching DISTINCT: Eliminating Duplicates

- s LIKE p: pattern matching on strings
- p may contain two special symbols:
 - % = any sequence of characters
 - = any single character

SELECT *
FROM Products
WHERE PName LIKE '%gizmo%'



ORDER BY: Sorting the Results

SELECT PName, Price, Manufacturer

FROM Product

WHERE Category='gizmo' AND Price > 50

ORDER BY Price, PName

Ties are broken by the second attribute on the ORDER BY list, etc.

Ordering is ascending, unless you specify the **DESC** keyword.

Foreign Key constraints

• Suppose we have the following schema:

Students(<u>sid</u>: <u>string</u>, name: <u>string</u>, gpa: <u>float</u>)
Enrolled(<u>student_id</u>: <u>string</u>, <u>cid</u>: <u>string</u>, grade: <u>string</u>)

- And we want to impose the following constraint:
 - 'Only bona fide students may enroll in courses' i.e. a student must appear in the Students table to enroll in a class

Stude	nts		E	nrolled	2	100
sid	name	gpa		student_id	cid	grade
101	Bob	3.2	-	123	564	Α
123	Mary	3.8		123	537	A+

student_id alone is not a key- what is?

We say that student id is a **foreign key** that refers to Students

Joins

Product(<u>PName</u>, Price, Category, Manufacturer)
Company(<u>CName</u>, StockPrice, Country)

Several equivalent ways to write a basic join in SQL:

```
SELECT PName, Price
FROM Product, Company
WHERE Manufacturer = CName
AND Country='Japan'
AND Price <= 200
```

```
SELECT PName, Price
FROM Product
JOIN Company ON Manufacturer = Cname
AND Country='Japan'
WHERE Price <= 200
```

Both equivalent ways to resolve variable ambiguity

Declaring Foreign Keys

```
Students(sid: string, name: string, gpa: float)
Enrolled(student_id: string, cid: string, grade: string)

CREATE TABLE Enrolled(
    student_id CHAR(20),
    cid CHAR(20),
    grade CHAR(10),
    PRIMARY KEY (student_id, cid),
    FOREIGN KEY (student_id) REFERENCES Students(sid)
)
```

Tuple Variable Ambiguity in Multi-Table

```
Person(<u>name</u>, address, worksfor)
Company(<u>name</u>, address)
```

```
SELECT DISTINCT Person.name, Person.address
FROM Person, Company
WHERE Person.worksfor = Company.name
```

```
SELECT DISTINCT p.name, p.address
FROM Person p, Company c
WHERE p.worksfor = c.name
```

An Unintuitive Query

Explicit Set Operators: INTERSECT

SELECT DISTINCT R.A
FROM R, S, T
WHERE R.A=S.A OR R.A=T.A

- Recall the semantics!
 - 1. Take cross-product
 - 2. Apply selections / conditions
 - 3. Apply projection
- If S = {}, then the cross product of R, S, T = {}, and the query result = {}!

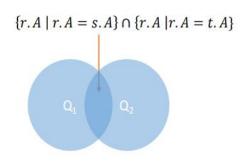
Must consider semantics here.

Are there more explicit way to do set operations like this?

SELECT R.A FROM R, S WHERE R.A=S.A

INTERSECT

SELECT R.A FROM R, T WHERE R.A=T.A



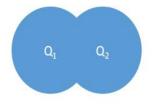
UNION

UNION ALL

SELECT R.A FROM R, S WHERE R.A=S.A

UNION

SELECT R.A FROM R, T WHERE R.A=T.A $\{r.A\mid r.A=s.A\}\cup\{r.A\mid r.A=t.A\}$



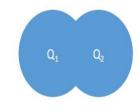
Why aren't there duplicates?

What if we want duplicates?

SELECT R.A FROM R, S WHERE R.A=S.A

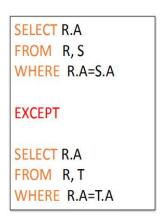
UNION ALL

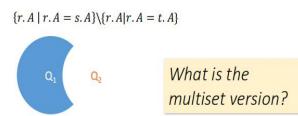
SELECT R.A FROM R, T WHERE R.A=T.A ${r.A \mid r.A = s.A} \cup {r.A \mid r.A = t.A}$



ALL indicates
Multiset
operations

EXCEPT





INTERSECT: Remember the semantics!



C.name	C.hq_city	P.pname	P.maker	P.factory_loc
X Co.	Seattle	Х	X Co.	U.S.
Y Inc.	Seattle	X	Y Inc.	China

X Co has a factory in the US (but not China) Y Inc. has a factory in China (but not US)

But Seattle is returned by the query!

Evample: C IOIN P on maker - name

We did the INTERSECT on the wrong attributes!

One Solution: Nested Queries

```
Company(<u>name</u>, hq_city)
Product(<u>pname</u>, maker, factory_loc)
```

```
FROM Company, Product

WHERE maker = name

AND name IN (

SELECT maker
FROM Product
WHERE factory_loc = 'US')

AND name IN (

SELECT maker
FROM Product
WHERE factory_loc = 'China')
```

"Headquarters of companies which make products in US **AND** China"

Note: If we hadn't used DISTINCT here, how many copies of each hq_city would have been returned?

Nested Queries

SELECT DISTINCT c.city
FROM Company c,
Product pr,
Purchase p
WHERE c.name = pr.maker
AND pr.name = p.product
AND p.buyer = 'Joe Blow'

SELECT DISTINCT c.city
FROM Company c
WHERE c.name IN (
SELECT pr.maker
FROM Purchase p, Product pr
WHERE p.product = pr.name
AND p.buyer = 'Joe Blow')

Now they are equivalent

Subqueries Returning Relations

You can also use operations of the form:

- s > ALL R
- s < ANY R
- EXISTS R

ANY and ALL not supported by SQLite.

Product(name, price, category, maker)

Find products that are more expensive than all those produced by "Gizmo-Works"

Subqueries Returning Relations

You can also use operations of the form:

- s > ALL R
- s < ANY R
- EXISTS R

x: Product(name, price, category, maker)

```
SELECT p1.name
FROM Product p1
WHERE p1.maker = 'Gizmo-Works'
AND EXISTS(
SELECT p2.name
FROM Product p2
WHERE p2.maker <> 'Gizmo-Works'
AND p1.name = p2.name)
```

Find 'copycat'
products, i.e.
products made by
competitors with
the same names as
products made by
"Gizmo-Works"

> means !=

Nested queries as alternatives to INTERSECT and EXCEPT not

INTERSECT and EXCEPT not in some DBMSs!

Correlated Queries

Movie(title, year, director, length)

(SELECT R.A, R.B FROM R) INTERSECT (SELECT S.A, S.B FROM S)

SELECT R.A, R.B
FROM R
WHERE EXISTS(
SELECT *
FROM S
WHERE R.A=S.A AND R.B=S.B)

(SELECT R.A, R.B FROM R) EXCEPT (SELECT S.A, S.B FROM S) SELECT R.A, R.B
FROM R
WHERE NOT EXISTS(
SELECT *
FROM S
WHERE R.A=S.A AND R.B=S.B)

If R, S have no duplicates, then can write without sub-queries (HOW?) SELECT DISTINCT title
FROM Movie AS m
WHERE year <> ANY(
SELECT year
FROM Movie
WHERE title = m.title)

Find movies whose title appears more than once.

Complex Correlated Query

Product(name, price, category, maker, year)

 Find products (and their manufacturers) that are more expensive than all products made by the same manufacturer before 1972 Aggregation: COUNT

· COUNT applies to duplicates, unless otherwise stated

SELECT COUNT(category)
FROM Product
WHERE year > 1995

Note: Same as COUNT(*). Why?

We probably want:

SELECT COUNT(DISTINCT category)
FROM Product

WHERE year > 1995

Can be very powerful (also much harder to optimize)

Grouping and Aggregation

Purchase(product, date, price, quantity)

SELECT product,
SUM(price * quantity) AS TotalSales
FROM Purchase
WHERE date > '10/1/2005'
GROUP BY product

Find total sales after 10/1/2005 per product.

HAVING Clause

SELECT product, SUM(price*quantity)
FROM Purchase
WHERE date > '10/1/2005'
GROUP BY product

HAVING SUM(quantity) > 100

HAVING clauses contains conditions on aggregates

Whereas WHERE clauses condition on individual tuples...

Same query as before, except that we consider only products that have more than 100 buyers

Quantifiers

Product(name, price, company) Company(name, city)

SELECT DISTINCT Company.cname FROM Company, Product WHERE Company.name = Product.company AND Product.price < 100

An existential quantifier is a logical quantifier (roughly) of the form "there exists"

Null Values

- C1 AND C2 = min(C1, C2)
- C1 OR C2 = max(C1, C2)
- NOT C1 = 1 - C1

SELECT * FROM Person WHERE (age < 25) AND (height > 6 AND weight > 190)

Won't return e.g. (age=20 height=NULL weight=200)!

Find all companies

products with price

that make some

< 100

Rule in SQL: include only tuples that yield TRUE (1.0)

Quantifiers

Product(name, price, company) Company(name, city)

SELECT DISTINCT Company.cname FROM Company WHERE Company.name NOT IN(**SELECT Product.company** FROM Product.price >= 100)

A universal quantifier is of the form "for all"

Find all companies with products all

having price < 100



Equivalent

Find all companies that make only products with price < 100

Null Values

Can test for NULL explicitly:

- x IS NULL
- x IS NOT NULL

SELECT* FROM Person WHERE age < 25 OR age >= 25 OR age IS NULL

Now it includes all!

INNER JOIN:

Product

name	category
Gizmo	gadget
Camera	Photo
OneClick	Photo

Purchase

Ť		
prodName	store	
Gizmo	Wiz	
Camera	Ritz	
Camera	Wiz	

SELECT Product.name, Purchase.store	
FROM Product	
INNER JOIN Purchase	
ON Product.name = Purchase.prodName	

Products that never sold (with no Purchase tuple) will be lost!

name	store
Gizmo	Wiz
Camera	Ritz
Camera	Wiz

Outer Joins

- An outer join returns tuples from the joined relations that don't have a corresponding tuple in the other relations
 - I.e. If we join relations A and B on a.X = b.X, and there is an entry in A with X=5, but none in B with X=5...
 - . A LEFT OUTER JOIN will return a tuple (a, NULL)!
- · Left outer joins in SQL:

SELECT Product.name, Purchase.store

FROM Product

LEFT OUTER JOIN Purchase ON

Product.name = Purchase.prodName

Now we'll get products even if they didn't sell

LEFT OUTER JOIN:

Product

SELECT Product.name, Purchase.store

LEFT OUTER JOIN Purchase

FROM Product

name	category
Gizmo	gadget
Camera	Photo
OneClick	Photo

ON Product.name = Purchase.prodName

Purchase

prodName	store
Gizmo	Wiz
Camera	Ritz
Camera	Wiz

	name	store
[Gizmo	Wiz
	Camera	Ritz
	Camera	Wiz
	OneClick	NULL

Other Outer Joins

- Left outer join:
 - Include the left tuple even if there's no match
- · Right outer join:
 - Include the right tuple even if there's no match
- Full outer join:
 - Include the both left and right tuples even if there's no match

Ders04 - Relational Algebra:

A relational database

- A <u>relational database schema</u> is a set of relational schemata, one for each relation
- A <u>relational database instance</u> is a set of relational instances, one for each relation

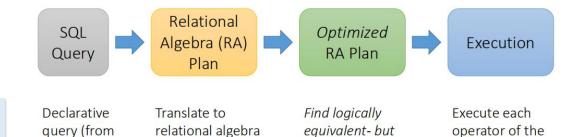
Two conventions:

- 1. We call relational database instances as simply databases
- 2. We assume all instances are valid, i.e., satisfy the domain constraints

RDBMS Architecture

How does an SQL engine work?

expresson



more efficient- RA

expression

optimized plan!

Relational Algebra (RA)

· Five basic operators:

- Selection: σ
- Projection: ∏
- Cartesian Product: ×
- Union: ∪
- · Difference: -

Keep in mind: RA operates on sets!

 RDBMSs use multisets, however in relational algebra formalism we will consider sets!

Derived or auxiliary operators:

- Intersection, complement
- Joins (natural, equi-join, theta join, semi-join)
- Renaming: ρ
- Division

- Also: we will consider the *named perspective*, where every attribute must have a <u>unique name</u>
 - →attribute order does not matter...

user)

Selection (σ)

- Returns all tuples which satisfy a condition
- Notation: $\sigma_c(R)$
- Examples
 - $\sigma_{Salary > 40000}$ (Employee)
 - σ_{name = "Smith"} (Employee)
- The condition c can be
 - =, <, ≤, >, ≥, <>

Cross-Product (X)

- Each tuple in R1 with each tuple in R2
- Notation: R1 × R2
- Example:
 - Employee × Departments
- Mainly used to express joins

Students(sid,sname,gpa)

SQL:

SELECT * **FROM Students** WHERE gpa > 3.5;



RA:

 $\sigma_{gpa>3.5}(Students)$

Students(sid,sname,gpa) People(ssn,pname,address)

SQL:

SFLECT *

FROM Students, People;



RA:

 $Students \times People$

Projection (Π)

- · Eliminates columns, then removes duplicates
- Notation: Π_{A1} An (R)
- Example: project social-security number and names:
 - Π_{SSN, Name} (Employee)
 - Output schema: Answer (SSN, Name)

Students(sid,sname,gpa)

SQL:

SELECT DISTINCT

sname, gpa

FROM Students:



RA:

 $\Pi_{sname,qpa}(Students)$

Students(sid,sname,gpa)

Renaming $(\rho - Rho)$

- Changes the schema, not the instance
- A 'special' operator- neither basic nor derived
- Notation: $\rho_{B1,...Bn}$ (R)
- Note: this is shorthand for the proper form (since names, not order matters!):
 - $\rho_{A1 \rightarrow B1,...,An \rightarrow Bn}$ (R)

SQL:

SELECT

sid AS studid. sname AS name, gpa AS gradePtAvg FROM Students;

RA:



 $\rho_{studId,name,gradePtAvg}(Students)$

We care about this operator because we are working in a named perspective

Natural Join (⋈)

- Notation: $R_1 \bowtie R_2$
- Joins R₁ and R₂ on equality of all shared attributes
 - If R₁ has attribute set A, and R₂ has attribute set B, and they share attributes A∩B = C, can also be written: R₁ ⋈ C R₂
- Our first example of a derived RA operator:
 - Meaning: $R_1 \bowtie R_2 = \prod_{A \cup B} (\sigma_{C=D}(\rho_{C \rightarrow D}(R_1) \times R_2))$
 - · Where:
 - The rename $ho_{C o D}$ renames the shared attributes in one of the relations
 - The selection $\sigma_{\text{C=D}}$ checks equality of the shared attributes
 - The projection $\Pi_{\text{A U B}}$ eliminates the duplicate common attributes

Students(sid,name,gpa)
People(ssn,name,address)

SQL:

SELECT DISTINCT

ssid, S.name, gpa, ssn, address

FROM

Students S, People P

WHERE S.name = P.name;



RA:

Students ⋈ People

Example: Converting SFW Query -> RA

Students(sid,sname,gpa) People(ssn,sname,address)

SELECT DISTINCT

gpa, address

FROM Students S,

People P

WHERE gpa > 3.5 AND

S.sname = P.sname;



 $\Pi_{gpa,address}(\sigma_{gpa>3.5}(S \bowtie P))$

How do we represent this guery in RA?

Division

- Notation: $r \div s$
- It has nothing to do with arithmetic division.
- Let r and s be relations on schemas R and S respectively where

•
$$R = (A_1, ..., A_m, B_1, ..., B_n)$$

•
$$S = (B_1, ..., B_n)$$

The result of $r \div s$ is a relation on schema

$$R - S = (A_1, ..., A_m)$$

 $r \div s = \{ t \mid t \in \prod_{R \in S} (r) \land \forall u \in S (tu \in r) \}$

Where tu means the concatenation of tuples t and u to produce a single tuple

Division Operation - Example

□ Relations r, s

A	В	С	D	Ε
α	а	α	а	1
α	а	γ	a	1
α	a	γ	b	1
β	а	γ	a	1
α α α β β	а		b	3
Y	а	Y	а	1
γ	а	γ	b	1
y	a	B	b	1

D	E
а	1
b	1

□ r÷s

A
B

 $\begin{array}{c|cccc} \alpha & \mathsf{a} & \gamma \\ \gamma & \mathsf{a} & \gamma \end{array}$

Union (\cup) and Difference (-)

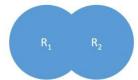
- R1 ∪ R2
- Example:
 - ActiveEmployees ∪ RetiredEmployees
- R1 R2
- Example:
 - AllEmployees RetiredEmployees

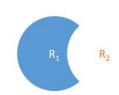
Theta Join (\bowtie_{θ})

- A join that involves a predicate
- R1 \bowtie_{θ} R2 = σ_{θ} (R1 × R2)
- Here θ can be any condition

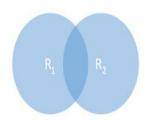
Note that natural join is a theta join + a projection.

What about Intersection (\cap) ?





- It is a derived operator
- $R1 \cap R2 = R1 (R1 R2)$
- Also expressed as a join!
- Example
 - UnionizedEmployees ∩ RetiredEmployees



Students, People

Students(sid,sname,gpa)

SQL:

FROM

SELECT *

WHERE θ :

People(ssn,sname,address)

RA: Students \bowtie_{θ} People

Equi-join (M A=B)

- A theta join where θ is an equality
- R1 \bowtie A=B R2 = σ A=B (R1 \times R2)
- Example:
 - Employee ⋈ _{SSN=SSN} Dependents

Most common join in practice!

Students(sid,sname,gpa) People(ssn,pname,address)

SQL:

SELECT * **FROM** Students S, People P

WHERE sname = pname;



RA:

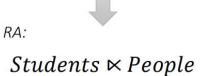
Semijoin (⋉)

- $R \bowtie S = \prod_{A1,...,An} (R \bowtie S)$
- Where A₁, ..., A_n are the attributes in R
- Example:
 - Employee ⋉ Dependents



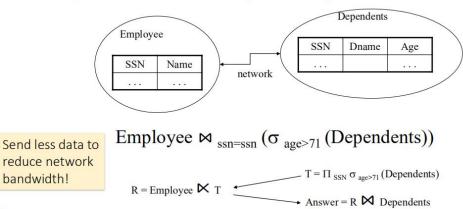
sname = pname;

SELECT DISTINCT sid,sname,gpa FROM Students,People WHERE



Semijoins in Distributed Databases

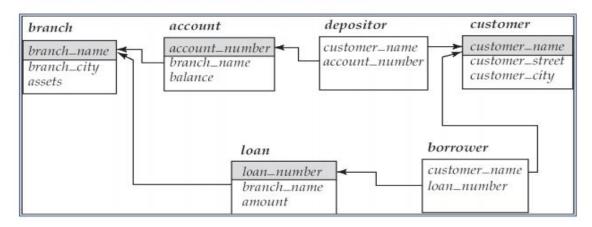
• Semijoins are often used to compute natural joins in distributed databases



EXAMPLE RELATIONAL ALGEBRA

Example: Banking Database

branch (branch_name, branch_city, assets)
customer (customer_name, customer_street, customer_city)
account (account_number, branch_name, balance)
loan (loan_number, branch_name, amount)
depositor (customer_name, account_number)
borrower (customer_name, loan_number)



Find all loans of over \$1200

$$\sigma_{amount > 1200}$$
 (loan)

 Find the names of all customers who have a loan, an account, or both, from the bank

$$\Pi_{customer\ name}$$
 (borrower) \cup $\Pi_{customer\ name}$ (depositor)

• Find the loan number for each loan of an amount greater than \$1200 • Find the names of all customers who have a loan and an account at

$$\prod_{loan\ number} (\sigma_{amount > 1200} (loan))$$

• Find the names of all customers who have a loan at the Perryridge branch.

$$\prod_{customer_name} (\sigma_{branch_name="Perryridge"} (\sigma_{borrower.loan\ number=loan.loan\ number} (borrower.loan)))$$

• Find the names of all customers who have a loan at the Perryridge branch but do not have an account at any branch of the bank.

```
\Pi_{customer\ name} (\sigma_{branch\ name} = "Perryridae")
(\sigma_{borrower.loan\ number} = loan.loan\ number} (borrower x loan))) - \Pi_{customer\ name} (depositor)
```

bank.

```
\Pi_{customer\ name} (borrower) \cap \Pi_{customer\ name} (depositor)
```

• Find the names of all customers who have a loan at the Perryridge branch.

```
Query 1
```

```
\Pi_{\text{customer name}} (\sigma_{\text{branch name}} = "Perryridge" (
σ<sub>borrower.loan</sub> number = loan.loan number (borrower x loan)))
```

Query 2

$$\Pi_{\text{customer_name}}(\sigma_{\text{loan.loan_number}} = \text{borrower.loan_number})$$

$$(\sigma_{\text{branch name}} = \text{``Perryridge''}(\text{loan})) \times \text{borrower}))$$

 Find all customers who have an account at all branches located in Brooklyn city.

$$\Pi_{customer\ name,\ branch\ name}$$
 (depositor \bowtie account) $\div \Pi_{branch\ name}$ ($\sigma_{branch\ city}$ = "Brooklyn" (branch))