- Ecosystem carbon balance in the Hawaiian Islands under
- ² different scenarios of future climate and land use change
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18 Abstract

The State of Hawai'i passed legislation to be carbon neutral by 2045, a goal that will partly depends on carbon sequestration by terrestrial ecosystems. However, there is considerable uncertainty surrounding the future direction and magnitude of the land carbon sink in the Hawaiian Islands. We used simulation modeling to assess how projected future changes in climate and land use will influence ecosystem carbon balance in the Hawaiian Islands under 23 all combinations of two radiative forcing scenarios (RCPs 4.5 and 8.5) and two land-use scenarios (low and high) over a 90-year timespan from 2010-2100. Collectively, ecoystems 25 of the Hawaiian Islands acted as a net carbon sink under low radiative forcing throughout the 90-year simulation period, but carbon sink strength was greatest under the combination 27 of low radiative forcing and low land-use change. In contrast, Hawaiian terrestrial ecosys-28 tems transitioned from a net sink to a net source of CO_2 to the atmosphere under high 29 radiative forcing, with high land-use accelerating this transition and exacerbating net car-30 bon loss. A sensitivity test of the CO₂ fertilization effect on plant productivity revealed it 31 to be a major source of uncertainty in projections of ecosystem carbon balance. Reconciling 32 this uncertainty in how net photosynthesis will respond to rising atmospheric CO_2 will be 33 essential to realistically constrain simulation models used to evaluate the effectiveness of ecosystem-based climate mitigation strategies. 35

36 Introduction

Terrestrial ecosystems are a major sink for atmospheric carbon dioxide (CO_2) , removing $\sim 30\%$ of human emissions on an annual basis (Friedlingstein *et al* 2019). However, there is a high degree of uncertainty surrounding the strength of the land carbon sink because of its sensitivity to annual variability in climate and human land use and land cover change (Ahlström *et al* 2015, Prestele *et al* 2017). These uncertainties are so high that annual estimates of carbon sequestration by terrestrial ecosystems are often estimated indirectly by

- $_{\rm 43}$ $\,$ subtracting annual atmospheric and ocean carbon sink estimates from annual human $\rm CO_2$
- emissions (Le Quéré et al 2013, Friedlingstein et al 2019).
- The main Hawaiian Islands are a complex mosaic of natural and human-dominated land-
- scapes overlain by steep climate gradients across relatively short distances.

47 Methods

We used the Land Use and Carbon Scenario Simulator (LUCAS), an integrated landscape change and carbon gain-loss model, to project changes in ecosystem carbon balance for the seven main Hawaiian Islands under all combinations of two land-use scenarios (low and high) and two radiative forcing scenarios (RCP 4.5 and RCP 8.5). We also developed a separate set of scenarios to test model sensitivity to different levels of a CO₂ fertilization effect (CFE). The landscape change portion of LUCAS is a state-and-transition model that applies a Monte Carlo approach to track the state type and age of each simulation cell in response to a predetermined set of transitions (Daniel et al 2016). The carbon gain-loss portion tracks carbon stocks within each simulation cell over time as continuous state variables, along with a predefined set of continuous flows specifying stock level rates of change over time (Daniel et al 2018, Sleeter et al 2019). We parameterized the Hawai'i LUCAS model to estimate annual changes in carbon stocks and fluxes in response to land use, land use change, wildland fire, and long-term climate variability for the time period 2010-2100.

61 Study area

The spatial extent of this study was the terrestrial portion of the seven main Hawaiian Islands (figure 1), a total land area of 16,554 km². We subdivided this landscape into a grid of 264,870 simulation cells, each of which was 250 x 250 m in size. Each simulation cell was assigned to one of 210 possible state types based on the unique combination of three

moisture zones (dry, mesic, and wet; figure S1), seven islands, and ten discrete land cover classes (figure 1).

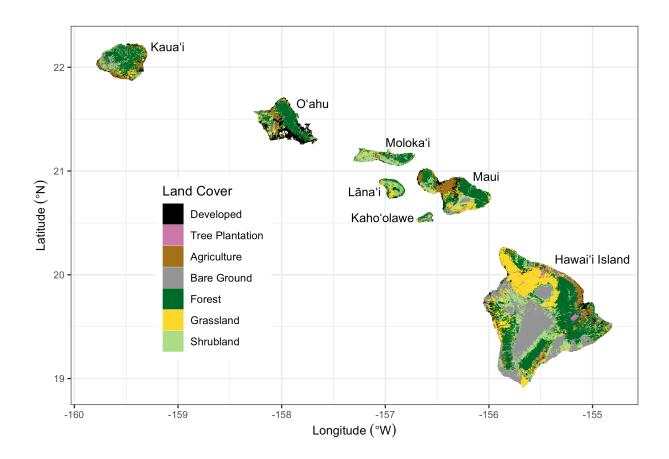


Figure 1: Land cover classification of the seven main Hawaiian Islands, adapted from Jacobi et al (2017). Agriculture in this map combines herbaceous and woody crops, but these two crop types are treated as separate land cover classes in the simulation model. Water and Wetland land cover classes are not shown.

$States \ and \ transitions$

We developed two land-use scenarios (low and high) with transition pathways modified from
Daniel et al (2016). Transitions between state types were pre-defined to represent urbanization, agricultural contraction, agricultural expansion, harvesting of tree plantations, and
wildfire. Agriculture, forest, grassland, tree plantation, and shrubland state types each had
multiple transition pathways, while the barren state type could only transition to developed

(i.e., urbanization). There was no transition pathway out of an urbanized (developed) state.

Water and wetland state types remained static throughout the simulation period.

Transition targets were based on historical trends of land use change in the Hawaiian Islands from 1992-2011 (NOAA 2020) and on population projections for the State of Hawai'i (Kim and Bai 2018). For the high land-use scenario, transition rates for each timestep and Monte Carlo realization were sampled from uniform distributions bounded by the median and maximum historical rates of agricultural contraction, agricultural expansion, and urbanization for each island. For the low land-use scenario, rates of agricultural contraction and expansion were sampled from uniform distributions bounded by zero and the minimum historical rates for each island. Urbanization rates in the low land-use scenario were based on island-level population estimates and projections at five year intervals from 2010-2045 (Kim and Bai 2018). We converted population projections into urbanization transition targets following Sleeter et al (2017) by calculating population density for each island and then projecting future developed area based on the five-year incremental change in island population. The spatial extent of agricultural contraction, agricultural expansion, and urbanization was constrained in both land-use scenarios based on existing zoning maps (Daniel et al 2016). Transition targets for tree plantation harvest were set at ~75\% of recent historical rates in the high land-use scenario and ~40% of recent historical rates in the low land-use scenario (Daniel et al 2016). In both land-use scenarios, approximately 60% of tree plantation harvests were replacement harvests resulting in conversion to agriculture. The remaining 40% were rotation harvests replanted to *Eucalyptus* spp.

The wildfire transition sub-model was modified from Daniel *et al* (2016) by incorporating a new 21-year historical wildfire spatial database of the Hawaiian Islands (figure S2). We used this new spatial database to calculate historical wildfire size distribution and ignition probabilities for each unique combination of moisture zone (figure S1), island, and state type (figure 1) for the years 1999-2019. Starting in 2020, the number and size of fires was

randomly drawn from one of these historical year-sets for each timestep and Monte Carlo realization, using burn severity probabilities from Selmants *et al* (2017). Wildfire in the low land-use scenario was sampled from the subset of historical fire years at or below the median area burned statewide from 1999-2019. The high land-use scenario sampled from historical fire years above the median area burned over the same 21-year period (Fig. S2a).

105 Carbon stocks and flows

The fate of carbon stocks was tracked for each simulation cell based on a suite of carbon flows (i.e., carbon fluxes) specifying the rates of change in these carbon stocks over time (Daniel 107 et al 2018, Sleeter et al 2019). We defined carbon stocks as continuous state variables for 108 each simulation cell, including live biomass, standing dead wood, down dead wood, litter, 109 and soil organic carbon. We also included and tracked carbon in atmospheric, aquatic, 110 and harvest product pools to enforce carbon mass balance (Daniel et al 2018). To transfer 111 carbon between stocks, we defined baseline carbon flows as continuous variables resulting 112 from growth, mortality, deadfall, woody decay, litter decomposition, and leaching (which 113 includes runoff). We also defined carbon flows resulting from land use, land use change, and 114 wildfire (Selmants et al 2017, Daniel et al 2018). 115

Initial carbon stocks and baseline carbon flows were estimated based on the moisture zone 116 (figure S1), state type, and age of each simulation cell using a lookup table derived from 117 the Integrated Biosphere Simulator (IBIS; Foley et al 1996, Liu et al 2020), a process-based 118 dynamic global vegetation model. We initiated IBIS with minimal vegetation and simulated 119 forward for 110 years using 30-year climate normals for the Hawaiian Islands (Giambelluca 120 et al 2013, 2014). We calibrated IBIS carbon stocks with statewide gridded datasets of 121 soil organic carbon (Soil Survey Staff 2016) and forest aboveground live biomass (Asner et al 2016). We also calibrated gross photosynthesis in IBIS using a Hawai'i-specific gridded 123 dataset derived from MODIS satellite imagery (Kimball et al 2017).

Carbon flow rates for each state type and moisture zone were estimated as the ratio of the IBIS-derived flux to the size of the originating carbon stock at each age (Sleeter et al. 2018). A spatially explicit stationary growth multiplier was applied to each simulation cell 127 to reflect local variations in net primary productivity (NPP) driven by microclimate. This 128 spatial growth multiplier was the NPP anomaly for each cell relative to mean values for each 129 combination of state type and moisture zone (Sleeter et al 2019) calculated using empirical 130 relationships between total annual NPP and mean annual rainfall or temperature (Schuur 131 2003, Del Grosso et al 2008). Climate change impacts on carbon flows were represented by 132 temporal growth and decay multipliers applied to each simulation cell based on statistically 133 downscaled CMIP5 climate projections for the Hawaiian Islands under each of the two radia-134 tive forcing scenarios (RCP 4.5 and RCP 8.5; Timm et al 2015, Timm 2017). The impact 135 of future changes in rainfall and temperature on NPP were represented by annual growth 136 multipliers calculated using empirical NPP models (Schuur 2003, Del Grosso et al 2008) 137 and climate model projections of temperature and rainfall for each radiative forcing scenario. 138 The effect of future warming on turnover rates of dead organic matter were represented by 139 temporal decay multipliers calculated using Q10 functions and climate model temperature projections for each radiative forcing scenario. We applied a Q10 of 2.0 for wood and soil organic matter decay flows (Kurz et al 2009, Sleeter et al 2019) and a Q10 of 2.17 for litter decay flows (Bothwell et al 2014). Transition-triggered carbon flows resulting from distur-143 bances associated with land use change, timber harvesting, and wildfire were based on values from Don et al (2011), Selmants et al (2017), and Daniel et al (2018). 145

$CO_2 \ fertilization \ effect$

Increasing atmospheric CO_2 concentrations stimulate leaf-level photosynthesis, potentially increasing NPP as well (Walker *et al* 2020). However, the magnitude and persistence of this effect is highly uncertain, particularly across a range of climatic conditions and over long time spans (Walker *et al* 2020). Following Sleeter *et al* (2019), we developed a separate

set of scenarios designed to test the sensitivity of LUCAS model ecosystem carbon balance projections to different rates of a CO₂ fertilization effect (CFE). We incorporated a CFE 152 multiplier for NPP that represented the percent increase in NPP for every 100 ppm increase 153 in atmospheric CO_2 concentration under the high land use and high radiative forcing (RCP 154 8.5) scenario. We tested five CFE levels ranging from 5% to 15%, which is within the range 155 of CFEs observed in free air CO_2 enrichment (FACE) experiments. For all levels, we assumed 156 CFEs reached saturation at an atmospheric CO_2 concentration of 600 ppm, with no further 157 stimulation of NPP despite a continued increase in CO_2 concentration to 930 ppm by 2100. 158 This 600ppm threshold generally coincides with the upper limit from FACE experiments and 159 is reached by the year 2060 under RCP 8.5. 160

$Scenario\ simulations\ and\ analysis$

Each of the four unique scenarios were run for 90 years at an annual timestep and repeated 162 for 30 Monte Carlo realizations, using initial conditions corresponding to the year 2010. All 163 simulations were performed within the SyncroSim (version 2.2.4) software framework with 164 ST-Sim (version 3.2.13) and SF (version 3.2.10) add-on modules (Daniel et al 2016, 2018). 165 Model inputs and outputs were prepared with the R statistical computing platform (R Core 166 Team 2019) using the tidyverse (Wickham et al 2019), raster (Hijmans 2020), and rsyncrosim 167 (Daniel et al 2020) packages. Carbon stocks and fluxes for the seven main Hawaiian Islands 168 were calculated for each scenario by summing within each Monte Carlo realization on an 169 annual basis and then calculating annual means as well as the annual upper and lower limits 170 of the 30 Monte Carlo realizations. Carbon balance for the seven main Hawaiian Islands 171 was calculated on annual basis for each scenario and Monte Carlo realization as net biome 172 productivity (NBP), which was equal to annual carbon input in the form of NPP minus 173 the annual sum of all carbon losses from terrestrial ecosystems, including heterotrophic 174 respiration (R_h) from litter and soil, carbon fluxes to the atmosphere triggered by land use 175 and land-use change, wildfire emissions, and aquatic carbon losses through leaching and

overland flow. Positive NBP values indicated ecosystems of the seven main Hawaiian Islands were acting as a net sink for atmospheric CO_2 , while negative NBP values indicated that these ecosystems were acting as a net carbon source to the atmosphere (Chapin *et al* 2006).

180 Results

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181 Carbon stocks and fluxes

Terrestrial ecosystems of the seven main Hawaiian Islands stored an estimated 316 Tg of 182 carbon at the beginning of the simulation period in 2010, with 58% in soil organic matter, 22% in living biomass, and 20% in surface dead organic matter (litter and dead wood; figure 2a). Ecosystems accumulated carbon in all scenarios but at different rates, with 185 trajectories shaped primarily by climate change and to a lesser extent by land-use change. 186 The highest and most consistent projected accumulation of ecosystem carbon occurred under 187 the combination of low radiative forcing and low land use change, yielding a $\sim 15\%$ increase 188 in ecosystem carbon to an average of 363 Tg by 2100 (figure 2a). In contrast, high radiative 189 forcing and high land use change resulted in the lowest ecosystem carbon gain, reaching a 190 peak of ~332 Tg in 2063 but declining to 327 Tg in 2100, resulting in a net increase of only 191 3% by the end of the simulation period (figure 2a). Ecosystem carbon accumulation was 192 driven exclusively by increasing soil organic carbon across all four scenarios, all other stocks 193 declined over time (figure 2b). 194 Net primary production (NPP) for the seven main Hawaiian Islands declined across all four 195 scenarios, driven primarily by climate change and to a lesser extent by land use change (Fig. 196 3). The combination of high radiative forcing (RCP 8.5) and high land-use change led to 197

the steepest decline in NPP over time, driven by intense long-term drying on the leeward

sides of islands under RCP 8.5 (figure S4) and sustained losses of forest and shrubland land

area in the high land-use scenario (figure S5). In contrast, climate change led to increased

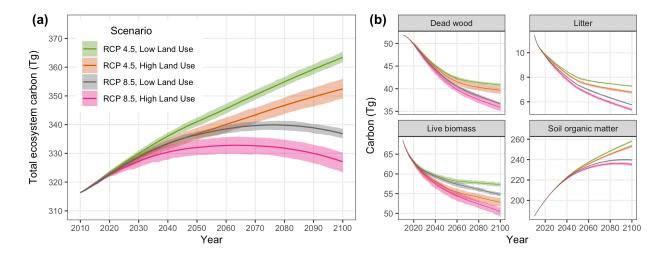


Figure 2: Projected changes in total ecosystem carbon storage (a) and individual carbon stocks (b) for the seven main Hawaiian Islands. Solid lines indicate the mean of 30 Monte Carlo realizations for each scenario, with shaded areas indicating the minimum and maximum range of Monte Carlo realizations.

heterotrophic respiration (R_h) over time, such that more intense warming under RCP 8.5 201 (figure S4) resulted in R_h being $\sim 3\%$ higher by 2100 than under RCP 4.5 (figure 3). Land-use 202 change substantially reduced $R_{\rm h}$ in the high land-use scenario (figure 3) because of long-term 203 reductions in forest and shrubland land area (figure S5), similar to trends in NPP. Transition-204 triggered carbon fluxes to the atmosphere from land use, land-use change, and wildfire were 205 largely independent of changes in climate, stabilizing by mid-century at an average of ~ 0.4 206 Tg y^{-1} in the high land-use scenario and ~ 0.2 Tg y^{-1} in the low land-use scenario (figure 207 3). Uncertainty around transition-triggered carbon fluxes were higher in the high land-use 208 scenario, driven primarily by greater variability in wildland fire probabilities. 209

$Ecosystem\ carbon\ balance$

Net biome productivity (NBP) averaged approximately 0.6 Tg C y⁻¹ at the start of the simulation period and declined over time in all four scenarios (figure 4). On average, terrestrial ecosystems of the seven main Hawaiian Islands collectively acted as a net carbon sink throughout the simulation period under the RCP 4.5 radiative forcing scenario, but

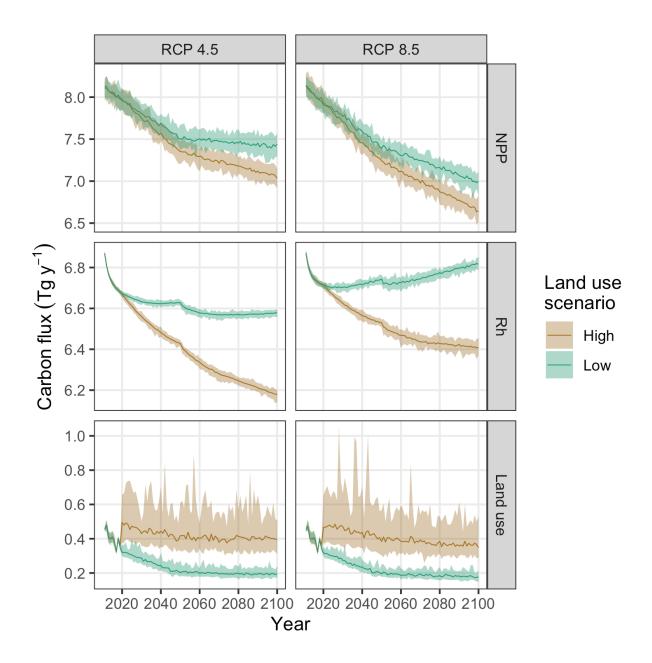


Figure 3: Projected changes in net primary production (NPP), heterotrophic respiration (Rh) and carbon fluxes induced by land use and land-use change for the seven main Hawaiian Islands. Solid lines indicate the mean of 30 Monte Carlo realizations for each scenario, with shaded areas indicating the minimum and maximum range of Monte Carlo realizations.

carbon sink strength was ~40\% lower in the high land-use scenario compared to the low land-use scenario by the end of the simulation period (figure 4). In contrast, ecosystems of 216 the Hawaiian Islands acted as a net carbon source to the atmosphere toward the latter half 217 of the simulation period under RCP 8.5, with the transition from sink to source occurring 218 15 years earlier on average in the high land-use scenario than in the low land-use scenario 219 (figure 4). In addition, the high land-use scenario under RCP 8.5 represented a ~40% larger 220 net source of carbon to the atmosphere by the year 2100 than the low-land use scenario 221 under the same radiative forcing. Over the entire simulation period, both global emissions 222 reduction and avoided land conversion resulted in substantial increases in cumulative NBP 223 (figure 5). However, switching from RCP 8.5 to RCP 4.5 increased cumulative NBP in the 224 Hawaiian Islands more than twice as much as reducing emissions from local land-use change 225 and wildfire disturbance (figure 5). Switching from RCP 8.5 to RCP 4.5 under the low land-226 use scenario yielded the greatest cumulative increase in NBP, resulting in a median gain of 227 26.5 Tg of carbon over the entire 90-year simulation period. 228

$_{229}$ CO_{2} fertilization effect

Projected estimates of both total ecosystem carbon storage and ecosystem carbon balance 230 were highly sensitive to differing rates of a CFE on plant productivity. Under the high 231 radiative forcing (RCP 8.5) and high land-use scenario, the inclusion of a CFE ranging from 232 5-15\% led to ~33-98 Tg of additional carbon storage in ecosystems by the end of the century, 233 a ~10-30\% increase (figure 6a). Compared to the reference scenario (0\% CFE), a 5\% CFE 234 was sufficient to transform Hawaiian Island ecosystems from a net carbon source to the 235 atmosphere for the latter half of the 21st century (figure 4b) to a net carbon sink for the 236 entire simulation period (figure 6b), completely offsetting all other carbon losses induced by 237 high radiative forcing and high land use. Net carbon sink strength was further enhanced at 238 higher CFE rates, with NBP increasing by an average of 0.07 Tg C y⁻¹ for each 1% increase in CFE (figure 6b). When compared to other scenarios, applying a 5% CFE to the high



Figure 4: Projected changes in net biome productivity (NBP) for the seven main Hawaiian Islands. Values above zero indicate terrestrial ecosystems are acting as a net carbon sink for atmospheric carbon and values below zero indicate ecosystems are acting as a net carbon source to the atmosphere. Solid lines indicate the mean of 30 Monte Carlo realizations for each scenario, with shaded areas indicating the minimum and maximum range of Monte Carlo realizations. The dashed horizontal line in each panel represents the boundary between ecosystems acting as a net carbon sink (positive NBP values) and a net carbon source (negative NBP values).

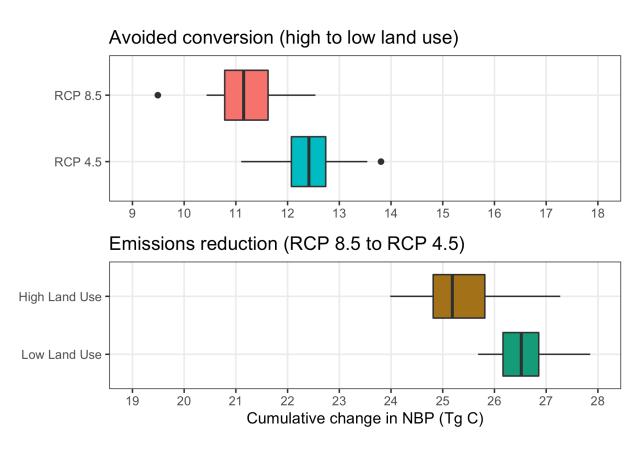


Figure 5: Projected changes in cumulative net biome productivity (NBP) for the seven main Hawaiian Islands when switching from the high to low land-use change scenario under each radiative forcing scenario (top panel) and when switching from the high (RCP 8.5) to low (RCP 4.5) radiative forcing scenario under each land-use scenario (bottom panel). Box plots indicate the median (vertical black line), 25th and 75th percentiles (colored boxes), 10th and 90th percentiles (thin horizontal lines), and values outside of this range (black circles). Note the different x-axis scales in each panel.

radiative forcing and high land-use scenario resulted in a mean annual NBP of 0.46 ± 0.3 Tg C y⁻¹, roughly equivalent to mean annual NBP in the low radiative forcing and low land-use scenario with no CFE (0.52 ± 0.12) . A 15% CFE applied to the high radiative forcing and high land-use scenario resulted in a mean annual NBP of 1.18 ± 0.29 Tg C y⁻¹, more than double that of the low radiative forcing and low land-use scenario with no CFE.

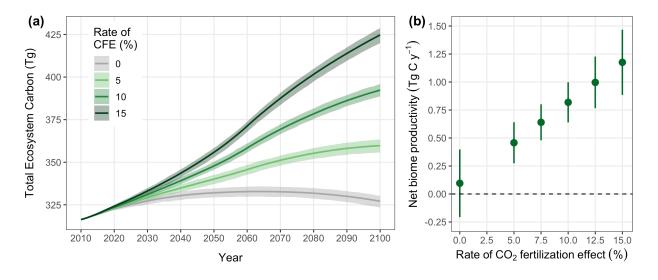


Figure 6: Sensitivity of projected changes in total ecosystem carbon storage (a) and mean annual net biome productivity (b) to different rates of carbon dioxide fertilization in the seven main Hawaiian Islands under the RCP 8.5 radiative forcing scenario and high land use scenario. The carbon dioxide fertilization effect (CFE) is the percent change in net primary productivity (NPP) for every 100 ppm increase in atmospheric carbon dioxide. The CFE for all rates is capped at 600 ppm, which is achieved around the year 2060. Solid lines in (a) indicate the mean total ecosystem carbon storage across 30 Monte Carlo realizations for each CFE rate, with shaded areas indicating the minimum and maximum range of Monte Carlo realizations. Solid circles in (b) represent mean annual net biome productivity averaged across all years and Monte Carlo realizations for each CFE rate, with vertical lines indicating the standard deviation of the mean. The dashed horizontal line in (b) represents the boundary between ecosystems acting as a net carbon sink (positive NBP values) and a net carbon source (negative NBP values).

246 Discussion

247 Conclusion

248 Acknowledgements

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255 Data Availability

Tabular model output data and metadata are available in machine readable format from the USGS ScienceBase data repository at https://doi.org/10.5066/P9AWLFKZ. Model input data and R code used to format input data, summarize output data, and compile this manuscript are available from a GitHub repository at https://github.com/selmants/HI_

Model.

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