- Ecosystem carbon balance in the Hawaiian Islands under
- different scenarios of future climate and land use change

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#### 8 Abstract

The State of Hawai'i passed legislation to be carbon neutral by 2045, a goal that will partly depend on carbon sequestration by terrestrial ecosystems. However, there is considerable uncertainty surrounding the future direction and magnitude of the land carbon sink in the Hawaiian Islands. We used simulation modeling to assess how projected future changes in climate and land use will influence ecosystem carbon balance in the Hawaiian Islands under all combinations of two radiative forcing scenarios (RCP 4.5 and RCP 8.5) and two land use scenarios (low and high) over a 90-year timespan from 2010-2100. Collectively, terrestrial ecosystems of the Hawaiian Islands acted as a net carbon sink under low radiative forcing (RCP 4.5) for the entire 90-year simulation period, with low land use change further enhancing carbon sink strength. In contrast, Hawaiian terrestrial ecosystems 27 transitioned from a net sink to a net source of CO2 to the atmosphere under high radiative forcing (RCP 8.5), with high land use accelerating this transition and exacerbating net carbon loss. A sensitivity test of the CO2 fertilization effect on plant productivity revealed it to be a major source of uncertainty in projections of ecosystem carbon balance. Greater mechanistic understanding of plant 31 productivity responses to rising atmospheric CO<sub>2</sub> will be essential to realistically constrain simulation 32 models used to evaluate the effectiveness of ecosystem-based climate mitigation strategies.

## 1. Introduction

Terrestrial ecosystems are a major sink for atmospheric carbon dioxide (CO<sub>2</sub>), removing ~30% of
human emissions on an annual basis and reducing the rate of increase in atmospheric CO<sub>2</sub> (Keenan
and Williams 2018, Friedlingstein *et al* 2019). There is increasing recognition among policymakers
that natural and agricultural ecosystems can contribute to climate mitigation, which has given rise to
the popularity of "natural climate solutions" (Cameron *et al* 2017). Defined as conservation and land
management efforts aimed at enhancing ecosystem carbon storage (Griscom *et al* 2017), natural
climate solutions are appealing because they are seen as cost-efficient and readily available
(Galarraga *et al* 2017, Cameron *et al* 2017, Fargione *et al* 2018). However, effective implementation

is complicated by the uncertainty surrounding the future direction and magnitude of the land carbon sink, especially at the regional scale. Despite this uncertainty, evidence indicates that both interannual and long-term variability in carbon uptake by land ecosystems is driven primarily by fluctuations in climate, land use, and land cover change (Ahlström et al 2015, Prestele et al 2017, Friedlingstein et al 2019). Incorporating the interactive effects of land use and climate into spatially explicit future projections of ecosystem carbon balance could therefore provide a reference point to evaluate the effectiveness of land-based mitigation. Although a complex challenge, a growing number of sub-national jurisdictions plan to incorporate land-based mitigation strategies into their emissions reduction efforts. These jurisdictions would benefit from understanding how future land use and climate-biosphere feedbacks will affect ecosystem carbon balance in their respective regions (Sleeter et al 2019). The State of Hawai'i exemplifies the challenges associated with projecting the interactive effects of future climate and land use change on ecosystem carbon balance at a regional scale. Hawai'i was the first U.S. state to enact legislation committing to full carbon neutrality, requiring the state to account for and offset all of its greenhouse gas emissions by 2045 (State of Hawai'i Acts 15 and 16). This legislation emphasizes the mitigation potential of natural ecosystems as a key component to emissions reduction, necessitating baseline estimates and future projections of land carbon sink strength. However, Hawai'i's challenging terrain complicates these assessment efforts. The main Hawaiian Islands are a complex mosaic of natural and human-dominated landscapes overlain by steep climate gradients across relatively short distances, with mean annual temperature ranging from ~4-24° C (Giambelluca et al 2014) and mean annual rainfall ranging from ~200-10,200 mm (Giambelluca et al 2013). Temperatures have risen rapidly in the Hawaiian Islands since the mid 1970s (Giambelluca et al 2008, McKenzie et al 2019) and a long-term drying trend has persisted since the early 1920s (Frazier and Giambelluca 2017), resulting in reduced forest biomass and productivity (Barbosa and Asner 2017). These same drying and warming trends have increased the frequency and intensity of wildland fire (Trauernicht et al 2015, Trauernicht 2019) with predictable negative effects on ecosystem carbon balance (Selmants et al 2017). Ecosystem carbon stocks across

the main Hawaiian Islands have also been strongly influenced by the legacy of past land use change (Osher et al 2003, Asner et al 2011). Thousands of hectares were deforested beginning in the late 71 19th century to clear land for sugar plantations and cattle pasture (Cuddihy and Stone 1990). Since the mid-20th century, much of this agricultural land has been steadily converted to urban areas, 73 commercial forestry plantations, or simply abandoned and colonized by non-native grass species (Suryanata 2009, Perroy et al 2016). Although these past trends surely inform the future impact of 75 climate and land use change on ecosystem carbon balance, high spatial and temporal heterogeneity complicates realistic projection efforts. To date only one study has attempted to integrate land use, 77 climate, and natural disturbances into future projections of Hawaiian ecosystem carbon balance, with projections limited to the mid-21st century under a single land use change scenario and moderate radiative forcing (SRES A1B, equivalent to RCP 6; Selmants et al 2017). 80 We used a stochastic, spatially explicit simulation model to estimate ecosystem carbon balance for 81 Hawai'i's natural and agricultural lands on an annual basis for the period 2010-2100 under a range of 82 assumptions about future climate, land use, land cover, disturbance, and global  $\mathrm{CO}_2$  emissions 83 (Daniel et al 2016, 2018, Sleeter et al 2019). We explored four unique scenarios that represent all combinations of two land use change pathways (low and high) and two radiative forcing pathways 85 (representative concentration pathway [RCP] 4.5 and RCP 8.5). In addition to these four scenarios, 86 we conducted a separate series of simulations to examine how ecosystem carbon balance estimates vary in response to different levels of a CO<sub>2</sub> fertilization effect (CFE) on net primary productivity (NPP; Sleeter et al 2019). Our goals were to estimate changes in Hawaiian ecosystem carbon balance and their uncertainties under a range of plausible future scenarios, quantify the relative impact of major controlling processes such as land use change, disturbance, and climate change, and assess the sensitivity of model estimates to the introduction of a CFE on NPP.

### 93 2. Methods

We used the Land Use and Carbon Scenario Simulator (LUCAS), an integrated landscape change and carbon gain-loss model, to project changes in ecosystem carbon balance for the seven main Hawaiian Islands. The landscape change portion of LUCAS is a state-and-transition model, where 'state' is the pre-defined land cover class assigned to each simulation cell and 'transition' is the change in state of each simulation cell over time (Daniel et al 2016). Landscape change occurs by applying a Monte Carlo approach to track the state type and age of each simulation cell in response to a pre-determined set of transition pathways (Daniel et al 2016). The carbon gain-loss portion tracks carbon stocks 100 within each simulation cell over time as continuous state variables, along with a pre-defined set of 101 continuous flows specifying rates of change in stock levels over time (Daniel et al 2018, Sleeter et al 102 2019). We parameterized the Hawai'i LUCAS model to estimate annual changes in carbon stocks and 103 fluxes in response to land use, land use change, wildland fire, and long-term climate variability for 104 the time period 2010-2100. 105

#### 106 2.1 Study area

The spatial extent of this study was the terrestrial portion of the seven main Hawaiian Islands (figure 1), a total land area of 16,554 km<sup>2</sup>. We subdivided this landscape into a grid of 264,870 simulation cells, each of which was 250 x 250 m in size. Each simulation cell was assigned to one of 210 possible state types based on the unique combination of three moisture zones (dry, mesic, and wet; supplemental figure 1), seven islands, and ten discrete land cover classes (figure 1).

#### 2 2.2 States and transitions

We developed two land use scenarios (low and high) with transition pathways modified from Daniel et al (2016). Transition pathways between state types were pre-defined to represent urbanization, agricultural contraction, agricultural expansion, harvesting of tree plantations, and wildfire.



Figure 1: Land cover classification of the seven main Hawaiian Islands, adapted from Jacobi *et al.* (2017). Agriculture in this map combines herbaceous and woody crops, but these two crop types are treated as separate land cover classes in the simulation model. Water and Wetland land cover classes are not shown.

Agriculture, forest, grassland, shrubland, and tree plantation state types each had multiple transition
pathways, while the barren state type could only transition to developed (i.e., urbanization). Although
most state types had an urbanization transition pathway, there was no transition pathway out of an
urbanized (developed) state. Water and wetland state types remained static throughout the simulation
period.

Transition targets set the area to be transitioned over time. These targets were based on historical trends of land use change in the Hawaiian Islands from 1992-2011 (NOAA 2020) and on population projections for the State of Hawai'i (Kim and Bai 2018). For the high land use scenario, transition rates for each timestep and Monte Carlo realization were sampled from uniform distributions 124 bounded by the median and maximum historical rates of agricultural contraction, agricultural 125 expansion, and urbanization for each island. For the low land use scenario, rates of agricultural 126 contraction and expansion were sampled from uniform distributions bounded by zero and the 127 minimum historical rates for each island. Urbanization rates in the low land use scenario were based 128 on island-level population estimates and projections at five year intervals from 2010-2045 (Kim and 129 Bai 2018). We converted population projections into urbanization transition targets following Sleeter 130 et al (2017) by calculating population density for each island and then projecting future developed 131 area based on the five-year incremental change in island population. The spatial extent of agricultural 132 contraction, agricultural expansion, and urbanization was constrained in both land use scenarios 133 based on existing zoning maps (Daniel et al 2016). Transition targets for tree plantation harvest were 134 set at  $\sim$ 75% of recent historical rates in the high land use scenario and  $\sim$ 40% of recent historical rates 135 in the low land use scenario (Daniel et al 2016). In both land use scenarios, approximately 60% of 136 tree plantation harvests were replacement harvests resulting in conversion to agriculture. The remaining 40% were rotation harvests replanted to *Eucalyptus* spp.

The wildfire transition sub-model was modified from Daniel *et al* (2016) by incorporating a new 21-year historical wildfire spatial database of the Hawaiian Islands (supplemental figure 2). We used this new spatial database to calculate historical wildfire size distribution and ignition probabilities for

each unique combination of moisture zone (supplemental figure 1), island, and state type (figure 1)
for the years 1999-2019. Starting in 2020, the number and size of fires was randomly drawn from one
of these historical year-sets for each timestep and Monte Carlo realization, using burn severity
probabilities from Selmants *et al* (2017). Wildfire in the low land use scenario was sampled from the
subset of historical fire years at or below the median area burned statewide from 1999-2019. The
high land use scenario sampled from historical fire years above the median area burned over the same
21-year period (supplemental figure 2a).

The fate of carbon stocks was tracked for each simulation cell based on a suite of carbon flows (i.e.,

#### 49 2.3 Carbon stocks and flows

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carbon fluxes) specifying the rates of change in these carbon stocks over time (Daniel et al 2018, 151 Sleeter et al 2019). We defined carbon stocks as continuous state variables for each simulation cell, 152 including live biomass, standing dead wood, down dead wood, litter, and soil organic carbon. We 153 also included and tracked carbon in atmospheric, aquatic, and harvest product pools to enforce carbon 154 mass balance (Daniel et al 2018). To transfer carbon between stocks, we defined baseline carbon 155 flows as continuous variables resulting from growth, mortality, deadfall, woody decay, litter 156 decomposition, and leaching (which includes runoff). We also defined carbon flows resulting from 157 land use, land use change, and wildfire (Selmants et al 2017, Daniel et al 2018). 158 Initial carbon stocks and baseline carbon flows were estimated based on the moisture zone 150 (supplemental figure 1), state type, and age of each simulation cell using a lookup table derived from 160 the Integrated Biosphere Simulator (IBIS; Foley et al 1996, Liu et al 2020), a process-based dynamic 161 global vegetation model. We initiated IBIS with minimal vegetation and simulated forward for 110 162 years using 30-year climate normals for the Hawaiian Islands (Giambelluca et al 2013, 2014). We 163 calibrated IBIS carbon stocks with statewide gridded datasets of soil organic carbon (Soil Survey Staff 2016) and forest aboveground live biomass (Asner et al 2016). We also calibrated gross 165 photosynthesis in IBIS using a Hawai'i-specific gridded dataset derived from MODIS satellite

imagery (Kimball et al 2017).

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each combination of moisture zone and state type adjusted with a spatially explicit stationary growth multiplier to reflect local variation driven by microclimate (Sleeter et al 2019). We calculated this 170 spatial growth multiplier as the NPP anomaly for each simulation cell relative to mean NPP values for each combination of moisture zone and state type based on empirical relationships between total annual NPP and mean annual rainfall or temperature (Schuur 2003, Del Grosso et al 2008) using 173 Hawai'i-specific climate data (Giambelluca et al 2013, 2014). Soil carbon flux to the atmosphere (R<sub>h</sub>) and aquatic soil carbon losses (leaching and overland flow) were estimated as the ratio of the 175 IBIS-derived flux for each combination of moisture zone and state type to the microclimate-adjusted 176 NPP value for each simulation cell. All other carbon flow rates were estimated as the ratio of the 177 mean IBIS-derived flux for each combination of moisture zone and state type to the size of the 178 originating carbon stock at each age (Sleeter et al 2018, Daniel et al 2018). 179 Climate change impacts on carbon flows were represented by temporal growth and decay multipliers 180 applied to each simulation cell based on mid-century (2049-2069) and end-of-century (2070-2099) 181 statistically downscaled CMIP5 temperature and rainfall projections for the Hawaiian Islands under 182 each of two radiative forcing scenarios (RCP 4.5 and RCP 8.5; Elison Timm et al 2015, Elison Timm 183 2017). The impact of future changes in rainfall and temperature on NPP were represented by annual 184 growth multipliers calculated using empirical NPP models (Schuur 2003, Del Grosso et al 2008) and 185 climate model projections of temperature and rainfall for each radiative forcing scenario. The effect 186 of future warming on turnover rates of dead organic matter were represented by temporal decay 187 multipliers calculated using  $Q_{10}$  temperature coefficients based on climate model temperature 188 projections for each radiative forcing scenario. The Q<sub>10</sub> temperature coefficients represented the 189 proportional change in detrital carbon turnover due to a 10° C change in mean annual temperature. We applied a Q<sub>10</sub> of 2.0 for wood and soil organic matter decay flows (Kurz et al 2009, Sleeter et al 2019) and a  $Q_{10}$  of 2.17 for litter decay flows (Bothwell et al 2014). Transition-triggered carbon

Net primary production for each simulation cell was calculated as the mean IBIS-derived value for

flows resulting from disturbances associated with land use change, timber harvesting, and wildfire were based on values from Don *et al* (2011), Selmants *et al* (2017), and Daniel *et al* (2018).

# 2.4 CO<sub>2</sub> fertilization effect

Increasing atmospheric CO<sub>2</sub> concentrations stimulate leaf-level photosynthesis, potentially increasing NPP as well (Franks et al 2013). However, the magnitude and persistence of this effect is highly 197 uncertain, particularly across a range of climatic conditions and over long time spans (Walker et al 2020). Following Sleeter et al (2019), we developed a separate set of scenarios designed to test the 199 sensitivity of LUCAS model projections of ecosystem carbon balance to different rates of a CO<sub>2</sub> 200 fertilization effect (CFE). We incorporated a CFE multiplier for NPP that represented the percent 201 increase in NPP for every 100 ppm increase in atmospheric CO<sub>2</sub> concentration under the high land 202 use and high radiative forcing (RCP 8.5) scenario. We tested five CFE levels ranging from 5% to 203 15%, which is within the range of CFEs observed in free air CO<sub>2</sub> enrichment (FACE) experiments. 204 For all levels, we assumed CFEs reached saturation at an atmospheric CO<sub>2</sub> concentration of 600 ppm, 205 with no further stimulation of NPP despite a continued increase in CO<sub>2</sub> concentration to 930 ppm by 206 2100. This 600 ppm threshold generally coincides with the upper limit from FACE experiments and 207 is reached by the year 2060 under RCP 8.5. 208

#### 209 2.5 Scenario simulations and analysis

Each of the four unique scenarios were run for 90 years at an annual timestep and repeated for 30

Monte Carlo realizations, using initial conditions corresponding to the year 2010. All simulations

were performed within the SyncroSim (version 2.2.4) software framework with ST-Sim (version
3.2.13) and SF (version 3.2.10) add-on modules (Daniel *et al* 2016, 2018). Model inputs and outputs

were prepared with the R statistical computing platform (R Core Team 2019) using the tidyverse

(Wickham *et al* 2019), raster (Hijmans 2020), and rsyncrosim (Daniel *et al* 2020) packages. Carbon

stocks and fluxes for the seven main Hawaiian Islands were calculated for each scenario by summing

within each Monte Carlo realization on an annual basis and then calculating annual means as well as the annual upper and lower limits of the 30 Monte Carlo realizations. Carbon balance for the seven 218 main Hawaiian Islands was calculated on annual basis for each scenario and Monte Carlo realization 219 as net biome productivity (NBP), which was equal to annual carbon input in the form of NPP minus 220 the annual sum of all carbon losses from terrestrial ecosystems, including heterotrophic respiration 221 (R<sub>h</sub>) from litter and soil, carbon fluxes to the atmosphere triggered by land use and land use change, 222 wildfire emissions, and aquatic carbon losses through leaching and overland flow. Positive NBP 223 values indicated ecosystems of the seven main Hawaiian Islands were acting as a net sink for 224 atmospheric CO2, while negative NBP values indicated that these ecosystems were acting as a net 225 carbon source to the atmosphere (Chapin *et al* 2006). 226

#### 227 3. Results

## 3.1 Carbon stocks and fluxes

Terrestrial ecosystems of the seven main Hawaiian Islands stored an estimated 316 Tg of carbon at 220 the beginning of the simulation period in 2010 (figure 2a), with 58% in soil organic matter, 22% in 230 living biomass, and 20% in surface dead organic matter (litter and dead wood; figure 2b). Ecosystems 231 accumulated carbon in all scenarios but at different rates, with trajectories shaped primarily by 232 climate change and to a lesser extent by land use change. The highest and most consistent projected 233 accumulation of ecosystem carbon occured under the combination of low radiative forcing and low 234 land use change, yielding a ~15\% increase in ecosystem carbon to an average of 363 Tg by 2100 235 (figure 2a). In contrast, high radiative forcing and high land use change resulted in the lowest 236 ecosystem carbon gain, reaching a peak of ~332 Tg in 2063 and a decline to 327 Tg in 2100, resulting 237 in a net increase of only 3% by the end of the simulation period (figure 2a). Ecosystem carbon 238 accumulation was driven exclusively by increasing soil organic carbon across all four scenarios, all other stocks declined over time (figure 2b).

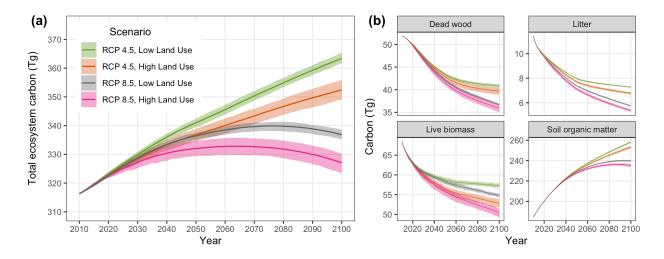


Figure 2: Projected changes in total ecosystem carbon storage (a) and individual carbon stocks (b) for the seven main Hawaiian Islands. Solid lines indicate the mean of 30 Monte Carlo realizations for each scenario, with shaded areas indicating the minimum and maximum range of Monte Carlo realizations.

Net primary production (NPP) for the seven main Hawaiian Islands declined across all four scenarios, driven primarily by climate change and to a lesser extent by land use change (Fig. 3). The combination of high radiative forcing (RCP 8.5) and high land use change led to the steepest decline 243 in NPP over time, driven by intense long-term drying on the leeward sides of islands under RCP 8.5 244 (supplemental figure 4) and sustained losses of forest and shrubland land area in the high land use 245 scenario (supplemental figure 5). In contrast, climate change led to increased heterotrophic 246 respiration (R<sub>b</sub>) over time, such that more intense warming under RCP 8.5 (supplemental figure 4) 247 resulted in  $R_h$  being ~ 3% higher by 2100 than under RCP 4.5 (figure 3). Heterotrophic respiration 248 declined substantially over time in the high land use scenario (figure 3) because of long-term 249 reductions in forest and shrubland land area (supplemental figure 5), similar to trends in NPP. 250 Transition-triggered carbon fluxes to the atmosphere from land use, land use change, and wildfire 251 were largely independent of changes in climate, stabilizing by mid-century at an average of ~0.4 Tg 252  $y^{-1}$  in the high land use scenario and  $\sim 0.2$  Tg  $y^{-1}$  in the low land use scenario (figure 3). Uncertainty 253 around transition-triggered carbon fluxes were higher in the high land use scenario, driven primarily 254 by greater variability in wildland fire probabilities. 255

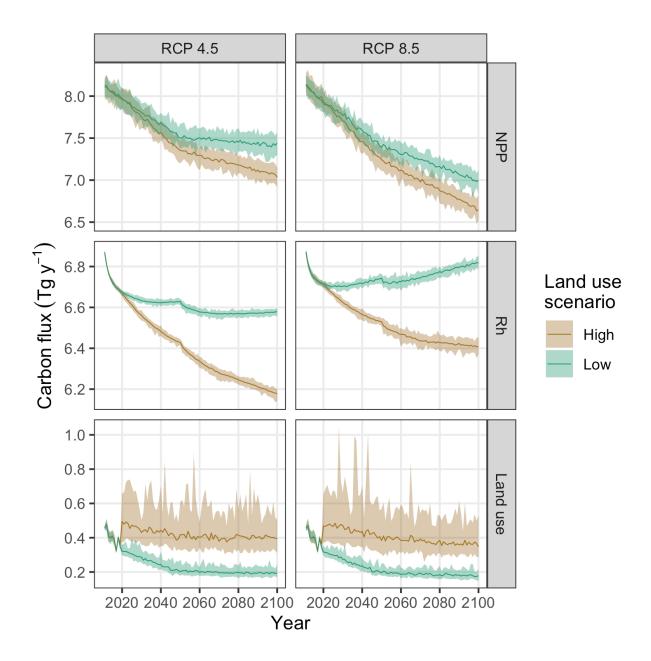


Figure 3: Projected changes in net primary production (NPP), heterotrophic respiration (Rh) and carbon fluxes induced by land use and land use change for the seven main Hawaiian Islands. Solid lines indicate the mean of 30 Monte Carlo realizations for each scenario, with shaded areas indicating the minimum and maximum range of Monte Carlo realizations.

#### 3.2 Ecosystem carbon balance

Net biome productivity (NBP) averaged approximately 0.6 Tg C y<sup>-1</sup> at the start of the simulation 257 period and declined over time in all four scenarios (figure 4). On average, terrestrial ecosystems of the 258 seven main Hawaiian Islands collectively acted as a net carbon sink throughout the simulation period 259 under the RCP 4.5 radiative forcing scenario, but carbon sink strength was ~40% lower in the high land use scenario compared to the low land use scenario by the end of the simulation period (figure 4). In contrast, ecosystems of the Hawaiian Islands acted as a net carbon source to the atmosphere toward the latter half of the simulation period under RCP 8.5, with the transition from sink to source occurring 15 years earlier on average in the high land use scenario than in the low land use scenario (figure 4). The high land use scenario under RCP 8.5 represented a ~40% larger net source of carbon to the 265 atmosphere by the year 2100 than the low-land use scenario under the same radiative forcing. Over 266 the entire simulation period, both global emissions reductions and local avoided land conversion 267 resulted in substantial increases in cumulative NBP (figure 5). However, switching from RCP 8.5 to 268 RCP 4.5 increased cumulative NBP in the Hawaiian Islands more than twice as much as reducing 260 emissions from local land use change and wildfire disturbance (figure 5). Switching from RCP 8.5 to 270 RCP 4.5 under the low land use scenario yielded the greatest cumulative increase in NBP, resulting in 271 a median gain of 26.5 Tg of carbon over the entire 90-year simulation period. 272

### 273 3.3 CO<sub>2</sub> fertilization effect

Projected estimates of both total ecosystem carbon storage and ecosystem carbon balance were highly sensitive to differing rates of a CFE on plant productivity. Under the high radiative forcing (RCP 8.5) and high land use scenario, the inclusion of a CFE ranging from 5-15% led to ~33-98 Tg of additional carbon storage in ecosystems by the end of the century, a ~10-30% increase (figure 6a). Compared to the reference scenario (0% CFE), a 5% CFE was sufficient to transform Hawaiian Island ecosystems from a net carbon source to the atmosphere during the latter half of the 21st century (figure 4b) to a net carbon sink for the entire simulation period (figure 6b), completely offsetting all other carbon



Figure 4: Projected changes in net biome productivity (NBP) for the seven main Hawaiian Islands. Values above zero indicate terrestrial ecosystems are acting as a net carbon sink for atmospheric carbon and values below zero indicate ecosystems are acting as a net carbon source to the atmosphere. Solid lines indicate the mean of 30 Monte Carlo realizations for each scenario, with shaded areas indicating the minimum and maximum range of Monte Carlo realizations. The dashed horizontal line in each panel represents the boundary between ecosystems acting as a net carbon sink (positive NBP values) and a net carbon source (negative NBP values).



Figure 5: Projected changes in cumulative net biome productivity (NBP) for the seven main Hawaiian Islands when switching from the high to low land use change scenario under each radiative forcing scenario (top panel) and when switching from the high (RCP 8.5) to low (RCP 4.5) radiative forcing scenario under each land use scenario (bottom panel). Box plots indicate the median (vertical black line), 25th and 75th percentiles (colored boxes), 10th and 90th percentiles (thin horizontal lines), and values outside of this range (black circles). Note the different x-axis scales in each panel.

losses induced by high radiative forcing and high land use. Net carbon sink strength was further enhanced at higher CFE rates, with NBP increasing by an average of 0.07 Tg C  $y^{-1}$  for each 1% increase in CFE (figure 6b). When compared to other scenarios, applying a 5% CFE to the high radiative forcing and high land use scenario resulted in a mean annual NBP of  $0.46 \pm 0.3$  Tg C  $y^{-1}$ , roughly equivalent to mean annual NBP in the low radiative forcing and low land use scenario with no CFE (0.52  $\pm$  0.12). A 15% CFE applied to the high radiative forcing and high land use scenario resulted in a mean annual NBP of  $1.18 \pm 0.29$  Tg C  $y^{-1}$ , more than double that of the low radiative forcing and low land use scenario with no CFE.

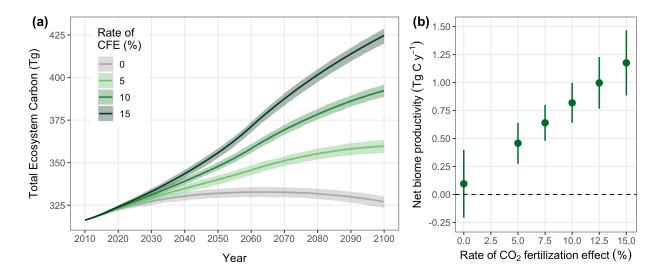


Figure 6: Sensitivity of projected changes in total ecosystem carbon storage (a) and mean annual net biome productivity (b) to different rates of carbon dioxide fertilization in the seven main Hawaiian Islands under the RCP 8.5 radiative forcing and high land use scenario. The carbon dioxide fertilization effect (CFE) is the percent change in net primary productivity (NPP) for every 100 ppm increase in atmospheric carbon dioxide. The CFE for all rates is capped at 600 ppm, which is achieved around the year 2060. Solid lines in (a) indicate the mean total ecosystem carbon storage across 30 Monte Carlo realizations for each CFE rate, with shaded areas indicating the minimum and maximum range of Monte Carlo realizations. Solid circles in (b) represent mean annual net biome productivity averaged across all years and Monte Carlo realizations for each CFE rate, with vertical lines indicating the standard deviation of the mean. The dashed horizontal line in (b) represents the boundary between ecosystems acting as a net carbon sink (positive NBP values) and a net carbon source (negative NBP values).

## 4. Discussion

We estimated that terrestrial ecosystems of the Hawaiian Islands have been a consistent net sink for 290 atmospheric carbon over the last decade (figure 4). For the time period 2011-2019, net biome 291 productivity (NBP) averaged 0.64 TgC y<sup>-1</sup> and ranged from 0.46 to 0.88 TgC y<sup>-1</sup> across all scenarios. 292 Based on this mean annual NBP estimate, Hawaiian terrestrial ecosystems offset approximately 13% 293 of 2015 statewide CO<sub>2</sub> emissions from energy production and transportation (5.04 TgC), the State of 294 Hawai'i's largest source of greenhouse gas emissions (State of Hawai'i 2019). Future projections 295 indicate Hawaiian terrestrial ecosystems will continue to be a net sink for atmospheric carbon if 296 global  $CO_2$  emissions peak around 2040 and then decline (RCP 4.5), and that carbon sink strength 297 can be further enhanced by reducing the intensity and extent of future land use change. If, however,

global CO<sub>2</sub> emissions continue to rise throughout the 21st century (RCP 8.5), our projections indicate Hawaiian ecosystems will transition from a net sink to a net source of CO<sub>2</sub> to the atmosphere, with 300 high levels of land use change accelerating this transition and exacerbating net carbon loss. Our 301 model results also indicate that projections of ecosystem carbon balance are highly sensitive to the 302 introduction of a CFE. Even a 5% increase in NPP for every 100ppm increase in atmospheric CO<sub>2</sub> 303 was sufficient to completely offset all other carbon losses induced by the high radiative forcing and 304 high land use scenario, maintaining Hawaiian Island ecosystems as a net carbon sink for the entire 305 simulation period instead of transitioning to a net carbon source by mid-century. Reconciling the high 306 uncertainty surrounding the response of net photosynthesis to rising atmospheric  $\mathrm{CO}_2$  is essential to 307 more realistically constrain model projections of ecosystem carbon balance. 308

## 4.1 Impact of different climate and land use pathways

By comparing ecosystem carbon balance estimates under different scenario combinations, we were 310 able to assess the relative impact of both global emissions reductions and regional actions to reduce 311 emissions from land use, land use change, and wildland fire (figure 5). Global adherence to a lower 312 emissions trajectory (i.e., switching from RCP 8.5 to RCP 4.5) had the largest impact, resulting in a 313 median cumulative increase of 26 Tg C sequestered by Hawaiian ecosystems over the 90-year 314 simulation period. Long-term reductions in the intensity of land use change also consistently led to an 315 increase in ecosystem carbon sequestration, but to a lesser degree than global emissions reductions. 316 Switching from the high to the low land use scenario resulted in a median cumulative retention of an 317 additional 11.6 Tg C in Hawaiian ecosystems by 2100. The combination of global climate mitigation 318 and local reductions in land use conversion had the largest potential benefit to ecosystem carbon 319 sequestration, reducing cumulative net losses by over 400% (37.7 Tg C). Notably, the relative impact 320 of reducing emissions from land use change was much greater under the high radiative forcing 321 pathway (RCP 8.5). Cumulative NBP increased by 130% when switching from the high to low land 322 use scenario under RCP 8.5, as opposed to a 37% cumulative increase in NBP when switching from 323 high to low land use under RCP 4.5. These results demonstrate that reducing ecosystem carbon losses from land use change, harvest, and wildland fire can be an important component of greenhouse gas
reduction efforts by sub-national jurisdictions like the State of Hawai'i, regardless of the global
emissions trajectory. These results also highlight the utility of Hawai'i's multi-pronged approach of
participating in global climate mitigation efforts by reducing emissions from the energy and
transportation sectors while also reducing land use emissions to minimize positive feedbacks to the
climate system.

## 4.2 Comparison to other studies

There are few estimates of contemporary ecosystem carbon balance for the main Hawaiian Islands, 332 and even fewer model projections of future ecosystem carbon balance in response to climate and land use change. Our mean annual NBP estimate of 0.64 TgC y<sup>-1</sup> for the period 2011-2020 agrees well with a recent State of Hawai'i Greenhouse Gas Inventory report, which estimated an annual net 335 carbon sink of 0.66 Tg C in 2015 from agriculture, forestry, and other land uses (State of Hawai'i 336 2019). In contrast, our NBP estimate for the past decade was ~ 88% higher than a previous statewide 337 LUCAS model estimate covering the same time period (0.341 Tg C y<sup>-1</sup>; Selmants et al 2017). This 338 discrepancy was likely driven by modifications in how we calculated NPP, soil R<sub>h</sub>, and soil aquatic 339 carbon loss compared to previous versions of the LUCAS model, as well our model's finer spatial 340 resolution (Selmants et al 2017, Daniel et al 2018). Previous versions of a Hawai'i LUCAS model 341 were run at 1-km spatial resolution and simulation cells within each unique combination of moisture 342 zone and state type all had the same mean IBIS-derived NPP value applied to them at the beginning 343 of the simulation period. In contrast, our NPP estimates at 250-m spatial resolution were adjusted on 344 a cell-by-cell basis using Hawai'i-specific climate data as described in section 2.3. As a result, our 345 statewide NPP estimates from 2011-2020 were 9.5% lower on average than previous LUCAS model 346 estimates for Hawai'i during the same time period (Selmants et al 2017), likely because of the greater influence of more arid simulation cells. Soil carbon losses via R<sub>h</sub>, leaching, and overland flow in 348 previous versions of the LUCAS model were calculated as the ratio of the IBIS-derived flux to the size of the originating carbon stock, in this case soil organic carbon to 1-m depth (Daniel et al 2018).

Here we calculated soil R<sub>h</sub> and aquatic carbon losses as the ratio of the mean IBIS-derived flux to the microclimate-adjusted NPP value of each simulation cell, which is a more realistic driver than stock size (Jackson *et al* 2017). Compared to previous Hawai'i LUCAS model estimates (Selmants *et al* 2017), soil R<sub>h</sub> and aquatic carbon losses from 2011-2020 were reduced by an average of 15% and 21%, respectively, which widened the gap between between carbon gain (NPP) and carbon losses and accounted for the overall increase in NBP estimates for this time period.

## 357 4.3 Limitations of this study

There is ample evidence that increasing atmospheric CO<sub>2</sub> concentrations can stimulate NPP (Norby 358 et al 2005, Zhu et al 2016), but the magnitude and persistence of this effect remains highly uncertain (Franks et al 2013, Walker et al 2020). Our results demonstrate that long-term projections of 360 ecosystem carbon balance are highly sensitive to uncertainty in CFE strength. With no CFE, 361 Hawaiian ecosystems became a net source of CO<sub>2</sub> to the atmosphere beginning in the latter half of the 362 21st century under the high land use and high radiative forcing scenario. However, a CFE equivalent 363 to a 5% increase in NPP for every 100 ppm increase in atmospheric CO<sub>2</sub> applied to the same scenario 364 resulted in Hawaiian ecosystems remaining a net carbon sink throughout the entire simulation period. 365 A 15% CFE applied to the high land use and high radiative forcing scenario resulted in a nearly 366 5-fold increase in mean annual NBP averaged across all years and Monte Carlo realizations. Despite 367 this demonstrated sensitivity to a CFE, several potentially attenuating factors complicate the selection 368 of a realistic CFE value with any degree of confidence (Walker et al 2020). Nitrogen and phosphorus 369 limitation can reduce or eliminate a CFE (Reich et al 2006, Norby et al 2010, He et al 2017, Terrer et 370 al 2019), as can water limitation and heat stress (Obermeier et al 2017, Birami et al 2020). Forest 371 age may also be a factor, with young aggrading forests showing a strong positive growth response to 372 CO<sub>2</sub> fertilization (Walker et al 2019), while old-growth forests show little to no response (Jiang et al 373 2020, Yang et al 2020). This evidence indicates that a CFE may be highly variable across space and 374 time, suggesting it may be unrealistic to apply a single CFE rate value across an entire region over 375 several decades. Until mechanistic understanding is improved, the most conservative approach when

projecting future ecosystem balance in the context of climate mitigation planning may be to assume no CFE, with the knowledge that any realized CFE will only enhance ecosystem carbon sequestration. Our model does not currently differentiate between forests dominated by native versus non-native tree 379 species, which could influence estimates of ecosystem carbon balance. Native forests in the Hawaiian 380 Islands are dominated by 'ōhi'a (Metrosideros polymorpha Gauditch), an endemic foundational tree species found across a broad range of climatic and edaphic conditions (Ziegler 2002). Beginning in 2010, a fungal disease termed Rapid 'Ōhi'a Death (ROD) caused by two Ceratocystus spp. has emerged and caused widespread mortality to mature 'ōhi'a trees across a range of size classes, 384 primarily on Hawai'i Island (Mortenson et al 2016, Fortini et al 2019). The distribution and potential 385 range of this emerging threat to Hawai'i's dominant native tree species have only recently been 386 mapped (Vaughn et al 2018, Fortini et al 2019), but the pulse of newly dead organic matter and 387 reduction in photosynthetic capacity induced by widespread tree mortality could significantly alter 388 ecosystem carbon balance over the long-term (Sleeter et al 2019). ROD-affected forests could also 389 undergo a replacement of canopy dominant stress-tolerating 'ōhi'a trees by non-native tree species 390 with faster relative growth rates, lower wood density, and faster tissue turnover, potentially altering 391 the long-term trajectory of carbon cycling in Hawaiian forest ecosystems. New model projections for 392 Hawai'i that incorporate ROD spread rates and forest restoration scenarios will therefore require 393 differentiation among forest ecosystems dominated by native and non-native tree species. 394 Interannual climate variability is a primary factor influencing spatial and temporal patterns of global 395 wildland fire activity (Abatzoglou et al 2018), with climate warming expected to increase wildland 396 fire frequency and wildfire season length across a wide range of biomes (Sun et al 2019). Although 397 our model projections capture the spatial and temporal variation in ignition probability and fire extent 398 by sampling from previous fire years (1999-2019), we did not incorporate the effects of projected 399 future climate change on the frequency and extent of wildland fire. Recent fire probability modeling for the northwest portion of Hawai'i Island indicated that projected drying and warming trends under RCP 8.5 could increase maximum fire probability values more than three-fold and shift areas of peak

flammability to higher elevation by mid-century (Trauernicht 2019). Extending this probability fire
modeling approach statewide would provide a quantitative, spatially explicit assessment of wildland
fire probability for the main Hawaiian Islands as predicted by climate, land cover, and ignition
density, which is highly correlated with population density (Trauernicht *et al* 2015, Trauernicht 2019).
This approach would provide future simulation model projections of Hawaiian ecosystem carbon
balance with more realistic scenarios of expected annual area burned based on the integrated effects
of future climate and land use change.

#### 5. Conclusion

Although terrestrial ecosystems are currently an important sink for atmospheric CO2, the future 411 direction and magnitude of the land carbon sink are highly uncertain, especially at regional scales. 412 Our simulation modeling results indicated that projected climate change, dictated by long-term 413 trajectories in global greenhouse gas emissions, was the primary factor influencing terrestrial 414 ecosystem carbon balance in the Hawaiian Islands. Long-term reductions in the intensity of land use 415 change and wildland fire also consistently led to an increase in ecosystem carbon sequestration, but to 416 a lesser degree than global emissions reductions. CO<sub>2</sub> fertilization of NPP was the largest source of 417 uncertainty in long-term projections of ecosystem carbon balance in the Hawaiian Islands, 418 highlighting the need for greater mechanistic understanding of the cascading effects of rising 419 atmospheric CO<sub>2</sub> on ecosystem carbon sequestration. By incorporating the interactive effects of land use and climate change into future projections of ecosystem carbon balance, our model results could 421 be used as a set of baseline projections for the State of Hawai'i to evaluate different ecosystem-based climate mitigation strategies. Studies like ours that incorporate stochasticity into spatially explicit simulation models could also provide a framework for the growing number of sub-national jurisdictions that plan to incorporate ecosystem carbon sequestration into their emissions reduction 425 efforts. These long-term projections will be critical to understanding how future land use and 426 climate-biosphere feedbacks could influence the achievement of climate mitigation goals.

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# Data and code availability

- Tabular model output data and metadata are available in machine readable format from the USGS
- ScienceBase data repository at https://doi.org/10.5066/P9AWLFKZ. Model input data and R code
- used to format input data, summarize output data, and compile this manuscript are available from a
- GitHub repository at https://github.com/selmants/HI Model.

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