- Ecosystem carbon balance in the Hawaiian Islands under
- <sup>2</sup> different scenarios of future climate and land use change
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### 18 Abstract

The State of Hawai'i passed legislation in 2018 setting a goal to be carbon neutral by 2045. Meeting this goal will partly depend on carbon sequestration by terrestrial ecosystems, yet the future direction and magnitude of the land carbon sink in the Hawaiian Islands is highly uncertain. We used simulation modeling to assess how projected future changes in climate and land use will influence ecosystem carbon balance in the Hawaiian Islands under four unique scenarios over a 90-year timespan. Net ecosystem carbon balance declined under all four scenarios. Moving from a high to a low radiative forcing scenario reduced net ecosystem carbon loss by  $\sim 21\%$ , and net carbon losses were reduced by a total of  $\sim 55\%$  under the combined scenario of low radiative forcing and low rates of land-use change. A sensitivity 27 test of the CO<sub>2</sub> fertilization effect on plant productivity revealed it to be a major source of uncertainty in projections of ecosystem carbon balance. Reconciling this uncertainty in how net photosynthesis will respond to rising atmospheric CO<sub>2</sub> will be essential to better constrainment of models used to evaluate the effectiveness of ecosystem-based climate mitigation 31 strategies.

### $_{ ext{ iny Introduction}}$

The main Hawaiian Islands are a complex mosaic of natural and human-dominated landscapes,

### $_{^{36}}$ Methods

We used the Land Use and Carbon Scenario Simulator (LUCAS), an integrated landscape change and carbon gain-loss model, to project changes in ecosystem carbon balance for the seven main Hawaiian Islands under all combinations of two land-use scenarios (low and high) and two radiative forcing scenarios (RCP 4.5 and RCP 8.5). The landscape change

portion of LUCAS is a state-and-transition model that applies a Monte Carlo approach to track the state type and age of each simulation cell in response to a pre-determined set of transitions (Daniel et al 2016). The carbon gain-loss portion tracks carbon stocks within each simulation cell over time as continuous state variables, along with a pre-defined set of continuous flows specifying stock level rates of change over time (Daniel et al 2018, Sleeter et al 2019). We parameterized the Hawai'i LUCAS model to estimate annual changes in carbon stocks and fluxes in response to land use, land use change, wildland fire, and longterm climate variability. Simulations were run for 90 years at an annual timestep, using initial conditions corresponding to the year 2010. All simulations were repeated for 30 Monte Carlo realizations.

### 51 Study area

The spatial extent of this study was the terrestrial portion of the seven main Hawaiian Islands (Figure 1), a total land area of 16,554 km<sup>2</sup>. We subdivided this landscape into a grid of 264,870 simulation cells, each of which was 250 x 250 m in size. Each simulation cell was assigned to one of 210 possible state types based on the unique combination of three moisture zones (dry, mesic, and wet; Figure S1), seven islands, and ten discrete land cover classes (Figure 1).

#### 58 States and transitions

We developed two land-use scenarios (low and high) with transition pathways modified from
Daniel et al (2016). Transitions between state types were pre-defined to represent urbanization, agricultural contraction, agricultural expansion, harvesting of tree plantations, and
wildfire. Agriculture, forest, grassland, tree plantation, and shrubland state types each had
multiple transition pathways, while the barren state type could only transition to developed
(i.e., urbanization). There was no transition pathway out of an urbanized (developed) state.

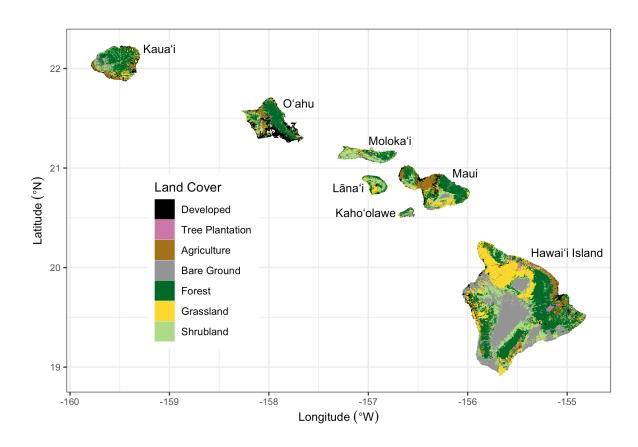


Figure 1: Land cover classification of the seven main Hawaiian Islands, adapted from Jacobi et al (2017). Agriculture in this map combines herbaceous and woody crops, but these two crop types are treated as separate land cover classes in the simulation model. Water and Wetland land cover classes are not shown.

Water and wetland state types remained static throughout the simulation period.

Transition targets were based on historical trends of land use change in the Hawaiian Islands from 1992-2011 (NOAA 2020) and on population projections for the State of Hawaii (Kim and Bai 2018). For the high land-use scenario, transition rates for each timestep and Monte Carlo realization were sampled from uniform distributions bounded by the median and maximum historical rates of agricultural contraction, agricultural expansion, and urbanization for each island. For the low land-use scenario, rates of agricultural contraction and expansion were sampled from uniform distributions bounded by zero and the minimum historical rates for each island. Urbanization rates in the low land-use scenario were based on island-level population estimates and projections at five year intervals from 2010-2045 (Kim and Bai 2018). We converted population projections into urbanization transition targets following Sleeter et al (2017) by calculating population density for each island and then projecting future developed area based on the five-year incremental change in island population. The spatial extent of agricultural contraction, agricultural expansion, and urbanization was constrained in both land-use scenarios based on existing zoning maps (Daniel et al 2016). Transition targets for tree plantation harvest were set at ~75% of recent historical rates in the high land-use scenario and ~40\% of recent historical rates in the low land-use scenario (Daniel et al 2016). In both land-use scenarios, approximately 60% of tree plantation harvests were replacement harvests resulting in conversion to agriculture. The remaining 40%were rotation harvests replanted to *Eucalyptus* spp. The wildfire transition sub-model was modified from Daniel et al (2016) by incorporating a new 21-year historical wildfire spatial database of the Hawaiian Islands (Figure S2). We used this new spatial database to calculate historical wildfire size distribution and ignition probabilities for each unique combination of moisture zone (Figure S1), island, and state type (Figure 1) for the years 1999-2019. Starting in 2020, the number and size of fires was randomly drawn from one of these historical year-sets for each timestep and Monte Carlo

realization, using burn severity probabilities from Selmants *et al* (2017). Wildfire in the low land-use scenario was sampled from the subset of historical fire years at or below the median area burned statewide from 1999-2019. The high land-use scenario sampled from historical fire years above the median area burned over the same 21-year period (Fig. S2a).

#### $^{\,\,\,\,\,\,\,\,}$ Carbon~stocks~and~flows

The fate of carbon stocks was tracked for each simulation cell based on a suite of carbon flows (i.e., carbon fluxes) specifying the rates of change in these carbon stocks over time (Daniel et al 2018, Sleeter et al 2019). We defined carbon stocks as continuous state variables for each simulation cell, including live biomass, standing dead wood, down dead wood, litter, and soil organic carbon. We also included and tracked carbon in atmospheric, aquatic, 100 and harvest product pools to enforce carbon mass balance (Daniel et al 2018). To transfer 101 carbon between stocks, we defined baseline carbon flows as continuous variables resulting 102 from growth, mortality, deadfall, woody decay, litter decomposition, and leaching (which 103 includes runoff). We also defined carbon flows resulting from land use, land use change, and 104 wildfire (Selmants et al 2017, Daniel et al 2018). 105

Initial carbon stocks and baseline carbon flows were estimated based on the moisture zone 106 (Figure S1), state type, and age of each simulation cell using a lookup table derived from 107 the Integrated Biosphere Simulator (IBIS; Foley et al 1996, Liu et al 2020), a process-based 108 dynamic global vegetation model. We initiated IBIS with minimal vegetation and simulated 109 forward for 110 years using 30-year climate normals for the Hawaiian Islands (Giambelluca 110 et al 2013, 2014). We calibrated IBIS carbon stocks with statewide gridded datasets of 111 soil organic carbon (Soil Survey Staff 2016) and forest aboveground live biomass (Asner et 112 al 2016). We also calibrated gross photosynthesis in IBIS using a Hawai'i-specific gridded 113 dataset derived from MODIS satellite imagery (Kimball et al 2017). 114

115 Carbon flow rates for each state type and moisture zone were estimated as the ratio of

the IBIS-derived flux to the size of the originating carbon stock at each age (Sleeter et al 2018). A spatially explicit stationary growth multiplier was applied to each simulation cell 117 to reflect local variations in net primary productivity (NPP) driven by microclimate. This 118 spatial growth multiplier was the NPP anomaly for each cell relative to mean values for each 119 combination of state type and moisture zone (Sleeter et al 2019) calculated using empirical 120 relationships between total annual NPP and mean annual rainfall or temperature (Schuur 121 2003, Del Grosso et al 2008). Climate change impacts on carbon flows were represented by 122 temporal growth and decay multipliers applied to each simulation cell based on statistically 123 downscaled CMIP5 climate projections for the Hawaiian Islands under each of the two radia-124 tive forcing scenarios (RCP 4.5 and RCP 8.5; Timm et al 2015, Timm 2017). The impact 125 of future changes in rainfall and temperature on NPP were represented by annual growth 126 multipliers calculated using empirical NPP models (Schuur 2003, Del Grosso et al 2008) 127 and climate model projections of temperature and rainfall for each radiative forcing scenario. 128 The effect of future warming on turnover rates of dead organic matter were represented by 129 temporal decay multipliers calculated using Q10 functions and climate model temperature 130 projections for each radiative forcing scenario. We applied a Q10 of 2.0 for wood and soil 131 organic matter decay flows (Kurz et al 2009, Sleeter et al 2019) and a Q10 of 2.17 for litter 132 decay flows (Bothwell et al 2014). Transition-triggered carbon flows resulting from disturbances associated with land use change, timber harvesting, and wildfire were based on values from Don et al (2011), Selmants et al (2017), and Daniel et al (2018). 135

# $CO_2$ fertilization effect

We tested five  $\beta$  levels

#### 138 Scenario simulations

## 139 Results

### 140 Discussion

### 141 Conclusion

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# 148 Data Availability

- Tabular model output data are available from a USGS ScienceBase data repository: https:
- 150 //doi.org/10.5066/P9AWLFKZ. Model input data and R code used to format input data,
- summarize output data, and compile this manuscript are available from a GitHub repository:
- 152 https://github.com/selmants/HI\_Model.

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