



# Algebraic structures on modules of diagrams

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## ARTICLE INFO

### Article history:

Received 24 June 2010

Received in revised form 29 July 2010

Available online 6 September 2010

Communicated by C. Kassel

### MSC:

Primary: 57M25; 57M27

Secondary: 17B20; 17B25

## ABSTRACT

There exists a graded algebra  $\Lambda$  acting in a natural way on many modules of 3-valent diagrams. Every simple Lie superalgebra with a nonsingular invariant bilinear form induces a character on  $\Lambda$ . The classical and exceptional Lie algebras and the Lie superalgebra  $D(2, 1, \alpha)$  produce eight distinct characters on  $\Lambda$  and eight distinct families of weight functions on chord diagrams. As a consequence we prove that weight functions coming from semisimple Lie superalgebras do not detect every element in the module  $\mathcal{A}$  of chord diagrams. A precise description of  $\Lambda$  is conjectured.

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## 0. Introduction

Vassiliev [22] has recently defined a new family of knot invariants. Actually every knot invariant with values in an abelian group may be seen as a linear map from the free  $\mathbf{Z}$ -module  $\mathbf{Z}[\mathcal{K}]$  generated by isomorphism classes of knots. This module is a Hopf algebra and has a natural filtration  $\mathbf{Z}[\mathcal{K}] = I_0 \supset I_1 \supset \dots$  defined in terms of singular knots, and a Vassiliev invariant of order  $n$  is an invariant which is trivial on  $I_{n+1}$ . The coefficients of Jones [11], Freyd et al. [9], Kauffman [13] polynomials are Vassiliev invariants.

The associated graded Hopf algebra  $\text{Gr } \mathbf{Z}[\mathcal{K}] = \bigoplus_n I_n / I_{n+1}$  is finitely generated over  $\mathbf{Z}$  in each degree, but its rank is completely unknown. Actually  $\text{Gr } \mathbf{Z}[\mathcal{K}]$  is a certain quotient of the graded Hopf algebra  $\mathcal{A}$  of chord diagrams [1]. Every Vassiliev invariants of order  $n$  induces a weight function of degree  $n$ , (i.e. a linear form of degree  $n$  on  $\mathcal{A}$ ). Conversely every weight function can be integrated (via the Kontsevich integral) to a knot invariant. Very few things are known about the algebra  $\mathcal{A}$ . Rationally,  $\mathcal{A}$  is the symmetric algebra on a graded module  $\mathcal{P}$ , and the so-called Adams operations split  $\mathcal{A}$  and  $\mathcal{P}$  into a direct sum of modules defined in terms of unitrivalent diagrams. The rank of  $\mathcal{P}$  is known in degrees  $< 10$ .

Every Lie algebra equipped with a nonsingular invariant bilinear form and a finite-dimensional representation induces a weight function on  $\mathcal{A}$ . It was conjectured in [1] that the weight functions corresponding to the classical simple Lie algebras detect every nontrivial element in  $\mathcal{A}$ .

In this paper,<sup>1</sup> we define a graded algebra  $\Lambda$  acting on many modules of diagrams like  $\mathcal{P}$ . Moreover we construct for every Lie algebra equipped with a nonsingular invariant bilinear form, a linear form on these modules and a character on  $\Lambda$ . With this procedure, we construct eight characters from  $\Lambda$  to polynomial algebras of one or two variables. These eight characters are algebraically independent. As a consequence, we construct a primitive element in  $\mathcal{A}$  which is rationally nontrivial and killed by all semisimple Lie algebras and Lie superalgebras equipped with a nonsingular invariant bilinear form and a finite-dimensional representation.

In the first section several families of modules of diagrams are defined.

In Section 2, we construct a transformation  $t$  of degree 1 acting on some of these modules.

In Section 3, we construct the algebra  $\Lambda$ . This algebra contains the element  $t$ .

In Section 4, some modules of diagrams are completely described in terms of  $\Lambda$ .

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<sup>1</sup> This is an expanded and updated version of a 1995 preprint.

In Section 5, we define many elements in  $\Lambda$  and construct a graded algebra homomorphism from  $R_0$  to  $\Lambda$ , where  $R_0$  is a subalgebra of a polynomial algebra  $R$  with three variables of degree 1, 2 and 3.

In Section 6, we construct many weight functions and show that every simple quadratic Lie superalgebra induces a well-defined character on  $\Lambda$ .

In Section 7, we construct the eight characters.

Using these characters, many results on  $\Lambda$  are proven in the last section. In particular, the morphism  $R_0 \rightarrow \Lambda$  factors through a quotient  $R_0/I$  where  $I$  is an ideal in  $R$  generated by a polynomial  $P \in R_0$  of degree 16 and the induced morphism  $R_0/I \rightarrow \Lambda$  is conjectured to be an isomorphism.

## 1. Modules of diagrams

By a 3-valent graph we mean a graph where every vertex is 1-valent or 3-valent. A 3-valent graph is defined by local conditions. So in such a graph an edge may be a loop and two distinct edges may have common boundary points. The set of 1-valent vertices of a 3-valent graph  $K$  will be called its boundary and denoted by  $\partial K$ .

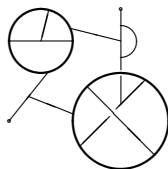
Let  $\Gamma$  be a curve, i.e. a compact 1-dimensional manifold and  $X$  be a finite set. A  $(\Gamma, X)$ -diagram is a finite 3-valent graph  $D$  equipped with the following data:

- an isomorphism from the disjoint union of  $\Gamma$  and  $X$  to a subgraph of  $D$  sending  $\partial \Gamma \cup X$  bijectively to  $\partial D$
- for every 3-valent vertex  $x$  of  $D$ , a cyclic ordering of the set of oriented edges ending at  $x$ .

The class of  $(\Gamma, X)$ -diagrams will be denoted by  $\mathcal{D}(\Gamma, X)$ .

Usually, a  $(\Gamma, X)$ -diagram will be represented by a 3-valent graph immersed in the plane in such a way that, at every 3-valent vertex, the cyclic ordering is given by the orientation of the plane.

Example of a  $(\Gamma, X)$ -diagram where  $\Gamma$  has two closed components and  $X$  has two elements:

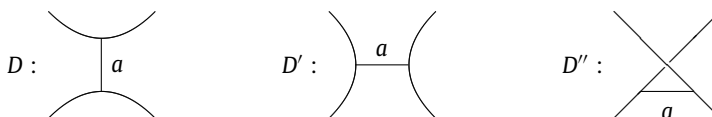


Let  $\mathcal{C}$  be a subclass of  $\mathcal{D}(\Gamma, X)$  which is closed under arbitrary changes of the cyclic orderings. Let  $k$  be a commutative ring. Denote by  $\mathcal{A}_k(\mathcal{C})$  the quotient of the free  $k$ -module generated by the isomorphism classes of  $(\Gamma, X)$ -diagrams in  $\mathcal{C}$  by the following relations:

- if  $D$  is a  $(\Gamma, X)$ -diagram in  $\mathcal{C}$ , and  $D'$  is obtained from  $K$  by changing the cyclic ordering at one vertex, we have

$$(AS) \quad D' \equiv -D$$

- if  $D, D', D''$  are three  $(\Gamma, X)$ -diagrams in  $\mathcal{C}$  which differ only near an edge  $a$  in the following way:



we have

$$(IHX) \quad D \equiv D' - D''.$$

**Remark.** If the edge meets the curve  $\Gamma$  the relation (IHX) is called (STU) in [1]:



The module  $\mathcal{A}_k(\mathcal{C})$  is a graded  $k$ -module. The degree  $\partial^\circ D$  of a  $(\Gamma, X)$ -diagram  $D$  is  $-\chi(D)$  where  $\chi$  is the Euler characteristic.

By considering different classes of diagrams, we get the following examples of graded modules:

- the module  $\mathcal{A}_k(\Gamma, X)$ , if  $\mathcal{C}$  is the class  $\mathcal{D}'(\Gamma, X)$  of  $(\Gamma, X)$ -diagrams  $D$  such that every connected component of  $D$  meets  $\Gamma$  or  $X$
- the module  $\mathcal{A}_k^c(\Gamma, X)$ , if  $\mathcal{C}$  is the class  $\mathcal{D}^c(\Gamma, X)$  of  $(\Gamma, X)$ -diagrams  $D$  such that  $D \setminus \Gamma$  is connected and nonempty (connected case)
- the module  $\mathcal{A}_k^s(\Gamma, X)$ , if  $\mathcal{C}$  is the class  $\mathcal{D}^s(\Gamma, X)$  of  $(\Gamma, X)$ -diagrams  $D$  such that  $D \setminus \Gamma$  is connected and has at least one 3-valent vertex (special case)

- the module  $\mathcal{A}_k(\Gamma) = \mathcal{A}_k(\Gamma, \emptyset)$
- the module  $\mathcal{A}_k^c(\Gamma) = \mathcal{A}_k^c(\Gamma, \emptyset)$
- the module  $F_k(X) = \mathcal{A}_k^c(\emptyset, X)$ . If  $X$  is the set  $[n] = \{1, \dots, n\}$ , the module  $F_k(X)$  will be denoted by  $F_k(n)$
- the module  ${}_X \Delta_{kY}$ , where  $X$  and  $Y$  are finite sets and  $\mathcal{C}$  is the class of all  $(\emptyset, X \coprod Y)$ -diagrams.

The most interesting case is  $k = \mathbf{Q}$ . So the modules  $\mathcal{A}_{\mathbf{Q}}(\mathcal{C})$ ,  $\mathcal{A}_{\mathbf{Q}}(\Gamma, X)$ ,  $\mathcal{A}_{\mathbf{Q}}^c(\Gamma, X)$ ,  $\mathcal{A}_{\mathbf{Q}}^s(\Gamma, X) \dots$  will be simply denoted by  $\mathcal{A}(\mathcal{C})$ ,  $\mathcal{A}(\Gamma, X)$ ,  $\mathcal{A}^c(\Gamma, X)$ ,  $\mathcal{A}^s(\Gamma, X) \dots$ .

The module  $\mathcal{A}_k(\Gamma)$  is closely related to the theory of links. In the case of knots, the Kontsevich integral provides a universal Vassiliev invariant with values in a completion of the quotient of the module  $\mathcal{A} = \mathcal{A}_{\mathbf{Q}}(S^1) = \mathcal{A}(S^1)$  by some submodule  $I$  [1]. The module  $\mathcal{A}$  is actually a commutative and cocommutative Hopf algebra (the product corresponds to the connected sum of knots) and  $I$  is the ideal generated by the following diagram of degree 1:



**Remark.** The definition of the module  $\mathcal{A}_k(\Gamma)$  is slightly different from the classical one. The classical definition needs an orientation of  $\Gamma$ , but cyclic orderings at vertices in  $\Gamma$  are not part of the data. The relationship between these two definitions come from the fact that, if  $\Gamma$  is oriented, there is a canonical choice for the cyclic ordering of edges ending at each vertex in  $\Gamma$ .

Let  $\mathcal{P}_k = \mathcal{A}_k^c(S^1)$  and  $\mathcal{A}_k = \mathcal{A}_k(S^1)$ . The inclusion  $\mathcal{D}^c(S^1, \emptyset) \subset \mathcal{D}(S^1, \emptyset)$  induces a linear map from  $\mathcal{P}_k$  to  $\mathcal{A}_k$  and a morphism of Hopf algebras from  $S(\mathcal{P}_k)$  to  $\mathcal{A}_k$ .

**Proposition 1.1.** *The morphism  $S(\mathcal{P}_{\mathbf{Z}}) \rightarrow \mathcal{A}_{\mathbf{Z}}$  is surjective with finite kernel in each degree.*

**Proof.** For  $n > 0$ , denote by  $\mathcal{E}_n$  the submodule of  $\mathcal{A}_{\mathbf{Z}}$  generated by the diagrams  $D$  such that  $D \setminus S^1$  has at most  $n$  components. Because of relation STU, it is easy to see that, mod  $\mathcal{E}_n$ ,  $\mathcal{E}_{n+1}$  is generated by connected sums  $K_1 \sharp K_2 \cdots \sharp K_{n+1}$  where  $K_i \setminus S^1$  are connected. That proves, by induction, that the canonical map from  $S(\mathcal{P}_{\mathbf{Z}})$  to  $\mathcal{A}_{\mathbf{Z}}$  is surjective. Because  $S(\mathcal{P}_{\mathbf{Z}})$  and  $\mathcal{A}_{\mathbf{Z}}$  are finitely generated over  $\mathbf{Z}$  in each degree, it is enough to prove that the map from  $S(\mathcal{P}_{\mathbf{Z}})$  to  $\mathcal{A}_{\mathbf{Z}}$  is a rational isomorphism, and because  $S(\mathcal{P}_{\mathbf{Z}})$  and  $\mathcal{A}_{\mathbf{Z}}$  are commutative and cocommutative Hopf algebras, it is enough to prove that the map from  $\mathcal{P} = \mathcal{P}_{\mathbf{Q}}$  to  $\mathcal{A} = \mathcal{A}_{\mathbf{Q}}$  is an isomorphism from  $\mathcal{P}$  to the module of primitives of  $\mathcal{A}$ .

Consider the module  $\mathcal{C}_p$  of 3-valent diagrams with  $p$  univalent vertices and the module  $\mathcal{C}_p^c$  of connected 3-valent diagrams with  $p$  univalent vertices. In [1] Bar-Natan constructs a rational isomorphism from  $\mathcal{A}$  to the direct sum  $\bigoplus_{p>0} \mathcal{C}_p$  that respects the comultiplication. In the same way we have a rational isomorphism from  $\mathcal{P}$  to  $\bigoplus_{p>0} \mathcal{C}_p^c$ .

Therefore  $\mathcal{P}$  is isomorphic to the module of primitives of  $\mathcal{A}$ .  $\square$

Very little is known about  $\mathcal{A}$  and  $\mathcal{P}$ . They are finitely generated modules in each degree. Their ranks are known in degrees  $\leq 9$ . For  $\mathcal{P}$ , the ranks are: 1, 1, 1, 2, 3, 5, 8, 12, 18 [1]. Some linear forms (called weight functions) on  $\mathcal{A}$  (coming from Lie algebras) are known. Rationally the module  $\mathcal{P}$  splits into a direct sum of modules of connected 3-valent diagrams  $\mathcal{C}_n^c$  [1]. Actually the module  $\mathcal{C}_n^c$  is defined in the same way as  $F(n) = F_{\mathbf{Q}}(n)$  except that the bijection from  $[n]$  to the set of 1-valent vertices is forgotten. Hence this splitting may be written in the following manner:

**Proposition 1.2.** *There is an isomorphism:*

$$\bigoplus_{n>0} H_0(\mathfrak{S}_n, F(n)) \xrightarrow{\sim} \mathcal{P}.$$

The last module  ${}_X \Delta_{kY}$  defined above will be used later. Actually these modules define a  $k$ -linear monoidal category  $\Delta_k$ . The objects of  $\Delta_k$  are finite sets, and the set of morphisms  $\text{Hom}(X, Y)$  is the module  ${}_Y \Delta_{kX}$ . The composition from  ${}_X \Delta_{kY} \otimes {}_Y \Delta_{kZ}$  to  ${}_X \Delta_{kZ}$  is obtained by gluing. In particular, for every finite set  $X$ ,  ${}_X \Delta_{kX}$  is a  $k$ -algebra.

The monoidal structure is given by the disjoint union of finite sets or diagrams.

For technical reasons we will use a modified degree for modules  $F_k(X)$  and  ${}_X \Delta_{kY}$ :

- the degree of an element  $u \in F_k(X)$  represented by a diagram  $D$  is  $1 - \chi(D)$ . So the degree of a tree is zero.
- the degree of an element  $u \in {}_Y \Delta_{kX}$  represented by a diagram  $D$  is  $-\chi(D, X)$ . This degree is compatible with the structure of  $k$ -linear monoidal category.

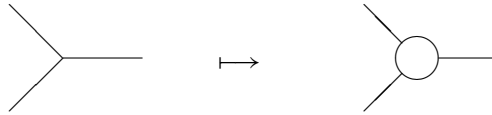
## 2. The transformation $t$

Let  $\Gamma$  be a curve and  $X$  be a finite set. We have three graded modules  $\mathcal{A}_k(\Gamma, X)$ ,  $\mathcal{A}_k^c(\Gamma, X)$  and  $\mathcal{A}_k^s(\Gamma, X)$  and two canonical maps:

$$\mathcal{A}_k^s(\Gamma, X) \longrightarrow \mathcal{A}_k^c(\Gamma, X) \longrightarrow \mathcal{A}_k(\Gamma, X).$$

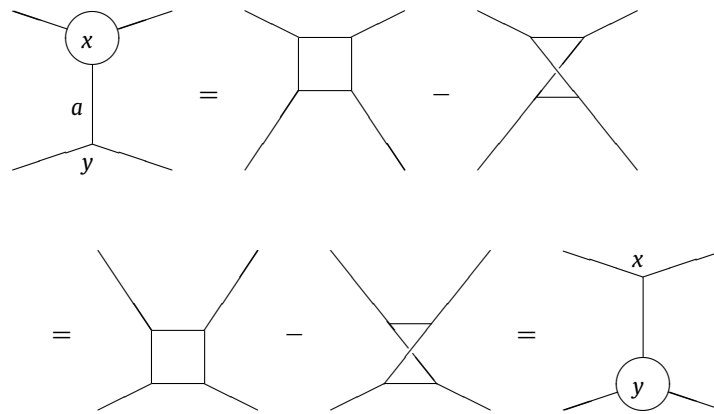
The second map is far to be surjective but the first one is an isomorphism except maybe in small degrees.

Let  $D$  be a  $(\Gamma, X)$ -diagram in the class  $\mathcal{D}_k^s(\Gamma, X)$ . Take a 3-valent vertex outside of  $\Gamma$ . Then it is possible to modify  $D$  near this vertex in the following way:



**Theorem 2.1.** This transformation induces a well-defined endomorphism  $t$  of the module  $\mathcal{A}_k^s(\Gamma, X)$ .

**Proof.** Let  $D$  be a diagram in the class  $\mathcal{D}_k^s(\Gamma, X)$ . Let  $a$  be an edge of  $D$  disjoint from the curve  $\Gamma$ . Denote vertices of  $a$  by  $x$  and  $y$ . Relations IHX imply the following:



Then transformations of  $D$  at  $x$  and  $y$  produce the same element in the module  $\mathcal{A}_k^s(\Gamma, X)$ . Since the complement of  $\Gamma$  in a diagram in  $\mathcal{D}_k^s(\Gamma, X)$  is connected, the transformation  $t$  is well defined from the class  $\mathcal{D}_k^s(\Gamma, X)$  to  $\mathcal{A}_k^s(\Gamma, X)$ .

It is easy to see that  $t$  is compatible with the AS relation. Consider an IHX relation

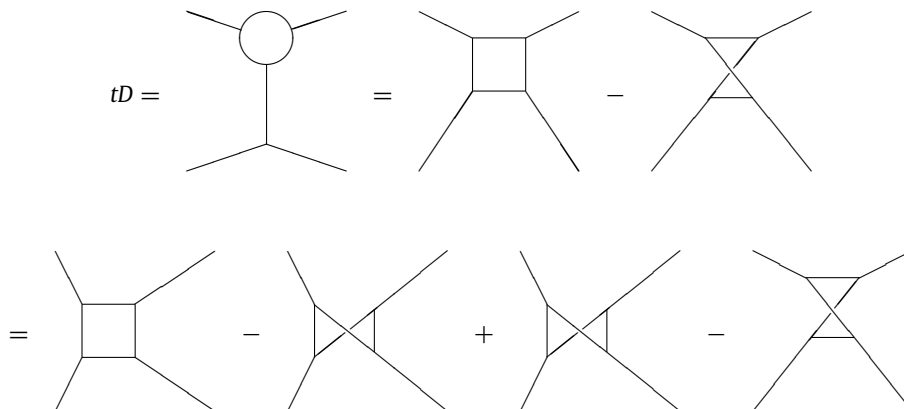
$$D \equiv D' - D'',$$

where  $D, D'$  and  $D''$  differ only near an edge  $a$ . If there is a 3-valent vertex in  $D$  which is not in  $a$  and not in the curve  $\Gamma$ , it is possible to define  $tD, tD'$ , and  $tD''$  by using this vertex, and the relation

$$tD \equiv tD' - tD''$$

becomes obvious.

Suppose now  $\Gamma \cup X \cup a$  contains every vertex in  $D$ . Then the edge  $a$  is not contained in  $\Gamma$ , and that is true also for  $D'$  and  $D''$ . Therefore  $a$  does not meet  $\Gamma$ , and we have:



$$\begin{aligned}
 &= \text{Diagram 1} + \text{Diagram 2} - \text{Diagram 3} \\
 &= \text{Diagram 4} - \text{Diagram 5} = tD' - tD'' \quad \square
 \end{aligned}$$

**Proposition 2.2.** If  $\Gamma$  is nonempty, the transformation  $t$  extends in a natural way to the module  $\mathcal{A}_k^c(\Gamma, X)$ .

**Proof.** Let  $D$  be a diagram in the class  $\mathcal{D}_k^c(\Gamma, X)$ . Let  $x$  be a 3-valent vertex of  $D$  contained in  $\Gamma$ . This vertex is contained in an edge  $a$  in  $D \setminus \Gamma$ . If the diagram  $D$  lies in the class  $\mathcal{D}_k^s(\Gamma, X)$ ,  $D$  has a vertex which is not in  $\Gamma$ . Therefore  $a$  has a vertex outside of  $\Gamma$  and we have

$$tD = \text{Diagram 1} = \text{Diagram 2} - \text{Diagram 3} = \text{Diagram 4}$$

Hence  $t$  extends to the module  $\mathcal{A}_k^c(\Gamma, X)$  by setting

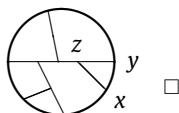
$$t \text{ (Diagram 1) } = \text{Diagram 2} \quad \square$$

**Example.** The module  $\mathcal{P}_k = \mathcal{A}_k^c(S^1) = \mathcal{A}_k^c(S^1, \emptyset)$  which is the module of primitives of the algebra of diagrams  $\mathcal{A}_k$ , has in degree  $\leq 4$  the following basis:

$$\begin{aligned}
 &\text{Diagram 1} = \alpha, \quad \text{Diagram 2} = t\alpha, \quad \text{Diagram 3} = t^2\alpha, \\
 &\text{Diagram 4} = t^3\alpha \quad \text{and} \quad \text{Diagram 5}
 \end{aligned}$$

**Corollary.** Let  $D$  be a planar  $(S^1, \emptyset)$ -diagram of degree  $n$  such that the complement of  $S^1$  in  $D$  is a tree. Then the class of  $D$  in the module  $\mathcal{A}_k^c(S^1)$  is exactly  $t^{n-1}\alpha$ .

**Proof.** The conditions satisfied by  $D$  imply that  $D$  contains a triangle  $xyz$  with an edge  $xy$  in the circle. By taking off the edge  $xz$ , we get a new diagram  $D'$  such that the complement of the circle in  $D'$  is still a planar tree. By induction, the class of  $D'$  in  $\mathcal{A}_k^c(S^1)$  is  $t^{n-2}\alpha$  and the result follows.  $\square$



### 3. The algebra $\Lambda$

In this section we construct an algebra of diagrams acting on many modules of diagrams. In particular this algebra acts in a natural way on the modules  $\mathcal{A}_k^s(\Gamma, X)$ . Actually the element  $t$  is a particular element of  $\Lambda$  of degree 1.

The module  $F_k(X)$  is equipped with an action of the symmetric group  $\mathfrak{S}(X)$ . But we can also define natural maps from  $F_k(X)$  to  $F_k(Y)$  in the following way:

Let  $D$  be a  $(\emptyset, X \amalg Y)$ -diagram such that every connected component of  $D$  meets  $X$  and  $Y$ . Then the gluing map along  $X$  induces a graded linear map  $\varphi_D$  from  $F_k(X)$  to  $F_k(Y)$ . Actually the class  $\mathcal{C}$  of  $(\emptyset, X \amalg Y)$ -diagrams satisfying this property induces a graded module  ${}_X\Delta_{kY}^c = \mathcal{A}_k(\mathcal{C})$  and these modules give rise to a monoidal subcategory  $\Delta_k^c$  of the category  $\Delta_k$ . For every finite set  $X$  and  $Y$  the gluing map is a map from  $F_k(X) \otimes {}_X\Delta_{kY}^c$  to  $F_k(Y)$ .

In particular we have two maps  $\varphi$  and  $\varphi'$  from  $F_k(3)$  to  $F_k(4)$  induced by the following diagrams:



**Definition 3.1.**  $\Lambda_k$  is the set of elements  $u \in F_k(3)$  satisfying the following conditions:

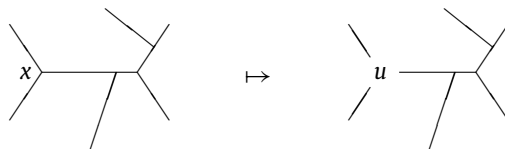
$$\begin{aligned} \varphi(u) &= \varphi'(u) \\ \forall \sigma \in \mathfrak{S}_3, \quad \sigma(u) &= \varepsilon(\sigma)u \end{aligned}$$

where  $\varepsilon$  is the signature homomorphism.

The module  $\Lambda_{\mathbf{Q}}$  will be denoted by  $\Lambda$ .

**Proposition 3.2.** The module  $\Lambda_k$  is a graded  $k$ -algebra acting on each module  $\mathcal{A}_k^s(\Gamma, X)$ .

**Proof.** Let  $\Gamma$  be a curve and  $X$  be a finite set. Let  $D$  be a  $(\Gamma, X)$ -diagram such that  $D \setminus \Gamma$  is connected and has some 3-valent vertex  $x$ . If  $u$  is an element of  $\Lambda_k$ , we can insert  $u$  in  $D$  near  $x$  and we get a linear combination of diagrams and therefore an element  $uD$  in  $\mathcal{A}_k^s(\Gamma, X)$ .



Since  $u$  is completely antisymmetric with respect to the  $\mathfrak{S}_3$ -action,  $uD$  does not depend on the given bijection from  $[3] = \{1, 2, 3\}$  to the set of edges ending at  $x$ , but only on the cyclic ordering. Moreover, if this cyclic ordering is changed,  $uK$  is multiplied by  $-1$ . The first condition satisfied by  $u$  implies that the elements  $uK$  constructed by two consecutive vertices are the same. Since the complement of  $\Gamma$  in  $D$  is connected,  $uD$  does not depend on the choice of the vertex  $x$ , and  $uD$  is well defined.

By construction, the rule  $u \mapsto uD$  is a linear map from  $\Lambda_k$  to  $\mathcal{A}_k^s(\Gamma, X)$  of degree  $\partial^\circ D$ . Since the transformation  $D \mapsto uD$  is compatible with the AS relations, the only thing to check is to prove that this transformation is compatible with the IHX relations.

Consider an IHX relation  $D \equiv D' - D''$  corresponding to an edge  $a$  in  $D$ . If  $D$  has a 3-valent vertex outside of  $a$  and  $\Gamma$ , it is possible to make the transformation  $? \mapsto u?$  by using a vertex which is not in  $a$ , and we get the equality:  $uD = uD' - uD''$ .

Otherwise  $a$  is outside of  $\Gamma$  and we have:

$$\begin{aligned}
 uD - uD' + uD'' &= \text{Diagram 1} - \text{Diagram 2} + \text{Diagram 3} \\
 &= - \text{Diagram 4} - \text{Diagram 5} - \text{Diagram 6}
 \end{aligned}$$

This last expression is trivial, because of Lemma 3.3 and the formula  $uD = uD' - uD''$  is always true.

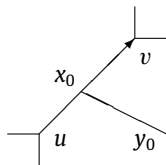
Therefore the transformation  $? \mapsto u?$  is compatible with the IHX relation and induces a well-defined transformation from  $\mathcal{A}_k^s(\Gamma, X)$  to itself. In particular,  $\Lambda_k$  acts on itself. Therefore this module is a  $k$ -algebra and  $\mathcal{A}_k^s(\Gamma, X)$  is a  $\Lambda_k$ -module.  $\square$

**Lemma 3.3.** Let  $X$  be a finite set and  $Y$  be the set  $X$  with one extra point  $y_0$  added. Let  $D$  be a connected  $(\emptyset, X)$ -diagram. For every  $x \in X$  denote by  $D_x$  the  $(\emptyset, Y)$ -diagram obtained by adding to  $D$  an extra edge from  $y_0$  to a point in  $D$  near  $x$ , the cyclic ordering near the new vertex being given by taking the edge ending at  $y_0$  first, the edge ending at  $x$  after and the last edge at the end.

Then the element  $\sum_x D_x$  is trivial in the module  $F(Y)$ .

$$\text{Diagram 1} + \text{Diagram 2} + \text{Diagram 3} + \text{Diagram 4} = 0$$

**Proof.** For every oriented edge  $a$  in  $D$  from a vertex  $u$  to a vertex  $v$ , we can connect  $y_0$  to  $K$  by adding an extra edge from  $y_0$  to a new vertex  $x_0$  in  $a$  and we get a  $(\emptyset, Y)$ -diagram  $D_a$  where the cyclic ordering between edges ending at  $x_0$  is  $(x_0u, x_0y_0, x_0v)$ .



It is clear that the expression  $D_a + D_b$  is trivial if  $b$  is the edge  $a$  with the opposite orientation. Moreover if  $a, b$  and  $c$  are the three edges starting from a 3-valent vertex of  $K$ , the sum  $D_a + D_b + D_c$  is also trivial. Therefore the sum  $\sum D_a$  for all oriented edge  $a$  in  $D$  is trivial and is equal to the sum  $\sum D_a$  for all oriented edge  $a$  starting from a vertex in  $X$ . That proves the lemma.  $\square$

In degree less to 4, the module  $\Lambda_k$  is freely generated by the following diagrams:

$$\begin{aligned}
 1 &= \text{Diagram 1} & t &= \text{Diagram 2} & t^2 &= \text{Diagram 3} \\
 t^3 &= \text{Diagram 4} & & & & \text{Diagram 5}
 \end{aligned}$$

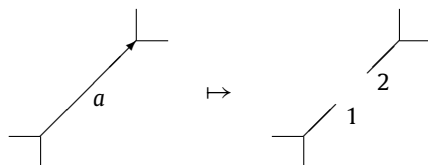
#### 4. Structure of modules $F(n)$ for small values of $n$

The module  $F_k(n)$  is a  $\Lambda_k$ -module except for  $n = 0, 2$ . But the submodule  $F'_k(n) = \mathcal{A}_k^s(\emptyset, [n])$  of  $F_k(n)$  generated by diagrams having at least one 3-valent vertex is a  $\Lambda_k$ -module. For  $n \neq 0, 2$ ,  $F'_k(n)$  is equal to  $F_k(n)$  and for  $n = 0, 2$ ,  $F_k(n)$  is isomorphic to  $k \oplus F'_k(n)$ .

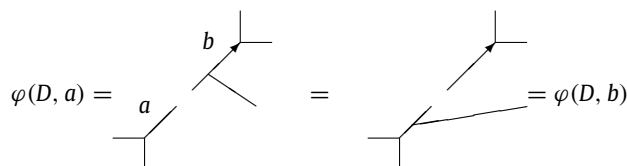
**Proposition 4.1.** Connecting the elements of  $[2]$  by an edge induces an isomorphism from  $F_k(2)$  to  $F_k(0)$ .

**Proof.** This map is clearly surjective.

Let  $D$  be a connected  $(\emptyset, [0])$ -diagram. Let  $a$  be an oriented edge of  $D$ . We can cut off a part of  $a$  and we get a  $(\emptyset, [2])$ -diagram  $\varphi(D, a)$ .



Let  $a$  and  $b$  be consecutive edges in  $D$ . Because of Lemma 3.3, we have:



Therefore  $\varphi(K, a)$  is independent of the choice of  $a$  and induces a well-defined map from  $F_k(0)$  to  $F_k(2)$  which is obviously the inverse of the map above.  $\square$

**Corollary 4.2.** The action of the symmetric group  $\mathfrak{S}_2$  on  $F_k(2)$  is trivial.

**Proposition 4.3.** The module  $F_k(1)$  is isomorphic to  $k/2$  and generated by the following diagram:



**Proof.** The diagram above is clearly a generator of  $F_k(1)$  in degree 1, and the antisymmetric relation implies that this element is of order 2. Let  $D$  be a  $(\emptyset, [1])$ -diagram of degree  $> 1$ . We have:

$$D = ? \text{ (diagram with a loop) } = ? \text{ (diagram with a loop) }$$

and this last diagram contains the following diagram:

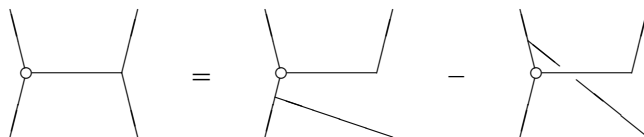
$$\text{Diagram 1} = \text{Diagram 2} - \text{Diagram 3} = 0 \quad \square$$

**Proposition 4.4.** The quotient map from  $[3]$  to a point induces a surjective map from  $F_k(3) \otimes_{\mathfrak{S}_3} k^-$  to  $F'_k(0)$  and its kernel is a  $k/2$ -module.

**Proof.** Here the group  $\mathfrak{S}_3$  acts on  $k = k^-$  via the signature. Actually, the module  $F_k(3) \otimes_{\mathfrak{S}_3} k^-$  is isomorphic to the module  $\mathcal{M}$  generated by connected 3-valent diagrams without univalent vertex, pointed by a vertex and equipped with a cyclic ordering near every vertex and where the relations are the AS relation everywhere and the IHS relation outside of the special vertex.

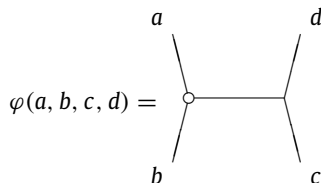
Because of Lemma 3.3, we have in  $\mathcal{M}$ :





Actually we have for every  $n \geq 0$  a module  $\tilde{F}(n)$  generated by connected diagrams  $K$  with  $\partial K = [n]$  and pointed by a 3-valent vertex. The relations are the antisymmetric relation AS everywhere and the relation IHX outside of the special vertex and the relation above.

If  $\{a, b, c, d\} = [4]$ , we can set:



This diagram belongs to  $\tilde{F}(4)$  and is antisymmetric with respect to the transpositions  $a \leftrightarrow b$  and  $c \leftrightarrow d$ . Let  $k^-$  be the maximal exterior power of the module generated by the elements of  $[4]$ . Define the element  $\psi(a, b, c, d)$  in  $k^- \otimes \tilde{F}(4)$  by:  $\psi(a, b, c, d) = a \wedge b \wedge c \wedge d \otimes \varphi(a, b, c, d)$ . By construction  $\psi(a, b, c, d)$  depends only on the subset  $\{c, d\}$  of  $[4]$ . So we set:  $\psi(a, b, c, d) = f(c, d)$ .

The relation obtained by Lemma 3.3 is:

$$\sum_{x \neq a} f(a, x) = 0$$

for every  $a$  in  $[4]$ .

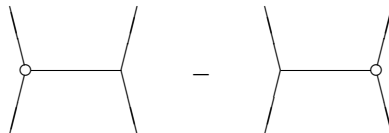
For  $\{a, b, c, d\} = [4]$ , set:  $g(a, b) = f(a, b) - f(c, d)$ . We have:

$$\begin{aligned} f(a, b) + f(a, c) + f(a, d) &= 0 = f(b, a) + f(b, c) + f(b, d) \\ \implies g(a, c) &= g(b, c). \end{aligned}$$

Then  $u = g(a, b)$  does not depend on  $\{a, b\}$  and we have:

$$u = g(a, b) = f(a, b) - f(c, d) = -g(c, d) = -u.$$

Therefore the diagram



is killed by 2 and invariant under the action of  $\mathfrak{S}_4$ .

Let  $\alpha$  be an element in  $F'_k(0)$  represented by a 3-valent diagram  $D$ . Take a vertex  $x_0$  in  $D$ . The pair  $(K, x_0)$  represents a well-defined element  $\beta$  in the module  $\mathcal{M} \simeq F_k(3) \otimes_{\mathfrak{S}_3} k^-$  and  $2\beta$  does not depend on the choice of the vertex  $x_0$ . Hence the rule  $\alpha \mapsto 2\beta$  is a well-defined map  $\lambda$  from  $F'_k(0)$  to  $F_k(3) \otimes_{\mathfrak{S}_3} k^-$ . Denote by  $\mu$  the canonical map from  $F_k(3) \otimes_{\mathfrak{S}_3} k^-$  to  $F'(0)$ . We have:

$$\mu\lambda = 2 \quad \text{and} \quad \lambda\mu = 2$$

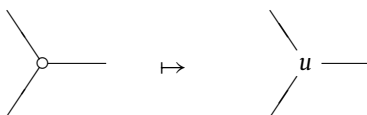
and Proposition 4.4 follows.  $\square$

**Proposition 4.5.** Let  $F_k(3)^-$  be the submodule of  $F_k(3)$  defined by:

$$\forall u \in F_k(3), \quad u \in F_k(3)^- \iff (\forall \sigma \in \mathfrak{S}_3, \sigma(u) = \varepsilon(\sigma)u)$$

where  $\varepsilon$  is the signature homomorphism. Then  $\Lambda_k$  is a submodule of  $F_k(3)^-$  and the quotient  $F_k(3)^- / \Lambda_k$  is a  $k/2$ -module.

**Proof.** Let  $u$  be an element of  $F_k(3)^-$ . If  $v$  is an element of  $\tilde{F}_k(4)$  represented by a diagram  $D$  equipped with a special vertex  $x_0$ , we can insert  $u$  in  $K$  near  $x_0$  and we get a well-defined element  $f(v)$  in the module  $F_k(4)$ .



But we have in  $\tilde{F}_k(4)$ :

$$2 \begin{array}{c} \diagup \\ \circ \\ \diagdown \end{array} \text{---} \begin{array}{c} \diagup \\ \diagdown \end{array} = 2 \begin{array}{c} \diagup \\ \diagdown \end{array} \text{---} \begin{array}{c} \diagup \\ \circ \\ \diagdown \end{array}$$

and that implies in  $F_k(4)$ :

$$2 \begin{array}{c} \diagup \\ u \\ \diagdown \end{array} \text{---} \begin{array}{c} \diagup \\ \diagdown \end{array} = 2 \begin{array}{c} \diagup \\ \diagdown \end{array} \text{---} \begin{array}{c} \diagup \\ u \\ \diagdown \end{array}$$

Therefore  $2u$  lies in  $\Lambda_k$ .  $\square$

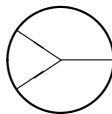
**Corollary 4.6.** Suppose 6 is invertible in  $k$ . Then the modules  $F'_k(0)$  and  $F'_k(2)$  are free  $\Lambda_k$ -modules of rank one generated by:

$$\begin{array}{c} \circ \\ | \\ \circ \end{array} \quad \text{and} \quad \begin{array}{c} \text{---} \circ \end{array}$$

respectively.

**Proof.** Since  $\mathfrak{S}_3$  is a group of order 6, the identity induces an isomorphism from  $F_k(3)^-$  to  $F_k(3) \otimes_{\mathfrak{S}_3} k^-$  and the corollary follows easily.  $\square$

**Corollary 4.7.** Let  $u$  be the primitive element of  $\mathcal{A} = \mathcal{A}(S^1, \emptyset)$  represented by the diagram:



Then the map  $\lambda \mapsto \lambda u$  from  $\Lambda$  to the module  $\mathcal{P}$  of primitives of  $\mathcal{A}$  is injective.

**Proof.** Because of Proposition 1.2,  $\mathcal{P} = \mathcal{P}_{\mathbf{Q}}$  contains the module

$$H_0(\mathfrak{S}_2, F'(2)) \simeq F'(2) \otimes_{\mathfrak{S}_2} \mathbf{Q} \simeq F'(2).$$

But  $u$  corresponds via these isomorphisms to the diagram  $\text{---} \circ \text{---}$  and the result follows.  $\square$

It is not clear that  $\Lambda_k$  is commutative, but it is almost the case. If  $\alpha$  and  $\beta$  are elements in  $\Lambda_k$ , and  $u$  an element of a module  $\mathcal{A}_k^s(\Gamma, X)$  represented by a diagram with at least two 3-valent vertices outside of  $\Gamma$ , we may construct  $\alpha\beta u$  by using  $\alpha$  and  $\beta$  modifications near two different vertices. Therefore:  $\alpha\beta u = \beta\alpha u$ .

**Proposition 4.8.** The algebra  $\Lambda_k$  has the following properties:

$$\begin{aligned} \forall \alpha, \beta, \gamma \in \Lambda_k, \quad \partial^\circ \gamma > 0 &\Rightarrow \alpha\beta\gamma = \beta\alpha\gamma, \\ \forall \alpha, \beta \in \Lambda_k, \quad 12\alpha\beta &= 12\beta\alpha. \end{aligned}$$

**Proof.** The first formula is a special case of the property explained above. For the second one, just use that property where  $u$  is represented by the diagram

$$\Theta = \begin{array}{c} \circ \\ | \\ \circ \end{array}$$

in  $F'_k(0)$  and remark that the composite:  $\Lambda_k \rightarrow F_k(3) \otimes_{\mathfrak{S}_3} k^- \rightarrow F'_k(0)$  has a kernel annihilated by  $6 \times 2 = 12$ .  $\square$

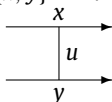
**Corollary 4.9.** The algebra  $\Lambda$  is commutative.

**Proposition 4.10.** Let  $\widehat{\Lambda}$  be the algebra  $\Lambda$  completed by the degree (i.e.  $\widehat{\Lambda} = \prod_i \Lambda_i$ ). Let  $M$  be a 3-dimensional homology sphere. Then there is a unique element  $\theta(M)$  in  $\widehat{\Lambda}$  such that the LMO invariant of  $M$  is the exponential of the element  $\theta(M)\Theta$ .

**Proof.** Let  $u$  be the LMO invariant of  $M$  constructed by Le–Murakami–Ohtsuki [LMO]. Then  $u$  is a group-like element in the completion of the module generated by 3-valent diagrams. Therefore its logarithm is primitive and lies in the completion of the module  $F'(0)$ . Since this module is a free  $\widehat{\Lambda}$ -module generated by  $\Theta$  the result follows.  $\square$

## 5. Constructing elements in $\Lambda$

Let  $\Gamma$  be a curve and  $Z$  be a finite set. Let  $D$  be a  $(\Gamma, Z)$ -diagram. Let  $X$  be a finite set in  $D$  outside the set of vertices of  $D$ . Suppose that  $D$  is oriented near  $X$ . For each  $x \neq y$  in  $X$  we have a diagram  $D_{xy}$  obtained from  $D$  by adding an edge  $u$  joining  $x$  and  $y$  in  $D$ . Cyclic orderings near  $x$  and  $y$  are chosen by an immersion from  $D_{xy}$  to the plane which is injective on a neighborhood of  $u$  and sends neighborhoods of  $x$  and  $y$  in  $K$  to horizontal lines with the same orientation and  $u$  to a vertical segment. This diagram  $D_{xy}$  depends only on the subset  $\{x, y\}$  in  $X$ .



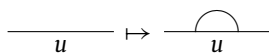
The sum of the diagrams  $D_{xy}$  for all subsets  $\{x, y\} \subset X$  will be denoted by  $D_X$ .

**Lemma 5.1.** Let  $\Gamma$  and  $\Gamma'$  be closed curves. Let  $X, Y$  and  $Z$  be finite disjoint sets. Let  $D$  be a  $(\Gamma, X \cup Y)$ -diagram and  $D'$  be a  $(\Gamma', X \cup Y \cup Z)$  diagram. Suppose that the union  $H$  of  $D$  and  $D'$  over  $X \cup Y$  lies in  $\mathcal{D}^s(\Gamma \cup \Gamma', Z)$ . The diagram  $H$  is oriented near  $X$  and  $Y$  by going from  $D'$  to  $D$  near  $X$  and from  $D$  to  $D'$  near  $Y$ . Then we have the following formula in  $\mathcal{A}_k^s(\Gamma \cup \Gamma', Z)$ :

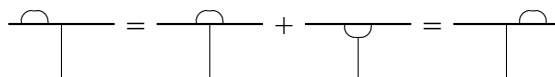
$$H_X - ptH = H_Y - qtH$$

where  $p = \#X, q = \#Y$ .

**Proof.** Set  $\Gamma_1 = \Gamma \cup \Gamma'$ . Let  $u$  be a point in  $H$  which is not a vertex. By adding one edge to  $H$  near  $u$  we get a new diagram  $H_u$ :



The class  $[H_u]$  of  $H_u$  in  $\mathcal{A}_k^s(\Gamma_1, Z)$  will be denoted by  $\varphi(u)$ . If  $u$  is not in  $\Gamma_1$ ,  $\varphi(u)$  is equal to  $2t[H]$ . Otherwise  $\varphi(u)$  depends only on the component of  $\Gamma_1$  which contains  $u$ :



Consider a map  $f$  from  $H$  to the circle  $S^1 = \mathbf{R} \cup \{\infty\}$  satisfying the following:

- $f$  is smooth and generic on  $\Gamma_1$  and on each edge of  $K$
- every singular value of  $f$  is the image of a unique critical point in an open edge of  $H \setminus \Gamma_1$  or a unique vertex of  $H$
- a vertex in  $H$  is never a local extremum of  $f$
- each critical point of  $f|_{\Gamma_1}$  is not a vertex of  $H$
- $f^{-1}(0) = X, f^{-1}(1) = Y, f^{-1}([0, 1]) = D$ .

Let  $v$  be a regular value of  $f$  and  $V$  be the set  $f^{-1}(v)$ . The map  $f$  induces an orientation of  $H$  near each point of  $V$ . So  $[H]_V$  is well defined in  $\mathcal{A}_k^s(\Gamma_1, Z)$  and we can set:

$$g(v) = [H_V] - 1/2 \sum_{u \in V} \varphi(u).$$

This expression is well defined because  $V$  meets every component of  $\Gamma_1$  in a even number of points.

By construction we have:  $g(v) = [H_X] - pt[H]$  if  $v$  is near 0 and  $g(v) = [H_Y] - qt[H]$  if  $v$  is near 1. Then the last thing to do is to prove that  $g$  has no jump on the critical values of  $f$ .

If  $v$  is the image of a critical point in an open edge in  $H$ , the jump of  $f$  in  $v$  is 0 because of the AS relations. If  $v$  is the image of a vertex in  $H$ , the jump is also 0 because of the IHX relations. Therefore the map  $g$  is constant and the lemma is proven.  $\square$

A special case of this lemma is the following equality:

$$D' \begin{array}{|c|} \hline \text{---} \\ \hline \end{array} D \begin{array}{|c|} \hline \text{---} \\ \hline \end{array} D' = D' \begin{array}{|c|} \hline \text{---} \\ \hline \end{array} D \begin{array}{|c|} \hline \text{---} \\ \hline \end{array} D' \quad \text{in } \mathcal{A}_k^s(\Gamma, Z)$$

**Corollary 5.2.** *The element  $t$  is central in  $\Lambda_k$ .*

**Proof.** For every  $u \in \Lambda_k$ , we have:

$$ut = \begin{array}{|c|} \hline \diagup \quad \diagdown \\ \hline \end{array} u \text{---} = tu \quad \square$$

Let  $\Gamma_4$  be the normal subgroup of order 4 of  $\mathfrak{S}_4$ . Consider the element  $\delta \in {}_3\Delta_{k4}$  represented by the following diagram:

$$\begin{array}{|c|} \hline \text{---} \\ \hline \text{---} \\ \hline \end{array} \begin{array}{|c|} \hline \text{---} \\ \hline \end{array}$$

By gluing from the left or the right, we get a map  $u \mapsto u\delta$  from  $F_k(3)$  to  $F_k(4)$  or a map  $u \mapsto \delta u$  from  $F_k(4)$  to  $F_k(3)$ . Denote by  $E$  the submodule of  $F_k(4)$  of all elements  $u \in F_k(4)$  satisfying the following conditions:

$$\forall \sigma \in \mathfrak{S}_4, \quad \delta \sigma u \in \Lambda_k \quad \text{and} \quad \forall \sigma \in \Gamma_4, \quad \sigma u = u.$$

For every  $u \in F_k(4)$ , define elements  $xu, yu, zu$  by:

$$xu = \begin{array}{|c|} \hline \text{---} \\ \hline \text{---} \\ \hline \end{array} \begin{array}{|c|} \hline \text{---} \\ \hline \end{array} u \quad yu = \begin{array}{|c|} \hline \text{---} \\ \hline \text{---} \\ \hline \end{array} \begin{array}{|c|} \hline \text{---} \\ \hline \end{array} u \quad zu = \begin{array}{|c|} \hline \text{---} \\ \hline \text{---} \\ \hline \end{array} \begin{array}{|c|} \hline \text{---} \\ \hline \end{array} u$$

**Proposition 5.3.** *The module  $E$  is a graded  $\Lambda_k[\mathfrak{S}_4]$ -submodule of  $F_k(4)$  and for every  $u \in E$  we have:*

$$xu, yu, zu \in E, \quad xu + yu + zu = 2tu.$$

**Proof.** The fact that  $E$  is a graded  $\Lambda_k[\mathfrak{S}_4]$ -submodule of  $F_k(4)$  is obvious. Let  $u$  be an element of  $F_k(4)$ . Because of Lemma 5.1, we have:

$$\begin{array}{l} xu = \begin{array}{|c|} \hline \text{---} \\ \hline \text{---} \\ \hline \end{array} \begin{array}{|c|} \hline \text{---} \\ \hline \end{array} u = \begin{array}{|c|} \hline \text{---} \\ \hline \end{array} \begin{array}{|c|} \hline \text{---} \\ \hline \end{array} u \\ yu = \begin{array}{|c|} \hline \text{---} \\ \hline \text{---} \\ \hline \end{array} \begin{array}{|c|} \hline \text{---} \\ \hline \end{array} u = \begin{array}{|c|} \hline \text{---} \\ \hline \end{array} \begin{array}{|c|} \hline \text{---} \\ \hline \end{array} u \\ zu = \begin{array}{|c|} \hline \text{---} \\ \hline \text{---} \\ \hline \end{array} \begin{array}{|c|} \hline \text{---} \\ \hline \end{array} u = \begin{array}{|c|} \hline \text{---} \\ \hline \end{array} \begin{array}{|c|} \hline \text{---} \\ \hline \end{array} u \end{array}$$

Hence, if  $\sigma$  is a permutation in  $\mathfrak{S}_4$ , there exists an element  $\theta \in \{x, y, z\}$  such that  $\sigma xu = \theta \sigma u$ . More precisely  $\mathfrak{S}_4$  acts on the set  $\{x, y, z\}$  via an epimorphism  $\sigma \mapsto \widehat{\sigma}$  from  $\mathfrak{S}_4$  to  $\mathfrak{S}_3$ , and we have:

$$\sigma xu = \widehat{\sigma}(x)\sigma u, \quad \sigma yu = \widehat{\sigma}(y)\sigma u, \quad \sigma zu = \widehat{\sigma}(z)\sigma u.$$

The kernel of this epimorphism is  $\Gamma_4$ .

We have:

$$xu + yu + zu = \begin{array}{|c|} \hline \text{---} \\ \hline \text{---} \\ \hline \end{array} \begin{array}{|c|} \hline \text{---} \\ \hline \end{array} u + \begin{array}{|c|} \hline \text{---} \\ \hline \text{---} \\ \hline \end{array} \begin{array}{|c|} \hline \text{---} \\ \hline \end{array} u + \begin{array}{|c|} \hline \text{---} \\ \hline \text{---} \\ \hline \end{array} \begin{array}{|c|} \hline \text{---} \\ \hline \end{array} u$$

Because of Lemma 3.3, we have:

$$xu + yu + zu = \begin{array}{|c|} \hline \text{---} \\ \hline \text{---} \\ \hline \end{array} \begin{array}{|c|} \hline \text{---} \\ \hline \end{array} u = 2tu$$

Moreover, if  $u \in F_k(4)$  is  $\Gamma_4$ -invariant,  $xu, yu, zu$  are  $\Gamma_4$ -invariant too, and the last thing to do is to prove that  $\delta x\sigma u, \delta y\sigma u, \delta z\sigma u$  are in  $\Lambda_k$  for every  $u \in E$ .

We have:

$$\delta x\sigma u = \begin{array}{c} \text{---} \text{---} \text{---} \\ \text{---} \text{---} \end{array} \sigma u = t\delta\sigma u \in \Lambda_k$$

$$\delta y\sigma u = 2t\delta\sigma u - \delta x\sigma u - \delta z\sigma u$$

and it is enough to prove that  $\delta z\sigma u$  belongs to  $\Lambda_k$ . Because of Lemma 5.1 we have:

$$\delta z\sigma u = \begin{array}{c} \text{---} \text{---} \text{---} \\ \text{---} \text{---} \end{array} \sigma u = \begin{array}{c} \text{---} \text{---} \text{---} \\ \text{---} \text{---} \end{array} \sigma u = \begin{array}{c} \text{---} \text{---} \text{---} \\ \text{---} \text{---} \end{array} \sigma u = \begin{array}{c} \text{---} \text{---} \text{---} \\ \text{---} \text{---} \end{array} \sigma u$$

Let  $s, \tau, \tau', \theta$  be the permutations in  $\mathfrak{S}_4$  or  $\mathfrak{S}_3$  represented by the following diagrams:

$$s = \begin{array}{c} \diagup \quad \diagdown \\ \text{---} \text{---} \end{array} \quad \tau = \begin{array}{c} \diagup \quad \diagdown \\ \diagdown \quad \diagup \end{array} \quad \tau' = \begin{array}{c} \diagup \quad \diagdown \\ \diagdown \quad \diagup \end{array} \quad \theta = \begin{array}{c} \diagup \quad \diagdown \\ \diagdown \quad \diagup \end{array}$$

We have:

$$\tau\delta z\sigma u = \tau \begin{array}{c} \text{---} \text{---} \text{---} \\ \text{---} \text{---} \end{array} \sigma u = \begin{array}{c} \text{---} \text{---} \text{---} \\ \text{---} \text{---} \end{array} \tau'\sigma u = \begin{array}{c} \text{---} \text{---} \text{---} \\ \text{---} \text{---} \end{array} \tau'\sigma u$$

and then:

$$\tau\delta z\sigma u = \delta z\tau'\sigma u \quad \Rightarrow \quad \tau^2\delta z\sigma u = \delta z\tau'^2\sigma u.$$

But  $\tau'^2$  lies in  $\Gamma_4$  and  $\tau^2\delta z\sigma u = \delta z\sigma u$ . Therefore  $\delta z\sigma u$  is invariant under cyclic permutations. We have also:

$$s\delta z\sigma u = s \begin{array}{c} \text{---} \text{---} \text{---} \\ \text{---} \text{---} \end{array} \sigma u = - \begin{array}{c} \text{---} \text{---} \text{---} \\ \text{---} \text{---} \end{array} \theta\sigma u = - \begin{array}{c} \text{---} \text{---} \text{---} \\ \text{---} \text{---} \end{array} \theta\sigma u$$

Since  $\theta$  lies in  $\Gamma_4$  also,  $s\delta z\sigma u = -\delta z\sigma u$  and  $\delta z\sigma u$  belongs to the submodule  $F_k(3)^-$  of  $F_k(3)$ . Consider the following diagrams:

$$\delta' = \begin{array}{c} \text{---} \text{---} \\ \text{---} \text{---} \end{array} \quad \delta'' = \begin{array}{c} \text{---} \text{---} \\ \text{---} \text{---} \end{array}$$

We have to prove the last equality:  $\delta'\delta z\sigma u = \delta''\delta z\sigma u$ . Denote by  $\sigma_{ij}$  the transposition  $i \leftrightarrow j$ . We have:

$$\delta'\delta z\sigma u = \begin{array}{c} \text{---} \text{---} \text{---} \\ \text{---} \text{---} \end{array} \sigma u = \begin{array}{c} \text{---} \text{---} \text{---} \\ \text{---} \text{---} \end{array} z\sigma u = (1 - \sigma_{12})x z\sigma u$$

and similarly:

$$\delta''\delta z\sigma u = \begin{array}{c} \text{---} \text{---} \text{---} \\ \text{---} \text{---} \end{array} \sigma u = (1 - \sigma_{34})x z\sigma u$$

But  $\sigma_{12}$  and  $\sigma_{34}$  are the same modulo  $\Gamma_4$  and induce the transposition  $y \leftrightarrow z$ . Then we have:

$$\delta''\delta z\sigma u = x z\sigma u - x y \sigma_{34} \sigma u = x z\sigma u - x y \sigma_{12} \sigma u = \delta'\delta z\sigma u$$

and that finishes the proof.  $\square$

Consider the following element of  $F_k(4)$ :

$$a = \begin{array}{c} \text{---} \text{---} \text{---} \\ \text{---} \text{---} \end{array}$$

For every  $p > 0$  set:  $x_p = \delta z^{p-1}a$ . Because of the last result,  $x_p$  is an element of degree  $p$  in  $\Lambda_k$ . It is not difficult to check the following:

$$x_1 = 2t \quad x_2 = t^2 \quad 3x_4 = 4tx_3 + t^4$$

and  $\Lambda_k$  is freely generated in degree  $< 6$  by:

$$1, t, t^2, t^3, t^4, t^5, x_3, \frac{tx_3 - t^4}{3}, \frac{t^2x_3 - t^5}{3}, \frac{x_5 + t^2x_3}{2}.$$

Let  $\tau$  be a permutation in  $\mathfrak{S}_4$  inducing the cyclic permutation  $x \mapsto y \mapsto z \mapsto x$ . Set:  $z_1 = x, z_2 = y, z_3 = z, \alpha_1 = a, \alpha_2 = \tau a, \alpha_3 = \tau^2 a$ . The group  $\mathfrak{S}_3$  acts on  $E$  and for every  $\sigma \in \mathfrak{S}_3$ , every  $i \in \{1, 2, 3\}$  and every  $u \in E$  we have:

$$\sigma(z_i u) = z_{\sigma(i)} \sigma(u)$$

$$\sigma(\alpha_i) = \varepsilon(\sigma) \alpha_{\sigma(i)}$$

where  $\varepsilon(\sigma)$  is the signature of  $\sigma$ . Denote also by  $f_1$  the morphism  $u \mapsto \delta u$  from  $E$  to  $\Lambda_k$ . If  $\sigma$  is the transposition keeping 1 fixed, one has for every  $u \in E$ :

$$f_1(\sigma(u)) = -f_1(u).$$

Therefore there are unique morphisms  $f_2$  and  $f_3$  from  $E$  to  $\Lambda_k$  such that:

$$f_{\sigma(i)}(\sigma(u)) = \varepsilon(\sigma) f_i(u)$$

for every  $u \in E, \sigma \in \mathfrak{S}_3$  and  $i \in \{1, 2, 3\}$ . Moreover, if  $\sigma_i$  is the transposition keeping  $i$  fixed we have:

$$z_i(u - \sigma_i(u)) = f_i(u) \alpha_i$$

for every  $u \in E$ .

The set  $\{1, 2, 3\}$  is canonically oriented and for every  $i, j$  and  $k$  distinct in  $\{1, 2, 3\}$ , there is a sign  $i \wedge j \wedge k$  in  $\{\pm 1\}$ : the signature of the permutation  $1 \mapsto i, 2 \mapsto j, 3 \mapsto k$ .

**Proposition 5.4.** Suppose 6 is invertible in  $k$ . Then there exist unique elements  $e, \varepsilon_p$  and  $\beta_{i,p}$  in  $E$ , for  $i \in \{1, 2, 3\}$  and  $p \geq 0$  and unique elements  $\omega_p$  ( $p \geq 0$ ) in  $\Lambda_k$  such that the following holds for every  $\sigma \in \mathfrak{S}_3$ , every  $i, j, k$  distinct in  $\{1, 2, 3\}$  and every  $p \geq 0$ :

$$\beta_{1,p} + \beta_{2,p} + \beta_{3,p} = 0$$

$$\sigma(e) = e, \quad \sigma(\varepsilon_p) = \varepsilon_p, \quad \sigma(\beta_{i,p}) = \varepsilon(\sigma) \beta_{\sigma(i),p}$$

$$f_i(\alpha_i) = 2t, \quad f_i(\beta_{i,p}) = 2\omega_p$$

$$f_i(\alpha_j) = -t, \quad f_i(\beta_{j,p}) = -\omega_p$$

$$f_i(e) = f_i(\varepsilon_p) = 0$$

$$z_i \alpha_i = t \alpha_i, \quad z_i \beta_{i,p} = \omega_p \alpha_i$$

$$z_i \alpha_j = i \wedge j \wedge k e + \frac{t}{3} (\alpha_j - \alpha_i)$$

$$z_i e = \frac{2t}{3} e + i \wedge j \wedge k \left( \frac{10t^2}{9} (\alpha_j - \alpha_k) - \frac{1}{2} (\beta_{j,0} - \beta_{k,0}) \right)$$

$$z_i \beta_{j,p} = i \wedge j \wedge k \varepsilon_p + \frac{2t}{3} (\beta_{j,p} - \beta_{k,p}) + \omega_p \alpha_k$$

$$z_i \varepsilon_p = \frac{2t}{3} \varepsilon_p + i \wedge j \wedge k \left( \frac{4t^2}{9} (\beta_{j,p} - \beta_{k,p}) - \frac{1}{2} (\beta_{j,p+1} - \beta_{k,p+1}) + \frac{2t\omega_p}{3} (\alpha_j - \alpha_k) \right).$$

**Proof.** Consider formal elements  $\omega'_p$ , for  $p \geq 0$  of degree  $3 + 2p$ . Then  $R = k[t, \omega'_0, \omega'_1, \dots]$  is a graded algebra. Let  $E'$  be the  $R$ -module generated by elements  $\alpha'_i, \beta'_{i,p}, e'$  and  $\varepsilon'_p$  (for  $p \geq 0$  and  $i \in \{1, 2, 3\}$ ) with the following relations:

$$\sum_i \alpha'_i = 0, \quad \forall p \geq 0, \quad \sum_i \beta'_{i,p} = 0.$$

This module is graded by:

$$\partial^\circ \alpha'_i = 0, \quad \partial^\circ \beta'_{i,p} = 2 + 2p, \quad \partial^\circ e' = 1, \quad \partial^\circ \varepsilon'_p = 3 + 2p.$$

The symmetric group  $\mathfrak{S}_3$  acts on  $E'$  by:

$$\sigma(\alpha'_i) = \varepsilon(\sigma) \alpha'_{\sigma(i)}, \quad \sigma(\beta'_{i,p}) = \varepsilon(\sigma) \beta'_{\sigma(i),p}, \quad \sigma(e') = e', \quad \sigma(\varepsilon'_p) = \varepsilon'_p$$

and  $E'$  is a graded  $R[\mathfrak{S}_3]$ -module.

Using relations above we have well-defined maps  $u \mapsto z_i u$  from  $E'$  to  $E'$  and the sum of these maps is  $2t$ . We have also linear maps  $f_i$  from  $E'$  to  $R$  sending  $e'$  and  $\varepsilon'_p$  to 0 and defined on the other generators by:

$$\begin{aligned} f_i(\alpha'_i) &= 2t & f_i(\beta'_{i,p}) &= 2\omega'_p \\ f_i(\alpha'_j) &= -t & f_i(\beta'_{j,p}) &= -\omega'_p. \end{aligned}$$

It is not difficult to check the formula:

$$\forall u \in E', \quad z_i(u - \sigma_i(u)) = f_i(u)\alpha'_i.$$

So the last thing to do is to construct an algebra homomorphism  $\psi$  from  $R$  to  $\Lambda_k$  and a morphism  $\varphi$  from  $E'$  to  $E$  which is linear over  $\psi$  sending  $\alpha'_i$  to  $\alpha_i$  and  $z_i$  to  $z_i$ .

Consider the elements  $u(i, j, k) = z_i\alpha_j - t/3\alpha_j + t/3\alpha_i$  in  $E$  (for  $i, j, k$  distinct). One has:

$$\begin{aligned} u(i, j, k) - u(j, k, i) &= z_i\alpha_j - z_j\alpha_k - t/3(\alpha_j - \alpha_i - \alpha_k + \alpha_j) = z_i\alpha_j - z_j\alpha_k - t\alpha_j \\ &= z_i\alpha_j + (z_i + z_k - 2t)\alpha_k - t\alpha_j = z_i(\alpha_j + \alpha_k) + z_k\alpha_k - 2t\alpha_k - t\alpha_j \\ &= -z_i\alpha_i + z_k\alpha_k + t\alpha_i - t\alpha_k = 0. \end{aligned}$$

Then  $u(i, j, k)$  is invariant under cyclic permutations. One has also:

$$u(i, j, k) + u(k, j, i) = (z_i + z_k)\alpha_j - 2t/3\alpha_j + t/3(\alpha_i + \alpha_k) = (2t - z_j)\alpha_j - t\alpha_j = 0.$$

Therefore  $u(i, j, k)$  is totally antisymmetric in  $i, j, k$  and  $i \wedge j \wedge k u(i, j, k)$  is invariant under the action of  $\mathfrak{S}_3$ . So one can set:

$$e = \varphi(e') = i \wedge j \wedge k u(i, j, k).$$

The element  $v(i, j, k) = i \wedge j \wedge k (z_j e - z_k e)$  is clearly symmetric under the transposition  $j \leftrightarrow k$ . So it depends only on  $i$  and we can set:

$$\beta_{i,0} = \frac{20t^2}{9}\alpha_i + \frac{2}{3}v(i, j, k).$$

Hence we have:

$$\begin{aligned} z_i e &= \frac{1}{3}(2z_i - z_j - z_k + 2t)e = \frac{2t}{3}e + \frac{i \wedge j \wedge k}{3}(v(k, i, j) - v(j, k, i)) \\ &= \frac{2t}{3}e + i \wedge j \wedge k \left( \frac{10t^2}{9}(\alpha_j - \alpha_k) - \frac{1}{2}(\beta_{j,0} - \beta_{k,0}) \right). \end{aligned}$$

It is easy to see that the sum of the  $\beta_{i,0}$  vanishes and we can set:  $\varphi(\beta'_{i,0}) = \beta_{i,0}$ . On the other hand we have:

$$f_i(-\beta_{k,0}) = -f_i(\beta_{j,0})$$

and  $f_i(\beta_{j,0})$  depends only on  $i$ . But we have:  $f_i(\beta_{j,0}) = f_j(\beta_{k,0})$  and  $f_i(\beta_{j,0})$  does not depend on  $i$ . So we can set:  $\omega_0 = -f_i(\beta_{j,0})$ . Since  $\beta_{i,0} + \beta_{j,0} + \beta_{k,0}$  is trivial, we have also:  $f_i(\beta_{i,0}) = 2\omega_0$  and we can set:  $\psi(\omega'_0) = \omega_0$ .

Set:  $w(i, j, k) = z_i\beta_{j,0} - \frac{2t}{3}(\beta_{j,0} - \beta_{k,0}) - \omega_0\alpha_k$ . One has:

$$\begin{aligned} w(i, j, k) - w(j, k, i) &= z_i\beta_{j,0} - z_j\beta_{k,0} - \frac{2t}{3}(-3\beta_{k,0}) - \omega_0(\alpha_k - \alpha_i) \\ &= z_i\beta_{j,0} - (2t - z_i - z_k)\beta_{k,0} + 2t\beta_{k,0} - \omega_0(\alpha_k - \alpha_i) \\ &= z_i(\beta_{j,0} + \beta_{k,0}) + z_k\beta_{k,0} - \omega_0(\alpha_k - \alpha_i) \\ &= f_i(\beta_{j,0})\alpha_i + 1/2f_k(\beta_{k,0})\alpha_k - \omega_0(\alpha_k - \alpha_i) = 0. \end{aligned}$$

Then  $w(i, j, k)$  is invariant under cyclic permutations. One has also:

$$\begin{aligned} w(i, j, k) + w(k, j, i) &= z_i\beta_{j,0} + z_k\beta_{j,0} - \frac{2t}{3}(3\beta_{j,0}) - \omega_0(\alpha_k + \alpha_i) \\ &= (2t - z_j)\beta_{j,0} - 2t\beta_{j,0} + \omega_0\alpha_j = -z_j\beta_{j,0} + \omega_0\alpha_j = 0. \end{aligned}$$

Therefore  $w(i, j, k)$  is totally antisymmetric in  $i, j, k$  and  $i \wedge j \wedge k w(i, j, k)$  is invariant under the action of  $\mathfrak{S}_3$ . So one can set:

$$\varepsilon_0 = \varphi(\varepsilon'_0) = i \wedge j \wedge k w(i, j, k).$$

Let  $p \geq 0$  be an integer. Suppose that  $\beta_{i,q}$  and  $\varepsilon_q$  are constructed for  $q \leq p$  and  $\varphi$  and  $\psi$  are constructed in degrees  $\leq 3+2p$ . Consider the element  $u(i, j, k) = i \wedge j \wedge k (z_j - z_k)\varepsilon_p + \frac{4t^2}{3}\beta_{i,p} + 2t\omega_p\alpha_i$ . This element is invariant under the transposition  $j \leftrightarrow k$  and depends only on  $i$ . So we can set:

$$\beta_{i,p+1} = \frac{2}{3}u(i, j, k).$$

It is easy to check the following:

$$\beta_{1,p+1} + \beta_{2,p+1} + \beta_{3,p+1} = 0$$

$$z_i \varepsilon_p = \frac{2t}{3} \varepsilon_p + i \wedge j \wedge k \left( \frac{4t^2}{9} (\beta_{j,p} - \beta_{k,p}) - \frac{1}{2} (\beta_{j,p+1} - \beta_{k,p+1}) + \frac{2t\omega_p}{3} (\alpha_j - \alpha_k) \right)$$

and we can set:  $\varphi(\beta'_{i,p+1}) = \beta_{i,p+1}$ . On the other hand we have:

$$f_i(-\beta_{k,p+1}) = -f_i(\beta_{j,p+1})$$

and  $f_i(\beta_{j,p+1})$  depends only on  $i$ . But we have:  $f_i(\beta_{j,p+1}) = f_j(\beta_{k,p+1})$  and  $f_i(\beta_{j,p+1})$  does not depend on  $i$ . So we can set:  $\omega_{p+1} = -f_i(\beta_{j,p+1})$ . Since  $\beta_{i,p+1} + \beta_{j,p+1} + \beta_{k,p+1}$  is trivial, we have also:  $f_i(\beta_{i,p+1}) = 2\omega_{p+1}$  and we can set:  $\psi(\omega'_{p+1}) = \omega_{p+1}$ .

Set:  $w(i, j, k) = z_i \beta_{j,p+1} - \frac{2t}{3} (\beta_{j,p+1} - \beta_{k,p+1}) - \omega_{p+1} \alpha_k$ . One has:

$$\begin{aligned} w(i, j, k) - w(j, k, i) &= z_i \beta_{j,p+1} - z_j \beta_{k,p+1} - \frac{2t}{3} (-3\beta_{k,p+1}) - \omega_{p+1} (\alpha_k - \alpha_i) \\ &= z_i \beta_{j,p+1} - (2t - z_i - z_k) \beta_{k,p+1} + 2t \beta_{k,p+1} - \omega_{p+1} (\alpha_k - \alpha_i) \\ &= z_i (\beta_{j,p+1} + \beta_{k,p+1}) + z_k \beta_{k,p+1} - \omega_{p+1} (\alpha_k - \alpha_i) \\ &= f_i(\beta_{j,p+1}) \alpha_i + 1/2 f_k(\beta_{k,p+1}) \alpha_k - \omega_{p+1} (\alpha_k - \alpha_i) = 0. \end{aligned}$$

Then  $w(i, j, k)$  is invariant under cyclic permutations. One has also:

$$\begin{aligned} w(i, j, k) + w(k, j, i) &= z_i \beta_{j,p+1} + z_k \beta_{j,p+1} - \frac{2t}{3} (3\beta_{j,p+1}) - \omega_{p+1} (\alpha_k + \alpha_i) \\ &= (2t - z_j) \beta_{j,p+1} - 2t \beta_{j,p+1} + \omega_{p+1} \alpha_j = -z_j \beta_{j,p+1} + \omega_{p+1} \alpha_j = 0. \end{aligned}$$

Therefore  $w(i, j, k)$  is totally antisymmetric in  $i, j, k$  and  $i \wedge j \wedge k w(i, j, k)$  is invariant under the action of  $\mathfrak{S}_3$ . So one can set:

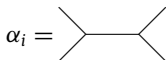
$$\varepsilon_{p+1} = \varphi(\varepsilon'_{p+1}) = i \wedge j \wedge k w(i, j, k).$$

So  $\varphi$  and  $\psi$  are defined by induction and the result follows.  $\square$

**Remark.** The subalgebra  $\Lambda'$  of  $\Lambda_k$  generated by the  $x_i$ 's is generated by  $x_1, x_3, x_5, \dots$  and also by  $t, \omega_0, \omega_1, \dots$ . Then every  $x_i$  can be expressed in term of  $t$  and the  $\omega_j$ 's. In low degree we get:

$$\begin{aligned} x_1 &= 2t, & x_2 &= t^2, & x_3 &= 4t^3 - \frac{3}{2}\omega_0, & x_4 &= 5t^4 - 2t\omega_0, \\ x_5 &= 12t^5 - \frac{17}{2}t^2\omega_0 + \frac{3}{2}\omega_1, & x_6 &= 21t^6 - 17t^3\omega_0 + 5t\omega_1 - \frac{3}{2}\omega_0^2, \\ x_7 &= 44t^7 - \frac{91}{2}t^4\omega_0 - \frac{7}{2}t\omega_0^2 + \frac{37}{2}t^2\omega_1 - \frac{3}{2}\omega_2. \end{aligned}$$

Suppose that  $\alpha_i \in E$  is represented by:



Then we set:

$$\begin{aligned} \text{Diagram with a dot on the horizontal line} &= \beta_{i,p}, & \text{Crossing of two lines} &= e, & \text{Crossing of two lines with a dot on one} &= \varepsilon_p \end{aligned}$$

These diagrams are well defined in  $F_k(4)$  if 6 is invertible in  $k$ . By gluing we are able to define new  $(\Gamma, X)$ -diagrams represented by a graph  $D$  containing  $\Gamma$  such that:

- the set  $\partial D$  of 1-valent vertices of  $D$  is the disjoint union of  $\partial \Gamma$  and  $X$
- each vertex of  $D$  in  $\Gamma \setminus \partial \Gamma$  is 3-valent
- each vertex of  $D$  is 1-valent, 3-valent, or 4-valent
- each 3-valent vertex of  $D$  is oriented (by a cyclic ordering)
- some 4-valent vertex is marked by a bullet and labeled by a nonnegative integer
- some edge is marked by a bullet and labeled by a nonnegative integer
- each marked edge is outside of  $\Gamma$  and its boundary has two 3-valent vertices
- the marked edges are pairwise disjoint.

Such a diagram will be called an extended  $(\Gamma, X)$ -diagram. Each extended  $(\Gamma, X)$ -diagram is a linear combination of usual  $(\Gamma, X)$ -diagrams. A marked diagram  $D$  is an extended diagram with at least one marked vertex or one marked edge. The sum of the markings is called the total marking of  $D$ .



**Proposition 5.5.** Suppose 6 is invertible in  $k$ . Then we have the following formulas:

$$\begin{aligned}
 & \text{Diagram 1} = \text{Diagram 2} - \text{Diagram 3} \\
 & \text{Diagram 4} = \omega_p \text{Diagram 5} \\
 & \text{Diagram 6} = 0 \quad \text{Diagram 7} = \frac{10t^2}{3} \text{Diagram 8} \quad \text{Diagram 9} = 2t\omega_p \text{Diagram 10} \\
 & \text{Diagram 11} = \text{Diagram 12} + \frac{t}{3} \text{Diagram 13} + \frac{t}{3} \text{Diagram 14} \\
 & \text{Diagram 15} = \frac{2t}{3} \text{Diagram 16} + \frac{10t^2}{9} (2 \text{Diagram 17} - \text{Diagram 18}) - \frac{1}{2} (2 \text{Diagram 19} - \text{Diagram 20}) \\
 & \text{Diagram 21} = \omega_p \text{Diagram 22} \\
 & \text{Diagram 23} = \text{Diagram 24} + \omega_p (\text{Diagram 25} - \text{Diagram 26}) + \frac{2t}{3} (2 \text{Diagram 27} - \text{Diagram 28}) \\
 & \text{Diagram 29} = \frac{2t}{3} \text{Diagram 30} + \frac{2t\omega_p}{3} (2 \text{Diagram 31} - \text{Diagram 32}) \\
 & \quad + \frac{4t^2}{9} (2 \text{Diagram 33} - \text{Diagram 34}) - \frac{1}{2} (2 \text{Diagram 35} - \text{Diagram 36})
 \end{aligned}$$

for every  $p \geq 0$ .

**Proof.** This is essentially a graphical version of Proposition 5.4.  $\square$

There are many relations in the algebra  $\Lambda$ . Kneissler [14] founded relations in term of the  $x_i$ 's. In term of the  $\omega_i$ 's Kneissler's result becomes the following:

**Theorem 5.6.** The following relations hold in  $\Lambda$ :

$$\forall p, q \geq 0, \quad \omega_p \omega_q = \omega_0 \omega_{p+q}.$$

**Theorem 5.7.** Let  $\Gamma$  be a closed curve and  $X$  be a finite set. Let  $u$  be an element of  $\mathcal{A}^s(\Gamma, X)$  represented by a marked diagram  $D$  with total marking  $p$ . Let  $D_0$  be the diagram  $D$  where each marking is replaced by 0. Then  $u$  depends only on  $p$  and  $D_0$ . Moreover  $\omega_q u$  depends only on  $p + q$  and  $D_0$ .

**Proof.** Here we are working over the rationals ( $k = \mathbb{Q}$ ).

**Lemma 5.7.1.** The following relation holds in  $F(6)$ :

$$\begin{array}{ccccccc}
 & | & | & | & | & & \\
 \hline
 & \bullet & & \bullet & & & \\
 & 1 & & 0 & & & 
 \end{array}
 =
 \begin{array}{ccccccc}
 & | & | & | & | & & \\
 \hline
 & & \bullet & & \bullet & & \\
 & & 0 & & 1 & & 
 \end{array}$$

**Proof.** Let  $E_n$  be the component of  $F(6)$  of degree  $n$ . These modules can be determined by computer for  $n \leq 6$ . In this range the dimensions are:

$$24 \quad 60 \quad 120 \quad 199 \quad 309 \quad 439 \quad 594$$

The desired relation lies in the module  $E_6$  and can be checked directly. More precisely,  $E_n$  decomposes into a direct sum of pieces corresponding to the Young diagrams of size 6. Using this decomposition and formulas in Proposition 5.5 we get:

$$\begin{aligned}
 \begin{array}{c} | \quad | \quad | \quad | \\ \hline \end{array} &= A_0(4, 2) + A_0(2, 2, 2) + A_0(3, 1, 1, 1) \\
 \begin{array}{c} \diagdown \quad \diagup \quad | \quad | \\ \hline \end{array} &= A_1(4, 2) + A_1(3, 2, 1) \\
 \begin{array}{c} | \quad | \quad | \quad | \\ \bullet \\ \hline \end{array} &= A_2(4, 2) + A_2(2, 2, 2) + A_2(3, 1, 1, 1) + A_2(3, 2, 1) \\
 \begin{array}{c} | \quad | \quad \diagdown \quad \diagup \\ \bullet \quad \bullet \\ \hline \end{array} &= A_3(4, 2) + A_3(3, 2, 1) + A_3(5, 1) \\
 \begin{array}{c} | \quad | \quad | \quad | \\ \bullet \quad \bullet \\ \hline \end{array} &= A_4(4, 2) + A_4(2, 2, 2) + A_4(3, 1, 1, 1) + A_4(3, 2, 1) \\
 \begin{array}{c} | \quad | \quad \diagdown \quad \diagup \\ \bullet \quad \bullet \\ \hline \end{array} &= A_5(4, 2) + A_5(5, 1) + A_5(3, 2, 1) \\
 \begin{array}{c} | \quad | \quad | \quad | \\ \bullet \quad \bullet \\ \hline \end{array} &= A_6(4, 2) + A_6(2, 2, 2) + A_6(3, 1, 1, 1) + A_6(3, 2, 1)
 \end{aligned}$$

It is not difficult to see that the symmetry  $\sigma$  along a vertical axis acts trivially on  $A_6(4, 2), A_6(2, 2, 2), A_6(3, 1, 1, 1), A_6(3, 2, 1)$  and then on the last diagram. So we have:

$$\begin{array}{c} | \quad | \quad | \quad | \\ \bullet \quad \bullet \\ \hline \end{array} = \sigma \begin{array}{c} | \quad | \quad | \quad | \\ \bullet \quad \bullet \\ \hline \end{array} = \begin{array}{c} | \quad | \quad | \quad | \\ \bullet \quad \bullet \\ \hline \end{array}$$

and that proves the lemma.  $\square$

**Lemma 5.7.2.** For every  $p$  and  $q$  we have the following relations in  $F(6)$ :

$$\begin{aligned}
 \begin{array}{c} | \quad | \quad | \quad | \\ \bullet \quad \bullet \\ \hline \end{array} &= \begin{array}{c} | \quad | \quad | \quad | \\ \bullet \quad \bullet \\ \hline \end{array} \\
 \begin{array}{c} | \quad | \quad | \quad | \\ \bullet \quad \bullet \\ \hline \end{array} &= \begin{array}{c} | \quad | \quad | \quad | \\ \bullet \quad \bullet \\ \hline \end{array} \\
 \begin{array}{c} | \quad | \quad | \quad | \\ \bullet \quad \bullet \\ \hline \end{array} &= \begin{array}{c} | \quad | \quad | \quad | \\ \bullet \quad \bullet \\ \hline \end{array}
 \end{aligned}$$

with  $p' = p + 1$  and  $q' = q + 1$ .

**Proof.** Consider the following diagrams:

$$\begin{aligned}
 A(p, q) &= \begin{array}{c} \diagdown \quad \diagup \quad \diagdown \quad \diagup \\ \bullet \quad \bullet \\ \hline \end{array} \\
 B(p, q) &= \begin{array}{c} \diagdown \quad \diagup \quad \diagdown \quad \diagup \\ \bullet \quad \bullet \\ \hline \end{array} \\
 C(p, q) &= \begin{array}{c} \diagdown \quad \diagup \quad \diagdown \quad \diagup \\ \bullet \quad \bullet \\ \hline \end{array}
 \end{aligned}$$

These diagrams are morphisms in the category  $\Delta$ .



Consider the following diagrams in  $F(6 + n)$ , for some integers  $p, q, n$ :

$$\begin{aligned} A_n(p, q) &= \begin{array}{c} | \quad | \quad | \quad | \quad | \quad | \quad | \\ \hline \bullet \quad \quad \quad \bullet \\ p \quad \quad \quad q \end{array} \\ B_n(p, q) &= \begin{array}{c} | \quad | \quad | \quad | \quad | \quad | \quad | \\ \hline \bullet \quad \quad \quad \bullet \\ p \quad \quad \quad q \end{array} \\ C_n(p, q) &= \begin{array}{c} | \quad | \quad | \quad | \quad | \quad | \quad | \\ \hline \bullet \quad \quad \quad \bullet \\ p \quad \quad \quad q \end{array} \end{aligned}$$

If  $u$  and  $v$  in  $Z$  are related  $D$  contains a subdiagram isomorphic to  $A_n(p, q)$ ,  $B_n(p, q)$  or  $C_n(p, q)$ . Then it is enough to prove that  $A_n(p, q)$ ,  $B_n(p, q)$  and  $C_n(p, q)$  depend only on  $n$  and  $p + q$ . Let  $X$  be one of the symbol  $A, B, C$ . Because of Lemma 3.3, we can push away all strands in the middle part of  $X_n(p, q)$  through the marked edge (or the marked vertex) in the right part of the diagram and  $X_n(p, q)$  is equivalent in  $F(6 + n)$  to a linear combination of diagrams containing  $X(p, q)$ . Then, because of Lemma 5.7.2,  $X_n(p, q)$  depends only on  $n$  and  $p + q$  and the first part of Theorem 5.7 is proven.

The element  $\omega_q U$  is represented by a diagram  $D'$  obtained from  $D$  by adding a new marked edge with marking  $q$ . Therefore  $\omega_q U$  depends only on  $D_0$  and the sum of  $q$  and the total marking of  $D$ .  $\square$

**Remark.** Consider the commutative  $\mathbf{Q}$ -algebra  $R'$  defined by the following presentation:

- generators:  $t, \omega_0, \omega_1, \dots$
- relations:  $\omega_p \omega_q = \omega_0 \omega_{p+q}$ , for every  $p, q$ .

We have a canonical morphism from  $R'$  to  $\Lambda$ . On the other hand there is a morphism  $f : R' \rightarrow \mathbf{Q}[t, \sigma, \omega]$  sending  $t$  to  $t$  and each  $\omega_p$  to  $\omega \sigma^p$ . It is easy to see that this morphism is injective with image  $R_0 = \mathbf{Q}[t] \oplus \omega \mathbf{Q}[t, \sigma, \omega]$ . Then the morphism  $R' \rightarrow \Lambda_k$  induces a morphism from  $R_0$  to  $\Lambda$ :

**Proposition 5.8.** Let  $R$  be the polynomial algebra  $\mathbf{Q}[t, \sigma, \omega]$  where  $t, \sigma$  and  $\omega$  are formal variables of degree 1, 2 and 3 respectively and  $R_0$  be the subalgebra  $\mathbf{Q}[t] \oplus \omega \mathbf{Q}[t, \sigma, \omega]$  of  $R$ . Then there is a unique graded algebra homomorphism  $\varphi$  from  $R_0$  to  $\Lambda$  sending  $t$  to  $t$  and each  $\omega \sigma^p$  to  $\omega_p$ .

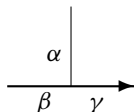
## 6. Detecting elements in $\Lambda$

In this section we will construct weight functions on modules of diagrams and characters on  $\Lambda$  using Lie superalgebras.

Let  $L$  be a finite-dimensional Lie superalgebra over a field  $K$  equipped with a nonsingular supersymmetric bilinear form  $\langle, \rangle$  invariant under the adjoint representation. Such a data will be called a quadratic Lie superalgebra and the bilinear form is called the inner form. Take a homogeneous basis  $(e_i)$  of  $L$  and its dual basis  $(e'_i)$ . The Casimir element  $\Omega = \sum_j e_j \otimes e'_j \in L \otimes L$  is independent of the choice of the basis and its degree is zero.

Let  $\Gamma$  be an closed oriented curve and  $X = [n]$  be a finite set. Suppose that a  $L$ -representation  $E_i$  is chosen for each component  $\Gamma_i$  of  $\Gamma$ . We will say that  $\Gamma$  is colored by  $L$ -representations. Then it is possible to construct a linear map from  $\mathcal{A}(\Gamma, X)$  to  $L^{\otimes n}$  in the following way:

Let  $D$  be a  $(\Gamma, X)$ -diagram. Up to some changes of cyclic ordering we may as well suppose that, at each vertex  $x$  in  $\Gamma$  the cyclic ordering is given by  $(\alpha, \beta, \gamma)$  where  $\alpha$  is the edge which is not contained in  $\Gamma$  and  $\beta$  is the edge in  $\Gamma$  ending at  $x$  (with the orientation of  $\Gamma$ ).



For each component  $\Gamma_i$  we can take a basis  $(e_{ij})$  of  $E_i$  and its dual basis  $(e'_{ij})$  of the dual  $E'_i$  of  $E_i$  and we get a Casimir element  $\omega_i = \sum_j e_{ij} \otimes e'_{ij} \in E_i \otimes E'_i$ . This element is of degree zero and is independent of the choice of the basis.

For each oriented edge  $\alpha$  in  $D$  denote by  $V(\alpha)$  the module  $L$  if  $\alpha$  is not contained in  $\Gamma$  and  $E_i$  (resp.  $E'_i$ ) if  $\alpha$  is contained in the component  $\Gamma_i$  of  $\Gamma$  with a compatible (resp. not compatible) orientation. If  $\alpha$  is an oriented edge in  $D$  denote by  $W(\alpha)$  the module  $V(\alpha) \otimes V(-\alpha)$  where  $-\alpha$  is the edge  $\alpha$  equipped with the opposite orientation.

Let  $a$  be an edge in  $D$ . Take an orientation of  $a$  compatible with the orientation of  $\Gamma$  if  $a$  is contained in  $\Gamma$ . Denote also by  $\omega(a)$  the Casimir element  $\omega$  if  $a$  is not contained in  $\Gamma$  and the element  $\omega_i$  if  $a$  is contained in  $\Gamma_i$ . This element belongs to the module  $W(a)$  and is independent on the orientation of  $a$ . If a numbering of the set of edges is chosen the tensor product  $W = \otimes_a W(a)$  is well defined and the element  $\Omega = \otimes_a \omega(a)$  is a well-defined element in  $W$ .

Let  $x$  be a 3-valent vertex in  $D$ . There are three oriented edges  $\alpha$ ,  $\beta$  and  $\gamma$  ending at  $x$  (the ordering  $(\alpha, \beta, \gamma)$  is chosen to be compatible with the cyclic ordering given at  $x$  and, if  $x$  is in  $\Gamma$ ,  $\alpha$  is supposed to be outside of  $\Gamma$ ).



Then we get a module  $H(x) = V(\alpha) \otimes V(\beta) \otimes V(\gamma)$ . If a numbering of the set of 3-valent vertices of  $D$  is chosen, the module  $\otimes_x H(x)$  is well defined. We can permute (in the super sense) the big tensor product  $W$  and we get an isomorphism  $\varphi$  from  $W$  to the module:

$$H = L^{\otimes n} \otimes \otimes_x H(x)$$

and  $\varphi(\Omega)$  is an element of  $H$ .

Suppose that  $x$  is not contained in  $\Gamma$ . Then the rule  $u \otimes v \otimes w \mapsto \langle [u, v], w \rangle$  induces a map  $f_x$  from  $H(x)$  to  $K$ . If  $x$  is in  $\Gamma$  the rule  $u \otimes e \otimes f \mapsto (-1)^{\partial^{\circ} f \partial^{\circ} (u \otimes e)} f(ue)$  is a map  $f_x$  from  $H(x)$  to  $K$ . Hence the image of  $\varphi(\Omega)$  under the tensor product of all  $f_x$  is an element  $\Phi_L(D) \in L^{\otimes n}$ . Since elements  $w$  and  $w_i$  and maps  $f_x$  are of degree zero, this element does not depend on these numberings.

Since the map  $u \otimes v \otimes w \mapsto \langle [u, v], w \rangle$  from  $L \otimes L \otimes L$  to  $K$  is totally antisymmetric (in the super sense),  $\Phi_L(D)$  is multiplied by  $-1$  if one cyclic ordering is changed in  $D$ . Moreover, the Jacobi identity and the property of the  $L$ -action on modules  $E_i$  imply that the correspondence  $D \mapsto \Phi_L(D)$  is compatible with the IHX relation. Therefore this correspondence induces a well-defined linear map  $\Phi_L$  from  $\mathcal{A}(\Gamma, X)$  to  $L^{\otimes n}$ .

**Definition.** A Lie superalgebra  $L$  over a field  $K$  will be called quasisimple if it satisfies the two conditions:

- $L$  is not abelian
- every endomorphism of  $L$  of degree 0 is the multiplication by a scalar.

**Remark.** Every simple Lie superalgebra is quasisimple but the converse is not true.

**Lemma.** A quasisimple quadratic Lie superalgebra has a trivial center and a surjective Lie bracket.

**Proof.** Let  $L$  be a quasisimple quadratic Lie superalgebra over a field  $K$ . Let  $f$  be a morphism from  $L$  to  $K$ . By duality we get a morphism  $g$  from  $K$  to  $L$ . The composite  $g \circ f$  is an endomorphism of  $L$  and there is a scalar  $\lambda \in K$  such that:  $g \circ f = \lambda \text{Id}$ .

Suppose  $f \neq 0$ . Then  $f$  is surjective,  $g$  is injective,  $g \circ f$  is not trivial and  $\lambda \neq 0$ . Therefore  $g \circ f$  is bijective and  $f$  is bijective also. But that is impossible because  $L$  is not abelian.

Then every morphism from  $L$  to  $K$  is zero and (by duality) every morphism from  $K$  to  $L$  is zero too. The result follows.  $\square$

**Theorem 6.1.** Let  $K$  be a field and a  $k$ -algebra and  $L$  be a quasisimple quadratic Lie superalgebra over  $K$ . Then there is a well-defined character  $\chi_L : \Lambda_k \rightarrow K$  such that:

for every closed oriented curve  $\Gamma$  colored by  $L$ -representations and every finite set  $X$ , the map  $\Phi_L$  satisfies the following property:

$$\forall \alpha \in \Lambda_k, \forall u \in \mathcal{A}^s(\Gamma, X), \quad \Phi_L(\alpha u) = \chi_L(\alpha) \Phi_L(u).$$

Let  $A$  be a  $k$ -subalgebra of  $K$ . Suppose  $K$  is the fraction field of  $A$  and  $A$  is a unique factorization domain. Suppose also that  $L$  contains a finitely generated  $A$ -submodule  $L_A$  such that the Lie bracket and its dual are defined on  $L_A$ . Then  $\chi_L$  takes values in  $A$ .

**Proof.** First of all, it is possible to extend the map  $\Phi_L$  to a functor between two categories  $\text{Diag}(L)$  and  $\mathcal{C}(L)$ . The objects of these categories are the sets  $[p]$ ,  $p \geq 0$ . For  $p, q \geq 0$  the set of morphisms in  $\mathcal{C}(L)$  from  $[p]$  to  $[q]$  is the set of  $L$ -linear homomorphisms from  $L^{\otimes p}$  to  $L^{\otimes q}$ , and the set of morphisms in  $\text{Diag}(L)$  from  $[p]$  to  $[q]$  is the  $k$ -module generated by the isomorphism classes of  $(\Gamma, [p] \cup [q])$ -diagrams where  $\Gamma$  is any  $L$ -colored oriented curve and where the relations are the AS and IHX relations.

These two categories are monoidal and  $\text{Diag}(L)$  contains  $\Delta_k$  as a subcategory. Moreover  $\text{Diag}(L)$  is generated (as a monoidal category) by the following morphisms:



The last morphism is a morphism in  $\text{Diag}(L)$  from  $[p]$  to  $[0]$  depending on an integer  $p \geq 0$  and a  $L$ -representation  $E$ .

The map  $\Phi_L$  associates to each  $L$ -colored  $(\Gamma, [p] \cup [q])$ -diagram  $D$  an element  $\Phi_L(K)$  in  $L^{\otimes p} \otimes L^{\otimes q}$ . But  $L^{\otimes p}$  is isomorphic to its dual and  $\Phi_L(K)$  may be seen as a linear map from  $L^{\otimes p}$  to  $L^{\otimes q}$ .

It is not difficult to see that the image under  $\Phi_L$  of the generators above are:

- the inner form from  $L^{\otimes 2}$  to  $L^{\otimes 0} = K$ ,
- the Casimir element consider as a morphism from  $K = L^{\otimes 0}$  to  $L^{\otimes 2}$ ,

- the Lie bracket from  $L^{\otimes 2}$  to  $L$ ,
  - the dual of the Lie bracket (the Lie cobracket) from  $L$  to  $L^{\otimes 2}$ ,
  - the map  $x \otimes y \mapsto (-1)^{\partial^0 x \partial^0 y} y \otimes x$  from  $L^{\otimes 2}$  to itself,
  - the map  $x_1 \otimes \cdots \otimes x_p \mapsto \tau_E(x_1 \dots x_p)$  from  $L^{\otimes p}$  to  $L^{\otimes 0} = K$ ,
- where  $\tau_E(x_1 \dots x_p)$  is the supertrace of the endomorphism  $x_1 \dots x_p$  of  $E$ .

All these maps are  $L$ -linear. Therefore  $\Phi_L$  induces a functor still denoted by  $\Phi_L$  from  $\text{Diag}(L)$  to the category  $\mathcal{C}(L)$ .

Let  $\Gamma$  be a  $L$ -colored oriented curve and  $X = [n]$  be a finite set. Consider an element  $\alpha \in \Lambda_k$  and an element  $u \in \mathcal{A}^s(\Gamma, X)$  represented by a  $(\Gamma, X)$ -diagram  $D$ . Take a 3-valent vertex  $x$  in  $D$  and a bijection from  $[3]$  to the set of edges ending at  $x$ . By taking off a neighborhood of  $x$  in  $D$ , we get a diagram  $H$  inducing a morphism  $v$  in  $\text{Diag}(L)$  from  $[3]$  to  $[n]$ .

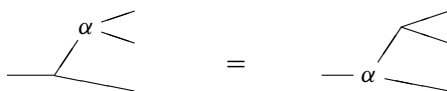
On the other hand,  $\alpha$  induces a morphism  $\beta$  in  $\text{Diag}(L)$  from  $[0]$  to  $[3]$ , and  $1 \in \Lambda$  induces an element  $\beta_0$  from  $[0]$  to  $[3]$ . Let  $\tilde{u}$  and  $\tilde{\alpha}u$  be the morphisms from  $[0]$  to  $[n]$  induced by  $u$  and  $\alpha u$ . We have:

$$\tilde{u} = v \circ \beta_0, \quad \tilde{\alpha}u = v \circ \beta.$$

Hence:

$$\Phi_L(\tilde{u}) = \Phi_L(v) \circ \Phi_L(\beta_0), \quad \Phi_L(\tilde{\alpha}u) = \Phi_L(v) \circ \Phi_L(\beta).$$

The elements  $\alpha \in \Lambda_k$  and  $1 \in \Lambda_k$  also induce morphisms  $\gamma$  and  $\gamma_0$  from  $[2]$  to  $[1]$ . Denote by  $\varphi$  and  $\varphi_0$  the morphisms  $\Phi_L(\gamma)$  and  $\Phi_L(\gamma_0)$ . The morphism  $\varphi_0$  is the Lie bracket and  $\varphi$  is  $L$ -linear and antisymmetric. Since  $\alpha$  belongs to  $\Lambda_k$ , we have the following:



and, for every  $x, y, z$  in  $L$ , we have:  $[\varphi(x \otimes y), z] = \varphi([x, y] \otimes z)$ .

Denote by  $u \mapsto [u]$  the Lie bracket from  $L^{\otimes 2}$  to  $L$ . For every  $u \in L^{\otimes 2}$  and every  $z \in L$  we have:  $[\varphi(u), z] = \varphi([u] \otimes z)$ .

Suppose  $[u] = 0$  then  $[\varphi(u), z]$  vanishes for every  $z \in L$  and  $\varphi(u)$  lies in the center of  $L$ . Since this center is trivial,  $\varphi(u)$  is trivial too. Therefore  $\varphi(u)$  depends only on the image  $[u]$  of  $u$ . Since the Lie bracket is surjective, there is a unique morphism  $\psi$  from  $L$  to  $L$  such that:

$$\forall u \in L^{\otimes 2}, \quad \varphi(u) = \psi([u])$$

and there is a unique  $\lambda \in K$  such that:

$$\forall u \in L^{\otimes 2}, \quad \varphi(u) = \lambda[u]$$

and we have:

$$\Phi_L(\beta) = \lambda \Phi_L(\beta_0), \quad \Phi_L(\tilde{\alpha}u) = \lambda \Phi_L(\tilde{u}), \quad \Phi_L(\alpha u) = \lambda \Phi_L(u).$$

Now it is easy to see that  $\alpha \mapsto \lambda$  is a character depending only on  $L$  and the Casimir element  $\Omega$ .

Suppose now that  $L$  contains a finitely generated  $A$ -submodule  $L_A$  such that the Lie bracket and the Lie cobracket (the dual of the Lie bracket) are defined on  $L_A$ . Let  $\alpha$  be an element in  $\Lambda_k$  represented by a  $(\emptyset, [3])$ -diagram  $D$  and  $u \in K$  be its image under  $\chi_L$ . Because this diagram is connected there exists a continuous map  $f$  from  $D$  to  $[0, 1]$  such that:

- $f(1) = f(2) = 0$ ,  $f(3) = 1$
- $f$  is affine and injective on each edge of  $D$
- $f$  is injective on the set of 3-valent vertices of  $D$
- $f$  has no local extremum.

Such a map can be constructed by induction on the number of edges of  $D$ . Using this map, the map from  $[2]$  to  $[1]$  represented by  $D$  can be described by composition, tensor product, Lie bracket and Lie cobracket and we have:

$$\forall x, y \in L_A, \quad u[x, y] \in L_A.$$

Let  $w$  be a nonzero element in the image of the Lie bracket  $L_A \otimes L_A \longrightarrow L_A$ . By applying the formula above for each power of  $\alpha$ , we get:

$$\forall p \geq 0, \quad u^p w \in L_A.$$

Since  $L_A$  is finitely generated the  $A$ -submodule of  $K$  generated by the powers of  $u$  is also finitely generated. Then  $u$  lies in the integral closure of  $A$  in  $K$ . Since  $A$  is a unique factorization domain,  $A$  is integrally closed and  $u$  belongs to  $A$ . Therefore  $\chi_L(\alpha)$  lies in  $A$  for every  $\alpha \in \Lambda_k$ .  $\square$

**Remark.** If every endomorphism of  $L$  is the multiplication by a scalar, every invariant bilinear form of  $L$  is a multiple of the given inner form. If we divide the inner form par some  $c \in K$ , we multiply the Casimir element  $\Omega$  by  $c$  and for every  $\alpha \in \Lambda_k$  of degree  $n$ ,  $\chi_L(\alpha)$  is multiplied by  $c^n$ .

**Proposition 6.2.** Let  $L$  be the Lie algebra  $sl_2$  (defined over  $K$ ). Then the functor  $\Phi_L$  satisfies the following properties:

$$\Phi_L \left( \begin{array}{c} \diagup \quad \diagdown \\ \diagdown \quad \diagup \end{array} \right) = \Phi_L t \left( \begin{array}{c} \frown \\ \smile \end{array} - \begin{array}{c} \diagup \quad \diagdown \\ \diagdown \quad \diagup \end{array} \right) \quad \Phi_L \bigcirc = 3$$

Moreover there is a unique graded algebra homomorphism  $\chi_{sl_2}$  from  $\Lambda_k$  to  $k[t]$  sending  $t$  to  $t$  and each  $\omega_n$  to 0 such that the character  $\chi_L$  is the composite:

$$\Lambda_k \xrightarrow{\chi_{sl_2}} k[t] \xrightarrow{\gamma} K$$

where  $\gamma$  is a  $k$ -algebra homomorphism. If the inner form on  $L$  send  $\alpha \otimes \beta$  to the trace of  $\alpha\beta$ ,  $\gamma$  sends  $t$  to 2.

**Proof.** Since  $L$  is defined over  $\mathbf{Q}$  it is enough to consider the case  $k = K = \mathbf{Q}$ . Set:

$$U = \begin{array}{c} \diagup \quad \diagdown \\ \diagdown \quad \diagup \end{array} - t \begin{array}{c} \frown \\ \smile \end{array} + t \begin{array}{c} \diagup \quad \diagdown \\ \diagdown \quad \diagup \end{array}$$

The element  $\Phi_L(U)$  is a map from  $L^{\otimes 2} = \Lambda^2(L) \oplus S^2(L)$  to itself. Since  $U$  is antisymmetric on the source and the target,  $\Phi_L(U)$  is trivial on  $S^2(L)$  and its image is contained in  $\Lambda^2(L)$ . Since  $L$  is 3-dimensional, the Lie bracket  $\Lambda^2(L) \rightarrow L$  is bijective. But  $U$  composed with this bracket is zero. Therefore  $U$  is killed by  $\Phi_L$ .

The fact that  $\Phi_L$  sends the circle to 3 come from the fact that  $L$  is 3-dimensional.

Denote by  $\equiv$  the following relation:

$$a \equiv b \iff \Phi_L(a) = \Phi_L(b)$$

So we have:

$$\begin{array}{c} \diagup \quad \diagdown \\ \diagdown \quad \diagup \end{array} \equiv t \begin{array}{c} \frown \\ \smile \end{array} - t \begin{array}{c} \diagup \quad \diagdown \\ \diagdown \quad \diagup \end{array} \quad \text{and} \quad \bigcirc \equiv 3$$

and it is easy to see by induction that every element  $\alpha$  in  $\Lambda_k$  is equivalent to some polynomial  $P(t) \in k[t]$ . Let  $c$  be the scalar  $\chi_L(t)$ . Then we have:  $\Phi_L(\alpha) = P(c)$ . If  $\alpha$  is homogeneous of degree  $n$ , we have:  $P(t) = at^n$  and:  $\Phi_L(\alpha) = ac^n$ . Then  $P(t)$  is completely determined by  $\Phi_L(\alpha)$ . Therefore  $\alpha \mapsto P(t)$  is a well-defined algebra homomorphism  $\chi_{sl_2}$  from  $\Lambda_k$  to  $k[t]$  such that  $\chi_L$  is the composite  $\gamma \circ \chi_{sl_2}$  where  $\gamma$  sends  $t$  to  $c$ . If the inner form is  $\alpha \otimes \beta \mapsto \tau(\alpha\beta)$ , we have  $c = 2$  and  $\gamma$  sends  $t$  to 2.

A direct computation gives the following:

$$\begin{array}{c} \diagup \quad \diagdown \\ \diagdown \quad \diagup \end{array} \equiv \frac{2t^2}{3} \left( \begin{array}{c} \frown \\ \smile \end{array} + \begin{array}{c} \diagup \quad \diagdown \\ \diagdown \quad \diagup \end{array} \right)$$

$$\begin{array}{c} \diagup \quad \diagdown \\ \bullet \\ \diagdown \quad \diagup \end{array} \equiv 0.$$

Then by induction we get the following for every  $p \geq 0$ :

$$\begin{array}{c} \diagup \quad \diagdown \\ \bullet \\ \diagdown \quad \diagup \end{array} \equiv 0, \quad \begin{array}{c} \diagup \quad \diagdown \\ \bullet \\ \diagdown \quad \diagup \end{array} \equiv 0, \quad \omega_p \equiv 0.$$

Therefore each  $\omega_p$  is killed by  $\chi_{sl_2}$  and that finishes the proof.  $\square$

Let  $L$  be a quasisimple quadratic Lie superalgebra over a field  $K$ . Let  $X$  be the kernel of the Lie bracket:  $\Lambda^2(L) \rightarrow L$  and  $Y$  be the quotient of  $S^2(L)$  by the Casimir element  $\Omega$  of  $L$ . So we have exact sequences of  $L$ -modules:

$$\begin{aligned} 0 &\rightarrow X \rightarrow \Lambda^2(L) \rightarrow L \rightarrow 0 \\ 0 &\rightarrow K\Omega \rightarrow S^2(L) \rightarrow Y \rightarrow 0 \end{aligned}$$

Let  $\psi_L$  be the endomorphism of  $L^{\otimes 2}$  represented by the diagram:

$$\begin{array}{c} \diagup \quad \diagdown \\ \diagdown \quad \diagup \end{array}$$

Since this diagram is symmetric,  $\psi_L$  respects the decomposition:  $L^{\otimes 2} = S^2(L) \oplus \Lambda^2(L)$ . But  $\psi_L$  respects the exact sequences also and  $\psi_L$  acts on  $X$  and  $Y$ . If  $\alpha$  is a eigenvalue of  $\psi_L$  acting on  $Y$ , the corresponding eigenspace will be denoted by  $Y_\alpha$ .

**Theorem 6.3.** Let  $L$  be a quasisimple quadratic Lie superalgebra over a field  $K$  which is not  $sl_2$ . Let  $\Omega$ ,  $X$ ,  $Y$  and  $\Psi_L$  defined as above. Let  $P$  be the minimal polynomial of  $\Psi_L$  acting on  $Y$ .

Suppose the following conditions hold:

- 6 is invertible in  $K$ ,
- $\Psi_L$  acts bijectively on  $Y$ ,
- $\chi_L$  is nontrivial on some  $\omega_p$  or  $\partial^\circ P \leq 3$ .

Then the degree of  $P$  is 2 or 3 and there exist three elements  $t, \sigma, \omega$  in  $K$  such that:

- $\chi_L(t) = t, \forall p \geq 0, \chi_L(\omega_p) = \omega \sigma^p$ ,
- $\Psi_L$  is the multiplication by 0,  $t$  and  $2t$  on  $X, \Lambda^2(L)/X \simeq L$  and  $K\Omega$ ,
- for every  $p \geq 0$  we have the following:

$$\begin{aligned}
 (1) \quad & \Phi_L \left( \begin{array}{c} \diagup \quad \diagdown \\ \bullet \end{array} \right) = \sigma^p \Phi_L \left( \begin{array}{c} \diagup \quad \diagdown \\ \bullet \end{array} \right) \quad \Phi_L \left( \begin{array}{c} \diagup \quad \diagdown \\ \bullet \end{array} \right) = \sigma^p \Phi_L \left( \begin{array}{c} \diagup \quad \diagdown \\ \bullet \end{array} \right) \\
 (2) \quad & \Phi_L \left( \begin{array}{c} \diagup \quad \diagdown \\ \bullet \end{array} \right) = \sigma \Phi_L \left( \begin{array}{c} \diagup \quad \diagdown \\ \diagup \quad \diagdown \end{array} \right) + (\omega - t\sigma) \Phi_L \left( \begin{array}{c} \diagup \quad \diagdown \\ \diagdown \quad \diagup \end{array} \right) \\
 (3) \quad & \Phi_L \left( \begin{array}{c} \diagup \quad \diagdown \\ \bullet \end{array} \right) = \sigma \Phi_L \left( \begin{array}{c} \diagup \quad \diagdown \\ \diagup \quad \diagdown \end{array} \right) + (\omega - t\sigma) \frac{2t}{3} \Phi_L \left( \begin{array}{c} \diagup \quad \diagdown \\ \diagdown \quad \diagup \end{array} \right)
 \end{aligned}$$

If  $P$  is of degree 2 (exceptional case),  $P$  has 2 nonzero roots  $\alpha$  and  $\beta$  in some algebraic extension of  $K$  and we have:

$$t = 3(\alpha + \beta), \quad \sigma = (4\alpha + 5\beta)(4\beta + 5\alpha), \quad \omega = 5(\alpha + \beta)(3\alpha + 4\beta)(3\beta + 4\alpha)$$

$$\text{sdim}(L) = -2 \frac{(5\alpha + 6\beta)(5\beta + 6\alpha)}{\alpha\beta}$$

$$\text{sdim}(X) = 5 \frac{(4\alpha + \beta)(4\beta + \alpha)(5\alpha + 6\beta)(5\beta + 6\alpha)}{\alpha^2\beta^2}$$

$$\alpha \neq \beta \implies \text{sdim}(Y_\alpha) = -90 \frac{(\alpha + \beta)^2(6\alpha + 5\beta)(3\alpha + 4\beta)}{\alpha^2\beta(\alpha - \beta)}.$$

If  $P$  is of degree 3 (regular case),  $P$  has 3 nonzero roots  $\alpha, \beta, \gamma$  in some algebraic extension of  $K$  and we have:

$$t = \alpha + \beta + \gamma, \quad \sigma = \alpha\beta + \beta\gamma + \gamma\alpha + 2t^2, \quad \omega = (t + \alpha)(t + \beta)(t + \gamma)$$

$$\text{sdim}(L) = - \frac{(2t - \alpha)(2t - \beta)(2t - \gamma)}{\alpha\beta\gamma}$$

$$\text{sdim}(X) = \frac{\omega(2t - \alpha)(2t - \beta)(2t - \gamma)}{\alpha^2\beta^2\gamma^2}$$

$$\alpha \neq \beta, \gamma \implies \text{sdim}(Y_\alpha) = \frac{t(2t - \beta)(2t - \gamma)(t + \beta)(t + \gamma)(2t - 3\alpha)}{\alpha^2\beta\gamma(\alpha - \beta)(\alpha - \gamma)}.$$

**Remark.** In the exceptional case, we may add formally a new root  $\gamma = 2t/3$  and a trivial corresponding eigenspace  $Y_\gamma$ . Then the formulas of the superdimensions are exactly the same in the exceptional case or the regular case except that  $\gamma$  is possibly equal to 0.

**Proof.** Set:  $\omega = \chi_L(\omega_0)$  and consider the following endomorphisms in  $L^{\otimes 2}$ :

$$\begin{aligned}
 \varepsilon &= \Phi_L \left( \begin{array}{c} \diagup \quad \diagdown \\ \diagdown \quad \diagup \end{array} \right) \\
 u &= \Phi_L \left( 2 \begin{array}{c} \diagup \quad \diagdown \\ \diagup \quad \diagdown \end{array} - \begin{array}{c} \diagup \quad \diagdown \\ \diagdown \quad \diagup \end{array} \right) \\
 f &= \Phi_L \left( \begin{array}{c} \diagup \quad \diagdown \\ \diagdown \quad \diagup \end{array} \right) \\
 e &= \Phi_L \left( \begin{array}{c} \diagup \quad \diagdown \\ \diagdown \quad \diagup \end{array} + \begin{array}{c} \diagup \quad \diagdown \\ \diagdown \quad \diagup \end{array} \right) \\
 v &= \Phi_L \left( 2 \begin{array}{c} \diagup \quad \diagdown \\ \bullet \end{array} - \begin{array}{c} \diagup \quad \diagdown \\ \bullet \end{array} \right) \\
 g &= \Phi_L \left( \begin{array}{c} \diagup \quad \diagdown \\ \bullet \end{array} \right)
 \end{aligned}$$

These endomorphisms act on  $S^2(L)$  and act trivially on  $\Lambda^2(L)$ .



**The degree of  $P$ :**

Suppose  $\chi_L(\omega_p) \neq 0$ . We have:

$$\chi_L(\omega_p^2) = \chi_L(\omega_0 \omega_{2p}) = \omega \chi_L(\omega_{2p}) \neq 0 \implies \omega \neq 0.$$

So we can set:

$$\sigma = \frac{\chi_L(\omega_1)}{\omega}$$

and we have for every  $p > 0$ :

$$\omega^{p-1} \chi_L(\omega_p) = \chi_L(\omega_0^{p-1} \omega_p) = \chi_L(\omega_1^p) = \omega^p \sigma^p \implies \chi_L(\omega_p) = \sigma^p \omega.$$

Because of [Theorem 5.7](#), we have also:

$$\omega \Phi_L \begin{array}{c} \diagup \quad \diagdown \\ \bullet \quad p \\ \diagdown \quad \diagup \end{array} = \Phi_L \omega_0 \begin{array}{c} \diagup \quad \diagdown \\ \bullet \quad p \\ \diagdown \quad \diagup \end{array} = \Phi_L \omega_p \begin{array}{c} \diagup \quad \diagdown \\ \bullet \quad 0 \\ \diagdown \quad \diagup \end{array} = \omega \sigma^p \Phi_L \begin{array}{c} \diagup \quad \diagdown \\ \bullet \quad 0 \\ \diagdown \quad \diagup \end{array}$$

and this implies:

$$\Phi_L \begin{array}{c} \diagup \quad \diagdown \\ \bullet \quad p \\ \diagdown \quad \diagup \end{array} = \sigma^p \Phi_L \begin{array}{c} \diagup \quad \diagdown \\ \bullet \quad 0 \\ \diagdown \quad \diagup \end{array}$$

Similarly we get for every  $p \geq 0$ :

$$\Phi_L \begin{array}{c} \diagup \quad \diagdown \\ \bullet \quad p \\ \diagdown \quad \diagup \end{array} = \sigma^p \Phi_L \begin{array}{c} \diagup \quad \diagdown \\ \bullet \quad 0 \\ \diagdown \quad \diagup \end{array} \quad \Phi_L \begin{array}{c} \diagdown \quad \diagup \\ \bullet \quad p \\ \diagup \quad \diagdown \end{array} = \sigma^p \Phi_L \begin{array}{c} \diagdown \quad \diagup \\ \bullet \quad 0 \\ \diagup \quad \diagdown \end{array}$$

and formulas (1) are proven in this case.

Let  $E$  be the vector space formally generated by  $e, \varepsilon, u, v, f$  and  $g$ . Because of [Proposition 5.5](#) the operator  $\Psi_L$  induces an action  $\psi$  on  $E$  defined by:

$$\psi(\varepsilon) = 2t\varepsilon$$

$$\psi(e) = u$$

$$\psi(u) = \frac{t}{3}u + 2f$$

$$\psi(v) = -\omega u + \frac{4t}{3}v + 2g$$

$$\psi(f) = \frac{10t^2}{9}u - \frac{1}{2}v + \frac{2t}{3}f$$

$$\psi(g) = \frac{2t\omega}{3}u + \left(\frac{4t^2}{9} - \frac{\sigma}{2}\right)v + \frac{2t}{3}g.$$

It is easy to see that  $\psi$  vanishes on the following element in  $E$ :

$$U = g - \sigma f - \frac{t}{3}(v - \sigma u) - t(\omega - t\sigma)e.$$

Since  $\Psi_L$  acts bijectively on  $S^2(L)/K\Omega$ ,  $U$  induces the trivial endomorphism of  $S^2(L)/K\Omega$  and there exists an element  $\lambda \in K$  such that the following holds in  $\text{End}(L^{\otimes 2})$  (or in  $\text{Hom}(L^{\otimes 4}, K)$ ):

$$g - \sigma f - \frac{t}{3}(v - \sigma u) - t(\omega - t\sigma)e = \lambda\varepsilon.$$

The group  $\mathfrak{S}_4$  acts on this equality and the invariant part of it is:

$$g = \sigma f + \left(\frac{2t}{3}(\omega - t\sigma) + \frac{\lambda}{3}\right)(e + \varepsilon).$$

Hence we have also:

$$t(v - \sigma u) = (t(\omega - t\sigma) - \lambda)(2\varepsilon - e).$$

By making a quarter of a turn and composing with the Lie bracket, we get:

$$t(3\omega - 3t\sigma) = 3(t(\omega - t\sigma) - \lambda)$$

which implies:  $\lambda = 0$  and we get Formula (3):

$$g = \sigma f + \frac{2t}{3}(\omega - t\sigma)(e + \varepsilon)$$

and also the following:

$$t(v - \sigma u) = t(\omega - t\sigma)(2\varepsilon - e).$$

Let  $E'$  be the quotient of  $E$  by these two relations. It is easy to see that  $\psi$  vanishes on  $v - \sigma u - (\omega - t\sigma)(2\varepsilon - e) \in E'$ .

For the same reason as above, there is an element  $\mu \in K$  such that:

$$v - \sigma u - (\omega - t\sigma)(2\varepsilon - e) = \mu\varepsilon.$$

By making a quarter of a turn and composing with the Lie bracket, we get:

$$3\omega - 3t\sigma - (\omega - t\sigma)3 = \mu.$$

Hence  $\mu$  is zero and we get the formula (2).

Denote by  $\varphi$  the endomorphism of  $Y$  induced by  $\Psi_L$ . In this endomorphism algebra we have:

$$\varepsilon = 0 \quad e = 2$$

$$u = 2\varphi \quad f = \varphi^2 - \frac{t}{3}\varphi$$

$$v = 2\left(\frac{20t^2}{9}\varphi - \varphi \circ f + \frac{2t}{3}f\right) = 2(-\varphi^3 + t\varphi^2 + 2t^2\varphi).$$

The relation  $v = \sigma u + (\omega - t\sigma)(2\varepsilon - e)$  implies:

$$2(-\varphi^3 + t\varphi^2 + 2t^2\varphi) = 2\sigma\varphi - 2(\omega - t\sigma)$$

and then:

$$\varphi^3 - t\varphi^2 + (\sigma - 2t^2)\varphi - (\omega - t\sigma) = 0.$$

The minimal polynomial  $P$  of  $\varphi$  is then a divisor of the polynomial  $Q(X) = X^3 - tX^2 + (\sigma - 2t^2)X - (\omega - t\sigma)$ . Since  $L$  is quasisimple  $Y$  is nonzero and the degree of  $P$  is 1, 2 or 3.

Therefore in any case the degree of  $P$  is 1, 2 or 3.

Suppose  $\partial^\circ P = 1$ . Let  $\alpha$  be the root of  $P$ . Then the endomorphism  $v - \alpha e$  of  $L^{\otimes 2}$  has its image contained in  $K\Omega$  and there is some  $\lambda \in K$  such that the following holds in  $\text{End}(L^{\otimes 2})$  (or in  $\text{Hom}(L^{\otimes 4}, K)$ ):

$$v = \alpha e + \lambda\varepsilon.$$

The group  $\mathfrak{S}_4$  acts on this equality and the invariant part of this equality is:

$$0 = \left(\frac{2\alpha}{3} + \frac{\lambda}{3}\right)(e + \varepsilon).$$

Then we get:  $\lambda = -2\alpha$ .

By making a quarter of a turn and composing with the projection:  $L^{\otimes 2} \longrightarrow \Lambda^2(L) \subset L^{\otimes 2}$  we get the equality:

$$\frac{3}{2}\phi_L \begin{array}{c} \diagup \quad \diagdown \\ \diagdown \quad \diagup \end{array} = -\frac{3\alpha}{2}\phi_L \left( \begin{array}{c} \diagup \quad \diagdown \\ \diagup \quad \diagdown \end{array} - \begin{array}{c} \diagdown \quad \diagup \\ \diagdown \quad \diagup \end{array} \right)$$

Since  $\Psi_L$  acts bijectively on  $Y$ ,  $\alpha$  is not zero and  $\Lambda^2(L)$  is contained in the image of the cobracket. Therefore the Lie bracket is bijective from  $\Lambda^2(L)$  to  $L$ . But that is impossible because  $L$  is not isomorphic to  $sl_2$ . Therefore the degree of  $P$  is 2 or 3.

#### The exceptional case:

Suppose:  $\partial^\circ P = 2$  and denote by  $\alpha$  and  $\beta$  the roots of  $P$ . Since  $\Psi_L$  acts bijectively on  $Y$ ,  $\alpha$  and  $\beta$  are not zero. The endomorphism  $(v - \alpha e)(v - \beta e)$  is trivial on  $Y$  and  $\Lambda^2(L)$ . Then its image is contained in  $K\Omega$  and there exists  $\mu \in K$  such that:

$$(v - \alpha e)(v - \beta e) = \mu\varepsilon.$$

So we get:

$$4f + 2\left(\frac{t}{3} - \alpha - \beta\right)v + 2\alpha\beta e = \mu\varepsilon.$$

By taking the invariant part of this equation (under  $\mathfrak{S}_4$ ) we get:

$$4f + \frac{4\alpha\beta}{3}(e + \varepsilon) = \frac{h}{3}(e + \varepsilon)$$

and then:

$$2\left(\frac{t}{3} - \alpha - \beta\right)v = \frac{2\alpha\beta + \mu}{3}(2\varepsilon - e).$$

Since  $L$  is not  $sl_2$ ,  $v$  and  $2\varepsilon - e$  are linearly independent and we get:

$$t = 3(\alpha + \beta) \quad \mu = -2\alpha\beta$$

$$f = -\frac{\alpha\beta}{2}(e + \varepsilon).$$

By applying  $\Psi_L$  to this equality we get:

$$\begin{aligned} -\frac{\alpha\beta}{2}(u + 2t\varepsilon) &= -\frac{\alpha\beta t}{3}(e + \varepsilon) + \frac{10t^2}{9}u - \frac{v}{2} \\ \implies v &= (4\alpha + 5\beta)(4\beta + 5\alpha)u + 2\alpha\beta(\alpha + \beta)(2\varepsilon - e) \end{aligned}$$

and that implies in any case the formula (2) with:  $\sigma = (4\alpha + 5\beta)(4\beta + 5\alpha)$  and  $\omega = 5(\alpha + \beta)(3\alpha + 4\beta)(3\beta + 4\alpha)$ . If  $\omega = 0$  we still have:  $\chi_L(\omega_p) = \omega\sigma^p$  and formulas (1) and (3) are consequences of (2).

Let  $d$  be the superdimension of  $L$  and  $\tau$  be the supertrace operator. Since  $\Psi_L$  acts by multiplication by 0,  $t$  and  $2t$  on  $X$ ,  $L$  and  $K\Omega$ , we have:

$$\begin{aligned} \tau(\varphi^0) &= \frac{d(d+1)}{2} - 1 = \frac{(d-1)(d+2)}{2} \\ \tau(\Psi_L) &= td + 2t + \tau(\varphi) \\ \tau(\Psi_L^2) &= t^2d + 4t^2 + \tau(\varphi^2) \\ \tau(\Psi_L^3) &= t^3d + 8t^3 + \tau(\varphi^3). \end{aligned}$$

Using a simple graphical calculus, we get:

$$\begin{aligned} \tau(\Psi_L) &= \Phi_L \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} = 0 \\ \tau(\Psi_L^2) &= \Phi_L \begin{array}{c} \text{---} \\ | \\ \text{---} \\ | \\ \text{---} \end{array} = 4t^2d \\ \tau(\Psi_L^3) &= \Phi_L \begin{array}{c} \text{---} \\ | \\ \text{---} \\ | \\ \text{---} \\ | \\ \text{---} \end{array} = 2t^3d. \end{aligned}$$

Hence we have:

$$\begin{aligned} \tau(\varphi^0) &= \frac{(d-1)(d+2)}{2} \\ \tau(\varphi) &= -t(d+2) \\ \tau(\varphi^2) &= t^2(3d-4) \\ \tau(\varphi^3) &= t^3(d-8). \end{aligned}$$

Since  $\varphi$  has  $\alpha$  and  $\beta$  as eigenvalues, we get:

$$\begin{aligned} t^2(3d-4) + t(\alpha + \beta)(d+2) + \frac{(d-1)(d+2)}{2}\alpha\beta &= 0 \\ t^3(d-8) - (\alpha + \beta)t^2(3d-4) - t(d+2)\alpha\beta &= 0 \end{aligned}$$

and that implies the following:

$$\begin{aligned} (\alpha + \beta)(60(\alpha + \beta)^2 + (d+2)\alpha\beta) &= 0 \\ (d-1)(60(\alpha + \beta)^2 + (d+2)\alpha\beta) &= 0. \end{aligned}$$

Suppose  $t = 0$ . Since  $(\Psi_L - \alpha)(\Psi_L - \beta)$  vanishes on  $Y$ , there exists  $\mu \in K$  such that:

$$\begin{aligned} (\Psi_L - \alpha)(u - \beta e) &= 2\mu\varepsilon \\ \implies \left(\frac{t}{3} - \alpha - \beta\right)u + 2f &= \alpha\beta e + 2\mu\varepsilon. \end{aligned}$$

Since the left hand side of this equation is invariant under  $\mathfrak{S}_4$ , it is the same for the other side and we get:

$$2f = \alpha\beta(e + \varepsilon).$$

By composing with the inner product, we get:  $d + 2 = 0$ . Therefore  $d - 1$  is nonzero and we have in any case:

$$60(\alpha + \beta)^2 + (d + 2)\alpha\beta = 0.$$

Then it is not difficult to compute the superdimensions of  $L$  and  $X$  and we get the desired formula.

Suppose  $\alpha \neq \beta$ . Denote by  $d_\alpha$  and  $d_\beta$  the superdimensions of eigenspaces  $Y_\alpha$  and  $Y_\beta$ . We have:

$$\begin{aligned} d_\alpha + d_\beta &= \frac{(d-1)(d+2)}{2} \\ \alpha d_\alpha + \beta d_\beta &= -t(d+2) \end{aligned}$$

and  $d_\alpha$  and  $d_\beta$  can be computed easily.

**The regular case:**

Consider now the regular case:  $P$  is of degree 3 and has 3 nonzero roots  $\alpha, \beta, \gamma$ .

Since  $(\Psi_L - \alpha)(\Psi_L - \beta)(\Psi_L - \gamma)$  acts trivially on  $Y$ , there exists  $\mu \in K$  such that:

$$(\Psi_L - \alpha)(\Psi_L - \beta)(u - \gamma e) = 2\mu\varepsilon.$$

After reduction we get:

$$\left( \frac{7t^2}{3} - \frac{t}{3}(\alpha + \beta + \gamma) + \alpha\beta + \beta\gamma + \gamma\alpha \right) u + 2(t - \alpha - \beta - \gamma)f - v = \alpha\beta\gamma e + 2\mu\varepsilon.$$

The invariant part of this formula is:

$$2(t - \alpha - \beta - \gamma)f = \frac{2}{3}(\alpha\beta\gamma + \mu)(e + \varepsilon).$$

Since the minimal polynomial of  $\varphi$  has degree 3,  $f$  is not a multiple of  $e + \varepsilon$ . Hence we get:

$$\alpha + \beta + \gamma = t \quad \mu = -\alpha\beta\gamma$$

and also:

$$(2t^2 + \alpha\beta + \beta\gamma + \gamma\alpha)u - v = \alpha\beta\gamma(e - 2\varepsilon).$$

If  $\omega$  is not zero,  $P$  is equal to  $Q$  and we have:

$$\alpha\beta + \beta\gamma + \gamma\alpha = \sigma - 2t^2 \quad \alpha\beta\gamma = \omega - t\sigma.$$

Otherwise we can set:  $\sigma = \alpha\beta + \beta\gamma + \gamma\alpha + 2t^2$  and we have:

$$v = \sigma u + \alpha\beta\gamma(2\varepsilon - e)$$

and then:

$$\begin{array}{c} 0 \\ \bullet \\ \diagup \quad \diagdown \end{array} \equiv \sigma \begin{array}{c} \diagup \quad \diagdown \\ \diagdown \quad \diagup \end{array} + \alpha\beta\gamma \left( \begin{array}{c} \frown \quad \smile \\ \smile \quad \frown \end{array} - \begin{array}{c} \diagup \quad \diagdown \\ \diagdown \quad \diagup \end{array} \right)$$

By applying the Lie bracket, we get:  $0 = 2\omega = 2t\sigma + 2\alpha\beta\gamma$ . In this case we have:  $\alpha\beta\gamma = \omega - t\sigma$  and the formula (2) follows. As above formulas (1) and (3) are easy to check.

In any case  $t, \sigma, \omega$  can be expressed in term of  $\alpha, \beta, \gamma$ . As above we get the following:

$$\begin{aligned} \tau(\varphi^0) &= \frac{(d-1)(d+2)}{2} \\ \tau(\varphi) &= -t(d+2) \\ \tau(\varphi^2) &= t^2(3d-4) \\ \tau(\varphi^3) &= t^3(d-8). \end{aligned}$$

Since  $\varphi$  has  $\alpha, \beta, \gamma$  as eigenvalues, we get:

$$\begin{aligned} t^3(d-8) - t^3(3d-4) - t(\sigma - 2t^2)(d+2) - \frac{(d-1)(d+2)}{2}\alpha\beta\gamma &= 0 \\ \implies (d+2)(\alpha\beta\gamma d + (2t-\alpha)(2t-\beta)(2t-\gamma)) &= 0. \end{aligned}$$

Let  $F$  be the endomorphism of  $L^{\otimes 2}$  represented by the diagram:



Because of the formula (2),  $F$  acts by  $2\omega$  on  $L$  and by  $2(\omega - t\sigma)$  on  $X$ . It is trivial on  $S^2(L)$ . Therefore we get:

$$0 = \tau(F) = 2\omega d + 2(\omega - t\sigma) \frac{d(d-3)}{2} \implies \omega d(d-1) = t\sigma d(d-3).$$

Suppose  $d = -2$ . Then we have:

$$3\omega = 5t\sigma$$

and this implies:

$$\begin{aligned} -\frac{(2t-\alpha)(2t-\beta)(2t-\gamma)}{\alpha\beta\gamma} &= -\frac{4t^3 + 2t(\alpha\beta + \beta\gamma + \gamma\alpha) - \alpha\beta\gamma}{\alpha\beta\gamma} \\ &= -2 + \frac{3\alpha\beta\gamma - 2t\sigma}{\alpha\beta\gamma} = -2 + \frac{3\omega - 5t\sigma}{\alpha\beta\gamma} = -2. \end{aligned}$$

Therefore in any case we have:

$$\alpha\beta\gamma d + (2t-\alpha)(2t-\beta)(2t-\gamma) = 0$$

and  $d$  and the superdimension of  $X$  are easy to compute.

If  $\alpha$  is different from  $\beta$  and  $\gamma$ , we have the following (with  $d_\alpha = \text{sdim} Y_\alpha$ ):

$$\begin{aligned} (\alpha - \beta)(\alpha - \gamma)d_\alpha &= \tau(\varphi^2 - (\beta + \gamma)\varphi + \beta\gamma\varphi^0) \\ &= t^2(3d - 4) + t(d + 2)(\beta + \gamma) + \frac{(d-1)(d+2)}{2}\beta\gamma \end{aligned}$$

and that gives the value of  $d_\alpha$ .  $\square$

## 7. The eight characters

### 7.1. The $gl$ case

Let  $E$  be a supermodule of superdimension  $m$ . Take a homogeneous basis  $\{e_i\}$  of  $E$  and denote by  $\{e_{ij}\}$  the corresponding basis of  $gl(E)$ . Let  $sl(E) \subset gl(E)$  be the Lie superalgebra of endomorphisms of  $E$  with zero supertrace. The map sending  $\alpha \otimes \beta \in gl(E) \otimes gl(E)$  to the supertrace of  $\alpha \circ \beta$  is a nonsingular invariant bilinear form on  $gl(E)$  and  $gl(E)$  is a quadratic Lie superalgebra. If  $m$  is invertible,  $sl(E)$  is also a quadratic Lie superalgebra. If  $m = 0$ , the inner form is singular on  $sl(E)$ , but the quotient of  $sl(E)$  by its center is a quadratic Lie superalgebra  $psl(E)$ .

If the coefficient ring is a field  $K$ , we have the following:

- suppose  $m$  is invertible in  $K$  and  $\dim(E) > 1$ . Then  $sl(E)$  is quasisimple and the character  $\chi_{sl(E)}$  is well defined.
- suppose  $m = 0$  and  $\dim(E) > 2$ . Then  $psl(E)$  is quasisimple and the character  $\chi_{psl(E)}$  is well defined.

**Theorem 7.2.** Let  $\mathbb{Z}[t, u]$  be the polynomial algebra generated by variables  $t$  and  $u$  of degree 1 and 2 respectively. For each  $m \in \mathbb{Z}$ , denote by  $\gamma_m$  the ring homomorphism sending  $t$  to  $m$  and  $u$  to 1. Then there exists a unique graded algebra homomorphism  $\chi_{gl}$  from  $\Lambda_{\mathbb{Z}}$  to  $\mathbb{Z}[t, u]$  such that the following hold for every super vector space  $E$  of superdimension  $m$  over a field  $K$ :

- for every closed oriented curve  $\Gamma$  colored by  $gl(E)$ -representations, and every finite set  $X$ , we have:

$$\forall \alpha \in \Lambda_k, \forall u \in \mathcal{A}_{\mathbb{Z}}(\Gamma, X), \quad \Phi_{gl(E)}(\alpha u) = \gamma_m \circ \chi_{gl}(\alpha) \Phi_{gl(E)}(u)$$

- if  $m$  is invertible in  $K$  and  $\dim(E) > 1$ ,  $\chi_{sl(E)}$  is the composite  $\gamma_m \circ \chi_{gl}$
- if  $m = 0$  and  $\dim(E) > 2$ ,  $\chi_{psl(E)}$  is the composite  $\gamma_0 \circ \chi_{gl}$ .

Moreover  $\chi_{gl}$  satisfies the following:

$$\chi_{gl}(t) = t \quad \text{and} \quad \forall p \geq 0, \quad \chi_{gl}(\omega_p) = \omega \sigma^p$$

with:  $\omega = 2t(t^2 - 4u)$  and  $\sigma = 2(t^2 - 2u)$ .

**Proof.** Let  $E$  be a finite-dimensional free  $\mathbf{Z}$ -supermodule of superdimension  $m$ . Let  $\{e_i\}$  be a homogeneous basis of  $E$  and  $\{e_{ij}\}$  be the corresponding basis of  $L = gl(E)$ . The Casimir element of  $L$  is:

$$\Omega = \sum_{ij} (-1)^{\partial e_i} e_{ij} \otimes e_{ji}.$$

Since the inner product of  $x$  and  $y$  in  $L$  is  $\langle x, y \rangle = \tau_E(xy)$  we have the following:

$$\Phi_L(\text{loop with arrow } E) = \Phi_L(\text{cup})$$

Moreover, it is not difficult to show the following:

$$-\Phi_L(\text{cross with } E) = \Phi_L(\text{X-cross})$$

Whence:

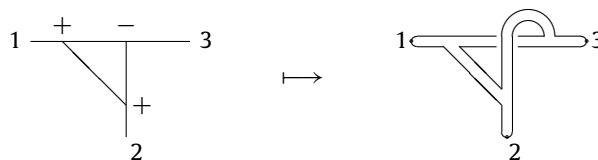
$$\Phi_L(\text{vertical line}) = \Phi_L(\text{cup and cap})$$

and we get:

$$\begin{aligned} \Phi_L(\text{3-valent vertex}) &= \Phi_L(\text{horizontal line}) - \Phi_L(\text{diagonal line}) \\ &= \Phi_L(\text{cup}) - \Phi_L(\text{X-cross}) = \Phi_L(\text{vertical line}) - \Phi_L(\text{cup and cap}) \end{aligned}$$

Therefore, to compute the image by  $\Phi_L$  of a  $(\emptyset, [n])$ -diagram  $D$ , we may proceed as follows:

Let  $S(D)$  be the set of functions  $\alpha$  from the set of 3-valent vertices of  $D$  to  $\{\pm 1\}$ . For every  $\alpha \in S(D)$  denote by  $\varepsilon(\alpha)$  the product of all  $\alpha(x)$ . If  $\alpha \in S(D)$  is given we may construct a thickening of  $D$  by using the given cyclic ordering of edges ending at a 3-valent vertex  $x$  if  $\alpha(x) = 1$  and the other one if not, and we get an oriented surface  $\Sigma_\alpha(D)$  equipped with  $n$  numbered points in its boundary.



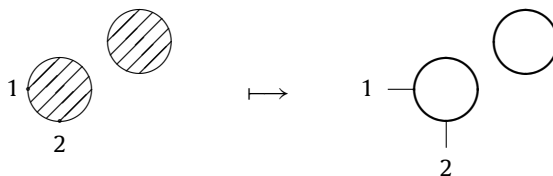
Denote by  $S_n$  the set of isomorphism classes of oriented connected surfaces equipped with  $n$  numbered points in its boundary. Under the connected sum,  $S = S_0$  is a monoid and acts on  $S_n$ . This monoid is a graded commutative monoid freely generated by the disk  $D$  of degree 1 and the torus  $T$  of degree 2. The set  $S_n$  is a graded  $S$ -set with  $\dim H_1$  as degree. Let  $\mathbf{Z}[S_n]$  and  $\mathbf{Z}[S]$  be the free modules generated by  $S_n$  and  $S$ . They are graded modules, and  $\mathbf{Z}[S]$  is a polynomial algebra acting on  $\mathbf{Z}[S_n]$ .

If  $D$  is connected, the sum

$$s(D) = \sum_{\alpha} \varepsilon(\alpha) \Sigma_{\alpha}(D)$$

lies in  $\mathbf{Z}[S_n]$ . It is easy to check that  $s$  is compatible with AS and IHX relations and induces a well-defined graded homomorphism from  $F_{\mathbf{Z}}(n)$  to  $\mathbf{Z}[S_n]$ . Moreover, this homomorphism is  $\Lambda_{\mathbf{Z}}[S]$ -linear with respect to a character  $\chi$  from  $\Lambda_{\mathbf{Z}}$  to  $\mathbf{Z}[S] = \mathbf{Z}[D, T]$ .

On the other hand, for each  $\Sigma \in S_n$  we have a diagram  $\partial(\Sigma)$  in  $\mathcal{D}(\Gamma, [n])$  where  $\Gamma$  is colored by  $E$ :  $\partial(\Sigma)$  is the boundary of  $\Sigma$  colored by  $E$  with intervals added near each marked point:



We can extend  $\partial$  linearly and for every  $\Sigma \in \mathbf{Z}[S_n]$ ,  $\Phi_L(\partial(\Sigma))$  is well defined in  $L^{\otimes n}$ . Moreover we have:

$$\Phi_L(D) = \sum_{\alpha} \varepsilon(\alpha) \Phi_L(\partial \Sigma_{\alpha}(D)) = \Phi_L(\partial s(D)).$$

Hence for  $a \in \Lambda_{\mathbf{Z}}$ , we have:

$$\begin{aligned} \Phi_L(aD) &= \Phi_L(\partial s(aD)) = \Phi_L(\partial \chi(a)s(D)) = \Phi_L(\chi(a)\partial s(D)) \\ &= \gamma_m(\chi(a))\Phi_L(\partial s(D)) = \gamma_m(\chi(a))\Phi_L(D) \end{aligned}$$

and the first part of the theorem is proven in the case  $\Gamma = \emptyset$  (with  $\chi_{gl} = \chi$ ). The general case follows.

Suppose now  $E$  is a super vector space of dimension  $> 1$  over a field  $K$ .

If  $m$  is invertible in  $K$ ,  $sl(E)$  is quasisimple and  $gl(E)$  is semisimple:  $gl(E) = sl(E) \oplus K$ . Since  $\Phi_K$  is trivial, we have:

$$\begin{aligned} \Phi_{sl(E)}(aD) &= \Phi_{gl(E)}(aD) = \gamma_m(\chi_{gl}(a))\Phi_{gl(E)}(D) = \gamma_m(\chi_{gl}(a))\Phi_{sl(E)}(D) \\ &\implies \chi_{sl(E)} = \gamma_m \circ \chi_{gl}. \end{aligned}$$

Suppose now:  $m = 0$  and  $\dim(E) > 2$ . In this case  $psl(E)$  is quasisimple. Since the Lie bracket on  $gl(E)$  takes values in  $sl(E)$ ,  $\Phi_{gl(E)}(D)$  lies in  $sl(E)^{\otimes n}$  and for every  $a \in \Lambda_{\mathbf{Z}}$  the equality

$$\Phi_{gl(E)}(aD) = \gamma_m(\chi_{gl}(a))\Phi_{gl(E)}(D)$$

holds in  $sl(E)^{\otimes n}$ . Hence in the quotient  $psl(E)^{\otimes n}$  we have:

$$\Phi_{psl(E)}(aD) = \gamma_m(\chi_{gl}(a))\Phi_{psl(E)}(D)$$

and we get:

$$\chi_{psl(E)} = \gamma_0 \circ \chi_{gl}.$$

In order to prove the last part of the theorem, it is enough to determine  $\chi_{sl(E)}(\omega_p)$  for  $K = \mathbf{Q}$  and for infinitely many values of  $m$ . Suppose now  $m > 2$  and  $E$  has no odd part. Then  $L = sl(E)$  is the classical Lie algebra  $sl_m$ . The morphism  $\Psi = \psi_L$  from  $L^{\otimes 2}$  to itself is the morphism:

$$x \otimes y \mapsto \sum_{ij} [x, e_{ij}] \otimes [e_{ji}, y]$$

and because of Theorem 6.3 we have to determine eigenvalues of  $\Psi$  acting on  $Y = S^2(L)/\Omega$ .

Denote by  $\tau$  the trace operator. Let  $f : L^{\otimes 2} \rightarrow L$  be the following morphism:

$$f : x \otimes y \mapsto xy + yx - \frac{2}{m} \tau(xy) \text{Id}.$$

Since  $m > 2$ ,  $f$  is surjective and  $L$ -linear. We have:

$$\begin{aligned} f\Psi(x \otimes y) &= \sum_{ij} ((xe_{ij} - e_{ij}x)(e_{ji}y - ye_{ji}) + (e_{ji}y - ye_{ji})(xe_{ij} - e_{ij}x)) - \sum_{ij} \frac{2}{m} \tau((xe_{ij} - e_{ij}x)(e_{ji}y - ye_{ji})) \\ &= mxy + \tau(xy) + myx + \tau(yx) - \frac{2}{m} \tau(mxy + \tau(xy)) = mxy + myx - 2\tau(xy) = mf(x \otimes y). \end{aligned}$$

The map  $f$  factorizes through  $Y$  and there is an exact sequence:

$$0 \longrightarrow Z \longrightarrow Y \longrightarrow L \longrightarrow 0$$

compatible with the action of  $\Psi$  and  $\Psi$  induces the multiplication by  $m$  on  $L$ .

The module  $Z$  can be seen as a submodule of  $L^{\otimes 2}$  and the morphisms sending  $x \otimes y$  to  $xy, yx, x \otimes y - y \otimes x$  are trivial on  $Z$ . If  $z$  lies in  $L$ , denote by  $z_{ij}$  the entries of  $z$ . We have:

$$\begin{aligned}\Psi(x \otimes y) &= \sum (x_{ki}e_{kj} - x_{jk}e_{ik}) \otimes (y_{il}e_{jl} - y_{lj}e_{li}) \\ &= \sum (xy)_{kl}e_{kj} \otimes e_{jl} + (yx)_{lk}e_{ik} \otimes e_{li} - x_{ki}e_{kj} \otimes y_{lj}e_{li} - y_{il}e_{ik} \otimes x_{jk}e_{jl}.\end{aligned}$$

Therefore the morphism  $\Psi$  is equal on  $Z$  to the morphism  $\Psi'$  defined by:

$$\Psi'(x \otimes y) = -2 \sum x e_{ij} \otimes y e_{ji}$$

and we have:

$$\Psi'^2(x \otimes y) = 4 \sum x e_{ij} e_{kl} \otimes y e_{ji} e_{lk} = 4x \otimes y.$$

Therefore the minimal polynomial of  $\Psi$  acting on  $Y$  is of degree three with roots  $m, 2, -2$ . Then Theorem 6.3 implies the following:

$$\chi_L(t) = m \quad \forall p \geq 0, \quad \chi_L(\omega_p) = 2m(m+2)(m-2)(2m^2-4)^p$$

and that finishes the proof.  $\square$

### 7.3. The osp case

Let  $E$  be a supermodule of superdimension  $m$  equipped with a supersymmetric nonsingular bilinear form  $\langle \cdot, \cdot \rangle$  of degree zero. We will say that  $E$  is a quadratic supermodule. For every endomorphism  $\alpha$  of  $E$ , we have a endomorphism  $\alpha^*$  defined by:

$$\forall x, y \in E \quad \langle \alpha^*(x), y \rangle = (-1)^{pq} \langle x, \alpha(y) \rangle$$

where  $p$  is the degree of  $x$  and  $q$  is the degree of  $\alpha$ . An endomorphism  $\alpha$  is *antisymmetric* if  $\alpha^* = -\alpha$ . Let  $L = osp(E)$  be the Lie superalgebra of antisymmetric endomorphisms of  $E$ . The superdimension of  $L$  is  $d = m(m-1)/2$ . With the same notation as before, a Casimir element of  $L$  is:

$$\Omega = \frac{1}{2} \sum_{i,j} (-1)^{\partial^0 e_j} (e_{ij} - e_{ij}^*) \otimes (e_{ji} - e_{ji}^*)$$

and with this Casimir element,  $t = m - 2$ . The bilinear form corresponding to  $\Omega$  is half the supertrace of the product and  $L$  is a quadratic Lie superalgebra.

If  $\dim(E) = \text{sdim}(E) < 3$ ,  $L$  is abelian. Otherwise  $L$  is quasimple.

**Theorem 7.4.** Let  $\mathbf{Z}[t, v]$  be the polynomial algebra generated by variables  $t$  and  $v$  of degree 1. Then there exists a unique graded algebra homomorphism  $\chi_{osp}$  from  $\Lambda_{\mathbf{Z}}$  to  $\mathbf{Z}[t, v]$  such that:

– for every quadratic super vector space  $E$  with  $\dim(E) > 2$  or  $\text{sdim}(E) = -2$ ,  $\chi_{osp(E)}$  is the composite  $\gamma \circ \chi_{osp}$ , where  $\gamma$  is the ring homomorphism sending  $t$  to  $\text{sdim}(E) - 2$  and  $v$  to 1.

Moreover  $\chi_{osp}$  satisfies the following:

$$\chi_{osp}(t) = t \quad \text{and} \quad \forall p \geq 0, \quad \chi_{osp}(\omega_p) = \omega \sigma^p$$

with:  $\omega = 2(t-v)(t-2v)(t+4v)$  and  $\sigma = 2(t-2v)(t+3v)$ .

**Proof.** Let  $E$  be a quadratic super vector space and  $L$  be the Lie superalgebra  $osp(E)$ . Let  $D$  be a  $L$ -colored diagram. If we change the orientation of a component colored by  $E$ ,  $\Phi_L(D)$  is unchanged. Therefore we may consider in  $D$  unoriented components colored by  $E$ . On the other hand it is easy to see the following:

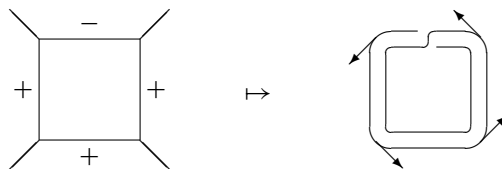
$$\begin{aligned}\Phi_L(\text{cap})^E &= \Phi_L(\text{cup}) \\ \Phi_L(\text{cross})^E &= \Phi_L(\text{cup}) - \Phi_L(\text{cross})\end{aligned}$$

Therefore, in order to compute the image under  $\Phi_L$  of a  $(\emptyset, [n])$ -diagram  $D$ , we may proceed as follows:

Let  $S(D)$  be the set of functions from the set of edges of  $D$  having no 1-valent boundary point to the set  $\{\pm 1\}$ . For every  $\alpha \in S(D)$  denote by  $\varepsilon(\alpha)$  the product of all  $\alpha(a)$ . If  $\alpha \in S(D)$  is given we may construct a thickening of  $D$  by using the given cyclic ordering of edges ending at each 3-valent vertex and making a half-twist near every edge  $a$  with negative  $\alpha(a)$ .



So we get an unoriented surface  $\Sigma_\alpha(D)$  equipped with  $n$  numbered points in its boundary and a local orientation of  $\partial \Sigma_\alpha(D)$  at each of these points.



Denote by  $US_n$  the set of isomorphism classes of connected surfaces  $\Sigma$  equipped with  $n$  numbered points in its boundary and an orientation of  $\partial \Sigma$  at each of these points. Under the connected sum,  $US = US_0$  is a monoid and acts on  $US_n$ . This monoid is a graded commutative monoid generated by the disk  $D$ , the projective plane  $P$  and the torus  $T$  and the only relation is:  $PT = P^3$ .

Let  $\mathbf{Z}(US_n)$  be the  $\mathbf{Z}$ -module generated by the elements of  $US_n$  with the following relations:

If  $\Sigma'$  is obtained from  $\Sigma$  by changing the local orientation near one point,  $\Sigma + \Sigma'$  is trivial in  $\mathbf{Z}(US_n)$ .

Then  $\mathbf{Z}[US]$  is a commutative algebra and  $\mathbf{Z}(US_n)$  is a graded  $\mathbf{Z}[US]$ -module.

If  $D$  is connected, the sum  $s(D) = \sum_\alpha \varepsilon(\alpha) \Sigma_\alpha(D)$  lies in  $\mathbf{Z}[US_n]$ . It is easy to check that  $s$  is compatible with AS and IHX relations and induces a well-defined graded homomorphism from  $F_{\mathbf{Z}}(n)$  to  $\mathbf{Z}[US_n]$ . Moreover this homomorphism is  $\Lambda_{\mathbf{Z}}[US]$ -linear with respect to a character  $\chi$  from  $\Lambda_{\mathbf{Z}}$  to  $\mathbf{Z}[US] = \mathbf{Z}[D, P, T]/(PT - P^3)$ .

On the other hand, we have a map  $\partial$  from  $US_n$  and  $\mathbf{Z}(US_n)$  to  $F_{\mathbf{Z}}(n)$  by sending each surface  $\Sigma$  with numbered points in  $\partial \Sigma$  to the boundary  $\partial \Sigma$  colored by  $E$  with intervals added near each marked point. If  $D$  is a diagram,  $\Phi_L(D)$  is equal to the sum  $\sum_\alpha \varepsilon(\alpha) \Phi_L(\partial \Sigma_\alpha(D)) = \Phi_L(\partial s(D))$ . Therefore if  $u$  is an element of  $\Lambda_{\mathbf{Z}}$ , we have  $\chi_L(u) = \chi_L(\partial \chi(u))$ . Since  $\chi_L \circ \partial$  is a ring homomorphism sending  $D$  to  $m = \text{sdim } E$  and  $P$  and  $T$  to 1, the character  $\chi_L$  factorizes through  $\mathbf{Z}[D, P] = \mathbf{Z}[US]/(T - P^2)$  and the first part of the theorem is proven (with  $t = D - 2P$ ,  $v = P$ ).

In order to prove the last part of the theorem, it is enough to consider the case where  $E$  is a classical vector space over  $\mathbf{Q}$  of large dimension  $m$ . Then the second symmetric power  $S^2(L)$  decomposes into four simple  $L$ -modules  $E_0, E_1, E_2, E_3$  of dimensions 1,  $(m-1)(m+2)/2$ ,  $m(m-1)(m-2)(m-3)/4!$ ,  $m(m+1)(m+2)(m-3)/12$ . Therefore we have the decomposition:  $Y = E_1 \oplus E_2 \oplus E_3$ . Moreover the Casimir homomorphism acts on  $E_1, E_2, E_3$  by multiplication by  $2m, 4m-16, 4m-4$ . On the other hand, this homomorphism is equal to  $4t - 2\psi_L$ . Therefore  $\psi_L$  acts on  $E_1, E_2, E_3$  by multiplication by  $m-4, 4, -2$ .

The last part of the proof is a straightforward consequence of Theorem 6.3.  $\square$

**Remark.** The use of surfaces in the  $gl$ - and  $osp$ -cases was introduced in a slightly different way by Bar-Natan to produce weight functions [1].

### 7.5. The exceptional case

Consider a quasisimple quadratic Lie superalgebra  $L$  over a field  $K$  of characteristic 0. This Lie superalgebra  $L$  is said to be exceptional if it satisfies the following condition:

– the square of the Casimir generates in degree 4 the center of the enveloping algebra  $\mathcal{U}$  of  $L$ .

Exceptional Lie algebras  $E_6, E_7, E_8, F_4, G_2$  satisfy this property. But it is also the case for  $sl_2, sl_3, osp(E)$  with  $\text{sdim}(E) = 2$  or 8,  $psl(E)$  with  $\text{sdim}(E) = 0$  and the exceptional Lie superalgebras  $G(3)$  and  $F(4)$ .

Consider the following elements in  $F_K(4)$ :

$$u = \Phi_L \left( \begin{array}{c} \diagup \quad \diagdown \\ \diagdown \quad \diagup \end{array} \right) \quad v = \Phi_L \left( \begin{array}{c} \diagup \quad \diagdown \\ \diagup \quad \diagdown \end{array} \right) \quad \left( \begin{array}{c} \diagup \quad \diagdown \\ \diagdown \quad \diagup \end{array} \right) + \left( \begin{array}{c} \diagdown \quad \diagup \\ \diagup \quad \diagdown \end{array} \right)$$

These elements are invariants elements in  $S^4(L)$ . But the condition satisfied by  $L$  implies that the invariant part of  $S^4(L)$  is generated by  $v$ . Therefore  $u$  is a multiple of  $v$  and the homomorphism  $\psi_L$  has only two eigenvalues on  $S^2(L)/\Omega$ . Hence we may apply Theorem 6.3 in the exceptional case and we get:

**Theorem 7.6.** Let  $L$  be an exceptional quasisimple quadratic Lie superalgebra over a field  $K$  of characteristic zero. Then there exist  $\sigma$  and  $\omega$  in  $K$  and two elements  $\alpha$  and  $\beta$  in some extension of  $K$  such that:

$$\begin{aligned} t &= 3(\alpha + \beta) & \sigma &= (4\alpha + 5\beta)(5\alpha + 4\beta) & \omega &= 5(\alpha + \beta)(3\alpha + 4\beta)(4\alpha + 3\beta) \\ \chi_L(t) &= t & \forall p \geq 0, & \chi_L(\omega_p) &= \omega \sigma^p \\ \text{sdim}(L) &= -2 \frac{(5\alpha + 6\beta)(6\alpha + 5\beta)}{\alpha\beta} \end{aligned}$$

$$\Phi_L \left( \begin{array}{c} \diagup \quad \diagdown \\ \diagdown \quad \diagup \end{array} \right) = -\frac{\alpha\beta}{2} \Phi_L \left( \begin{array}{c} \diagup \quad \diagdown \\ \diagup \quad \diagdown \end{array} \right) \quad \left( \begin{array}{c} \diagup \quad \diagdown \\ \diagdown \quad \diagup \end{array} \right) + \left( \begin{array}{c} \diagdown \quad \diagup \\ \diagup \quad \diagdown \end{array} \right)$$

$$\Phi_L \begin{array}{c} \diagup \quad \diagdown \\ \bullet \\ \diagdown \quad \diagup \end{array} = (3\alpha + 4\beta)(4\alpha + 3\beta)\Phi_L \begin{array}{c} \diagup \quad \diagdown \\ \diagdown \quad \diagup \end{array}$$

**Remark.** In this theorem, we may consider the Casimir  $\Omega$ , and then  $\alpha$  and  $\beta$  up to a scalar. So  $\alpha$  and  $\beta$  may be considered as degree 1 variables related by some linear relation.

Case by case we get the following:

$L$	$\text{sdim}(L)$	$\alpha/\beta$	$\sigma$	$\omega$
$E_6$	78	$-3$	$\frac{77}{36}t^2$	$\frac{25}{12}t^3$
$E_7$	133	$-4$	$\frac{176}{81}t^2$	$\frac{520}{243}t^3$
$E_8$	248	$-6$	$\frac{494}{225}t^2$	$\frac{98}{45}t^3$
$F_4$	52	$-5/2$	$\frac{170}{81}t^2$	$\frac{480}{243}t^3$
$G_2$	14	$-5/3$	$\frac{65}{36}t^2$	$\frac{55}{36}t^3$
$sl_2, G(3)$	3	$-4/3$	$\frac{8}{9}t^2$	0
$sl_3, F(4)$	8	$-3/2$	$\frac{14}{9}t^2$	$\frac{10}{9}t^3$
$osp(8)$	28	$-2$	$2t^2$	$\frac{50}{27}t^3$
$osp(2)$	1	$-5/4$	0	$-\frac{40}{27}t^3$
$psl(E)$	$-2$	$-1$		0

In this table,  $osp(n)$  means any quasisimple Lie superalgebra  $osp(E)$  where  $E$  is a quadratic supermodule with  $\text{sdim}(E) = n$ .

In the case  $sl_2$  or  $G(3)$  or  $psl(E)$  (with  $\text{sdim}(E) = 0$ ), the induced character kills every  $\omega_p$  and the value of  $\sigma$  is useless. In the case  $psl(E)$ , the character is determined by any nonabelian  $gl(F)$ . Then  $\chi_{psl(E)}$  is determined by  $gl(1|1)$ . But every double bracket  $[[x, y], z]$  vanishes in this Lie superalgebra. Therefore  $\Phi_{gl(1|1)}$  is trivial on  $\Lambda$  in positive degree and the character  $\chi_{psl(E)}$  is the trivial character.

**Remark.** The characters  $\chi_{G(3)}$  and  $\chi_{F(4)}$  are equal to  $\chi_{sl_2}$  and  $\chi_{sl_3}$  on the algebra generated by  $t$  and the  $\omega_p$ 's. These characters are actually equal to  $\chi_{sl_2}$  and  $\chi_{sl_3}$  on  $\Lambda$ . This result was proven by Patureau-Mirand [18].

**Conjecture.** Let  $R$  be the subalgebra  $\mathbf{Q}[\alpha + \beta, \alpha\beta]$  of  $\mathbf{Q}[\alpha, \beta]$  where  $\alpha$  and  $\beta$  are two formal parameters of degree 1. Then there exists a unique graded algebra homomorphism  $\chi_{\text{exc}}$  from  $\Lambda$  to  $R$  such that:

$$\chi_{\text{exc}}(t) = 3(\alpha + \beta)$$

$$\forall p \geq 0, \quad \chi_{\text{exc}}(\omega_p) = 5(\alpha + \beta)(3\alpha + 4\beta)(4\alpha + 3\beta)(4\alpha + 5\beta)^p(5\alpha + 4\beta)^p.$$

**Remark.** This conjecture is actually equivalent to a conjecture of Deligne [6]. If Deligne's conjecture is true, there exists a monoidal category  $\mathcal{C}$  which is linear over an algebra  $\mathbf{Q}(\lambda)$  and looks like the category of representations of some virtual exceptional Lie algebra. It is not difficult to construct a functor from the category  $\Delta$  to  $\mathcal{C}$  and we get an algebra homomorphism from  $\Lambda$  to the coefficient algebra  $\mathbf{Q}(\lambda)$ . But this morphism is equivalent to a graded homomorphism  $\chi$  from  $\Lambda$  to  $R$  and the desired properties of  $\chi$  are easy to check.

Conversely if such a morphism  $\chi$  exists, we get an algebra homomorphism  $\chi'$  from  $\Lambda[d]$  to the localized algebra  $R' = R[\frac{1}{\alpha\beta}]$  by:

$$\chi'(d) = -2 \frac{(5\alpha + 6\beta)(6\alpha + 5\beta)}{\alpha\beta}.$$

Then we may force  $\Lambda[d]$  to act on morphisms in the category  $\Delta$  (and not only on special diagrams). So we get a new category  $\Delta_1$  which is linear over  $\Lambda[d]$ , where  $d$  represents the circle. By tensoring  $\Delta_1$  over  $\Lambda[d]$  by  $R'$ , we get a category  $\Delta_2$  which is linear over  $R'$ . If we kill every morphism  $f : X \rightarrow Y$  in  $\Delta_2$  such that the trace of  $f \circ g$  vanishes for every  $g : Y \rightarrow X$ , we get a category  $\Delta_3$  which satisfies all Deligne properties. Hence we have a positive answer to Deligne's conjecture.

**Remark.** Suppose the conjecture is true. Let  $\lambda$  be any element in  $\Lambda_{\mathbf{Z}}$  and  $P = P(\alpha, \beta)$  be its image under  $\chi_{exc}$ . The expression  $P(\alpha, \beta)$  is known if  $\alpha/\beta$  lies in the set  $E = \{-3, -4, -6, -5/2, -5/3, -4/3, -3/2, -2, -5/4, -1\}$ . Therefore  $P$  is well defined modulo the following polynomial:

$$\Pi = (\alpha + \beta)[1, 2][1, 3][1, 4][1, 6][2, 3][2, 5][3, 4][3, 5][4, 5]$$

with:  $[p, q] = (p\alpha + q\beta)(p\beta + q\alpha)$ .

By looking carefully at each character corresponding to the exceptional Lie algebras we can check that  $P(\alpha, \beta)$  is an integer if  $\alpha/\beta$  or  $\beta/\alpha$  lies in  $E$  and  $\alpha + \beta$  and  $\alpha\beta/2$  are integers. So we have a stronger conjecture:

**Conjecture.** There exists a unique graded algebra homomorphism  $\chi_{exc}$  from  $\Lambda_{\mathbf{Z}}$  to  $\mathbf{Z}[\alpha + \beta, \alpha\beta/2]$  such that:

$$\chi_{exc}(t) = 3(\alpha + \beta)$$

$$\forall p \geq 0, \quad \chi_{exc}(\omega_p) = 5(\alpha + \beta)(3\alpha + 4\beta)(4\alpha + 3\beta)(4\alpha + 5\beta)^p(5\alpha + 4\beta)^p.$$

### 7.7. The super case

There exists an interesting Lie superalgebra depending on a parameter  $\alpha$  called  $D(2, 1, \alpha)$ . This algebra is simple and has a nonsingular bilinear supersymmetric invariant form and a Casimir element. Therefore it produces a character on  $\Lambda$  depending on the parameter  $\alpha$ . Actually this algebra produces a graded character from  $\Lambda_{\mathbf{Z}}$  to a polynomial algebra  $\mathbf{Z}[\sigma_2, \sigma_3]$ .

Consider oriented 2-dimensional free  $\mathbf{Z}$ -modules  $E_1, E_2, E_3$  and denote by  $X$  the module  $E_1 \otimes E_2 \otimes E_3$ . This module  $X$  is a module over the Lie algebra  $L' = sl(E_1) \oplus sl(E_2) \oplus sl(E_3)$ .

Since  $E_i$  is oriented, there is a canonical isomorphism  $x \otimes y \mapsto x \wedge y$  from  $\Lambda^2(E_i)$  to  $\mathbf{Z}$ . On the other hand, we have a map from  $S^2(E_i)$  to  $sl(E_i)$  sending  $x \otimes y$  to the endomorphism  $x \cdot y : z \mapsto x \wedge yz + y \wedge xz$ .

For each  $i \in \{1, 2, 3\}$  take an element  $f_i \in sl(E_i)$  which is congruent to the identity mod 2. Let  $A$  be the polynomial algebra  $\mathbf{Z}[a, b, c]$  divided by the only relation  $a + b + c = 0$ . Then we can define a Lie superalgebra  $L$  over  $A$  by the following:

- the even part  $L_0$  of  $L$  is the  $A$ -submodule of  $A[1/2] \otimes (\oplus_i sl(E_i))$  generated by  $sl(E_1), sl(E_2), sl(E_3)$  and  $(af_1 + bf_2 + cf_3)/2$
- the odd part  $L_1$  of  $L$  is the  $A$ -module  $A \otimes X$
- the Lie bracket on  $L_0 \otimes L_0$  is the standard Lie bracket on  $sl(E_i) \otimes sl(E_i)$  and vanishes on  $sl(E_i) \otimes sl(E_j)$  for  $i \neq j$
- the Lie bracket on  $L_0 \otimes L_1$  is the standard action of  $\oplus_i sl(E_i)$  on  $X$
- the Lie bracket on  $L_1 \otimes L_0$  is the opposite of the standard action of  $\oplus_i sl(E_i)$  on  $X$
- the Lie bracket on  $X \otimes X$  is defined by:

$$[x \otimes y \otimes z, x' \otimes y' \otimes z'] = \frac{1}{2}(a x \wedge x' y \wedge y' z \wedge z' + b x \wedge x' y \cdot y' z \wedge z' + c x \wedge x' y \wedge y' z \cdot z').$$

It is not difficult to see that  $L$  is a Lie superalgebra over  $A$  with superdimension  $9 - 8 = 1$ . The Jacobi relation holds because  $a + b + c = 0$ . If we take a character from  $A$  to  $\mathbf{C}$ , we get a complex Lie superalgebra. Up to isomorphism, this algebra depends only on one parameter  $\alpha$  and is called  $D(2, 1, \alpha)$ . Here this algebra  $L$  will be denoted by  $\tilde{D}(2, 1)$ .

In order to define a Casimir element in  $\tilde{D}(2, 1)$ , we need some notations. Consider for each  $i = 1, 2, 3$  a direct basis  $\{e_{ij}\}$  of  $E_i$  and the dual basis  $\{e'_{ij}\}$  with respect to the form  $\wedge$ :

$$\forall x \in E_i \quad \sum_j e_{ij}(e'_{ij} \wedge x) = \sum_j (x \wedge e_{ij})e'_{ij} = x.$$

For each  $i$ , the trace of the product is an invariant form on  $sl(E_i)$ , and, corresponding to this form, we have a Casimir type element  $\Omega_i = \sum_j e_{ij} \otimes e'_{ij}$ . This element belongs to  $L \otimes L \otimes \mathbf{Z}[1/2]$ , but  $2\omega_i$  lies in  $L \otimes L$ . We have also a Casimir element  $\pi \in X \otimes X$  defined by:

$$\pi = \sum_{ijk} (e_{1i} \otimes e_{2j} \otimes e_{3k}) \otimes (e'_{1i} \otimes e'_{2j} \otimes e'_{3k}).$$

**Lemma 7.7.1.** For each  $i \in \{1, 2, 3\}$  and  $x \in E_i$ , we have the following:

$$\begin{aligned}\sum_j \varepsilon_{ij} \otimes x \cdot \varepsilon'_{ij} &= 2 \sum_j e_{ij}(x) \otimes e'_{ij}, \\ \sum_j x \cdot \varepsilon_{ij} \otimes \varepsilon'_{ij} &= -2 \sum_j e_{ij} \otimes e'_{ij}(x).\end{aligned}$$

**Proof.** Denote by  $\tau$  the trace map. For every  $\alpha \in \text{End}(E_i)$  we have:

$$\begin{aligned}\sum_j \varepsilon_{ij} \tau((x \cdot \varepsilon'_{ij})\alpha) &= \sum_j \varepsilon_{ij}(\varepsilon'_{ij} \wedge \alpha(x)) + \sum_j \varepsilon_{ij}(x \wedge \alpha(\varepsilon'_{ij})) \\ &= \alpha(x) - \sum_j \varepsilon_{ij}(\alpha(x) \wedge \varepsilon'_{ij}) = 2\alpha(x) = 2 \sum_j e_{ij}(x) \tau(e'_{ij}\alpha)\end{aligned}$$

and that gives the first formula. The second one is obtained in the same way.  $\square$

**Lemma 7.7.2.** Let  $K$  be the fraction field of  $A$ . Then  $\tilde{D}(2, 1) \otimes K$  has an invariant bilinear form and the corresponding Casimir element is:

$$\Omega = -a\Omega_1 - b\Omega_2 - c\Omega_3 + \pi.$$

Moreover the cobracket induced by  $\Omega$  sends  $\tilde{D}(2, 1)$  to  $\tilde{D}(2, 1) \otimes \tilde{D}(2, 1)$ .

**Proof.** Let  $x \otimes y \otimes z$  be an element of  $X$ . We have:

$$\begin{aligned}x \otimes y \otimes z(\pi) &= \sum_{ijk} [x \otimes y \otimes z, \varepsilon_{1i} \otimes \varepsilon_{2j} \otimes \varepsilon_{3k}] \otimes (\varepsilon'_{1i} \otimes \varepsilon'_{2j} \otimes \varepsilon'_{3k}) \\ &\quad - \sum_{ijk} (\varepsilon_{1i} \otimes \varepsilon_{2j} \otimes \varepsilon_{3k}) \otimes [x \otimes y \otimes z, \varepsilon'_{1i} \otimes \varepsilon'_{2j} \otimes \varepsilon'_{3k}] \\ &= \frac{1}{2}(aZ_1 + bZ_2 + cZ_3)\end{aligned}$$

with:

$$\begin{aligned}Z_1 &= \sum_{ijk} x \cdot \varepsilon_{1i} y \wedge \varepsilon_{2j} z \wedge \varepsilon_{3k} \otimes (\varepsilon'_{1i} \otimes \varepsilon'_{2j} \otimes \varepsilon'_{3k}) - \sum_{ijk} (\varepsilon_{1i} \otimes \varepsilon_{2j} \otimes \varepsilon_{3k}) \otimes x \cdot \varepsilon'_{1i} y \wedge \varepsilon'_{2j} z \wedge \varepsilon'_{3k} \\ Z_2 &= \sum_{ijk} x \wedge \varepsilon_{1i} y \cdot \varepsilon_{2j} z \wedge \varepsilon_{3k} \otimes (\varepsilon'_{1i} \otimes \varepsilon'_{2j} \otimes \varepsilon'_{3k}) - \sum_{ijk} (\varepsilon_{1i} \otimes \varepsilon_{2j} \otimes \varepsilon_{3k}) \otimes x \wedge \varepsilon'_{1i} y \cdot \varepsilon'_{2j} z \wedge \varepsilon'_{3k} \\ Z_3 &= \sum_{ijk} x \wedge \varepsilon_{1i} y \wedge \varepsilon_{2j} z \cdot \varepsilon_{3k} \otimes (\varepsilon'_{1i} \otimes \varepsilon'_{2j} \otimes \varepsilon'_{3k}) - \sum_{ijk} (\varepsilon_{1i} \otimes \varepsilon_{2j} \otimes \varepsilon_{3k}) \otimes x \wedge \varepsilon'_{1i} y \wedge \varepsilon'_{2j} z \cdot \varepsilon'_{3k}.\end{aligned}$$

Using Lemma 7.7.1,  $Z_1$  is easy to compute:

$$\begin{aligned}Z_1 &= \sum_{ijk} x \cdot \varepsilon_{1i} \otimes (\varepsilon'_{1i} \otimes y \otimes z) - \sum_{ijk} (\varepsilon_{1i} \otimes y \otimes z) \otimes x \cdot \varepsilon'_{1i} \\ &= -2 \sum_{ijk} e_{1i} \otimes (e'_{1i}(x) \otimes y \otimes z) - 2 \sum_{ijk} (e_{1i}(x) \otimes y \otimes z) \otimes e'_{1i} = 2x \otimes y \otimes z(\Omega_1)\end{aligned}$$

and similarly we get:  $Z_2 = 2x \otimes y \otimes z(\Omega_2)$ ,  $Z_3 = 2x \otimes y \otimes z(\Omega_3)$ . Therefore we have:

$$x \otimes y \otimes z(\Omega) = x \otimes y \otimes z(-a\Omega_1 - b\Omega_2 - c\Omega_3) + \frac{1}{2}(aZ_1 + bZ_2 + cZ_3) = 0$$

and  $\Omega$ , which is clearly invariant under the even part of  $\tilde{D}(2, 1)$ , is  $\tilde{D}(2, 1)$ -invariant.

Since  $\Omega$  is symmetric and invariant, it corresponds to an invariant symmetric bilinear form on  $\tilde{D}(2, 1) \otimes K$  which is clearly nonsingular.

It is easy to see the following congruence modulo  $\tilde{D}(2, 1) \otimes \tilde{D}(2, 1)$ :

$$\Omega \equiv \frac{1}{2}(af_1 \otimes f_1 + bf_2 \otimes f_2 + cf_3 \otimes f_3)$$

and the cobracket takes values in  $\tilde{D}(2, 1) \otimes \tilde{D}(2, 1)$ .  $\square$

**Theorem 7.8.** Let  $\mathbf{Z}[\sigma_2, \sigma_3]$  be the graded subalgebra of  $\tilde{A} = \mathbf{Z}[a, b, c]/(a+b+c)$  generated by  $\sigma_2 = ab+bc+ca$  of degree 2 and  $\sigma_3 = abc$  of degree 3. Then the character  $\chi_{sup}$  induced by  $\tilde{D}(2, 1)$  equipped with the Casimir  $\Omega$  is a graded algebra homomorphism from  $\Lambda_{\mathbf{Z}}$  to  $\mathbf{Z}[\sigma_2, \sigma_3]$ .

Moreover  $\chi_{sup}$  satisfies the following:

$$\chi_{sup}(t) = 0 \quad \text{and} \quad \forall p \geq 0, \quad \chi_{sup}(\omega_p) = \omega \sigma^p$$

with:  $\sigma = 4\sigma_2, \omega = 8\sigma_3$ .

**Proof.** Since  $A$  is a unique factorization domain, we can apply Theorem 6.1 and the character induces by  $\tilde{D}(2, 1)$  is an algebra homomorphism  $\chi_{sup}$  from  $\Lambda_{\mathbf{Z}}$  to  $A = \mathbf{Z}[a, b, c]/(a+b+c)$ . There is an action of  $\mathfrak{S}_3$  on  $\tilde{D}(2, 1)$ . This action permutes the modules  $E_i$  and the coefficients  $a, b, c$ . Therefore  $\chi_{sup}$  takes values in the fixed part of  $A$  under the action of  $\mathfrak{S}_3$  and  $\chi_{sup}$  is an algebra homomorphism from  $\Lambda_{\mathbf{Z}}$  to  $\mathbf{Z}[\sigma_2, \sigma_3]$ .

On the other hand,  $\tilde{D}(2, 1)$  is a graded algebra: elements in  $sl(E_i)$  are of degree 0, elements in  $X$  are of degree 1 and  $a, b, c$  are of degree 2. With this degree the degree of the Lie bracket is 0 and the degree of the cobracket is 2. Hence it is easy to see that each element  $u \in \Lambda_{\mathbf{Z}}$  of degree  $p$  is sent by  $\chi_{sup}$  to an element of degree  $2p$ . Thus, after dividing degrees in  $A$  by 2,  $\chi_{sup}$  becomes a graded character. In particular  $\chi_{sup}(t)$  is trivial because  $\mathbf{Z}[\sigma_2, \sigma_3]$  has no degree 1 element.

As above denote by  $\Psi$  the morphism defined by the diagram



**Lemma 7.8.1.** The endomorphism  $\Psi$  satisfies the following:

$$\begin{aligned} \Psi(\Omega_1) &= -4a\Omega_1 + \frac{3}{2}\pi, & \Psi(\Omega_2) &= -4b\Omega_2 + \frac{3}{2}\pi, & \Psi(\Omega_3) &= -4c\Omega_3 + \frac{3}{2}\pi, \\ \Psi(\pi) &= -4(a^2\Omega_1 + b^2\Omega_2 + c^2\Omega_3). \end{aligned}$$

**Proof.** We have:

$$\Psi(\Omega_1) = -a \sum_{ij} [e_{1i}, e_{1j}] \otimes [e_{1j}, e_{1i}] + \sum_{ijk} [e_{1i}, \varepsilon_{1j} \otimes \varepsilon_{2k} \otimes \varepsilon_{3l}] \otimes [\varepsilon'_{1j} \otimes \varepsilon'_{2k} \otimes \varepsilon'_{3l}, e'_{1i}].$$

The coefficient of  $-a$  in this formula is the image of the Casimir of  $sl_2$  under the corresponding homomorphism  $\Psi_{sl_2}$ . Then it is equal to  $2\chi_{sl_2}(t)\Omega_1 = 4\Omega_1$ , and:

$$\Psi(\Omega_1) = -4a\Omega_1 - \sum_{ijkl} (e_{1i}(\varepsilon_{1j}) \otimes \varepsilon_{2k} \otimes \varepsilon_{3l}) \otimes (e'_{1i}(\varepsilon'_{1j}) \otimes \varepsilon'_{2k} \otimes \varepsilon'_{3l}).$$

Because of Lemma 7.7.1, we have:

$$\begin{aligned} \sum_{ij} e_{1i}(\varepsilon_{1j}) \otimes e'_{1i}(\varepsilon'_{1j}) &= \frac{1}{2} \sum_{ij} \varepsilon_{1i} \otimes \varepsilon_{1j} \cdot \varepsilon'_{1i}(\varepsilon'_{1j}) \\ &= \frac{1}{2} \sum_{ij} \varepsilon_{1i} \otimes (\varepsilon_{1j} \varepsilon'_{1i} \wedge \varepsilon'_{1j} + \varepsilon'_{1i} \varepsilon_{1j} \wedge \varepsilon'_{1j}) \\ &= \frac{1}{2} \sum_j \varepsilon'_{1j} \otimes \varepsilon_{1j} + \frac{1}{2} \sum_i \varepsilon_{1i} \otimes \varepsilon'_{1i} \sum_j \varepsilon_{1j} \wedge \varepsilon'_{1j} \\ &= -\frac{1}{2} \sum_j \varepsilon_{1j} \otimes \varepsilon'_{1j} - \sum_i \varepsilon_{1i} \otimes \varepsilon'_{1i} = -\frac{3}{2} \sum_j \varepsilon_{1j} \otimes \varepsilon'_{1j} \end{aligned}$$

and that implies the first formula. For computing  $\Psi(\Omega_2)$  and  $\Psi(\Omega_3)$ , just apply a cyclic permutation.

Since  $\Omega$  is the Casimir and  $t$  is zero in this case, we have:

$$0 = \Psi(\Omega) = 4a^2\Omega_1 + 4b^2\Omega_2 + 4c^2\Omega_3 + \Psi(\pi)$$

and that proves the lemma.  $\square$

**Lemma 7.8.2.** The module  $S^2\tilde{D}(2, 1) \otimes K$  decomposes into a direct sum  $U_0 \oplus U_1 \oplus U_2 \oplus U_3$ . The module  $U_0$  is isomorphic to  $K$  and generated by the Casimir. The homomorphism  $\Psi$  respects this decomposition. It acts on  $U_0, U_1, U_2, U_3$  by multiplication by 0,  $2a, 2b, 2c$  respectively.

**Proof.** Set:  $L = \tilde{D}(2, 1) \otimes K$ . Let  $V_0$  be the  $K$ -submodule of  $S^2L$  generated by  $\Omega_1, \Omega_2, \Omega_3, \pi$ . The morphism  $\Psi$  induces an endomorphism of  $V_0$ . The matrix of this endomorphism in the basis  $(2\Omega_1, 2\Omega_2, 2\Omega_3, \pi)$  is:

$$\begin{pmatrix} -4a & 0 & 0 & -2a^2 \\ 0 & -4b & 0 & -2b^2 \\ 0 & 0 & -4c & -2c^2 \\ 3 & 3 & 3 & 0 \end{pmatrix}.$$

The eigenvalues of this matrix are  $0, 2a, 2b, 2c$  and corresponding eigenvectors are:

$$\begin{aligned} \Omega &= -a\Omega_1 - b\Omega_2 - c\Omega_3 + \pi \\ 2a(b-c)\Omega_1 + 6b^2\Omega_2 - 6c^2\Omega_3 - 3(b-c)\pi \\ 2b(c-a)\Omega_2 + 6c^2\Omega_3 - 6a^2\Omega_1 - 3(c-a)\pi \\ 2c(a-b)\Omega_3 + 6a^2\Omega_1 - 6b^2\Omega_2 - 3(a-b)\pi. \end{aligned}$$

Let  $L_0$  be the even part of  $L$ . Let  $F_p$  be the simple  $sl_2$ -module of dimension  $p+1$ . This module is the symmetric power  $S^p F_1$  and  $F_2 = sl_2$ . Denote by  $[p, q, r]$  the isomorphism class of the  $L_0$ -module  $F_p \otimes F_q \otimes F_r$ . These elements form a basis of the Grothendieck algebra  $\text{Rep}(L_0)$  of representations of  $L_0$ . In this algebra we have:

$$\begin{aligned} [L_0] &= [2, 0, 0] + [0, 2, 0] + [0, 0, 2] & [X] &= [1, 1, 1] \\ [S^2 L_0] &= 3[0, 0, 0] + [4, 0, 0] + [0, 4, 0] + [0, 0, 4] + [2, 2, 0] + [2, 0, 2] + [0, 2, 2] \\ [\Lambda^2 X] &= [0, 0, 0] + [2, 2, 0] + [2, 0, 2] + [0, 2, 2] \\ [L_0 \otimes X] &= 3[1, 1, 1] + [3, 1, 1] + [1, 3, 1] + [1, 1, 3]. \end{aligned}$$

The module  $V_0$  is the submodule  $3[0, 0, 0] + [0, 0, 0]$  of  $S^2 L$ . Set  $V'_0 = V_0$  and define by induction submodules  $V'_p$  to be the image of  $X \otimes V'_{p-1}$  under the action map. Then set:  $V_p = V'_0 + \dots + V'_p$ . For every  $p \geq 0$ ,  $V_p$  is a  $L_0$ -module. It is not difficult to prove the following:

$$\begin{aligned} [V_0] &= 4[0, 0, 0] & [V_1] &= 4[0, 0, 0] + 3[1, 1, 1] \\ [V_2] &= 4[0, 0, 0] + 3[1, 1, 1] + [2, 2, 0] + [2, 0, 2] + [0, 2, 2] \implies \Lambda^2 X \subset V_2 \\ [V_3] &= 4[0, 0, 0] + 3[1, 1, 1] + [2, 2, 0] + [2, 0, 2] + [0, 2, 2] + [3, 1, 1] + [1, 3, 1] + [1, 1, 3] \\ &\implies \Lambda^2 X \oplus L_0 \otimes X \subset V_3. \end{aligned}$$

Then there is a unique  $L_0$ -submodule  $W$  of  $S^2 L_0 \subset S^2 L$  such that  $V_3 \oplus W = S^2 L$ . If  $V$  is the  $L$ -submodule of  $S^2 L$  generated by  $V_0$ , the module  $S^2 L/V$  is a quotient of  $W$  and then has no odd degree component. Therefore this module is trivial and  $S^2 L$  is generated by  $V_0$  as a  $L$ -module, and that implies that  $S^2 L$  is the direct sum of  $L$ -modules generated by the eigenvectors above and the lemma is proven.  $\square$

Now we are able to apply [Theorem 6.3](#) and we get the desired result.  $\square$

**Remark.** There exist an extra Lie superalgebra equipped with a Casimir element: the Hamiltonian algebra  $H(n)$  for  $n > 4$  and  $n$  even and that is a complete list of simple quadratic Lie superalgebras [\[12\]](#). For  $n > 4$  the Hamiltonian algebra  $L = H(n)$  has the following property: it has a  $\mathbb{Z}$ -graduation compatible with the Lie bracket, and the Casimir has a nonzero degree. Therefore for any element  $u \in \Lambda$  of positive degree, the induced element  $\chi_L(u)$  has a nonzero degree. But it is an element of the coefficient field. Then  $\chi_L$  vanishes on positive degree elements and  $\chi_L$  is the augmentation character.

## 8. Properties of the characters

In the last section, we constructed eight characters  $\chi_i$ ,  $i = 1 \dots 8$  corresponding to families  $gl$ ,  $osp$ ,  $E_6$ ,  $E_7$ ,  $E_8$ ,  $F_4$ ,  $G_2$  and  $\tilde{D}(2,1)$ . These characters are graded algebra homomorphisms from  $\Lambda$  to  $A_i$ , where  $A_1 = \mathbb{Q}[t, u]$ ,  $A_2 = \mathbb{Q}[t, v]$ ,  $A_3 = A_4 = A_5 = A_6 = A_7 = \mathbb{Q}[t]$ ,  $A_8 = \mathbb{Q}[\sigma_2, \sigma_3]$ .

Consider the subalgebra  $R_0 = \mathbb{Q}[t] \oplus \omega \mathbb{Q}[t, \sigma, \omega]$  of  $R = \mathbb{Q}[t, \sigma, \omega]$ . This algebra is sent to  $\Lambda$  by a morphism  $\varphi$  defined by:

$$\varphi(t) = t, \quad \forall p \geq 0, \quad \varphi(\sigma^p \omega) = \omega_p.$$

For each  $i = 1, \dots, 8$  there is a unique character  $\chi'_i$  from  $R$  to  $A_i$  which restricts on  $R_0$  to  $\chi_i \circ \varphi$ . These morphisms are defined by:

$$\begin{aligned} \chi'_1(t) &= t & \chi'_1(\sigma) &= 2(t^2 - 2u) & \chi'_1(\omega) &= 2t(t^2 - 4u) \\ \chi'_2(t) &= t & \chi'_2(\sigma) &= 3(t - 2v)(t + 3v) & \chi'_2(\omega) &= 2(t - v)(t - 2v)(t + 4v) \\ \chi'_3(t) &= t & \chi'_3(\sigma) &= \frac{77}{36}t^2 & \chi'_3(\omega) &= \frac{25}{12}t^3 \end{aligned}$$

$$\begin{aligned}
\chi_4'(t) = t \quad \chi_4'(\sigma) &= \frac{176}{81}t^2 \quad \chi_4'(\omega) = \frac{520}{243}t^3 \\
\chi_5'(t) = t \quad \chi_5'(\sigma) &= \frac{494}{225}t^2 \quad \chi_5'(\omega) = \frac{98}{45}t^3 \\
\chi_6'(t) = t \quad \chi_6'(\sigma) &= \frac{170}{81}t^2 \quad \chi_6'(\omega) = \frac{480}{243}t^3 \\
\chi_7'(t) = t \quad \chi_7'(\sigma) &= \frac{65}{36}t^2 \quad \chi_7'(\omega) = \frac{55}{36}t^3 \\
\chi_8'(t) = 0 \quad \chi_8'(\sigma) &= 4\sigma_2 \quad \chi_8'(\omega) = 8\sigma_3.
\end{aligned}$$

The kernels of these characters are:

$$\begin{aligned}
I_1 &= \text{Ker } \chi_1' = (P_{gl}) \\
I_2 &= \text{Ker } \chi_2' = (P_{osp}) \\
I_3 &= \text{Ker } \chi_3' = (P_{exc}, 77t^2 - 36\sigma) \\
I_4 &= \text{Ker } \chi_4' = (P_{exc}, 176t^2 - 81\sigma) \\
I_5 &= \text{Ker } \chi_5' = (P_{exc}, 494t^2 - 225\sigma) \\
I_6 &= \text{Ker } \chi_6' = (P_{exc}, 170t^2 - 81\sigma) \\
I_7 &= \text{Ker } \chi_7' = (P_{exc}, 65t^2 - 36\sigma) \\
I_8 &= \text{Ker } \chi_8' = (t)
\end{aligned}$$

with:

$$\begin{aligned}
P_{gl} &= \omega - 2t\sigma + 2t^3 \\
P_{osp} &= 27\omega^2 - 72t\sigma\omega + 40t^3\omega + 4\sigma^3 + 29t^2\sigma^2 - 24t^4\sigma \\
P_{exc} &= 27\omega - 45t\sigma + 40t^3.
\end{aligned}$$

Using the inclusion  $\mathbf{Q}[t, \sigma, \omega] \subset \mathbf{Q}[\alpha, \beta, \gamma]$  we check the following:

$$\begin{aligned}
P_{gl} &= (\alpha - t)(\beta - t)(\gamma - t) = -(\alpha + \beta)(\beta + \gamma)(\gamma + \alpha) \\
P_{osp} &= (\alpha + 2\beta)(2\alpha + \beta)(\beta + 2\gamma)(2\beta + \gamma)(\gamma + 2\alpha)(2\gamma + \alpha) \\
P_{exc} &= (3\alpha - 2t)(3\beta - 2t)(3\gamma - 2t).
\end{aligned}$$

Since characters  $\chi_i'$  are surjective each character  $\chi_i$  may be considered as a graded algebra homomorphism from  $\Lambda$  to a quotient of  $R$ . These characters are related. The complete relations between them are given by the following result of Patureau-Mirand:

**Theorem 8.1** ([18]). *Let  $I$  be the following ideal in  $R$ :*

$$I = t\omega P_{gl} P_{osp} (P_{exc}, (77t^2 - 36\sigma)(176t^2 - 81\sigma)(494t^2 - 225\sigma)(170t^2 - 81\sigma)(65t^2 - 36\sigma)).$$

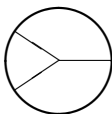
*Then there is a unique graded algebra homomorphism  $\chi$  from  $\Lambda$  to  $R_0/I$  such that:*

$$\begin{aligned}
\chi_{sl2} &\equiv \chi \bmod \omega R \\
\forall i = 1 \dots 8, \quad \chi_i &\equiv \chi \bmod I_i.
\end{aligned}$$

**Remark.** It was conjectured in [1] that every element in  $\mathcal{A}$  is detected by invariants coming from Lie algebras in series A, B, C, D. This conjecture is false. There is a weaker conjecture saying that invariants coming from simple Lie algebras detect every element in  $\mathcal{A}$ . That is also false because of the Lie superalgebra  $\tilde{D}(2, 1)$ . Actually we have the following result:

**Theorem 8.2.** *There exists a primitive element in  $\mathcal{A}$  of degree 17 which is rationally nontrivial and killed by every weight function obtained by a semisimple Lie (super)algebra and a finite-dimensional representation.*

**Proof.** Let  $u$  be the following primitive element of  $\mathcal{A}$  of degree 2:



The map  $\lambda \mapsto \lambda u$  is a rational injection from  $\Lambda$  to the module  $\mathcal{P}$  of primitives of  $\mathcal{A}$  (see Corollary 4.7). Let  $U$  be the image of

$P = \omega P_{gl} P_{osp} P_{exc}$  under the morphism  $\varphi : R_0 \rightarrow \Lambda$ . This element is detected by  $\chi_8$  and is rationally nontrivial. Then  $Uu$  is an element rationally nontrivial in  $\mathcal{A}$  of degree 17.

Let  $L$  be a simple Lie superalgebra equipped with a Casimir element. If  $L$  is of type  $i \neq 8$  we have:

$$\Phi_L(Uu) = \chi'_i(P)\Phi_L(u) = 0.$$

If  $L$  is of type 8 (i.e.  $L = \tilde{D}(2,1)$ ), we have:

$$\Phi_L(Uu) = \chi'_8(P)\Phi_L(u) = \chi'_8(P)\chi_8(t)\Phi_L(\bigcirc).$$

But  $\chi_8(t) = 0$ . Therefore  $Uu$  is killed by  $\Phi_L$ .

If  $L = \oplus L_i$  is semisimple,  $\Phi_L(Uu) = \sum \Phi_{L_i}(Uu) = 0$  because  $Uu$  is primitive.  $\square$

**Theorem 8.3.** Let  $u$  be an element in  $\Lambda$  killed by  $\chi_1, \chi_2, \dots, \chi_8$ . Let  $L$  be a quadratic Lie superalgebra over a field of characteristic 0. Then  $u$  is killed by  $\Phi_L$ .

**Proof.** Let  $D$  be a connected diagram in  $\mathcal{D}(\emptyset, [3])$  representing some element  $u'$  in  $F(3)$ . Let  $D_0$  be the union of closed edges meeting  $\partial K$  and  $D_1$  be the complement of  $D_0$  in  $K$ . We will say that  $D$  is reduced if  $D_1$  is connected.

**Lemma 8.3.1.** Every connected diagram in  $\mathcal{D}(\emptyset, [3])$  of degree  $> 2$  is equivalent in  $F(3)$  to a multiple of a reduced diagram.

**Proof.** Let  $d$  be the degree of a connected diagram  $D$ . If  $d$  is positive and  $D$  is not reduced, we have the following possibilities in  $F(3)$  (up to some cyclic permutation in  $\mathfrak{S}_3$ ):

$$\begin{aligned} D &= \text{diagram with vertex } v \text{ and two external lines} = \text{diagram with vertex } w \text{ and two external lines} = 2t \text{diagram with vertex } w \text{ and two external lines} \\ D &= \text{diagram with vertex } v \text{ and two external lines} = \text{diagram with vertex } w \text{ and two external lines} = t \text{diagram with vertex } w \text{ and two external lines} \end{aligned}$$

Therefore  $D$  is equivalent in  $F(3)$  to a multiple of  $t^i D_1$ , with  $i < 3$  and  $D_1$  reduced or  $i = 3$ . But it is easy to see the following:

$$t^3 D = t^3 \text{diagram with vertex } w \text{ and two external lines} = \text{diagram with vertex } w \text{ and two external lines}$$

Since a reduced diagram multiply by  $t$  is represented by a reduced diagram, the result follows.  $\square$

Since  $\chi_{gl}$  detects every element in  $\Lambda$  in degree  $< 6$ , we may suppose that  $u$  is an element in  $\Lambda$  of degree  $d \geq 6$ . Consider the category of diagrams  $\Delta$ . Any element in  $F(m)$  may be seen as a morphism in  $\Delta$  from  $\emptyset$  to  $[m]$ . Let  $\beta$  be the bracket from  $[2]$  to  $[1]$  ( $\beta$  is represented by a tree). Because of the lemma, there is an element  $v \in F(6)$  such that:

$$u = \beta^{\otimes 3} \circ v.$$

Moreover the degree of  $v$  is  $d - 3 > 2$ .

Consider now a quadratic Lie superalgebra  $L$  over a field of characteristic zero and a central extension  $E$  of  $L$ . Denote by  $K$  the kernel of  $E \rightarrow L$ . The Lie bracket  $E \otimes E \rightarrow E$  is trivial on  $K \otimes E + E \otimes K$  and induces an extended bracket  $\psi : L \otimes L \rightarrow E$ . So we can set:

$$\Phi_{E,L}(u) = \psi^{\otimes 3}(\Phi_L(v)) \in E^{\otimes 3}.$$

**Lemma 8.3.2.** Let  $I$  be an ideal in  $L$  and  $I^\perp$  be its orthogonal. Let  $E_1$  and  $E_2$  be the pullback in  $E$  of  $I$  and  $I^\perp$ . Suppose that the inner form is nonsingular on  $I$ . Then we have:

$$\Phi_{E,L}(u) = \Phi_{E_1,I}(u) + \Phi_{E_2,I^\perp}(u).$$

**Proof.** The modules  $I$  and  $I^\perp$  are Lie superalgebras. Since the form is nonsingular on  $I$ ,  $L$  is the direct sum  $I \oplus I^\perp$ . It is easy to see that  $I$  and  $I^\perp$  are quadratic Lie superalgebras and  $E_1 \rightarrow I$  and  $E_2 \rightarrow I^\perp$  are central extensions. Then we have:

$$\Phi_{E,L}(u) = \psi^{\otimes 3}(\Phi_L(v)) = \psi^{\otimes 3}(\Phi_I(v) + \Phi_{I^\perp}(v)) = \Phi_{E_1,I}(u) + \Phi_{E_2,I^\perp}(u). \quad \square$$

**Lemma 8.3.3.** Let  $I$  be an isotropic ideal of  $L$  and  $I^\perp$  be its orthogonal. Let  $J$  be the quotient  $I^\perp/I$ . Suppose that the form on  $J$  induced by the inner form on  $L$  is nonsingular on the center of  $J$ . Let  $E_1$  be the pullback in  $E$  of the module  $[I^\perp, I^\perp] \subset L$ . Then  $E_1$  is a central extension of  $J_1 = [J, J]$  and we have:

$$\Phi_{E,L}(u) = \Phi_{E_1,J_1}(u).$$



**Proof.** Since  $I$  is a  $L$ -module,  $I^\perp$  and  $J = I^\perp/I$  are  $L$ -modules too. Moreover for any  $(x, y, z) \in I \times I^\perp \times L$  we have:

$$\langle [x, y], z \rangle = \langle x, [y, z] \rangle = 0$$

and  $[x, y]$  is orthogonal to every  $z \in L$ . Then  $[x, y] = 0$  for every  $x \in I$  and  $y \in I^\perp$  and the bracket is trivial on  $I \otimes I^\perp$ . Therefore the Lie bracket and the inner form induce a quadratic Lie superalgebra structure on  $J = I^\perp/I$ .

The central extension  $I^\perp \rightarrow J$  is determined by a 2-cocycle  $\varphi: \Lambda^2 J \rightarrow I$ . The cohomology class of  $\varphi$  is determined by a morphism  $H_2(J) \rightarrow I$  and it is possible to modify  $\varphi$  by a coboundary in such a way that  $\varphi$  and  $H_2(J) \rightarrow I$  have the same image. Then  $I^\perp$  can be identified to  $I \oplus J$  and the Lie bracket  $[\cdot, \cdot]_1$  on  $I \oplus J$  is given by:

$$\forall \alpha, \beta \in I, \forall x, y \in J, \quad [\alpha + x, \beta + y]_1 = [\alpha, \beta] + \varphi(x \otimes y)$$

where  $\varphi$  is a cocycle satisfying:  $\varphi(\Lambda^2(J)) = \varphi(\text{Ker}(\Lambda^2 J \rightarrow J))$ . The central extension induces an extended bracket  $\psi'$  from  $\Lambda^2(J)$  to  $I^\perp$ .

Since the form is nonsingular on  $J$ , it is nonsingular on its orthogonal  $J^\perp$ . Then there exists a module  $I^* \subset L$  such that the form is trivial on  $I^*$  and  $J^\perp$  is the module  $I \oplus I^*$ . Therefore the Casimir element  $\Omega$  decomposes into a sum:  $\Omega = \Omega_0 + \Omega_+ + \Omega_-$ , where  $\Omega_0, \Omega_+$  and  $\Omega_-$  are in  $J \otimes J, I \otimes I^*$  and  $I^* \otimes I$  respectively.

Suppose  $v \in F(6)$  is represented by a connected diagram  $D$  such that the edges of  $D$  meeting  $\partial D$  are disjoint. Therefore there exists a diagram  $D'$  representing an element  $w$  in  $\mathcal{A}(\emptyset, [12])$  such that:

$$v = \beta^{\otimes 6} \circ w.$$

Actually every element in  $F(6)$  of positive degree is a linear combination of such diagrams.

Set  $\partial D = \{v_i\}, i = 1 \dots 6$  and denote by  $e_i$  the oriented edge in  $D$  starting from  $v_i$ . Let  $U$  be the set of oriented subgraphs of  $D$  and  $\Gamma$  be an oriented graph in  $U$ . For each oriented edge  $a \in D$  define the module  $V_\Gamma(a)$  by:

$$V_\Gamma(a) = \begin{cases} I^* & \text{if } a \in \Gamma \\ I & \text{if } -a \in \Gamma \\ U & \text{otherwise.} \end{cases}$$

Let  $e$  be an edge in  $D$  and  $a$  and  $-a$  be the corresponding oriented edges. Set  $\Omega_\Gamma(e)$  be the component of the Casimir element  $\Omega$  in  $V_\Gamma(a) \otimes V_\Gamma(-a)$  and denote by  $\Omega(\Gamma)$  the tensor product:

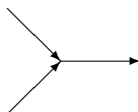
$$\Omega(\Gamma) = \bigotimes_e \Omega_\Gamma(e).$$

For each 3-valent vertex  $x$  in  $D$  the alternating form  $\langle \cdot, \cdot, \cdot \rangle$  induces a linear form on  $V_\Gamma(a) \otimes V_\Gamma(b) \otimes V_\Gamma(c)$  where  $a, b, c$  are the three oriented edges in  $D$  starting from  $x$ . By applying all these forms to  $\Omega(\Gamma)$  we get an element  $\Phi(\Gamma)$  in  $\otimes_i V_\Gamma(e_i)$ . It is not difficult to see that  $\Phi_L(v)$  is the sum of all  $\Phi(\Gamma)$ .

Let  $x$  be a 3-valent vertex in  $D$  and  $a, b, c$  be the oriented edges in  $D$  ending at  $x$ . Since the alternating form  $\langle x, y, z \rangle$  vanishes for  $x \in I$  and  $y \in I \oplus J$ ,  $\Omega(\Gamma)$  is zero if  $a$  is in  $\Gamma$  and  $-b$  (or  $-c$ ) is not in  $\Gamma$ .

Denote by  $U_+$  the set of all  $\Gamma$  in  $U$  such that:

for every 3-valent vertex  $v$  in  $D$ , if one oriented edge starting from  $v$  is in  $\Gamma$  the two other edges ending at  $v$  are in  $\Gamma$  too.



Then we have:

$$\Phi_L(v) = \sum_{\Gamma \in U_+} \Phi(\Gamma).$$

Let  $\Gamma$  be an oriented graph in  $U_+$ . Suppose  $\Gamma$  contains some oriented edge  $e$  disjoint from  $\partial D$ . Since  $D \setminus \{e\}$  is a connected 3-valent graph, there is a long oriented path  $(f_1, f_2, \dots, f_p = e)$  in  $D$  such that each oriented edge  $f_j$  is in  $\Gamma$ . Therefore  $\Gamma$  contains an oriented cycle  $C$ . Since the degree of  $v$  is at least 2, there exist an edge  $e'$  outside of  $\{e\}$  and meeting  $C$  in some vertex  $v$ . Then there is a long oriented path  $(g_1, g_2, \dots, g_q)$  such that  $g_q$  is the edge  $e'$  ending at  $v$ . But this path is necessary included in  $D$  because  $\Gamma$  is in  $U_+$  and that is impossible. Hence  $\Gamma$  has to be included in  $\{e_i\}$  with the right orientation.

Then we have:

$$\Phi_L(v) = \psi'^{\otimes 6}(\Phi_J(w)).$$

Let  $J_2$  be the center of  $J$ . Since the form is nonsingular,  $J$  is the direct sum:  $J = J_1 \oplus J_2$ . The center of  $J_1$  is trivial and then:  $J_1 = [J_1, J_1]$ . Since  $J_2$  is abelian, we have:

$$\Phi_L(v) = \psi'^{\otimes 6}(\Phi_J(w)) = \psi'^{\otimes 6}(\Phi_{J_1}(w) + \Phi_{J_2}(w)) = \psi'^{\otimes 6}(\Phi_{J_1}(w)).$$

Let  $I_1$  be the image of  $\varphi$ . Then the module  $[I^\perp, I^\perp]$  is  $[I \oplus J, I \oplus J]_1 = I_1 \oplus J_1$ . Denote by  $\varphi_1$  a 2-cocycle on  $\Lambda^2 L$  which determines the extension  $E \rightarrow L$ . Let  $\alpha \in I_1$  and  $x$  and  $y$  in  $J$ . Since  $\varphi_1$  is a cocycle, we have:

$$\begin{aligned}\varphi_1(\alpha \otimes [x, y]_1) &= -\varphi_1(x \otimes [y, \alpha]_1) - \varphi_1(y \otimes [\alpha, x]_1) = 0 \\ \implies \varphi_1(\alpha, [x, y] + \varphi(x \otimes y)) &= 0.\end{aligned}$$

Then if  $w$  lies in the kernel of  $\Lambda^2 J \rightarrow J$ , we have:  $\varphi_1(\alpha, \varphi(w)) = 0$  and  $\varphi_1$  is trivial on  $I_1 \otimes I_1$  and therefore on  $I_1 \otimes J_1$ . Hence the cocycle on  $[I^\perp, I^\perp]$  comes from a cocycle on  $J_1$  and the extension  $E_1 \rightarrow J_1$  is central. This extension induces an extended bracket  $\psi'' : \Lambda^2 J_1 \rightarrow E_1$  and we have for every  $x_1, x_2, x_3, x_4$  in  $J_1$ :

$$\begin{aligned}\psi(\psi'^{\otimes 2}(x_1 \otimes x_2 \otimes x_3 \otimes x_4)) &= \psi(\psi'(x_1 \otimes x_2) \otimes \psi'(x_3 \otimes x_4)) = \psi''([x_1, x_2] \otimes [x_3, x_4]) \\ \implies \psi \circ \psi'^{\otimes 2} &= \psi'' \circ \beta^{\otimes 2}\end{aligned}$$

where  $\beta$  is the Lie bracket on  $L_1$ . Therefore we have:

$$\begin{aligned}\Phi_{E,L}(u) &= \psi^{\otimes 3}(\Phi_L(v)) = \psi^{\otimes 3}(\psi'^{\otimes 6}(\Phi_{J_1}(w))) \\ &= \psi''^{\otimes 3}(\beta^{\otimes 3}(\Phi_{J_1}(w))) = \psi''^{\otimes 3}(\Phi_{J_1}(v)) = \Phi_{E_1, J_1}(u). \quad \square\end{aligned}$$

Now we are able to prove that  $\Phi_{E,L}(u)$  is zero by induction on  $\dim(E) + \dim(L)$ .

Let  $E$  be a central extension of a quadratic Lie superalgebra  $L$ . Suppose there is some nontrivial ideal in  $L$  contained in its orthogonal. Let  $I$  be such a maximal ideal. Set:  $J = I^\perp/I$ . Since  $I$  is maximal,  $J$  does not contain any nontrivial isotropic ideal and the inner form on  $J$  is nonsingular on the center of  $J$ . Hence  $\Phi_{E,L}(u)$  is trivial by induction, because of [Lemma 8.3.3](#).

Suppose  $L$  has some nontrivial simple submodule  $I$ . The inner form is now nonsingular on  $I$  and  $\Phi_{E,L}(u)$  is trivial by induction, because of [Lemma 8.3.2](#).

So we have to suppose that  $L$  is simple. If  $L$  is isomorphic to some  $sl(E)$ ,  $osp(E)$ ,  $E_6$ ,  $E_7$ ,  $E_8$ ,  $F_4$ ,  $G_2$ ,  $G(3)$ ,  $F(4)$  or  $D(2, 1, \alpha)$ , the cohomology of  $L$  is isomorphic to the cohomology of some semisimple Lie algebra [10] and  $H^2(L)$  is trivial. Therefore the extension  $E \rightarrow L$  is trivial and has a section  $s$ . So we have:

$$\Phi_{E,L}(u) = \psi^{\otimes 3}(\Phi_L(v)) = s^{\otimes 3} \circ \beta^{\otimes 3}(\Phi_L(v)) = s^{\otimes 3}(\Phi_L(u))$$

and this element is trivial because  $u$  is killed by each character  $\chi_i$ .

If  $L$  is isomorphic to some  $psl(E)$ ,  $H^2(L)$  is a 1-dimensional module generated by the central extension  $sl(E) \rightarrow psl(E)$ . Then there is a morphism  $s : sl(E) \rightarrow psl(E) = L$  and then this extension factorizes through  $E$ . So we have:

$$\Phi_{E,L}(u) = s^{\otimes 3}(\Phi_{sl(E),L}(u))$$

and  $\Phi_{E,L}(u)$  is detected by  $\Phi_{sl(E),L}(u)$  and then by  $\Phi_{gl(E)}(u)$ . Therefore  $\Phi_{E,L}(u)$  is trivial because  $\Phi_{gl(E)}(u)$  is detected by  $\chi_1 = \chi_{gl}$ .

In the last possibility  $L$  is isomorphic to an Hamiltonian Lie superalgebra  $H(n)$  with  $n = 2p > 4$ . Consider the Hamiltonian Lie superalgebra  $E_0 = \widehat{H}(n)$  and its commutator  $E_1 = [\widehat{H}(n), \widehat{H}(n)]$  (see the [Appendix](#)). Since  $H^2(H(n))$  is 1-dimensional and generated by the central extension  $E_1 \rightarrow H(n)$ , there is a morphism  $s : E_1 \rightarrow E$  and this extension factorizes through  $E$ . So we have:

$$\Phi_{E,L}(u) = s^{\otimes 3}(\Phi_{E_1,L}(u))$$

and  $\Phi_{E,L}(u)$  is detected by  $\Phi_{E_1,L}(u)$  and then by  $\Phi_{E_0}(u)$ . But  $E_0 = \widehat{H}(n)$  is  $\mathbf{Z}$ -graded and the degree of its cobracket is  $n - 4$ . Then  $\Phi_{E_0}(u)$  is an element in  $\Lambda^3 E_0$  of degree  $d(n - 4)$ . On the other hand  $E_0$  is concentrated in degrees  $-2, -1, \dots, n - 2$  and  $\Lambda^3 E_0$  is concentrated in degrees  $-5, -4, \dots, 3n - 7$ . If  $\Phi_{E_0}(u)$  is nonzero we have:

$$d(n - 4) \leq 3n - 7 \implies (d - 3)(n - 4) \leq 5 \implies 2(d - 3) \leq 5 \implies d \leq 5.$$

But that is not true and  $\Phi_{E,L}(u)$  is trivial.  $\square$

**Theorem 8.4.** Let  $J$  be the ideal of  $R$  generated by  $t\omega P_{gl}P_{osp}P_{exc}$ . Then  $J$  is killed by the morphism  $\varphi : R_0 \rightarrow \Lambda$ .

**Proof.** Let  $\Delta'$  be the monoidal subcategory of  $\Delta$  generated by diagrams where each component meets source and target. Let  $X$  be a finite set. If  $x$  and  $y$  are distinct points in  $X$  we may define three morphisms in the category  $\Delta'$  in the following way:

Denote by  $Y$  the complement:  $Y = X \setminus \{x, y\}$ . Take a point  $z$  (outside of  $Y$ ) and set:  $Z = Y \cup \{z\}$ . So we define a morphism  $\Phi_z^{x,y}$  from  $X$  to  $Z$  by:

$$\Phi_z^{x,y} = z \text{ --- } \begin{array}{c} y \\ \diagup \quad \diagdown \\ \quad \quad \quad \otimes 1_Y \\ \diagdown \quad \diagup \\ x \end{array}$$

We have also a morphism  $\Phi_{x,y}^z$  from  $Z$  to  $X$  defined by:

$$\Phi_{x,y}^z = \begin{array}{c} y \\ \diagdown \quad \diagup \\ \quad \quad \quad z \quad \otimes 1_Y \\ \diagup \quad \diagdown \\ x \end{array}$$

and a morphism  $\psi_{x,y}$  from  $X$  to  $X$  defined by:

$$\psi_{x,y} = \begin{array}{c} y \text{---} y \\ | \\ x \text{---} x \end{array} \otimes 1_Y$$

The set of all these morphisms will be denoted by  $\mathcal{M}$ .

Let  $f$  be one of these morphisms. The set  $\{x, y, z\}$  in the first two cases or the set  $\{x, y\}$  in the last case will be called the support of  $f$ . Using this terminology we have the following relations:

R1: if  $f$  and  $g$  are two composable morphisms in  $\mathcal{M}$  with disjoint support they commute.

R2:  $\psi_{x,y} = \phi_y^{z,y} \circ \phi_{x,z}^x$

R3:  $\psi_{x,y} - \psi_{x,y} \circ \tau_{x,y} = \phi_{x,y}^z \circ \phi_z^{x,y}$ , where  $\tau_{x,y}$  is the transposition  $x \leftrightarrow y$ .

Let  $X$  be a finite set and  $x$  be an element in  $X$ . Denote by  $Y$  the complement  $Y = X \setminus \{x\}$ . We have the following morphisms:

$$\phi_x = \sum_{y \in Y} \phi_{x,y}^y, \quad \phi^x = \sum_{y \in Y} \phi_y^{x,y}, \quad \psi_x = \sum_{y \in Y} \psi_{x,y}.$$

They are morphisms from  $X$  to  $Y$ ,  $Y$  to  $X$  and  $X$  to  $X$  respectively.

The collection of modules  $F'(X) = \mathcal{A}^s(\emptyset, X)$  define a  $\Delta'$ -module  $F$ . Because of Lemma 3.3 it is easy to see that  $\phi_x$  and  $\phi^x$  act trivially on  $F$  and  $\psi_x$  acts on  $F$  by multiplication by  $2t$ . So we may define a new category  $\tilde{\Delta}$ : the objects in this category are nonempty finite sets and the morphisms are  $\mathbf{Q}[t]$ -modules defined by generators and relations where the generators are the bijections in finite sets and the elements in  $\mathcal{M}$  and the relations are the following:

– relations R1, R2, R3

–  $\phi_x = 0$ ,  $\phi^x = 0$  and  $\psi_x = 2t$  for each point  $x$  in some finite set.

This category contains the category  $\mathfrak{S}$  of finite sets and bijections and  $F$  is a  $\tilde{\Delta}$ -module.

Let  $n > 1$  be an integer. Denote by  $\Delta_n$  the category of finite sets with cardinal in  $\{1, 2, \dots, n\}$  and morphisms defined by generators and relations:

– generators: bijections and elements in  $\mathcal{M}$  involving only sets of cardinal  $\leq n$

– relations: relations in  $\tilde{\Delta}$  involving only sets of cardinal  $\leq n$ .


By restriction  $F$  induces a  $\Delta_n$ -module  $F_n$ . For example  $F_2(X)$  is trivial if  $\#X \neq 2$  and is the free module generated by:




otherwise.

Define the  $\Delta_4$ -module  $G_4$  by:

–  $G_4(X) = 0$  if  $\#X = 1$

– if  $\#X = 2$ ,  $G_4(X)$  is the free  $R_0$ -module generated by 

– if  $\#X = 3$ ,  $G_4(X)$  is the free  $R_0$ -module generated by 

– if  $\#X = 4$ ,  $G_4(X)$  is a direct sum  $R_0 \otimes U_1 \oplus R \otimes U_2 \oplus R_0 \otimes V_1 \oplus R \otimes V_2$ , where  $V_1$  and  $V_2$  are 1-dimensional modules generated by the following diagrams:



and  $U_1$  and  $U_2$  are 2-dimensional simple  $\mathfrak{S}_4$ -modules generated by the following diagrams:



The action of the category  $\tilde{\Delta}_4$  on this module is defined by Proposition 5.5.

For each  $n > 4$  define the module  $G_n$  by scalar extension:

$$G_n = \Delta_n \bigotimes_{\Delta_{n-1}} G_{n-1}.$$

These modules can be determined by computer for small values of  $n$ . For every Young diagram  $\alpha$  of size  $n$  denote by  $V(\alpha)$  a simple  $\mathfrak{S}_n$ -module corresponding to  $\alpha$ . If  $X$  is a finite set of cardinal  $p$ ,  $G_n(X)$  is a  $\mathfrak{S}_p$ -module and we get the following:

– if  $p \leq 4$ ,  $G_4(X) \simeq G_5(X) \simeq G_6(X)$

– if  $p = 5$ ,  $G_5(X)$  and  $G_6(X)$  are isomorphic to

$$(R_0 \oplus R \oplus R) \otimes V(3, 1, 1) \oplus R \otimes V(2, 1, 1, 1)$$

– if  $p = 6$ ,  $G_6(X)$  is isomorphic to

$$(R_0 \oplus R^5) \otimes (V(4, 2) \oplus V(2, 2, 2)) \oplus (R_0 \oplus R^3) \otimes V(6) \\ \oplus R^2 \otimes (V(3, 2, 1) \oplus V(2, 1, 1, 1)) \oplus R \otimes (V(3, 1, 1, 1) \oplus V(5, 1)).$$

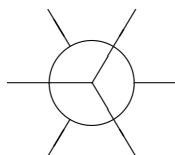
For a complete description of  $G_6(X)$  in the case  $p = 5$  we may proceed as follows:

Let  $E(X)$  be the  $\mathbf{Q}$ -vector space generated by the elements of  $X$  with the single relation:  $\sum_{x \in X} x = 0$ . Then  $\Lambda^2 E(X)$  and  $\Lambda^3 E(X)$  are simple modules corresponding to Young diagrams  $(3, 1, 1)$  and  $(2, 1, 1, 1)$  and we can set:  $V(3, 1, 1) = \Lambda^2 E(X)$  and  $V(2, 1, 1, 1) = \Lambda^3 E(X)$ . So with the identification  $G_6(X) = (R_0 \oplus R \oplus R) \otimes V(3, 1, 1) \oplus R \otimes V(2, 1, 1, 1)$ , we have the following:

$$\begin{array}{c} \begin{array}{ccc} a & e & d \\ & | & \\ b & & c \end{array} = A \otimes (a - b) \wedge (d - c), \\ \\ \begin{array}{ccc} a & e & d \\ & \bullet & \\ b & & c \end{array} = C \otimes (a - b) \wedge (d - c) + D \otimes (a - b) \wedge d \wedge c, \\ \\ \begin{array}{ccc} a & & e \\ & & \cup \\ b & & c \end{array} d = (\frac{10}{3}tA + B) \otimes a \wedge b, \\ \\ \begin{array}{ccc} a & & e \\ & & \cup \\ b & & c \end{array} d = (\frac{10}{3}tC + \sigma B) \otimes a \wedge b, \end{array}$$

where  $A$  generates a free  $R_0$ -module and  $B, C, D$  generate free  $R$ -modules.

Let  $X$  be a set of cardinal 6. Consider the element  $U$  in  $F(X)$  represented by the following diagram:



This element is not in  $G_6$  but it corresponds to an element  $U_0$  in  $G_7$ . With the following idempotent in  $\mathbf{Q}[\mathfrak{S}_X]$ :

$$\pi = \frac{1}{6!} \sum_{\sigma \in \mathfrak{S}_X} \varepsilon(\sigma) \sigma$$

we can set:  $V = \pi U$  and  $V_0 = \pi U_0$ .

Let  $x$  and  $y$  be two distinct elements in  $X$ . Set:  $Y = X \setminus \{y\}$  and  $Z = X \setminus \{x, y\}$ . We have:

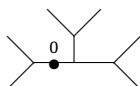
$$tV_0 = \frac{1}{2} \psi_x V_0 = \frac{1}{4} \sum_{z \neq x} \Phi_{x,z}^x \circ \Phi_{x,z}^{x,y} V_0 = \frac{\pi}{4} \sum_{z \neq x} \Phi_{x,z}^x \circ \Phi_{x,z}^{x,y} V_0 = \frac{5\pi}{4} \Phi_{x,y}^x \circ \Phi_{x,y}^{x,y} V_0.$$

It is not difficult to see that  $\Phi_{x,y}^{x,y} V_0$  is an element in  $G_6(Y)$  completely antisymmetric in  $Z$ .

Let  $a, b, c, d$  be the elements in  $Z$ . It is easy to see that every element in  $V(3, 1, 1)$  completely antisymmetric in  $a, b, c, d$  is trivial and any element in  $V(2, 1, 1, 1) = \Lambda^3 E(Y)$  completely antisymmetric in  $a, b, c, d$  is a multiple of  $a \wedge b \wedge c - a \wedge b \wedge d + a \wedge c \wedge d - b \wedge c \wedge d$ . Therefore there is an element  $P$  in  $R$  such that:

$$\Phi_{x,y}^{x,y} V_0 = P \left( \begin{array}{ccc} a & x & d \\ & \bullet & \\ b & & c \end{array} - \begin{array}{ccc} a & x & d \\ & \bullet & \\ b & & c \end{array} \right).$$

But for a diagram like this:



there is a double transposition in  $\mathfrak{S}_6$  which acts on it by multiplication by  $-1$  and its antisymmetrization is trivial. Therefore  $V_0$  and  $V$  are killed by  $t$ .

On the other hand there is a pairing on each  $F'(X)$  with values in  $\Lambda$ :

if  $u$  and  $u'$  are two elements in  $F'(X)$  represented by diagrams  $D$  and  $D'$ , we can glue  $D$  and  $D'$  along  $X$  and we get a connected diagram  $D_1$ . The class of  $D_1$  in  $F(0)$  is the multiple of the Theta diagram by some element  $\lambda \in \Lambda$ . So we set:  $\langle u, u' \rangle = \lambda$ .

Consider the element  $P = \langle U, V \rangle$  in  $\Lambda$ . This element is of degree 15. Since  $V$  is killed by  $t$ , we have in  $\Lambda$  the relation:  $tP = 0$ .

On the other hand we can check by computer that the morphism  $G_6(X) \rightarrow G_7(X)$  is surjective for  $\#X < 6$ . So  $P$  lies in a quotient of  $R_0$  and  $P$  can be seen as an element in  $R_0$ .

Since  $tP = 0$ ,  $P$  is killed by  $\chi_{sl_2}$ ,  $\chi_{gl}$  and  $\chi_{osp}$ . So we have:

$$P = \omega P_{gl} P_{osp} Q$$

for some  $Q \in R$  of degree 3. But  $Q$  is also killed by the exceptional characters  $\chi_i$  and  $Q$  is a multiple of  $P_{exc}$ . At the end we get:

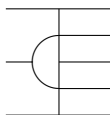
$$P = k \omega P_{gl} P_{osp} P_{exc}$$

for some rational  $k$ . A direct computation (by computer) gives the following result:

$$P = 2^{-10} \omega P_{gl} P_{osp} P_{exc} \implies t \omega P_{gl} P_{osp} P_{exc} = 0 \in \Lambda.$$

One can also determine  $P$  by using the Lie superalgebra  $\tilde{D}(2, 1)$ .

Consider the morphism  $A$  in  $\Delta_6$  defined by the diagram:



The morphism  $B = 1 \otimes A$  may be considered as a morphism from  $X$  to a set  $Z$  of cardinal 4. Let  $\pi'$  be the sum of all elements in  $\mathfrak{S}_Z$  divided by 4!. Since  $B$  lies in  $\Delta_7$  the element  $\pi' \circ B.V_0$  belongs to  $\Delta_7(Z)$  and can be seen as an element  $W$  in  $G_6(Z) = G_4(Z)$ . Since  $\mathfrak{S}_Z$  acts trivially on  $W$ , there are two elements  $Q \in R_0$  and  $Q' \in R$  such that  $W = QH + Q'H'$  with:

$$H = \begin{array}{c} \diagup \quad \diagdown \\ \diagdown \quad \diagup \end{array} \quad H' = \begin{array}{c} \diagup \quad \diagdown \\ \diagdown \quad \diagup \\ \bullet \end{array}$$

Degrees of  $Q$  and  $Q'$  are 12 and 10 respectively. Since  $tV_0$  is trivial  $W$  is killed by  $t$  in  $F(Z)$  and  $W$  is killed by  $\Phi_{sl_2}$ ,  $\Phi_{sl_n}$  and  $\Phi_{o_n}$ .

The functor  $\Phi_{sl_2}$  kills  $H'$  but not  $H$ . Then  $Q$  is killed by  $\chi_{sl_2}$ .

For  $n$  big enough the vectors  $\Phi_{sl_n}(H)$  and  $\Phi_{sl_n}(H')$  are linearly independent. Then  $Q$  and  $Q'$  are killed by  $\chi_{gl}$ .

The same holds for  $\Phi_{o_n}$  and  $Q$  and  $Q'$  are killed by  $\chi_{osp}$ .

Thus there exist  $c$  and  $c'$  in  $\mathbf{Q}$  with:  $Q = c \omega P_{gl} P_{osp}$  and  $Q' = c' t P_{gl} P_{osp}$ .

Let  $L$  be an exceptional Lie algebra. Then we have:

$$\Phi_L(H') = \frac{3\omega}{5t} \Phi_L(H) \implies \chi_L(5tQ) + \chi_L(3Q') = 0 \implies c' = -5/3c.$$

On the other hand we have:

$$\begin{aligned} P &= \langle H, W \rangle = c \omega P_{gl} P_{osp} \langle H, H \rangle - 5/3 c t P_{gl} P_{osp} \langle H, H' \rangle \\ &= c P_{gl} P_{osp} (\omega \langle H, H \rangle - 5/3 t \langle H, H' \rangle) \end{aligned}$$

and for every  $p \geq 0$ :

$$0 = \langle \sigma^p H', tW \rangle = t c P_{gl} P_{osp} (\omega \sigma^p \langle H', H \rangle - 5/3 t \sigma^p \langle H', H' \rangle).$$

Since  $P$  is nonzero  $c$  is nonzero too. So we have:

$$t \sigma^p P_{gl} P_{osp} (\omega \langle H', H \rangle - 5/3 t \langle H', H' \rangle) = 0.$$

A direct computation gives:

$$\langle H', H \rangle = -\frac{3}{2} \sigma \omega + \frac{10}{3} t^2 \omega, \quad \langle H', H' \rangle = -\frac{3}{2} \sigma^2 \omega + \frac{4}{3} t^2 \sigma \omega + 2 t \omega^2$$

and that implies:

$$0 = t \sigma^p P_{gl} P_{osp} \left( -\frac{3}{2} \sigma \omega^2 + \frac{5}{2} t \sigma^2 \omega - \frac{20}{9} t^3 \sigma \omega \right) = -\frac{1}{18} t \sigma^{p+1} \omega P_{gl} P_{osp} P_{exc}.$$

Therefore  $t \sigma^p \omega P_{gl} P_{osp} P_{exc}$  is zero in  $\Lambda$  for every  $p \geq 0$  and that finishes the proof.  $\square$

A particular consequence of this result is the fact that a cobracket morphism is not necessarily injective:

**Proposition 8.5.** *The morphism:*

$$-u- \mapsto \begin{array}{c} \diagup \\ \diagdown \end{array} u-$$

from  $F(2)$  to  $F(3)$  is not injective.

**Proof.** Denote this morphism by  $f$ . Let  $U$  be the image of  $\omega P_{gl} P_{osp} P_{exc}$  under the morphism  $\varphi : R_0 \rightarrow \Lambda$ . We have:  $U \neq 0$  and  $tU = 0$ . Consider the following element in  $F(2)$ :

$$u = U \text{ --- } \bigcirc \text{ ---}$$

Because of Corollary 4.6  $u$  is nonzero. But its image under  $f$  is:

$$2tU \begin{array}{c} \diagup \\ \diagdown \end{array} = 0$$

and the result follows.  $\square$

**Conjecture.** Let  $J$  be the ideal of  $R$  generated by  $t\omega P_{gl} P_{osp} P_{exc}$ . Then the morphism  $\varphi : R_0 \rightarrow \Lambda$  induces an isomorphism from  $R_0/J$  to  $\Lambda$ .

### Appendix: the Hamiltonian Lie superalgebra $\widehat{H}(n)$

This section is devoted to the construction of the Lie superalgebra  $\widehat{H}(n)$  considered in the proof of Theorem 8.3.

Let  $x_1, x_2, \dots, x_n$  be formal variables (with  $n > 0$ ). Let  $E$  be the exterior algebra on these variables. This algebra is graded by considering each  $x_i$  as a degree 1 variable. For each  $i$  there is a derivation  $\partial_i$  sending  $x_i$  to 1 and the other variables to 0. So we can define a bracket on  $E$  by:

$$[u, v] = \sum_i (-1)^{|u|} \partial_i(u) \wedge \partial_i(v)$$

where  $|u|$  is the degree of  $u$ . Let  $f$  be the linear form on  $E$  of degree  $-n$  sending  $x_1 \wedge x_2 \wedge \dots \wedge x_n$  to 1.

**Proposition A.1.** Let  $\widehat{H}(n)$  be the module  $E$  with the degree shifted by  $-2$ . Then the bracket  $[\ , \ ]$  induces on  $\widehat{H}(n)$  a structure of Lie superalgebra. Moreover the form:

$$u \otimes v \mapsto \langle u, v \rangle = f(u \wedge v)$$

is a nonsingular invariant supersymmetric form on  $\widehat{H}(n)$  of degree  $4 - n$ .

The center of  $\widehat{H}(n)$  is generated by 1. The derived algebra  $[\widehat{H}(n), \widehat{H}(n)]$  is the kernel of  $f$ . Moreover the quotient of  $\widehat{H}(n)$  by its center is isomorphic to the Hamiltonian Lie superalgebra  $\widetilde{H}(n)$ .

**Proof.** See [12] for a description of Hamiltonian algebras  $H(n)$  and  $\widetilde{H}(n)$ . The morphism from  $\widehat{H}(n)$  to  $\widetilde{H}(n)$  is given by:

$$x_{i_1} \wedge x_{i_2} \wedge \dots \wedge x_{i_p} \mapsto \sum_{1 \leq k \leq p} (-1)^{k-1} \theta_{i_1} \theta_{i_2} \dots \widehat{\theta_{i_k}} \dots \theta_{i_p} \frac{\partial}{\partial \theta_{i_k}}$$

and the proposition is easy to check.  $\square$

**Proposition A.2.** For  $n = 1$  or  $n$  even the module  $H^2(\widehat{H}(n))$  is trivial and  $\widehat{H}(n)$  has no central extension. If  $n$  is odd and bigger than 2,  $H^2(\widehat{H}(n))$  is 1-dimensional and generated by the cocycle  $u \otimes v \mapsto f(u)f(v)$ .

**Proof.** Let  $\varphi$  be a 2-cocycle. In order to determine  $\varphi$  we will need some notations:

- A vector in  $E$  is called basic if it is a product of distinct  $x_i$ 's (up to sign).
- The degree of a basic vector  $u$  is denoted by  $|u|$ .
- The support of a basic vector  $e = \pm x_{i_1} \wedge x_{i_2} \wedge \dots \wedge x_{i_p}$  is the set  $\{x_{i_1}, \dots, x_{i_p}\}$ .
- $\mathcal{B}$  is the set of collections of basic vectors with disjoint supports.

So we have the following:

$$\forall (u, v, w) \in \mathcal{B}, \quad [u \wedge v, u \wedge w] = \begin{cases} (-1)^{|u|+|v|} v \wedge w & \text{if } |u| = 1 \\ 0 & \text{otherwise.} \end{cases}$$

Since  $\varphi$  is a 2-cocycle, the following condition

$$(*) \quad (-1)^{|u||w|} \varphi([u, v] \otimes w) + (-1)^{|v||u|} \varphi([v, w] \otimes u) + (-1)^{|w||v|} \varphi([w, u] \otimes v) = 0$$

holds for every basic vectors  $u, v, w$ .

Consider three basic vectors  $u, v, w$ . There exist  $(e, \alpha, \beta, \gamma, x, y, z)$  in  $\mathcal{B}$  such that:

$$u = e \wedge \beta \wedge \gamma \wedge x \quad v = e \wedge \gamma \wedge \alpha \wedge y \quad w = e \wedge \alpha \wedge \beta \wedge z$$

and the only possibilities for which  $[u, v]$  or  $[v, w]$  or  $[w, u]$  is nonzero are the following (up to a cyclic permutation):

$$\begin{aligned} |e| &= 1, & |\alpha| &= |\beta| = |\gamma| = 0 \\ |e| &= 1, & |\alpha| &= |\beta| = 0, & |\gamma| &> 0 \\ |e| &= 1, & |\alpha| &= 0, & |\beta| &> 0, & |\gamma| &> 0 \\ |e| &= 0, & |\alpha| &= 1, & |\beta| &= |\gamma| = 0 \\ |e| &= 0, & |\alpha| &= 1, & |\beta| &> 1, & |\gamma| &= 0 \\ |e| &= 0, & |\alpha| &= 1, & |\beta| &> 1, & |\gamma| &> 1 \\ |e| &= 0, & |\alpha| &= |\beta| = 1, & |\gamma| &= 0 \\ |e| &= 0, & |\alpha| &= |\beta| = 1, & |\gamma| &> 1 \\ |e| &= 0, & |\alpha| &= |\beta| = |\gamma| = 1. \end{aligned}$$

By applying the condition (\*) to all these cases we get the following relations:

$$(R1) \quad (-1)^{|x||z|} \varphi(x \wedge y \otimes z \wedge e) + (-1)^{|y||x|} \varphi(y \wedge z \otimes x \wedge e) + (-1)^{|z||y|} \varphi(z \wedge x \otimes y \wedge e) = 0$$

$$(R2) \quad \varphi(\gamma \wedge z \wedge y \otimes x \wedge e \wedge \gamma) = (-1)^{|x||y|+|y|+1} \varphi(\gamma \wedge z \wedge x \otimes y \wedge e \wedge \gamma)$$

$$(R3) \quad \varphi(\beta \wedge \gamma \wedge y \wedge z \otimes \beta \wedge \gamma \wedge e \wedge x) = 0$$

$$(R4) \quad \varphi(y \wedge z \otimes x) = 0$$

$$(R5) \quad \varphi(\beta \wedge y \wedge z \otimes \beta \wedge x) = 0$$

$$(R6) \quad \varphi(\beta \wedge \gamma \wedge y \wedge z \otimes \beta \wedge \gamma \wedge x) = 0$$

$$(R7) \quad (-1)^{|x||y|+|x|+|y|} \varphi(\beta \wedge y \wedge z \otimes \beta \wedge x) = (-1)^{|y||z|} \varphi(\alpha \wedge z \wedge x \otimes \alpha \wedge y)$$

$$(R8) \quad (-1)^{|x||y|+(|y|+1)(|x|+|y|)} \varphi(\beta \wedge \gamma \wedge y \wedge z \otimes \beta \wedge \gamma \wedge x) = (-1)^{|y||z|+|y|} \varphi(\gamma \wedge \alpha \wedge z \wedge x \otimes \gamma \wedge \alpha \wedge y)$$

$$(R9) \quad (-1)^{|x||z|} \varphi(\alpha \wedge \beta \wedge x \wedge y \otimes \alpha \wedge \beta \wedge z) + (-1)^{|y||x|} \varphi(\beta \wedge \gamma \wedge y \wedge z \otimes \beta \wedge \gamma \wedge x) + (-1)^{|z||y|} \varphi(\gamma \wedge \alpha \wedge z \wedge x \otimes \gamma \wedge \alpha \wedge y) = 0.$$

Using relations (R4) and (R1) with  $|x| = |y| = 0$  and  $|z| = n - 1$  we get:

$$\forall (u, v) \in \mathcal{B}, \quad \varphi(u \otimes v) = 0.$$

Using relation (R5) we get:

$$\forall (u, v, w) \in \mathcal{B}, \quad |u| > 1, |u| + |v| + |w| < n \implies \varphi(u \wedge v \otimes u \wedge w) = 0.$$

With the relation (R3) we get:

$$\forall (u, v, w) \in \mathcal{B}, \quad 1 < |u| < n, |u| + |v| + |w| = n \implies \varphi(u \wedge v \otimes u \wedge w) = 0.$$

The relation (R2) implies:

$$\forall (u, v, w) \in \mathcal{B}, \quad |u| = 1, |w| > 0 \implies \varphi(u \wedge v \otimes w \wedge u) = \varphi(u \otimes v \wedge w \wedge u)$$

and since  $\varphi$  is antisymmetric:

$$\forall (u, v, w) \in \mathcal{B}, \quad |u| = 1 \implies \varphi(u \wedge v \otimes w \wedge u) = \varphi(u \otimes v \wedge w \wedge u).$$

Finally the relation (R7) implies:

$$\forall (u, v, w) \in \mathcal{B}, \quad |u| = |v| = 1 \implies \varphi(u \otimes w \wedge u) = \varphi(v \otimes w \wedge v)$$

and  $\varphi(u \otimes w \wedge u)$  depends only on  $w$  (if  $|u| = 1$ ) and  $\varphi(u \wedge v \otimes w \wedge u)$  depends only on  $[u \wedge v, w \wedge u]$ . Therefore there exist a linear morphism  $g$  and a scalar  $c$  such that:

$$\varphi(u \otimes v) = g([u, v]) + cf(u)f(v)$$

for every  $u$  and  $v$  in  $\widehat{H}(n)$ .

On the other hand  $\varphi$  is antisymmetric and:  $c(1 + (-1)^n) = 0$ .

If  $n$  is even,  $c = 0$  and  $\varphi$  is a coboundary. Then  $H^2(\widehat{H}(n))$  is trivial.

If  $n = 1$ ,  $u \otimes v \mapsto f(u)f(v)$  is a coboundary and  $H^2(\widehat{H}(n))$  is also trivial.

If  $n > 2$  is odd,  $H^2(\widehat{H}(n))$  is 1-dimensional and generated by the cocycle  $u \otimes v \mapsto f(u)f(v)$ .  $\square$

**Corollary A.3.** For  $n > 1$ ,  $H^2(H(n))$  is a 1-dimensional module generated by the central extension  $[\widehat{H}(n), \widehat{H}(n)] \rightarrow H(n)$ .

**Proof.** Let  $L$  be the algebra  $[\widehat{H}(n), \widehat{H}(n)]$  and  $L_0$  be the quotient  $\widehat{H}(n)/L$ . By looking in low degree the spectral sequence of the cohomology of the extension:

$$0 \rightarrow L \rightarrow \widehat{H}(n) \rightarrow L_0 \rightarrow 0$$

we get the following:

$$n \text{ even} \implies H^1(L) \simeq H^2(L) \simeq 0$$

$$n = 1 \implies d_2 : H^1(L) \xrightarrow{\simeq} H^2(L_0)$$

$$n > 2, n \text{ odd} \implies H^1(L) \simeq 0 \quad \text{and} \quad d_3 : H^2(L) \rightarrow H^3(L_0) \text{ is injective.}$$

So for  $n > 1$ ,  $H^1(L)$  is trivial.

Let  $Z$  be the center of  $L$ . The spectral sequence of the central extension:

$$0 \rightarrow Z \rightarrow L \rightarrow H(n) \rightarrow 0$$

implies that  $H^1(H(n))$  is trivial and the morphism  $d_2$  is an isomorphism from  $H^1(Z)$  to  $H^2(H(n))$ .  $\square$

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