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New methods for redistributing slack time in real-time systems: applications and comparative evaluations

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Abstract

This paper addresses the problem of scheduling hard and non-hard real-time sets of tasks that share the processor. The notions of singularity and *k*-schedulability are introduced and methods based on them are proposed. The execution of hard tasks is postponed in such a way that hard deadlines are not missed but slack time is advanced to execute non-hard tasks. In a first application, two singularity methods are used to schedule mixed systems with hard deterministic sets and stochastic non-hard sets. They are compared to methods proposed by other authors (servers, slack stealing), background and M/M/1. The metric is the average response time in servicing non-hard tasks and the proposed methods show a good relative performance. In a second application, the previous methods, combined with two heuristics, are used for the on-line scheduling of real-time mandatory/reward-based optional systems with or without depreciation of the reward with time. The objective is to meet the mandatory time-constraints and maximize the reward accrued over the hyperperiod. To the best of the authors' knowledge, these are the only on-line methods proposed to address the problem and outperform Best Incremental Return, often used as a yardstick.

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1. Introduction

Research in real-time systems covers several areas, e.g. operating systems, programming languages, architecture, fault tolerance, scheduling, etc. (Stankovic, 1988). In the classical definition, real-time systems are those in which results must be not only correct from an arithmetic-logical point of view but also produced before a certain instant, called *deadline*. If no deadline can be missed, the system is said to be *hard* as opposed to *soft* in which some deadlines may be missed. Scheduling theory addresses the problem of meeting the specified time constraints. When they are met the system is said to be *schedulable*.

In 1973, Liu and Layland published a seminal paper on the scheduling of hard real-time systems in a multitask-uniprocessor environment in which tasks are peri-

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odic, independent and preemptible. This is the only type of tasks considered on that paper.

Designing for the worst case (e.g. always maximum execution time and minimum interarrival time for every task) generally leads to an underutilization of resources when the worst case does not take place. To this, the unused time that appears, even in the worst case, when there are no hard tasks with pending execution must be added. In order to make the system more efficient by using the time left free by the hard set, combined sets of hard and non-hard real-time tasks were studied later, among them:

- (a) *Mixed* uniprocessor systems in which deterministic hard real-time tasks share resources with stochastic non-hard tasks (Sprunt et al., 1989; Lehoczky and Ramos-Thuel, 1992; Strosnider et al., 1995) and
- (b) hard mandatory/reward-based optional systems in which tasks have a hard mandatory part and an optional part with a non-decreasing reward function associated with its execution (Dey and Kurose, 1996; Aydin et al., 2001).

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The least common multiple of the periods is called the *hyperperiod*. The release of periodic tasks (ready to be executed) and their processing are the same in each hyperperiod. A certain fraction of the hyperperiod is devoted to the execution of the periodic tasks and the rest is idle time available for other uses. This time is called *slack*.

If the slack can be redistributed without compromising the time constraints, the execution of tasks not belonging to the real-time set can be made in such a way that a better service is given. The problem, then, is clearly defined as how to redistribute slack so that no hard deadline is missed but the quality of service (QoS) given to non-hard tasks is improved.

Orozco et al. (2000) introduced the notions of *singularity* and *k*-schedulability, used in methods for scheduling mixed systems. Later, Santos et al. (2002) expanded its use to reward-based systems. The purpose of this paper is to present a unified view of the theoretical approach and its applications. The results of extensive evaluations are analysed. The simulations are described to the extent that their correctness can be validated and the results repeated. In the absence of benchmarks, each simulation was performed using sets of tasks similar to those proposed by authors of competing methods to solve the same problem.

The rest of the paper is organized as follows: In Section 2, previous related work is reviewed. In Section 3 the notions of k-schedulability and singularity are presented and the new scheduling methods, based on those notions, are described. In Sections 4 and 5, the methods are applied to mixed and to reward-based systems, respectively. In Section 6, conclusions are drawn.

2. Related work

In what follows, previous related work of both types of combined systems is reviewed.

2.1. Hard periodic/non-hard stochastic tasks

In this type of systems, called mixed, besides the hard real-time set of tasks there are non-real-time tasks, with no deadlines. It is a common model, well suited to problems of the real world where hard tasks are periodic and therefore deterministic, while non-real-time are aperiodic and stochastic (Gonzalez Harbour and Sha, 1991; Lehoczky and Ramos-Thuel, 1992; Buttazzo and Caccamo, 1999).

The problem of redistributing slack in mixed systems has been addressed using servers and slack stealing. Servers are periodic tasks created for servicing aperiodic tasks. Three main types of servers have been proposed: *polling, sporadic* and *deferrable*. The polling server runs

periodically at a period equal to the minimum period in the set of tasks and the maximum execution time schedulable within that period. This server loses the unused slots in the period.

The sporadic (Sprunt et al., 1989; Gonzalez Harbour and Sha, 1991) and the deferrable (Strosnider et al., 1995) servers allow their capacity to be used throughout their periods (*bandwidth preserving* algorithms). They only differ in the way their capacity is replenished. In most applications, their performance is roughly similar.

In static slack stealing (Lehoczky and Ramos-Thuel, 1992; Ramos-Thuel, 1993), all the possible slack that can be taken from the hard set without causing any deadline to be missed is "stolen". It works by computing off-line the slack available at each task invocation in the hyperperiod. Results are saved in tables in the Operating System kernel. Except in very simple cases, the tables are rather large making the method unfeasible as a dynamic on-line algorithm for most practical engineering applications. On top of that, periods must be rigorously observed and no allowance for jitter can be made. However this method gives the best average results and it is used as a sort of benchmark in the comparative evaluations. An on-line version, dynamic slack stealing (Davis et al., 1993) avoids some of those restrictions but has a run time overhead such that it is unfeasible in practice. All the methods described are greedy in the sense that slack is used, at the highest priority level, immediately after it becomes available.

When the methods proposed in this paper are applied to the scheduling of mixed systems, they are not only unaffected by jitter but profit from it. In addition to that, when tasks are executed in less than their worst case execution time, the methods automatically adjust themselves to the varying conditions and take advantage of that surplus time to improve the service of non-hard tasks.

2.2. Hard mandatory/reward-based optional tasks

The processing of tasks decomposed in mandatory/ optional subtasks had been used previously in traditional iterative-refinement algorithms for imprecise computation in which the aim was to minimize the weighted sum of errors (Lin et al., 1987; Liu et al., 1991; Shih and Liu, 1995).

Dey and Kurose (1996), proposed two implementable scheduling policies for a class of *Increasing Reward with Increasing Service* (IRIS) problems. A top-level algorithm, common to both policies, is executed at every task arrival to determine the amount of service to be allocated to each task; later, a bottom-level algorithm, different for each policy, determines the order in which tasks are executed. However, tasks are not decomposed in a mandatory (with a minimum service time) and an optional part. Instead tasks receive whatever time can be

assigned to them before their deadline expires. Although mandatory subtasks may be introduced, these will not have guaranteed hard deadlines. In fact, an additional performance metric, the probability of missing a task's deadline before it can receive its mandatory amount of service, is introduced.

Chung et al. (1990) proposed different mandatory first schemes, all sharing the condition that mandatories are always executed first. They differ in the policy according to which optional parts are scheduled (earlier-deadline-first, least-laxity-first, etc.). The time allocated to optionals is not the result of slack reshuffling but mere empty background time that appears when there are no hard tasks to be executed. Aydin et al. (2001) compared the schemes and found that allocating background slack to the optional contributing most to the total reward at that moment produces the best results among all the mandatory first methods. Because of this, the method is called Best Incremental Return and it is often used as a yardstick.

Aydin et al. (2001) presented an off-line reward-based method. It is based on the use of linear programming maximizing techniques and this requires the reward functions to be continuously differentiable. Also, in order to reduce the number of unknowns, it imposes the constraint that the optional part of each task receives the same service time at every task instantiation, resulting in a rather rigid system. In addition to that, the proposed method provides solutions not only subject to that constraint but also in which mandatory parts cannot be scheduled according to the Rate Monotonic discipline unless the periods are harmonic. The use of that discipline, important because it is a de facto standard, is then limited to that particular class of problems.

When the methods presented in the present paper are applied to the scheduling of reward-based systems, the only requirement imposed on the reward functions is their computability at every instant. To the best of the authors' knowledge, these methods are the only ones proposed up to now to schedule mandatory/optional reward systems by an on-line redistribution of slack time.

3. k-Schedulability and singularities

When two or more periodic tasks compete for the use of the processor, some rules must be applied to allocate its use. This set of rules is called *priority discipline*. In a static fixed priority discipline all tasks are assigned a priority once for all. If tasks are ordered by decreasing rates or, what is the same, by increasing periods, the discipline is called *Rate Monotonic*, notated RM. The task with the shortest period has the highest priority. Some additional rule must be provided to break ties.

Liu and Layland (1973) proved that Rate Monotonic is optimal among the Fixed Priority disciplines. It is

supported by the US Department of Defence and consequently adopted, among others, by IBM, Honeywell, Boeing, General Electric, General Dynamics, Magnavox, Mitre, NASA, Naval Air Warfare Center, Paramax and McDonnell Douglas (Obenza, 1993). No doubt it is a de facto standard, at least in the US. Therefore it makes sense to use it whenever possible, especially in applied systems that may find their way to the market.

Several methods have been proposed for testing the RM schedulability of real-time systems (Liu and Layland, 1973; Joseph and Pandya, 1986; Lehoczky et al., 1989; Santos and Orozco, 1993). In the Empty Slots method (Santos and Orozco, 1993), time is considered to be slotted and the duration of one slot is taken as the unit of time. Slots are notated t and numbered t, t, The expressions at the beginning of slot t and instant t mean the same. Tasks are preemptible at the beginning of slots. As proved by Liu and Layland (1973), the worst case of load occurs when all tasks are released simultaneously at t = 1.

A set of n independent preemptible periodic tasks is completely specified as $\mathbf{S}(n) = (C_1, T_1, D_1), (C_2, T_2, D_2), \dots, (C_n, T_n, D_n)$, where C_i , T_i and D_i , denote the worst case execution time, the period and the deadline of task i, denoted τ_i , respectively. A common assumption is that $\forall i \ D_i = T_i$. In what follows, and for the sake of simplicity, it is assumed that tasks are released at the beginning of slots and that execution times, periods and deadlines are multiples of the slot time. These constrictions can be easily relaxed.

Santos and Orozco (1993) formally proved that S(n) is RM schedulable iff

$$\forall i \in (1, 2, \dots, n) \quad T_i \geqslant \text{least } t | t = C_i + \sum_{h=1}^{i-1} C_h \left[\frac{t}{T_h} \right] \quad (1)$$

where [] denotes the ceiling operator.

The RM scheduling does not leave empty slots if there are tasks pending execution. Therefore, slots go empty only when all the tasks released in the interval [1, empty slot] have been executed. The right hand member of (1) represents the C_i th slot left empty by $\mathbf{S}(i-1)$. The condition, therefore, is intuitively clear: τ_i can be added to the system of (i-1) tasks keeping the expanded system schedulable if and only if before its deadline there are enough empty slots to execute it and to give way to tasks of higher priority. Liu and Layland (1973) proved that the worst case of load takes place when all tasks are released simultaneously at t=1.

The last term in the right hand member in (1) is called the *work function*, denoted $W_{i-1}(t)$. If M denotes the hyperperiod (the least common multiple of the periods of the n tasks), the expression

$$W_n(M) = \sum_{i=1}^n C_i \frac{M}{T_i}$$

Table 1
The specification of the system

i	C_i	T_i	
1	1	3	
2	2	5	
3	1	15	

gives the number of slots necessary to process all the tasks belonging to S(n) in the interval [1, M]. The slack (or number of empty slots in the hyperperiod) will be $M - W_n(M)$.

Example. Let S(3) be the system specified in Table 1. The three tasks are RM ordered

The test for the RM schedulability follows. It starts with T_1 . Since there are no tasks of higher priority, the first condition is

for
$$i = 1$$
 $T_1 \ge \text{least } t | t = 1$

The first slot meeting the condition is t = 1. Since $T_1 = 3 \ge 1$, the subsystem of only one task is RM schedulable.

for
$$i = 2$$
 $T_2 \geqslant \text{least } t | t = 2 + \lceil t/3 \rceil$

The first slot meeting the condition is t = 3. Since $T_2 = 5 \ge 3$, the subsystem of two tasks is RM schedulable.

for
$$i = 3$$
 $T_3 \ge \text{least } t | t = 1 + 2 \lceil t/3 \rceil + \lceil t/5 \rceil$

The first slot meeting the condition is t = 5. Since $T_3 = 15 \ge 5$, the system of three tasks is RM schedulable. In Fig. 1, the processing of the tasks is depicted. Note that $W_3(15) = \lceil 15/3 \rceil + 2\lceil 15/5 \rceil + \lceil 15/15 \rceil = 12$. The number of empty slots is therefore 15 - 12 = 3. They are slots 9, 14 and 15.

The utilization factor of task τ_i is defined as C_i/T_i . Conceptually it is the fraction of processor time used by τ_i . The total utilization factor is then $\sum_{i=1}^{n} C_i/T_i$. If it is less than 1, empty slots will be available to expand the system by incorporating other tasks. If it is equal to 1, the system is saturated and no task can be added. If it is larger than 1, the system is oversaturated and unschedulable whichever the policy used.

A hard real-time system S(n) is said to be k-RM schedulable if it is RM schedulable in spite of the fact that in the interval between its release and its deadline,

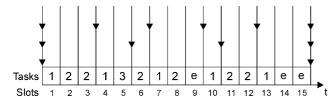


Fig. 1. The evolution of the system. \downarrow denotes the release of the task.

each task admits that k slots are devoted to the execution of tasks not belonging to the system (Orozco et al., 2000).

Theorem 1. A system
$$S(n)$$
 is k-RM schedulable iff $\forall i \in (1, 2, ..., n)$ $T_i \geqslant \text{least } t | t = C_i + k + W_{i-1}(t)$

Proof. In order to meet its deadline after the worst case of load of S(i-1), each task τ_i must have C_i slots to be executed, k slots to admit execution of tasks $\notin S(n)$ and $W_{i-1}(t)$ slots to allow the execution of tasks of higher priority. \square

It must be noted that k is the lower bound on $\{k_i\}$ where k_i is defined as

$$k_i = \max k | T_i \geqslant \text{least } t | t = C_i + k + W_{i-1}(t)$$
 (2)

The complexity of the test for the k-schedulability is the same as that for the RM schedulability. Santos and Orozco (1993) proved it to be $O(n \times T_n)$, where T_n denotes the maximum period in the system.

Corollary. If a hard real-time system S(n) is k-RM schedulable, the k first slots following the worst case of load can be used to execute tasks $\notin S(n)$.

Proof. It follows from the definition of k-RM schedulability and Theorem 1. \square

A *singularity*, s, is a slot in which all the real-time tasks released in [1, (s-1)] have been executed. Note that s-1 can be either an empty slot or a slot in which a last pending real-time task completes its execution. s is a singularity even if at t=s, real-time tasks are released.

Theorem 2. If a hard real-time system S(n) is k-RM schedulable, the k slots of an interval [s, (s+k-1)] can be used to execute tasks $\in S(n)$.

Proof. At s-1 the last pending task of the interval [1,(s-1)] is executed. Therefore at t=s there are at most the same requirements that in the worst case of load. Then, if S(n) is k-RM schedulable after the worst case of load, it will be k-RM schedulable after s. \square

A singularity s_i is a slot in which all tasks belonging to S(i), released in the interval $[1, (s_i - 1)]$ have been executed.

The results presented above can be used to devise methods for scheduling a set S(n) of hard periodic realtime tasks sharing the processor with other, aperiodic, tasks $\notin S(n)$. Two methods are proposed. The first one, *single singularity detection*, notated SSD, is based on the detection of s; whenever possible, k slots, slated for tasks $\notin S(n)$, are generated and used to service them. The second method, multiple singularity detection, notated MSD, refines the first one by detecting all singularities s_i .

The basic SSD method is implemented by means of one counter (content denoted AC). The algorithm is:

- (1) AC = k at t = s.
- (2) Tasks $\notin \mathbf{S}(n)$ are executed if $AC \neq 0$.
- (3) AC is decremented by one on each slot assigned to a task $\notin \mathbf{S}(n)$.

The basic MSD method is implemented by means of n counters (contents denoted AC_i). The algorithm is:

- (1) $\forall g \in \{1, 2, ..., i\}, AC_g = k_g \text{ at } t = s_i.$
- (2) Tasks $\notin \mathbf{S}(n)$ are executed if $\forall i \in \{1, 2, ..., n\}$ $AC_i \neq 0$.
- (3) $\forall i \in \{1, 2, ..., n\} AC_i$ is decremented by one on each slot assigned to a task $\notin \mathbf{S}(n)$.

It must be noted that since empty slots are singularities, counters will be reloaded at them.

4. Mixed systems

The methods, as explained in the previous section, are directly applied to the scheduling of mixed systems in which a set of periodic tasks is mixed with stochastic aperiodic tasks. The hard set is RM scheduled and the aperiodic tasks serviced in first in first out (FIFO) order. The FIFO queue is long enough for no aperiodic request to be lost. A real world application would be a processor controlling a section of an automated manufacturing line. Reading data from sensors, processing them and setting actuators are all periodic real-time tasks. Eventually, a human operator may require statistical information about the process, the display of mimic screens, etc. These would be aperiodic non-real-time tasks.

Tia et al. (1996) proved that no algorithm can be optimal in the sense of yielding the minimum response time for every aperiodic request. What is sought here is an on-line method that yields an average aperiodic delay that compares favourably to delays produced by other methods proposed to address the same problem.

Strosnider et al. (1995) designed the performance evaluation methodology used in what follows. The metric is average response time, defined as the time elapsed between the release of the aperiodic task and the completion of its execution, without causing any hard task to miss its deadline.

Simulations are carried out for different utilization factors of the periodic load, $U_{\rm p}$, and different utilization factors and mean service time of the aperiodic load ($U_{\rm a}$ and μ , respectively). The average delay in servicing the aperiodic requests is determined for the polling and deferrable servers, SSD, MSD and slack stealing. The

performance of background and M/M/1 is also determined. Background is the simplest way to schedule slack. It consists in letting slots left empty by the periodic set appear naturally and use them as they appear. As can be seen it is absolutely passive. M/M/1 assumes no periodic load and therefore gives a lower bound on the average aperiodic delay. All the compared methods are greedy. Two non-greedy methods proposed by Tia et al. (1996) have an average performance similar to that of the greedy methods.

The aperiodic arrival and service times follow a Poisson and an exponential distribution respectively. For each simulation, periods of 10 sets of 10 periodic tasks each are generated at random with the only constraints that the minimum period is 550 and the hyperperiod is 23 100. Execution times are adjusted to produce the different utilization factors.

4.1. Setting the experiments

In each simulation, the periodic utilization factor is kept constant (0.4, 0.5 and 0.6). The aperiodic utilization factor is varied in steps of 0.1 to produce total utilization factors (periodic plus aperiodic) of up to 0.9.

In a first series of simulations, the ratio of aperiodic utilization factor to deferrable server utilization factor, $U_a/U_{\rm DES}$, is kept under 0.7. The mean service time ($\mu=5.5$) is much smaller (two orders of magnitude) than the server's capacity, both measured in slots. In a second series, $U_a/U_{\rm DES}>0.7$ and the mean service time, although smaller than the server's capacity, is substantially increased ($\mu=55$).

4.2. Results obtained

Obtained results are presented in Figs. 2–4 for the first series of simulations and Figs. 5–7 for the second one. In both cases, the performance of the different methods for an increasing aperiodic load added to a periodic load of constant utilization factor is depicted. As could be expected, the maximum average response time in the whole set of experiments takes place for the background method combined with the higher utilization factors and the higher mean service time (Fig. 7).

In both series, for a given periodic utilization factor, the aperiodic average delay increases with the aperiodic load. This is a feature common not only to all scheduling methods but also to M/M/1. The following notation is used in the figures: BGR, background; POS, polling server; DES, deferrable server; SSD, single singularity detection; MSD, multiple singularity detection; SLS, slack stealing.

In the first series, MSD is close to slack stealing and M/M/1. For the lower values of $U_{\rm p}$ (0.4 and 0.5) the performance of the deferrable server, although in between those of the singularity methods, is closer to SSD.

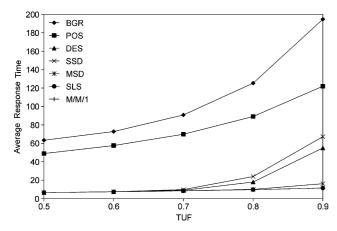


Fig. 2. Average response time vs. total utilization factor. $U_{\rm a}/U_{\rm DES}<0.7,~U_{\rm p}=0.4,~\mu=5.5.$ SLS and M/M/1 are practically superimposed.

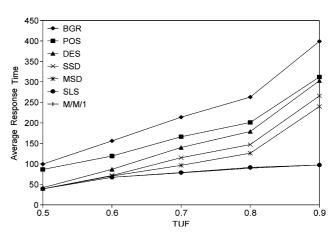


Fig. 5. Average response time vs. total utilization factor. $U_{\rm a}/U_{\rm DES}>0.7,~U_{\rm p}=0.4,~\mu=55.$ SLS and M/M/1 are practically superimposed.

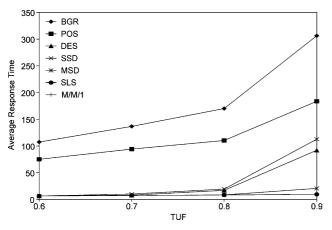


Fig. 3. Average response time vs. total utilization factor. $U_{\rm a}/U_{\rm DES} < 0.7,~U_{\rm p}=0.5,~\mu=5.5.$ SLS and M/M/1 are practically superimposed.

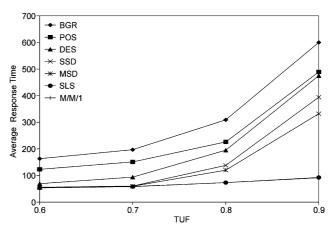


Fig. 6. Average response time vs. total utilization factor. $U_{\rm a}/U_{\rm DES}>0.7,~U_{\rm p}=0.5,~\mu=55.$ SLS and M/M/1 are practically superimposed.

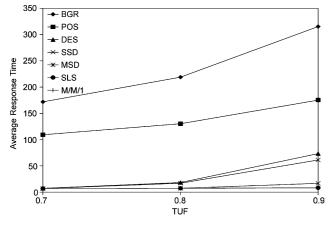


Fig. 4. Average response time vs. total utilization factor. $U_{\rm a}/U_{\rm DES} < 0.7, \, U_{\rm p} = 0.6, \, \mu = 5.5.$ DES and SSD are very close as well as MSD and SLS. SLS and M/M/1 are practically superimposed.

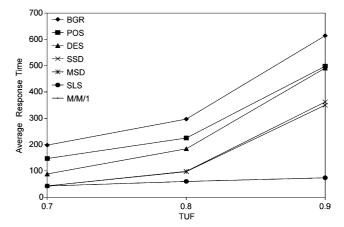


Fig. 7. Average response time vs. total utilization factor. $U_{\rm a}/U_{\rm DES}>0.7,~U_{\rm p}=0.6,~\mu=55.$ SLS and M/M/1 are practically superimposed. The same happens to SSD and MSD.

For $U_p = 0.6$, it shows larger delays than both of the singularity methods.

In the second series, the performances of both servers converge. That kind of convergence also takes place between the singularity methods. Although MSD separates from slack stealing, both outperform the deferrable server. MSD always produces better results than SSD. This is basically because counters are reloaded more often, therefore increasing the possibility of advancing the execution of optionals. A feature common to all methods is that for a given periodic utilization factor the response is much slower for higher ratios $U_{\rm a}/U_{\rm DES}$ and longer service times of the aperiodic load.

4.3. Analysis of results

The performance of the deferrable server vs. other methods, for the case of a lightly loaded server, has been analysed by Strosnider et al. (1995). Results presented there were found to be consistent with those obtained in the first series of simulations in which the aperiodic utilization factor is less than 70% of the server's utilization factor and the mean service time is much smaller than the server's capacity. Those facts, added to the possibility of using the server's capacity throughout the period, make an earlier execution of many aperiodic tasks possible, thus reducing the average response time.

In the second series of simulations, the server's load is increased. Its performance under this type of load was not analysed by Strosnider et al. (1995). When the server is saturated, an important fraction of the aperiodic load is executed in background slots. As a consequence, the relative advantages of the deferrable over the polling server are lost and both performances converge. In the same way, after the few slots available from the detection of singularities are used, the relative advantage of MSD over SSD is lost and both performances also converge. As a matter of fact, the second series of simulations were performed first and it was the disagreement with the results reported by Strosnider et al. (1995) that pinpointed the importance of the ratio U_a/U_{DES} and the value of μ in the relative performance of the different methods. This only confirms the fact that performances are highly dependent on the set of tasks used to evaluate them.

From the point of view of the methods proposed here, the important result is that since slack stealing is an off-line method and background and the polling server systematically show poor performances, the only real contenders are the deferrable server and the singularity methods. The deferrable server is consistently outperformed by MSD, which, for light loads shows a performance very close to slack stealing and M/M/1. In the case of heavy loads, the deferrable server is even outperformed by the simpler SSD. The overhead of the singularity methods is reduced to setting counters on

singular slots and regressing the count when slots are allocated to the execution of non-hard tasks.

5. Reward-based systems

In the context of this section, a task can be seen as $\tau_i = M_i \cup O_i$, where M_i and O_i denote the mandatory and the optional parts or subtasks of τ_i , with execution times m_i and o_i respectively. Obviously, the previous schedulability condition should be applied only to the mandatory part to be RM scheduled.

Let $\mathbf{S}(n) = \{(m_1, o_1, T_1, D_1), (m_2, o_2, T_2, D_2), \dots, (m_n, o_n, T_n, D_n)\}$ be the set of independent preemptible periodic mandatory/optional tasks to be scheduled using RM for the mandatory subsystem and a reward-based scheme for the optional subsystem. Following the assumption that $\forall i \ D_i = T_i$, the optional part of a task must be executed within the period in which the mandatory part was executed.

Associated to each optional part there is a reward function. Realistic reward functions are non-decreasing linear (constant returns) or concave (diminishing returns). Maximizing the total reward is, in general, an NP-hard problem (Aydin et al., 2001).

As opposed to other methods reviewed in Section 2, in the singularity methods (Santos et al., 2002) the mandatory subsystem is RM scheduled and the rewardfunctions do not necessarily have to be continuously differentiable. The methods can be used on-line, they have a light overhead and, in general, outperform the best non-redistributive method. On top of that, when there is a reduction in the worst-case execution time of a mandatory part or the arrival of a task is delayed, that gain time may be fully used for the execution of optional parts. These, in turn, may even have different reward functions along successive stretches of its execution or change in reaction to the environment, making the system a truly adaptive one (Kuo and Mok, 1997). A real world application would be autonomous mobile service robots navigating in indoor environments (Surman and Morales, 2000). When going along a corridor, the measurement of the distance to the nearest wall must have a better resolution than that to the opposite wall and, therefore, its reward must be higher. When the robot is far from a T-junction at the end of a corridor, measuring the distance to the wall in front pays a low reward. When it is near the junction and must negotiate the turn, a better resolution is needed and the reward function is changed in order to increase it.

It must be pointed out that in what follows the only restriction that will be imposed on the reward functions is their computability at every slot of the optional part. To the best of the authors' knowledge, no other method proposed up to know to address this problem shares this advantage and the previous ones.

From the results in Section 3 it can be concluded that if the mandatory subsystem is k-RM schedulable, the k slots of an interval [s, (s + k - 1)] can be used to execute tasks of the optional subsystem. The combination of the single and multiple singularity methods, SSD and MSD, with two sets of heuristic rules (1 and 2) produce four methods, generically notated SH (for singularity/ heuristic), for the on-line scheduling of real-time mandatory/reward-based optional systems. They are specifically designated SSD1, MSD1, SSD2 and MSD2. Heuristics must be added because reshuffling slack by advancing empty slots and executing optional parts in them is not enough to improve performance. There will be cases in which high reward optionals will be associated to low priority mandatories and vice-versa. In those cases, advancing the execution of optionals can produce adverse results if an executed high priority mandatory enables the execution of its low reward associated optional, preempting the execution of a high reward optional associated to a low priority mandatory not yet executed.

The rationale for the first heuristic is to preclude the execution of a low reward optional when the only reason to do it is that its high priority mandatory has been executed. If the highest reward optional is not available, mandatories are executed in normal slots following the RM discipline. The use of advanced slack is postponed until the optional of highest reward is ready. The method, therefore, is not greedy.

The rationale for the second heuristic is similar to the first one. However, if the highest reward optional is not enabled, its associated mandatory is executed in the available advanced slack slots, even at the cost of violating the RM ordering. The method is therefore greedy.

In what follows, the algorithms of the four methods are described following the notation of Section 3. SSD1 steps are:

- (1) AC = k at t = s.
- (2) If $AC \neq 0$ and there is not any pending mandatory with an associated optional of higher reward *then* an optional is executed *else* an RM ordered mandatory is executed.
- (3) AC is decremented by one on each slot assigned to an optional part.

SSD2 steps are:

- (1) AC = k at t = s.
- (2) If $AC \neq 0$ and there is not any pending mandatory with an associated optional of higher reward *then* an optional is executed *else* that mandatory is executed (even if it violates the RM ordering).
- (3) AC is decremented by one on each slot assigned to an optional part or to a mandatory violating the RM ordering.

MSD1 steps are:

- (1) $\forall g \in \{1, 2, ..., i\}, AC_g = k_g \text{ at } t = s_i.$
- (2) If $\forall i \in \{1, 2, ..., n\}$, $AC_i \neq 0$ and there is not any pending mandatory with an associated optional of higher reward *then* an optional is executed *else* an RM ordered mandatory is executed.
- (3) $\forall i \in \{1, 2, ..., n\}, AC_i$ is decremented by one on each slot assigned to an optional part.

MSD2 steps are:

- (1) $\forall g \in \{1, 2, ..., i\}, AC_g = k_g \text{ at } t = s_i.$
- (2) If $\forall i \in \{1, 2, ..., n\}$, $AC_i \neq 0$ and there is not any pending mandatory associated with an optional of higher reward *then* an optional is executed *else* that mandatory is executed (even if it violates the RM ordering).
- (3) If an optional is executed then $\forall i \in \{1, 2, ..., n\}, AC_i$ is decremented by one *else* only the counters corresponding to tasks of higher priority than the violating mandatory are decremented by one.

As explained in Section 3, it must be noted that since empty slots are singularities, counters will be reloaded at them. The methods are bandwidth preserving in the sense that the slots generated at each singularity do not necessarily have to be used immediately after it. A given optional may wait until the next release of its associated mandatory or until the next singularity. At that moment, however, the counters are reloaded and reshuffled slack slots are made available. Updating the counters is the only overhead of the SH methods. As explained in Section 2, if slack is not redistributed, the mandatory parts are always executed first. Various disciplines can be used to choose the optional to be executed but it has been found that the best results are obtained if each background empty slot is allocated to the optional subtask contributing most to the total reward. The method is called best incremental return, notated BIR.

The following simple example is designed to illustrate the basic mechanism behind the singularity methods but, what is more, it provides important clues to explain the results of the large simulations presented later.

Example. The system is specified in Table 2. Deadlines are assumed equal to periods. Reward functions are exponential and the SSD1 and BIR methods are used. The mandatory subsystem is the one used in the example in Section 3.

The evolution of the system, SSD1 scheduled, is shown in the first line in Fig. 8. Its k, calculated using expression (2), is 1. Thus at t = 1, AC = 1, but no optional can be executed because no mandatory has completed its execution. At t = 2, O_1 could be executed,

Table 2
The specification of the reward-based system

i	m_i	o_i	T_i	f_i
1	1	2	3	$5(1 - e^{-t})$
2	2	2	5	$7(1 - e^{-5t})$
3	1	2	15	$2(1 - e^{-3t})$

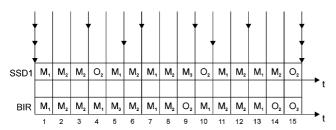


Fig. 8. The evolution of the system. \(\) denotes the release of the task.

but the scheduler prevents it because the execution of M_2 with an associated optional of higher reward is still pending. At t = 4, after executing M_2 , O_2 is executed. It is also executed at t = 10 and t = 15 after the counter is reloaded. The total reward is 21.

When the same problem is scheduled with the BIR method, the first slot of O_2 is executed at t=9 and at t=14. At t=15, the first slot of O_3 is executed because it pays a higher reward than the second slot of O_2 . Note that slots 9, 14 and 15 were found to be the background slots left empty by the mandatory subsystem in the example of Section 3. Total reward is only 17 and the rewards ratio (SSD1/BIR) is 1.22. It should be noted that SSD1 reaches the optimal reward: three slots are available for optional executions and all of them are used to process the optional of highest reward. The mandatory utilization factor is 0.80.

If $m_3 = 2$, the mandatory utilization factor is 0.86. There are two empty slots that SSD1 and BIR use at (4, 15) and (14, 15), respectively. The rewards ratio is 1.37.

If $m_3 = 3$, the mandatory utilization factor is 0.93. There is only one empty slot used by SSD at t = 4 and by BIR at t = 15. However, the rewards ratio is only 1.0 because both methods execute the same optional. In this

case, advancing the execution does not produce a better result. As can be seen the rewards ratio (SSD1/BIR) increases with the mandatory utilization factor, reaches a maximum circa 0.9 and then decreases.

The comparative evaluations were performed using:

- (a) The synthetic set proposed in one of the outstanding papers published on the subject (Aydin et al., 2001).
- (b) Randomly generated sets of tasks and reward functions invariant over time.
- (c) Randomly generated sets of tasks and reward functions that depreciate with time.

5.1. The synthetic set

It was chosen in the absence of a benchmark and in order to have an evaluation unbiased in favour of the SH methods. The set is presented in Table 3. There are 11 tasks with periods (equal to deadlines) in the range 20–2160 and whole (mandatory plus optional) worst-case execution times in the range 10–300.

There are three general reward functions: exponential, logarithmic and linear, with specific coefficients for each task. For the three reward functions, the evaluation started with a first instantiation in which all mandatory execution times were taken equal to 1 slot, giving a total mandatory utilization factor of 0.19. In the second instantiation, $m_i = 1$ for i = 1, 2, ..., 10, and $m_{11} = 101$. In successive instantiations, the mandatory execution times were varied following an odometer-type mechanism, with different rates for each task. When the maximum execution time is surpassed, m_i has completed a "turn" and returns to 1. Then, m_{i-1} advances one position, etc. This mechanism generates a wide variety of m_i combinations, more than 50 000 for each reward function and each SH method. In the represented utilization's factor range [0.35, 0.95] many of them produce the same total mandatory utilization factor down to the hundredths and this fact allows the comparison between the SH methods and BIR with 99% confidence intervals.

The metric used to evaluate the four SH methods is the ratio between total reward obtained by each of them

Table 3 Specification of the synthetic set

Task	T_i	$m_i + o_i$	Exponential	Logarithmic	Linear	_
1	20	10	$15(1 - e^{-t})$	$7 \ln(20t + 1)$	5t	
2	30	18	$20(1-e^{-3t})$	$10 \ln(50t + 1)$	7t	
3	40	5	$4(1 - e^{-t})$	$2\ln(10t+1)$	2t	
4	60	2	$10(1 - e^{-0.5t})$	$5 \ln(5t+1)$	4t	
5	60	2	$10(1 - e^{-0.2t})$	$5\ln(25t+1)$	4t	
6	80	12	$5(1 - e^{-t})$	$3\ln(30t+1)$	2t	
7	90	18	$17(1 - e^{-t})$	$8 \ln(8t + 1)$	6 <i>t</i>	
8	120	15	$8(1 - e^{-t})$	$4\ln(6t+1)$	3t	
9	240	28	$8(1 - e^{-t})$	$4\ln(9t+1)$	3t	
10	270	60	$12(1-e^{-0.5t})$	$6\ln(12t+1)$	5 <i>t</i>	
11	2160	300	$5(1-\mathrm{e}^{-t})$	$3\ln(15t+1)$	2t	

and the total reward obtained by BIR in the hyperperiod. The ratio is plotted vs. mandatory utilization factor for each method and for each of the three reward functions. For the sake of clarity the curves representing the confidence intervals are not shown but they are very close to the performance ratio curves. Results are depicted in Figs. 9–12.

5.2. Set of tasks and reward functions randomly generated

Without major analysis it is clear that evaluating performances using the synthetic set produced exponential and logarithmic reward shapes that could be anticipated from the example at the beginning of this section. In the linear case, however, the ratio between the singularity and the background methods produced a flat response around unity. This could be caused by the type of reward (linear), by the set (synthetic) or by both.

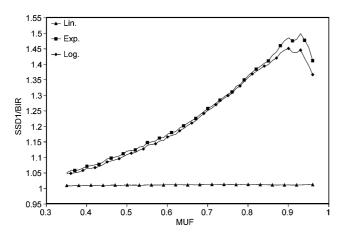


Fig. 9. Synthetic set. SSD1 reward/BIR reward vs. mandatory utilization factor.

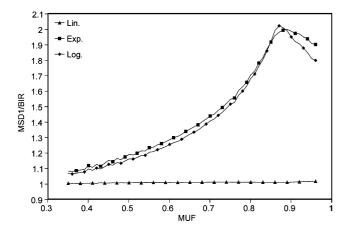


Fig. 10. Synthetic set. MSD1 reward/BIR reward vs. mandatory utilization factor.

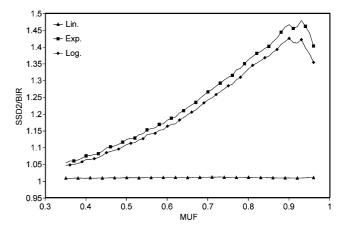


Fig. 11. Synthetic set. SSD2 reward/BIR reward vs. mandatory utilization factor.

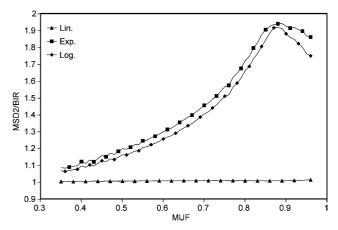


Fig. 12. Synthetic set. MSD2 reward/BIR reward vs. mandatory utilization factor.

In order to clarify the matter new performance evaluations were conducted by simulations performed using sets of tasks and reward functions generated at random. The fact that performance evaluations are highly dependent on the set of tasks used to make them was confirmed.

More than 57000 sets of 10 tasks were randomly generated with all random variables uniformly distributed. The sample space of the 10 periods was (20, 30, 40,..., 600) with the added condition that the hyperperiod could not exceed 32000. The total mandatory utilization factor was randomly chosen in the interval [0.12, 0.96]. The total optional utilization factor was calculated as 2 minus the total mandatory. The optional subsystem was therefore oversaturated making sure that there were always optionals ready to be executed in available slots. The worst case mandatory execution time and the optional execution time were randomly assigned to each task in such a way that both utilization

factors are met and the condition $m_i + o_i \leq T_i$ always holds.

Associated to each task, three reward functions were randomly generated. As in the synthetic case, they were linear (At), exponential $(A(1-e^{-Bt}))$ and logarithmic $(A\ln(Bt+1))$. The three functions were generated in such a way that, for $t=o_i$, all reach the same maximum value, an integer randomly generated in the interval [4, 40]. The coefficient A of the linear function follows immediately as well as the minimum function value, obtained for t=1. The coefficients A of the exponential and logarithmic functions were generated at random within intervals derived from the maximum and minimum values of the linear function. The coefficients B were adjusted to produce the desired exponential or logarithmic function values for $t=o_i$. Results are depicted in Figs. 13–16.

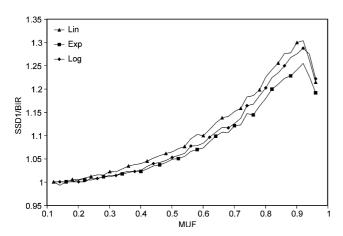


Fig. 13. Randomly generated set. SSD1 reward/BIR reward vs. mandatory utilization factor.

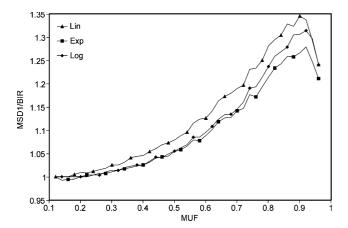


Fig. 14. Randomly generated set. MSD1 reward/BIR reward vs. mandatory utilization factor.

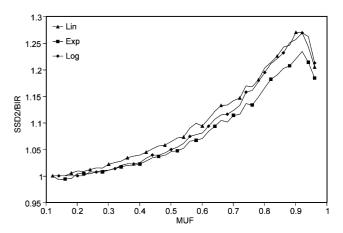


Fig. 15. Randomly generated set. SSD2 reward/BIR reward vs. mandatory utilization factor.

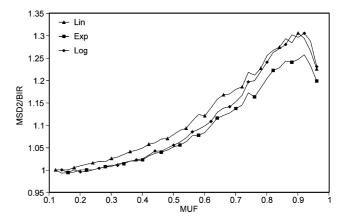


Fig. 16. Randomly generated set. MSD2 reward/BIR reward vs. mandatory utilization factor.

5.3. Randomly generated sets of tasks and reward functions depreciated with time

In certain applications, the value of reward functions depreciates with time because it may be not worth refining a mandatory when its optional is executed long after the end of the execution of the mandatory. In that case it may be better to execute an optional that, although of initial lower reward, refines a mandatory executed nearer in time.

Dynamic image processing is an example in which refining a measurement that, because of the time elapsed since it was taken, may have underwent a considerable variation, may be of little use. This behaviour can be obtained by depreciating the reward function as the gap in time between the end of the execution of a mandatory and the beginning of the execution of its associated optional increases.

Let $f_r(t)$ denote the reward function associated to a generic task (for the sake of clarity, subscripts i are omitted in the reward and depreciation functions).

Although the reward is a function of time, the function itself may or may not vary with time. In the first case, because of the reasons explained above, the function may be depreciated from the moment the mandatory finishes its execution. If t_e denotes the last slot of execution of the mandatory, the depreciation is given by a function f_d , decreasing with time and such that if O_i is executed immediately after t_e , the reward is not depreciated. If, on the contrary, there is a gap between t_e and the first slot assigned to O_i or there are gaps between slots assigned to O_i , the reward function is depreciated.

The maximum number of slots that can be assigned to O_i is $T_i - m_i$. This happens when m_i successive slots are assigned to M_i immediately after its release. $f_d(x)$ must then be defined in an interval $[0, T_i - m_i]$. $f_d(0) = 1$ and $f_d(T_i - m_i) = a$, where a may be a random variable uniformly distributed, for instance, in the sample space [0.01, 0.1]. f_d may be any decreasing function going through those two points, for example

$$\exp\left(-\frac{|\ln(a)|}{T_i-m_i}x\right)$$

The reward function must not be depreciated if there is not any gap between the end of the execution of M_i and the beginning of O_i . Therefore, at $t = t_e + 1$, $f_d(x)$ must take the value 1. It follows that $x = t - t_e - 1$. In Fig. 17, the exponential depreciating function $f_d(x)$ is depicted. It should be noted that the shorter the period the faster the function depreciates. Because of this, all other conditions being equal, the scheduler will also tend to execute less depreciated optionals, farther away from its new mandatory instantiation.

In order to evaluate the performance of the SH methods when scheduling systems with depreciating rewards, more than 40 000 sets of 10 tasks each were randomly generated with all random variables uniformly distributed. The sample space of the periods was (10, 20,..., 600) with hyperperiods not larger than 32 000. The total mandatory utilization factor varies in the interval [0.12, 0.98]. The total optional mandatory utilization factor was calculated as 2 minus the total mandatory utilization factor; in that way, there are always optionals to be executed when empty slots appear.

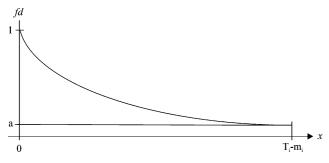


Fig. 17. The exponential depreciation function $f_d(x)$ in $[0, T_i - m_i]$.

Mandatory and optional execution times were generated at random but consistent with the utilization factors and with the only restriction that $m_i o_i \leq T_i$.

Each reward function has its own depreciation function, both randomly generated. Thus the depreciated reward function is

$$f_{\rm dr}(t) = f_{\rm r}(t)f_{\rm d}(t - t_{\rm e} - 1)$$

with the proviso of suspending the decay of f_d while O_i is executed.

The simulations were performed with an exponential depreciation as explained above. Results are depicted in Figs. 18–21.

5.4. Analysis of results

Except for the linear reward function in the case of the synthetic set, the curves representing the ratio of SH rewards to BIR rewards have the same shape. The clues to explaining this behaviour were anticipated in the previous example.

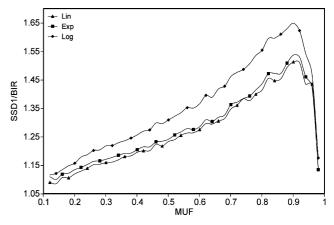


Fig. 18. Depreciated set. SSD1 reward/BIR reward vs. mandatory utilization factor.

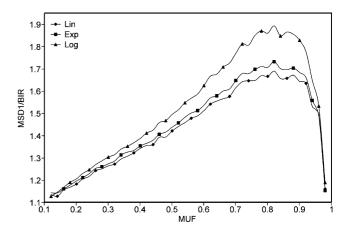


Fig. 19. Depreciated set. MSD1 reward/BIR reward vs. mandatory utilization factor.

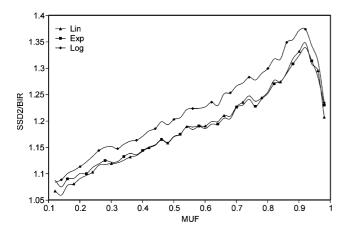


Fig. 20. Depreciated set. SSD2 reward/BIR reward vs. mandatory utilization factor.

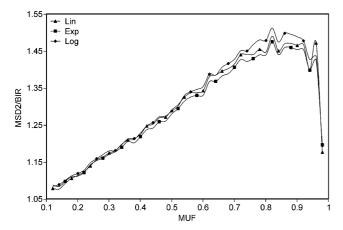


Fig. 21. Depreciated set. MSD2 reward/BIR reward vs. mandatory utilization factor.

When the utilization factor is low, background slots appear early in the process and there is not much difference between BIR and the SH methods. As the utilization factor increases, the early appearance of reshuffled slack and the possibility of assigning it to the processing of high reward optionals start to bear and the SH methods clearly outperform BIR.

The ratio increases steadily, reaches a maximum in the vicinity of a 0.9 mandatory utilization factor, and then decreases. This is due to the fact that the number of slots available for optionals is so small that the potentiality of the SH methods cannot be fully exploited. Although still better than BIR, the rewards ratio decreases

MSD1 and MSD2 perform better than their single counterparts. Although the number of empty slots is the same in every case, multiple singularities produce an earlier appearance of slots used to execute high reward optionals that would otherwise be lost. The first heuristic produces results slightly better than the second one. Except for the linear reward function in the case of

the synthetic set, there is not much dispersion among the different types of reward functions.

It should be noted that in the linear case all reward functions start at the origin, do not cross each other, the returns are constant and, therefore, while it has optionals to be executed, the steepest one is chosen sooner or later, regardless of the method used. For instance, if the reward functions of the example in Section 4.2 were linear and the function associated to task 2 were the steepest one, the BIR method would have chosen a second slot of O_2 instead of a first slot of O_3 in slot 15, and both methods would yield the same total reward over the hyperperiod.

The synthetic set is particularly prone to this kind of behaviour. Note, for instance, that the steepest linear function is associated to task 2, with a high total execution time ($m_2 + o_2 = 18$) and a low period ($T_2 = 30$). For low mandatory utilization factors, background empty slots appear early and the number of task 2 optionals that may be executed in the hyperperiod is high. For high mandatory utilization factors the number of empty slots available for optionals decreases but, because of the high reward associated to them, task 2 optionals are executed in background slots, even if they appear late. SH and BIR rewards are very similar and that explains why the curve representing their ratio is practically horizontal near unity.

In the random set, contrary to the synthetic case, the number of optionals of the high reward linear functions is not always large and therefore optionals of lower reward are executed. The advance of the empty slots allows a full use of the SH capabilities. The result is that the rewards ratio increases with the mandatory utilization factor in the linear case as well. Sets with depreciated rewards show a similar performance. For heavy mandatory utilization factors, the improvement in rewards across all methods, evaluation sets and reward functions, varies approximately between 30% and 100%.

6. Conclusions

In order to make systems more efficient by using the time left free by hard real-time tasks, mixed and reward-based systems have been studied. In this paper, two online methods have been presented for that purpose. They are based on the notions of *k*-schedulability and singularities. Essentially, they try to redistribute the time left free in such a way that the QoS given to non-hard tasks is improved (mixed) or the reward accrued over time is increased (reward-based).

The singularity methods have been evaluated against methods proposed by other authors to solve the same problem. It was found that in the case of mixed systems, the only real contender was the deferrable server. The metric used was the average delay in servicing the non-hard tasks. The server's performance was in between the two singularity methods' performances for light loads of the server. For heavy loads, it was outperformed by both. For certain types of load, the more sophisticated singularity method even approached M/M/1, a lower bound on the average delay.

In the case of reward-based systems, there are no active on-line contenders. The singularity methods must therefore be tested against methods that not only are off-line but also require the reward functions to be continuously differentiable because of the optimization algorithm used. On the contrary, the only requirement imposed by the singularity methods on the reward functions is their computability at every slot. Also, reward functions may change in reaction to the environment making the system a truly adaptive one. Finally, there are no restrictions for the use of the RM scheduling discipline, an important fact having in mind that it is a de facto standard and the methods are applied to products that may find their way to the market. The metric used was the ratio of the rewards obtained by the proposed methods and BIR, a passive non-redistributive method often used as a yardstick. For heavy utilization factors, the improvement in the rewards ratio across all the combinations of singularity methods and heuristics, evaluation sets and reward functions, varied between approximately 30% and 100%.

Since the singularity methods have proved to be a useful tool for the redistribution of slack time, other applications will be sought, for instance the determination of fault tolerance in hard real-time systems.

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