

#### MONASH INFORMATION TECHNOLOGY

# FIT2004 Algorithms and Data Structures

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Referencing materials by Nathan Companez, Aamir Cheema, Arun Konagurthu and Lloyd Allison





## Faculty of Information Technology, Monash University

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Ready?

Quick Sort



- Quick Sort
  - Analysis of time
  - Analysis of space



- Quick Sort
  - Analysis of time
  - Analysis of space
  - But in detail!





- Quick Sort
  - Analysis of time
  - Analysis of space
  - But in detail!
    - Partitioning strategy etc...







Let us begin...

## Brief description



How would you describe quick sort?



- How would you describe quick sort?
  - Divide and conquer





- How would you describe quick sort?
  - Divide and conquer







- How would you describe quick sort?
  - Divide and conquer



- How would you describe quick sort?
  - Divide and conquer
- Partition-based on the pivot
  - Smaller to the left of pivot
  - Bigger to the right of pivot



- How would you describe quick sort?
  - Divide and conquer
- Partition-based on the pivot
  - Smaller or equal to the left of pivot
  - Bigger to the right of pivot



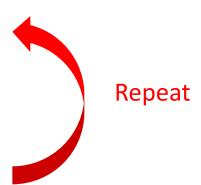
- How would you describe quick sort?
  - Divide and conquer
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  - Smaller or equal to the left of pivot
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- Divide based on the partition

## Brief description



- How would you describe quick sort?
  - Divide and conquer
- Partition-based on the pivot
  - Smaller or equal to the left of pivot
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Divide based on the partition





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## Questions?

## Example



Given a list



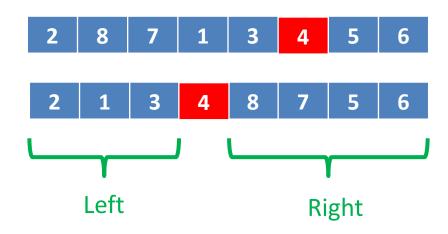


- Given a list
- Choose a pivot (doesn't matter which)





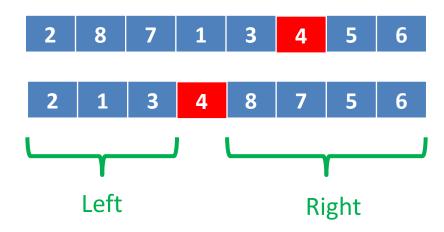
- Given a list
- Choose a pivot (doesn't matter which)
- Ensure invariant
  - Left <= pivot</pre>
  - Right > pivot



## Example

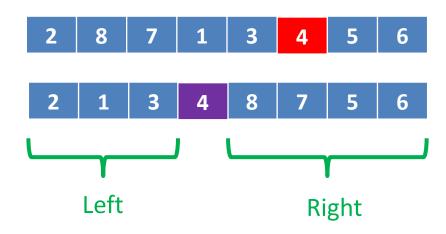


- Given a list
- Choose a pivot (doesn't matter which)
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  - Left <= pivot</p>
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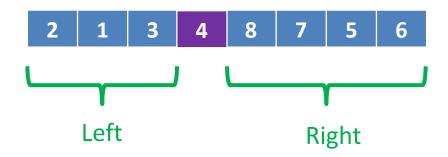


- Given a list
- Choose a pivot (doesn't matter which)
- Ensure invariantLeft <= pivot</li>Right > pivot
- Pivot is now in sorted position (or in order)





- Given a list
- Choose a pivot (doesn't matter which)
- Ensure invariantLeft <= pivot</li>Right > pivot
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- Then we repeat for left and right



## Example



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## Example



- Given a list
- Choose a pivot (doesn't matter which)
- Ensure invariant
  - Left <= pivot</pre>
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- Pivot is now in sorted position (or in order)
- Then we repeat for left and right
- Till sorted





## Questions?

## **Partitioning**



What is partitioning?



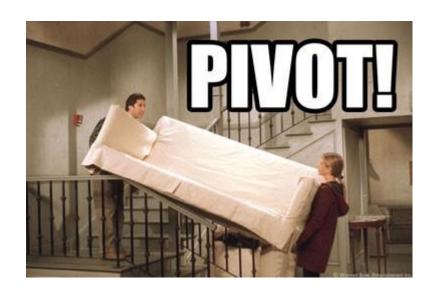
- What is partitioning?
  - Separate the list into parts



- What is partitioning?
  - Separate the list into parts
  - Here, mainly the left and the right

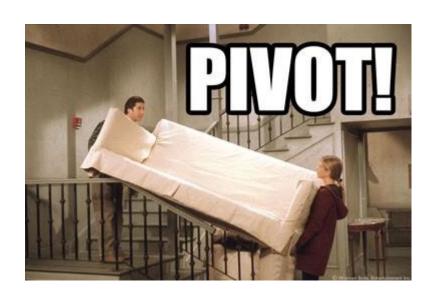


- What is partitioning?
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- Partition is based-on pivot





- What is partitioning?
  - Separate the list into parts
  - Here, mainly the left and the right
- Partition is based-on pivot
  - Out-of-place
  - Hoare's
  - Lomuto's





# Questions?

# **Out-of-place Partitioning**









Not in-place



**LEFT** 

**RIGHT** 

# **Out-of-place Partitioning**

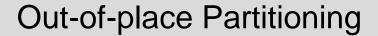


Not in-place

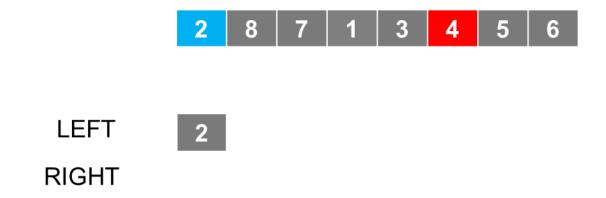


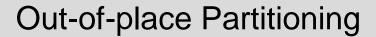
**LEFT** 

**RIGHT** 

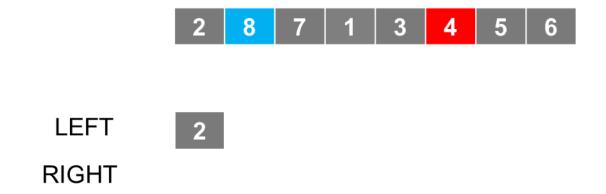






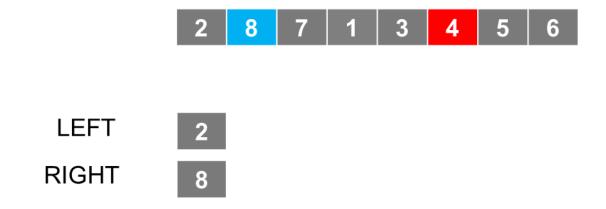






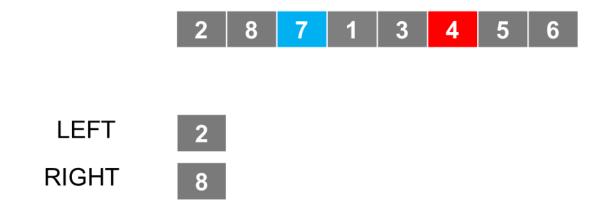
# **Out-of-place Partitioning**





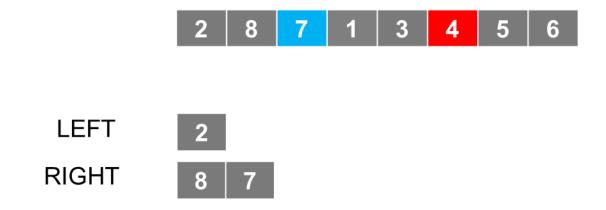
## **Out-of-place Partitioning**





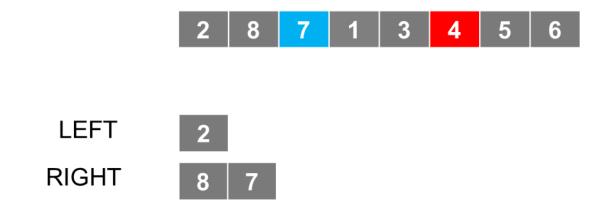
# Out-of-place Partitioning





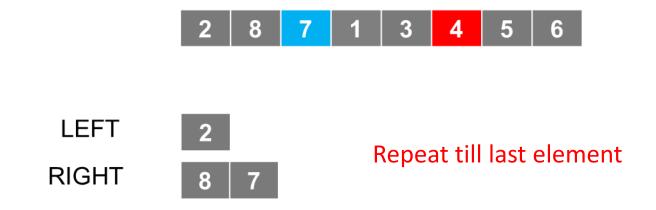
# Out-of-place Partitioning





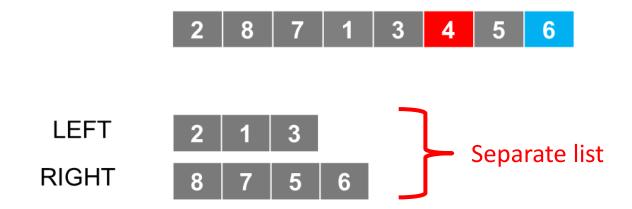
# Out-of-place Partitioning





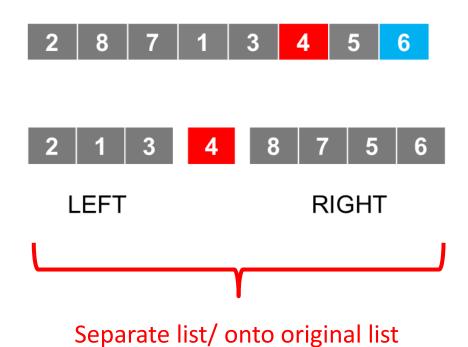
# **Out-of-place Partitioning**





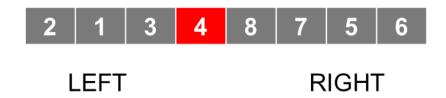
## **Out-of-place Partitioning**

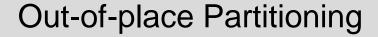




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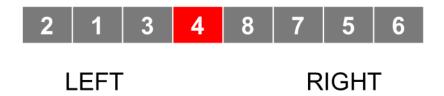


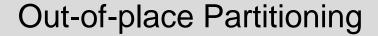






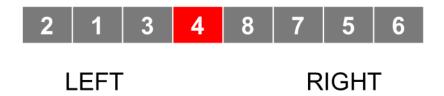
- Not in-place
  - Need left temporary list
  - Need right temporary list

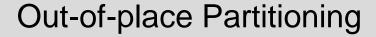






- Need left temporary list
- Need right temporary list
- Combined back to the original list



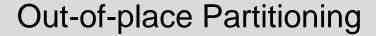




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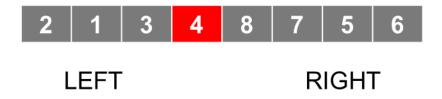


— Is the algorithm stable?

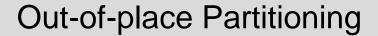




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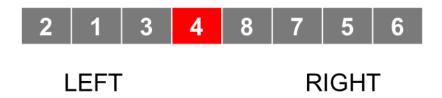


- Is the algorithm stable?
  - <= pivot to the left</p>
  - > pivot to the right





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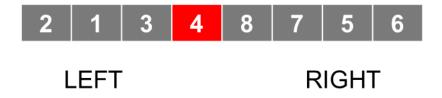


- Is the algorithm stable?
  - <= pivot to the left: everything == pivot to the left of the pivot!</p>
  - > pivot to the right

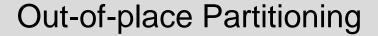
# **Out-of-place Partitioning**



- Need left temporary list
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  - <= pivot to the left: everything == pivot to the left of the pivot!</p>
  - > pivot to the right
  - But we can make it stable by having 2 separate list for == pivot
    - Anything can be stable with more memory!

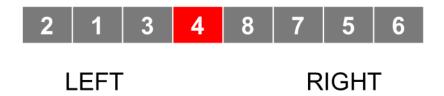
## **Out-of-place Partitioning**



## Not in-place

- Need left temporary list
- Need right temporary list
- Combined back to the original list

O(N) additional space beside recursive stack



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  - <= pivot to the left: everything == pivot to the left of the pivot!</p>
  - > pivot to the right
  - But we can make it stable by having 2 separate list for == pivot
    - Anything can be stable with more memory!



# Questions?



- We want to make it in-place
- We want to make it fast
- We want to make it stable



- We want to make it in-place
  - Save memory
- We want to make it fast
- We want to make it stable



- We want to make it in-place
  - Save memory
- We want to make it fast
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  - Can we?



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  - Can we?
- I will use Nathan's slide here for consistency
  - But I will add in notes on top

# Partitioning: In place (Hoare's)

Swap pivot to the front (position 1)

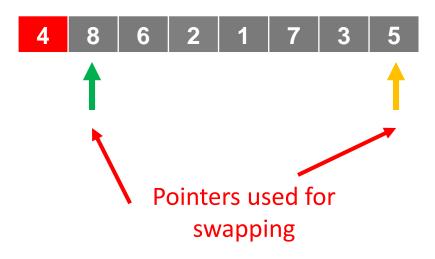


2 8 6 4 1 7 3 5

# Partitioning: In place (Hoare's)

Swap pivot to the front (position 1)

$$L_bad = 2$$
,  $R_bad = N$ 



Swap pivot to the front (position 1)

$$L_bad = 2$$
,  $R_bad = N$ 



Terminating condition

Swap pivot to the front (position 1)

 $L_bad = 2$ ,  $R_bad = N$ 

Repeat until L\_bad and R\_bad cross

move L\_bad right until we find a "bad" element, i.e. > pivot move R\_bad left until we find a "bad" element, i.e. < pivot swap these elements



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Recall left <= pivot right > pivot

Swap pivot to the front (position 1)

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Swap once only per element

FIT2004: Lec-3: Quick Sort and its Analysis

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Let's start!

Swap pivot to the front (position 1)

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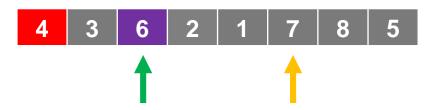
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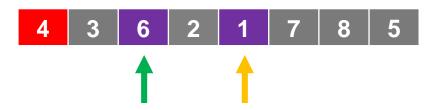
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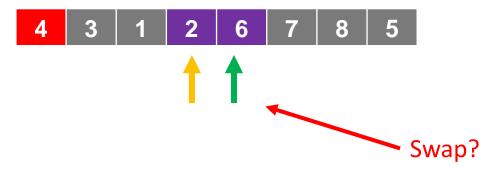
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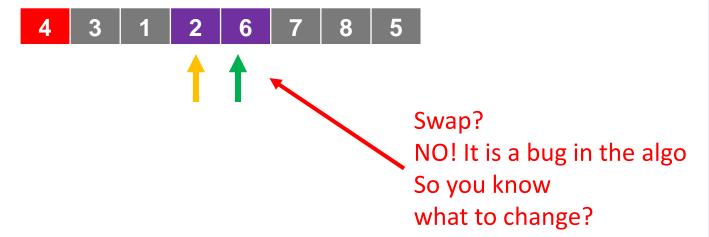
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swap pivot to R\_bad



4 is now in sorted position!

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move R\_bad left until we find a "bad" element, i.e. < pivot

swap these elements

swap pivot to R\_bad



Repeat LEFT and RIGHT



### Questions?

### In-place Partitioning (Hoare's)





- Invariant?
  - L\_bad?
  - R\_bad?

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### In-place Partitioning (Hoare's)

- L\_bad? Everything to left to L\_bad is less/ same than pivot
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## In-place Partitioning (Hoare's)



- L\_bad? Everything to left to L\_bad is less/ same than pivot
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- Between? Not processed yet...

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### In-place Partitioning (Hoare's)

- L\_bad? Everything to left to L\_bad is less/ same than pivot
- R\_bad? Everything to right of R\_bad is great than pivot
- Between? Not processed yet...
- What about the pivot? Think about it…



### Questions?



- We want to make it in-place
  - Save memory
- We want to make it fast
  - Avoid copying many items
  - Avoid swapping many times
    - Main focus here: Swap every item only once except pivot
- We want to make it stable
  - Can we?



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  - Can we?
  - We can add a condition for L\_bad and R\_bad to help it be stable by using the original index of the pivot with some math...

### Uni

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  - But we know from Tutorial 03 we can make anything stable with memory...



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  - The final swapping of the pivot from 1<sup>st</sup> position to R\_bad would mess up the stability
  - But we know from Tutorial 03 we can make anything stable with memory... but won't be in place...



### Questions?

## In-place Partitioning (Hoare's)



Code it out and see...

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## In-place Partitioning (Hoare's)

- Code it out and see…
  - There is another special edge case that cause this algorithm to fail...

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## In-place Partitioning (Hoare's)

- Code it out and see…
  - There is another special edge case that cause this algorithm to fail...
  - Unless you add in a special check =)

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## In-place Partitioning (Hoare's)

- Code it out and see...
  - There is another special edge case that cause this algorithm to fail...
  - Unless you add in a special check =)
  - I might answer this on Slack later since no interaction for online =(



## Questions?



- This is the one you are familiar with
  - From FIT1008



- This is the one you are familiar with
  - From FIT1008
- In place



- This is the one you are familiar with
  - From FIT1008
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- Swap each element multiple times



- This is the one you are familiar with
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- In place
- Swap each element multiple times
- ... and still unstable



- This is the one you are familiar with
  - From FIT1008
- In place
- Swap each element multiple times
  - Worse than Hoare's
- ... and still unstable



- This is the one you are familiar with
  - From FIT1008
- In place
- Swap each element multiple times
  - Worse than Hoare's
- ... and still unstable
- Easier to understand
- Easier to implement
  - Recall some of the bugs and fail cases
     I mentioned in Hoare's algorithm shown...



## Questions?

## **Partitioning**



- So you now learnt all 3
  - Out-of-place
  - Hoare's
  - Lomuto's

## **Partitioning**



- So you now learnt all 3
  - Out-of-place
  - Hoare's
  - Lomuto's
- And the entire partitioning gave us an idea to improve it more...
  - Due to the stability concern...

## **Partitioning**



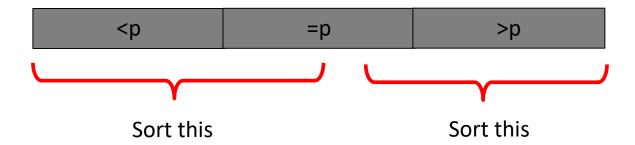
- So you now learnt all 3
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## Partitioning...



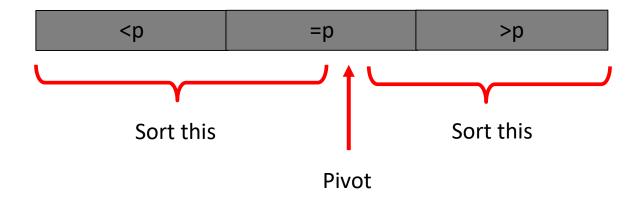
## Partitioning...





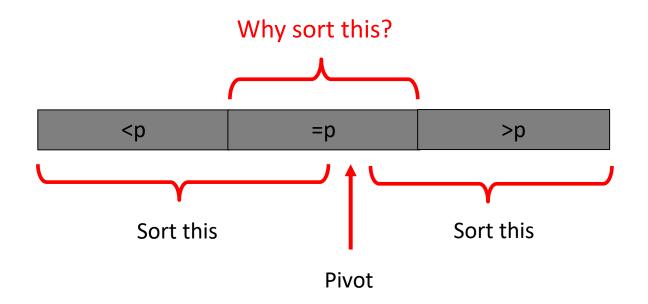
## Partitioning...





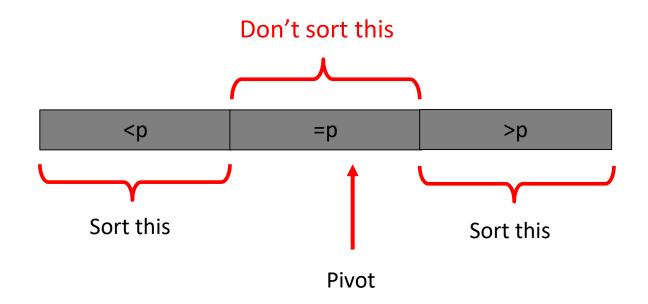
## Partitioning...



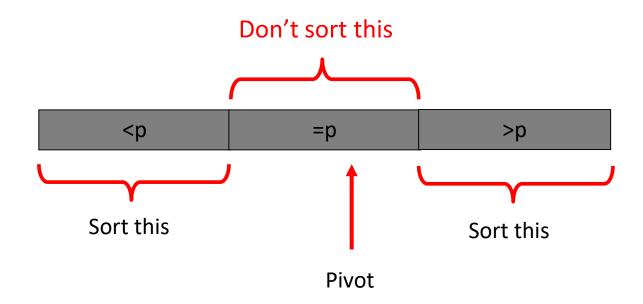


## Partitioning...



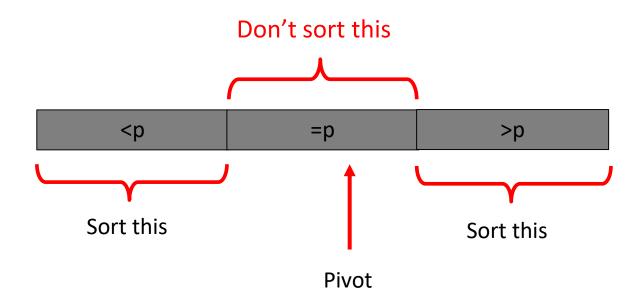


## Partitioning...



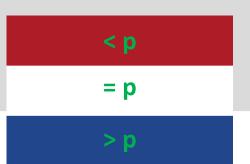
## Partitioning...

The stability issue however gives us an idea...

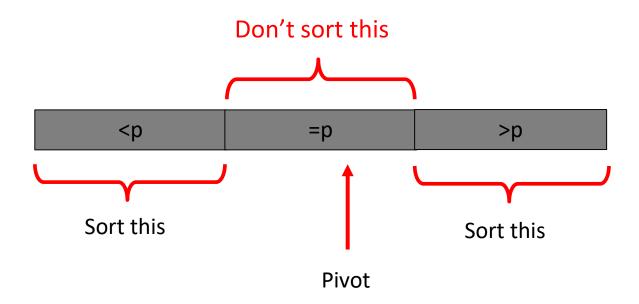


This lead us to the Dutch national flag problem

Partitioning...



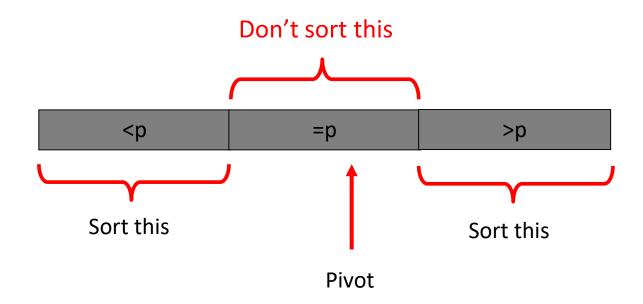
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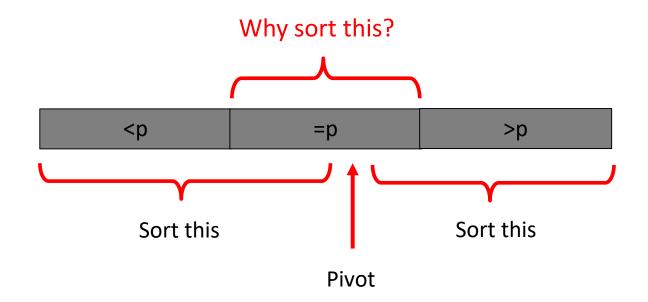




- This lead us to the Dutch national flag problem
  - Let us look at Nathan's illustration

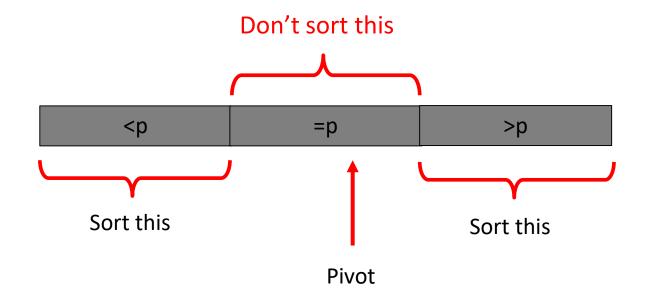
## Partitioning...



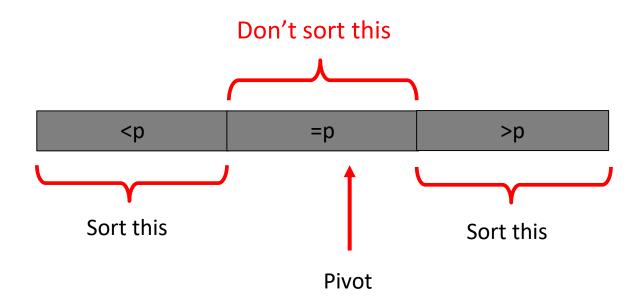


## Partitioning...



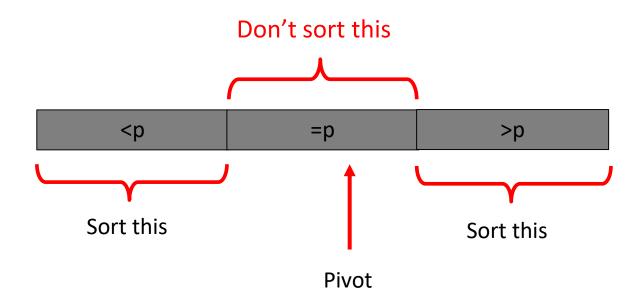


## Partitioning...



## Partitioning...

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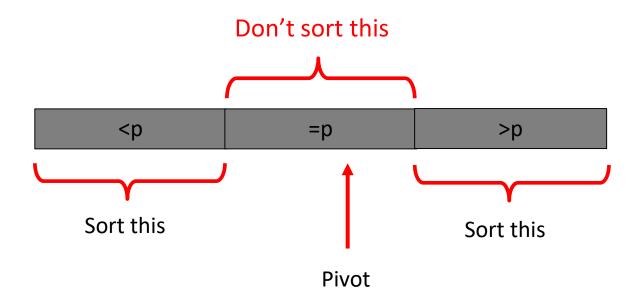


This lead us to the Dutch national flag problem

Partitioning...

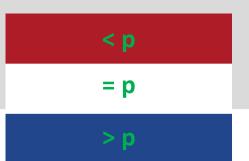


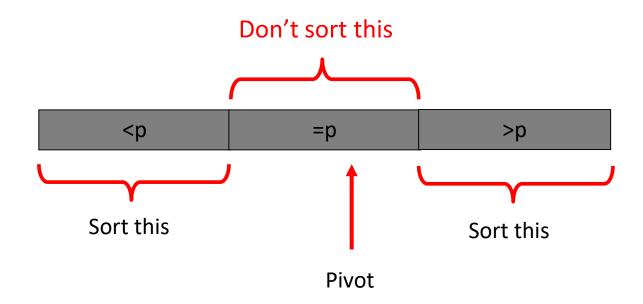
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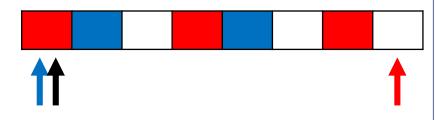




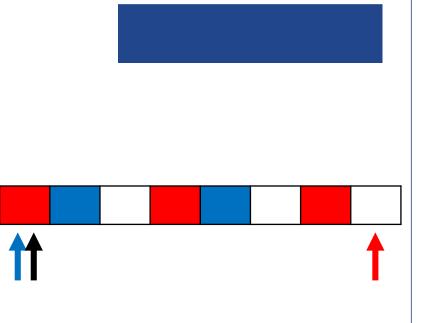
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boundary1=1, j=1 boundary2 = n

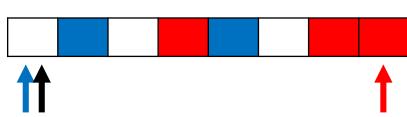




```
boundary1=1,
j=1
boundary2 = n
While j <=boundary2
  if array[j] is blue
     swap array[boundary1], array[j]
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     i += 1
  elif array[j] is red
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     boundary2 -= 1
  else
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```

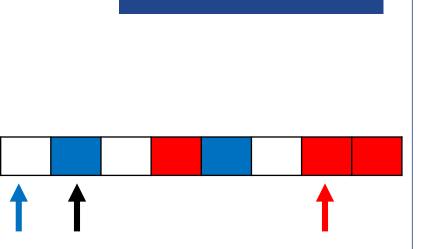


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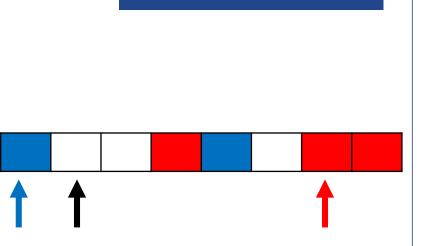


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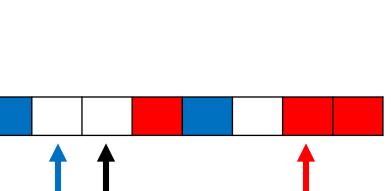
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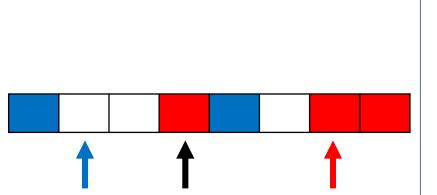
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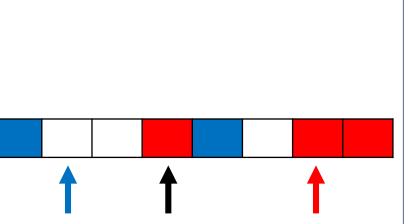
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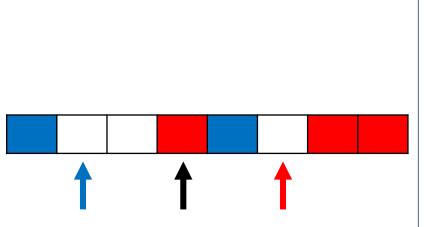
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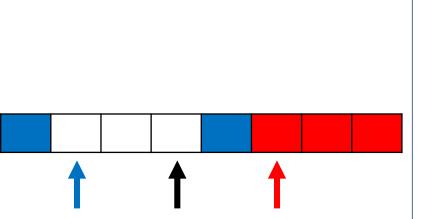
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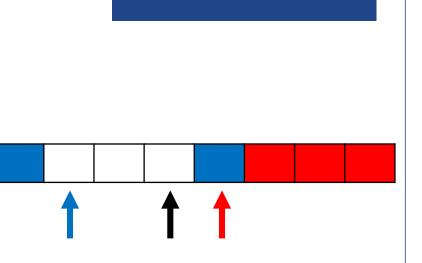
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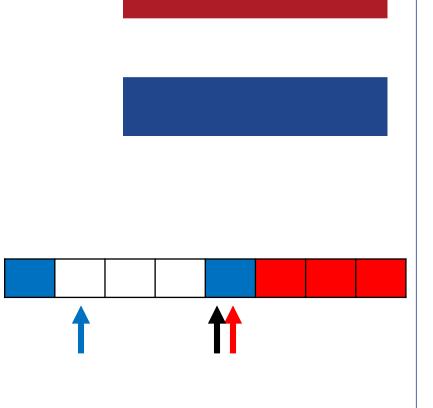
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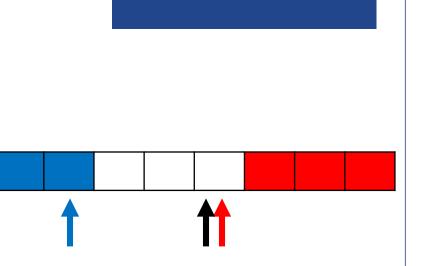
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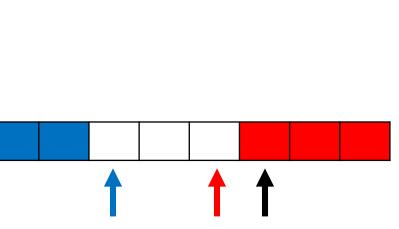
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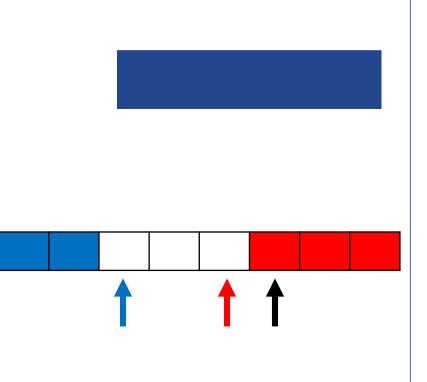
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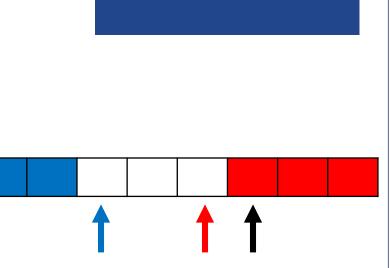
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Return boundary1, boundary2
```



Now quicksort the red and blue parts



## Questions?

### **Dutch Flag**



- What are the invariants?
  - List[1...boundary1-1] is blue
  - List[boundary2+1...N] is red
  - List[boundary1...j-1] is white
  - List[j....boundary2] is unprocessed
    - This will be empty when I exit loop at j>boundary2

### **Dutch Flag**



- What are the invariants?
  - List[1...boundary1-1] is blue
  - List[boundary2+1...N] is red
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    - This will be empty when I exit loop at j>boundary2

- Note it depends if you define boundary1 and boundary2 to be inclusive or exclusive when coding...
- Code it yourself, there is a specific case which this algorithm still fails
  - You'll need extra if-else in the loop itself



## Questions?



- Minimize swaps
- Minimize work in recursive sort
- Be in-place



- Minimize swaps
  - We saw this with Hoare's
- Minimize work in recursive sort
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- Minimize swaps
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- Stable?



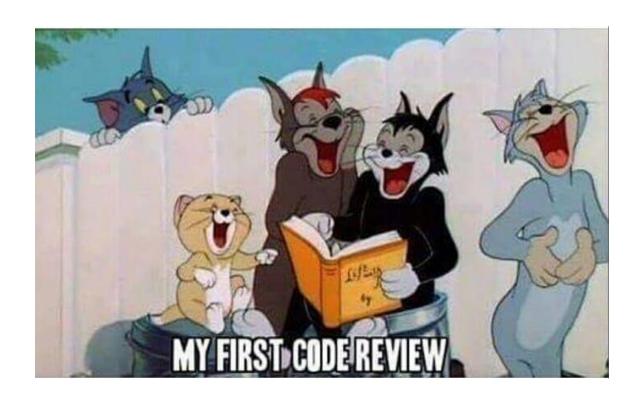
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- Minimize work in recursive sort
  - We saw this with Dutch national flag
  - Left partition and right partition is smaller now
- Be in-place
  - Save memory
- Stable?
  - We discuss more in the tutorials...



## Partitioning...



 Activity, why not we search online together and judge people's quick sort! #CodeReview





## Questions?

## **Complexity Analysis**



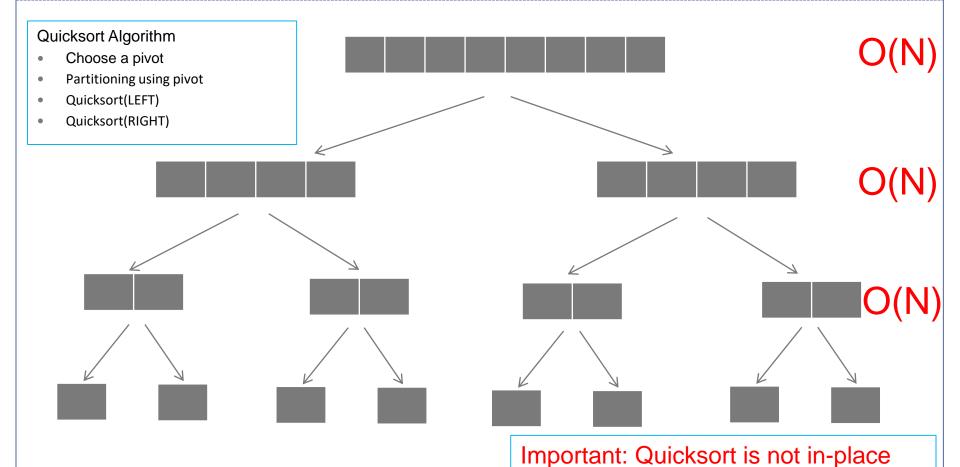
Time complexity

## **Complexity Analysis**



- Time complexity
  - Best
  - Worst

## **Best-case time complexity**



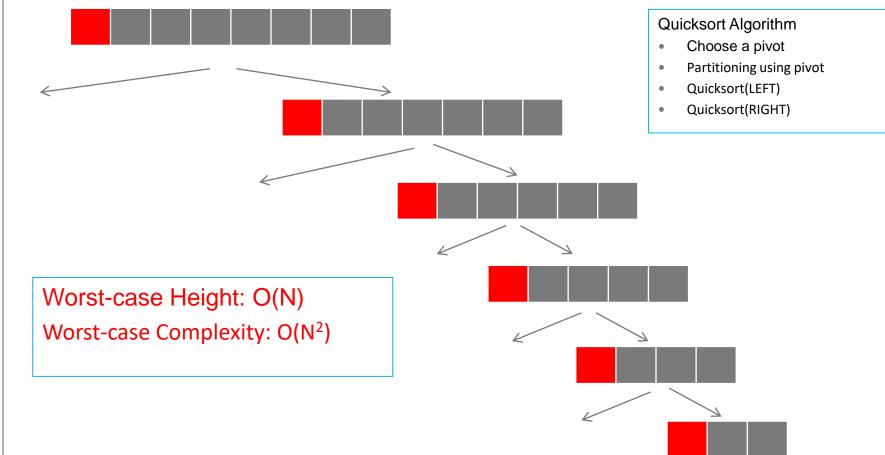
Best-case Height: O(log N)
Best-case complexity: O(N log N)

used. Why?
Recursion depth is at least O(log N)

even when in-place partitioning is

FIT2004: Lec-3: Quick Sort and its Analysis

## **Worst-case Time Complexity**



## **Complexity Analysis**



- Time complexity
  - Best
  - Worst
  - We all know this from so many discussions in FIT1008

## **Complexity Analysis**



- Time complexity
  - Best
    - When pivot split left-right evenly
  - Worst
    - When pivot split 1 side (left or right) is empty
  - We all know this from so many discussions in FIT1008

## **Complexity Analysis**



### Time complexity

- Best
  - When pivot split left-right evenly
  - O(N log N)
- Worst
  - When pivot split 1 side (left or right) is empty
  - O(N^2)
- We all know this from so many discussions in FIT1008



## Questions?

## **Complexity Analysis**



- Or we can use math
  - Like in tutorial

## **Complexity Analysis**



- Or we can use math
  - Like in tutorial
  - Write the recurrence relation for the best case and worst case In class activity!

## **Complexity Analysis**



- Or we can use math
  - Like in tutorial
  - Best case

#### Recurrence relation:

$$T(1) = b$$
  
 $T(N) = c*N + T(N/2) + T(N/2) = 2*T(N/2) + c*N$ 

Solution (exercise in last week):

## **Complexity Analysis**



- Or we can use math
  - Like in tutorial
  - Worst case

#### Recurrence relation:

$$T(1) = b$$
  
 $T(N) = T(N-1) + c*N$ 

#### Solution:

$$O(N^2)$$



## Questions?

## **Complexity Analysis**



#### Time complexity

- Best
  - When pivot split left-right evenly
  - O(N log N)
- Worst
  - When pivot split 1 side (left or right) is empty
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- We all know this from so many discussions in FIT1008
- Something new is average complexity...
  - This is something I usually prefer to explain by hand in class, let me try here...

## **Complexity Analysis**



#### Time complexity

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## **Complexity Analysis**



#### Time complexity

- Best
  - When pivot split left-right evenly
  - O(N log N)
- Worst
  - When pivot split 1 side (left or right) is empty
  - O(N^2)
  - Probability of this to occur is very very low
- We all know this from so many discussions in FIT1008
- Something new is average complexity...
  - This is something I usually prefer to explain by hand in class, let me try here...
  - Why?



## Questions?

## **Complexity Analysis**



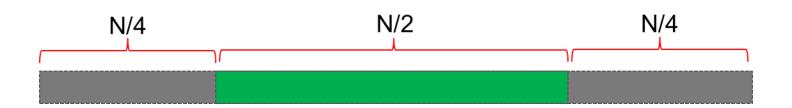
Consider a list with N elements



- Consider a list with N elements
  - And then we partition it



- Consider a list with N elements
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## **Complexity Analysis**



- Consider a list with N elements
  - And then we partition it



— What is the probability we would land on the green area?



- Consider a list with N elements
  - And then we partition it



- What is the probability we would land on the green area?
  - So 50% probability



- Consider a list with N elements
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- What is the probability we would land on the green area?
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- Worst case in grey area?



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- What is the probability we would land on the green area?
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- What is the probability we would land on the green area?
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## **Complexity Analysis**



#### Consider a list with N elements



- What is the probability we would land on the green area?
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- If we always hit the green area, we will get a maximum recursion height of h...

## **Complexity Analysis**



#### Consider a list with N elements



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## Complexity Analysis



#### Consider a list with N elements



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## **Complexity Analysis**



#### Consider a list with N elements

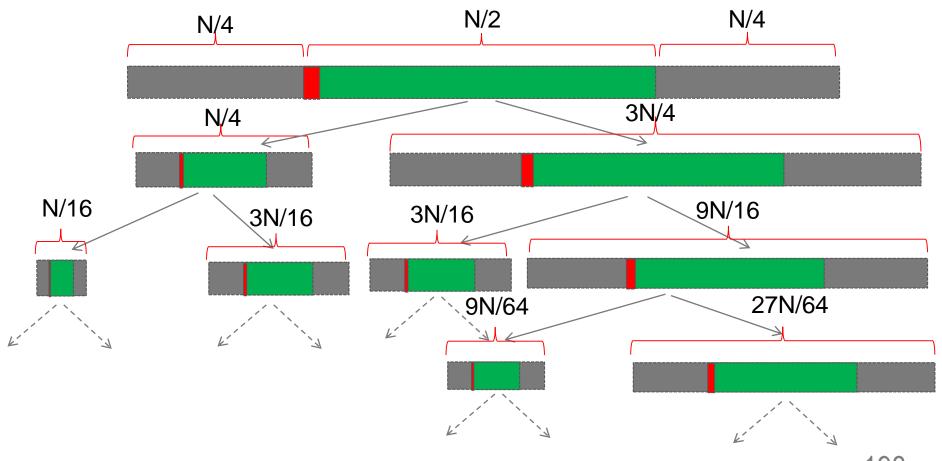


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- If we always hit the green area, we will get a maximum recursion height of h... So what is the upper bound for the height if we land on green 50%? 2h
- So we calculate what is h

## **Complexity Analysis**



Let us just do the normal drawing





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  - From your recursive knowledge, T(N) -> T(3N/4)



- Let us just do the normal drawing
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  - Our maximum depth for average case is 2h



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  - Thus...  $N(3/4)^h = 1$
  - Which gives us  $(3/4)^h$  N = 1 → N =  $(4/3)^h$  → h =  $\log_{4/3}$  N
  - Our maximum depth for average case is 2h
    - If within green always it is h
    - But it isn't always green, so it can be more than h (by some factor)
    - But since we have a 50% chance at each level
    - We can just average it out to 2h



- Let us just do the normal drawing
  - From your recursive knowledge, T(N) -> T(3N/4)
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  - Thus...  $N(3/4)^h = 1$
  - Which gives us  $(3/4)^h$  N = 1 → N =  $(4/3)^h$  → h =  $\log_{4/3}$  N
  - Our maximum depth for average case is 2h
  - Which give us  $2 \log_{4/3} N$



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  - From your recursive knowledge, T(N) -> T(3N/4)
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## **Complexity Analysis**



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  - Total average cost is O(N log N) ← But it isn't base 2 for log?

## **Average case Time complexity**

- Therefore, height in average case is O(log N)
- Like before, the cost at each level is O(N)
- The average case complexity is thus O(N log N)

Does  $O(log_a N) = O(log_b N)$  if a and b are constants?

Change of base rule: 
$$\log_a N = \frac{\log_b N}{\log_b a}$$

So the base of the log doesn't matter for complexity (though it does in practice)



## Questions?



- Can be done with math as well
  - Just like best case and worst case



- Can be done with math as well
  - Just like best case and worst case
  - Just that it is really painful to do... and thus not examinable

# Average-case complexity using recurrence (NOT EXAMINABLE)

#### Recurrence relation:

$$T(N) = ???$$

- For simplicity, assume partitioning costs (N+1) operations
- Assume pivot is at index k

$$T_k(N) = (N+1) + T(N-k) + T(k-1)$$

Average cost is the average for k being from 1 to N

$$T(N) = \frac{\sum_{k=1}^{N} T_k(N)}{N}$$

$$T(N) = (N+1) + \frac{\sum_{k=1}^{N} T(N-k) + T(k-1)}{N}$$

$$T(N) = (N+1) + \frac{2}{N} \sum_{k=1}^{N} T(k-1)$$

	T(N-1)	T(0)	
	T(N-2)	T(1)	
S	T(N-3)	T(2)	
.: -1	l 		

Quicksort Algorithm

- Choose a pivot-
- Partitioning using pivot
- Quicksort(LEFT)
- Quicksort(RIGHT)

T(N-2)

T(N-1)

$$\sum_{k=1}^{N} T(N-k) = \sum_{k=1}^{N} T(k-1)$$

FIT2004: Lec-3: Quick Sort and its Analysis

#### Average-case complexity using recurrence (NOT EXAMINABLE)

#### Recurrence relation:

$$T(1) = b$$

Multiplying N on both sides

$$T(N) = (N+1) + \frac{2}{N} \sum_{k=1}^{N} T(k-1)$$

$$N.T(N) = N(N+1) + 2\sum_{k=1}^{N} T(k-1)$$
 (A)

$$(N-1).T(N-1) = N(N-1) + 2\sum_{k=1}^{N-1} T(k-1)$$
 (B)

$$N.T(N) - (N-1).T(N-1) = 2N + 2T(N-1)$$
 (A) – (B)

$$(A) - (B)$$

$$N.T(N) = 2N + 2T(N-1) + (N-1).T(N-1) = 2N + (N+1).T(N-1)$$

$$T(N) = 2 + \frac{N+1}{N}T(N-1)$$

Simplify

Divide both sides by N

#### Average-case complexity using recurrence (NOT EXAMINABLE)

#### Recurrence relation:

T(1) = b 
$$T(N) = 2 + \frac{N+1}{N}T(N-1)$$
 (A

Let's solve it:

Let's solve it:  

$$T(N-1) = 2 + \frac{N}{N-1}T(N-2)$$
 Cost for T(N-1)

Replace T(N-1) in (A)

$$T(N) = 2 + \frac{N+1}{N}(2 + \frac{N}{N-1}T(N-2)) = 2 + \frac{2(N+1)}{N} + \frac{N+1}{N-1}T(N-2)$$

$$T(N-2) = 2 + \frac{N-1}{N-2}T(N-3) \leftarrow$$
 Cost for T(N-2)

Replace T(N-2) in (B)

$$T(N) = 2 + \frac{2(N+1)}{N} + \frac{2(N+1)}{N-1} + \frac{2(N+1)}{N-2}T(N-3)$$

$$T(N) = 2 + \frac{2(N+1)}{N} + \frac{2(N+1)}{N-1} + \frac{2(N+1)}{N-2} + \dots + \frac{2(N+1)}{N-k+2} + \frac{2(N+1)}{N-k+1} T(N-k)$$

See the pattern for k?

# Average-case complexity using recurrence (NOT EXAMINABLE)

#### Recurrence relation:

T(1) = b 
$$T(N) = 2 + \frac{N+1}{N}T(N-1)$$

#### Let's solve it:

$$T(N) = 2 + \frac{2(N+1)}{N} + \frac{2(N+1)}{N-1} + \frac{2(N+1)}{N-2} + \dots + \frac{2(N+1)}{N-k+2} + \frac{2(N+1)}{N-k+1} T(N-k)$$

$$N-k=1 \rightarrow k=N-1$$

$$T(N) = 2 + \frac{2(N+1)}{N} + \frac{2(N+1)}{N-1} + \frac{2(N+1)}{N-2} + \dots + \frac{2(N+1)}{3} + \frac{2(N+1)}{2}T(1)$$

#### Simplify

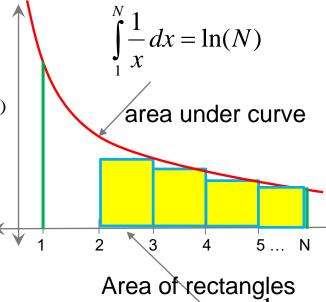
$$T(N) = 2 + 2(N+1)(\frac{1}{N} + \frac{1}{N-1} + \frac{1}{N-2} + \dots + \frac{1}{3}) + b(N+1)$$

$$T(N) = 2 + b(N+1) + 2(N+1) \sum_{k=3}^{N} \frac{1}{k}$$

$$T(N) < 2 + b(N+1) + 2(N+1)\ln(N)$$

$$T(N) = O(N \log N)$$

$$\sum_{k=3}^{N} \frac{1}{k} < \ln(N)$$





# Questions?



- Good sorting algorithm
  - Using divide and conquer



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  - Using divide and conquer
  - Pivot will always be at sorted position after each iteration



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  - Using divide and conquer
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    - Unlike merge sort



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    - 3 partitioning strategy
    - ... and we compared them all
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### Summary



### Good sorting algorithm

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### And we looked at the complexity

- Best case
- Worst case
- Average case
- Everything depends on pivot! Next lecture on how to select pivot



# Questions?



### Thank You