

MONASH INFORMATION TECHNOLOGY

FIT2004 Algorithms and Data Structures

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Referencing materials by Nathan Companez, Aamir Cheema, Arun Konagurthu and Lloyd Allison





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COMMONWEALTH OF AUSTRALIA

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Ready?

Burrows-Wheeler Transform (BWT)



- Burrows-Wheeler Transform (BWT)
 - Why is it awesome?



- Burrows-Wheeler Transform (BWT)
 - Why is it awesome?
 - What awesome can you do with it?



- Burrows-Wheeler Transform (BWT)
 - Why is it awesome?
 - What awesome can you do with it?
 - How to make it better!



- Burrows-Wheeler Transform (BWT)
 - Compression
 - Building the BWT String
 - Substring search with BWT



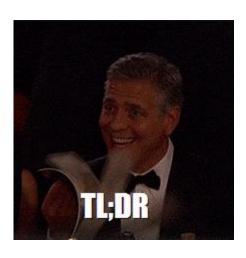


Let us begin...

Saving space...



TL;DR





- TL;DR
- That is one of the reason why are want compression...
 - There are too much data
 - They are taking up too much space



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 - Simple lossless data compression



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- That is one of the reason why are want compression...
 - There are too much data
 - They are taking up too much space
- Run-length encoding (RLE)
 - Simple lossless data compression
 - Let us look at an example...



- "this is mississippi history is this mississippi history"
 - 48 characters, if we ignore the spaces



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 - 4h14i2m2o4p2r14s4t2y
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 - But we don't know the original arrangements of the character



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- But sorting is bad... (also complexity is high)
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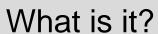
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 - This is the BWT string, we need the \$ for end of string
 - y2t2i2p2s2h3s2my2h2s2t2p2i2o2s2i2s9i%3sr
 - 40 characters (we have extra in \$ as well)
 - instead of 48 earlier
 - and is better for real word...



Questions?





It is a rearrangement of characters in a string





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 - \$ usually used to mark the end of the string

What is it?



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 - Will move the same characters closer
 - That is why we use for compression

What is it?



- It is a rearrangement of characters in a string
 - \$ usually used to mark the end of the string
 - Will move the same characters closer
 - That is why we use for compression
 - We can rearrange back to the original string
 - Without needing a key!



Questions?



So how do we make the string?

Take a string, add \$ behind



- Take a string, add \$ behind
- Generate all cyclic representation of a string

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 - String = "apple\$"

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- Take a string, add \$ behind
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 - pple\$a
 - ple\$ap
 - le\$app
 - e\$appl
 - \$apple

MONASH University

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MONASH University

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 - But... we can use suffix arrays!!! Reducing to O(N)



Questions?



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- Generate all cyclic representation of a string
- Then we sort the strings!



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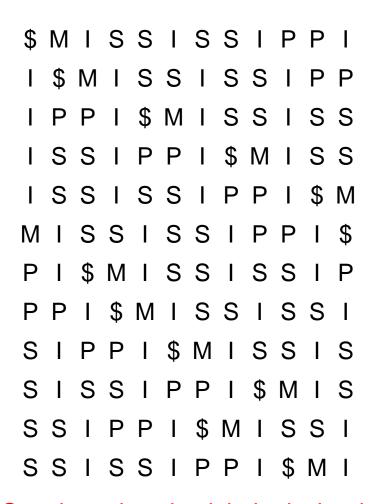


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 - String = "apple\$", suffixID = 3 (string from 0), cyclic string = "le\$app"
 - What is my last character?



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- The last column is the BWT string!
 - We can do this very very fast since the last character is the index position before
 - String = "apple\$", suffixID = 3 (string from 0), cyclic string = "le\$app"
 - What is my last character? p which is at index 2
 - Last character = suffix ID 1

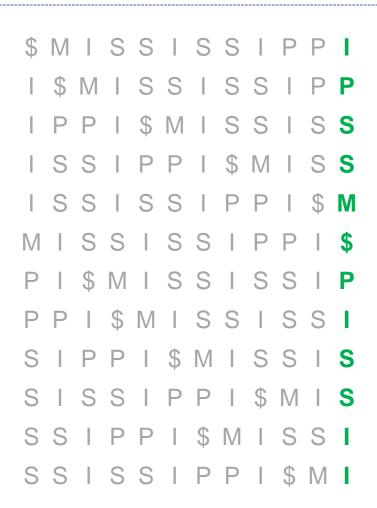
```
1 S S 1 S S 1
        $
SSISSIP
```



All cyclic rotations of the text

Sort the strings in alphabetical order assuming \$ is the smallest

```
ISSISS
ISSISSIP
```



All cyclic rotations of the text

The last column of the sorted matrix is Burrows-Wheeler Transform

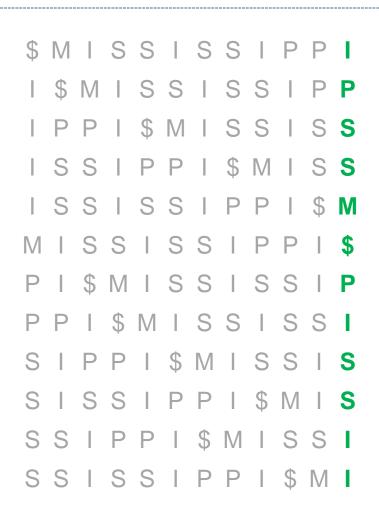
Side Note: suffix array uses the first column

```
SSISSIP
```

All cyclic rotations of the text

The last column of the sorted matrix is Burrows-Wheeler Transform

```
ISSISS
ISSISSIP
```

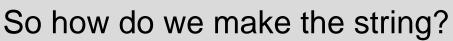


All cyclic rotations of the text

The last column of the sorted matrix is Burrows-Wheeler Transform

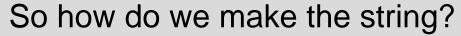


Questions?





What is the BWT string of "BIRD"?





- What is the BWT string of "BIRD"?
 - D\$RBI



Questions?

Compression



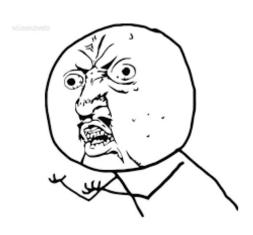
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Compression



- So why does it work?
- It is mainly due to the last-first property from the cyclic rotations



Compression



- So why does it work?
- It is mainly due to the last-first property from the cyclic rotations
 - In English, "IS" is very common
 - Thus "I" will occur before "S" more than other letter such as "S" (from "BASS" or "BOSS")



Compression



- So why does it work?
- It is mainly due to the last-first property from the cyclic rotations
 - In English, "IS" is very common
 - Thus "I" will occur before "S" more than other letter such as "S" (from "BASS" or "BOSS")
 - So once we sort it, then the last column will group similar letter together
 - Such as the "this-is-a-historical-story"



s-a-historical-story\$this-i s-is-a-historical-story\$thi storical-story\$this-is-a-hi story\$this-is-a-historical-



Questions?



• Given a BWT string, can you reproduce the original string?



- Given a BWT string, can you reproduce the original string?
 - Given "IPSSM\$PISSII"
 - Produce back "MISSISSIPPI\$"

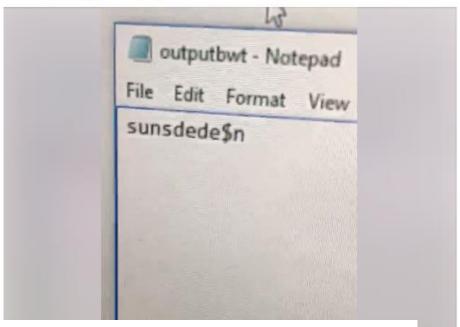
Inverting



- Given a BWT string, can you reproduce the original string?
 - Given "IPSSM\$PISSII"
 - Produce back "MISSISSIPPI\$"
 - Or from your senior on SoIT Facebook
 - What do you think the original string is?



For those of you who are still struggling with understanding ADS Assignment, hope this video helps with understanding BWT inversion to attain the original string



https://www.facebook.com/groups/182858515244175/permalink/629405197256169





Questions?



So let us try to inverse "IPSSM\$PISSII"



- So let us try to inverse "IPSSM\$PISSII"
 - We know this is the last column



- So let us try to inverse "IPSSM\$PISSII"
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 - Yes by sorting! O(N+M) with counting sort, M = 27



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- 5
- Т

- M
- Р
- Р
- _
- S
- C

- _
- 0
- IVI
- \$
- Р
- ī
- S
- S
- .
- !

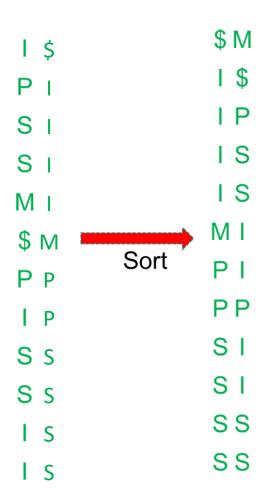


- So let us try to inverse "IPSSM\$PISSII"
 - We know this is the last column
 - Can we produce the first column?
 - Yes by sorting! O(N+M) with counting sort, M = 27
 - Since it is cyclic, we know last column is before first column
 - So we can concatenate both

- 1\$
- Рι
- SI
- SI
- Мι
- \$ M
- P_P
- I P
- SS
- SS
- I S
- IS



- So let us try to inverse "IPSSM\$PISSII"
 - We know this is the last column
 - Can we produce the first column?
 - Yes by sorting! O(N+M) with counting sort, M = 27
 - Since it is cyclic, we know last column is before first column
 - So we can concatenate both
 - What happen if we sort it?
 - From LastFirst, we are getting FirstSecond





So what happened?



- So what happened?
 - Start with Last
 - Sort Last to get First



- So what happened?
 - Start with Last
 - Sort Last to get First
 - Concatenate Last-First



- So what happened?
 - Start with Last
 - Sort Last to get First
 - Concatenate Last-First
 - Sort Last-First to get First-Second

Inverting



- Start with Last
- Sort Last to get First
- Concatenate Last-First
- Sort Last-First to get First-Second
- Concatenate Last-First-Second

Inverting



- Start with Last
- Sort Last to get First
- Concatenate Last-First
- Sort Last-First to get First-Second
- Concatenate Last-First-Second
- Sort Last-First-Second to get First-Second-Third



Inverting



- Start with Last
- Sort Last to get First
- Concatenate Last-First
- Sort Last-First to get First-Second
- Concatenate Last-First-Second
- Sort Last-First-Second to get First-Second-Third
- **—** ...



Inverting



- Start with Last
- Sort Last to get First
- Concatenate Last-First
- Sort Last-First to get First-Second
- Concatenate Last-First-Second
- Sort Last-First-Second to get First-Second-Third
- **—** ...
- 333



Inverting



- Start with Last
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- Concatenate Last-First
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- Concatenate Last-First-Second
- Sort Last-First-Second to get First-Second-Third
- **–** ...
- 333
- Profit!



Inverting



- Start with Last
- Sort Last to get First
- Concatenate Last-First
- Sort Last-First to get First-Second
- Concatenate Last-First-Second
- Sort Last-First-Second to get First-Second-Third
- **–** ...
- 333
- Profit!
- Let us do it by hand!



Inverting



- Start with Last
- Sort Last to get First
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- Sort Last-First to get First-Second
- Concatenate Last-First-Second
- Sort Last-First-Second to get First-Second-Third
- **—** ...
- 333
- Profit!
- Let us do it by hand! Word = "apple\$"



Inverting



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Questions?



- So what happened?
 - Let us do it by hand! Word = "apple\$" it has a bwt string of "e\$lppa"
 - Or from Nathan's slides...



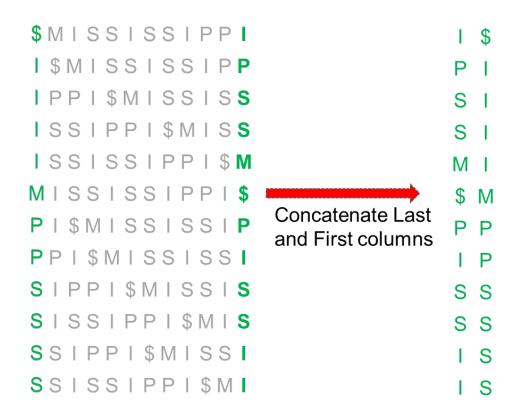
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 - Or from Nathan's slides...
 - K-mers, all possible substrings of size k (including cyclic rotation)
 - 2-mers of "APPLE\$" is
 - AP
 - PP
 - PL
 - LE
 - E\$
 - \$A

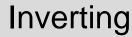


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 - 3-mers of "APPLE\$" is
 - APP
 - PPL
 - PLE
 - LE\$
 - E\$A
 - \$AP



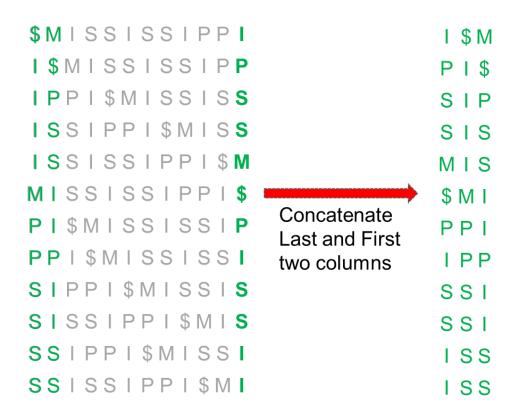
- So what happened?
 - Concatenating last and first column give us the 2-mers







- So what happened?
 - Concatenating last, first and second column give us the 3-mers





- So what happened?
 - Concatenating last, first and second column give us the 3-mers
 - And so on...



Questions?

Burrow-Wheeler's Transform (BWT)Inverting



So what is the complexity of our approach?

Burrow-Wheeler's Transform (BWT)Inverting



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 - We need to sort N times (for N columns)
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 - O(N²) space to store all of the string
 - We can't use suffix array because we dunno the actual word
- But of course, we do it faster



Questions?



Take a break...

Burrow-Wheeler's Transform (BWT) Inverting the fast way...





Inverting the fast way...

```
$
    M
    $
        M
                S
                    S
                            S
                $
                            S
                                S
                    M
                            $
                    Ρ
                                M
                                        $
                    S
                S
                            P
                                            M
                    S
M
                    S
                        S
            M
                        S
               M
                            S
                                        S
P
S
                    $
                        M
                                S
                                    S
S
        S
                    Ρ
                                $
            S
                        Ρ
                                    M
                                            S
                        $
S
    S
                            M
                                    S
                                        S
S
    S
                                    $
                S
                        Ρ
                                        M
```

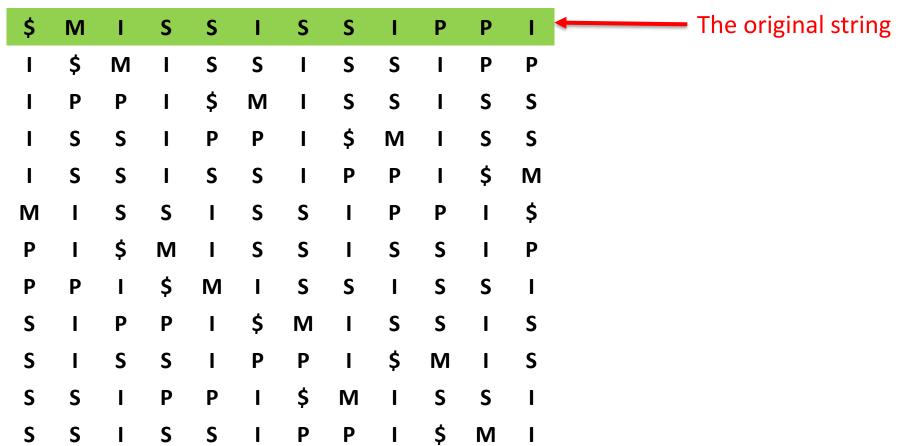


Inverting the fast way...

```
$
    M
    $
        M
                S
                    S
                             S
                $
                             S
                    M
                                         S
                             $
    S
                     P
                                 M
                                         S
                                                             The BWT string
                                         $
                S
                     S
                             P
                                             M
                     S
M
                     S
                         S
            M
P
                         S
                M
                             S
                                         S
P
S
                    $
                        M
                                     S
S
        S
            S
                    Ρ
                                 $
                         Ρ
                                     M
                         $
S
    S
                            M
                                     S
                                         S
S
    S
                                     $
                S
                         Ρ
                             Ρ
                                         M
```



Inverting the fast way...





Inverting the fast way...

```
$
   M
    $
                S S
       M
                            S
                $
                            S
                                S
                                        S
                   M
                            $
                                            S
                                M
                                                           The sorted string
                    S
                                            M
                    S
                                            $
M
                        S
                    S
                        S
           M
                        S
               M
                            S
                                        S
P
S
                    $
                       M
                                    S
                                $
            S
                    Ρ
S
                        Ρ
                                    M
                                            S
                        $
S
                            M
                                        S
    S
S
                        Ρ
                                        M
```



Inverting the fast way...

Look at the "I"s

```
$
    M
    $
        M
                 S
                     S
                              S
                 $
                              S
                                  S
                                           S
                     M
                              $
                     P
                                  M
                                           S
                                               S
                                           $
                     S
                 S
                              Ρ
                                               M
                     S
                                               $
M
                          S
                     S
                          S
                                      S
            M
P
             $
                          S
                 M
                              S
                                           S
P
S
                     $
                         M
                                  S
                                      S
                                  $
S
        S
                     Ρ
             S
                          Ρ
                                      M
                                               S
                          $
S
    S
                             M
                                       S
                                           S
S
    S
                                       $
                 S
                          Ρ
                              P
                                          M
```



Inverting the fast way...

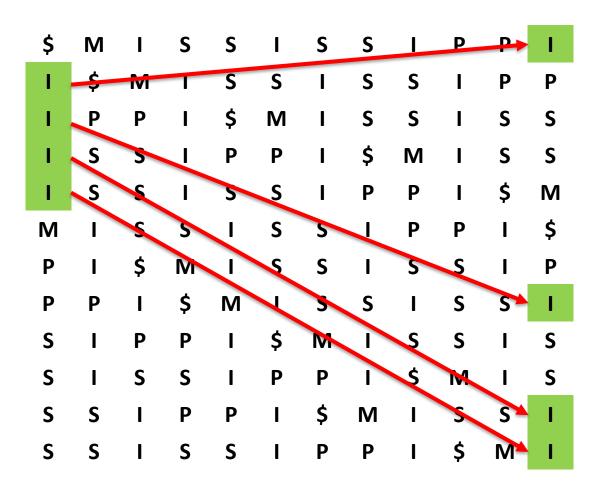
Look at the "I"s

```
$
    M
    $
                S
        M
                     S
                             S
                 $
                             S
                                 S
                    M
                             $
                     P
                                 M
                                          S
                                              S
                                          $
                     S
                 S
                             Ρ
                                              M
                     S
                                              $
M
                         S
                     S
                         S
            M
                                      S
P
                         S
                M
                             S
P
S
                     $
                         M
                                      S
S
        S
                     Ρ
                                 $
            S
                         Ρ
                                     M
                         $
S
    S
                             M
                                      S
                                          S
S
    S
                                      $
                 S
                         Ρ
                             Ρ
                                         M
```



Inverting the fast way...

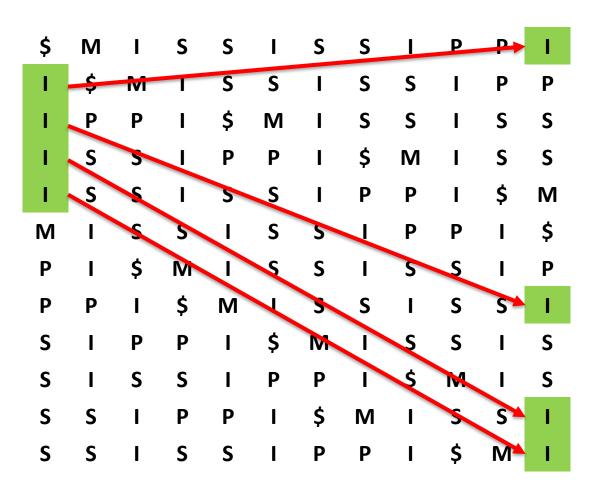
Look at the "I"s





Inverting the fast way...

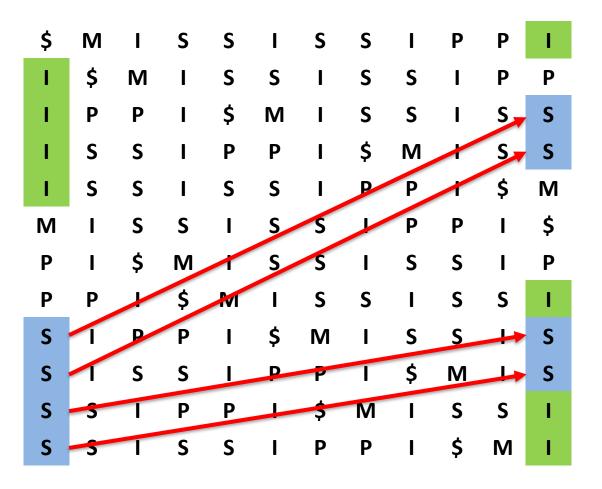
Look at the "I"s. Their order follows (check with cyclic)...





Inverting the fast way...

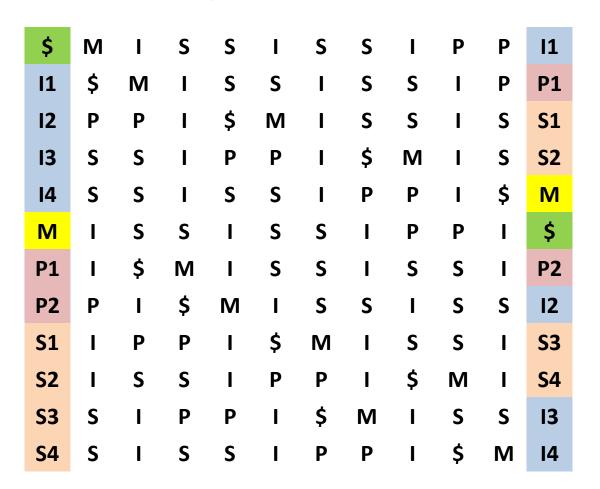
Look at the "S"s. Their order follows too...





Inverting the fast way...

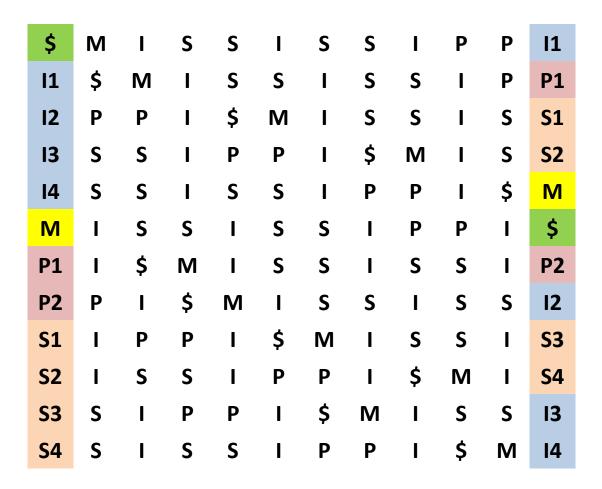
If we assign the ID





Inverting the fast way...

If we assign the ID, the ordering matches!



Faster Inversion of BWT

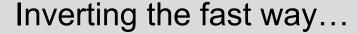
```
Why does this observation hold?
$MISSISSIPPI
                           Rotate each row that ends at S by one character
 $ M | S S | S S |

    First characters of all these are the same (i.e., S)

                           This means the sorting is based on the remaining
                           characters, i.e., the sorting order is determined
                           by stripping off S.
ISSISSIPP
                           Hence, the row that appeared earlier before
  ISSISSIPP
                           rotation must appear earlier after rotation.
   $ M | S S | S S | P
 PI$MISSISSI
 IPPI$MISSI
 ISSIPPI$MIS
SSIPPI$MISSI
SSISSIPPI$MI
```

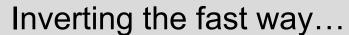


Questions?





- If we assign the ID, the ordering matches!
 - So do we need the first column?





- If we assign the ID, the ordering matches!
 - So do we need the first column?
 - No we don't need





- If we assign the ID, the ordering matches!
 - So do we need the first column?
 - No we don't need
 - All we need is the rank...

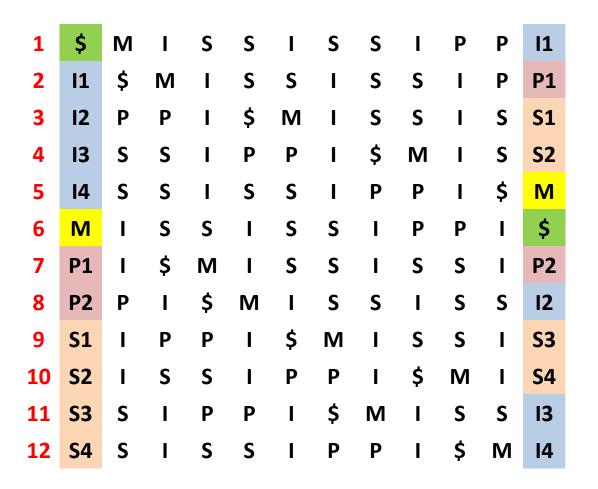


Inverting the fast way...





Inverting the fast way...





Inverting the fast way...

1	\$	M	ı	S	S	I	S	S	ı	P	P	l1
2	I1	\$	M	ı	S	S	I	S	S	I	P	P1
3	12	P	P	ı	\$	M	ı	S	S	ı	S	S1
4	13	S	S	ı	Р	Р	ı	\$	M	ı	S	S2
5	14	S	S	ı	S	S	ı	Р	P	ı	\$	M
6	M	I	S	S	I	S	S	I	P	P	I	\$
7	P1	I	\$	M	ı	S	S	ı	S	S	ı	P2
8	P2	P	I	\$	M	I	S	S	I	S	S	12
9	S1	I	P	P	I	\$	M	I	S	S	I	S3
10	S2	ı	S	S	ı	Р	Р	ı	\$	M	ı	S3
11	S3	S	ı	Р	Р	ı	\$	M	ı	S	S	13
12	S4	S	I	S	S	I	P	P	I	\$	M	14

Rank	Char
1	\$
2	I
6	M
7	Р
9	S



Inverting the fast way...

- We can build this very quickly by just going through the BWT string
 - Counting the frequency
 - Using the frequency to build the rank



Inverting the fast way...

- We can build this very quickly by just going through the BWT string
 - Counting the frequency
 - Using the frequency to build the rank
 - Done in O(N) time complexity



Questions?



Inverting the fast way...

How we inverse? "\$"

1	\$	M	ı	S	S	ı	S	S	I	P	P	I1
2	I1	\$	M	ı	S	S	I	S	S	I	P	P1
3	12	Р	P	ı	\$	M	ı	S	S	I	S	S1
4	13	S	S	ı	Р	P	I	\$	M	I	S	S2
5	14	S	S	ı	S	S	I	Р	P	I	\$	M
6	M	I	S	S	I	S	S	I	P	Р	I	\$
7	P1	I	\$	M	I	S	S	I	S	S	I	P2
8	P2	Р	I	\$	M	ı	S	S	I	S	S	12
9	S1	I	P	Р	I	\$	M	I	S	S	I	S3
10	S2	I	S	S	I	P	Р	I	\$	M	I	S4
11	S3	S	I	Р	Р	ı	\$	M	I	S	S	13
12	S4	S	I	S	S	ı	P	P	I	\$	M	14

Rank	Char
1	\$
2	I
6	M
7	P
9	S



Inverting the fast way...

How we inverse? "\$"

1	\$	M	+	5	<u>S</u>	+	5	S	+	P	P	· 1
2	I1	\$	M	I	S	S	I	S	S	I	P	P1
3	12	P	Р	I	\$	M	I	S	S	I	S	S1
4	13	S	S	ı	P	P	I	\$	M	I	S	S2
5	14	S	S	ı	S	S	ı	P	P	ı	\$	M
6	M	ı	S	S	I	S	S	ı	Р	Р	ı	\$
7	P1	ı	\$	M	I	S	S	ı	S	S	ı	P2
8	P2	P	ı	\$	M	ı	S	S	ı	S	S	12
9	S1	ı	P	P	ı	\$	M	ı	S	S	ı	S3
10	S2	ı	S	S	ı	P	P	ı	\$	M	ı	S4
11	S3	S	ı	P	Р	ı	\$	M	ı	S	S	13
12	S4	S	I	S	S	I	P	P	I	\$	M	14

Rank	Char
1	\$
2	I
6	M
7	P
9	S



Inverting the fast way...

How we inverse? "I\$"

1	\$	M	+	S	S	+	S	S		_	P	· I1
2	I1	4\$	IVI		S	S	I	S	S	I	P	P1
3	12	Р	P	I	\$	M	I	S	S	I	S	S1
4	13	S	S	ı	P	P	I	\$	M	I	S	S2
5	14	S	S	ı	S	S	I	P	P	I	\$	M
6	M	I	S	S	I	S	S	I	P	P	ı	\$
7	P1	I	\$	M	ı	S	S	I	S	S	I	P2
8	P2	Р	I	\$	M	I	S	S	I	S	S	12
9	S1	I	P	Р	I	\$	M	I	S	S	ı	S3
10	S2	I	S	S	I	Р	Р	I	\$	M	ı	S4
11	S3	S	I	Р	P	I	\$	M	ı	S	S	13
12	S4	S	I	S	S	I	Р	Р	ı	\$	M	14

Rank	Char
1	\$
2	I
6	M
7	P
9	S



Inverting the fast way...

■ How we inverse? "I\$"

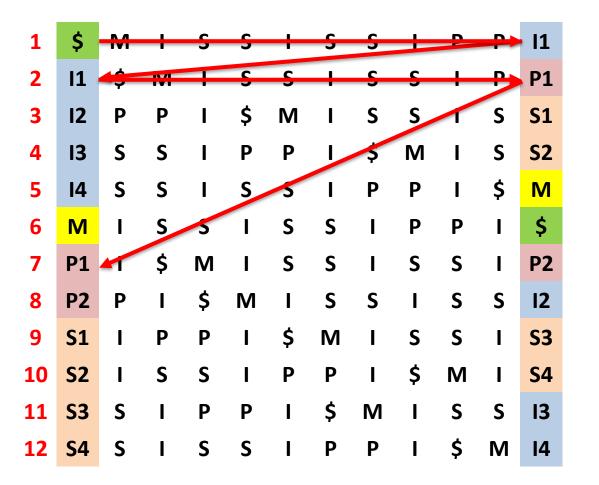
1	\$	M	+	- S-	- S-	+	S	S			-	· I1
2	I1	4\$	IVI		S	S		S	<u>S</u>	+	P	P1
3	12	Р	P	ı	\$	M	ı	S	S	ı	S	S1
4	13	S	S	I	P	P	I	\$	M	I	S	S2
5	14	S	S	I	S	S	I	P	P	I	\$	M
6	M	ı	S	S	I	S	S	ı	P	P	I	\$
7	P1	ı	\$	M	I	S	S	ı	S	S	ı	P2
8	P2	Р	ı	\$	M	I	S	S	ı	S	S	12
9	S1	ı	Р	P	I	\$	M	ı	S	S	ı	S3
10	S2	ı	S	S	I	P	P	ı	\$	M	ı	S4
11	S3	S	ı	P	P	I	\$	M	ı	S	S	13
12	S4	S	I	S	S	I	Р	Р	ı	\$	M	14

Rank	Char
1	\$
2	I
6	M
7	P
9	S



Inverting the fast way...

How we inverse? "PI\$"

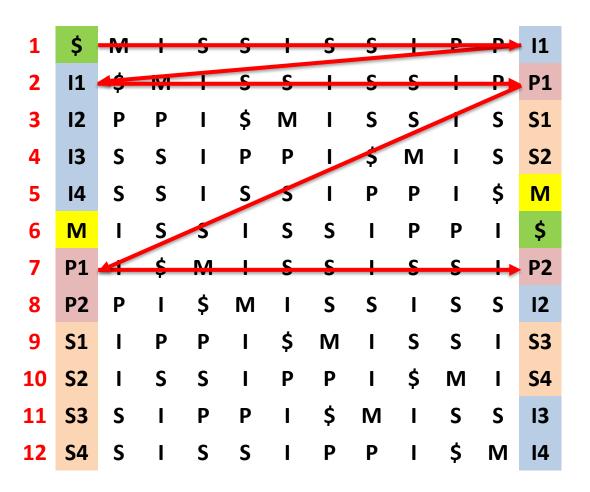


Rank	Char
1	\$
2	I
6	M
7	P
9	S



Inverting the fast way...

How we inverse? "PI\$"

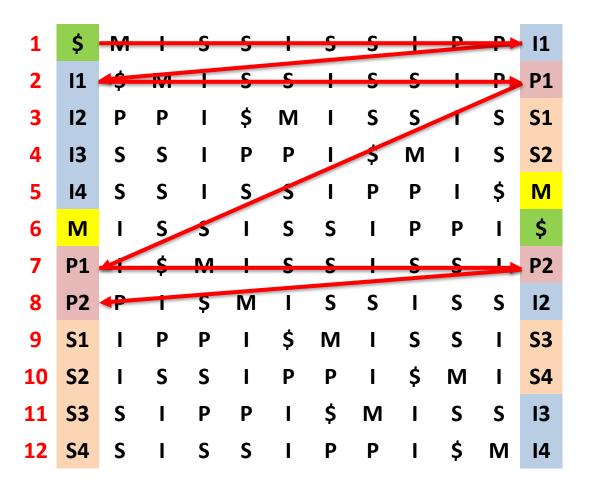


Rank	Char
1	\$
2	I
6	M
7	Р
9	S



Inverting the fast way...

How we inverse? "PPI\$"

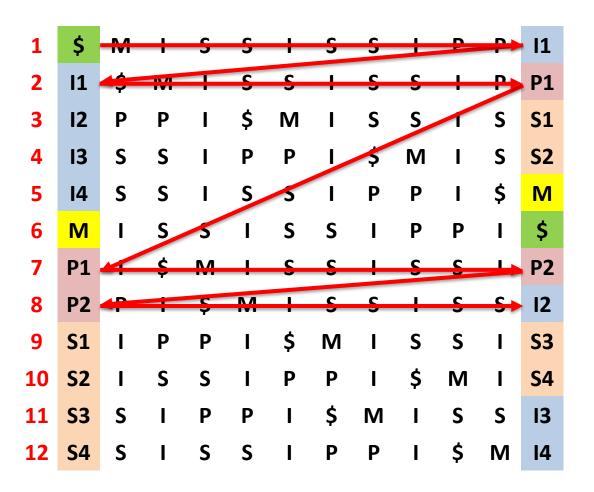


Rank	Char
1	\$
2	I
6	M
7	P
9	S



Inverting the fast way...

■ How we inverse? "PPI\$"

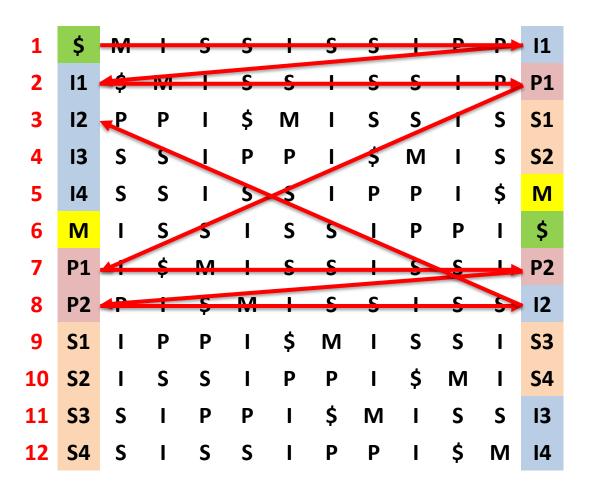


Rank	Char
1	\$
2	I
6	M
7	Р
9	S



Inverting the fast way...

How we inverse? "IPPI\$"



Rank	Char
1	\$
2	ı
6	M
7	P
9	S



Inverting the fast way...

■ How we inverse? "IPPI\$" and so on...

1	\$	-M -	+	S	S	+	S	S			-	· 11
2	I1	4	171		S	S	+	<u>S</u>	<u>S</u>	+	-	P1
3	12	P	Р	ı	\$	M	ı	S	S		S	S1
4	13	S	5		Р	Р		\$	M	ı	S	S2
5	14	S	S	ı	3	3	ı	P	P	ı	\$	M
6	M	ı	S	5	I	S	5		Ρ	Ρ	ı	\$
7	P1		ţ	M	+	<u>Ş</u>	S	\pm	\$	5		P2
7 8	P1 P2	4	\$	M Ş	M	\$ 	S S	- \$	+	s S	\$	P2
		4 -	\$ 	M Ş P	H I	\$ 	-	- \$ 	\$ + S	Ş	1	
8	P2	 - 	i P	Ş	M I I		Ş		+	S S	5	12
8 9	P2 S1	-	i P	 Ş Р		\$	S M		I S	S S	l I	12 S3

Rank	Char
1	\$
2	I
6	M
7	Р
9	S



- Eventually we will reach the first letter of the string and then we are finished...
 - Obtaining the original string



- Eventually we will reach the first letter of the string and then we are finished...
 - Obtaining the original string
- Time complexity?



- Eventually we will reach the first letter of the string and then we are finished...
 - Obtaining the original string
- Time complexity?
 - O(N), following our algorithm earlier with math to know the next index instantly!



- Eventually we will reach the first letter of the string and then we are finished...
 - Obtaining the original string
- Time complexity?
 - O(N), following our algorithm earlier with math to know the next index instantly!
- Space complexity?
 - O(N), for the rank and order array



- Eventually we will reach the first letter of the string and then we are finished...
 - Obtaining the original string
- Time complexity?
 - O(N), following our algorithm earlier with math to know the next index instantly!
- Space complexity?
 - O(N), for the rank and order array
- Let us go through by hand...



- Eventually we will reach the first letter of the string and then we are finished...
 - Obtaining the original string
- Time complexity?
 - O(N), following our algorithm earlier with math to know the next index instantly!
- Space complexity?
 - O(N), for the rank and order array
- Let us go through by hand...
 - Original string = "BANANA\$"
 - BWT string = "ANNB\$AA"



Questions?

MONASH University

Substring search

Substring search





Substring search





Substring search





Substring search





Substring search



Imagine this scenario





- Imagine this scenario
 - We match DNA





- Imagine this scenario
 - We match DNA
 - A lot of criminal
 - Their DNA is compressed





- Imagine this scenario
 - We match DNA
 - A lot of criminal
 - Their DNA is compressed with BWT!





- Imagine this scenario
 - We match DNA
 - A lot of criminal
 - Their DNA is compressed with BWT!
 - Then we just search through the BWT quickly





Questions?



- Imagine this scenario...
 - So we are given "IPSSM\$PISSII" as the BWT



- Imagine this scenario...
 - So we are given "IPSSM\$PISSII" as the BWT
 - Search for "SIS" in the original string...
 - Does it exist???



- Imagine this scenario...
 - So we are given "IPSSM\$PISSII" as the BWT
 - Search for "SIS" in the original string...
 - Does it exist???

MONASH University

Substring search

We only use the last column really...

```
1
      M
                                          11
               S S
2
   11
          M
                               S
                                         P1
                 $
                            S
3
                    M
                               S
                                         S1
                            $
          S
                Ρ
                     Ρ
                               M
                                         S2
4
          S
             I S
5
                     S
                            P
                                          M
                                          $
          S
                     S
   M
              S
                        S I
          $
             M
                     S
                        S I
                                  S
                                          P2
              $
                        S S
8
                 M
                                   S
                                          12
9
   S1
                     $
                        M
                               S
                                          S3
   S2
          S
              S
                     Ρ
                                $
10
                                   M
                        Ρ
                                         S4
                        $
11
   S3
                            M
                                   S
                                          13
              S
                 S
                                   $
12
   S4
                        Ρ
                                      M
                                          14
```



Substring search

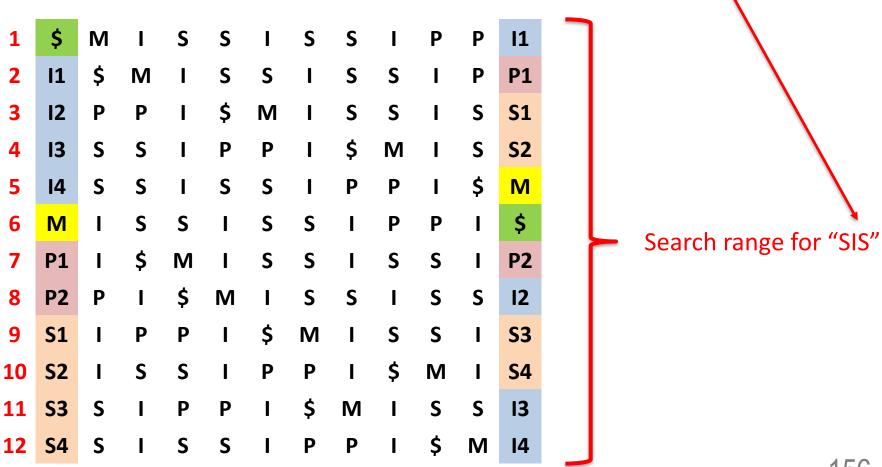
Initially, full search range

```
1
       M
                                               11
                    S S
                                    S
2
    11
           M
                                               P1
                    $
                                S
                                    S
3
                       M
                                               S1
                                $
            S
                   Ρ
                                   M
                                               S2
4
                        Ρ
            S
                   S
5
                        S
                                Ρ
                                               M
    14
                                               $
            S
                        S
    M
                S
                            S
            $
               M
                        S
                            S
                                       S
                                               P2
   P1
                $
                            S
8
   P2
                   M
                                       S
                                               12
9
   S1
                        $
                           M
                                    S
                                       S
                                               S3
   S2
            S
                S
                                    $
10
                        Ρ
                                       M
                            Ρ
                                               S4
                            $
11
   S3
                               M
                                       S
                                               13
                                        $
12
   S4
        S
                S
                    S
                            Ρ
                                           M
                                               14
```

Search range for "SIS"

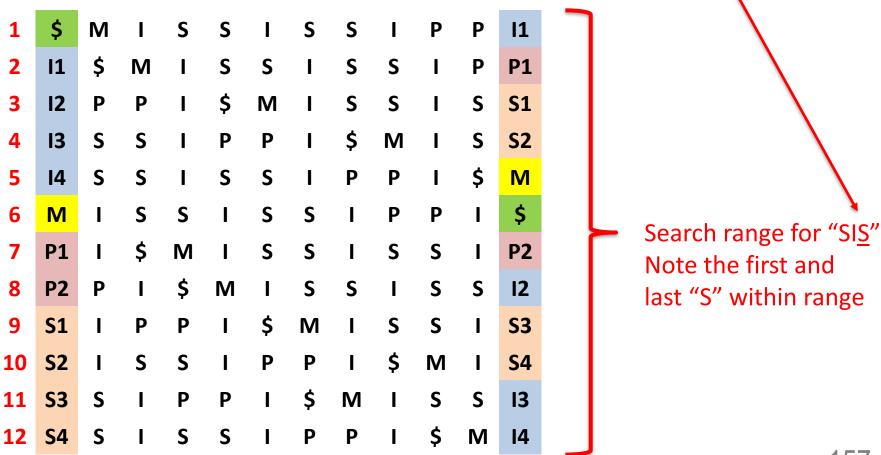


Substring search



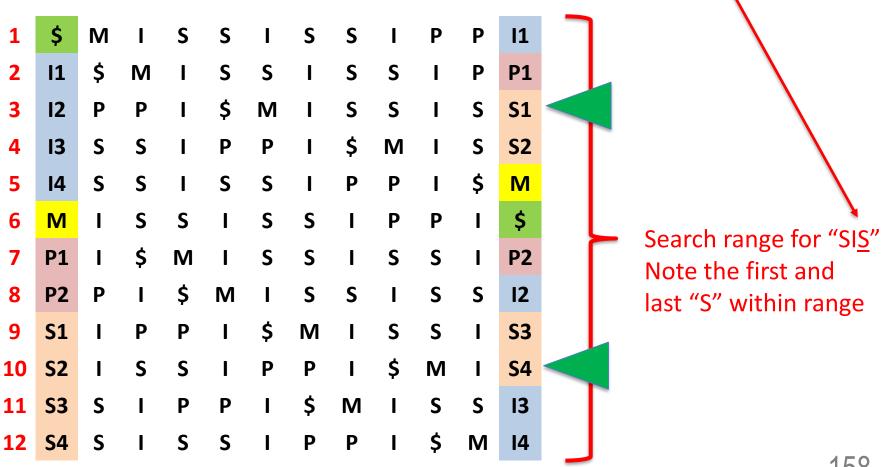


Substring search



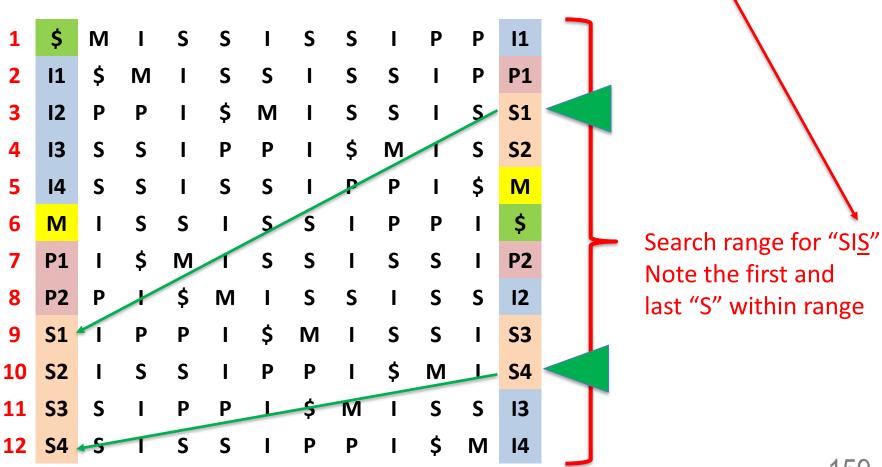


Substring search



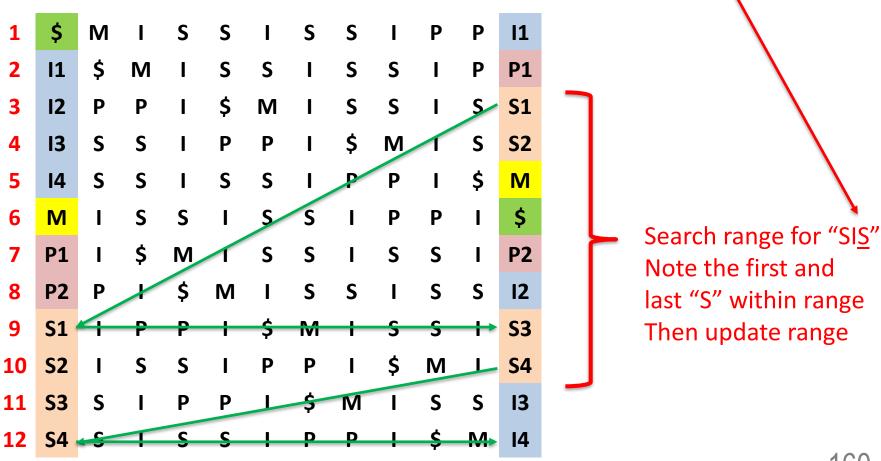


Substring search





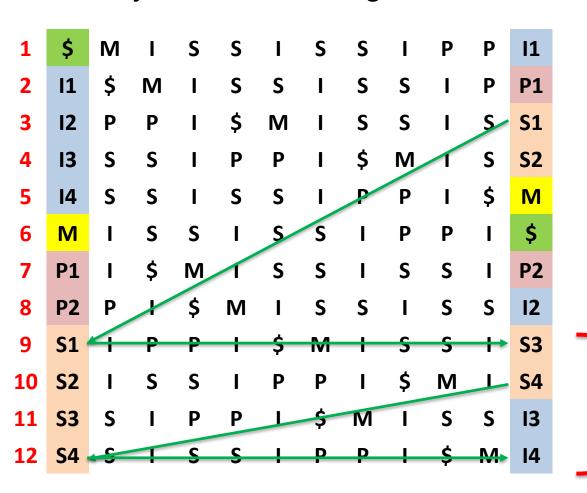
Substring search





Substring search

Initially, full search range. We search from the back...

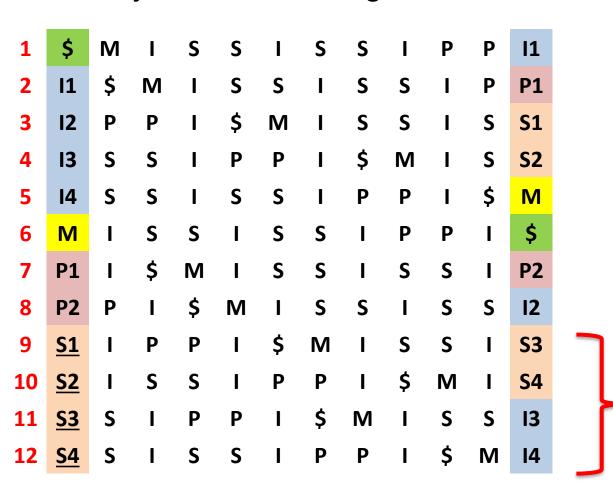


Search range for "SI<u>S</u>" Note the first and last "S" within range Then update range



Substring search

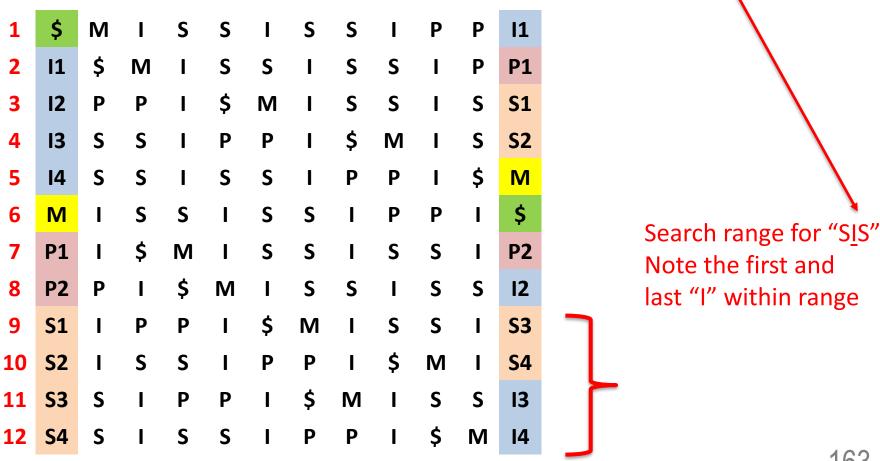
Initially, full search range. We search from the back...



Search range for "SI<u>S</u>" Note the first and last "S" within range Then update range



Substring search





Substring search

Initially, full search range. We search from the back...

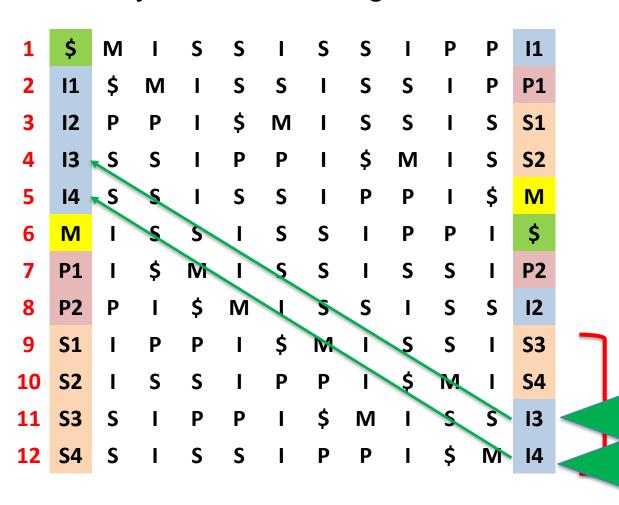


Search range for "SIS" Note the first and last "I" within range



Substring search

Initially, full search range. We search from the back...

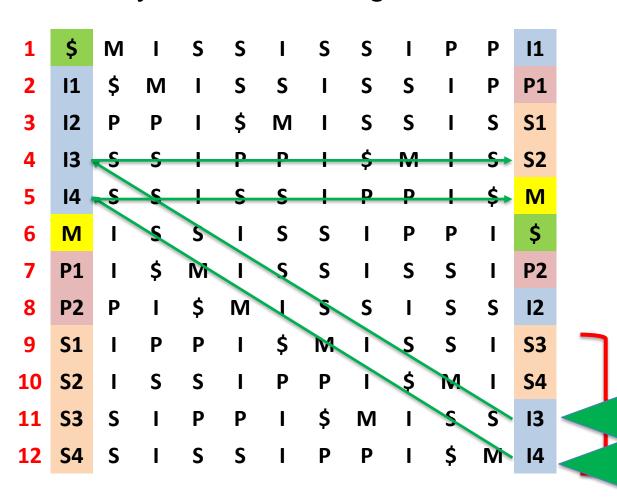


Search range for "SIS" Note the first and last "I" within range



Substring search

Initially, full search range. We search from the back...

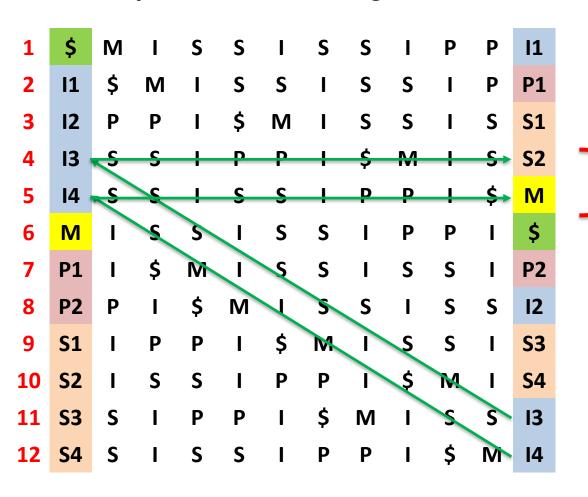


Search range for "SIS" Note the first and last "I" within range



Substring search

Initially, full search range. We search from the back...



Search range for "SIS" Note the first and last "I" within range Then update the range



Substring search

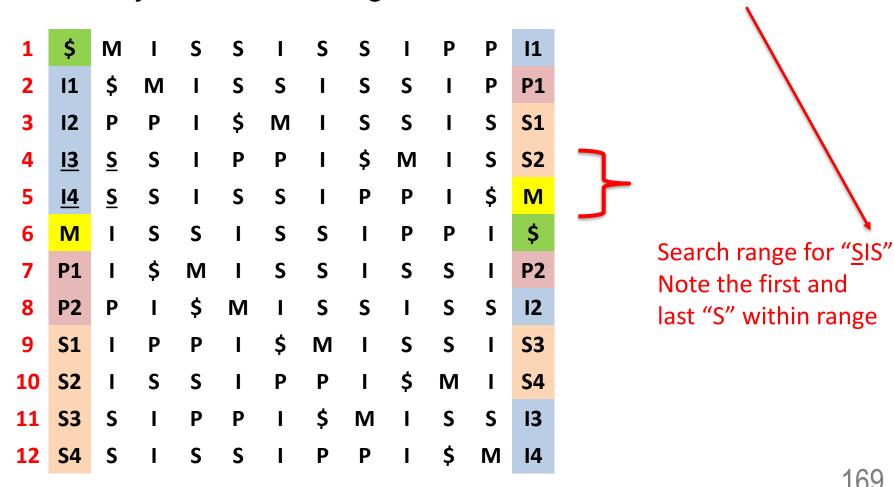
Initially, full search range. We search from the back...



Search range for "SIS" Note the first and last "I" within range Then update the range

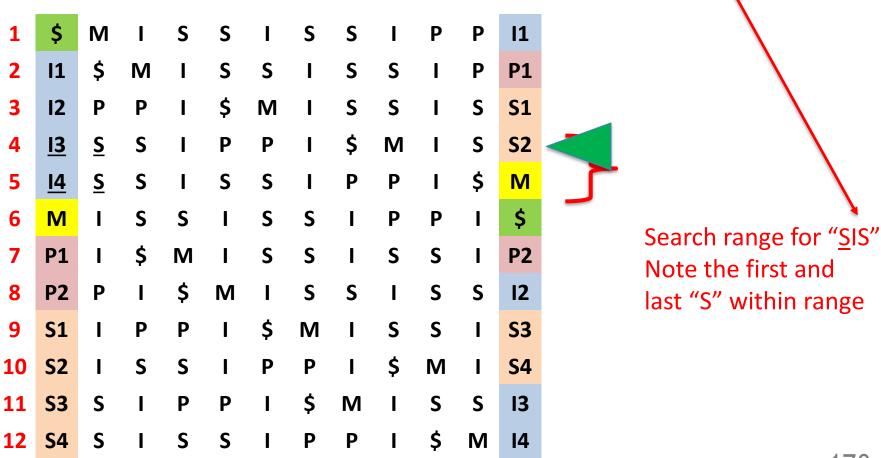


Substring search





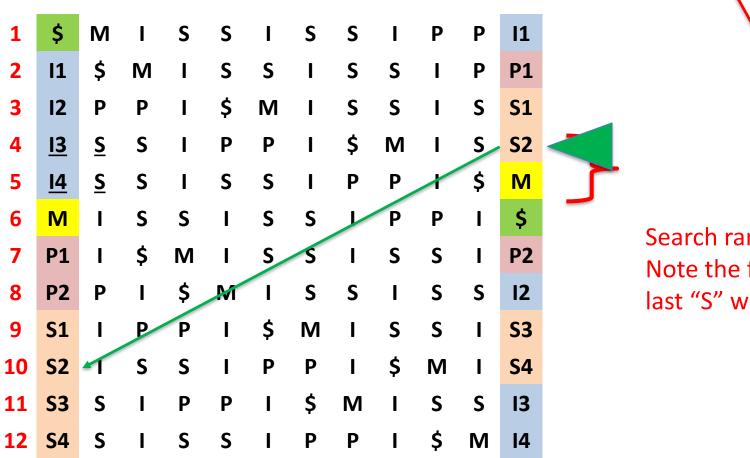
Substring search





Substring search

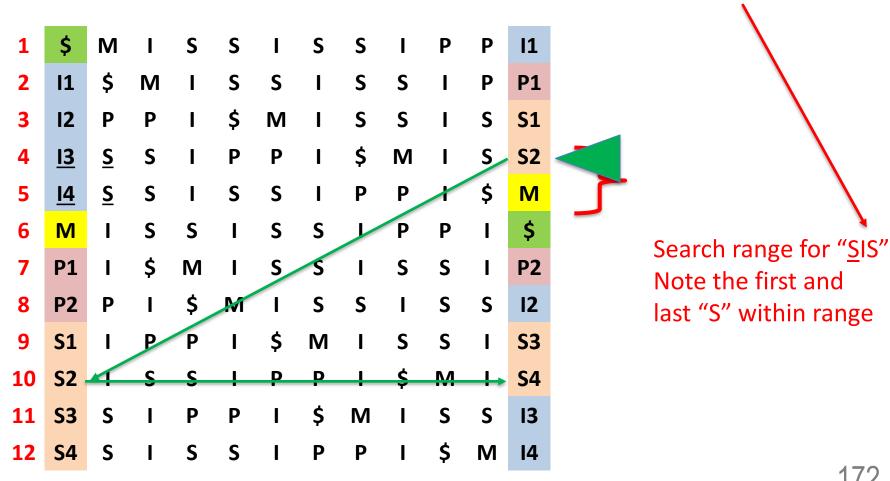
Initially, full search range. We search from the back...



Search range for "SIS" Note the first and last "S" within range



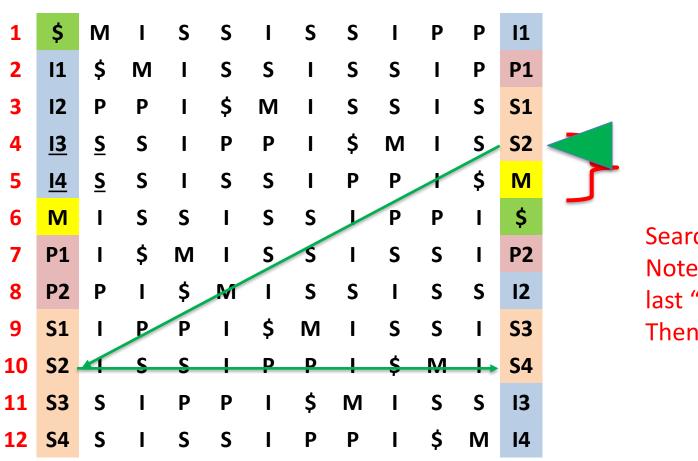
Substring search





Substring search

Initially, full search range. We search from the back...

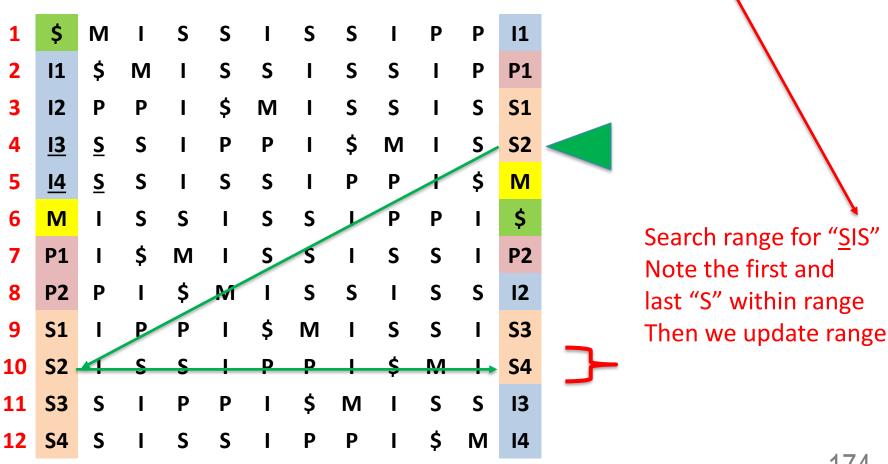


Search range for "SIS"

Note the first and
last "S" within range
Then we update range



Substring search





Substring search

Initially, full search range. We search from the back...



Search range for "SIS"
Note the first and
last "S" within range
Then we update range



Substring search

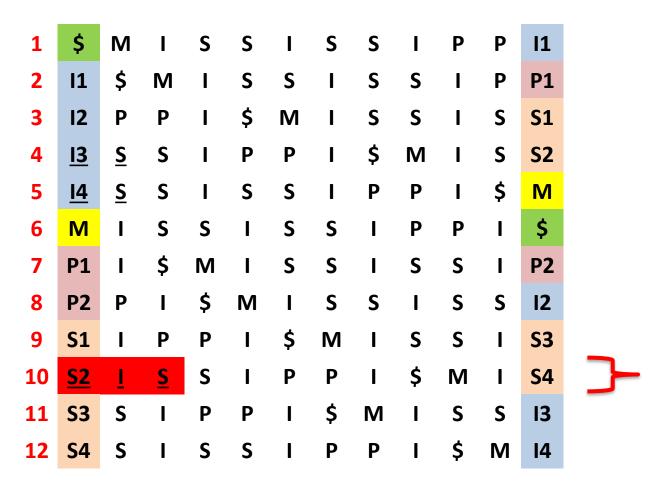
Initially, full search range. We found our string

```
1
       M
                                                11
                    S S
2
    11
            M
                                    S
                                               P1
                    $
                                S
3
                       M
                                    S
                                               S1
                                $
            S
                    Ρ
                                    M
                                               S2
4
                        Ρ
5
            S
                    S
                        S
                                P
                                               M
                                                $
            S
    M
                        S
                            S I
            $
               M
                        S
                            S I
                                        S
                                               P2
                $
                            S
8
                    M
                                        S
                                                12
9
   S1
                        $
                           M
                                    S
                                        S
                                               S3
           <u>S</u>
                                    $
   <u>S2</u>
                S
                        Ρ
                                       M
                                               S4
10
                            Ρ
                            $
   S3
                               M
                                        S
                                                13
12
   S4
                S
                    S
                            Ρ
                                            M
                                                14
```



Substring search

Initially, full search range. We found our string





Questions?

Substring search



Now try to search for "ISS"

```
1
       M
                           S
                                               11
                    S S
2
    11
           M
                                   S
                                              P1
                    $
                               S
3
                       M
                                   S
                                              S1
                               $
            S
                   Ρ
                                   M
                                              S2
4
                       Ρ
            S
5
                   S
                       S
                               Ρ
                                               M
    14
                                   Ρ
                                               $
            S
                       S
    M
                S
                           S
            $
               M
                       S
                           S
                                       S
                                               P2
                $
8
                   M
                           S
                                       S
                                               12
9
   S1
                       $
                           M
                                   S
                                               S3
   S2
            S
                S
                                   $
10
                       Ρ
                           Ρ
                                       M
                                              S4
                           $
11
   S3
                               M
                                       S
                                               13
12
   S4
        S
                S
                    S
                                           M
                                               14
```

MONASH University

Substring search

Now try to search for "ISS"

```
1
       M
                                               11
                    S S
                                   S
2
    11
           M
                                               P1
                    $
                               S
                                   S
3
                       M
                                              S1
                               $
            S
                   P
                                   M
                                              S2
4
                       Ρ
            S
5
                   S
                       S
    14
                                               M
                                               $
            S
                       S
    M
                           S
            $
               M
                       S
                           S
                                       S
                                               P2
                $
                           S
8
                   M
                                               12
9
   S1
                       $
                           M
                                   S
                                               S3
   S2
            S
                S
                                   $
10
                       Ρ
                                       M
                           Ρ
                                               S4
                           $
11
   S3
                               M
                                       S
                                               13
                                       $
12
   S4
                    S
                           Ρ
                                           M
                                               14
```



Substring search

```
1
                                                11
2
                    S S
                                    S
    11
            M
                                               P1
                    $
                                S
                                    S
3
                       M
                                               S1
                                $
            S
                    Ρ
                        Ρ
                                    M
                                               S2
4
            S
                   S
                        S
5
                                                M
                                                $
            S
                S
    M
            $
                        S
                                               P2
               M
                                        S
8
   P2
                    M
                                                12
9
   S1
                Ρ
                        $
                            M
                                               S3
   S2
            S
                S
                                    $
10
                        P
                                       M
                                               S4
11
   S3
                                                13
                                        $
12
                                            M
                                                14
```

MONASH University

Substring search

```
1
                                                11
2
                    S S
                                    S
    11
            M
                                                P1
                    $
                                S
3
                        M
                                    S
                                                S1
                                $
            S
                    Ρ
                        Ρ
                                    M
                                                S2
4
            S
                    S
                        S
5
                                                M
                                                 $
            S
                S
    M
            $
                        S
                M
                                                P2
                                        S
8
   P2
                    M
                                                12
9
   S1
                Ρ
                        $
                            M
                                     S
                                         S
                                                S3
   S2
            S
                S
                                     $
10
                        P
                                        M
                                                S4
11
   S3
                                                13
                                         $
12
                                            M
                                                14
```

MONASH University

Substring search

```
1
        M
                            S
                                                11
2
                    S
                        S
    11
            M
                                    S
                                               P1
                    $
                                S
3
                       M
                                    S
                                               S1
            S
                    P
                                $
                                    M
                                               S2
4
                        Ρ
            S
5
                    S
                        S
                                Ρ
                                               M
    14
                                                $
            S
                        S
    M
                S
                            S
            $
               M
                        S
                            S
                                        S
                                               P2
                $
8
                    M
                            S
                                                12
9
   S1
                        $
                           M
                                    S
                                               S3
   S2
            S
                S
                                    $
10
                        Ρ
                                       M
                            Ρ
                                               S4
                            $
11
   S3
                               M
                                        S
                                                13
                                        $
12
                S
                    S
                            Ρ
                                            M
                                                14
```



Substring search

```
1
                                             11
2
                   S S
                                  S
   11
           M
                                            P1
                   $
                              S
                                  S
3
                      M
                                            S1
                              $
           S
                  Ρ
                      Ρ
                                 M
                                            S2
4
           S
                  S
5
                      S
                                             M
                                             $
           S
                      S
   M
               S
                          S I
           $
              M
                      S
                          S I
                                     S
                                            P2
               $
                          S
8
                  M
                                             12
9
   S1
                      $
                          M
                                  S
                                            S3
   S2
           S
10
                                     M
               S
                                            S4
   S3 5
                                             13
                                      $
                                         M
                                             14
```

WONASH University

Substring search

```
1
                                            11
2
                  S S
                                 S
   11
           M
                                            P1
                  $
                              S
                                 S
3
                      M
                                            S1
                              $
           S
                  Ρ
                      Ρ
                                 M
                                            S2
4
           S
                  S
5
                      S
                              P
                                            M
                                             $
           S
                      S
   M
               S
                          S I
           $
              M
                      S
                          S I
                                            P2
               $
                          S
8
                  M
                                            12
9
   S1
                      $
                          M
                                 S
   S2
           S
10
                                     M
               S
                                            S4
   S3 5
                                            13
                                     $
                                         M
                                            14
```

Substring search



```
1
        M
                                                11
                    S
                        S
2
    11
            M
                                    S
                                               P1
                    $
                                S
3
                       M
                                    S
                                               S1
            S
                    P
                                $
                                   M
                                               S2
4
                        Ρ
            S
5
                    S
                        S
                                Ρ
                                               M
    14
                                                $
            S
                        S
    M
                S
                            S
            $
               M
                        S
                            S
                                        S
                                               P2
                $
8
                   M
                            S
                                                12
9
   S1
                        $
                            M
                                    S
                                               S3
   S2
            S
                                    $
10
                S
                        Ρ
                            Ρ
                                       M
                                               S4
                            $
11
   S3
                               M
                                        S
                                                13
                                        $
                S
                    S
                            Ρ
12
                                            M
                                                14
```

MONASH University

Substring search

```
1
        M
                            S
                                               11
                    S
                        S
2
    11
           M
                                    S
                                               P1
                    $
                                S
3
                       M
                                    S
                                               S1
            S
                    P
                                $
                                   M
                                               S2
4
                        Ρ
            S
5
                    S
                        S
                                Ρ
                                               M
    14
                                                $
            S
                        S
    M
                S
                            S
            $
               M
                        S
                            S
                                        S
                                               P2
                $
8
                   M
                            S
                                               12
9
   S1
                        $
                           M
                                    S
                                               S3
   S2
            S
                                    $
10
                S
                        Ρ
                            Ρ
                                       M
                                               S4
                            $
11
   S3
                               M
                                        S
                                               13
                                        $
12
   S4
                S
                    S
                            Ρ
                                           M
                                               14
```



Substring search

```
1
       M
                            S
                                               11
2
                    S S
                                    S
    11
           M
                                               P1
                    $
                                S
                                    S
3
                       M
                                               S1
                                $
            S
                                   M
                                               S2
4
    13
       -5
                        Ρ
                    S
5
                        S
                                Ρ
                                               M
    14
      S
                                    Ρ
                                                $
                        S
    M
            $
                                        S
               M
                                               P2
                $
                                        S
8
                                               12
                   M
9
   S1
                Ρ
                        $
                                               S3
                           M
   S2
            S
                S
10
                        P
                                               S4
                            Ρ
                            $
11
   S3
                P
                               M
                                               13
                                        $
12
   S4
                S
                            Ρ
                                           M
                                               14
```



Substring search

```
1
       M
                            S
                                               11
2
                    S S
                                    S
    11
           M
                                               P1
                    $
                                S
                                    S
3
                       M
                                               S1
                                $
       S
            S
                                   M
                                           S
                                               S2
4
    13
                        Ρ
                    S
5
                        S
                                               M
    14
      S
                                                $
                        S
    M
            $
                                        S
               M
                                               P2
                $
                                        S
8
                   M
                                               12
9
   S1
                Ρ
                        $
                                               S3
                           M
   S2
            S
                S
10
                        P
                                               S4
                            Ρ
                            $
11
   S3
                P
                               M
                                               13
12
   S4
                S
                            Ρ
                                               14
```

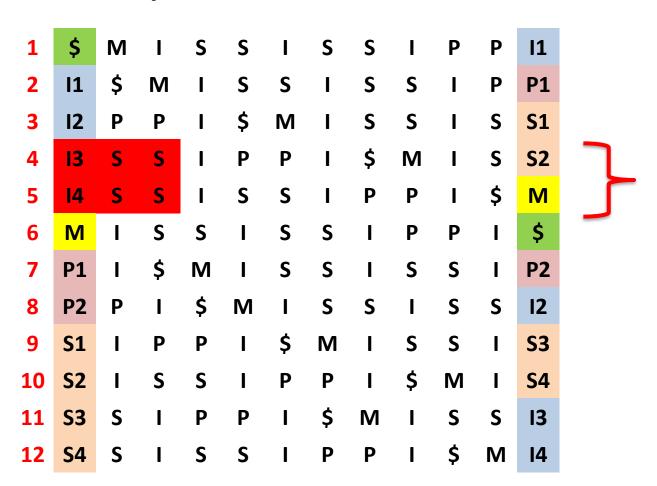
Substring search

```
1
       M
                            S
                                                11
                    S
                        S
2
    11
            M
                                    S
                                               P1
                    $
                                S
3
                       M
                                    S
                                               S1
                                $
            S
                    Ρ
                        Ρ
                                    M
                                               S2
4
5
    14
            S
                    S
                        S
                                                M
                                                $
            S
    M
                        S
                            S
            $
                        S
                            S
               M
                                        S
                                               P2
                $
8
                    M
                            S
                                        S
                                                12
9
   S1
                        $
                            M
                                    S
                                               S3
   S2
            S
                                    $
10
                S
                        Ρ
                            Ρ
                                       M
                                               S4
                            $
11
   S3
                               M
                                        S
                                                13
12
   S4
        S
                S
                    S
                                            M
                                                14
```



Substring search

Now try to search for "ISS". We found 2 occurrences!





Questions?



Substring search

```
1
       M
                           S
                                               11
                    S S
2
    11
           M
                                   S
                                               P1
                    $
                               S
3
                       M
                                   S
                                               S1
                               $
            S
                   P
                                   M
4
                        Ρ
                                               S2
            S
5
                   S
                        S
                               Ρ
                                               M
    14
                                               $
            S
                        S
    M
                S
                           S
            $
               M
                        S
                           S
                                       S
                                               P2
                $
8
                   M
                           S
                                       S
                                               12
9
   S1
                        $
                           M
                                   S
                                               S3
   S2
            S
                S
                                   $
10
                        Ρ
                           Ρ
                                       M
                                               S4
                           $
11
   S3
                               M
                                       S
                                               13
12
   S4
        S
                S
                    S
                                           M
                                               14
```

WONASH University

Substring search

```
1
       M
                                             11
                   S S
2
   11
           M
                                  S
                                             P1
                   $
                              S
                                  S
3
                      M
                                            S1
                              $
           S
                  Ρ
                                 M
                                            S2
4
                      Ρ
           S
                   S
5
                      S
   14
                                             M
                                             $
           S
                      S
   M
                          S I
           $
              M
                      S
                          S I
                                             P2
               $
                          S
8
                  M
                                             12
9
   S1
                      $
                          M
                                  S
                                             S3
   S2
           S
               S
                                  $
10
                      Ρ
                                     M
                          Ρ
                                             S4
                          $
11
   S3
                              M
                                     S
                                             13
                                      $
12
   S4
                   S
                          Ρ
                                         M
                                             14
```

MONASH University

Substring search

```
1
        M
                                                11
                    S
                        S
2
    11
            M
                                    S
                                               P1
                    $
                                S
3
                       M
                                    S
                                               S1
                                $
            S
                    P
                                    M
                                               S2
4
                        Ρ
            S
5
                    S
                        S
                                Ρ
                                                M
    14
                                                $
            S
                        S
    M
                S
                            S
            $
               M
                        S
                            S
                                        S
                                               P2
                $
8
                    M
                            S
                                                12
9
   S1
                        $
                            M
                                    S
                                               S3
   S2
            S
                S
                                    $
10
                        Ρ
                                        M
                            Ρ
                                               S4
                            $
11
   S3
                                M
                                        S
                                                13
                                        $
12
                S
                    S
                            Ρ
                                            M
                                                14
```



Substring search

```
1
        M
                                                11
                    S
                        S
2
    11
            M
                                    S
                                               P1
                    $
                                S
3
                       M
                                    S
                                               S1
                                $
            S
                    P
                                    M
                                               S2
4
                        Ρ
            S
5
                    S
                        S
                                Ρ
                                                M
    14
                                                $
            S
                        S
    M
                S
                            S
            $
               M
                        S
                            S
                                        S
                                               P2
                $
8
                    M
                            S
                                                12
9
   S1
                        $
                            M
                                    S
                                               S3
   S2
            S
                                    $
10
                S
                        Ρ
                                       M
                            Ρ
                                               S4
                            $
11
   S3
                                M
                                        S
                                                13
                                        $
12
   S4
                S
                    S
                            Ρ
                                            M
                                                14
```

WONASH University

Substring search

```
1
        M
                                                11
                    S
                        S
2
    11
            M
                                    S
                                               P1
                    $
                                S
3
                       M
                                    S
                                               S1
            S
                    P
                                $
                                   M
                                               S2
4
                        Ρ
            S
5
                    S
                        S
                                Ρ
                                               M
    14
                                                $
            S
                        S
    M
                S
                            S
            $
               M
                        S
                            S
                                        S
                                               P2
                $
8
                   M
                            S
                                                12
9
   S1
                        $
                            M
                                    S
                                               S3
   S2
            S
                                    $
10
                S
                        Ρ
                            Ρ
                                       M
                                               S4
                            $
11
   S3
                               M
                                        S
                                                13
                                        $
12
   S4
                S
                    S
                            Ρ
                                            M
                                                14
```

WONASH University

Substring search

```
1
        M
                                                11
                    S
                        S
2
    11
            M
                                    S
                                                P1
                    $
                                S
3
                        M
                                    S
                                                S1
            S
                    P
                                $
                                    M
                                                S2
4
                        Ρ
            S
5
                    S
                        S
                                Ρ
                                                M
    14
                                                 $
            S
                        S
    M
                S
                            S
            $
                M
                        S
                            S
                                        S
                                                P2
                $
8
                    M
                            S
                                         S
                                                12
9
   S1
                        $
                            M
                                     S
                                         S
                                                S3
   S2
            S
                                     $
10
                S
                        Ρ
                            Ρ
                                        M
                                                S4
   S3
                            $
11
                                M
                                         S
                                                13
                                         $
12
   S4
                S
                    S
                            Ρ
                                            M
                                                14
```



Substring search

Now try to search for "MSS"

```
1
        M
                            S
                                                11
                    S
2
    11
            M
                        S
                                    S
                                                P1
                    $
                                S
3
                        M
                                    S
                                                S1
            S
                    P
                                $
                                    M
4
                        Ρ
                                                S2
            S
5
                    S
                        S
                                Ρ
                                                M
    14
                                                 $
            S
    M
                S
                        S
                            S
            $
                        S
                            S
                M
                                        S
                                                P2
                $
8
                    M
                            S
                                        S
                                                12
9
   S1
                        $
                            M
                                    S
                                        S
                                                S3
   S2
            S
                                    $
10
                S
                        Ρ
                            Ρ
                                        M
                                                S4
                            $
11
   S3
                                M
                                        S
                                                13
                                         $
12
   S4
        S
                S
                    S
                            Ρ
                                            M
                                                14
```

...but no M in range!



Substring search

Now try to search for "MSS". MSS doesn't exist!

```
1
       M
                                             11
                   S S
2
   11
           M
                                  S
                                            P1
                   $
                              S
3
                      M
                                  S
                                            S1
           S
                  P
                              $
                                 M
4
                      Ρ
                                            S2
5
           S
                  S
                      S
                              Ρ
                                             M
                                             $
           S
   M
                      S
                          S
           $
                      S
                          S I
              M
                                            P2
               $
8
                  M
                          S
                                             12
9
   S1
                      $
                          M
                                  S
                                     S
                                            S3
           S
                                  $
   S2
               S
                      Ρ
10
                          Ρ
                                     M
                                            S4
   S3
                          $
                              M
                                     S
                                             13
                                      $
                          Ρ
12
   S4
                                         M
                                             14
```

...but no M in range!



Questions?

MONASH University

Substring search

Complexity?

Substring search



- Complexity?
 - String is N characters
 - Pattern (what we searching) is M character

Substring search



Complexity?

- String is N characters
- Pattern (what we searching) is M character
- O(M log N) using binary search on character position

Substring search



Complexity?

- String is N characters
- Pattern (what we searching) is M character
- O(M log N) using binary search on character position
 - We will go through this more in the tutorial
 - Where the class is smaller and I can be more detailed =)
- If we do what we just see
 - Then it becomes O(M)
 - Using the formula rank(char) + order(char)



Questions?



Thank You