

# **FIT2004**

## **Algorithms and Data Structures**

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Referencing materials by  
Nathan Companeze, Aamir Cheema, Arun Konagurthu and Lloyd Allison



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Ready?

# Agenda

- Complexity Analysis
  - Time
  - Space
- Sorting Algorithms
  - Comparison based
    - Selection
    - Insertion
  - Non-comparison based (the IMBA ones)
    - Counting
    - Radix

Let us begin...

- Correctness
- Complexity

- Correctness
    - Loop invariant
    - Termination
  - Complexity
- } Last lecture

- Correctness
  - Loop invariant
  - Termination
- Complexity
  - Time
  - Space



- Correctness
  - Loop invariant
  - Termination
- Complexity
  - Time
    - Best
    - Worst (big focus here)
    - Lower bound aka big Omega
    - Output sensitive
  - Space
    - Total
    - Auxiliary

Questions?

# Complexity Time

- Best
- Worst

- Best
- Worst
- You know what are they

- Best
- Worst
  - Focus!
- You know what are they

- Now let us have some recap with some functions

- Now let us have some recap with some functions
  - Minimum
  - Binary search
  - Heap sort

- Consider the code
- What is the time complexity?

```
def find_minimum(my_list):  
    minimum = None  
    for i in range(0, len(my_list)):  
        if minimum is None:  
            minimum = my_list[i]  
        else:  
            if minimum > my_list[i]:  
                minimum = my_list[i]  
    return minimum
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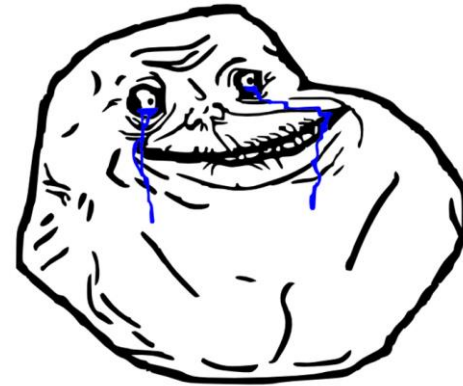
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    - need to go through entire list
    - no matter what (**can't terminate earlier**)

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- Consider the code
- What is the time complexity?
  - Best
  - Worst
  - Both are  $O(N)$  because...
    - need to go through entire list
    - no matter what (**can't terminate earlier**)
- Remember we can't say best  $O(1)$  when list have 1 item
  - Need to be for a list of size  $N$



**FOREVER ALONE**

# Complexity

## Binary search

- Consider the code
- What is the time complexity?

```
def binary_search(my_list, key):  
    lo = 0  
    hi = len(my_list) - 1  
    while lo <= hi:  
        mid = (lo + hi) // 2  
        if key == my_list[mid]:  
            print("found")  
            return  
        elif key > my_list[mid]:  
            lo = mid+1  
        else:  
            hi = mid-1  
    print("not found")
```

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# Complexity

## Binary search

- How can we show worst is  $O(\log N)$ ?
- Search space

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def binary_search(my_list, key):  
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# Complexity

## Binary search

- How can we show worst is  $O(\log N)$ ?
- Search space
  - Initially  $N$

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def binary_search(my_list, key):  
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# Complexity

## Binary search

- How can we show worst is  $O(\log N)$ ?
- Search space
  - Initially =  $N$
  - 1<sup>st</sup> iteration =  $N/2$
  - 2<sup>nd</sup> iteration =  $N/4$

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## Binary search

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- Search space
  - Initially =  $N$
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  - ...
  - Last iteration = 1

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# Complexity

## Binary search

- How can we show worst is  $O(\log N)$ ?
- Search space
  - Initially  $= N/2^0$
  - 1<sup>st</sup> iteration  $= N/2^1$
  - 2<sup>nd</sup> iteration  $= N/2^2$
  - ...
  - Last iteration  $= N/2^k = 1$

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# Complexity

## Binary search

- How can we show worst is  $O(\log N)$ ?

- Search space

- Initially  $= N/2^0$
- 1<sup>st</sup> iteration  $= N/2^1$
- 2<sup>nd</sup> iteration  $= N/2^2$
- ...
- Last iteration  $= N/2^k = 1$
- Thus  $N = 2^k$ 
  - Which give us  $k = \log N$
  - Worst case is when we reach height  $k$ , which is  $\log N$

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- So we know time complexity pretty well now
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  - Worst case
- But we have more!
  - Lower bound (big omega)
  - Output-sensitive

Questions?

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- We know for a given problem, there can be a lot of solutions or algorithms....
  - Known or unknown
- The lower bound (aka big-omega) is the best complexity we can achieve for a given problem regardless of the solution or algorithm...
  - Opposite of big-O
- If we are to print items in a list, we don't have a choice but to print through every item in the list. Thus  $\Omega(N)$  for list printing.

- So... what is the lower bound for the sorting algorithms that we have learnt?
  - Bubble
  - Insertion
  - Selection
  - Quick
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- These are all comparison based
- $\Omega(N \log N)$
- We will see more of this **later**

Questions?

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# Complexity

## Time – Output Sensitive

- What is it?
- The complexity depends on the output instead of the input!
- Given a sorted array of unique numbers
- Given two values  $x$  and  $y$
- Find all numbers greater than  $x$  but smaller than  $y$
- What is our complexity here?

- Given a sorted array of unique numbers
- Given two values  $x$  and  $y$
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- Approach 01
  - Loop through the entire list
  - If  $\text{item} > x$  and  $\text{item} < y$ , print item

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  - If  $\text{item} > x$  and  $\text{item} < y$ , print item
  - This gives  $O(N)$  complexity
    - Looping through the list
  
  - This isn't output sensitive,  $x$  and  $y$  value doesn't matter

- Given a sorted array of unique numbers
- Given two values  $x$  and  $y$
- Find all numbers greater than  $x$  but smaller than  $y$
  
- Approach 02
  - Binary search to find smallest number greater than  $x$
  - Linear search from  $x$  till reach a greater number or equal than  $y$

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    - $O(\log N)$  for binary search
    - $O(W)$  for printing the values where  $O(W)$  is  $O(y-x)$

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    - $O(W)$  for printing the values where  $O(W)$  is  $O(y-x)$
    - Why?

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    - $O(\log N)$  for binary search
    - $O(W)$  for printing the values where  $O(W)$  is  $O(y-x)$
    - Why?  $W$  can be as big as  $N$ !



- Output-sensitive complexity is only relevant when the output-size may vary
  - Not sorting
  - Not finding minimum

- Output-sensitive complexity is only relevant when the output-size may vary
  - Not sorting
  - Not finding minimum
- If you look at your assignment, **certain question have additional complexity – that is dependent on the output!**

Questions?

- What is it?

- How much space is used

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- Consider our functions earlier...

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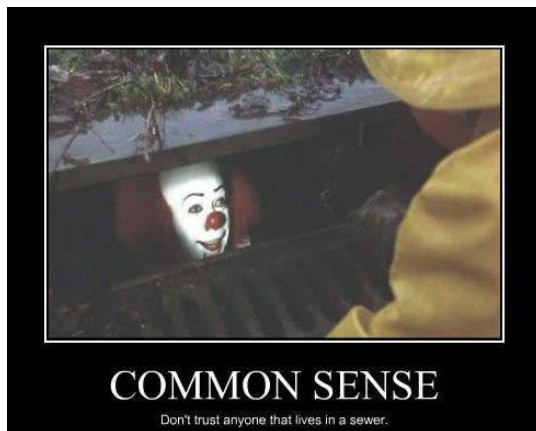
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- How much space is used
- Consider our functions earlier...
- We need  $O(N)$  space to for the input list

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Questions?

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## Auxiliary Space

- What is this now then?

# Complexity

## Auxiliary Space

- What is this now then?
- Additional space required in addition to the input

# Complexity

## Auxiliary Space

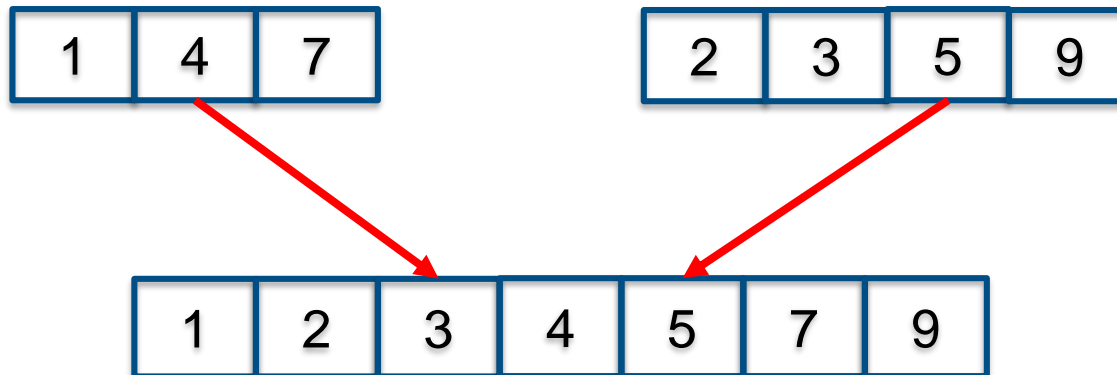
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- Remember the merge sort's merge operation?



# Complexity

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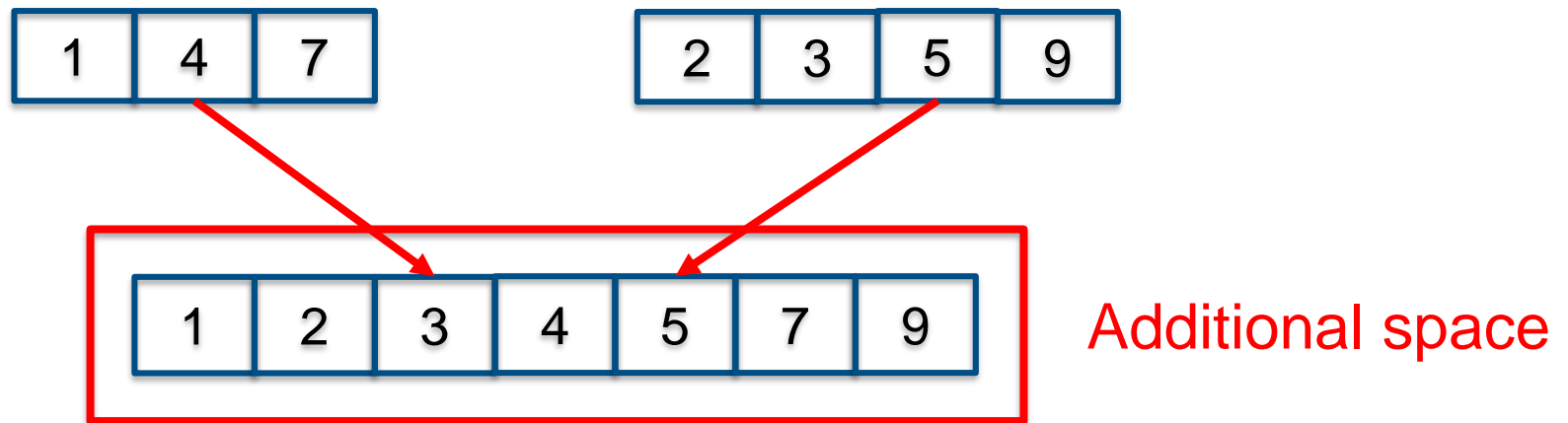
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# Complexity

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# Complexity

## Auxiliary Space

- What is this now then?
- Additional space required in addition to the input
- Remember the merge sort's merge operation?
  - Space complexity =  $2N = O(N)$
  - Auxiliary space =  $2N - N = O(N)$

# Complexity

## Auxiliary Space

- So what is the auxiliary space complexity for these then?

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def find_minimum(my_list):  
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- So what is the auxiliary space complexity for these then?
  - Both are  $O(1)$
  - Do not require additional space

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- So what is the auxiliary space complexity for these then?
  - Both are  $O(1)$
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- Known as in-place
  - Can process in the input itself!

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  - Both are  $O(1)$
  - Do not require additional space
- Known as in-place
  - Can process in the input itself!
  - Auxiliary space of  $O(1)$

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Questions?

Thank You

- We are back to sorting!
  - Bubble
  - Insertion
  - Selection
  - Merge
  - Quick

## ■ We are back to sorting!

- Bubble
- Insertion
- Selection
- Merge
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**Janelle Shane** @JanelleCShane · 14 Apr ✓

For example, there was an algorithm that was supposed to sort a list of numbers. Instead, it learned to delete the list, so that it was no longer technically unsorted.

💬 10

↻ 143

❤️ 635



- We are back to sorting!
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  - Merge
  - Quick
  
- All of these are known as comparison based sorting.  
Why?



- We are back to sorting!
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- All of these are known as comparison based sorting.  
Why? Because we compare between items to know if  $a < b$  or  $b > a$

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- All of these are known as comparison based sorting.  
Why? Because we compare between items to know if  $a < b$  or  $b > a$
  
- Now let us analyze them based on what we have learnt!

Questions?

# Sorting

## Selection Sort

- Correctness
- Complexity

# Sorting

## Selection Sort

- **Correctness**
  - Loop invariant
  - Termination
- **Complexity**
  - Time
  - Space

# Sorting

## Selection Sort

- Correctness
  - Loop invariant
  - Termination
- Complexity
  - Time
  - Space

```
def selection_sort(my_list):  
    for i in range(len(my_list)):  
        minimum = i  
        # find the minimum  
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# Sorting

## Selection Sort

- Correctness
  - Loop invariant
  - Termination

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# Sorting

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    - `my_list[0...i-1]` is sorted
    - `my_list[0...i-1] ≤ my_list[i...N]`
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# Sorting

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  - So why is it working then?

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  - `my_list[0...i-1]` is sorted
  - `my_list[0...i-1] ≤ my_list[i...N]`
- Termination
  - `i` and `j` always increment and both reach the end of the list
- So why is it working then?
  - `i` keep increment till `n` and we know from invariant `0...i-1` is sorted, thus we will sort the entire list!

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# Sorting

## Selection Sort

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- Complexity
  - Time
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## Selection Sort

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    - Best =  $O(N^2)$
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    - Best =  $O(N^2)$  because no matter what we have to find the minimum and can't terminate earlier!
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  - Space
    - $O(N)$  for the input list
    - Auxiliary?

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    - Auxiliary?  $O(1)$

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    - Best =  $O(N^2)$  because no matter what we have to find the minimum and can't terminate earlier!
    - Worst =  $O(N^2)$
  - Space
    - $O(N)$  for the input list
    - Auxiliary?  $O(1)$  **in place**

```
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        my_list[i], my_list[minimum] = my_list[minimum], my_list[i]
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# Sorting

## Selection Sort

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  - Time
    - Best =  $O(N^2)$  because no matter what we have to find the minimum and can't terminate earlier!
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    - But what if I tell you comparing the items have a cost of  $O(k)$ 
      - Like comparing between words, you need to compare the alphabets

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      - We know complexity is based on comparison  $O(N^2)$  comparisons...

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  - Time
    - Best =  $O(N^2)$  because no matter what we have to find the minimum and can't terminate earlier!
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    - But what if I tell you comparing the items have a cost of  $O(k)$ 
      - Like comparing between words, you need to compare the alphabets
      - We know complexity is based on comparison  $O(N^2)$  comparisons...
      - So our final complexity is  $O(kN^2)$



# Sorting

## Selection Sort

- Correctness
- Complexity
- Stable?

# Sorting

## Selection Sort

- Correctness
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  - Relative ordering doesn't change

# Sorting

## Selection Sort

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# Sorting

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- Correctness
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  - Is it stable? **No!** but **why?**

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- Complexity
- Stable?
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  - Is it stable? **No!** but **why?**
  - [4a, 2, 3, 4b, 1]

# Sorting

## Selection Sort

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- Complexity
- Stable?
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  - Minimum is 1, so we swap

# Sorting

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- Correctness
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- Stable?
  - Relative ordering doesn't change
  - Is it stable? **No!** but **why?**
  - [4a, 2, 3, 4b, 1]
  - Minimum is 1, so we swap
  - [1, 2, 3, 4b, 4a]

# Sorting

## Selection Sort

- Correctness
- Complexity
- Stable?
  - Relative ordering doesn't change
  - Is it stable? **No!** but **why?**
  - [4a, 2, 3, 4b, 1]
  - Minimum is 1, so we swap
  - [1, 2, 3, 4b, 4a]
  - Now we see that 4a is behind 4b!



Questions?

# Sorting

## Insertion Sort

- Correctness
- Complexity

- Correctness
- Complexity

**Problem 1.** Write pseudocode for insertion sort, except instead of sorting the elements into non-decreasing order, sort them into non-increasing order. Identify a useful invariant of this algorithm.

- Correctness
- Complexity

```
def insertion_sort(my_list):  
    for i in range(1, len(my_list)):  
        key = my_list[i]  
        j = i - 1  
        # keep shifting to left if left is greater  
        while j >= 0 and key < my_list[j]:  
            my_list[j+1] = my_list[j]  
            j = j - 1  
        my_list[j+1] = key
```

- Correctness
  - Loop invariant
  - Termination
- Complexity

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  - Loop invariant
  - Termination
    - Simple, I skip this
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- Correctness

- Loop invariant
  - `my_list[0...i-1]` sorted
- Termination
  - Simple, I skip this

- Complexity

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def insertion_sort(my_list):  
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- Correctness
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  - Best
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- Correctness
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  - Best  $O(N)$  comparison
    - Each loop only look and compare with left item once
  - Worst

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# Sorting

## Insertion Sort

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- So if  $O(k)$  is the comparison cost, when we have  $O(kN^2)$  worst case!

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## Insertion Sort

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- Each loop keep look left, compare and swap till beginning of list

- So if  $O(k)$  is the comparison cost, when we have  $O(kN^2)$  worst case!

- What about space?

- $O(N)$  for the input list
    - $O(1)$  auxiliary cause it is in-place

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- Correctness
- Complexity
- Stability

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- Correctness
- Complexity
- Stability
  - Yes

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- Correctness
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- Stability
  - Yes
  - Don't swap if value is the same

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- Correctness
- Complexity
- Stability
  - Yes
  - Don't swap if value is the same
  - Most **shifting** will ensure stability

```
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```

Questions?


# Summary

## Sorting

	Best	Worst	Average	Stable?	In-place?
<b>Selection Sort</b>	$O(N^2)$	$O(N^2)$	$O(N^2)$	No	Yes
<b>Insertion Sort</b>	$O(N)$	$O(N^2)$	$O(N^2)$	Yes	Yes
<b>Heap Sort</b>	$O(N \log N)$	$O(N \log N)$	$O(N \log N)$	No	Yes
<b>Merge Sort</b>	$O(N \log N)$	$O(N \log N)$	$O(N \log N)$	Yes	No
<b>Quick Sort</b>	$O(N \log N)$	$O(N^2)$ – can be made $O(N \log N)$	$O(N \log N)$	Depends	No

# Summary

## Sorting

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<b>Heap Sort</b>	$O(N \log N)$	$O(N \log N)$	$O(N \log N)$		
<b>Merge Sort</b>	$O(N \log N)$	$O(N \log N)$	$O(N \log N)$	Yes	No
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# Summary

## Auxiliary for Recursion

- The recursion stack takes up memory!!!

# Summary

## Auxiliary for Recursion

- The recursion stack takes up memory!!!
  - So that is why it isn't in place!

- The recursion stack takes up memory!!!
  - So that is why it isn't in place!
  - If I have recursion  $\log N$  times, then I take  $O(\log N)$  space for the recursion alone!
  - If each recursion is  $k$ , then my total space is  $O(k \log N)$ !!!

- The recursion stack takes up memory!!!
  - So that is why it isn't in-place!
    - Iterative is easier to get in-place
  - If I have recursion  $\log N$  times, then I take  $O(\log N)$  space for the recursion alone!
  - If each recursion is  $k$ , then my total space is  $O(k \log N)$ !!!



# Summary

## Sorting

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<b>Heap Sort</b>	$O(N \log N)$	$O(N \log N)$	$O(N \log N)$	No	Yes
<b>Merge Sort</b>	$O(N \log N)$	$O(N \log N)$	$O(N \log N)$	Yes	No
<b>Quick Sort</b>	$O(N \log N)$	$O(N^2)$ – can be made $O(N \log N)$	$O(N \log N)$	Depends	No

- So... what is the lower bound for the sorting algorithms that we have learnt?
  - Bubble
  - Insertion
  - Selection
  - Quick
  - Merge
- These are all comparison based
- $\Omega(N \log N)$
- We will see more of this **later**

Questions?

Have a break!

# Sorting

## Non-Comparison

- We can sort without comparing elements in a list!

- We can sort without comparing elements in a list!
  - Counting sort
  - Radix sort

Questions?

- Very simple concept
- I am sure we all know this...
- Now let us begin with a list

4	2	1	3	1	4	5
---	---	---	---	---	---	---



- Very simple concept
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- Now let us begin with a list

4	2	1	3	1	4	5
---	---	---	---	---	---	---

- What is the maximum number?

- Very simple concept
- I am sure we all know this...
- Now let us begin with a list

4	2	1	3	1	4	5
---	---	---	---	---	---	---

- What is the maximum number?
  - 5 but how do we know?

- Very simple concept
- I am sure we all know this...
- Now let us begin with a list

4	2	1	3	1	4	5
---	---	---	---	---	---	---

- What is the maximum number?
  - 5 but how do we know? Loop through the list in  $O(N)$

- Our input

4	2	1	3	1	4	5
---	---	---	---	---	---	---

- We know max is 5

- Our input

4	2	1	3	1	4	5
---	---	---	---	---	---	---

Anyone noticed  
the list is crooked?  
#OCDtrigger

- We know max is 5

- Our input

4	2	1	3	1	4	5
---	---	---	---	---	---	---

- We know max is 5

0	1	2	3	4	5
---	---	---	---	---	---

- Our input

4	2	1	3	1	4	5
---	---	---	---	---	---	---

- We know max is 5

0	1	2	3	4	5

- Out input

4	2	1	3	1	4	5
---	---	---	---	---	---	---


- We know max is 5

0	1	2	3	4	5
0	0	0	0	0	0



- Our input

4	2	1	3	1	4	5
---	---	---	---	---	---	---




- We know max is 5

0	1	2	3	4	5
0	0	0	0	0	0

- Our input

4	2	1	3	1	4	5
---	---	---	---	---	---	---




- We know max is 5

0	1	2	3	4	5
0	0	0	0	1	0

- Our input

4	2	1	3	1	4	5
---	---	---	---	---	---	---




- We know max is 5

0	1	2	3	4	5
0	0	1	0	1	0

- Out input

4	2	1	3	1	4	5
---	---	---	---	---	---	---




- We know max is 5

0	1	2	3	4	5
0	1	1	0	1	0

- Our input

4	2	1	3	1	4	5
---	---	---	---	---	---	---




- We know max is 5

0	1	2	3	4	5
0	1	1	1	1	0

- Our input

4	2	1	3	1	4	5
---	---	---	---	---	---	---




- We know max is 5

0	1	2	3	4	5
0	2	1	1	1	0

- Our input

4	2	1	3	1	4	5
---	---	---	---	---	---	---




- We know max is 5

0	1	2	3	4	5
0	2	1	1	2	0

- Our input

4	2	1	3	1	4	5
---	---	---	---	---	---	---




- We know max is 5

0	1	2	3	4	5
0	2	1	1	2	1



- Our input

4	2	1	3	1	4	5
---	---	---	---	---	---	---




- We know max is 5

0	1	2	3	4	5
0	2	1	1	2	1

ItemID  
Frequency

- Our input

4	2	1	3	1	4	5
---	---	---	---	---	---	---



- We know max is 5

0	1	2	3	4	5	ItemID
0	2	1	1	2	1	Frequency

- So how do we sort it now then?

- Our input

--	--	--	--	--	--	--

- We know max is 5

0	1	2	3	4	5	ItemID
0	2	1	1	2	1	Frequency


- So how do we sort it now then?

- Our input

--	--	--	--	--	--	--

- We know max is 5

0	1	2	3	4	5	ItemID
0	2	1	1	2	1	Frequency




- So how do we sort it now then?

- Our input



- We know max is 5

0	1	2	3	4	5	ItemID
0	2	1	1	2	1	Frequency




- So how do we sort it now then?

- Our input

1	1					
---	---	--	--	--	--	--

- We know max is 5

0	1	2	3	4	5	ItemID
0	2	1	1	2	1	Frequency




- So how do we sort it now then?

- Our input

1	1	2				
---	---	---	--	--	--	--

- We know max is 5

0	1	2	3	4	5	ItemID
0	2	1	1	2	1	Frequency



- So how do we sort it now then?


- Our input

1	1	2	3			
---	---	---	---	--	--	--

- We know max is 5

0	1	2	3	4	5
0	2	1	1	2	1

ItemID  
Frequency



- So how do we sort it now then?




- Our input

1	1	2	3	4	4	
---	---	---	---	---	---	--

- We know max is 5

0	1	2	3	4	5	ItemID
0	2	1	1	2	1	Frequency




- So how do we sort it now then?

- Our input

1	1	2	3	4	4	5
---	---	---	---	---	---	---

- We know max is 5

0	1	2	3	4	5	ItemID
0	2	1	1	2	1	Frequency



- So how do we sort it now then?

- Our input

1	1	2	3	4	4	5
---	---	---	---	---	---	---

- We know max is 5

0	1	2	3	4	5
0	2	1	1	2	1

ItemID

Frequency



- So how do we sort it now then?



# Counting Sort

## Complexity

- Time?

# Counting Sort

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- Time?
  - Find the maximum  $O(N)$

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  - Find the maximum  $O(N)$
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# Counting Sort

## Complexity

- Time?
  - Find the maximum  $O(N)$
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  - Go through input list and update the count-array

# Counting Sort

## Complexity

- Time?
  - Find the maximum  $O(N)$
  - Build the count-array  $O(M)$  where  $M$  is the max
  - Go through input list and update the count-array
    - How to make it fast?



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  - Find the maximum  $O(N)$
  - Build the count-array  $O(M)$  where  $M$  is the max
  - Go through input list and update the count-array
    - How to make it fast?

0	1	2	3	4	5
0	2	1	1	2	1

Index

Frequency



- Time?
  - Find the maximum  $O(N)$
  - Build the count-array  $O(M)$  where  $M$  is the max
  - Go through input list and update the count-array
    - How to make it fast?
    - Therefore this is  $O(N)$  since we can have  $O(1)$  access to the count-array

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  - So we want  $M \ll N$  for this to be good
    - Else even  $N \log N < M$

- Time?
  - Find the maximum  $O(N)$
  - Build the count-array  $O(M)$  where  $M$  is the max
  - Go through input list and update the count-array
    - How to make it fast?
    - Therefore this is  $O(N)$  since we can have  $O(1)$  access to the count-array
  - Loop through count-array to rebuild the original list  $O(M)$
  - Total =  $O(N + M + N + M) = O(N+M)$
  - So we want  $M \ll N$  for this to be good
  - If we are doing alphabets only, then the  $M = 26$  for the 26 character (after ascii conversion + maths)

Questions?

# Counting Sort

## Complexity

- Space?



# Counting Sort

## Complexity

- Space?
  - Input list  $O(N)$
  - Count-array  $O(M)$

- Space?
  - Input list  $O(N)$
  - Count-array  $O(M)$
  - Total =  $O(N + M)$
  - Auxiliary =  $O(M)$

Questions?

- Live programming session
- Let us try to code this since it is simple...

- Live programming session
- Let us try to code this since it is simple...
- I will start writing the first part
  - You try to add in your own codes and compare at each step

Questions?

# Counting Sort

## Issue...

- Now imagine the following:

200	151	291	981	369	421	671
-----	-----	-----	-----	-----	-----	-----

# Counting Sort

## Issue...

- Now imagine the following:

200	151	291	981	369	421	671
-----	-----	-----	-----	-----	-----	-----

- What is my complexity?



# Counting Sort

## Issue...

- Now imagine the following:

200	151	291	981	369	421	671
-----	-----	-----	-----	-----	-----	-----

- What is my complexity?
  - Time...
  - Space...

# Counting Sort

## Issue...

- Now imagine the following:

200	456	291	981	369	421	671
	271					

- What is my complexity?
  - Time...
  - Space...
- What if one of the value is **LARGE**

- Now imagine the following:

200	456	291	981	369	421	671
	271					

- What is my complexity?
    - Time...
    - Space...
  - What if one of the value is **LARGE**
- M is large!!!**

# Counting Sort

## Issue...

- Now imagine the following:

200	151	291	981	369	421	671
-----	-----	-----	-----	-----	-----	-----

- What is my complexity?
  - Time...
  - Space...
- Let us leave it at it is first...

Questions?

- Stable?

- Stable?
  - No
  - We only remember the frequency

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  - No
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- But can we make it stable?



- Stable?
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- But can we make it stable?
  - Yes but at the cost of memory

# Counting Sort

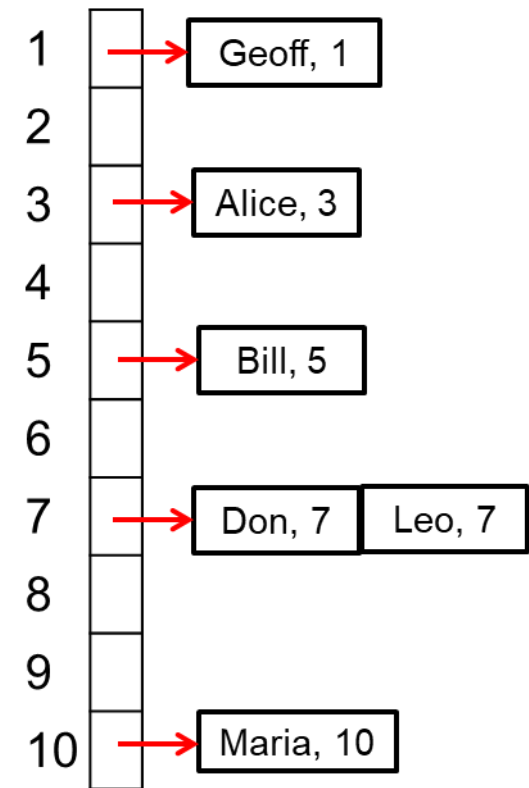
4a	2	1a	3	1b	4b	5
----	---	----	---	----	----	---

0	1	2	3	4	5
	1a	2	3	4a	5
	1b			4b	

Index  
Frequency

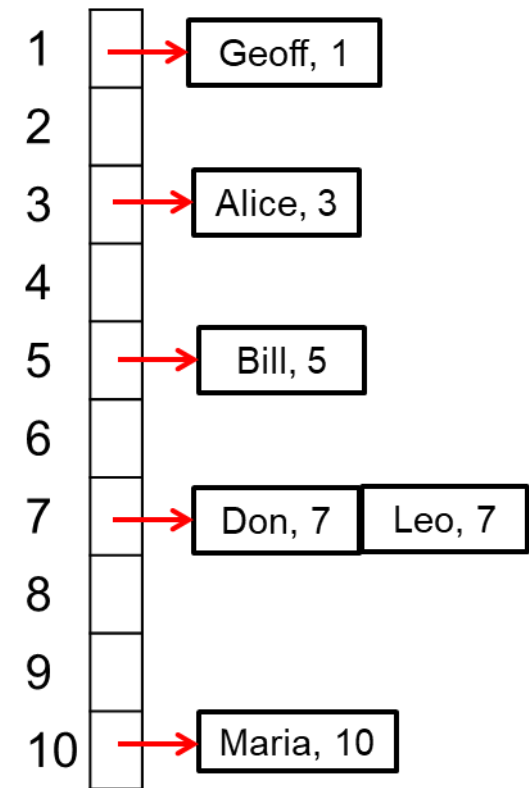
- Stable?
  - No
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  - Similar to separate chaining

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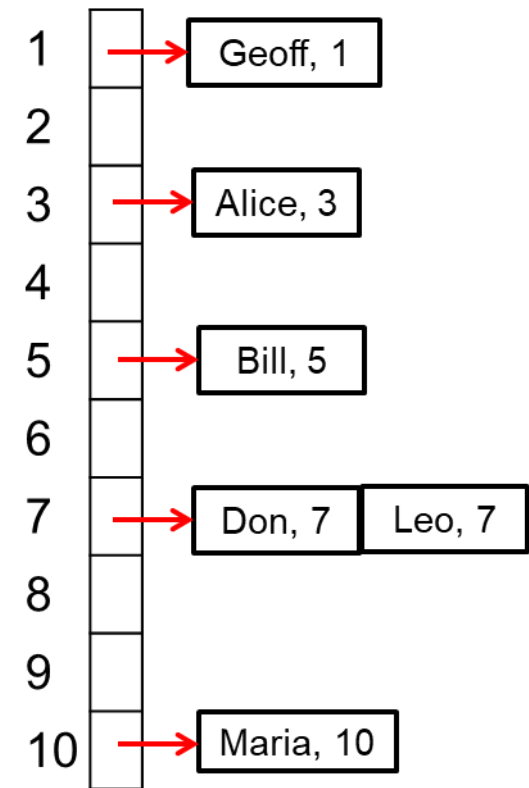
Marks	3	5	7	1	7	10
Name	Alice	Bill	Don	Geoff	Leo	Maria

- Stable?
  - No
  - We only remember the frequency
- But can we make it stable?
  - Yes but at the cost of memory
  - Similar to separate chaining
  - At most we have N items only anyways
    - So it is  $O(M + N)$  space still



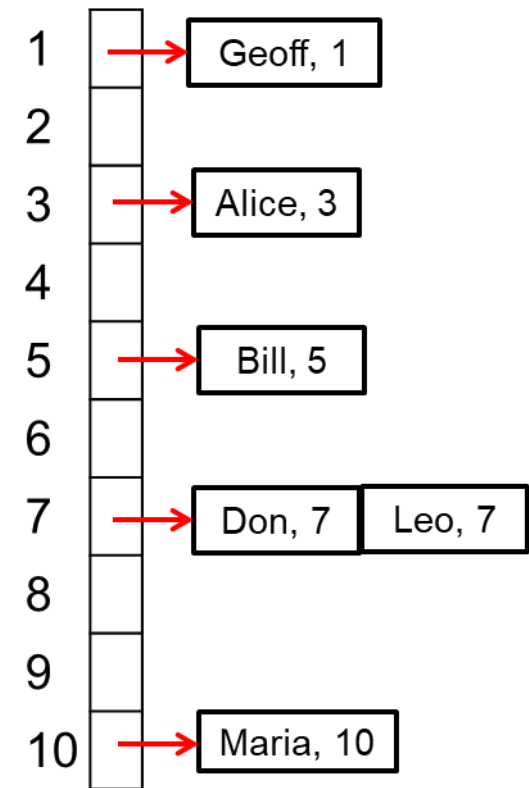
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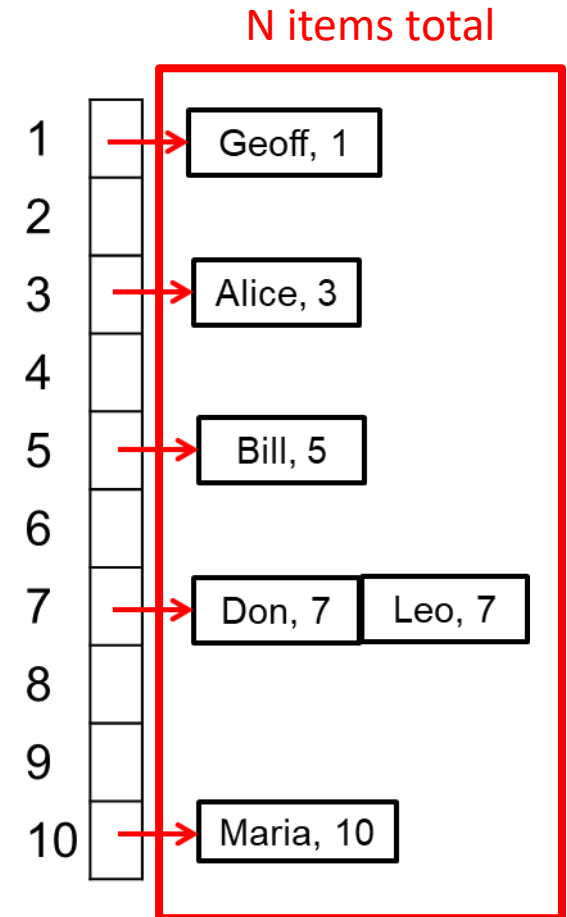
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N items

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N items

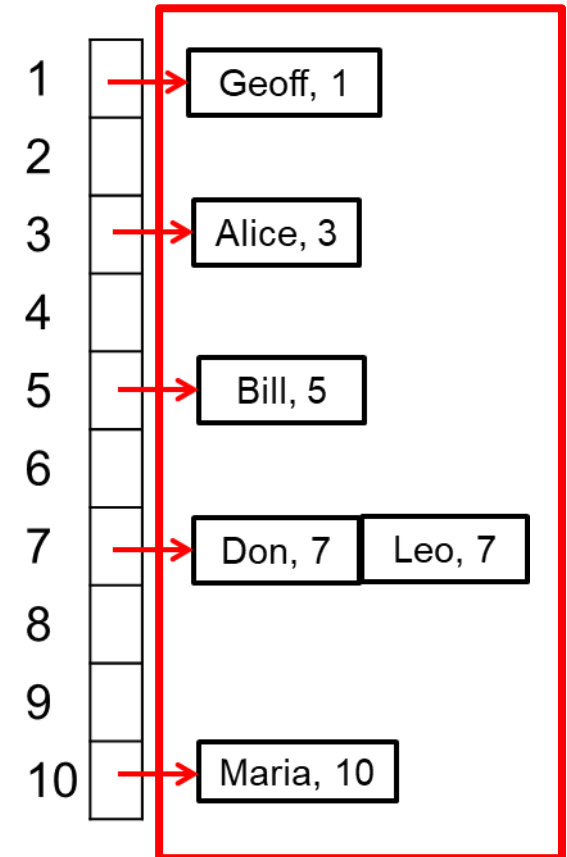


# Counting Sort

Not  $O(N \cdot M)$

N items total

- Stable?
  - No
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- But can we make it stable?
  - Yes but at the cost of memory
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  - At most we have N items only anyways
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Marks	3	5	7	1	7	10
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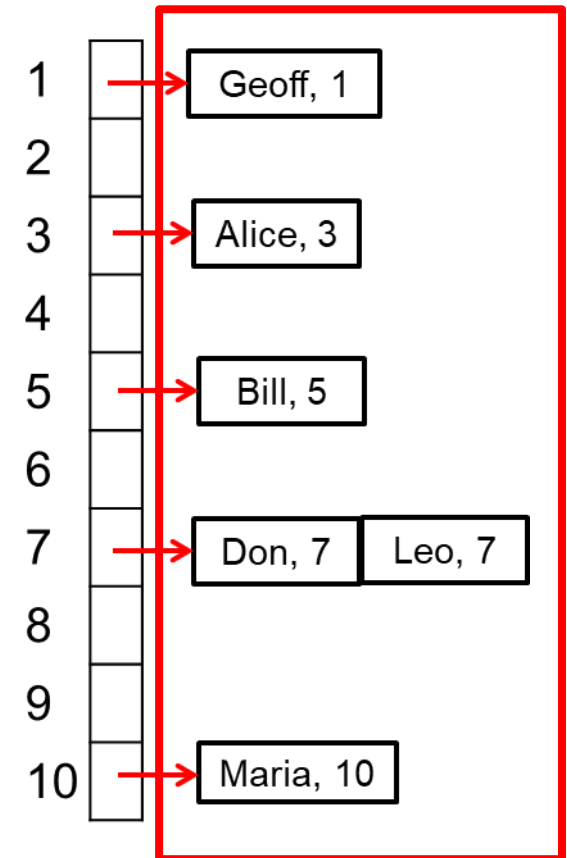
N items

# Counting Sort

Not  $O(N \cdot M)$

N items total

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Marks	3	5	7	1	7	10
Name	Alice	Bill	Don	Geoff	Leo	Maria

N items

Questions?

- Stable?
  - No
  - We only remember the frequency
  
- But can we make it stable?
  - Yes but at the cost of memory
  - Similar to separate chaining
  - There is another way, refer to **Nathan's** amazing slide

# Stable Counting Sort (Method 1)

**Input**

(3,a)	(1,p)	(3,c)	(7,f)	(5,g)	(3,b)	(7,d)	(8,w)
-------	-------	-------	-------	-------	-------	-------	-------

Construct count:

- For each key in input,
- $\text{count}[\text{key}] += 1$

**count**

1	1
2	0
3	3
4	0
5	1
6	0
7	2
8	1

**Output**

1	2	3	4	5	6	7	8

# Stable Counting Sort (Method 1)

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(3,a)	(1,p)	(3,c)	(7,f)	(5,g)	(3,b)	(7,d)	(8,w)
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- Increment  $\text{position}[\text{key}]$

count position

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**count**      **position**

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-------	-------	-------	-------	-------	-------	-------	-------

Construct count:

- For each key in input,
- $\text{count}[\text{key}] += 1$

Construct position:

- Initialise first position as a 1
- $\text{position}[i] = \text{position}[i-1] + \text{count}[i-1]$

Construct output

- Go through input, looking at each (key, val)
- Set  $\text{output}[\text{position}[\text{key}]]$  to the (key, val) pair from input
- Increment  $\text{position}[\text{key}]$

count position

1	1
2	0
3	3
4	0
5	1
6	0
7	2
8	1

1	1
2	2
3	3
4	5
5	5
6	6
7	6
8	8

Output

	(3,a)						
1	2	3	4	5	6	7	8



# Stable Counting Sort (Method 1)

Input

(3,a)	(1,p)	(3,c)	(7,f)	(5,g)	(3,b)	(7,d)	(8,w)
-------	-------	-------	-------	-------	-------	-------	-------

Construct count:

- For each key in input,
- $\text{count}[\text{key}] += 1$

Construct position:

- Initialise first position as a 1
- $\text{position}[i] = \text{position}[i-1] + \text{count}[i-1]$

Construct output

- Go through input, looking at each (key, val)
- Set  $\text{output}[\text{position}[\text{key}]]$  to the (key, val) pair from input
- Increment  $\text{position}[\text{key}]$

count position

1	1
2	0
3	3
4	0
5	1
6	0
7	2
8	1

1	2
2	2
3	3
4	5
5	5
6	6
7	6
8	8

Output

(1,p)	(3,a)						
1	2	3	4	5	6	7	8

# Stable Counting Sort (Method 1)

Input

(3,a)	(1,p)	(3,c)	(7,f)	(5,g)	(3,b)	(7,d)	(8,w)
-------	-------	-------	-------	-------	-------	-------	-------

Construct count:

- For each key in input,
- $\text{count}[\text{key}] += 1$

Construct position:

- Initialise first position as a 1
- $\text{position}[i] = \text{position}[i-1] + \text{count}[i-1]$

Construct output

- Go through input, looking at each (key, val)
- Set  $\text{output}[\text{position}[\text{key}]]$  to the (key, val) pair from input
- Increment  $\text{position}[\text{key}]$

count position

1	1
2	0
3	3
4	0
5	1
6	0
7	2
8	1

1	2
2	2
3	4
4	5
5	5
6	6
7	6
8	8

Output

(1,p)	(3,a)	(3,c)					
1	2	3	4	5	6	7	8

# Stable Counting Sort (Method 1)

Input

(3,a)	(1,p)	(3,c)	(7,f)	(5,g)	(3,b)	(7,d)	(8,w)
-------	-------	-------	-------	-------	-------	-------	-------

Construct count:

- For each key in input,
- $\text{count}[\text{key}] += 1$

Construct position:

- Initialise first position as a 1
- $\text{position}[i] = \text{position}[i-1] + \text{count}[i-1]$

Construct output

- Go through input, looking at each (key, val)
- Set  $\text{output}[\text{position}[\text{key}]]$  to the (key, val) pair from input
- Increment  $\text{position}[\text{key}]$

count position

1	1
2	0
3	3
4	0
5	1
6	0
7	2
8	1

1	2
2	2
3	4
4	5
5	5
6	7
7	6
8	8

Output

(1,p)	(3,a)	(3,c)			(7,f)		
1	2	3	4	5	6	7	8

# Stable Counting Sort (Method 1)

Input

(3,a)	(1,p)	(3,c)	(7,f)	(5,g)	(3,b)	(7,d)	(8,w)
-------	-------	-------	-------	-------	-------	-------	-------

Construct count:

- For each key in input,
- $\text{count}[\text{key}] += 1$

Construct position:

- Initialise first position as a 1
- $\text{position}[i] = \text{position}[i-1] + \text{count}[i-1]$

Construct output

- Go through input, looking at each (key, val)
- Set  $\text{output}[\text{position}[\text{key}]]$  to the (key, val) pair from input
- Increment  $\text{position}[\text{key}]$

count position

1	1
2	0
3	3
4	0
5	1
6	0
7	2
8	1

1	2
2	2
3	4
4	5
5	6
6	7
7	6
8	8

Output

(1,p)	(3,a)	(3,c)		(5,g)	(7,f)		
1	2	3	4	5	6	7	8

# Stable Counting Sort (Method 1)

Input

(3,a)	(1,p)	(3,c)	(7,f)	(5,g)	(3,b)	(7,d)	(8,w)
-------	-------	-------	-------	-------	-------	-------	-------

Construct count:

- For each key in input,
- $\text{count}[\text{key}] += 1$

Construct position:

- Initialise first position as a 1
- $\text{position}[i] = \text{position}[i-1] + \text{count}[i-1]$

Construct output

- Go through input, looking at each (key, val)
- Set  $\text{output}[\text{position}[\text{key}]]$  to the (key, val) pair from input
- Increment  $\text{position}[\text{key}]$

count position

1	1
2	0
3	3
4	0
5	1
6	0
7	2
8	1

1	2
2	2
3	5
4	5
5	6
6	7
7	6
8	8

Output

(1,p)	(3,a)	(3,c)	(3,b)	(5,g)	(7,f)		
1	2	3	4	5	6	7	8

# Stable Counting Sort (Method 1)

Input

(3,a)	(1,p)	(3,c)	(7,f)	(5,g)	(3,b)	(7,d)	(8,w)
-------	-------	-------	-------	-------	-------	-------	-------

Construct count:

- For each key in input,
- $\text{count}[\text{key}] += 1$

Construct position:

- Initialise first position as a 1
- $\text{position}[i] = \text{position}[i-1] + \text{count}[i-1]$

Construct output

- Go through input, looking at each (key, val)
- Set  $\text{output}[\text{position}[\text{key}]]$  to the (key, val) pair from input
- Increment  $\text{position}[\text{key}]$

count position

1	1
2	0
3	3
4	0
5	1
6	0
7	2
8	1

1	2
2	2
3	5
4	5
5	6
6	7
7	7
8	8

Output

(1,p)	(3,a)	(3,c)	(3,b)	(5,g)	(7,f)	(7,d)	
1	2	3	4	5	6	7	8

# Stable Counting Sort (Method 1)

**Input**

(3,a)	(1,p)	(3,c)	(7,f)	(5,g)	(3,b)	(7,d)	(8,w)
-------	-------	-------	-------	-------	-------	-------	-------

Construct count:

- For each key in input,
- $\text{count}[\text{key}] += 1$

Construct position:

- Initialise first position as a 1
- $\text{position}[i] = \text{position}[i-1] + \text{count}[i-1]$

Construct output

- Go through input, looking at each (key, val)
- Set  $\text{output}[\text{position}[\text{key}]]$  to the (key, val) pair from input
- Increment  $\text{position}[\text{key}]$

**count**      **position**

1	1
2	0
3	3
4	0
5	1
6	0
7	2
8	1

1	2
2	2
3	5
4	5
5	6
6	7
7	7
8	9

**Output**

(1,p)	(3,a)	(3,c)	(3,b)	(5,g)	(7,f)	(7,d)	(8,w)
1	2	3	4	5	6	7	8

Questions?



- Stable?
  - No
  - We only remember the frequency
  
- But can we make it stable?
  - Yes but at the cost of memory
  - Similar to separate chaining
  - There is another way, refer to **Nathan's** amazing slide
  - Are the complexity the same?

Questions?

Have a break again!

# Counting Sort

Remember this issue...

- Now imagine the following:

200	151	291	981	369	421	671
-----	-----	-----	-----	-----	-----	-----

- What is my complexity?
  - Time...
  - Space...
- Let us leave it at it is first...

# Counting Sort

Remember this issue...

- Now imagine the following:

200	151	291	981	369	421	671
-----	-----	-----	-----	-----	-----	-----

- What is my complexity?
  - Time...
  - Space...
- Let us leave it at it is first... We shall resolve this now...

# Counting Sort

Remember this issue...

- Now imagine the following:

200	151	291	981	369	421	671
-----	-----	-----	-----	-----	-----	-----

- What is my complexity?
  - Time...
  - Space...
- Let us leave it at it is first... We shall resolve this now...

Questions?

# Radix Sort

A different outlook...

- With this input...

200	151	291	981	369	421	671
-----	-----	-----	-----	-----	-----	-----



# Radix Sort

A different outlook...

- With this input...

200	151	291	981	369	421	671
-----	-----	-----	-----	-----	-----	-----

- What if we view it differently?

# Radix Sort

A different outlook...

- With this input...

200	151	291	981	369	421	671
-----	-----	-----	-----	-----	-----	-----

- What if we view it differently?

200
151
291
981
369
421

# Radix Sort

A different outlook...

- With this input...

200	151	291	981	369	421	671
-----	-----	-----	-----	-----	-----	-----

- What if we view it differently? How would we sort it?

200
151
291
981
369
421

# Radix Sort

A different outlook...

- With this input...
  - What if we view it differently? How would we sort it?

200
151
291
981
369
421
671

# Radix Sort

A different outlook...

- With this input...
  - What if we view it differently? How would we sort it?
    - Right most digit = least significant
    - Left most digit = most significant

200
151
291
981
369
421
671

# Radix Sort

A different outlook...

- With this input...
  - What if we view it differently? How would we sort it?
    - Right most digit = least significant
    - Left most digit = most significant



200
151
291
981
369
421
671

# Radix Sort

A different outlook...

- With this input...
  - What if we view it differently? How would we sort it?
    - Right most digit = least significant
    - Left most digit = most significant



200
151
291
981
369
421
671

# Radix Sort

A different outlook...

- With this input...
  - What if we view it differently? How would we sort it?
    - Right most digit = least significant
    - Left most digit = most significant

200
151
291
981
369
421
671

Counting  
sort

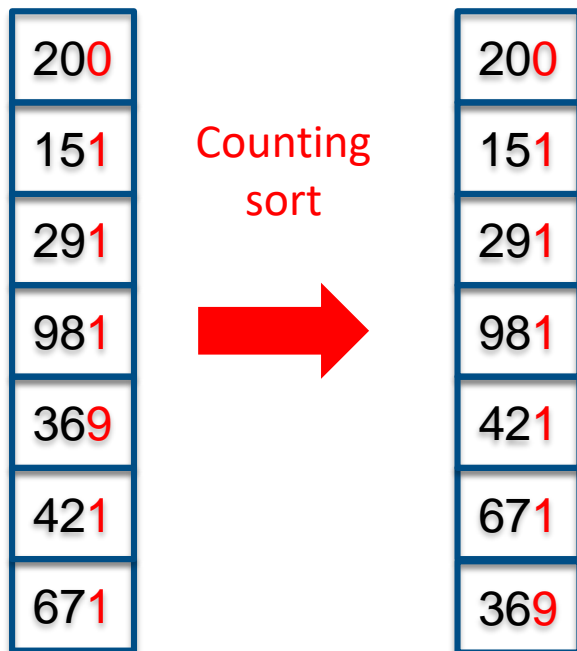




# Radix Sort

A different outlook...

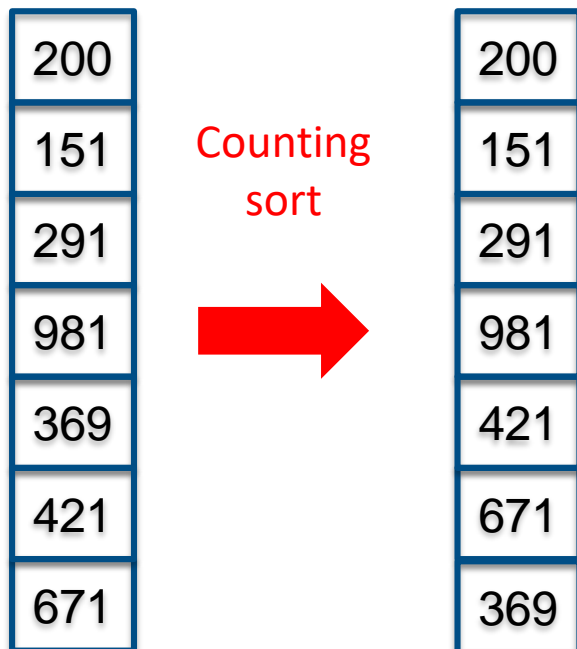
- With this input...
  - What if we view it differently? How would we sort it?
    - Right most digit = least significant
    - Left most digit = most significant



# Radix Sort

A different outlook...

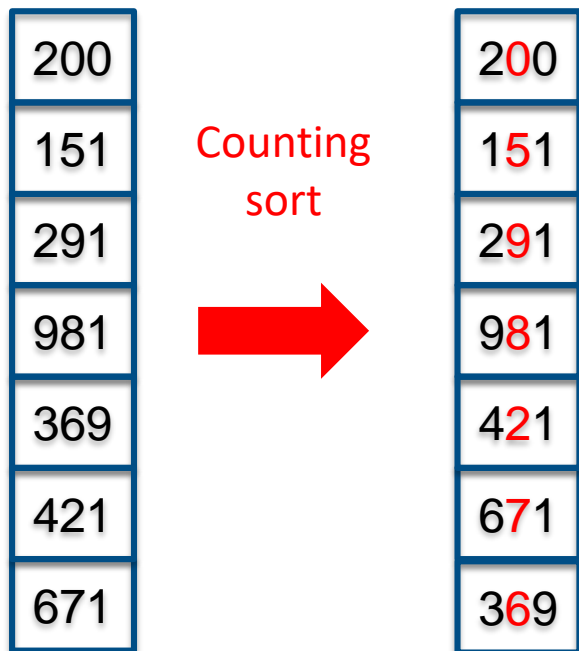
- With this input...
  - What if we view it differently? How would we sort it?
    - Right most digit = least significant
    - Left most digit = most significant



# Radix Sort

A different outlook...

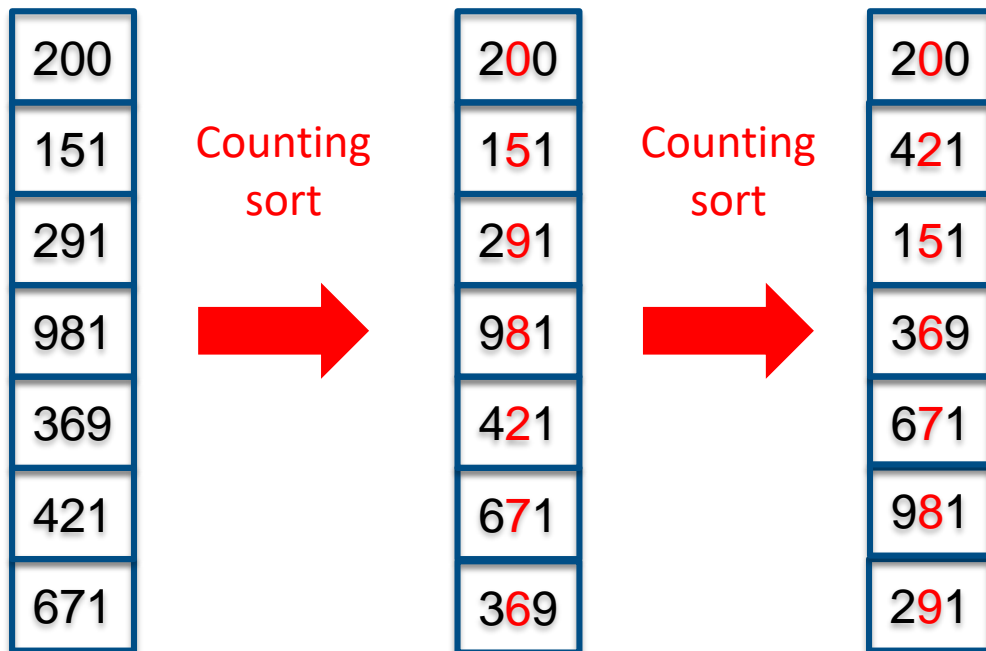
- With this input...
  - What if we view it differently? How would we sort it?
    - Right most digit = least significant
    - Left most digit = most significant



# Radix Sort

A different outlook...

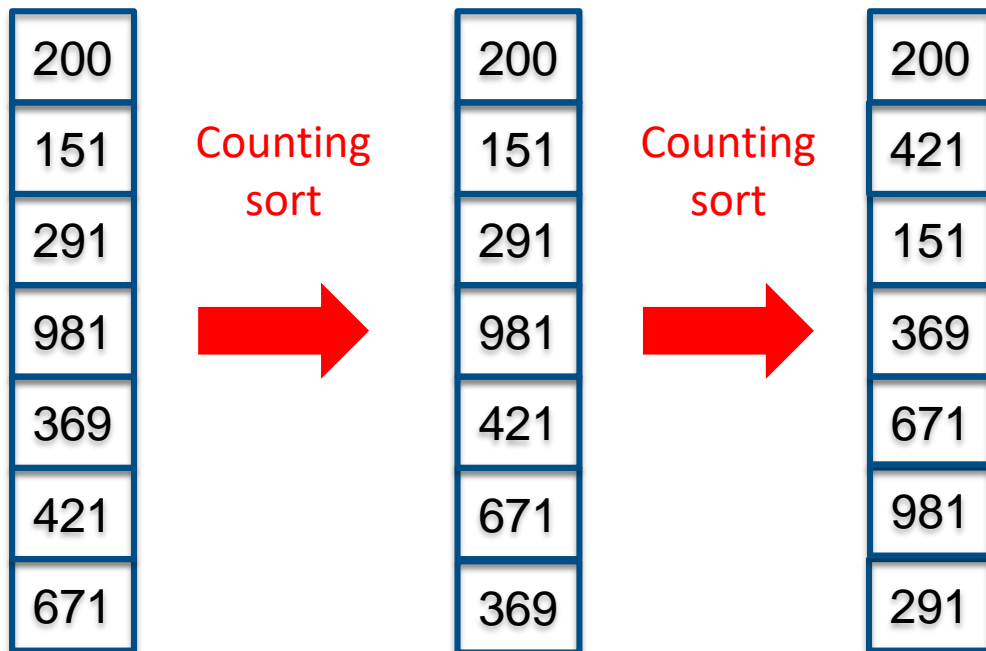
- With this input...
  - What if we view it differently? How would we sort it?
    - Right most digit = least significant
    - Left most digit = most significant



# Radix Sort

A different outlook...

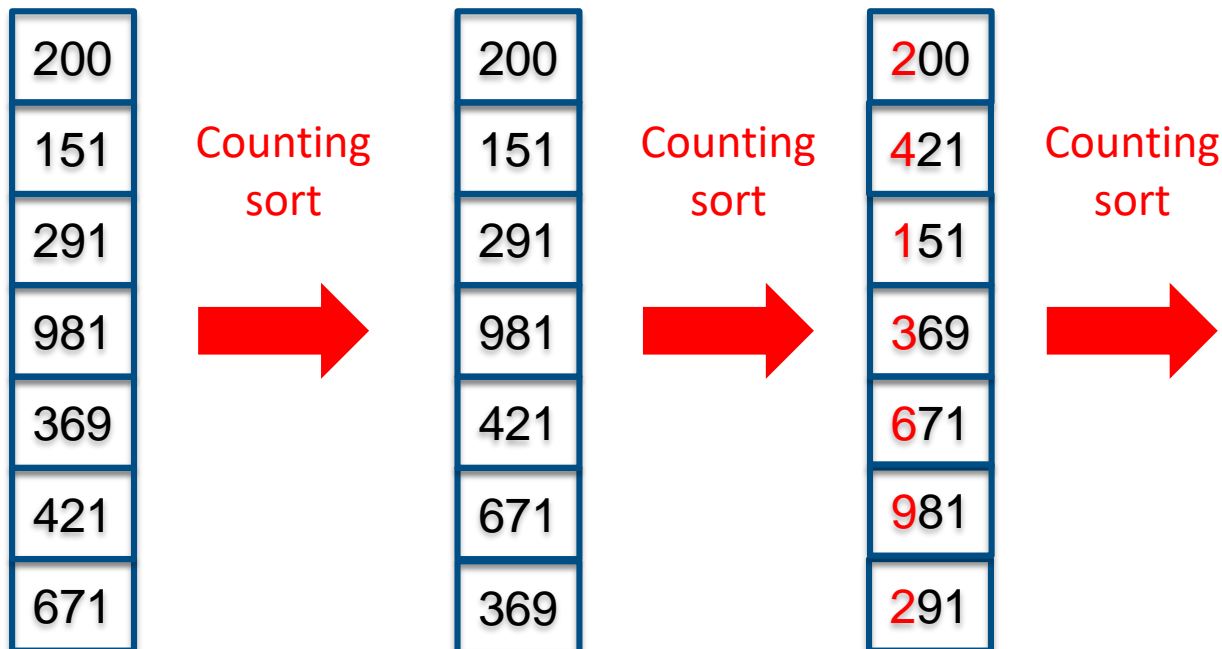
- With this input...
  - What if we view it differently? How would we sort it?
    - Right most digit = least significant
    - Left most digit = most significant



# Radix Sort

A different outlook...

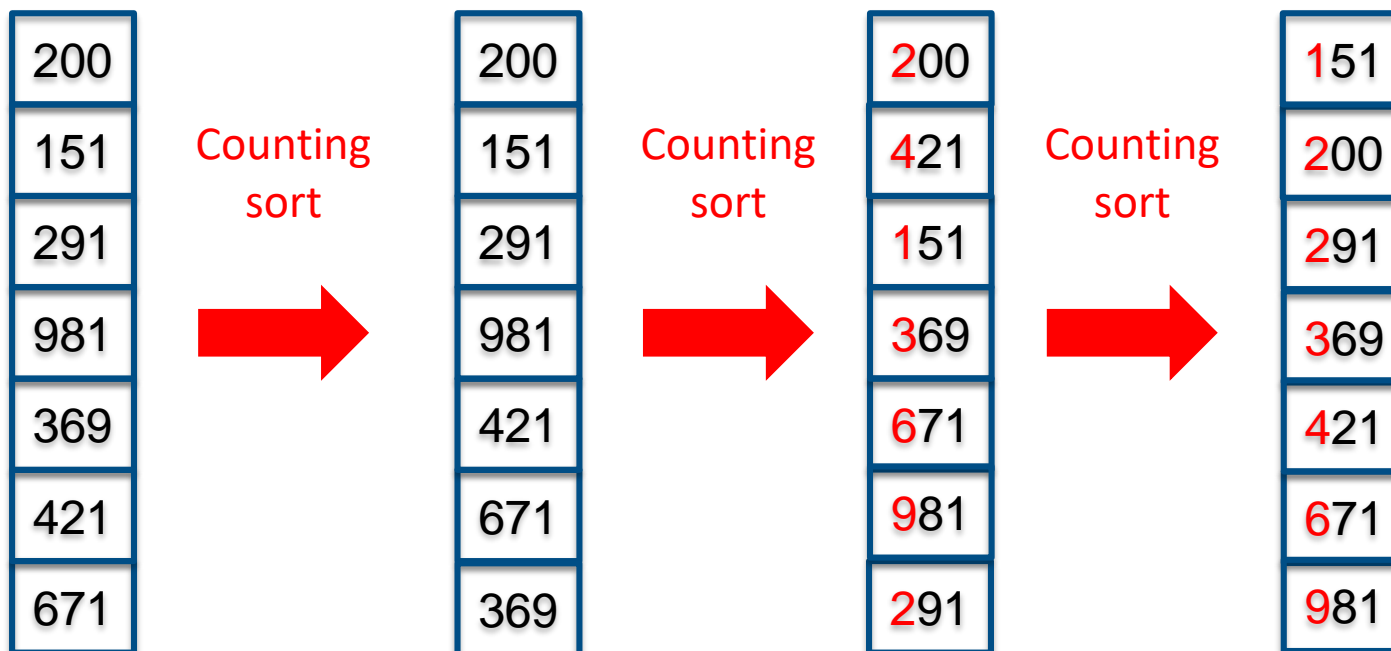
- With this input...
  - What if we view it differently? How would we sort it?
    - Right most digit = least significant
    - Left most digit = most significant



# Radix Sort

A different outlook...

- With this input...
  - What if we view it differently? How would we sort it?
    - Right most digit = least significant
    - Left most digit = most significant

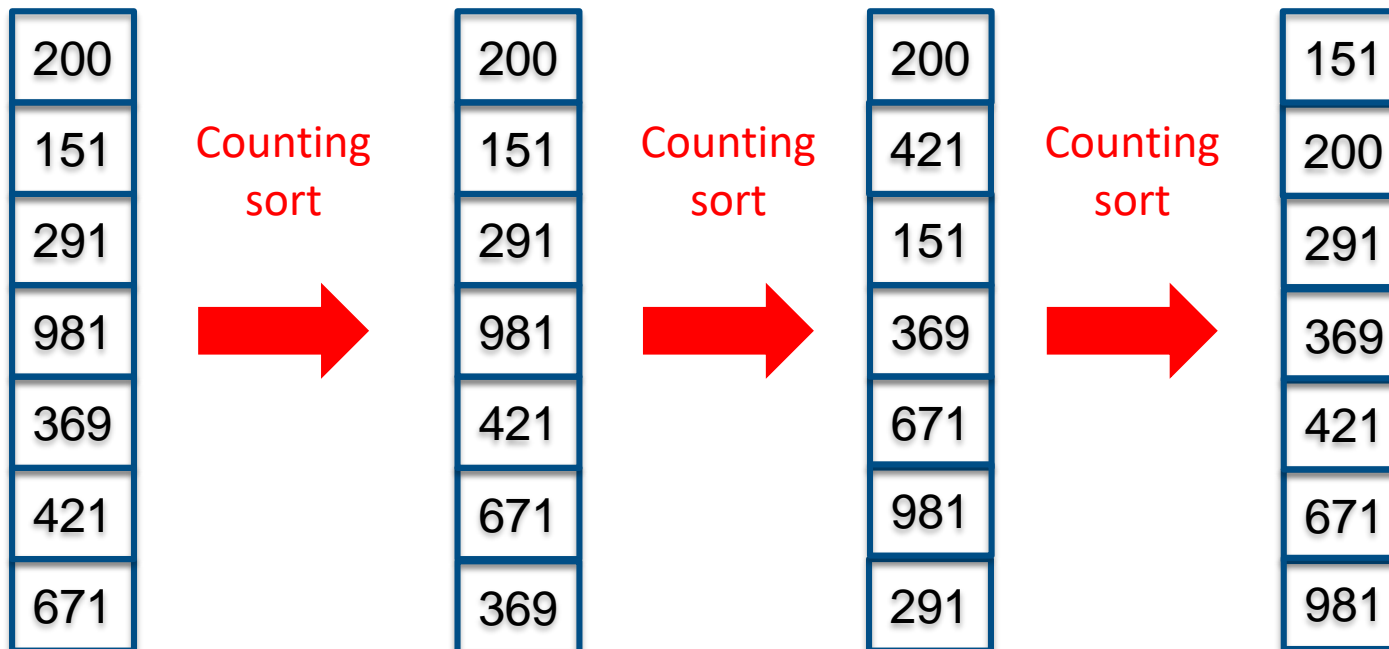


# Radix Sort

A different outlook...



- With this input...
  - What if we view it differently? How would we sort it?
    - Right most digit = least significant
    - Left most digit = most significant



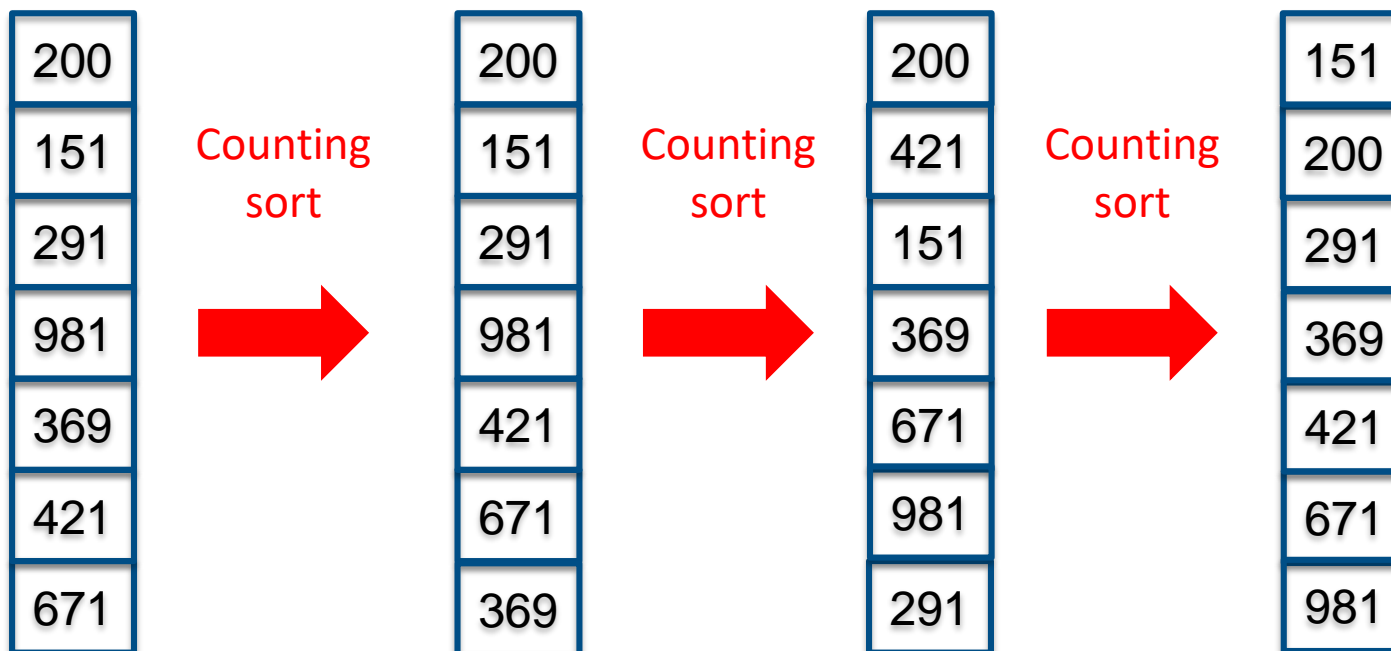


Questions?

# Radix Sort

A different outlook...

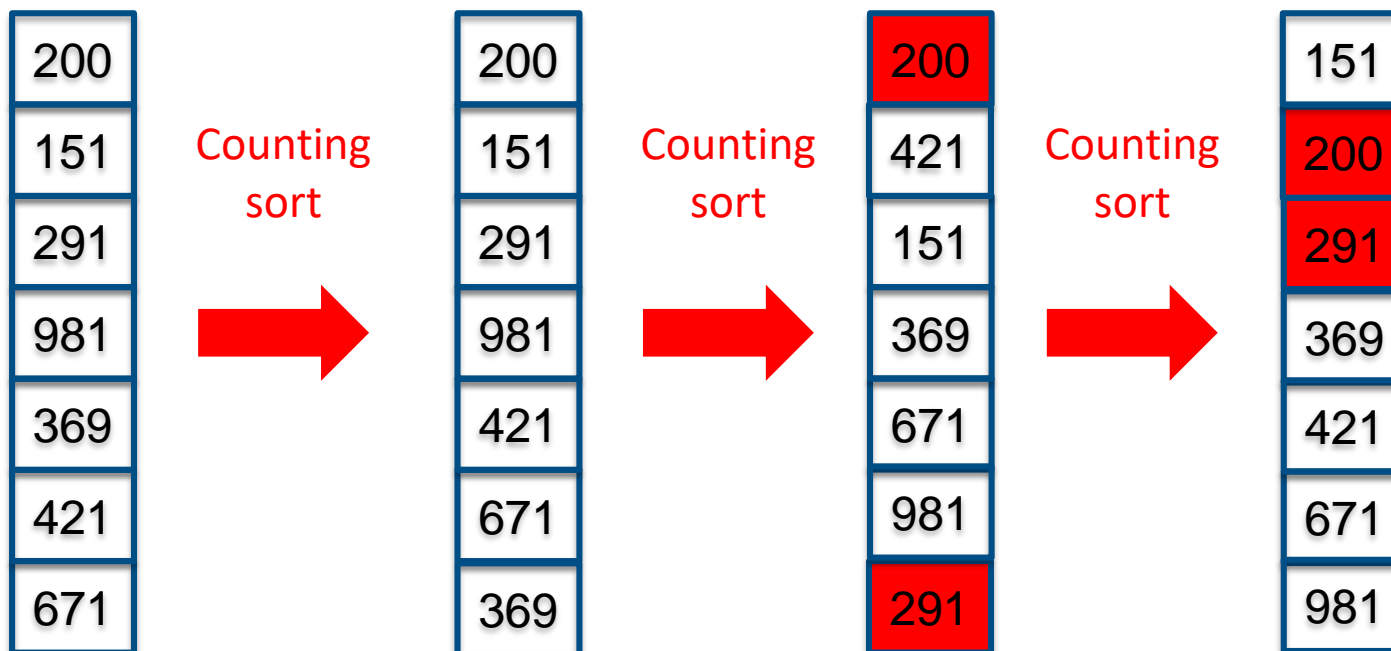
- With this input...
  - What if we view it differently? How would we sort it?
  - But the sorting need to be **stable**



# Radix Sort

A different outlook...

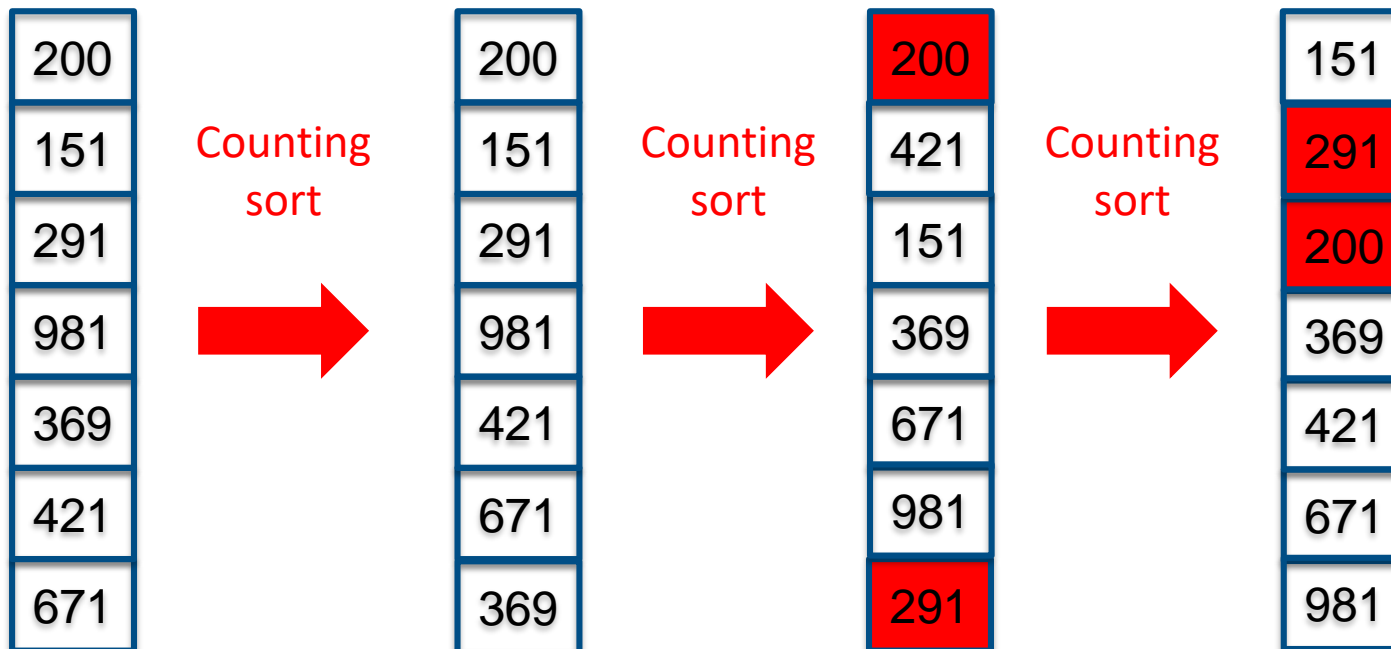
- With this input...
  - What if we view it differently? How would we sort it?
  - But the sorting need to be **stable**



# Radix Sort

A different outlook...

- With this input...
  - What if we view it differently? How would we sort it?
  - But the sorting need to be **stable**, if not...



**It's a  
disastah!**

Questions?

# Radix Sort

## Complexity

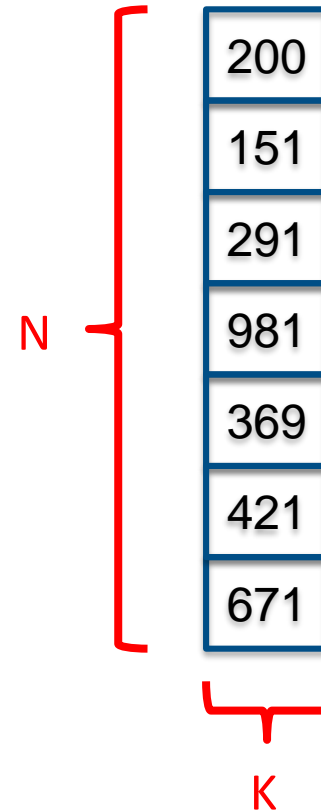
- What is the complexity?
  - Time
  - Space

200
151
291
981
369
421
671

# Radix Sort

## Complexity

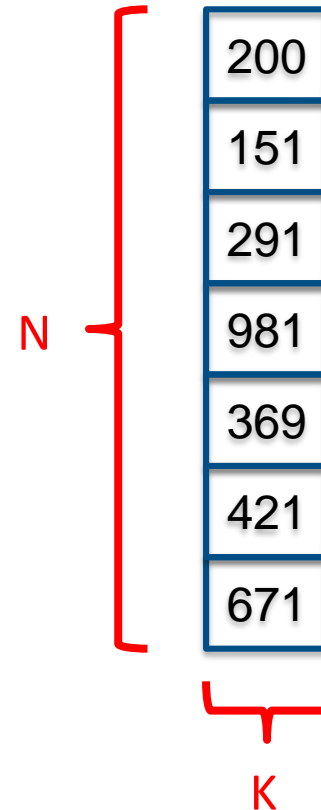
- What is the complexity?
  - Time
  - Space



# Radix Sort

## Complexity

- What is the complexity?
  - Time
    - $O(KN)$ ?
  - Space

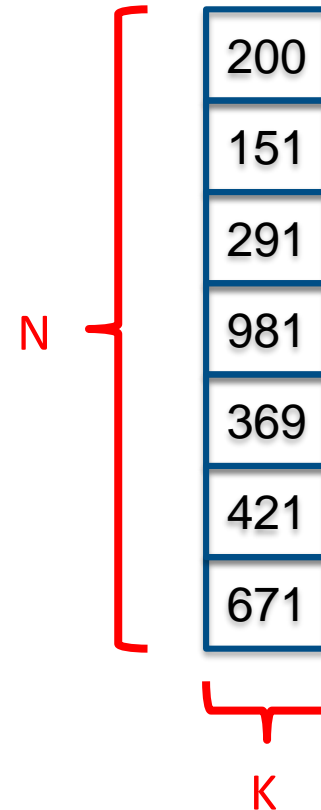




# Radix Sort

## Complexity

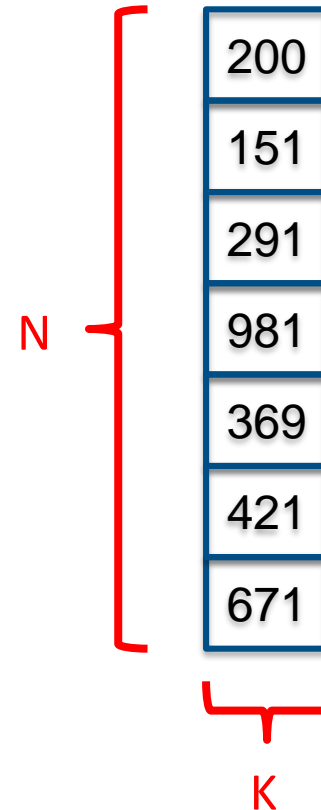
- What is the complexity?
  - Time
    - $O(KN) + O(KM)$   
where  $M$  is the number of unique characters
  - Space



# Radix Sort

## Complexity

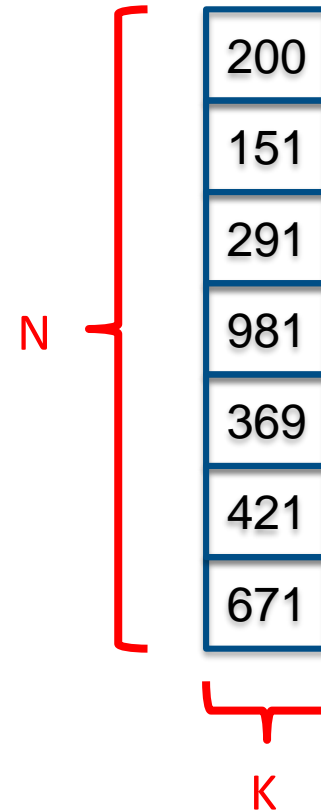
- What is the complexity?
  - Time
    - $O(KN) + O(KM)$   
where  $M$  is the number of unique characters
    - Why? Recall counting sort, we account for the max
  - Space



# Radix Sort

## Complexity

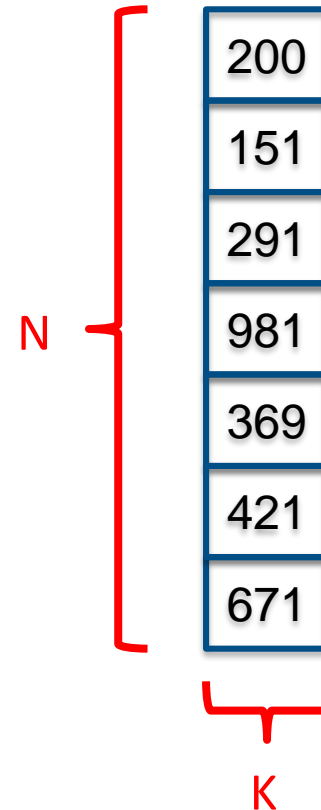
- What is the complexity?
  - Time
    - $O(KN) + O(KM)$   
where  $M$  is the number of unique characters
    - Why? Recall counting sort, we account for the max giving us  $O(N+M)$
  - Space



# Radix Sort

## Complexity

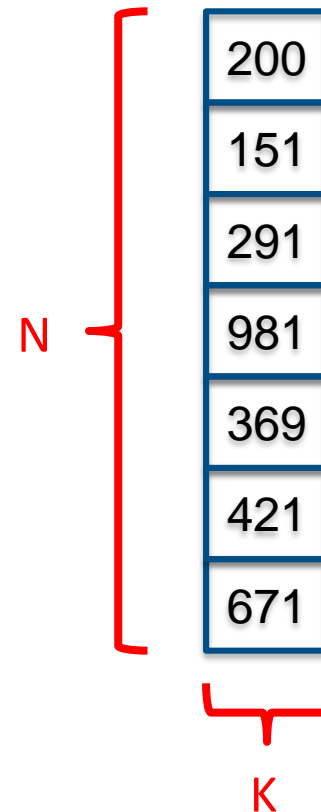
- What is the complexity?
  - Time
    - $O(KN) + O(KM)$   
where  $M$  is the number of unique characters
    - Why? Recall counting sort, we account for the max giving us  $O(N+M)$
    - Then we have  $K$  columns
  - Space



# Radix Sort

## Complexity

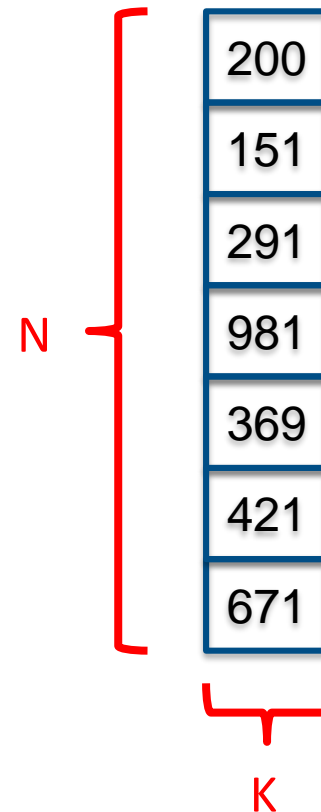
- What is the complexity?
  - Time
    - $O(KN) + O(KM)$   
where  $M$  is the number of unique characters
    - Why? Recall counting sort, we account for the max giving us  $O(N+M)$
    - Then we have  $K$  columns giving us  $O(K) * O(N+M)$
  - Space



# Radix Sort

## Complexity

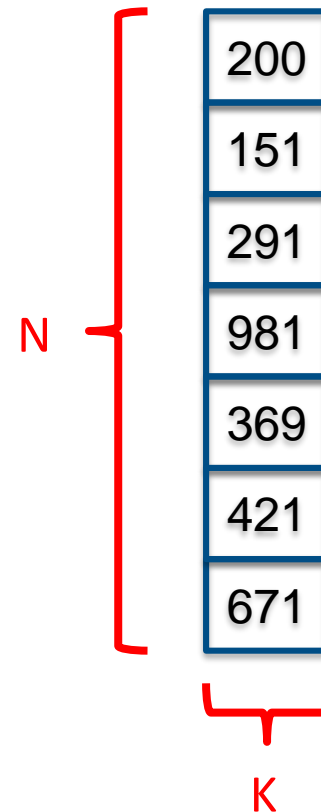
- What is the complexity?
  - Time
    - $O(KN + KM)$   
where  $M$  is the number of unique characters
    - Why? Recall counting sort, we account for the max giving us  $O(N+M)$
    - Then we have  $K$  columns giving us  $O(K) * O(N+M)$
  - Space



# Radix Sort

## Complexity

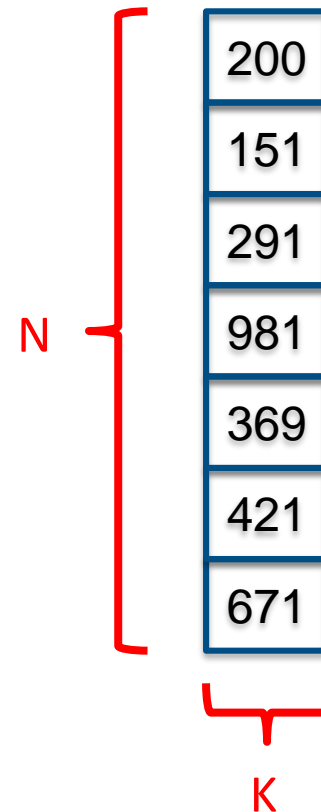
- What is the complexity?
  - Time
    - $O(KN + KM)$   
where  $M$  is the number of unique characters
    - Why? Recall counting sort, we account for the max giving us  $O(N+M)$
    - Then we have  $K$  columns giving us  $O(K) * O(N+M)$
  - Space
    - Input is  $O(KN)$
    - Each counting sort needs  $O(M+N)$



# Radix Sort

## Complexity

- What is the complexity?
  - Time
    - $O(KN + KM)$   
where  $M$  is the number of unique characters
    - Why? Recall counting sort, we account for the max giving us  $O(N+M)$
    - Then we have  $K$  columns giving us  $O(K) * O(N+M)$
  - Space
    - Input is  $O(KN)$
    - Each counting sort needs  $O(M+N)$
    - Total is  $O(KN + M + N)$





# Radix Sort

## Complexity

- What is the complexity?

- But we know  $M = 10$  for 0, 1, ..., 9

- Time

- $O(KN + KM)$

- where  $M$  is the number of unique characters

- Why? Recall counting sort, we account for the max giving us  $O(N+M)$

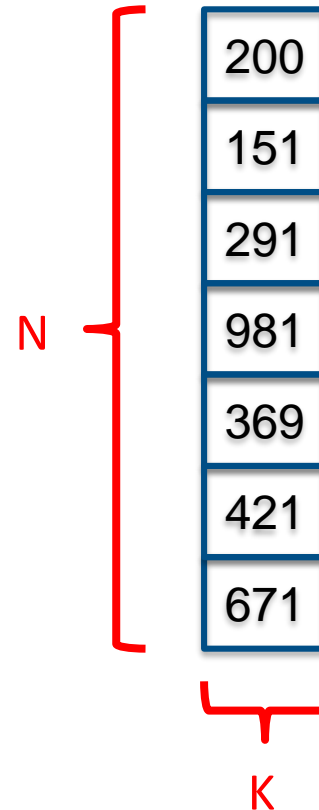
- Then we have  $K$  columns giving us  $O(K) * O(N+M)$

- Space

- Input is  $O(KN)$

- Each counting sort needs  $O(M+N)$

- Total is  $O(KN + M + N)$



# Radix Sort

## Complexity

- What is the complexity?

- But we know  $M = 10$  for 0, 1, ..., 9

- Time

- $O(KN + KM) \approx O(KN)$

- where  $M$  is the number of unique characters

- Why? Recall counting sort, we account for the max giving us  $O(N+M)$

- Then we have  $K$  columns giving us  $O(K) * O(N+M)$

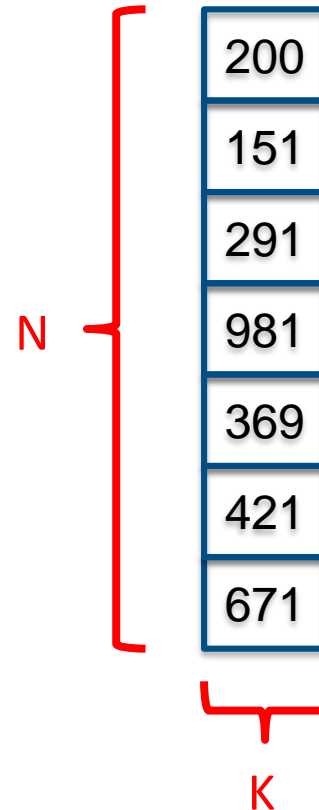
- Space

- Input is  $O(KN)$

- Each counting sort needs  $O(M+N)$

- Total is  $O(KN + M + N) \approx O(KN)$

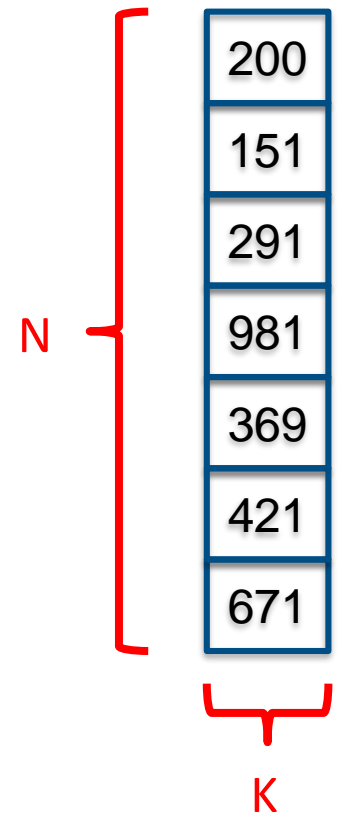
- Auxiliary is  $O(M + N) \approx O(N)$



# Radix Sort

## Complexity

- What is the complexity?
  - Better than merge sort  $O(k N \log N)$ !
  - But we know  $M = 10$  for 0, 1, ..., 9
  - Time
    - $O(KN + KM) \approx O(KN)$   
where  $M$  is the number of unique characters
    - Why? Recall counting sort, we account for the max giving us  $O(N+M)$
    - Then we have  $K$  columns giving us  $O(K) * O(N+M)$
  - Space
    - Input is  $O(KN)$
    - Each counting sort needs  $O(M+N)$
    - Total is  $O(KN + M + N) \approx O(KN)$
    - Auxiliary is  $O(M + N) \approx O(N)$



# Radix Sort

## Complexity

### ■ What is the complexity?

– Better than merge sort  $O(k N \log N)$ !

– But we know  $M = 10$  for 0, 1, ..., 9

– Time

■  $O(KN + KM) \approx O(KN)$

where  $M$  is the number of unique characters

■ Why? Recall counting sort, we account for the max giving us  $O(N+M)$

■ Then we have  $K$  columns giving us  $O(K) * O(N+M)$

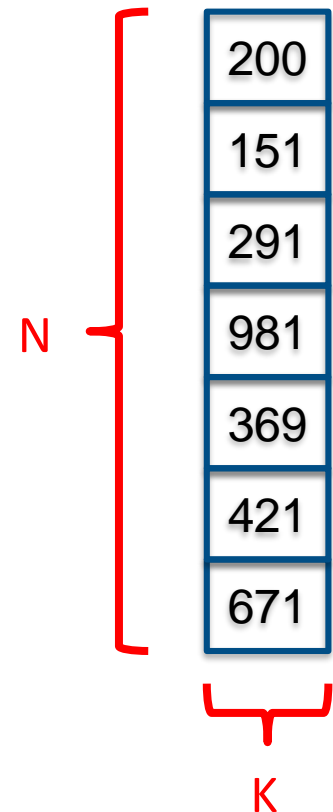
– Space

■ Input is  $O(KN)$

■ Each counting sort needs  $O(M+N)$

■ Total is  $O(KN + M + N) \approx O(KN)$

■ Auxiliary is  $O(M + N) \approx O(N)$  <- why no  $K$ ? Come ask me if interested...

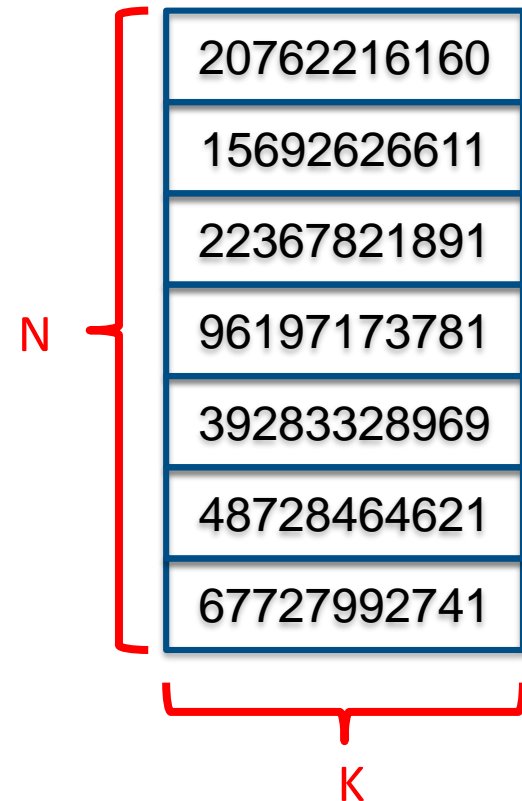


Questions?

# Radix Sort

## Complexity

- What is the complexity?
  - What if  $k$  is bigger?
  - But we know  $M = 10$  for 0, 1, ..., 9
  - Time
    - $O(KN + KM) \approx O(KN)$   
where  $M$  is the number of unique characters
    - Why? Recall counting sort, we account for the max giving us  $O(N+M)$
    - Then we have  $K$  columns giving us  $O(K) * O(N+M)$
  - Space
    - Input is  $O(KN)$
    - Each counting sort needs  $O(M+N)$
    - Total is  $O(KN + M + N) \approx O(KN)$
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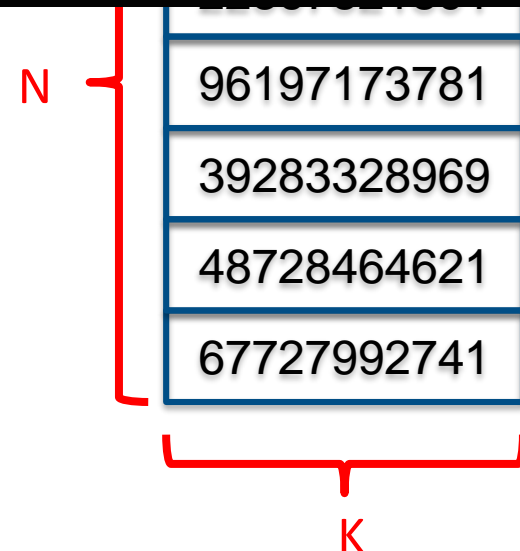
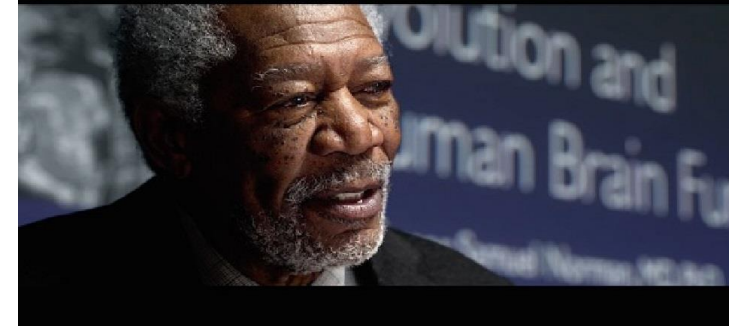
- Space

- Input is  $O(KN)$

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- Total is  $O(KN + M + N) \approx O(KN)$

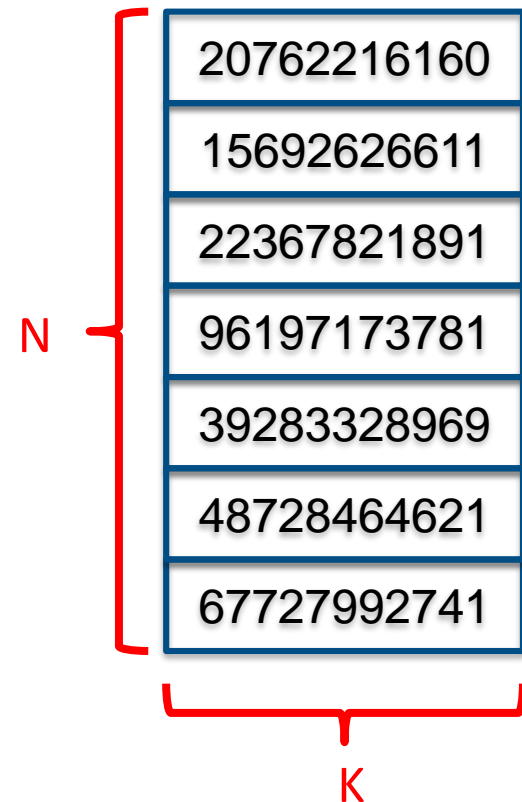
- Auxiliary is  $O(M + N) \approx O(N)$



# Radix Sort

## Complexity

- What is the complexity?
  - What if  $k$  is bigger?
  - We increase  $M = 100$  for 0, 1, ..., 99
  - Time
    - $O(KN + KM) \approx O(KN)$   
where  $M$  is the number of unique characters
    - Why? Recall counting sort, we account for the max giving us  $O(N+M)$
    - Then we have  $K$  columns giving us  $O(K) * O(N+M)$
  - Space
    - Input is  $O(KN)$
    - Each counting sort needs  $O(M+N)$
    - Total is  $O(KN + M + N) \approx O(KN)$
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# Radix Sort

## Complexity

- Time complexity is  $O(KN + KM)$
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# Radix Sort

## Complexity

- Time complexity is  $O(KN + KM)$
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# Radix Sort

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  - For binary numbers,

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- Space complexity is  $O(KN + M + N)$
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  - For decimal numbers, it is 10 from 0 to 10
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- If we deal with the English alphabet, this would be 26 from a to z

baihns
hnmapg
lhhang
uhnagh
banana
trolls
hahaha

# Radix Sort

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- Time complexity is  $O(KN + KM)$
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- $M$  is the base
  - For decimal numbers, it is 10 from 0 to 10
  - For binary numbers, it is 2 from 0 to 1
  - We can increase the  $M$ , to reduce the  $K$
  - If we deal with the English alphabet, this would be 26 from a to z
  - Nathan did a good analysis on it

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banana
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hahaha

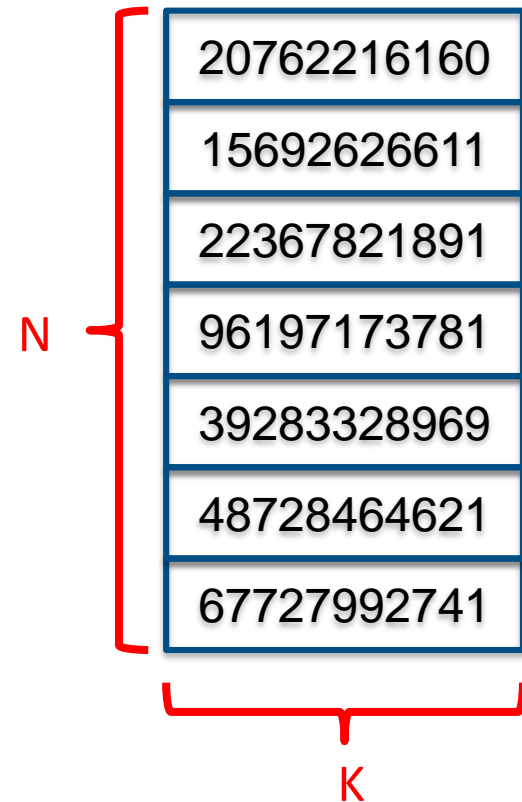


Questions?

# Radix Sort

## TL;DR

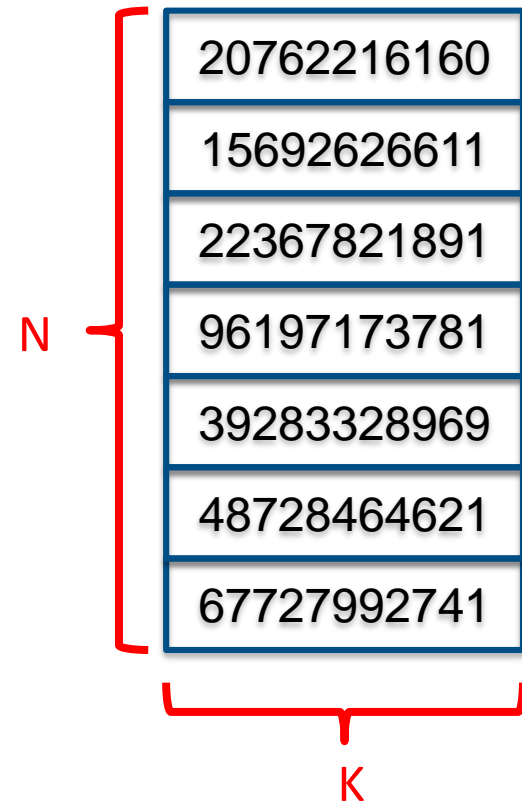
- So you know radix sort
- What have you notice?



# Radix Sort

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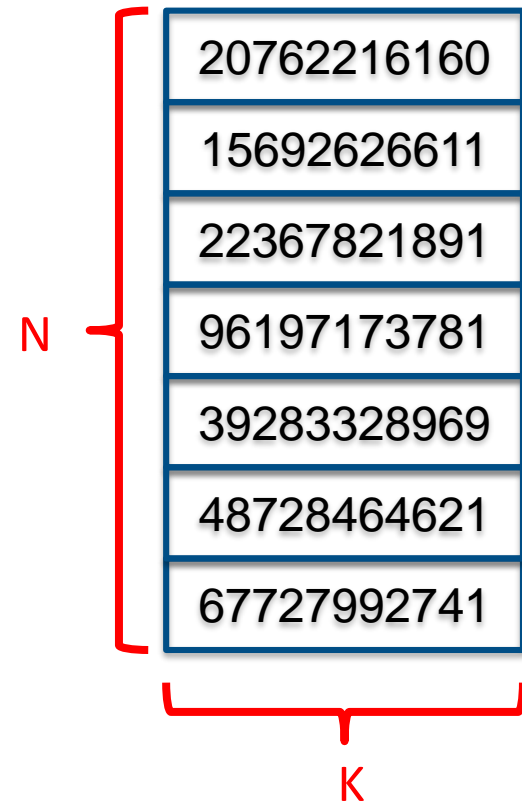
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# Radix Sort

## TL;DR

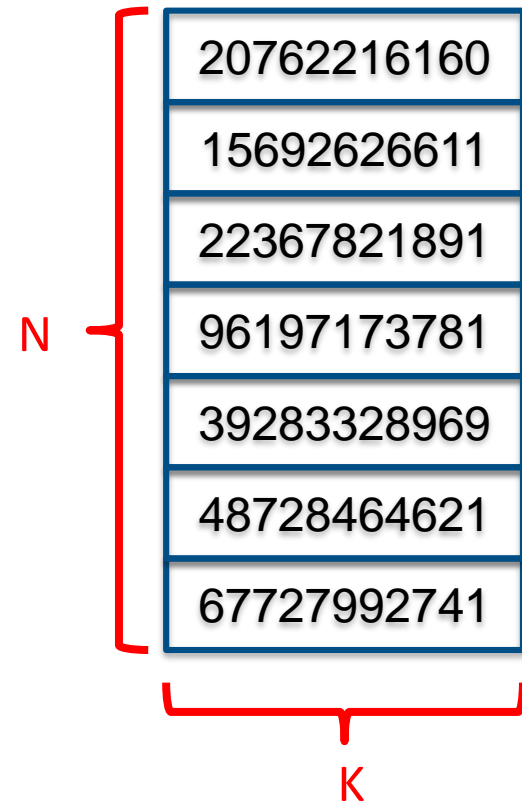
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  - Usually least significant (right) to most significant (left)



# Radix Sort

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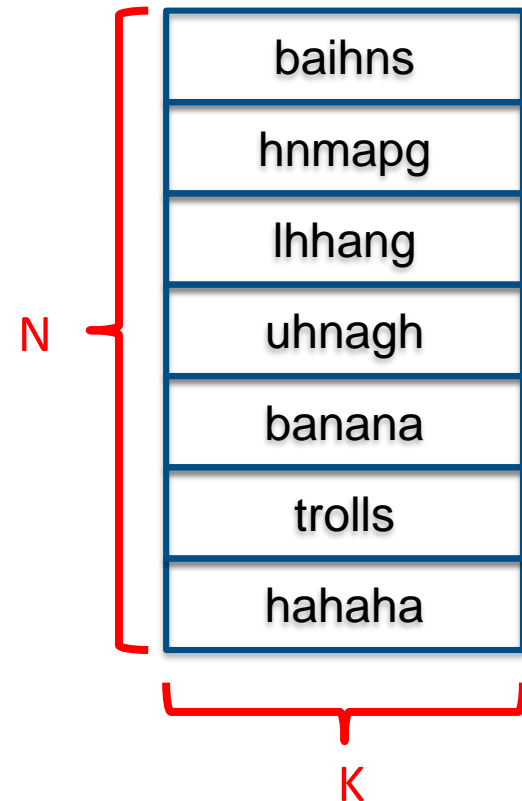
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  - It is counting sort really, done multiple times
    - We can reduce this by increasing the base
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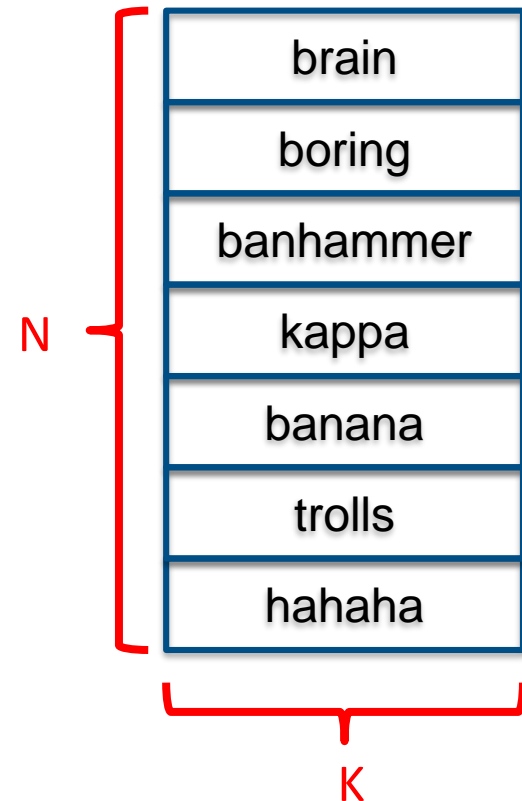
# Radix Sort

## TL;DR

- So you know radix sort
- What have you notice?
  - It is counting sort really, done multiple times
    - We can reduce this by increasing the base
    - Works well for characters as well
  - Usually least significant (right) to most significant (left)



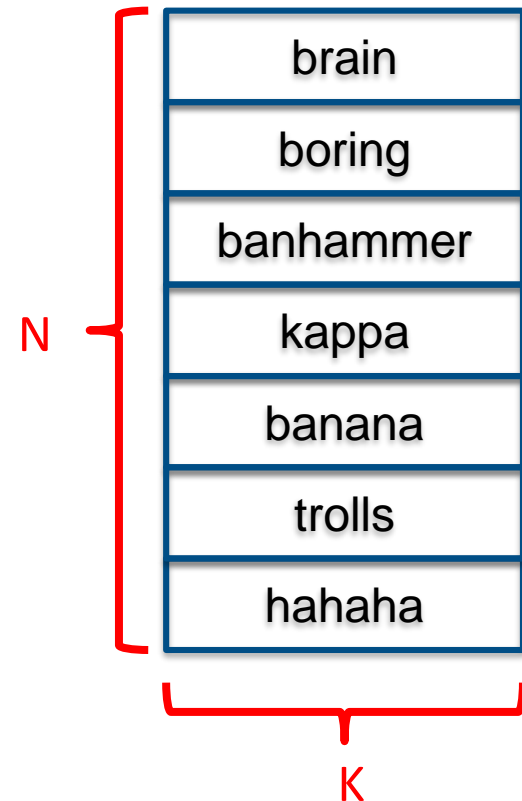
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# Radix Sort

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- What have you notice?
  - It is counting sort really, done multiple times
    - We can reduce this by increasing the base
    - Works well for characters as well
  - Usually least significant (right) to most significant (left)
- But what if they are not the same length?
  - Left-aligned?
  - Right-aligned?

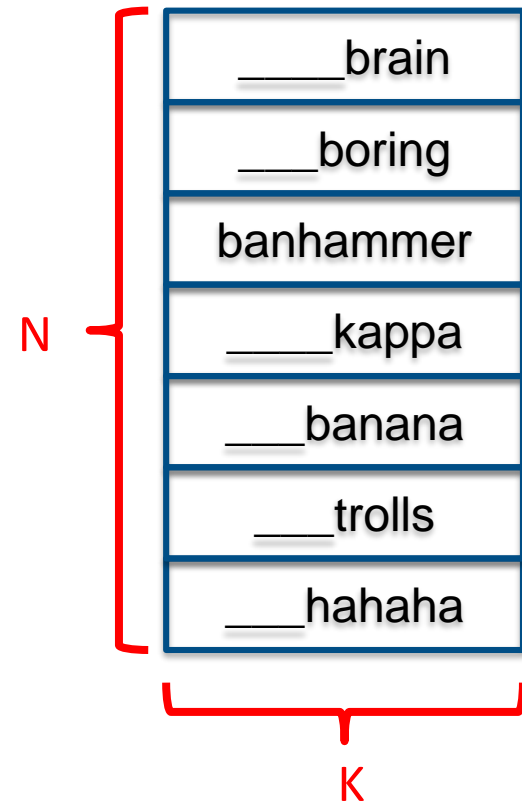




# Radix Sort

## TL;DR

- So you know radix sort
- What have you notice?
  - It is counting sort really, done multiple times
    - We can reduce this by increasing the base
    - Works well for characters as well
  - Usually least significant (right) to most significant (left)
- But what if they are not the same length? **Add spaces!**
  - Left-aligned?
  - Right-aligned?



Questions?

Thank You