Online Action Recognition via Nonparametric Incremental Learning

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Abstract

We introduce an online action recognition system that can be combined with any set of frame-by-frame feature descriptors. Our system covers the frame feature space with classifiers whose distribution adapts to the hardness of locally approximating the Bayes optimal classifier. An efficient nearest neighbour search is used to find and combine the local classifiers that are closest to the frames of a new video to be classified. The advantages of our approach are: incremental training, frame by frame real-time prediction, nonparametric predictive modelling, video segmentation for continuous action recognition, no need to trim videos to equal lengths and only one tuning parameter (which, for large datasets, can be safely set to the diameter of the feature space). Experiments on standard benchmarks show that our system is competitive with state-of-the-art non-incremental and incremental baselines.

keywords: action recognition, incremental learning, continuous action recognition, nonparametric model, real time, multivariate time series classification

1 Introduction

Action recognition has been attracting increasing interest in the last decade, due its role in a variety of applications involving the natural interaction between people and electronic devices, patient monitoring systems, and surveillance systems, to cite a few. We refer the reader to [2] for a rather extensive survey of the topic. Typical approaches to the problem are based on a two-stage procedure: First, discriminative features [46] are extracted from image sequences either locally (frame by frame) or globally, and then collected in a training set. Second, a classifier (e.g., k-NN, SVM, decision tree) is learned from the training set and used to categorize new videos. These methods typically employ a batch learning strategy: the classifier is the outcome of some global optimization process applied to the training set. If new training data become available, the system needs to be re-trained from scratch.

Online and incremental action recognition. Batch learning cannot really be applied to a number of important real-world applications, because of the sheer amount of training data, and the fact that training data is collected in an inherently sequential fashion. Applications in which this constraint holds include: large scale datasets [15], surveillance systems [20, 24, 32], disease recognition [13, 39], human-computer interaction [27, 41], human-robot interaction [12, 16] and robot cooperation [10]. For instance, performing a global optimization training procedure on a large scale dataset might be prohibitive. In other scenarios, such as surveillance systems, incremental learning is needed to quickly process new training examples without having to re-train from scratch.

In an incremental setting global video features cannot be used, as the training data are not available as a collection of pre-segmented videos. The use of local features, extracted frame by frame, becomes thus mandatory. Different descriptors of this type exist, such as HOOF [8], 3DHOF [19], HOG [9], PHOG [7], and HON4D [36].

State of the art on incremental learning. Incremental algorithms, which update the learned model sequentially, have been already used in computer vision problems. Examples include face recognition [37], object tracking [23] and detection [44], gait recognition [21], visual tracking [29], and robot cooperation [10]. Nevertheless, such methods generally adapt existing incremental machine learning algorithms designed for "static" data to work with features extracted from videos, ignoring the dynamics of the frame sequence. For instance, [33, 49] apply incremental SVM or incremental discriminant analysis (respectively) to global video features. The authors of [35] manipulate a global descriptor based on snippets [42] to make it more efficient for real-time applications, and then use a recursive Extreme Learning Machine (ELM) for incremental learning.

Proposed methodology. We propose here a general framework for incremental video classification based on the following principles: (i) each video frame is a training example in a local feature space; (ii) incoming training examples are selected to cover the frame feature space with balls whose radius is adjusted according to the distribution of action classes within each ball; (iii) each ball is associated with an estimate of the conditional class probabilities, obtained by collecting statistics around its centre, which is used to make predictions on new unlabeled samples; (iv) the set of balls can be organized in a tree structure [26], allowing logarithmic queries in the number of balls. During training, a new ball is added whenever the input frame example does not belong to the ball whose center is the closest to the frame among the centers in the current set. Otherwise, the ball statistics and its radius are updated. In the prediction phase, the conditional class probability estimates associated with the ball centre nearest to the input frames are used to select the action that maximises the sum of those scores. The method allows us to work *incrementally* at frame level and *in real time*. Our learning method is also nonparametric. That is, the classifier structure is not pre-determined (as for linear classifiers), but it is inferred from the data (as for k-NN). To the best of our knowledge no other approach enjoys all these attractive features.

Application to online action recognition. As it handles videos on a frame-by-frame basis, the method is suitable to tackle the so-called "continuous action recognition" problem [3, 4, 18], in which both training and test videos contain a number of gestures in a sequence. Temporal segmentation is then paramount to cut video streams into single action instances, consistent with the models learnt from the training sequences. We show how our method can be easily combined with the robust temporal segmentation algorithm presented in [12].

Contributions and outline. In summary, (i) we propose a novel algorithm for online nonparametric recognition built upon a recent nonparametric regression method [25]; (ii) we apply our algorithm to incremental action recognition, demonstrating competitive performance on a significant set of benchmarks; (iii) we outline an online recognition method, able to automatically segment and recognise actions in real time. The paper is organized as follows. In Section 3 we introduce our online recognition framework and the training and prediction algorithms. The algorithm is validated on both batch and incremental action recognition in Section 4. In Section 5 we propose an online action segmentation and recognition setup based on our nonparametric approach. Section 6 concludes the paper.

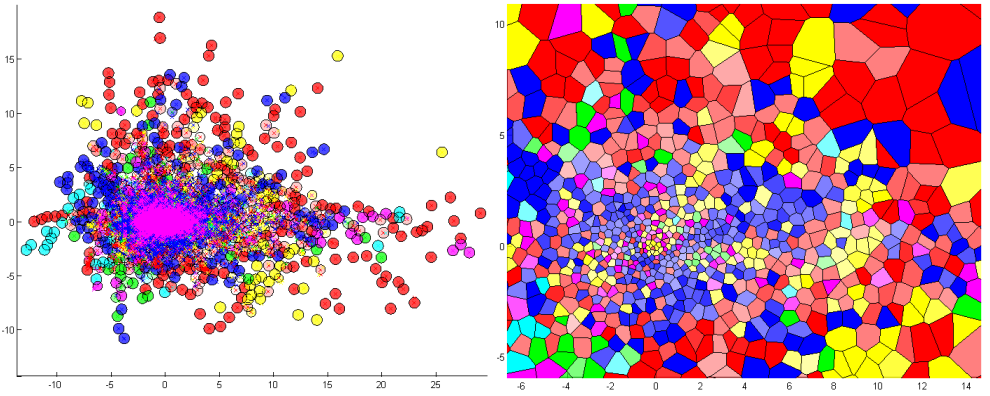


Figure 1: Left: the set of balls resulting from training on the first two principal components of local features extracted from the KTH dataset (colours denote labels, and color intensity expresses the 'purity' of the conditional class distribution within each ball). Right: a close-up of the central area represented as the Voronoi tessellation associated with the balls shows how the regions in which class statistics are more complex are covered by a finer set of balls.

2 Related work

The approach closest to the one proposed here is [40]. There, the authors use an incremental "feature-tree" for indexing local spatio-temporal features extracted from cuboids [11] within labelled videos, and then associate each feature with the video label. In the recognition stage, local features are first detected and extracted. Then, for each feature a query is made on the feature-tree, which returns a set of nearest neighbour features and their corresponding labels. The video is assigned to the label that receives the highest number of votes from its neighbourhood. Although this method appears rather similar to ours, there are considerable differences. First, the authors use an unsupervised partition of the (local) feature space. The cover constructed by our approach, instead, adapts to the local label distribution. Furthermore, their method stores all training data, whereas our algorithm stores a considerably smaller number of ball centres —see Figure 2. Finally, our approach associates with each frame a class score, which is generally more informative than majority voting. For instance, if two actions share some common sub-actions, under [40] the "wrong" action may receive the majority of votes for a number of frames. With our method, on the other hand, those frames will assign a lower score to the true action, but this score may eventually be highly influential in determining the final decision when compared against all the other action scores.

3 Learning framework

We consider a model in which the learner is trained on a sequence $(V_1, y_1), (V_2, y_2), \ldots$ of labeled videos. Video V_i contains T_i frames $v_1^{(i)}, \ldots, v_{T_i}^{(i)}$, each described by D features. The video is annotated with a label y_i denoting an action from a given set $\mathcal{Y} = \{1, \ldots, C\}$ of possible actions. The learner's task is to build a classifier, mapping each new video to its

correct label. We focus on an *incremental learning* setting, in which the classifier is trained incrementally (via small adjustments to the current model) every time a new labeled video (or labeled frame) is presented to the learner. We also adopt a frame-based classification approach, in which —in order take the time dependencies into account— we apply a temporal difference encoding to the video frames. More precisely, each frame $v_j^{(i)} \in \mathbb{R}^D$ of V_i is encoded as $x_j^{(i)} = \left(v_{j+1}^{(i)}, v_{j+1}^{(i)} - v_j^{(i)}\right) \in \mathbb{R}^{2D}$, for $j = 1, \ldots, T_i - 1$. Hence, each labeled video (V_i, y_i) generates $T_i - 1$ labeled frames $\left(x_j^{(i)}, y_i\right)$ for $j = 1, \ldots, T_i - 1$. In what follows, we drop the superscripts i and re-index the frames, thus assuming that the learner is fed a sequence $(x_1, y_1), (x_2, y_2), \cdots \in \mathbb{R}^{2D} \times \mathcal{Y}$ of labeled frames (i.e., training examples), where each $(x_t, y_t) = \left(x_j^{(i)}, y_i\right)$ for some i and $1 \leq j < T_i$. Our classification framework is based on

Algorithm 1 ABACOC (Adaptive Ball Cover for Classification)

Input: Initial radius $R > 0$, metric ρ

Initialize set of ball centers $\mathcal{S} = \emptyset$ and set of labels $\mathcal{Y} = \emptyset$
for $i = 1, 2, \ldots$ **do**
  Receive labeled video (V_i, y_i)
  Create sequence of labeled frames $(x_1, y_i), \ldots, (x_{T_i - 1}, y_i)$
  for $t = 1, \ldots, T_i - 1$ **do**
    if $\mathcal{S} \equiv \emptyset$ **then**
      $\mathcal{S} = \{x_t\}$, set radius $\varepsilon_t = R$, and use y_i to initialize estimates p_t via (1)
    else
      Let $x_s \in \mathcal{S}$ be the nearest neighbour of x_t in $\mathcal{S}$
      if $\rho\left(x_s, x_t\right) \leq \varepsilon_s$ (x_t belongs to current ball centered on x_s) **then**
        if $y_i \neq \operatorname{argmax}_{c \in \mathcal{Y}} p_s(c)$ **then**
          Set $m_s = m_s + 1$ and update radius via $\varepsilon_s = R m_s^{-1/(2+d)}$
        end if
        Use y_i to update estimates p_s via (1)
      else
        $\mathcal{S} = \mathcal{S} \cup \{x_t\}$, set radius $\varepsilon_t = R$, and use y_i to initialize estimates p_t
      end if
    end if
  end for
end for

a recently developed nonparametric regression method suitable for streaming data [25]; according to this algorithm, the frame feature space is adaptively covered by a set $\mathcal{S}$ of balls, as a function of the distribution of the data points in the feature space and of the empirical class distributions in each ball. Each new data point x_t is classified using the prediction provided by the nearest ball centre $x_{\pi(t)}$ in $\mathcal{S}$ (where $\pi(t)$ denotes the index of the element of $\mathcal{S}$ that is closest to x_t).

In the following, we describe the incremental training procedure which defines the set $\mathcal{S}$ and the way ball predictions are computed.

Training. The sequence of observed training examples, obtained via the temporal difference encoding of the incoming videos, is used to build a set $\mathcal{S}$ of balls covering the region of the feature space spanned by the examples. For each ball, an empirical distribution of classes is maintained. The radii of the balls are adjusted to account for mistakes in predicting the

class of the training examples. A ball is added only when a new sample does not fall within any existing ball. For each ball center $x_s \in \mathcal{S}$ we keep updated counts $n_s(c)$ of the number of data points x_t of class $c \in \mathcal{Y}$ which at time t belonged to the ball centered on x_s (remember that the ball's radius changes over time). These counts are used to compute Laplace-adjusted class probability estimates for each ball center $x_s \in \mathcal{S}$:

$$p_s(c) = \frac{n_s(c)+1}{n_s+C} \qquad c = 1,\ldots,C \tag{1}$$

where $n_s = n_s(1)+\cdots+n_s(C)$.

More specifically, the training algorithm operates as follows —see Algorithm 1. (i) Initially, the set of balls $\mathcal{S}$ is empty. (ii) For each training example x_t, we efficiently compute the nearest neighbour $x_{\pi(t)} \in \mathcal{S}$, according to a given metric ρ. Note that there exist data structures that allow an efficient management of the set $\mathcal{S}$ of ball centers. For example, [26] embeds $\mathcal{S}$ in a tree where nearest neighbour queries and updates can be performed in time $\mathcal{O}(\ln|\mathcal{S}|)$ —see also [25]. (iii) If x_t does not belong to the nearest neighbour $x_{\pi(t)} \in \mathcal{S}$, a new ball of radius $\varepsilon_t = R > 0$ centered on it is created and added to $\mathcal{S}$; its label y_t is used to initialize the empirical class distribution for the new ball via (1). (iv) Otherwise, the label y_t of the current example is used to update the mistake counts $m_{\pi(t)}$ of the closest ball. The ball center $x_{\pi(t)}$ makes a mistake on (x_t, y_t) if and only if $y_t \neq \operatorname{argmax}_{c\in\mathcal{Y}} p_{\pi(t)}(c)$. (v) Whenever the ball center $x_{\pi(t)} \in \mathcal{S}$ makes a wrong prediction, the radius $\varepsilon_{\pi(t)}$ is shrunk by an amount that depends inversely on an estimates of the "intrinsic dimension"[1] d of the stream data, as a function of the number of prediction mistakes made so far: $\varepsilon_{\pi(t)} = R m_{\pi(t)}^{-1/(d+2)}$. (vi) Finally, the class probability estimates $p_{\pi(t)}(c)$ for the ball center $x_{\pi(t)}$ are updated via (1).

Preliminary knowledge of the full set of action classes $\mathcal{Y}$ is not needed: our incremental learning approach can add new labels to $\mathcal{Y}$ as soon as they first appear in the video sequence.

Prediction. In the prediction phase, we proceed similarly: the video V_i is used to generate the $T_i - 1$ frames $x_1,\ldots,x_{T_i-1}$ via temporal difference encoding. For each x_t the nearest neighbour $x_{\pi(t)} \in \mathcal{S}$ is computed. Then, the label of the test video V_i is predicted using the following maximum likelihood estimate, which integrates over all the frames of the video:

$$\widehat{y}_i = \operatorname*{argmax}_{c\in\mathcal{Y}} \sum_{t=1}^{T_i-1} \ln p_{\pi(t)}(c)\ . \tag{2}$$

In the regression setting proposed in [25], all ball radii ε_s shrink uniformly with time t at rate $t^{-1/(d+2)}$, where d is the unknown intrinsic dimension of the space (which can be much smaller than the ambient dimension D, i.e., the number of features in our case). Under Lipschitz assumptions on the true regression function, the method in [25] was shown to be consistent[2], with a nearly optimal nonparametric convergence rate. In this work, besides replacing the regression estimate associated with each ball center with a maximum likelihood estimate (more appropriate for classification), we let the radius of each ball shrink at a rate dependent on the number of mistakes made by the estimator associated with the ball center. This leads to a model where many small balls are used to cover only regions with high

[1] This roughly corresponds to the smallest number of dimensions in which the stream can be embedded without significantly increasing the Bayes error.

[2] A consistent classifier is one for which the probability of correct classification, given a training set, approaches, as the size of the training set increases, the best probability theoretically possible (Bayes optimal) if the population distributions were fully known.

mistake rates (where the Bayes optimal classifier is supposedly more complex), whereas low mistake rate regions get covered with a few large balls —see Figure 1. Establishing sufficient conditions on the stream under which the consistency of our classification approach is guaranteed is currently work in progress.

4 Experiments

Batch and online settings. In this section we describe the baselines, the feature descriptors, and the datasets used in the experiments. To emphasize the versatility of our approach, we test the empirical performance of ABACOC in two learning settings: batch and online. In the batch setting, we follow the literature for each specific dataset, using available train-test splits, leave-one-out, or k-fold cross-validation (see below for details). The online setting is geared at an actual real-time scenario, in which we are interested in the *average performance in time* of the sequence of models generated by ABACOC. Note that, in the online setting we do not measure the performance of a single classifier, but rather the performance of the ensemble of classifiers generated by the incremental training process, see below for details.

Datasets. We assessed our method on some of the most common computer vision benchmarks: Weizmann [17] and KTH [43] (all scenarios) for action recognition, SKIG [30] and MSRGesture3D [28] for gesture recognition, JAPVOW [34] and AUSLAN [22] for sign language recognition (UCI Repository [1]). The first four datasets are mostly footage material, which requires a feature extraction step at the frame level. Our approach for KTH and Weizmann datasets is based on the histogram of oriented optical flows (HOOF) [8] using 32 bins. For the dataset SKIG we used the same feature extraction pipeline of [12], which consists of 3DHOF on the RBG frames and GHOG (Global Histogram of Oriented Gradient) on the depth frames. For MSRGesture3D only depth information is available. We therefore extracted two-level pyramidal HOG (PHOG) features using 32 bins.

Competing approaches. Our baselines for batch experiments include Hidden Markov Models (HMM), Dynamic Time Warping (DTW), and Support Vector Machines (SVM). The standard technique using HMMs for classifying video sequences outputs the action associated with the trained HMM that achieves the highest likelihood score on the new frame sequence. We call this approach HMM-Lik —see, e.g., [5, 38]. Recently, Antonucci et al. [6] proposed a method using instead the expected value w.r.t. the stationary distribution to calculate, from each HMM, a "static" feature that then gets processed via a standard machine learning classifier. As we used a 1-NN technique, this method will be here referred to HMM-1NN. Another baseline, which we refer to as DTW-d, consists in assigning to the new video the action of his nearest training sequence based on the DTW distance [47]. Fanello et al. [12] proposed a real-time prediction system based on one-vs-all SVMs learned on samples generated from a concatenation of a set of feature frames collected from a sliding window. We term this method SVM-b. For HMM-Lik and HMM-1NN we used the same setting as in [6], characterized by Gaussian HMMs with $N = 3$ hidden states. For SVM-b we selected a buffer of 12 frames for all datasets, except for the AUSLAN dataset on which a buffer of size 8 empirically leads to better results.

In the online setting, we compared our approach against SVM-b with a full re-train after the presentation of each new video and the kernel-based binary classifier ALMA [14]. Similarly to ABACOC, ALMA is trained incrementally. However, the learned classifier is linear, and provably approximates the SVM classifier. In order to provide a fair comparison against a nonparametric approach, we ran ALMA with a Gaussian kernel (since SVM with Gaussian

DATASET	HMM-Lik	HMM-1NN	DTW-d	SVM-b	ABACOC
KTH	49.92%	68.28%	52.50%	69.83%	**83.20%**
Weizmann	47.80%	87.50%	53.76%	97.22%	**98.61%**
SKIG	16.40%	90.30%	95.74%	94.50%	**97.50%**
MSRGesture3D	17.4%	78.20%	50.65%	**95.55%**	90.33%
JAPVOW	86.65%	95.67%	69.72%	84.59%	**98.01%**
AUSLAN	19.74%	67.07%	**83.81%**	44.78%	72.32%

Table 1: Multiclass accuracies of ABACOC compared against four baseline algorithms on the six benchmark datasets. All the methods share the same extracted features.

kernels is known to be consistent —see [45]). ALMA's parameters were optimally tuned on the full dataset. As for ABACOC we used the Euclidean distance as metric ρ. Since feature vectors are normalized, the parameter R was set to a value smaller than 1. In most cases, this parameter can be safely set to the diameter of the feature space. Nonetheless, when the dataset is small, lower values may provide better results. In order to compute the intrinsic dimension parameter d of the space, which controls the shrinking rate of the radii, we used the method described in [30].

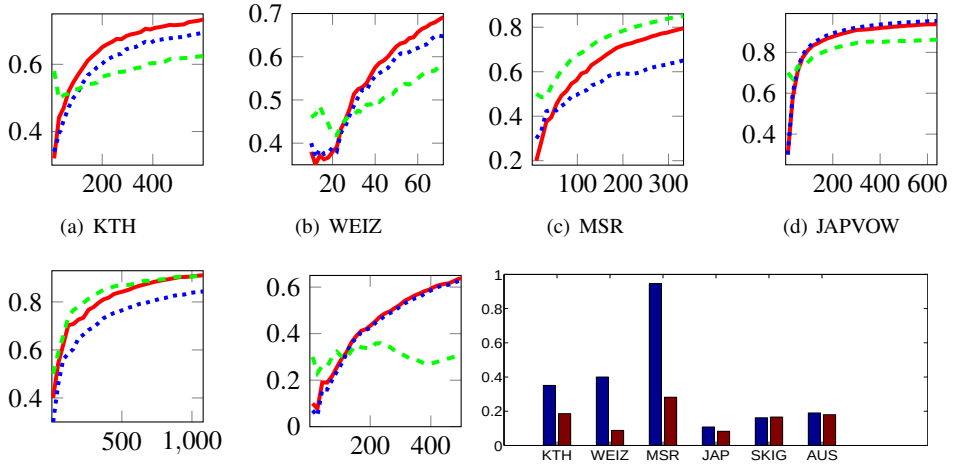
Results on batch classification. We used leave-one-out for KTH and Weizmann, a 3-fold cross-validation averaged on ten runs for SKIG, the same setting as [48] (i.e., a five-person test) for MSRGesture3D, and the already available training and test sets for JAPVOW and AUSLAN. As shown in Table 1, ABACOC is always among the best two methods on all datasets. This suggests that our algorithm, combined with state-of-the-art features, provides an accurate and efficient classification system across the board, even in a batch setting.

Algorithm 2 Online protocol

Input: Video stream $(V_1, y_1), (V_2, y_2), \ldots$

1: **for** $t = 1, 2, \ldots$ **do**
2: Receive V_t
3: Predict $\widehat{y}_t$
4: Receive true action y_t
5: Update model fed new example (V_t, y_t)
6: **end for**

Results on incremental classification. We constructed ten random permutations of the videos in each dataset (only the first 500 videos of AUSLAN were selected because retraining SVM-b is computationally expensive). Then, we fed the videos to the algorithms one by one, in the order specified by the random permutation —see Alg. 2. The algorithms had to predict the label of each new incoming video. After each prediction, the video together with its label were given to each algorithm as a new training example. SVM-b was re-trained on all past examples including the new one. ABACOC and ALMA instead, perform an incremental training step (lines 3–19 of Algorithm 1 for ABACOC). The plots in Fig. 2 track the average accuracy of the predictions of each algorithm on increasing prefixes of the random permutation. For ABACOC and ALMA we also plot the fraction of frames selected, respectively, as centers and support vectors (plot g) as the permutation is fed to each algorithm. Notably, besides being computationally very demanding, SVM-b with re-train has an online performance that is not consistent across the different datasets. ALMA



(a) KTH (b) WEIZ (c) MSR (d) JAPVOW

(e) SKIG (f) AUSLAN (g) Fraction Selected Frames

Figure 2: The plots *a* to *f* show the online performance of ABACOC (red solid line) against SVM-b (green dashed line) and ALMA (blue dotted line). The x-axis is the number of videos fed to the algorithms and the y-axis is the average accuracy over the ten random permutations. The plot *g* shows the fraction of frames selected by ABACOC as ball centers (red bars) and the fraction of frames chosen as support vectors selected by ALMA (blue bars). ABACOC consistently uses less training examples than ALMA to represent its classifier.

is never significantly better than ABACOC. Moreover, whereas prediction and incremental training of ABACOC are logarithmic in the number of balls, ALMA with kernels predicts and updates its linear model in time linear in the number of support vectors. Finally, whereas ABACOC is naturally multiclass, SVM-b and ALMA require costly one-vs-all reductions to deal with multiclass datasets.

5 Continuous action recognition

The training and prediction phases of our framework can be combined to obtain a truly online system, provided we endow the system with a method able to determine when a new training label is needed, for instance by assessing the confidence level of each classification. Think of a scenario in which a robot recognizes gestures made by humans. Whenever a gesture is classified with low confidence, the robot can query the human and obtain the correct label, which is then used for training. In this scenario the goal could be to minimize the robot's error rate given an admissible query rate. Our method computes, for each frame, a score over the possible actions, see (2). This allows to analyse the evolution of the action probabilities over time, and segment a continuous sequence of multiple actions by detecting the beginning and the end of an individual action. We do that by using the algorithm presented in [12], which uses SVMs to assign scores. The rationale of the approach is that the standard deviation of the scores is informative. When at a given time a score is clearly higher than the others, the standard deviation is also high, and this is used as an indicator that some specific action is being performed. In contrast, when all scores are similar the standard deviation is low (see Fig. 3, blue dots), and this indicates the onset of a new action —see [12,

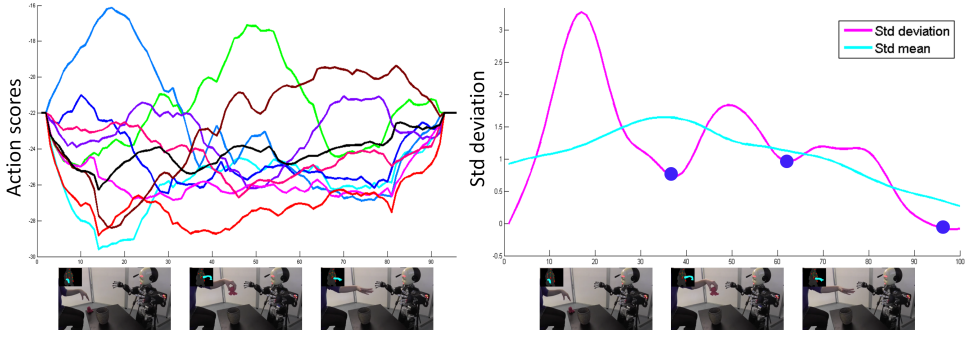


Figure 3: The evolution of the action probabilities over time for one of the test sequences containing 3 actions is depicted on the left. On the right, the pink line represents the standard deviation of the action probabilities for each frame, and the cyan line is the mean of the standard deviation computed over a small buffer of frames.

Section 4.3.2] for details. We apply this method to the scores computed by ABACOC obtaining the pink line in Figure 3. Notably, the peaks of the curve correspond to actions being performed, while the minima represent transitions between actions. We isolate these points by computing the mean of the standard deviation over a small buffer of frames (Figure 3, cyan line). We refer again the reader to [12] for the details.

We tested our "spotting" approach on a home-made dataset of ten manipulative actions. These include different grasping actions, in which the whole hand or only the fingertips are used, release actions, where the fingers are opened, and motion actions, where the arm performs a right or a left movement. Each action was recorded 60 times in two different illumination settings and backgrounds, and 3DHOF and HOG descriptors were extracted for each frame. We evaluated our algorithm on sequences representing pick and place activities, formed by a grasping action, a moving action and a releasing action. In order to compute the accuracy between a ground truth sequence and the estimated one, we employed the Levenshtein distance [28], defined as $\frac{S+D+I}{N}$. There, each action is treated as a symbol in a sequence; S represents the number of substitutions (misclassifications), D the number of deletions (false negatives) and I the number of insertions (false positives). Over 20 test sequences, the Levenshtein distance error was 0.12 for the SVM-b classifier and 0.08 for the one proposed here.

6 Conclusions and perspectives

This study has shown an efficient incremental nonparametric prediction system that can be combined with any set of frame-by-frame local feature descriptors for online action recognition. We showed the effectiveness of our approach in different scenarios, when compared to standard baselines methods. We plan to publish the algorithm code to encourage a widespread adoption of our framework. Further research will focus on finding a confidence measure for the prediction scores, which could be used in a semi-supervised framework, for instance in human-robot interaction scenarios in which a robot can query the human to obtain the correct label whenever a gesture is classified with low confidence.

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