

# Interstellar Medium (ISM)

Week 5

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# Neutral Medium 3

- H I 21 cm line
- Warm Neutral Medium

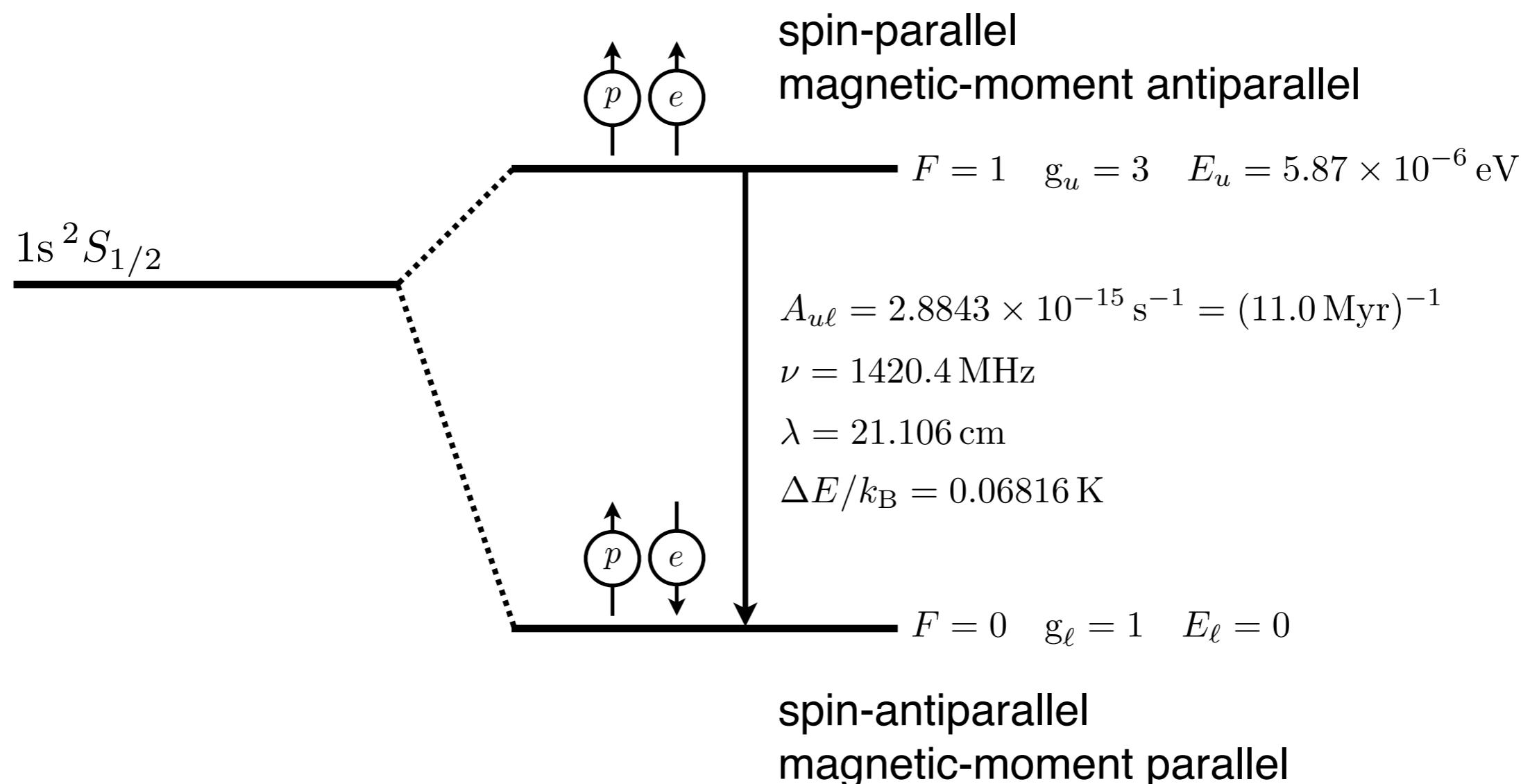
# 21 cm hyperfine line

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- The CNM and WNM, taken together, provide over half the mass of the ISM.
  - H is the most abundant element in the universe. In the CNM and WNM, most of the hydrogen is in the form of neutral atoms.
  - The Ly $\alpha$  line of H provides a useful probe of the properties of the CNM and WNM. However, at its wavelength the Earth's atmosphere is highly opaque, and thus observing Ly $\alpha$  absorption requires orbiting UV satellites. In addition, Ly $\alpha$  can be seen in absorption only along those lines of sight toward sources with a high UV flux.
  - To do a global survey of atomic hydrogen in the galaxy, we need some way of easily detecting radiation from hydrogen, regardless of its kinetic temperature or number density.
  - Such a way was first found in 1944, by Henk van de Hulst.
    - ▶ He attempted to find emission lines at the wavelengths  $\sim 1$  cm to 20 m, at which the Earth's atmosphere is transparent. He then realized that the hyperfine structure line resulting from a flip of the electron spin within a hydrogen atom should have a wavelength of 21 cm.
    - ▶ This was confirmed by Harold Ewen and Edward Purcell in 1951, when they first detected 21 cm emission from the Milky Way.

# Hyperfine splitting of the 1s ground state of atomic H

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# Difference between Ly $\alpha$ and 21 cm transitions

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- The excitation energy for Ly $\alpha$  ( $E = 10.2 \text{ eV}$ ,  $E/k = 118,000 \text{ K}$ ) is much higher than the kinetic temperature of the neutral ISM.

$$\frac{n_u}{n_\ell} = \frac{g_u}{g_\ell} \exp\left(-\frac{118,000 \text{ K}}{T}\right) = 1.7 \times 10^{-51} \text{ at } T = 1000 \text{ K}$$

- Collisional excitation is unimportant, and most hydrogen atoms are in the lower level of the Ly $\alpha$  transition.
- The Ly $\alpha$  has a higher energy by a factor of  $1.7 \times 10^6$  than the 21 cm.
- The excitation energy for 21 cm is  $\sim 5.9 \mu\text{eV}$ , and its equivalent temperature  $E/k = 0.068 \text{ K}$  is much lower than the temperature of the cosmic microwave background.
  - Even the CMB is able to populate the upper level.
  - If collisions are frequent, then the spin temperature will be solely determined by collisions, and thus will be a good tracer of the gas kinetic temperature.
  - Thus, there is ample opportunity to populate the upper energy level of the 21 cm hyperfine transition. The level populations for the 21 cm levels, since  $T_{\text{exc}} \gg 0.068 \text{ K}$  in all circumstances of the ISM.

$$\frac{n_u}{n_\ell} = \frac{g_u}{g_\ell} e^{-h\nu/kT_{\text{exc}}} = 3 e^{-0.068 \text{ K}/T_{\text{exc}}} \simeq 3 \longrightarrow n_u \simeq \frac{3}{4} n_H, \quad n_\ell \simeq \frac{1}{4} n_H$$

- However, in many cases (in particular in WNM), the hyperfine levels may not be in excitation equilibrium. Radio astronomers use the term ***spin temperature*** for 21 cm rather than the “excitation temperature.”

# Emissivity and Optical Depth

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- **Emissivity:**

- The upper level contains  $\sim 75\%$  of the H I under all conditions of interest, and thus *the 21-cm emissivity is effectively independent of the spin temperature.*

$$j_\nu = n_u \frac{A_{ul}}{4\pi} h\nu_{ul} \phi_\nu \simeq \frac{3}{16\pi} A_{ul} h\nu_{ul} n_H \phi_\nu \quad \left( n_u \simeq \frac{3}{4} n_H \right)$$

- **Optical depth**

$$\kappa_\nu = n_\ell \sigma_{\ell u} - n_u \sigma_{ul} = n_\ell \sigma_{\ell u} \left( 1 - e^{-h\nu_{ul}/kT_{\text{spin}}} \right)$$

Because  $h\nu_{ul}/kT_{\text{spin}} \ll 1$  for all conditions of interest, the correction for stimulated emission is very important!

$$\kappa_\nu \simeq n_\ell \sigma_{\ell u} \frac{h\nu_{ul}}{kT_{\text{spin}}} \ll n_\ell \sigma_{\ell u} \quad \longleftarrow \quad e^{-h\nu_{ul}/kT_{\text{spin}}} \simeq 1 - k\nu_{ul}/kT_{\text{spin}}$$

$$\begin{aligned} \kappa_\nu &\simeq \left( \frac{1}{4} n_H \right) \left( \frac{g_u}{g_\ell} \frac{c^2}{8\pi\nu_{ul}^2} A_\ell \phi_\nu \right) \frac{h\nu_{ul}}{kT_{\text{spin}}} \quad \left( n_\ell \simeq \frac{1}{4} n_H \right) \\ &= \frac{3}{32\pi} A_{ul} \frac{hc \lambda_{ul}}{kT_{\text{spin}}} n_H \phi_\nu \end{aligned}$$

*The absorption coefficient is inversely proportional to the spin temperature.*

- The damping constant of the 21 cm line profile is extremely small, and thus we can assume that *the line profile is a Gaussian*.

$$a = \frac{\gamma_{u\ell}}{4\pi} \frac{\lambda_{u\ell}}{b} = 4.844 \times 10^{-20} \left( \frac{\gamma_{u\ell}}{2.8843 \times 10^{-15} \text{ s}^{-1}} \right) \left( \frac{\lambda_{u\ell}}{21.106 \text{ cm}} \right) \left( \frac{1 \text{ km s}^{-1}}{b} \right)$$

- Hence,

$$\phi_\nu = \frac{1}{\sqrt{\pi} \Delta \nu_D} H(u, a) \simeq \frac{c}{\sqrt{\pi} \nu_{\ell u} b} e^{-u^2} \quad \left( u = v/b, \ b = \sqrt{2} v_{\text{rms}} = \sqrt{2 k T_{\text{gas}} / m_H} \right)$$

$$\tau_\nu = \kappa_\nu s = \frac{3}{32\pi} A_{u\ell} \frac{hc \lambda_{u\ell}}{kT_{\text{spin}}} N_{\text{HI}} \phi_\nu \quad N_{\text{HI}} \equiv \int n_{\text{H}} ds \text{ is the column density of HI.}$$

$$= \frac{3}{32\pi} \frac{1}{\sqrt{\pi}} \frac{A_{u\ell} \lambda_{u\ell}^2}{b} \frac{hc}{kT_{\text{spin}}} N_{\text{HI}} e^{-u^2}$$

$$= 3.111 \left( \frac{N_{\text{HI}}}{10^{21} \text{ cm}^{-2}} \right) \left( \frac{100 \text{ K}}{T_{\text{spin}}} \right) \left( \frac{1 \text{ km s}^{-1}}{b} \right) e^{-u^2}$$

$$\text{or } \tau_\nu = 2.201 \left( \frac{N_{\text{HI}}}{10^{21} \text{ cm}^{-2}} \right) \left( \frac{100 \text{ K}}{T_{\text{spin}}} \right) \left( \frac{1 \text{ km s}^{-1}}{b/\sqrt{2}} \right) e^{-u^2}$$

Some lines of sight through our galaxy (at high galactic latitude) are optically thin and other lines of sight (at low galactic latitude) are optically thick at 21 cm.

- Self-absorption in the 21-cm line can be important*** in many sightlines in the ISM.
- The optical depth is inversely proportional to the spin temperature.***

- Typical optical depths of the 21-cm line:

$$\tau_0 = 0.311 \left( \frac{N_{\text{HI}}}{10^{21} \text{ cm}^{-2}} \right) \left( \frac{100 \text{ K}}{T_{\text{spin}}} \right) \left( \frac{10 \text{ km s}^{-1}}{b} \right)$$

- In the CNM, a typical spin temperature is  $T_{\text{spin}} \approx 50 - 100 \text{ K}$ :

$$\tau_0^{\text{CNM}} \approx 0.3 - 0.6$$

$$e^{-\tau_0} \approx 0.55 - 0.74$$

***The CNM is in general optically thin, but show significant absorption.***

- In the WNM, a typical spin temperature is  $T_{\text{spin}} \approx 5000 - 8000 \text{ K}$ :

$$\tau_0^{\text{WNM}} \approx 0.004 - 0.006$$

$$e^{-\tau_0} \approx 0.995$$

***The 21-cm absorption is negligible in the WNM.***

A typo in page 65 of Ryden's book:

For thermal broadening  $b$  typical of the ~~warm~~ <sup>cold</sup> neutral medium, and excitation temperatures  $T_{\text{exc}} \sim 100 \text{ K}$ , lines of sight with  $N_{\text{HI}} > 10^{21} \text{ cm}^{-2}$  show significant absorption. (Remember that Lyman  $\alpha$  becomes optically thick at a column

# [1] Column Density Determination

- Radio astronomers express the line profile as a function of radial velocity rather than of frequency.** This is logical because line broadening is only caused by the Doppler effect, and its natural width being extremely narrow since the lifetime of the upper level is only limited by collisions which is rare in the diffuse medium.
- We first define the column density per velocity interval.

$$\frac{dN_{\text{HI}}}{dv} = N_{\text{HI}}\phi_v = N_{\text{HI}} \frac{1}{\lambda_{u\ell}}\phi_\nu \quad \phi_\nu = \phi_v \left| \frac{dv}{d\nu} \right| = \phi_v \frac{c}{\nu_{u\ell}} = \lambda_{u\ell}\phi_v$$

- The column density can be written:

$$\tau_\nu = \frac{3}{32\pi} A_{u\ell} \frac{hc\lambda_{u\ell}}{kT_{\text{spin}}} N_{\text{HI}}\phi_\nu \rightarrow \tau(v) = \frac{3}{32\pi} A_{u\ell} \frac{hc\lambda_{u\ell}^2}{kT_{\text{spin}}(v)} \frac{dN_{\text{HI}}}{dv}$$

$$\begin{aligned} \frac{dN_{\text{HI}}}{dv} &= \frac{32\pi}{3} \frac{k}{A_{u\ell}hc\lambda_{u\ell}^2} T_{\text{spin}}(v)\tau(v) \\ &= 1.813 \times 10^{18} \frac{T_{\text{spin}}(v)\tau(v)}{\text{K}} \left[ \frac{\text{cm}^{-2}}{\text{km s}^{-1}} \right] \end{aligned} \quad N_{\text{HI}} = \int dv \frac{dN_{\text{HI}}}{dv}$$

- This indicates that **we need to know not only the optical depth but also the spin temperature to evaluate the column density**. However, in an optically thin limit, we will show that the dependency on the spin temperature is removed.

- **Optically thin case:** Suppose we are looking through an optically thin layer of neutral hydrogen toward a “**dark sky**”, which is fainter than the hydrogen cloud, with an antenna temperature  $T_{\text{sky}}$ .
  - In the optically thin limit, the RT equation becomes

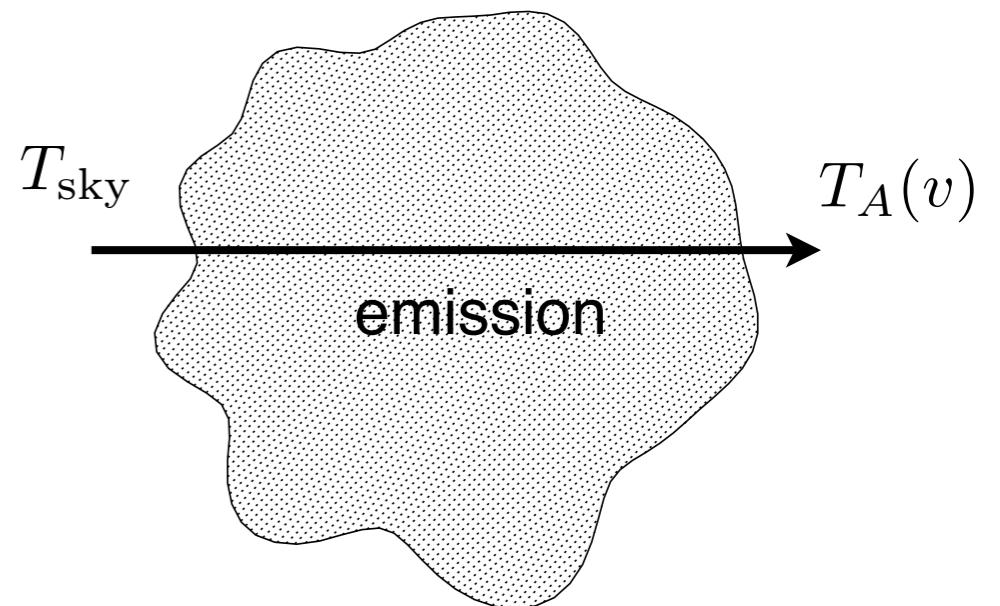
$$\begin{aligned} T_A(v) &= T_{\text{sky}} e^{-\tau_v} + T_{\text{spin}}(v) (1 - e^{-\tau_v}) \\ &= T_{\text{sky}} + (T_{\text{spin}}(v) - T_{\text{sky}}) (1 - e^{-\tau_v}) \\ &\approx T_{\text{sky}} + T_{\text{spin}}(v) \tau_v \quad \leftarrow \tau_v \ll 1, \quad T_{\text{sky}} \ll T_{\text{spin}}(v) \end{aligned}$$

$$\tau(v) \approx \frac{T_A(v) - T_{\text{sky}}}{T_{\text{spin}}(v)}$$

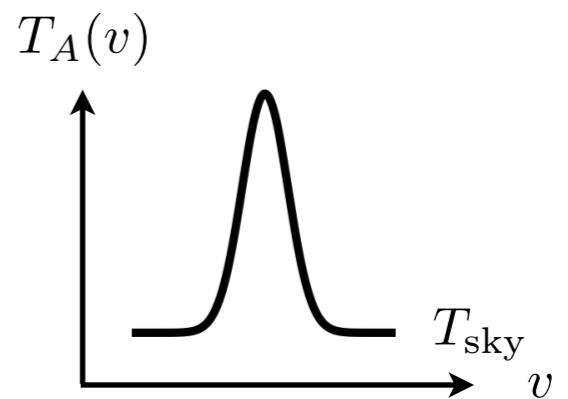
- The column density per unit velocity interval is

$$\begin{aligned} \frac{dN_{\text{HI}}}{dv} &\approx \frac{32\pi}{3} \frac{k}{A_{u\ell} h c \lambda_{u\ell}^2} [T_A(v) - T_{\text{sky}}] \\ &= 1.813 \times 10^{18} \frac{T_A(v) - T_{\text{sky}}}{\text{K}} \left[ \frac{\text{cm}^{-2}}{\text{km s}^{-1}} \right] \end{aligned}$$

$$N_{\text{HI}} = \int dv \frac{dN_{\text{HI}}}{dv}$$



We measure the antenna temperature of the dark sky ( $T_{\text{sky}}$ ) from the continuum at frequencies well above and below the 21-cm emission feature.



- Therefore, *the intensity integrated over the line profile gives us the total H I column density without need to know  $T_{\text{spin}}$ , provided that self-absorption is not important.*

- **Alternative approach:**

- If we now neglect absorption, then

$$\begin{aligned} \frac{dI_\nu}{ds} &= -\kappa_\nu I_\nu + j_\nu & \longrightarrow & I_\nu = I_\nu(0) + \int j_\nu ds \\ &\approx j_\nu & & = I_\nu(0) + \frac{3}{16\pi} A_{u\ell} h \nu_{u\ell} \phi_\nu N_{\text{HI}} \end{aligned}$$

- Now suppose that  $I_\nu(0)$  is known independently. We can then integrate the intensity over the line

$$\int [I_\nu - I_\nu(0)] d\nu = \frac{3}{16\pi} A_{u\ell} h \nu_{u\ell} N_{\text{HI}}$$

- This can be expressed in terms of antenna temperature  $T_A$  and relative velocity  $v = [(\nu - \nu_{u\ell})/\nu_{u\ell}] c$

$$\begin{aligned} \int [T_A - T_A(0)] dv &= \int \frac{c^2}{2k\nu^2} [I_\nu - I_\nu(0)] \frac{c}{\nu_{u\ell}} d\nu \\ &\approx \frac{c^3}{2k\nu_{u\ell}^3} \frac{3}{16\pi} A_{u\ell} h \nu_{u\ell} N_{\text{HI}} \\ &= C_0^{-1} N_{\text{HI}} \end{aligned}$$

$$\begin{aligned} C_0 &\equiv \frac{32\pi}{3} \frac{k}{hc\lambda_{u\ell}^2 A_{u\ell}} \\ &= 1.813 \times 10^{18} \left[ \frac{\text{cm}^{-2}}{\text{K km s}^{-1}} \right] \\ C_0^{-1} &= 5.516 \times 10^{-19} \left[ \frac{\text{K km s}^{-1}}{\text{cm}^{-2}} \right] \end{aligned}$$

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- We, then, obtain the same equation as before:

$$\begin{aligned} N_{\text{HI}} &\approx C_0 \int [T_A - T_A(0)] dv \\ &= 1.813 \times 10^{18} \int \frac{T_A - T_A(0)}{\text{K km s}^{-1}} dv \quad [\text{cm}^{-2}] \end{aligned}$$

- Here, we did not use the relation between the optical depth and column density.
  - In the first method, we assumed that  $\tau_\nu \ll 1$  and  $I_\nu(0) \ll S_\nu$ :

$$\begin{aligned} I_\nu &= I_\nu(0)e^{-\tau_\nu} + S_\nu (1 - e^{-\tau_\nu}) \\ &= I_\nu(0) + [S_\nu - I_\nu(0)] (1 - e^{-\tau_\nu}) \\ &\approx I_\nu(0) + S_\nu \tau_\nu \end{aligned}$$

- In the second method, we completely ignored the absorption.

$$\begin{aligned} \frac{dI_\nu}{ds} &= -\kappa_\nu I_\nu + j_\nu && \text{This may be a zeroth order approximation.} \\ &\approx j_\nu \end{aligned}$$

## [2] Spin Temperature Determination

- To derive the spin temperature, we need to combine the emission observation with an absorption observation, which is so called “emission-absorption” method.

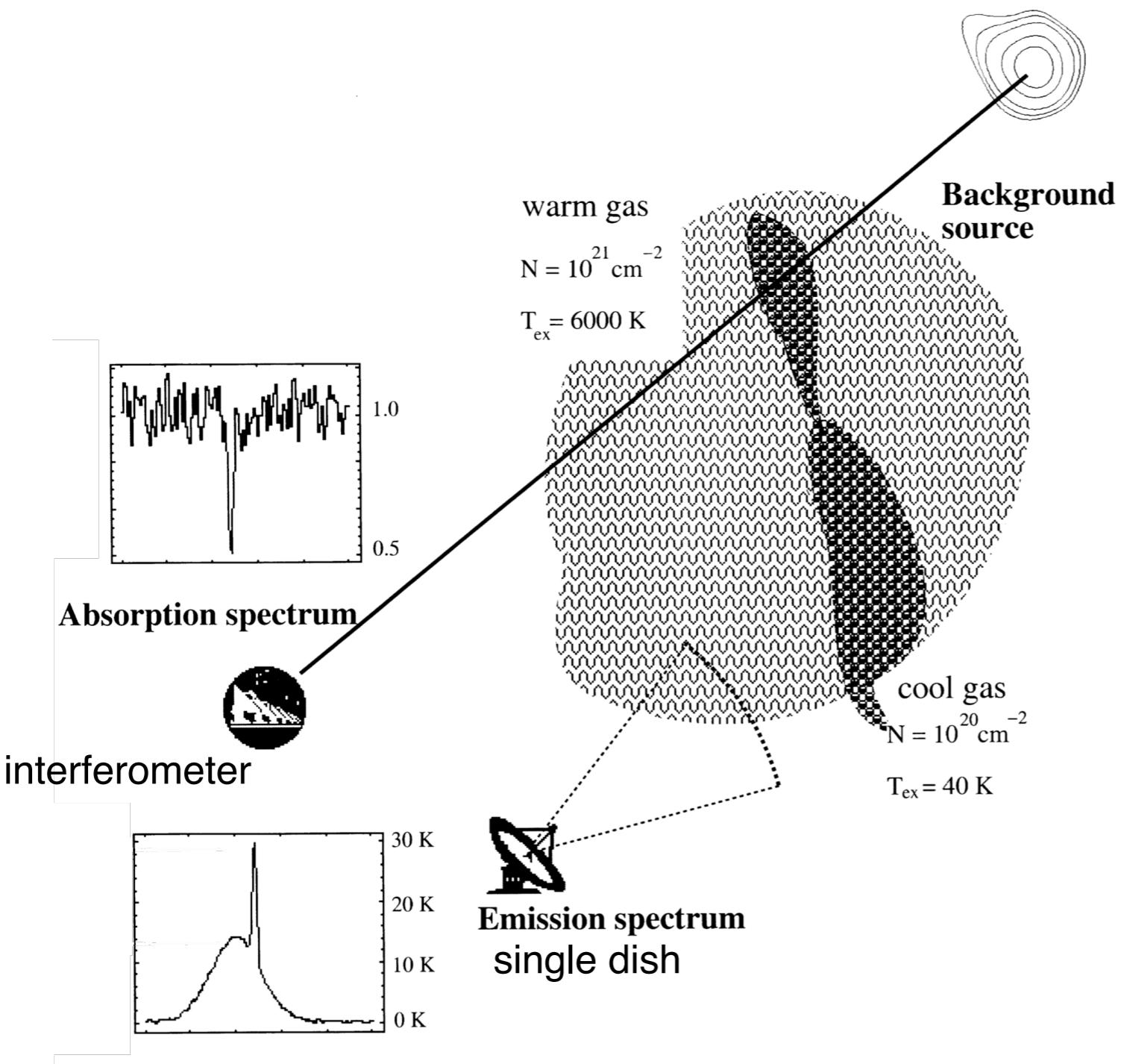


Figure 15 in Dickey et al. (2000, ApJ)

- In cases where we have a “**bright background radio source** with a continuum spectrum (a typical radio-loud quasar or an active galactic nucleus, or a radio galaxy), we can study both emission and absorption by the foreground ISM in our galaxy by comparing “**on-source**” and “**off-source**” **observations**.
- The spectra measured on the blank sky and on the radio source are, respectively,

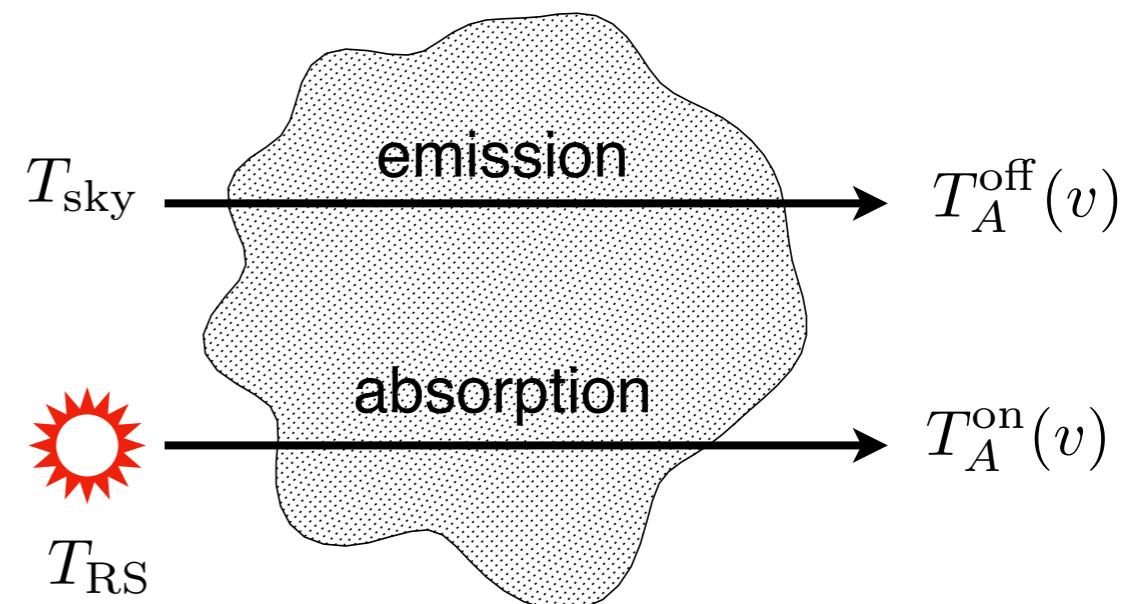
$$T_A^{\text{on}}(v) = T_{\text{RS}} e^{-\tau_v} + T_{\text{spin}}(v) (1 - e^{-\tau_v})$$

$$T_A^{\text{off}}(v) = T_{\text{sky}} e^{-\tau_v} + T_{\text{spin}}(v) (1 - e^{-\tau_v})$$

- These two equations can be solved for the two unknowns,  $\tau(v)$  and  $T_{\text{spin}}(v)$ .

$$\tau(v) = \ln \left[ \frac{T_{\text{RS}} - T_{\text{sky}}}{T_A^{\text{on}}(v) - T_A^{\text{off}}(v)} \right]$$

$$T_{\text{spin}}(v) = \frac{T_A^{\text{off}}(v)T_{\text{RS}} - T_A^{\text{on}}(v)T_{\text{sky}}}{(T_{\text{RS}} - T_{\text{sky}}) - [T_A^{\text{on}}(v) - T_A^{\text{off}}(v)]}$$



The solution gives, in general, the spin temperature as a function of velocity.

We can also derive the column density from these two quantities for an optically thick cloud.

- We usually consider a case where ***the radio source is “much” brighter than the spin temperature of the intervening hydrogen cloud.***
  - The RT equations for the “on-source” and “off-source” measurements can be written:

assumptions :  $T_{\text{RS}} \gg T_{\text{spin}}$

$$T_A^{\text{on}} = T_{\text{RS}} - T_{\text{RS}}(1 - e^{-\tau}) + T_{\text{spin}}(1 - e^{-\tau}) \approx T_{\text{RS}}e^{-\tau}$$

$$(1) \quad T_A^{\text{on}}(v) = T_{\text{RS}}e^{-\tau_v} + T_{\text{spin}}(v)(1 - e^{-\tau_v}) \quad \xrightarrow{\hspace{1cm}} \quad T_A^{\text{on}}(v) \approx T_{\text{RS}}e^{-\tau_v}$$

$$(2) \quad T_A^{\text{off}}(v) = T_{\text{sky}}e^{-\tau_v} + T_{\text{spin}}(v)(1 - e^{-\tau_v}) \quad \xrightarrow{\hspace{1cm}} \quad T_A^{\text{off}}(v) = T_{\text{sky}} + (T_{\text{spin}} - T_{\text{sky}})(1 - e^{-\tau_v})$$

$$(1) \quad \frac{T_{\text{RS}} - T_A^{\text{on}}(v)}{T_{\text{RS}}} \approx 1 - e^{-\tau_v}$$

Here,  $\Delta T_A^{\text{off}}(v) \equiv T_A^{\text{off}}(v) - T_{\text{sky}} \approx T_A^{\text{off}}$   
 $\Delta T_{\text{spin}}(v) \equiv T_{\text{spin}}(v) - T_{\text{sky}} \approx T_{\text{spin}}$   
 $(T_{\text{sky}} \approx 3 \text{ K})$

$$(2) \quad \Delta T_A^{\text{off}}(v) = \Delta T_{\text{spin}}(v)(1 - e^{-\tau_v})$$

- ***Equivalent Width:***

- ▶ Using the absorption spectrum from the “on-source” observation, we can “approximately” obtain the “velocity equivalent width.”

$$W_v = \int dv (1 - e^{-\tau_v})$$

$$\approx \int dv \left[ \frac{T_{\text{RS}} - T_A^{\text{on}}(v)}{T_{\text{RS}}} \right]$$

Note :  $W_v = c \int \frac{d\nu}{\nu_{u\ell}} (1 - e^{-\tau_\nu}) = cW$

For a weak absorption line,  $W_v$  is an upper limit.

- ***Spin Temperature:***

- ▶ Combining the two equations (1) and (2), we can obtain two spin temperatures. The first one is the line-of-sight average spin temperature, and the second the spin temperature in a velocity channel.

$$\langle \Delta T_{\text{spin}} \rangle \approx \frac{\int \Delta T_A^{\text{off}}(v) dv}{\int (1 - e^{-\tau_v}) dv}$$

$$\Delta T_{\text{spin}}(v) = \frac{\Delta T_A^{\text{off}}(v)}{(1 - e^{-\tau_v})}$$

assuming  $\Delta T_{\text{spin}} = \text{constant}$ .



$$\int dv [\Delta T_A^{\text{off}}(v)] = \Delta T_{\text{spin}} \int dv (1 - e^{-\tau_v})$$

For a weak absorption line,  $\Delta T_{\text{spin}}$  is an lower limit.

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- ***In an optically thin limit,***

- We know the relation between the antenna temperature and column density:

$$N_{\text{HI}} \approx C_0 \int \Delta T_A^{\text{off}}(v) dv \quad \frac{dN_{\text{HI}}}{dv} \approx C_0 \Delta T_A^{\text{off}}(v)$$

- Then, we can express the spin temperature in terms of column density and equivalent width (absorption profile):

$$\langle \Delta T_{\text{spin}} \rangle = \frac{1}{W_v} \int \Delta T_A^{\text{off}}(v) dv = \frac{C_0^{-1}}{W_v} N_{\text{HI}}$$

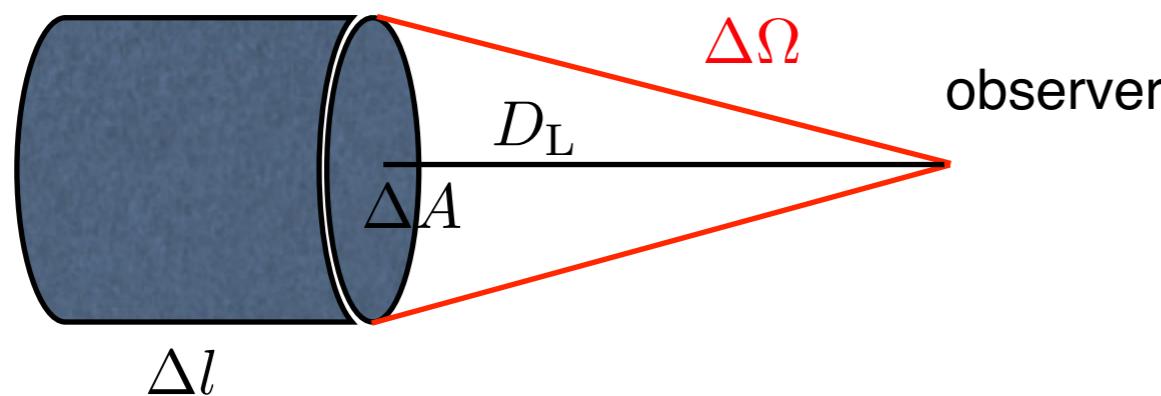
$$\langle \Delta T_{\text{spin}} \rangle \approx 0.5516 \frac{N_{\text{HI}}/10^{18} \text{ cm}^{-2}}{W_v/\text{km s}^{-1}} [\text{K}]$$

$$\Delta T_{\text{spin}}(v) = \frac{C_0^{-1}}{(1 - e^{-\tau_v})} \frac{dN_{\text{HI}}}{dv}$$

$$C_0^{-1} = 5.516 \times 10^{-19} \left[ \frac{\text{K km s}^{-1}}{\text{cm}^{-2}} \right]$$

# H I mass of an External Galaxy

- With the assumption that the emitting regions are optically thin, the total mass  $M_{\text{HI}}$  of H I in an external galaxy can be determined from the observed flux in the 21-cm line:



$F_\nu$  = observed flux density

$$F_{\text{obs}} = \int F_\nu d\nu_{\text{obs}} = I \Delta\Omega$$

$$I = \int I_\nu d\nu_{\text{obs}} = \frac{3}{16\pi} A_{u\ell} h \nu_{u\ell} N_{\text{HI}}$$

- Here,  $D_L$  is the luminosity distance to the galaxy.

$$n_{\text{H}} \Delta l = N_{\text{HI}}$$

$$\Delta A = D_L^2 \Delta\Omega = D_L^2 \frac{F_{\text{obs}}}{I}$$

$$\begin{aligned} M_{\text{HI}} &= m_{\text{H}} n_{\text{H}} \Delta V = m_{\text{H}} n_{\text{H}} \Delta l \Delta A \\ &= m_{\text{H}} N_{\text{HI}} D_L^2 \frac{F_{\text{obs}}}{I} \\ &= m_{\text{H}} N_{\text{HI}} D_L^2 \frac{F_{\text{obs}}}{(3/16\pi) A_{u\ell} h \nu_{u\ell} N_{\text{HI}}} \end{aligned}$$

$$\begin{aligned} \therefore M_{\text{HI}} &= \frac{16\pi}{3} \frac{m_{\text{H}}}{A_{u\ell} h \nu_{u\ell}} D_L^2 F_{\text{obs}} \\ &= 4.945 \times 10^7 M_\odot \left( \frac{D_L}{\text{Mpc}} \right)^2 \left( \frac{F_{\text{obs}}}{\text{Jy MHz}} \right) \end{aligned}$$

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- If the redshift of the galaxy is  $z$  :

$$\nu_{\text{obs}} = \nu / (1 + z)$$

$$d\nu_{\text{obs}} = \frac{\nu_{u\ell}}{(1 + z)} \frac{dv}{c}$$

$$\begin{aligned} M_{\text{HI}} &= \frac{16\pi}{3} \frac{m_{\text{H}}}{A_{u\ell} h \nu_{u\ell}} D_{\text{L}}^2 \int F_{\nu} d\nu_{\text{obs}} \\ &= \frac{16\pi}{3} \frac{m_{\text{H}}}{A_{u\ell} h \nu_{u\ell}} D_{\text{L}}^2 \frac{\nu_{u\ell}}{c} \frac{1}{1 + z} \int F_{\nu} dv \\ &= \frac{16\pi m_{\text{H}}}{3 A_{u\ell} h c} D_{\text{L}}^2 (1 + z)^{-1} \int F_{\nu} dv \\ &= 2.343 \times 10^5 M_{\odot} (1 + z)^{-1} \left( \frac{D_{\text{L}}}{\text{Mpc}} \right)^2 \frac{\int F_{\nu} dv}{\text{Jy km s}^{-1}} \end{aligned}$$

- Radio astronomers often report the integrated flux in “Jy km s<sup>-1</sup>.”

# Observations: Example 1

- All-sky map of H I 21-cm line intensity from the LAB survey (Kalberla et al. 2005), with an angular resolution  $\sim 0.6$  deg.
  - Scale gives  $\log_{10} N(\text{HI}) [\text{cm}^{-2}]$ . The LMC and SMC are visible, with a connecting H I “bridge”.
  - The map was obtained by assuming the optically thin case.

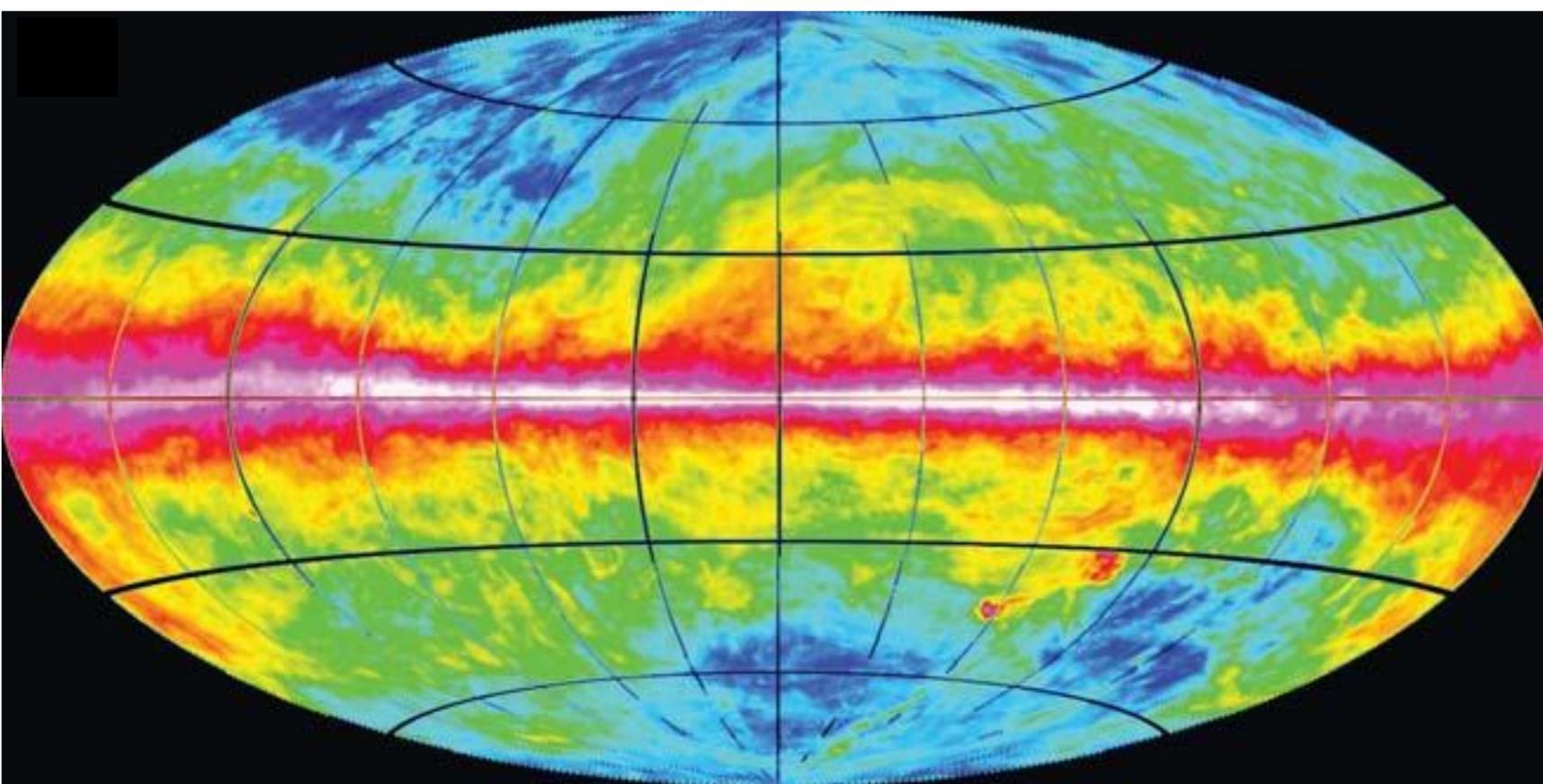
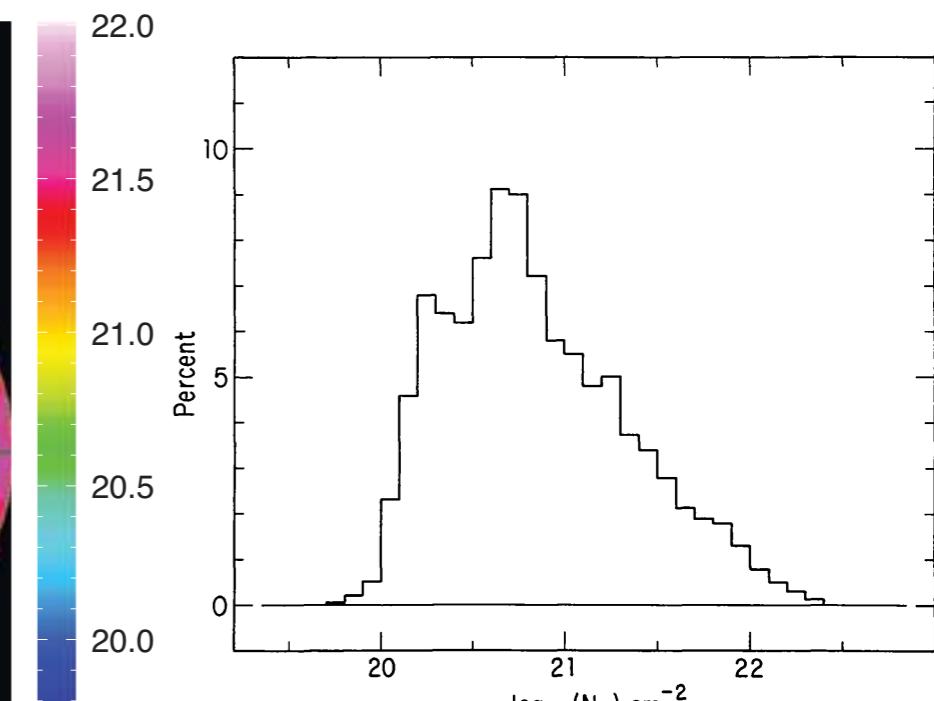


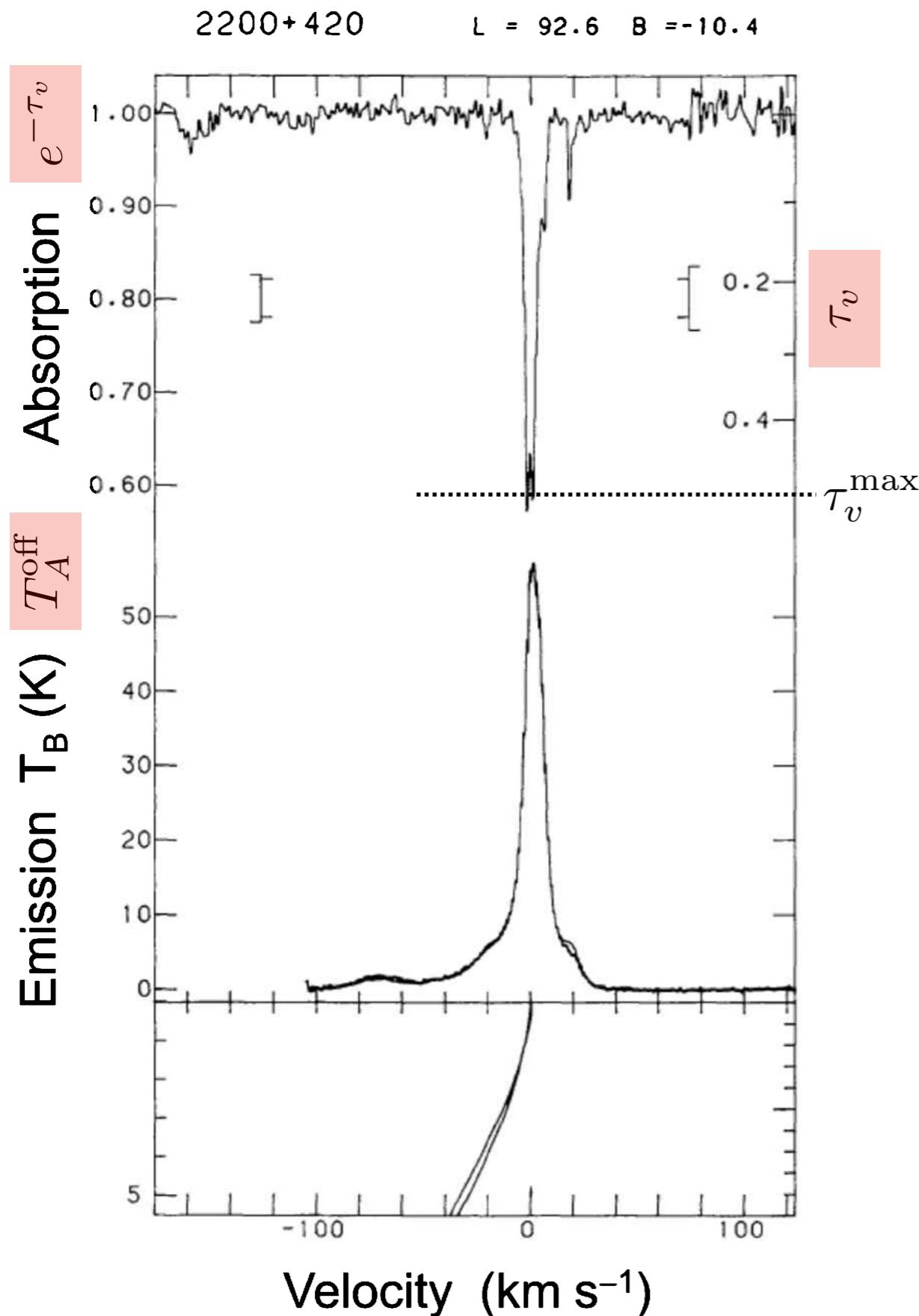
Plate 3 in [Draine]



The percentage of the sky covered by H I at a given  $N_{\text{H}}$ .

Figure 4 in Dickey & Lockman (1990, ARA&A)

## Observations: Example 2



H I 21-cm absorption and emission along the line of sight towards BL Lacertae  
 [Dickey et al. 1983; Figure 3.3 in Ryden]

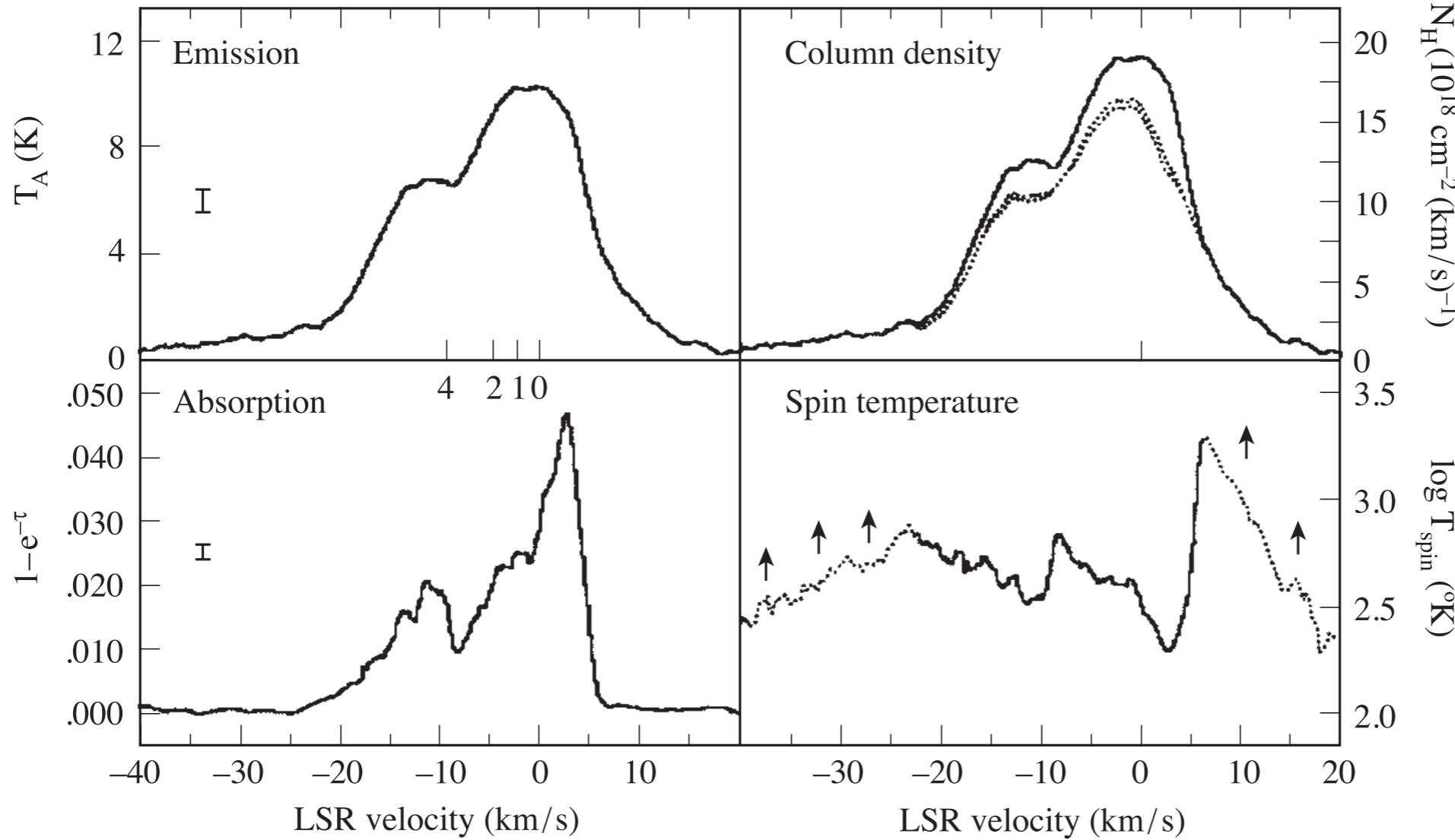
- Maximum optical depth :  
 $\tau_v \sim 0.5$       optically thin
- Equivalent width of the absorption line :  
 $W_v = 7 \text{ km s}^{-1}$
- Integrated line intensity of the emission line :  

$$\int \Delta T_A^{\text{off}}(v) dv \approx 930 \text{ K km s}^{-1}$$
- Column density from the emission line :  
 $N_{\text{HI}} \approx 1.69 \times 10^{21} \text{ cm}^{-2}$
- Now, the spin temperature is  

$$T_{\text{spin}} = \frac{\int \Delta T_A^{\text{off}}(v) dv}{W_v} = \frac{930 \text{ K km s}^{-1}}{7 \text{ km s}^{-1}}$$
  
 $\approx 133 \text{ K.}$

# Observations: Example 3

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**Figure 29.1** Left panels: Observed HI emission (off the quasar 3C48) and absorption (toward 3C48, at  $\ell = 134^\circ$ ,  $b = -28.7^\circ$ ). Lower right: spin temperature  $T_{\text{spin}}(v)$  as a function of LSR velocity. Tick marks labeled 0, 1, 2, and 4 on abscissa of left panels show the LSR velocity expected for gas at a distance of 0,1,2,4 kpc (for an assumed Galactic rotation curve). Upper right:  $dN(\text{HI})/dv$  for different assumptions regarding the relative (foreground/background) locations of cold absorbing gas and warm gas seen only in emission. From Dickey et al. (1978).

[Figure 29.1 in Draine]

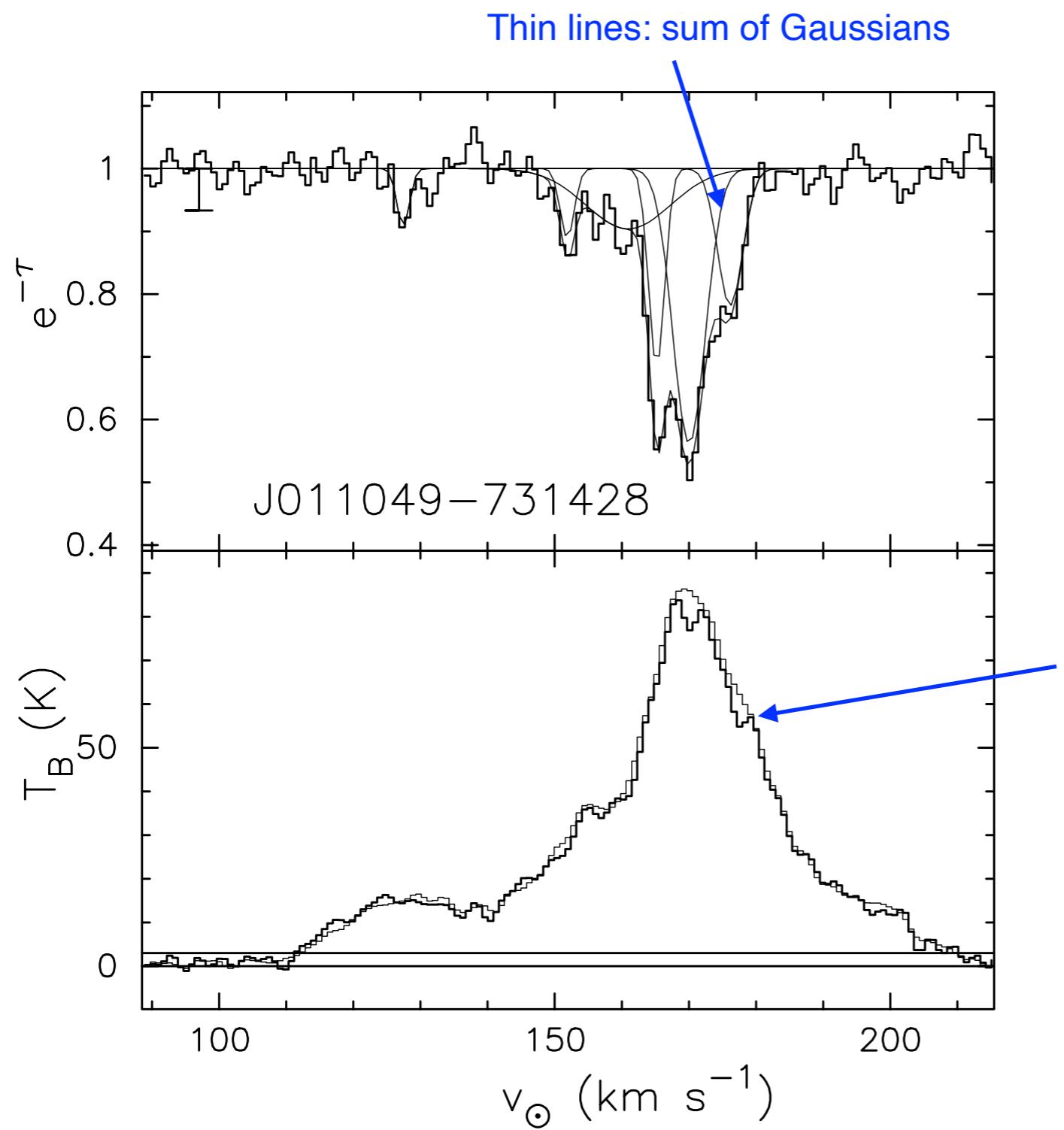
## Observations: CNM & WNM

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- The overall shape of the emission line profiles in our Galaxy is mainly determined by the large-scale distribution and kinematics of H I.
- Absorption lines are always narrower than emission lines.
  - Some velocities have H I emission but no detectable H I absorption.
  - Difference between emission and absorption spectrum:
    - ▶ Absorption spectra can usually be decomposed into Gaussian components.
    - ▶ However, emission spectra do not look like the superposition of a few Gaussians.
    - ▶ This is because the absorption lines arise only in regions of cool gas, which are more distinct along the line of sight, and thus have narrower intrinsic line widths than the gas that contributes to H I emission.
  - The difference between emission and absorption results mainly from variation in the spin temperature of the H I along the line of sight.
  - Recall that the optical depth is inversely proportional to the spin temperature, indicating the difficulties in observing absorption spectra from the warm neutral medium, which has a temperature larger than 1000 K.

$$\tau(v) = \frac{C_0^{-1}}{T_{\text{spin}}(v)} \frac{dN_{\text{HI}}}{dv}$$

- Absorption and Emission spectra



Absorption lines are mostly, if not all, caused by the CNM.

$$\tau(v) = \frac{C_0^{-1}}{T_{\text{spin}}(v)} \frac{dN_{\text{HI}}}{dv}$$

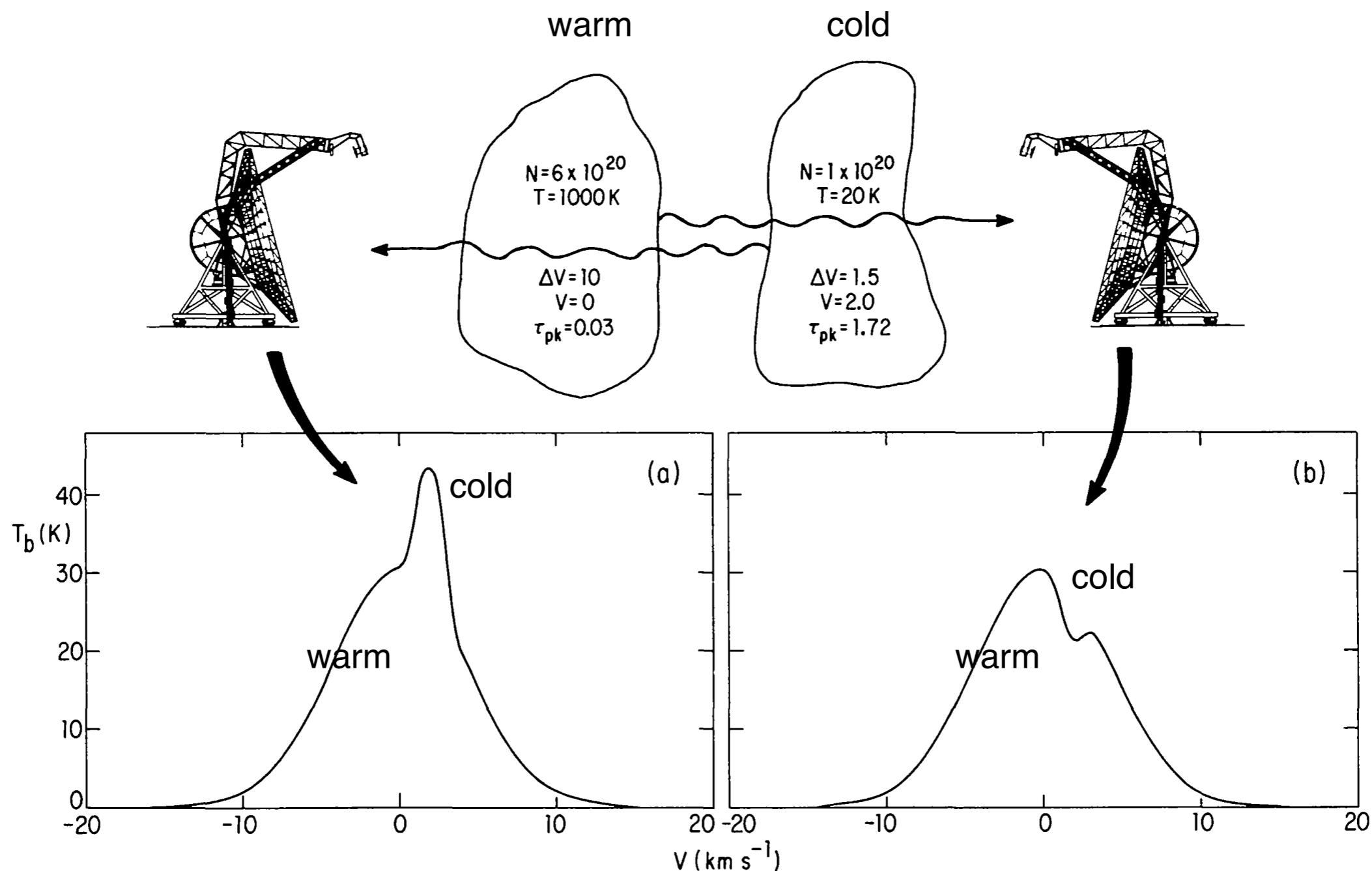
Emission lines are composed of emissions from the CNM and WNM.

Thin and Thick lines:  
two different methods to  
estimate the emission  
spectrum

(top) Absorption and (bottom) emission spectra in a direction of Small Magellanic Cloud.

Figure 11 of Dickey et al. (2000)

# Emission spectrum depending on the relative location of WNM and CNM



Schematic of the geometry of 21-cm self-absorption.  
The structure of an emission profile depends on the relative location of warm and cold clouds as viewed by the observer.

Figure 1 of Dickey & Lockman (1990)

# A rough estimation of the fraction of gas in the cool phase

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- The interstellar atomic hydrogen is in at least two thermal phases.
  - We assume that the warm gas temperature is large enough that no absorption is seen from the warm phase.
  - We further assume a value for the cold-phase temperature, for instance,  $T_c \approx 55 \text{ K}$ .
  - Then, the fraction of gas in the cold phase is

$$f_c \equiv \frac{N_c}{N_w + N_c} \approx \frac{T_c}{\langle T_{\text{spin}} \rangle} \quad \text{recall } \langle \Delta T_{\text{spin}} \rangle = \frac{C_0^{-1}}{W_v} N_{\text{HI}}$$

- This gives us a rough estimation of the cold-phase H I fraction for galaxies.

Cool-Phase H I FRACTIONS FOR GALAXIES

Galaxy	Sample Size	$\langle T_s \rangle$ (K)	$f_c$ ( $T_c = 55 \text{ K}$ )
SMC .....	28	440	0.13
LMC .....	49	170	0.33
M31 .....	16	150	0.37
M33 .....	7	370	0.15
Milky Way.....	19	250	0.22

Table 3 of Dickey et al. (2000)

# Observations: CNM + WNM in our Galaxy

---

- The most recent emission-absorption surveys (Heiles & Troland 2003) support the idea that, in the solar neighborhood (i.e., within  $\sim 500$  pc of the Sun), interstellar H I is found primarily in two distinct phases: the CNM and the WNM.
  - About 40% of the H I (by mass) is in the CNM, with a median spin temperature  $T_{\text{spin}} \sim 70$  K. The remaining 60% of the H I is in the WNM phase, which appears to have a volume filling factor  $\sim 50\%$  near the disk midplane.
  - Because warm H I absorbs very weakly, for some of the WNM material it is only possible to determine a lower bound on  $T_{\text{spin}}$ . Heiles & Troland (2003) conclude that  $> 48\%$  of the WNM has  $500 < T_{\text{spin}} < 5000$  K, at these temperatures the gas is expected to be thermally unstable.
- Murray et al. (2014) detected a widespread warm neutral medium component with excitation temperature  $\langle T_{\text{spin}} \rangle = 7000^{+1800}_{-1200}$  K.
  - This temperature lies above theoretical predictions based on collisional excitation alone, implying that Ly $\alpha$  scattering, the most probable additional source of excitation, is more important in the ISM than previously assumed.
- Murray et al. (2018) found that the WNM makes up 52% of the total H I mass.
  - Following spectral modeling, they detect a stacked residual absorption feature corresponding to WNM with  $T_{\text{spin}} \sim 10^4$  K.

## Excitation temperature = Gas kinetic temperature ? (Level Population)

- In some cases, it is sufficient to consider only the ground state and the first excited state.
  - Consider collisional excitation and de-excitation by some species (e.g., electrons) with density  $n_c$ , and suppose that radiation with the energy density  $u_\nu$ .
  - The population of the excited state must satisfy:

$$\frac{dn_u}{dt} = n_\ell \left[ n_c k_{\ell u} + \bar{n}_\gamma \frac{g_u}{g_\ell} A_{ul} \right] - n_u \left[ n_c k_{ul} + (1 + \bar{n}_\gamma) A_{ul} \right]$$

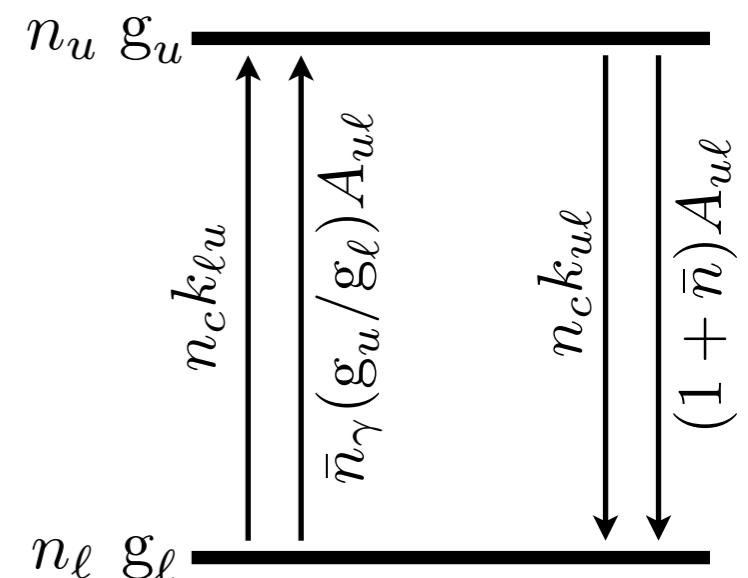
- The steady-state solution with radiation and collision present is

$$\frac{n_u}{n_\ell} = \frac{n_c k_{\ell u} + \bar{n}_\gamma (g_u/g_\ell) A_{ul}}{n_c k_{ul} + (1 + \bar{n}_\gamma) A_{ul}}$$

Using this equation, we can calculate the excitation temperature between the two levels.

Here, by the principle of detailed balance, the upward collisional rate coefficient is given in term of the downward rate coefficient by

$$k_{\ell u} = \frac{g_u}{g_\ell} k_{ul} e^{-E_{ul}/kT_{\text{gas}}} \quad (T_{\text{gas}} = \text{gas kinetic energy})$$



Here, photon occupation number  
 $\left( \bar{n}_\gamma \equiv \frac{c^3}{8\pi h\nu^3} u_\nu \right)$

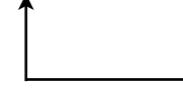
- It is instructive to examine the population equation in various limits:

- In the limit of  $n_c \rightarrow \infty$  and no or weak radiation field  $\bar{n}_\gamma = 0$  :

$$\frac{n_u}{n_\ell} = \frac{n_c k_{\ell u}}{n_c k_{u\ell} + A_{u\ell}} = \frac{k_{\ell u}}{k_{u\ell}} = \frac{g_u}{g_\ell} e^{-E_{u\ell}/kT_{\text{gas}}} \quad \therefore T_{\text{exc}} = T_{\text{gas}}$$

- If  $n_c = 0$  and the radiation field has a brightness temperature of  $T_b = T_{\text{rad}}$  at the frequency  $\nu = E_{u\ell}/h$ :

$$\frac{n_u}{n_\ell} = \frac{\bar{n}_\gamma (g_u/g_\ell)}{(1 + \bar{n}_\gamma)} = \frac{g_u}{g_\ell} e^{-E_{u\ell}/kT_{\text{rad}}} \quad \therefore T_{\text{exc}} = T_{\text{rad}}$$


 $\bar{n}_\gamma = \left( e^{E_{u\ell}/kT_{\text{rad}}} - 1 \right)^{-1} \implies 1 + \bar{n}_\gamma = \bar{n}_\gamma e^{E_{u\ell}/kT_{\text{rad}}}$

- If the radiation and gas has the same temperature  $T_{\text{rad}} = T_{\text{gas}}$ , then we can show that

$$\frac{n_u}{n_\ell} = \frac{n_c k_{\ell u} + \bar{n}_\gamma (g_u/g_\ell) A_{u\ell}}{n_c k_{u\ell} + (1 + \bar{n}_\gamma) A_{u\ell}} = \frac{g_u}{g_\ell} e^{-E_{u\ell}/kT_{\text{gas}}} \quad \therefore T_{\text{exc}} = T_{\text{rad}} = T_{\text{gas}}$$

- In general,  $T_{\text{exc}} \neq T_{\text{gas}}$  and  $T_{\text{exc}} \neq T_{\text{rad}}$ . What is the excitation temperature in the ISM?

# Critical Density

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- Interplay between the radiation and collisions determines the excitation temperature. Which one will be more important?
- For a collision partner  $c$ , we define the critical density  $n_{\text{crit},u}$  for an excited state  $u$  to be the density for which collisional de-excitation equals radiative de-excitation, including stimulated emission:

$$n_{\text{crit},u}(c) \equiv \frac{\sum_{\ell < u} [1 + (n_\gamma)_{u\ell}] A_{u\ell}}{\sum_{\ell < u} k_{u\ell}(c)}$$

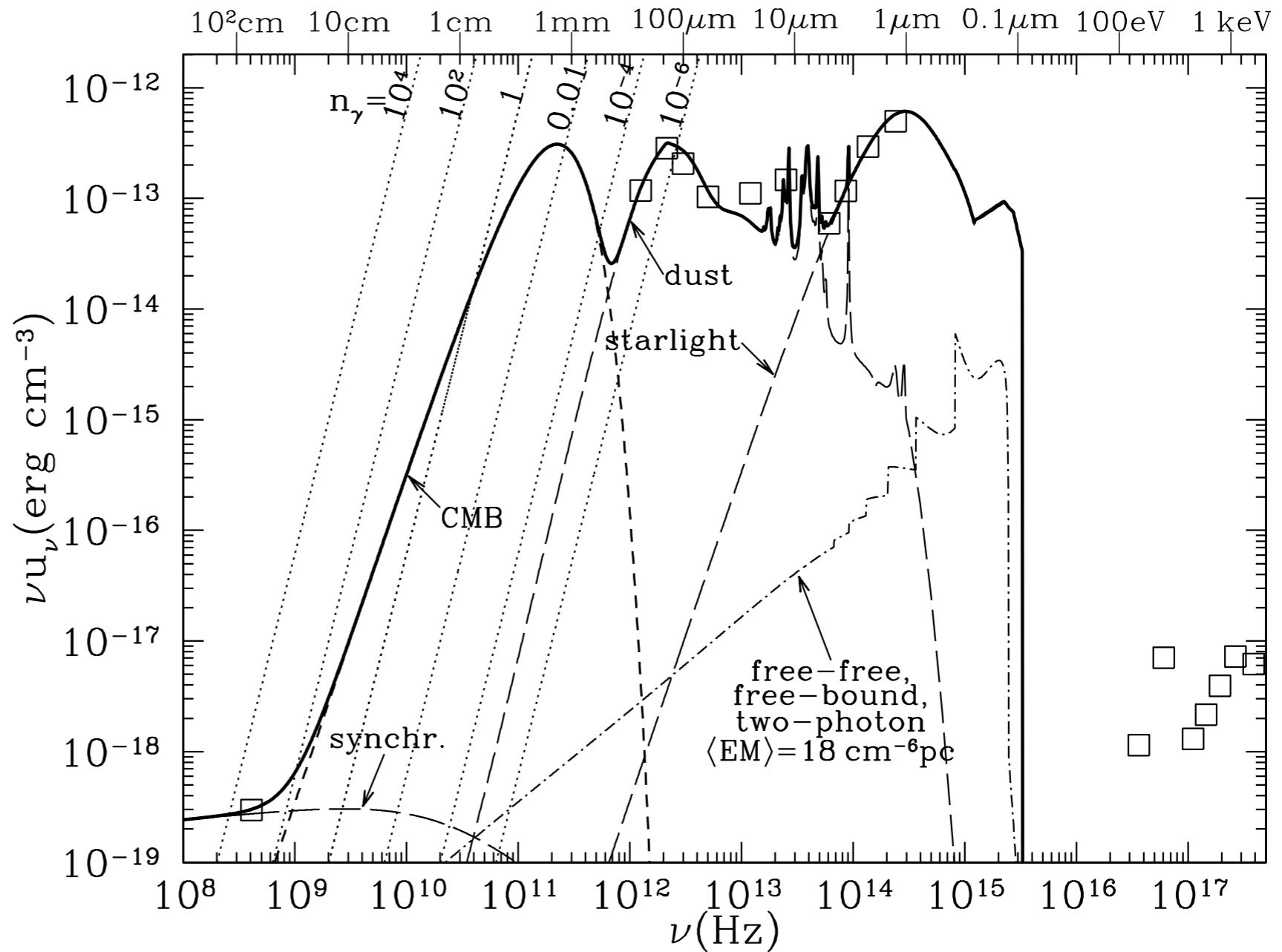
- Note that this definition applies to multilevel systems, but each excited level  $u$  may have a different critical density. The critical density depends on the intensity of ambient radiation. For many transitions, the correction by the stimulated emission is unimportant, but for 21-cm line, it is important.

Critical densities for fine-structure excitation [Table 17.1 in Draine, revised for both H and  $e^-$ , errata]

Ion	$\ell$	$u$	$E_\ell/k$	$E_u/k$	$\lambda_{u\ell}$	$n_{\text{crit},u}(\text{H})$	$n_{\text{crit},u}(e^-)$
			(K)	(K)	( $\mu\text{m}$ )	$T = 100 \text{ K}$ ( $\text{cm}^{-3}$ )	$T = 5000 \text{ K}$ ( $\text{cm}^{-3}$ )
C II	$^2\text{P}_{1/2}^o$	$^2\text{P}_{3/2}^o$	0	91.21	157.74	$2.7 \times 10^3$	$1.5 \times 10^3$
	$^3\text{P}_0$	$^3\text{P}_1$	0	23.60	609.7	620	170
CI	$^3\text{P}_1$	$^3\text{P}_2$	23.60	62.44	370.37	720	150
	$^3\text{P}_2$	$^3\text{P}_1$	0	227.71	63.185	$2.5 \times 10^5$	$4.9 \times 10^4$
OI	$^3\text{P}_1$	$^3\text{P}_0$	227.71	326.57	145.53	$2.4 \times 10^4$	$8.6 \times 10^3$
	$^2\text{P}_{1/2}^o$	$^2\text{P}_{3/2}^o$	0	413.28	34.814	$2.5 \times 10^5$	$1.2 \times 10^5$
Si II	$^3\text{P}_0$	$^3\text{P}_1$	0	110.95	129.68	$4.8 \times 10^4$	$2.8 \times 10^4$
	$^3\text{P}_1$	$^3\text{P}_2$	110.95	321.07	68.473	$9.9 \times 10^4$	$3.6 \times 10^4$
Si I	$^3\text{P}_2$	$^3\text{P}_1$	0	110.95	129.68	$4.4 \times 10^4$	$1.9 \times 10^3$
	$^3\text{P}_1$	$^3\text{P}_0$	110.95	321.07	68.473	$140.$	$830.$

# Interstellar Radiation Fields

To estimate the critical density, we need to know the radiation field strength.



**Figure 12.1** Interstellar continuum radiation field in an HI cloud in the solar neighborhood (see text). Spectral lines are not included. Solid line is the sum of all components for  $h\nu \leq 13.6$  eV. Squares show the measured sky brightness at 408 MHz (Haslam et al. 1982), the all-sky measurements by COBE-DIRBE in 10 broad bands from  $240\text{ }\mu\text{m}$  to  $1.25\text{ }\mu\text{m}$  (Arendt et al. 1998), and all-sky measurements by ROSAT between 150 eV and 2 keV (Snowden 2005, private communication). Dotted lines are contours of constant photon occupation number  $n_\gamma$ .

[Figure 12.1 in Draine]

# H I Spin Temperature

- Collisional rate coefficients:**

- Collision with other H atoms

$$k_{10}(\text{H}) \approx \begin{cases} 1.19 \times 10^{-10} T_2^{0.74-0.20 \ln T_2} \text{ cm}^3 \text{ s}^{-1} & (20 \text{ K} < T < 300 \text{ K}) \\ 2.24 \times 10^{-10} T_2^{0.207} e^{-0.876/T_2} \text{ cm}^3 \text{ s}^{-1} & (300 \text{ K} < T < 10^3 \text{ K}) \end{cases}$$

$$k_{01}(\text{H}) \approx 3k_{10}(\text{H})e^{-0.0682 \text{ K}/T}$$

(Allison & Dalgarno 1969; Zygelman 2005)

- Collision with electrons

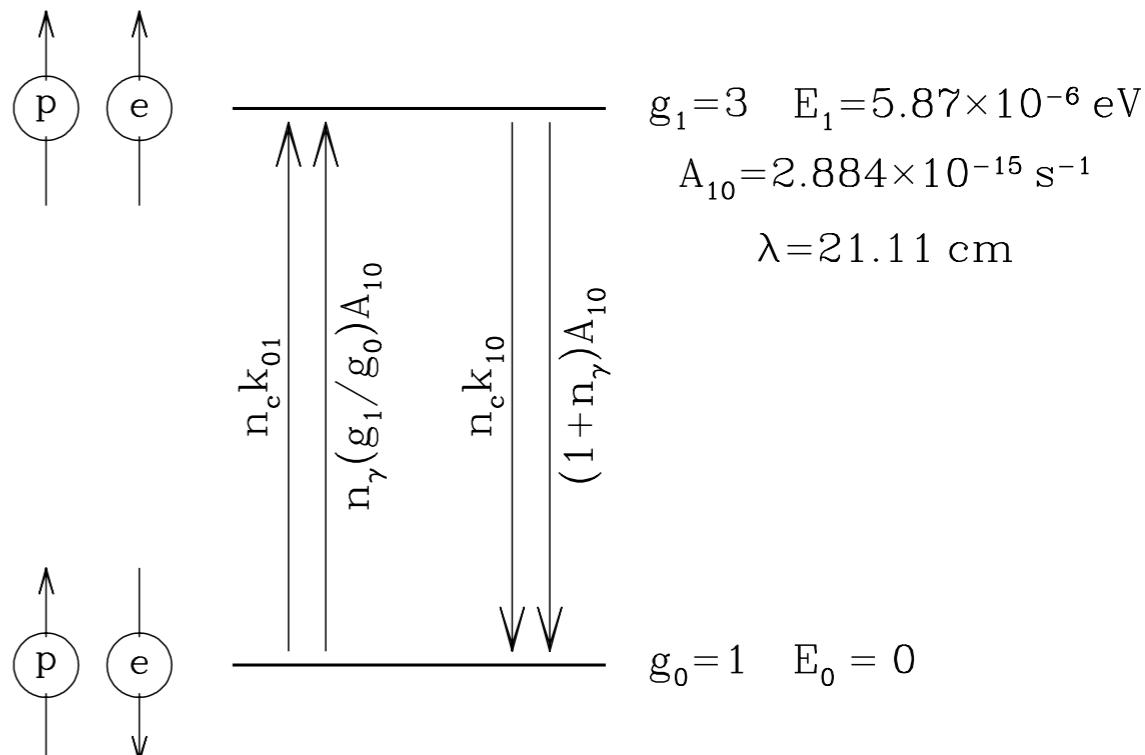
(Furlanetto & Furlanetto 2007)

$$k_{10}(e^-) \approx 2.26 \times 10^{-9} (T/100 \text{ K})^{0.5} \text{ cm}^3 \text{ s}^{-1} \quad (1 \lesssim T \lesssim 500 \text{ K})$$

$$k_{01}(e^-) \approx 3k_{10}(e^-)e^{-0.0682 \text{ K}/T}$$

- This is a factor  $\sim 10$  larger than that for H atoms. However, **electrons will be minor importance in regions with a fractional ionization**  $x_e \lesssim 0.03$ , such as the CNM and WNM.

$$\frac{n_1}{n_0} = \frac{n_c k_{01} + \bar{n}_\gamma (g_1/g_0) A_{10}}{n_c k_{10} + (1 + \bar{n}_\gamma) A_{10}}$$



[Figure 17.1 in Draine]

- 
- Radiation Field strength
    - The radiation field near 21 cm is dominated by the cosmic microwave background plus Galactic synchrotron emission. The antenna temperature is

$$T_A \approx T_{\text{CMB}} + T_{\text{syn}} = 2.73 \text{ K} + 1.04 \text{ K} = 3.77 \text{ K}$$

- Photon occupation number:

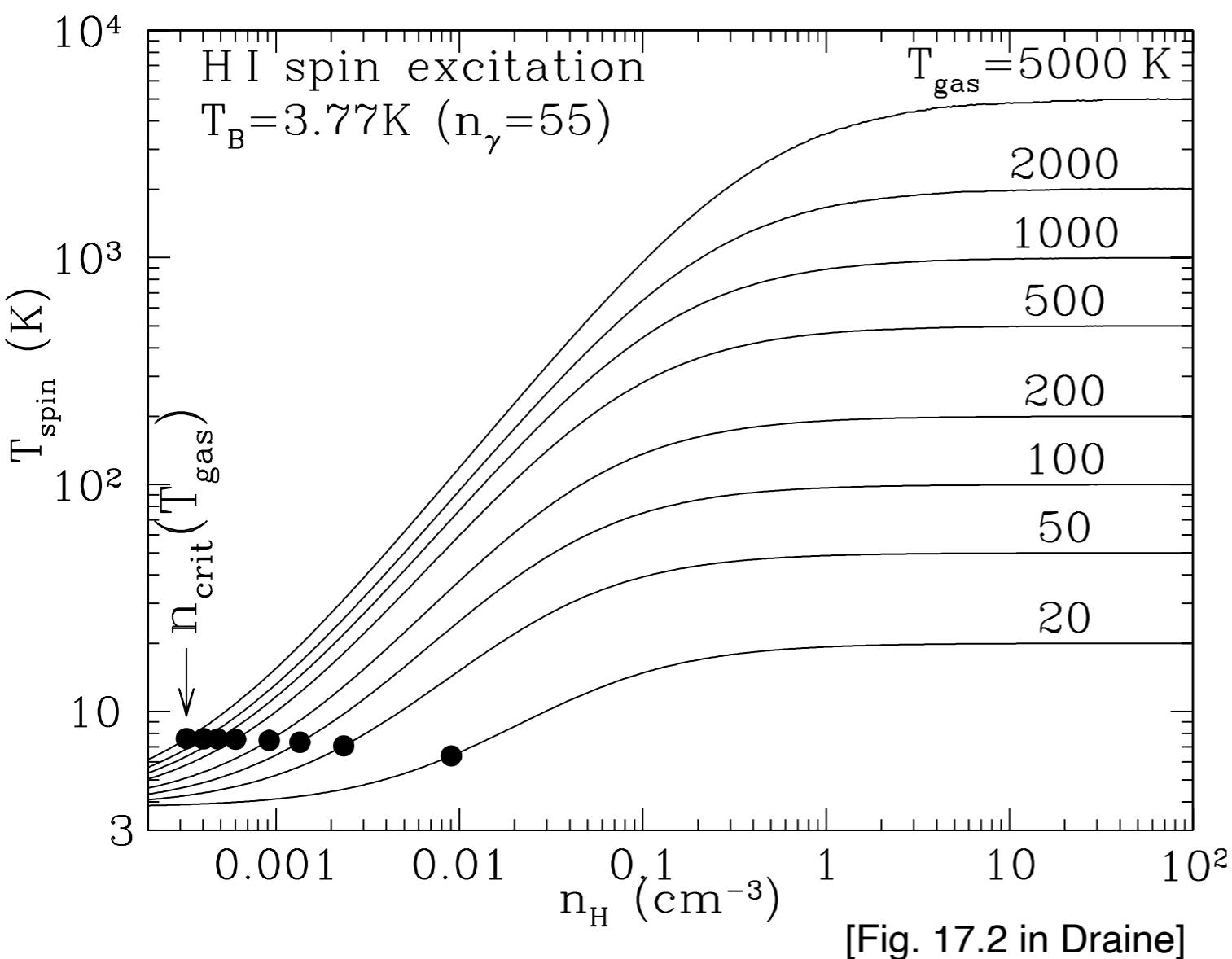
$$\bar{n}_\gamma = \left( e^{h\nu/kT_{\text{rad}}} - 1 \right)^{-1} \approx \frac{kT_A}{h\nu} \approx \frac{3.77 \text{ K}}{0.0682 \text{ K}} \approx 55$$

- The critical density is then

$$\begin{aligned} n_{\text{crit}}(H) &= \frac{(1 + \bar{n}_\gamma) A_{10}}{k_{10}} \\ &\approx 1.4 \times 10^{-3} \text{ cm}^{-3} \quad \text{at } T \sim 100 \text{ K} \end{aligned}$$

$$\begin{aligned} n_{\text{crit}} &\approx 0.02 \text{ cm}^{-3} \quad \text{at } T \sim 10 \text{ K} \\ &\approx 5 \times 10^{-4} \text{ cm}^{-3} \text{ at } T \sim 1000 \text{ K} \end{aligned}$$

- H I spin temperature as a function of density  $n_H$ , including only 21 cm continuum radiation and collisions with H atoms. Ly $\alpha$  scattering is not included.
  - Filled circles show  $n_{\text{crit}}(H)$  for each temperature.
  - It is important to note that one requires  $n \gg n_{\text{crit}}$  in order to have  $T_{\text{spin}}$  within, say, 10% of  $T_{\text{gas}}$ , particularly at high temperatures.



Note that Ryden states that “in the CNM and WNM, we expect the hyperfine levels of atomic hydrogen to be collisionally excited, and to have a spin temperature close to the gas temperature.” based on that  $n_{\text{crit}} \sim 6 \times 10^{-4} \text{ cm}^{-3}$  at  $T \sim 1000 \text{ K}$ .

However, this is not true in the WNM.

***Only in the CNM, the collisional excitation is strong enough to bring the spin temperature close to the gas kinetic temperature.***

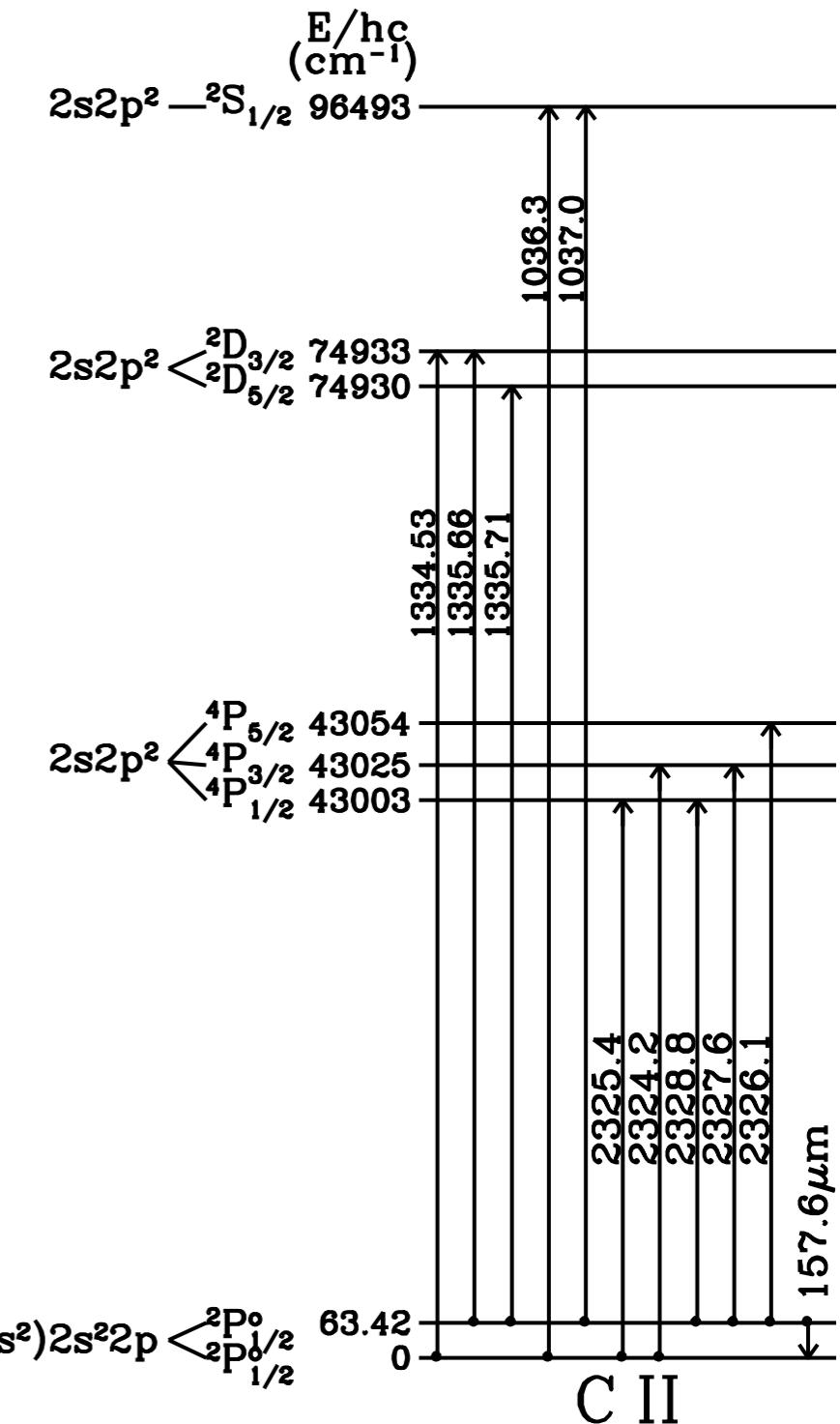
***In the CNM, the 21-cm spin temperature is a good tracer of the gas kinetic temperature.***

## C II Fine Structure Excitation

- The ground electronic state  $1s^2 2s^2 2p\ ^2P^o$  of C<sup>+</sup> contains two fine-structure levels.
- The electronically excited states have an excitation energy that is much higher than the kinetic temperature of the CNM.

$$2235 \text{ \AA} \rightarrow E_{ul} = 0.56 \text{ eV} \rightarrow T = 6440 \text{ K}$$

- We may, therefore, consider the two fine-structure levels in the ground electronic state to be a two level atom.
- Will the populations of these two levels be thermalized in the ISM?



- Rate coefficients for collisional de-excitation:

$$\left\langle \Omega \left( ^2P_{1/2}^o, ^2P_{3/2}^o \right) \right\rangle \approx 2.1$$

$$k_{10}(e^-) \approx 4.53 \times 10^{-8} T_4^{-1/2} \text{ cm}^3 \text{ s}^{-1}$$

$$k_{10}(\text{H}) \approx 7.58 \times 10^{-10} T_2^{0.1281+0.0087 \ln T_2} \text{ cm}^3 \text{ s}^{-1}$$

(Barinovs et al. 2005)

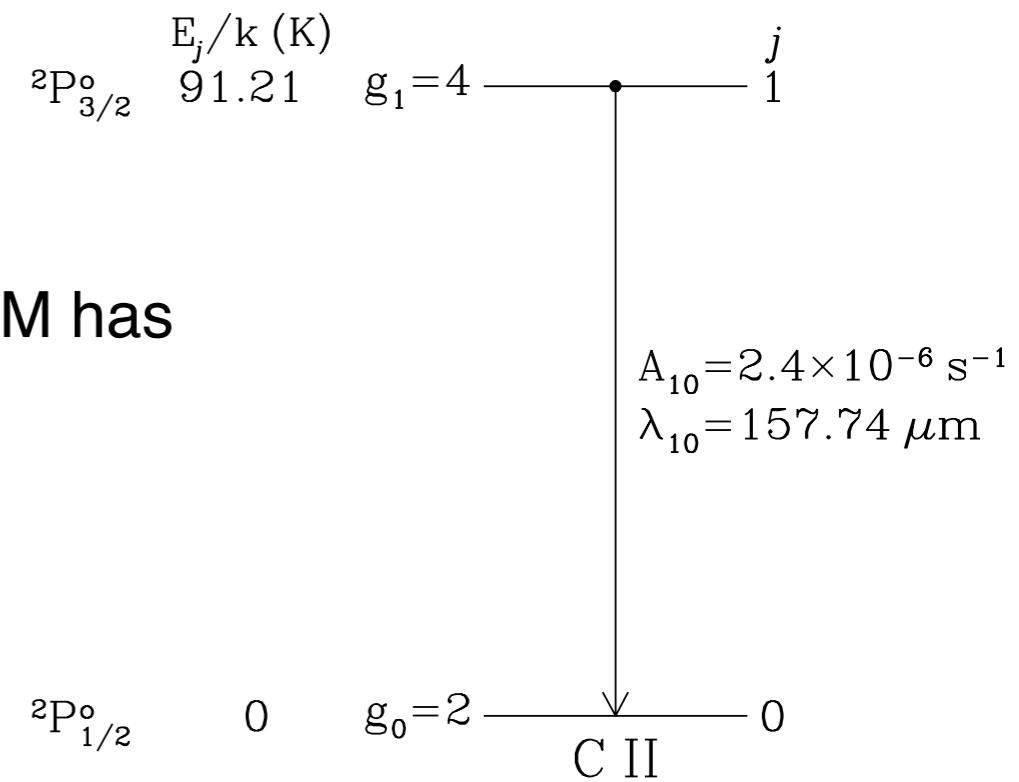
- At  $\lambda = 158 \mu\text{m}$ , the continuum background in the ISM has

$$\bar{n}_\gamma \approx 10^{-5} \ll 1 \longrightarrow n_{\text{crit}} \simeq \frac{A_{10}}{k_{10}}$$

- Critical densities:

$$n_{\text{crit}}(e^-) \approx 53 T_4^{1/2} \text{ cm}^{-3}$$

$$n_{\text{crit}}(\text{H}) \approx 3.2 \times 10^3 T_2^{-0.1281-0.0087 \ln T_2} \text{ cm}^{-3}$$

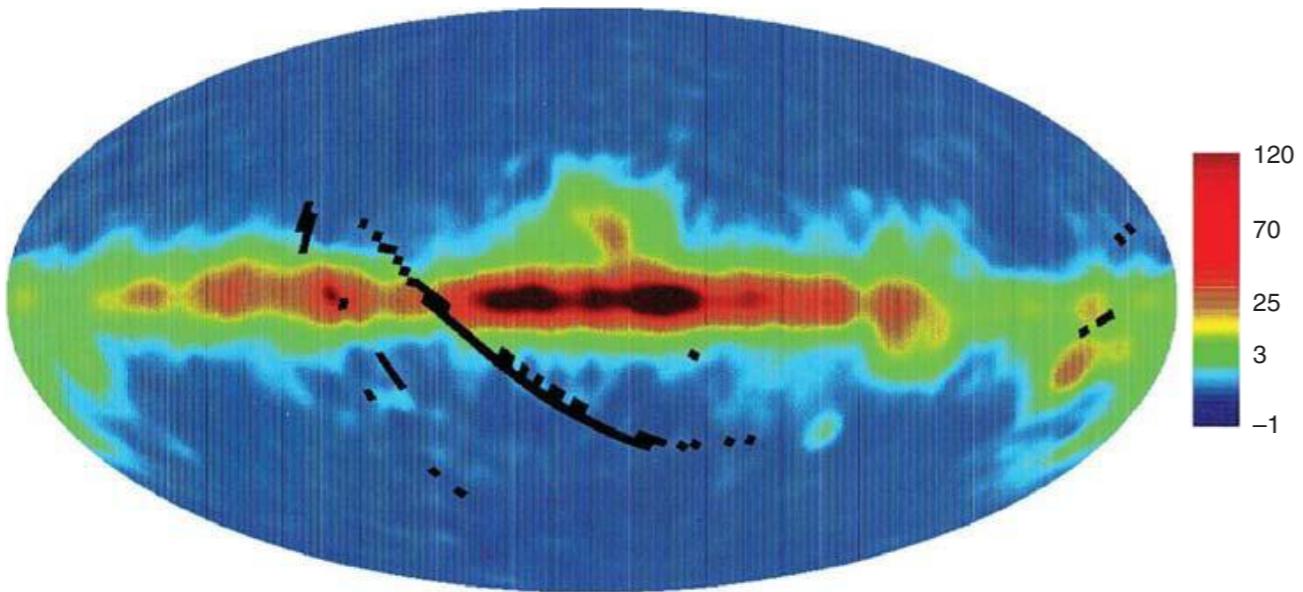


- The critical densities are much higher than the typical densities in both the CNM and WNM. Thus, the C II fine-structure levels will be sub-thermally excited.

$$\frac{n_1}{n_0} = \frac{n_c k_{01}}{n_c k_{10} + A_{10}} \approx n_c \frac{k_{01}}{A_{10}} = \frac{k_{01}}{k_{10}} \frac{n_c}{n_{\text{crit}}} = 2 e^{-91.21 \text{ K}/T_{\text{gas}}} \frac{n_{\text{H}}}{n_{\text{crit}}}$$

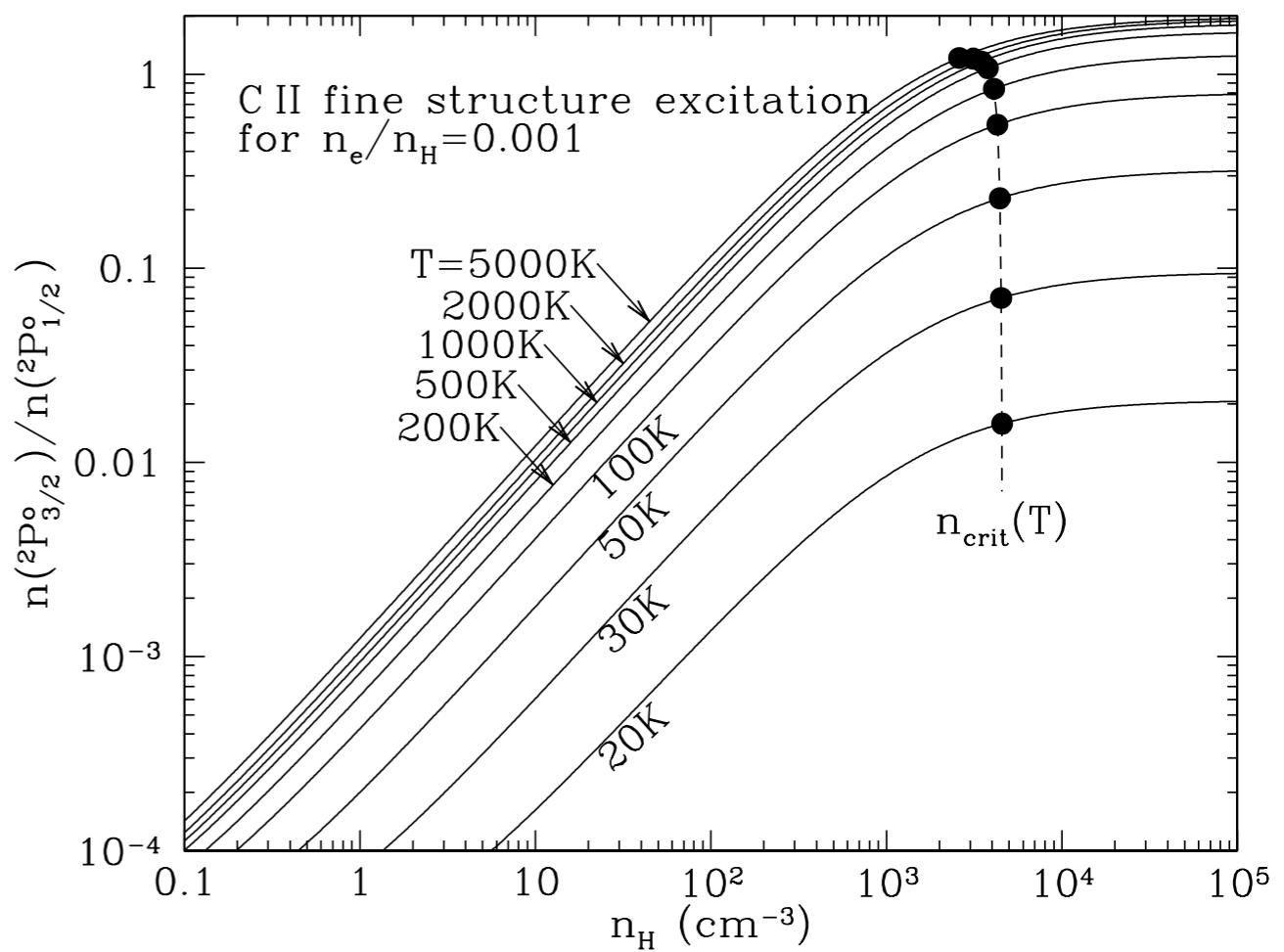
[Figure 17.3 in Draine]

- The C II fine-structure levels will be sub-thermally excited. Collisional excitations of the upper level  $^2P_{3/2}^o$  will usually be followed by radiative decays, removing energy from the gas.
- The [C II] 158  $\mu\text{m}$  transition is the principal cooling transition for the diffuse gas in star-forming galaxies.



All-sky map of [C II] 158  $\mu\text{m}$  emission, made by Far InfraRed Absolute Spectrophotometer (FIRAS) on the COsmic Background Explorer (COBE) satellite (Fixsen et al. 1999).

[Plate 3 in Draine]



[Fig. 17.4 in Draine]

# Equation for the 21-cm Spin Temperature

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- We have derived the equation for the level populations in the presence of collision and radiation. Now, we will derive an intuitive equation for the spin temperature of the 21-cm line.

$$\frac{n_1}{n_0} = \frac{n_c k_{01} + \bar{n}_\gamma (g_1/g_0) A_{10}}{n_c k_{10} + (1 + \bar{n}_\gamma) A_{10}}$$

- Let's define the temperature corresponding to the 21-cm transition.

$$T_* = E_{10}/k = 0.0682 \text{ K}$$

- The temperatures of radiation and gas will be much higher than this:

$$T_{\text{gas}} \approx 10 - 10^4 \text{ K} \gg T_*, \quad T_{\text{rad}} = 3.77 \text{ K} \gg T_*, \quad T_{\text{spin}} \gg T_*$$

- The population ratio can be written in terms of the excitation (spin) temperature:

$$\frac{n_1}{n_0} = \frac{g_1}{g_0} e^{-T_*/T_{\text{spin}}} \simeq \frac{g_1}{g_0} \left(1 - \frac{T_*}{T_{\text{spin}}}\right)$$

- Similarly,

$$k_{01} = \frac{g_1}{g_0} k_{10} e^{-T_*/T_{\text{gas}}} \simeq \frac{g_1}{g_0} k_{10} \left(1 - \frac{T_*}{T_{\text{gas}}}\right)$$

$$\bar{n}_\gamma = \frac{1}{e^{T_*/T_{\text{rad}}} - 1} \simeq \frac{T_{\text{rad}}}{T_*}$$

- Substituting these into the population equation, we obtain

$$1 - \frac{T_*}{T_{\text{spin}}} = \frac{n_c k_{10} (1 - T_*/T_{\text{gas}}) + (T_{\text{rad}}/T_*) A_{10}}{n_c k_{10} + (1 + T_{\text{rad}}/T_*) A_{10}}$$

- Finally, we obtain the following equation:

$$T_{\text{spin}} = \frac{T_* + T_{\text{rad}} + y_c T_{\text{gas}}}{1 + y_c} \iff y_c = \frac{T_*}{T_{\text{gas}}} \frac{n_c k_{10}}{A_{10}}$$

- Ignoring  $T_*$  term, we obtain an intuitive equation for the spin temperature.

$$T_{\text{spin}} = \frac{T_{\text{rad}} + y_c T_{\text{gas}}}{1 + y_c} \iff y_c = \frac{T_*}{T_{\text{gas}}} \frac{n_c k_{10}}{A_{10}}$$

This equation was first derived by G. Field (1958).

- This equation describes the spin temperature as ***a weighted mean of the radiation and gas temperatures with weights of 1 and  $y_c$ .***
- From the equation, we can show that

$$\begin{aligned} T_{\text{spin}} &\simeq T_{\text{rad}} \quad \text{if } y_c \ll 1 \\ T_{\text{spin}} &\simeq T_{\text{gas}} \quad \text{if } y_c \gg 1 \end{aligned}$$

- 
- A new critical density of the colliding particle may be defined:

$$y_c = 1 \implies n_{\text{crit}}^* = \frac{T_{\text{gas}}}{T_*} \frac{A_{10}}{k_{10}}$$

- Now, compare this density with the previous definition of the critical density.

$$\begin{aligned} n_{\text{crit}} &\equiv \frac{[1 + (n_\gamma)_{10}] A_{10}}{k_{10}} \\ &= \left[ 1 + \frac{1}{e^{h\nu_{10}/kT_{\text{rad}}} - 1} \right] \frac{A_{10}}{k_{10}} \\ &\approx \left( 1 + \frac{T_{\text{rad}}}{T_*} \right) \frac{A_{10}}{k_{10}} \end{aligned}$$

$$\frac{n_{\text{crit}}^*}{n_{\text{crit}}} \approx \frac{T_{\text{gas}}}{T_{\text{rad}}}$$

# Detectability of Hydrogen in a Low Density Medium

- In a very low density medium (WNM, CGM, IGM), the particle collisions are very rare ( $n_{\text{HI}} \ll n_{\text{crit}}$ ).
- The radiative transition due to the CMB photons will control the relative population between the hyperfine structures.
  - This indicates  $T_s = T_{\text{CMB}}$ .
  - The RT equation in the Rayleigh-Jeans regime can be written in terms of temperature:

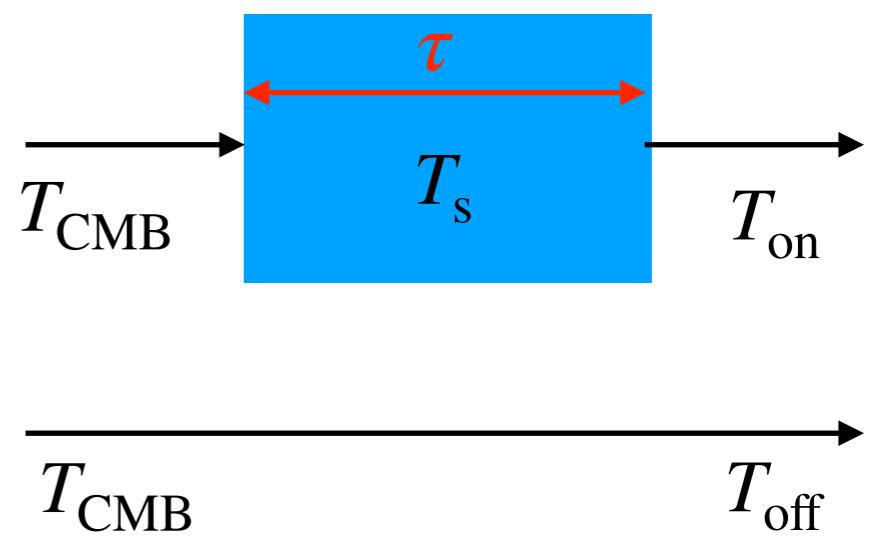
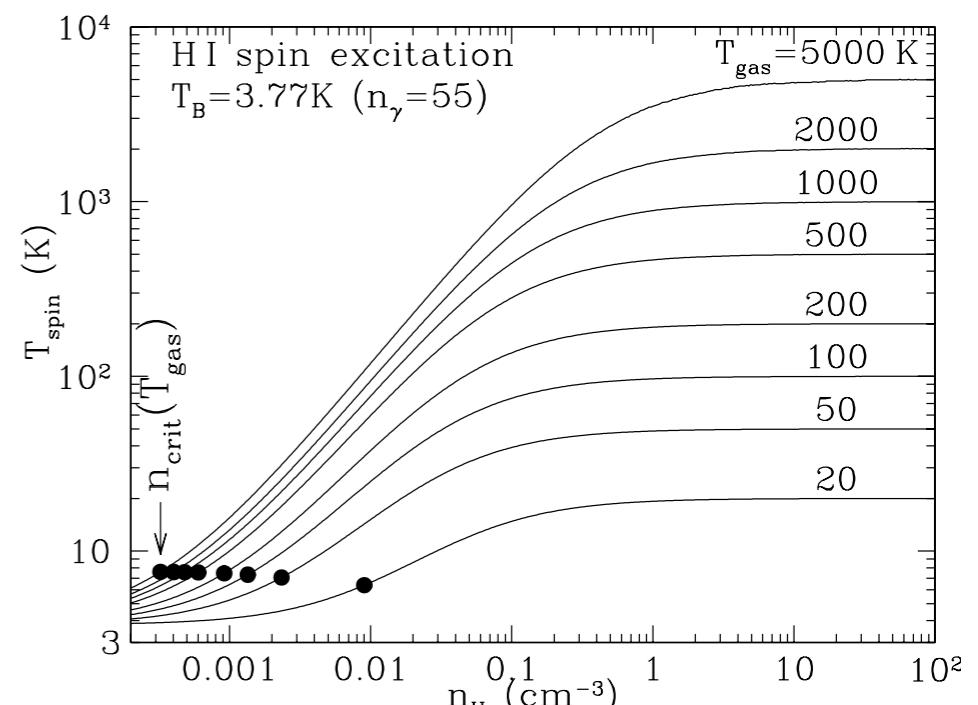
$$T_{\text{on}} = T_{\text{CMB}} e^{-\tau} + T_s (1 - e^{-\tau}) = T_{\text{CMB}}$$

$$T_{\text{off}} = T_{\text{CMB}}$$

$$T_{\text{on}} - T_{\text{off}} = 0$$

- Then, we have  $T_{\text{on}} = T_{\text{off}} = T_{\text{CMB}}$ .
- Neither emission nor absorption feature from the hydrogen gas is detectable.**
- We need something that can make  $T_s \neq T_{\text{CMB}}$ .**

[Fig. 17.2 in Draine]



# The Wouthuysen-Field effect: The Third Mechanism controlling the Spin Temperature

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- **Wouthuysen (1952, AJ, 57, 31)**

**Wouthuysen, S. A. On the excitation mechanism of the 21-cm (radio-frequency) interstellar hydrogen emission line.**

The mechanism proposed here is a radiative one: as a consequence of absorption and re-emission of Lyman- $\alpha$  resonance radiation, a redistribution over the two hyperfine-structure components of the ground level will take place. Under the assumption—here certainly permitted—that induced emissions can be neglected, it can easily be shown that the relative distribution of the two levels in question, under stationary conditions, will depend solely on the shape of the radiation spectrum in the Lyman- $\alpha$  region, and not on the absolute intensity.

The shape of the spectrum of resonance radiation, quasi-imprisoned in a large gas cloud, could only be determined by a careful study of the “scattering” process (absorption and re-emission) in a cloud of definite shape and dimensions. The spectrum will turn out to depend upon the localization in the cloud.

Some features can be inferred from more general considerations. Take a gas in a large container, with perfectly reflecting walls. Let the gas be in equilibrium at temperature  $T$ , together with Planck radiation of that same temperature. The scattering processes will not affect the radiation spectrum. One can infer from this fact that the photons, after an infinite number of scattering processes on gas atoms with kinetic temperature  $T$ , will obtain a statistical distribution over the spectrum proportional to the Planck-radiation spectrum of temperature  $T$ . After a finite but large number of scattering processes the Planck shape will be produced in a region around the initial frequency.

Photons reaching a point far inside an interstellar gas cloud, with a frequency near the Lyman- $\alpha$  resonance frequency, will have suffered on the average a tremendous number of collisions. Hence in that region, which is wider the larger the optical depth of the cloud is for the Lyman radiation, the Planck spectrum corresponding to the gas-kinetic temperature will be established

as far as the shape is concerned. Because, however, the relative occupation of the two hyperfine-structure components of the ground state depends only upon the shape of the spectrum near the Lyman- $\alpha$  frequency, this occupation will be the one corresponding to equilibrium at the gas temperature.

The conclusion is that the resonance radiation provides a long-range interaction between gas atoms, which forces the internal (spin-)degree of freedom into thermal equilibrium with the thermal motion of the atoms.

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**From a thermodynamic argument, Wouthuysen speculated the followings:**

**A tremendous number of scattering will establish the Planck-like spectrum, at the Ly $\alpha$  line center, corresponding to the gas-kinetic temperature.**

The Ly $\alpha$  radiation is coupled with the hyperfine state of the hydrogen atom.

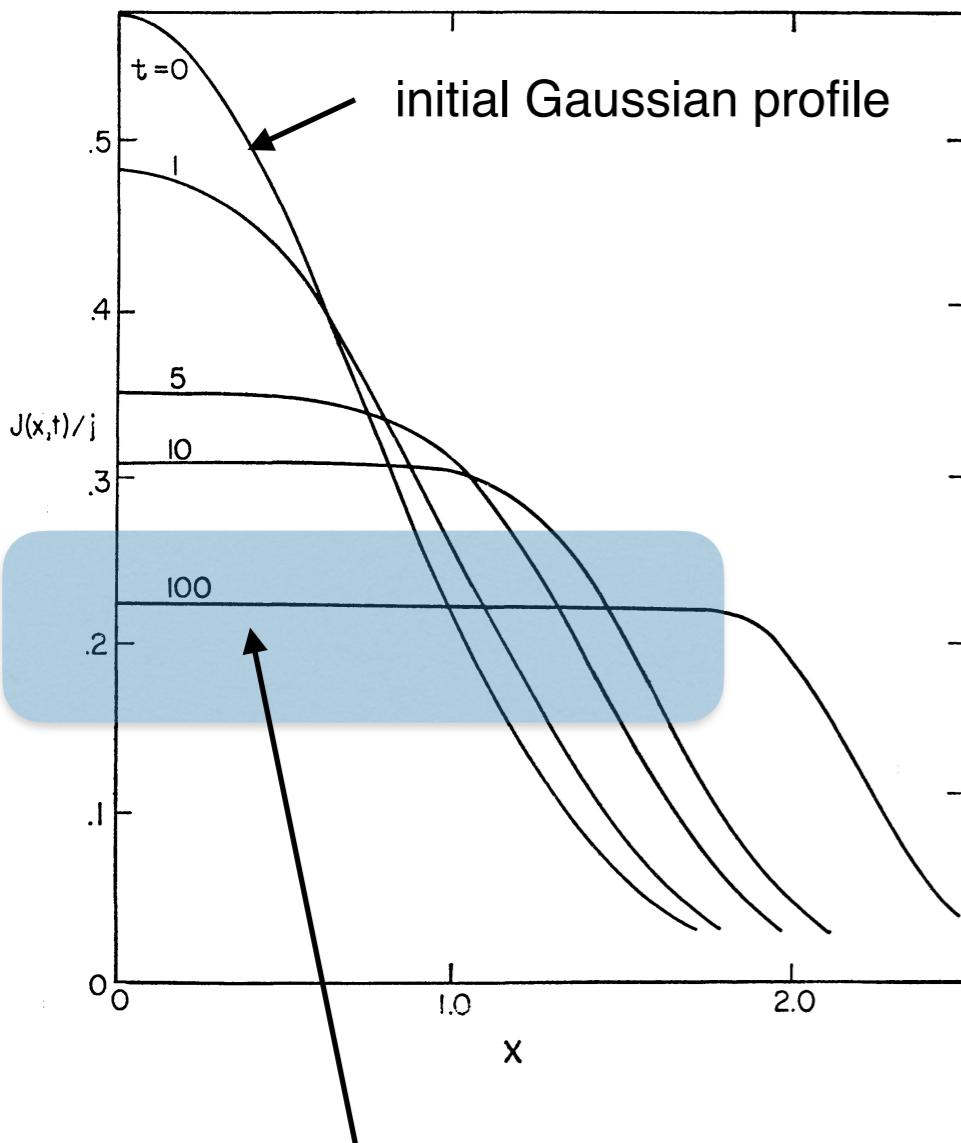
In the end, **the 21cm spin temperature will become equal to the kinetic temperature of the hydrogen gas.**

“Wouthuysen” is pronounced as roughly “Vowt-how-sen.” (바우타이슨)

# Relaxation of Ly $\alpha$ Profile

- Field (1958, PIRE, 46, 240; 1959, ApJ, 129, 551)

**Recoil effect = momentum transfer between H atom and photon**



spectral shape at the Ly $\alpha$  line center

**Without recoil:**

The spectral profile of Ly $\alpha$ , **within the medium**, becomes flat at the line center when the photons undergo a large number of resonance scatterings.

$$J(\nu, t \rightarrow \infty) = \text{constant}$$

**With recoil:**

Recoil of the scattering atom changes the slope of the Ly $\alpha$  central profile "**at the limit of an infinite number of scattering**" and gives a Boltzmann like (exponential) functional shape:

$$J(\nu, t \rightarrow \infty) \propto e^{-\frac{h(\nu - \nu_\alpha)}{kT_K}}$$

# Mechanisms that controls the spin temperature

---

- The spin temperature ( $T_s$ ) is determined by three mechanisms.

- (1) **Direct Radiative Transitions** by the background radiation field  
(Cosmic Microwave Background or Galactic Synchrotron)

$$I_\nu = \frac{2k_B T_R}{\lambda^2}$$

$T_R$  = brightness temperature  
= 2.73 K or 3.77 K

(Rayleigh-Jeans Law)

- (2) **Collisional Transitions** (collision with other hydrogen and electron)

$T_K$  = gas kinetic temperature

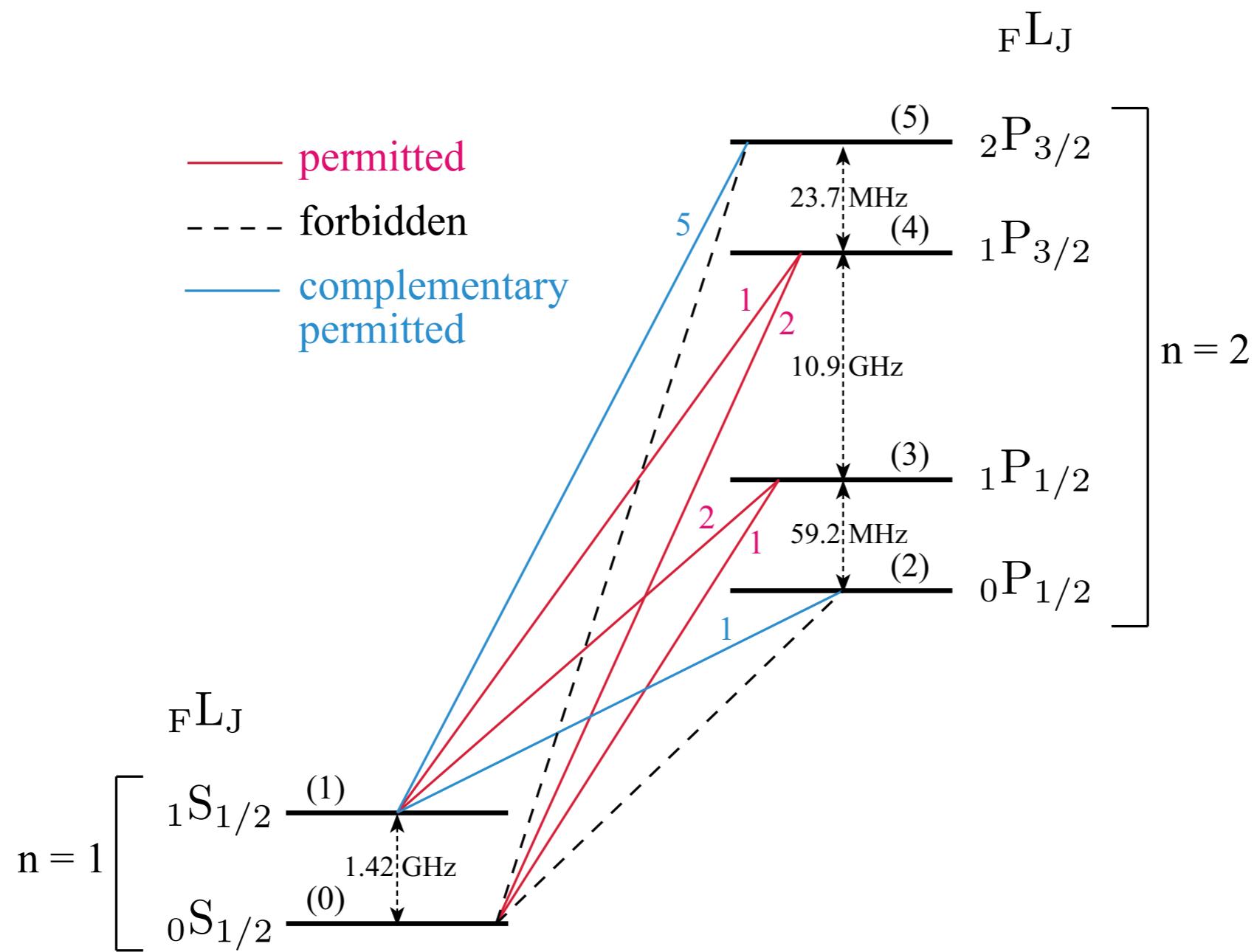
- (3) **Ly $\alpha$  pumping**: Indirect Radiative Transitions involving intermediate levels caused by Ly $\alpha$  resonance scattering

$T_\alpha$  = color temperature

$$J(\nu) \propto \exp\left(-\frac{h\nu}{k_B T_\alpha}\right)$$

# Indirect Level Population by Ly $\alpha$ Scattering

**The WF effect is a mechanism that the resonance scattering of Ly $\alpha$  photons indirectly control the relative populations between the hyperfine levels in the ground state ( $n = 1$ ) via transitions involving the  $n = 2$  state as an intermediate state.**



## Equation for spin temperature (a)

---

- In stationary state, rate equation for the population of the hyperfine states 0 and 1 can be written

$$n_0 (P_{01}^R + P_{01}^c + P_{01}^\alpha) = n_1 (P_{10}^R + P_{10}^c + P_{10}^\alpha) \quad \text{Eq (1)}$$

where

$P^c$ ,  $P^R$ ,  $P^\alpha$  = transition rates (per sec) cause by collisions, radio, and Ly $\alpha$

(1) Level Population in terms of spin temperature ( $T_S$ ):

$$\frac{n_1}{n_0} = \frac{g_1}{g_0} \exp\left(-\frac{h\nu_{10}}{k_B T_S}\right) \quad \longleftarrow \quad T_* \equiv \frac{h\nu_{10}}{k_B} = 0.0681 \text{ K}$$

$$\simeq 3 \left(1 - \frac{T_*}{T_S}\right) \quad \text{Eq (2)}$$

## Equation for spin temperature (b)

---

(2) Ratio between the radiative transition rates in terms of the brightness temperature:

$$I_\nu = \frac{2\nu_{10}^2}{c^2} kT_R, \quad u_\nu = \frac{8\pi\nu_{10}^2}{c^3} kT_R$$

Using the definition of the brightness temperature, we obtain the radiative transition rates due to 21 cm radiation :

$$P_{01}^R = B_{01} u_\nu = \left( \frac{g_1}{g_0} \frac{c^3}{8\pi h \nu_{10}^3} A_{10} \right) \left( \frac{8\pi\nu_{10}^2}{c^3} kT_R \right) = 3 \frac{T_R}{T_*} A_{10}$$

$$P_{10}^R = A_{10} + B_{10} u_\nu = A_{10} + \left( \frac{c^3}{8\pi h \nu_{10}^3} A_{10} \right) \left( \frac{8\pi\nu_{10}^2}{c^3} kT_R \right) = \left( 1 + \frac{T_R}{T_*} \right) A_{10}$$

$$\frac{P_{01}^R}{P_{10}^R} \simeq 3 \left( 1 - \frac{T_*}{T_R} \right) \quad \text{Eq (3)}$$

(3) Ratio between the collisional transition rates in terms of the gas kinetic temperature:

$$\frac{P_{01}^c}{P_{10}^c} = \frac{k_{01}}{k_{10}} = \frac{g_1}{g_0} \exp \left( -\frac{h\nu_{10}}{kT_K} \right) \simeq 3 \left( 1 - \frac{T_*}{T_K} \right) \quad \text{Eq (4)}$$

( $T_K$  is the gas kinetic temperature.)

# Equation for spin temperature (c)

---

(5) Ratio between the indirect transition rates due to Ly $\alpha$  pumping:

$$\begin{aligned}
 P_{01}^\alpha &= \sum_{j=2}^5 B_{0j} u(\nu_{0j}) \frac{A_{j1}}{\sum_{i=0}^1 A_{ji}} = \sum_{j=2}^5 \frac{g_j}{g_0} \frac{c^3}{8\pi h\nu_{0j}^3} A_{j0} u(\nu_{0j}) \frac{A_{j1}}{\sum_{i=0}^1 A_{ji}} && \text{photon occupation number} \\
 &\simeq n_\gamma(\nu_{0\alpha}) \sum_{j=2}^5 \frac{g_j}{g_0} A_{j0} \frac{A_{j1}}{\sum_{i=0}^1 A_{ji}} && \leftarrow n_\gamma(\nu_{0j}) \simeq n_\gamma(\nu_{0\alpha}) \quad \left( n_\gamma = \frac{c^3}{8\pi h\nu^3} u_\nu \right) \\
 &\simeq \exp\left(-\frac{h\nu_{0\alpha}}{kT_\alpha}\right) C_{01} && \leftarrow \text{Wiens Law, } C_{01} \equiv \frac{1}{g_0} \sum_{j=2}^5 g_j \frac{A_{j0} A_{j1}}{\sum_{i=0}^1 A_{ji}} \\
 &&& \text{assumption}
 \end{aligned}$$


---

$$\begin{aligned}
 P_{10}^\alpha &= \sum_{j=2}^5 B_{1j} u(\nu_{1j}) \frac{A_{j0}}{\sum_{i=0}^1 A_{ji}} = \sum_{j=2}^5 \frac{g_j}{g_1} \frac{c^3}{8\pi h\nu_{1j}^3} A_{j1} u(\nu_{1j}) \frac{A_{j0}}{\sum_{i=0}^1 A_{ji}} \\
 &\simeq n_\gamma(\nu_{1\alpha}) \sum_{j=2}^5 \frac{g_j}{g_1} A_{j1} \frac{A_{j0}}{\sum_{i=0}^1 A_{ji}} && \leftarrow n_\gamma(\nu_{1j}) \simeq n_\gamma(\nu_{1\alpha}) \\
 &\simeq \exp\left(-\frac{h\nu_{1\alpha}}{kT_\alpha}\right) C_{10} && \leftarrow \text{Wiens Law, } C_{10} \equiv \frac{1}{g_1} \sum_{j=2}^5 g_j \frac{A_{j1} A_{j0}}{\sum_{i=0}^1 A_{ji}}
 \end{aligned}$$


---

Notice that  $\frac{C_{01}}{C_{10}} = \frac{g_1}{g_0} = 3$

Therefore, we have  $\frac{P_{01}^\alpha}{P_{10}^\alpha} \simeq 3 \exp\left(-\frac{h\nu_{10}}{kT_\alpha}\right) \simeq 3 \left(1 - \frac{T_*}{T_\alpha}\right)$  Eq (5)

# Equation for spin temperature (e)

Combining Eq (1) - (6), we obtain the following equation for the spin temperature in terms of the 21 cm brightness temperature, gas kinetic temperature, and Ly-alpha color temperature:

$$1 - \frac{T_*}{T_S} = \frac{\left(1 - \frac{T_*}{T_R}\right) P_{10}^R + \left(1 - \frac{T_*}{T_K}\right) P_{10}^c + \left(1 - \frac{T_*}{T_\alpha}\right) P_{10}^\alpha}{P_{10}^R + P_{10}^c + P_{10}^\alpha}$$

$$\frac{T_*}{T_S} = \frac{\frac{T_*}{T_R} P_{10}^R + \frac{T_*}{T_K} P_{10}^c + \frac{T_*}{T_\alpha} P_{10}^\alpha}{P_{10}^R + P_{10}^c + P_{10}^\alpha}$$

$$P_{10}^R = \left(1 + \frac{T_R}{T_*}\right) A_{10}$$

$$\begin{aligned} \frac{T_*}{T_S} &= \frac{A_{10} + \frac{T_*}{T_K} P_{10}^c + \frac{T_*}{T_\alpha} P_{10}^\alpha}{\left(1 + \frac{T_R}{T_*}\right) A_{10} + P_{10}^c + P_{10}^\alpha} \\ \frac{T_S}{T_*} &= \frac{\left(1 + \frac{T_R}{T_*}\right) A_{10} + P_{10}^c + P_{10}^\alpha}{A_{10} + \frac{T_*}{T_K} P_{10}^c + \frac{T_*}{T_\alpha} P_{10}^\alpha} \\ T_S &= \frac{T_* + T_R + T_* \frac{P_{10}^c}{A_{10}} + T_* \frac{P_{10}^\alpha}{A_{10}}}{1 + \frac{T_*}{T_K} \frac{P_{10}^c}{A_{10}} + \frac{T_*}{T_\alpha} \frac{P_{10}^\alpha}{A_{10}}} \end{aligned}$$

$$T_S = \frac{T_* + T_R + y_c T_K + y_\alpha T_\alpha}{1 + y_c + y_\alpha}$$

where  $y_c \equiv \frac{T_*}{T_K} \frac{P_{10}^c}{A_{10}}$

$$y_\alpha \equiv \frac{T_*}{T_\alpha} \frac{P_{10}^\alpha}{A_{10}}$$

$$T_* = \frac{h\nu_{10}}{k_B} = 0.0681 \text{ } {}^\circ\text{K} \quad (\text{This term is negligible in the above equation.})$$

**Two requirements for the WF effect:**

$$(1) J_\nu \propto \exp\left(-\frac{h\nu}{kT_\alpha}\right) \text{ with } T_\alpha = T_K$$

$$(2) y_\alpha \gg 1 \text{ and } y_\alpha \gg y_c$$

(Ly $\alpha$  radiation field should be strong.)

# First Requirement: Spectral Shape of Ly $\alpha$ inside the medium

With the recoil effect

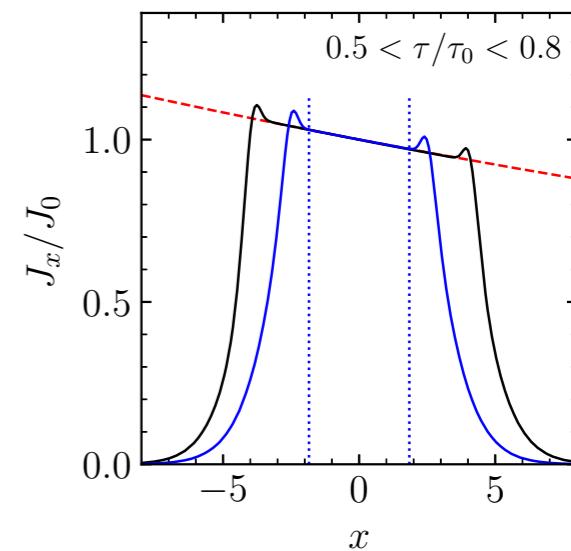
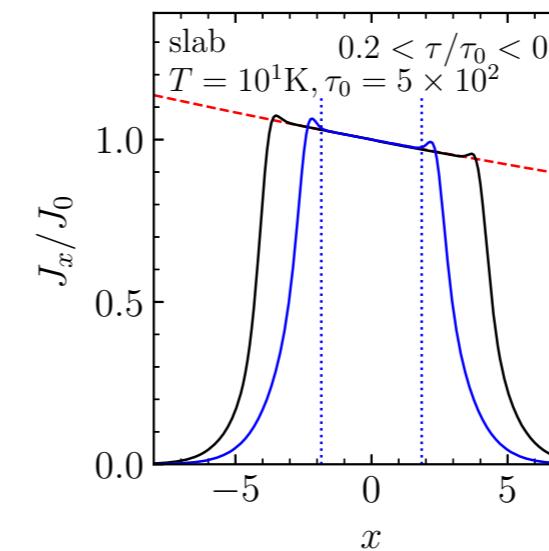
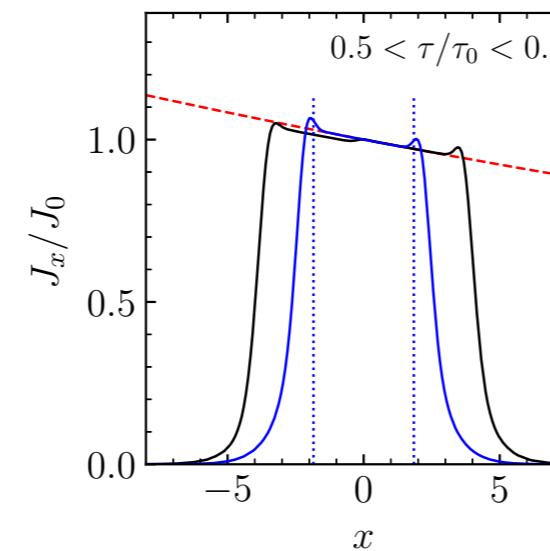
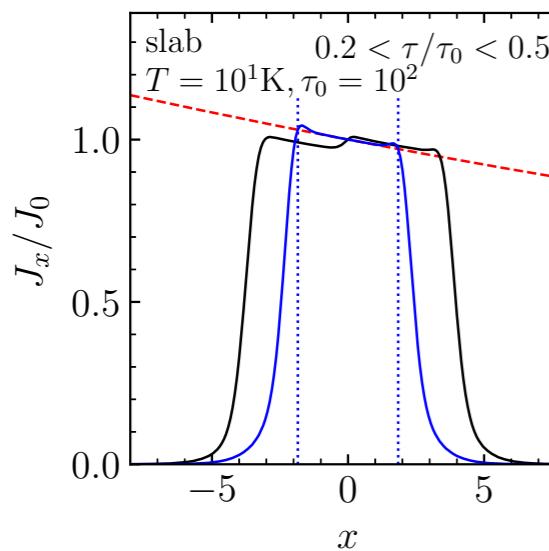
$T = 10 \text{ K}$

red dashed lines:  $J_x \propto \exp\left(-\frac{h(\nu - \nu_\alpha)}{k_B T_K}\right)$

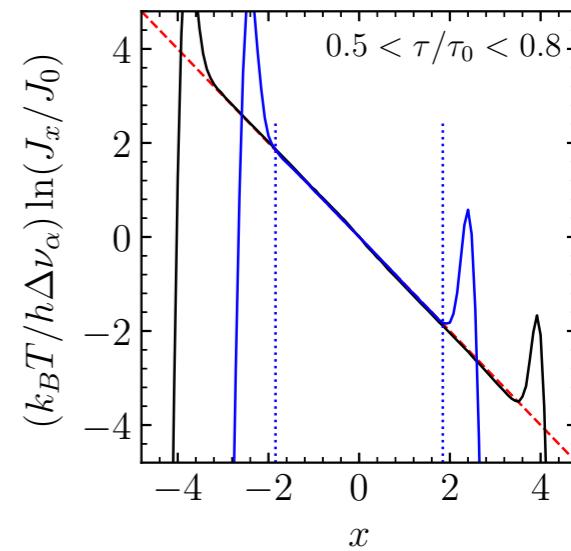
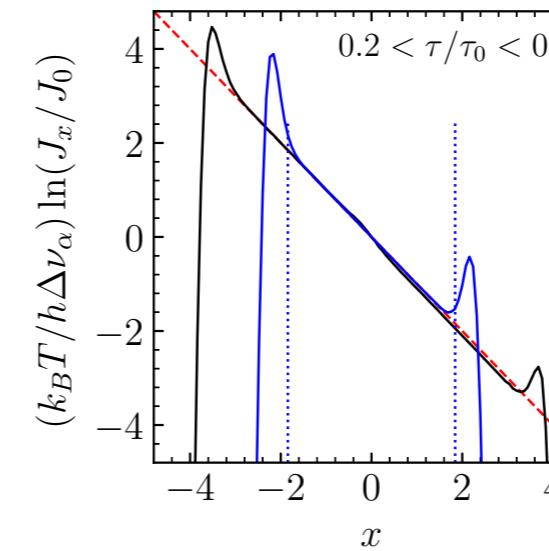
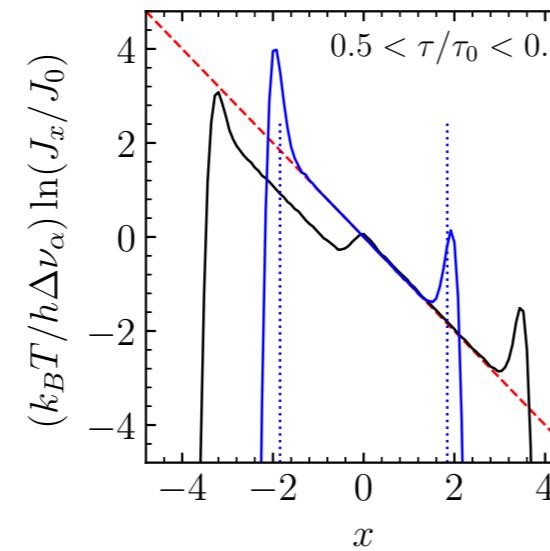
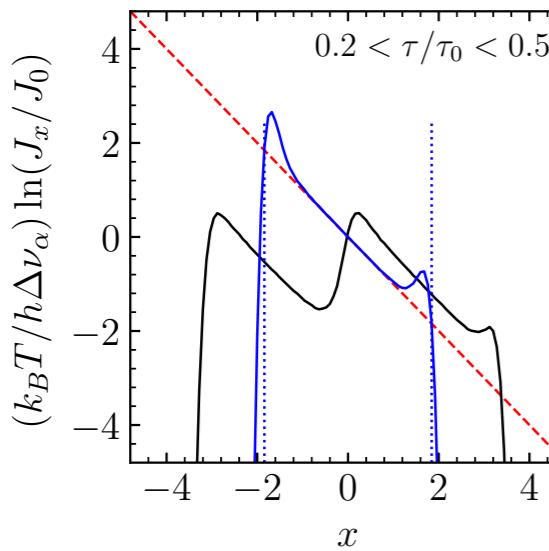
black lines: included the fine structure splitting

blue lines: ignored the fine structure

linear scale



logarithmic scale



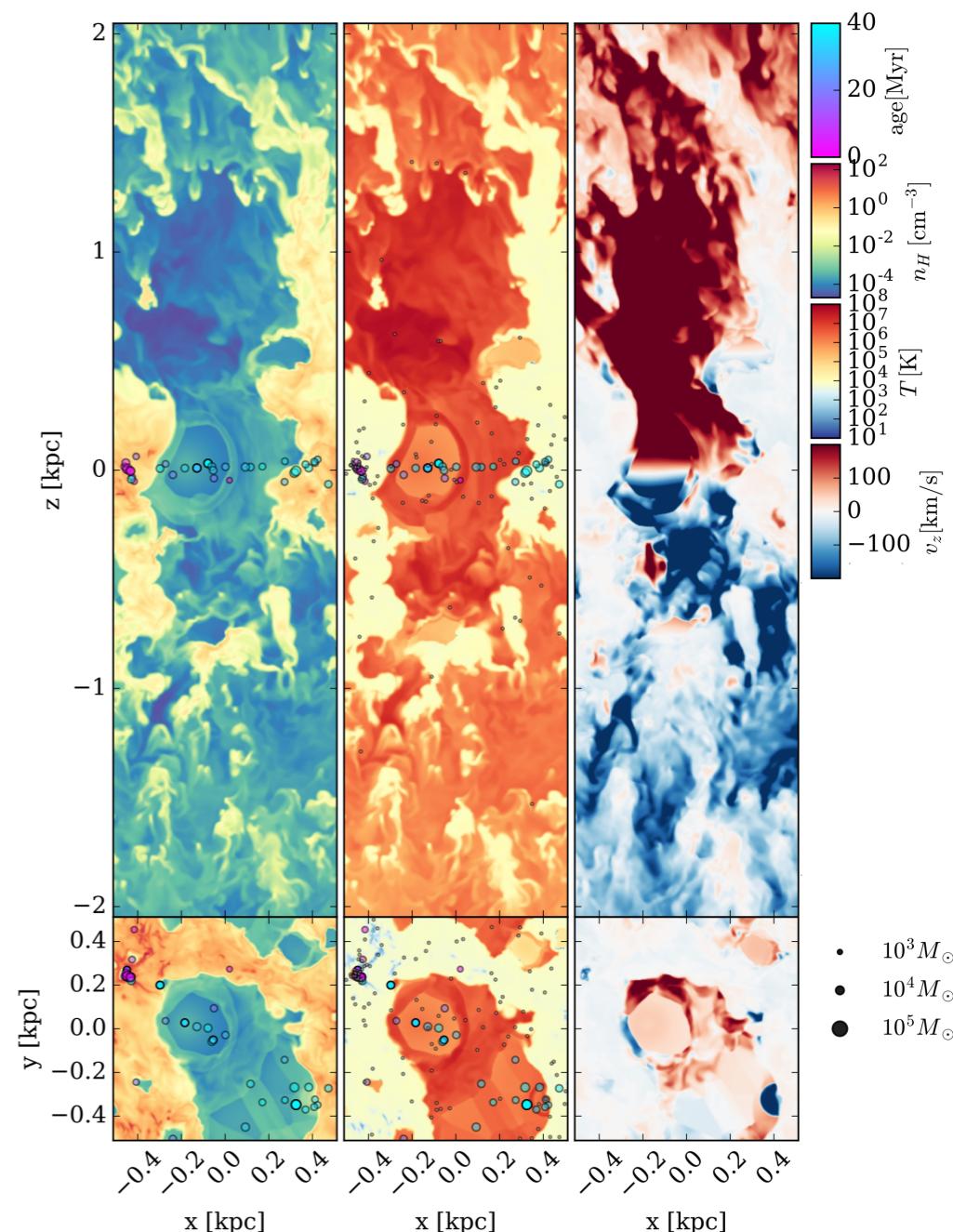
The resonance-line profile at the line center approaches to the exponential function with the gas kinetic temperature, even in a system with an optical depth as low as 100-500.

## Second Requirement: Strength of Ly $\alpha$ Radiation

- ***Is Ly $\alpha$  radiation strong enough to make  $y_\alpha$  large (in our Galaxy)?***
- We need to perform simulations in realistic ISM models to address this question.
- The TIGRESS frame work.
  - TIGRESS = Three-phase Interstellar Medium in Galaxies Resolving Evolution with Star Formation and Supernova Feedback
  - In the TIGRESS framework, the ideal MHD equations are solved in a local, shearing box, representing a small patch of a differential rotating galactic disk.
  - TIGRESS yields self-consistent 3D ISM models with self-regulated star formation.

TIGRESS

Kim & Ostriker (2017; 2018)



## Ly $\alpha$ Sources

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- ***Recombination line from H II regions (Galactic Disk)***
  - Vacca et al. (1996) gives the ionizing UV flux from 429 O- and early B-stars within 2.5 kpc of the Sun. From this, the Ly $\alpha$  production rate is calculated.

$$\psi_{\text{Ly}\alpha} = 2.52 \times 10^7 \text{ photons cm}^{-2} \text{ s}^{-1}$$

- H II regions are assumed to be distributed as follows:

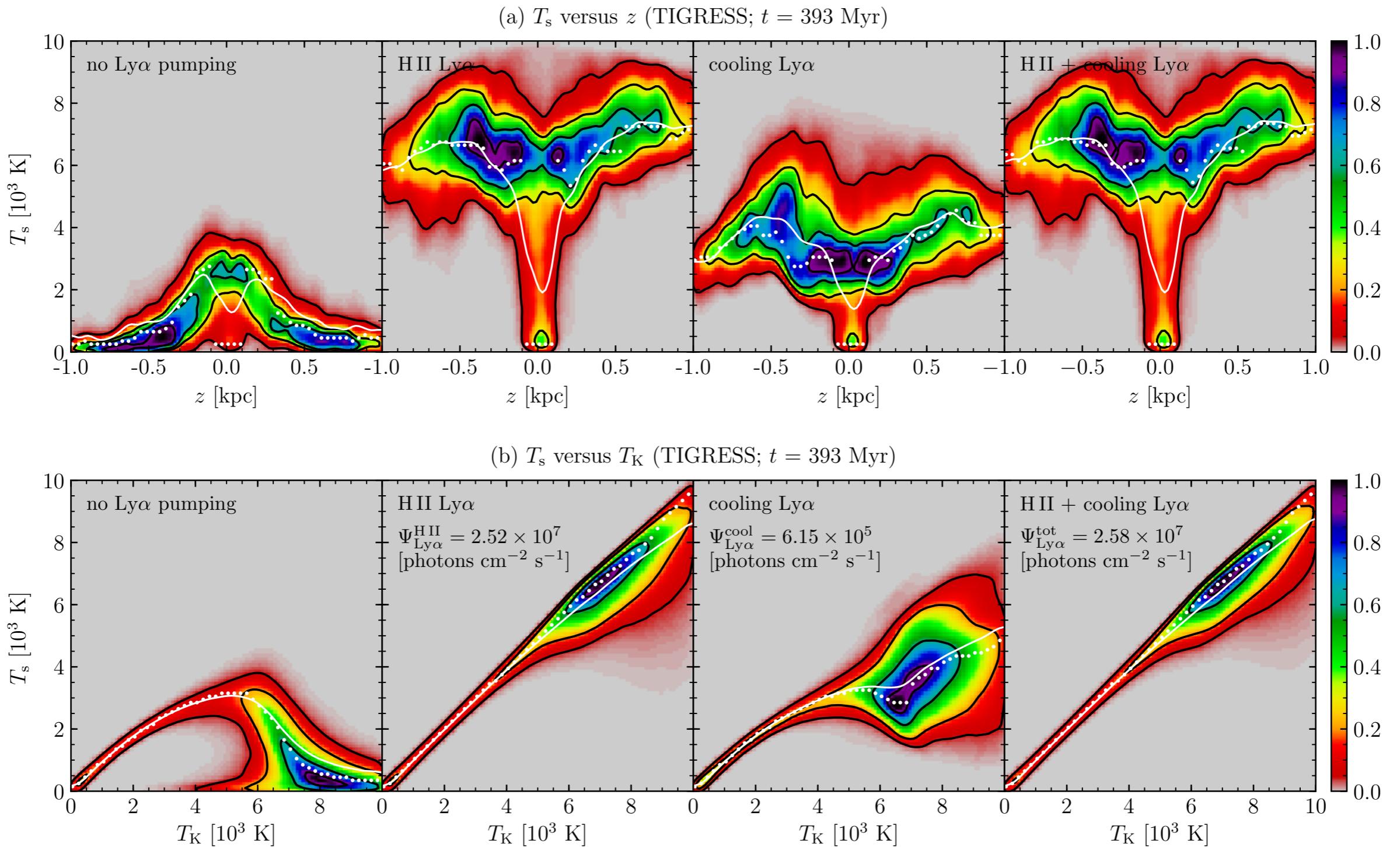
$$j_{\text{Ly}\alpha}(z) \propto \exp\left(-\frac{z^2}{2\sigma^2}\right) \text{ where } \sigma = 81 \text{ pc}$$

- ***Collisional cooling Ly $\alpha$  lines from hot gas***

- Assuming the collisional ionization equilibrium (CIE), the total Ly $\alpha$  production rate caused by the collisional excitation and ionization is calculated.

$$\frac{4\pi j_{\text{Ly}\alpha}}{h\nu_\alpha} = n_e n_{\text{H}} \frac{C_{\text{Ly}\alpha}(T)}{h\nu_\alpha} + n_e n_p \alpha_{\text{B}} P_{\text{B}}(\text{Ly}\alpha)$$

# Result: Spin vs. Kinetic Temperature



The Ly $\alpha$  radiation, at least in our Galaxy, is strong enough to thermalize the spin temperature to the gas kinetic temperature.

# Homework

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(1) If we consider only the background radiation field and collisions with hydrogen, the spin temperature of the 21-cm transition is given by

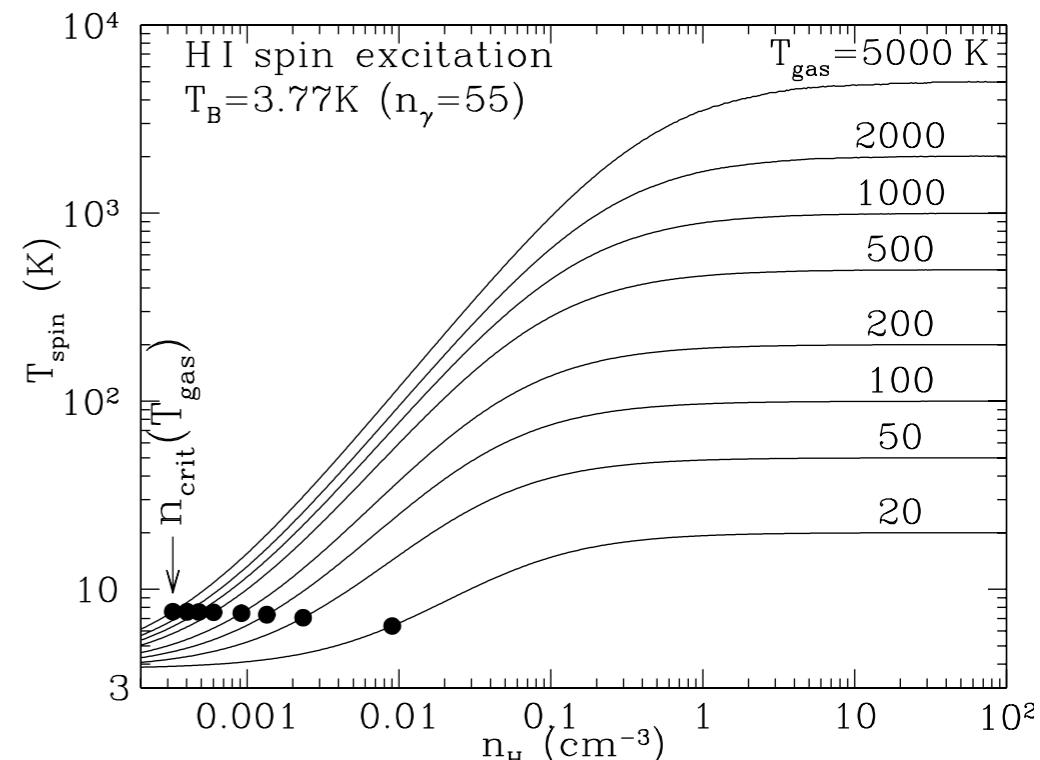
$$\text{Eq(a): } T_{\text{spin}} = \frac{T_{\text{rad}} + y_c T_{\text{gas}}}{1 + y_c} \quad \text{where} \quad y_c \equiv \frac{T_*}{T_{\text{gas}}} \frac{n_c k_{10}}{A_{10}}$$

- Using the above equation, make a plot similar to the right side figure. (Extrapolate the approximate formula for  $k_{10}$  down below 20 K and up above  $10^3$  K.)
- Denote the two critical densities, for each gas temperature, defined by

$$\text{Eq(b): } n_{\text{crit}}^* = \frac{T_{\text{gas}}}{T_*} \frac{A_{10}}{k_{10}} \quad \text{and} \quad n_{\text{crit}} = \frac{(1 + n_\gamma) A_{10}}{k_{10}}$$

(2) Discuss whether Eq(a) for the spin temperature for the 21-cm transition can be applied to the [C III] 158 $\mu\text{m}$  line or not.

Explain why the equation cannot be applied?



(3) A dwarf galaxy at a distance  $D_L = 15$  Mpc is emitting in the 21-cm line of atomic hydrogen. The observed 21-cm line flux is  $F = 1 \times 10^{-8}$  erg cm $^{-2}$  s $^{-1}$ .

If the emitting gas is assumed to be optically thin, and there is no absorption by intervening gas, estimate the mass of H I in the dwarf galaxy. Express your answer in solar mass  $M_\odot$ .

The Einstein  $A$  coefficient for the 21-cm line is  $A_{ul} = 2.88 \times 10^{-15}$  s $^{-1}$ .

## Supp: (1) Line strengths

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- We know how to calculate  $P_{10}^c = n_c k_{10}^c$  for a colliding partner with a density  $n_c$ . But, we need to find a way to calculate  $P_{10}^\alpha$ , which is proportional to the radiation field strength.
  - The sum of all transitions from a given  $nJF$  to all the  $n'J'$  levels (over all possible  $F'$ ) for a given  $n'J'$  is proportional to  $g_F = 2F + 1$ .

$$\sum_{F'} S(nJF \rightarrow n'J'F') \propto 2F + 1, \text{ independent of } nJ$$

- Applying the sum rule to four sets of downward transitions from  $n = 2$  to  $n' = 1$ , the line strengths  $S_{ji}$  are

$$S_{51} : S_{41} + S_{40} : S_{31} + S_{30} : S_{21} = 5 : 3 : 3 : 1$$

- Applying the sum rule to four sets of upward transitions from  $n = 1$  to  $n' = 2$ ,

$$S_{04} : S_{14} + S_{15} = 1 : 3$$

$$S_{03} : S_{12} + S_{13} = 1 : 3$$

- Note  $S_{ij} = S_{ji}$ . Then, solving the above relations gives

$$S_{51} : S_{41} : S_{40} : S_{31} : S_{30} : S_{21} = 5 : 1 : 2 : 2 : 1 : 1$$

## Supp: (2) Einstein A-coefficients

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- Note

$$S_{ji} \propto g_j A_{ji} \quad \text{or} \quad \frac{S_{ji}}{S_\alpha} = \frac{g_j}{g_{\text{tot}}} \frac{A_{ji}}{A_\alpha}$$

$$S_\alpha = \sum_{j=2}^5 \sum_{i=0}^1 S_{ji} \rightarrow \text{total line strength}$$

$$g_{\text{tot}} = \sum_{j=2}^5 g_j = 12 \rightarrow \text{total statistical weight of } n = 2 \text{ level}$$

$A_\alpha$  = A coefficient for Ly $\alpha$  transition from  $n = 2$  to  $n = 1$

- From the above relations,

$$\frac{A_{20}}{A_\alpha} = \frac{A_{50}}{A_\alpha} = 0$$

$$\frac{A_{21}}{A_\alpha} = \frac{A_{51}}{A_\alpha} = 1$$

$$\frac{A_{30}}{A_\alpha} = \frac{A_{41}}{A_\alpha} = \frac{1}{3}$$

$$\frac{A_{31}}{A_\alpha} = \frac{A_{40}}{A_\alpha} = \frac{2}{3}$$

## Supp: (3) Scattering Rate

---

It is convenient to introduce the “scattering rate,” which means the number of scatterings per an atom. By the definition of scattering cross section, the scattering rate is  $P_\alpha$ .

$$P_\alpha = 4\pi \int \frac{J_\nu}{h\nu} \sigma_\nu d\nu \quad \longleftarrow \quad \left( \sigma_\nu = \frac{g_u}{g_\ell} \frac{c^2}{8\pi\nu_\alpha^2} A_\alpha \phi_\nu, \quad n_\gamma = \frac{c^2}{2h\nu^3} J_\nu \right)$$

$$\begin{aligned} P_\alpha &= 4\pi \int \left( \frac{2\nu_\alpha^2}{c^2} n_\gamma \right) \left( \frac{g_u}{g_\ell} \frac{c^2}{8\pi\nu_\alpha^2} A_\alpha \phi_\nu \right) d\nu \\ &= \frac{g_u}{g_\ell} A_\alpha \int n_\gamma \phi_\nu d\nu \quad \leftarrow \quad \left( \frac{g_u}{g_\ell} = \frac{g_{n=2}}{g_{n=1}} = \frac{5+3+3+1}{3+1} = 3 \right) \\ &= 3A_\alpha n_\gamma(\nu_\alpha) \end{aligned}$$

$$\begin{aligned} P_{10}^\alpha &\simeq n_\gamma(\nu_\alpha) \sum_{j=2}^5 \frac{g_j}{g_1} A_{j1} \frac{A_{j0}}{\sum_{i=0}^1 A_{ji}} \\ &= n_\gamma(\nu_\alpha) \left( \frac{g_3}{g_1} A_{31} \frac{A_{30}}{A_{30} + A_{31}} + \frac{g_4}{g_1} A_{41} \frac{A_{40}}{A_{40} + A_{41}} \right) \\ &= n_\gamma(\nu_\alpha) \left( \frac{3}{3} \frac{2}{3} A_\alpha \frac{1}{3} + \frac{3}{3} \frac{1}{3} A_\alpha \frac{2}{3} \right) \quad \leftarrow \quad (g_j = 2F_j + 1) \\ &= \frac{4}{9} A_\alpha n_\gamma(\nu_\alpha) \end{aligned}$$

Therefore, we can express the downward transition rate coefficient in terms of the scattering rate:

$$P_{10}^\alpha = \frac{4}{27} P_\alpha$$

The scattering rate can be readily obtained in a Monte-Carlo simulation.