

NAME		ADDRESS		CITY		STATE		ZIP	
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MARY K. JONES		9012 W. LAKESHORE DR.		EVANSTON		ILLINOIS		60201	
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SUSAN E. WHITE		7890 E. 95TH AVE.		CHICAGO		ILLINOIS		60619	
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OPTIONAL FORM NO. 10
5010-104

UNITED STATES GOVERNMENT

Memorandum

SECRET

DDC
INTEREST

TO : Files

DATE: 29 January 1964

FROM : M. D. Stevens

STATE INFO
p. 2

SUBJECT: THE LEE HARVEY OSWALD CASE #351164

1. According to a newspaper column of "Allen and Scott" in the Chicago American of 6 December 1963, on the OSWALD Case, Abram CHAYES, Legal Officer of the State Department, was one of two persons immediately responsible for OSWALD's being permitted to return to the United States from the Soviet Union in June 1962. The other individual named was Llewellyn THOMPSON, the then Ambassador to Russia. Another said to have been involved in the handling of OSWALD's case was Samuel WISE, Counsel of the American Embassy in Moscow.

2. Abram CHAYES, #352234, who as of 16 February 1961 was described as "the new Counsel in State", was granted Security approval for liaison contact with J. Foster COLLINS (in the office of the Chief of the Covert Action Staff) on 6 March 1961. The request for CHAYES' clearance was a "Blanket" request to permit discussion with him of NSC 5412/2 matters on a need-to-know basis.

3. In September 1961 there was a White House namecheck request on CHAYES' wife Antonia (Toni) Leigh CHAYES, nee: HANDLER.

4. In March 1962, CHAYES was granted a renewal of his liaison contact clearance with C/CA on a continuing basis. This time his contact was Archibald ROOSEVELT, Jr.

5. On 10 February 1962, HUMAN EVENTS reported as follows with reference to CHAYES:

"SOFT ON COMMUNISM: Representative Francis E. Walter (R.-Pa.), Chairman of the House Committee on Un-American Activities, has scored the new State Department regulations on passports, claiming they would allow Reds denied passports to "rifle freely the confidential files of the FBI, the CIA and other investigative agencies." The man behind the new regulations, which Walter claims violate the Internal Security Act of 1950, is reportedly State's chief legal officer, Abram Chayes. Chayes, a Kennedy appointee, is an ex-Harvard professor who was Chester Bowles' top legal adviser when Bowles was Governor of Connecticut. Walter has introduced legislation to remedy the new passport regulations."

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for FOIA Review on FEB 1977

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6. Samuel WISE, "Counsel in the American Embassy in Moscow" who is referred to above, may well be Samuel Griffin WISE Jr., #74574, SD & SSD, who apparently was once a contract employee on AEACTION. [The State Department reviewed WISE's file on 2 June 1954; and as of September 1962 a Samuel G. WISE was Second Secretary of the American Embassy in Moscow. At that time WISE advised in a cable to the State Department that it appeared that Bruce Frederick DAVIS, #352267, who defected from the United States Army in Germany on 18 August 1960, had not expatriated himself. DAVIS' case is very similar to that of OSWALD; and he, like OSWALD, lived in the Soviet Union for two years after his defection and prior to making application for return to the United States.]

7. WISE was an applicant for CIA employment in early 1953 and was security approved subject to polygraph on 11 August 1953. He did not enter on duty and in September 1953 the office which had been interested in him was "no longer interested". On 13 November 1953, WISE was granted a CSA to permit his use as a contract employee on AEACTION in New York City. His CSA was cancelled on 24 March 1958.

8. WISE was named as a friend of William Orville MUNSELL, #61693-DL, during an investigation of the latter for possible CIA employment in 1952. WISE, according to MUNSELL's landlady and social acquaintance in Washington, D.C. during the period of about a year which ended in April 1952, was the only person she knew by name with whom MUNSELL was friendly. According to the informant, WISE was a student at Columbia University whose address was 423 Fernald Hall. MUNSELL was Security disapproved for CIA employment in June 1952 because of his close association on a professional and social basis, while attending Denver University from 1946 to 1951, with persons of questionable loyalty. In November 1954, MUNSELL was of interest to project FJALIVE with reference to assignment to Dhahran, Saudi Arabia; however, the interested office withdrew their request on him, after Security advised of the derogatory information regarding him.

9. WISE from 1951 to 1954 attended Scarsdale High School in Scarsdale, New York, which was attacked by a Citizen's Group, which alleged Communist influence in the school system. He had several questionable associates in addition to MUNSELL.

M. D. Stevens
M. D. Stevens

SECRET

GROUP 1
Excluded from automatic
downgrading and

SECRET

OPTIONAL FORM NO. 10
5010-104

UNITED STATES GOVERNMENT

Memorandum

TO : Files *BLS*

DATE: 29 January 1964

FROM : M. D. Stevens

SUBJECT: THE LEE HARVEY OSWALD CASE #351164

1. According to a newspaper column of "Allen and Scott" in the Chicago American of 6 December 1963, on the OSWALD Case, Abram CHAYES, Legal Officer of the State Department, was one of two persons immediately responsible for OSWALD's being permitted to return to the United States from the Soviet Union in June 1962. The other individual named was Llewellyn THOMPSON, the then Ambassador to Russia. Another said to have been involved in the handling of OSWALD's case was Samuel WISE, Counsel of the American Embassy in Moscow.

2. Abram CHAYES, #352234, who as of 16 February 1961 was described as "the new Counsel in State", was granted Security approval for liaison contact with J. Foster COLLINS (in the office of the Chief of the Covert Action Staff) on 6 March 1961. The request for CHAYES' clearance was a "Blanket" request to permit discussion with him of NSC 5412/2 matters on a need-to-know basis.

3. In September 1961 there was a White House namecheck request on CHAYES' wife Antonia (Toni) Leigh CHAYES, nee: HANDLER.

4. In March 1962, CHAYES was granted a renewal of his liaison contact clearance with C/CA on a continuing basis. This time his contact was Archibald ROOSEVELT, Jr.

5. On 10 February 1962, HUMAN EVENTS reported as follows with reference to CHAYES:

"SOFT ON COMMUNISM: Representative Francis E. Walter (R.-Pa.), Chairman of the House Committee on Un-American Activities, has scored the new State Department regulations on passports, claiming they would allow Reds denied passports to "rifle freely the confidential files of the FBI, the CIA and other investigative agencies." The man behind the new regulations, which Walter claims violate the Internal Security Act of 1950, is reportedly State's chief legal officer, Abram Chayes. Chayes, a Kennedy appointee, is an ex-Harvard professor who was Chester Bowles' top legal adviser when Bowles was Governor of Connecticut. Walter has introduced legislation to remedy the new passport regulations."

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GROUP 1
Excluded from automatic
downgrading and
declassification

Document Number

1271-1029

for FOIA Review on

FEB 1977

SECRET

-2-

6. Samuel WISE, "Counsel in the American Embassy in Moscow" who is referred to above, may well be Samuel Griffin WISE Jr., #74574, SD & SSD, who apparently was once a contract employee on AEACTIVE. /The State Department reviewed WISE's file on 2 June 1954; and as of September 1962 a Samuel G. WISE was Second Secretary of the American Embassy in Moscow. At that time WISE advised in a cable to the State Department

/ DAVIS' case is very similar to that of OSWALD; and he, like OSWALD, lived in the Soviet Union for two years after his defection and prior to making application for return to the United States.]

7. WISE was an applicant for CIA employment in early 1953 and was security approved subject to polygraph on 11 August 1953. He did not enter on duty and in September 1953 the office which had been interested in him was "no longer interested". On 13 November 1953, WISE was granted a CSA to permit his use as a contract employee on AEACTIVE in New York City. His CSA was cancelled on 24 March 1958.

8. WISE was named as a friend of William Orville MUNSELL, #61693-DL, during an investigation of the latter for possible CIA employment in 1952. WISE, according to MUNSELL's landlady and social acquaintance in Washington, D.C. during the period of about a year which ended in April 1952, was the only person she knew by name with whom MUNSELL was friendly. According to the informant, WISE was a student at Columbia University whose address was 423 Furnald Hall. MUNSELL was Security disapproved for CIA employment in June 1952 because of his close association on a professional and social basis, while attending Denver University from 1946 to 1951, with persons of questionable loyalty. In November 1954, MUNSELL was of interest to project FJALIVE with reference to assignment to Dhahran, Saudi Arabia; however, the interested office withdrew their request on him, after Security advised of the derogatory information regarding him.

9. WISE from 1951 to 1954 attended Scarsdale High School in Scarsdale, New York, which was attacked by a Citizen's Group, which alleged Communist influence in the school system. He had several questionable associates in addition to MUNSELL.

M. D. Stevens
M. D. Stevens

SECRET

GROUP 1
Excluded from automatic
downgrading and

NAME / (LAST KNOWN ADDRESS)	FILE NUMBER	ASSIGNED TO TOKYO STATION	POSITION	SECURITY POSTURE
1. George Andrew Breen (Currently Tokyo)	29 835	July 1953-Sept 1955 (Yokosuka) Aug. 1961-Aug. 1964 (Reassigned Tokyo May 1977)	Intelligence Asst. Mail and file supervisor	CIA Von A to Z; association with James B. Wilcott and Ray Robinson.
2. Eleanor Elizabeth Breen nee: Kasony (Currently Tokyo)	28 817	April 1954-Sept. 1955. (Yokosuka) Aug. 1961-Aug. 1964. Reassigned Tokyo since May 1977.	Clerk Steno/Secretary Steno	Parents born in Czechoslovakia.
3. William Vincent Broe (4317 Saul Road, Kensington, Maryland)	35 706	May 1961-Jun 1965	Chief of Station	Who's Who in CIA, CIA Von A to Z; known to Eugene Weaver, captured by Viet Cong; possibly identified to Chicoms via Downey capture. Known to Japanese press as CIA.
4. James E. Delaney (Currently DCOS/BKK)	56 587	July 1959-July 1965, Aug. 1968-Jun. 1971 (Kobe)	Operations Officer	Known to Weaver, captured by Communists.
5. Jerome Fox (5019 Cockney Court, Annandale, Va.)	102 815	Sept. 1959-Sept. 1962	Identification Officer (Factory Markings/DDI)	May be known to Japanese as CIA; loyalty stepmother and stepbrother questioned.
6. Robert Seido Hashima (Last address: Tokyo-1958)	83 083	1953-1954	Contract Employee	Former Department of Army employee in Japan picked up as contract employee. Poly revealed unresolved issues regarding Communist contacts and/or associations.
7. Chester Hiroshi ITO ITO (9327 Boothe St; Alexandria Va.)	62 407	May 1952-Jul. 1956 Nov. 1957-Apr. 76	Operations Officer	Has been questionable regarding foreign contacts, Japanese Intelligence Services, Black Market activity, mis-use of Ops funds, disobedience of Ops instructions. Known by Japanese Intelligence as CIA. One week suspension in 1957 for mishandling Agency responsibilities.

NAME /) LAST KNOWN ADDRESS)	FILE NUMBER	ASSIGNED TO TOKYO STATION	POSITION	SECURITY POSTURE
8. Elwood Diamond Martin (Rt. 2, Box 100, Falston, Maryland)	34 209	July 1960-Aug.1962	Operations Officer	No Pertinent Information.
9. Robert Tsutomu Ojiri (Currently Tokyo)	94 192	1953-Present	Stock Control Clerk Property Assistant Logistics Officer	Wife is Japanese national--Many Japanese relatives. File clear.
10. Frederick C. Randall (1823 Deer Drive, McLean, Va.)	55 667	Sept. 1961-Jul.65	Operations Officer	No Pertinent Information.
11. Jack Tracy Randall (3508 Frederick Place Kensington, Maryland)	48 937	July 1963-Oct. 67	Finance Officer	CIA Von A to Z; no noteworthy or derogatory information.
12. Kan Tagami (Currenlty C/PMLD/Tokyo)	137 587	1958-Aug.1965 Jun. 1974-Present	Operations Officer	Known to Weaver, captured by Communists; daughter arrested in June 1969 in Honolulu for "Resistance Activities" (Member of SDS); exposed as CIA by Japanese Communist Party, April 1976.
13. Doris Watanabe (Currenlty Tokyo Station)	114 464	April 1954-Jul.62	Fiscal Accountant	No Pertinent Information.
14. Larry Watanabe (Currenlty PMLD, Tokyo)	83 683	1954-1962; Jun.76 to Present.	Translator/ Operations Officer	No Pertinent Information.
15. James Sawvie Woods (304 Meadow Hall Drive, Rockville, Maryland)	61 415	1954,1955 Mar. 1961-Sept.66	Intelligence Analyst	Known to Weaver, captured by Communists.
16. Louise Anne Woods (304 Meadow Hall Drive Rockville, Maryland)	102 307	Mar. 1961-Sept. 66	Clerk/Clerk Typist	No Pertinent Information.

NAME / (LAST KNOWN ADDRESS)	FILE NUMBER	ASSIGNED TO TOKYO STATION	POSITION	SECURITY POSTURE
17. Robert P. Wheeler (6600 Millwood Road, Bethesda, Maryland)	60 164	1946-1956; Jun.59 to Aug. 1964	Liaison Officer/ Ops/DCOS	No Pertinent Information. (Former GS-18, DDO, last assignment, CH/NOC/DDO.)
18. Shizuo Yamada (1131 University Boulevard West, #1109, Silver Spring, Maryland)	31 642	1953-1959; 1962- 1964	Translator/ Ops Officer	No Pertinent Information.

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FRP: ,2, , , , ,

ADV C/LA 1606Z

STAFF

ACTION: C/LA-8 (755) INFO: C/OG/DMS, (17/W) C/EG-4, C/EGS/EG-2,
PRECEDENCE

78 1631411

PAGE 001
TOR: 291533Z NOV 78IN 1631411
LMIM 29191

S E C R E T 291523Z NOV 78 STAFF

CITE (LA/MIAMI) 29191

TO: PRIORITY DIRECTOR.

WNINTEL RYBAT SLANK

REF: (LA/MIAMI) 29190 1631398

IDEN: 1400 SW 16TH STREET
MIAMI, FLA. 33145
TEL (305) 858-4406. E2 IMPDET

END OF MESSAGE

SECRET

17029

SECRET
(When Filled In)

OLC #782060/1

1. PERM. SERIAL NO. 056735		BIOGRAPHIC PROFILE (PART I) SCD: 5 May 1962			
2. NAME (Last-First-Middle) PROE, William Vincent		3. SEX M	4. DATE OF BIRTH 24 Aug 1913	5. LONGEVITY COMP. DATE 21 Jun 1948	
6. MARITAL STATUS Married	7. DEPENDENT(S) (Exclud. employee) None	8. US NATURALIZATION DATE(S) NA		9. SPOUSE None	
10. LAST MED. RPT. QUAL. FOR Jul 1970	11. CURRENT RESERVE STATUS None	12. ASSESSMENT DATE		13. PROFESSIONAL TEST DATE	
14. LANGUAGE APTITUDE TEST DATE		15. NON-CIA EMPLOYMENT		16. NON-CIA EDUCATION	
17. FOREIGN LANGUAGE ABILITIES (Language, Proficiency, Date Tested)		18. AGENCY SPONSORED TRAINING		19. CIA EMPLOYMENT HISTORY SINCE 18 SEPT 1947 (Personnel Actions, Military Orders, and Principal Details)	
19. AGENCY SPONSORED TRAINING		20. DATE REVIEWED		21. PROFILE REVIEWED BY	
20. DATE REVIEWED		21. PROFILE REVIEWED BY		22. ITEMS 1-19 REVIEWED BY	
21. PROFILE REVIEWED BY		22. ITEMS 1-19 REVIEWED BY		23. VERIFIED BY EMPLOYEE	

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PERS. SERIAL NO.

056735

BIOGRAPHIC PROFILE (PART 2)

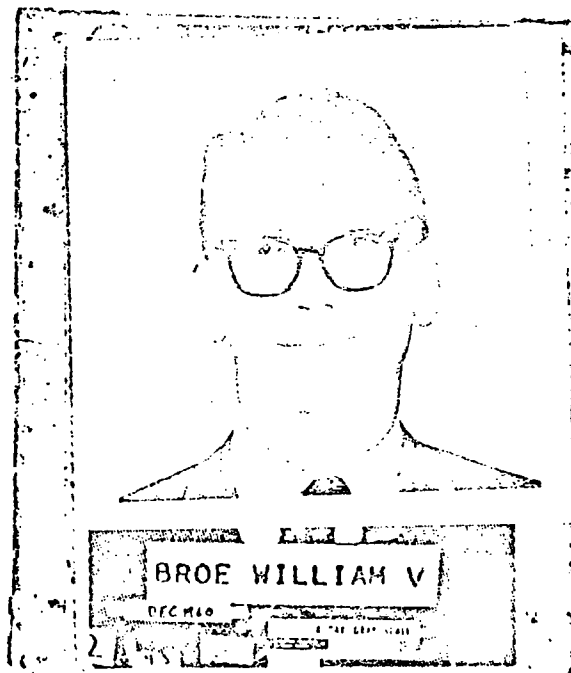
NAME (Last-First-Middle)

BROE, William Vincent

DATE OF BIRTH

24 Aug 1913

23.



24. SUMMARY OF CAREER PREFERENCE OUTLINE AND/OR FIELD REASSIGNMENT QUESTIONNAIRE

25. IDENTITY OF OTHER DOCUMENTS WHICH SHOULD BE REVIEWED IN DETAIL

26. ADDITIONAL INFORMATION

Letter of Appreciation 1953 from the President of the Republic of the Philippines for unselfish cooperation and valuable assistance given the National Intelligence Coordinating Agency.

Appointed Member of Panel of Examiners, CIA Selection Board, FY 1956, 1957, 1958.

Appreciation 1959 from Director of Personnel for participation in the Personnel Officer Training Course.

Commendation 1965 from Commander-6499th Spt. Grp. (PACAF) USAF for outstanding support and guidance rendered to this organization. Endorsements of appreciation by Dir of I and Asst Ch of Staff Intel of 6499th Spt Grp. Appreciation expressed by DCI for fine performance.

27. DATE REVIEWED

2 Jan 1973

28. PROFILE REVIEWED BY

and/csl

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FORM NO. 1200 (PART 2) REPLACES FORM 1030 (PART 2) WHICH IS OBSOLETE.

SECRET

PROFILE

SECRET

056481

BIOGRAPHIC PROFILE (PART I)

SOD: 20 Mar 1942

2. NAME (Last-First-Middle)

KEITH, Robert Malcolm

3. SEX

M

4. DATE OF BIRTH

11 Aug 1927

5. LONGEVITY COMP. DATE

1 Aug 1950

6. MARITAL STATUS

Married

7. DEPENDENT(S)

(Exclud. emp-
ployee)

NO. (YEAR(S) OF BIRTH

2 1931 1957

8. US NATURALIZATION DATE(S)

NA

SPOUSE NA

9. CAREER

STAFF

STATUS

MEMBERSHIP

Jul 1954

OTHER STATUS

10. LAST MED. RPT. EQUAL. FOR

Nov 1971

TDY Standby

World-Wide

11. CURRENT

RESERVE

STATUS

NONE SERVICE

X

GRADE

ACTIVE DUTY

WITH CIA

CAT. 1

RELEASE TO

MIL. SER.

CAT. 2

TO BE RETIRED

DEFERRED

CAT. 3

12. ASSESSMENT DATE

None

13. PROFESSIONAL TEST DATE

Sep 1956

14. LANGUAGE APPTITUDE TEST DATE

Oct 1953; Dec 1964

15. NON-CIA EMPLOYMENT

1945-46 Military Service, US Navy, S 1/c

1948 Patterson & Dewar (Utility Elect Engrs) - Instrumentman (summer)

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16. NON-CIA EDUCATION

1944-45 North Carolina State College, A&E, Raleigh (ASTEP)

1946-47 US Military Academy, West Point - Military Science, Engineering

1947-48 The Citadel, Charleston, SC - Chemistry

1949-50; 1957 Virginia Polytechnic Institute - BS, (1958)

1953 Private Tutoring in Russian

17. FOREIGN

LANGUAGE

ABILITIES

(Language, Profi-

ciency, Date Tested)

Russian - R. Elem; W. Slight; P, S, U Inter (Apr 1953); I, None-Oct 1957

18. AGENCY SPONSORED TRAINING

1950 Intel Orient

1950 Ops

1950 Ops Fam1

1951 Survival

1951 German, Berlitz

1953-54 Russian, G'town

1955 Nat'l Intel Orient

1956 Russian (Self-Study)

1957 Operations

1957-58 Japanese

1958 GI Ops

1958 Secret Writ

1958 Sci Tech Ops

1960 Intel Tech Seminar, Tokyo

1964 China Fam

1964 Sci&TechOps

1965 Indonesian/

FSI/

1965 SoEastAsia

Reg Sam/FSI/

19. CIA EMPLOYMENT HISTORY SINCE 18 SEPT 47 (Personnel Actions, Military Orders, Principal Details) (Cont.)

EFFECTIVE DATE	POSITION TITLE & OCCUPATIONAL CODE	GRADE	SD	ORGANIZATION & ORG. TITLE (If any)	LOCATION
Aug 1950	Intel Asst	0301.23	5	OPC	Ec
Mar 1951	Intel Asst (PM)	0301.28	7	OPC/EE/Area III	" 15-21
Aug 1951	I.O. (PM)	0132.00	7	OPC/EE/USSR Gr/JBEDICT	(Munich)
Oct 1952	Trng Instr (PM)	1711.00	9	Ger Mis/MOB/USSR Gr/Trng	"
Oct 1953	Area Ops Off	0136.01	9	DDP/SR-5/A Sec	Ec
Nov 1953 - Sep 1954	Russian Lang Trng, Georgetown				"
Nov 1954	I.O. (FI)	0136.51	9	DDP/SR-7/ARACHE/Trng Spt	"
Jan 1955	" " "	0136.51	11	" " " "	"
May 1956	" " "	0136.51	12	DDP/SR-7/DOB/Ops/Trng	"
Nov 1956	" " "	0136.51	12	DDP/SR-5	"
Oct 1958	Area Ops Off	0136.01	12	DDP/SR/Dep Ch. Br 5/3-3	" 13-3
Jan 1959	I.O. (CI)	0132.57	12	DDP/SR/FE/Tokyo Sta/Sec Br	(Tokyo)
May 1962	Ops Off	0136.01	13	" " " " " " "	"
Aug 1963	" " "	0136.01	13	" " " " " " "	"
Oct 1963	" " "	0136.01	13	" " " " " " "	"
Apr 1964	" " "	0136.01	13	DDP/SR/4	Hqs
Jun 1964	" " "	0136.01	13	DDP/SR/CIG/GRU Sec	"
Jan 1965	" " "	0136.01	13	DDP/FE/CHI Act/Mainland Ops Br	" 13-20
Aug 1965	" " "	0136.01	13	DDP/FE/CS/CS Dev Comp	" 13-20
Aug 1965	" " "	0136.01	13	DDP/FE/PMI/Over Sec	(Munich)

20. DATE REVIEWED

21. PROFILE REVIEWED BY

emw/obs

VERIFIED BY EMPLOYEE

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(When Filled In)

PERS. SERIAL NO.

056491

BIOGRAPHIC PROFILE (PART I - Continued)

NAME (Last-First-Middle)

KEITH, Robert Malcolm

DATE OF BIRTH

11 Aug 1927

18. AGENCY SPONSORED TRAINING CONTINUED

1974 COS Seminar

1974 Risk of Capture

19. CIA EMPLOYMENT HISTORY SINCE 18 SEPT 1947 (Personnel Actions, Military Orders, and Principal Details)

EFFECTIVE DATE	POSITION TITLE & OCCUPATIONAL CODE	GRADE	SD	ORGANIZATION & ORGAN. TITLE (If any)	LOCATION
Jun 1967	Ops Off Jul '68 departed	0136.01	11	DDP/FE/PMI/Indonesia (Sta)	(Djakarta)
Sep 1968	" " Jun '71 departed	0136.01	11	DDP/FE/Chi/Ch, Mil&Sci Br	(HongKong)
Aug 1971	" " "	0136.01	14	DDP/FE/CHI/Ch, HongKong-Taipei Br	(Hq)
May 1973	Ops Off Ch	0136.01	15	DDO/EA/ChiOps/Ch, HongKongTaiwan Br	" (Taipei)
May 1974	Cps Off DCOS	0136.01	15	DDO/EA/FE/(Taipei) Stat/DCOS	" (13-6)
Jul 1974	" " "	0136.01	15	" " " " " "	" (13-6)
Jul 1976	Ops Of Ch	0136.01	15	DMBDDO/EA/Ch, Pers Eval & Mgt Stf	Hq

DATE REVIEWED

7 Oct 1976

PROFILE REVIEWED BY

emm/obs

FORM 1200-1a
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(When Filled In)PERSONAL SERIAL NO.
056491

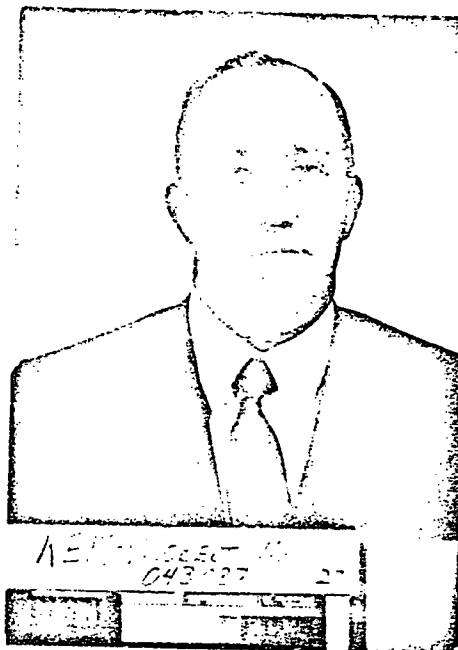
BIOGRAPHIC PROFILE (PART 2)

NAME (Last-First-Middle)

KEITH, Robert Malcolm

DATE OF BIRTH
11 Aug 1927

23.



24. SUMMARY OF CAREER PREFERENCE OUTLINE AND/OR FIELD REASSIGNMENT QUESTIONNAIRE

25. IDENTITY OF OTHER DOCUMENTS WHICH SHOULD BE REVIEWED IN DETAIL

SEE COVER HISTORY ATTACHED.

26. ADDITIONAL INFORMATION

Commendation 1966 from Ch, FE for services rendered during and following the abortive coup attempt in Indonesia in Sep 1965.

Letter of Commendation 1972 from Deputy Director for Plans for Subject's outstanding performance as CS coordinator of the Agency's task force in connection with Richard Fecteau.

Appreciation 1974 from DD/O for Subject's participation as a member of the GS-13 DDO Personnel Evaluation Board.

27. DATE REVIEWED
7 Oct 197628. PROFILE REVIEWED BY
emm/ obsFORM NO. 1200 (PART 2)
1 FEB 67

REPLACES FORM 1080 (PART 2) WHICH IS OBSOLETE.

SECRET CL BY 007622 PROFILE

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1. PERM. SERIAL NO. 005619		BIOGRAPHIC PROFILE (PART 1) SCD: 30 Jul 1952			
2. NAME (Last-First-Middle) Orr, Howard McCrea		3. SEX M	4. DATE OF BIRTH 23 Jul 1922	5. LONGEVITY COMP. DATE 4 Mar 1955	
6. MARITAL STATUS Married	7. DEPENDENT(S) (Exclud. emp- ployee) None	8. YEAR(S) OF BIRTH 2 1928 1957		9. US NATURALIZATION DATE(S) NA SPOUSE NA	
10. CAREER STAFF STATUS Mar 1958	11. OTHER STATUS	12. LAST REG. RPT. Oct 1975	13. QUAL. FOR TDY Standby	14. EVAL. FOR TDY Standby	
15. CURRENT RESERVE STATUS None	16. SERVICE None	17. GRADE	18. ACTIVE DUTY WITH CIA CAT. 1	19. RELEASE TO MIL. SER. CAT. 2	20. TO BE DEFERRED CAT. 3
12. ASSESSMENT DATE None		13. PROFESSIONAL TEST DATE None		14. LANGUAGE APTITUDE TEST DATE May 1961	
15. NON-CIA EMPLOYMENT 1943-45 Military Service, US Army Air Force, S/Sgt 1945-46 Farm Manager of family farm, Circleville, Ohio 1948-49 Univ of Michigan - History Assistant (part time) 1950 Hess Aluminum Window Co, DC - Salesman (3 mos) 1950-51 Liberty Mutual Insurance Co, DC - Salesman (7 mos) 1953-57 Winorr Canning Co, Circleville, Ohio - President and General Manager					
16. NON-CIA EDUCATION 1941-43: 1946-49 Univ of Michigan - BA(1948), MA (1949) History, Political Science 1944 Scott Field, Ill - Radio Ops 1950 Liberty Mutual Ins Co, Boston, Mass - Sales Training (3 wks)					
17. FOREIGN LANGUAGE ABILITIES (Language, Proficiency, Date Tested)		French - R, Elem; P, S, U, Slight (Jun 1971); W, Slight; T, None - Mar 1958 Russian - R, P, S, U, Elem; W, Slight (Apr 1965); T, None - Mar 1958			
18. AGENCY SPONSORED TRAINING 1951 Nat'l Intel Orient 1951 Ops Famil 1960 Ops Photo 1967 Vietnam FM Orient/Ref 1951 Operations 1958 Cland Ser Rev 1961 AAO/Japan 1974 Survey of AudioOps 1952 Cland Ser Rev 1958 Secret Writ 1964-65 Russian 1974 Questioned Doc Fund B 1959 Sci&Tech Ops 1967 French 1976 SE Ops Crse 1967 Vietnam Sta Orient					
19. CIA EMPLOYMENT HISTORY SINCE 18 SEPT 1947 (Personnel Actions, Military Orders, and Principal Details)					
EFFECTIVE DATE	POSITION TITLE & OCCUPATIONAL CODE	GRADE	SD	ORGANIZATION & ORG. TITLE (If any)	LOCATION
Jan 1951	I.O.	0132.00	7	OCD/BioRegis/RegionalBr/EE-USSR	Hq
May 1951	"	0132.00	7	OPC/EE/USSR Gr	"
Oct 1951	"	0132.00	9	" " " "	"
Jul 1952	I.O. (Ops)	0132.00	11	OSO/SR/Western Br	"
Dec 1952	Resignation for personal reasons				
Feb 1957	I.O. (FI)	0136.51	12	DDP/SR/FE Area	"
Dec 1957	"	0136.51	12	DDP/SR-10	"
Apr 1961	Ops Off	0136.01	12	DDP/CS Dev Comp/SR (3-3)	" (3-3)
Aug 1961	"	0136.01	12	DDP/SR/FE/Tokyo Sta/Soviet Br	(Tokyo)
Oct 1963	"	0136.01	12	DDP/FE/Tokyo Sta/Soviet Br	"
Nov 1963	"	0136.01	12	DDP/SR-4 (3-3)	Hq
Apr 1964	"	0136.01	12	DDP/SR/OpsServGrp/FE Sec	"
May 1964	"	0136.01	13	DDP/SR/OpsServGrp/AreaSptBr/FE	"
Sep 1964	"	0136.01	13	DDP/SR/OpsDevGrp/Area Ops Br	"
Jan 1966	"	0136.01	13	DDP/SR/CollGrp/DCh,Mil&IndBr	"
Nov 1966	"	0136.01	13	DDP/WH/Br-1/Chile (FI)	"
Sep 1967	"	0136.01	13	DDP/FE/VNO/ID/DCh, Denied Area Br	Saigon
Nov 1969	"	0136.01	13	DDP/WH/DevComp (Other)	"
Jan 1970	Watch Officer	0132.07	13	DDP/ST Sta/IntelGrp/IntelWatch	"
20. DATE REVIEWED 25 Apr 1977		21. PROFILE REVIEWED BY mel/ obs		22. ITEMS 1-19 REVIEWED & VERIFIED BY EMPLOYEE 24 Mar 1960	

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(When Filled In)

PERS. SERIAL NO.

005619

BIOGRAPHIC PROFILE (Continuation Sheet)

NAME (Last-First-Middle)

ORR, Howard McCrea

DATE OF BIRTH

22 Jul 1922

19. CIA EMPLOYMENT HISTORY SINCE 18 SEPT. 1947 (Personnel Actions, Military Orders, and Principal Details) (Cont'd.)

EFFECTIVE DATE	POSITION TITLE & OCCUPATIONAL CODE	GRADE	SD	ORGANIZATION & ORGAN. TITLE (If any)	LOCATION
Nov 1972	Ops Of 0136.01	13	D	DDP/WH-3/Venezuela Sec	Hq
Mar 1973	" " 0136.01	13	D	DDO/WH-3/VenezuelaSec	"
Oct 1973	" " 0136.01	13	D	DDO/WH/FIStaff/DCh,Narcotics	"
Dec 1974	" " 0136.01	13	DQG	DDO/LA/BrazilBr/Ch,HardTargets	"
Aug 1975	" " 0136.01	13	DQG	DDO/LA/ADCh,BrazilBr/Ch,Hard /Targets	"
	Dec 1975-Jul 1976-detailed (Rabat Sta) Casablanca Base)			DDO-CIstff/FieldSurveyTeams	(Caracas)
	12-2 12-5				16-7

DATE REVIEWED

25 Apr 1977

PROFILE REVIEWED BY

mel/obs

FORM 10-72 1200-1a

USE PREVIOUS EDITIONS

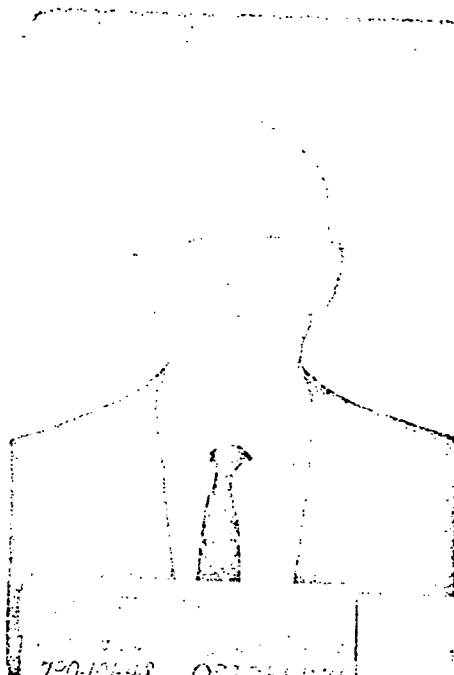
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E-2, IMPDET CL BY: 007622

PROFILE (4)

3/20

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(When Filled In)

PERSONAL SERIAL NO. 005619		BIOGRAPHIC PROFILE (PART 2)	
NAME (Last-First-Middle) OBR, Howard McCrea			DATE OF BIRTH 22 Jul 1922
23. PHOTOGRAPH			
			
24. IDENTITY OF OTHER DOCUMENTS WHICH SHOULD BE REVIEWED IN DETAIL			
SEE COVER HISTORY ATTACHED.			
25. ADDITIONAL INFORMATION			
<p><u>Commendation 1969</u> from Ch,Sov Bloc Div for contribution to a fast moving operational situation which existed in the Internal Operations Group of SB Div, caused by a series of Bloc intelligence officer defections, during the months of July and Aug 1969. DDP concurred in this commendation.</p> <p><u>Award 1969 Certificate of Distinction</u> in recognition of superior performance of duty while serving under conditions of turmoil and hazard during the Tet Offensive of 1968.</p> <p><u>Appreciation 1971</u> from Ch,EUR Div for the Intelligence Watch assistance to the Division.</p> <p><u>Letter of Commendation 1976</u> from Chief, CI Staff for Subject's exemplary performance while on a six months detail to CI Staff as operations survey team member and his substantive review of two DDO Stations.</p>			
26. DATE REVIEWED 25 Apr 1977		27. PROFILE REVIEWED BY mel/obs	

**APPROVED FOR RELEASE 1993
CIA HISTORICAL REVIEW PROGRAM**

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JOURNAL

OFFICE OF LEGISLATIVE COUNSEL

FOIA / Security
Requests

Thursday - 10 November 1977

1. (Unclassified - MLG) EMPLOYMENT REQUESTS
Concerning Debbie's, in the office of Representative Douglas Applegate (D., Ohio), request as to whether we had received an application from their constituent, Robert Sensibaugh, I informed her, per the Office of Personnel, that we had not as of yet. She thanked me for my help.
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6. (Internal Use Only - RJK) LIAISON Keith Raffel, Senate Select Committee on Intelligence staff, visited the Badge Office and received his Headquarters badge. He then proceeded to the C&R conference room where he reviewed extracts of NIS's relating to the security services of the United Kingdom, Australia, and France. Evvy Vidal, O/SA/DO/O, assisted with the (United Kingdom liaison) service aspects.

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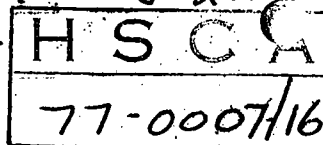
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Guidelines

Journal - Office of Legislative Counsel
Friday - 11 February 1977

Page 5

19. (Unclassified - LJK) ADMINISTRATIVE DELIVERIES
Delivered to Charles Snodgrass, ^{27, 16-3} House Appropriations Committee staff, phone numbers of (Chief of Station, Panama) and (Chief of Station, Mexico City) for passage to Representatives John J. Flynt (D., Ga.) and J. Kenneth Robinson (R., Va.) for their use in an upcoming trip to the above locations.

20. (Confidential - LJK) LIAISON Met with James Fellenbaum, Senate Appropriations Committee staff, to discuss the FY78 budget. Fellenbaum indicated that the Senate Appropriations Committee would have to treat the issue of intelligence-related costs due to the fact that the House Appropriations Committee has shown such interest in this matter. I informed him that the House Appropriations Committee is scheduling a hearing on intelligence-related costs on 8 March 1977.

Fellenbaum also said that it appears Senator William W. Proxmire (D., Wis.) and Senator Clifford P. Case (R., N.J.) will join the Intelligence Subcommittee of Senate Appropriations Committee.

21. (Unclassified - LLM) LIAISON Advised Art Kuhl, Senate Foreign Relations Committee staff, of the number of Agency employees covered by the upcoming foreign service list to be submitted to the Senate. The information was supplied by Tom Carney, CCS.

22. (Unclassified - LLM) LIAISON Spoke with Paul Daly, FBI, on the present state of affairs with respect to the House Select Committee on Assassinations. Daly advised that at the request of Chairman Henry B. Gonzalez (D., Texas) to the Attorney General the Committee staffers will no longer be provided access to the unclassified material they have been reviewing within the Department of Justice.

Daly is hopeful that Chairman Gonzalez will approve the security clearance standards and once this is in motion the first clearances should start coming through in about 30 days. I asked Daly to keep us advised as to the progress on the clearances matter to pave the way for our next coordinated step within the Executive Branch to seek Chairman Gonzalez's and Representative Samuel L. Devine's (R., Ohio) agreement on procedures for access to classified information.

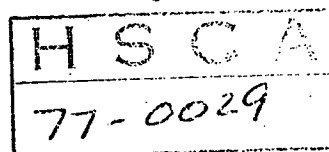
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Journal - Office of Legislative Counsel
Wednesday - 30 March 1977



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Page 4

15. (Unclassified - DTC) LIAISON I was called by Mr. James Fiesta, in the office of Representative Clarence D. Long (D., Md.), regarding a constituent's request for information on the Kennedy assassination. This constituent, Ernest F. Rethschulte, is a graduate student and is preparing a thesis on the assassination. He had seen a newspaper article reporting an FOIA release from the Agency of about 850 pages of material on the assassination to the Associated Press. Mr. Fiesta is sending me a copy of the newspaper article so that we can more clearly identify the material with IPS, and if it has been released it will be provided to the constituent through Mr. Fiesta. (Note: There have been prior OLC contacts with Mr. Fiesta on this FOIA request.)

16. (Unclassified - RJK) LIAISON Spoke with Anne Karalekas, Senate Select Committee on Intelligence staff, to confirm that she would be speaking to the Intelligence Process Course on 18 April, for which I would be assisting her with transportation. She will also speak to an annual records management conference at (Warrenton) Training Center on 4 May, for which George Frates, Records Administration Branch, is making the travel arrangements. She indicated that she would call Frates and they would work out the arrangements together.

17. (Unclassified - CM) LIAISON I asked Linda, secretary to Pauline Baker, of the staff of the Senate Foreign Relations Subcommittee on African Affairs, if the Subcommittee hearings of September 1976 had been published. She said that had just occurred, and promised to send us two copies. I advised Molly Kreimer, CPS, the requester.

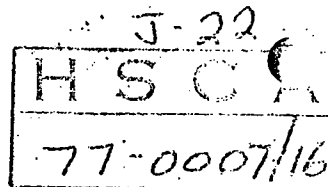
18. (Unclassified - ALM) LIAISON Winslow Wheeler, from the office of Senator Jacob K. Javits, called requesting a copy of "A Study of Climatological Research as it Pertains to Intelligence Problems." A copy was forwarded to him.

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Journal - Office of Legislative Counsel
Friday - 11 February 1977

Page 5

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JOURNAL

OFFICE OF LEGISLATIVE COUNSEL

Thursday - 10 November 1977

F S C A
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JOURNAL

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DOHB 70-1-1
CHAPTER III

15 November 1974

ANNEX B

THE 201 SYSTEM

Rescission: Annex B of Chapter III, CSPIB 70-1-I, 27 October 1966

1. INTRODUCTION

The 201 system provides a method for identifying a person of specific interest to the Operations Directorate and for controlling and filing all pertinent information about that person. The system also provides a means for identifying subjects of 201 files from various categories of information about them and for producing lists of 201 personalities according to those categories. Only a relatively small number of personalities indexed are of sufficient interest to justify opening a 201 dossier. These are normally subjects of extensive reporting and CI investigation, prospective agents and sources, members of groups and organizations of continuing target interest, or subjects on whom a volume of correspondence has accumulated.

2. THE 201 SYSTEM

The principal features of the 201 system are:

a. *The 201 Number*: a unique number, i.e., 201-1234567, assigned to each individual in the system to serve as identifying file number for reporting on that individual.

b. *The 201 Dossier*: the official file containing the 201 opening form (Form 831) and all biographic reporting on and references to the individual, i.e., personal history, current status, and prospects.

c. *The Master 201 Record*: a machine record generated by the opening of a 201 file. This record produces the master 201 reference for the Main Index and stores the pertinent information which may later be retrieved for special listings.

d. *Main Index Master 201 Reference*: this reference, printed in reply to an Index Search Request, is printed as illustrated below. When data are absent within the record, succeeding data items or lines will be moved up and the reference consolidated.

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CHAPTER III, ANNEX B

15 November 1974

0002 CROIX, WILLIAM PENDLETON	201-0032671*
SEX M DOB 12 APR 26	TYPE NAME T
CIT GERM	OI CODES AA XX
REF AACD-12345, 20 JUN 53	RCO DATE 53
SUBJECT RECENTLY ATTENDED THE SEVENTEENTH ANNUAL	
CONFERENCE OF THE INTERNATIONAL ASSOCIATION OF CHEMISTS	
AND PHARMACISTS HELD IN MUNICH, GERMANY FROM 22 THROUGH	
29 OCTOBER	
30 NOV 70	00833555

Information About Subject

1. Sequence Number and Name
2. Sex and Date of Birth
3. Citizenship
4. Place of Birth
5. Occupation
6. Occupation Code
7. Text

Document Reference Data Group

8. 201 Number
9. Name Type Indicator
10. OI Codes
11. Record Date (year only)
12. Reference

ISC Control Information

13. Date of latest update of the record
14. STAR Index Record Number

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e. *OI Code*: a two letter symbol used in conjunction with the 201 personality records in the 201 system to record the association of an individual with organizations or activities of operational interest. OI codes cover intelligence and security service affiliation, whether staff or agent, or known or suspect, as well as activities of DDO interest. There are two categories of OI codes for use by components:

- (1) general OI codes (Attachment 4)
- (2) OI codes assigned to a specific component for intelligence services or other specific organizations

A component may request an OI code be established by submitting a memorandum to the DDO/RMO through the component Records Management Officer.

A 201 personality may be assigned two OI codes. An OI code may be assigned when the 201 Personality File Action Request (Form 831) is initiated (see paragraph 3b below) by filling in Box 13 or a code may be assigned or added at a later date by a Form 831 amendment.

The 201 system has the capability of producing machine listings of 201 personalities by OI codes. For example, if an OI code has been opened for the security service of a certain country a listing may be compiled of all members of that service.

f. *201 Machine Lists*: produced from the mechanized 201 Index, based on names or other identifying information of personalities on whom 201 dossiers exist.

3. OPENING A 201 DOSSIER

a. *General*

The opening of a 201 dossier is the prerogative of an operational component, in coordination with the Information Services Group. An opening creates a master 201 record. Changes to the master record and the occasional closing of a 201 dossier are controlled jointly by the desks and ISC. 201 dossiers may be opened on persons who meet the carding criteria described in Chapter II of this handbook, when there is a reasonable expectation that additional information will be acquired and filed in such a dossier. Generally dossiers are opened on persons about whom counterintelligence information is being reported, and persons of operational interest to the Operations Directorate, specifically those persons for whom provisional operational approvals and operational approvals are requested (see exception below). 201 files are not to be opened on staff employees, staff agents and most categories of contract employees. Files on

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15 November 1974

persons who are only of local interest to a field station or Headquarters desk and on whom no DDO records correspondence exists are not a part of the DDO records system and are to be maintained by that unit. Some desks levy requirements on ISG for automatic 201 openings on certain categories of persons whose names appear in incoming dispatches. These are listed in Attachment 2. 201 dossiers should be opened in the following categories:

- (1) Subjects of provisional operational approval and operational approval requests. However, a file need not be opened when a POA is requested for persons being trained for a foreign liaison service and who are of operational interest for training purposes only.
- (2) Persons for whom the field requests a 201 opening.
- (3) MHFIXTURE personalities: bonafide diplomats of other than denied area countries, in close association with staff personnel.
- (4) Subjects of a Personal Record Questionnaire Part I.
- (5) Persons on whom a Main Index search reveals information in five or more documents (see DOI 70-20).
- (6) Subjects of Interagency Source Register memoranda from LSN/ISR (opened only by IP/RMS).

b. Requesting a 201 File Opening

Headquarters desks may open a 201 file by filling out and submitting a 201 Personality File Action Request (Form 831) to the Records Maintenance Section (IP/RMS). Form 831 is also used to create or amend the master 201 record and 201 machine listings and to register the assignment of a cryptonym to a 201 personality. Attachment 3 consists of sample 201 Personality File Action Requests for opening and amending 201's. A field station may request the opening of a 201 file by writing 201- in the Headquarters file or cross-reference box on the dispatch form and/or after the subject's name in the body of the dispatch. A telepouch request for a 201 opening is made by indicating 201- in the file number line. A cable request is made by placing 201- after the term "File" on the last line of the transmission. IP/AN will open 201 files as requested by dispatch or telepouch but it is the responsibility of the desk to respond to cable requests. Field stations are notified of 201 openings through receipt of the field master 201 record.

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4. CONTENTS OF THE DOSSIER

Information about a 201 personality should be filed or cross-referenced into his dossier. When additional information is discovered on a 201 subject through a name trace or other process, i.e., review of predecessor documents, it must be consolidated into his personality dossier. See DOI 70-20 for consolidation procedures.

Material which is filed in the dossier includes but is not limited to:

- a. 201 Personality File Action Request (Form 831).
- b. Biographic information including photographs, fingerprints, and handwriting samples.
- c. Personal Record Questionnaire Parts I and II.
- d. Operational and other security approvals.
- e. Name check replies, requests, clearances, and approvals.
- f. Acknowledgement of pseudonym.
- g. 201 personality assessments and evaluations.
- h. Copy of contract and termination papers.
- i. Secrecy agreement.
- j. Agent Duty Status Report.
- k. Training and evaluation.
- l. SGSWIRL report.
- m. Newspaper clippings.
- n. Any information which helps provide a better understanding of the subject and our interest in him; this may include operational reporting.

5. MAINTENANCE OF 201 DOSSIERS

The 201 personality dossier contains, in document date order, papers which have been made a part of the Central Records System as well as those which have not. Record documents may range from newspaper or magazine articles on the subject to finance and other administrative papers.

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a. *Purging*

Purging a 201 dossier is the responsibility of the desk officer. It requires discrimination in recognizing operationally useful material, rather than the simple distinction between official and unofficial papers; it will therefore take place under the supervision of a Records Officer. Purging should be done periodically. A 201 dossier being forwarded to Central Files for retention should be purged. A 201 dossier should be purged of the following:

- (1) Duplicate material, i.e., exact copy(s) of a document.
- (2) Name trace form (Form 362) unless it has been the basis for the opening.
- (3) All abstract slips.
- (4) All document restriction notices (Form 1884).
- (5) The disseminated version of positive intelligence information if a copy of the raw report is contained in the 201 file; the dissemination number then must be transferred to the first page of the raw report.
- (6) Routing slips, routing and record sheets (Form 610) and dispatch cover sheets unless there are remarks such as coordinations or comments.
- (7) Record copy documents which only repeat substantive information contained in other documents in the file; authorization for destruction is by the Records Officer.
- (8) Top Secret documents are not to be retained in a 201 dossier forwarded to Central Files; the document must be downgraded for retention in the 201 dossier. To downgrade a Top Secret document, an authorized officer in the originating office or the Records Officer having jurisdiction over the contents of the material must possess Top Secret classification authority. If the document cannot be downgraded the file should be retained at the desk or the copy of the TS document should be removed, retained in a desk TS file or forwarded to the Top Secret Control Officer, and a cross-reference sheet (Form 867) placed in the 201 file giving the location of the TS document.
- (9) Deferred documents (see 5b(2)).

b. *Maintenance Procedures*

- (1) All material in a 201 dossier will be filed in document date order. In the case of document attachments which have been classified into a 201

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15 November 1974

dossier and separated from the basic document by the assignment of a slash number, the attachment will be filed by the date of the basic document.

(2) Deferred documents will not be filed in a 201 dossier. If they are to be retained in the dossier they should be sent to IP/RMS for classification into that 201.

(3) Field index cards (held by some desks) and area desk cards may be retained in the 201 as part of a consolidation procedure. These cards should be mounted on a full-size sheet of paper for filing in the 201.

(4) A 201 dossier previously opened on a person who becomes a staff employee and which contains Record Copy documents will be restricted to the ISG/DIP unless the desk retains the restriction. The dossier should be closed if there are no Record Copy documents in it.

(5) A 201 opened in pseudonym should be consolidated into the true name 201 if one exists or converted to the true name.

(6) Field and duplicate (shadow) 201 files no longer of active interest should be incorporated into the official 201 after the duplicate material has been purged by the desk officer and the remaining information classified to that 201 by the Analysis Section (IP/AN).

(7) Any document with a predecessor organization cover sheet or an OPC (Office of Policy Coordination) cover sheet from the Archives and Disposition Section (IP/ARD) must be returned to IP/ARD for processing to the 201.

(8) Desk memoranda (with or without a document source number) containing substantive or derogatory information on the subject of the 201 should be sent to IP/AN to be classified officially into the 201 file.

(9) An attachment which should be separated from its basic document for inclusion in a 201 personality dossier will be forwarded with the basic document to IP/AN for processing into the 201.

(10) To retain the P&L, RYBAT, or KAPOK sensitivity of a document remaining in a 201 dossier being retired to Central Files, place that document in an envelope sealed with black tape (see DOI 70-17). Any RYBAT, P&L, or KAPOK document sent to Central Files not in a black-taped envelope will automatically be handled as desensitized. A black-taped envelope may contain only one document and must be filed in chronological order within the file. If there are numerous documents of this type the desk officer may black-tape the entire dossier rather than individual documents (see DOI 70-10).

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Black-taped dossiers or dossiers with black-taped documents will be handled as restricted dossiers.

(11) An inactive 201 dossier or an inactive volume of a large 201 dossier on permanent charge should be returned to Central Files under a Routing and Record Sheet with the notation shown below.

<input type="checkbox"/> UNCLASSIFIED		INTERNAL USE ONLY		<input type="checkbox"/> CONFIDENTIAL		<input checked="" type="checkbox"/> SECRET	
ROUTING AND RECORD SHEET							
SUBJECT: (Optional)							
TRANSMITTED BY: (Optional)							
FROM:				EXTENSION:		HQ:	
TO: (Officer designation, room number, and building)				DATE:		DATE:	
		DATE		OFFICER'S INITIALS		COMMENTS (Number each comment to show from whom to whom. Draw a line across columns after each comment.)	
		RECEIVED	FORWARDED				
1.	IP/Files GC-52					(For guidance see CSRB 70-1-1, Chapter III, Annex B.)	
2.						Volume(s) # _____ of _____ volume(s)	
3.						of 201- _____	
4.							
5.							
6.						<input type="checkbox"/> Restricted Dossier (Attach Form 2021 to Dossier)	
7.						<input type="checkbox"/> Non-Restricted Dossier	
8.							
9.							
10.							
11.						For Split-Charge Dossiers:	
12.						All documents prior to _____ (date)	
13.						forwarded to IP/Files. All	
14.						documents after _____ (date)	
15.						received at _____ desk.	

FORM 8-74 810E ☒ SECRET ☐ CONFIDENTIAL ☐ INTERNAL USE ONLY ☐ UNCLASSIFIED

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6. 201 DOSSIER CHARGES

A 201 dossier may be kept on permanent charge at the desk during any period of active interest. If the dossier is transferred to another desk, the desk officer who is transferring the dossier must notify Central Files of the transfer. Central Files will then send the Notice of Transfer of Document or File Accountability (Form 2977) to the new action desk officer.

REF ID: A66000
PROHIBITED

CONFIDENTIAL
(When filled in)

NOTICE OF TRANSFER OF DOCUMENT OR FILE ACCOUNTABILITY

TO: NAME _____ BADGE _____ COMPONENT _____ ROOM _____ TUBE _____ EXT. _____

This is to notify you that accountability for the document(s) and/or file(s) cited below has been transferred to you by:

NAME _____ BADGE _____ COMPONENT _____ EXT. _____

Accordingly, IP's records now reflect you as the custodian. Please contact IP/Files, Ext. 4362, if you have any questions regarding this transfer.

SUBJECT OF REQUEST	FILE NO.	DOC. SYMBOL & NUMBER	DOC. DATE	DATE OF TRANSFER	CHARGE PERM ON TEMP	REQ. NO.

FORM 2977 USE PREVIOUS EDITIONS
1-72

CONFIDENTIAL

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The new action desk officer must then fill out a 201 Personality File Action Request (Form 813) to change the action desk designation to insure that the 201 personality will be included in the Headquarters and field machine listings for his component.

7. RESTRICTED DOSSIERS

a. Access to a sensitive 201 dossier may be restricted by holding the file at the desk or placing it on restriction in Central Files.

(1) The dossier may be restricted by checking Box 2 on the 201 Personality File Action Request (Form 831) when the file is opened.

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(2) The dossier may be restricted by holding it on permanent charge from Central Files. (Note: To maintain the restriction of a dossier being returned to Central Files for retention, a File Restriction Notice (Form 2021) must accompany the dossier.

(3) The dossier may be restricted and held in Central Files by submitting a File Restriction Notice (Form 2021).

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(When Filled In)

TO	DATE	INITIALS	FILE RESTRICTION NOTICE
IP/PI			
GC-52 TUBE DT-6			
IP/DCU			
GC-40 TUBE HT-4			

ACTION DESK: 1. To restrict a file, complete Section A (signature of R.O. not necessary).
2. To remove a restriction, complete Section B (R.O. signature necessary).

FILE NUMBER

SECTION A
RESTRICT TO: (Use country or non-country code number. See CSI 70-28) _____
RESTRICTED BY: _____
COMPONENT: _____ Date: _____

SECTION B
REMOVE RESTRICTION (AUTHORIZED BY) _____ R.O. No. _____
COMPONENT: _____ Date: _____

FORM 2021 USE PREVIOUS EDITIONS

CONFIDENTIAL

E-2, IMPDET CL. BY: CG7272 (13)

b. Access to a restricted dossier located in Central Files is limited to the personnel of the restricting desk or persons authorized by that desk. Any request for the charge of a restricted dossier or any document within a restricted dossier held in Central Files will be forwarded with the entire dossier and a multiple-routed cover sheet to the restricting desk. This desk may then forward the file to the requester or deny the request and return the dossier to Central Files. The desk will notify the requester of a denial.

c. Anyone requesting a restricted dossier, or a document within a restricted dossier, permanently or temporarily charged to a desk, will be referred to that desk by Central Files.

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8. REQUESTS FOR INFORMATION ON 201 PERSONALITIES

The Automated Index Section (IP/AIS) will provide the identity of the subject of a 201 number unless the 201 file is restricted, in which case the requester will be referred to the restricting desk.

IP/AIS will also provide the 201 number assigned to a name, unless the 201 file is restricted, or state that there is no 201 number assigned. Requesters should supply identifying information whenever available for each name submitted.

Requests pertaining to five or fewer names or numbers may be made by telephone by calling the IP/AIS red line extension; IP/AIS will provide the information by return call to the requester's extension as listed in the Badge Table. Requests for more than five names or numbers must be listed and sent by tube or courier to IP/AIS; IP/AIS will reply by return mail.

9. 201 DOSSIER CANCELLATION

A 201 file may be authorized for cancellation by a Records Officer, after appropriate coordination. The file should be forwarded to IP/RMS which will destroy the folder and the cards leading to it and will remove the name and number from machine lists. Any Record Copy document contained in the folder will be reclassified to another appropriate file or sent to the Destruction Unit (IP/DU) as directed by the desk Records Officer.

10. 201 MACHINE LISTINGS

Machine listings provide field stations and Headquarters desks with names and 201 numbers in the requester's particular geographic or functional area of interest. If a component wishes to exclude a sensitive 201 personality from its alphabetic, numeric, and cryptonym listings, this may be done when opening the 201 or later by a 201 amendment. On the 201 Personality File Action Request (Form 831) leave the country of location (Box 15) and interest desk (Box 16) blank, use the non-country code 900 in the action box (Box 14), and indicate permanent charge to the responsible desk. The only listing which will include the 201 number is the IP/201 record for the Vital Records program. 201 listings are categorized as standard or nonstandard and as scheduled or special.

a. *Standard Listings*

Issued semi-annually to Headquarters and the field; based on a component's interest as indicated in the "Action Desk," "Country of Location," and "Interest

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Desk blocks on the 201 Personality File Action Request (Form 831). The standard listings available are:

- (1) Alphabetical by surname, leading to a 201 number;
- (2) Alphabetical by given name, leading to a 201 number;
- (3) Alphabetical by cryptonym, leading to a 201 number;
- (4) Numerical, leading to a surname;
- (5) Numerical, leading to a cryptonym.

NAME		DOB	BIRTHPLACE	OCC.	CIT.	201	01-1	01-2	ACT.	LOC.	INT.
NAME	TYPE	DATE	CITY								
CHEN, SHOU 1. /7115/1343/9030/		17JUL14	CHIN, KWANGTUNG		CHIN?	0165401				CHIN	HK
CHEN, SHOU 1. /7115/1343/0001/						0238859				CHIN	CHIN
CHEN, SHOU 1. /7115/1343/0001/						0178653				CHIN	CHIN
CHEN, SHOU-JEN /6774/1108/0038	A	24JAN11	CHIN, ANDY	DIPT		0043751				CHIN	HK
CHEN, SHOU-MEI		04JAN19	CHIN, FUKIEN, PROV.	BUSP	CCOM?	0234629				CHIN	CUBA
CHEN, SHOU-NING /7109/1343/690		21OCT08	CHIN, PANGCHIA, PERT ARTH		CHAT	0179620				CHIN	CHIN
CHEN, SHOU-SHIN /7115/1101/001	A	12NOV22	CHIN, HUNAN		CHAT	0069786				CHIN	
CHEN, SHOU-TAO		19MAR49	CHIN			0903190				CHIN	HAIT
CHEN, SHOU-TSUNG /7115/1109/1		041	CHIN, CANTON	DIPT	CCOM	0812455				CHIN	HK
CHEN, SHOU-TZE /0701/1343/1897		02SEP26	CHIN, LIANGHONG PROV	ENGR	CHIN?	0130615				CHIN	TAIW
CHEN, SHOU-YEN /7115/1109/499		12JAN18	CHIN, FUKIEN		CCOM?	0091033				CHIN	
CHEN, SHOU-YI		09JUN99	CHIN, CANTON	PROF	CHIN?	0040289				CHIN	USA
CHEN, SHU-CHIN /7115/2095/6930	A	19DEC19	CHIN, KWANGTUNG PROV		CHAT?	0032638				CHIN	HAIT
CHEN, SHU-CHUAN /7115/2119/122		02JUL30	CHIN, KWANGTUNG PROV.	ARMY	CHAT	0328328				CHIN	TAIW
CHEN, SHU-CHUAN /7115/2555/2		19	CHIN, HUAN TANG TS		CHIN?	0126538				CHIN	HK
CHEN, SHU-CHUN /7115/2495/2797	A	NOV30	CHIN	TSEA	CHAT	0797333				CHIN	HK
CHEN, SHU-FIN	R	CIRC22	CHIN, PEILIU		CHIN?	0200223				CHIN	
CHEN, SHU-HAN /7115/2885/3185/		08JUL14	CHIN, KWANGTUNG		CHIN?	0484577				CHIN	ETHI
CHEN, SHU-HSIANG /7115/2575/74		10	CHIN, FUKIEN		CHIN?	0179621				CHIN	CHIN
CHEN, SHU-HSIUNG /7115/2885/7		23FEB07	CHIN, KWANGTUNG		CHIN?	0044759				CHIN	
CHEN, SHU-HSIUNG /7115/2885/8133		05MAY18	CHIN, FOCCHU		CCOM?	0073195				CHIN	
CHEN, SHU-HUA		30MAR26	CHIN		CHIN?	0179622				CHIN	CHIN
CHEN, SHU-I /7115/2885/5030/	A	06FEB16	CHIN, HOPEI	DIPT	CCOM	0223161				CHIN	CEY
CHEN, SHU-KUET /7115/2885/2710		27NOV23	CHIN, FANG CHING	DIPT	CHAT	0030156				CHIN	USA
CHEN, SHU-LAY	R		CHIN	PER	CHIN?	0179623				CHIN	CHIN
CHEN, SHU-LIANG /7115/0947/009		CIRC21	CHIN		CCOM	0221772				CHIN	CHIN
CHEN, SHU-LIN /7115/2579/2651/		23NOV20	CHIN, FENGSHUN	DIPT	CHIN?	022162				CHIN	CHIN
CHEN, SHU-LING /7109/2473/010		01JUL29	CHIN, XIANGSU		CHIN?	0179624				CHIN	TAIW
CHEN, SHU-LIN	A	20SEP20	CHIN, HSIANG-SHUN	CCOM	CHIN?	0050440				CHIN	LAOS
CHEN, SHU-NAN /7115/2885/0589/		15APR30	CHIN, CHANGHAI	CHAT	CHIN?	0070667				CHIN	USA
CHEN, SHU-PAO /7115/2579/0202/		10DEC19	CHIN, KWANGTUNG PROV.	CHMO	CHIN?	0179625				CHIN	CHIN
CHEN, SHU-SEN /7115/2885/2773/	A	10DEC26	CHIN, KWANGTUNG PROV.	CHAT?	CHIN?	0032630				CHIN	HAIT
CHEN, SHU-SHAN /7115/2579/091		27JAN25	CHIN, FUKIEN	CHIN?	CHIN?	0085119				CHIN	TAIW
CHEN, SHU-TE /7115/2495/1799/		21DEC31	CHIN, HSIANG-SHUN	CHIN?	CHIN?	0103209				CHIN	CHIN
CHEN, SHU-TEH /475/	V	27MAR20	CHIN, CHANGHAI	CEBL	CHIN?	0763916				CHIN	RUSS
CHEN, SHU-TUNG /7115/0647/6639		CIRC21	CHIN, HANG CHU		CHIN?	0013991				CHIN	
CHEN, SHU-TU /7115/2885/2737/		18MAR49	CHIN		CHIN?	0153922				CHIN	CHIN
CHEN, SHU-TU /7115/0647/0037/	A	02FEB08	CHIN	CHIN	CCOM	0767442				CHIN	UK
CHEN, SHU-YAU /7115/2885/1031		11APR08	CHIN, KWANGTUNG		CCOM?	0093196				CHIN	
CHEN, SHU-YEN	V	05APR17	CHIN, KWANGTUNG		CHIN?	0107356				CHIN	CHIN
CHEN, SHU-YIN /7115/2885/5301/		15JUN06	CHIN, KWANGTUNG		CHIN?	0179626				CHIN	CHIN
CHEN, SHU-YIN /7115/2579/6992/		07OCT30	CHIN, HANG CHU		CHIN?	0179626				CHIN	CHIN
CHEN, SHU-YI		17FEB39	CHIN, HANG CHU	ARMY	CHAT	0343628				CHIN	TAIW
CHEN, SHU-YI		21APR21	CHIN, CHANGHAI	INT	CCOM	0113610				CHIN	CHIN
CHEN, SHU-YI		04SEP28	CHIN, SHANGHAI		CHIN?	0044010				CHIN	CHIN
CHEN, SHU-YI	V		CHIN, SHANGHAI		CHIN?	0144758				CHIN	TAIW

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All standard listings are cumulative; previous editions *must be* destroyed upon receipt of current editions. These listings are by their very nature extremely sensitive compilations of information and must be given every possible safeguard.

b. *Non-Standard Listings*

Based on one or more of the following selection criteria:

- (1) Country of location
- (2) OI codes (organization and/or intelligence affiliation)
- (3) Citizenship
- (4) Year of birth (plus or minus a given number of years)
- (5) Occupation.

These selection criteria may be used singly or in combinations. For example, a user could obtain a list of all 201 personalities who have been assigned the OI code of XX or codes of XX, XY, or XZ. A 201 personality list could also be produced of all persons who were born in Germany between the years 1915 and 1920, with the occupation computer specialist, who are now citizens of the United States, located in Mexico, and who had been assigned the OI code AA. Note however that the listing would contain only those personalities with an OI code AA. Those personalities with an OI code other than AA and those with no OI code would be excluded. The requester could however ask that persons who have not been assigned an OI code also be included. Note also that when retrieving lists based on occupation, the listing will be only as specific as the occupation code (Attachment 1). The occupation code for a courier covers only a documented courier. Some occupation codes cover more than one occupation. For example, the occupation code CRAF covers those who practice some trade or manual occupation, i.e., carpenters, bricklayers, painters, mechanics and electricians. If a list is requested for electricians, all others in this category will be included in the printout. These non-standard listings may be sorted (arranged) according to any, but not more than three, of the following keywords:

- (a) Surname
- (b) Given name
- (c) Date of birth
- (d) Country of birth
- (e) Citizenship
- (f) OI code
- (g) Location

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Sorts can be made within sorts. For example, 201 personalities may be sorted alphabetically by surname within OI codes for given countries of location. Because two OI codes may be listed for each personality, those names with two OI codes would be listed twice.

c. *Scheduled Listings*

Standard and non-standard listings printed semiannually.

d. *Special Listings*

Unscheduled, usually non-standard, listings produced on a one time basis in response to special operational requirements.

e. *Request for Listings*

All requests for standard or non-standard alphabetical and numerical 201 listings for Headquarters and the field, for changes in periodic listings, and for information on the 201 machine list system should be made to the component Records Management Officer.

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Attachment 2

15 November 1974

AUTOMATIC 201 DOSSIER OPENINGS

Note: 201 files will be opened automatically by IP/AN on the following categories of people.

1. Arab Republic of Egypt (ARE)

- a. Diplomats with rank of third secretary or above.
- b. Military attaches and assistant military attaches.
- c. Intelligence officers of the General Intelligence Department (GID).

(Prior to opening of an ARE 201 file, check with NE/E for correct spelling of name and additional biographic data.)

2. British Commonwealth

- a. All positively identified members of MI-5 and MI-6, the British Intelligence Services.
- b. All positively identified members of the Irish Military Intelligence Service (IMIS).
- c. Canadian Communist Party officials on national or provincial levels and officials of the Canadian Communist Party front organizations. Do not open unless there is at least a date of birth given.
- d. All members of the Security Service of the Royal Canadian Mounted Police (RCMP/SS).

3. Cuba

Intelligence service employees (DSE, DGI).

4. Israel

- a. All Israeli diplomats. C/NE/ISR should be indicated as the originating office with CI/SP always indicated as the secondary office of interest.
- b. Military attaches.
- c. Assistant military attaches.
- d. Identified intelligence officers.

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15 November 1974

5. North Vietnam

All diplomats and NFLSV (PRC) officials stationed abroad.

6. USSR

- a. All Soviets assigned PCS to an official representational installation, i.e., embassy, consulate, commercial representation, national airline (Aeroflot) office, news media office.
- b. All Soviets assigned PCS to the United Nations in New York, Paris, Geneva, and Vienna.
- c. Audio technicians, after coordination with SE desk concerned.
- d. Students who will be studying abroad for a full academic year at institutions of higher learning.

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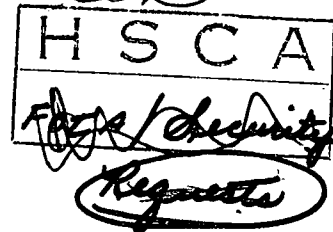
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JOURNAL

OFFICE OF LEGISLATIVE COUNSEL

Thursday - 10 November 1977



1. (Unclassified - MLG) EMPLOYMENT REQUESTS Concerning Debbie's, in the office of Representative Douglas Applegate (D., Ohio), request as to whether we had received an application from their constituent, Robert Sensibaugh, I informed her, per the Office of Personnel, that we had not as of yet. She thanked me for my help.

2. (Unclassified - MLG) LIAISON Per her request I forwarded to Karen, in the office of Representative Mario Biaggi (D., N. Y.), a copy of the press release that went out regarding the CIA tours.

3. (Unclassified - MG) LIAISON Received a call from Ted Winicov, in the office of Representative Richard T. Schulze (R., Pa.), who requested a copy of the Agency publication "Soviet Commercial Operations in the West." I told him a copy would be forwarded to him.

4. (Unclassified - KSN) LIAISON Called Dawn, secretary to Professor G. Robert Blakey, Chief Counsel and Director, House Select Committee on Assassinations, and set up a meeting for tomorrow afternoon for Mr. Sidney Stembridge, DD/OS, and Mr. Cummins to meet with Professor Blakey.

5. (Secret - DTC) LIAISON Called Professor G. Robert Blakey, Chief Counsel and Director, House Select Committee on Assassinations, and informed him that we had received six Privacy Act requests from members of the Select Committee staff asking for any security information on them which is held by the Agency. I did not provide the names of the requesters to Blakey.

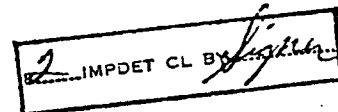
6. (Internal Use Only - RJK) LIAISON Keith Raffel, Senate Select Committee on Intelligence staff, visited the Badge Office and received his Headquarters badge. He then proceeded to the C&R conference room where he reviewed extracts of NIS's relating to the security services of the United Kingdom, Australia, and France.) Evvy Vidal, O/SA/DO/O, assisted with the (United Kingdom liaison) service aspects.

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ADDENDUM TO JOURNAL

OFFICE OF LEGISLATIVE COUNSEL

Thursday - 6 July 1978

1. (Confidential - MMP) LIAISON Accompanied Scott Breckinridge, Special Coordinator for the House Select Committee on Assassinations, OLC, and Rodger Gabrielson, OLC, to brief Thomas K. Latimer, Staff Director, House Permanent Select Committee on Intelligence, on the state of play as between the House Select Committee on Assassinations and the Agency. Mr. Latimer immediately understood that the crux of the problem lay in the House Select Committee on Assassinations possible exposure of sensitive information (Nosenko and/or Mexico operations) in open fora. He said that he would brief Chairman Edward P. Boland (D., Mass.), House Permanent Select Committee on Intelligence, and that the latter might discuss the matter with Chairman Louis Stokes (D., Ohio), House Select Committee on Assassinations. He suggested that the best approach would be for the DCI to raise the issues we were concerned about with Chairman Stokes and, then, if necessary with the President. The latter in turn could register his concerns with Speaker of the House Thomas P. O'Neill. Mr. Latimer said that the House Permanent Select Committee on Intelligence charter precluded the House Permanent Select Committee on Intelligence from playing a significant role in this matter.

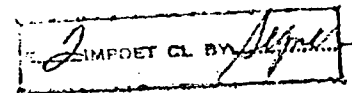
2. (Confidential - DFM) LIAISON Received a call from Spencer Davis, Senate Select Committee on Intelligence staff, who asked for the phone number of former Agency employee Newton S. Miler, as one of the Committee staff members wanted to interview him. June, O/PCS/LOC/DDO, subsequently called Miler and, in his absence, left a message that he call Davis. I informed Davis this was how we had handled his request and he was satisfied.

12-7 I also explained to Davis the Agency's position regarding retired Agency employee [Raymond Close] who was [Chief of Station Saudi Arabia] and upon retiring took a position as a consultant to the Saudi Government. Our position is that as [Close] has retired, the Agency has no control over his activities other than through his secrecy agreement which he signed and which remains in effect. Davis also asked me if the same situation was true for a former Chief of Station in [Amman] and I said I would check.

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2. OASRIB/San
3. SDB Chrono

APPROVED FOR RELEASE
CIA HISTORICAL REVIEW PROGRAM

INTERNAL - ADMINISTRATIVE USE ONLY

16 November 1978

MEMO FOR FILE

SUBJECT : Penment Bagley

1. Yesterday at about noon Mr. Bagley's attorney phoned OGC asking for the following:

a. The original copy of a memo said to be in Bagley's handwriting, concerning options for Nosenko's disposal.

This was in the Hart testimony at line 4218 and the Helms testimony at line 4610.

b. The original and excized portion of a Bagley memo referring to the purposes of a polygraph of Nosenko. This was at line 2981 of the Hart testimony and 2068 of the Helms testimony.

c. The original letter from Bagley re "devastating consequences," in the Hart testimony at line 3877.

d. Bagley's complete personnel file, including specifically:

(1) reference to Bagley's transfer from Headquarters in the 1966/67 period.

(2) Fitness Reports and comments for the 1962-72 period.

(3) Promotion recommendations for 1962-72 period.

(4) His IMM and the associated citation.

2. We asked the various parties to gather the items requested to see what was involved. The OPF was at Headquarters, having been brought from WTC for the HSCA inquiry. The Honor and Merits Awards Board had the medal and citation (having been brought up for a file review). CI Staff had the documents cited in the Hart testimony. We met yesterday afternoon to consider what is involved. Bagley wanted the material an appearance at HSCA this morning.

ADMINISTRATIVE - INTERNAL USE ONLY

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3. CCS has a standing position on non-release of citations and awards to persons retired under cover ^{24,32} (Bagley is retired in Belgium under State cover), so he would have to make a decision on that before he could have the material. He could not be given some of his personnel records, as they are classified, although he could be shown them as in a Privacy Act request; he is not now cleared for access to classified material, although his attorney is. CI Staff considers the materials not cited in the Hart testimony as unclassified; those portions authorized for use are unclassified only to the extent used by Hart; the exception is the entire handwritten list of options, which has been provided the Committee at its request, and he can see that when he appears before the Committee.

4. I tried to contact the DDA and DDO, reaching John McMahon. He agrees with this preliminary position. Mike Malanik phoned me back today, indicating that when the cover aspect is straightened out Bagley should be treated as anyone else. Dick Rininger of OGC, meanwhile, had advised Mr. Chabot, Bagley's attorney, that the cover consideration has to be resolved first. Bagley was there and Rininger also spoke to him, with Bagley indicating his intention to have his cover removed.

SDBreckinridge

VPV

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13 October 1978

MEMORANDUM FOR: Chief, Operations Support Branch

FROM:

(Joseph P. Mirabile)
Operations Support Branch

SUBJECT:

SHERMAN, Adrian Fisher, Jr.
#54 621

1. On 25 September 1978, a call was received in the Security Duty Office from James A. Sherman, Subject's brother, advising that Subject suffered a fatal heart attack on 23 September 1978 at his apartment in Monterey, California. Subject was sixty years of age and had a history of previous heart trouble.

2. Mr. Sherman was previously of interest to this Agency as a military detailee, 1952 - 1954, when he was serving at Clark Air Force Base, the Philippines, as a Major in the United States Army. After leaving the Army, he worked for Pan American Airlines for approximately 13 years and then as an investigator for the State Attorney General's Office in Miami, Florida. He has been of interest to DCD and FRD in San Francisco, California, since April 1977. (FRD's operational interest was as an access agent to a Saudi Arabian of interest to the San Francisco Base. 24)

3. On 23 September 1978, Subject's body was discovered by an FRD case officer who had arrived at the Monterey, California, apartment for an operational meeting. The apartment house resident manager gained access to the apartment. Subsequent access was allowed to an emergency ambulance squad, police officers and the deputy coroner.

4. Assistance has been rendered to FR Base by San Francisco Field Office personnel in this matter. (Gordon Gregory), SAC/SFFO, was apprised on the circumstances on 25 September 1978 and subsequently made contact with the Chief, FR Base. Subject's son, who was traveling cross-country by auto at the time of the death, arrived in the area on 27 September with two sisters and was contacted by a representative of the San Francisco Field Office. He is


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reported to be very cooperative, aware of need for discretion and secrecy and proud of his father's relationship with this Agency.

5. The only aspect of this incident, which may be of continuing security concern, is that the son recalls that in May 1978, while assisting his father in moving some personal effects, he remembers seeing a written plan to assassinate Fidel Castro. The son said that it had been prepared by his father in the early 1970's when he was working in Florida but at a time when he had no Agency association. At this time the paper is still missing. It may have been recently destroyed by the Subject or, the possibility exists, it may have been stolen by someone having access to the apartment. According to Subject's son, several other items were missing, including a money clip containing a \$100 bill that his father always kept, a Randall knife, a gold pen and pencil set and a microwave oven. After notifying the police of the missing personal items, Subject's son received the knife from the resident manager who claims to have found it in some weeds. Subject's son suspects that the resident manager may have been responsible for the theft of the personal items, but he has now resolved to drop the entire issue.

6. Foreign Resources Division has advised that the DCI has been briefed on this case. It is therefore felt that no further Office of Security action is required at this time other than to monitor the case for 60-90 days to determine if any new developments occur.


Joseph P. Mirabile

Distribution:

Orig. - Subject's file
1 - OSB Chrono

OS/PSI/SSD/OSB(JPMirabile)/csn

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Whit Moore Bishop
056446
DOB : 24 September 1915

Office of Communications

January 1960 - ¹⁰[Warrenton]
August 1963 - Rhein Main
July 1964 - Frankfurt
January 1966 - Headquarters
June 1972 - Retired (GS-14(7))

1949

5' 11"
170 lbs.
Grey hair
Brown eyes

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1/80

James Clarence Bishop
113544
DOB : 11 November 1912

Office of Logistics

Resigned 14 December 1960 (GS-16(5)

1952

5' 10-1/2"
170 lbs.
Brown hair
Green eyes
Medium build

Fred Margeson Bishop
P-684-57 (contract #)
DOB : 28 October 1890

TSD Consultant

Separated 1 July 1961

1955

5' 10"
182 lbs.
blue eyes
light hair

Frank Patrick Bishop
004736
DOB : 25 April 1914

Office of Finance

March 1958 - Headquarters
April 1969 - Inspector

Retired 29 June 1973 GS-17(5)

1949

5' 11-1/2"
145 lbs.
blue eyes
brown hair

1973

grey hair

[Eugene³ Edward] Bishop, Jr.
235566

DOB : May 1941

1963 - Clerk Headquarters

Resigned 8 February 1969 GS-7(4)

1963

6'1"

172 lbs.

hazel eyes

black hair

YMC

Edwin Warren Bishop

037252

DOB : 29 May 1923

July 1962 - Headquarters

Resigned May 1963 GS-13

1962

6'

170 lbs.

grey eyes

red hair

03
[Boyd Warrington] Bishop
08 [390487] 09
DOB : [29 April] 1940

June 1965 - CT (new employee)
August 1968 - [Hamburg] 15

Still active

09
[1965]
5'11"

150 lbs.

blue eyes

brown hair

03
08 [John Carl] Bishop
[036385]

DOB : [18 January] 1938

DDO-Eur.

May 1962 - New employee head-
quarters

July 1964 - JOT

July 1965 - Headquarters

Jan. 1968 - [Berlin] 15-8

Mar. 1969 - [Bonn] 15-13

Still active GS-13

1964

6'2"

160 lbs.

grey eyes

brown hair

5/90

03 [Henry Charles] Bishop
08 [017891]

DOB : [25 February]⁰⁹ 1932

January 1960 - Station E.
October 1962 - Nicosia
October 1964 - Headquarters

Office of Communications GS-13

Still active

09 [1954]
5'9"

170 lbs.
brown eyes
brown hair

4/
9/10

~~SECRET~~

INTERNAL USE ONLY

Journal - Office of Legislative Counsel
Thursday - 9 March 1978

Page 2

HSCA

5. (Unclassified - JMS) LIAISON House Select Committee on Assassinations staffers visited Headquarters to review files.

6. (Confidential - LLM) LIAISON Advised Tom Latimer, Staff Director, House Permanent Select Committee on Intelligence, of the situation developing with the House International Relations Subcommittee on International Organizations having open hearings on FBI/CIA dealings on KCIA in the early 1970's. Latimer said he would alert Chairman Edward Boland (D., Mass.).

7. (Confidential - LLM) LIAISON Talked to Mike Hershman, Deputy Staff Director, House International Relations Subcommittee on International Organizations, and he agreed to set back the planned hearings with former FBI agent McDonnell until 21 March. I made it clear that by this action we were not making any commitment to appear and told Hershman that I hoped the next step would be taken on Monday, 13 March.

8. (Internal Use Only - GC) LIAISON Called Beverly Lumpkin, on the staff of the House International Relations Subcommittee on International Organizations, to tell her that the supplementary paragraph submitted by the Subcommittee was acceptable for public release.

9. (Secret - DFM) LIAISON Called Audrey Hatry, Clerk, Senate Select Committee on Intelligence staff, who gave me a rundown of Committee meetings for next week. Scheduled for the week are FBI and DEA FY-1979 budget briefings on 14 March and a meeting of the Special Investigation Subcommittee on 17 March to discuss the recently completed staff report on CIA activities in(Australia.)

11

10. (Unclassified - DFM) LIAISON Talked with Doug McCullough, Senate Select Committee on Ethics staff, Don Sanders and Ken deGraffenreid, both of the Senate Select Committee on Intelligence staff, regarding Senator Adlai Stevenson's (D., Ill.) request of last week for the Agency to provide him examples of leaks that he might use to illustrate seriousness of leaks in a closed Senate session. None of these people were able to give me a date for the planned session although Sanders did provide some help on what kinds of examples would be the most useful.

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C/pd

NOT - Nosenko

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CONFIDENTIAL

ADDENDUM TO JOURNAL

OFFICE OF LEGISLATIVE COUNSEL

Thursday - 6 July 1978

✓

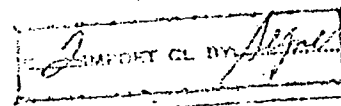
1. (Confidential - MMP) LIAISON Accompanied Scott Breckinridge, Special Coordinator for the House Select Committee on Assassinations, OLC, and Rodger Gabrielson, OLC, to brief Thomas K. Latimer, Staff Director, House Permanent Select Committee on Intelligence, on the state of play as between the House Select Committee on Assassinations and the Agency. Mr. Latimer immediately understood that the crux of the problem lay in the House Select Committee on Assassinations possible exposure of sensitive information (Nosenko and/or Mexico operations) in open fora. He said that he would brief Chairman Edward P. Boland (D., Mass.), House Permanent Select Committee on Intelligence, and that the latter might discuss the matter with Chairman Louis Stokes (D., Ohio), House Select Committee on Assassinations. He suggested that the best approach would be for the DCI to raise the issues we were concerned about with Chairman Stokes and, then, if necessary with the President. The latter in turn could register his concerns with Speaker of the House Thomas P. O'Neill. Mr. Latimer said that the House Permanent Select Committee on Intelligence charter precluded the House Permanent Select Committee on Intelligence from playing a significant role in this matter.

2. (Confidential - DFM) LIAISON Received a call from Spencer Davis, Senate Select Committee on Intelligence staff, who asked for the phone number of former Agency employee Newton S. Miler, as one of the Committee staff members wanted to interview him. June, O/PCS/LOC/DDO, subsequently called Miler and, in his absence, left a message that he call Davis. I informed Davis this was how we had handled his request and he was satisfied.

I also explained to Davis the Agency's position regarding retired Agency employee Raymond Close, who was Chief of Station Saudi Arabia and upon retiring took a position as a consultant to the Saudi Government. Our position is that as Close has retired, the Agency has no control over his activities other than through his secrecy agreement which he signed and which remains in effect. Davis also asked me if the same situation was true for a former Chief of Station in Amman and I said I would check.

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21581

CONFIDENTIAL

OLC-78-3040

22 September 1978

MEMORANDUM FOR : Mr. Ray Reardon
Office of Security.

FROM : S. D. Breckinridge

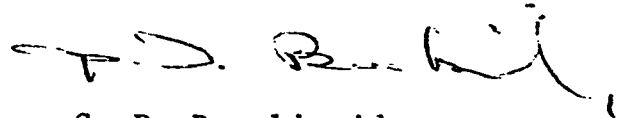
SUBJECT : Agency Employees with the Surname of
Bishop

1. The Office of Personnel is gathering files of present and past employees of the Agency who were on board in the 60's. Attached are brief excerpts from the OPFs of the first group shown to me by Doris Houdesheldt.

2. I am trying to assemble a book of photographs of these different employees to show to the two witnesses who think they knew a man named Morris Bishop in the 60's. The photograph or photographs that you may have concerning the persons listed on the attached pages as near as possible to the first half of the 60's would be helpful.

3. I expect to have more names in the near future and will forward those to you as soon as they are available.

4. It would be appreciated if you could give this some priority attention.



S. D. Breckinridge

Attachment

CONFIDENTIAL

09 8 2678

03
66 (Boyd Warrington) Bishop
(390487)
DOB : (29 April) 1940

09
June 1965 - CT (new employee)
August 1968 - (Hamburg)

Still active 17-26

1965
5'11"
150 lbs.
blue eyes
brown hair

00000
06 (Edwin Warren) Bishop
06 (037252)
DOB : (29 May) 1923

July 1962 - Headquarters

Resigned May 1963 GS-13

1962

6'

170 lbs.

grey eyes

red hair

Frank Patrick Bishop
004736
DOB : 25 April 1914

Office of Finance

March 1958 - Headquarters
April 1969 - Inspector

Retired 29 June 1973 GS-17(5)

1949

5' 11-1/2"
145 lbs.
blue eyes
brown hair

1973

grey hair

14-00000
⁰³
(Eugene Edward) Bishop, Jr.
⁰⁸ (235566) ⁰⁹
DOB : (May) 1941

1963 - Clerk Headquarters

Resigned 8 February 1969 GS-7(4)

1963
6'1"

172 lbs.

hazel eyes

black hair

3/12/

14-00000
Henry Charles Bishop
0017891

DOB : (25 February) 1932

January 1960 - Station [E.] 24
October 1962 - (Nicosia) 15-33
October 1964 - Headquarters

Office of Communications GS-13

Still active

1954

5'9"

170 lbs.

brown eyes

brown hair

03
Fred Margeson Bishop
06 (P-684-57) (contract #)
DOB : 08 October 1890
09

TSD Consultant

Separated 1 July 1961

1955

5' 10"
182 lbs.
blue eyes
light hair

03
08 (John Carl) Bishop
036385)

DOB : (18 January) 1938

DDO-Eur.

May 1962 - New employee head-
quarters

July 1964 - JOT

July 1965 - Headquarters

Jan. 1968 - (Berlin) 15-8

Mar. 1969 - (Bonn) 15-13

Still active GS-13

1964

6'2"

160 lbs.

grey eyes

brown hair

03
(James Clarence) Bishop
08 (113544)
DOB : (11 November) 1912
09

Office of Logistics

Resigned 14 December 1960 (GS-16(5))

1952

5' 10-1/2"
170 lbs.
Brown hair
Green eyes
Medium build

Whit Moore Bishop

056446

DOB : 24 September 1915

Office of Communications

January 1960 - ⁹⁹(Warrenton)
August 1963 - (Rhein Main) 15-34
July 1964 - Frankfurt
January 1966 - Headquarters
June 1972 - Retired (GS-14(7))

1949

5' 11"

170 lbs.

Grey hair

Brown eyes

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Pkg in HSCA

OLC-78-3040

22 September 1978

MEMORANDUM FOR : Mr. Ray Reardon
Office of Security

FROM : S. D. Breckinridge

SUBJECT : Agency Employees with the Surname of
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S. D. Breckinridge

Attachment

Distribution:

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CONFIDENTIAL

21476

Whit Moore Bishop

056446

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Office of Communications

January 1960 - ~~Warrenton~~

August 1963 - ~~Rhein Main~~

July 1964 - Frankfurt

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May 1962 - New employee head-
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October 1964 - Headquarters

Office of Communications GS-13

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brown hair

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PAGE 01

IN 459495

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HAGU 33077

SECRET 090945Z NOV 77 STAFF

CITE THE HAGUE 33077

TO: DIRECTOR,

WNINTEL RYBAT REAM SYMPATHIZER MHCOLOR

REF: ONHA-40410, 4 MAY 1977

1. SENSITIVE SYMPATHIZER SOURCE INDICATES THAT ON 3 OCTOBER WILLEM OLTMAANS MADE APPOINTMENT MEET WITH CUBAN AMBASSADOR, THE HAGUE. OLTMAANS STATED HE GOING "TO AMERICA ON 18TH" AND THAT HE "IS INDEED PREPARED TO GO AT THE BEGINNING OF NOVEMBER AS ARRANGED".

2. DURING DISCUSSION, OLTMAANS SAID HE ALSO HAD VISIT SCHEDULED WITH RUSSIAN AMBASSADOR ROMANOV FOR 3 OCTOBER AND WANTED COMBINE TWO VISITS FOR SAME DAY. OLTMAANS WAS TOLD AN ATTEMPT BY CUBAN AMBASSADOR TO SCHEDULE MEET WITH HIM DURING SEEK 25 SEPTEMBER HAD BEEN UNSUCCESSFUL BECAUSE OLTMAANS COULD NOT BE REACHED. OLTMAANS STATED HE HAD BEEN IN SOVIET UNION THEN.

3. ABOVE EVIDENCE OF OLTMAN'S CONTINUING CLOSE CONTACT WITH CUBAN AND SOVIET DIPLOMATS AND HIS RECENT TRAVEL TO THE USSR AND APPARENT CURRENT TRAVEL TO CUBA

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DTC
HSCA
Mo is putting together a blind memo on this to pass to GRB.
R
HSCA
Media

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PAGE 02-02

IN 459495

TDR:091001Z NOV 77

HAGU 33077

IS OF INTEREST IN VIEW HIS NOTORIOUS ROLE AT THE TIME OF THE DEATH OF GEORGE DE MOHRENSCHILD. LATTER WAS OF INTEREST BOTH TO SENATE SELECT COMMITTEE ON INTELLIGENCE AND HOUSE ASSASSINATIONS SUBCOMMITTEE. IF HQS DEEMS IT APPROPRIATE, NO STATION OBJECTION TO CONVEYING ABOVE, WITH ADEQUATE SOURCE PROTECTION, TO PROPER CONGRESSIONAL AUTHORITIES.

4. FILE: 221-155221. E2, IMPDET.

* C/S SUGGESTS ADD. DISSEM TO: OLC

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King assassination

H S C A

Media

PAGE 1 OF 2

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STAFF

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DIRECTOR

MESSAGE REFERENCE NUMBER

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TO: LISBON, INFO LONDON, MEXICO CITY. 4

RYBAT UNINTEL RNVIEW 4

① *CR*② *file*

1. U.S. HOUSE OF REPRESENTATIVES SELECT COMMITTEE ON ASSASSINATIONS WHO CURRENTLY INVESTIGATING CIRCUMSTANCES SURROUNDING ASSASSINATIONS OF PRESIDENT KENNEDY AND DR. MARTIN LUTHER KING HAS TOLD STATE THAT THEY INTEND TO PURSUE LEADS IN PORTUGAL, UK AND MEXICO RELATING TO JAMES EARL RAY, CURRENTLY SERVING TIME FOR KING MURDER. THEY BASING THIS INTEREST ON INFORMATION THAT RAY WAS IN LISBON BRIEFLY IN MAY 1968, SHORTLY BEFORE HIS APPREHENSION IN LONDON IN JUNE 1968. 4

2. MR. G. ROBERT BLAKEY, CHIEF COUNSEL AND STAFF DIRECTOR OF COMMITTEE SAYS SELECT COMMITTEE TOLD STATE THAT HE WANTS TO ESTABLISH "CORDIAL RELATIONSHIP" WITH APPROPRIATE PORTUGUESE NATIONAL POLICE AND HOPES TO ENLIST THEIR ASSISTANCE INCLUDING ACCESS TO THEIR FILES AND IN LOCATING AND INTERVIEWING POTENTIAL WITNESSES. 4

3. DEPARTMENT HAS SOLICITED EMBASSY SUGGESTIONS AS TO HOW BLAKEY SHOULD PROCEED. 4

DATE:

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RELEASING OFFICER

COORDINATING OFFICERS

AUTHENTICATING OFFICER

CLASSIFICATION

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PAGE 2 OF 2 PAGES

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MESSAGE HANDLING INDICATOR

STAFF

DATE-TIME GROUP

CITE

DIRECTOR

MESSAGE REFERENCE NUMBER

CONF:

INFO: FILE

125981

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4. WISH TO CALL ABOVE TO ADDRESSEES' ATTENTION IN EVENT STATIONS ARE SOLICITED FOR ADVICE OR ASSISTANCE. RTACTION IS COOPERATING FULLY WITH BLAKEY AND COMMITTEE INCLUDING ALLOWING THEM ACCESS TO DIRECTORATE RECORDS, AFTER SUITABLE SANITIZATION FOR SOURCES AND METHODS. HOWEVER, BELIEVE COMMITTEE'S EFFORTS TO INTERVIEW POLICE AND WITNESSES AND RESULTING USEFUL INFORMATION OBTAINED, IF ANY, IS LARGELY OVERT FUNCTION WHICH CAN BEST BE HANDLED BY LEGATTS OR OTHERS WHO WOULD ALSO BE IN A POSITION TO BE PUBLICLY QUOTED OR IDENTIFIED IF NECESSARY. Y

5. STATIONS EXPECTED TO PROVIDE EMBASSY BEST ADVICE IF SOLICITED BUT REQUESTED TO AVOID INVOLVING STATION OFFICERS IN NEGOTIATIONS EVEN IN THEIR COVER CAPACITIES. IF CIRCUMSTANCES SUCH THAT THIS UNAVOIDABLE, PLEASE ADVISE HQS BY CABLE SO THAT THE MATTER CAN BE APPROPRIATELY HANDLED WITH BLAKEY AND DEPARTMENT. H

ORIG: O/SA/DO/O {SOVERN}; COORD: OLC {CUMMINS}, DC/LA {LAUDER},
C/LA/EX {HAWKINS}, DC/EUR/BC {JAMES}, C/EUR/IB {BERGER}; AUTH:
SA/DO/O {KATROSH}; REL: DC/EUR {ESTES}..J

DATE: 14 NOVEMBER 1977
ORIG: MASOVERN
UNIT: O/SA/DO/O
EXT: 1542

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1 October 1968

CONCLUSIONS AND COMMENTS
IN THE CASE OF
YURIY IVANOVICH NOSENKO

#7

14-00000

SECRET

1 October 1968

MEMORANDUM FOR: Director of Security

FROM : Deputy Chief, Security Research Staff

SUBJECT : NOSENKO, Yuriy Ivanovich

1. In accordance with the request of the Deputy Director of Central Intelligence, attached is a summary with conclusions concerning the bona fides of Yuriy Ivanovich NOSENKO. Subconclusions are contained in the summary concerning several major areas which were given primary consideration in the matter of the bona fides of NOSENKO.

2. Included in this summary are comments concerning conclusions in the previous summary and an annex containing remarks on three separate subjects related to the NOSENKO case.

3. In brief, the conclusion of this summary is that NOSENKO is the person he claims to be, that he held his claimed positions in the KGB during 1953 - January 1964, that NOSENKO was not discredited by the KGB, and that his previous lies and exaggerations are not actually of material significance at this time.

Bruce L. Solie

Attachment:
Summary

0001002

BLS:ko

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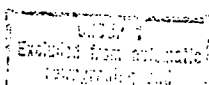
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- I. Introduction
- II. Summary of Developments in NOSENKO Case Since
30 October 1967
- III. Analytical Comments Concerning the Bona Fides of Yuriy
Ivanovich NOSENKO
 - A. Is NOSENKO Identical to the Person Whom He Claims
to be?
 - B. Is the Claimed KGB Career of NOSENKO Plausible?
 - C. Has NOSENKO Given an Acceptable Explanation of
His Motivation in Contacting CIA in 1962 and For
His Defection in 1964?
 - D. Is the Information Furnished by NOSENKO to CIA
Concerning KGB Operations, Personalities, and
Organization Reasonably Commensurate With His
Claimed KGB Career?
 - E. Can the Information Furnished by NOSENKO be
Considered in Toto as Having Resulted in Material
Damage to the KGB and/or Has the Information
Furnished by NOSENKO Been of Significant Benefit
to Western Intelligence?
 - F. Is There Evidence of KGB Deception or "Give-Away"
in Information Furnished by NOSENKO Which Would
Warrant a Conclusion that NOSENKO was Dispatched
by the KGB?

0001003

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G. Is There Evidence of a Political or Any Other Type Objective Which Could Justify a Dispatch of NOSENKO by the KGB With Permission to Speak Freely to CIA Concerning His Knowledge of the KGB and Without NOSENKO Being Given a Specific Mission or Missions?

H. Is There Any Evidence That the Contacts of NOSENKO in 1962 or in 1964 With CIA Were Known to the KGB Prior to His Defection or That NOSENKO Was Ever Briefed by the KGB Relative to His Behavior or KGB Objectives During These Contacts or After His Defection?

IV. Comments Concerning Previous Conclusions in Regard to NOSENKO

A. NOSENKO Did Not Serve in the Naval RU in Any of the Capacities or at the Places and Times He Claimed

B. NOSENKO Did Not Enter the KGB in the Manner or at the Time He Claimed

C. NOSENKO Did Not Serve in the American Embassy Section Throughout the 1953 - 1955 Period as He Claimed

D. During the Period 1955 - 1960, He Was Neither a Senior Case Officer in, nor Deputy Chief of, the Seventh Department American-British Commonwealth Section

E. NOSENKO Was Neither Deputy Chief of the American Embassy Section nor a Senior Officer or Supervisor in the Section During the Period 1961 - 1962 (sic)

F. NOSENKO's Claims, That in 1962 He was Chief of the American-British Commonwealth Section and Was Thereafter a Deputy Chief of the Seventh Department, Are Not Credible

G. NOSENKO Has no Valid Claim to Certainty That the KGB Recruited No American Embassy Personnel Between 1953 and His Defection in 1964

0001004

Annex

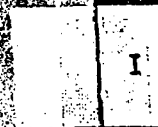
Annex A - Statements of Soviet Officials About NOSENKO

Annex B - Summaries of Cases Not Examined in Text

Annex C - The Cherepanov Papers

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I. INTRODUCTION

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INTRODUCTION

The following summary and analysis is not intended to be all inclusive, that is to contain a specific comment on all organizational, operational, personality and case type information furnished by Yuriy Ivanovich NOSENKO. To attempt to do so would be repetitious and confusing to the reader and would not be of material benefit in the formation of logical conclusions concerning the rather limited areas of primary concern.

This summary will not contain a detailed psychological assessment of NOSENKO nor will it contain a recitation of the numerous theories which have been promulgated in the past concerning varying aspects of the NOSENKO case. This summary will be primarily directed toward the question of whether NOSENKO was or was not dispatched by the KGB, whether his claimed KGB career is relatively plausible and whether he has since late October 1967 been cooperative in a reassessment of the entire case for or against NOSENKO. NOSENKO has admitted certain lies and exaggerations in the past but claims that these were of a personal nature, intended to enhance his own importance

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GROUP 1
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downgrading and
declassification

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but not to mislead this Agency in any material matters of an operational or policy nature.

In order to avoid any misunderstanding of the phrase "bona fides" as considered in this summary, NOSENKO will be judged primarily on whether he voluntarily defected to this Agency without KGB knowledge, and whether his 1962 and early 1964 contacts with representatives of this Agency were known to the KGB. Motivation and certain other pertinent aspects will be considered, but his admitted previous errors, lies and exaggerations will not per se warrant a conclusion that NOSENKO is not a "bona fide" defector.

There is not an accurate standard or scale of measurement against which information concerning NOSENKO can be balanced or correlated to determine if he is or is not a dispatched KGB officer. For purposes of this analysis and summary, an arbitrary list of areas considered pertinent has been compiled. Readers may differ in regard to whether this arbitrary standard is a completely accurate standard, but it is felt that the information from NOSENKO and information from other sources derived through independent investigation will permit the reader to assess the information in toto against any standard he considers appropriate.

The previous summary on NOSENKO entitled, "The Examination of the Bona Fides of a KGB Defector," has been considered in

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the preparation of this summary. It will be commented on in part and this summary will include conclusions correlated with the seven primary conclusions set forth on page 358 of the above summary. Remarks concerning certain errors, inconsistencies, omissions and unsupported conclusions in the previous summary in regard to specific cases or sub-areas will be included in this summary. However, this summary will not include a point-by-point comparison of all areas of agreement or disagreement with information contained in the previous summary.

A positive decision in regard to NOSENKO based on all available information should be made in the immediate future. There are no known sources currently available to provide new positive information concerning NOSENKO and his bona fides. It is recognized that there is always a possibility in the future a new source or sources will be able to furnish additional information in regard to NOSENKO. However, this possibility is exceedingly tenuous and it is felt there is sufficient information available on which to base a conclusion in the NOSENKO matter.

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II. SUMMARY OF DEVELOPMENTS IN NOSENKO CASE

SINCE 30 OCTOBER 1967

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