

Stochastic Cooling

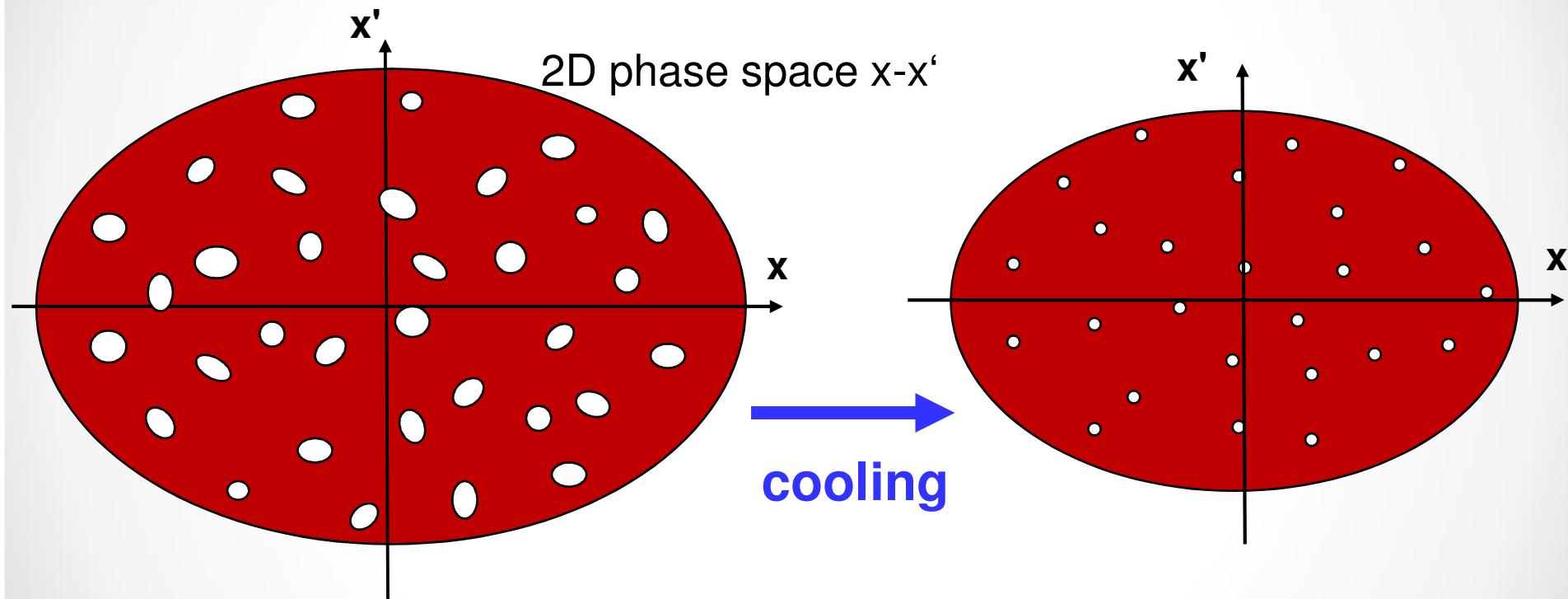
M. Steck, GSI Darmstadt

**CAS Advanced Accelerator Physics,
Metalskolen, Slangerup, Denmark,**

9 - 21 June 2019

Fluctuations in Phase Space

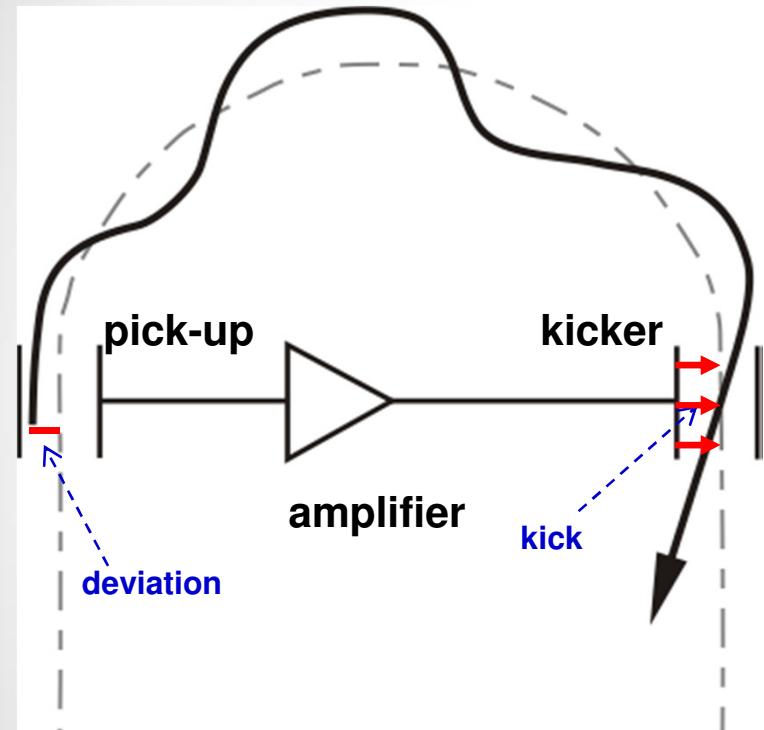
phase space is not homogeneously filled with particles
⇒ fluctuations of local particle density



compression of total phase space volume by reduction of locally empty phase space volume

Stochastic Cooling

First cooling method which was successfully used for beam preparation



S. van der Meer, D. Möhl, L. Thorndahl et al.
(1925 – 2011) (1936-2012)

Conditions:

Betatron motion phase advance
(pick-up to kicker): $(n + \frac{1}{2}) \pi$

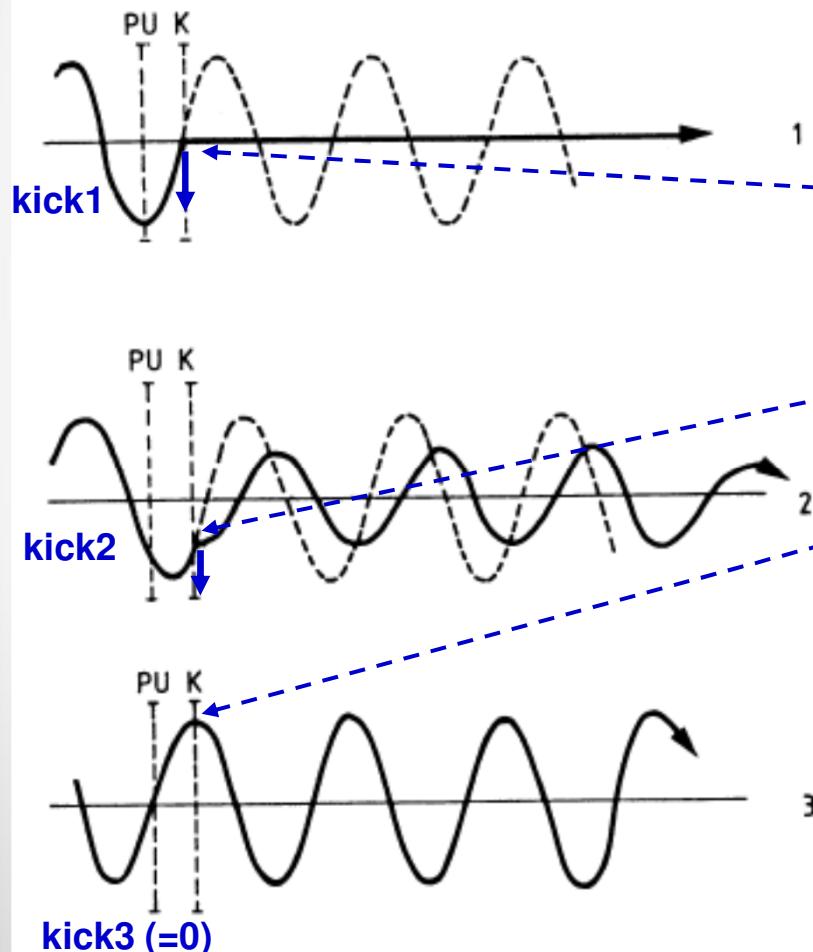
Signal travel time = time of flight of particle
(between pick-up and kicker)

Sampling of sub-ensemble of total beam

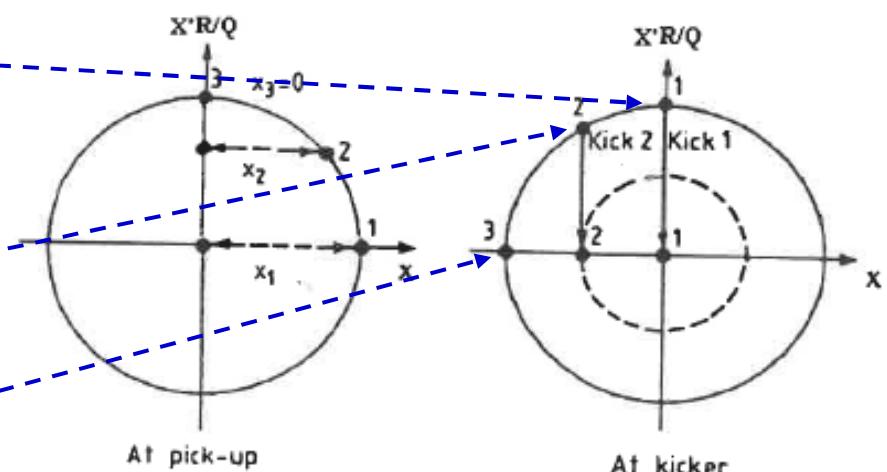
Principle of transverse cooling:
measurement of deviation from ideal orbit
is used for correction kick (feedback)

Stochastic Cooling

single particle betatron motion
along storage ring
without (dashed) and with (full)
correction kick

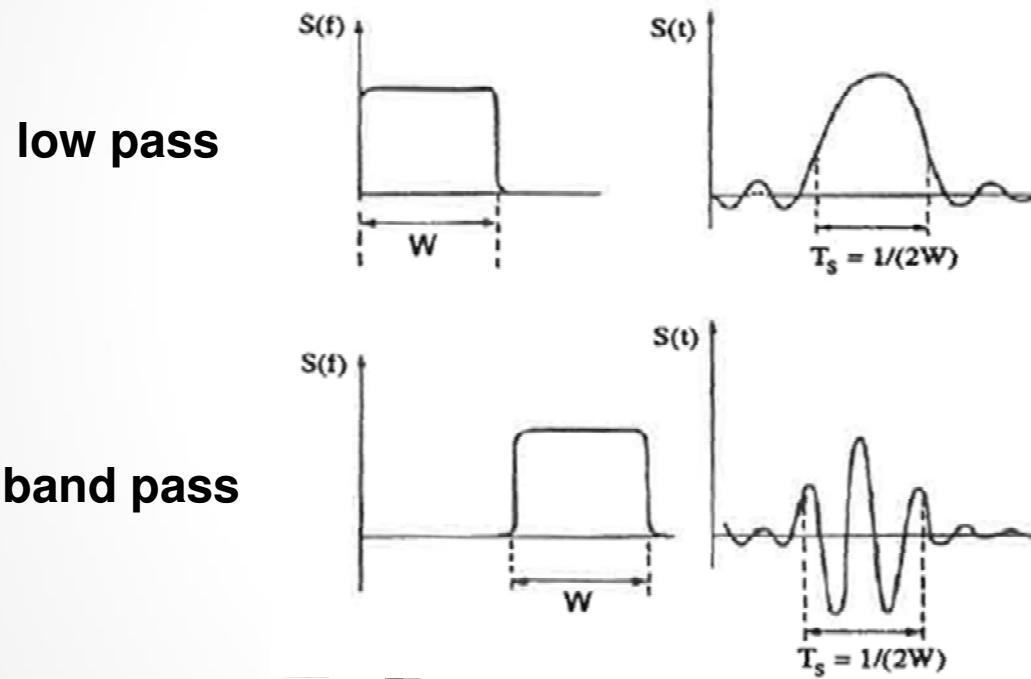


projection to two-dimensional
horizontal phase area

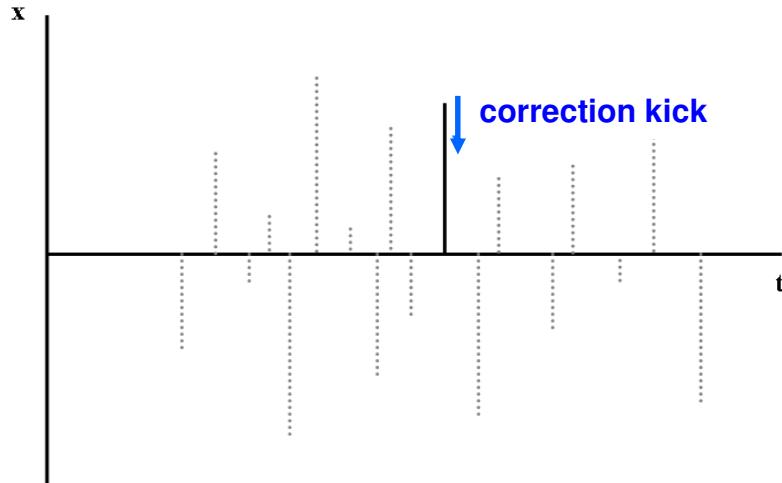


Stochastic Cooling

Nyquist theorem: a system with a band-width $\Delta f = W$ in frequency domain can resolve a minimum time duration $\Delta T = (2W)^{-1}$



Stochastic Cooling

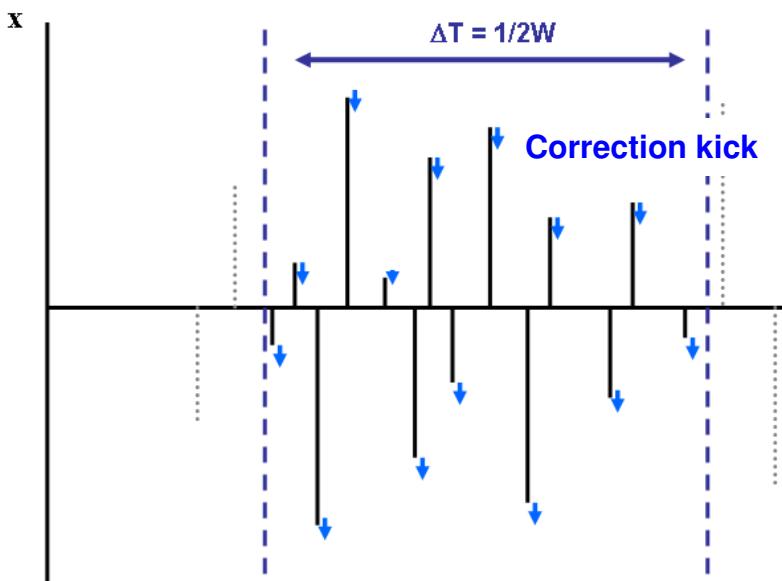


in time domain

correction kick
(unlimited time resolution)

$$-\Delta x = g \times x$$

Nyquist \Rightarrow the time resolution for
a system of bandwidth W is $\Delta T = (2W)^{-1}$



correction kick with bandwidth W

$$-\Delta x = \frac{g}{N_s} \times \sum_{i=1..N_s} x_i, \quad N_s = N \frac{\Delta T}{T_0} = \frac{N}{2WT_0}$$

For exponential damping ($x(t) = x(t_0) \times e^{-(t-t_0)/\tau}$):

$$\tau^{-1} = T_0^{-1} \times \frac{\Delta x}{x} = \frac{g2W}{N}, \quad \text{if } \sum_{i=1..N_s} x_i = x$$

cooling
rate

$$\tau^{-1} \leq \frac{2W}{N}, \quad \text{if } g \leq 1$$

Stochastic Cooling

some refinements of cooling rate formula

noise: thermal or electronic noise adds to the beam signal

mixing: change of relative longitudinal position of particles due to momentum spread

$$\text{cooling rate } \lambda = \tau^{-1} = \frac{2W}{N} \frac{(2g - g^2(M + U))}{\text{cooling} \quad \text{heating}} \quad \begin{array}{l} M \text{ mixing factor} \\ U \text{ noise to signal ratio} \end{array}$$

maximum of cooling rate

$$\lambda_{max} = \frac{2W}{N} \frac{1}{M + U}$$

$$\frac{d\lambda}{dg} = 0 \Rightarrow g = \frac{1}{M + U}$$

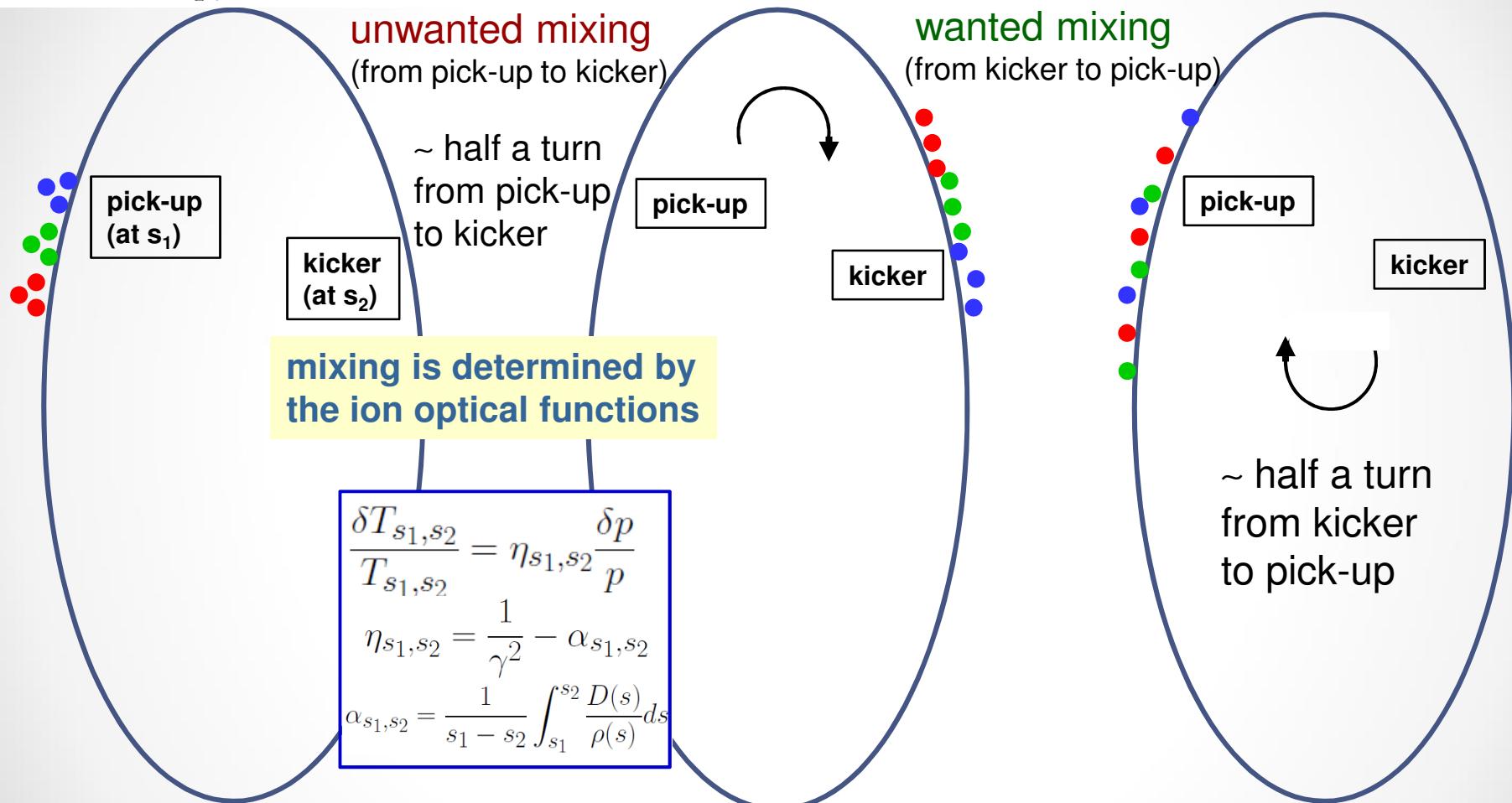
further refinement (wanted \leftrightarrow unwanted mixing):

with wanted mixing M (kicker to pick-up)
and unwanted mixing \tilde{M} (pick-up to kicker)

$$\lambda = \tau^{-1} = \frac{2W}{N} (2g(1 - \tilde{M}^{-2}) - g^2(M + U))$$

Mixing

$$\lambda = \tau^{-1} = \frac{2W}{N}(2g(1 - \tilde{M}^{-2}) - g^2(M + U))$$



$$1 - \tilde{M}^{-2} \approx \cos [0.5\pi \eta_{eff} n_{max} (\Delta p/p)_{rms}]$$

$$\Rightarrow \eta_{eff} \sim 0$$

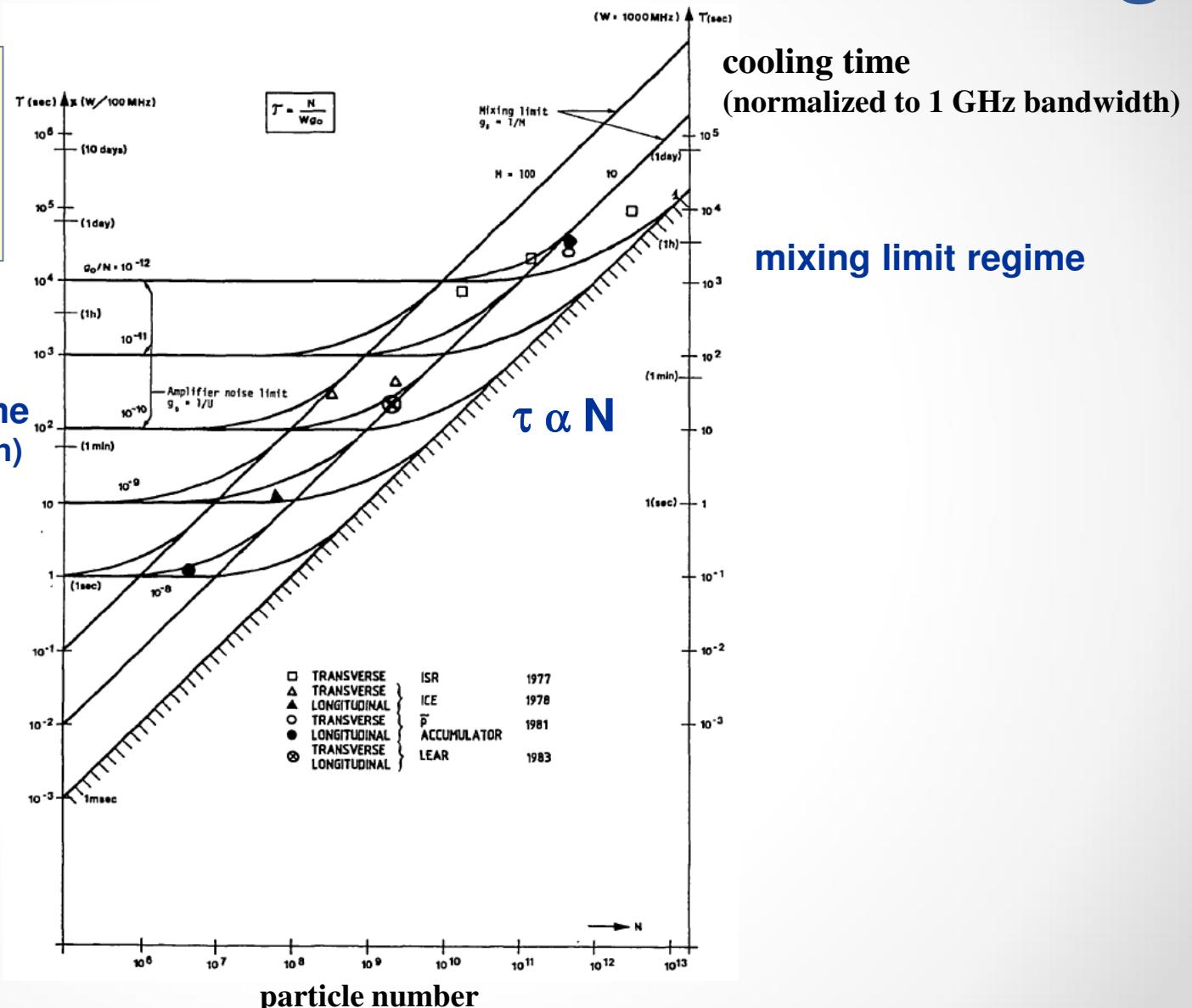
$$M = (2WT\eta(\Delta p/p)_{rms})^{-1}$$

$$\Rightarrow \eta_{k-pu} > 0, (T\eta(\Delta p/p)_{rms})^{-1} \sim 1$$

Basic Studies of Stochastic Cooling

summary of initial stochastic cooling studies at CERN with (anti-)protons

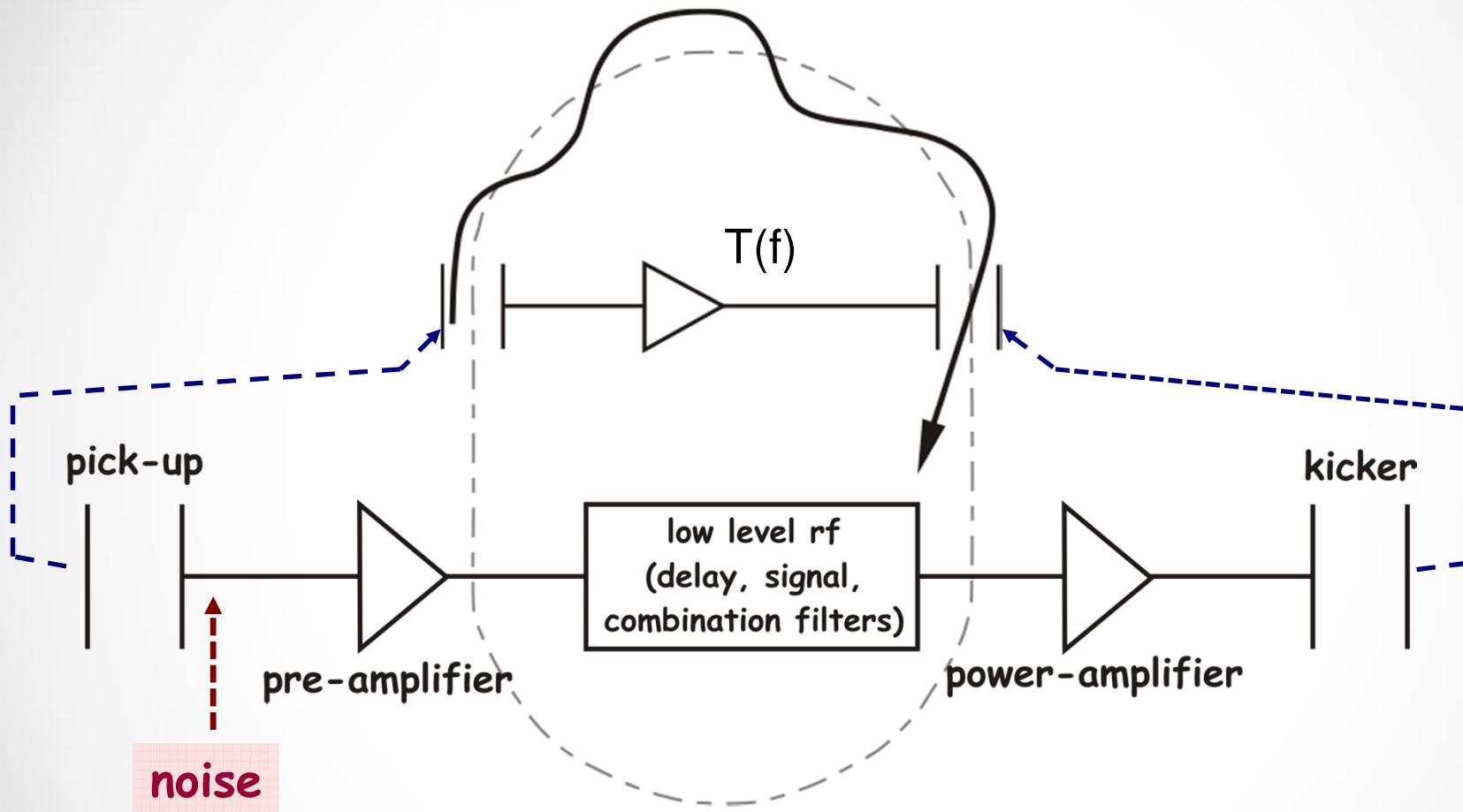
noise limit regime
(depending on gain)



Requirements Stochastic Cooling

- **large bandwidth (typically one octave in the GHz range)**
- **good transfer function in gain and phase**
- **high sensitivity (high impedance) of pick-up and kickers**
- **low electronic noise (cold pick-ups, low noise amplifiers)**
- **large, but variable gain**
- **special ion optical properties of the ring**
 - betatron phase advance between transverse pick-up and kicker**
 - small momentum slip factor (large wanted and little unwanted mixing)**
 - for Palmer cooling: large dispersion value at pick-up**

Stochastic Cooling Circuit

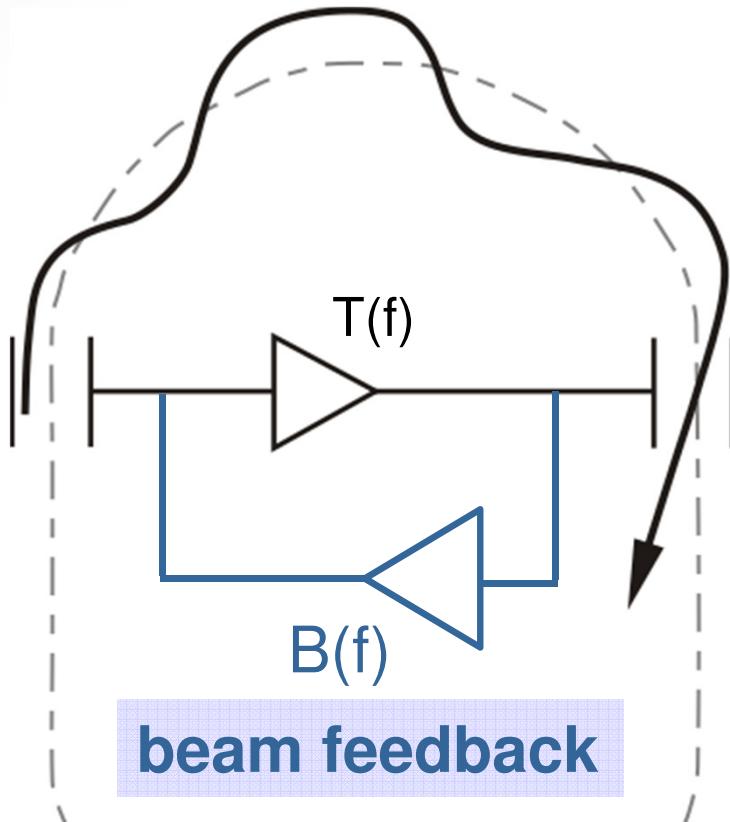


$$\text{energy kick: } \delta E(f) = T(f) I_b(f)$$

transfer function:

$$T(f) = Z_{pu} \cdot G_{pu}(f) \cdot H(t_{delay}) \cdot F(f) \cdot G_k(f) \cdot Z_{ki}$$

Stochastic Cooling Circuit



kick: $\delta E(f) = \frac{T(f)}{1 - B(f)T(f)} (I_b(f) + \Delta I(f)) \quad \Delta I(f) = B(f) \cdot \Delta E(f)$

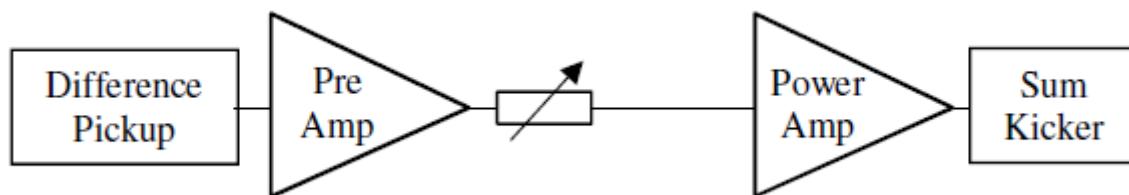
open loop gain: $S(f) = B(f) \cdot T(f)$

results in shielding and
a reduction of Schottky noise
⇒ reduction of gain

Longitudinal Stochastic Cooling

1) Palmer cooling

a pick-up in a dispersive section detects the horizontal position
(sensitivity to the particle longitudinal momentum)



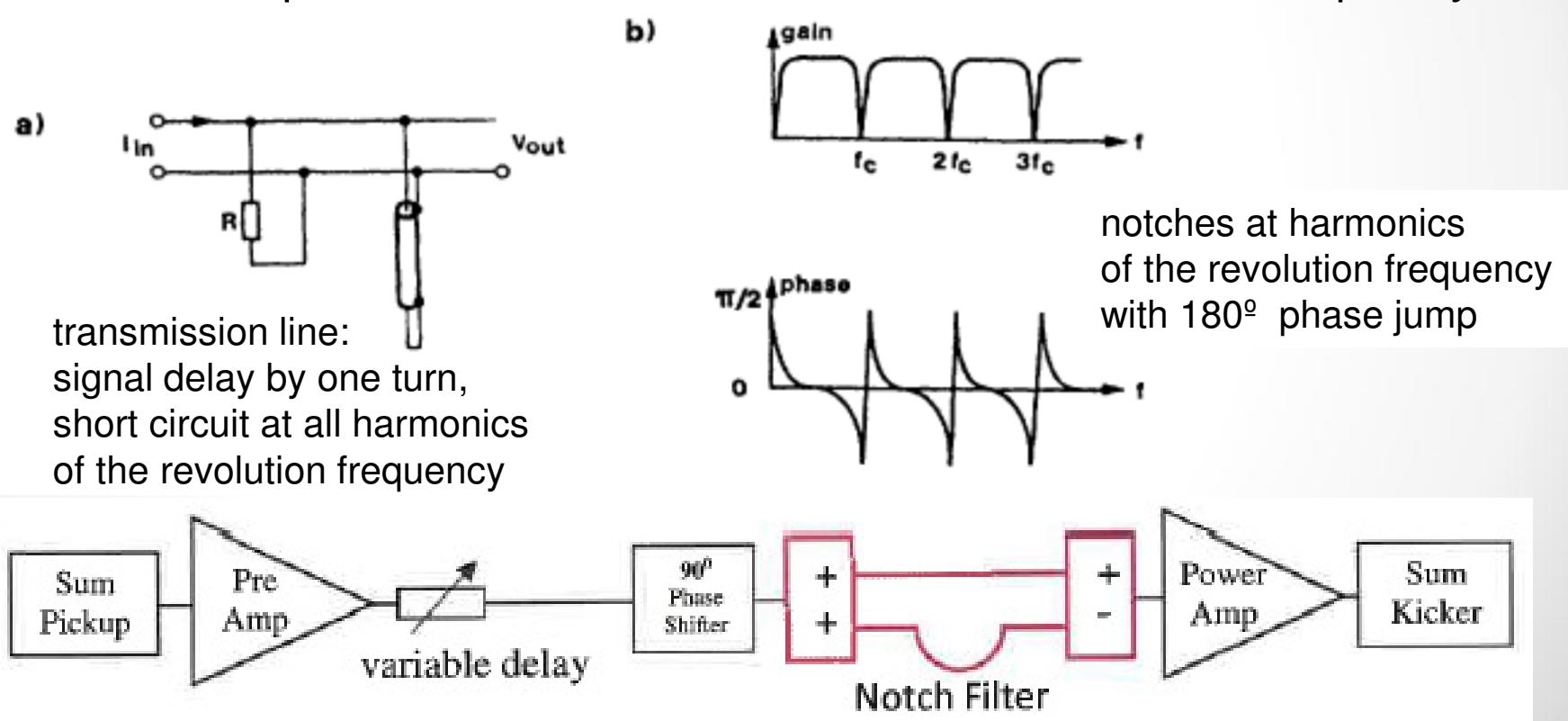
at the kicker the correction signal derived from the position
results in an acceleration/deceleration kick
which counteracts a momentum deviation

(coupling longitudinal-horizontal degree of freedom can
cause heating \Rightarrow compensation by horizontal cooling system)

Longitudinal Stochastic Cooling

2) Notch filter cooling

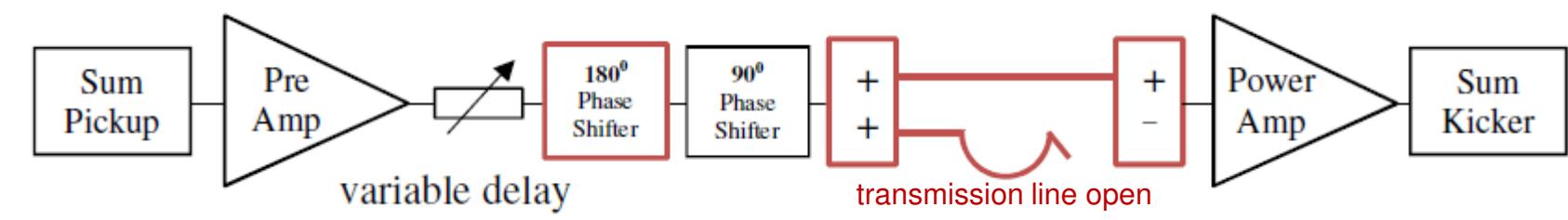
filter creates notches at the harmonics of the nominal revolution frequency
⇒ particles are forced to circulate at the nominal frequency



Longitudinal Stochastic Cooling

3) ToF cooling

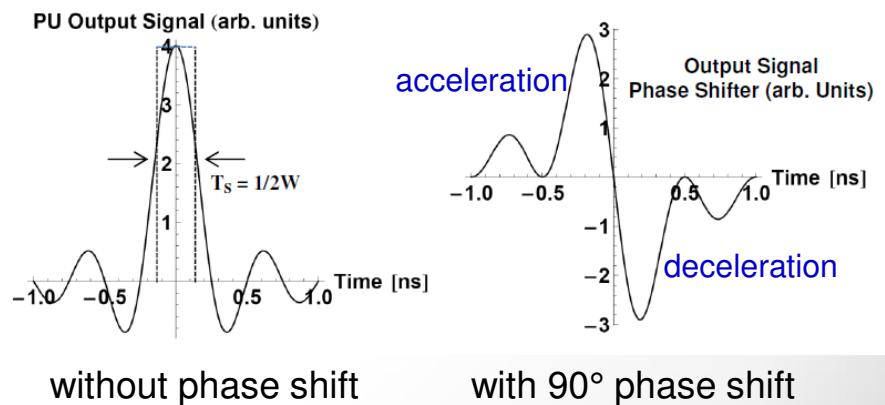
simplified scheme without notches allows efficient pre-cooling



compared to notch filter cooling the delay line is open and

a 90° phase shifter is added

⇒ differentiation of the signal



without phase shift

with 90° phase shift

Fokker-Planck Equation

$$\frac{\partial \psi(\delta, t)}{\partial t} = -\frac{\partial}{\partial \delta} [F(\delta, t) \psi(\delta, t) - D(\delta, t) \frac{\partial}{\partial \delta} \psi(\delta, t)]$$

distribution function $\psi(\delta, t)$

coordinate $\delta = x, y, \delta p/p$

cooling term $F(\delta, t) = f_0 \delta$

diffusion term $D(\delta, t) = \frac{1}{2} f_0 \langle (\delta)^2 \rangle$

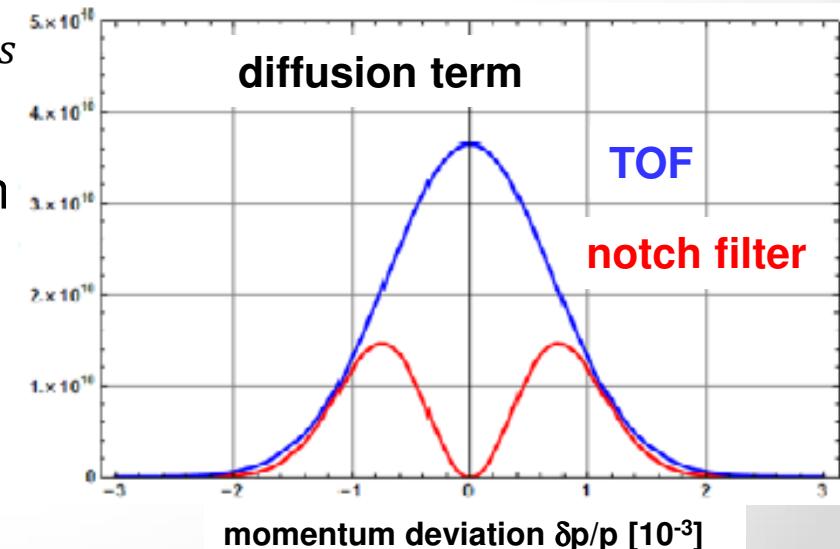
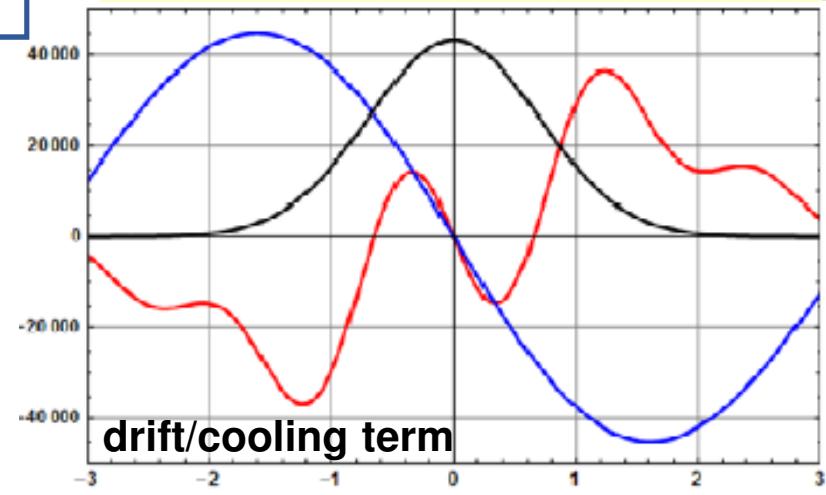
$$\Delta\delta = -g \frac{2W}{Nf_0} \delta \quad \langle (\Delta\delta)^2 \rangle = g^2 \delta_{rms}^2$$

equilibrium distribution ($\frac{\delta\psi}{\delta t} = 0$) is a Gaussian

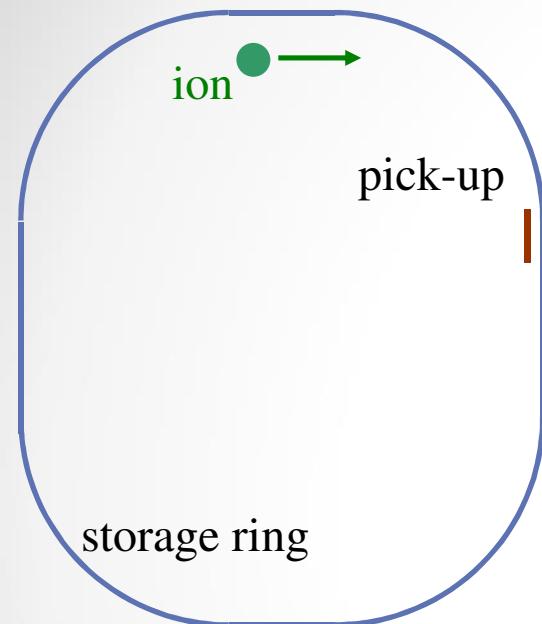
$$\psi(\delta) = \frac{N}{\sqrt{2\pi}\sigma} \exp[-\delta^2/(2\sigma^2)]$$

$$\sigma = \sqrt{\frac{D}{g} \frac{N}{2W}}$$

longitudinal cooling
TOF and notch filter method



Schottky Diagnostics



measurement of momentum spread

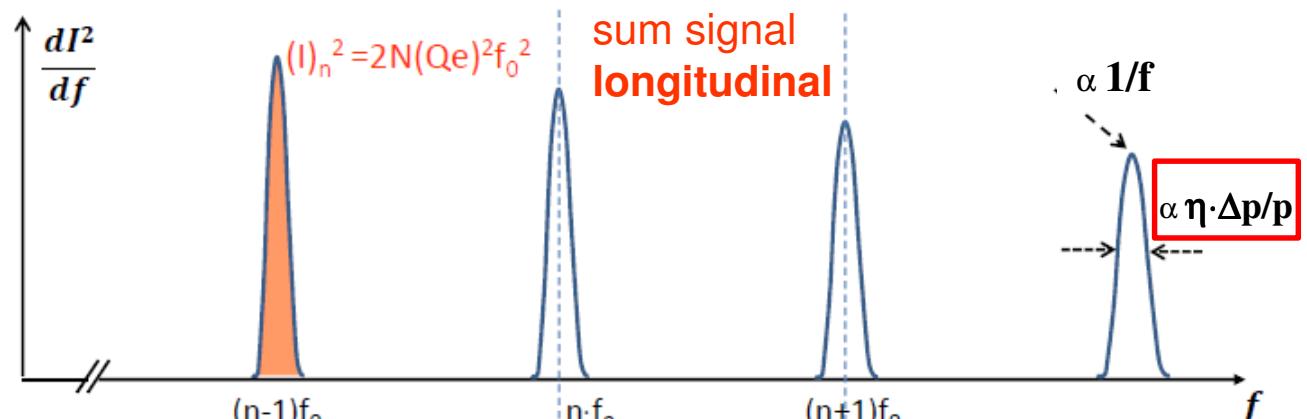
$$\frac{\Delta p}{p} = \frac{1}{\eta} \frac{\Delta f}{f}$$

measurement of transverse emittance

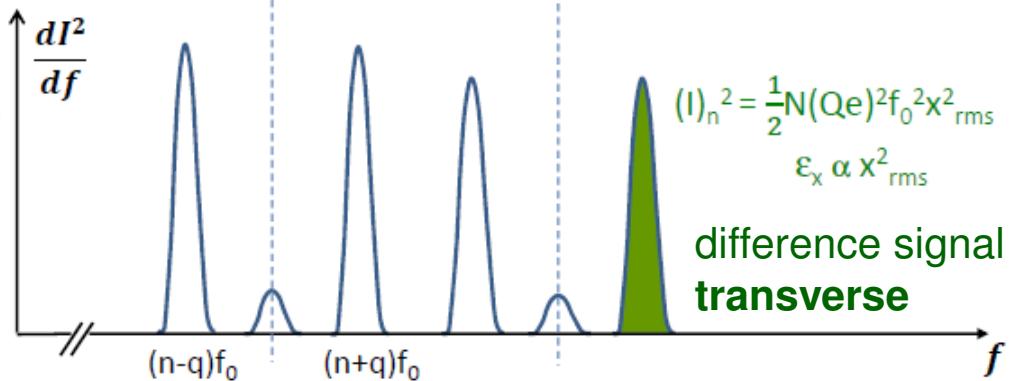
$$(I)_n^2 \propto \varepsilon_x$$

signal amplification

frequency analysis



N particle number
 f_0 revolution frequency
 Qe ion charge
n harmonic number
q non-integer tune



momentum slip factor η

$$\eta = \frac{1}{\gamma^2} - \frac{1}{\gamma_t^2}$$

transition energy γ_t

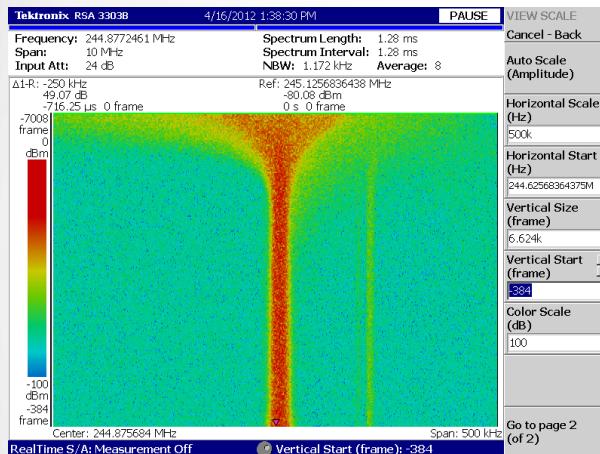
Comparison of Longitudinal Cooling Methods

Ar¹⁸⁺ 400 MeV/u

longitudinal momentum distribution versus time

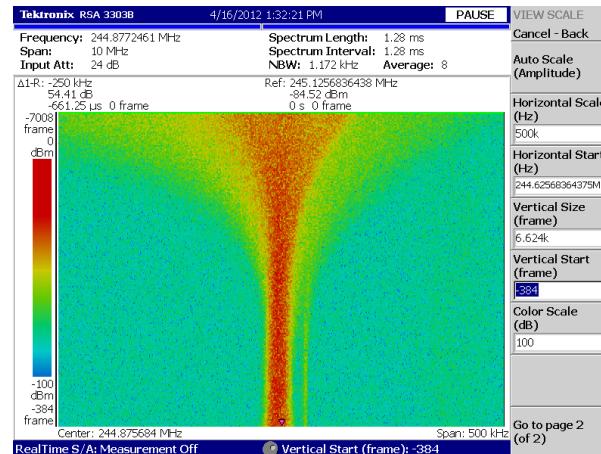
measured at the ESR
heavy ion storage ring
**Schottky signal observed
at 245 MHz (h=124)**

Palmer cooling



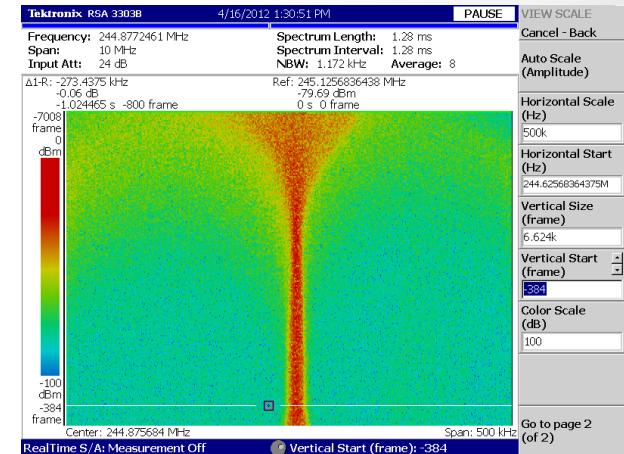
large momentum capture range
fast cooling
good final momentum spread
drawback: horizontal heating
needs to be compensated
by horizontal cooling

Time-of-Flight cooling



large momentum capture range
slower cooling
moderate final momentum spread
simple set-up
no special lattice requirement

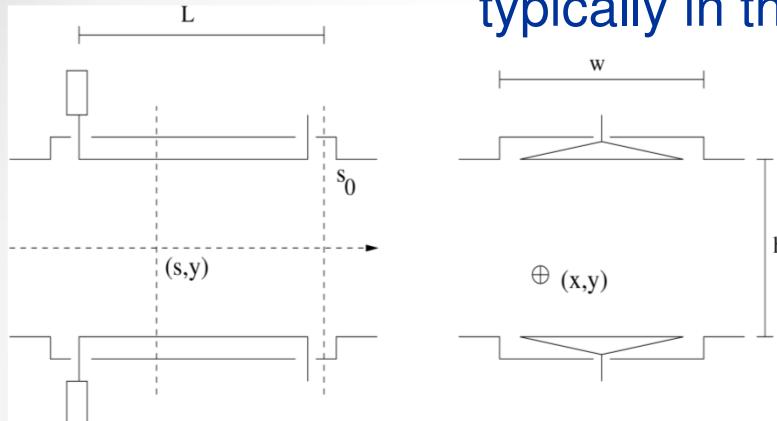
Notch filter cooling



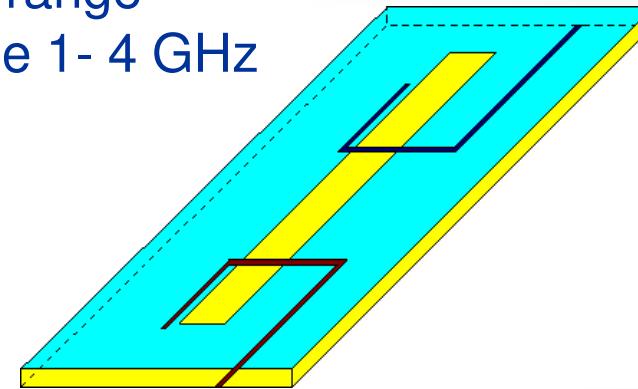
reduced momentum capture range
good cooling rate
smallest final momentum spread
most elaborate rf hardware
issue of notch filter stability

Electrode Structures

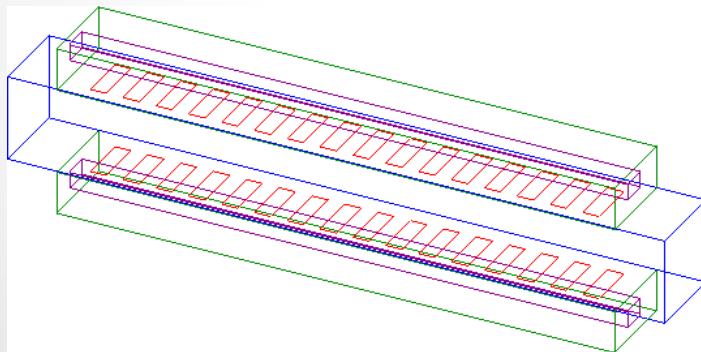
electrode size matched to the frequency range
typically in the range 1- 4 GHz



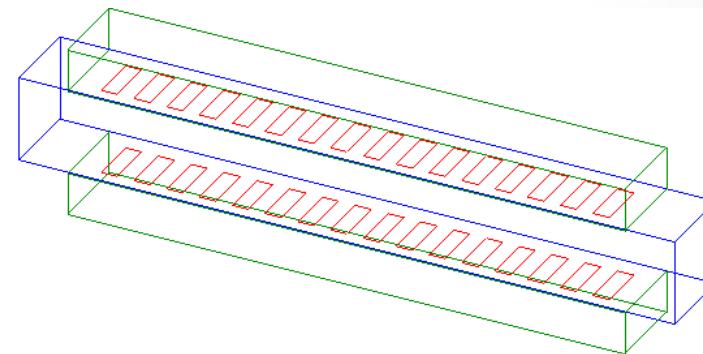
quarter wave pick-up
universal backward coupler



transverse slotline pick-up
transverse microwave slotline
coupled to two microstrip lines



Faltin type structure
travelling wave coaxial waveguide
with slot coupling



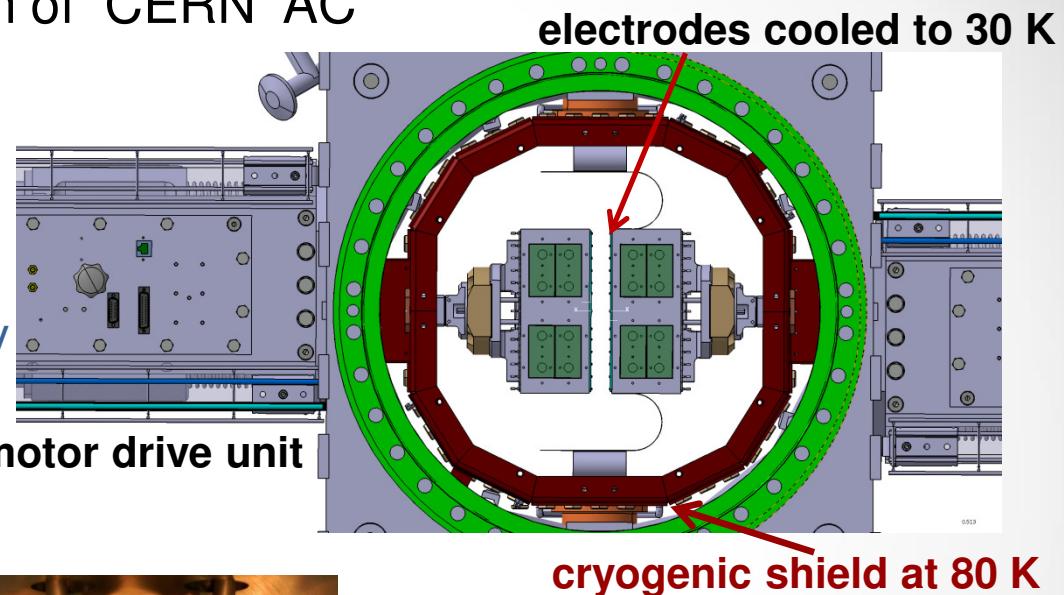
slotted waveguide structure
travelling wave rectangular waveguide
with slot coupling

Movable Electrodes

pioneered by the plunging system of CERN AC

more recently developed for:

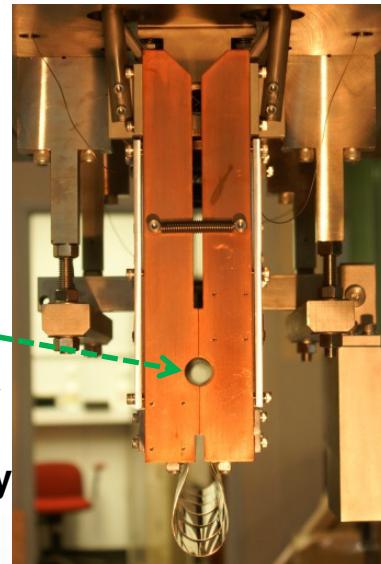
FAIR Collector Ring
stochastic cooling
improvement of sensitivity
repetition time down to 1 s
cooling during motion



open position during
injection and ramping

beam opening

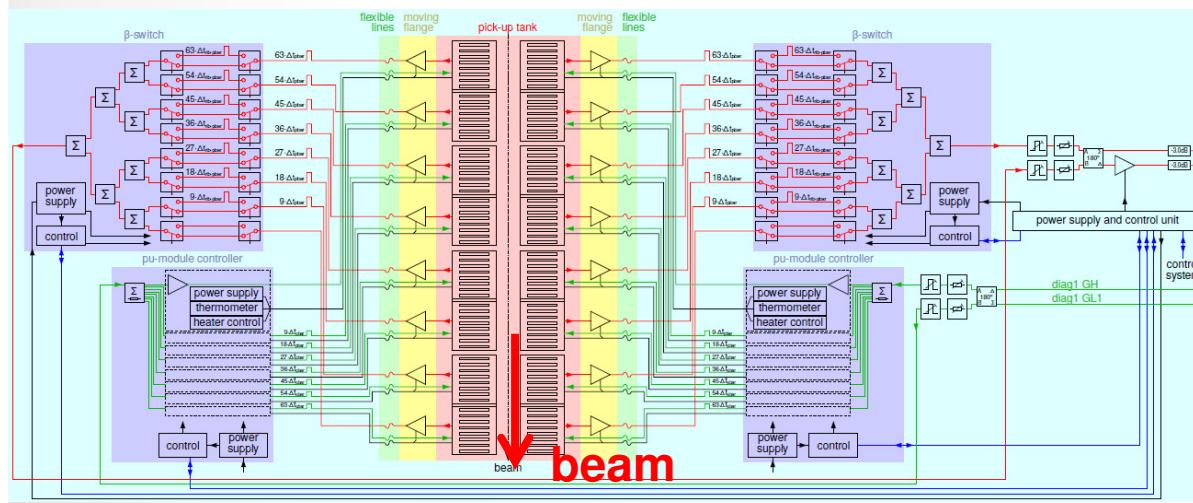
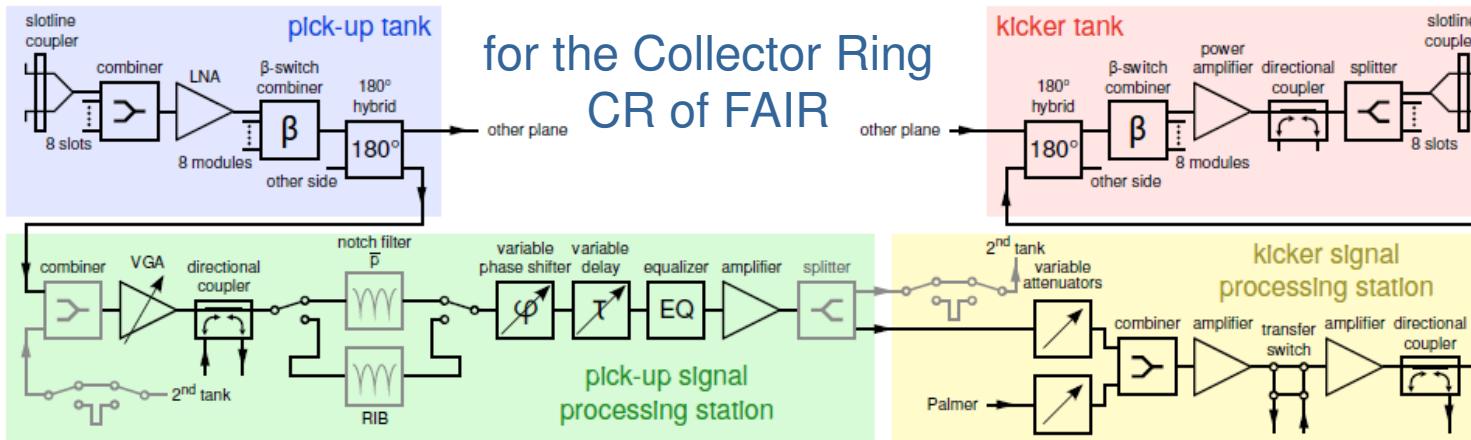
closed for operation
at the collision energy



BNL RHIC Collider
operation after acceleration
in the collision mode
repetition time many hours
cooling after acceleration and closing

Stochastic Cooling RF Components

for the Collector Ring
CR of FAIR

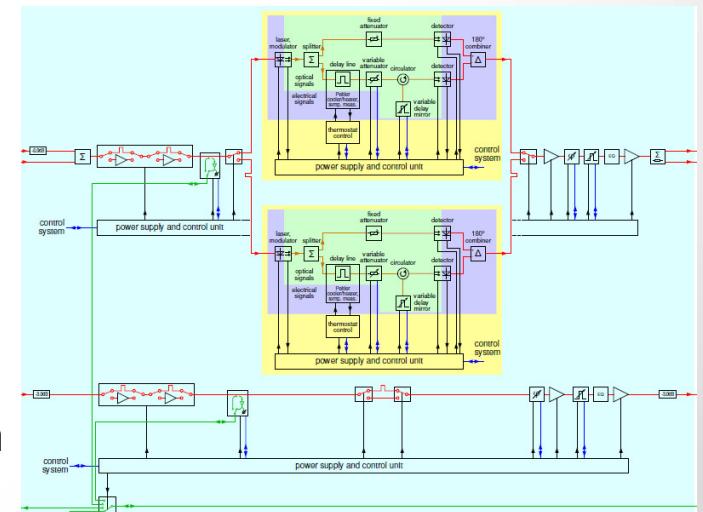


pick-up (kicker) tank

summing of signals from different pick-ups along the beam
with matched delay

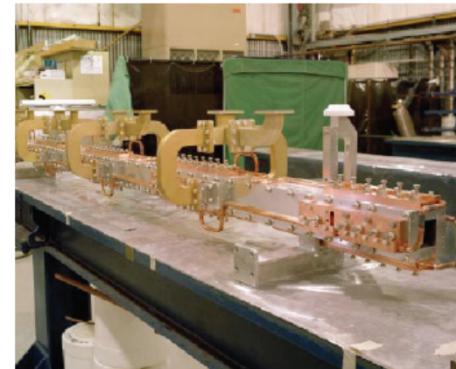
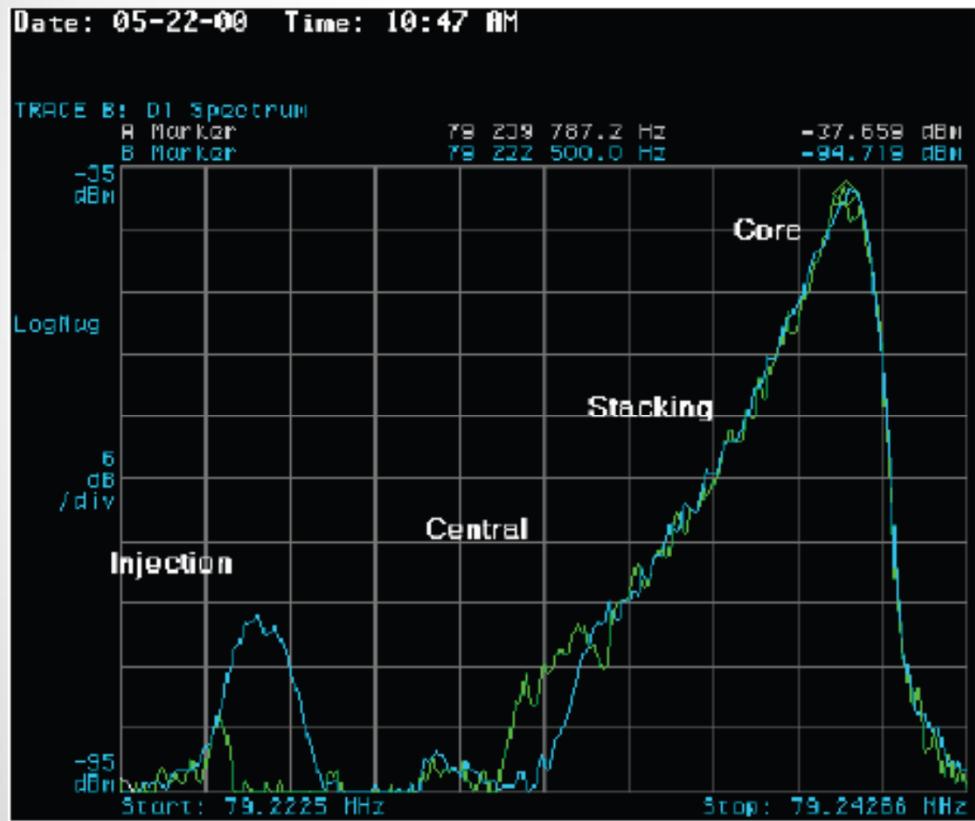
sum/difference of the two sides for longitudinal/transverse cooling

signal processing:
delay, sum/difference,
differentiation, filtering

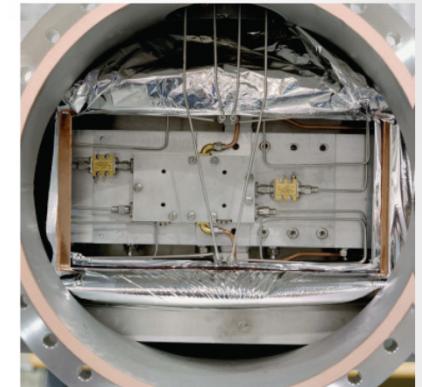


Antiproton Accumulation by Stochastic Cooling

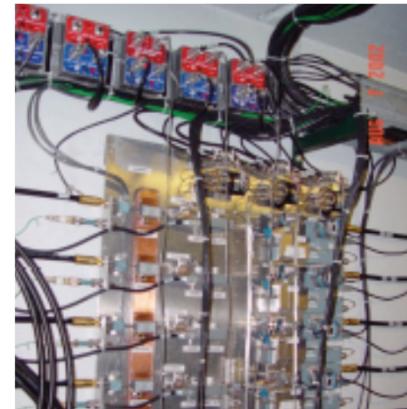
accumulation of 8 GeV antiprotons at accumulator ring, FNAL, shut down 09/2011
a similar facility AC/AA at CERN was operated until 11/1996



kicker array



cryogenic microwave amplifier



microwave electronics

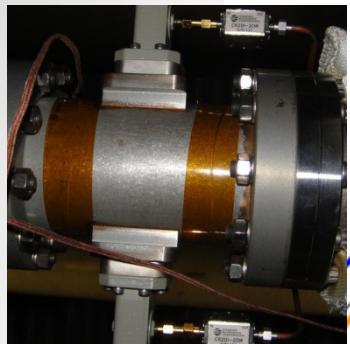


power amplifiers (TWTs)

momentum distribution of accumulated antiproton beam

RHIC – 3D stochastic cooling for heavy ions

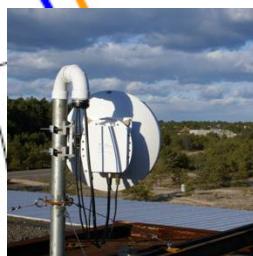
longitudinal pickup



3 tanks with longitudinal
(narrow band) kicker units



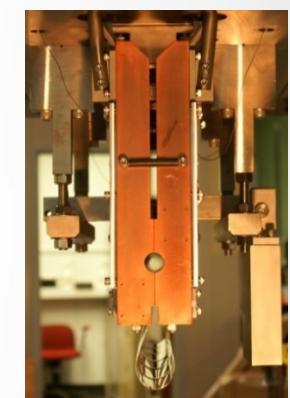
Fiber Optic
Links,
transverse



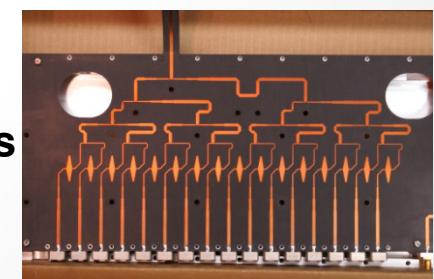
MicroWave
Links,
longitudinal

70 GHz carrier
with 16 GHz
local oscillator

Transverse
pickups, FO
Transverse
kicker

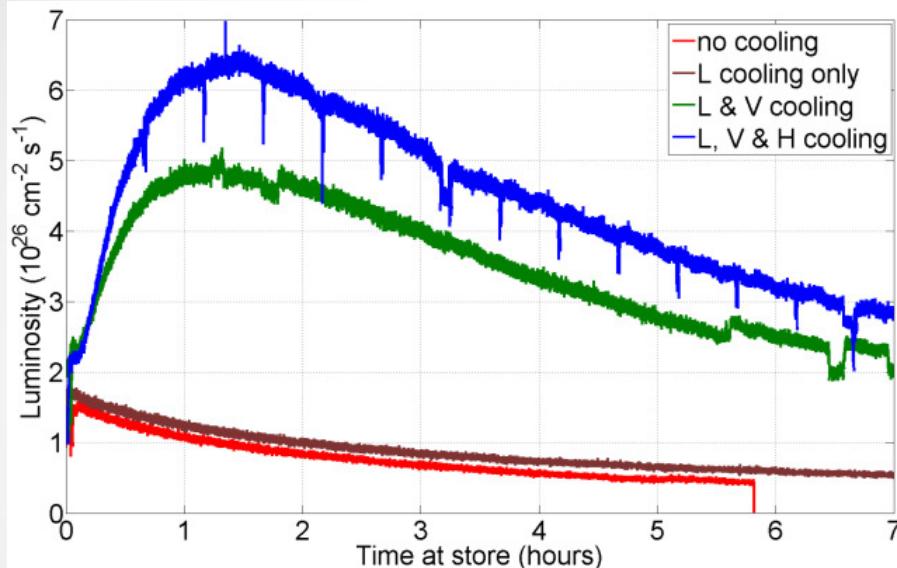


horizontal and
vertical pickups

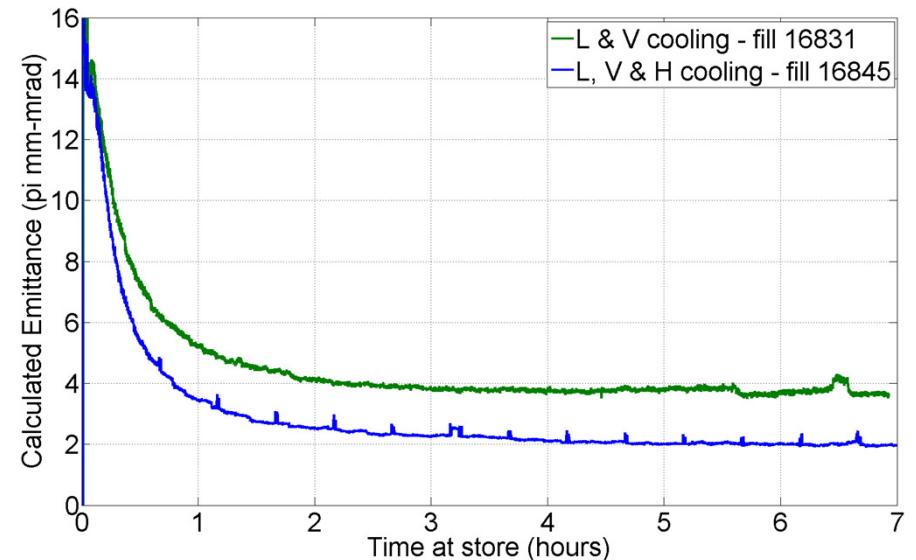


RHIC Luminosity production

luminosity with/without stochastic cooling



beam emittance during store



For Uranium-on-Uranium collisions
the cooling increased the integrated
luminosity per store by a factor of 5

The transverse emittances were reduced
by x 4 with a cooling time of ½ hour

M. Brennan et al.

Stochastic Cooling of Rare Isotopes at GSI

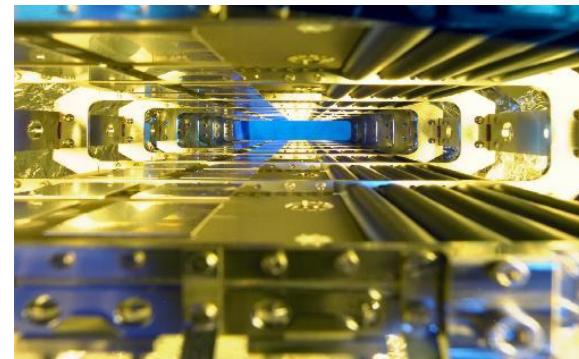
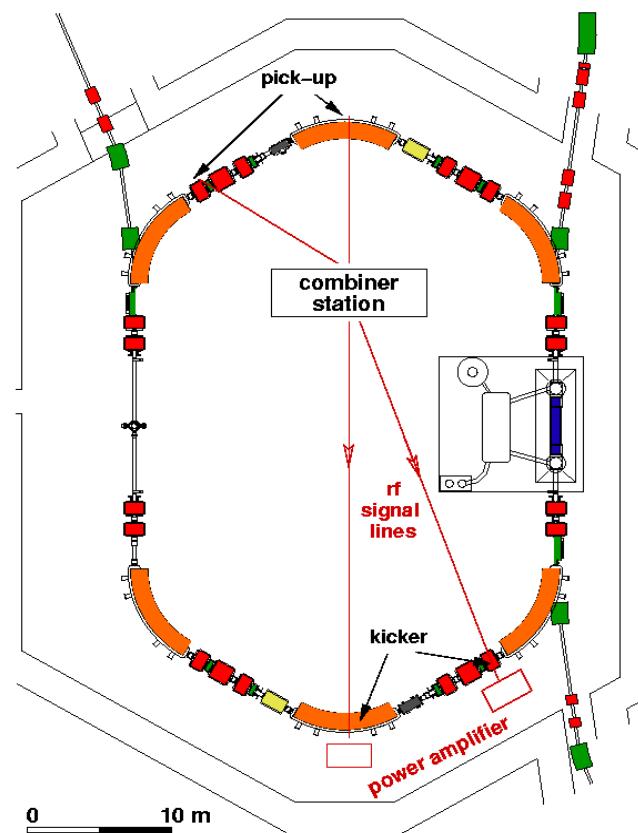
fast pre-cooling of hot rare isotopes

energy 400 (-550) MeV/u

bandwidth 0.8 GHz (range 0.9-1.7 GHz)

$\delta p/p = \pm 0.35\%$ $\rightarrow \delta p/p = \pm 0.01\%$

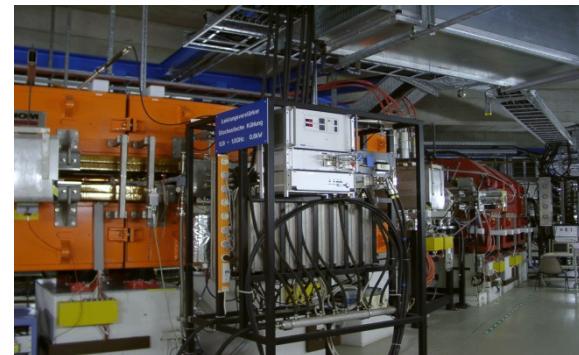
$\epsilon = 10 \times 10^{-6}$ m $\rightarrow \epsilon = 2 \times 10^{-6}$ m



electrodes
installed in the
ultrahigh vacuum
inside magnets



combination of
signals from
electrodes



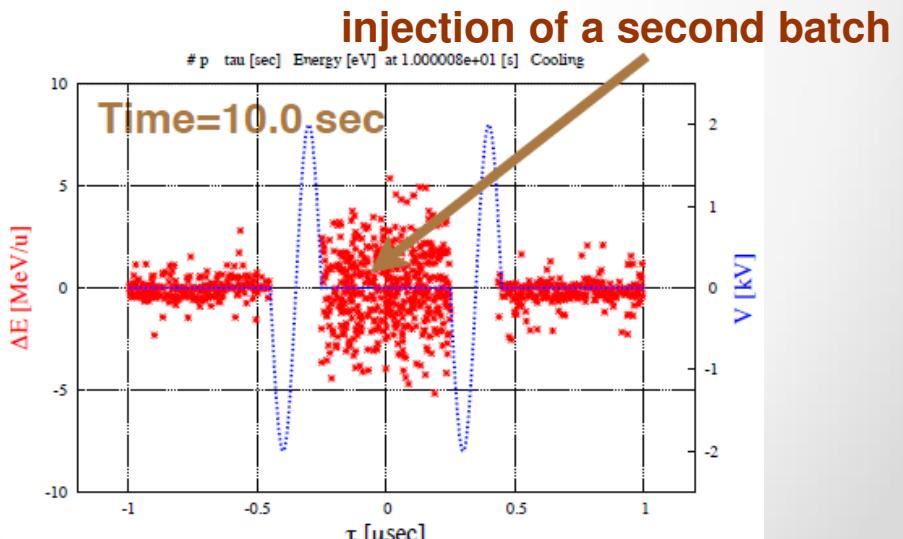
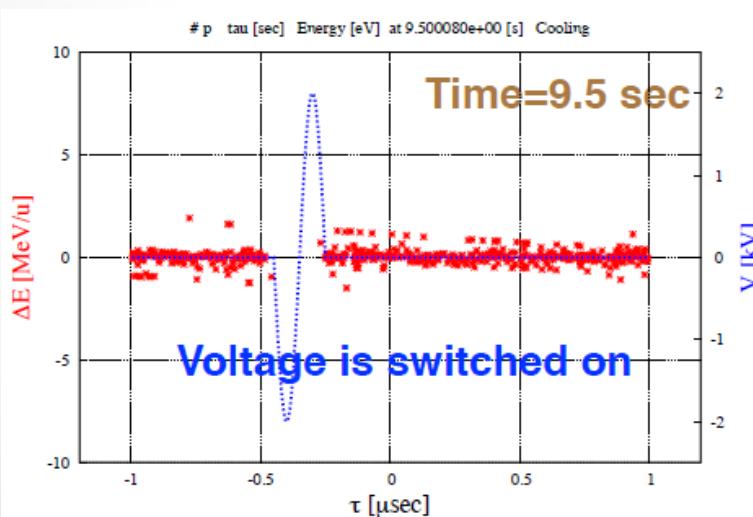
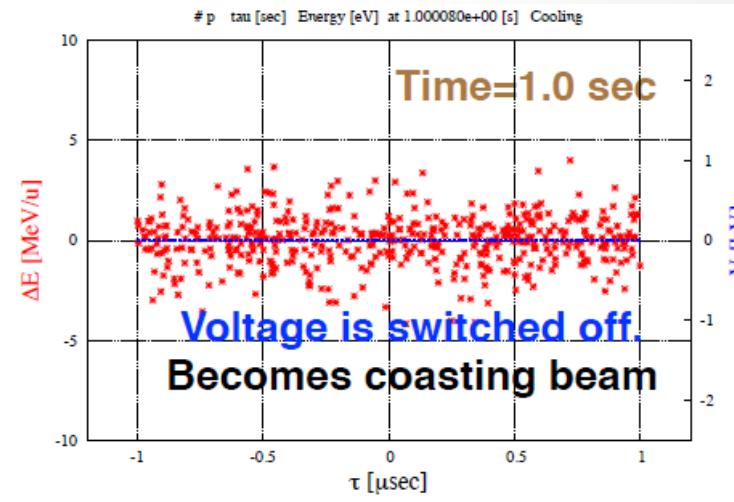
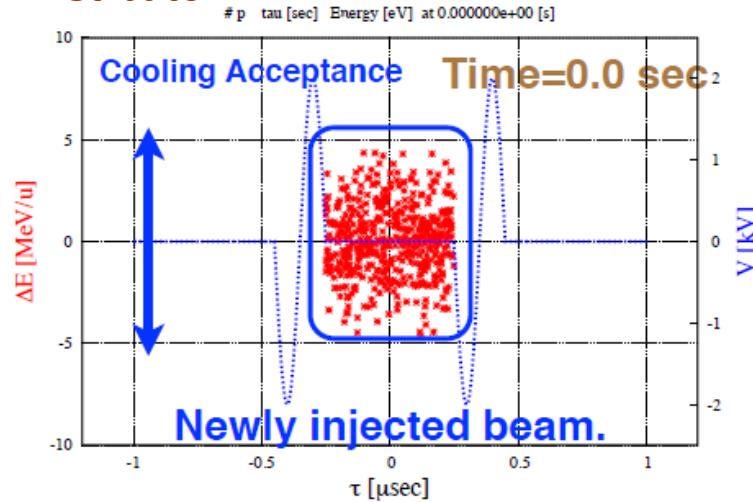
power amplifiers
for generation of
correction kicks

Barrier Bucket Accumulation by Stochastic Cooling

proposed for the accumulation of antiprotons in HESR

a similar method was applied in the Recycler (FNAL)

first batch

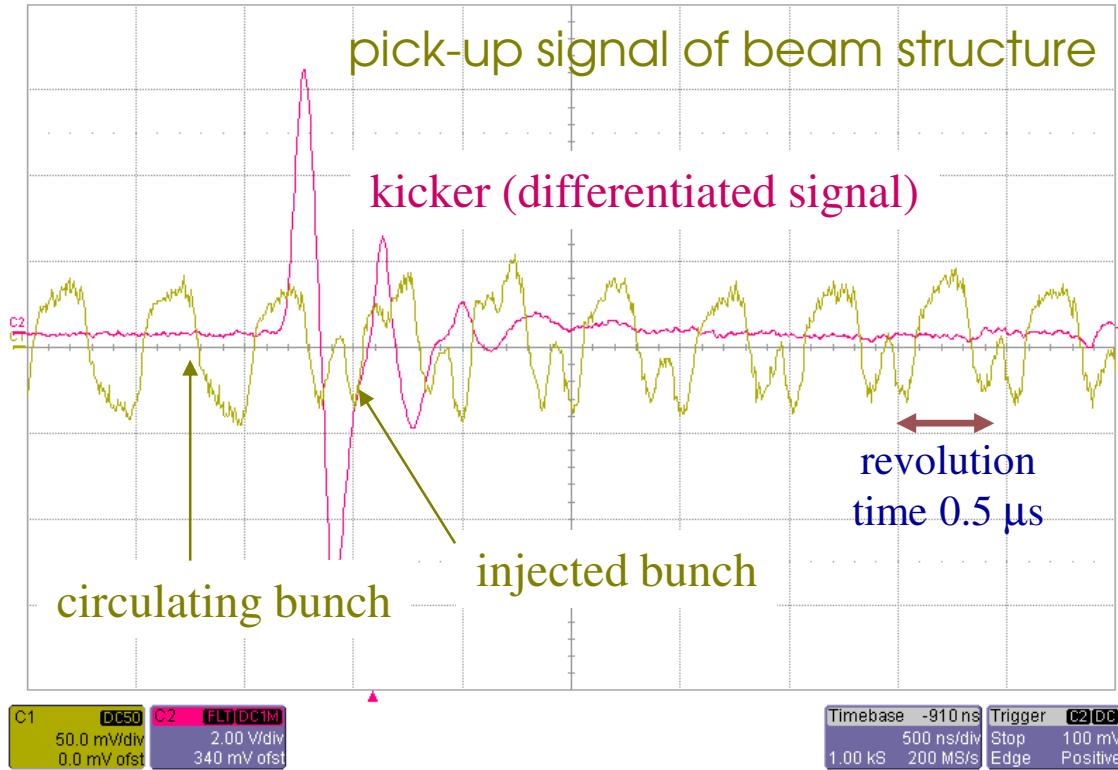


simulation by T. Katayama

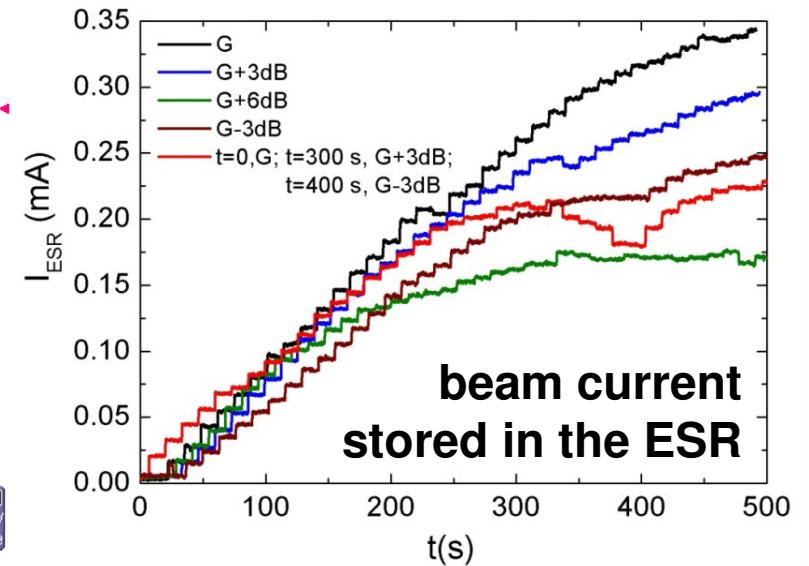
M. Steck (GSI) CAS 2019, 9 – 21- June 2019. Metalskolen, Slangerup, Denmark

Proof of Principle Experiment at ESR

Longitudinal Accumulation with Stochastic Cooling



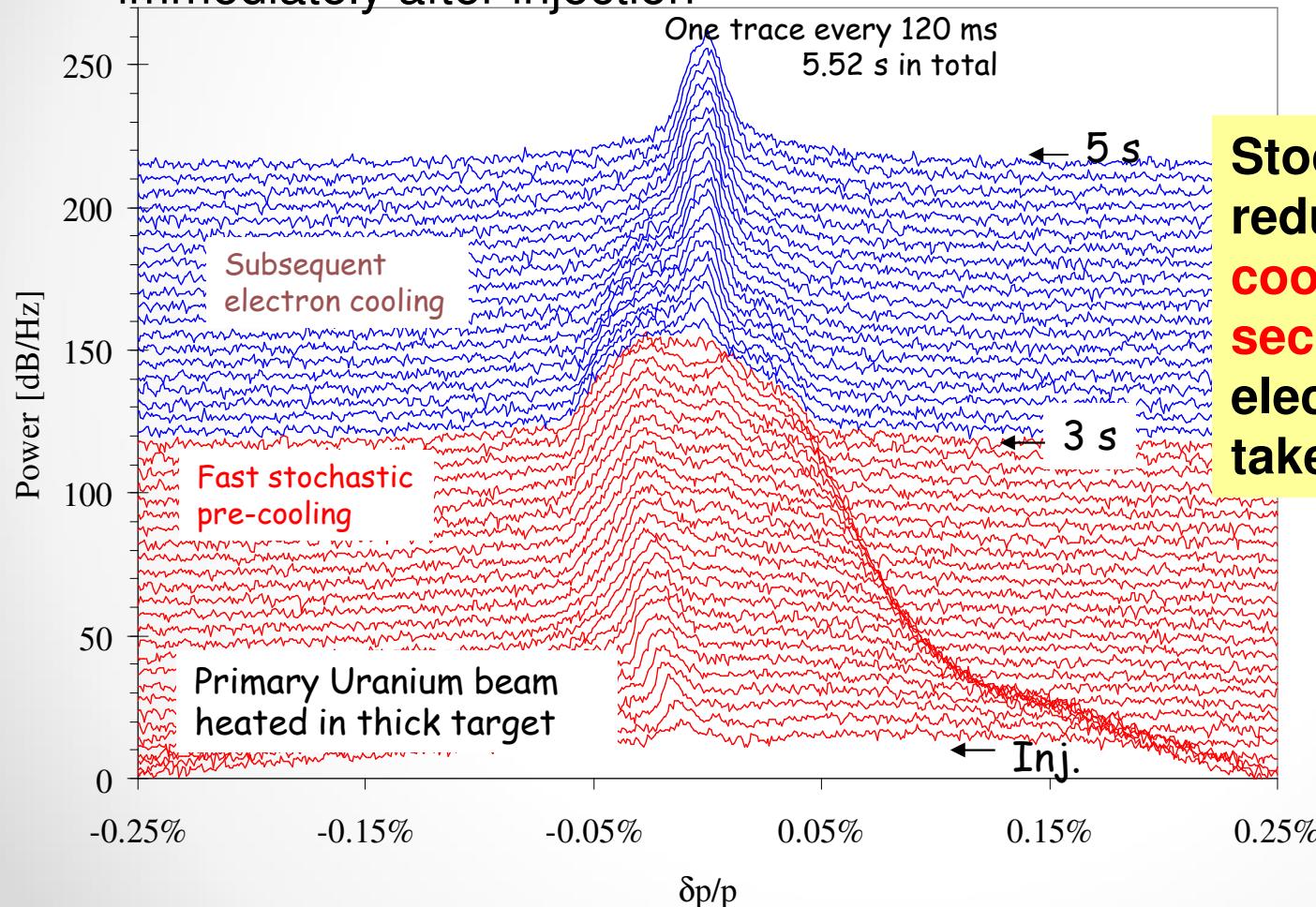
using a single bunch of Ar^{18+} at 400 MeV/u from SIS



at higher intensity and beam density heating can dominate
⇒ mitigate by variation of the system gain
in the course of the cooling process

Combination of Stochastic and Electron Cooling

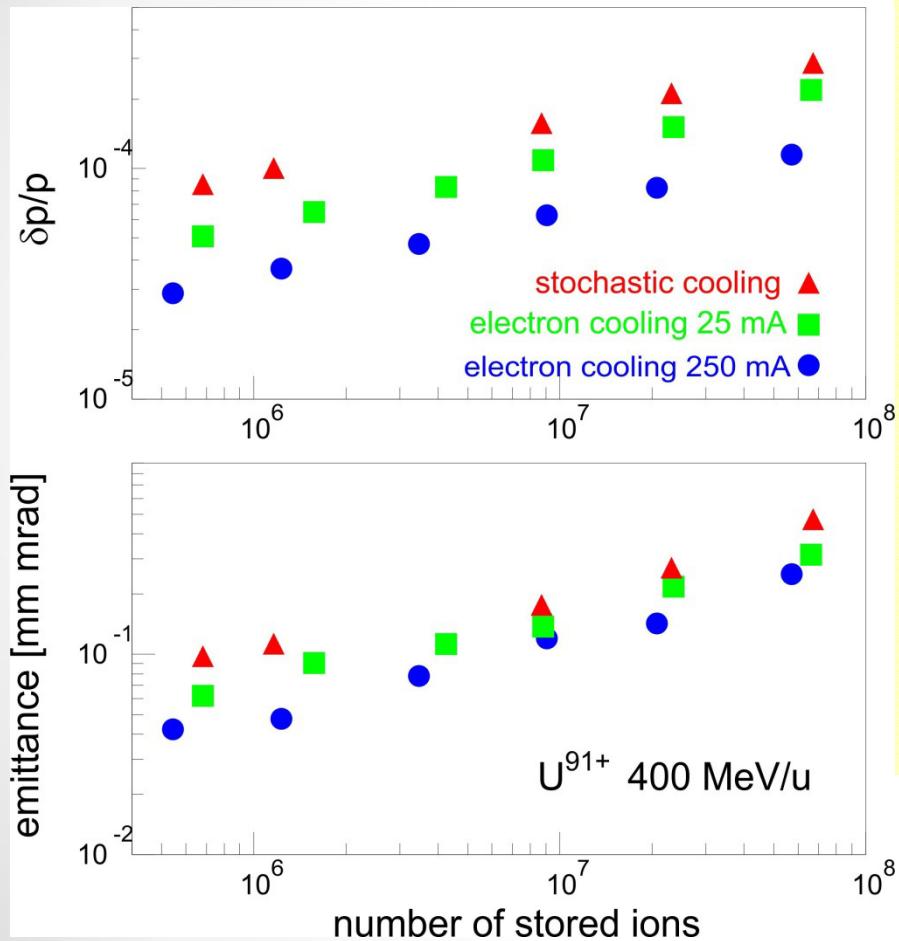
stochastic pre-cooling + final electron cooling
immediately after injection



Stochastic pre-cooling reduces the **total cooling time to a few seconds, electron cooling only takes 10 - 60 s**

lifetime due to nuclear decay of rare isotopes can be seconds or less

Equilibrium Beam Parameters of the Cooled Beam in the ESR



limited by Intrabeam Scattering

Electron cooling results in smaller momentum spread and smaller emittance.

The equilibrium is a balance between the cooling rate and the heating rate by intrabeam scattering.

calculated IBS-heating/cooling rate [s^{-1}]

	longit.	transv.
stoch. cool.	0.9 - 2.2	0.5 - 1.3
el. cool. [25 mA]	2.0 - 6.0	1.4 - 3.3
el. cool. [250 mA]	18 - 58	7 - 10

electron cooling is more powerful in producing cold beams, it provides higher cooling rate for cold beams

Stochastic Cooling Systems

CERN, Geneva, Switzerland

Intersecting Storage Ring (ISR) 1977
Initial Cooling Experiments (ICE) 1978
Antiproton Accumulator (AA) 1981
Low Energy Antiproton Ring (LEAR) 1983
Antiproton Collector (AC) 1987
Antiproton Decelerator (AD) 1999

FNAL, Chicago, USA

Test Ring 1979
Debuncher Ring 1985
Accumulator Ring 1985
Recycler Ring 1997

NAP-M, INP, Novosibirsk, Russia 1979

TARN, Tokyo, Japan 1983

ESR, GSI, Darmstadt, Germany 1997

COSY, FZJ, Jülich, Germany 1997

RHIC, BNL, Brookhaven, USA 2009

CSRe, IMP, Lanzhou, China 2016

decommissioned
in operation

Future Aspects of Stochastic Cooling

increased cooling rate:

- larger bandwidth of cooling system
- dedicated ring lattices

bunched beam cooling:

- increased luminosity in colliders

new accumulation schemes employing stochastic cooling

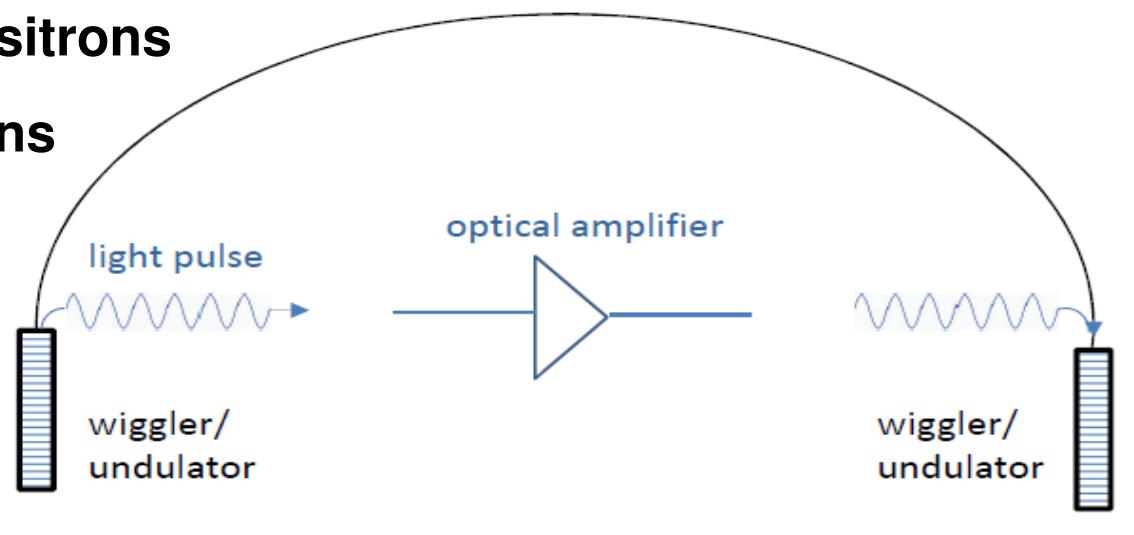
development of technologies

- e.g. optical components
- new rf components

Optical Stochastic Cooling

applicable to electrons/positrons

or very high energy hadrons

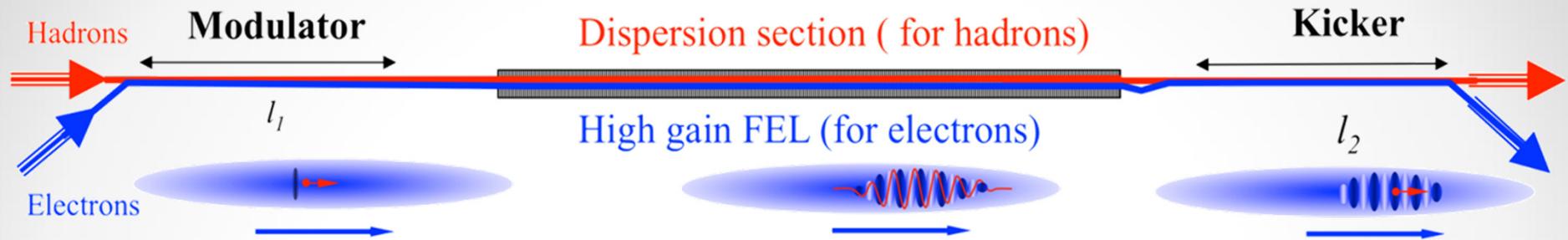


large bandwidth (up to THz) of optical system

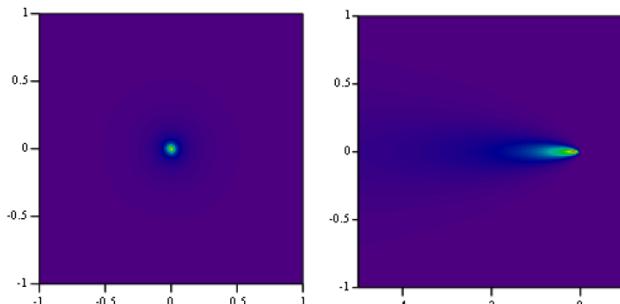
transverse cooling by longitudinal-transverse coupling

accelerator test facility **IOTA (Fermilab)** is preparing a demonstration of optical stochastic cooling

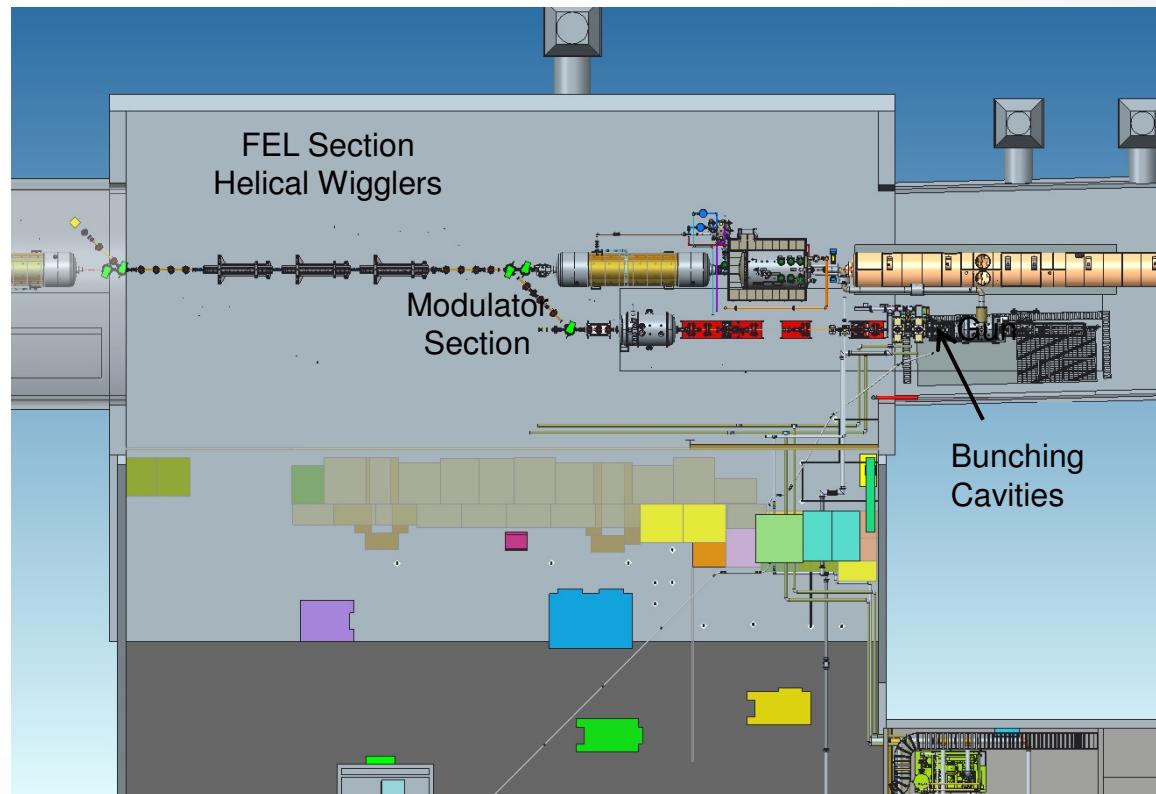
Coherent Electron Cooling



**test experiment
proposed at
RHIC (Brookhaven)**



**simulations of an ion
in the electron plasma**



Comparison of Cooling Methods

Stochastic Cooling

Useful for: low intensity beams

hot (secondary) beams
high charge
full 3D control

Limitations: high intensity beams
/problems beam quality limited
bunched beams

Electron Cooling

low energy
all intensities
warm beams (pre-cooled)
high charge
bunched beams

space charge effects
recombination losses
high energy

laser cooling (of incompletely ionized ions)
and ionization cooling (of muons) are quite particular
and not general cooling methods

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