DOI: 10.1111/ajae.12334

ARTICLE

Assessing the affordability of nutrient-adequate diets

Kate R. Schneider¹ | Luc Christiaensen² | Patrick Webb³ | William A. Masters³

Correspondence

Kate R. Schneider, Paul H. Nitze School of Advanced International Studies at Johns Hopkins University, 1740 Massachusetts Ave NW, Washington, DC 20036, USA. Email: kschne29@jhu.edu

Funding information

Bill & Melinda Gates Foundation

Abstract

The cost and affordability of least-cost healthy diets by time and place are increasingly used as a proxy for access to nutrient-adequate diets. Recent work has focused on the nutrient requirements of individuals, although most food and antipoverty programs target whole households. This raises the question of how the cost of a nutrientadequate diet can be measured for an entire household. This study identifies upper and lower bounds on the feasibility, cost, and affordability of meeting all household members' nutrient requirements using 2013-2017 survey data from Malawi. Findings show only a minority of households can afford the nutrient-adequate diet at either bound, with 20% of households able to afford the (upper bound) shared diets and 38% the individualized (lower bound) diets. Individualized diets are more frequently feasible with locally available foods (90% vs. 60% of the time) and exhibit more moderate seasonal fluctuation. To meet all members' needs, a shared diet requires a more nutrient-dense combination of foods that is more costly and exhibits more seasonality in diet cost than any one food group or the individualized diets. The findings further help adjudicate the extent to which nutritional behavioral change programs versus broader agricultural and food policies can be relied upon to improve individual access to healthy diets.

KEYWORDS

affordability, diet costs, food prices, meal sharing, nutrient adequacy, seasonality

JEL CLASSIFICATION

C61, D16

¹Paul H. Nitze School of Advanced International Studies at Johns Hopkins University, Washington, District of Columbia, USA

²Rome Jobs and Labor Mobility Program at the World Bank, Rome, Italy

³Friedman School of Nutrition Science & Policy at Tufts University, Boston, Massachusetts, USA

1 | INTRODUCTION

Whether markets can provide access to affordable, nutritious diets year round is an important criterion for assessing national and global food system performance (FAO et al., 2020). Least-cost diets for individuals, based on recommended nutrient intakes and obtained through linear programming, are increasingly used as an operational metric of access to foods with adequate nutrients for an active and healthy life. This study extends that work to whole households, because agricultural policies or social safety nets typically target the entire household, and because foods are often procured and prepared for the household as a whole and then shared among household members to varying degrees (Berti, 2012). Evaluating whether households have access to nutrient-adequate diets requires considering the nutrient needs of each individual household member and then addressing whether they consume individually tailored or shared meals (Schneider et al., 2021).

To measure each household's access to nutrient-adequate diets, one option would be to solve for every individual member's least-cost combination of foods and add them up, whereas the opposite benchmark is to solve for a single shared diet that meets all members' needs. Actual households might not be able to prepare and serve a different diet to each person, but the thought experiment reveals the lower bound on food costs to meet all members' nutrient needs. Similarly, the corresponding upper bound would be a shared diet that uses one (smaller) set of foods to meet all members' needs, as recently developed in the nutrition literature (Schneider et al., 2021). By construction, the nutrient-adequate shared diet must have the same or greater nutrient density than the sum of the individualized diets, because the shared diet's level of each vitamin and mineral is that of the household's neediest member (Schneider et al., 2021). For example, a household with one man and one woman would need more iron per calorie in its shared diet than with two individualized diets, because women need a higher level of iron per calorie (Bai et al., 2022). The present study measures how these demographic differences in nutrient requirements among surveyed households affect the feasibility, cost, and affordability of meeting nutrient needs, given the nutrient composition and prices of foods available at local markets in Malawi from 2013 to 2017.

This paper is part of a trio, preceded and followed by closely related studies, and situated within a larger body of work on the affordability of healthy diets globally (Bai et al., 2022; Herforth et al., 2020; Masters et al., 2018). It builds on Schneider et al. (2021), which developed the shared household nutrient requirements, compared shared to individual nutrient requirements, and assessed the adequacy of observed diets relative to the shared requirements. Findings show diets in Malawi, on average, are lacking in most nutrients and unbalanced in macronutrients. Meeting the needs of members with a shared household diet would require much more iron, zinc, phosphorus, and vitamin C than required by individuals alone. The present study is followed by Schneider (2022), which uses a combination of shadow price and regression analyses with policy simulations to deduce the drivers of an infeasible least-cost shared diet. Drivers of infeasibility are found to be household composition and incompatible presence of selenium and copper in foods, preventing a diet that satisfies the former within the limits of the latter.

The cost and affordability of nutrient-adequate diets depend not only on nutrient requirements but also on which foods are available at what prices in each location and time. In many rural settings across the world, and especially in Africa, the availability and cost of individual foods change substantially across seasons (Bai et al., 2020; Gilbert et al., 2017; Kaminski et al., 2016). As shown by Bai et al. (2020), substitution among foods to meet individual nutrient requirements can moderate seasonal fluctuation in diet costs only to a limited degree because of synchronized fluctuations in availability and price of items in the same food group that have similar nutrient composition (Arimond et al., 2010; Fiedler & Lividini, 2017).

¹Different nutrition criteria have been applied, including energy adequacy (FAO et al., 2020; Herforth et al., 2020); minimum scientific nutrient requirements for optimal growth and long-term health (Bai et al., 2021, 2022; Herforth et al., 2020; Masters et al., 2018); food-based dietary guidelines (Dizon et al., 2019; Herforth et al., 2020; Mahrt et al., 2019; Raghunathan et al., 2021); and the EAT-Lancet sustainable diet recommendations (Hirvonen et al., 2019; Willett et al., 2019).

This paper quantifies the implications of demographic heterogeneity for households' monthly access to nutrient-adequate foods in rural Malawi as an example for similar analyses that might be conducted elsewhere. We make two main contributions to the literature on access to nutritious diets. The first contribution is methodological; we extend the least-cost diets framework from individuals to households building on recently developed shared nutrient requirements (Schneider et al., 2021). Our second contribution is empirical, showing the nature and magnitude of variation in diet costs based on nationally representative household survey data, linking information about household composition with subnational monthly food prices and country-specific food composition data. Both contributions rely on using both individualized and shared diets to provide lower and upper bounds on the cost of nutrient-adequate foods that meet the needs of each surveyed household member, using the food items and prices reported for the district market in each month.

Providing a range of diet costs between the lower and upper bounds offers a more useful measure of food access than just one point estimate, as policies and programs could aim to help households with varying degrees of meal sharing. The feasibility, cost, and affordability of nutrient-adequate diets identified in this study measure access to the minimal set of foods needed to meet nutrient requirements as a necessary but not sufficient condition for food security and adequate nutrition. Importantly, the cost of nutrient adequacy per day is lower than the cost to meet national dietary guidelines, because those require larger quantities of relatively expensive food groups (Herforth et al., 2020). Capturing additional dimensions of food system performance would require an even greater range of data and computational complexity, and could be addressed in future studies of individual and household consumption.

Access to adequate nutrients in rural Malawi is of particular interest because the country has made substantial progress toward improved nutrition but continues to have among the world's highest levels of certain micronutrient deficiencies (National Statistical Office [NSO] Community Health Sciences Unit [CHSU] [Malawi], Centers for Disease Control and Prevention [CDC] and Emory University, 2017). Using new methods that account for sharing within households is relevant everywhere that meals are eaten together "family style" (Gelli et al., 2020; Hjertholm et al., 2018, 2019), but it is specifically important in Malawi where households are relatively large with a wide range of ages eating together, often from a single plate. Finally, Malawi provides a useful case study because we can match two rounds of household surveys with monthly observations of market prices for many years and thereby address temporal variation and seasonality in diet costs.

With maize playing an outsized role in Malawi's food policy, as well as in consumers' diets, and maize prices in Malawi displaying particularly high seasonality (Gilbert et al., 2017; Pauw et al., 2018; Schneider et al., 2021; Sibande et al., 2017), the empirical findings from Malawi regarding seasonality in the cost of adequate diets are also of particular interest. As indicated above, it is not clear a priori whether the cost of whole diets will follow similar seasonal trends identified in studies of single food items or food groups. First, nutritionally adequate whole diets require a combination of foods whose seasonality patterns may differ in periodicity; for example, several nutrient-dense foods are harvested during the rainy (lean) season (Bai et al., 2020; Chikhungu & Madise, 2014; Gelli et al., 2020; Gilbert et al., 2017). Second, maize will play a smaller role in the adequate diet than it does in current (largely inadequate) diets, so maize prices may not drive the cost pattern.

The paper proceeds as follows. Section 2 elaborates the conceptual framework motivating and describing the two approaches to estimating household diet costs. Section 3 presents the data sources as well as key background features of Malawi's food system. Section 4 explains the methods used to define the nutrient requirements and calculate diet costs, estimate the extent of seasonality in diet costs, and assess affordability and overall access. Section 5 discusses the findings, followed by Section 6, which concludes.

²Furthermore, these diet costs refer only to the acquisition of food items without accounting for the time and materials needed to cook and prepare meals, and refer only to the nutritional requirements for physical health without accounting for culinary practices, individual preferences, or cultural appropriateness. Nutrition security beyond food security further requires adequate care practices, sufficient sanitation, and good hygiene (United Nations Children's Fund [UNICEF], 1990).

2 | CONCEPTUAL FRAMEWORK

Estimating a least-cost diet requires a set of foods, their prices, and establishing the constraints that a combination of foods must meet at the lowest total cost. For a nutrient-adequate diet, those constraints are the nutrient requirements, defined by lower bounds for almost all nutrients (excepting retinol and sodium) as well as upper bounds for some. These requirements differ by age, sex, maternity, and activity level. The lower bound on the household diet cost ("individualized diets") uses individual nutrient requirements and estimates separate least-cost diets for every person in the household. The upper bound ("household sharing") uses shared nutrient requirements, which are defined per household based on its composition. Shared requirements ensure that the resulting diet can meet total energy needs for the whole family and will have the nutrient density (nutrient quantity per calorie) that meets the minimum needs of the member with the greatest need (and not exceed the upper limit of the most sensitive member) when every member eats (just) enough of the shared diet to meet their energy needs.³ Comparing current diets to this shared benchmark, Schneider et al. (2021) found nearly all households consuming diets lacking in riboflavin, selenium, lipids, or vitamin B-12 and 80% consuming more calories from carbohydrates than recommended (Schneider et al., 2021).

The method of defining shared nutrient requirements for a group of people who have different individual needs has its origins in the scientific nutrient requirements literature (Beaton, 1995; Institute of Medicine, 2000). Ethically, it follows Rawls' *maximin* principle, that is, to maximize the welfare of the worst-off group in society or, extending to our case, to define the household diet that preferences the welfare of the nutritionally neediest member of the family (Ravallion, 2016; Rawls, 1971). The shared diet is most often the diet that meets *her* needs, so it is also a more gender equitable metric that can be used as a benchmark for household diets where intrahousehold allocation is not observed (Schneider et al., 2021).

Diet costs offer two evaluative functions: first, to identify populations without access to sufficient nutritious foods for better targeting of assistance, and second, to guide agricultural and food system interventions toward lower and more stable costs over time. Both the shared and individual diet cost indicators could serve these purposes, establishing an upper and lower bound respectively. The intent with these scenarios is not to describe behavior but to use the thought experiment to demonstrate how policy decisions can be made at the household level when intrahousehold resource allocation is unobserved but differences in individual requirements are known.

The analogy with the poverty literature is illustrative. Early on, poverty lines were set at the absolute minimum income needed whereby no one would dispute that someone earning less than that amount was poor, avoiding the error of inclusion (Ravallion, 1996). Similarly, "individualized" least-cost household diets, which maximize nutrient allocative efficiency within the household, can be seen as a lower bound on the affordability of an adequate diet. Inability to afford the lower bound ("individualized") diet is definitive unaffordability. Later, when poverty started to decline globally, Pritchett (2006) established an upper poverty line at the level society would consider someone no longer poor, avoiding an error of exclusion. Ability to afford the "shared" least-cost diet similarly establishes an upper bound on affordability, a level above which nobody would reasonably dispute that the household's income is sufficient to meet every member's nutrient needs.

2.1 Data

We combine multiple data sources to calculate monthly least-cost diets for all rural Malawian house-holds from January 2013 to July 2017. These include the 2013 and 2016/17 nationally representative Integrated Household Panel Surveys (IHPS) (National Statistical Office (NSO) [Malawi] and World

³Exceeding caloric needs would lead to unhealthy diets.

Bank, 2017), food composition data for Malawi (MAFOODS, 2019), human nutrient requirements (Institute of Medicine of the National Academies, 2006, 2011; National Academies of Sciences Engineering and Medicine, 2019), and monthly market food prices from the National Statistical Office (NSO) in 25 rural markets (National Statistical Office [NSO] [Malawi], 2018).

We use the age, sex, maternity, and occupation reported in the household survey to identify individual nutrient needs for all household members. Expenditure data are used to calculate household food spending and total expenditure following domestic poverty calculation procedures (National Statistical Office [NSO] [Malawi] and World Bank Poverty and Equity Global Practice, 2018; National Statistical Office [NSO] [Malawi], 2017). The price data contain monthly prices for 51 food items collected between January 2013 and July 2017, and include foods from all food groups. We match households to the market in their district or subdistrict of residence (Table S1) (National Statistical Office [NSO] [Malawi], 2011, 2012, 2018). We use the rural subsample of the IHPS because we only have access to food price data for rural district markets (price data are collected for urban areas but not shared). Similarly, because many nutrient dense foods were added to the price monitoring list in January 2013, we do not use the first 2010 IHPS round (Kaiyatsa et al., 2021).

NSO purposively selected rural district markets for price monitoring. They are in the main trading towns. Despite being main centers, these towns are still relatively small; Malawi is much less urbanized than most other countries in sub-Saharan Africa (SSA) (World Bank, 2021).⁴ The consumer food price index computed by the NSO with these price data is considered representative of rural Malawi. The IHPS data are representative for urban and rural strata. Therefore, our results can be considered representative of rural Malawi.

Our emphasis is on the market and its ability to provide access to nutritious diets, as a metric of food system performance. Analysis at the market level provides a policy-relevant way to assess a food system, and our analysis offers the added value of ensuring the assessment is relevant for all types of individuals in realistic household settings. The prices observed in the market are for standardized items of a particular quality, so they are not directly comparable to unit costs reported by households. The food price environment faced by households and their preferences are reflected in the denominator of the affordability analysis, namely the food and total expenditure calculated using reported unit costs and standard methodology to value own produced goods. With two household surveys (2013 and 2016/17), we can only assess affordability in the month a household was surveyed, which is insufficient to establish seasonal patterns of affordability. Given the availability of monthly market prices between 2013 and 2017, there is, however, better scope to assess seasonality in the cost of least-cost diets (including differences by scenario). Given these different considerations (the objective of assessing market performance and the greater ability to assess seasonality in the market's ability to provide nutritious diets), we calculate the least diet costs using market prices.

Table 1 presents the characteristics of the households, household expenditure, markets, foods, and nutrients included in our sample. To establish the context for our affordability results, the median household already spends three-quarters of its resources on food and lives just above the international poverty line of \$1.90 per person per day in 2011 purchasing power parity (PPP) dollars (World Bank, 2021).

We identify the nutrient content of foods using the Malawi Food Composition Table (MAFOODS, 2019), the USDA National Nutrient Database for Standard Reference (where necessary) (USDA, 2018), and using conversion factors provided by the NSO.⁷ To perform

⁴In 2020, only 17% of Malawians lived in urban areas, compared to 41% in SSA overall (World Bank, 2021).

⁵Unit costs reflect both quality and price, and therefore differences are not necessarily attributable to different price environments (Gibson, 2013, 2016; Gibson & Kim 2018).

⁶For context, Tables S2 (by size of difference) and S3 (by food group) show the comparisons for items that could be compared at the district level in the same month and year, showing differences of varying magnitude (some small in practical terms) and where the market prices are more often higher but not always.

⁷Specific information regarding food item composition matching records are available in the replication data files, and we also point readers to the MAFOODS data tables (MAFOODS, 2019). Further detail on the procedures to convert foods into their nutrient components available in Schneider, 2021 and Schneider et al., 2021.

TABLE 1 Summary of household survey data and food market prices in Malawi, 2013 and 2016/17

,		1				
	2013	2013			Overall	
	mean	(se)	mean	(se)	mean	(se)
Household size	4.76	(0.12)	4.98	(0.15)	4.90	(0.11)
Number of adults (>18)	2.32	(0.05)	2.52	(0.05)	2.44	(0.05)
Number of children (≤18)	3.51	(0.10)	3.52	(0.10)	3.51	(0.09)
Food items available per month per market	39.83	(4.94)	39.47	(4.59)	39.71	(4.82)
Household Expenditure (2011 US\$ PPP)	Median	(SE)	Median	(SE)	Median	(SE)
Annual food expenditure	2588.47	(113.63)	2292.84	(94.08)	2429.85	(91.74)
Per day (household)	7.09	(0.31)	6.28	(0.26)	6.66	(0.25)
Per day per capita	1.60	(0.10)	1.42	(0.06)	1.47	(0.05)
Annual total expenditure	3460.88	(155.13)	3319.20	(149.13)	3354.48	(139.83)
Per day (household)	9.48	(0.43)	9.09	(0.41)	9.19	(0.38)
Per day per capita	2.29	(0.14)	1.98	(0.09)	2.10	(0.09)
Food spending share of total expenditures	0.76	(0.01)	0.73	(0.01)	0.74	(0.00)
Observations ^b	N		N			N
Households ^c	1424		1693			3117
Individuals ^d	6995		7907			14,902
Markets ^e	25		25			25
Food items	51		51			51
Nutrients	22		22			22

Note: Population statistics corrected using sampling weights.

seasonality analysis at the food group level, we classify foods into the groups used for dietary diversity indicators (FAO and FHI 360, 2016; Kennedy et al., 2010; Ministry of Health [MOH] [Malawi], 2017; WHO, 2008). Tables S4 and S5 present the food item sources of each nutrient and the food items within each food group. Prices are monitored for most foods that households choose to consume (Table S6).

The least-cost diets can only select from the menu of 51 items included in the price dataset and where there is a price observation. To explore concerns about how missing food price data (a common feature of consumer price index datasets) could affect the results, Figure 1 shows the pattern of price records across all 51 food items by month. The darkest green cells indicate a price was observed for that item in all markets. Bias may result, for example, if prices erroneously reflect unavailability of a food item and a solution to the least-cost diet optimization proves infeasible or the calculated cost of the least-cost diet is higher than it would have been if the food item could have been considered in the optimization problem (i.e., the price was present). Similarly, there may be bias if the price of a food item is recorded but the food is in fact not available in the market.

Note, however, that linear optimization is a data envelopment technique. So, any missing or erroneous data would only affect the results if that item *would have otherwise been selected into the diet* were the true data value known. There are multiple food sources for all essential nutrients, and

^aStandard deviation in parentheses.

^bExcluded: 260 infants under 6 months who are assumed to be exclusively breastfeeding, four rural households unable to be matched to a market.

^c1081 are unique households observed at both time points, however the composition of those households changes in January 2016, so these are best thought of as two repeated cross sections of households and individuals.

^dExcludes individuals who reported eating no meals in the household in the prior week, allowing diet cost to be compared to reported food consumption expenditure.

^eList of markets and districts provided in Table S1.

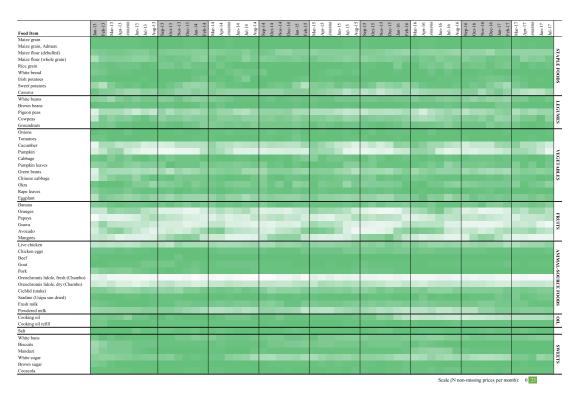


FIGURE 1 Frequency of observed prices by item, month, season, and food group Illustrates the number of markets where a price was observed price for each item in each month is shown by the darkness of the tile. Darkest (lightest) itemmonths indicate the price was observed for that item in that month in the highest (fewest) number of markets. Gray dates indicate identified lean season. The darker the cell color the more markets in which the item is available; lighter cells indicate more markets where the item is unavailable (missing). *Chambo* is a white fish, also known as tilapia. Admarc maize grain indicates maize available from the parastatal Agricultural Development and Marketing Corporation.

all food items contain multiple nutrients, meaning many food combinations could meet the minimum requirements, reducing the likelihood that a single erroneously missing price would lead to infeasible results or substantially increase the cost of the least-cost diet. There might also not be any solution to the linear optimization if there is no combination of foods that could meet the minimum requirements while also staying under all the upper limits and including the exact amount of energy required (even if the food for which the price is missing were truly available). In practice, no single food item is likely so optimal in nutrient density for all individuals and households so that the likelihood that its missing price would be binding in all cases is small.

Turning to the market price data (Figure 1), we note that at least one form of maize, the staple food, is reportedly available in all markets in all months. The items for which market prices are most commonly unreported are perishable fruits and vegetables whose production is highly seasonal, suggesting that missing prices reflect periods when those foods are not being sold in the surveyed markets; that is, they are likely to reflect real unavailability. For several frequently missing items, there are also substitutes in the food list (e.g., cooking oil and cooking oil refill), and for each month no food group has all items missing simultaneously. Some items may never be present in a location (e.g., fresh *chambo* fish), but other nutritional substitutes (e.g., other fish) are present.

In follow up work, Kaiyatsa et al. (2021) further employed a special market survey in the same rural Malawian markets to investigate whether missing prices in the 2013–2017 price data are mainly related to (seasonal) unavailability of the food (thus reflecting market reality) or whether they were more likely due to measurement error (foods available but prices not recorded). Comparing the

2013–2017 price data to the survey results, 17% of the price observations were missing when reportedly usually available in that market and month ("discordant missing"), and 3.9% had a price observation when reported usually unavailable ("discordant present") (likely due to *ex post* imputation). The discordant missing prices were not meaningfully explained, however, by food item, time, market, or their interactions, suggesting that substitution possibilities are likely and that there is little systematic bias in the least-cost diet calculations by market or month. They further estimate that in markets with higher than average discordant missing (present) prices, the least-cost nutrient-adequate diet for an individual nonpregnant, nonlactating woman of reproductive age is biased 3.9% (7.6%) upward (downward). These results lend confidence that even where missing prices are due to measurement error, the magnitude of bias introduced into the cost estimate is reasonably small.

3 | METHODS

3.1 | Individual nutrient requirements

Biological nutrient requirements for individuals are specified by age, sex, maternity status, and physical activity level by the Institute of Medicine (Institute of Medicine of the National Academies, 2006; Institute of Medicine of the National Academies, 2011; National Academies of Sciences Engineering and Medicine, 2019). These requirements dictate lower and upper bounds for all nutrients that must be consumed through food. We include constraints for energy, macronutrients (carbohydrates, protein, fat), and all the micronutrients (vitamins and minerals) where there is sufficient scientific evidence to set an average minimum requirement at the population level (vitamins A, C, E, B6, and B12; thiamin, riboflavin, niacin, folate, calcium, copper, iron, magnesium, phosphorus, selenium, zinc, and sodium). Twelve nutrients have an upper and lower bound, seven have only a lower bound and no upper limit, and retinol and sodium have only an upper bound (Institute of Medicine of the National Academies, 2006; Institute of Medicine of the National Academies, 2011, 2019). We calculate energy needs based on reference heights and weights for each age and sex, account for breastfeeding, and use occupation to estimate physical activity level (for further detail see Schneider, 2021; Schneider & Herforth, 2020; Schneider et al., 2021). We refer to these scientifically defined nutrient requirements as the "individual" requirements, and they are the constraints in solving the lower bound (individualized diets) least-cost diet problem.

3.2 | Household nutrient requirements

We follow Schneider et al. (2021) to establish the shared household nutrient requirements. Formally, for each household (h) the requirement considers each individual household member's (i) requirement for each nutrient (j) and their energy needs (E), using the most restrictive nutrient density requirements for any member:

$$Lower_{hj} = \sum_{i} E_i * max_i \{ MinimumNeed_{j,i}/E_i \}, j = 1,...,19$$
 (1)

$$Upper_{hj} = \sum_{i} E_{i} * min_{i} \{ MaximumTolerance_{j,i}/E_{i} \}, j = 1,...,13$$
(2)

$$HHE_h = \sum_{i} E_{ih} \tag{3}$$

Equations (1–3) are used to compute shared requirements for household members 4 years and above. To this we add individual requirements for children 6 months through 3 years old to arrive at

the household total for which the least-cost diet problem is solved. More information on the nutrient requirements, requirement tables, and comparison of the individual and shared nutrient requirements can be found in Schneider et al. (2021) and Schneider (2021).

3.3 | Cost of nutrient adequacy (CoNA) Index construction

Using linear programming, we attempt to identify a diet that meets all the specified nutrient requirements at the lowest total cost. Formally, the linear optimization model (solved using the R package "lpSolve" by Buttrey, 2005) minimizes total cost over all foods (f) within upper and lower bounds for all nutrients (j) and meets the specified energy budget (E). Adding data on price (p_f) for each food item (f) and its nutrient contents (a_{fj}) yields:

$$CoNA: minimize C = \sum\nolimits_{f} p_{f} * q_{f} \tag{4}$$

Subject to:

$$\sum_{i} a_{fj} * q_{f} \ge Lower_{j}, \ j = 1,...,19$$

$$\sum_{i} a_{fj} * q_{f} \le Upper_{j}, \ j = 1,...,13$$

$$\sum_{i} a_{fe} * q_{f} = E$$

$$q_{1} \ge 0, \ q_{2} \ge 0,...,q_{i} \ge 0, \text{ for all foods } i = 1,...51$$

Equation (4) is solved every month for everyone (with individualized requirements) and for each household (with shared requirements, i.e., replacing *Lower_j*, *Upper_j*, and *E* by Equations (1), (2), and (3) respectively), using the foods and prices in the market of the household's district of residence. The household's "individualized" least-cost diet (lower bound) is obtained as the sum of its individual least-cost diets.

We compute least-cost diets at the monthly level. Nutrient requirements corresponding to the observed demographics in 2013 are used to solve the diet cost problem from 2013 through 2015, and then the household composition and corresponding nutrient requirements observed in 2016/17 are used to solve the diet cost problem from January 2016 forward. Food expenditures were collected for the previous 7 days and therefore reflect the consumption of those who ate in the household in that period (Fiedler & Mwangi, 2016). We scale the nutrient requirements for any partial meal taking to accurately draw comparisons with observed food spending. For every household, 55 *CoNA* indices (36 observations from 2013–2015 and 19 observations from January 2016 to July 2017) are obtained per scenario.

We focus on two primary results from the linear modeling: feasibility of a solution and cost. We use the binary outcome of a solution or no solution to summarize the extent to which there is a feasible diet in each month. Under the individualized diets scenario, we consider the household to have a least-cost diet solution only if there is a solution for all members. As discussed above, confidence that no solution to the least-cost diet problem reflects realistic infeasibility rests on three observations. First, patterns observed in Figure 1 show that most missing prices are for perishable items, reasonably understood to be seasonally available, and there are consistently some items from each food group (closest nutritional substitutes) with price observations. Second, we refer to the study by Kaiyatsa et al. (2021), which found the pattern of missing prices was not explained by the food item,

time, or market. Third, the fact that individual diets are feasible in most markets and months provides further confidence that if shared diets are not feasible, it is less likely to be resulting from underlying missing price data than due to the nutrient requirements. Thus, we conclude that no solutions to the least-cost diet problem correspond to realistic infeasibility in that market/month, given the available items and the nutrient requirement constraints applied.

If the model finds a solution, we convert all costs into 2011 US\$ PPP using the Denton method to smooth annual conversion factors over months (Denton, 1971; International Monetary Fund, 2018; World Bank, 2015).

3.4 | Seasonality

Food availability and prices vary across and within years. However, because the linear programming model will substitute among foods given availability and prices, seasonality in the prices of individual foods does not necessarily carry over to the same extent into *CoNA* indices. Intra-annual fluctuations can be regular and stochastic. Here the focus is on regular intra-annual fluctuations, or seasonality, of the *CoNA* index and relative to that of maize and main food groups. A standard indicator to measure seasonality is the seasonal gap, the ratio between the seasonal peak and trough. For food prices in low-income country settings characterized by one growing season per year, these are most observed just before and just after the harvest, respectively (Gilbert et al., 2017).

Linear detrended seasonal dummy and moving average deviation models are often used to estimate seasonality. They also have some limitations, especially the potential introduction of upward bias with short time series such as our dataset (see Gilbert et al., 2017, for a comprehensive discussion). Trigonometric models have been shown to address some of these limitations because they are parsimonious in the number of parameters needed for estimation and are less prone to biased gap estimation, especially for shorter time series (Bai et al., 2020; Kaminski et al., 2016; Kotu et al., 2019; Ray et al., 2001; Wassie et al., 2019). Following Gilbert et al. (2017) and comparing both methods, we also found the trigonometric method to be preferred by model fit statistics (Table S7) for the food price dataset. For the *CoNA* results, the stochastic trend model was preferred.

The stochastic trend dummy model we use allows for gaps of (k) months prior to the observation (a) in time (y,m) as follows:

$$\Delta_k C_{hym} = C_{hym} - C_{hy,m-k-1} = k\gamma + \sum_{a=1}^{k-1} \delta_{m-a}(s_{m-a}) + w_{hym}$$
 (5)

where C is the log cost in nominal terms. The cost in nominal terms is used because food expenditure comprises a large proportion of budget shares. Therefore, deflation is sensitive to food prices and their use in seasonality analysis may understate the extent of seasonality (Gilbert et al., 2017), and k is the number of month gaps between the current month observation and the preceding observation. In our case, gaps are the household months with no solution to the linear programming problem. Where there are gaps, differences are calculated as the difference between a diet cost observation and the most recent preceding observation. The seasonal differenced dummies are then defined as:

$$s_{m-a} = \begin{cases} 1 & a = m \\ -1 & k = 0 \\ -1 - k & k > 0 \\ 0 & \text{otherwise} \end{cases}$$
 (6)

Seasonal factors are calculated by demeaning the coefficients.

When we analyze seasonality, we are limited to household months with a feasible solution to the least-cost diet, which introduces selection bias. To estimate the magnitude of this potential bias, we consider no solution to the least-cost diet problem as akin to having very high (possibly infinite) cost. We estimate the seasonality model first with these months recorded as missing and then with costs for those months imputed as the highest cost observed over all markets and households in that same month and year. Repeating the seasonality analysis using these imputed data allows us to estimate a lower bound on the magnitude of the true seasonality in the diet cost.

To further explore the role of least-cost diet availability in our least-cost diet seasonality estimates, we examine the prevalence of a feasible diet, conditional on the month and by scenario, modeled with a linear probability model as follows:

$$A_{hym} = \gamma + month_m + market_l + \mu_{hym} \tag{7}$$

Where A is a binary indicator of diet feasibility for household (h) in time (y,m), and the probability of feasibility is estimated for each scenario with indicator variables for each calendar month (m) and market location (l). The seasonal factors on feasibility are calculated following Equation (6) by demeaning the coefficients. These are subsequently compared to the seasonality in costs.

We model the seasonality in underlying food prices to compare with the diet cost seasonality using the trigonometric model. We calculate the difference in logged price for each food item (in nominal terms), allowing gaps where no price was observed, as follows:

$$\Delta P_{hym} = \gamma + \alpha \Delta \cos\left(\frac{m\pi}{6}\right) + \beta \Delta \sin\left(\frac{m\pi}{6}\right) + \mu_{hym},\tag{8}$$

where *P* is the logged price per kilogram edible portion in nominal terms, for household (h) in year (y) and month (m). The seasonal factors can be computed as follows:

$$S_m = \lambda \cos\left(\frac{m\pi}{6} - \omega\right) \tag{9}$$

where
$$\lambda = \sqrt{\alpha^2 + \beta^2}$$
 and $\omega = \tan^{-1} \left(\frac{\alpha}{\beta}\right)$.

We then regress the difference in price on the monthly indicator variables, pooling items in each food group. Food groups classify items into nutritionally relevant categories, those that might be substitutes in the linear programming. Greater seasonality would be expected with short harvest periods, perishability, and groups with few items. Given the importance of maize in Malawian diets, we present the same seasonality analysis for maize prices, separating maize grain in regular retail markets and maize grain sold by the parastatal Agricultural Development and Marketing Corporation (Admarc). This also facilitates comparison with other food price seasonality results reported in the literature for Malawi.

3.5 | Affordability

Affordability is measured by relative expenditure ratios comparing the daily cost for the whole household, per scenario, to daily food or total expenditure, in nominal terms. Expenditure accounts for the contribution of own produced goods in household consumption. The premium for the shared diet is expressed through the ratio of shared to individualized diets daily cost, in nominal terms. We only assess the affordability for households with a solution to the least-cost diet under both scenarios

⁸This assumes that a no-solution represents infeasibility of a solution in the market (and not because of erroneously missing market prices), which we have shown above to be realistic in the context of our data.

in their month of survey. To draw conclusions about the whole sample, we use only the observation for each household in the month it was surveyed. If the diet was feasible, we then also assess affordability. We define a household as having access to the diet if there is a feasible solution *and* the diet cost is affordable (relative to food and total expenditure, respectively) *in the month of survey*.

4 | RESULTS

4.1 | Feasibility and cost

Figure 2 shows that, as expected, individualized diets are consistently more feasible than shared diets (90% of household-months relative to 60% respectively).

If an adequate diet is not feasible at the individual level, it mainly concerns children 6 months through 3 years, breastfeeding women, and older adults (70+ years) (see Table S8). These age-sex groups have a greater need for nutrient density, and for young children they are also more sensitive to toxicity and therefore have a lower tolerance at the upper limit for certain nutrients, tightening the constraints on the optimization problem relative to other age and sex groups (Schneider et al., 2021). Because the equation is estimated with the same items and prices for all members of a household, households with these types of members are therefore less likely to have a feasible diet.

When the individualized diet is feasible for a household, but the shared diet is not, it means that the foods are there, their price and nutrient composition are observed, and that a combination can satisfy individuals' nutrition needs but not the more nutrient-dense shared requirements. The infeasibility derives from higher nutrient-density required and/or tighter upper limits required for the shared diet. More diverse and larger households are more likely to have members with different nutrient requirements that further restrict the nutrient density of the diet required to meet every member's needs. This is also borne out empirically; the feasibility of a shared diet is systematically related to both household composition and household size. Thus, as a food system metric, it is more likely to identify lack of access to an adequate diet for households with particularly vulnerable members such as children 6 months through 3 years, breastfeeding women, and older adults (70+ years) than other household-level approaches (Schneider, 2022).

Furthermore, and consistent with the notion of lower and upper cost bounds, when both individualized and shared diets are feasible for a household, individualized diets also tend to cost 21% less (\$1.79 per capita per day on average relative to \$2.26 respectively) in US dollars at 2011 PPP prices (Figure 3). These costs compare with the international extreme poverty line of \$1.90/day/person (US \$2011 in PPP terms), indicating that nutritionally adequate diets are not available for sizeable shares of Malawi's population, a point we return to below. Visual inspection of Figures 2 and 3 further hints at the potential existence of seasonal patterns in the feasibility and cost of nutritionally adequate diets.

4.2 | Seasonality

Table 2 presents the results of the extent of seasonal fluctuation in nutritionally adequate diets more systematically. Column 1 shows the percent of households with a feasible diet by month, obtained by estimating Equation (7). Column 2 shows the monthly seasonal factors when least-cost diets are feasible, obtained by calculating Equations (5) and (6). Column 3 reports the monthly seasonal factors with the cost of infeasible diets imputed, obtained by estimating Equations (5) and (6) using imputed

⁹These compare with an unweighted population average individual cost of a nutrient-adequate diet in Malawi calculated by (Herforth et al., 2020) of \$1.29/person/day (2011 US\$ PPP), which were calculated using different food price and nutrient composition data for global comparability. That paper used the food list and prices from the World Bank's International Comparison Project and used USDA food composition data (Herforth et al., 2020).

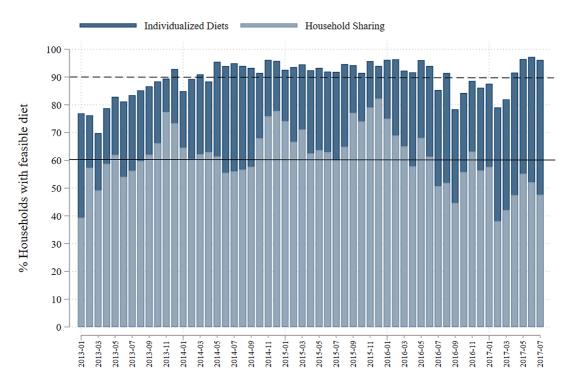


FIGURE 2 Feasibility of household nutritious diet, by month and scenario Dark (light) bars indicate the percentage of households with a feasible diet in that month under the individualized (shared) diets scenario, with dashed (solid) line indicating mean feasibility. Population statistics corrected using sampling weights. Under the individualized diets scenario a household is defined to have a feasible diet in the market if all its members have a feasible diet. Solid line shows mean feasibility for shared diets (60.2%). Dashed line shows mean feasibility for individualized diets (89.7%).

cost values for the diet cost. The seasonal factors present the percentage point difference between the monthly conditional mean cost/feasibility and the grand mean; the seasonal gap is the percentage point difference between the highest and lowest seasonal factor.

The following insights emerge. First, the seasonal gap in feasibility (Column 1) is 7% and 19%, for individualized and shared diets, respectively. The seasonal gap in costs (Column 2) is 14% and 10%, respectively (when the least-cost diets are feasible). This shows non-negligible seasonality both in the feasibility and the cost (when feasible) of nutrient-adequate diets in Malawi.

Second, shared diets display substantially more seasonality than individualized diets, which mainly follows from their greater infeasibility. To consider this, infeasible diets are imputed by the highest observed cost by month and year (per scenario) (Table 2, Column 3). This arguably still puts a lower bound on the extent of seasonality but aims to address the selection bias introduced into the estimation by infeasibility. Doing so yields a seasonal gap of 31 percentage points for individualized diets, which is more than twice the gap observed when looking at feasibility or costs only when feasible. For shared diets, the seasonal gap increases to 115 percentage points, an 11-fold greater gap than that observed only when the diets are feasible. It indicates that shared diets display substantially more seasonality than individualized diets and that this is mainly due to the greater infeasibility of shared diets across seasons.

Third, turning to the pattern of seasonality, the shared diet is more feasible during October–January, peaking in December (Table 2, Column 1), even though these months are typically considered the lean season. They are most costly during April–June, the harvest months (Table 2, Column 2). One potential explanation for the former is the greater availability of nutrient dense animal-source foods during those months for cultural reasons; many Malawians consume meat during the

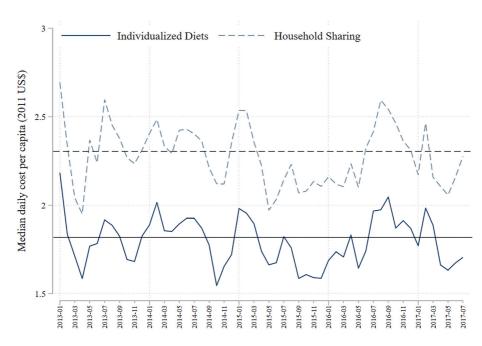


FIGURE 3 Cost of household nutritious diet, by scenario Illustrates monthly variation in the cost of the individualized diet (solid line) and shared diet (dashed line). Horizontal lines indicate the mean cost for each diet scenario. Population statistics corrected using sampling weights. Solid horizontal line shows mean cost of \$1.79/capita/day (2011 US\$) for individualized diets. Dashed horizonal line shows mean cost of \$2.26/capita/day for shared diets (2011 US\$).

holidays (particularly Christmas). More fish is also available in those months prior to the fishing ban associated with the spawning season (FAO, 2005; Gelli et al., 2020; Gilbert et al., 2017). Schneider (2022) also demonstrates that the adequate shared diets contain a larger share of legumes so that all nutrient requirements can be attained without exceeding copper upper bounds. Legumes are harvested in the months prior, during, and after the maize harvest (~March through August depending on the specific type) (Freer et al., 2018). Though they are a more expensive source of calories than staples, they enter the diet to satisfy the micronutrient constraints (specifically sufficient selenium without exceeding the copper upper limit) thereby providing a greater share of calories than would be most cost efficient if other sources of micronutrients were available. However, when imputing the cost of infeasible diets (Table 2, Column 3), the seasonality pattern of nutritiously adequate diets is largely consistent with the commonly observed harvest/hunger cycle (lower dietary costs following the harvest months [with the exception of June] and higher dietary costs during the lean months), underscoring the importance of the market availability of sufficiently diverse foods throughout the year.

To further understand the extent to which the findings on seasonality in dietary adequacy reflect seasonality in food prices, we compare seasonality in the diet cost to seasonality in food item prices by food group (Figure 4). First, vitamin A-rich vegetables (pumpkin) display the largest seasonal gap (57.8%), followed by maize, dark green leafy vegetables, roots and tubers, and fruits (vitamin A-rich), which all have a seasonal gap of 20%–25%. Seasonality is lowest for milk, eggs, fish, and meat, as to be expected for items that can be produced year round. Overall, the findings are similar to those reported in the literature, for Malawi as well as neighboring countries (Bai et al., 2020).

The high seasonality in vitamin A-rich vegetables is likely driven by having price data for only one item (pumpkin) in this food group so the food group follows its harvest pattern. Furthermore, price seasonality in the cereals food group (maize as well as rice, cassava, Irish and sweet potatoes, bread) is only about half (12.1%) that of maize alone (21.0% for retail market, 24.5% for Admarc maize grain). This is consistent with findings by Manda (2010) and Gilbert et al. (2017) that seasonality in maize prices is about twice that of rice. This suggests that consumers could smooth

TABLE 2 Seasonal variation in diet feasibility and cost, 2013-2017

TABLE 2 Seasonal variation in decreasionity and cost, 2013 2017								
	(1) Household-months with feasible diet Estimated percent feasible ^a		(2) Seasonal variation if feasible Seasonal factors ^b		(3) Seasonal variation in diet cost imputing infeasible Seasonal factors ^b			
	Individualized diets	Household sharing	Individualized diets	Household sharing	Individualized diets	Household sharing		
January	91.2	58.4	-1.7	0.6	4.2	42.2		
February	89.7	52.5	3.3	3.1	-3.6	31.3		
March	88.8	52.3	-0.1	0.7	-10.9	-8.0		
April	92.0	52.0	0.9	2.3	-13.4	-9.5		
May	96.2	57.3	7.2	4.3	-0.4	-11.7		
June	95.2	52.4	4.9	2.5	18.5	21.8		
July	93.1	48.3	-1.0	-1.8	8.3	-18.4		
August	93.9	52.6	-5.4	-4.4	-4.9	-51.5		
September	89.2	53.3	-6.6	-5.8	5.1	-38.6		
October	90.7	59.7	-3.7	-2.1	-11.7	-33.4		
November	94.4	67.5	2.6	0.8	-1.6	11.6		
December	93.8	65.3	-0.3	-0.2	10.5	64.1		
Seasonal gap	7.4	19.2	13.8	10.1	31.9	115.7		
Mean availability ^c (% household- months)	89.7 (0.9)	60.2 (1.6)						
Median cost, per capita per day ^c (2011 US\$ PPP)			1.79 (0.03)	2.26 (0.04)				

^aCalculated as in Equation (8), interpreted as the percent of households with a feasible diet on average each month. The seasonal gap in feasibility is the percentage point difference between the most feasible and the least feasible month.

consumption by switching away from maize to other staples when prices are high. At the same time, seasonality in dietary costs is larger than that of cereals (for both individualized and shared diets), suggesting that seasonality in the cost of nutritionally adequate diets is mainly driven by the availability and price seasonality of other food groups. Consistently, seasonal peaks in dietary costs (calculated for observations where diets are feasible) are observed when maize prices are at their trough, that is, during the maize harvest season.

Overall, the findings on cost seasonality suggest that the degree of seasonality in the cost of nutrient-adequate diets is (1) non-negligible, (2) at least as large as that of individual food groups (larger than that of cereals), and (3) larger for shared than for individualized diets. Further, the seasonality in cost of the diet is mainly driven by feasibility and nonmaize food groups.

4.3 | Affordability

Thus far we have discussed the extent and seasonality of dietary costs on their own. Table 3 assesses the costs of these diets relative to the food and total expenditure for households who have a least-cost

^bSeasonal factors of diet cost calculated as in Equation (6) interpreted as the percentage point difference in average cost in that month relative to the average over all months of the year.

^cStandard error in parentheses.

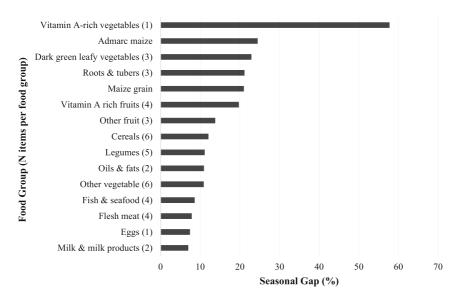


FIGURE 4 Seasonal gap in food group prices, by food group Shows the average gap over all items in the food group, with number of food items per food group in parentheses. Heteroskedasticity robust standard errors clustered at the market level. Pooled trigonometric regression estimated by food group. Cereals includes maize grain, Admarc maize grain, maize flour dehulled, maize flour whole grain, rice, and white bread. The single item in the vitamin A-rich vegetables food group is pumpkin. Although orange-fleshed sweet potato has become widely disseminated in Malawi in recent years (Low et al., 2017; Low & Thiele, 2020), the NSO collects prices only for white sweet potatoes and Irish potatoes. Sweets and condiments excluded from seasonality analysis. The seven items not included in this figure are Coca-Cola, biscuits, scones/buns, *mandazi* (fried dough), white sugar, brown sugar, salt. For the "other fruit" group, the BIC was equivalent for fixed effects dummy and trigonometric specifications.

diet solution *in their month of survey*. For individualized diets, the median cost (\$1.83/person/day) is 11% higher than current per capita food expenditure and equivalent to 78% of monthly total expenditure. For shared diets, the median cost (\$2.31/person/day) is 35% higher than current food spending and 92% of monthly total expenditure. Comparing shared with individualized dietary costs, the premium for household sharing is 33%. This is possible only when both the shared and individualized diets are feasible and present a lower bound on the premium.

If we also classify households for whom the diet is infeasible as not being able to access the diet, the individualized diet is infeasible or costs more (in the market) than total expenditure for 44% of rural Malawian households. For an additional 18%, the individualized (lower bound) diet is feasible but unaffordable without increasing current food expenditure (though technically affordable within total expenditure). In total, almost two in three rural Malawians (62%) cannot access any adequate diet in the market because even the lower cost individualized diet is infeasible, costs more than they have at all (total expenditure), or costs more than they currently choose to allocate to food.

Even fewer households have access to the shared diet. For 69.5% of rural households, the diet is infeasible or costs more than total expenditure. For an additional 10.5%, the diet is feasible but costs more than current food spending, though less than total expenditure. Only 20% of rural Malawian households face a feasible shared diet that stays within their current food budget. In between those who cannot afford the individualized diets without increasing food spending (our lower bound on the cost of nutrient-adequate diets) and those who cannot afford the shared diets without increasing

¹⁰For clarity, we emphasize this summary statistic reflects one observation per household per survey round and the cost/feasibility result in the month in which the household was surveyed, concurring with the timing that all other data were collected, regardless of the feasibility of the diet. Thus, (with survey weighting) we can accurately draw population-level conclusions.

TABLE 3 Nutritionally adequate diet feasibility, cost, and affordability in month of survey

	2013–2015		2016–2017		Overall	
	Median	(se)	Median	(se)	Median	(se)
Lower bound: Individualized diets						
Households with a feasible diet in month of survey (%)	83.71	(3.02)	88.45	(2.39)	86.73	(2.12)
Cost per day (household)	7.51	(0.26)	8.31	(0.37)	7.96	(0.29)
Per capita	1.85	(0.07)	1.82	(0.08)	1.83	(0.05)
Per 1000 kcal	0.95	(0.04)	0.94	(0.04)	0.94	(0.03)
Cost/food expenditure	1.01	(0.05)	1.19	(0.07)	1.11	(0.06)
Cost/total expenditure	0.72	(0.04)	0.81	(0.05)	0.78	(0.04)
N households with a solution in month of survey	1125		1451		2576	
N households with no solution any month in year of survey	37		52		89	
Upper bound: shared diet						
Households with feasible diet in month of survey (%)	58.98	(3.71)	54.50	(3.25)	56.13	(2.58)
Cost per day (household)	9.49	(0.47)	9.13	(0.43)	9.24	(0.36)
Per capita	2.39	(0.10)	2.25	(0.08)	2.31	(0.06)
Per 1000 kcal	1.26	(0.05)	1.20	(0.04)	1.21	(0.03)
Cost/food expenditure	1.24	(0.09)	1.40	(0.09)	1.35	(0.06)
Cost/total expenditure	0.88	(0.06)	0.95	(0.07)	0.92	(0.04)
N households with a solution in month of survey	792		956		1748	
N households with no solution any month in year of survey	187		223		410	
Scenario comparison (annualized)						
Shared Cost/individualized diets Cost	1.34	(0.02)	1.31	(0.02)	1.33	(0.02)
N households with solution under both scenarios in month of survey	727		897		1624	
Households (total N)	1424		1693		3117	

Note: Population statistics corrected using sampling weights, standard errors clustered at the enumeration area level. This table reflects the data for each household in the survey month, where the median is \$1.83/person/day. This differs from Table 2, which includes all data for all households and all months and finds the median to be \$1.79/person/day.

food spending (our upper bound on the cost of nutrient-adequate diets), we identify 18% of the population who could afford to meet the family's nutrient needs if carefully allocating foods to different household members according to their needs to achieve nutrition goals.

5 | CONCLUSIONS

In this paper, we developed two methodologies to estimate least-cost diets for whole households and used empirical analysis of Malawi's markets to illustrate the implications of taking seriously imperfect targeting of intrahousehold food and nutrient allocation given differing individual needs. The two methods produce a lower and upper bound on the cost of a nutrient-adequate diet for a whole household in the market. They essentially reflect perfect nutrient targeting through individualized diet cost optimization (lower bound) and a Rawlsian approach to household diet cost optimization adopting the nutrient density of the neediest member and assuming full meal sharing according to energy needs (upper bound).

In the case of Malawi, the higher diet quality demanded for shared meals is substantially less likely to be feasible in the market than if households were to pursue perfectly individualized diet strategies (60% vs. 90% of all market-months studied). Shared diets also cost 33% more, on average, when feasible. Taking current per capita food spending as benchmark, at least 62% of rural Malawian households lack access to a nutrient-adequate diet, because the individualized diet is infeasible, or its cost exceeds current food expenditure. Clearly, increasing food budgets without increasing incomes would be near impossible for many (recall that households already spend an average 74% of their expenditures on food). Nonetheless, the situation is even more dire when accounting for meal sharing—with 80% of households not able to afford the shared diet within current food budgets. With the latter result driven by greater sensitivity to the nutritional needs of women and girls (as well as the very young and very old), shared diets also bring important gender and equity dimensions to the nutrient adequacy assessment of current food systems.

Despite the potential to substitute across foods to obtain the required nutrients, access to nutrient-adequate diets still varies considerably across seasons. The seasonal gap in the cost of the individualized (lower bound) diet is estimated at 14% when the diet is feasible and at least 30% when considering infeasible diets to have the highest observed cost. This result provides an estimate for the unavoidable extent of seasonality in diet costs or the greatest possible smoothing of diet costs under current market conditions in Malawi. For shared diets, the seasonal gap has an estimated lower bound of 116%.

Thus, seasonality in diet costs in Malawi's current food system remains substantial and cannot be ignored. It is higher than price seasonality among cereals (12%) and at least as high as price seasonality among most food groups. It is mostly driven by the infeasibility of nutrient-adequate diets during certain months given the available foods in the market, which points to the importance of appropriate food policies and investments (including irrigation) to make nutritious foods available and affordable, year round. Given the currently available foods in rural markets in Malawi, guiding consumers to pursue more individualized diet strategies could further help to smooth access to nutritious diets throughout the year, so that, for instance, legumes and vegetables could be disproportionately eaten by women, young children, and the elderly as opposed to men and boys who need a smaller amount of these foods to meet their nutrient requirements beyond calories and can meet their higher energy needs from cheaper (staple) sources (Schneider, 2021).

Two key policy recommendations emerge for Malawi and for assessing the dietary adequacy of food systems more broadly.

First, substantial investments in agriculture and rural areas will be needed in Malawi to raise rural incomes and make a wider range of foods (besides maize) and nutritionally adequate diets more available and affordable throughout the year. Although this is the first order food and nutrition policy issue for Malawi, the calculation of the bounded range on dietary adequacy further shows that additional strategies are also warranted. Given the currently available foods in its rural markets, behavior change communication and other nutrition education interventions are advisable to encourage households to target nutrient dense foods to the neediest members, including to reduce seasonality in dietary adequacy (Gillespie & van den Bold, 2017; Ruel & Alderman 2013; Sanghvi et al., 2013; Schneider et al., 2021).

Second, least-cost diets can also be used to identify households who cannot afford a nutritionally adequate diet and calculate the amount of public assistance needed (Carlson et al., 2007). Individualized diets provide a starting point, but the cost of meeting each person's needs with shared meals shows that transfers calculated based on individualized diets are unlikely to be sufficient to meet the needs of all household members. Cash transfers with amounts exceeding those of individualized diets coupled with nutritional behavioral change communication to ensure nutrient-rich foods are better targeted to meet individual needs could then go some way to make social protection programs more nutrition sensitive in a cost-effective manner (Hoddinott et al., 2018; Olney et al., 2021; Ruel & Alderman, 2013). Complementing social protection programs such as cash transfers with nutritional behavioral change communication is increasingly advocated with incipient evidence showing

positive effects on individual nutritional outcomes for combined programs (though often not for cash transfers on their own) (Alderman, 2022). The estimated gap between individualized and shared dietary costs as well as local knowledge on meal sharing practices can provide further guidance on the extra amount of cash needed beyond the individualized dietary costs.

Although filling an important gap arising from intrahousehold food allocation practices, several questions for future research on the ability of food systems to deliver nutrient adequacy also remain. Regularly collected "main town" market prices may not reflect more remote local conditions nor the option to supplement market availability with own production (including through gardening). Future research should investigate how unit costs from household surveys could be used to estimate more precise least-cost diets, their composition, and the relative contribution of own production. Other modeling tools such as stochastic optimization could also be used to capture variation in the food environment and incorporate uncertainty in the underlying data.

ACKNOWLEDGMENTS

We sincerely thank the anonymous referees and Editor Timothy Richards for their invaluable feedback, insights, and suggestions while the manuscript was under review. The paper benefitted greatly from their contributions. We are also grateful to collaborators Yan Bai, Anna Herforth, and Stevier Kaiyatsa for their thoughful input and feedback throughout the process that culminated in this paper. This paper was part of the Changing Access to Nutritious Diets in Africa and South Asia (CANDASA) project, supported by the Bill & Melinda Gates Foundation (INV-016158).

DATA AVAILABILITY STATEMENT

Replication code and supporting datasets are available on Harvard Dataverse at https://doi.org/10.7910/DVN/AXDJZ8. Code and data to replicate the datasets used in this analysis from raw sources (household survey, food composition, nutrient requirements, prices) are available on the author's GitHub at https://github.com/KateSchneider-FoodPol/Kate-Schneider-MalawiDietQualCostHHs-2021.

REFERENCES

- Alderman, H. H. 2022. "Pathways for Nutrition Sensitive Social Protection." In Social Protection, Food Security and Nutrition

 An Update of Concepts, Evidence and Select Practices in South Asia and Beyond. edited by U. Gentilini. World Bank: Washington, DC.
- Arimond, M., D. Wiesmann, E. Becquey, A. L. Carriquiry, M. C. Daniels, M. Deitchler, N. Fanou-Fogny, et al. 2010. "Simple Food Group Diversity Indicators Predict Micronutrient Adequacy of Women's Diets in 5 Diverse, Resource-Poor Settings." *Journal of Nutrition* 140(11): 2059–69.
- Bai, Y., R. G. Alemu, S. A. Block, D. D. Headey, and W. A. Masters. 2021. "Cost and Affordability of Nutritious Diets at Retail Prices: Evidence from 177 Countries." Food Policy 99: 101983.
- Bai, Y., A. W. Herforth, and W. A. Masters. 2022. "Global Variation in the Cost of a Nutrient Adequate Diet by Population Group." *Lancet Planetary Health* 6: e19–28.
- Bai, Y., E. N. Naumova, and W. A. Masters. 2020. "Seasonality of Diet Costs Reveals Food System Performance in East Africa." *Science Advances* 6(49) eabc2162: 1–10.
- Beaton, G.H. 1995. "Fortification of Foods for Refugee Feeding: Final Report to the Canadian International Development Agency." Unpublished manuscript, World Food Programme. Rome: World Food Programme.
- Berti, P. R. 2012. "Intrahousehold Distribution of Food: A Review of the Literature and Discussion of the Implications for Food Fortification Programs." *Food and Nutrition Bulletin* 33(3_suppl2):S163–S169.
- Buttrey, S. E. 2005. "Calling the lp_solve Linear Program Software from R, S-PLUS and Excel." *Journal of Statistical Software* 14(4): 1–13.
- Carlson, A.C., M. Lino, W.-Y. Juan, K.L. Hanson, and P. Basiotis. 2007. "Thrifty Food Plan, 2006." CNPP-19. Washington, DC: U.S. Department of Agriculture, Center for Nutrition Policy and Promotion.
- Chikhungu, L. C., and N. J. Madise. 2014. "Seasonal Variation of Child under Nutrition in Malawi: Is Seasonal Food Availability an Important Factor? Findings from a National Level Cross-Sectional Study." BMC Public Health 14(146): 1–11.
- Denton, F. T. 1971. "Adjustment of Monthly or Quarterly Series to Annual Totals: An Approach Based on Quadratic Minimization." *Journal of the American Statistical Association* 66(333): 99–102.
- Dizon, F., A. W. Herforth, and Z. Wang. 2019. "The Cost of a Nutritious Diet in Afghanistan, Bangladesh, Pakistan, and Sri Lanka." *Global Food Security* 21: 38–51.

- 14678275, 2023, 2, Downloaded from https://onlinelibtrary.wile.jco.ord/doi/10.1111/jage.12334 by Pontificial Universidad Jerieria, Wiley Online Library on [11.022025]. See the Terms and Conditions (https://onlinelibtrary.wile.com/terms-and-conditions) on Wiley Online Library for rules of use; OA articles are governed by the applicable Creative Commons. License
- FAO. 2005. "Fishery Country Profile: The Republic of Malawi." Rome: Food and Agriculture Organization of the United Nations. https://www.fao.org/fishery/docs/DOCUMENT/fcp/en/FI_CP_MW.pdf
- FAO, and FHI 360. 2016. Minimum Dietary Diversity for Women- A Guide to Measurement. Rome: Food and Agriculture Organization of the United Nations and FANTA.
- FAO, IFAD, UNICEF, WFP, and WHO. 2020. The State of Food Security and Nutrition in the World 2020. Transforming Food Systems for Affordable Healthy Diets. Rome: Food and Agriculture Organization of the United Nations.
- Fiedler, J. L., and K. Lividini. 2017. "Monitoring Population Diet Quality and Nutrition Status with Household Consumption and Expenditure Surveys: Suggestions for a Bangladesh Baseline." Food Security 9: 63–88.
- Fiedler, J.L., and D.M. Mwangi. 2016. "Improving Household Consumption and Expenditure Surveys' Food Consumption Metrics. Developing a Strategic Approach to the Unfinished Agenda." IFPRI Discussion Paper No. 01570, Available at: http://www.ifpri.org/publication/improving-household-consumption-and-expenditure-surveys'-food-consumption-metrics.
- Freer, T., H. Ganunga, and A. Moore. 2018. "The 2017 Malawi Agriculture Extension Field Notebook as a Capacity Building Tool A Mixed Method Evaluation." Lilongewe, Malawi: USAID Malawi Feed the Future Strengthening Agriculture Nutrition and Extension (SANE) and the Feed the Future Integrating Gender and Nutrition within Agricultural Extension (INGENAES) Projects.
- Gelli, A., J. Donovan, A. Margolies, N.-L. Aberman, M. Santacroce, E. Chirwa, S. Henson, and C. Hawkes. 2020. "Value Chains to Improve Diets: Diagnostics to Support Intervention Design in Malawi." *Global Food Security* 25: 100321.
- Gibson, J. (2013). The crisis in food price data. Global Food Security, 2(2): 97-103. https://doi.org/10.1016/j.gfs.2013.04.004
- Gibson, J. (2016). Poverty Measurement: We Know Less than Policy Makers Realize. Asia & the Pacific Policy Studies, 3(3): 430–442. Portico. https://doi.org/10.1002/app5.141
- Gibson, J., and Kim, B. (2017). Economies of scale, bulk discounts, and liquidity constraints: comparing unit value and transaction level evidence in a poor country. *Review of Economics of the Household*, 16(1): 21–39. https://doi.org/10.1007/s11150-017-9388-7
- Gilbert, C. L., J. Kaminski, L. Christiaensen, and J. Kaminski. 2017. "Food Price Seasonality in Africa: Measurement and Extent." Food Policy 67: 119–32.
- Gillespie, S., and M. van den Bold. 2017. "Agriculture, Food Systems, and Nutrition: Meeting the Challenge." *Global Challenges* 1600002: 1–12.
- Herforth, A.W., Y. Bai, A. Venkat, K. Mahrt, A. Ebel, W.A. Masters, A. Ventkat, K. Mahrt, A. Ebel, and W.A. Masters. 2020. "Cost and Affordability of Healthy Diets across and within Countries. Background paper The State of Food Security and Nutrition in the World 2020." Background paper for the State of Food Security and Nutrition in the World 2020 FAO. Rome: Food and Agriculture Organization of the United Nations.
- Hirvonen, K., Y. Bai, D. D. Headey, and W. A. Masters. 2019. "Affordability of the EAT-Lancet Reference Diet: A Global Analysis." *Lancet Global Health* 19: 1–8.
- Hjertholm, K. G., G. Holmboe-Ottesen, P. O. Iversen, I. Mdala, A. Munthali, K. M. Maleta, Z. Shi, E. Ferguson, and P. Kamudoni. 2019. "Seasonality in Associations between Dietary Diversity Scores and Nutrient Adequacy Ratios among Pregnant Women in Rural Malawi A Cross-Sectional Study." Food & Nutrition Research 63(2712). https://doi.org/10.29219/fnr.v63.2712
- Hjertholm, K. G., P. O. Iversen, G. Holmboe-Ottesen, I. Mdala, A. Munthali, K. Maleta, Z. Shi, E. Ferguson, and P. Kamudoni. 2018. "Maternal Dietary Intake during Pregnancy and its Association to Birth Size in Rural Malawi: A Cross-Sectional Study." Maternal and Child Nutrition 14(1): e12433. https://doi.org/10.1111/mcn.12433
- Hoddinott, J., A. Ahmed, and S. Roy. 2018. "Randomized Control Trials Demonstrate that Nutrition-Sensitive Social Protection Interventions Increase the Use of Multiple-Micronutrient Powders and Iron Supplements in Rural Pre-School Bangladeshi Children." Public Health Nutrition 21(9): 1753–61.
- Institute of Medicine. 2000. Dietary Reference Intakes: Applications in Dietary Assessment. A Report of the Subcommittee on Interpretation and Uses of Dietary Reference Intakes and the Standing Committee on the Scientific Evaluation of Dietary Reference Intakes. Washington, DC: National Academy Press.
- Otten, J. J., J. P. Hellwig, and L. D. Meyers, eds. 2006. "Institute of Medicine of the National Academies." In *Dietary Reference Intakes: The Essential Guide to Nutrient Requirements. Edited by.* Washington, DC: National Academies Press.
- Institute of Medicine of the National Academies. 2011. *Dietary Reference Intakes for Calcium and Vitamin D.* Washington, DC: National Academies Press.
- International Monetary Fund. 2018. Quarterly National Accounts Manual, 2017th ed. Washington, DC: International Monetary Fund.
- Kaiyatsa, S., K.R. Schneider, and W.A. Masters. 2021. "How Sensitive Are Cost of Living Metrics to Missing Food Price Data? Evidence from a Novel Market Survey and Consumer Price Data in Rural Malawi." Food Prices for Nutrition Working Paper. Boston, MA: Tufts University. https://kateschneider.com/wp-content/uploads/2021/09/SKaiyatsaEtAl_RetailPricesInMalawi-Working-Paper_Sept2021.pdf
- Kaminski, J., L. Christiaensen, and C. L. Gilbert. 2016. "Seasonality in Local Food Markets and Consumption: Evidence from Tanzania." Oxford Economic Papers 68(3): 736–57.
- Kennedy, G. L., T. J. Ballard, and M.-C. Dop. 2010. *Guidelines for Measuring Household and Individual Dietary Diversity*. Rome: Food and Agriculture Organization of the United Nations.

SCHNEIDER ET AL. 523

Kotu, B. H., A. B. Abass, I. Hoeschle-Zeledon, H. Mbwambo, and M. Bekunda. 2019. "Exploring the Profitability of Improved Storage Technologies and their Potential Impacts on Food Security and Income of Smallholder Farm Households in Tanzania." *Journal of Stored Products Research* 82: 98–109.

- Low, J. W., Mwanga, R. O. M., Andrade, M., Carey, E., and Ball, A.-M. (2017). Tackling vitamin A deficiency with biofortified sweetpotato in sub-Saharan Africa. Global Food Security, 14: 23–30. https://doi.org/10.1016/j.gfs.2017.01.004
- Low, J. W., & Thiele, G. (2020). Understanding innovation: The development and scaling of orange-fleshed sweetpotato in major African food systems. *Agricultural Systems*, 179: 102770. https://doi.org/10.1016/j.agsy.2019.102770
- MAFOODS. 2019. In *Malawian Food Composition Table*, 1st ed., edited by A. van Graan, J. Chetty, M. Jumat, S. Masangwi, A. Mwangwela, F. P. Phiri, L. M. Ausman, S. Ghosh, and E. Marino-Costello. Government of Malawi: Lilongwe, Malawi.
- Mahrt, K., D.L. Mather, A.W. Herforth, and D.D. Headey. 2019. "Household Dietary Patterns and the Cost of a Nutritious Diet in Myanmar." Food Security Policy Project Research Paper 135. Washington, DC: International Food Policy Research Institute. http://ebrary.ifpri.org/utils/getfile/collection/p15738coll2/id/133344/filename/133555.pdf
- Manda, E. L. 2010. Price Instability in the Maize Market in Malawi. PhD Dissertation. Norwich, UK: University of East Anglia.
- Masters, W. A., Y. Bai, A. W. Herforth, D. Sarpong, F. Mishili, J. L. Kinabo, and J. Coates. 2018. "Measuring the Affordability of Nutritious Diets in Africa: Price Indexes for Diet Diversity and the Cost of Nutrient Adequacy." American Journal of Agricultural Economics 100(5): 1285–301.
- Ministry of Health (MOH) [Malawi]. 2017. National Guidelines on Nutrition Care, Support, and Treatment (NCST) for Adolescents and Adults Lilongwe. Malawi: Ministry of Health.
- National Academies of Sciences Engineering and Medicine. 2019. In *Dietary Reference Intakes for Sodium and Potassium*. edited by V. A. Stallings, M. Harrison, and M. Oria. Washington, DC: The National Academies Press.
- National Statistical Office (NSO) [Malawi]. 2018. Consumer Price Index Food Price Dataset Rural Markets. Zomba, Malawi: National Satistical Office.
- National Statistical Office (NSO) [Malawi]. 2017. Malawi Integrated Household Panel Survey (IHPS) 2016: Basic Information Document. Zomba, Malawi: National Satistical Office.
- National Statistical Office (NSO) [Malawi]. 2011. Malawi Third Integrated Household Survey. Zomba, Malawi: National Satistical Office.
- National Statistical Office (NSO) [Malawi]. 2012. Third Integrated Household Survey (IHS3) 2010/11 Basic Information Document. Zomba, Malawi: National Satistical Office.
- National Statistical Office (NSO) [Malawi], and World Bank. 2017. Integrated Household Panel Survey 2010-2013-2016 (Long-Term Panel, 102 EAs), Version 2. Zomba, Malawi: National Satistical Office.
- National Statistical Office (NSO) [Malawi], and World Bank Poverty and Equity Global Practice. 2018. Methodology for Poverty Measurement in Malawi (2016/17). Zomba, Malawi: National Satistical Office.
- National Statistical Office (NSO) Community Health Sciences Unit (CHSU) [Malawi], Centers for Disease Control and Prevention (CDC), and Emory University. 2017. *Malawi Micronutrient Survey 2015–16: Key Indicators Report 2015–16.* Zomba, Malawi: National Statistical Office.
- Olney, D.K., A. Gelli, N. Kumar, H. Alderman, A. Go, A. Raza, J. Owens, A. Grinspun, G. Bhalla, and O. Benammour. 2021. "Nutrition-Sensitive Social Protection Programs within Food Systems." IFPRI Discussion Paper No. 02044. Washington, DC: International Food Policy Research Institute.
- Pauw, K., Í. Verduzco-Gallo, and O. Ecker. 2018. "Poverty, Food Prices, and Dietary Choices in Malawi." In N.-L. Aberman, J. Meerman, and T. Benson, eds. Agriculture, Food Security and Nutrition in Malawi: Leveraging the Links. Washington, DC: International Food Policy Research Institute, pp. 41–52.
- Pritchett, L. H. 2006. "Who Is Not Poor? Dreaming of a World Truly Free of Poverty." World Bank Research Observer 21(1): 1–23.
- Raghunathan, K., D. D. Headey, and A. W. Herforth. 2021. "Affordability of Nutritious Diets in Rural India." Food Policy 99: 101982.
- Ravallion, M. 1996. "Issues in Measuring and Modelling Poverty." Economic Journal 106(438): 1328-43.
- Ravallion, M. 2016. The Economics of Poverty: History, Measurement, and Policy. New York: Oxford University Press.
- Rawls, J. 1971. A Theory of Justice. Revised. Cambridge, MA: Harvard University Press.
- Ray, W. D., A. C. Harvey, E. Ghysels, and D. R. Osborn. 2001. *The Econometric Analysis of Seasonal Time Series*. Cambridge, UK: Cambridge University Press.
- Ruel, M. T., and H. Alderman. 2013. "Nutrition-Sensitive Interventions and Programmes: How Can They Help to Accelerate Progress in Improving Maternal and Child Nutrition?" *Lancet* 382(9891): 536–51.
- Sanghvi, T., A. Jimerson, N. Hajeebhoy, M. Zewale, and G. H. Nguyen. 2013. "Tailoring Communication Strategies to Improve Infant and Young Child Feeding Practices in Different Country Settings." Food and Nutrition Bulletin 34(3_suppl2): S169–80.
- Schneider, K. R. 2021. Household Consumption, Individual Requirements, and the Affordability of Nutrient-Adequate Diets An Application to Malawi. PhD Dissertation. Boston, MA: Tufts University.

- Schneider, K. R. 2022. "Nationally Representative Estimates of the Cost of Adequate Diets, Nutrient Level Drivers, and Policy Options for Households in Rural Malawi." *Food Policy*: 102275. https://doi.org/10.1016/j.foodpol.2022. 102275.
- Schneider, K. R., and A. W. Herforth. 2020. "Software Tools for Practical Application of Human Nutrient Requirements in Food-Based Social Science Research." *Gates Open Research* 4(179): 1–18.
- Schneider, K. R., P. Webb, L. Christiaensen, and W. A. Masters. 2021. "Assessing Diet Quality where Families Share their Meals: Evidence from Malawi." *Journal of Nutrition* 151(12): 3820–30. https://doi.org/10.1093/jn/nxab287.
- Sibande, L., A. Bailey, and S. Davidova. (2017). The impact of farm input subsidies on maize marketing in Malawi. Food Policy, 69: 190–206. https://doi.org/10.1016/j.foodpol.2017.04.001
- United Nations Children's Fund (UNICEF). 1990. Strategy for Improved Nutrition of Children and Women in Developing Countries. New York: United Nations Children's Fund.
- USDA. 2018. USDA National Nutrient Database for Standard Reference, Legacy (2018). Beltsville, MD: USDA Agricultural Research Service Nutrient Data Laboratory.
- Wassie, S. B., H. Kusakari, and M. Sumimoto. 2019. "Seasonality of Staple Food Prices in Ethiopia: Does Warehouse Service Matter?" *Japanese Journal of Agricultural Economics* 21: 63–7.
- WHO. 2008. Indicators for Assessing Infant and Young Child Feeding Practices (Part 1). Geneva: World Health Organization.
- Willett, W. C., J. Rockström, B. Loken, M. Springmann, T. Lang, S. J. Vermeulen, T. Garnett, et al. 2019. "Food in the Anthropocene: The EAT-Lancet Commission on Healthy Diets from Sustainable Food Systems." *Lancet* 393(10170): 447-92.
- World Bank. 2015. Purchasing Power Parities and the Real Size of World Economies: A Comprehensive Comparison International Report of the 2011 Program. Washington, DC: World Bank.
- World Bank. 2021. "World Development Indicators." Available at:https://data.worldbank.org/ [Accessed May 7, 2021].

SUPPORTING INFORMATION

Additional supporting information can be found online in the Supporting Information section at the end of this article.

How to cite this article: Schneider, Kate R., Luc Christiaensen, Patrick Webb, and William A. Masters. 2023. "Assessing the Affordability of Nutrient-Adequate Diets." *American Journal of Agricultural Economics* 105(2): 503–524. https://doi.org/10.1111/ajae.12334