

1. Suppose there is a penalty for 1 for each gap and a penalty of 2 for matching two different symbols in a column. What is the NW score of the strings AGTACG and ACATAG?

1. 3
2. 4
3. 5
4. 6

$$\begin{array}{c} A - GTCG \\ ACATA - G \end{array}$$

(2) is the correct answer. We have an upperbound of 8 if we compute the NW score of the original strings. The strings are of the same length so they must have an even NW score because inserting a gap in one of the strings will require we insert a gap in the other. We will have at least one mismatch will we give us a lowerbound of 4.

2. Let  $X = x_1, x_2, \dots, x_m$  and  $Y = y_1, y_2, \dots, y_n$  be two input strings, with each symbol  $x_i$  or  $y_j$  in  $\{A, C, G, T\}$ . How many relevant possibilities are there for the contents of the final column of an optimal alignment?

1. 2
2. 3
3. 4
4.  $m \cdot n$

(2) is the correct answer. We choose for the  $x_m$  character to be a gap or the  $x_m$  character. We again can choose for the  $y_n$  character to be a gap or the  $y_n$  character. Making both  $x_m$  and  $y_n$  gaps would be redundant and we could have a better solution by having either  $x_m$  or  $y_n$  as a gap. Thus we have three options.  $x_m$  and  $y_m$  staying the same.  $x_m$  staying the same and matched with a gap.  $y_n$  staying the same and matched with a gap.

3. Suppose one of the two input strings is empty. What is the NW score of  $X$  and  $Y$ ?

1. 0
2.  $\alpha_{gap} \cdot (\text{length of } X)$

3.  $+\infty$

4. undefined

(2) is the correct answer. We make  $Y$  the “gap” string, which would result in a penalty of the length of  $X$ .

4. Consider the following two search trees that store objects with key 1, 2 and 3: and the search frequency 1:.8, 2:.1, 3:.1. What are the average search times in the two trees, respectively?

1. 1.9 and 1.2

2. 1.9 and 1.3

3. 2 and 1

4. 2 and 3

The average search time for the first tree is  $\sum_{n \in N} p(n) \cdot [\text{depth of } n \text{ in tree} + 1] = .8 * 2 + .1 * 1 + .1 * 3 = 1.9$ . The average search time for the second tree is  $\sum_{n \in N} p(n) \cdot [\text{depth of } n \text{ in tree} + 1] = .8 * 1 + .1 * 2 + .1 * 3 = 1.2$ . Hence (2) is the correct answer.

5. Suppose an optimal binary search tree for the keys  $[1, 2, \dots, n]$  and frequencies  $p_1, p_2, \dots, p_N$  has the key  $r$  as its root, with left subtree  $T_1$  and right subtree  $T_2$ : Of the following four statements, choose the strongest one that you suspect is true.

1. Neither  $T_1$  nor  $T_2$  need be optimal for the keys it contains

2. At least one of  $T_1, T_2$  is optimal for the keys it contains

3. Each of  $T_1, T_2$  is optimal for the keys it contains.

4.  $T_1$  is an optimal binary search tree for the keys  $\{1, 2, \dots, r - 1\}$  and  $T_2$  for the keys  $\{r + 1, r + 2, \dots, n\}$ .

(4) A dynamic programming problem should satisfy the optimal substructure property therefore (1) and (2) are incorrect. (4) is stronger than (3) and is satisfied by the search tree property of a binary search tree.