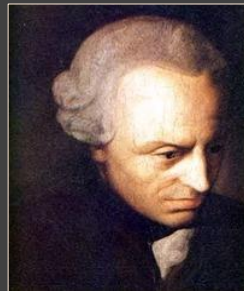


# BACK TO THE DRAWING BOARD

The Myth of Data-Driven NLU and How  
to go Forward (Again)

*WALID S. SABA, PhD*

*Principal AI Scientist  
Astound.ai*



Immanuel Kant



Richard Feynman



Jerry Holzman

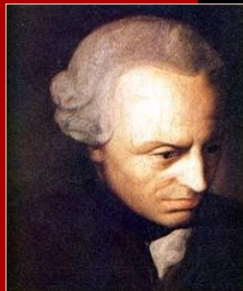
# BACK TO THE DRAWING BOARD

The Myth of Data-Driven NLU and How  
to go Forward (Again)

**WALID S. SABA, PhD**

Principal AI Scientist  
Neva.ai

*SRI International  
November 2, 2017*



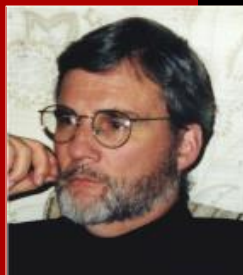
Immanuel Kant

Every thing in nature, in the inanimate as well as in the animate world, happens according to some rules, though we do not always know them ...



Richard Montague

I reject the contention that an important theoretical difference exists between formal and natural languages ...



Jerry Hobbs

One can assume a theory of the world that is isomorphic to the way we talk about it ... in this case, semantics becomes very nearly trivial

**A Computer Chip that can Think  
Like a Human Brain**

**Intelligent Machines**

**AI Software Learns to Make AI  
Software**

**Scientists have created a  
computer chip that works like  
the human brain**



about the resurgence of  
and the currently dominant  
paradigm in 'AI' ...



the availability of huge amounts data, coupled with advances in computer hardware and distributed computing resulted in some advances in certain types of (data-centric) problems (image, speech, fraud detection, text categorization, etc.)

But ...

many problems in AI require  
**understanding** that is beyond  
discovering patterns in data



**Identifying an  
adult female in an  
image might be a  
data-centric  
problem**







Identifying an adult female in an image might be a data-centric problem

However, picking up the photo with the **teacher** and the photo with the **mother** requires information that is not in the data



where's the  
musical band?



where's the  
musical band?



**Musician?**

a person who plays a musical  
instrument?

So what is  
at issue  
here?

So what is  
at issue  
here?

The issue here is that there are no musicians, teachers, lawyers, or even mothers! What exists, ontologically (metaphysically), are humans, and the above concepts are logical concepts that are, sometimes, true of a certain human

# So what is at issue here?

The issue here is that there are no musicians, teachers, lawyers, or even mothers! What exists, ontologically (metaphysically), are humans, and the above concepts are logical concepts that are, sometimes, true of a certain human

Data-driven (quantitative) approaches can only reason with (detect, infer, recognize) objects that are of an ontological type, but not logical concepts, that form the majority of objects of (human) thought

## ONTOLOGIACL CONCEPTS



human



## LOGIACL CONCEPTS



lawyer?  
dancer?  
teacher?  
mother?

⋮

Can a 'lawyer' or a 'dancer' be identified by data-analysis only?



failure to distinguish between logical and ontological concepts is not only a flaw in data-driven approaches

logical/formal semantics also failed to provide adequate models for natural language and for exactly the same reason



but data-driven approaches are inadequate for natural language understanding for other reasons besides their inability to distinguish between logical and ontological concepts

# No Statistical Significance in the Data

(1) *The trophy did not fit in the brown suitcase because **it** was too*

a. *big*

b. *small*

(2) *Dr. Spok told Jon that **he** should soon be done*

a. *writing his thesis*

b. *reading his thesis*

# No Statistical Significance in the Data

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(2) *Dr. Spok told Jon that **he** should soon be done*

a. *writing his thesis*

b. *reading his thesis*

In a data-driven approach it is not clear how such decisions can be made since the only difference between the sentence-pairs in (1) and (2) are words that co-occur with equal probabilities

# No Statistical Significance in the Data

(1) *The trophy did not fit in the brown suitcase because **it** was too*

- a. *big*
- b. *small*

(2) *Dr. Spok told Jon that **he** should soon be done*

- a. *writing his thesis*
- b. *reading his thesis*

How many labeled examples would be required to learn how to resolve such references?

*trophy-fit-in-suitcase-small*  
*trophy-fit-in-suitcase-big*  
*trophy-not-fit-in-suitcase-small*  
*trophy-not-fit-in-suitcase-big*  
*radio-fit-in-purse-small*  
*radio-fit-in-purse-big*  
*radio-not-fit-in-purse-small*  
*radio-not-fit-in-purse-big*  
*etc.*

## It's not even in the data

- (1) *Don't worry, Simon is [as solid as] a rock.*
- (2) *The [person sitting at the] corner table wants another beer.*
- (3) *Carlos likes to play [the game] bridge.*
- (4) *Mary enjoyed [watching] the movie.*
- (5) *Carl owns a [different] house on every street in the village.*

challenges in the computational comprehension of ordinary text is often due to quite a bit of **missing text** – text which is not explicitly stated but is often assumed as shared knowledge among a community of language users

# Innate Ontological Structure?

- (1) a. *The small brown suitcase was found unattended.*  
b. *#The brown small suitcase was found unattended*
  
- (2) a. *Jon bought a beautiful red car.*  
b. *#Jon bought a red beautiful car*

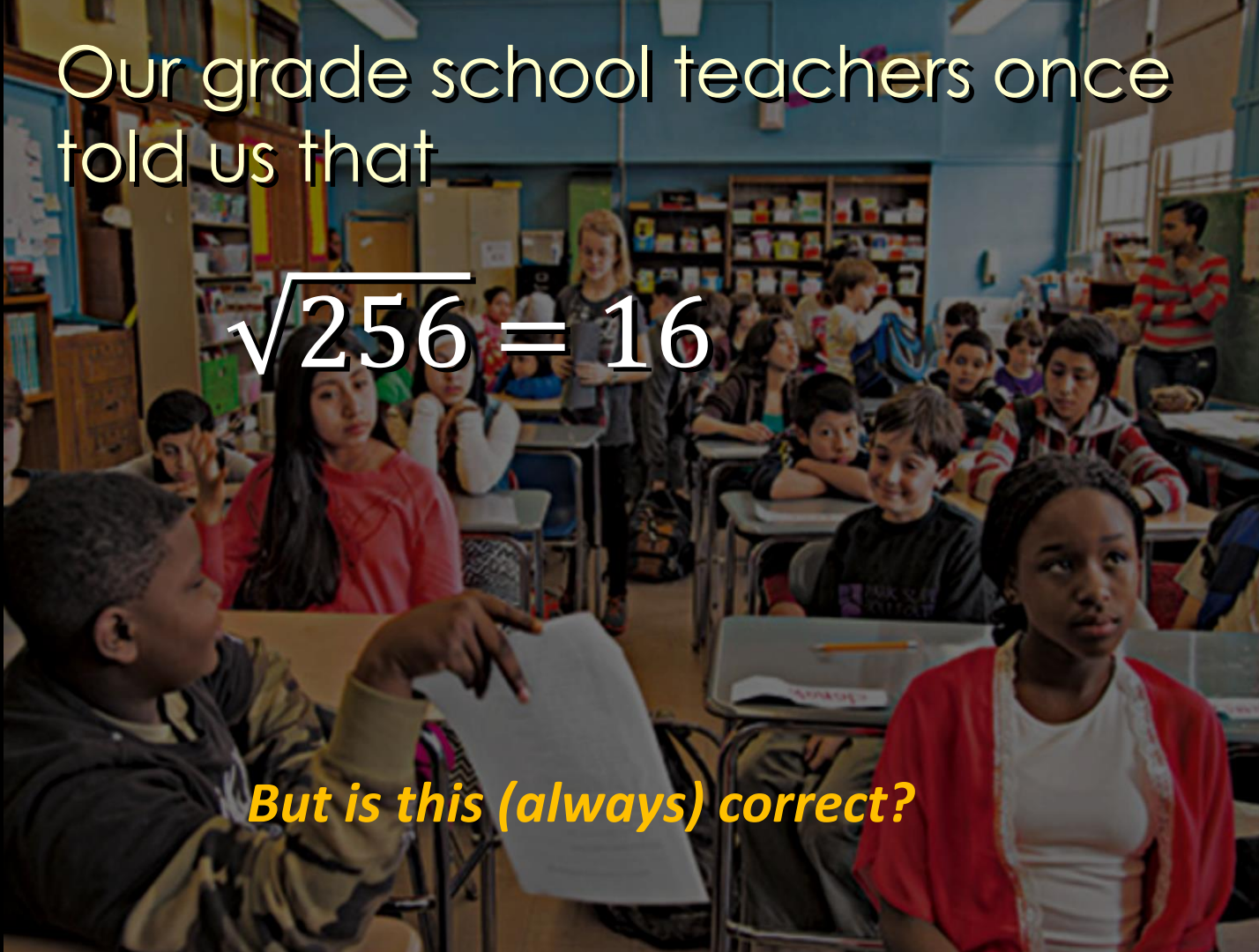
The readings in (1a) and (2a) are clearly preferred over the readings in (1b) and (2b), although there are no structural/syntactic rules that speakers of ordinary language seem to be following. What makes the AORs phenomenon even more intriguing is the fact that these preferences are also consistently made across multiple languages.

Reasoning  
with data only  
in NL leads to  
absurdities

Our grade school teachers once  
told us that

$$\sqrt{256} = 16$$

*But is this (always) correct?*



Reasoning with data only in NL  
leads to absurdities

$$\sqrt{256} = 16?$$



*Mary taught  
her little brother  
that  $7 + 9 = 16$*



# Reasoning with data only in NL leads to absurdities

$$\sqrt{256} = 16?$$



*Mary taught  
her little brother  
that  $7 + 9 = 16$*

If 16 always equals (and is replaceable by) the square root of 256 then we can alter reality and restate the above as

~~✗~~ *Mary taught her little brother that  $7 + 9 = \sqrt{256}$*

If logical/formal semantics failed, and if data-driven approaches are inadequate, then what?

logical semantics failed us (thus far) because they did not differentiate between logical and ontological concepts

as such, logical semantics were mere symbol manipulation systems adequate for mathematics, but not for natural language

what happens  
when we do not  
distinguish  
between  
ontological and  
logical concepts?

CARL GUSTAV HEMPEL



the paradox of the ravens

what happens  
when we do not  
distinguish  
between  
ontological and  
logical concepts?

# Consider the following

(H1) *All ravens are black*

(H2) *All non-black things are not ravens*

That is, we have the hypothesis H1 that 'All ravens are black'. This hypothesis, however, is logically equivalent to the hypothesis H2 that 'All non-black things are not ravens'.

# Consider the following

(H1) *All ravens are black*

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That is, we have the hypothesis H1 that ‘All ravens are black’. This hypothesis, however, is logically equivalent to the hypothesis H2 that ‘All non-black things are not ravens’.

**In FOPL, we have:**

(1)  $(\forall x)(\text{RAVEN}(x) \supset \text{BLACK}(x))$

(2)  $(\forall x)(\neg \text{BLACK}(x) \supset \neg \text{RAVEN}(x))$



$$(\forall x)(\text{RAVEN}(x) \supset \text{BLACK}(x))$$

Observing black ravens confirms hypothesis H1, namely that 'All ravens are black'.



$$(\forall x)(\neg \text{BLACK}(x) \supset \neg \text{RAVEN}(x))$$

Observing non-black and non-raven objects confirms hypothesis H2 (that 'All non-black things are not ravens').





H1

$$(\forall x)(\text{RAVEN}(x) \supset \text{BLACK}(x))$$

Observing black ravens confirms hypothesis H1, namely that 'All ravens are black'.



H2

$$(\forall x)(\neg \text{BLACK}(x) \supset \neg \text{RAVEN}(x))$$

Observing non-black and non-raven objects confirms hypothesis H2 (that 'All non-black things are not ravens').

## and here's the paradox

H1 is equivalent to H2, and so observing a red apple now confirms the hypothesis that 'All ravens are black'

# and here's the solution

First, let us make our logic strongly-typed:

- in addition to a scope, a variable also has a **type**.
- the type associated with a variable is the most general type for which the predicate makes sense

# and here's the solution

First, let us make our logic strongly-typed:

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- the type associated with a variable is the most general type for which the predicate makes sense

(1) `BLACK( $x :: \text{physical}$ )`

(2) `IMMINENT( $x :: \text{event}$ )`

(3) `SYMPATHETIC( $x :: \text{human}$ )`


(4) `HUNGRY( $x :: \text{animal}$ )`

# and here's the solution

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- (4) `HUNGRY( $x :: \text{animal}$ )`



The predicate IMMINENT is applicable to objects of type **event** (or, it makes sense to say IMMINENT  $x$  when  $x$  is an **event** or any of its subtypes)

*All ravens are black*

$\Rightarrow$

1.  $(\forall x)(\text{RAVEN}(x) \supset \text{BLACK}(x))$


the traditional representation in FOPL



*All ravens are black*

$\Rightarrow$

1.  $(\forall x)(\text{RAVEN}(x) \supset \text{BLACK}(x))$
2.  $(\forall x)(\text{RAVEN}(x :: \text{raven}) \supset \text{BLACK}(x :: \text{physical}))$




types are associated with  
variables in the context of  
the predicates in which  
they are used

*All ravens are black*

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3.  $(\forall x)(\text{RAVEN}(x :: \text{raven}) \supset \text{BLACK}(x :: (\text{physical} \bullet \text{raven})))$




$x$  is associated with more  
than one type in the same  
scope, requiring a **type  
unification**

*All ravens are black*

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3.  $(\forall x)(\text{RAVEN}(x :: \text{raven}) \supset \text{BLACK}(x :: (\text{physical} \bullet \text{raven})))$
4.  $(\forall x)(\text{RAVEN}(x :: \text{raven}) \supset \text{BLACK}(x :: \text{raven}))$



since  $\text{raven} \sqsubseteq \text{physical}$ ,  
the type unification here is  
simple, resulting in `raven`



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4.  $(\forall x)(\text{RAVEN}(x :: \text{raven}) \supset \text{BLACK}(x :: \text{raven}))$
5.  $(\forall x :: \text{raven})(\text{true} \supset \text{BLACK}(x))$



(i) since  $x$  is now associated with one type throughout its scope, the type can be moved to where  $x$  was introduced; and (ii) the predicate  $\text{RAVEN}(x :: \text{raven})$  is replaced by **true**

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5.  $(\forall x :: \text{raven})(\text{true} \supset \text{BLACK}(x))$
6.  $(\forall x :: \text{raven})(\text{BLACK}(x))$

*All ravens are black*  $\Rightarrow (\forall x :: \text{raven})(\text{BLACK}(x))$

ontological concept

logical concept

*All non-black things are not ravens*

$\Rightarrow$

1.  $(\forall x)(\neg \text{BLACK}(x) \supset \neg \text{RAVEN}(x))$




the traditional representation in FOPL

*All non-black things are not ravens*

$\Rightarrow$

1.  $(\forall x)(\neg \text{BLACK}(x) \supset \neg \text{RAVEN}(x))$

2.  $(\forall x)(\neg \text{BLACK}(x :: \text{physical}) \supset \neg \text{RAVEN}(x :: \text{raven}))$




types are associated with  
variables in the context of  
the predicates in which  
they are used

*All non-black things are not ravens*

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3.  $(\forall x)(\neg \text{BLACK}(x :: (\text{physical} \bullet \text{raven})) \supset \neg \text{RAVEN}(x :: \text{raven}))$
4.  $(\forall x)(\neg \text{BLACK}(x :: \text{raven}) \supset \neg \text{RAVEN}(x :: \text{raven}))$
5.  $(\forall x :: \text{raven})(\neg \text{BLACK}(x) \supset \neg \text{true})$



(i) since  $x$  is now associated with one type throughout its scope, the type can be moved to where  $x$  was introduced; and (ii) the predicate  $\text{RAVEN}(x :: \text{raven})$  is replaced by **true**

*All non-black things are not ravens*

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4.  $(\forall x)(\neg \text{BLACK}(x :: \text{raven}) \supset \neg \text{RAVEN}(x :: \text{raven}))$
5.  $(\forall x :: \text{raven})(\neg \text{BLACK}(x) \supset \neg \text{true})$
6.  $(\forall x :: \text{raven})(\text{BLACK}(x))$



simplifying we get (6) – we used the facts:

$(P \supset Q) = (\neg P \vee Q)$

$\neg \text{true} = \text{false}$

$(P \vee \text{false}) = P$



*All non-black things are not ravens*

$\Rightarrow$

1.  $(\forall x)(\neg \text{BLACK}(x) \supset \neg \text{RAVEN}(x))$
2.  $(\forall x)(\neg \text{BLACK}(x :: \text{physical}) \supset \neg \text{RAVEN}(x :: \text{raven}))$
3.  $(\forall x)(\neg \text{BLACK}(x :: (\text{physical} \bullet \text{raven})) \supset \neg \text{RAVEN}(x :: \text{raven}))$
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5.  $(\forall x :: \text{raven})(\neg \text{BLACK}(x) \supset \neg \text{true})$
6.  $(\forall x :: \text{raven})(\text{BLACK}(x))$

*All non-black things are not ravens*  $\Rightarrow (\forall x :: \text{raven})(\text{BLACK}(x))$

ontological concept

logical concept

# what paradox of the ravens?

*All ravens are black*  $\Rightarrow (\forall x :: \mathbf{raven})(\mathbf{BLACK}(x))$

*All non-black things are not ravens*  $\Rightarrow (\forall x :: \mathbf{raven})(\mathbf{BLACK}(x))$

**It seems that making a clear distinction between logical and ontological concepts shows that the problem was in the representation and there is no so-called ‘paradox of the ravens’**

logical

US.

ontological

concepts

# let's put the typed ontologic to use

$\llbracket \textit{Sheba is a thief} \rrbracket$

$\Rightarrow (\exists^1 \textit{Sheba} :: \texttt{thing})(\texttt{THIEF}(\textit{Sheba} :: \texttt{human}))$

# let's put the typed ontologic to use

$\llbracket \textit{Sheba is a thief} \rrbracket$

$\Rightarrow (\exists^1 \textit{Sheba} :: \texttt{thing})(\texttt{THIEF}(\textit{Sheba} :: \texttt{human}))$

**Type unification is required**

$(\textit{Sheba} :: (\texttt{thing} \bullet \texttt{human})) \rightarrow (\textit{Sheba} :: \texttt{human})$

# let's put the typed ontologic to use

$\llbracket \textit{Sheba is a thief} \rrbracket$

$\Rightarrow (\exists^1 \textit{Sheba} :: \texttt{thing})(\texttt{THIEF}(\textit{Sheba} :: \texttt{human}))$

**Type unification is required**

$(\textit{Sheba} :: (\texttt{thing} \bullet \texttt{human})) \rightarrow (\textit{Sheba} :: \texttt{human})$

**Final representation (we now know Sheba must be a human)**

$\llbracket \textit{Sheba is a thief} \rrbracket \Rightarrow (\exists^1 \textit{Sheba} :: \texttt{human})(\texttt{THIEF}(\textit{Sheba}))$

# let's put the typed ontologic to use

$\llbracket \text{Sara owns a black cat} \rrbracket$   
 $\Rightarrow (\exists^1 \text{Sara} :: \text{thing})(\exists c :: \text{cat})(\text{BLACK}(c :: \text{physical})$   
 $\quad \wedge \text{OWN}(\text{Sara} :: \text{human}, c :: \text{entity}))$

## Two type unifications are required

$(\text{Sara} :: (\text{thing} \bullet \text{human})) \rightarrow (\text{Sara} :: \text{human})$

$(c :: ((\text{physical} \bullet \text{entity}) \bullet \text{cat}))$

$\rightarrow (c :: (\text{physical} \bullet \text{cat}))$

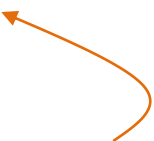
$\rightarrow (c :: \text{cat})$

## Final representation

$\llbracket \text{Sara owns a black cat} \rrbracket$   
 $\Rightarrow (\exists^1 \text{Sara} :: \text{human})(\exists c :: \text{cat})(\text{BLACK}(c) \wedge \text{OWN}(\text{Sara}, c))$

# let's put the typed ontologic to use

[[*Sara is a beautiful dancer*]]



How is it that 'beautiful' could  
be meant to describe Sara or  
her dancing?



# let's put the typed ontologic to use

$\llbracket \textit{Sara is a beautiful dancer} \rrbracket$

$\Rightarrow (\exists^1 \textit{Sara} :: \textbf{thing})(\exists a :: \textbf{activity})$   
     $(\text{DANCING}(a) \wedge \text{AGENT}(a :: \textbf{activity}, \textit{Sara} :: \textbf{human})$   
         $\wedge (\text{BEAUTIFUL}(a :: \textbf{entity})$   
             $\vee \text{BEAUTIFUL}(\textit{Sara} :: \textbf{entity})))$

## Two type unifications are required

$(\textit{Sara} :: ((\textbf{thing} \bullet \textbf{human}) \bullet \textbf{entity}))$   
 $\rightarrow (\textit{Sara} :: (\textbf{human} \bullet \textbf{entity}))$   
 $\rightarrow (\textit{Sara} :: \textbf{human})$

$(a :: (\textbf{activity} \bullet \textbf{entity}))$   
 $\rightarrow (a :: \textbf{activity})$

# let's put the typed ontologic to use

## Final interpretation

$$\begin{aligned} & \llbracket \textit{Sara is a beautiful dancer} \rrbracket \\ \Rightarrow & (\exists^1 \textit{Sara} :: \mathbf{human})(\exists a :: \mathbf{activity}) \\ & (\text{DANCING}(a) \wedge \text{AGENT}(a, \textit{Sara}) \\ & \wedge (\text{BEAUTIFUL}(a) \vee \text{BEAUTIFUL}(\textit{Sara}))) \end{aligned}$$

Since ‘beautiful’ can be said of activities as well as humans, the sentence remains ambiguous. But how about ‘Sara is a tall dancer’ ?

# let's put the typed ontologic to use

$\llbracket \text{Sara is a tall dancer} \rrbracket$   
 $\Rightarrow (\exists^1 \text{Sara} :: \text{thing})(\exists a :: \text{activity})$   
     $(\text{DANCING}(a) \wedge \text{AGENT}(a :: \text{activity}, \text{Sara} :: \text{human})$   
         $\wedge (\text{TALL}(a :: \text{physical}) \vee \text{TALL}(\text{Sara} :: \text{physical})))$

## Two type unifications are required

$(\text{Sara} :: ((\text{thing} \bullet \text{human}) \bullet \text{physical}))$   
 $\rightarrow (\text{Sara} :: (\text{human} \bullet \text{physical}))$   
 $\rightarrow (\text{Sara} :: \text{human})$

$\text{TALL}(a :: (\text{phsycial} \bullet \text{activity}))$   
 $\rightarrow \text{TALL}(a :: \perp)$   
 $\rightarrow \perp$

# let's put the typed ontologic to use

Since  $((A \vee \perp) = A)$  we finally get

$$\begin{aligned} & \llbracket \textit{Sara is a tall dancer} \rrbracket \\ \Rightarrow & (\exists^1 \textit{Sara} :: \textbf{human})(\exists a :: \textbf{activity}) \\ & (\text{DANCING}(a) \wedge \text{AGENT}(a, \textit{Sara}) \wedge \text{TALL}(\textit{Sara})) \end{aligned}$$

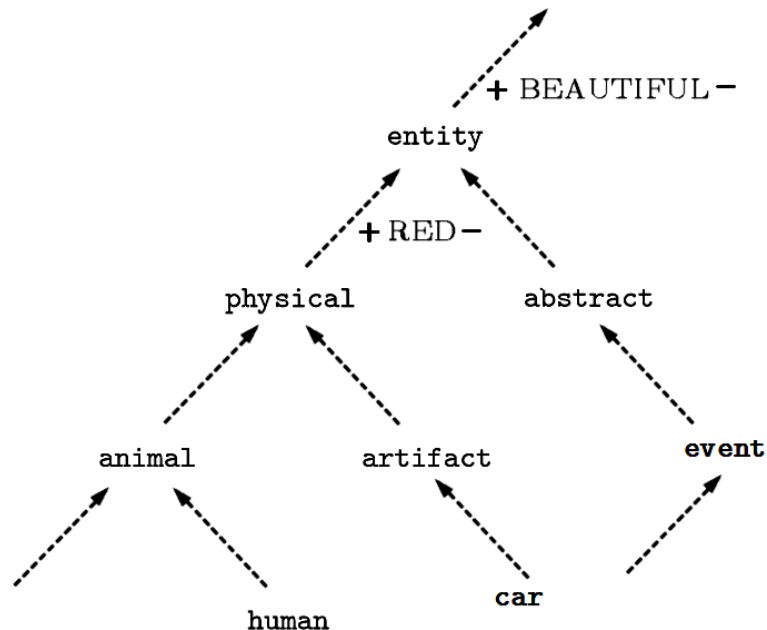
Unlike the case of ‘Sara is a beautiful dancer’, in this case type unification rejected the possibility of a ‘tall dancing’

# Adjective-ordering restrictions

*John owns a beautiful red car*

*John owns a red beautiful car*

- a. `BEAUTIFUL(RED( $x :: \text{physical}$ ) :: \text{entity})`
- b. `RED(BEAUTIFUL( $x :: \text{entity}$ ) :: \text{physical})`



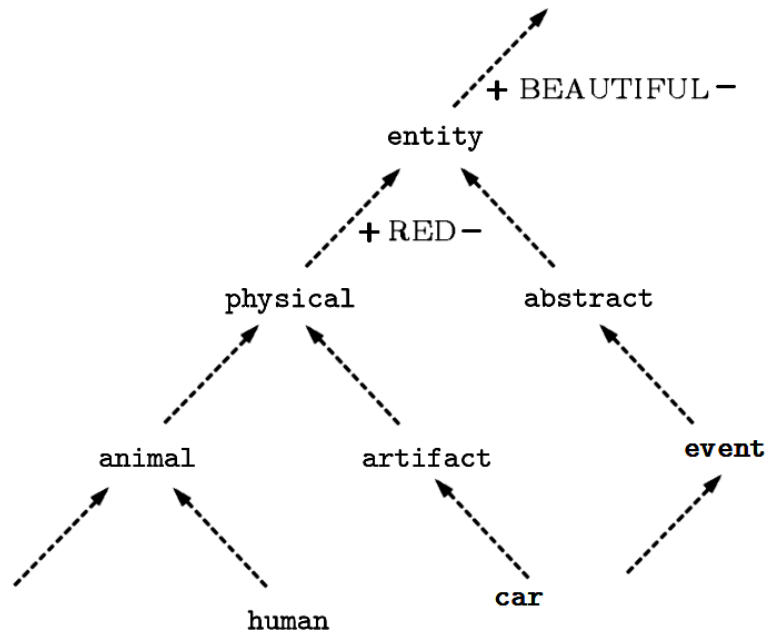
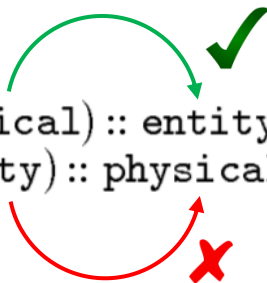
# Adjective-ordering restrictions

*John owns a beautiful red car*

*John owns a red beautiful car*

a. BEAUTIFUL(RED( $x :: \text{physical}$ ) :: entity)

b. RED(BEAUTIFUL( $x :: \text{entity}$ ) :: physical)



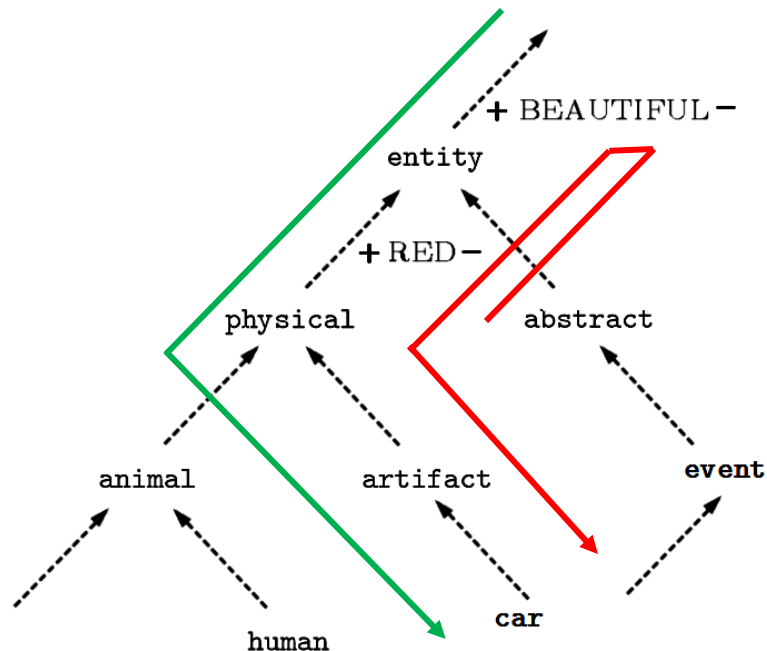
Downcasting is undecidable so (a) is acceptable but (b) is not

# Adjective-ordering restrictions

*John owns a beautiful red car*

*John owns a red beautiful car*

- a. BEAUTIFUL(RED( $x :: \text{physical}$ ) :: entity) ✓  
b. RED(BEAUTIFUL( $x :: \text{entity}$ ) :: physical) ✗



The second order requires us to go up, and then down again!

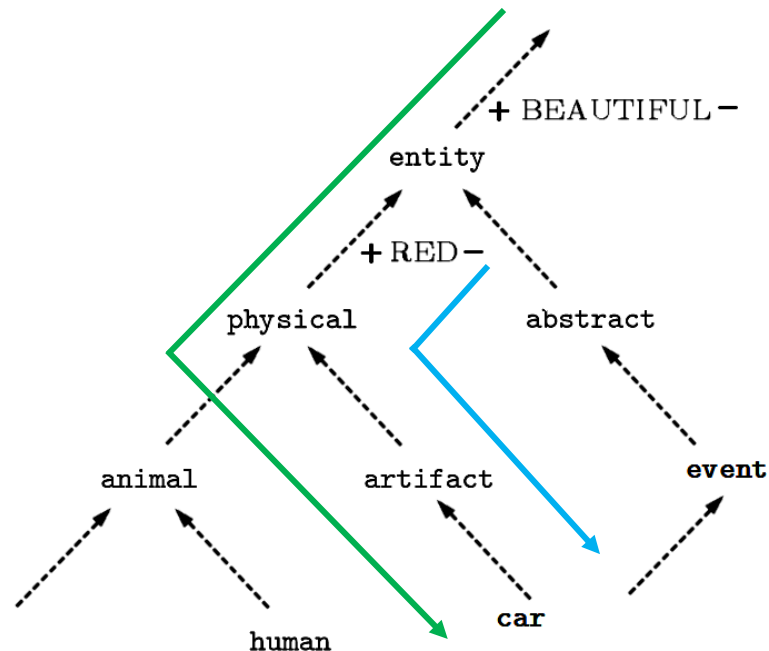
# Adjective-ordering restrictions

*John owns a red and beautiful car*

⇒

*(John owns a red car)*

**and** *(John owns a beautiful car)*



We can, though we rarely do, say two separate statements!



# Lexical disambiguation

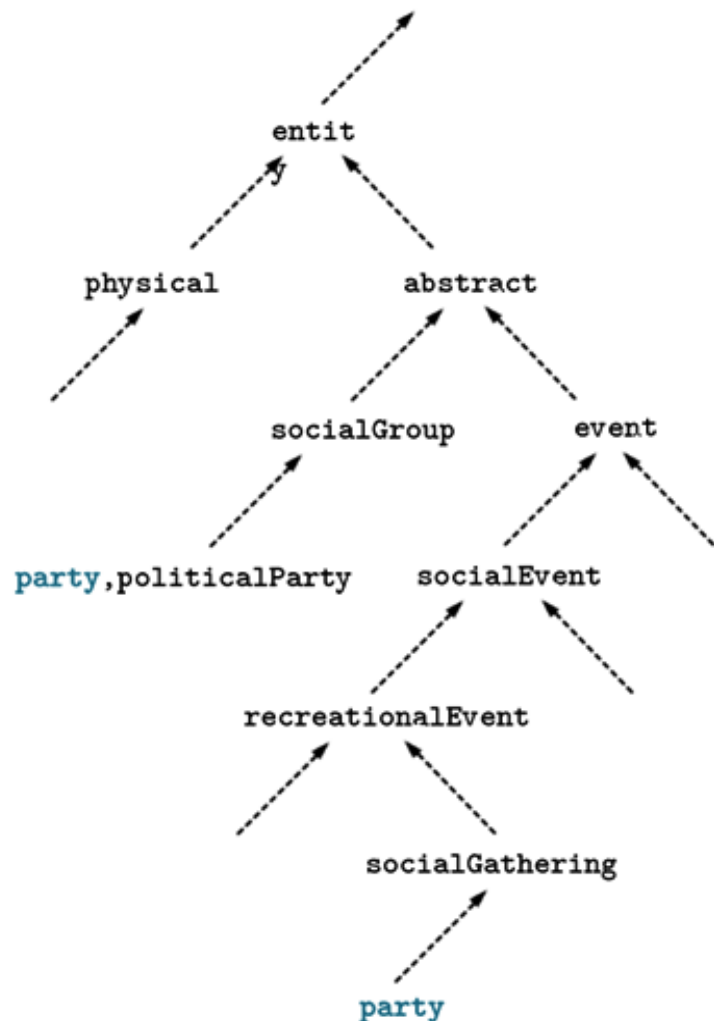
[[The party was cancelled]]

$\Rightarrow (\exists^1 p :: \{\text{politicalParty}, \text{socialGroup}, \text{socialEvent}, \dots\})$   
 $(\exists a :: \text{activity})(\text{CANCELLATION}(a)$   
 $\wedge \text{OBJECT}(a, p :: \text{event}))$

## Type unifications relevant to 'party'

$(p :: \{(\text{politicalParty} \bullet \text{event}), (\text{socialGroup} \bullet \text{event}),$   
 $(\text{socialEvent} \bullet \text{event}), \dots\})$   
 $\rightarrow (p :: \{\perp, \perp, \text{socialEvent}\})$   
 $\rightarrow (p :: \text{socialEvent})$

Type unification will remove the  
'political group' meaning of 'party'



# Discovering 'missing text' - metonymy

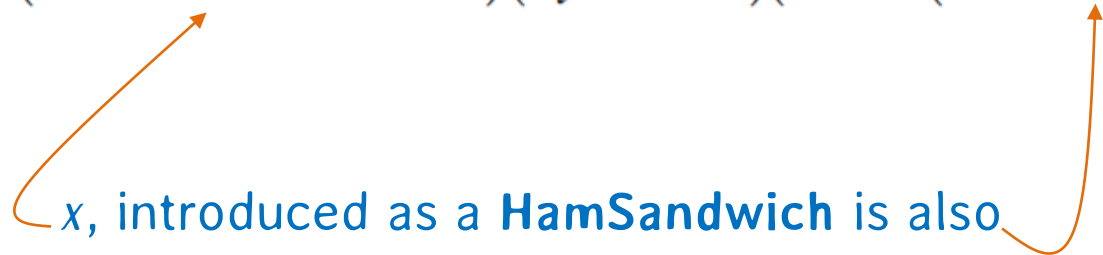
[[*the ham sadnwich wants a beer*]]

$\Rightarrow (\exists^1 x :: \text{HamSandwich})(\exists y :: \text{Beer})(\text{WANT}(x :: \text{Human}, y :: \text{Thing}))$

# Discovering 'missing text' - metonymy

[[*the ham sadnwich wants a beer*]]

$\Rightarrow (\exists^1 x :: \text{HamSandwich})(\exists y :: \text{Beer})(\text{WANT}(x :: \text{Human}, y :: \text{Thing}))$

  
x, introduced as a **HamSandwich** is also  
considered to be an object of type **Human**  
in the context of a **WANT** relation

# Discovering 'missing text' - metonymy


[[*the ham sadnwich wants a beer*]]

$\Rightarrow (\exists^1 x :: \text{HamSandwich})(\exists y :: \text{Beer})(\text{WANT}(x :: \text{Human}, y :: \text{Thing}))$

$(y :: (\text{Beer} \bullet \text{Thing})) \rightarrow \text{Beer}$

$(x :: (\text{Human} \bullet \text{HamSandwich})) \rightarrow \mathbf{R} = \text{msr}(\text{Human}, \text{Sandwich})$

The unification of two types  
that are not on the same path  
is some salient relationship  
between the two types



Data-driven approaches to NLU are inadequate for several reasons, most important of which is the fact that understanding ordinary spoken language involves **discovering the missing text** that is not even explicitly stated in the data

Logical/formal semantics treated logical and ontological concepts the same, as a consequence, they were for the most part symbol manipulation systems devoid of any ontological content

Typed logical semantics (embedded with ontological types) seem to suggest very simple solutions to many challenging problems in language understanding

thank you