## Heuristic-Boosted Ejection Fraction Estimation from 2D U-Net Segmentation

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Abstract. Ejection fraction (EF) estimation from apical four-chamber (A4C) echocardiogram videos is achieved through a pipeline combining deep learning segmentation and detailed analysis of ventricular geometry. A ResNet50-encoded 2D U-Net performs frame-by-frame left ventricle (LV) segmentation, with ventricular volumes subsequently calculated via the area-length method. To correct systematic biases arising from segmentation errors and heuristic volume estimation, the pipeline incorporates a regression model that predicts the signed error between ground truth and estimated EFs using a set of domain-informed features. The most informative predictors include the ventricular length ratio, volume ratio, and the variability in segmentation consistency over time, quantified as the standard deviation of the Dice similarity coefficient between consecutive frames. This approach achieves a mean absolute error (MAE) of 4.69% on the EchoNet-Pediatric dataset for A4C views, offering an interpretable and refined estimation of cardiac function.

**Keywords:** Ejection Fraction Estimation Cardiac Segmentation  $\cdot$  U-Net  $\cdot$  Echocardiography  $\cdot$  Pediatric Cardiology  $\cdot$  Area-Length Method  $\cdot$  Regression-Based Correction  $\cdot$  Heart Failure Classification

#### 1 Introduction

Accurate estimation of ejection fraction plays a key role in assessing cardiac function. Traditionally, EF assessment relies on manual interpretation of echocardiogram videos, a process that is both time-consuming and prone to variability due to its subjective nature. This has driven a growing interest in automated methods that can provide fast, consistent, and objective measurements.

In recent years, this field has seen significant advances fueled by deep learning. LV segmentation has been addressed in several studies, many of which focus

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on 2D segmentation. Numerous efforts have improved U-Net architectures by incorporating attention mechanisms and residual connections [1][2], while GAN-based data augmentation has helped address limited annotations [3]. Lightweight U-Net and ResUnet variants have been effective for MRI segmentation [4][5], and adversarial learning combined with multi-stage pose estimation networks has enhanced the anatomical consistency of segmentation outputs[6]. Recently, hybrid models that combine convolutional neural networks (CNNs) with Transformer architectures have demonstrated promising results by capturing both finegrained local details and broader global context in echocardiographic images [7]. The publication of EchoNet-Dynamic[8] introduced a video-based deep learning algorithm that achieves state-of-the-art performance and released a large public dataset of 10,030 annotated echocardiogram videos.

3D CNNs have shown high performance [9]. However, their computational demands and limited interpretability pose significant implementations challenges, especially in low-resource environments.

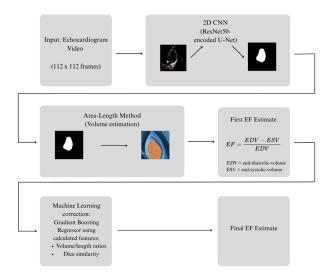


Fig. 1: Workflow diagram of the proposed ejection fraction estimation framework.

To address these challenges, frame-wise LV segmentation from A4C echocardiogram views is performed using a 2D U-Net with a ResNet50 encoder backbone (see Fig.1). Ventricular volumes and EF are subsequently estimated using the well-established area-length method, which is grounded in cardiac geometry[10].

Recognizing the inherent inaccuracies associated with this approach, a second stage was introduced: a regression model that estimates the signed error between true and predicted EF values. This model utilizes three input features: two geometric measures: the ventricular length ratio and the volume ratio, and a temporal consistency metric, defined as the standard deviation of the Dice

similarity coefficient between consecutive segmentation outputs. The inclusion of this module not only significantly improves accuracy, but also offers an interpretable alternative to black-box deep learning models by leveraging features grounded in clinical relevance.

Building on this foundation, comprehensive statistical tests were conducted to confirm that the accuracy of the pipeline aligns with the standards of medical practice. An explainability analysis was also performed to clarify the factors that influence the model predictions. Together, these evaluations demonstrate technical rigor and practical reliability, aligning the pipeline as closely as possible with the needs and expectations of medical professionals.

#### 2 Materials and Methods

#### 2.1 Dataset

This investigation uses the A4C subset from EchoNet-Pediatric data[11], developed and published by researchers at Stanford University. It comprises 3,284 A4C video clips of pediatric patients aged from 0 to 18 years with a balanced gender distribution. Each video of  $112 \times 112$  pixels consists of at least one cardiac cycle and includes expert manual LV tracings at end systole and end diastole. The data covers a wide range of cardiac function, with EF values ranging from 7. 02% to 72. 99%, reflecting various clinical conditions.

To train the segmentation model, an image-mask dataset was built by extracting and pairing two frames from each video, end-systole and end-diastole, with their associated binary segmentation masks, following the annotations and traced lines provided by Stanford. The samples were then divided into training, validation, and test sets, keeping the same video labels, ensuring methodological integrity.

### 2.2 2D U-Net

Among all deep learning approaches for medical image segmentation, encoderdecoder architectures have notable performance. The CAMUS project demonstrated that such models can accurately segment cardiac chambers and estimate clinical indices and significantly outperformed traditional methods in echocardiographic analysis [12].

Based on these findings, to perform frame-by-frame segmentation, two 2D network architectures were implemented using PyTorch [13][14]: a standard U-Net and, to enhance this baseline, a U-Net with a ResNet50 encoder already pretrained on ImageNet[15], with the goal of exploring whether transfer learning could improve segmentation accuracy.

Both models were set up with similar conditions and trained, validated and tested in the same environment, using the previously described frame-mask dataset with the same data augmentation strategies—random rotations and horizontal flips—. The models operate on a normalized grayscale input image, and outputs a probability map.

A combination of binary cross-entropy and Dice loss was used, as is common in segmentation tasks, to balance pixel-wise accuracy with region-level overlap. Under this setup, both models showed stable convergence over the course of 20 training epochs. ResNet50-encoded U-Net outperformed the standard U-Net on the test set (see Table 1), achieving higher mean and lower variability for Dice similarity and intersection-over-union (IoU) scores.

Table 1: Comparison between Standard U-Net and ResNet50-encoded U-Net performance on the test set.

Metric	Standard U-Net	ResNet50-encoded U-Net
Dice	$0.903(\pm 0.027)$	$0.917(\pm 0.02)$
IoU	$0.824(\pm0.044)$	$0.847(\pm 0.034)$

A hyperparameter optimization process was performed using Optuna[16] to improve segmentation performance. The best configuration found used a learning rate of 1e-4, batch size of 8, 20 training epochs and Adam optimizer for Resnet50-encoded U-Net.

# 2.3 Ejection Fraction Estimation via Heuristic Area–Length Method

For an echocardiogram video, each frame at time t is passed as input to the segmentation model and the probability map outputted is thresholded by 0.5, to yield a binary mask  $I_t \in \{0,1\}$  (see Fig.2), defining the region of interest as,

$$\Omega_t = \{ (x, y) \mid I_t(x, y) = 1 \} \tag{1}$$

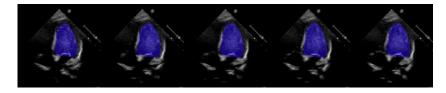


Fig. 2: LV segmentation sequence across consecutive frames.

Two key anatomical descriptors are computed from this mask: the area  $|\Omega_t|$ , defined as the total number of pixels within the segmented ventricle, and the length  $L_t$ ,

$$L_t = \max_{(x_i, y_i), (x_j, y_j) \in \Omega_t} \sqrt{(x_i - x_j)^2 + (y_i - y_j)^2}$$
 (2)

which is estimated as the maximum Euclidean distance between any two pixels inside the predicted ventricular region, serving as a proxy for the ventricular long axis, often difficult to define precisely due to anatomical variability and inconsistent vertical alignment in A4C views. In some cases, the base endpoint is displaced laterally rather than being centered on the mitral valve plane, and the line may follow either the septal or lateral wall rather than the central axis of the cavity (see Fig. 3).

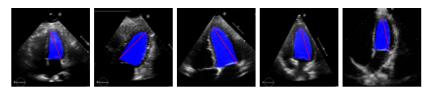


Fig. 3: Orientation variability in A4C views.

These per frame descriptors are then used to estimate volumes using the area-length (bullet) method,

$$V_t = \frac{5}{6} \cdot |\Omega_t| \cdot L_t \cdot s^3, \quad s \in \mathbb{R}^+$$
 (3)

where s is the pixel spacing in millimeters. Maximum and minimum values are identified as end-diastolic and end-systolic volumes, respectively. The heuristic ejection fraction is then computed as,

$$\widehat{\mathbf{EF}} = \left(\frac{\mathbf{V}_{\text{max}} - \mathbf{V}_{\text{min}}}{\mathbf{V}_{\text{max}}}\right) \times 100 \tag{4}$$

#### 2.4 Learning-Based Correction of Signed Error

Although this heuristic method is a clinically established approach for estimating EF, its accuracy inherently depends on the fidelity of the segmentation and geometric assumptions can introduce systematic bias, particularly in irregular heart morphologies (see Fig.4). To quantify and mitigate these deviations, some studies include a correction mechanism to identify segmentation outliers, and reduce their influence in EF estimate[17].

This study introduces a learned correction strategy that predicts the signed error between the ground truth EF and the initial estimate  $\widehat{EF}$ , defined as:

$$\varepsilon = EF_{true} - \widehat{EF} \tag{5}$$

 $\varepsilon$  was modeled using a set of interpretable descriptors extracted from the segmentation process, with the goal of characterizing the LV dynamic behavior. Among these, three were identified as the most effective predictors: the

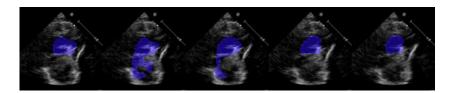


Fig. 4: Segmentation outlier sequence exhibiting temporal inconsistency.

length ratio (minimum-to-maximum ventricular length), representing the extent of longitudinal contraction—a well-established marker of systolic function; the volume ratio (minimum-to-maximum ventricular volume), representing the heart's pumping efficiency by approximating stroke volume normalized by chamber size; and the standard deviation (std) of the Dice similarity coefficient between consecutive segmentation masks, representing the temporal stability of the ResNet50-encoded U-Net predictions. The strength of association between each feature and the signed error is summarized in Table 2.

Table 2: Pearson and Spearman coefficients between features and  $\varepsilon$ .

Feature	Pearson	Spearman	
Length ratio	0.417	0.408	
Volume ratio	0.324	0.444	
Dice similarity std	0.310	0.219	

The strong linear correlation ( $\tau_p = 0.892$ ) between volume and length ratios reflects their expected geometric coupling. However, combining both into a joint descriptor did not improve performance, nor did removing either of the two individually. These features illustrate that the heuristic method tends to slightly underestimate in cases where the ventricle contracts as expected, and overestimate in cases of reduced motion or abnormal contraction (see Fig. 5).

Experiments were conducted using the scikit-learn implementations [18] of three regression models: Random Forest, K-Nearest Neighbors, and Gradient Boosting. Among these, the best overall performance on the validation set was achieved by Gradient Boosting, with the highest  $R^2$  score and the lowest MAE and mean squared error (MSE), as shown in Table 3. Consequently, this model was selected for hyperparameter tuning. The best-performing configuration was defined by a learning rate of 0.01, a maximum tree depth of 4, and 200 estimators.

The regression model outputs  $\hat{\varepsilon}$  are added to the initial EF estimates, representing a learned correction to the heuristic predictions based on segmentation and geometry.

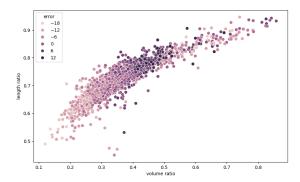


Fig. 5: Relationship between volume ratio and length ratio, with point color indicating the signed error  $\varepsilon$ .

Table 3: Performance comparison of candidate models on validation set

Model	MAE	MSE	$R^2$
		26.991312	
		27.856274	
K-Nearest Neighbors	4.248252	30.141946	0.174748

#### 3 Results

The initial EF estimates on the test set yielded a MAE of 6.42%, highlighting the limitations of segmentation and heuristic volume estimation under varying cardiac anatomies. After the learned correction term  $\hat{\varepsilon}$  was added, the MAE was reduced to 4.69%.

#### 3.1 Residual Analysis and Statistical Testing

The agreement between predicted and true EFs was further evaluated using Bland–Altman plots before and after the regression-based correction (see Fig. 6). The original heuristic estimates exhibited a mean bias of -2.50% indicating systematic overestimation, with wide limits of agreement (LoA) ranging from -18.08% to 13.07%. After applying the Gradient Boosting correction, the mean bias was effectively reduced to -0.22% and LoA narrowed to -12.72% to 12.28%. This correction also led to a tighter clustering of errors around the zero-bias line and fewer outliers beyond LoA, suggesting improved consistency and clinical reliability of the final EF predictions.

To determine whether the observed improvement was statistically significant, additional analyses were performed on the absolute prediction errors. The distribution of the error reduction—defined as the difference between the heuristic and corrected absolute errors—was first evaluated for normality using the Kolmogorov-Smirnov (Lilliefors) test. A statistic value of D=0.1529 with p=0.001 was obtained, indicating a significant deviation from normality.

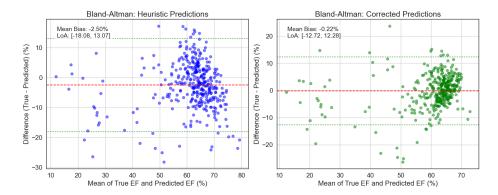


Fig. 6: Bland–Altman plots showing residuals before and after applying the learned correction term  $\hat{\varepsilon}$ .

Given the non-normal distribution of error differences, the Wilcoxon signed-rank test was applied. This test strongly rejected the null hypothesis of no improvement with  $p < 10^{-6}$ , confirming that the regression correction significantly reduced prediction error.

A more precise quantification of the improvement was obtained by using a nonparametric bootstrap (10000 iterations) to construct a 95% confidence interval for the mean reduction in absolute error. The resulting interval ranged from 1.38% to 2.10%, with a midpoint of 1.74%. As this interval lies entirely above zero, it provides strong evidence of systematic improvement across the test set.

### 3.2 Interpretability and Feature Contribution

The interpretability of the proposed pipeline is grounded in the integration of clearly defined geometric descriptors that are clinically relevant, enabling medical professionals to validate and understand the corrections applied to EF estimates. This hybrid approach aligns with prior works that combine convolutional networks with statistical models to refine the accuracy of ventricular volume measurements[17], or employ anatomical parameters as explanatory variables in cardiac segmentation[6]. Unlike black-box architectures based on 3D-CNN or Transformers—which prioritize algorithmic performance over transparency—the method presented here leverages interpretable geometric features (ventricular length and volume ratios) to ensure a direct correlation with clinical principles.

Feature relevance analysis using the SHAP (SHapley Additive exPlanations) method[19] (see Fig.7) confirms the consistency between geometric predictors and corrected EF estimation errors. On the test set, volume ratio emerges as the most influential descriptor for predicting the error, followed by length ratio and Dice similarity standard deviation. These results validate the hypothesis that deviations in computed EF are predominantly driven by inconsistencies in volumetric dynamics throughout the cardiac cycle.

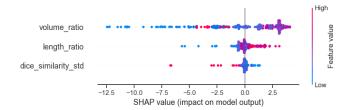


Fig. 7: Features impact in regression-based correction.

#### 3.3 Comparison with State of the Art Methodologies

A comprehensive evaluation of left ventricular ejection fraction estimation models requires a detailed comparison with existing state-of-the-art methods. Table 4 presents such a comparative summary.

A critical distinction among the evaluated models lies in their foundational datasets: the EchoNet-Pediatric and EchoNet-Dynamic collections. While both datasets are derived from echocardiographic imaging, they differ in their target populations, data acquisition protocols, and labeling practices, reflecting distinct clinical priorities. For instance, EchoNet-Pediatric emphasizes age-specific cardiac measurements and pathology annotation relevant to children, whereas EchoNet-Dynamic was curated primarily for assessing ventricular function in adults.

The proposed pipeline was also evaluated on the EchoNet-Dynamic test set, achieving an initial MAE of 8.94%, and after applying the learned correction, this metric was reduced to 7.34%. While this result does not match state-of-the-art performance levels, it is important to acknowledge that analyzing pediatric echocardiography data presents unique challenges, which in turn affect model generalizability and clinical applicability. Pediatric patients have distinct anatomical and physiological characteristics, such as smaller cardiac structures and ongoing growth, necessitating age-specific normative values and measurement techniques. Additionally, the patterns and types of cardiac diseases encountered in this population differ substantially from those in adults Therefore, models trained exclusively on one population may not accurately capture the specific features of the other. Direct numerical comparisons between such models should be approached with caution, as they are grounded in separate clinical contexts.

Beyond population-specific considerations, computational demands influence clinical utility. While models employing 3D CNNs with transformer or spatiotemporal architectures achieve lower numerical error, their reliance on volumetric data demand significantly greater memory and processing power for both training and inference, leading to practical limitations in clinical environments. By contrast, clinical interpretability is prioritized in our approach through the use of a simpler architecture. Transparent intermediate outputs, such as visual tracings of cardiac structures, are provided by its segmentation-based methodology,

Architecture **Key Innovation** Dataset MAEInterpretable ResNet50-encoded pipeline correcting |2D U-Net +biases from EchoNet-Pediatric Area-Length + 4.69%segmentation and (A4C subset) Regression (current heuristic volume methodology) estimation. 3D Convolutional First AI model solely 3.66%Neural Network (3D) on a large, diverse EchoNet-Pediatric CNN)[11] pediatric dataset. EchoNet-Dynamic &Quasi-Period TTT framework for Pre-School: EchoNet-Pediatric Network pediatric EF using (stratified into 7.235\%, School (Parameterized Helix quasi-periodic Pre-School, Age: 6.706%, Trajectory + Neural dynamics with School-Age, Adolescence: guaranteed variance CDE) + Variance Adolescence, Adult 6.980%Minimization[20] minimization cohorts) DeepLabv3 (seg) +Spatiotemporal 3D 4.1%EchoNet-Dynamic CNN for video. R(2+1)D (EF)[8]

Table 4: Comparison of EF estimation models by architecture, innovation, dataset, and performance.

aligning directly with established echocardiographic practices. In addition, explainability techniques are incorporated, and this clarity enhances clinician confidence and enables more informed diagnostic decisions. Such qualities are especially important in medical AI, as they facilitate validation by clinical experts, encourage responsible deployment, and support alignment with established diagnostic standards.

EchoNet-Dynamic

3.7%

Residual

analysis.

Transformer Module

for spatiotemporal

#### 4 Conclusions

Hybrid

RTM)[21]

CNN-Transformer

(3D ResNet +

By combining a reliable heuristic approach with data-based corrections, improved accuracy is achieved while keeping the process understandable for clinicians. Building on the well-known area-length method ensures that the pipeline remains connected to physiological principles, addressing the common issue of limited transparency in many deep learning models.

The addition of geometric descriptors helps capture the heart's complex movements, reducing errors that frequently affect traditional methods. Reliable performance was confirmed through statistical and clinical evaluations, while explainability analyses verified that key features closely correspond to medical relevance.

These results emphasize the potential of hybrid approaches that balance clinical interpretability with improved precision. Such solutions not only fit naturally into existing workflows but also have the capacity to make automated cardiac function assessment more accessible and widely adopted across different health-care settings.

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