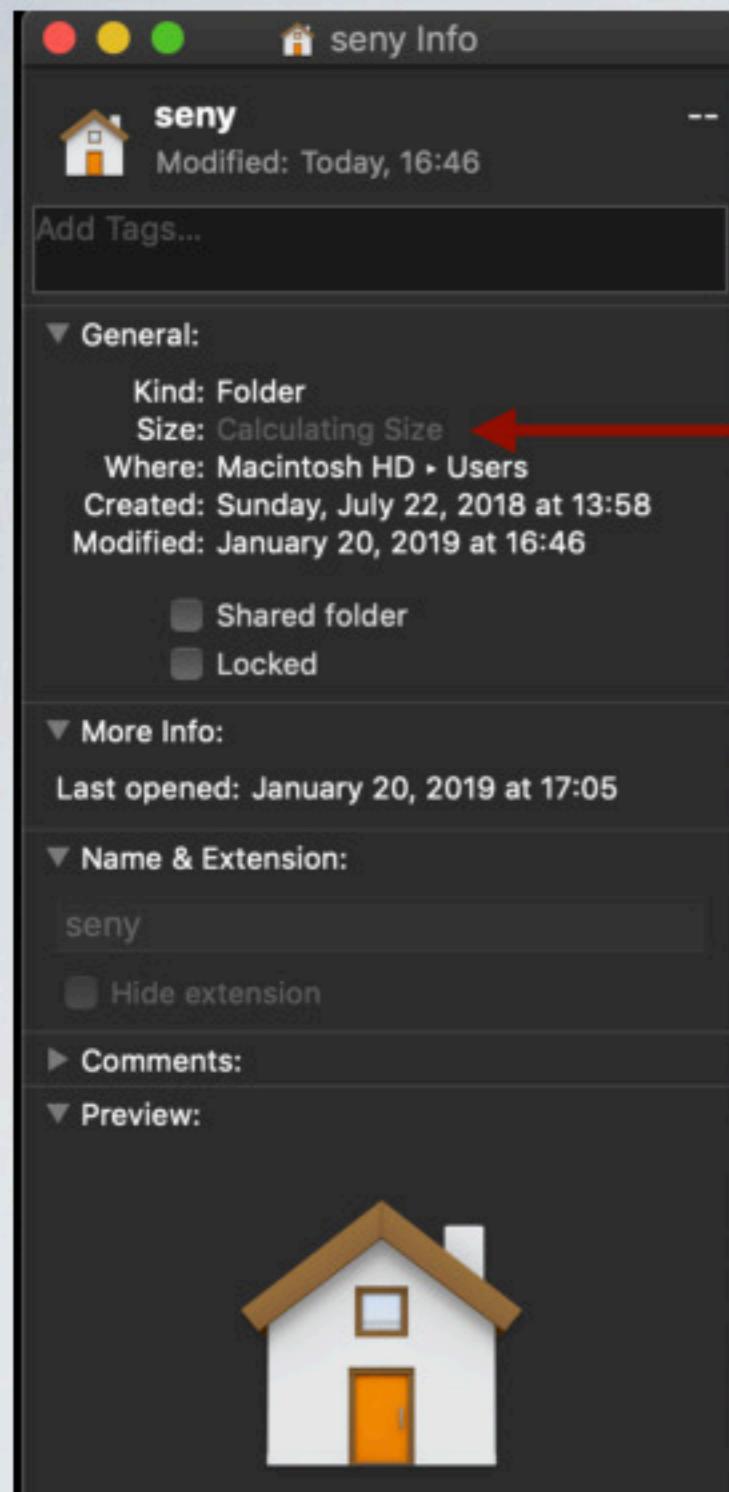


Tree Properties & Traversals

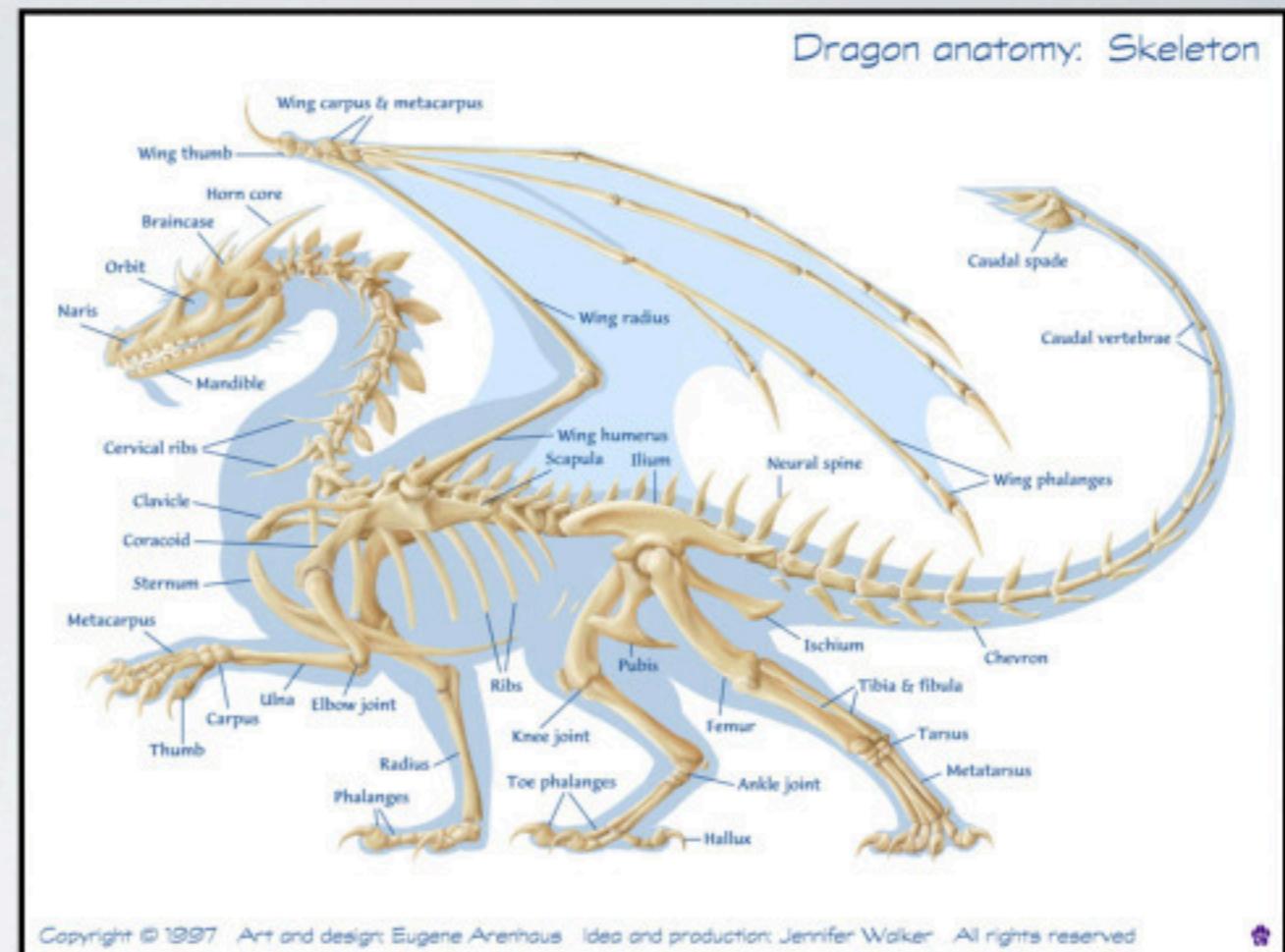
CS16: Introduction to Data Structures & Algorithms
Spring 2020



- ▶ How does OS calculate size of directories?

Outline

- ▶ Tree & Binary Tree ADT
- ▶ Tree Traversals
 - ▶ Breadth-First Traversal
 - ▶ Depth-First Traversal
- ▶ Recursive DFT
 - ▶ pre-order, post-order, in-order
- ▶ Euler Tour Traversal
- ▶ Traversal Problems
- ▶ Analysis on perfect binary trees

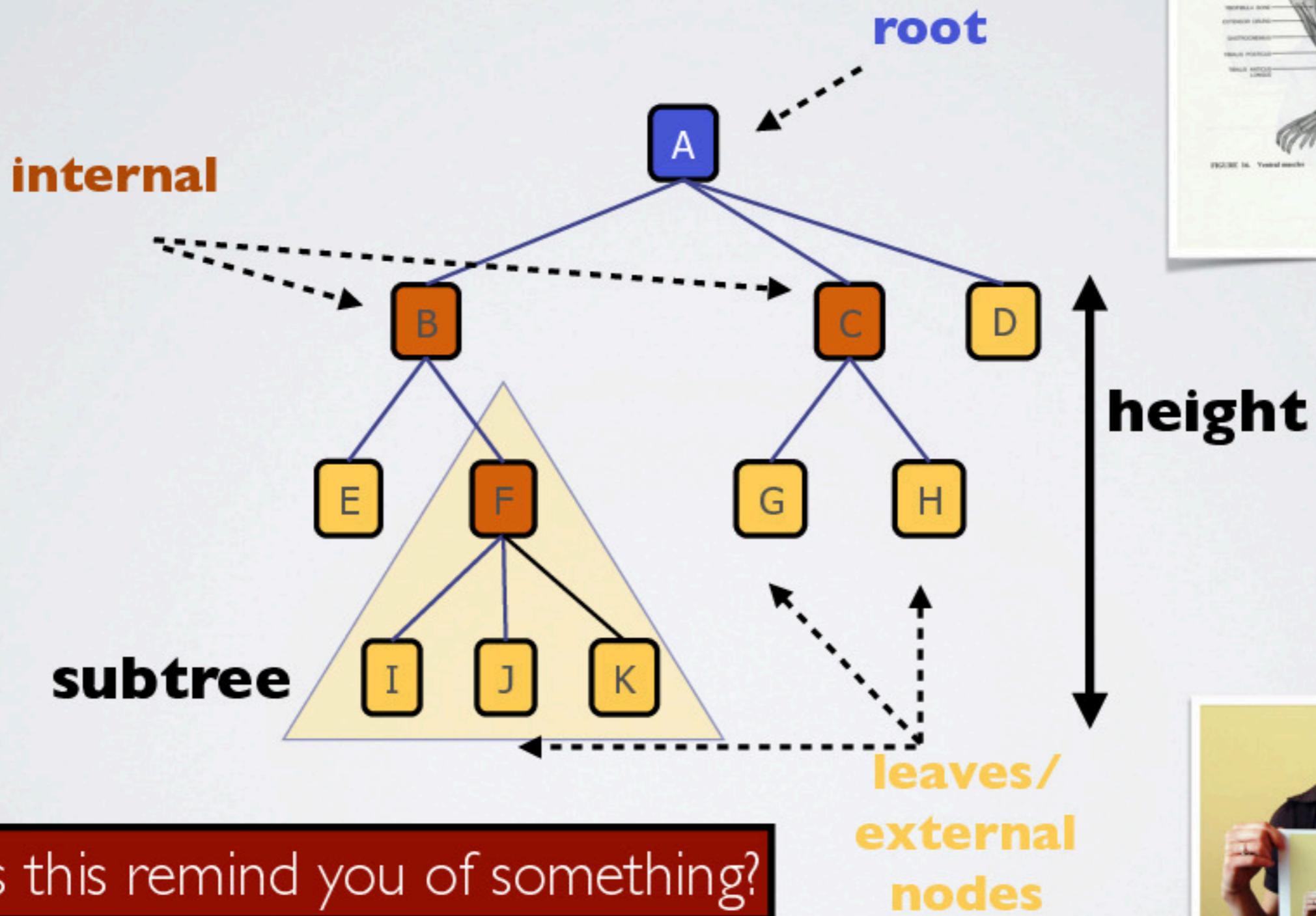


What is a Tree?

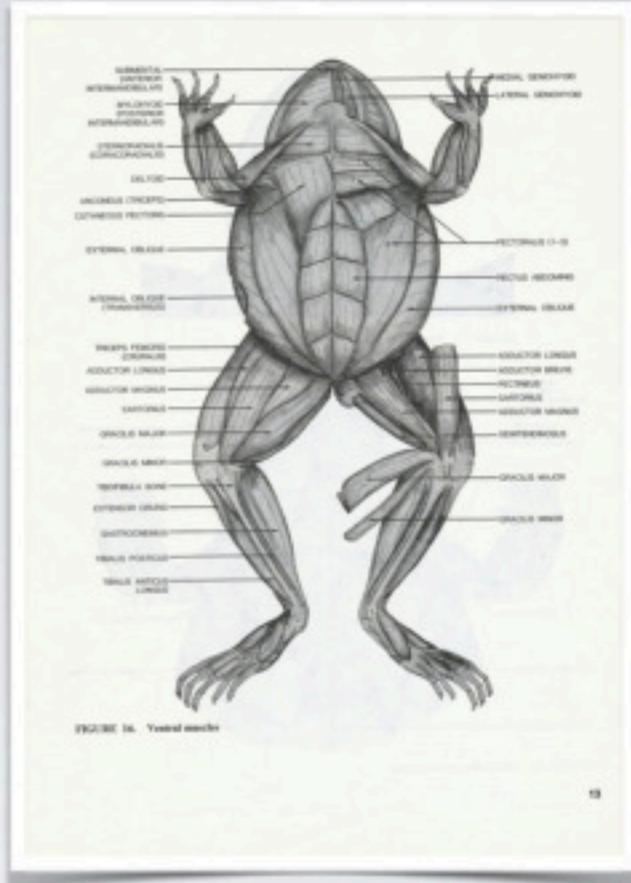
- ▶ Abstraction of hierarchy
- ▶ Tree consists of
 - ▶ nodes with parent/child relationship
- ▶ Examples
 - ▶ Files/folders (Windows, MacOSX, ..., **CS33**)
 - ▶ Merkle Trees (Bitcoin, **CSI 66**)
 - ▶ Encrypted Data Structures (**CS2950-v**)
 - ▶ Datacenter Networks (Azure, AWS, Google, **CSI 68**)
 - ▶ Distributed Systems (Distributed Storage, Cluster computing, **CSI 38**)
 - ▶ AI & Machine Learning (Decision trees, **CSI 41, CSI 42**)



Tree “Anatomy”



Does this remind you of something?



Tree Terminology

- ▶ **Root:** node without a parent (A)
- ▶ **Internal node:** node with at least one child (A, B, C, F)
- ▶ **Leaf (external node):** node without children (E, I, J, K, G, H, D)
- ▶ **Parent node:** node immediately above a given node (parent of C is A)
- ▶ **Child node:** node(s) immediately below a given node (children of C are G and H)
- ▶ **Ancestors of a node:**
 - ▶ parent, grandparent, grand-grandparent, etc. (ancestors of G are C, A)
- ▶ **Descendant of a node:** child, grandchild, grand-grandchild, etc.
- ▶ **Depth of a node:** number of ancestors (I has depth 3)
- ▶ **Height of a tree:**
 - ▶ maximum depth of any node (tree with just a root has height 0, this tree has height 3)
- ▶ **Subtree:** tree consisting of a node and its descendants

Tree ADT

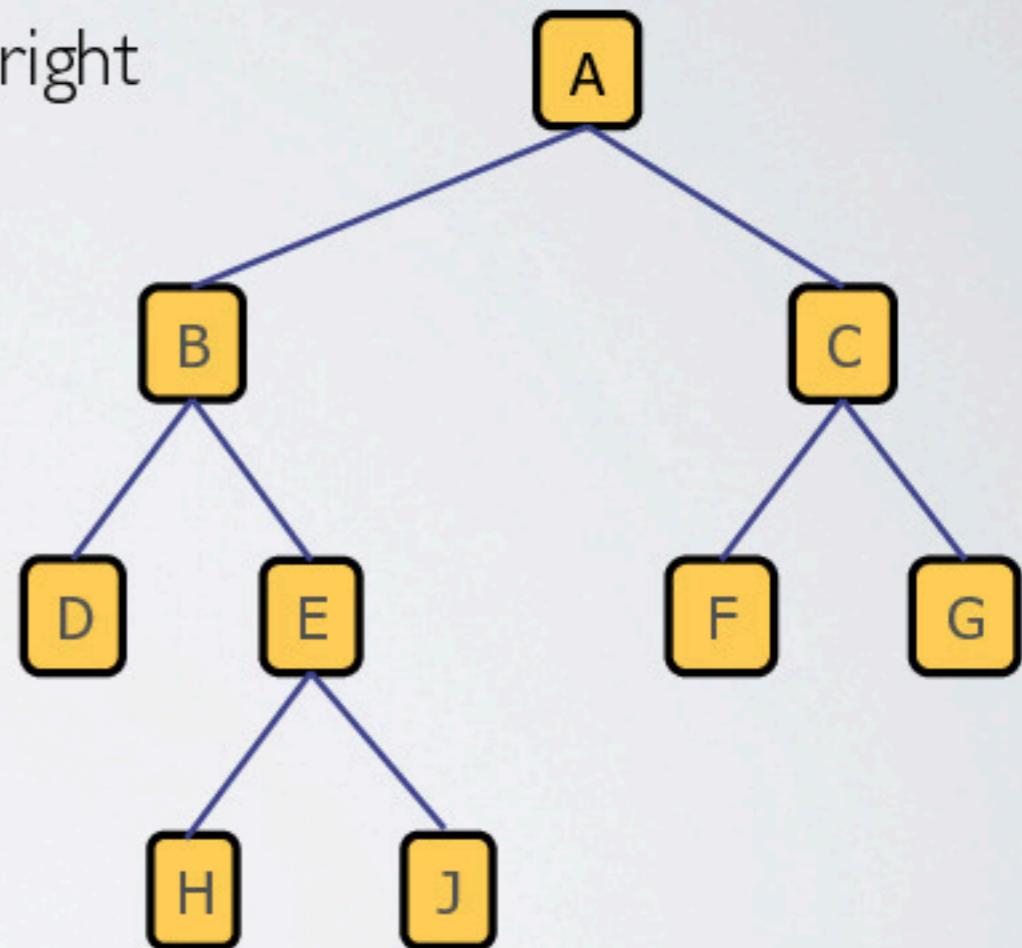
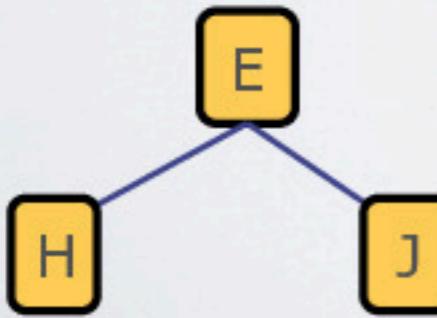


- ▶ Tree methods:
 - ▶ int **size()**: returns the number of nodes
 - ▶ boolean **isEmpty()**: returns true if the tree is empty
 - ▶ Node **root()**: returns the root of the tree

- ▶ Node methods:
 - ▶ Node **parent()**: returns the parent of the node
 - ▶ Node[] **children()**: returns the children of the node
 - ▶ boolean **isInternal()**: returns true if the node has children
 - ▶ boolean **isExternal()**: returns true if the node is a leaf
 - ▶ boolean **isRoot()**: returns true if the node is the root

Binary Trees

- ▶ Internal nodes have at most **2** children: left & right
 - ▶ if only 1 child, still need to specify if left or right
- ▶ Recursive definition of a Binary Tree
 - ▶ a single node
 - ▶ or a root node with at most 2 children
 - ▶ each of which is a binary tree
 - ▶ Is  a binary tree?
 - ▶ Is    a binary tree?



Binary Tree ADT

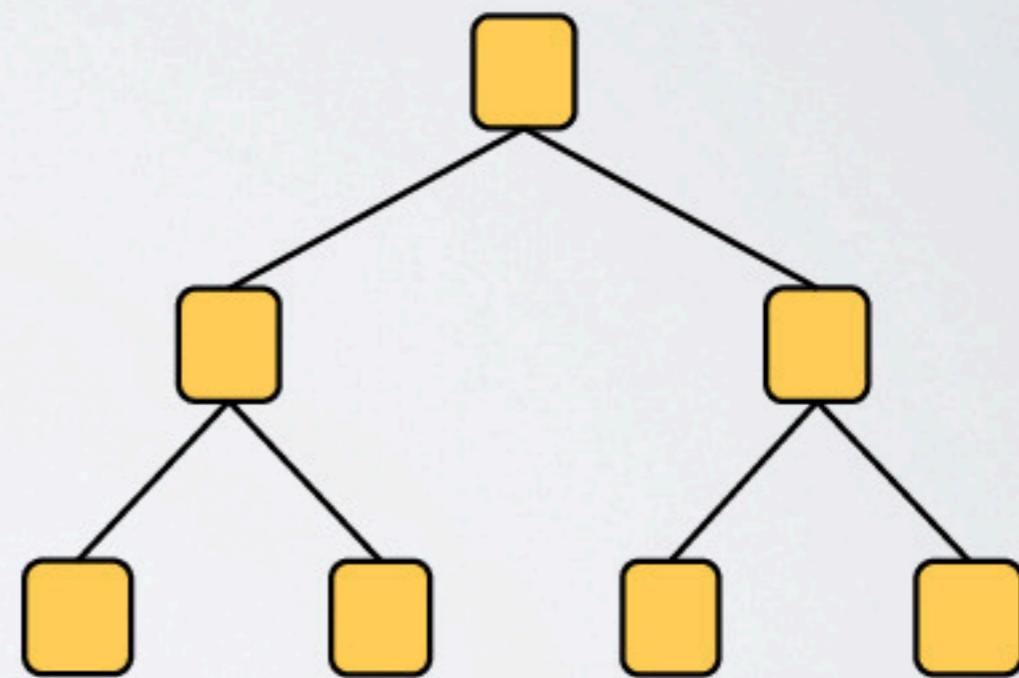
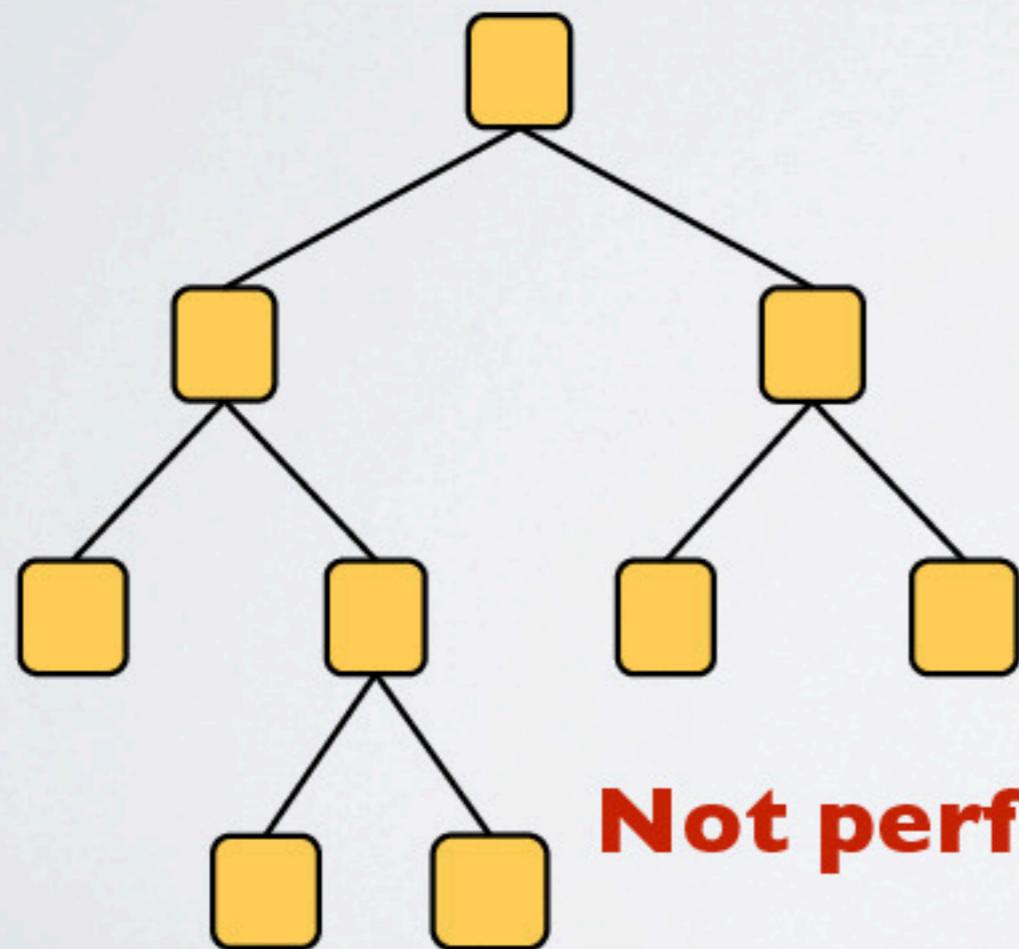


- ▶ In addition to Tree methods *binary* trees also support:
 - ▶ Node **left()**: returns the left child if it exists, else NULL
 - ▶ Node **right()**: returns the right child if it exists, else NULL
 - ▶ Node **hasLeft()**: returns TRUE if node has left child
 - ▶ Node **hasRight()**: returns TRUE if node has right child



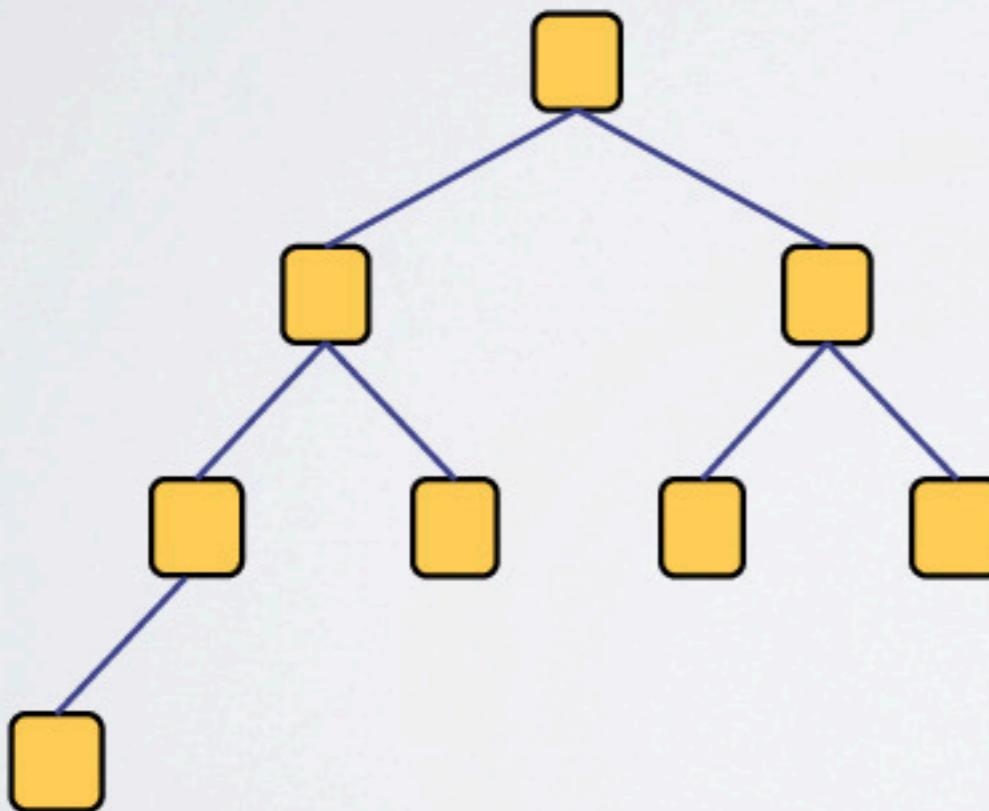
Perfection

- ▶ A binary tree is *perfect* if
 - ▶ every level is completely full

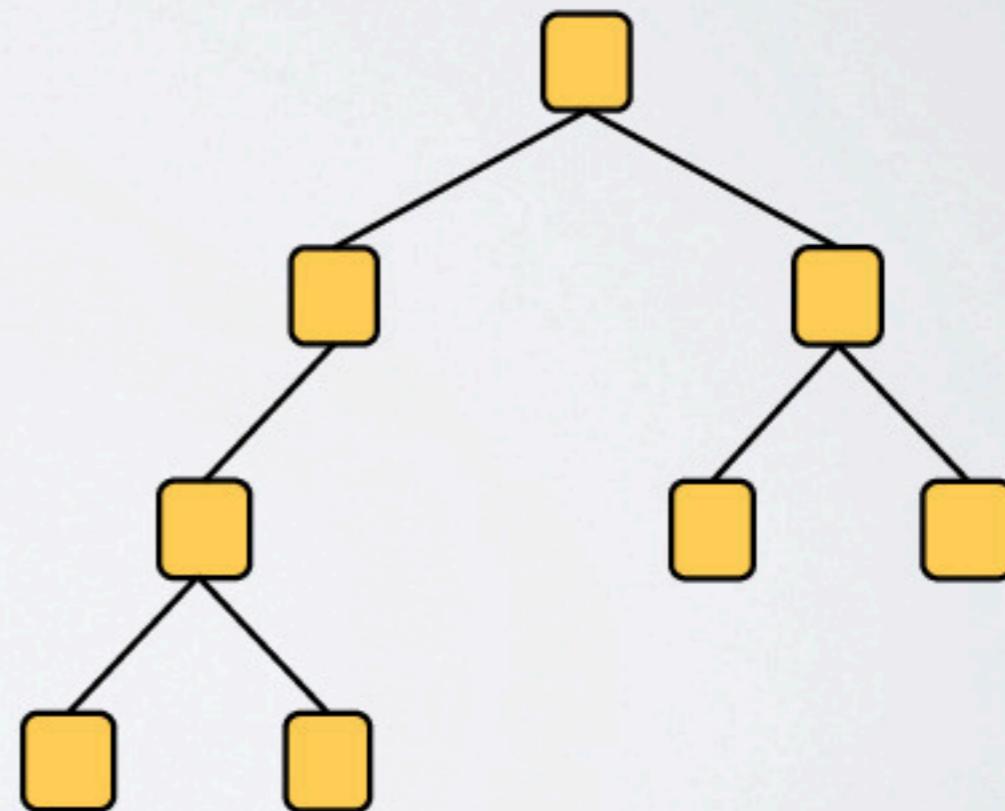


Completeness

- ▶ A binary tree is **left-complete** if
 - ▶ every level is completely full, possibly excluding the lowest level
 - ▶ all nodes are as far left as possible



**Left-
complete!**



**Not left-
complete**

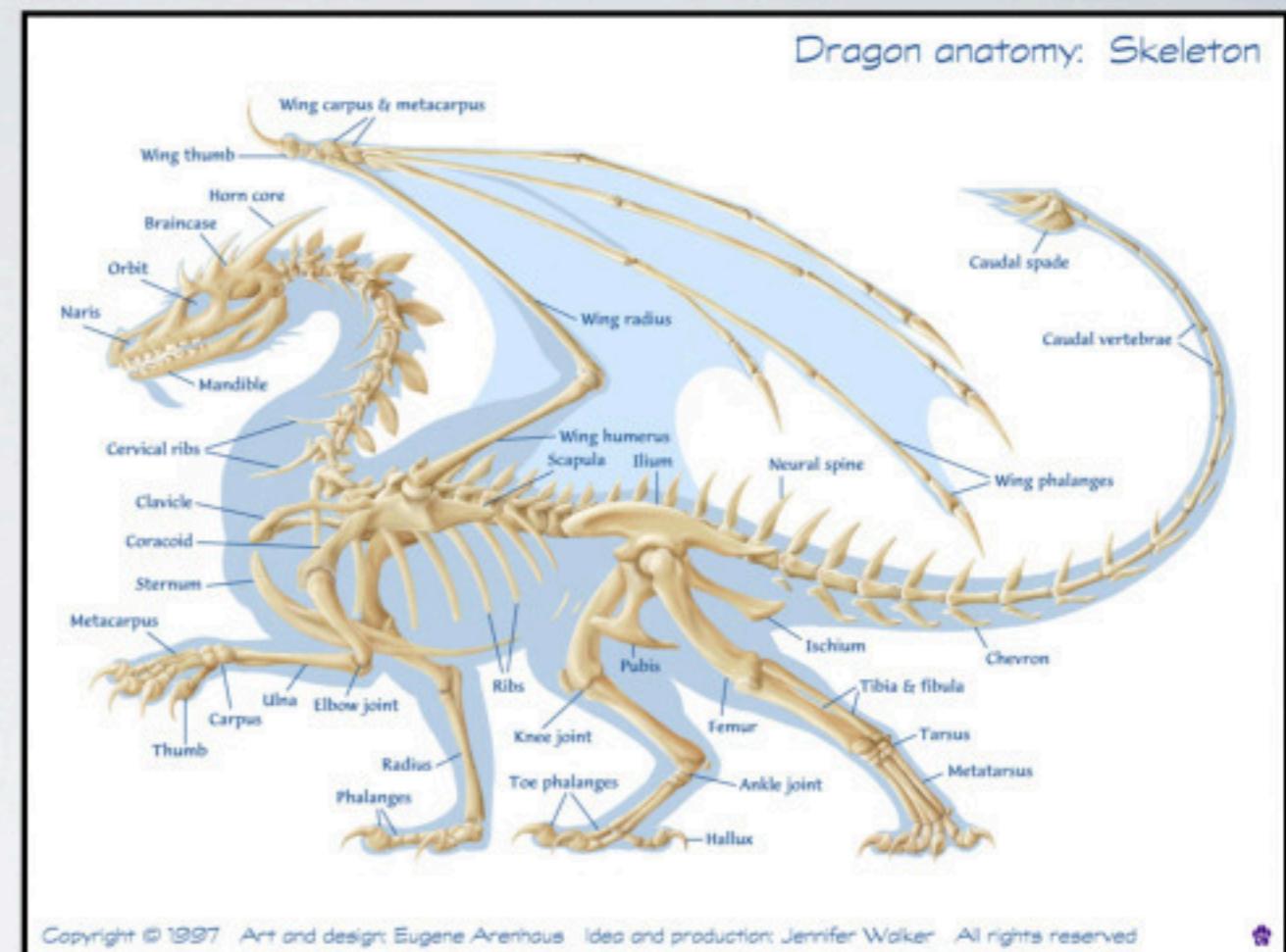
Aside: Decorations

- ▶ Decorating a node
 - ▶ associating a value to it
- ▶ Two approaches
 - ▶ Add new attribute to each node
 - ▶ ex: **node.numDescendants = 5**
 - ▶ Maintain dictionary that maps nodes to decoration
 - ▶ do this if you can't modify tree
 - ▶ ex: **descendantDict[node] = 5**



Outline

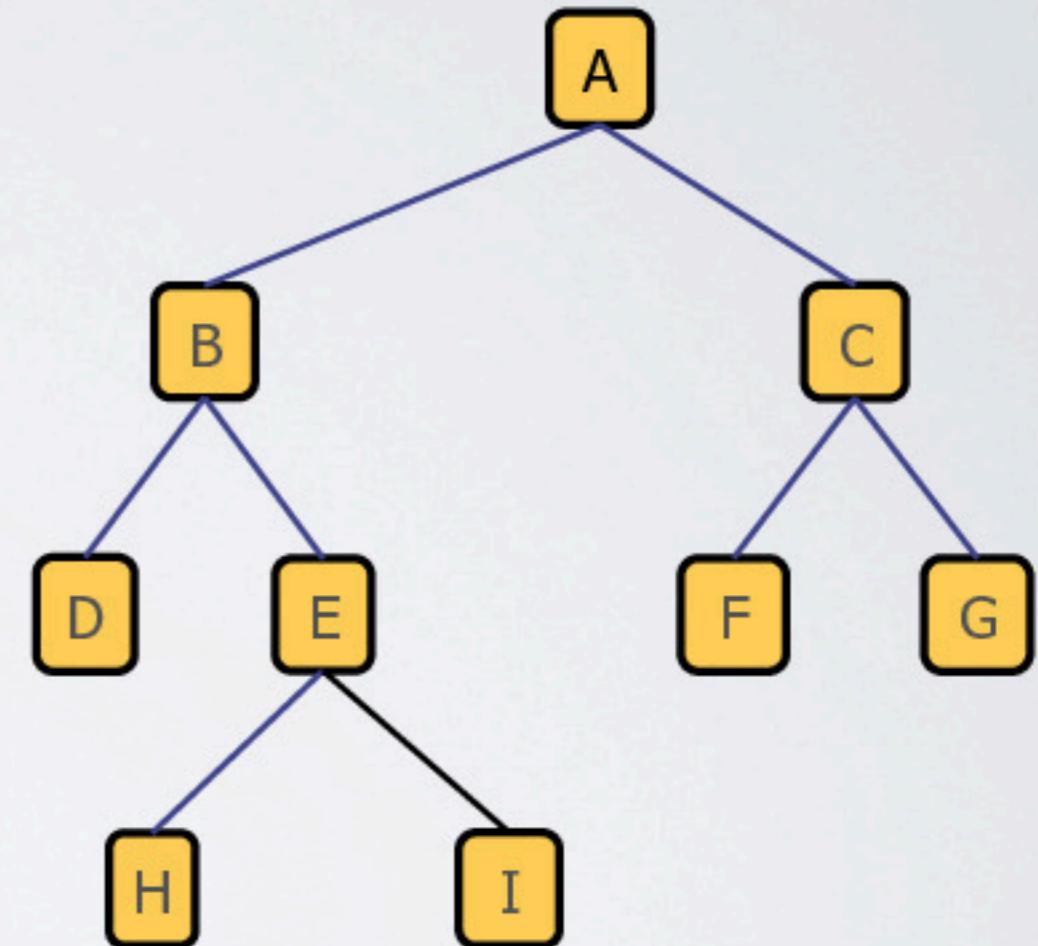
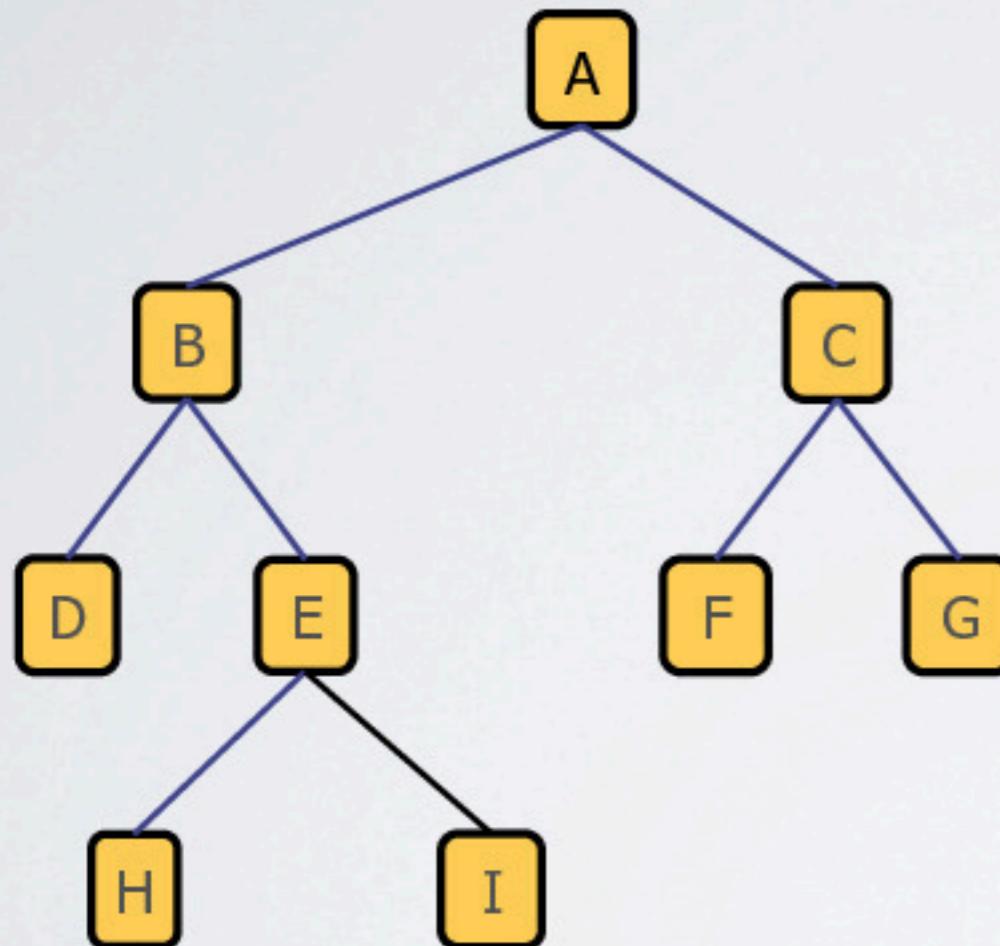
- ▶ Tree ADT
- ▶ Binary Tree ADT
- ▶ **Tree Traversals**
 - ▶ Breadth-First Traversal
 - ▶ Depth-First Traversal
- ▶ Recursive DFT
 - ▶ pre-order, post-order, in-order
- ▶ Euler Tour Traversal
- ▶ Traversal Problems
- ▶ Analysis on perfect binary trees



Tree Traversals

- ▶ How would you enumerate every item in an array?
 - ▶ use a for loop from **i** to **n** and read **A[i]**
- ▶ How would you enumerate every item in a (linked) Tree?
 - ▶ not obvious...
 - ▶ because Trees don't have an "obvious" order like arrays
- ▶ Tree traversal
 - ▶ algorithm that visits every node of a tree
- ▶ Many possible tree traversals
 - ▶ each kind of traversal visits nodes in different order

Breadth- vs. Depth-First Traversals

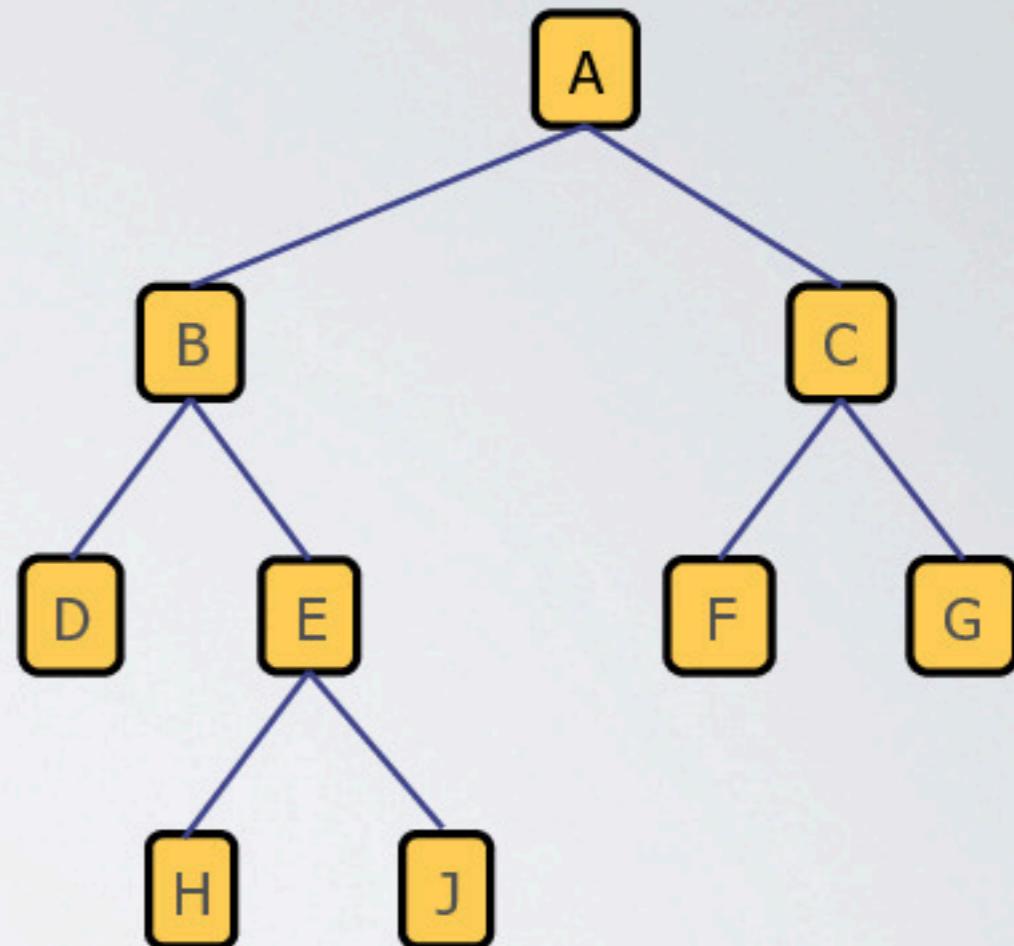


Traversal Strategy

- ▶ Why can we use a **for** loop to enumerate items in an array?
- ▶ Can we use a **for** loop to visit nodes in a *linked* Tree?
 - ▶ Why not?
 - ▶ we usually don't know how many nodes the tree has
 - ▶ not clear what we should do at every iteration
- ▶ For tree traversals we'll use a **while** loop

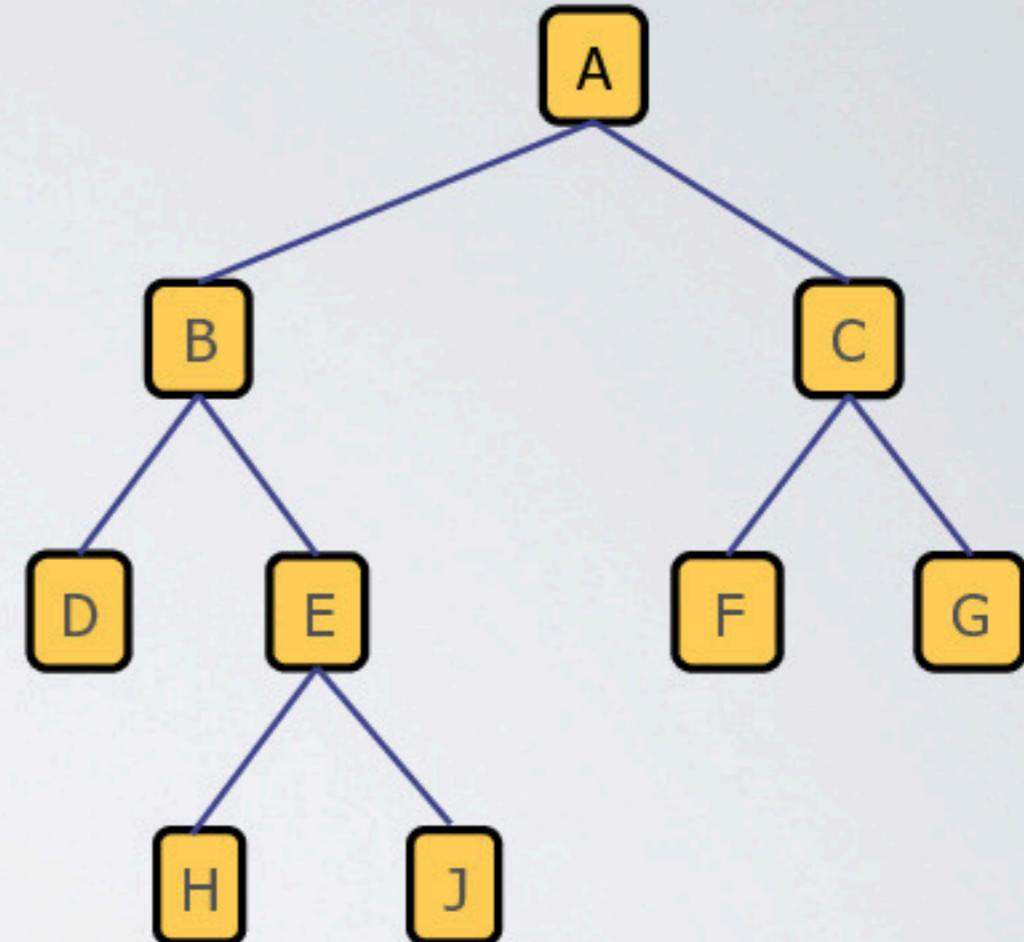
Traversal Strategy

```
function traversal(root):  
    Store root in S  
    while S is not empty  
        get node from S  
        do something with node  
        store children in S
```



Traversal Strategy

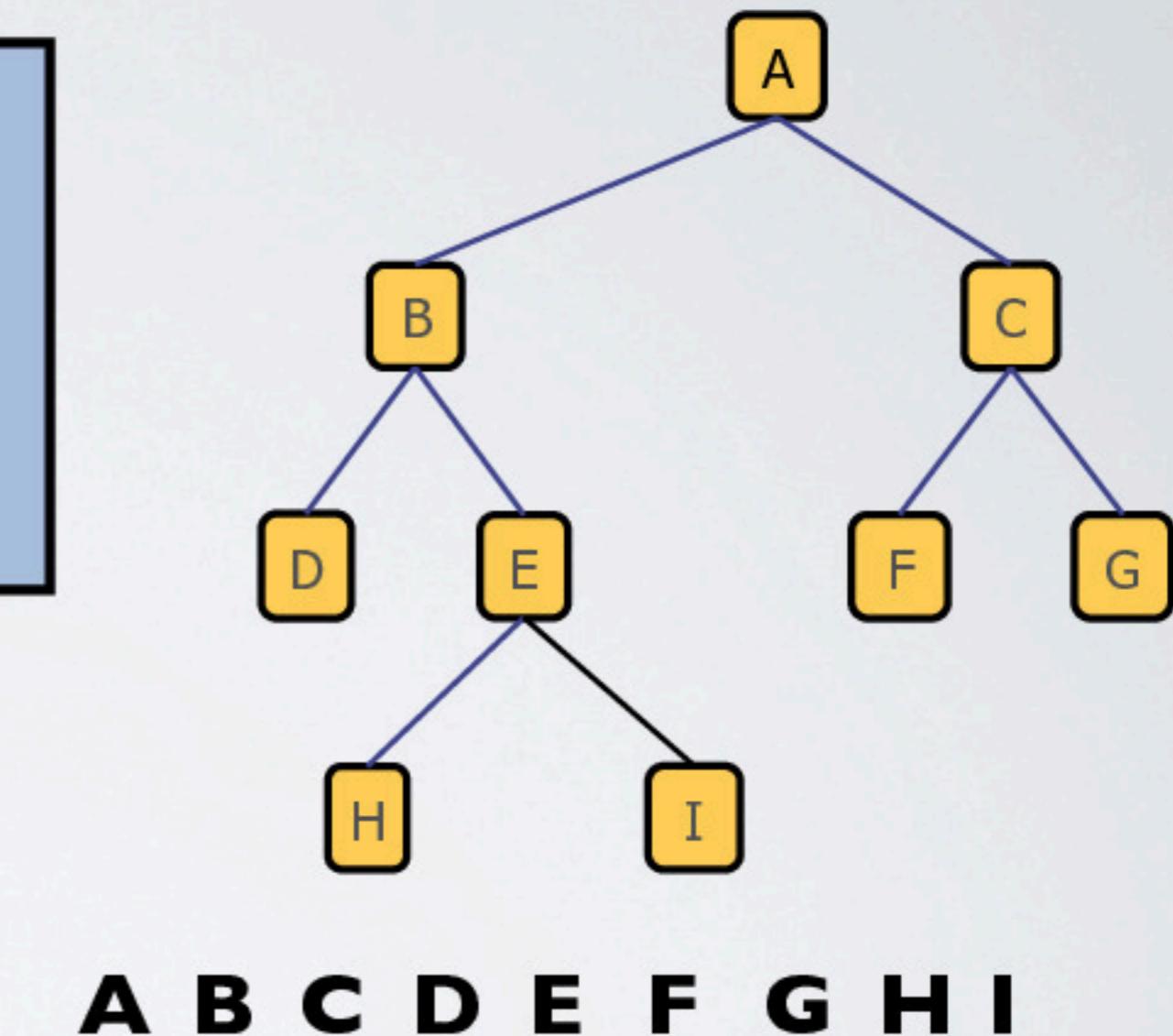
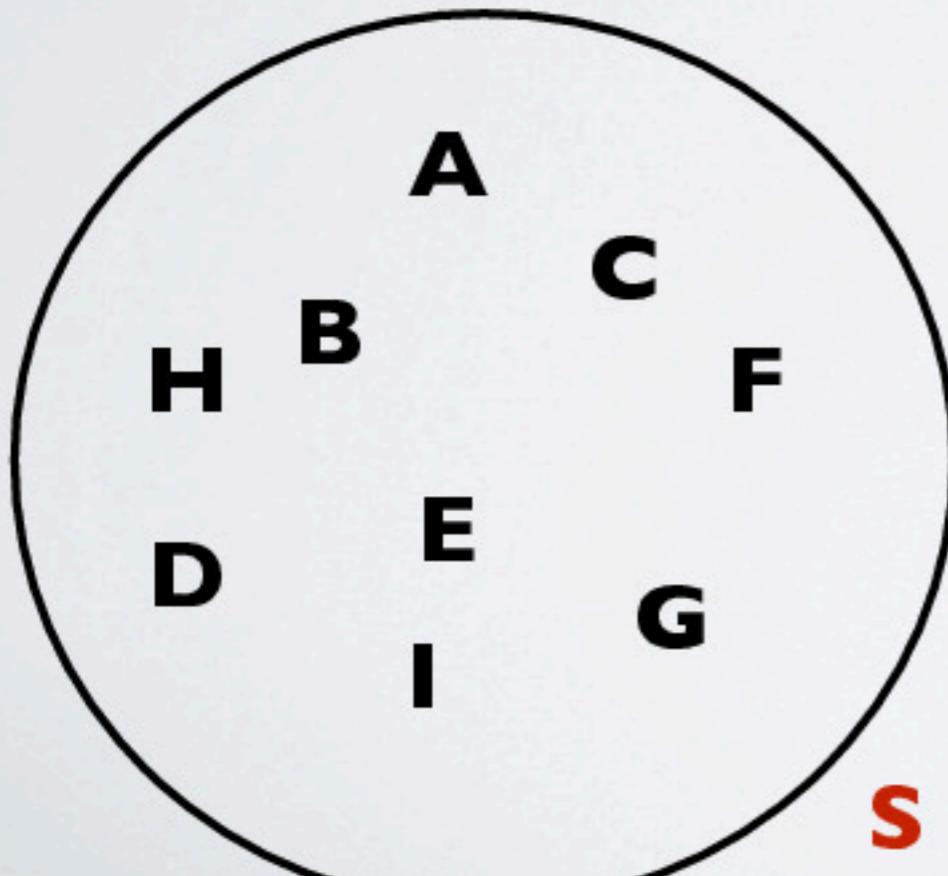
```
function traversal(root):  
    Store root in S  
    while S is not empty  
        get node from S  
        do something with node  
        store children in S
```



- ▶ What is **S** exactly?
 - ▶ A place we store nodes until we can process them
- ▶ Which node of **S** should we process next?
 - ▶ the first? the last?

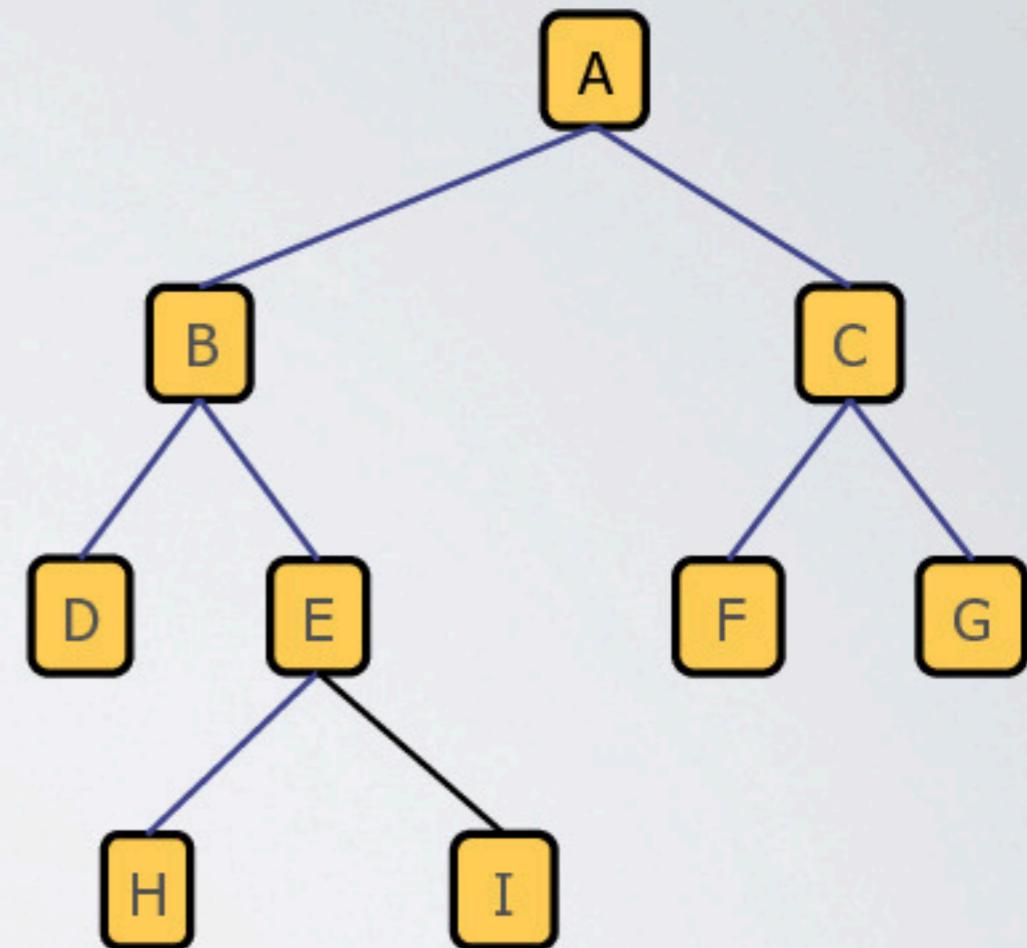
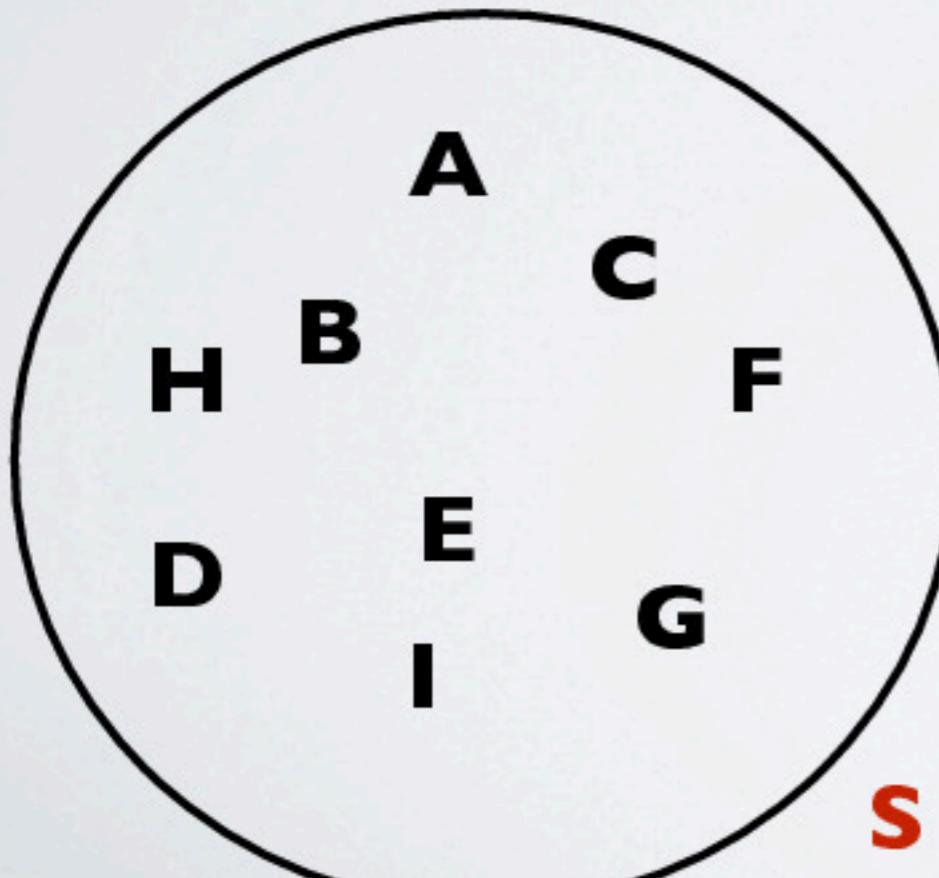
Traversal Strategy — Grab Oldest Node

```
function traversal(root):  
    Store root in S  
    while S is not empty  
        get node from S  
        do something with node  
        store children in S
```



Traversal Strategy — Grab Oldest Node

```
function traversal(root):  
    Store root in S  
    while S is not empty  
        get node from S  
        do something with node  
        store children in S
```



Does S remind you of something?

Traversal Strategy — Grab Oldest Node

- ▶ If we grab the oldest node in **S**

- ▶ we're doing FIFO...

- ▶ so **S** is just a queue!

- ▶ Traversal w/ Queue gives breadth-first traversal

- ▶ Why?

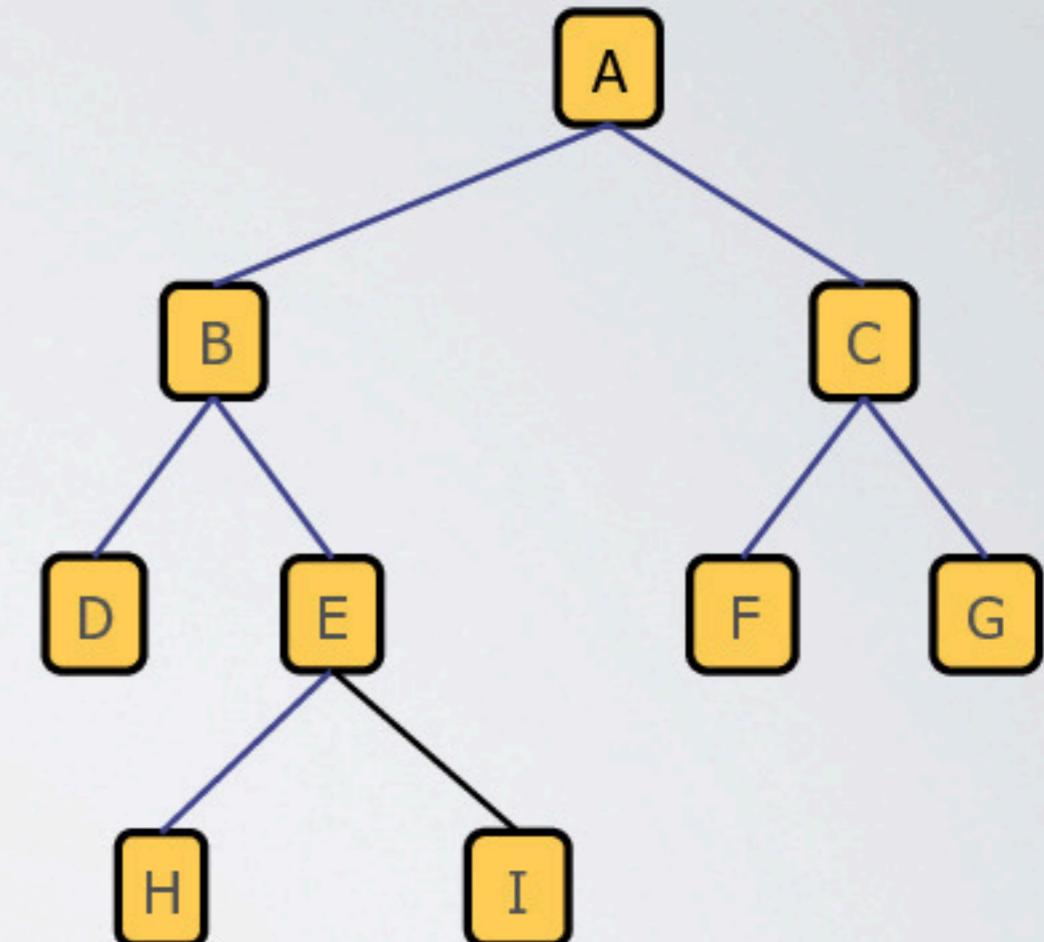
- ▶ Queue guarantees a node is processed before its children

- ▶ Children can be inserted in any order

```
function bft(root):  
    Q = new Queue()  
    enqueue root  
    while Q is not empty  
        node = Q.dequeue()  
        visit(node)  
        enqueue node's children
```

Breadth-First Traversal

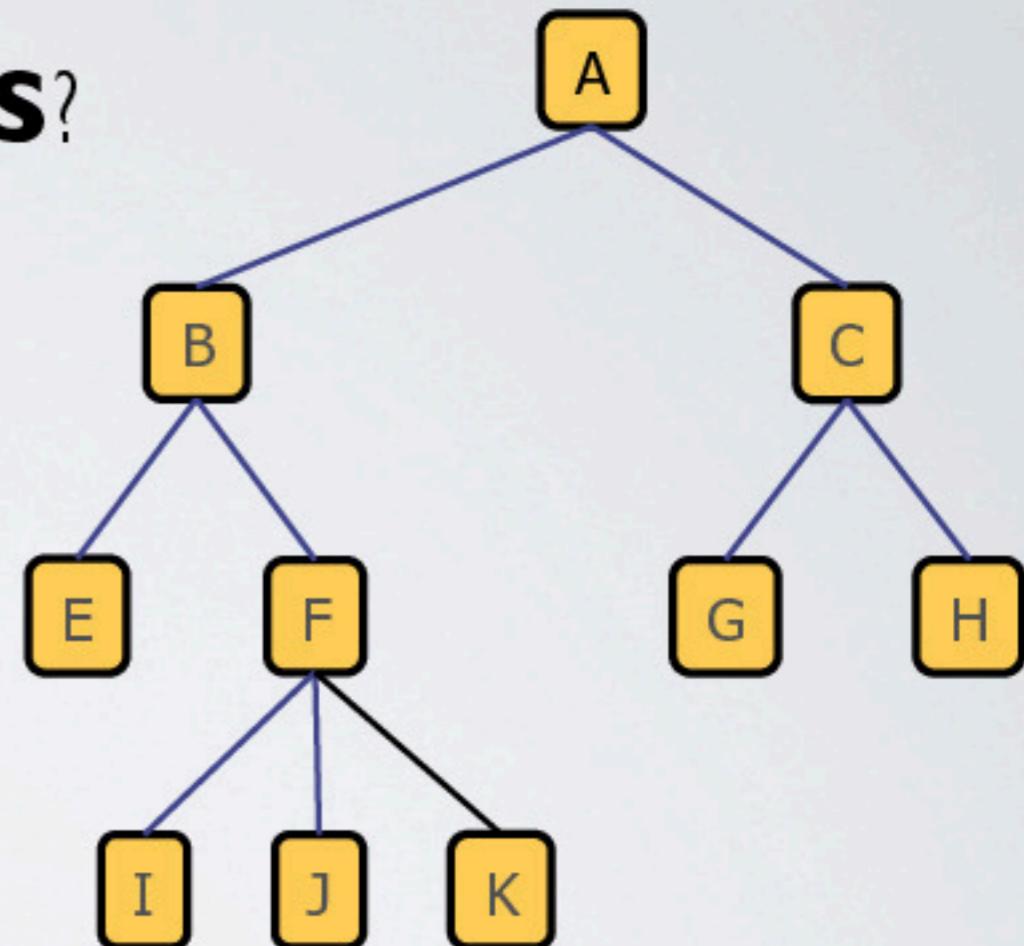
- ▶ Start at root
 - ▶ Visit both of its children first,
 - ▶ Then all of its grandchildren,
 - ▶ Then great-grandchildren
 - ▶ etc...
 - ▶ Also known as
 - ▶ level-order traversal



A B C D E F G H I

Depth-First Traversal

- ▶ What if we grab youngest node in **S**?
 - ▶ we're doing LIFO...
 - ▶ so **S** is a stack!
 - ▶ Traversal w/ Stack gives us...
- ▶ Depth-first search
 - ▶ start from root
 - ▶ traverse each branch before backtracking
 - ▶ can produce different orders



A C H G B F K J I E
A B E F I J K C G H

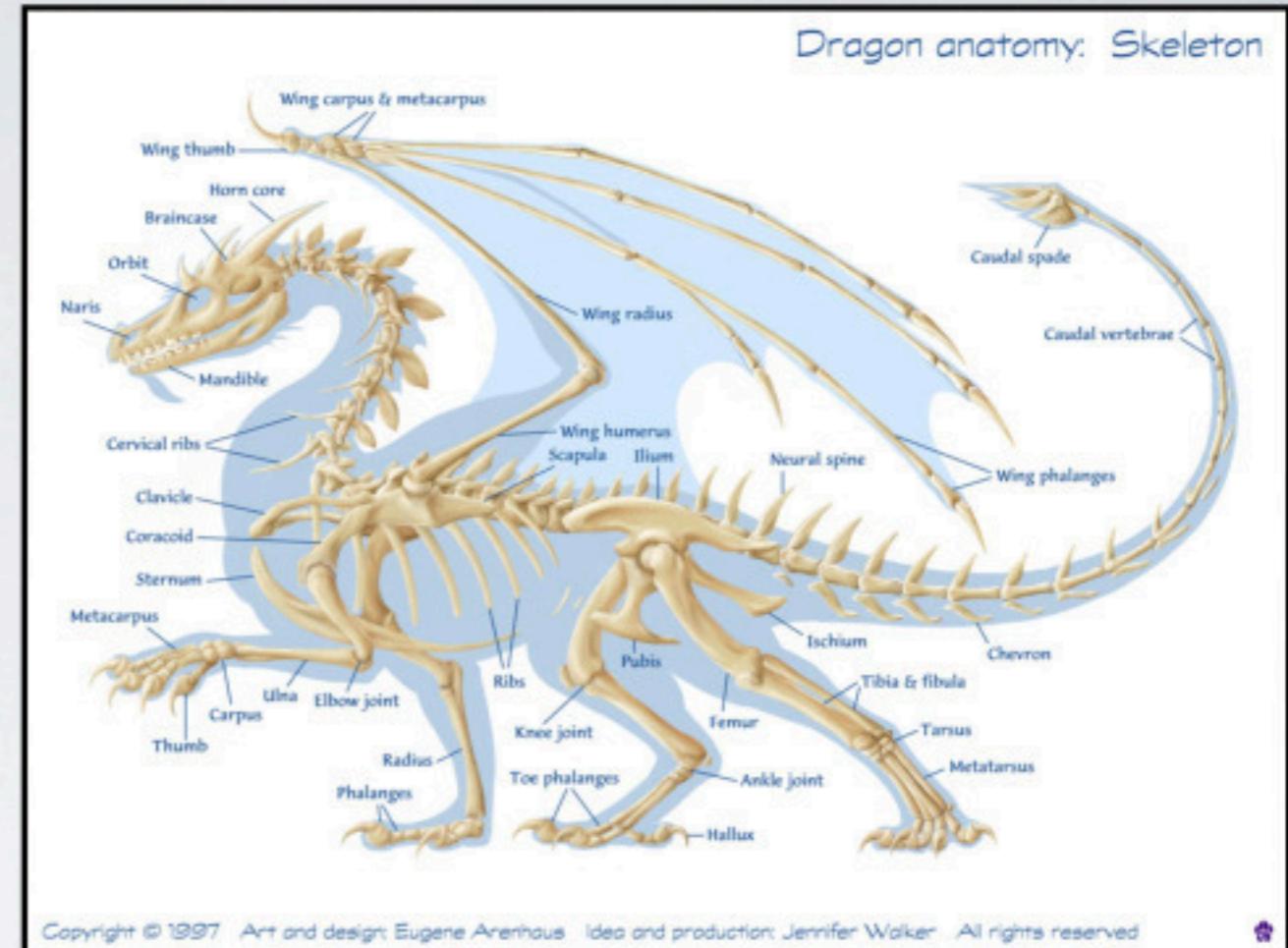
Depth-First Traversal

```
function dft(root):  
    S = new Stack()  
    push root  
    while S is not empty  
        node = S.pop()  
        visit(node)  
        push node's children
```

- ▶ Why does Stack give DFT?
 - ▶ Stack guarantees a node's descendants will be visited before its sibling's descendants
- ▶ Children can be pushed on stack in any order

Outline

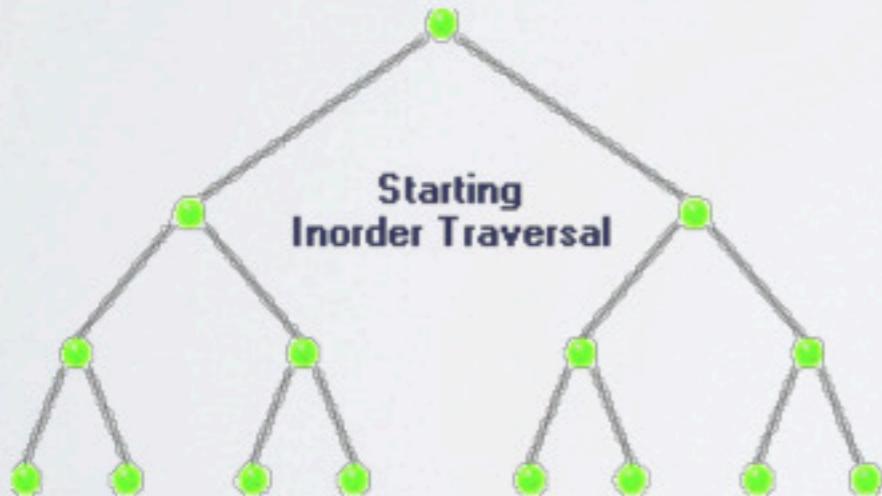
- ▶ Tree ADT
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Recursive Depth-First Traversal

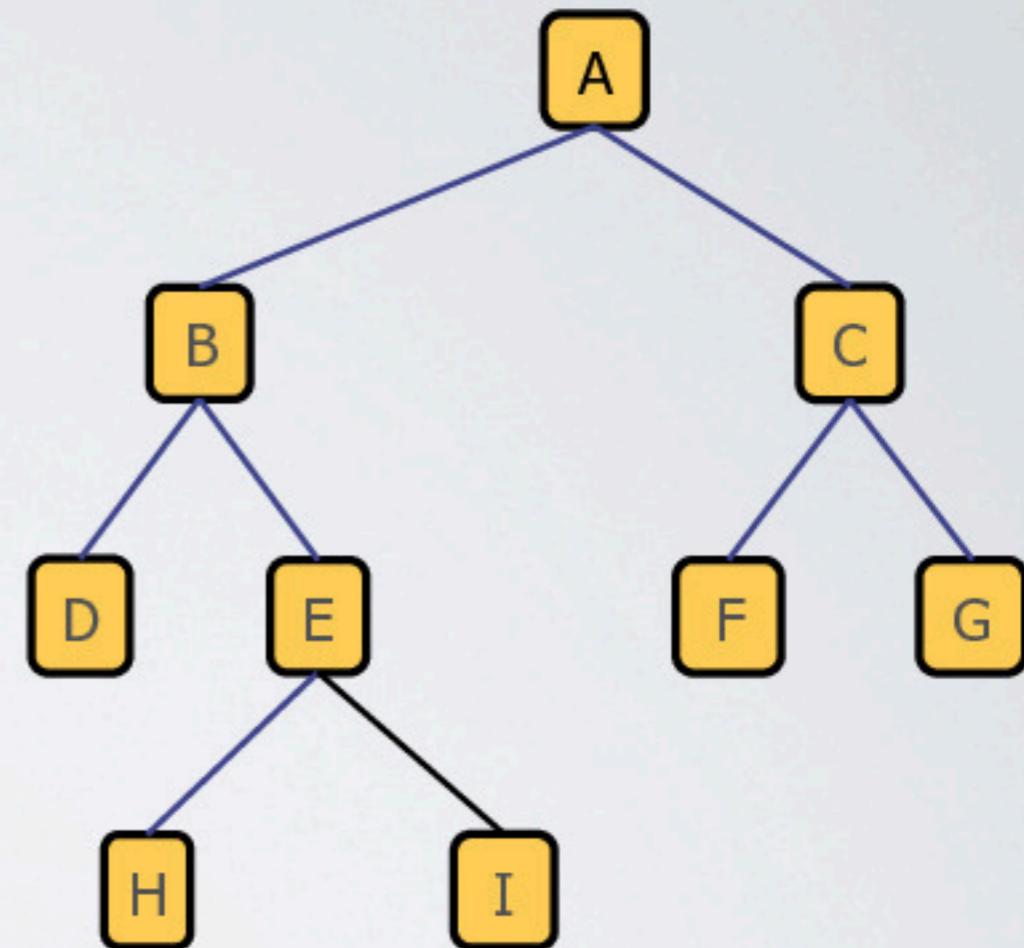
- ▶ DFT can be implemented recursively
- ▶ With recursion we can have 3 different orders
 - ▶ **pre-order:** visits node before visiting left and right children
 - ▶ **post-order:** visits each child before visiting node
 - ▶ **in-order:** visits left child, node and then right child

Depth-First Visualizations



Pre-order Traversal

```
function preorder(node):  
    visit(node)  
    if node has left child  
        preorder(node.left)  
    if node has right child  
        preorder(node.right)
```

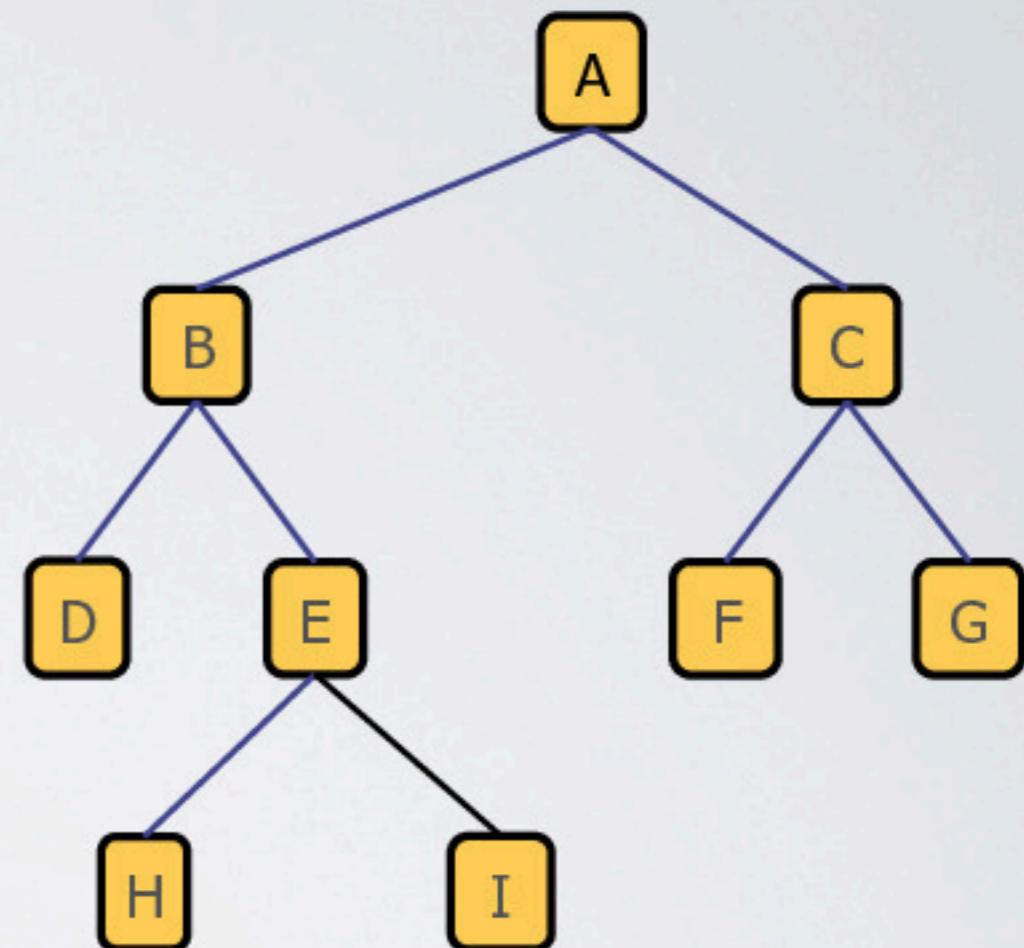


A B D E H I C F G

Note: like iterative DFT

Post-order Traversal

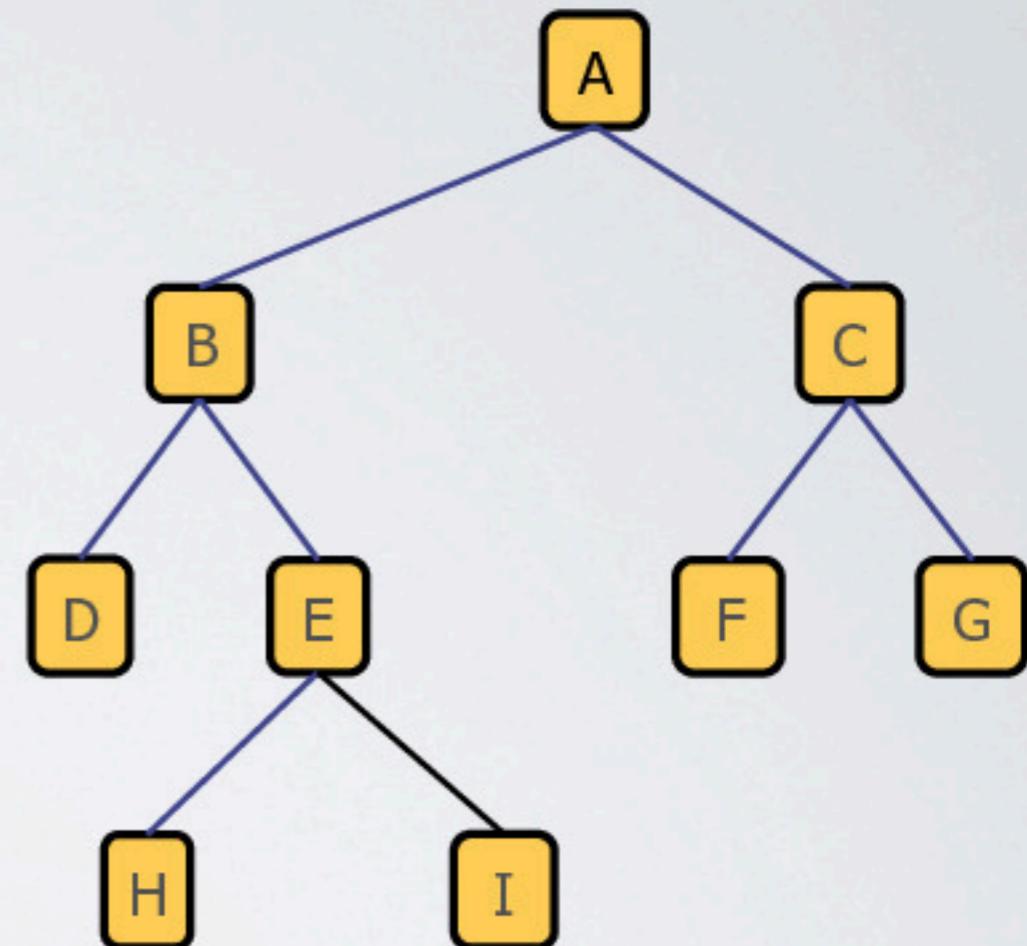
```
function postorder(node):  
    if node has left child  
        postorder(node.left)  
    if node has right child  
        postorder(node.right)  
    visit(node)
```



D H I E B F G C A

In-order Traversal

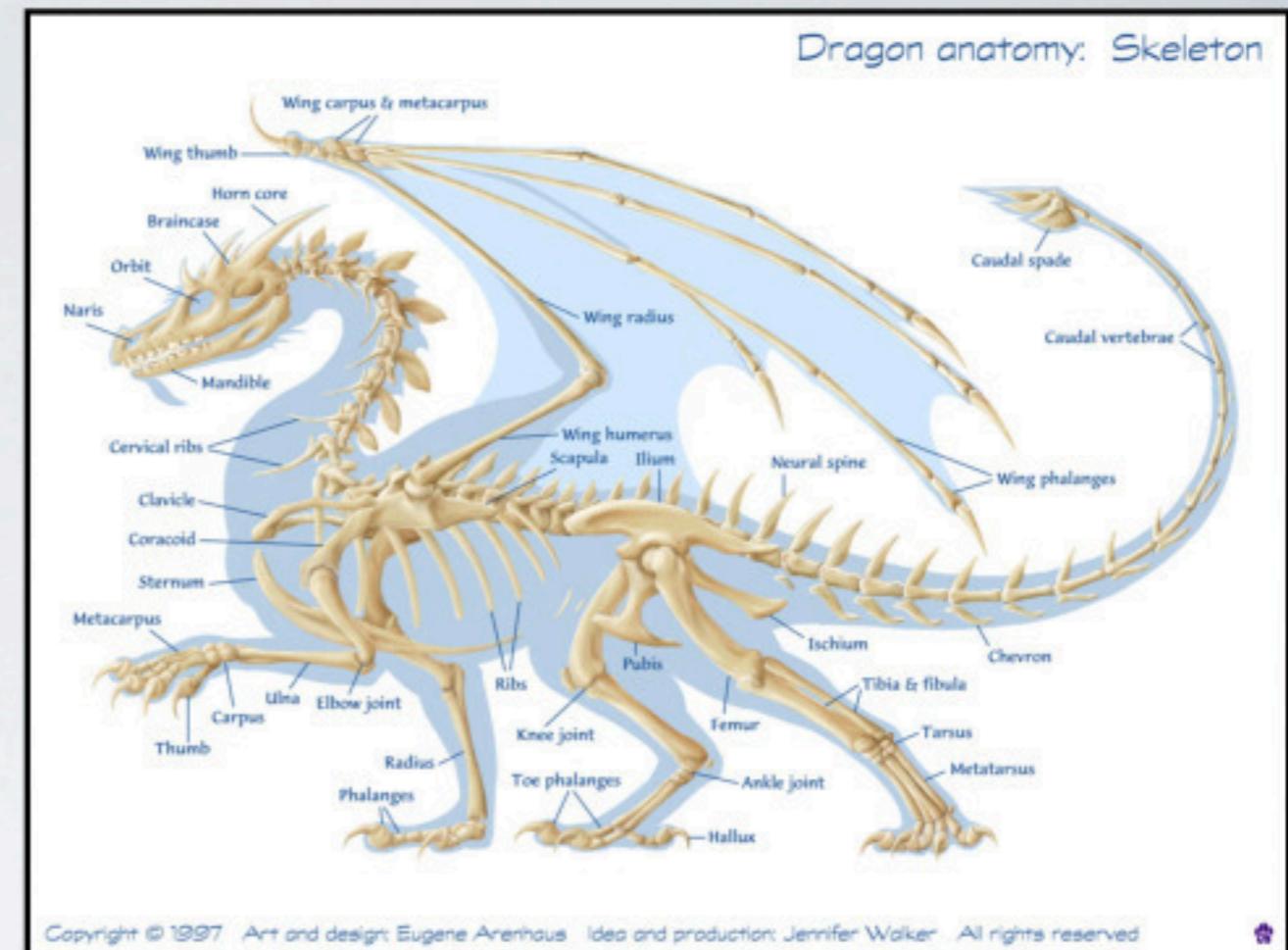
```
function inorder(node):  
    if node has left child  
        inorder(node.left)  
    visit(node)  
    if node has right child  
        inorder(node.right)
```



D B H E I A F C G

Outline

- ▶ Tree ADT
- ▶ Binary Tree ADT
- ▶ Tree Traversals
 - ▶ Breadth-First Traversal
 - ▶ Depth-First Traversal
- ▶ Recursive DFT
 - ▶ pre-order, post-order, in-order
- ▶ **Traversal Problems**
- ▶ Analysis on perfect binary trees



When to Use What Traversal?

- ▶ How do you know which traversal to use?
- ▶ Sometimes it doesn't matter
- ▶ Often one traversal makes solving problem easier

Tree Traversal Problem

Which traversal should be used to decorate nodes with # of descendants?

1 min **Activity #1**

Tree Traversal Problem

Which traversal should be used to decorate nodes with # of descendants?

1 min **Activity #1**

Tree Traversal Problem

Which traversal should be used to decorate nodes with # of descendants?

Omin **Activity #1**

Tree Traversal Problem

- ▶ Decorating with number of descendants?
- ▶ **Post-order**
 - ▶ visits both children before node
 - ▶ easy to calculate # of descendants if you know # of descendants of both children
 - ▶ try writing pseudo-code for this

Tree Traversal Problem

Given root, which traversal should be used to test if tree is perfect?

1 min **Activity #2**

Tree Traversal Problem

Given root, which traversal should be used to test if tree is perfect?

1 min **Activity #2**

Tree Traversal Problem

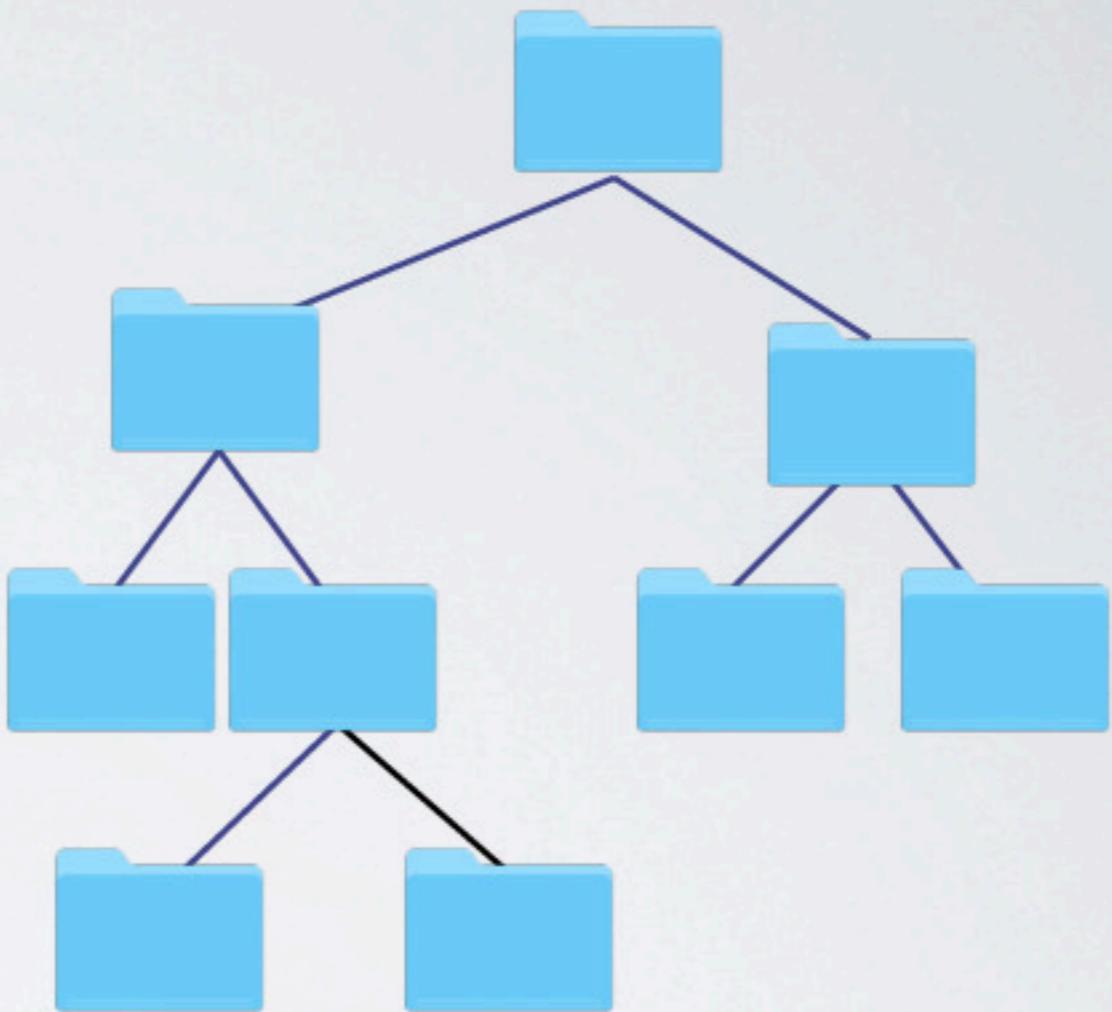
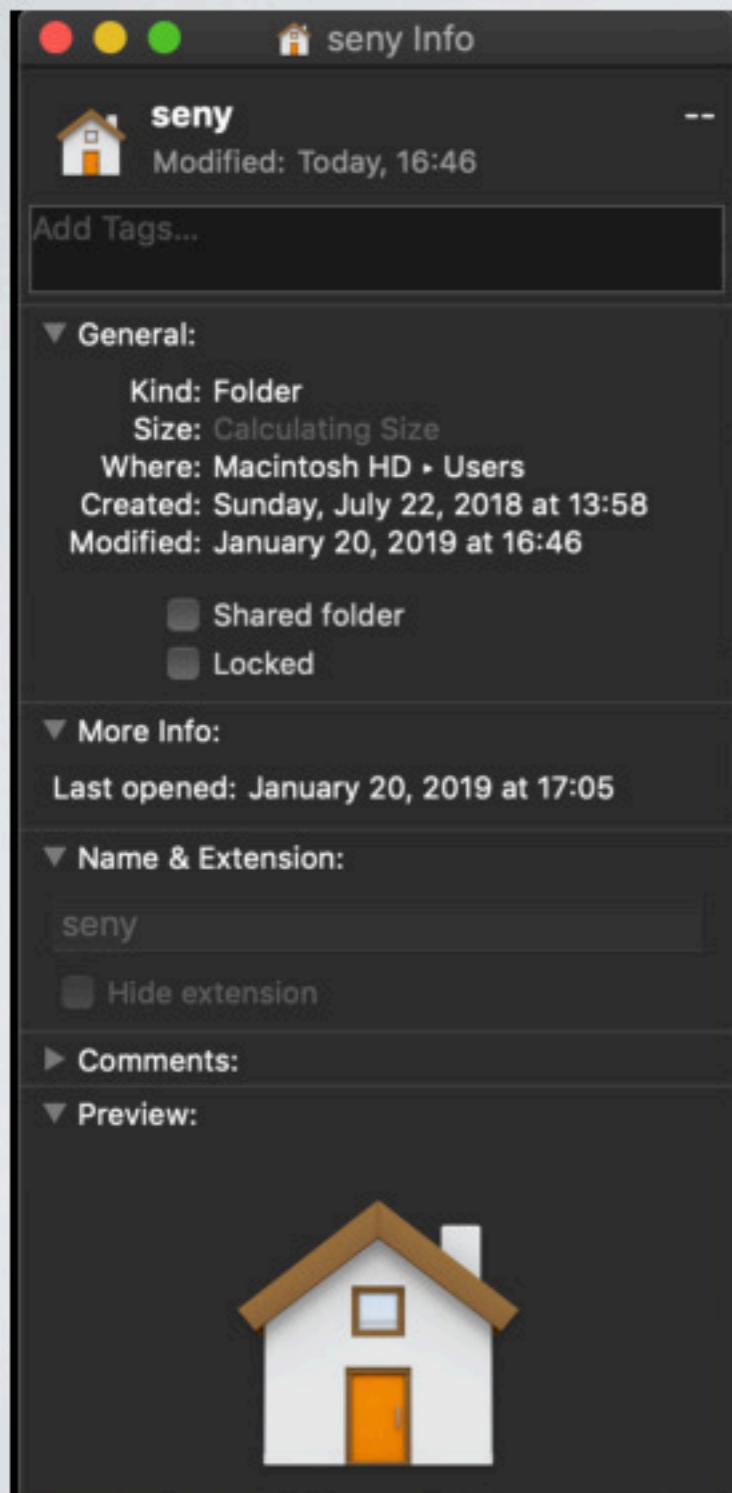
Given root, which traversal should be used to test if tree is perfect?

Omin **Activity #2**

Tree Traversal Problem

- ▶ Testing if tree is perfect
- ▶ **Breadth-first**
 - ▶ traverses tree level by level
 - ▶ keep track of how many nodes at level
 - ▶ each level should have twice as many as previous level

Tree Traversal Problem

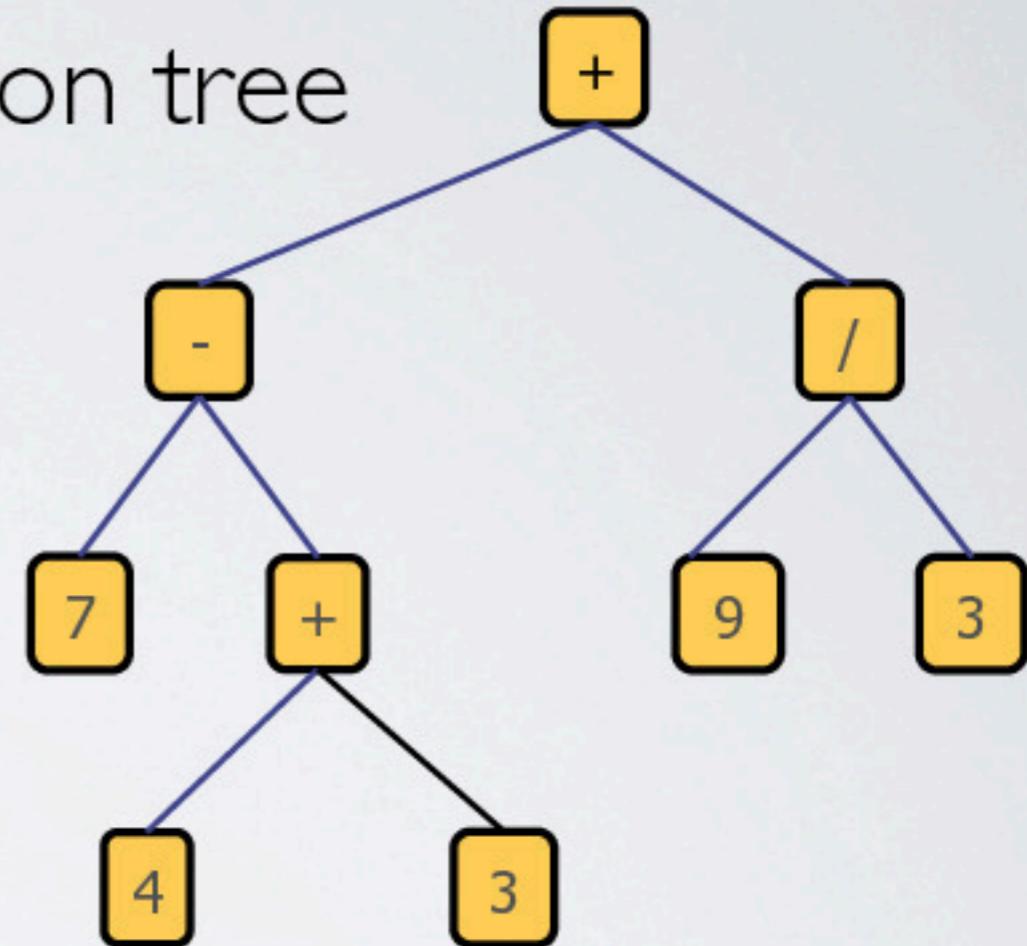


- ▶ Best traversal?
- ▶ **post-order:** need to know size of subfolders before you can compute size of a folder

Tree Traversals Problems

- ▶ Evaluate arithmetic expression tree

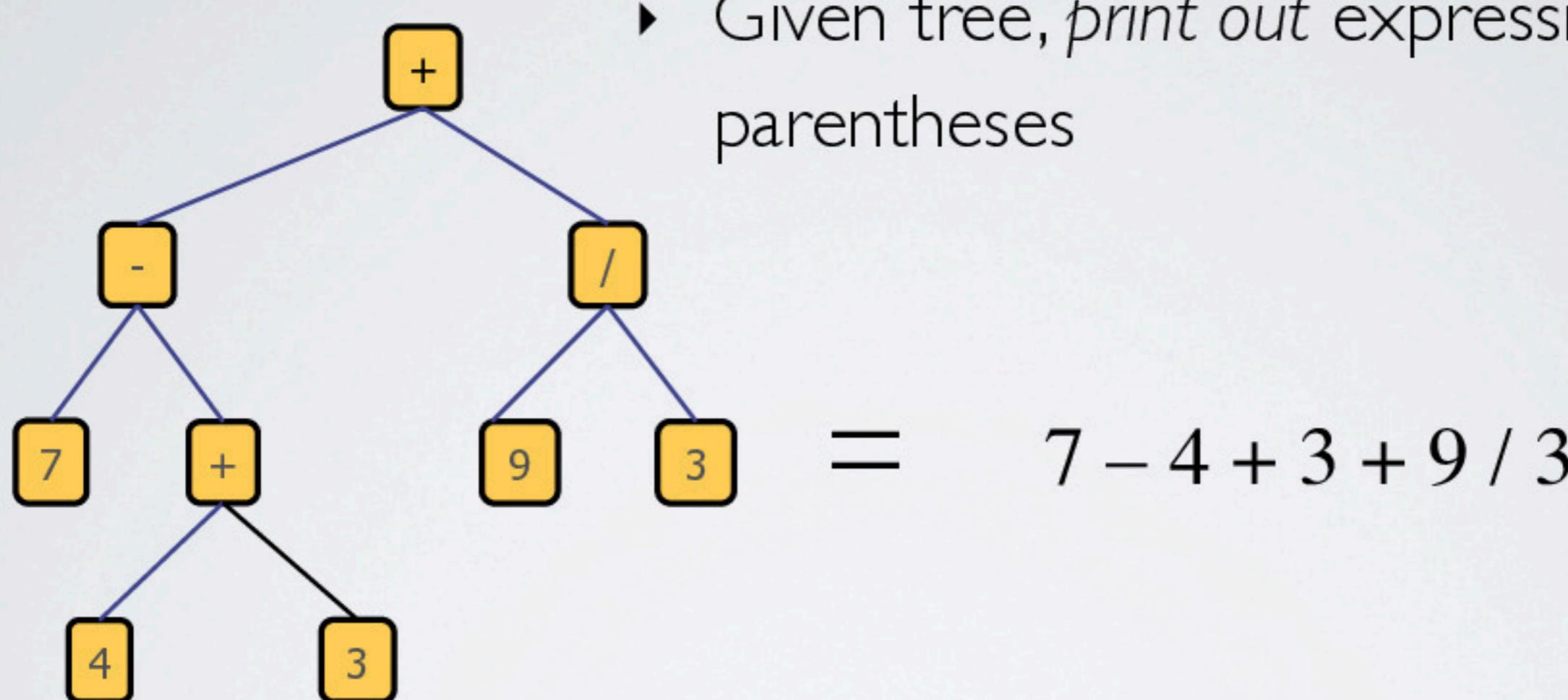
$$(7 - (4 + 3)) + (9 / 3) =$$



- ▶ Best traversal?

- ▶ **post-order:** to evaluate operation, you first need to evaluate sub-expression on each side
- ▶ What should you do when you get to a leaf?

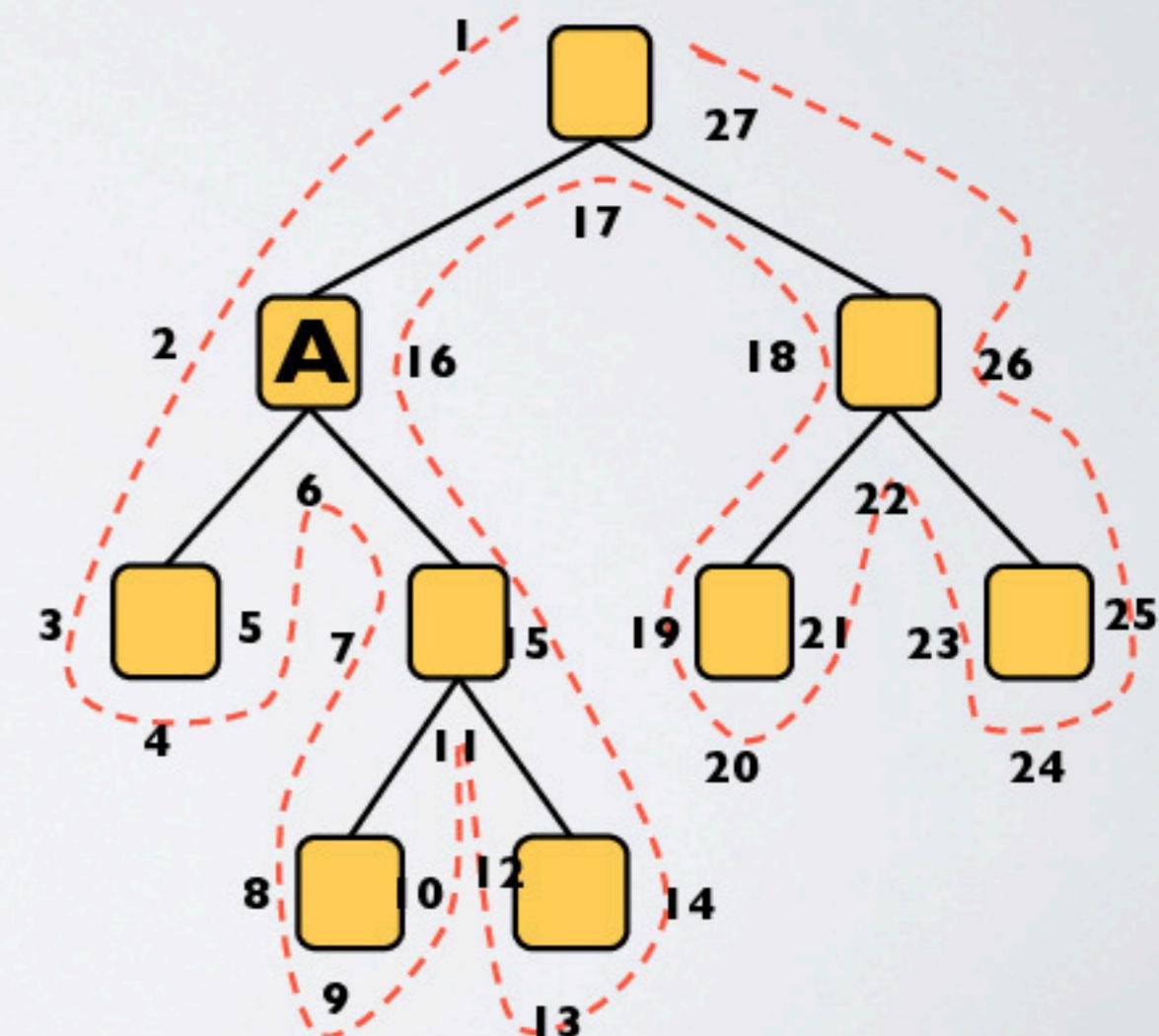
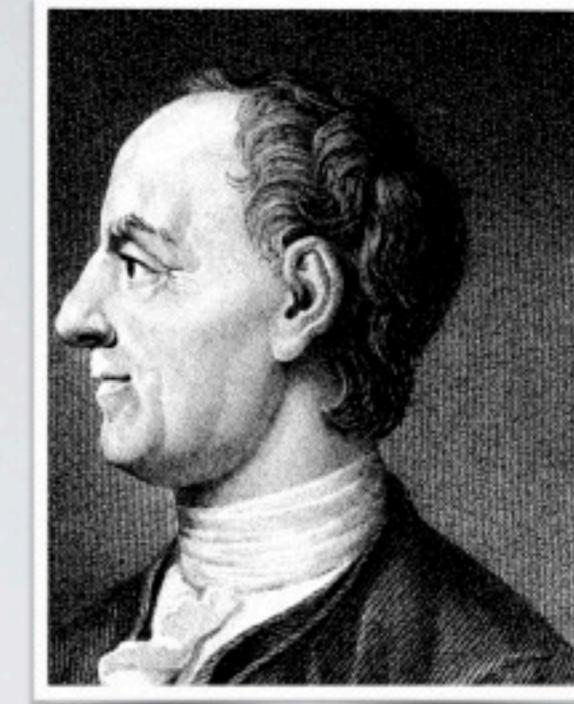
Tree Traversals Problems



- ▶ Best traversal?
- ▶ **in-order:** gives nodes from left to right

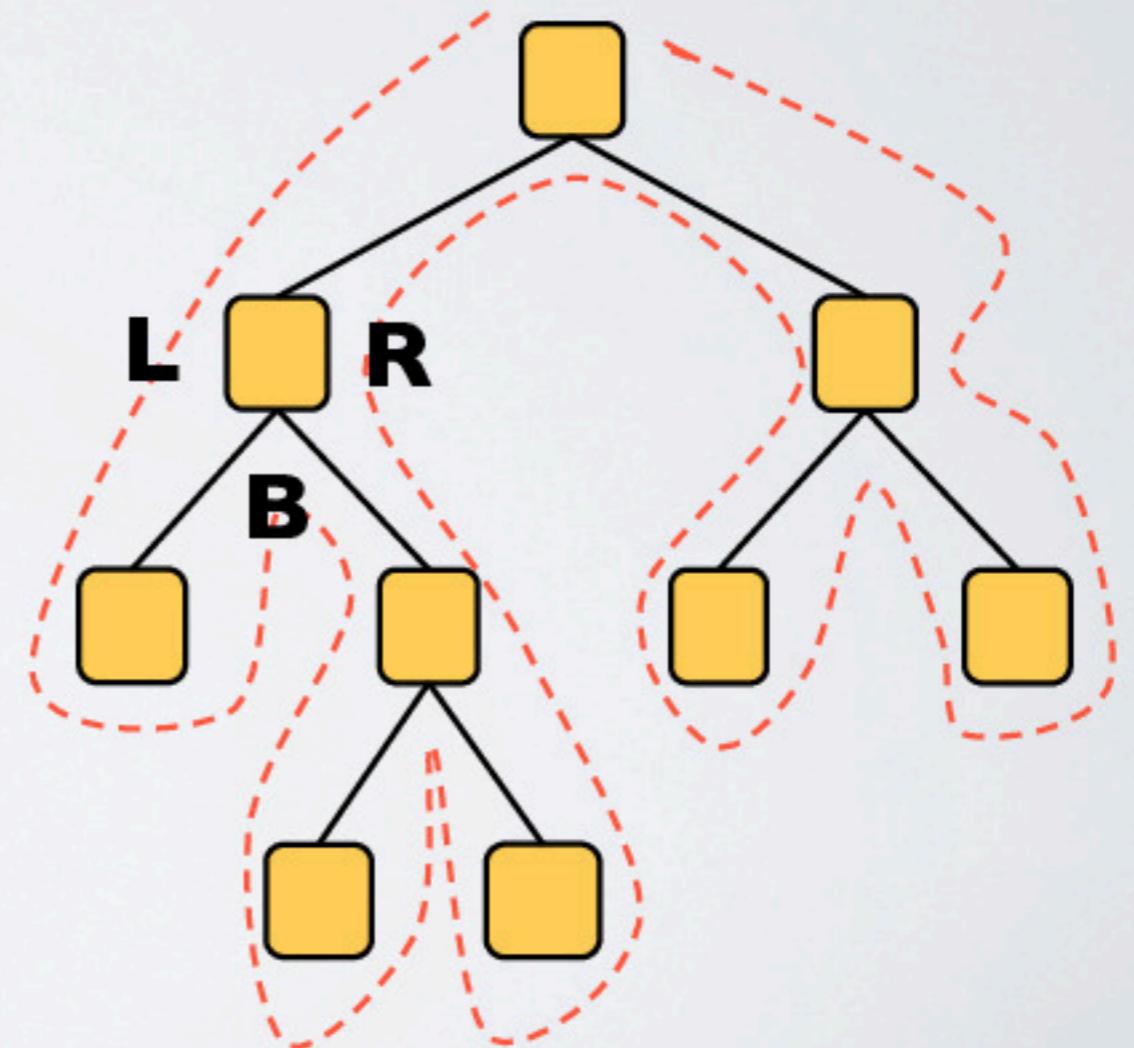
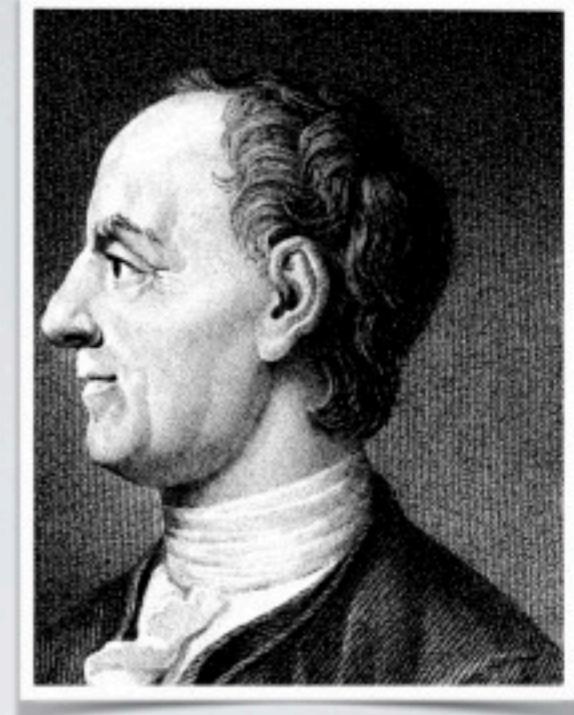
EulerTourTraversal

- ▶ Generic traversal of binary tree
 - ▶ pre-order, post-order and in-order are special cases
- ▶ Each node visited 3 times
 - ▶ left, bottom, right



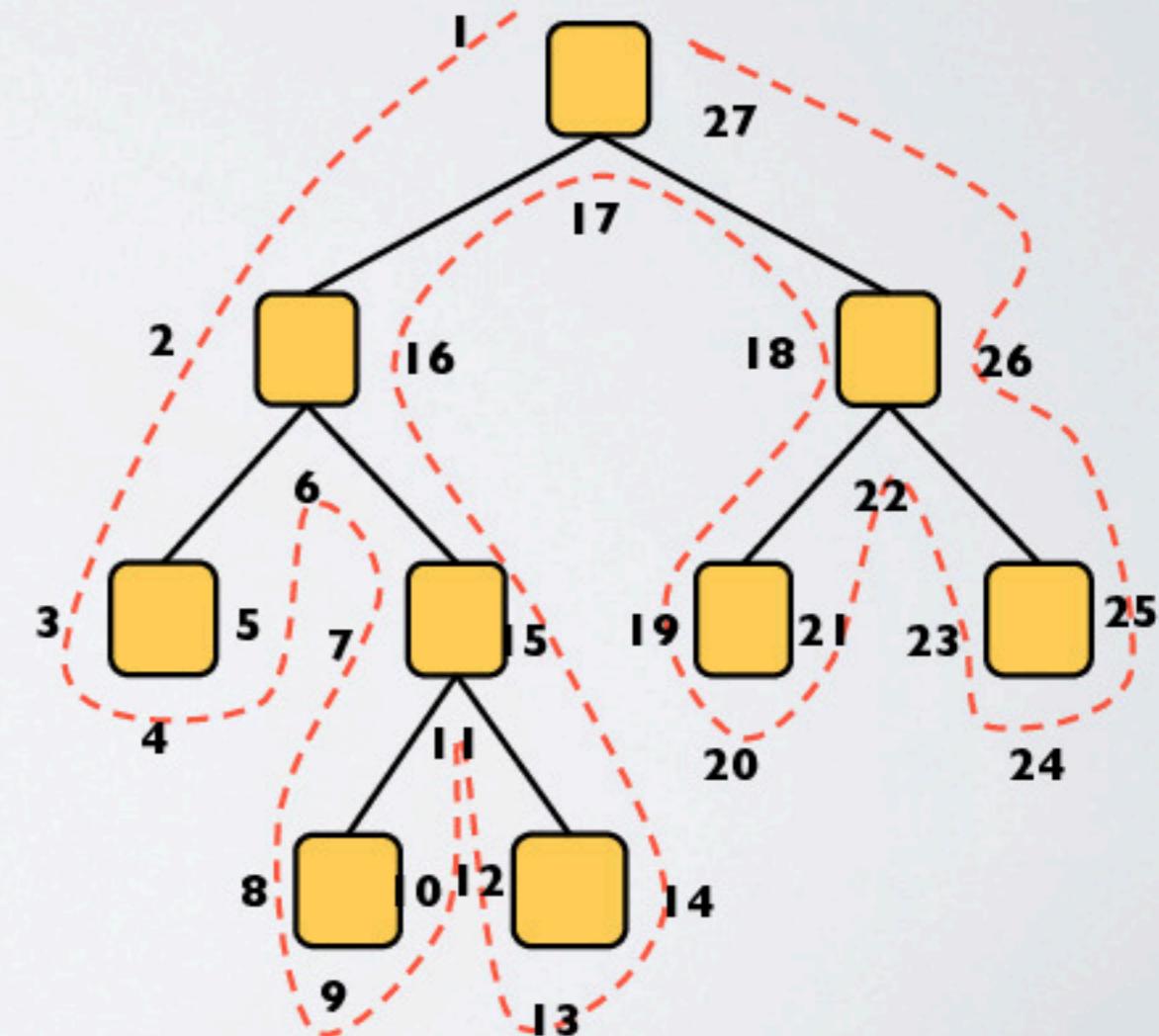
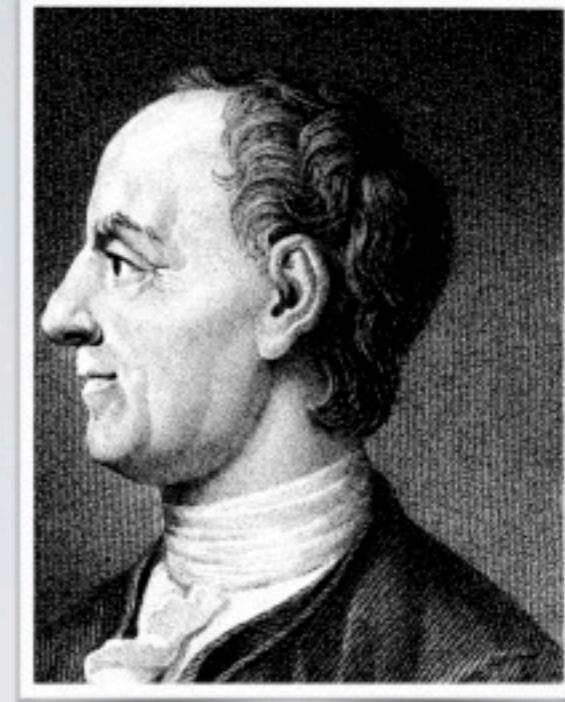
EulerTourTraversal

- ▶ Visit node on the
 - ▶ **left** \Rightarrow pre-order traversal
 - ▶ **bottom** \Rightarrow in-order traversal
 - ▶ **right** \Rightarrow post-order traversal

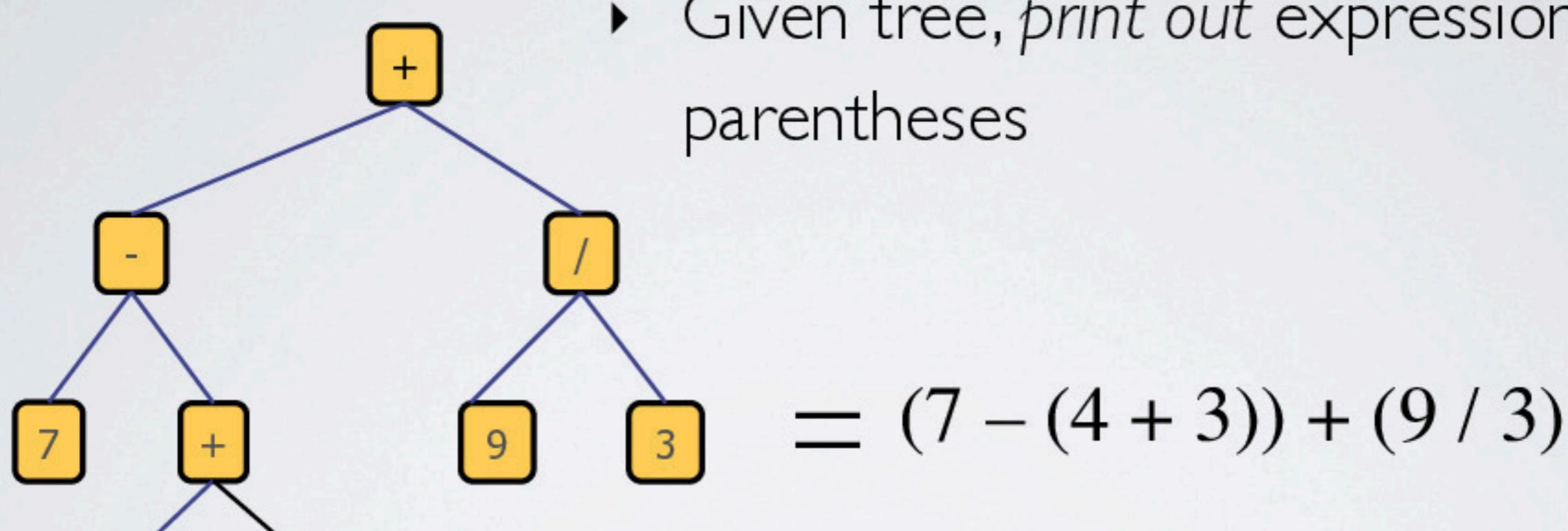


EulerTourTraversal

```
function eulerTour(node):  
    # pre-order  
    visitLeft(node)  
  
    if node has left child:  
        eulerTour(node.left)  
  
    # in-order  
    visitBelow(node)  
  
    if node has right child:  
        eulerTour(node.right)  
  
    # post-order  
    visitRight(node)
```



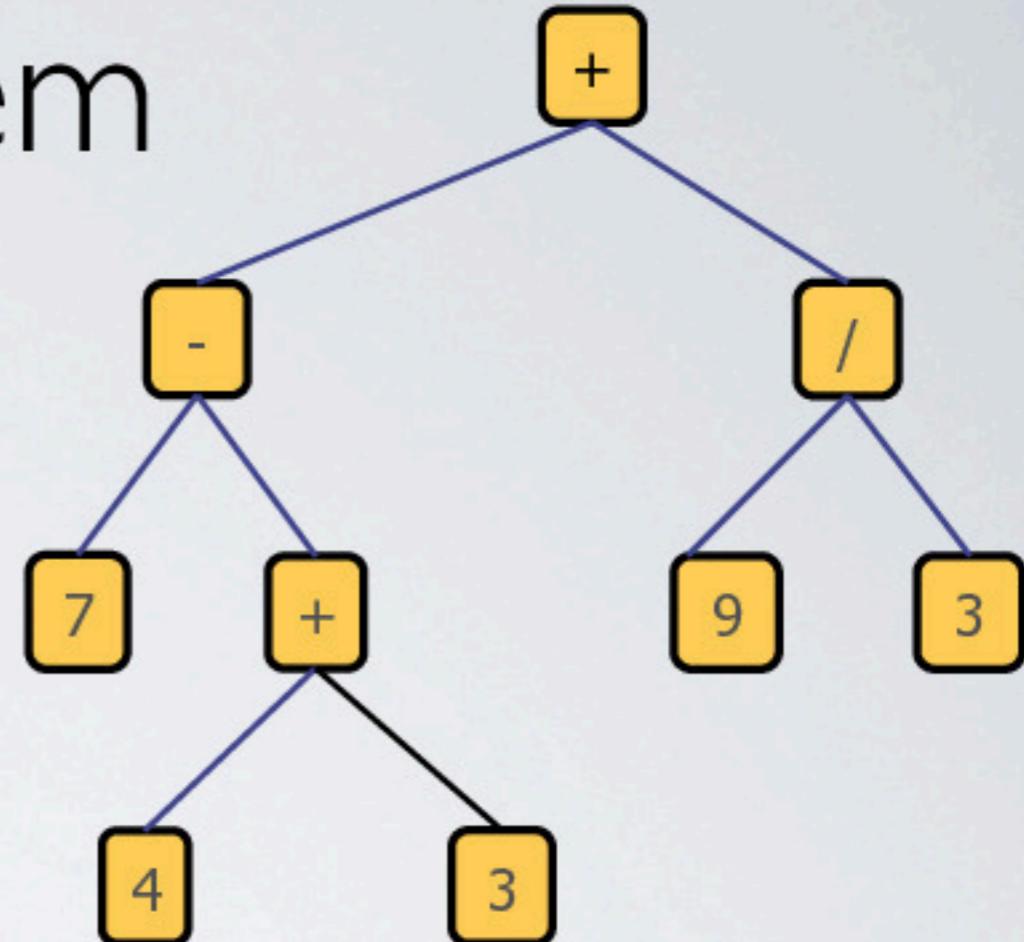
Tree Traversal Problems



- ▶ Best traversal?
- ▶ **Euler tour**

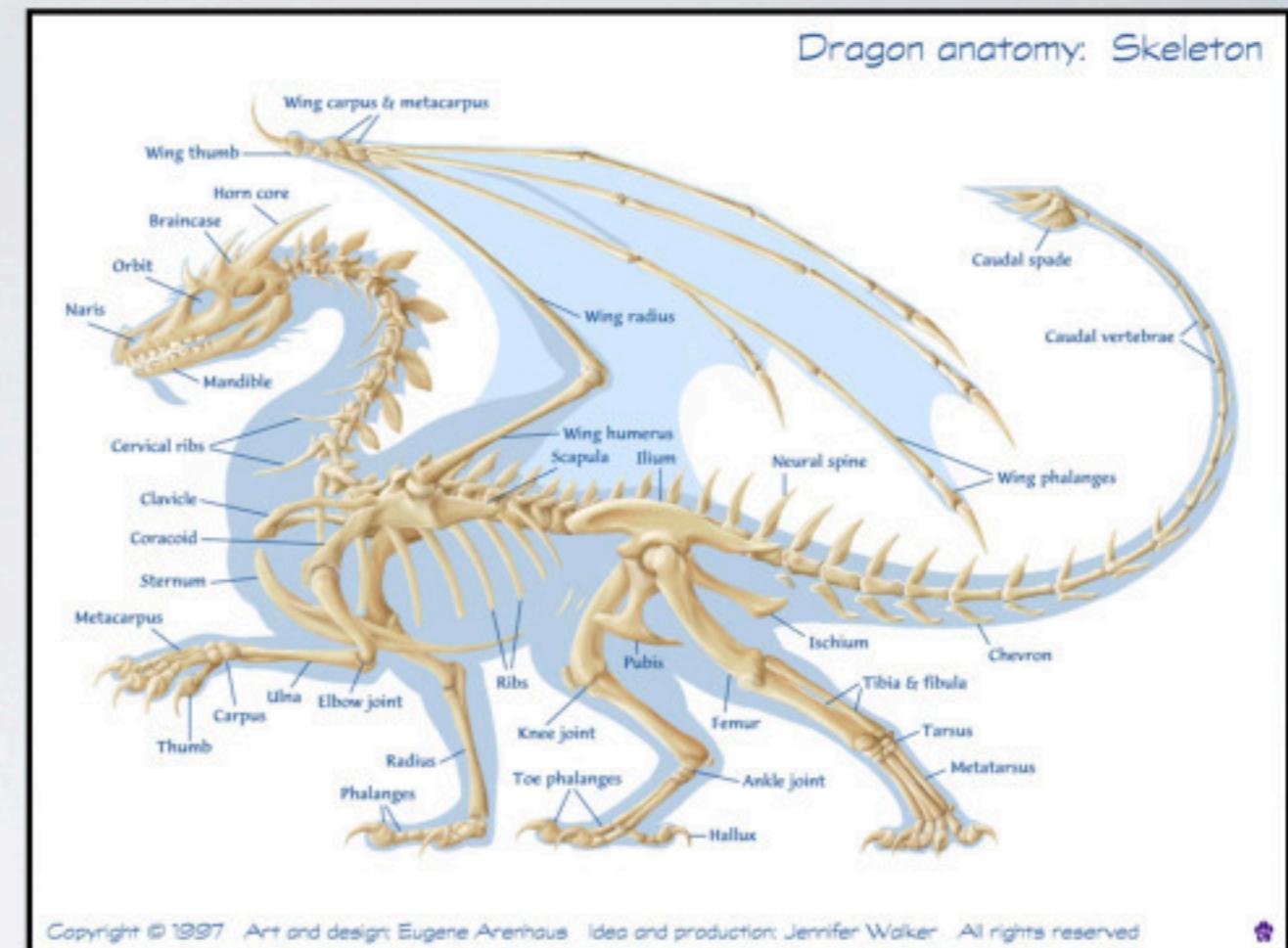
Tree Traversal Problem

- ▶ Best traversal?
 - ▶ **Euler tour**
- ▶ Internal nodes
 - ▶ For pre-order/left visit, print “(“
 - ▶ For in-order/bottom visit, print operator
 - ▶ For post-order/right visit, print “)”
- ▶ Leaves
 - ▶ Don't do anything for pre-order/left and post-order/right visits
 - ▶ For in-order/bottom visit, print number



Outline

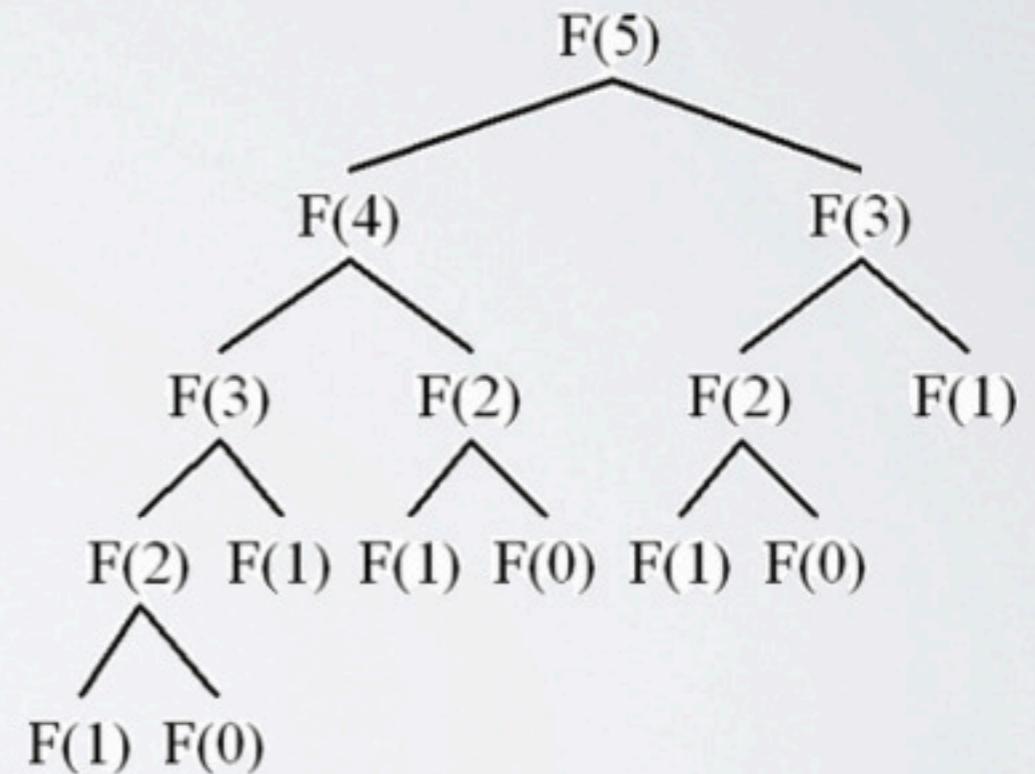
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- ▶ **Analysis on perfect binary trees**



Analyzing Binary Trees

- ▶ Many things can be modeled as binary trees
 - ▶ ex: Fibonacci recursive tree

$$F(n) = F(n - 1) + F(n - 2)$$



Analyzing Binary Trees

- ▶ Knowing facts about binary trees can help with *runtime analysis*
 - ▶ ex: how many recursive calls are made by a binary recursive tree of height n ?
- ▶ Perfect binary trees are easier to analyze...
 - ▶ ...so often we use them to estimate analysis of general trees

Analyzing Perfect Binary Trees

- ▶ Number of nodes in perfect binary tree of height **h**:
 - ▶ $2^{h+1} - 1$
- ▶ Height of a perfect binary tree with **n** nodes:
 - ▶ $\log_2(n+1) - 1$
- ▶ Number of leaves in perfect binary tree of height **h**:
 - ▶ 2^h
- ▶ Number of nodes in perfect binary tree with **L** leaves:
 - ▶ $2L - 1$

Induction on Perfect Binary Trees

- ▶ Can use induction to prove things about PBTs
- ▶ Using recursive definition of perfect binary trees
- ▶ Tree T is a perfect binary tree if
 - ▶ it has only one node
 - ▶ has root with left and right subtrees which are both perfect binary trees of same height
 - ▶ (if subtrees have height h , then T has height $h+1$)

Example Inductive Proof on PBTs

- ▶ Prove $P(n)$:
 - ▶ number of nodes in a perfect binary tree of height n is $f(n) = 2^{n+1} - 1$
- ▶ Base case $P(0)$:
 - ▶ number of nodes in perfect binary tree of height 0 is 1 (by definition)
 - ▶ $f(0) = 2^{0+1} - 1 = 2 - 1 = 1$
- ▶ Inductive hypothesis:
 - ▶ assume $P(k)$ is true (for some $k \geq 0$)
 - ▶ in words: the number of nodes in perfect binary tree of height k is $f(k) = 2^{k+1} - 1$

Example Inductive Proof on PBTs

- ▶ Then prove that $P(k+1)$ is true:
 - ▶ Let T be any perfect binary tree of height $k+1$
 - ▶ By definition, T consists of root with two subtrees, L and R , which are both perfect binary trees of height k
 - ▶ By inductive hypothesis, L and R both have $2^{k+1}-1$ nodes
 - ▶ So total number of nodes in T is:
 - ▶ $2 * (2^{k+1}-1) + 1 = 2^{k+2}-2+1 = 2^{(k+1)+1}-1$
- ▶ Since we've proved
 - ▶ $P(0)$ is true
 - ▶ $P(k)$ implies $P(k+1)$ (for any $k \geq 0$)
 - ▶ It follows by induction that $P(n)$ is true for all $n \geq 0$

Tree ADT vs. Data Structure

- ▶ Is a Tree an ADT or a data structure?
 - ▶ It's both
 - ▶ The answer depends on the context
- ▶ Trees are useful and interesting *abstract* objects
 - ▶ that capture parent/child relationships
 - ▶ they can be implemented using different data structures
 - ▶ some trees can be implemented using arrays
 - ▶ they can also be implemented using dictionaries
- ▶ But when computer scientists talk about Trees they often mean
 - ▶ the “linked tree” data structure
 - ▶ trees that are implemented using nodes and pointers

