

# 1.Encoder-Decoder

## ENCODER

It reads input sequence  $x_1, x_2, \dots, x_T$ .

$$h_i = \text{EncoderRNN}(h_{i-1}, x_i)$$

Thus it produces a sequence of hidden states:

$$h_1, h_2, \dots, h_T.$$

## DECODER

Decoder uses only the final encoder state.

$$s_0 = h_T$$

Then

$$s_t = \text{DecoderRNN}(s_{t-1}, y_{t-1})$$

## Drawbacks

- Entire input sequence is compressed into a single vector  $h_T$
- Long sequences cause information loss due to fixed-length bottleneck

# 2.Attention

Let's take an example.

*I ate an apple because it was tasty*

When predicting “it”, the models should focus on “Apple” and not everything.  
This is achieved by attention mechanism.

Instead of relying on a single vector, attention allows the decoder to dynamically focus on different parts of the input sequence at each time step. This improves handling of long-range dependencies and alignment between input and output tokens.

At each decoder time step  $t$ :

Step 1: Compute alignment scores

For each encoder state  $h_i$ :

$$e_{t,i} = \text{score}(s_{t-1}, h_i)$$

$e_{t,i}$  tells us how relevant encoder position  $i$  is for predicting output at time  $t$

Step 2: Normalize attention weights

$$\alpha = \frac{\exp(e_{t,i})}{\sum_{k=1}^T \exp(e_{t,k})}$$

Step 3: Compute context vector

$$c_t = \sum_{i=1}^T \alpha_{t,i} h_i$$

$c_t$  is a **summary of the input**, for time step  $t$ .

## DECODER WITH ATTENTION

Now the decoder state update becomes:

$$s_t = \text{RNN}(s_{t-1}, y_{t-1}, c_{t-1})$$

And output:

$$P(y_t | y_{<t}, x) = \text{softmax}(V[s_t; c_t] + b)$$

## DRAWBACK OF ATTENTION

$h_i$  for all  $i$  cannot be computed in parallel.

Thus we cannot parallelize the sequence of computation across time steps.

### 3. Transformer

#### SELF ATTENTION

Let  $h_1, h_2, \dots, h_T$  denote input sequence.

$$\alpha_{ij} = \text{softmax}(f(h_i, h_j))$$

For a fixed  $i$ , we compare  $h_i$  with every  $h_j$ .

This gives a score for how relevant token  $j$  is to token  $i$ .

$$s_i = \sum_{j=1}^T \alpha_{ij} h_j$$

We can see that now  $s_i$  can be computed parallelly.

Instead of directly computing  $f(h_i, h_j)$ , we first project  $h_i$  and  $h_j$  into different spaces.

$$q_i = W_Q h_i$$

$$k_i = W_K h_i$$

$$v_i = W_V h_i$$

- The query vector  $q_i$  represents what information token  $i$  is looking for.
- The key vector  $k_j$  represents what kind of information token  $j$  contains.
- The value vector  $v_j$  represents the actual information content that token  $j$  can contribute.

$$f(h_i, h_j) = q_i^T k_j$$

$$\alpha = \frac{\exp(q_i^T k_j)}{\sum_{m=1}^T \exp(q_i^T k_m)}$$

$$s_i = \sum_{j=1}^T \alpha_{ij} v_j$$

## MULTI-HEAD ATTENTION

A single attention mechanism is often insufficient to capture the different types of relationships present in a sequence.

Thus we can have more than one self-attention heads with different parameter

$(W_Q^i, W_K^i, W_V^i)$  matrices so that it captures more meaningful interactions between inputs.

## MASKED ATTENTION

Some tokens of the input sequence are purposefully omitted (masked) from contributing to attention weights. For example, future words are masked when training the decoder layer of a machine translation model.

Masking is done by inserting negative infinite at the respective positions.

q1•k1	$-\infty$	$-\infty$	$-\infty$	$-\infty$
q2•k1	q2•k2	$-\infty$	$-\infty$	$-\infty$
q3•k1	q3•k2	q3•k3	$-\infty$	$-\infty$
q4•k1	q4•k2	q4•k3	q4•k4	$-\infty$
q5•k1	q5•k2	q5•k3	q5•k4	q5•k5

## AUTO-REGRESSIVE MODEL

It is a probabilistic model in which each element of a sequence is predicted using only the previously observed elements of the same sequence.

Mathematically, an autoregressive model estimates the joint probability of a sequence  $x_1, x_2, \dots, x_T$  as:

$$P(x_1, x_2, \dots, x_T) = \prod_{t=1}^T P(x_t | x_1, x_2, \dots, x_{t-1})$$

## 4.GPT

GPT (Generative Pre-trained Transformer) is an autoregressive language model that predicts the most probable next token in a sequence based on all previously generated tokens. Given an input prompt, GPT estimates the conditional probability distribution of the next token and generates text one token at a time.

The power of GPT models comes from two key aspects:

- **Generative pretraining** that teaches the model to detect patterns in unlabeled data, then apply those patterns to new inputs.
- A **transformer architecture** that enables the model to process all portions of an input sequence in parallel.