# MOBCOM-MIdtermF2024

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### Mobile Communication Techniques

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#### Instructions

- Exercises fall in categories of 1-point and 2-point exercises.
- Total of  $11 \times 1 + 2 \times 2 = 15$  points.
- NOTE!!! The exam will be evaluated, out of 13 points. Any points you get beyond 13 points, will be offered as extra bonus.
- Each answer should be clearly written, and the solution should be developed in detail.
- Mathematical derivations need to show all steps that lead to the answer.
- Complete as many exercises as you can. Don't spend too much time on an individual question.
- There is NO penalty for incorrect solutions.
- If in certain cases you are unable to provide rigorous mathematical proofs, go ahead and provide intuitive justification of your answers. Partial credit will be given.
- Calculators are not allowed.
- You are allowed your class notes and class book.

# Hints - equations - conventions:

- Notation
  - SISO = single-input single-output, MISO = multiple-input single-output, SIMO = single-input multiple- output, MIMO = single-input multiple-output,
  - R represents the rate of communication in bits per channel use (b.p.c.u),
  - $-\rho$  represents the SNR (signal to noise ratio),
  - w will denote additive noise which will be distributed as a circularly symmetric Gaussian random variable  $\mathbb{C}\mathcal{N}(0, N_0)$ . If  $N_0$  is not specified, then set  $N_0 = 1$ ,

- $h_i$  will denote independent fading scalar coefficients which will be distributed as circularly symmetric Gaussian random variables  $\mathbb{C}\mathcal{N}(0,1)$ .
- GOOD LUCK!!

1) (1 point). In a multi-path fading scenario with delay spread  $6\mu s$  and L=3 channel taps, what is the operational bandwidth W?

In a multi-path fading scenario, the **operational bandwidth** W is inversely proportional to the delay spread  $T_{\text{max}}$ , which is the maximum delay between the arrival of multipath components. The operational bandwidth ensures that the channel is **frequency-flat**, meaning that the coherence bandwidth  $B_c$  is greater than or equal to W.

The coherence bandwidth  $B_c$  is given approximately by:

$$B_c \approx \frac{1}{T_{\rm max}}$$

Here,  $T_{\text{max}} = 6 \,\mu s$ . Substituting this value:

$$B_c \approx \frac{1}{6 \times 10^{-6}} = 166.67 \, \text{kHz}$$

Thus, the operational bandwidth W should be:

$$W \le B_c = 166.67 \, \text{kHz}.$$

So, the operational bandwidth is approximately 166.67 kHz.

2) (1 point). Imagine a given SNR equal to  $\rho$ , and imagine that we are operating over a (quasistatic) Rayleigh fading SISO channel. Can you describe a code that achieves probability of error approximately equal to  $P_e \approx \rho^{-4}$ , and rate equal to R=2 bpcu.

To achieve  $P_e \approx \rho^{-4}$  at R=2 bpcu in a quasi-static Rayleigh fading SISO channel:

- Code: Use a 4-QAM constellation with a rate-2 space-time block code (e.g., Alamouti code or a 4-dimensional lattice code).
- Diversity: Achieve diversity order 4 by designing the code to span 4 independent dimensions.
- Performance: Ensures  $P_e \sim \rho^{-4}$  with increasing SNR.
- 3) (1 point). How much time diversity will we get with the following SISO (time-diversity) channel model

$$[y_1 \ y_2 \ y_3] = [h_1 u_1 \quad h_2 (u_1 + u_2) \quad h_3 u_2] + [w_1 \ w_2 \ w_3]$$

where the  $u_1,u_2,u_3$  are independent PAM elements. Justify your answer.

To determine the **time diversity** in the given channel model:

**Channel Model**  $[y_1 \ y_2 \ y_3] = [h_1u_1 \ h_2(u_1 + u_2) \ h_3u_2] + [w_1 \ w_2 \ w_3]$ , where  $u_1, u_2, u_3$  are independent PAM symbols,  $h_1, h_2, h_3$  are the channel coefficients, and  $w_1, w_2, w_3$  are noise terms.

# **Analysis**

- 1. Definition of Time Diversity:
  - Time diversity is determined by the number of independently faded channel coefficients  $(h_1, h_2, h_3)$  that affect the transmitted symbols.
- 2. Observation of Dependencies:
  - $y_1$  depends on  $h_1u_1$ .
  - $y_2$  depends on  $h_2(u_1 + u_2)$ .
  - $y_3$  depends on  $h_3u_2$ .
- 3. Diversity Order:
  - $u_1$  is present in both  $y_1$  and  $y_2$ , thus contributing to diversity through  $h_1$  and  $h_2$ .
  - $u_2$  is present in both  $y_2$  and  $y_3$ , contributing to diversity through  $h_2$  and  $h_3$ .

Since  $u_1$  and  $u_2$  are affected by two **independent channel coefficients** each, the effective **time** diversity order is:

Time Diversity Order = min(number of independent fades per symbol) = 2.

**Justification** The system achieves a time diversity order of 2 because each transmitted symbol  $u_1$  and  $u_2$  is observed across two independently faded channels  $(h_1, h_2 \text{ for } u_1; h_2, h_3 \text{ for } u_2)$ . The third symbol  $u_3$  does not contribute additional diversity as it is only affected by  $h_3$ .

**4)** (1 point). In a SISO case, what is the degrees of freedom (DOF) if we have a time-diversity code (spanning three channel uses) of the form  $\mathcal{X} = \begin{bmatrix} u_1 + u_2 & u_1 + u_2 & u_2 + u_3 \end{bmatrix}$  where the  $u_1, u_2, u_3, u_4$  are independent 16-PAM elements?

To determine the **Degrees of Freedom (DOF)** for the given time-diversity code:

Code Representation The transmitted codeword over three channel uses is:

$$\mathcal{X} = \begin{bmatrix} u_1 + u_2 & u_1 + u_3 & u_2 + u_3 \end{bmatrix},$$

where  $u_1, u_2, u_3$  are **independent symbols** from a 16-PAM constellation.

### Degrees of Freedom (DOF)

- The **DOF** is the number of **independent information symbols** transmitted across the channel uses.
- In this case,  $u_1, u_2, u_3$  are independent, and each symbol contributes one degree of freedom.

Since the code spans three channel uses, the DOF is:

$$\mathrm{DOF} = \frac{\mathrm{Number\ of\ Independent\ Symbols}}{\mathrm{Number\ of\ Channel\ Uses}} = \frac{3}{3} = \boxed{1}.$$

- 5) (1 point). For the case of time diversity in the SISO (quasi-static) fading channel, what is the advantage and the disadvantage of the repetition code, compared to uncoded transmission.
  - Advantage: Repetition code improves reliability by providing diversity gain, reducing the error probability in fading channels.

- **Disadvantage**: It reduces spectral efficiency by lowering the transmission rate due to redundant transmissions.
- 6) (1 point). In a SISO case, what is the DOF and the rate (in bpcu), of the following time-diversity code (three channel uses) that takes the form  $\mathcal{X} = [u_1 + u_4 \quad u_2 \quad u_1 + u_2 + u_3]$  where the  $u_1, u_2, u_3, u_4$  are independent 64-QAM elements?

To analyze the **Degrees of Freedom (DOF)** and **rate** for the given time-diversity code:

Code Representation The transmitted codeword over three channel uses is:

$$X = \begin{bmatrix} u_1 + u_4 & u_2 & u_1 + u_2 + u_3 \end{bmatrix},$$

where  $u_1, u_2, u_3, u_4$  are independent symbols from a 64-QAM constellation.

## 1. Degrees of Freedom (DOF):

- The **DOF** corresponds to the number of **independent information symbols** transmitted across the given channel uses.
- Here,  $u_1, u_2, u_3, u_4$  are independent symbols, so there are 4 independent symbols transmitted over 3 channel uses.

$$DOF = \frac{Number of Independent Symbols}{Number of Channel Uses} = \boxed{\frac{4}{3}}$$

# 2. Rate (in bpcu):

- Each symbol is from a 64-QAM constellation, which carries  $\log_2(64) = 6$  bits per symbol.
- Since 4 symbols are transmitted over 3 channel uses, the rate R is:

$$R = \frac{\text{Total Bits Transmitted}}{\text{Number of Channel Uses}} = \frac{4}{3} \cdot 6 = \boxed{8 \, \text{bpcu}}$$

7) (1 point). Imagine a SISO channel model with correlated fading, where the first fading coefficient (first transmission slot) is  $h_1 = h'_1 \times h'_2$ , and the second fading coefficient (second transmission slot) is  $h_2 = h'_2$ , where  $h'_1, h'_2 \sim i.i.d \ \mathbb{C}\mathcal{N}(0,1)$ . What is the maximum diversity we can achieve here?

## Maximum Diversity:

- Fading coefficients:  $h_1 = h_1' \cdot h_2'$  and  $h_2 = h_2'$ .
- Independent components:  $h_1'$  and  $h_2'$  ( $\mathbb{C}\mathcal{N}(0,1)$ , i.i.d.).
- **Diversity order** = Number of independent fading coefficients = 2
- 8) (1 point). Describe the steps of converting a binary vector detection problem over a time diversity fading channel, into a scalar detection problem. Imagine that you are sending BPSK symbols using a repetition code, and consider  $\mathbb{C}\mathcal{N}(0, N_0)$  noise.

The correct process for converting the **binary vector detection problem** over a time diversity fading channel into a **scalar detection problem** is as follows:

#### Given:

- The transmitted symbol  $x \in \{-1, +1\}$  (BPSK) is repeated N times using a repetition
- Received signal over N time slots:  $y_i = h_i x + n_i$ , i = 1, 2, ..., N, where:
  - $-h_i \sim \mathcal{CN}(0,1)$  (time-varying fading coefficient),
  - $-n_i \sim \mathcal{CN}(0, N_0)$  (AWGN noise).

## Steps to Convert to Scalar Detection Problem:

- 1. Combine All Observations: To exploit the time diversity, combine the received signals from all N time slots into a single metric:  $z = \sum_{i=1}^{N} h_i^* y_i$ , where  $h_i^*$  is the conjugate of  $h_i$ . This is called **maximum ratio combining (MRC)**.
- 2. Simplify the Combined Signal: Substitute  $y_i = h_i x + n_i$  into z:  $z = \sum_{i=1}^N h_i^*(h_i x + n_i) = x \sum_{i=1}^N |h_i|^2 + \sum_{i=1}^N h_i^* n_i$ .
- 3. Interpret the Result:
  - The first term,  $x \sum_{i=1}^{N} |h_i|^2$ , represents the signal component scaled by the channel gains. The second term,  $\sum_{i=1}^{N} h_i^* n_i$ , is the noise term, which remains Gaussian with variance
- 4. Decision Rule: The scalar detection problem is now: Decide x = +1 if z >otherwise decide x = -1. This simplifies detection by collapsing the vector problem into a single scalar comparison.

Answer: By using maximum ratio combining (MRC), the original binary vector detection problem is converted into a scalar detection problem:  $z = x \sum_{i=1}^{N} |h_i|^2 + \sum_{i=1}^{N} h_i^* n_i$ , with the decision rule: Decide x = +1 if z > 0, otherwise decide x = -1.

9) (1 point). Consider a deep-space communications scenario, where the received SNR is equal to 20dB. If you assume low rate communications, what do you expect the probability of error to be?

For a deep-space communication scenario with a received SNR of 20 dB, assuming low-rate communication, we can approximate the probability of error as follows:

# 1. Key Assumptions:

- Low-Rate Communication: Typically corresponds to Binary Phase Shift Keying (BPSK).
- SNR in Linear Scale: Convert 20 dB to linear scale:  $SNR_{linear} = 10^{\frac{SNR_{dB}}{10}} = 10^{\frac{20}{10}} = 100$ .
- 2. Probability of Error for BPSK: For BPSK in an AWGN channel, the probability of error  $(P_e)$  is given by:  $P_e = Q\left(\sqrt{2 \cdot \text{SNR}_{\text{linear}}}\right)$ , where Q(x) is the tail probability of a standard normal distribution.

Substitute  ${\rm SNR_{linear}}=100 \colon \, P_e=Q\left(\sqrt{2\cdot 100}\right)=Q(14.14).$ 

3. Approximation of Q(x) for Large x: For large x, Q(x) can be approximated as:  $Q(x) \approx$  $\frac{1}{\sqrt{2\pi}x}e^{-\frac{x^2}{2}}$ .

Substitute 
$$x = 14.14$$
:  $Q(14.14) \approx \frac{1}{\sqrt{2\pi} \cdot 14.14} e^{-\frac{14.14^2}{2}}$ .

Compute: 
$$\frac{14.14^2}{2} = 100$$
,  $e^{-100} \approx 3.72 \times 10^{-44}$ .

Thus: 
$$P_e \approx \frac{1}{\sqrt{2\pi} \cdot 14.14} \cdot 3.72 \times 10^{-44} \approx 1 \times 10^{-45}$$
.

The **probability of error** is extremely small:  $P_e \approx 10^{-45}$ 

In deep-space communication with high SNR and low rate, errors are nearly negligible.

10) (1 point). What is the approximate coherence time  $T_c$  in a typical urban wireless network if you are driving approximately 20 kilometers per hour?

To estimate the coherence time  $T_c$  in a typical urban wireless network, we use the following formula:  $T_c \approx \frac{1}{f_d}$ , where  $f_d$  is the **Doppler spread** given by  $f_d = \frac{v}{\lambda} = \frac{v \cdot f_c}{c}$ .

## 1. Given Parameters:

- Speed:  $v=20\,\mathrm{km/h}=\frac{20\times1000}{3600}=5.56\,\mathrm{m/s},$  Carrier frequency:  $f_c=2\,\mathrm{GHz}=2\times10^9\,\mathrm{Hz}$  (assumed typical urban value),
- Speed of light:  $c = 3 \times 10^8 \,\mathrm{m/s}$ .
- **2. Doppler Spread:**  $f_d = \frac{v \cdot f_c}{c} = \frac{5.56 \cdot 2 \times 10^9}{3 \times 10^8} = 37.1 \,\text{Hz}.$
- 3. Coherence Time:  $T_c \approx \frac{1}{f_d} = \frac{1}{37.1} \approx 0.027 \text{ seconds} = 27 \text{ ms.}$

The approximate coherence time is: | 27 ms |

11) (1 point). Consider communication over a SISO fading channel with a delay spread of  $T_d = 3\mu s$ and a signal bandwidth of W=1 MHz. - Write all the received signals, if we only send x[0] and then we stop transmitting.

To analyze this scenario, we need to consider the SISO fading channel with a delay spread  $T_d =$  $3 \mu s$  and a signal bandwidth W = 1 MHz. The delay spread indicates the multipath environment, meaning the transmitted signal will arrive at the receiver through multiple delayed and scaled copies.

### 1. Transmitted Signal:

• Only x[0] is transmitted, then the transmission stops. Thus:  $x[n] = \begin{cases} x[0], & \text{if } n = 0, \\ 0, & \text{if } n \neq 0. \end{cases}$ 

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- 2. Received Signal: The received signal is the convolution of the transmitted signal x[n] with the channel impulse response h(t): y[n] = h[n] \* x[n].
  - The channel impulse response h(t) is a sum of L multipath components: h(t) =

    - $\tau_l :$  Delay of the l-th path  $(0 \leq \tau_l \leq T_d).$
  - With  $T_d=3\,\mu s$ , the maximum delay is  $3\,\mu s$ , corresponding to  $L\approx W\cdot T_d=1\,\mathrm{MHz}\cdot 3\,\mu s=3$ significant paths.
- 3. Writing the Received Signals: For x[0] transmitted: The received signal y[n] consists of L delayed copies of x[0], weighted by the fading coefficients  $h_i$ :  $y[0] = h_0 x[0]$ ,  $y[1] = h_1 x[0]$ ,  $y[2] = h_2 x[0]$ . - For n > 2, no further contributions occur, as  $\tau_l \leq T_d$ .

Thus: 
$$y[n] = \begin{cases} h_0 x[0], & n = 0, \\ h_1 x[0], & n = 1, \\ h_2 x[0], & n = 2, \\ 0, & n > 2. \end{cases}$$

Final Answer: The received signals are:  $y[0] = h_0x[0]$ ,  $y[1] = h_1x[0]$ ,  $y[2] = h_2x[0]$ , y[n] =0 for n > 2.

12) (2 points). What is the optimal diversity order over a 2×1 MISO channel  $h = [h_1 \ h_2], h_i \sim$ i.i.d  $\mathbb{C}\mathcal{N}(0,1)$ ? - In the same channel as above (again with no time diversity), consider a space time code whose matrices take the form

$$\begin{bmatrix} x_0 & x_1 \\ x_1 & x_0 \end{bmatrix}$$

where the  $x_i$  are drawn independently from a QAM constellation. Will this code achieve optimal diversity order? (argue why or why not) - What is the diversity order achieved by the Alamouti code, over this  $2 \times 1$  MISO channel? (again, you can just argue in words)

- 1. Optimal Diversity Order in a  $2 \times 1$  MISO Channel In a  $2 \times 1$  MISO channel, the diversity order is equal to the number of independent fading paths, which corresponds to the number of transmit antennas  $(N_t = 2)$  when there is 1 receive antenna. Thus, the **optimal diversity order** is: |2|
- 2. Diversity Order of the Given Space-Time Code The given code matrix is: X = $\begin{bmatrix} x_0 & x_1 \\ x_1 & x_0 \end{bmatrix}$ , where  $x_0$  and  $x_1$  are independent QAM symbols.

**Key Analysis:** 

- Rank Criterion: For a space-time code to achieve full diversity, the difference between any
- two distinct code matrices  $\mathbf{X}_1$  and  $\mathbf{X}_2$  must result in a matrix of full rank.

  For this code:  $\Delta \mathbf{X} = \mathbf{X}_1 \mathbf{X}_2 = \begin{bmatrix} x_{01} x_{02} & x_{11} x_{12} \\ x_{11} x_{12} & x_{01} x_{02} \end{bmatrix}$ .

- The rows of  $\Delta X$  are linearly dependent because the two rows are identical. This means  $\Delta X$  is **not full rank**.

#### Conclusion:

This code does **not achieve the optimal diversity order**, as it does not satisfy the rank criterion for full diversity.

3. Diversity Order of the Alamouti Code The Alamouti code for a  $2 \times 1$  MISO channel is:  $\mathbf{X}_{\text{Alamouti}} = \begin{bmatrix} x_0 & -x_1^* \\ x_1 & x_0^* \end{bmatrix}$ .

### **Key Features**:

- The Alamouti code satisfies the **rank criterion**, ensuring that  $\Delta \mathbf{X} = \mathbf{X}_1 \mathbf{X}_2$  is always full rank for distinct codewords  $\mathbf{X}_1$  and  $\mathbf{X}_2$ .
- Each transmitted symbol experiences the full diversity of the channel, as it leverages both transmit antennas.

### Conclusion:

The Alamouti code achieves the **optimal diversity order of 2** over the  $2 \times 1$  MISO channel.

### Final Answers:

- 1. Optimal diversity order in  $2 \times 1$  MISO:  $\boxed{2}$ .
- 2. Given space-time code: **Does not achieve optimal diversity order** due to lack of full-rank property.
- 3. Alamouti code: Achieves optimal diversity order of 2
- 13) (EXTRA CREDIT: 2 points). Consider a setting where the transmit antenna array has length of 50 cm, the received antenna array has size 20cm, the transmission frequency is 1000 MHz, the signal bandwidth is 1 MHz, the channel coherence time is  $T_c = 21$  ms, and the coding duration is  $T_{coding} = 7$ ms. How much diversity can you get, in total?

To calculate the **total diversity**, we need to consider **spatial diversity**, **frequency diversity**, and **time diversity**. Let's analyze each component based on the given parameters:

1. Spatial Diversity Spatial diversity depends on the number of independent spatial paths between the transmit and receive antenna arrays, calculated using the formula:  $D_{\text{spatial}} = \left\lfloor \frac{2L_t}{\lambda} \right\rfloor$ . Where: -  $L_t = 50 \, \text{cm} = 0.5 \, \text{m}$ : Transmit antenna array length, -  $L_r = 20 \, \text{cm} = 0.2 \, \text{m}$ : Receive antenna array length, -  $\lambda = \frac{c}{f}$ : Wavelength of the transmitted signal.

At 
$$f=1000\,\mathrm{MHz}$$
 ( $f=1\,\mathrm{GHz}$ ):  $\lambda=\frac{3\times10^8}{1\times10^9}=0.3\,\mathrm{m}$ .  
Substituting:  $D_{\mathrm{spatial}}=\left\lfloor\frac{2\cdot0.5}{0.3}\right\rfloor\cdot\left\lfloor\frac{2\cdot0.2}{0.3}\right\rfloor=\left\lfloor3.33\right\rfloor\cdot\left\lfloor1.33\right\rfloor=3\cdot1=3$ .

2. Frequency Diversity Frequency diversity depends on the relationship between the signal bandwidth (W) and the coherence bandwidth  $(W_c)$ . The coherence bandwidth is inversely related to the channel delay spread  $(T_d)$ :  $W_c \approx \frac{1}{T_d}$ .

Assuming the coherence bandwidth  $W_c$  is smaller than the signal bandwidth  $W=1\,\mathrm{MHz}$ , the **frequency diversity** is approximately:  $D_{\mathrm{frequency}}=\frac{W}{W_c}$ . Without explicit  $T_d$ , assume  $W_c\approx 100\,\mathrm{kHz}$ :  $D_{\mathrm{frequency}}\approx\frac{1\,\mathrm{MHz}}{100\,\mathrm{kHz}}=10$ .

3. Time Diversity Time diversity depends on the relationship between the coding duration  $(T_{\text{coding}})$  and the channel coherence time  $(T_c)$ :  $D_{\text{time}} = \frac{T_c}{T_{\text{coding}}}$ .

Given: 
$$T_c = 21 \,\text{ms}$$
,  $T_{\text{coding}} = 7 \,\text{ms}$ ,  $D_{\text{time}} = \frac{21}{7} = 3$ .

**Total Diversity** The total diversity is the product of the individual diversity components:  $D_{\text{total}} = D_{\text{spatial}} \cdot D_{\text{frequency}} \cdot D_{\text{time}}$ .

$$D_{\text{total}} = 3 \cdot 10 \cdot 3 = 90$$

Here's the breakdown of the units for each diversity component:

- 1. Spatial Diversity  $(D_{\text{spatial}})$ :
  - Unitless: It is a count of independent spatial paths, determined by the number of transmit and receive antenna elements relative to the wavelength.
- 2. Frequency Diversity ( $D_{\text{frequency}}$ ):
  - Unitless: It represents the ratio of signal bandwidth (W) to coherence bandwidth  $(W_c)$ , which both have units of Hz, making the ratio unitless.
- 3. Time Diversity  $(D_{\text{time}})$ :
  - Unitless: It is the ratio of channel coherence time  $(T_c)$  to coding duration  $(T_{\text{coding}})$ , both measured in seconds, so the ratio is unitless.

**Summary:** All diversity components—spatial, frequency, and time—are **unitless** because they are ratios or counts, and the **total diversity** is also unitless.

[]: