# Manifolds Exercise Sheet 4.



#### **Department of Mathematics**

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## **Groupwork**

## **Exercise G1** (Holomorphic maps)

Let  $f:U\subseteq\mathbb{C}\to\mathbb{C}$  be a holomorphic function. Show that f is a local diffeomorphism if and only if f' does not vanish.

## **Exercise G2** (Dense curve on the torus)

Let  $T:=S^1\times S^1\subseteq\mathbb{C}^2$  denote the torus, and let  $\alpha\in\mathbb{R}-\mathbb{Q}$  be an irrational number. Consider the curve  $\gamma:\mathbb{R}\to T$  given by

$$\gamma(t) = \left(e^{2\pi it}, e^{2\pi i\alpha t}\right).$$

- a) Show that  $\gamma$  is an injective immersion.
- b) Show or admit that  $\{e^{2\pi i \alpha n}, n \in \mathbb{Z}\}$  is dense in  $S^1$ .

Hint: Recall that any subgroup G of  $(\mathbb{R}, +)$  is either dense in  $\mathbb{R}$  or of the form  $a\mathbb{Z}$  (for some  $a \ge 0$ ). Accepting or proving this fact, show that  $G = \{m + \alpha n, (m, n) \in \mathbb{Z}^2\}$  is a dense subgroup of  $\mathbb{R}$ .

- c) Derive from (b) that the subset  $\gamma(\mathbb{Z})$  has a limit point (in fact, all of its elements are limit points). Conclude that  $\gamma$  is not an embedding.
- d) Does the fact that  $\gamma$  is not an embedding automatically imply that  $\gamma(\mathbb{R})$  is not an embedded submanifold?
- e) Derive from (b) that  $\gamma(\mathbb{R})$  is dense in T. Conclude that  $\gamma(\mathbb{R})$  is not an embedded submanifold.
- f) What if  $\alpha \in \mathbb{Q}$ ?

#### **Exercise G3** (Fiber bundle vs submersion)

- a) Show that any smooth fiber bundle  $\pi \colon E \to B$  is a submersion.
- b) Is the converse true?

#### **Exercise G4** (A level set)

Consider the map  $F: \mathbb{R}^4 \to \mathbb{R}^2$  defined by  $F(x, y, s, t) = (x^2 + y, x^2 + y^2 + s^2 + t^2 + y)$ .

Show that (0,1) is a regular value of F, and that the level set  $F^{-1}(0,1)$  is diffeomorphic to  $S^2$ .

## Homework

Hand in your work by Tuesday, 16.06.2020.

## **Exercise H1** (Properties of local diffeomorphisms)

12 points

True or False? Prove it.

- a) Any composition of local diffeomorphisms is a local diffeomorphism.
- b) Any local diffeomorphism is an open map / closed map.
- c) Every diffeomorphism is a bijective local diffeomorphism, and the converse is also true.
- d) A smooth map between manifolds of the same dimension is a local diffeomorphism iff it is an immersion iff it is a submersion.

## **Hints for solution:**

- a) The map  $f: M \to N$  is a local diffeomorphism iff  $df_p$  is invertible for all  $p \in M$  (see lecture). Since the composition of invertible maps is invertible, the statement follows.
- b) Any local diffeomorphism is a local homeomorphism and thus an open map. In general, it is not a closed map: Let  $U \subset M$  be an open subset of N that is not closed. Then the inclusion  $f: U \to N$  is a local diffeomorphism, but not closed as U is closed in U, but f(U) = U is not closed in N.
- c) Follows directly from the definition.
- d) Since  $df_p$  is linear, the statement follows from linear algebra.

## **Exercise H2** (Veronese Embedding)

13 points

Define the map

$$f: S^2 \to \mathbb{R}^6, \quad (x, y, z) \mapsto (x^2, y^2, z^2, \sqrt{2} xy, \sqrt{2} yz, \sqrt{2} zx).$$

- a) Prove that f is an immersion. Is it an embedding?
- b) Show that  $f(S^2)$  is contained in  $S^5 \subset \mathbb{R}^6$  and in a hyperplane of  $\mathbb{R}^6$ . Conclude that f can be assumed to take an image in  $S^4_r$  for some 0 < r < 1.
- c) Prove that  $f(S^2)$  is a proper subset of  $S_r^4$  using Sard's theorem. Compose f with a suitable map to find an immersion  $F \colon S^2 \to \mathbb{R}^4$ .
- d) Show that F induces an embedding of  $\mathbb{R}P^2$  into  $\mathbb{R}^4$ , the Veronese embedding. Hint 1: Use H1 d) of the second sheet. Hint 2: Prove or accept that if  $f: M \to N$  is an injective immersion and M compact, then f is an embedding.

#### Hints for solution:

a) We have

$$df = \begin{pmatrix} 2x & 0 & 0 & \sqrt{2}y & 0 & \sqrt{2}z \\ 0 & 2y & 0 & \sqrt{2}x & \sqrt{2}z & 0 \\ 0 & 0 & 2z & 0 & \sqrt{2}y & \sqrt{2}x \end{pmatrix}^{T}.$$

Since  $x^2 + y^2 + z^2 = 1$ , we have that the matrix has full rank for all  $x, y, z \in S^2$ . Hence, df is injective and f is an immersion. Since f(1,0,0) = f(-1,0,0), f is not injective and thus not an embedding.

b) We have

$$|f(x,y,z)|^2 = (x^2)^2 + (y^2)^2 + (z^2)^2 + (\sqrt{2}xy)^2 + (\sqrt{2}yz)^2 + (\sqrt{2}zx)^2$$

$$= x^4 + y^4 + z^4 + 2x^2y^2 + 2y^2z^2 + 2z^2x^2 = (x^2 + y^2 + z^2)^2$$

$$= |(x,y,z)|^4 = 1.$$

Hence,  $f(S^2)$  is contained in  $S^5 \subset \mathbb{R}^6$ .

On the other hand we have  $f_1+f_2+f_3=1$ . That defines a hyperplane  $H^5\subset\mathbb{R}^6$  where  $f_4,f_5,f_6$  are arbitrary. Note that this hyperplane intersects  $\mathbb{S}^5$ : It contains, for instance, the pole  $p_1=(1,0,0,0,0,0)=f(1,0,0)$ , but H is not equal to the hyperplane  $p_1^\perp=f_1=0$ . We have  $H=(1,1,1,0,0,0)^\perp$ . Thus the two hyperplanes are transversal:  $\langle p_1,(1,1,1,0,0,0)/\sqrt{3}\rangle 1/\sqrt{3}=\cos\alpha$  with  $\alpha\approx 54^\circ$ . Therefore  $H\cap S^5$  is neither empty, nor a point, and so must be an  $S_r^4$ .

c) Since  $\dim(S^2) = 2 < 4 = \dim(S^4_r)$ , by Sard's theorem  $f(S^2)$  is negligible in  $S^4_r$ . In particular, it is a proper subset and we can compose f with the stereographic projection (maybe after some suitable rotation) to obtain a map  $F: S^2 \to \mathbb{R}^4$ . Since f is an immersion and the stereographic projection a diffeomorphism, F is an immersion.

d) Let (x, y, z)  $(x', y', z') \in S^2$  with f((x, y, z)) = f((x', y', z')). Comparing them yield  $(x, y, z) = (\pm x', \pm y', \pm z')$ , which are equivalent for F. Hence, F is an injective immersion. Since  $\mathbb{R}P^2$  is compact, F is an embedding.

## **Exercise H3** (Orthogonal group)

5 points

Prove carefully that  $O(n, \mathbb{R})$  is a matrix Lie group.

#### **Hints for solution:**

Let  $Sym(n, \mathbb{R})$  denote the space of symmetric  $n \times n$ -matrices over  $\mathbb{R}$ . Consider the map

$$f: \mathbf{M}(n, \mathbb{R}) \to \mathbf{Sym}(n, \mathbb{R}), \quad A \mapsto A^T A.$$

Then  $O(n,\mathbb{R})=f^{-1}(E)$ . The differential  $df_E=A^T+A$  is surjective, because for  $B\in \operatorname{Sym}(n,\mathbb{R})$  and  $C\in O(n,\mathbb{R})$  it holds  $df_E(\frac{1}{2}BC)=B$ . Thus, E is a regular value and  $O(n,\mathbb{R})$  is a submanifold of  $\operatorname{GL}(n,\mathbb{R})$ . Since  $O(n,\mathbb{R})$  is also a subgroup of  $\operatorname{GL}(n,\mathbb{R})$  and  $\operatorname{GL}(n,\mathbb{R})$  is itself a Lie group, the statement follows.

#### **Further Exercises**

#### **Exercise F1** (Proper maps, immersions and embeddings)

Let X and Y be locally compact Hausdorff topological spaces. A map  $f: X \to Y$  is called *proper* if it is continuous and the preimage of any compact subset of Y is a compact subset of X.

- a) Show that a proper map is closed (the image of any closed set is closed).
- b) Derive that an injective proper map is a topological embedding.
- c) Consider a smooth map  $f: M \to N$ . Show that if f is an injective proper immersion, then f is a smooth embedding. Is the converse true?
- d) Show that an embedding  $f: M \to N$  is proper iff f(M) is a closed subset of N.

## **Exercise F2 (\*)** (Easy Sard theorem)

Prove the easy case of Sard's theorem: if  $f: M \to N$  is a smooth map, and  $\dim M < \dim N$ , then the image of f is a negligible subset of N. Is this still true if f is only assumed continuous?

#### **Exercise F3** (Characterizations of submanifolds)

Prove the theorem of Chap. 6 characterizing submanifolds of  $\mathbb{R}^n$  (see the lecture PDF for a precise statement, and elements of proof).

## **Exercise F4** (Determinant and special linear group)

- a) Prove that  $\det\colon \operatorname{M}(n,\mathbb{R})$  is a smooth map. Compute its differential at  $M=I_n$ , then at any  $M\in\operatorname{M}(n,\mathbb{R})$ .
- b) Prove that det is a submersion on  $GL(n, \mathbb{R})$ .
- c) Conclude that  $SL(n, \mathbb{R})$  is a matrix Lie group.

## **Exercise F5** (Easy Whitney theorem)

Prove that the "easiest Whitney theorem" implies the "easy Whitney theorem", in other words:

Let M be a smooth manifold of dim. m that admits an embedding to  $\mathbb{R}^N$  for some  $N \in \mathbb{N}$ . Then M admits an embedding to  $\mathbb{R}^{2m+1}$ .

- a) Show that it is enough to prove b).
- b) If  $M \subseteq \mathbb{R}^N$  is a smooth submanifold and N > 2m + 1, then there exists a hyperplane  $H \subseteq \mathbb{R}^N$  such that the orthogonal projection to H restricts to an embedding from M to H.

Hint: Refer to [Lafontaine, Cor. 3.8].

### **Exercise F6** (Level sets)

Let  $F: \mathbb{R}^2 \to \mathbb{R}$  be defined by  $F(x,y) = x^3 + xy + y^3$ . Which level sets of F are embedded submanifolds of  $\mathbb{R}^2$ ? Prove your answers.