

# Nonstationary Gabor frames

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# Nonstationary Gabor frames



Multiple Gabor systems for analysis of music<sup>1</sup>

Nonstationary Gabor frames<sup>2</sup>

**Theory, implementation and applications of nonstationary Gabor frames<sup>3</sup>**

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<sup>1</sup>Monika Doerfler. “Gabor analysis for a class of signals called music”. PhD thesis. Jan. 2002. URL: [http://www.mathe.tu-freiberg.de/files/thesis/gamu\\_1.pdf](http://www.mathe.tu-freiberg.de/files/thesis/gamu_1.pdf)

<sup>2</sup>Florent Jaillet, P. Balázs, and M. Dörfler. “Nonstationary Gabor Frames”. In: *SAMPTA'09, International Conference on SAMPLing Theory and Applications*. 2009. URL: <https://github.com/ltfat/ltfat.github.io/blob/master/notes/ltfatnote010.pdf>

<sup>3</sup>Peter Balazs et al. “Theory, implementation and applications of nonstationary Gabor frames”. In: *Journal of computational and applied mathematics* 236 (Oct. 2011), pp. 1481–1496. DOI: 10.1016/j.cam.2011.09.011. URL: <https://ltfat.github.io/notes/ltfatnote018.pdf>

# Nonstationary Gabor frames

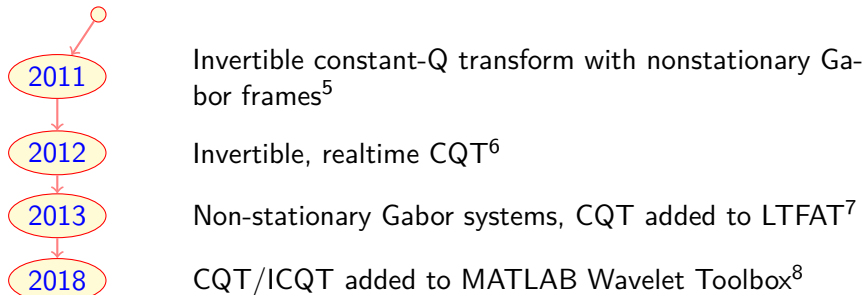
*The definition of multiple Gabor frames, which is comprehensively treated in [Dörfler 2002], provides Gabor frames with analysis techniques with multiple resolutions.*

*The nonstationary Gabor frames (see [Jaillet 2009], [Balazs 2011] for their definition and implementation) are a further development; they fully exploit theoretical properties [...] they provide for a class of FFT-based algorithms [...] together with perfect reconstruction formulas<sup>4</sup>*

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<sup>4</sup>Marco Liuni et al. "Automatic Adaptation of the Time-Frequency Resolution for Sound Analysis and Re-Synthesis". In: *IEEE Transactions on Audio Speech and Language Processing* 21 (May 2013). DOI: 10.1109/TASL.2013.2239989. URL: <https://arpi.unipi.it/retrieve/handle/11568/159584/458549/tasl.pdf>.

# Invertible Constant-Q Transform



<sup>5</sup>Gino Angelo Velasco et al. *Constructing an invertible constant-Q transform with nonstationary Gabor frames*. Sept. 2011. URL:

[https://www.univie.ac.at/nonstatgab/pdf\\_files/dohogrv11\\_amsart.pdf](https://www.univie.ac.at/nonstatgab/pdf_files/dohogrv11_amsart.pdf)

<sup>6</sup>Nicki Holighaus et al. "A Framework for Invertible, Real-Time Constant-Q Transforms". In: *IEEE Transactions on Audio, Speech and Language Processing* 21 (Sept. 2012). DOI: 10.1109/TASL.2012.2234114. URL:

<https://arxiv.org/pdf/1210.0084.pdf>

<sup>7</sup>ltfat/ChangeLog. URL:

<https://github.com/ltfat/ltfat/blob/master/ChangeLog#L291-L301>

<sup>8</sup>Constant-Q nonstationary Gabor transform - MATLAB cqt. 2018. URL:

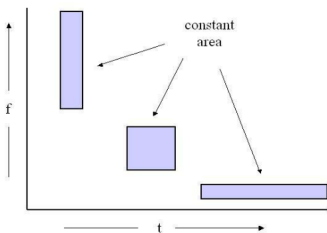
<https://www.mathworks.com/help/wavelet/ref/cqt.html>

# Review: Gabor frames

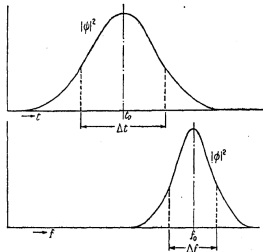
Gabor's 1946 "Theory of Communication"<sup>9</sup>:

- 1 First introduction of the time-frequency uncertainty principle
- 2 Proposed that any signal can be chopped up and windowed with Gaussian functions to minimize TF uncertainty

$$\sigma_t \sigma_f \geq \frac{1}{4\pi}, \quad \Delta t \Delta f \geq 1$$



(a) Max signal localization in TF: rectangles of size  $\Delta t \Delta f = 1$

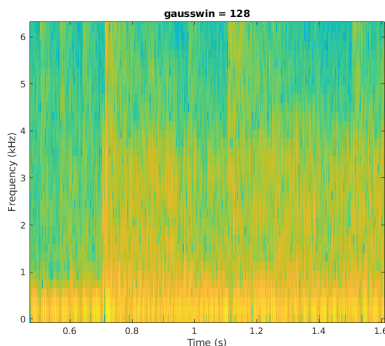


(b) Change TF tiling by modifying Gaussian

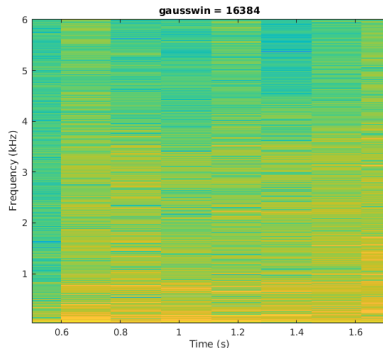
<sup>9</sup>Dennis Gabor. "Theory of Communication". In: *Journal of Institution of Electrical Engineers* 93.3 (1946), pp. 429–457. URL: <http://www.granularsynthesis.com/pdf/gabor.pdf>.

# Review: fixed TF resolution STFT

MATLAB STFT with gausswin (i.e. Gabor transform)



(c) small window (128)



(d) big window (16384)

Figure: Good time resolution versus good frequency resolution

# Fixed TF resolution for music

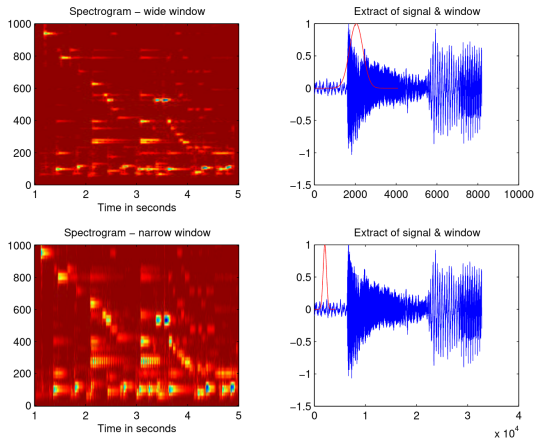


Figure: Two windows for music signal analysis<sup>10</sup>

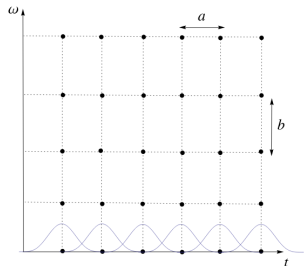
<sup>10</sup>Doerfler, 2002.

# Stationary Gabor frames

In the standard Gabor analysis, same window function (aka Gabor atom, Gabor function) is shifted in time to cover entire signal<sup>11</sup> :

$$g_{\tau,\omega}(t) = g(t - \tau)e^{2\pi i t \omega}$$

*We will indicate such a frame as stationary, since the window used for time-frequency shifts does not change and the time-frequency shifts form a lattice of  $a \times b$*



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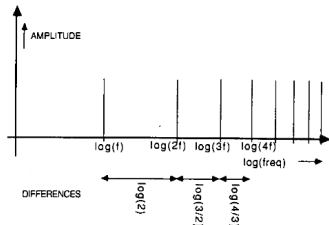
<sup>11</sup>Liuni et al., 2013.



# Early CQT: musical motivation

Constant-Q transform for music analysis<sup>12,13</sup>:

- 1 Harmonics of the fundamental have consistent spacing in the log scale – the constant pattern



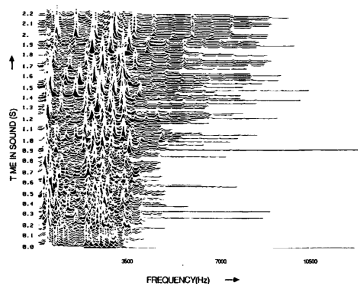
- 2 Log-frequency spectra, demonstrating the constant pattern for harmonics, would be more useful in musical tasks

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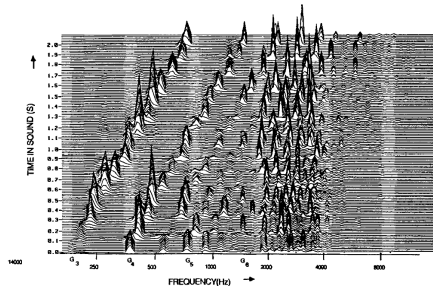
<sup>12</sup>J. Brown. "Calculation of a constant Q spectral transform". In: *Journal of the Acoustical Society of America* 89 (1991), pp. 425–434. URL: <https://www.ee.columbia.edu/~dpwe/papers/Brown91-cqt.pdf>.

<sup>13</sup>Judith Brown and Miller Puckette. "An efficient algorithm for the calculation of a constant Q transform". In: *Journal of the Acoustical Society of America* 92 (Nov. 1992), p. 2698. DOI: 10.1121/1.404385.

# Violin: DFT vs. CQT



(a) Discrete Fourier Transform



(b) Constant Q transform

Figure: Violin playing diatonic scale,  $G_3(196\text{Hz}) - G_5(784\text{Hz})$ <sup>14</sup>

<sup>14</sup>Brown, 1991.

## Constant-Q Transform

“Constant ratio of frequency to frequency resolution”:  $\frac{f}{\delta f} = Q$

	Constant $Q$	DFT	Frequency (Hz)	Window (Samples)	(ms)
Frequency	$(2^{1/24})^k \cdot f_{\min}$ exponential in $k$	$k \Delta f$ linear in $k$	175 208 247	6231 5239 4406	195 164 138
Window	variable = $N[k] = \frac{SR \cdot Q}{f_k}$	constant = $N$	294 349	3705 3115	116 97
Resolution			415	2619	82
$\Delta f$	variable = $f_k / Q$	constant = $SR/N$	494	2203	69
$\frac{f_k}{\Delta f_k}$	constant = $Q$	variable = $k$	587	1852	58
$\Delta f_k$			699	1557	49
Cycles in Window	constant = $Q$	variable = $k$	831	1309	41
			988	1101	34
			1175	926	29

(a) Properties of DFT, CQT

(b) Window sizes for CQT

Computed with windowed DFT where window changes with frequency to maintain Constant- $Q$ <sup>15</sup> – **non-invertible!**:

$$\text{window len } N[k] = \frac{f_s}{f_k} Q, W[k, n] = \alpha + (1 - \alpha) \cos\left(\frac{2\pi n}{N[k]}\right)$$

<sup>15</sup>Brown, 1991.

## Multiple Gabor systems: musical motivation

*In the case of music signals, for example, transients are important for several reasons. They give important cues for onset timing, and they carry information about instrument timbre. As another example, in low-frequency regions, very fine frequency resolution is required, because notes in this region lay the harmonic basis, musically speaking.*

*In order to achieve a setting adapted to music as discussed above, it will be necessary to use wider windows with good frequency concentration in low-frequency regions, whereas in the high-pass regions, where mainly transients and broadband signals components occur, rather short windows, which don't have to be very localised in frequency, will be of use*<sup>16</sup>

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<sup>16</sup>Doerfler, 2002.

# Multi-window Gabor dictionary

Stationary Gabor atom, where  $a$  and  $b$  are TF shift parameters:

$$g_{m,n}(t) = g(t - na)e^{j2\pi mbt}, \quad m, n \in \mathbb{Z}$$

$$f(t) = \sum_{m,n \in \mathbb{Z}} c_{m,n} g_{m,n}(t)$$

Use  $R$  different windows, where  $a_r$  and  $b_r$  are TF shift parameters for each distinct window:

$$g_{m,n}^r(t) = g(t - na_r)e^{j2\pi mb_r t}, \quad m, n \in \mathbb{Z}$$

$$f(t) = \sum_{r=0}^{R-1} \sum_{m,n \in \mathbb{Z}} c_{m,n}^r g_{m,n}^r(t)$$

## Example: multiple STFTs

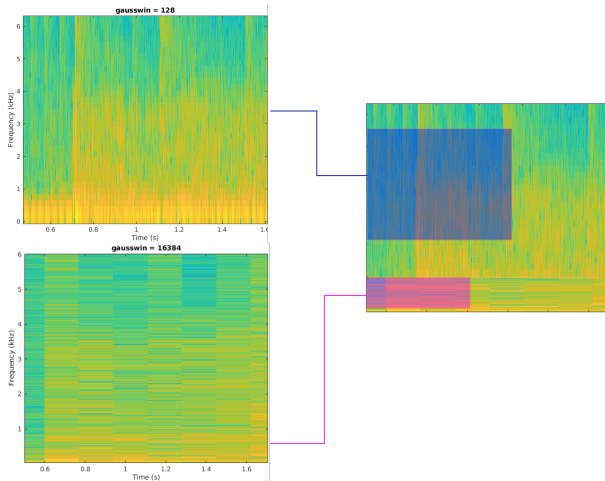


Figure: Multiple ( $R = 2$ ) Gabor dictionaries with stationary frames

# Nonstationary Gabor frame – resolution changing over time

Stationary Gabor atom, where  $a$  and  $b$  are TF shift parameters:

$$g_{m,n}(t) = g(t - na)e^{j2\pi mbt}, \quad m, n \in \mathbb{Z}$$

Nonstationary Gabor atom from a set of functions  $\{g_n\}$  and a fixed frequency sampling step  $b_n$ <sup>17</sup> :

$$g_{m,n}(t) = g_n(t)e^{j2\pi mb_n t}, \quad m, n \in \mathbb{Z}$$

We get back classic nonstationary Gabor frame by setting:

$$g_n(t) = g(t - na) \text{ for a fixed time constant } a, b_n = b \forall n$$

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<sup>17</sup>Balazs et al., 2011.

## Nonstationary Gabor frame – resolution changing over time

*[...] the functions  $\{g_n\}$  are well-localized and centered around time-points  $a_n$ . This is similar to the standard Gabor scheme [...] with the possibility to vary the window  $g_n$  for each position  $a_n$ . Thus, sampling of the time-frequency plane is done on a grid which is irregular over time, but regular over frequency at each temporal position.<sup>18</sup>*

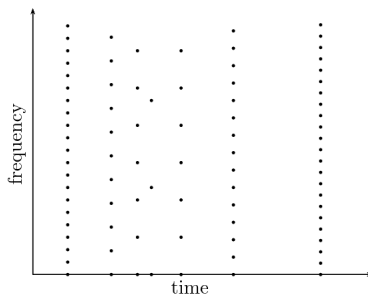


Figure: Irregular TF sampling grid

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<sup>18</sup>Balazs et al., 2011.



# Nonstationary Gabor frame – resolution changing over frequency

Stationary Gabor atom, where  $a$  and  $b$  are TF shift parameters:

$$g_{m,n}(t) = g(t - na)e^{j2\pi mbt}, \quad m, n \in \mathbb{Z}$$

Nonstationary Gabor atom from a family of functions  $\{h_m\}$  and a fixed time sampling step  $a_m$ <sup>19</sup> :

$$h_{m,n}(t) = h_m(t - na_m), \quad m, n \in \mathbb{Z}$$

We get back classic nonstationary Gabor frame by setting:

$$h_m(t - na_m) = g(t - na)e^{j2\pi mbt} \text{ for a fixed frequency constant } b, a_m = a \forall m$$

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<sup>19</sup>Balazs et al., 2011.

# Nonstationary Gabor frame – resolution changing over frequency

*In practice we will choose each function  $h_m$  as a well-localized band-pass function with center frequency  $b_n$* <sup>20</sup>

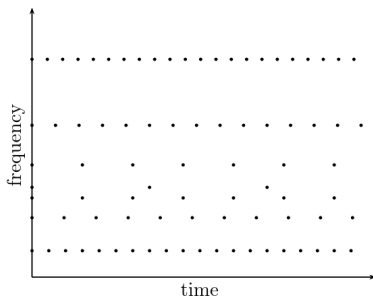


Figure: Irregular TF sampling grid

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<sup>20</sup>Balazs et al., 2011.

# Nonstationary Gabor frame construction

Construction of painless nonstationary Gabor frames relies on three properties of the windows and time-frequency shift parameters used<sup>21</sup> :

- The signal  $f$  of interest is localized at time- (or frequency-) positions  $n$  by means of multiplication with a compactly supported (or limited bandwidth, respectively) window function  $g_n$
- The Fourier transform is applied on the localized pieces  $f \cdot g_n$ . The resulting spectra are sampled densely enough in order to perfectly re-construct  $f \cdot g_n$  from these samples
- Adjacent windows overlap to avoid loss of information. At the same time, unnecessary overlap is undesirable. We assume that  $0 < A \leq \sum_{n \in \mathbb{Z}} |g_n(t)|^2 \leq B < \infty$ , a.e., for some positive  $A$  and  $B$

These requirements lead to invertibility of the frame operator and therefore to perfect reconstruction.

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<sup>21</sup>Balazs et al., 2011.

# Discrete nonstationary Gabor frame numerical complexity

Discrete nonstationary Gabor frame in discrete time (analogous to continuous time)<sup>22</sup> :

$$g_{m,n}[k] = g_n[k] \cdot e^{\frac{j2\pi mb_n k}{L}} = g_n[k] \cdot W_L^{mb_n k}$$

$$n = 0, \dots, N - 1, m = 0, \dots, M_n - 1, k = 0, \dots, L - 1$$

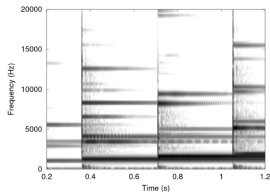
Nonstationary Gabor coefficients are given by an FFT of length  $M_n$  for each window  $g_n$  with a signal of length  $L_n$

- ① Windowing:  $L_n$  operations for the  $n$ th window
- ② FFT:  $O(M_n \cdot \log(M_n))$  for the  $n$ th window
- ③ Total:  $O(N \cdot (M \log(M)))$  for  $N$  windows

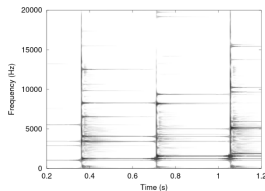
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<sup>22</sup>Balazs et al., 2011.

# Result of nonstationary Gabor decomposition



(a) Stationary Gabor decomposition for 2 different window sizes



(b) Nonstationary Gabor decomposition

Figure: Best of both worlds in a single representation<sup>23</sup> (glockenspiel signal)

<sup>23</sup>Jaillet, Balázs, and Dörfler, 2009.

# Applications of NSGT: invertible CQT

- Original CQT<sup>24</sup> is non-invertible and computationally intensive
- Modification<sup>25</sup> improves computational efficiency
- Previous approach by Schörkhuber and Klapuri<sup>26</sup> has an RMS error of  $10^{-3}$  from approximate reconstruction (used in librosa)  
*The lack of perfect invertibility prevents the convenient modification of CQT coefficients with subsequent resynthesis required in complex music processing tasks such as masking or transposition.*
- NSGT CQT is faster and perfectly invertible; adaptive resolution in frequency results in desired constant Q-factor
- Drop-in replacement for STFT in music algorithms

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<sup>24</sup>Brown, 1991.

<sup>25</sup>Brown and Puckette, 1992.

<sup>26</sup>C. Schörkhuber and A. Klapuri. *Constant-Q Transform Toolbox for Music Processing*. July 2010. DOI: [10.5281/zenodo.849741](https://doi.org/10.5281/zenodo.849741). URL: <https://doi.org/10.5281/zenodo.849741>.