

BLG 336E

Analysis of Algorithms II

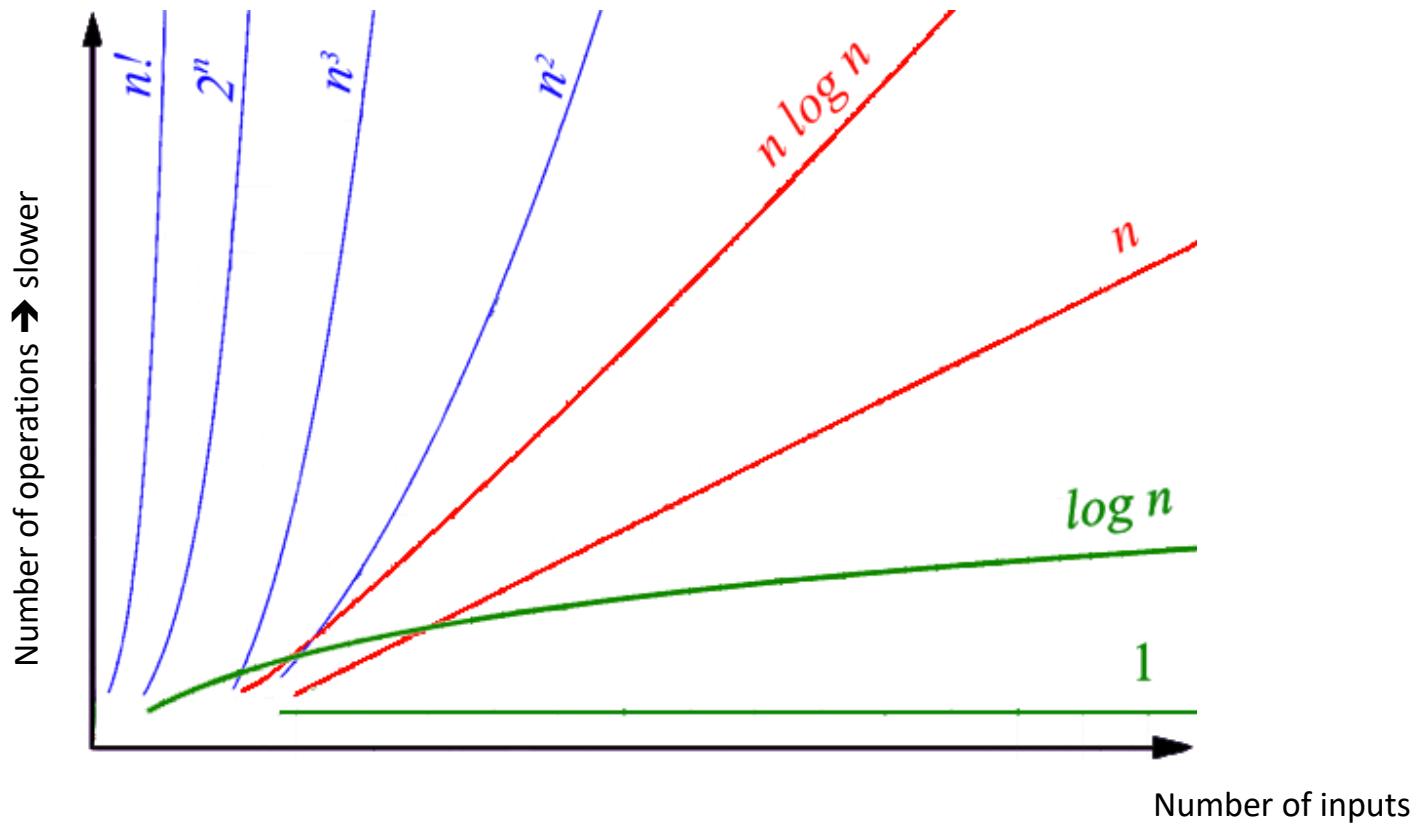
Lecture 4:
Graph Basics, Breadth First Search, Depth First Search

Time Versus Space Complexities

Two main characteristics for programs

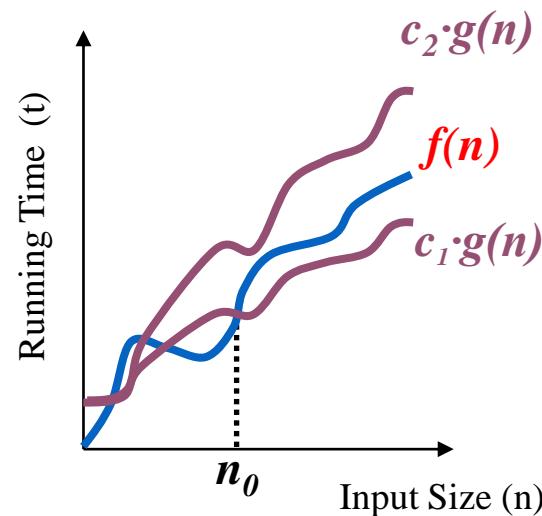
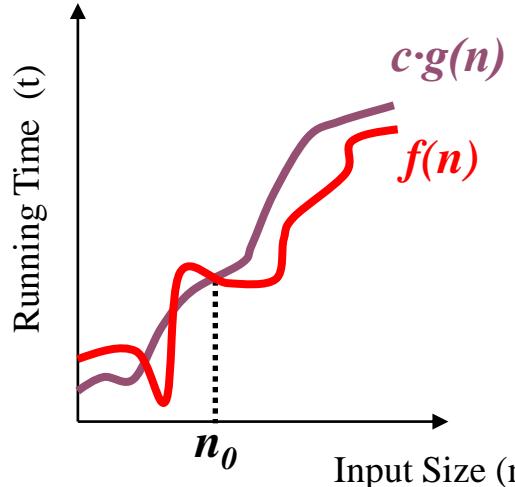
- **Time** complexity: \approx **CPU** usage
- **Space** complexity: \approx **RAM** usage

NB: if **time** complexity is “**high**” your algorithm may run for too long; if **space** complexity is **high**, your stack may be over flown, and you may not be able to run the algorithm at all!

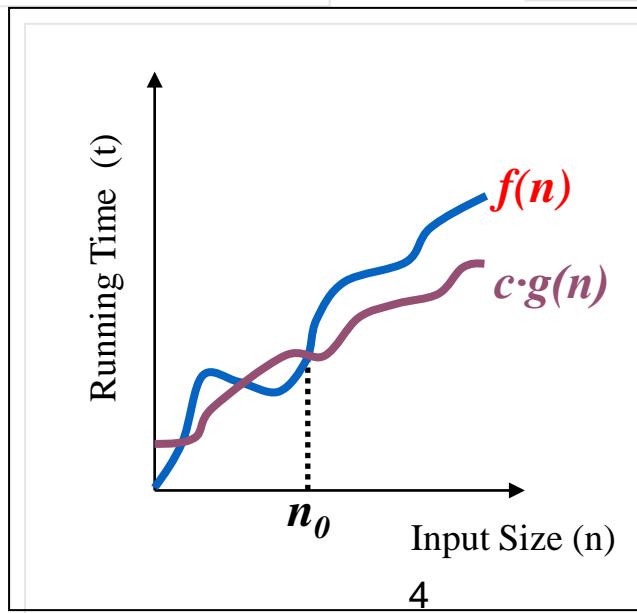


$$n! \gg 2^n \gg n^3 \gg n^2$$

Asymptotic Notation



Big O



Big Theta

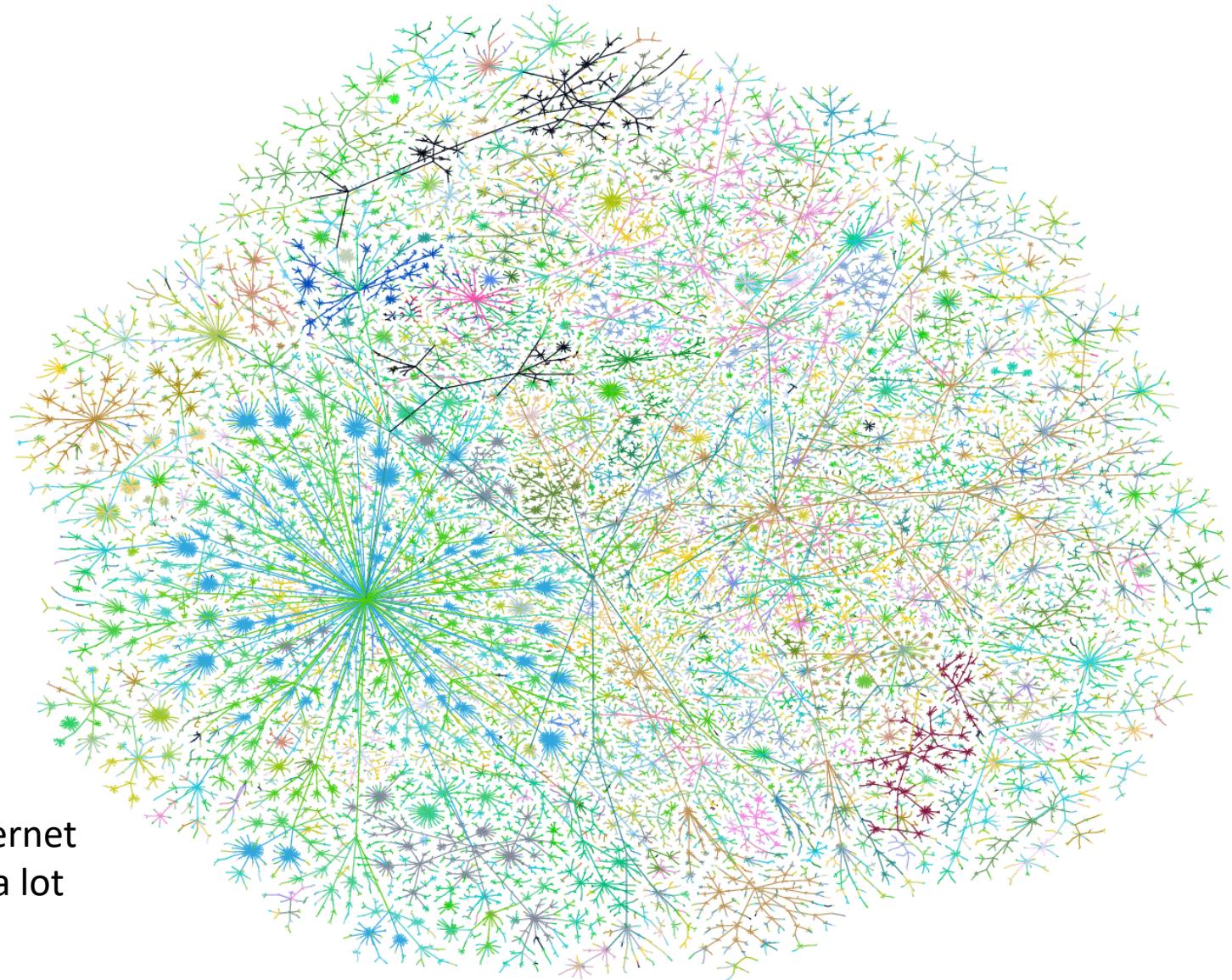
Big Omega

Outline

- Part 0: Graphs and terminology
- Part 1: Depth-first search
 - Application: topological sorting
 - Application: in-order traversal of BSTs
- Part 2: Breadth-first search
 - Application: shortest paths
 - Application (if time): is a graph bipartite?

Part 0: Graphs

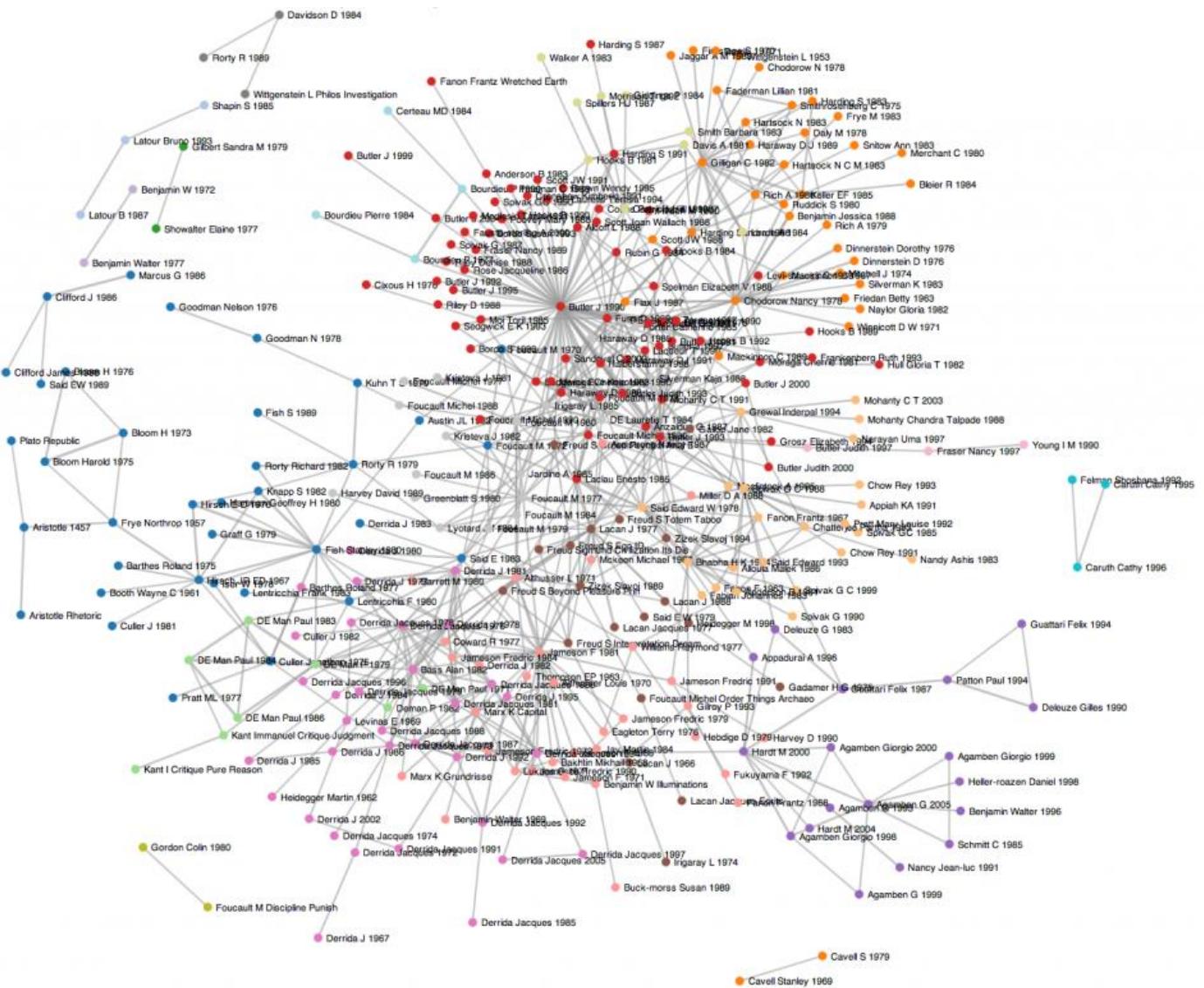
Graphs



Graph of the internet
(circa 1999...it's a lot
bigger now...)

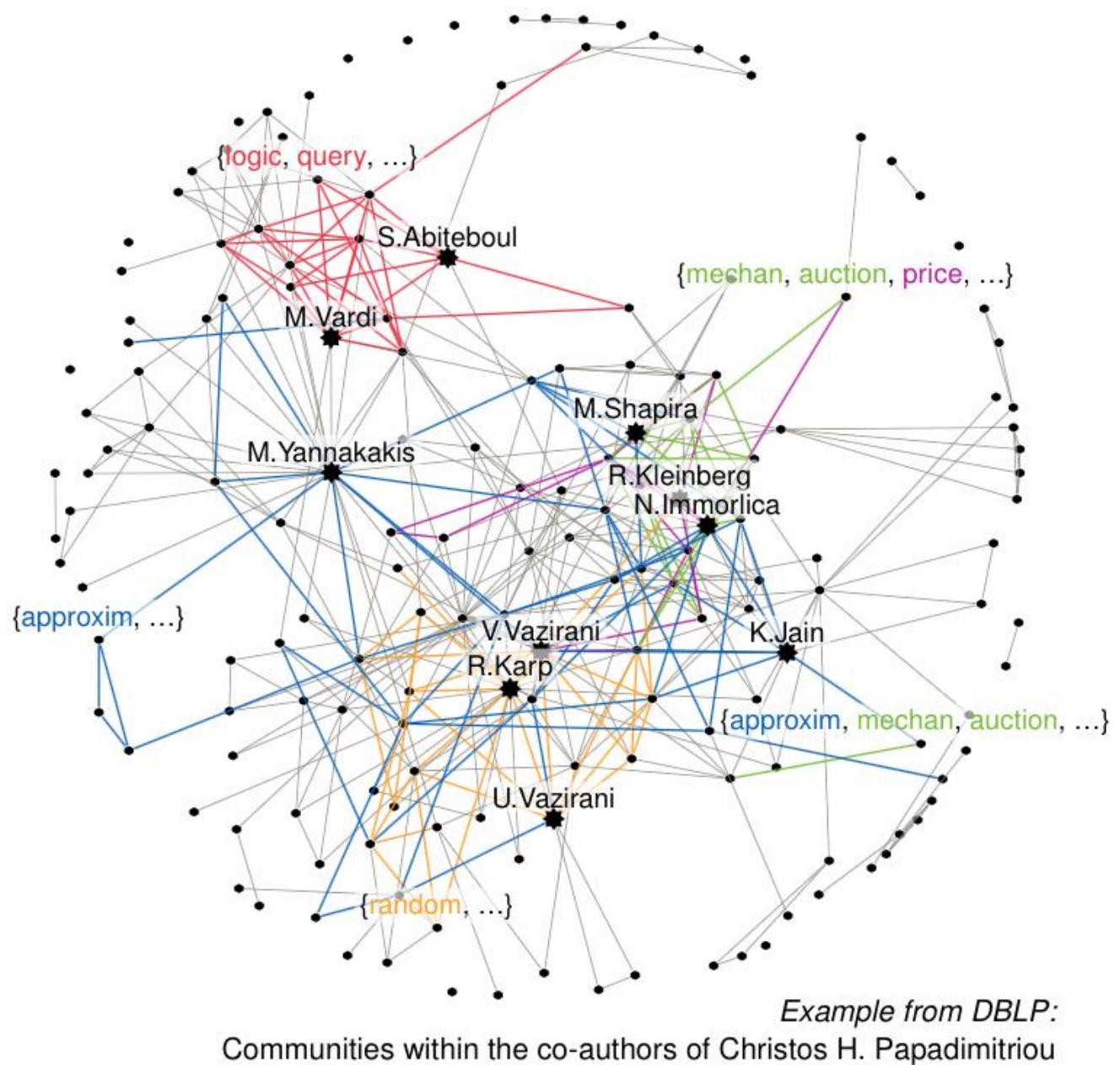
Graphs

Citation graph of literary theory academic papers



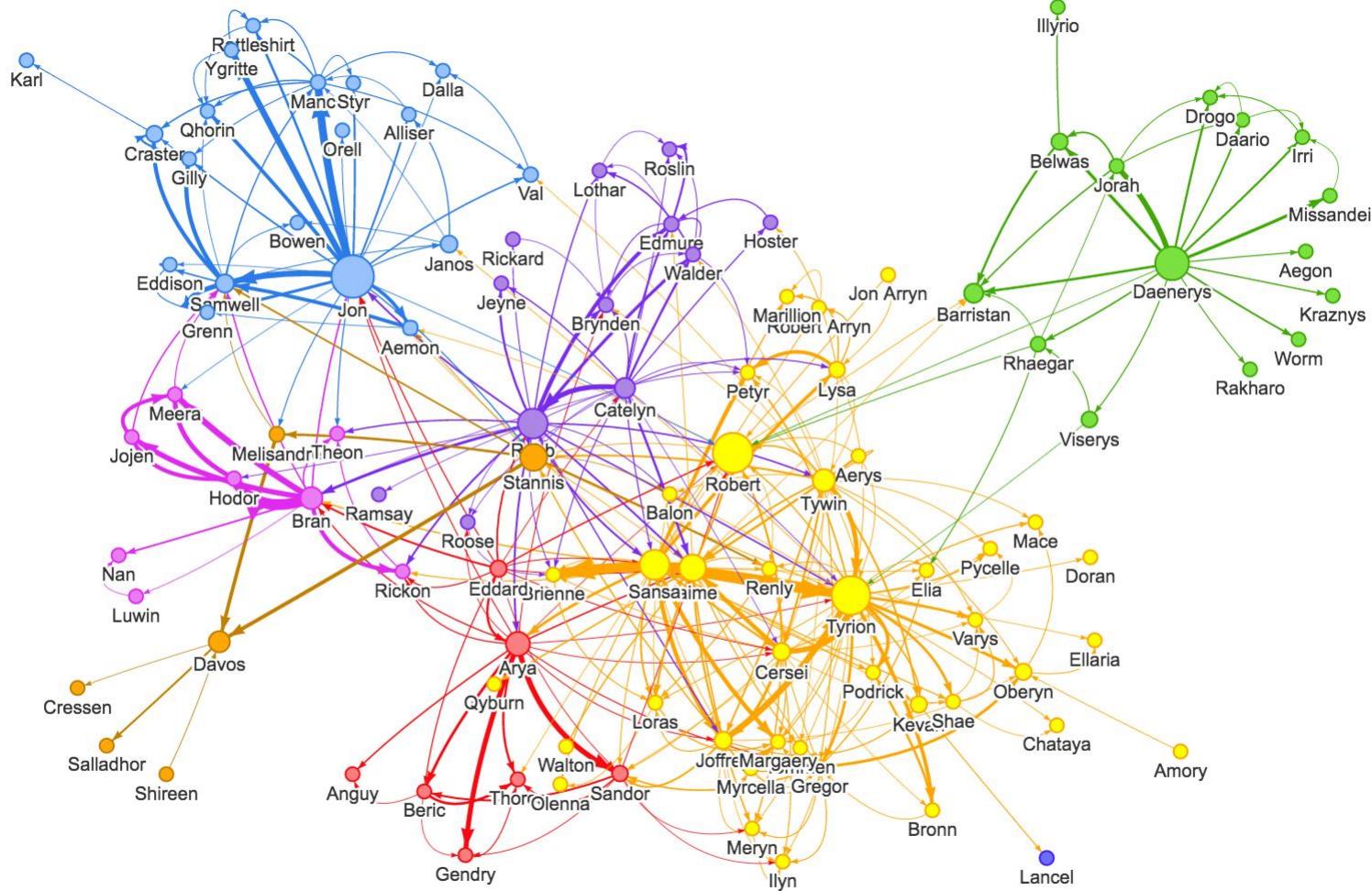
Graphs

Theoretical Computer
Science academic
communities



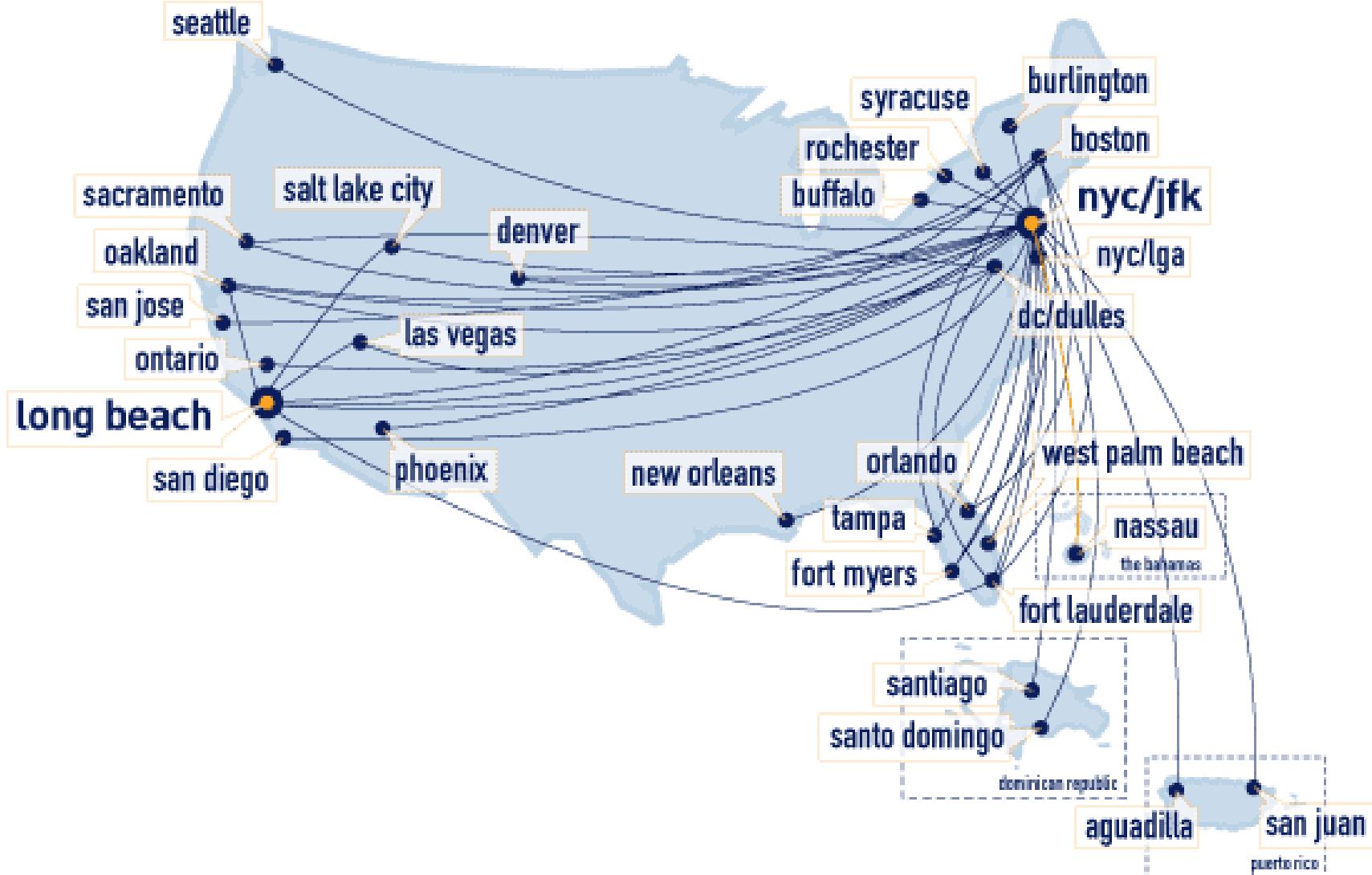
Graphs

Game of Thrones Character Interaction Network



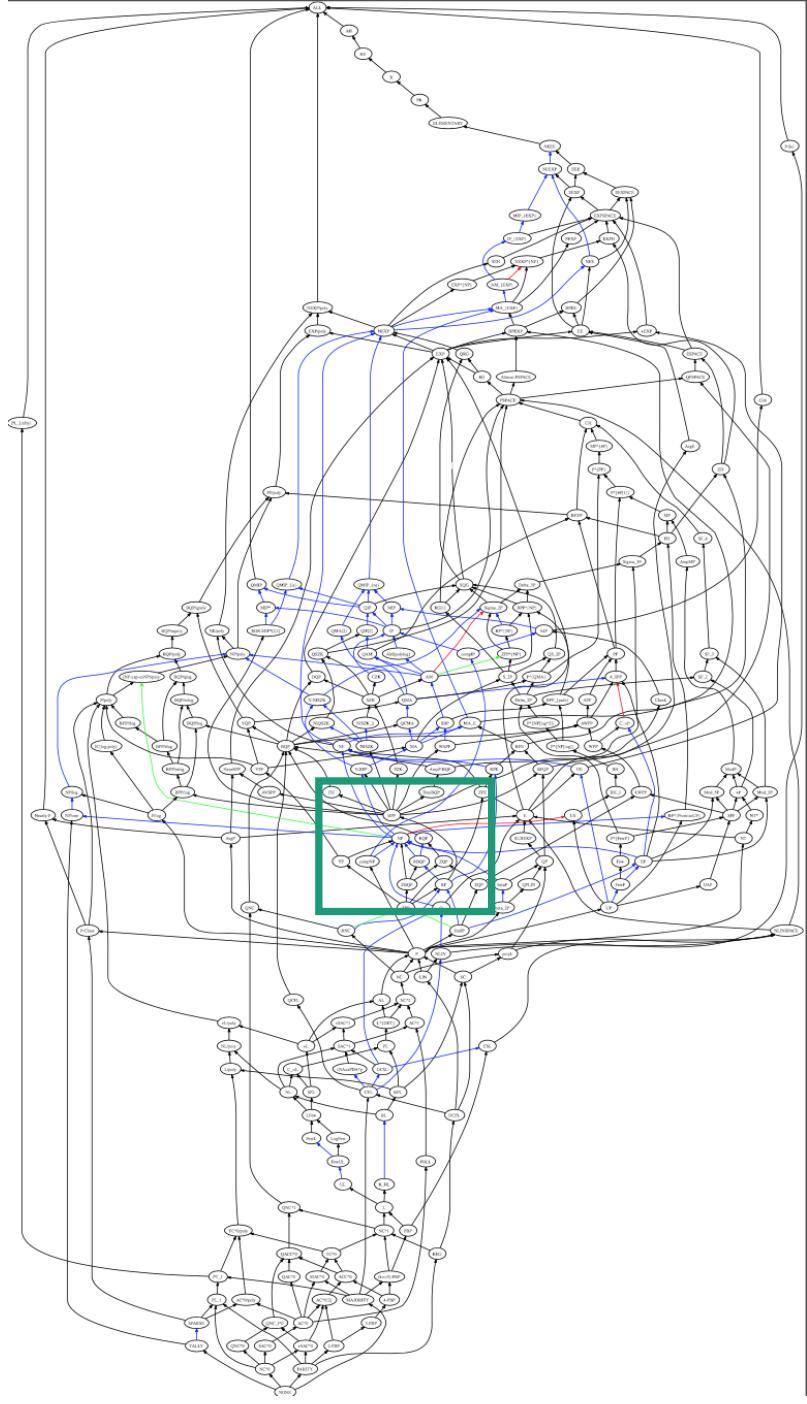
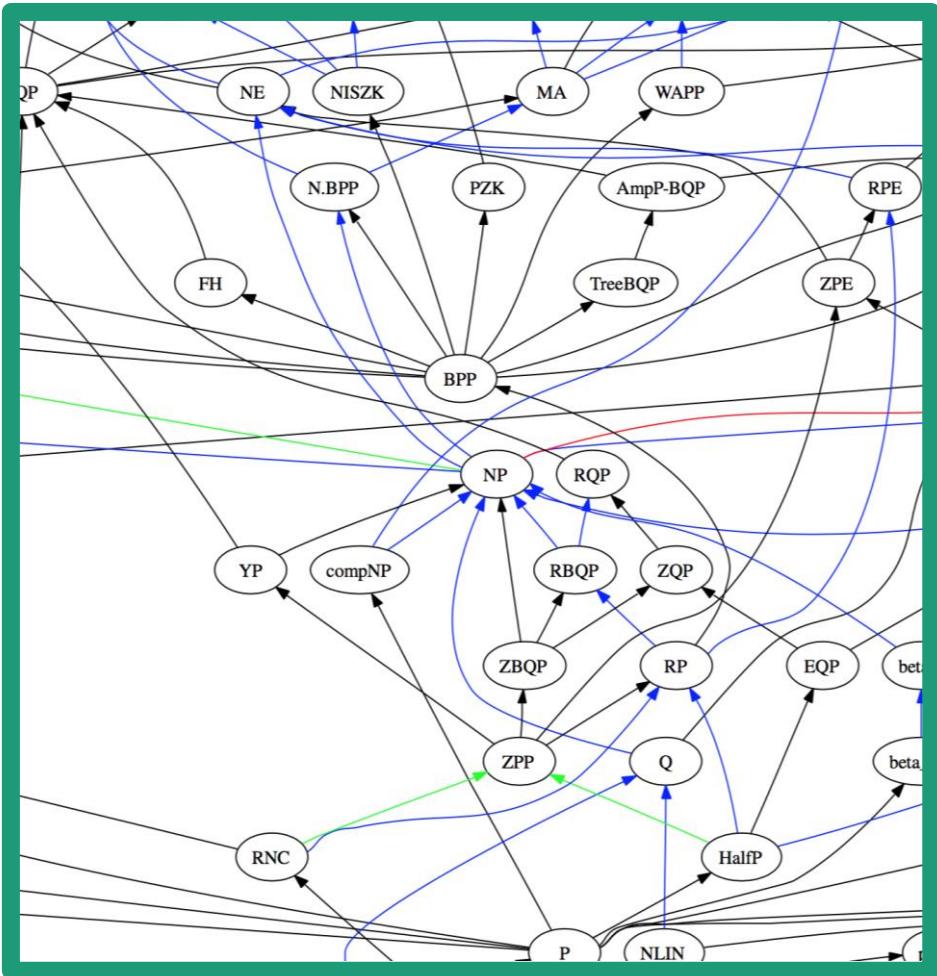
Graphs

jetblue flights



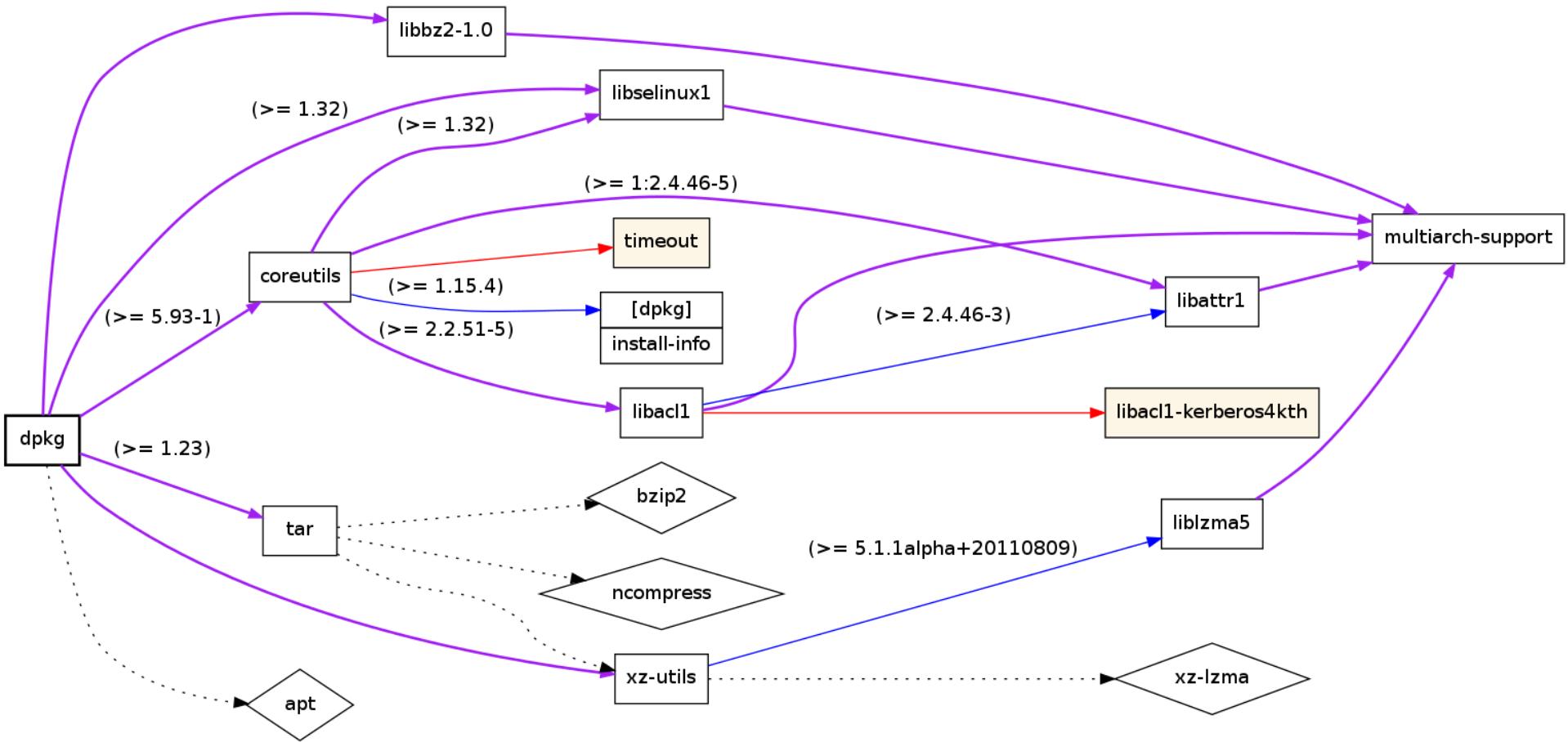
Graphs

Complexity Zoo
containment graph



Graphs

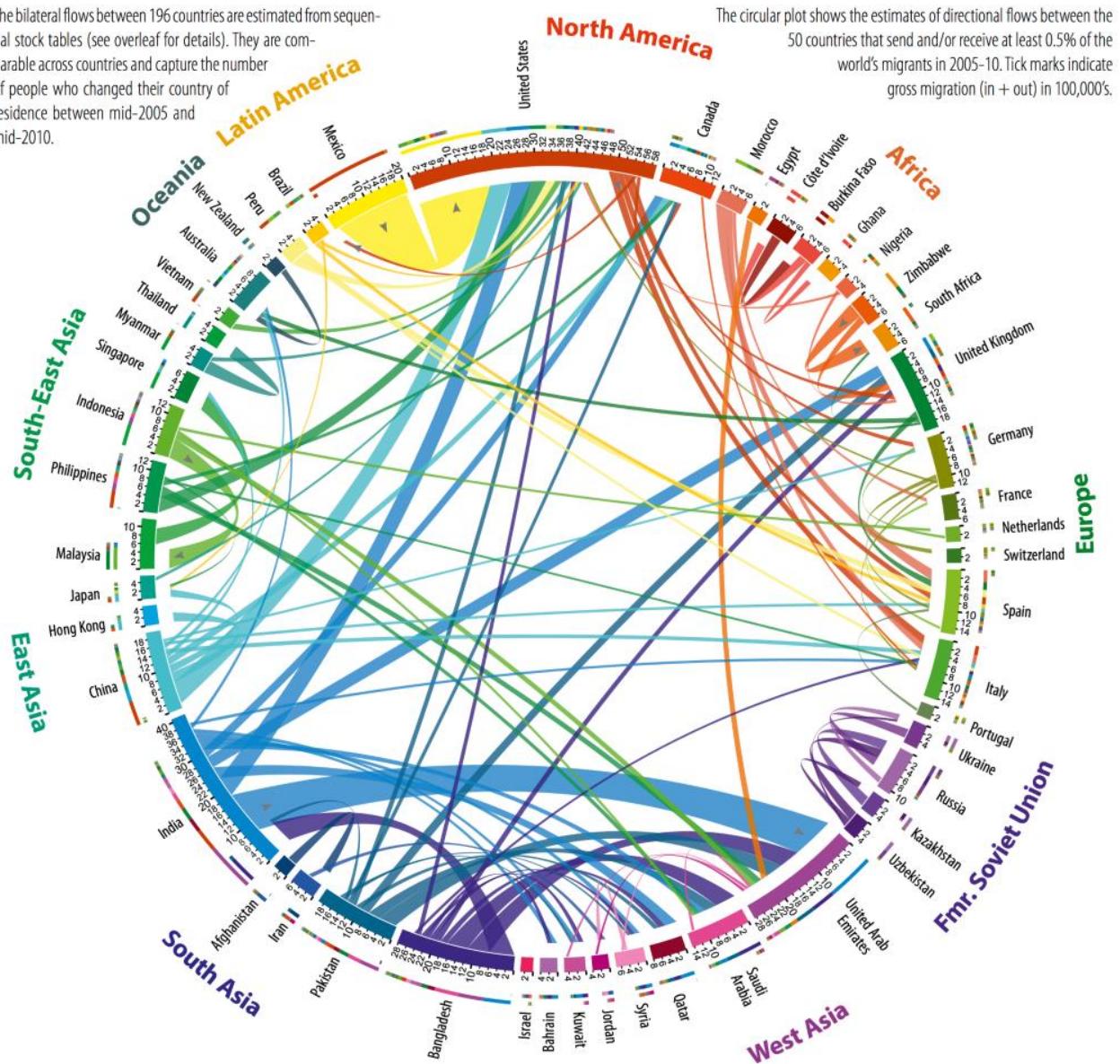
debian dependency (sub)graph



Graphs

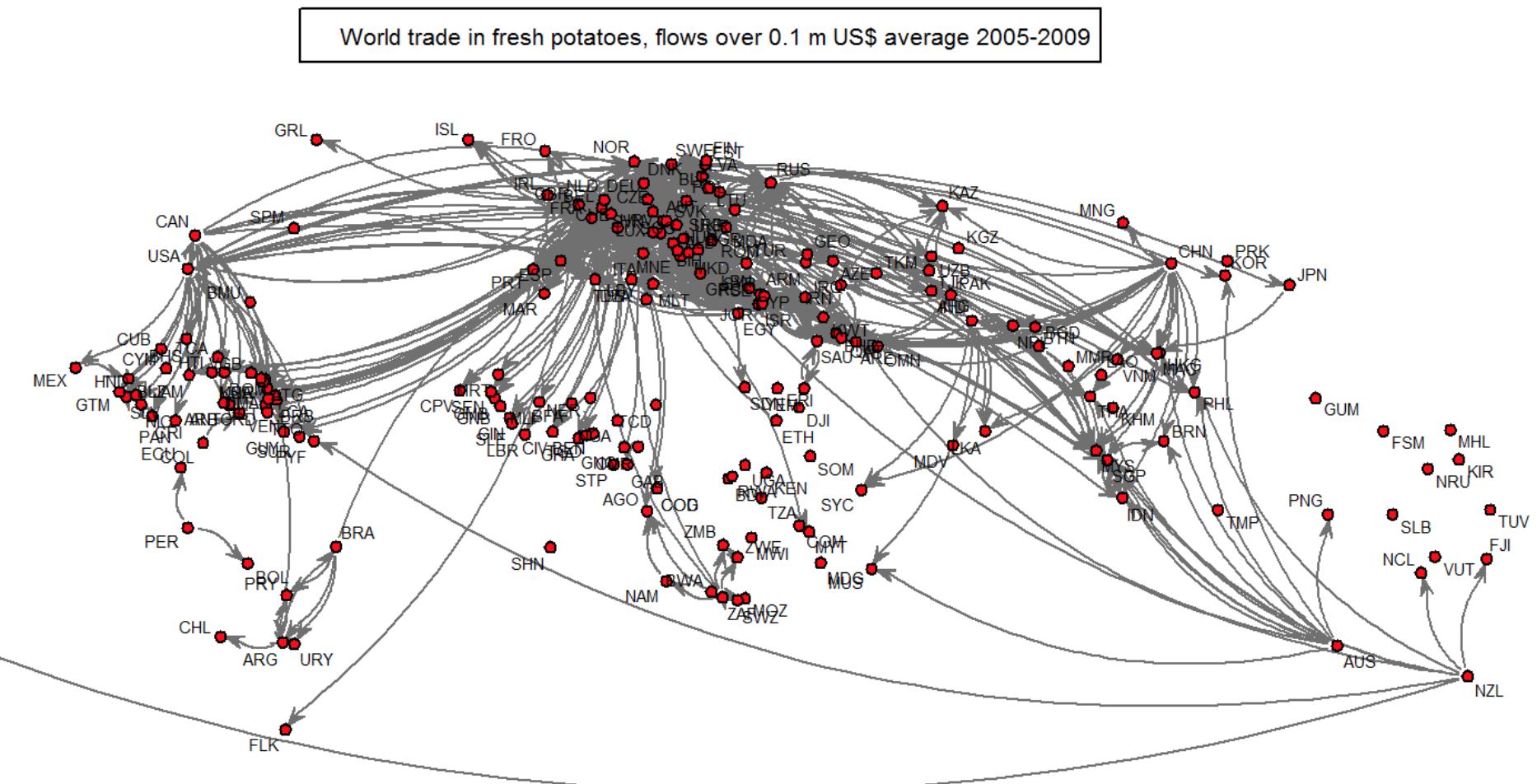
Immigration flows

The bilateral flows between 196 countries are estimated from sequential stock tables (see overleaf for details). They are comparable across countries and capture the number of people who changed their country of residence between mid-2005 and mid-2010.



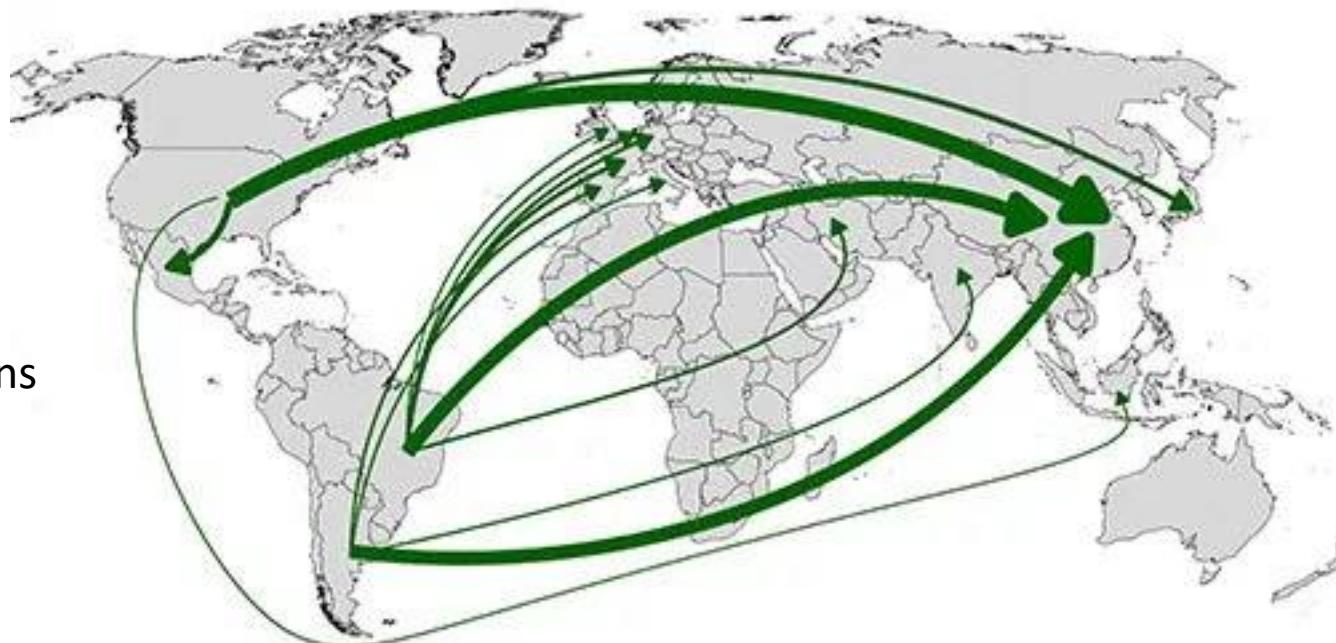
Graphs

Potato trade

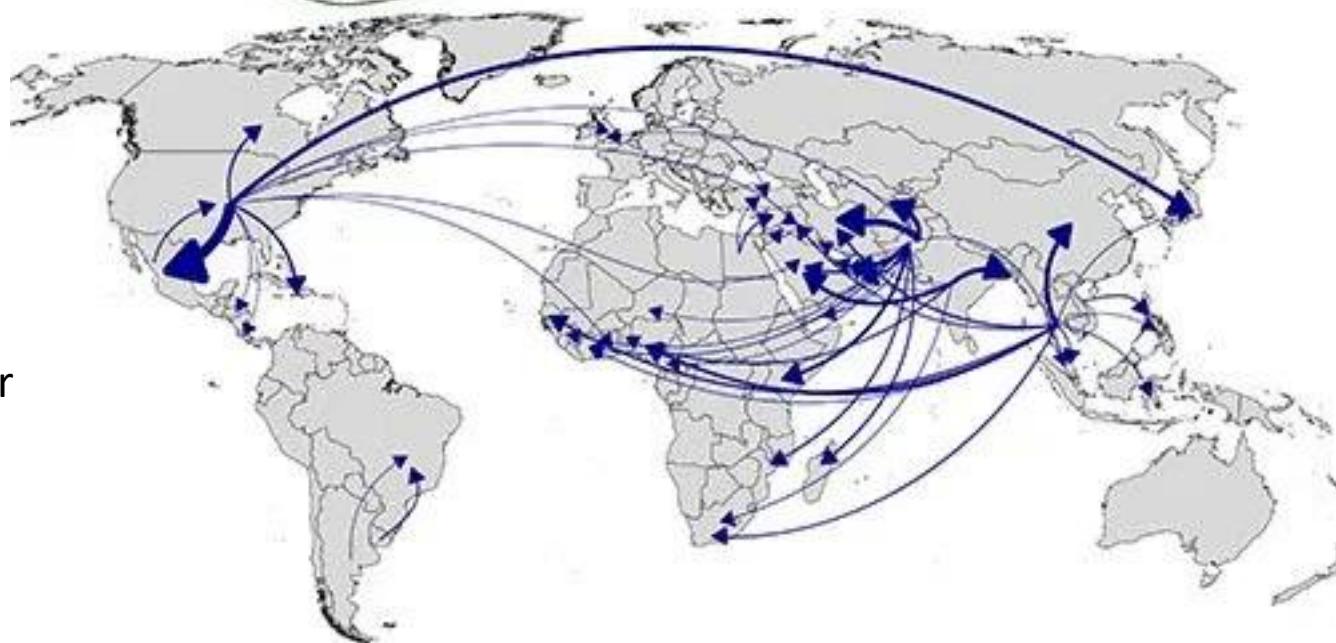


Graphs

Soybeans

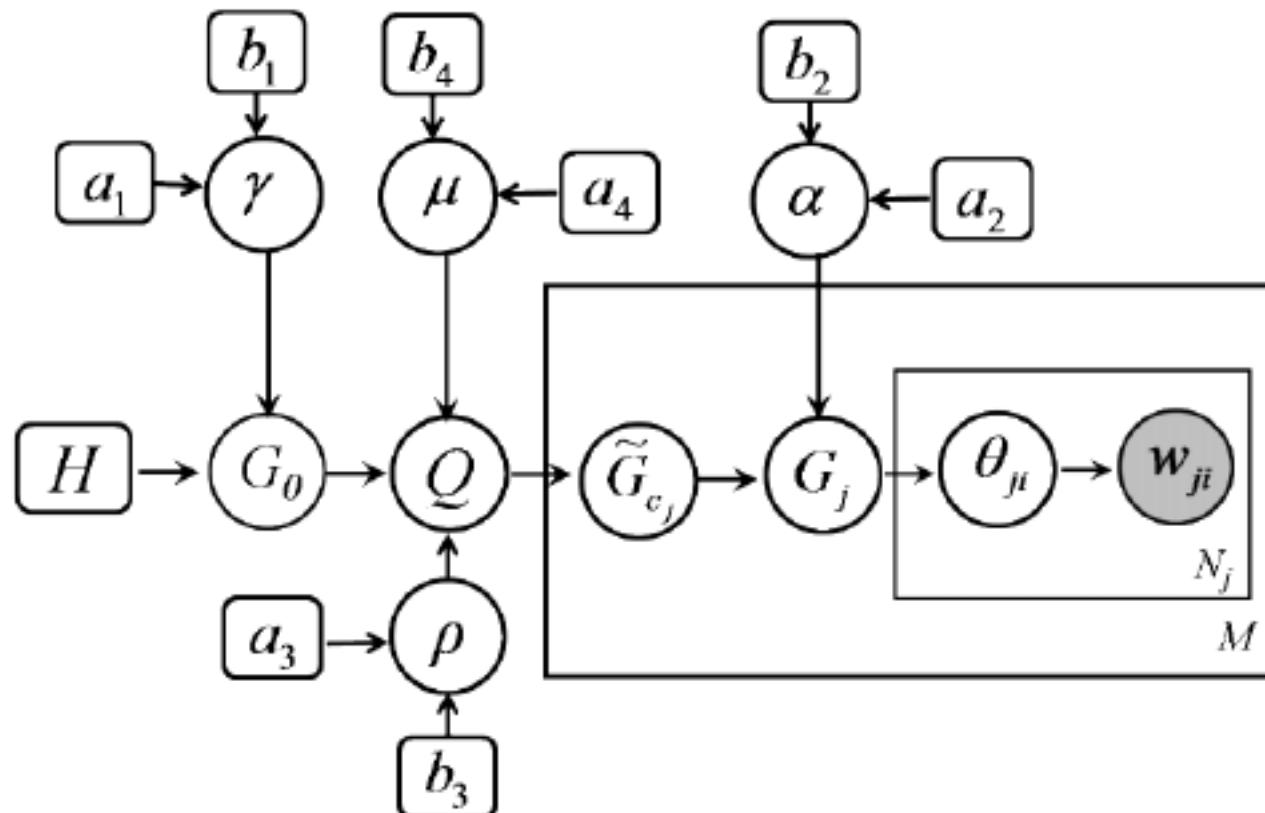


Water



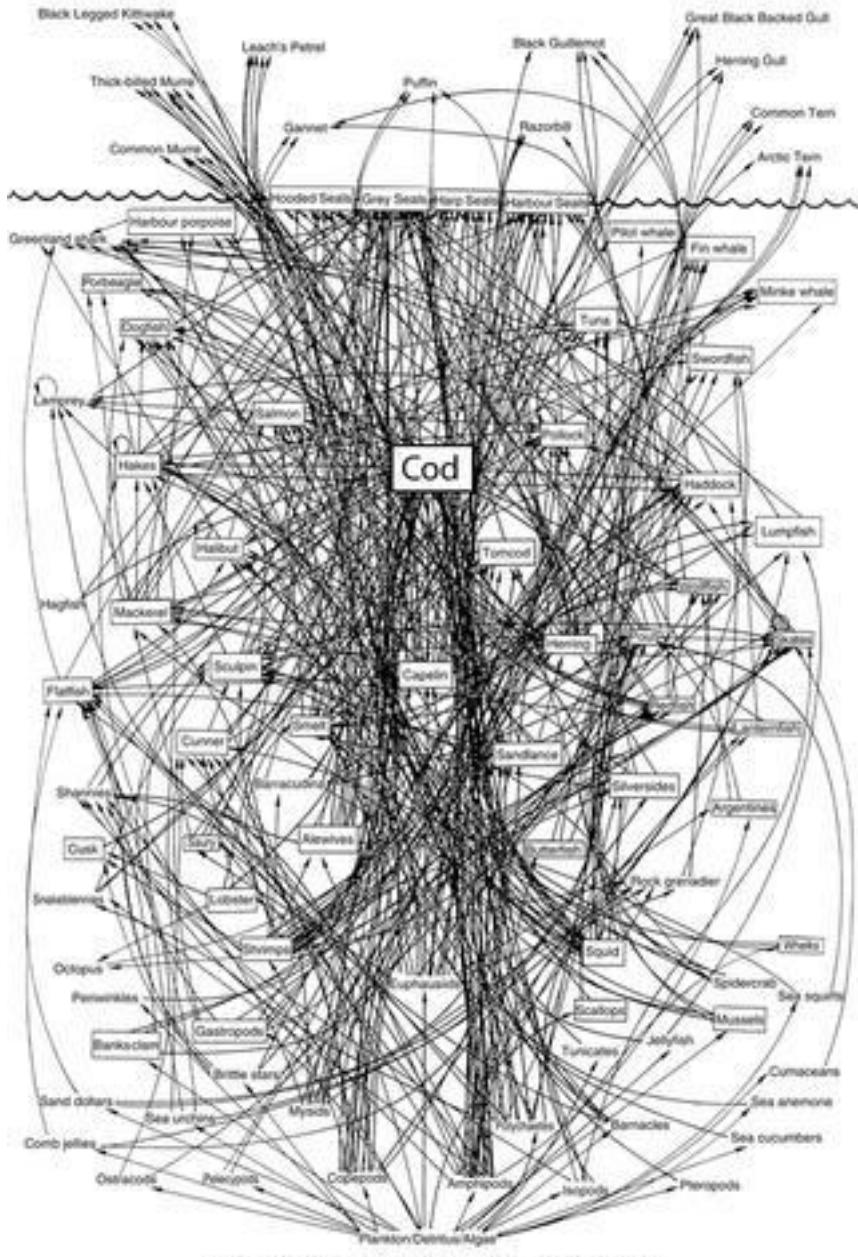
Graphs

Graphical models



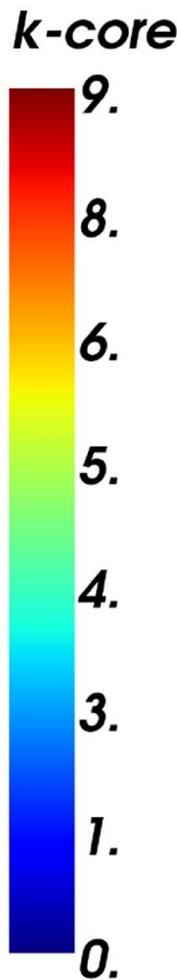
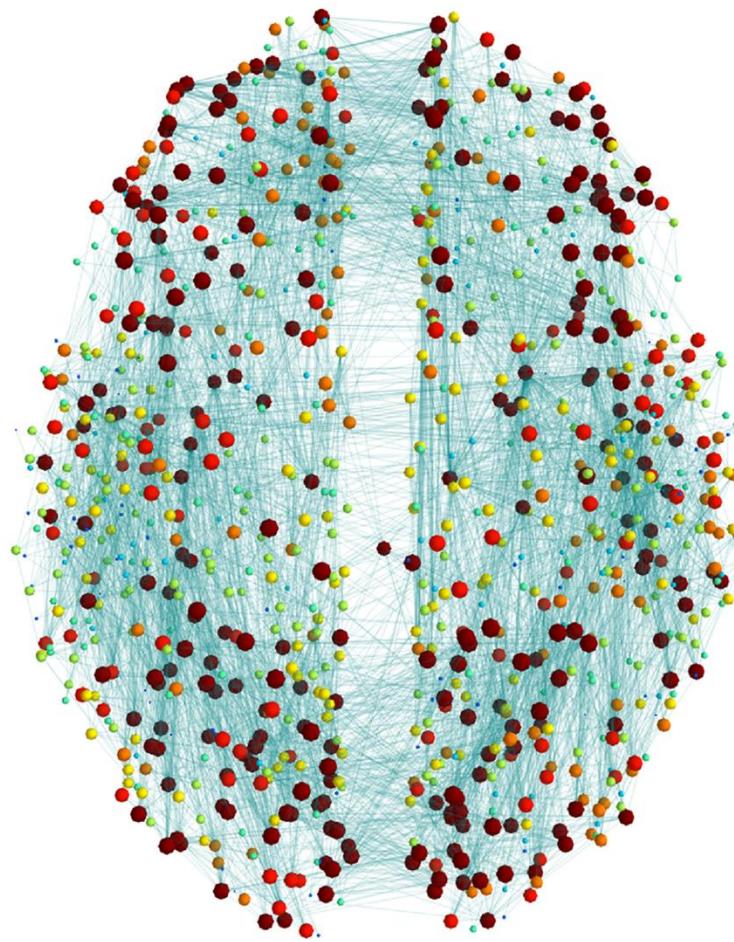
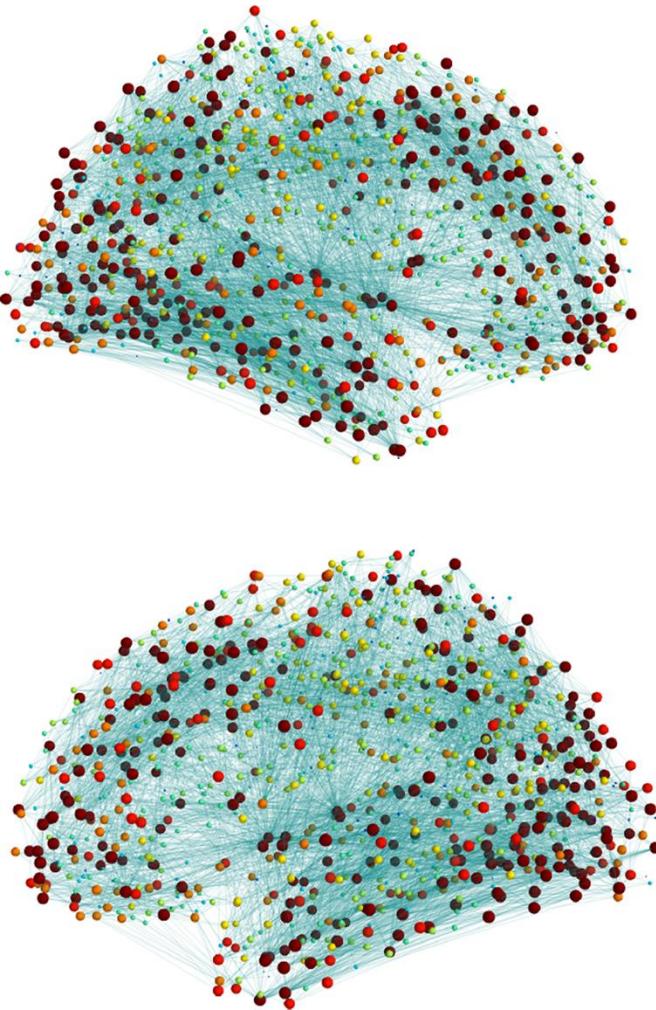
Graphs

What eats what in
the Atlantic ocean?



Graphs

Neural connections
in the brain



Graphs

- There are a lot of graphs.
- We want to answer questions about them.
 - Efficient routing?
 - Community detection/clustering?
 - Computing Bacon numbers
 - Signing up for classes without violating pre-req constraints
 - How to distribute fish in tanks so that none of them will fight.
- This is what we'll do for the next several lectures.

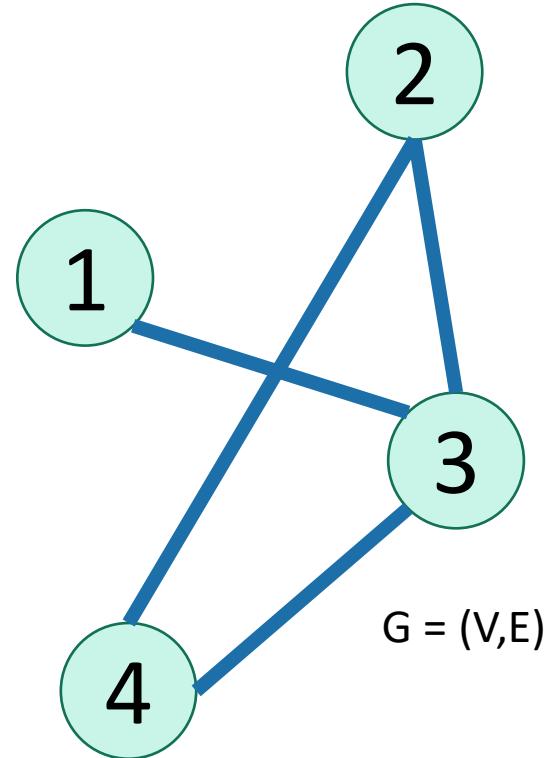
Undirected Graphs

- Has **vertices** and **edges**

- V is the set of vertices
- E is the set of edges
- Formally, a graph is $G = (V, E)$

- Example

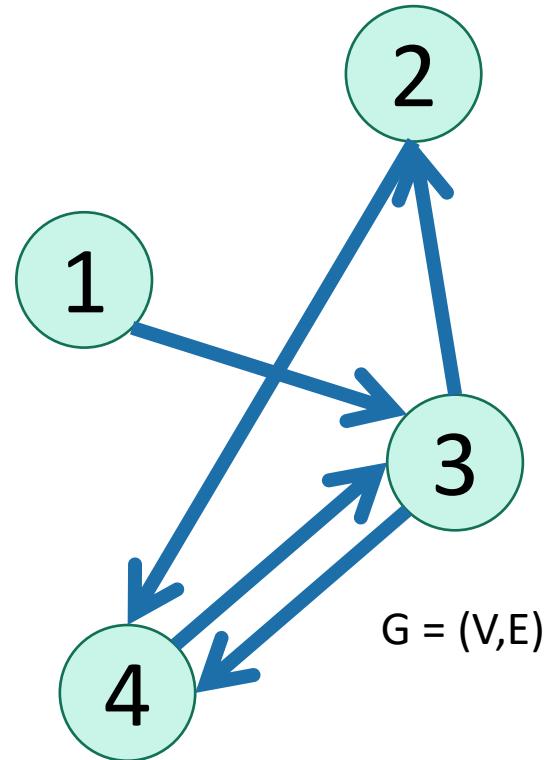
- $V = \{1, 2, 3, 4\}$
- $E = \{ \{1, 3\}, \{2, 4\}, \{3, 4\}, \{2, 3\} \}$



- The **degree** of vertex 4 is 2.
 - There are 2 edges coming out
- Vertex 4's **neighbors** are 2 and 3

Directed Graphs

- Has **vertices** and **edges**
 - V is the set of vertices
 - E is the set of **DIRECTED** edges
 - Formally, a graph is $G = (V, E)$
- Example
 - $V = \{1, 2, 3, 4\}$
 - $E = \{ (1, 3), (2, 4), (3, 4), (4, 3), (3, 2) \}$

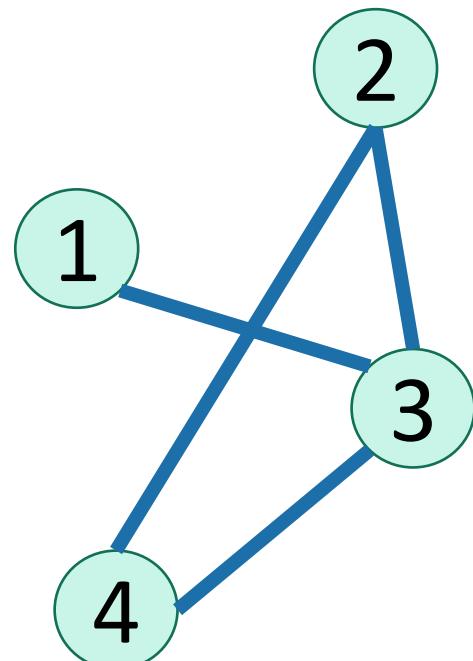


- The **in-degree** of vertex 4 is 2.
- The **out-degree** of vertex 4 is 1.
- Vertex 4's **incoming neighbors** are 2, 3
- Vertex 4's **outgoing neighbor** is 3.

How do we represent graphs?

- Option 1: adjacency matrix

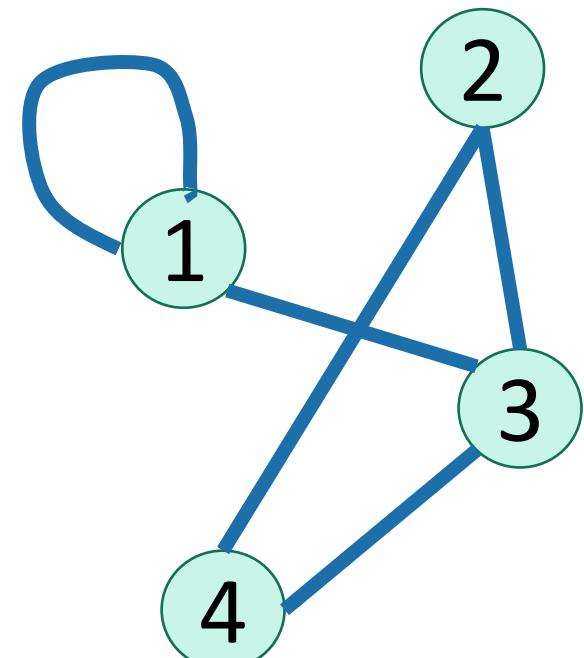
$$\begin{bmatrix} & \begin{matrix} 1 & 2 & 3 & 4 \end{matrix} \\ \begin{matrix} 1 \\ 2 \\ 3 \\ 4 \end{matrix} & \begin{bmatrix} 0 & 0 & 1 & 0 \\ 0 & 0 & 1 & 1 \\ 1 & 1 & 0 & 1 \\ 0 & 1 & 1 & 0 \end{bmatrix} \end{bmatrix}$$



How do we represent graphs?

- Option 1: adjacency matrix

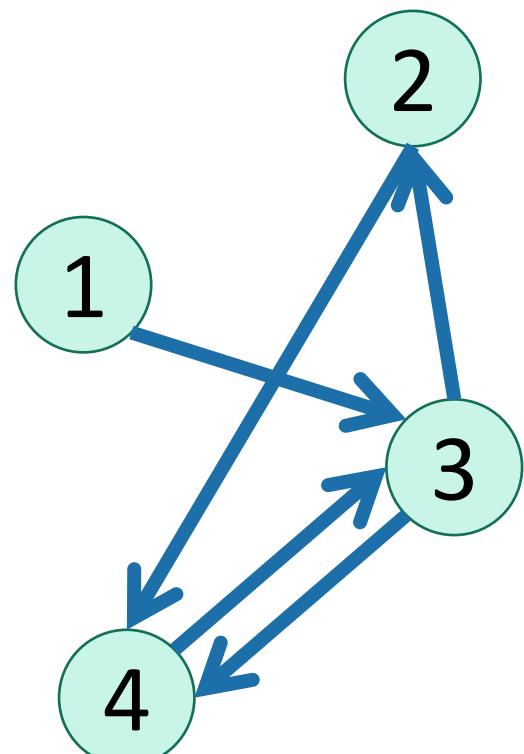
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How do we represent graphs?

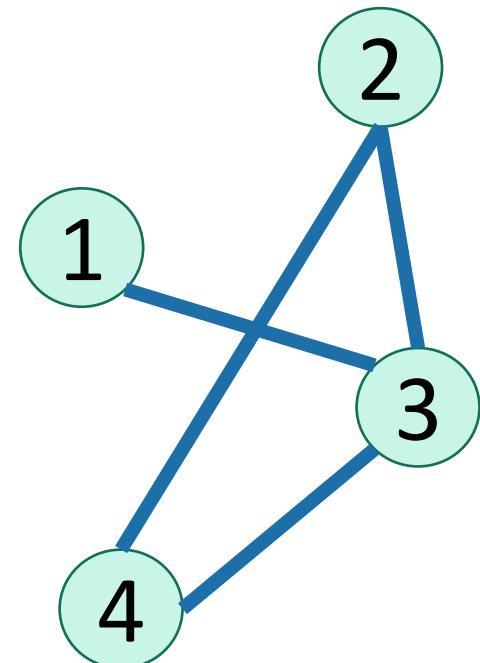
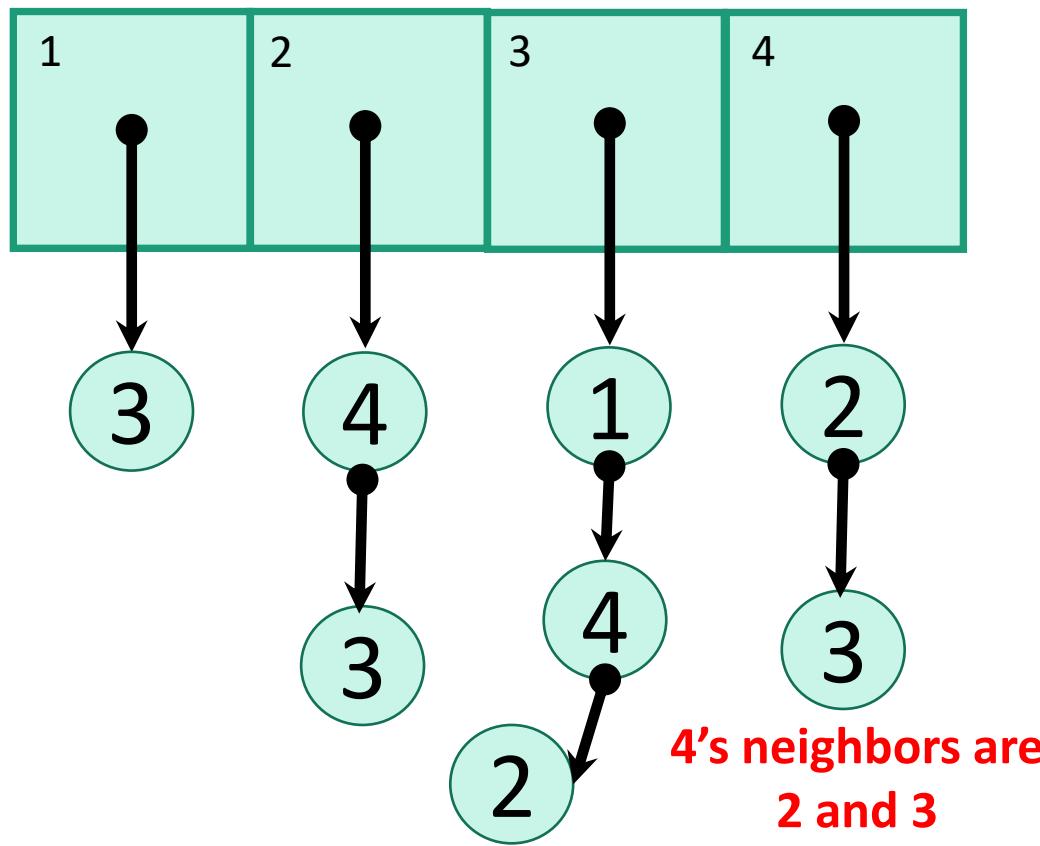
- Option 1: adjacency matrix

| Destination | | | | | |
|-------------|---|---|---|---|---|
| | | 1 | 2 | 3 | 4 |
| Source | 1 | 0 | 0 | 1 | 0 |
| | 2 | 0 | 0 | 0 | 1 |
| | 3 | 0 | 1 | 0 | 1 |
| | 4 | 0 | 0 | 1 | 0 |



How do we represent graphs?

- Option 2: linked lists.



In either case

- Vertices can store other information
 - Attributes (name, IP address, ...)
 - helper info for algorithms that we will perform on the graph
- Want to be able to do the following operations:
 - **Edge Membership:** Is edge e in E?
 - **Neighbor Query:** What are the neighbors of vertex v?

Trade-offs

Say there are n vertices and m edges.

Edge membership
Is $e = \{v,w\}$ in E ?

Neighbor query
Give me v 's neighbors.

Space requirements

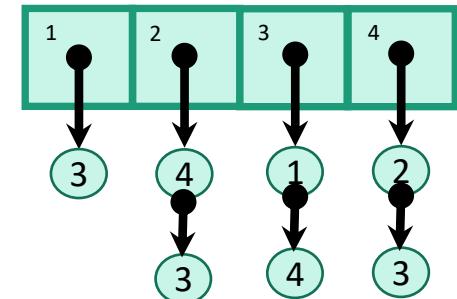
$$\begin{bmatrix} 1 & 0 & 1 & 0 \\ 0 & 0 & 1 & 1 \\ 1 & 1 & 0 & 1 \\ 0 & 1 & 1 & 0 \end{bmatrix}$$

$O(1)$

$O(n)$

$O(n^2)$

Generally better
for sparse graphs



$O(\deg(v))$ or
 $O(\deg(w))$

$O(\deg(v))$

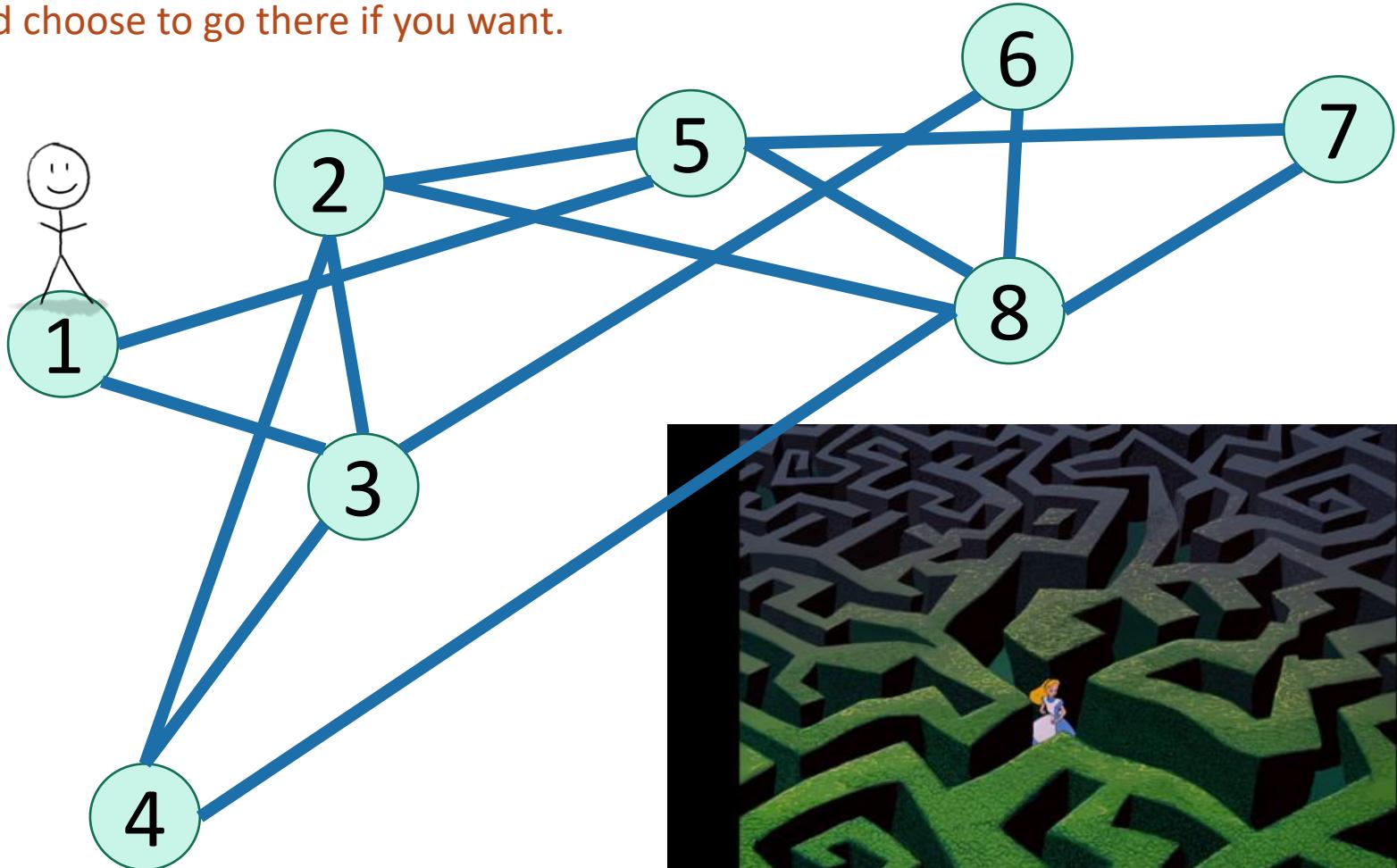
$O(n + m)$

We'll assume this
representation for
the rest of the class

Part 1: Depth-first search

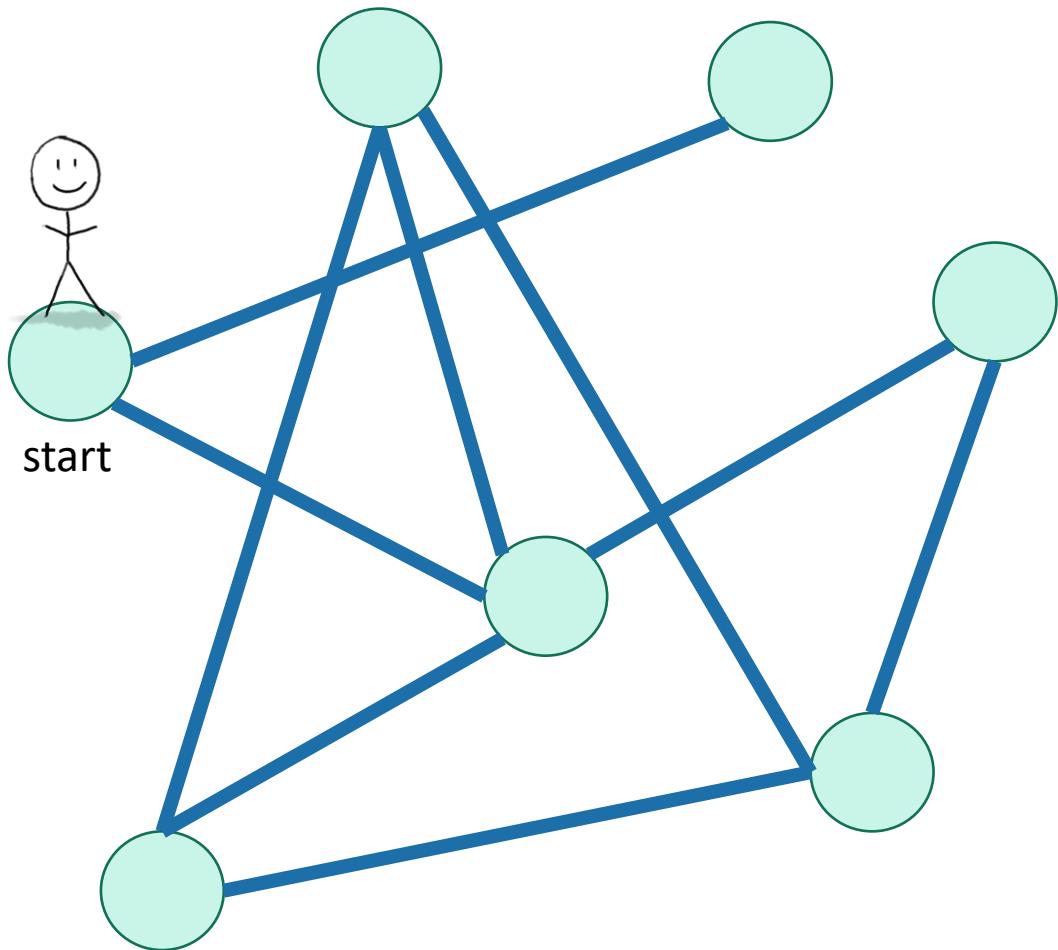
How do we explore a graph?

At each node, you can get a list of neighbors,
and choose to go there if you want.



Depth First Search

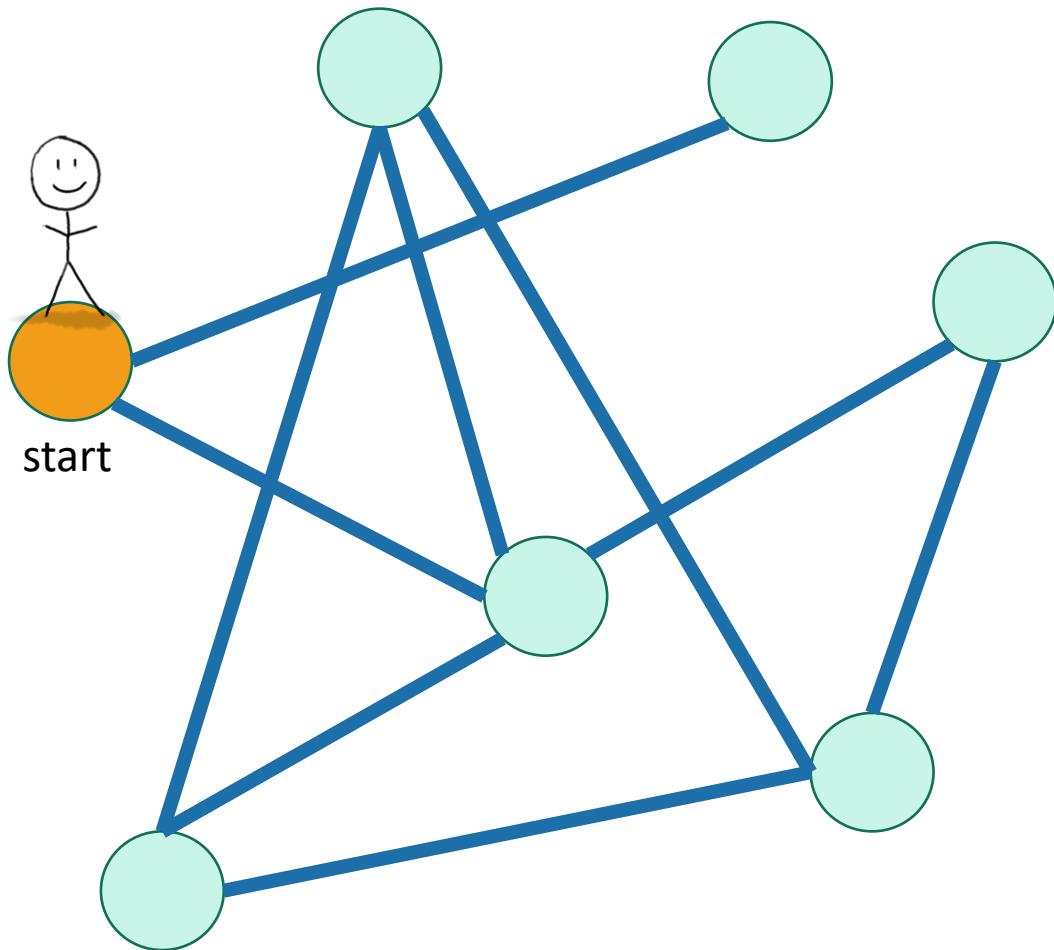
Exploring a labyrinth with chalk and a piece of string



-  Not been there yet
 -  Been there, haven't explored all the paths out.
 -  Been there, have explored all the paths out.

Depth First Search

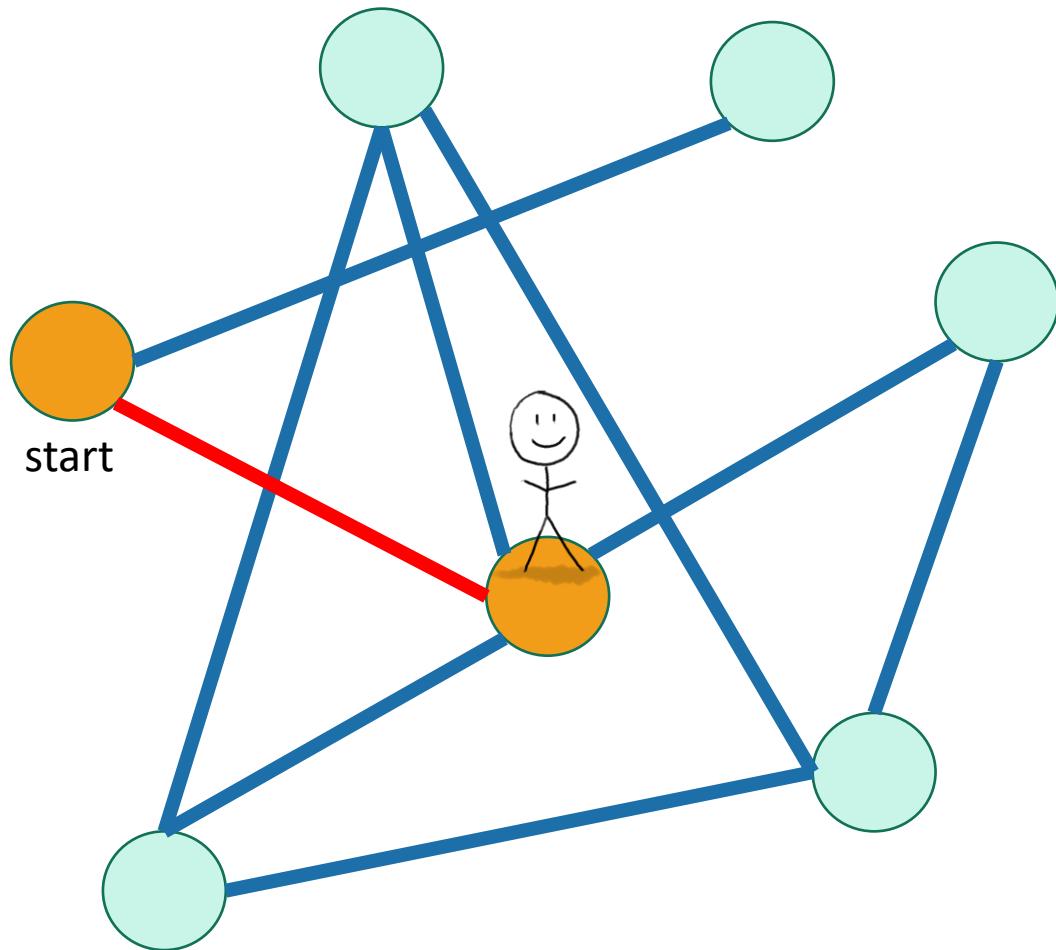
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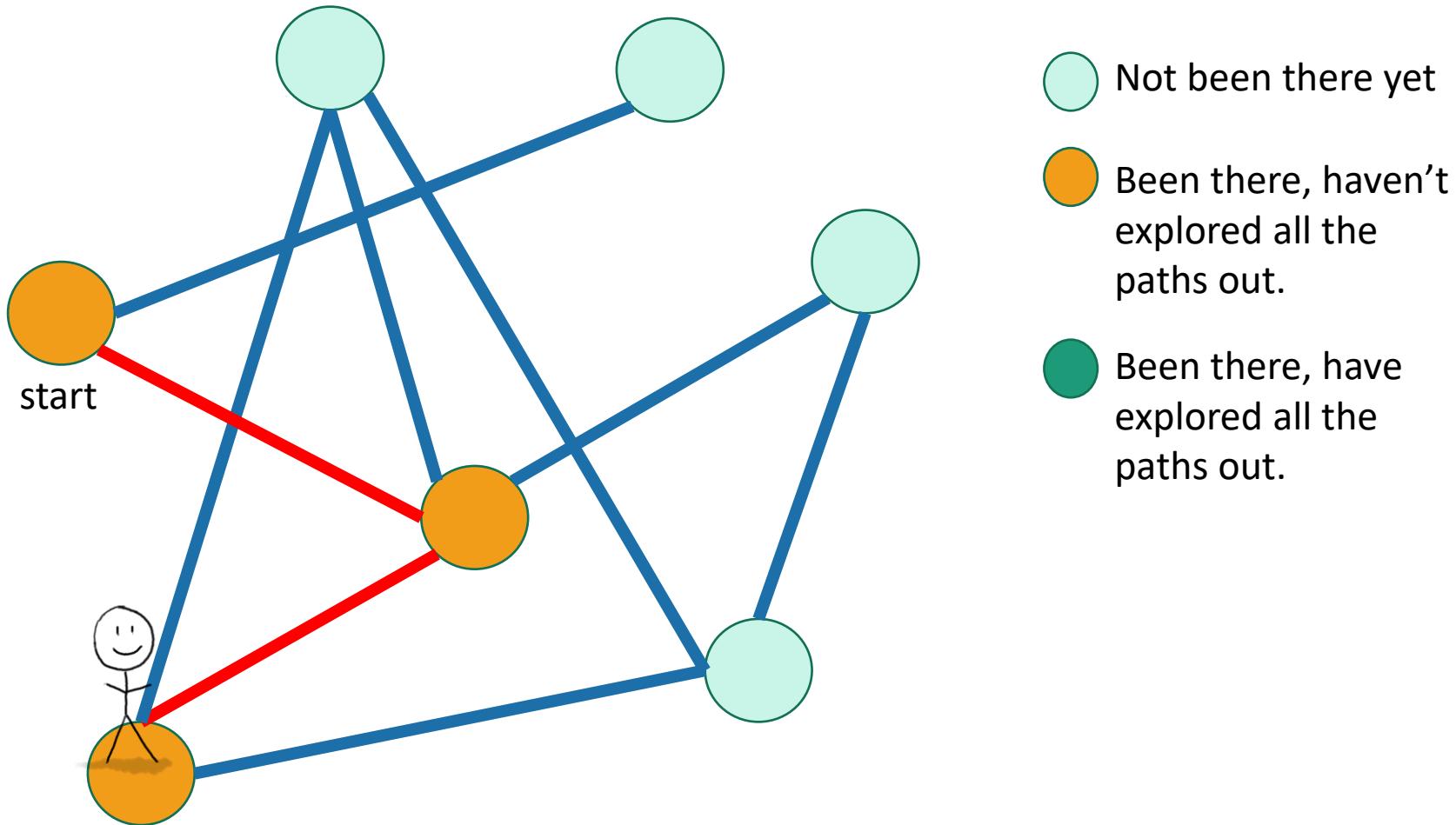
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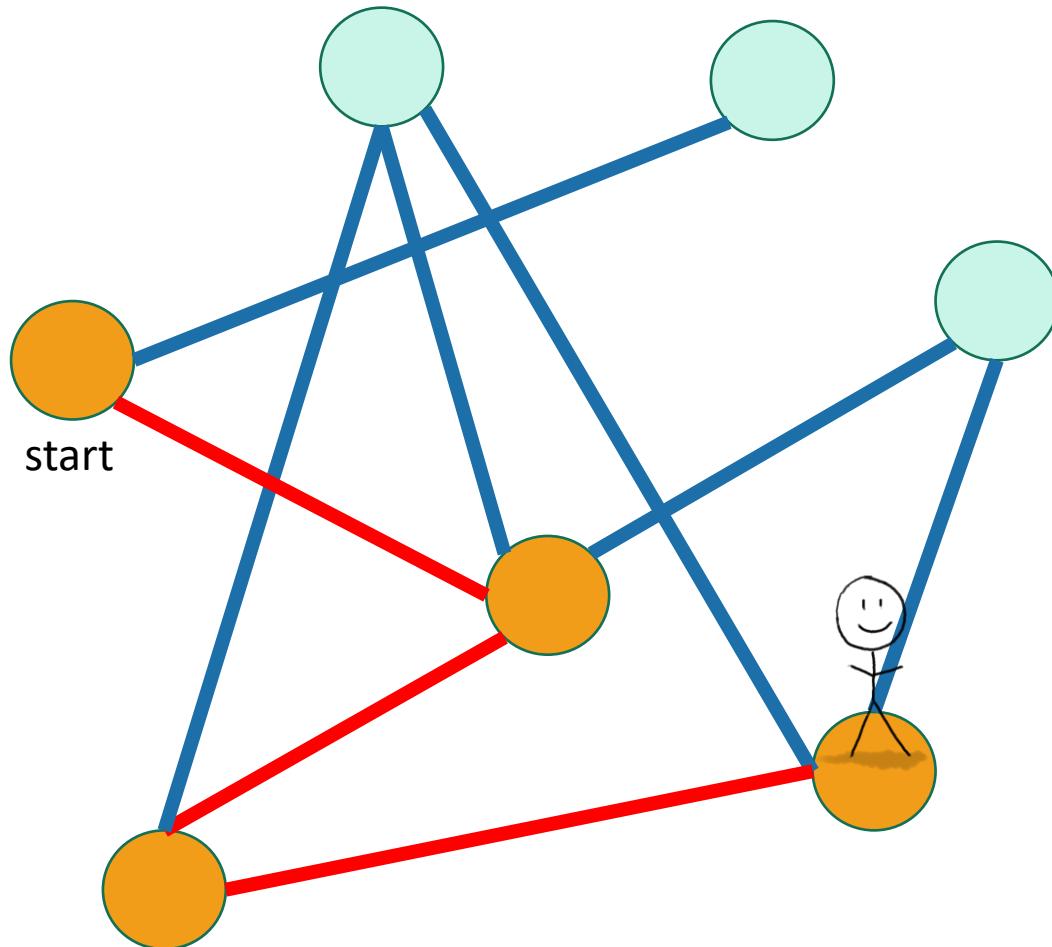
Depth First Search

Exploring a labyrinth with chalk and a piece of string



Depth First Search

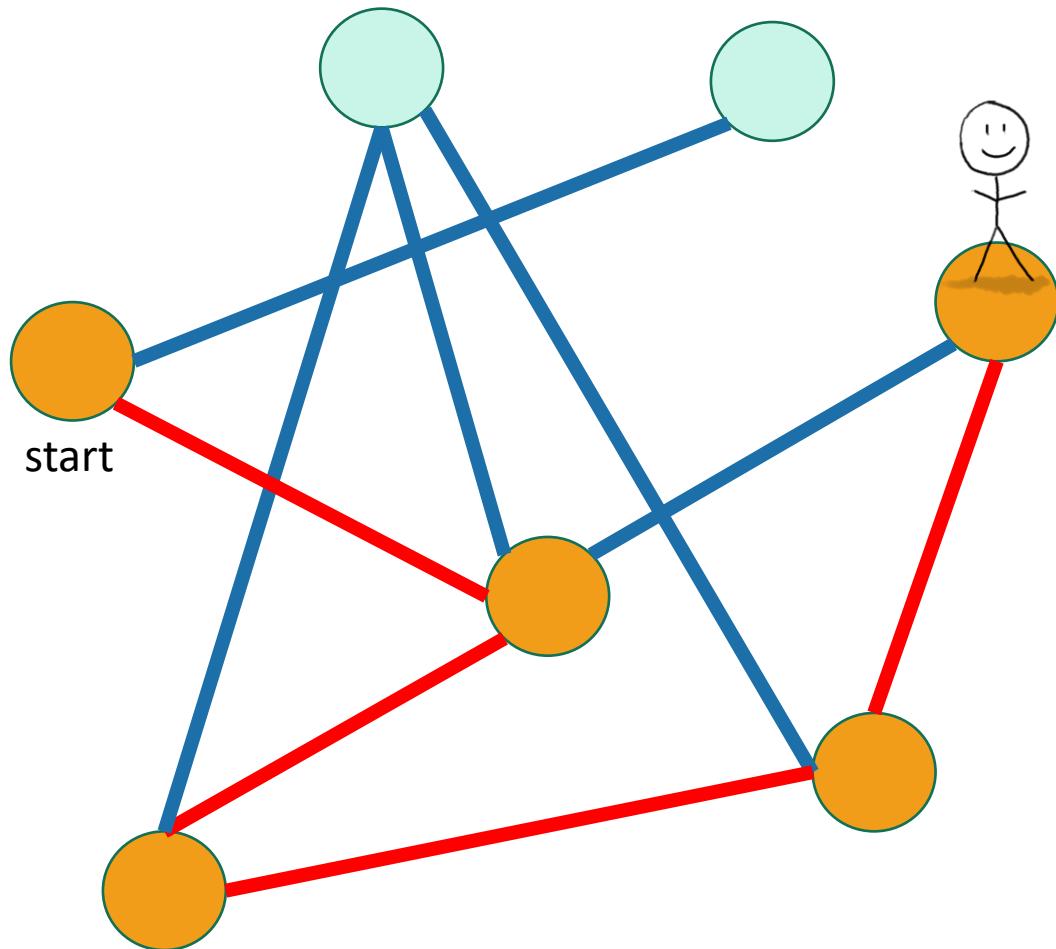
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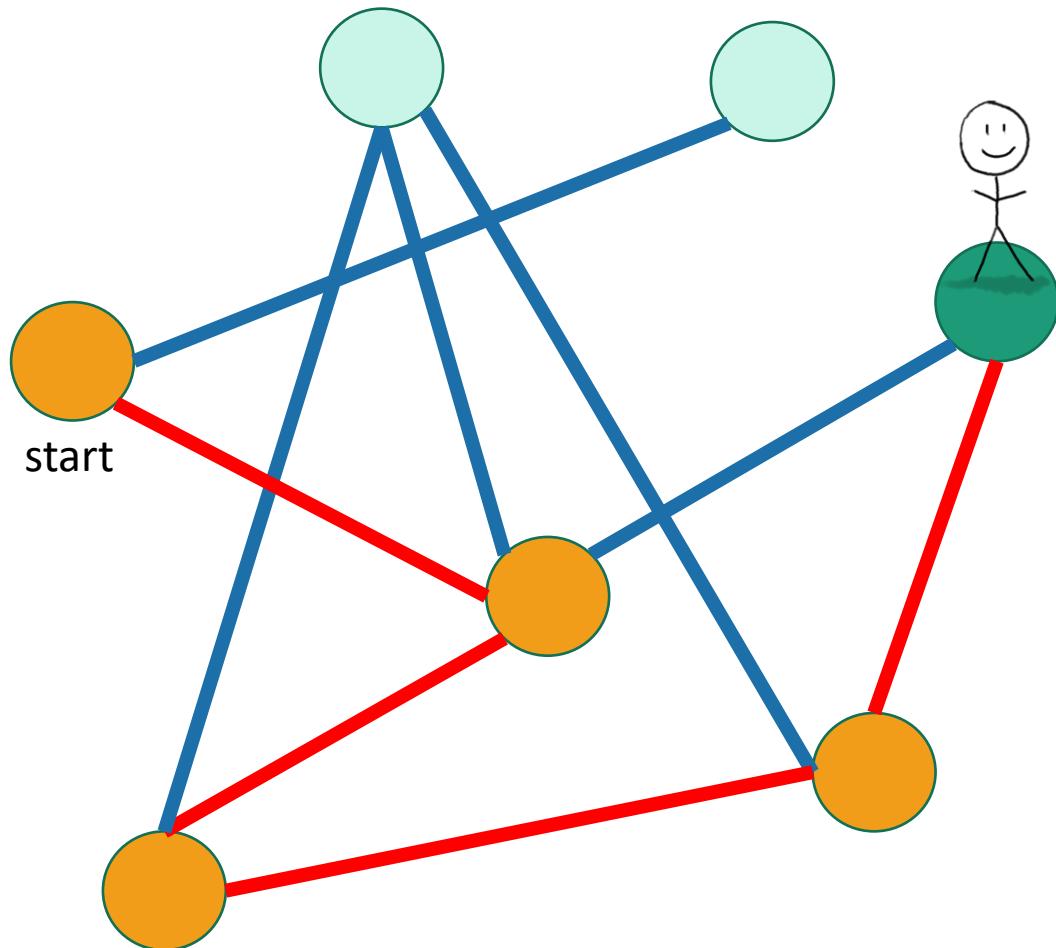
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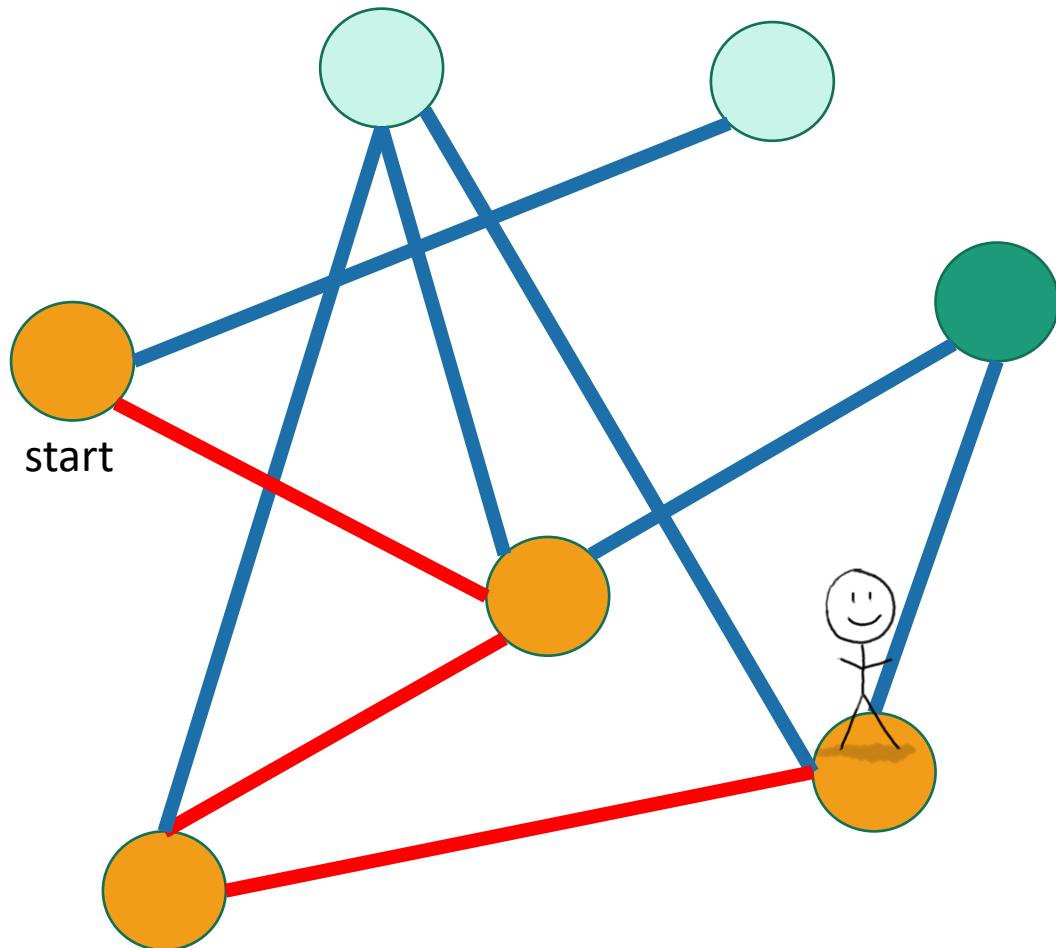
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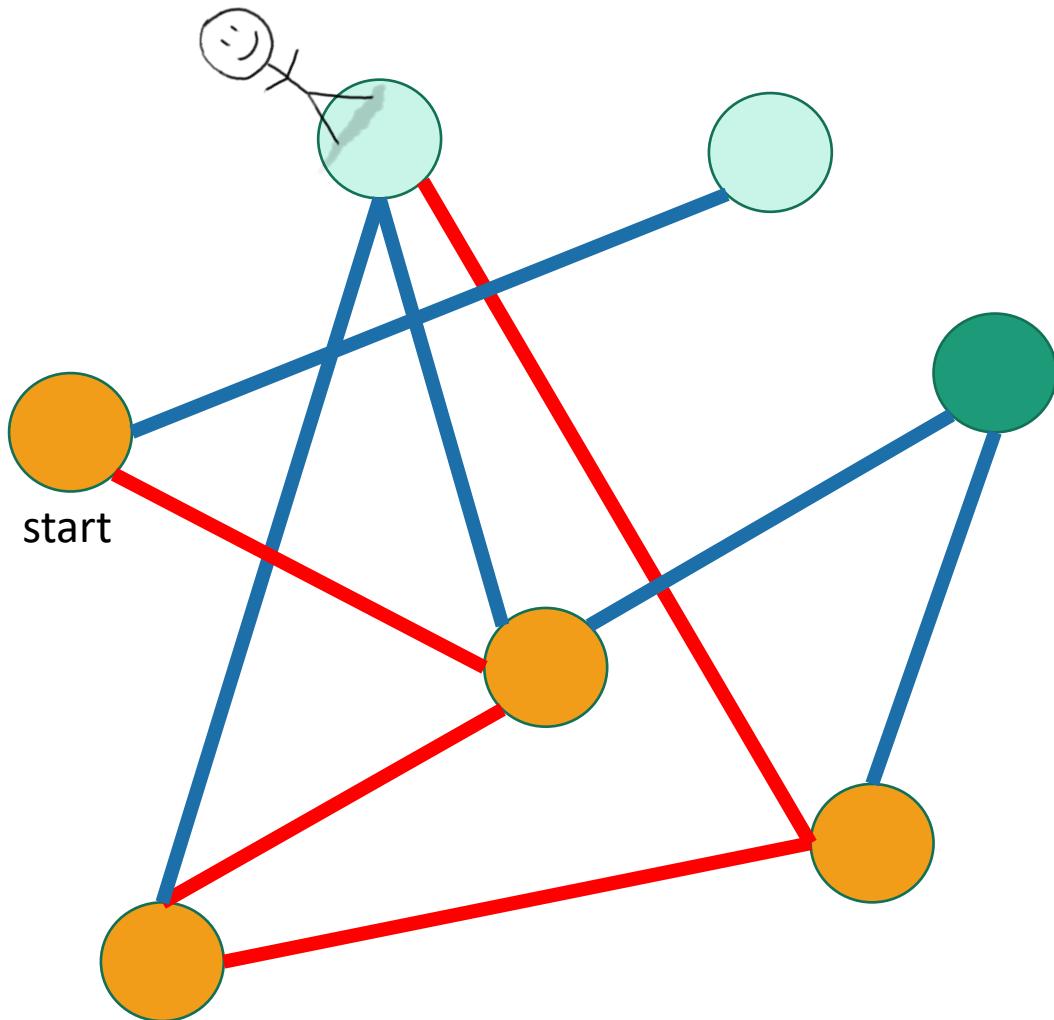
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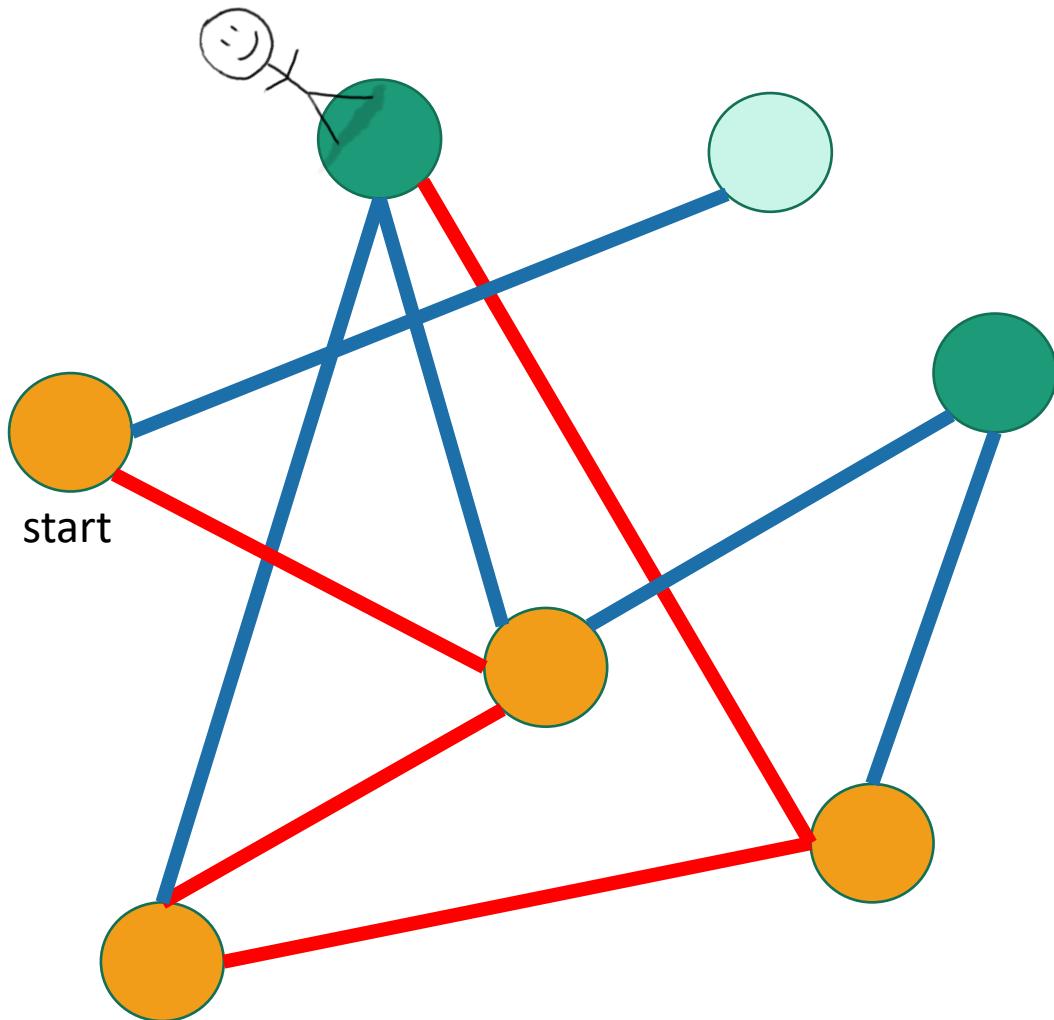
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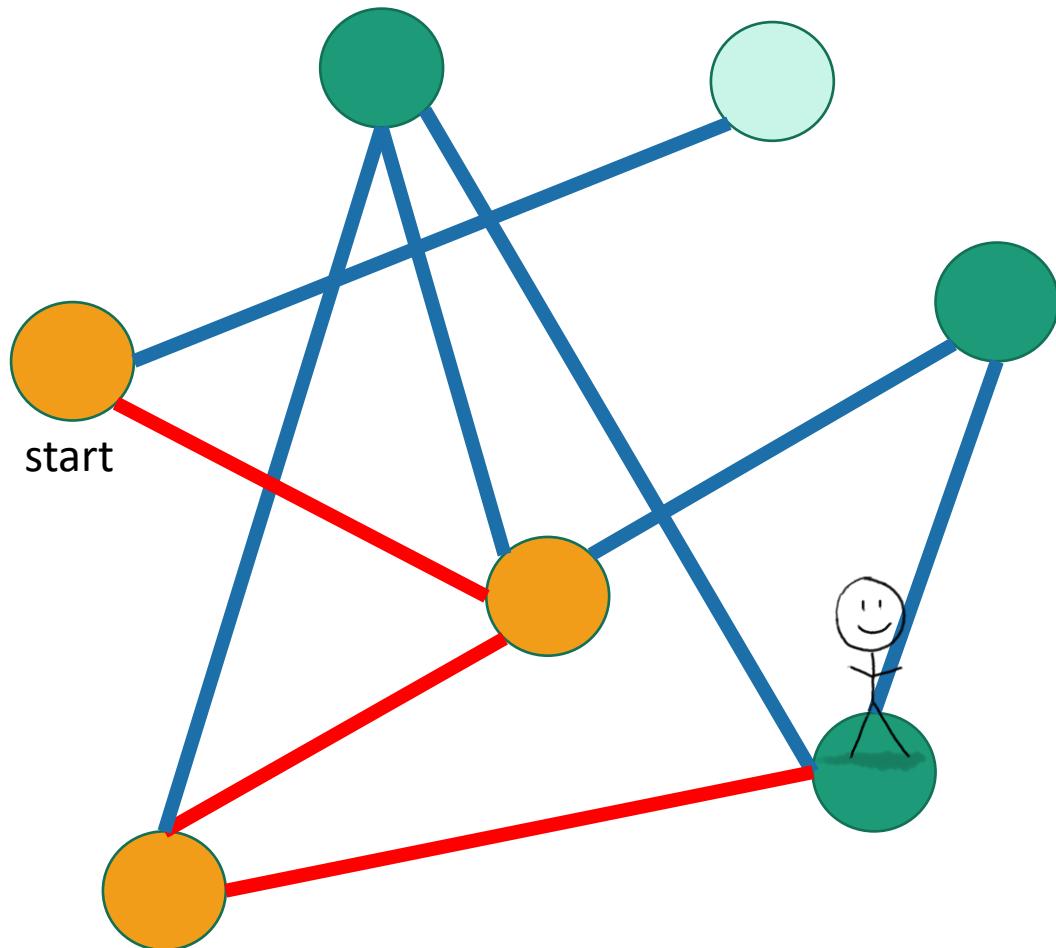
Exploring a labyrinth with chalk and a piece of string



- Not been there yet (light blue)
- Been there, haven't explored all the paths out. (yellow-orange)
- Been there, have explored all the paths out. (teal)

Depth First Search

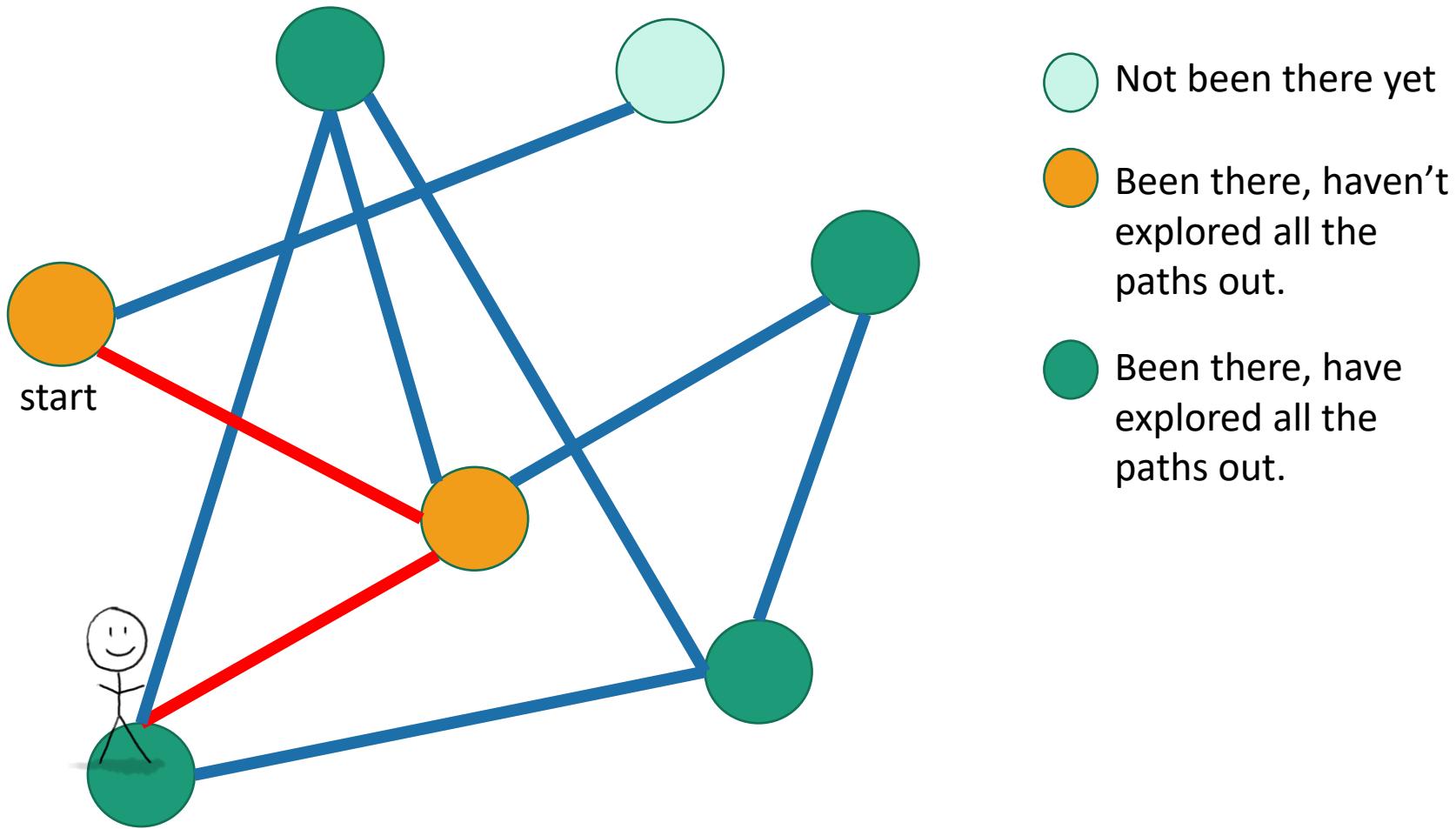
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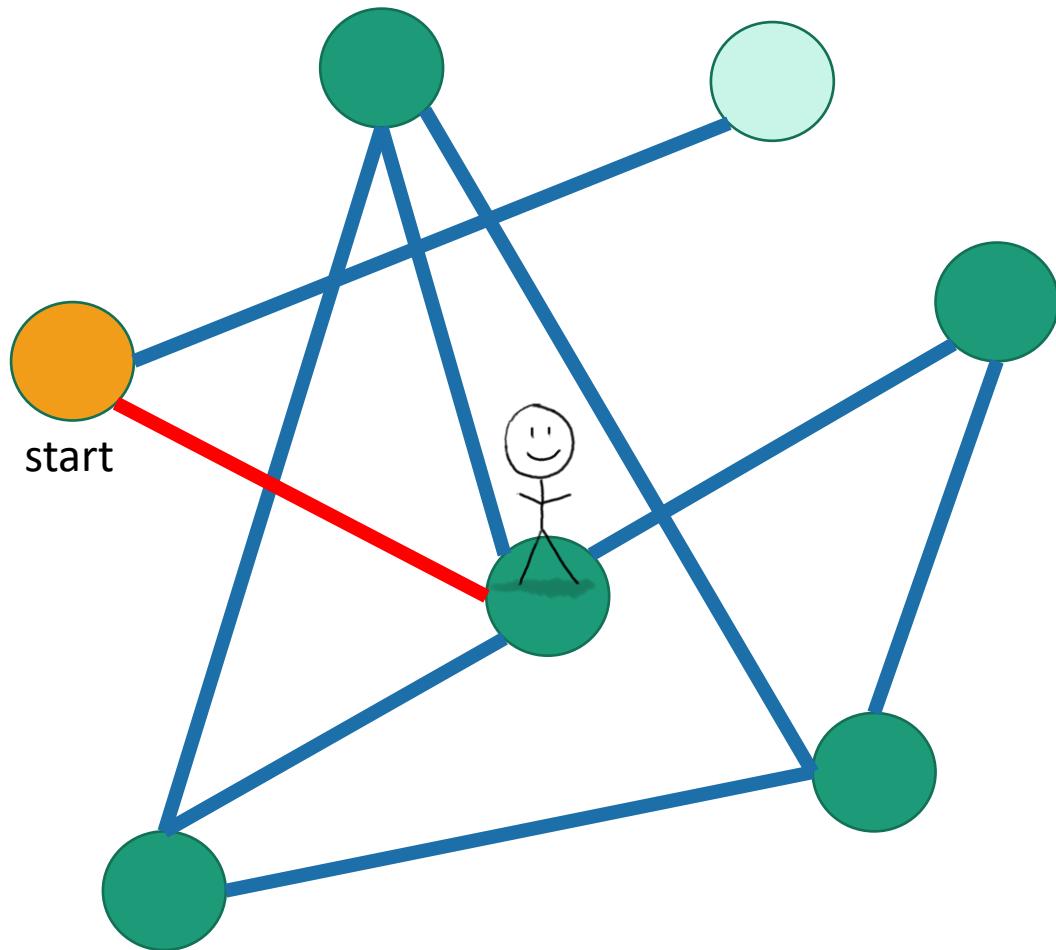
Depth First Search

Exploring a labyrinth with chalk and a piece of string



Depth First Search

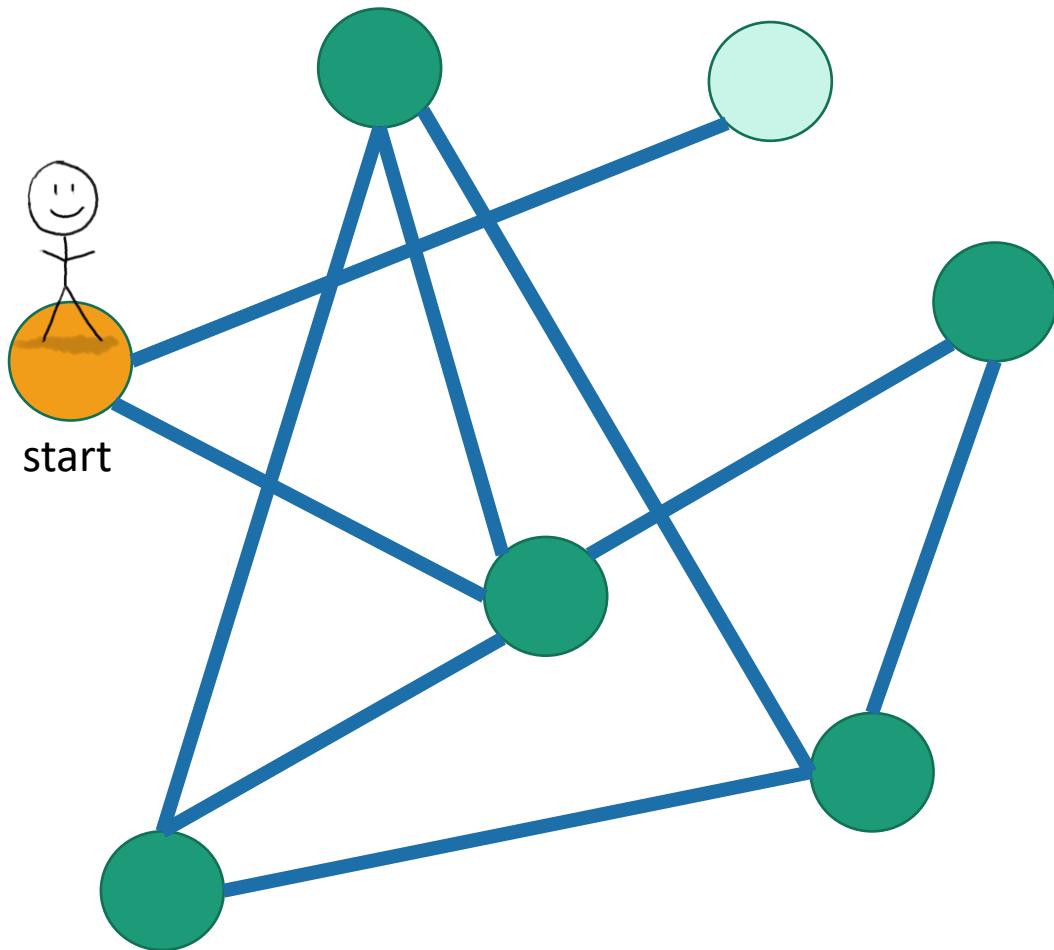
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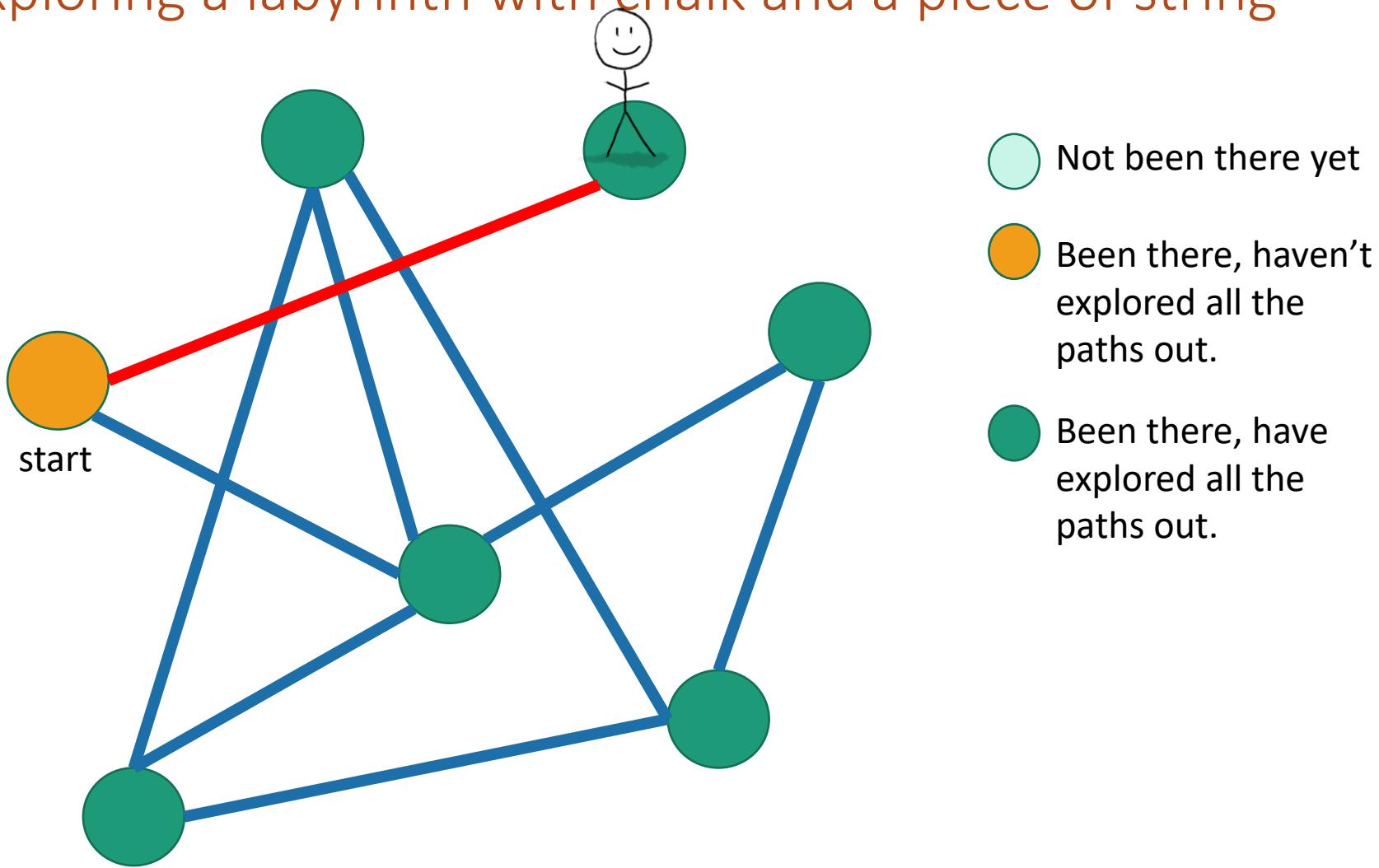
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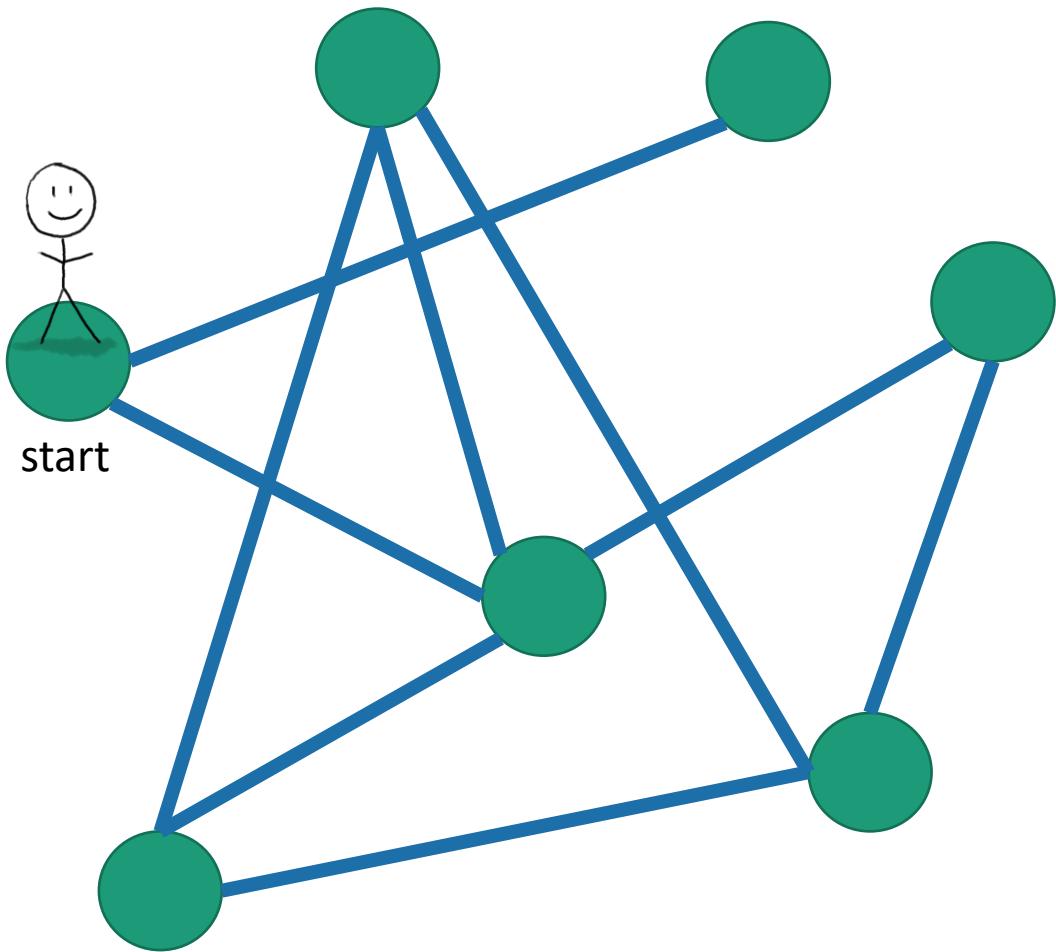
Depth First Search

Exploring a labyrinth with chalk and a piece of string



Depth First Search

Exploring a labyrinth with chalk and a piece of string



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- Been there, have explored all the paths out.

Labyrinth:
explored!

Depth First Search

Exploring a labyrinth with pseudocode

- Each vertex keeps track of whether it is:

- Unvisited 
- In progress 
- All done 

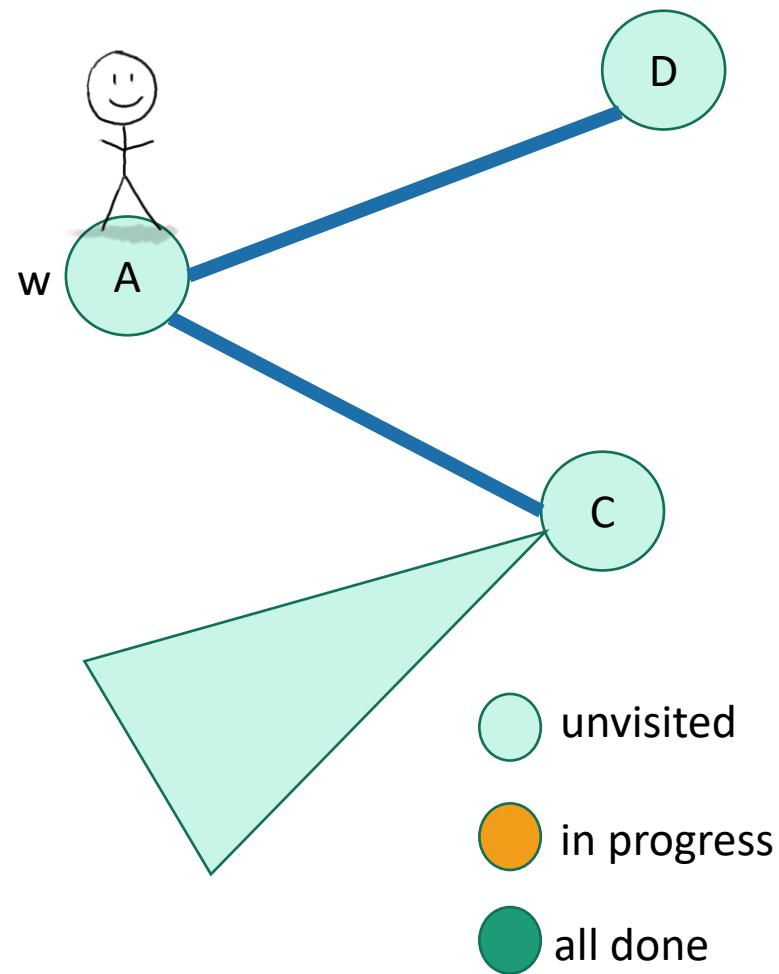


- Each vertex will also keep track of:

- The time we **first enter it**.
- The time we finish with it and mark it **all done**.

Depth First Search

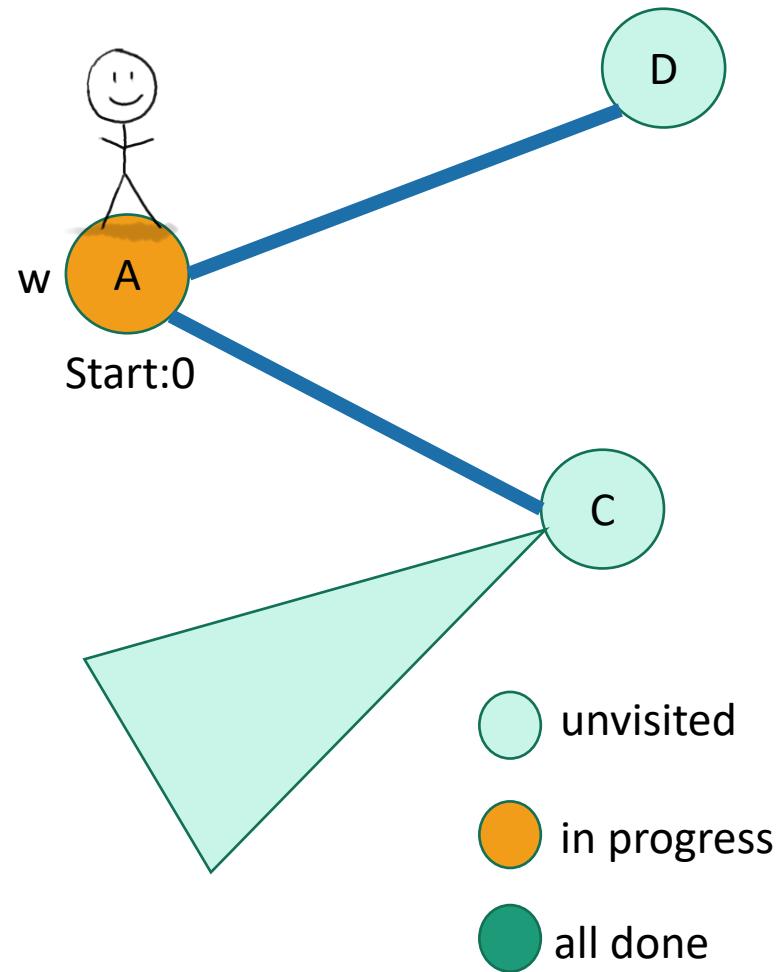
currentTime = 0



- **DFS(w, currentTime):**
 - w.startTime = currentTime
 - currentTime ++
 - Mark w as **in progress**.
 - **for** v in w.neighbors:
 - **if** v is **unvisited**:
 - currentTime = **DFS(v, currentTime)**
 - currentTime ++
 - w.finishTime = currentTime
 - Mark w as **all done**
 - **return** currentTime

Depth First Search

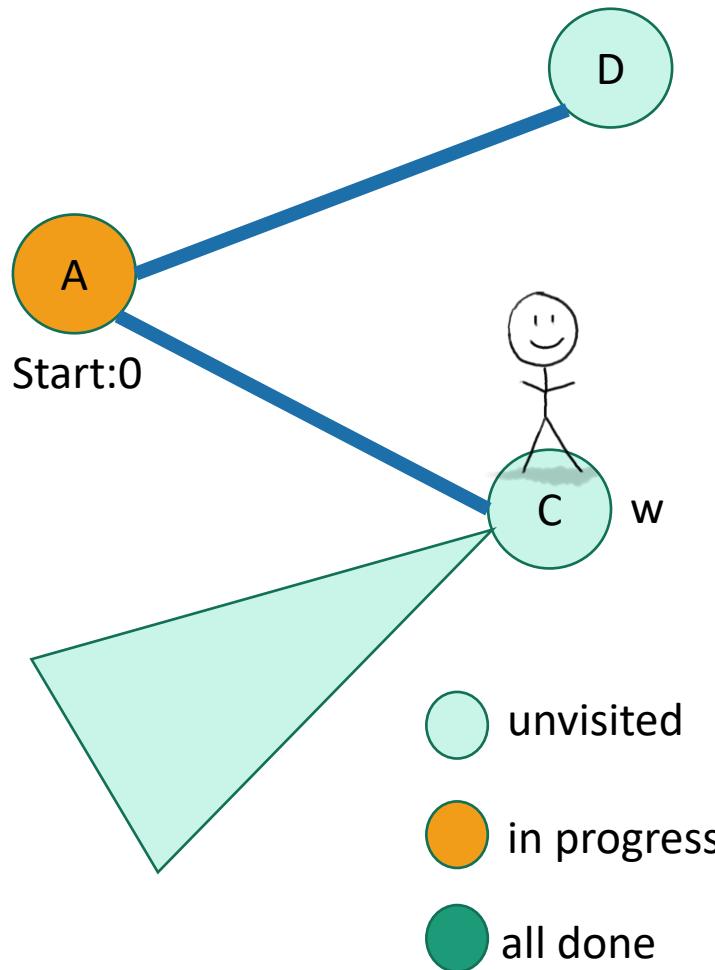
currentTime = 1



- **DFS(w, currentTime):**
 - w.startTime = currentTime
 - currentTime ++
 - Mark w as **in progress**.
 - **for** v in w.neighbors:
 - **if** v is **unvisited**:
 - currentTime = **DFS(v, currentTime)**
 - currentTime ++
 - w.finishTime = currentTime
 - Mark w as **all done**
 - **return** currentTime

Depth First Search

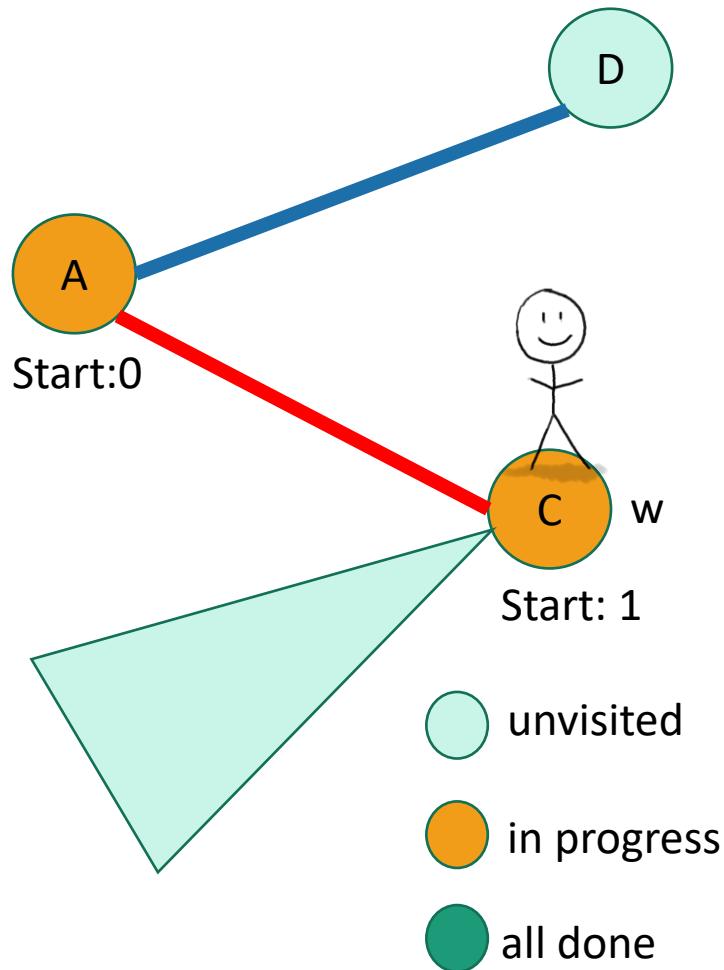
currentTime = 1



- **DFS(w, currentTime):**
 - w.startTime = currentTime
 - currentTime ++
 - Mark w as **in progress**.
 - **for v in w.neighbors:**
 - **if v is unvisited:**
 - currentTime
= **DFS(v, currentTime)**
 - currentTime ++
 - w.finishTime = currentTime
 - Mark w as **all done**
 - **return** currentTime

Depth First Search

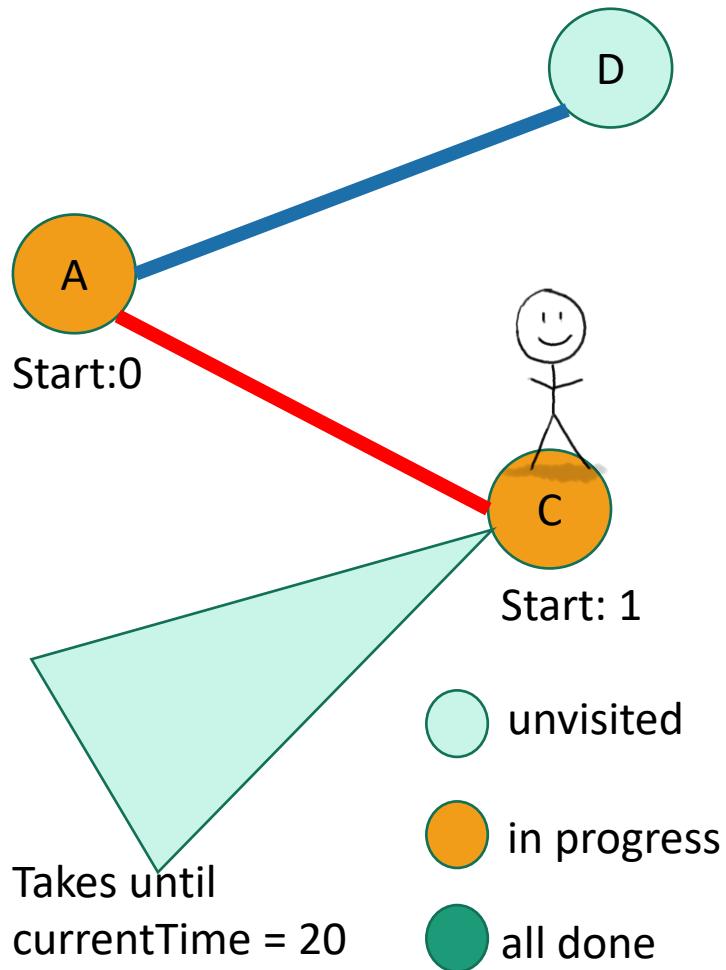
currentTime = 2



- **DFS(w, currentTime):**
 - `w.startTime = currentTime`
 - `currentTime ++`
 - Mark w as **in progress**.
 - **for v in w.neighbors:**
 - **if v is unvisited:**
 - `currentTime`
= **DFS(v, currentTime)**
 - `currentTime ++`
 - `w.finishTime = currentTime`
 - Mark w as **all done**
 - **return currentTime**

Depth First Search

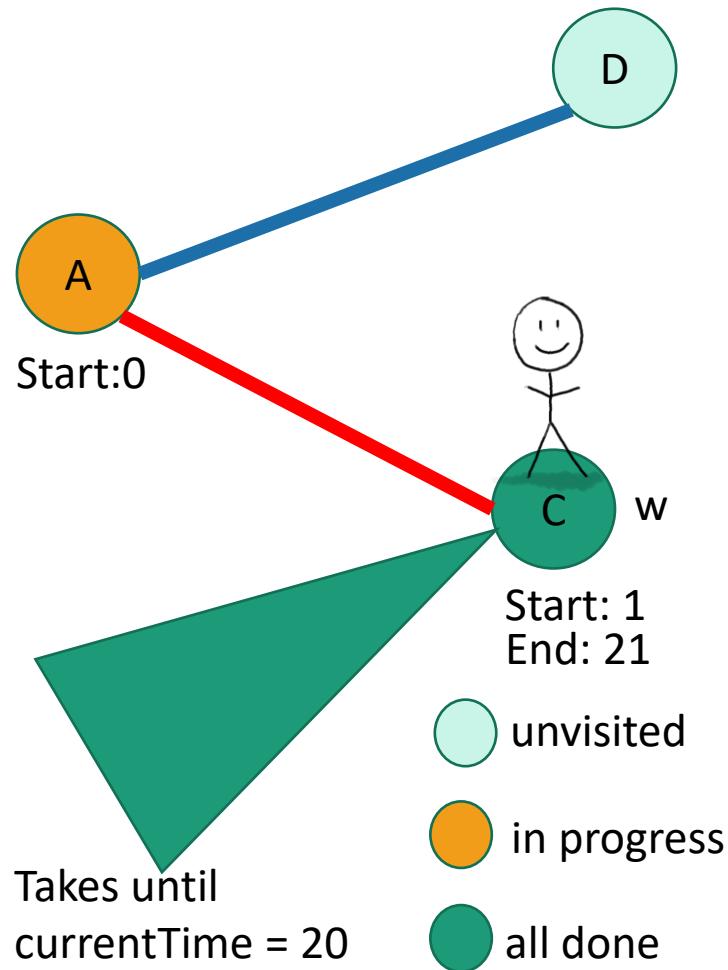
currentTime = 20



- **DFS(w, currentTime):**
 - w.startTime = currentTime
 - currentTime ++
 - Mark w as **in progress**.
 - **for v in w.neighbors:**
 - **if v is unvisited:**
 - currentTime = **DFS(v, currentTime)**
 - currentTime ++
 - w.finishTime = currentTime
 - Mark w as **all done**
 - **return** currentTime

Depth First Search

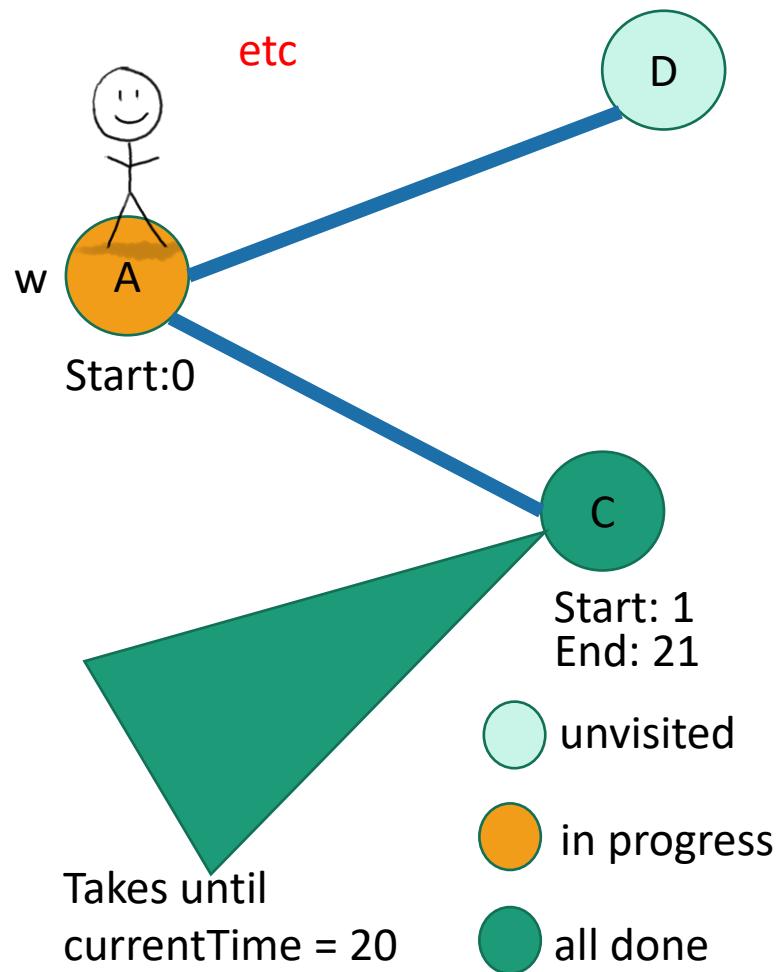
currentTime = 21



- **DFS(w, currentTime):**
 - `w.startTime = currentTime`
 - `currentTime ++`
 - Mark w as **in progress**.
 - **for v in w.neighbors:**
 - **if v is unvisited:**
 - `currentTime`
 $= \text{DFS}(v, \text{currentTime})$
 - `currentTime ++`
 - `w.finishTime = currentTime`
 - Mark w as **all done**
 - **return currentTime**

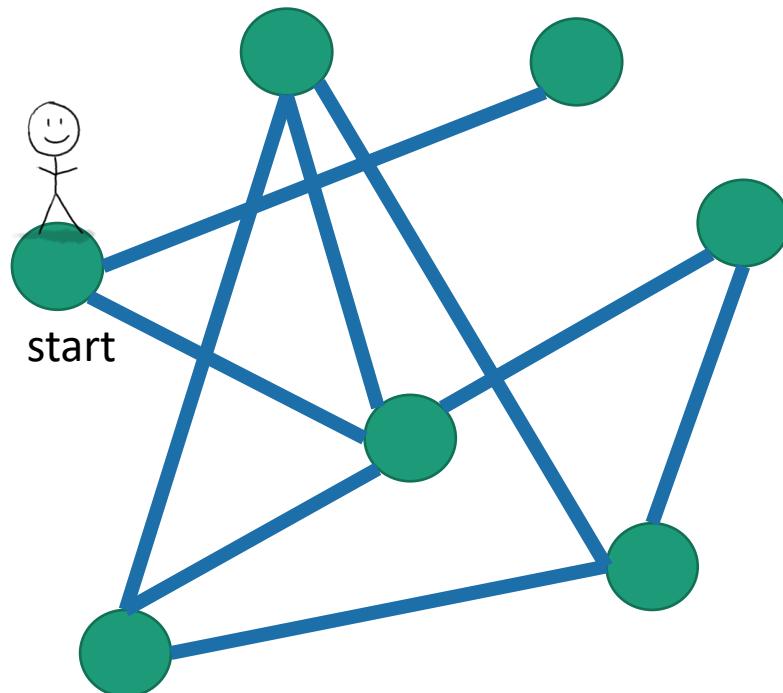
Depth First Search

currentTime = 21



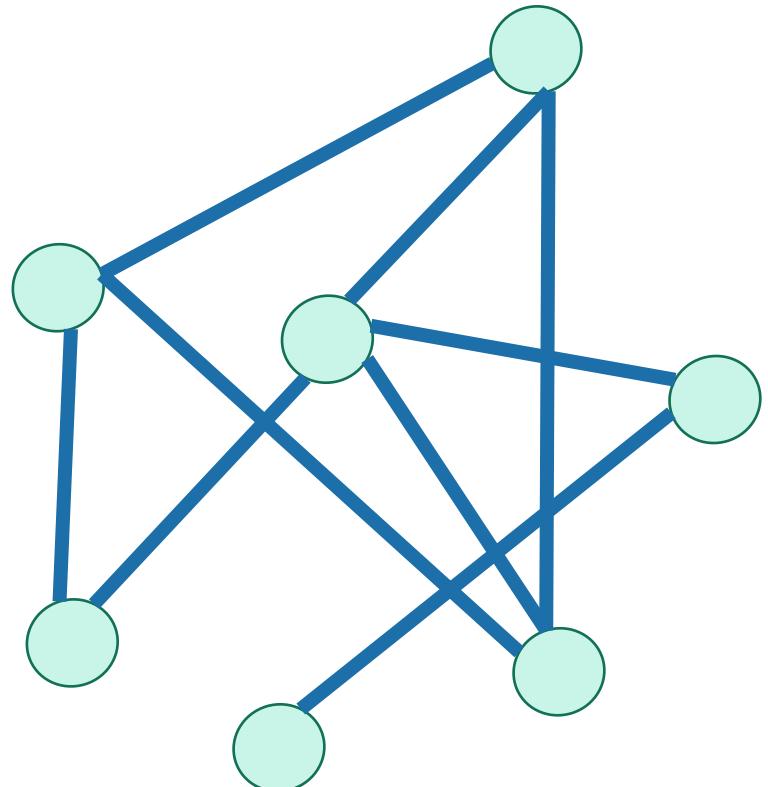
- **DFS(w, currentTime):**
 - w.startTime = currentTime
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 - Mark w as **in progress**.
 - **for v in w.neighbors:**
 - **if v is unvisited:**
 - currentTime = **DFS(v, currentTime)**
 - currentTime ++
 - w.finishTime = currentTime
 - Mark w as **all done**
 - **return** currentTime

DFS finds all the nodes reachable from the starting point



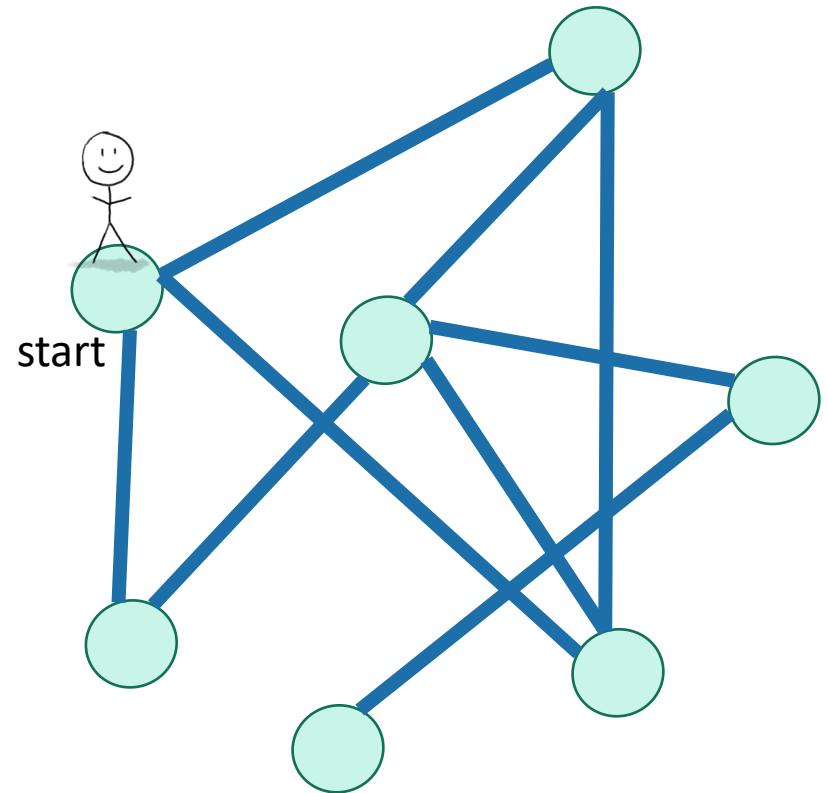
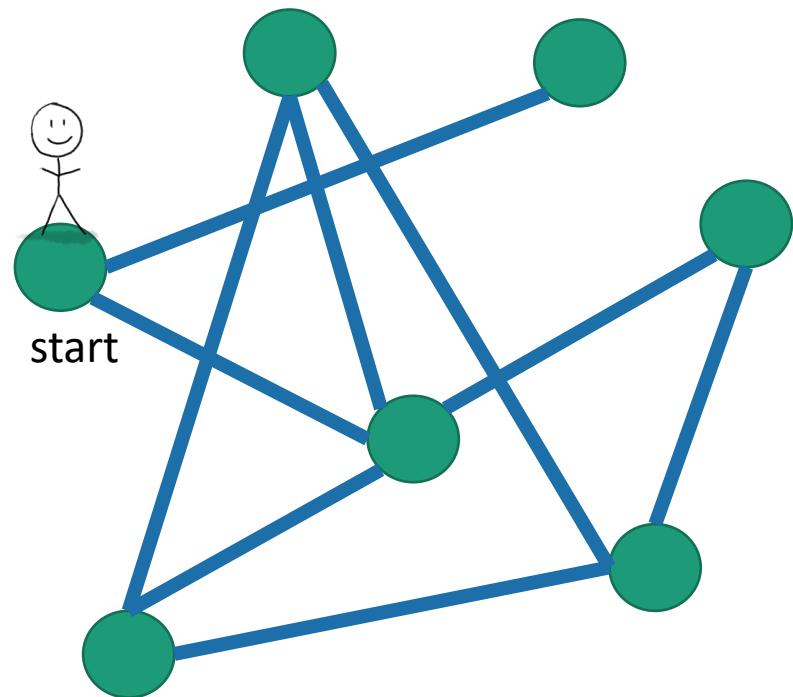
In an undirected graph, this is called a **connected component**.

One application: finding connected components.



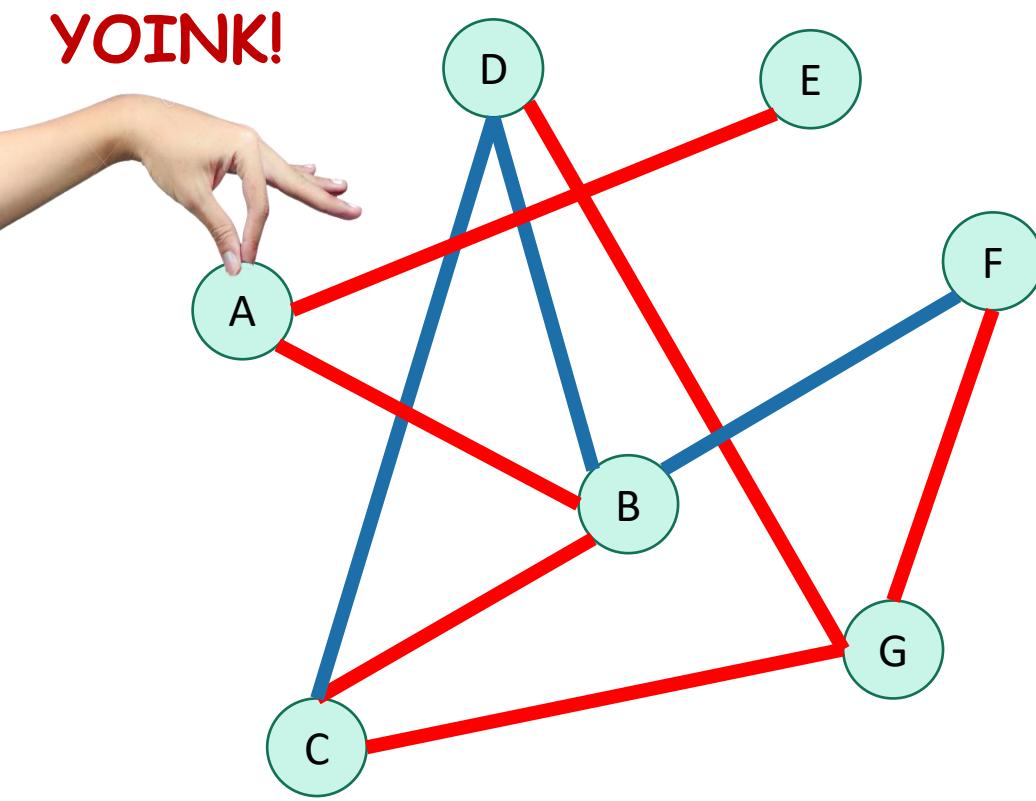
To explore the whole graph

- Do it repeatedly!



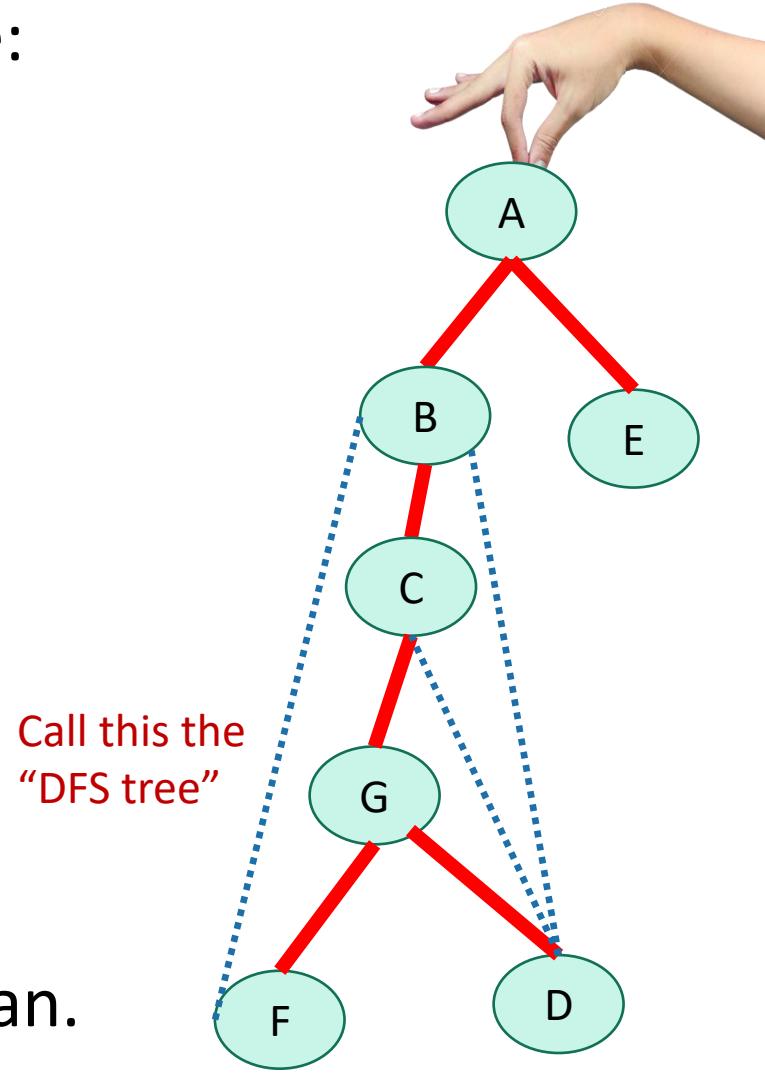
Why is it called depth-first?

- We are implicitly building a tree:



YOINK!

- And first we go as deep as we can.



Running time

To explore just the connected component we started in

- We look at each edge only once.
- And basically don't do anything else.
- So...

$$O(m)$$

- (Assuming we are using the linked-list representation)

n vertices and m edges.

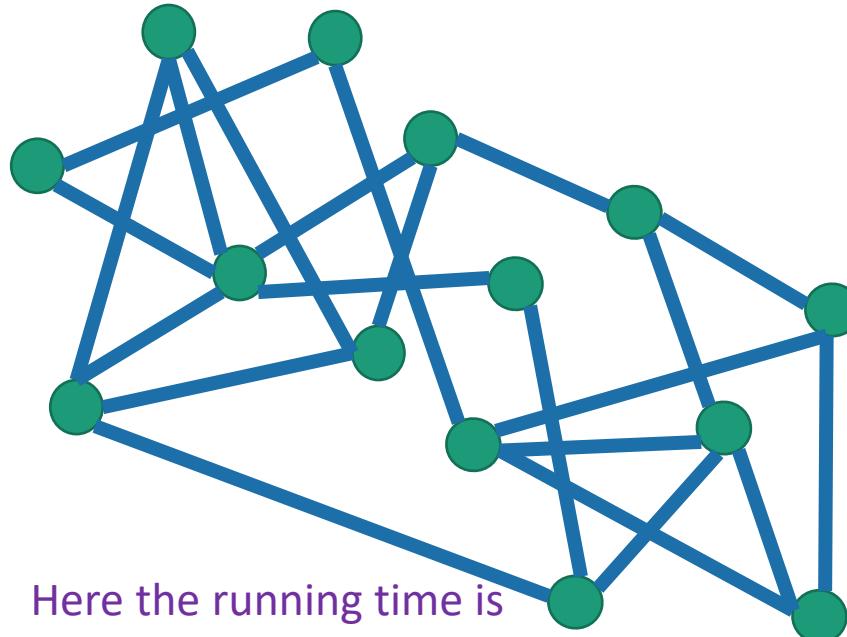
n vertices and m edges.

Running time

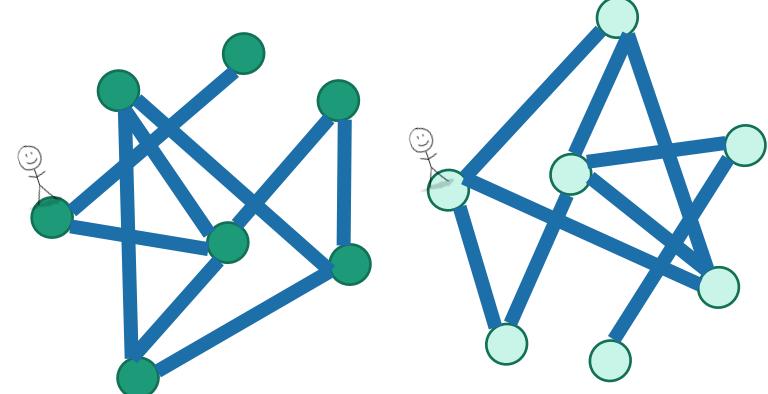
To explore the whole thing

- Explore the connected components one-by-one.
- This takes time

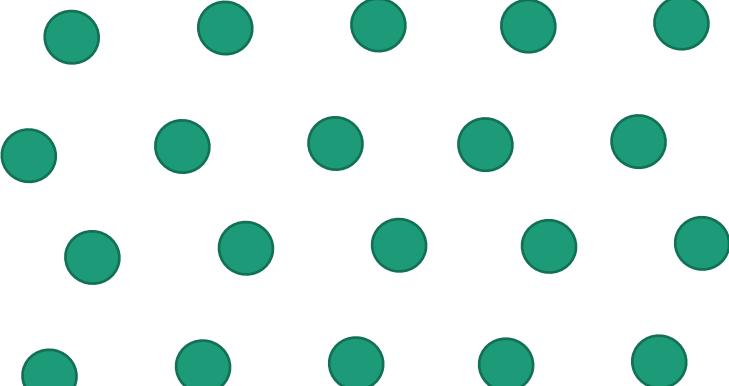
$$O(n + m)$$



Here the running time is
 $O(m)$ like before



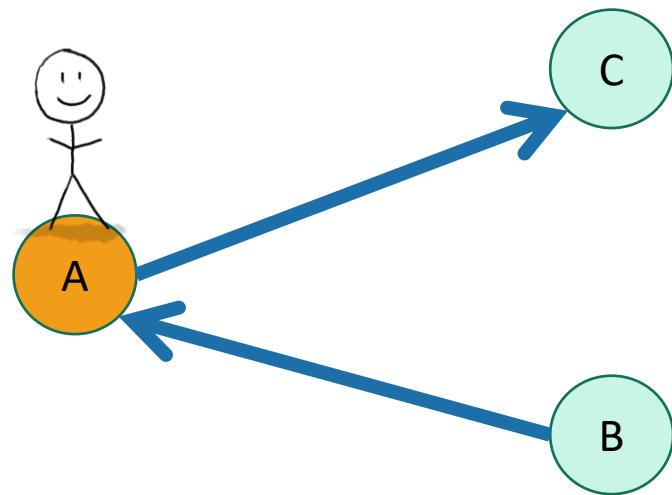
or



Here $m=0$ but it still takes time $O(n)$ to explore the graph.

You check:

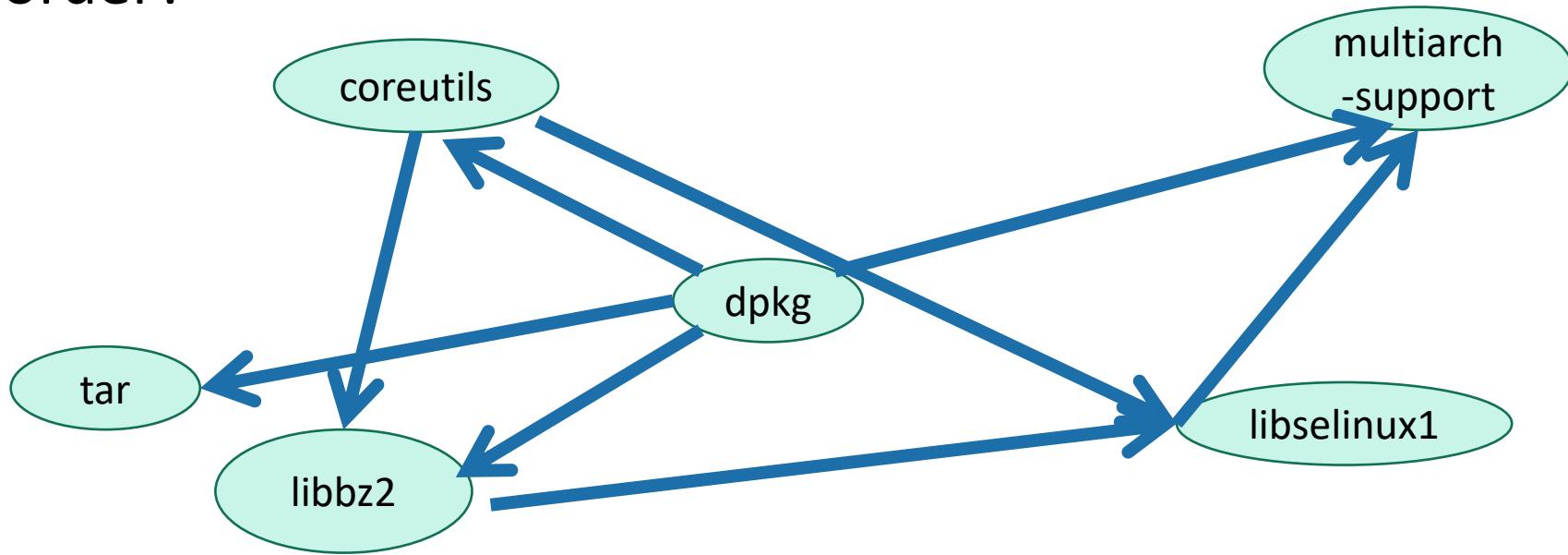
DFS works fine on directed graphs too!



Only walk to C, not to B.

Exercise

- How can you sign up for classes so that you never violate the pre-req requirements?
- More practically, given a package dependency graph, how do you install packages in the correct order?



DFS Application-Topological Ordering

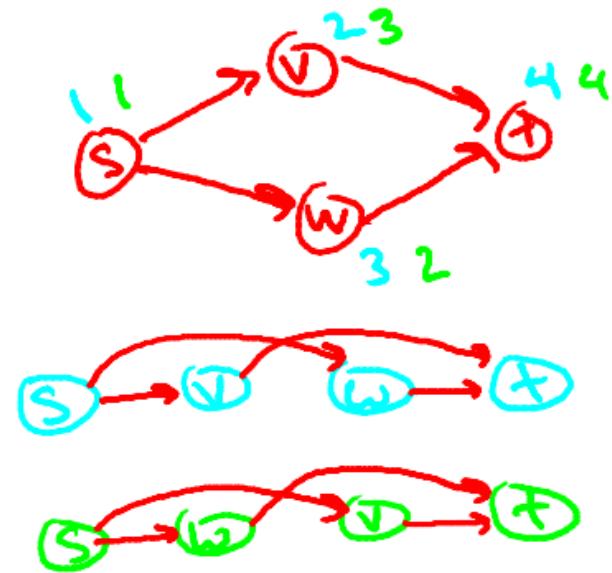
Definition : A topological ordering of a directed graph G is a labeling f of G's nodes such that:

1. The $f(v)$'s are the set $\{1, 2, \dots, n\}$
2. $(u, v) \in G \Rightarrow f(u) < f(v)$

Motivation : sequence tasks while respecting all precedence constraints.

Note : G has directed cycle \Rightarrow no topological ordering

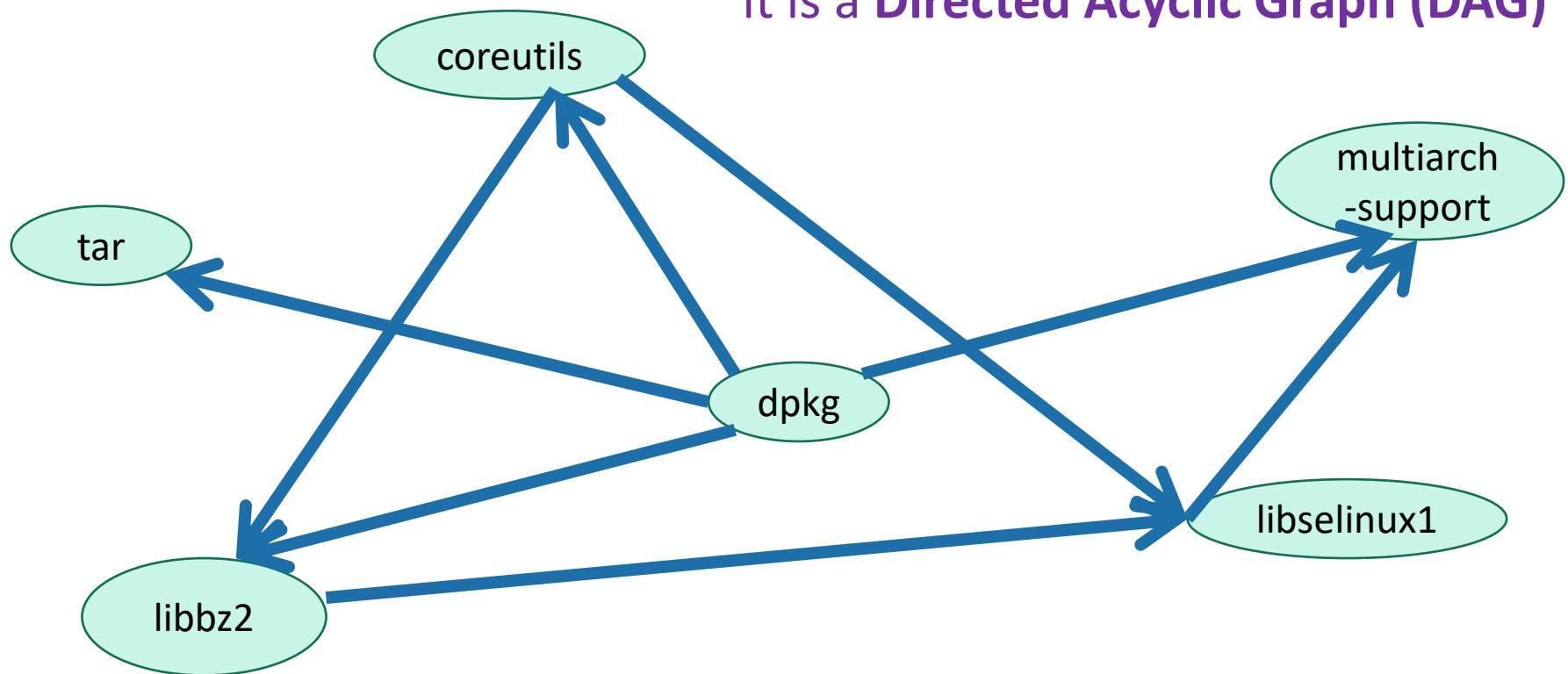
Theorem : no directed cycle \Rightarrow can compute topological ordering in $O(m+n)$ time.



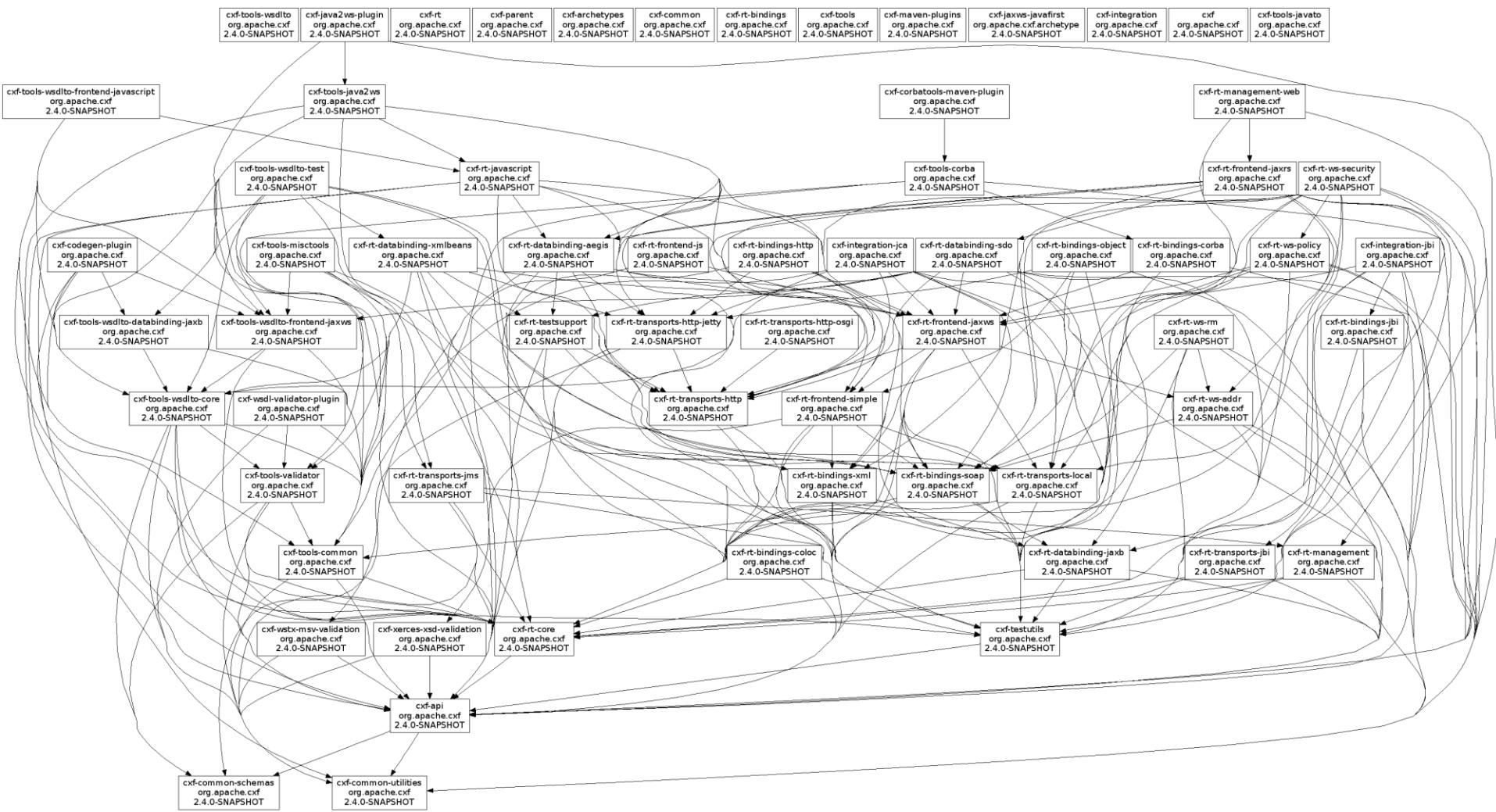
Application: topological sorting

- Question: in what order should I install packages?

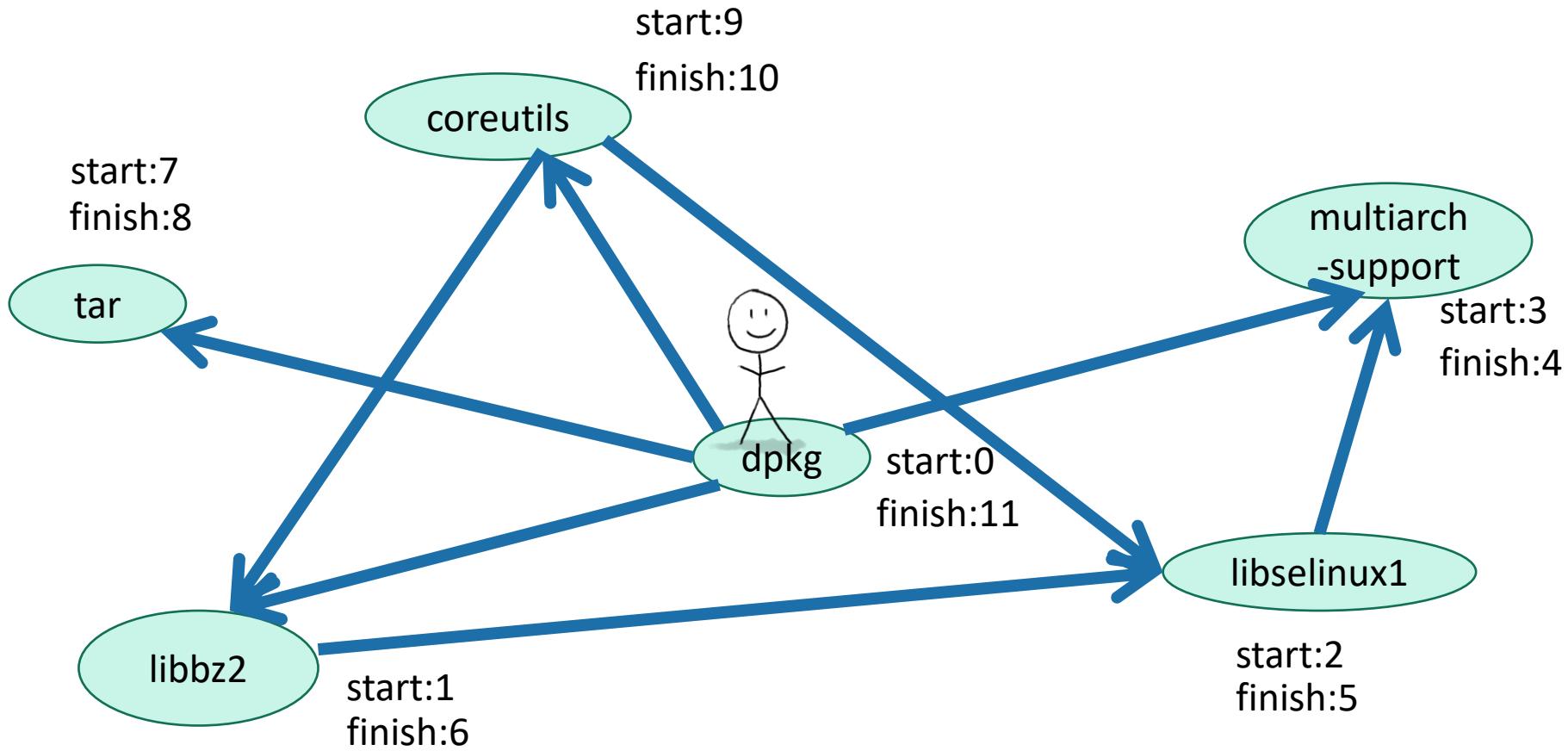
Suppose the dependency graph has no cycles:
it is a **Directed Acyclic Graph (DAG)**



Can't always eyeball it.



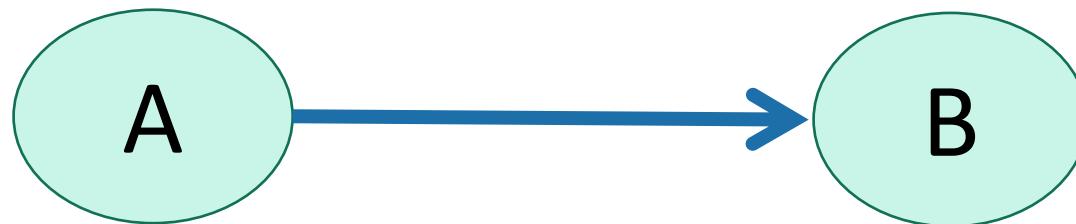
Let's do DFS



Finish times seem useful

Suppose the underlying graph has no cycles

Claim: In general, we'll always have:



finish: [larger]

finish: [smaller]

To understand why, let's go back to that DFS tree.

A more general statement

(this holds even if there are cycles)

This is called the “parentheses theorem” in CLRS

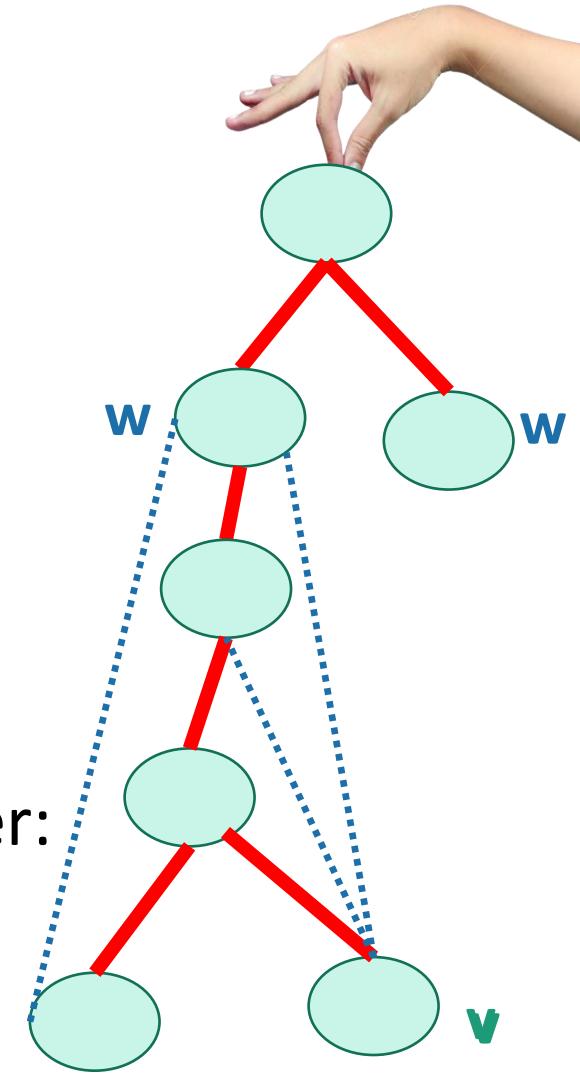
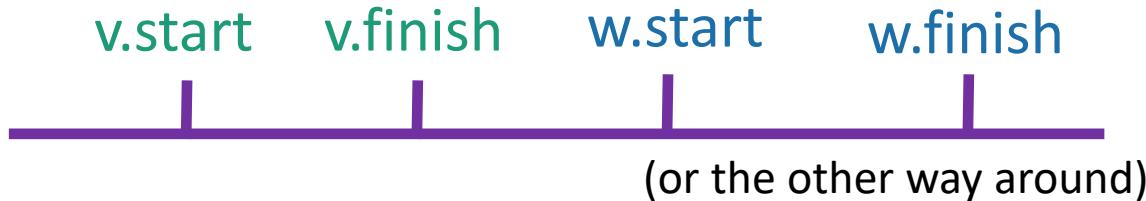
- If v is a descendant of w in this tree:



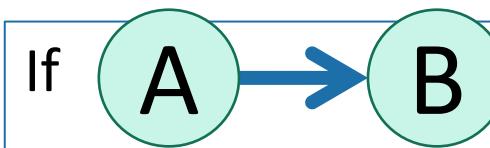
- If w is a descendant of v in this tree:



- If neither are descendants of each other:



So to prove this ->



Then $B.\text{finishTime} < A.\text{finishTime}$

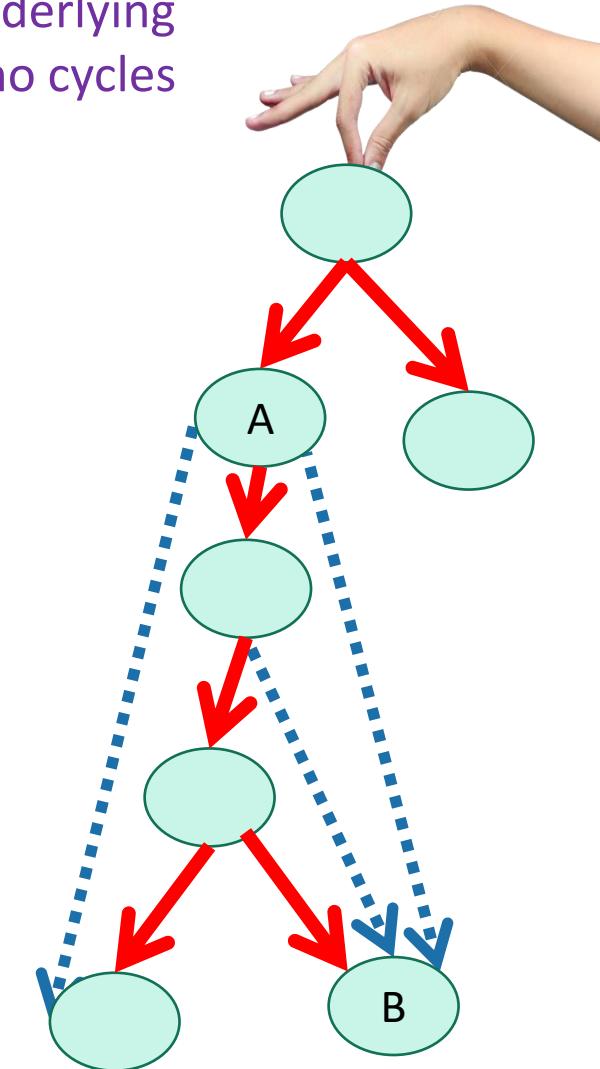
Suppose the underlying graph has no cycles

- **Case 1:** B is a descendant of A in the DFS tree.

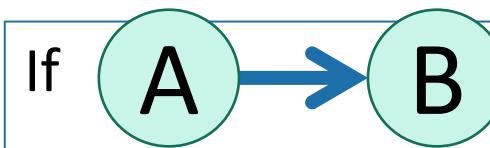
- Then



- aka, $B.\text{finishTime} < A.\text{finishTime}$.



So to prove this ->



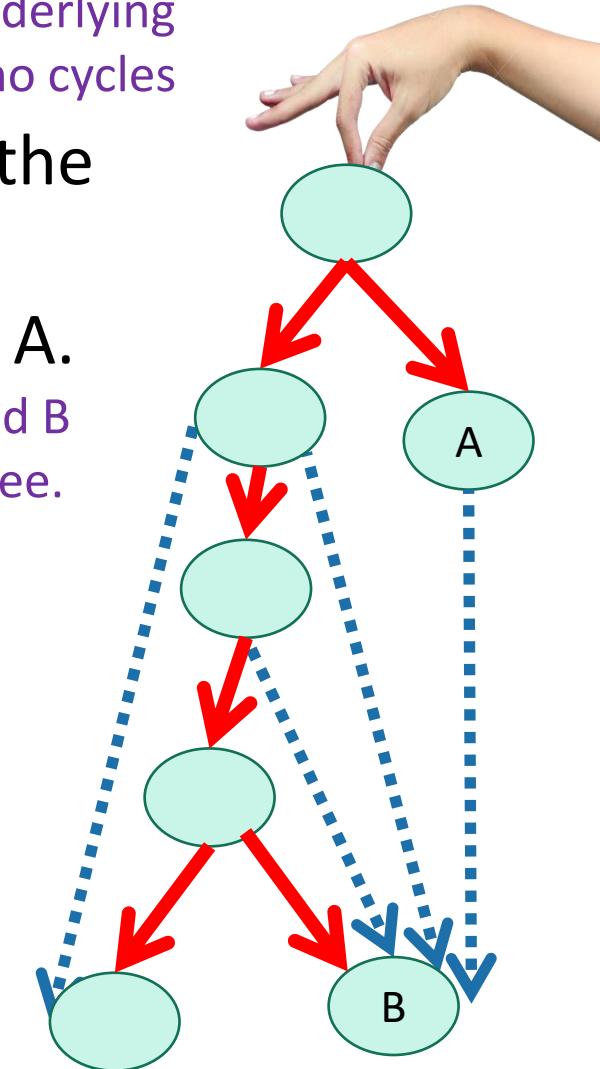
Then $B.\text{finishTime} < A.\text{finishTime}$

Suppose the underlying graph has no cycles

- **Case 2:** B is a **NOT** descendant of A in the DFS tree.
- Then we must have explored B before A.
 - Otherwise we would have gotten to B from A, and B would have been a descendant of A in the DFS tree.
- Then



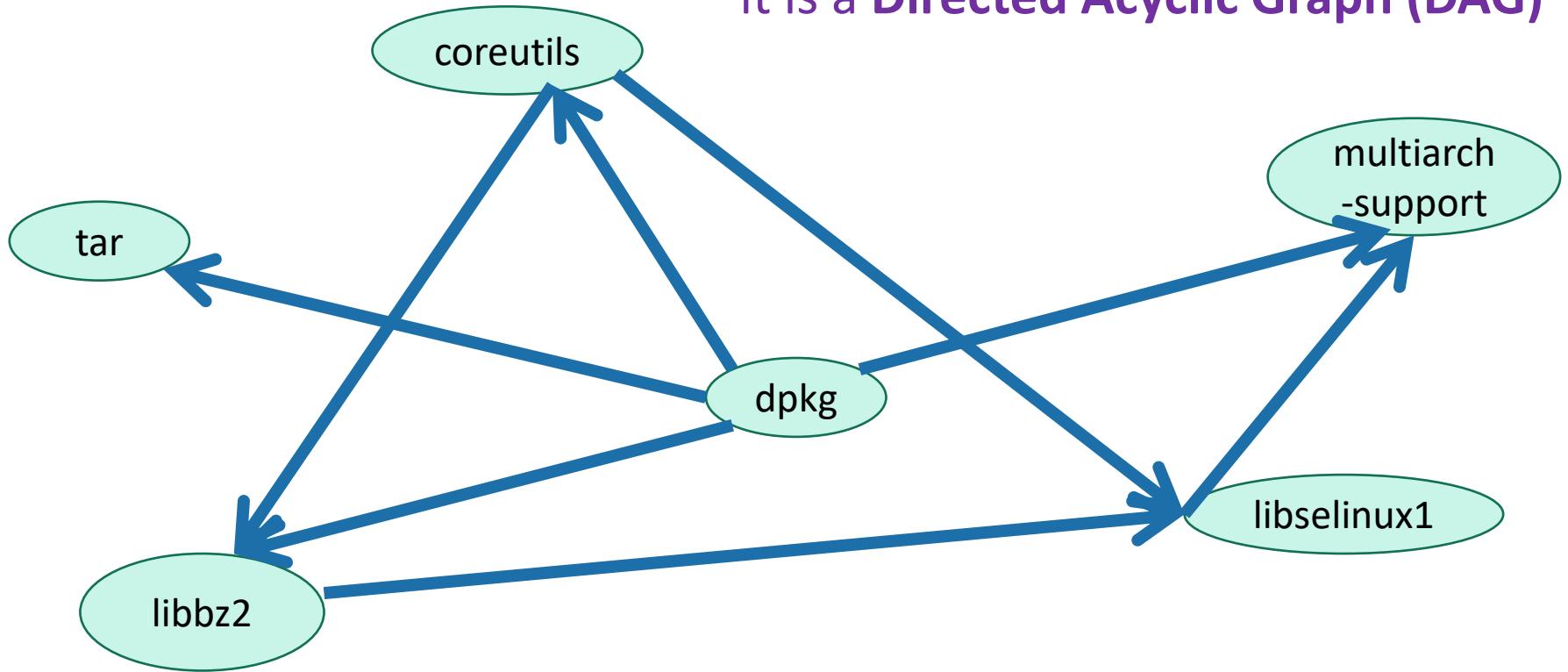
- aka, $B.\text{finishTime} < A.\text{finishTime}$.



Back to this problem

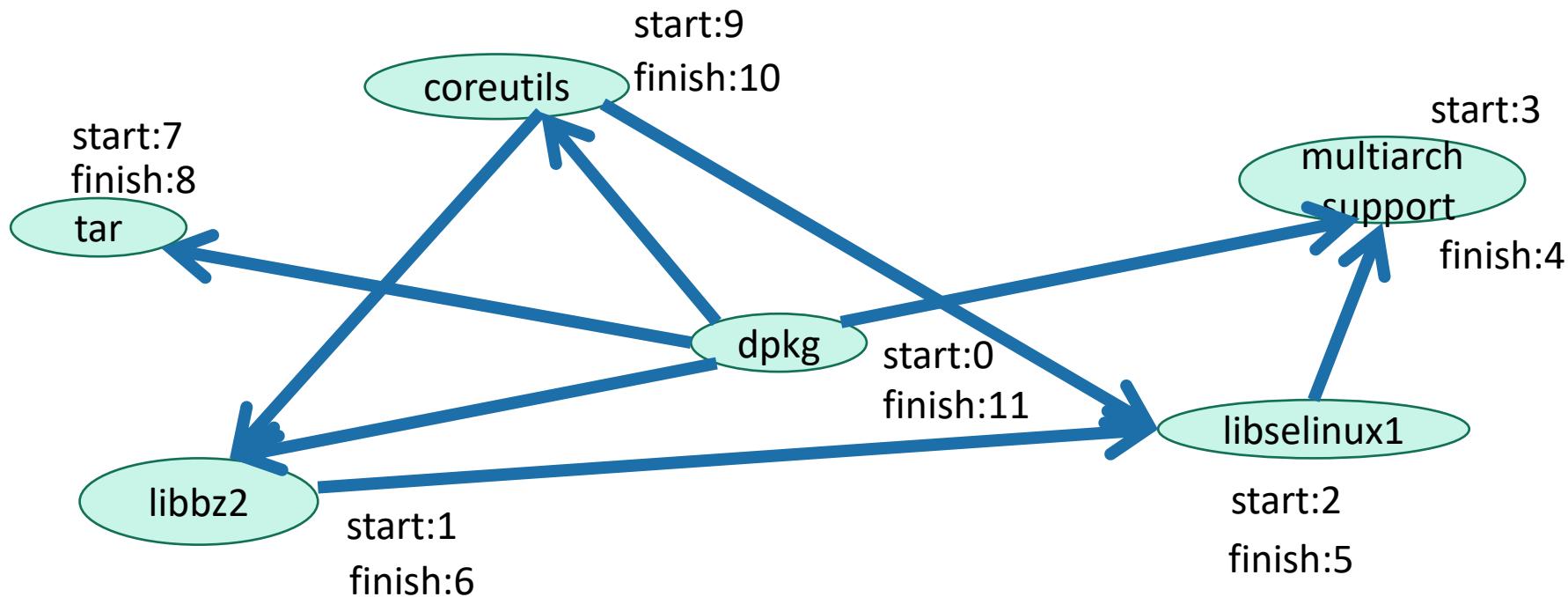
- Question: in what order should I install packages?

Suppose the dependency graph has no cycles:
it is a **Directed Acyclic Graph (DAG)**



In reverse order of finishing time

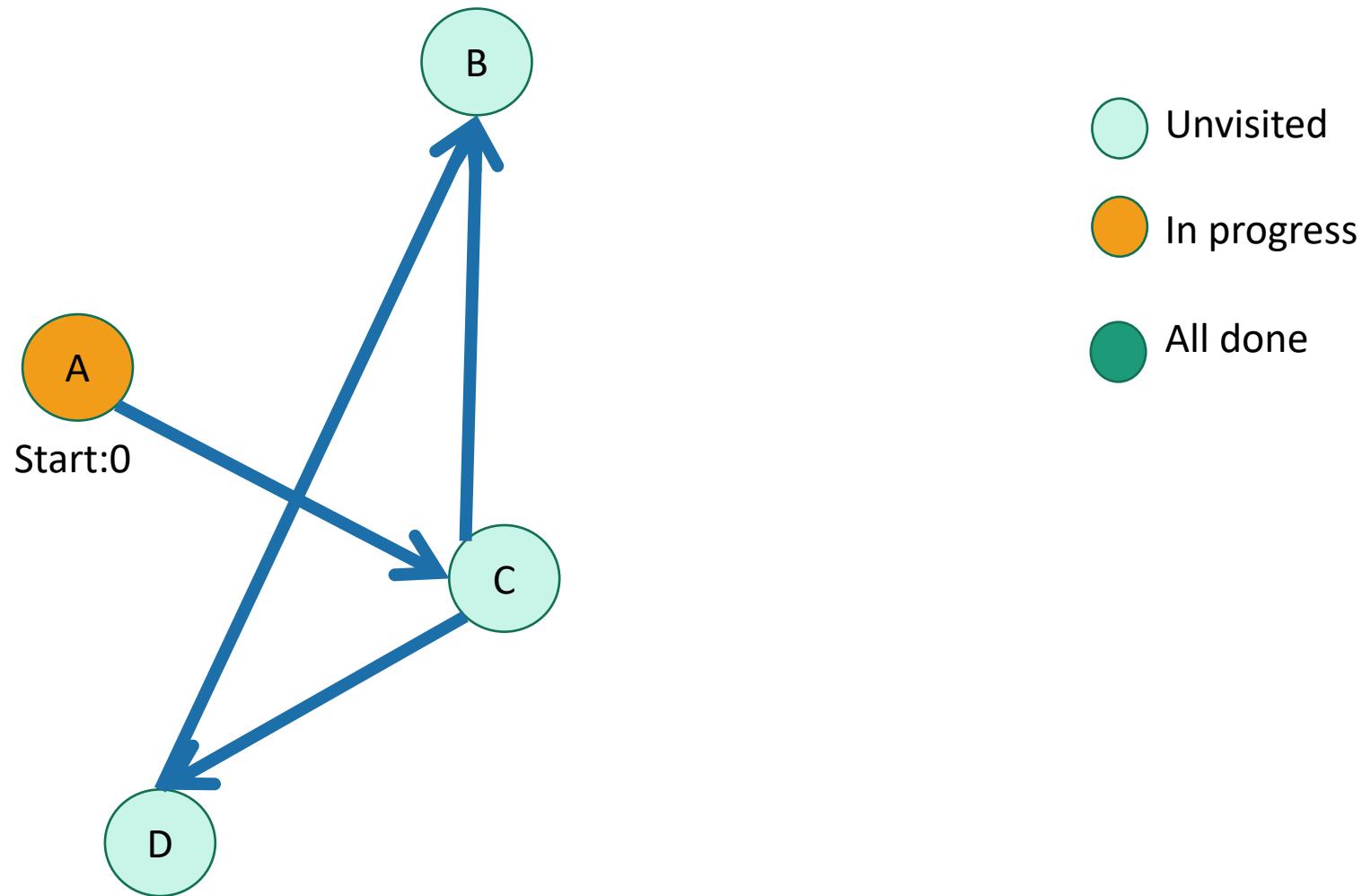
- Do DFS
 - Maintain a list of packages, in the order you want to install them.
 - When you mark a vertex as **all done**, put it at the **beginning** of the list.
- dpkg
 - coreutils
 - tar
 - libbz2
 - libselinux1
 - multiarch_support



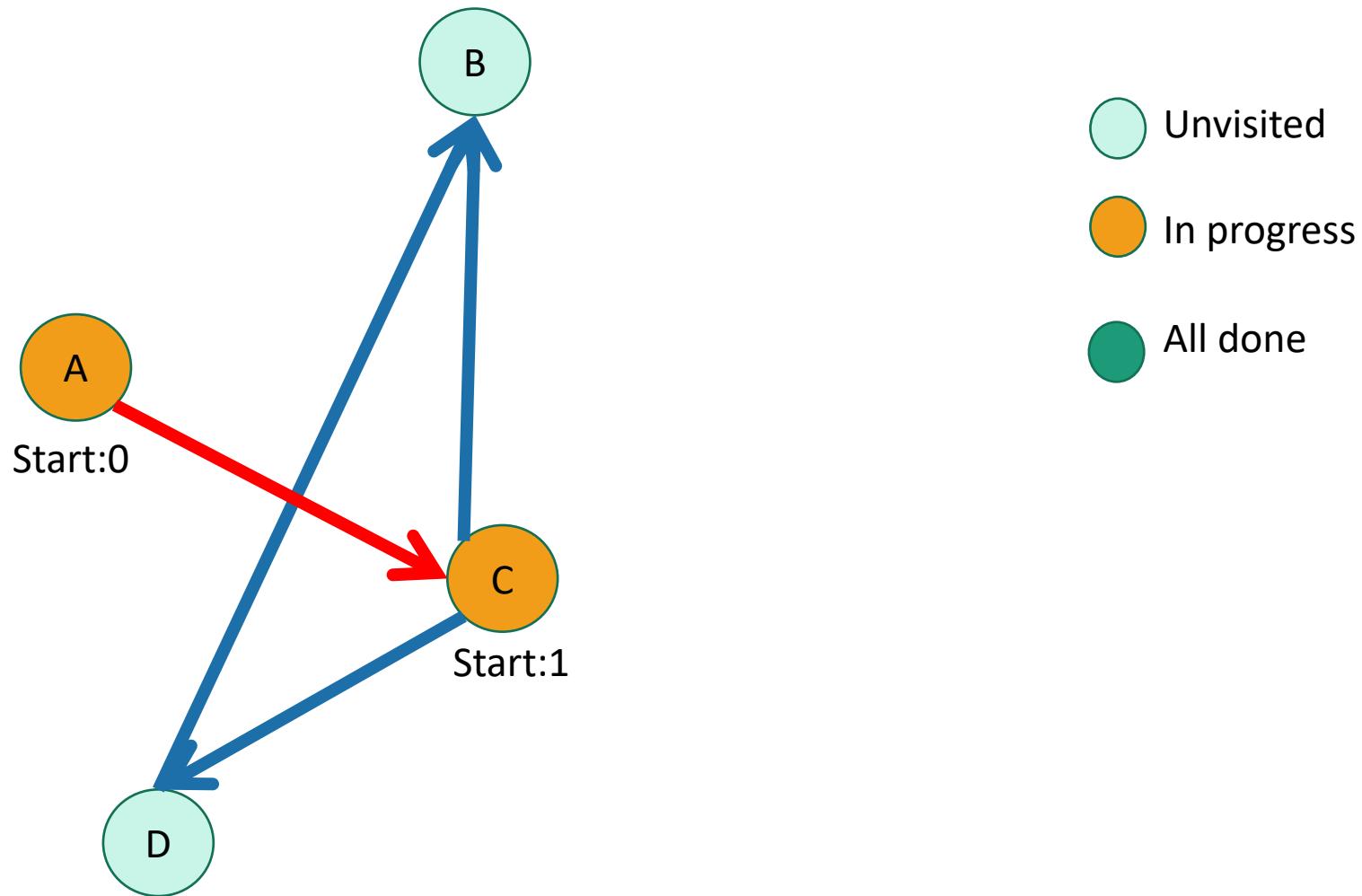
What did we just learn?

- DFS can help you solve the **TOPOLOGICAL SORTING PROBLEM**
 - That's the fancy name for the problem of finding an ordering that respects all the dependencies
- Thinking about the DFS tree is helpful.

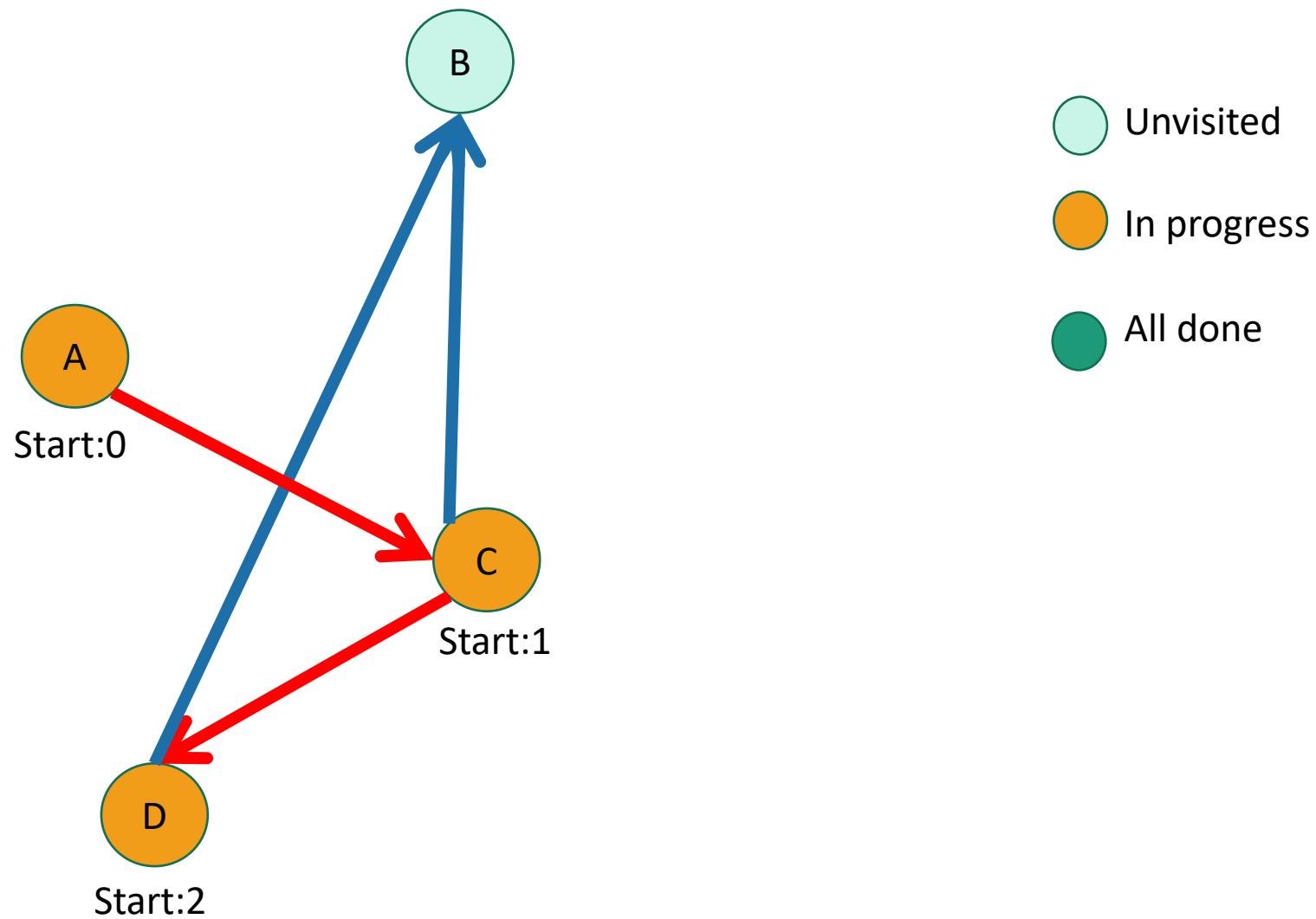
Example:



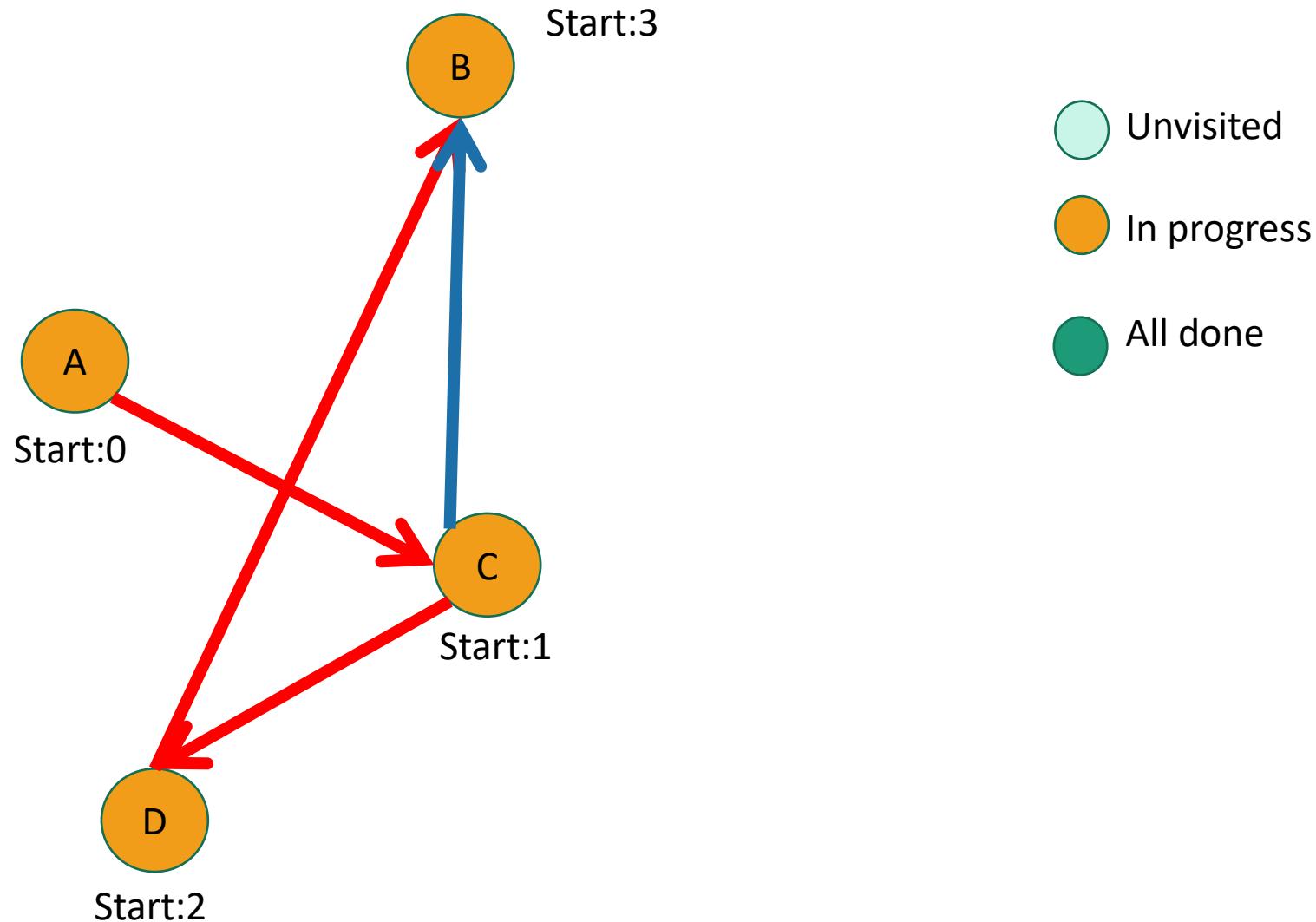
Example



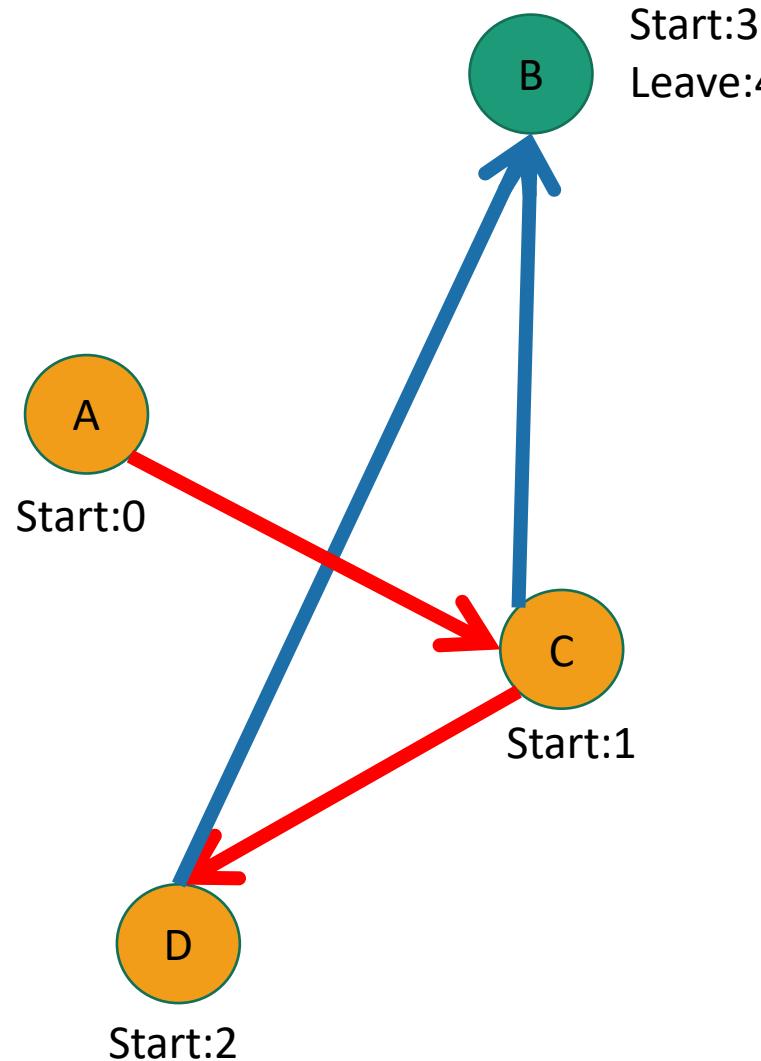
Example



Example



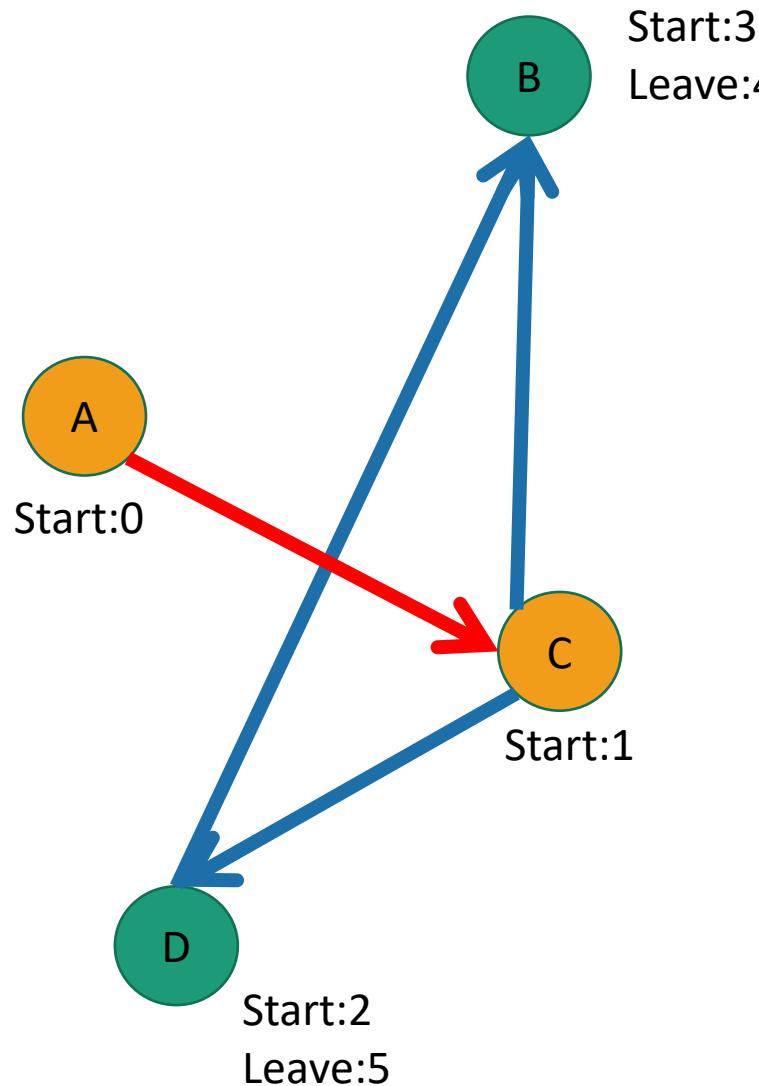
Example



- Unvisited (Light Green)
- In progress (Orange)
- All done (Teal)



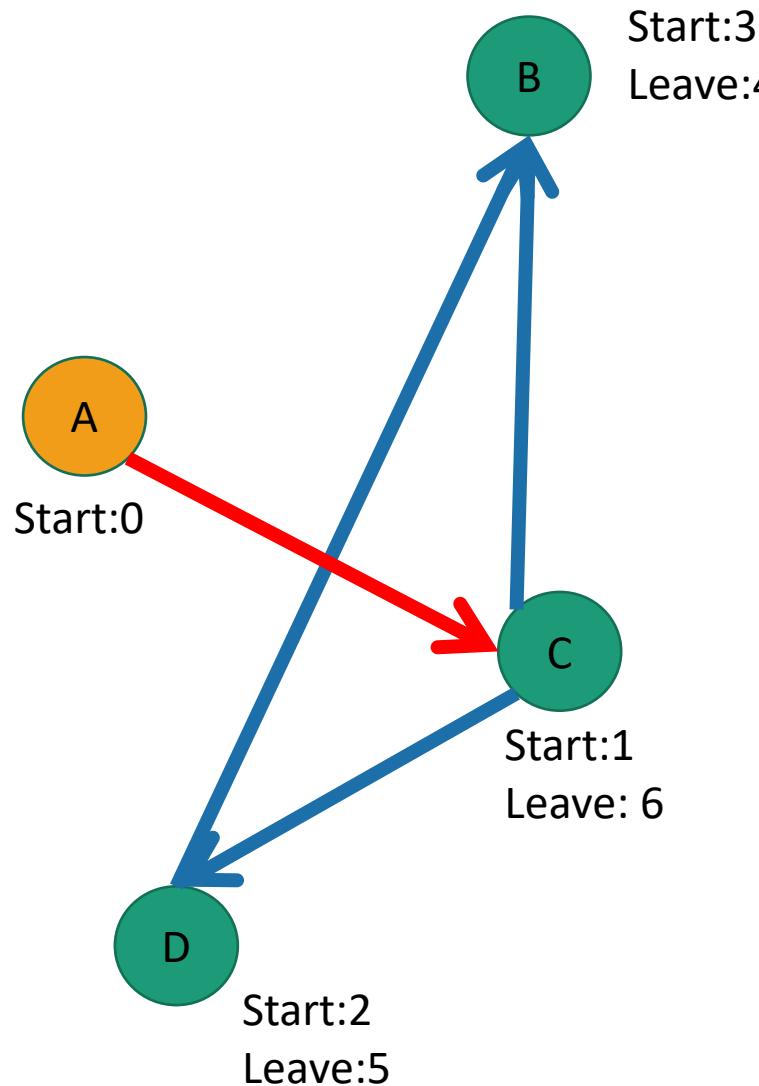
Example



- Unvisited (light green circle)
- In progress (orange circle)
- All done (teal circle)



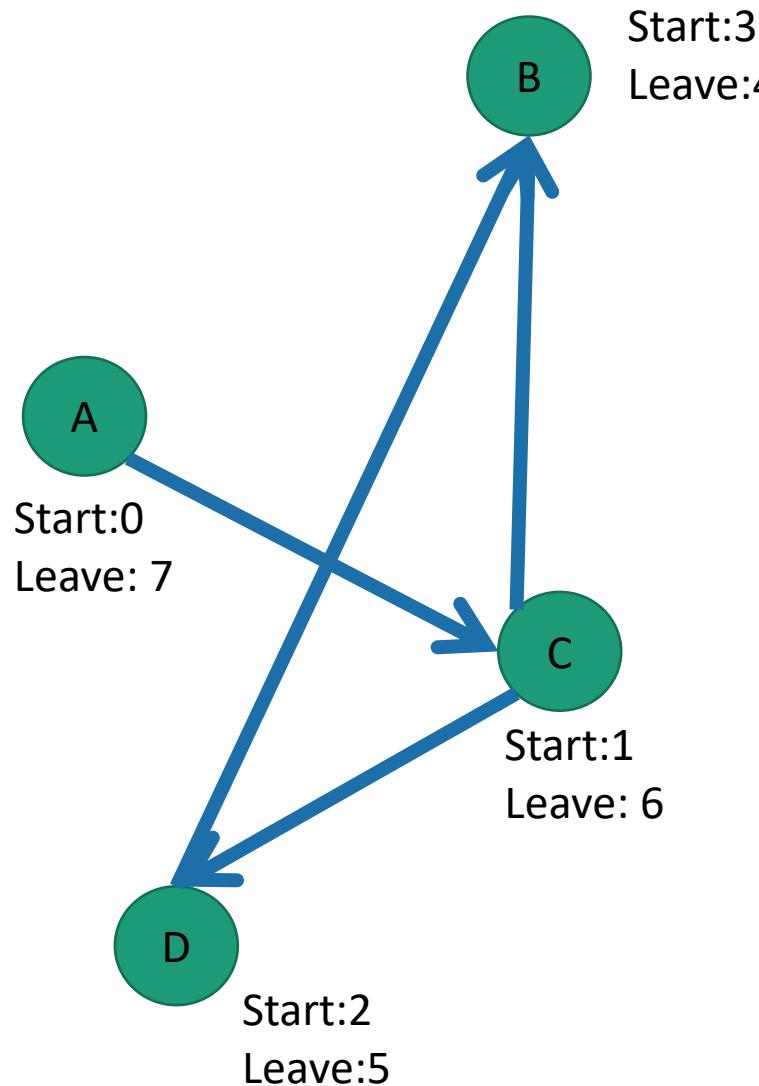
Example



- Unvisited
- In progress
- All done



Example



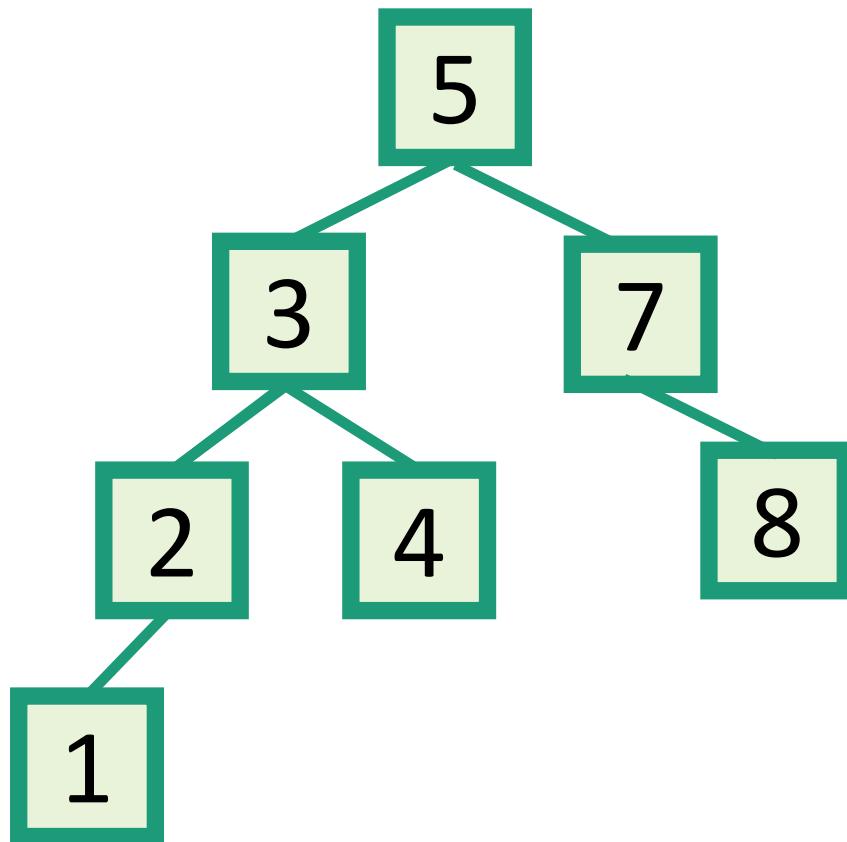
- Unvisited (light green circle)
- In progress (orange circle)
- All done (dark green circle)

Do them in this order:



Another use of DFS

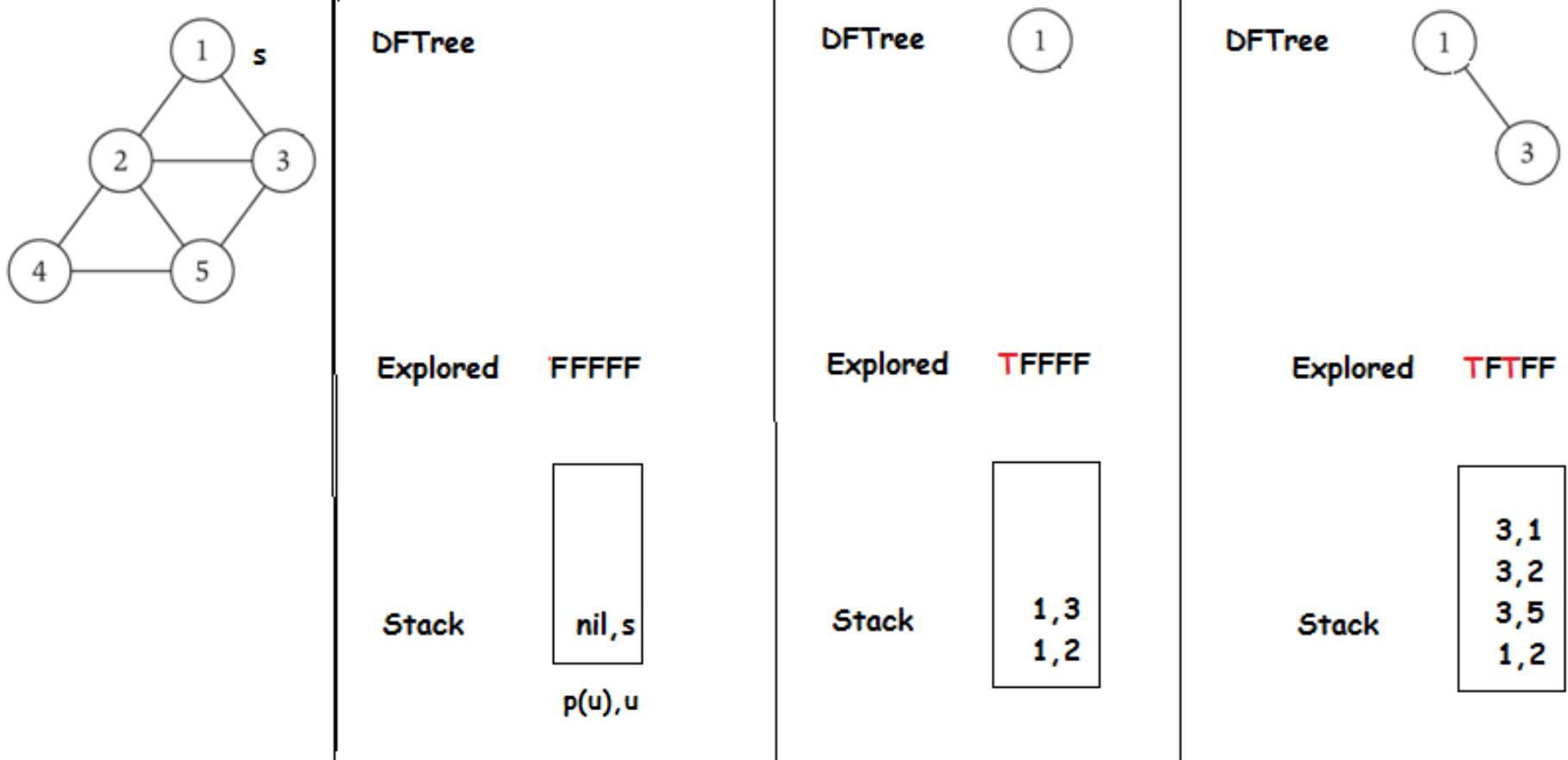
- In-order enumeration of binary search trees



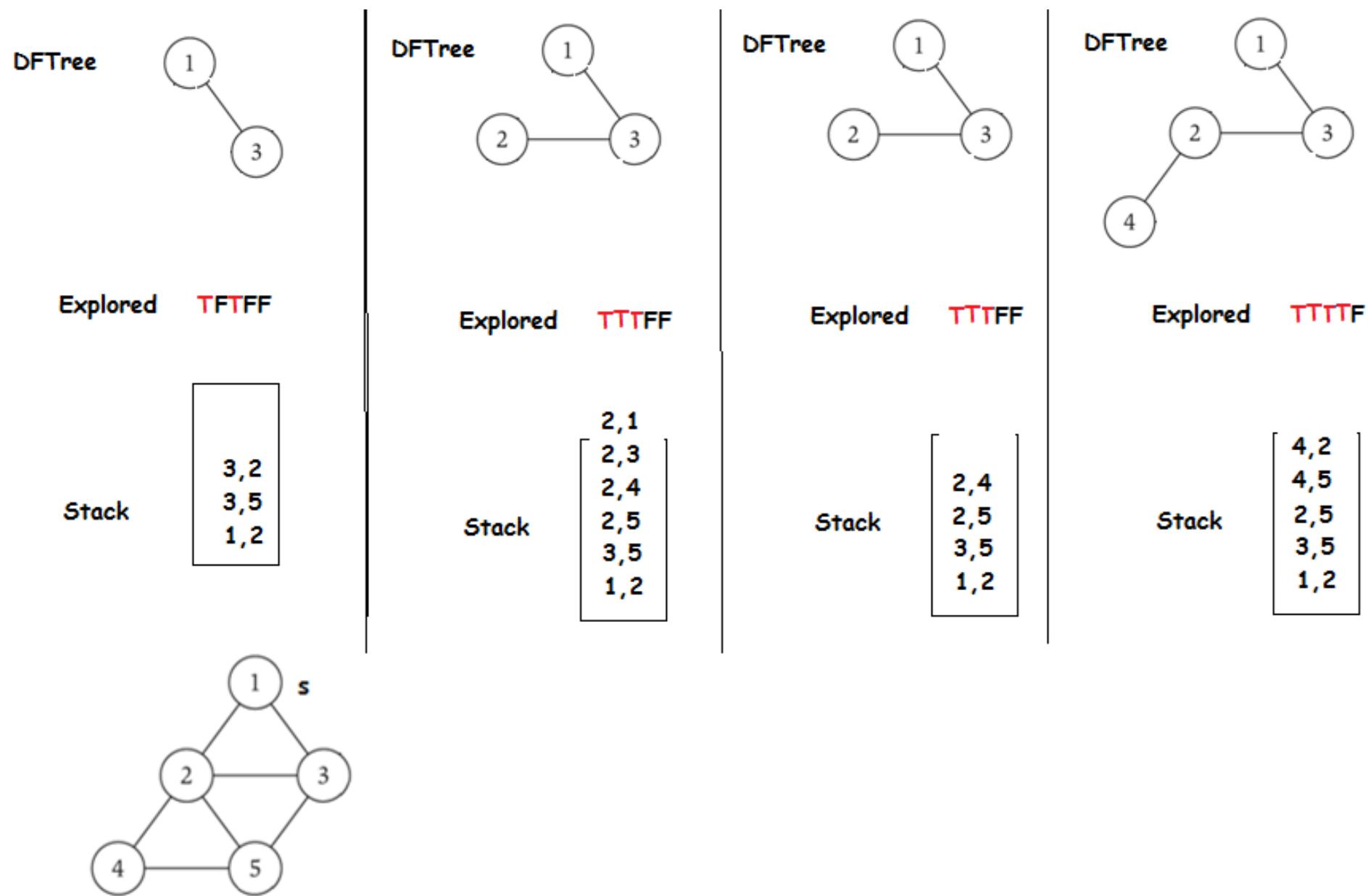
Given a binary search tree, output all the nodes **in order**.

Instead of outputting a node when you are done with it, output it when you are done with the left child and before you begin the right child.

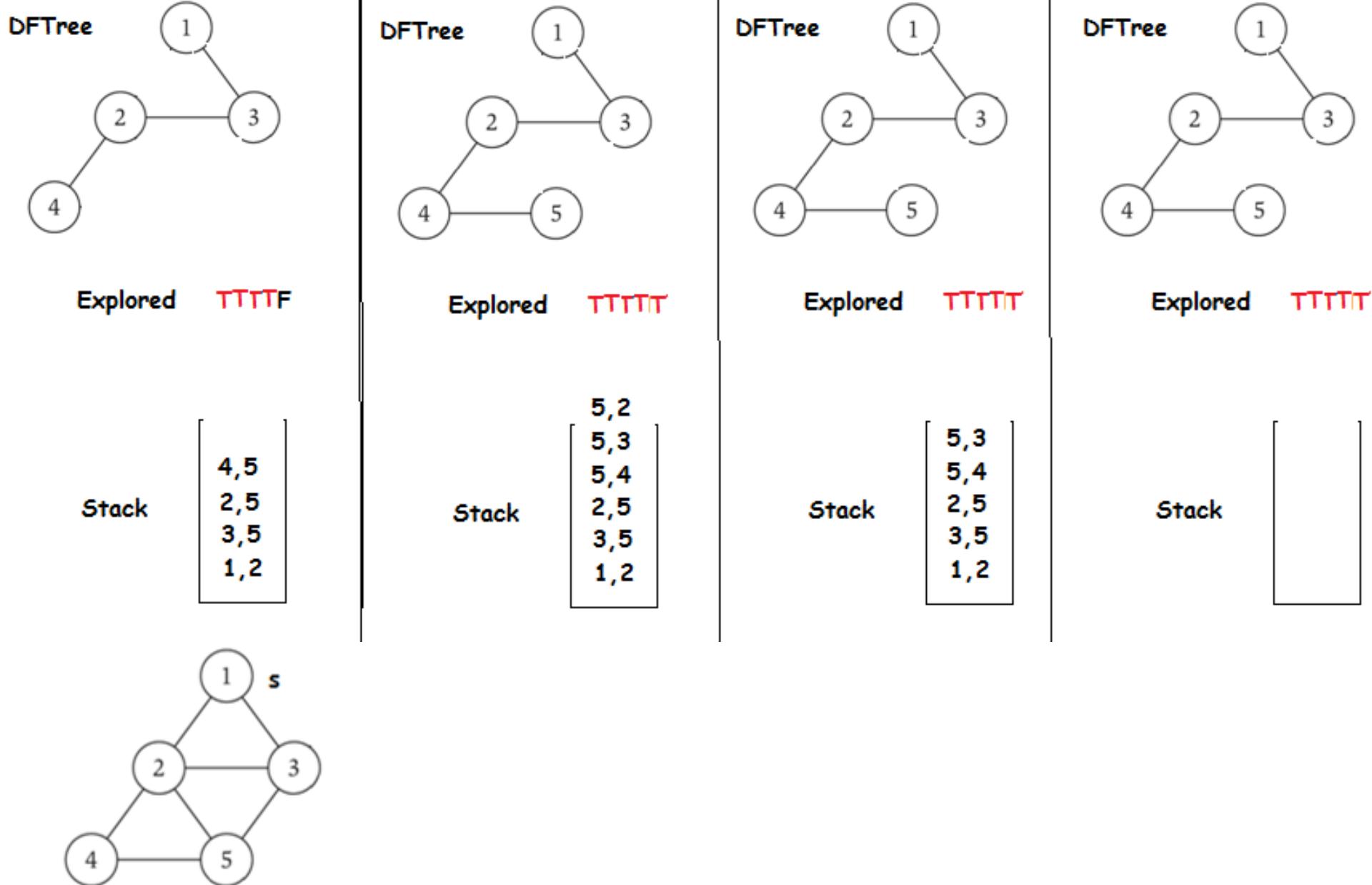
DFS Construction Example



DFS Construction Example



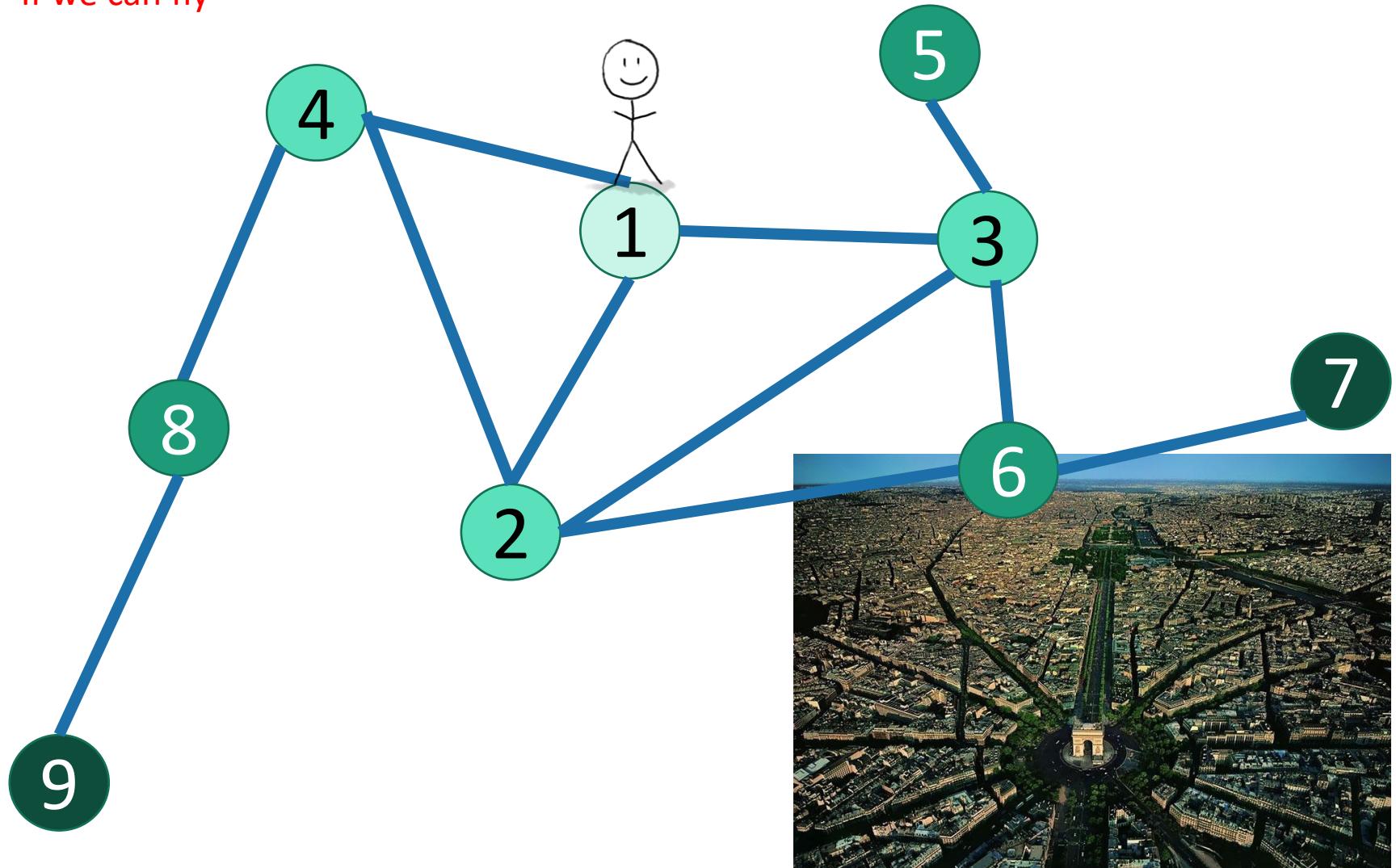
DFS Construction Example



Part 2: breadth-first search

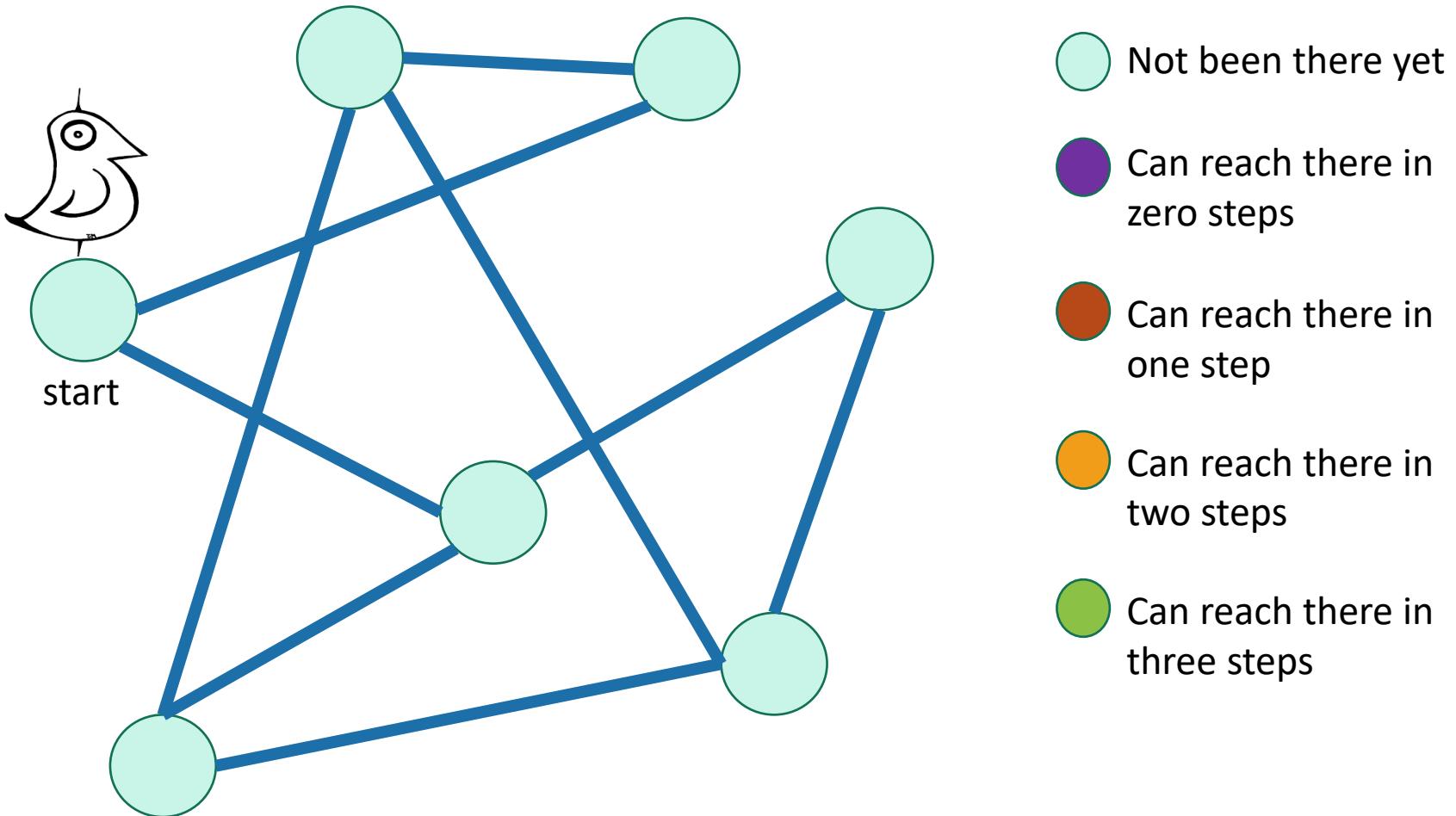
How do we explore a graph?

If we can fly



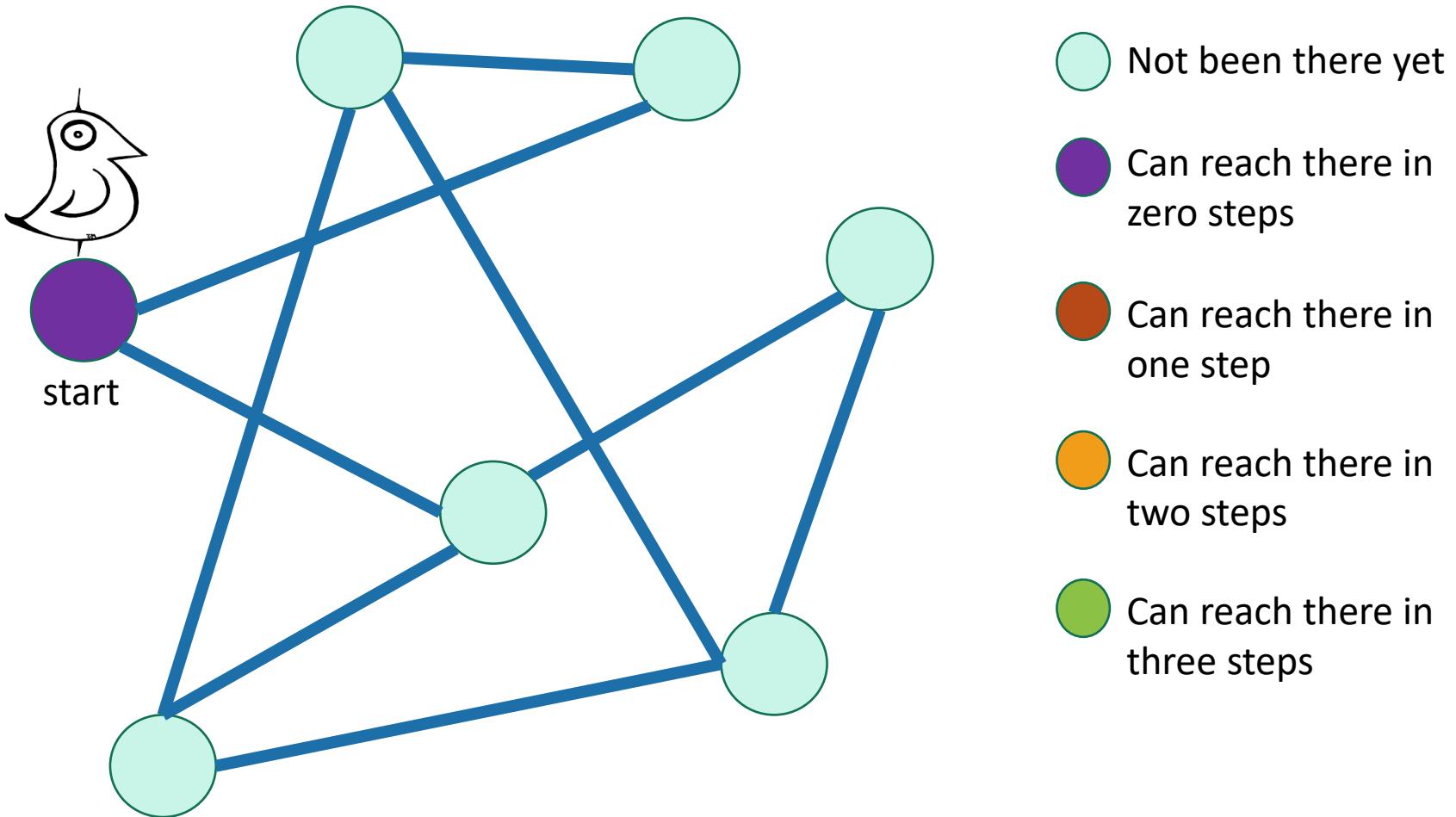
Breadth-First Search

Exploring the world with a bird's-eye view



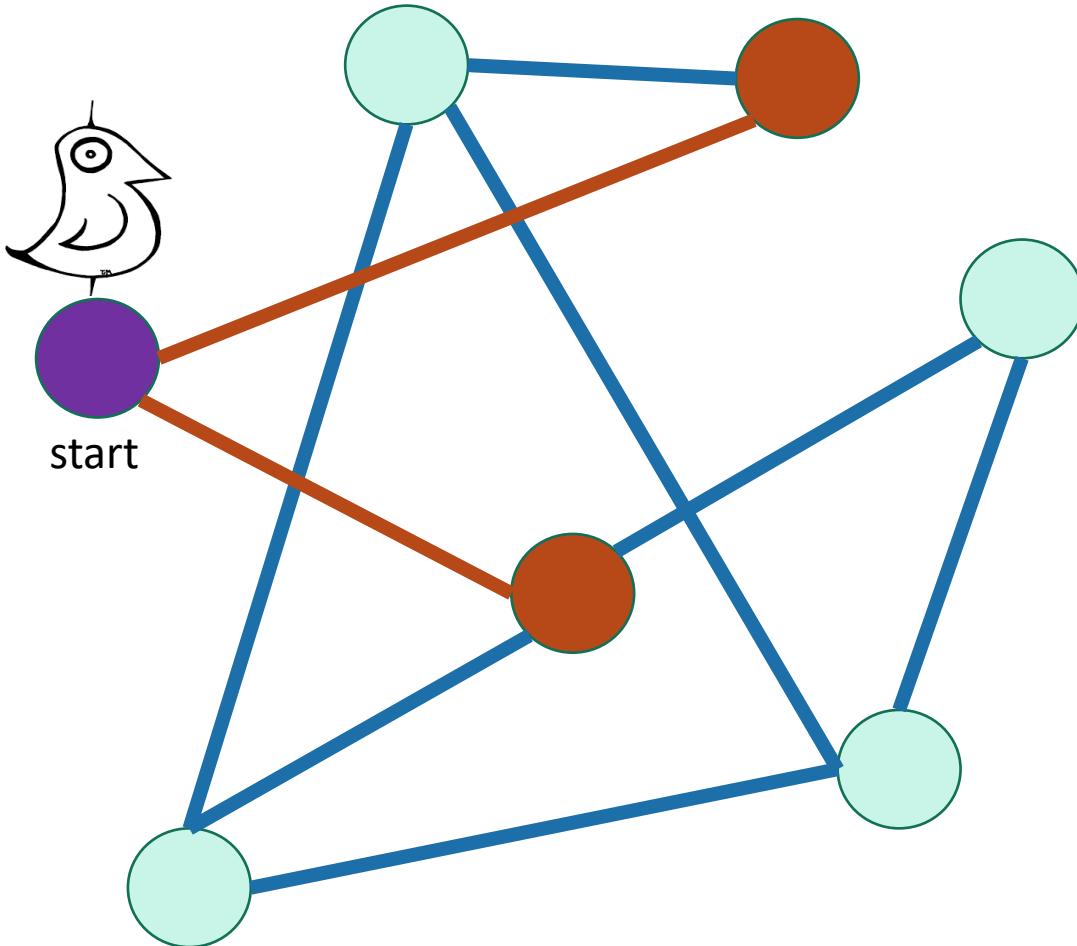
Breadth-First Search

Exploring the world with a bird's-eye view



Breadth-First Search

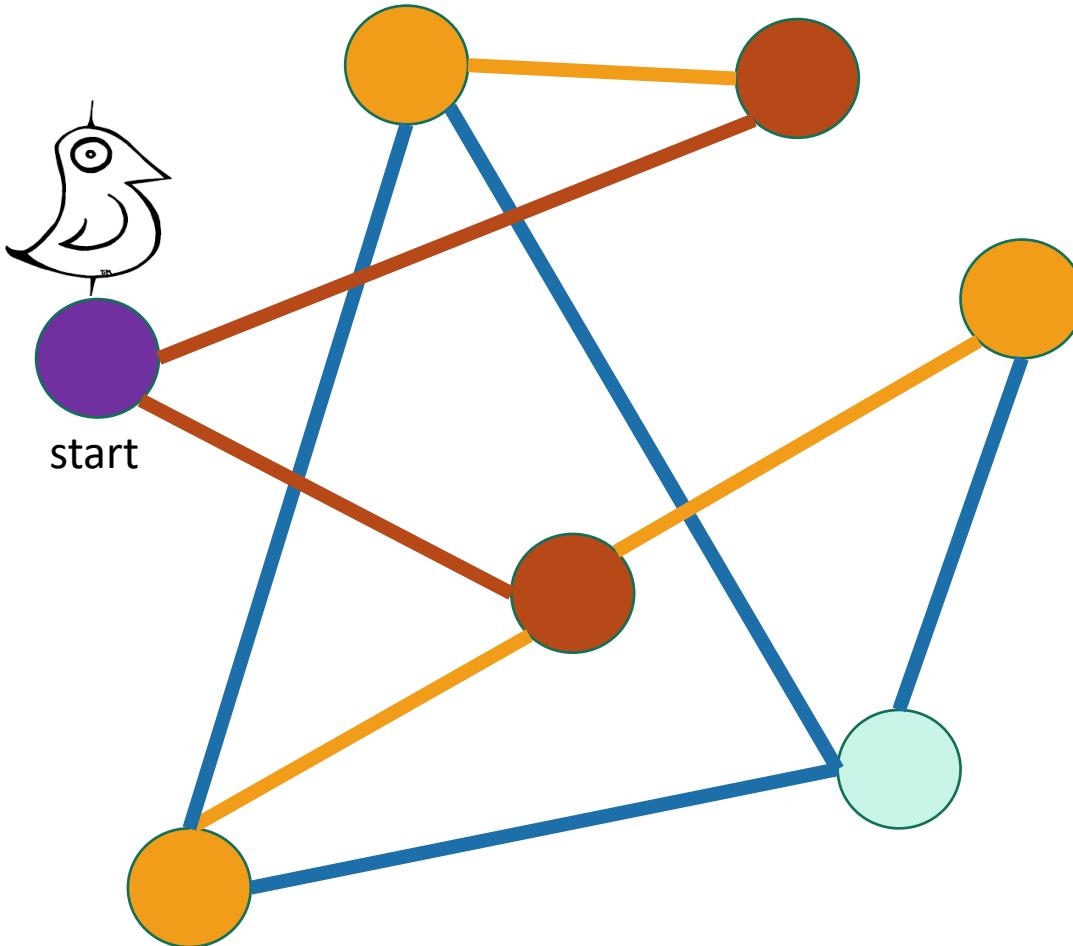
Exploring the world with a bird's-eye view



- Not been there yet
- Can reach there in zero steps
- Can reach there in one step
- Can reach there in two steps
- Can reach there in three steps

Breadth-First Search

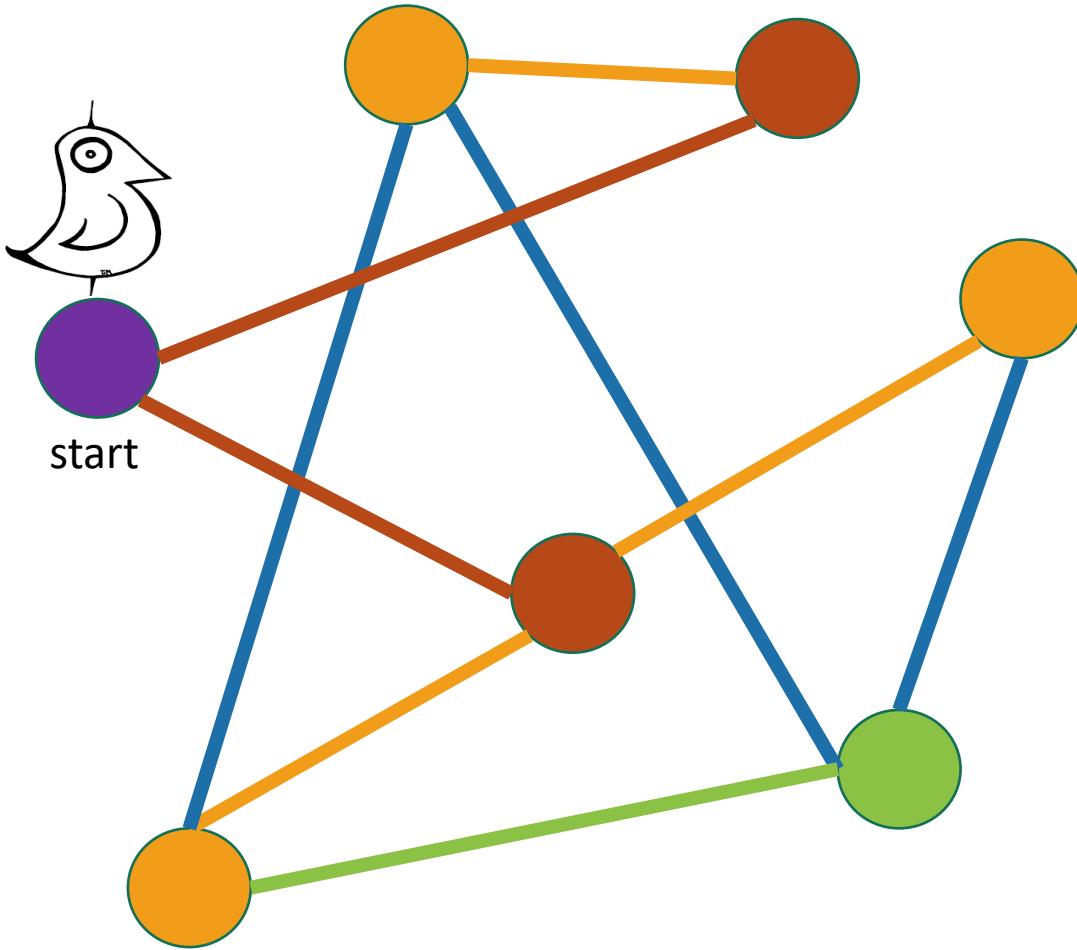
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Breadth-First Search

Exploring the world with a bird's-eye view



- Not been there yet
- Can reach there in zero steps
- Can reach there in one step
- Can reach there in two steps
- Can reach there in three steps

World:
explored!

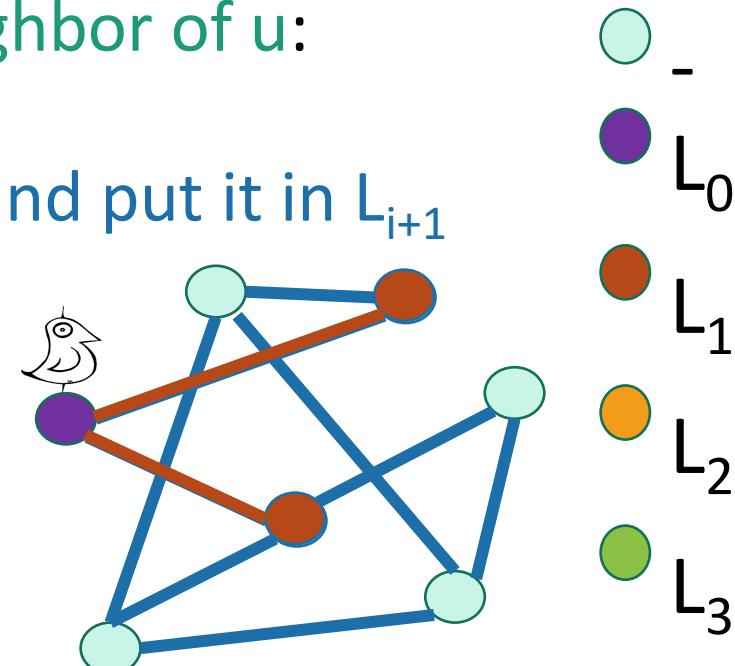
Breadth-First Search

Exploring the world with pseudocode

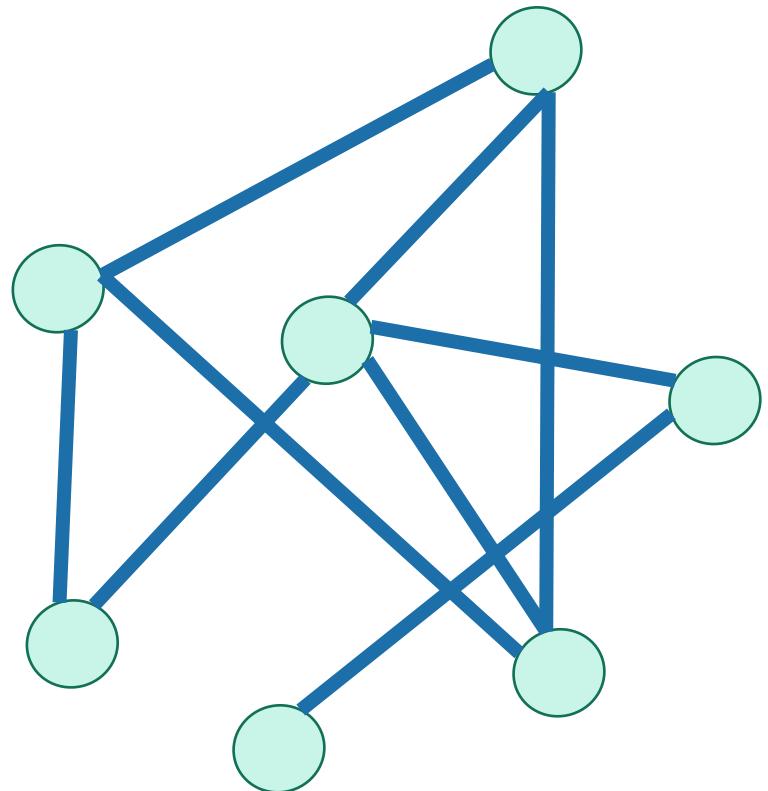
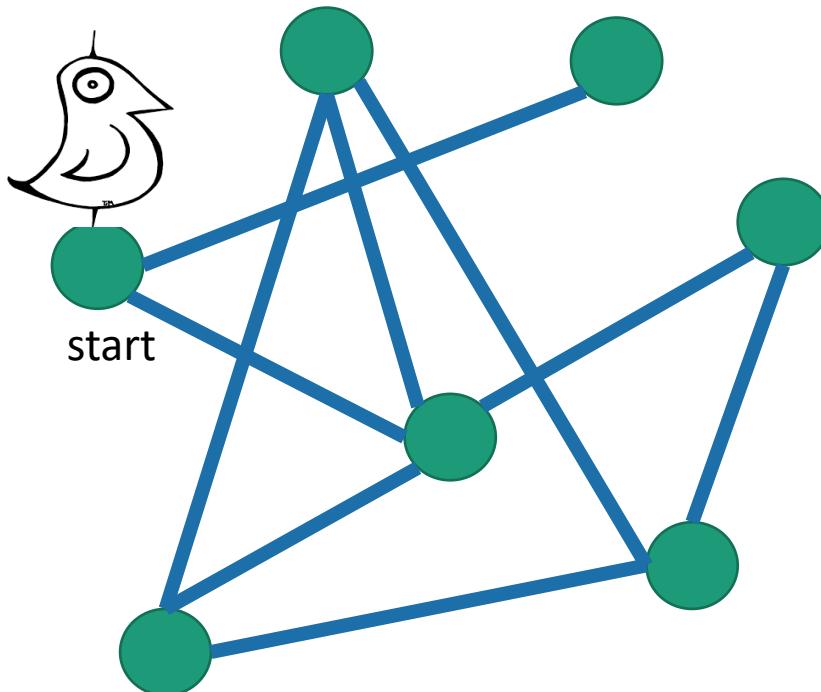
- Set $L_i = []$ for $i=1,\dots,n$
- $L_0 = \{w\}$, where w is the start node
- **For** $i = 0, \dots, n-1$:
 - **For** u in L_i :
 - **For** each v which is a neighbor of u :
 - **If** v isn't yet visited:
 - mark v as visited, and put it in L_{i+1}

L_i is the set of nodes we can reach in i steps from w

Go through all the nodes in L_i and add their unvisited neighbors to L_{i+1}



BFS also finds all the nodes
reachable from the starting point



It is also a good way to find all
the **connected components**.

Running time

To explore the whole thing

- Explore the connected components one-by-one.
- Same argument as DFS: running time is

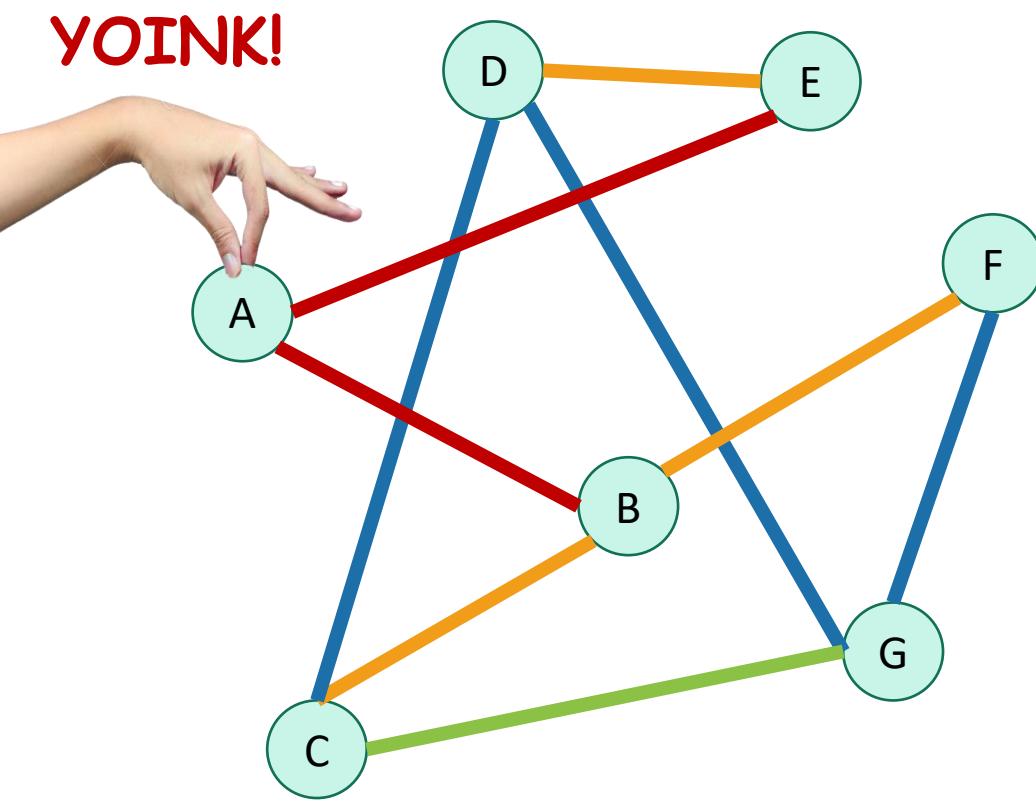
$$O(n + m)$$

Verify these!

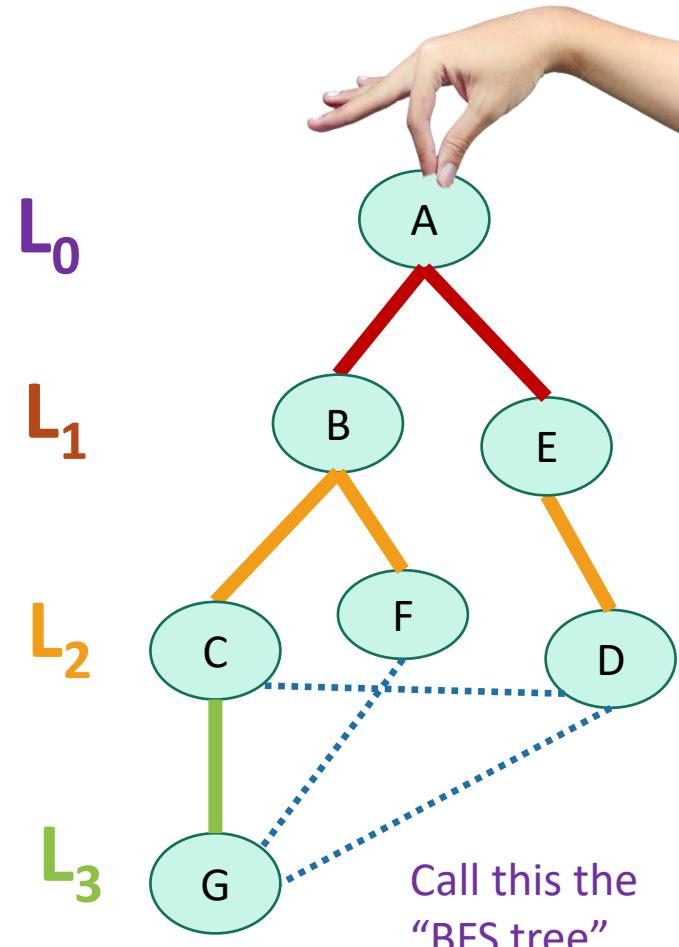
- Like DFS, BFS also works fine on directed graphs.

Why is it called breadth-first?

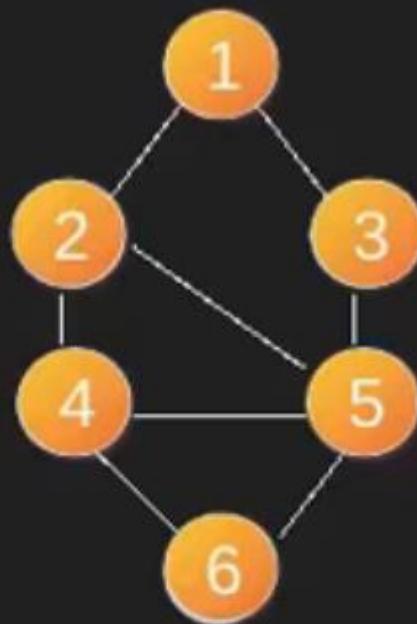
- We are implicitly building a tree:



- And first we go as broadly as we can.



Breadth First Search- Example



Visited :

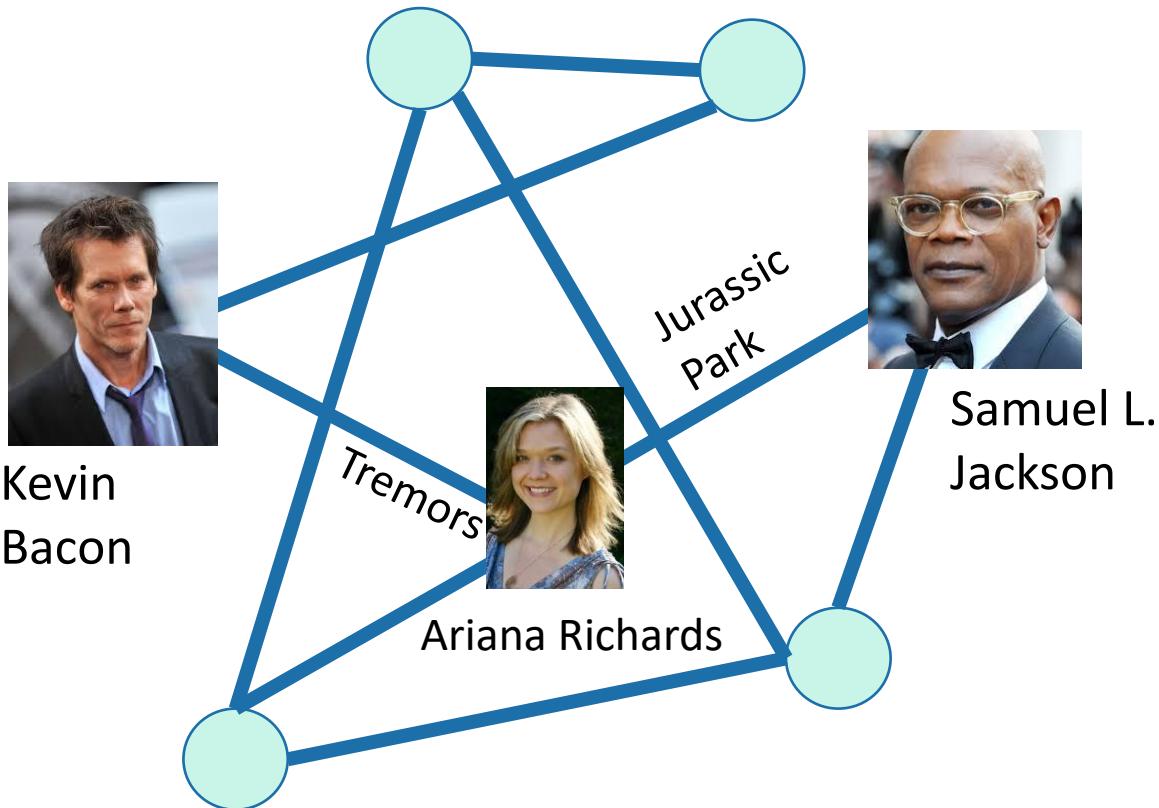
| | | | | | |
|---|---|---|---|---|---|
| 1 | 2 | 3 | 4 | 5 | 6 |
| 1 | 1 | 1 | 1 | 1 | 1 |

Queue :

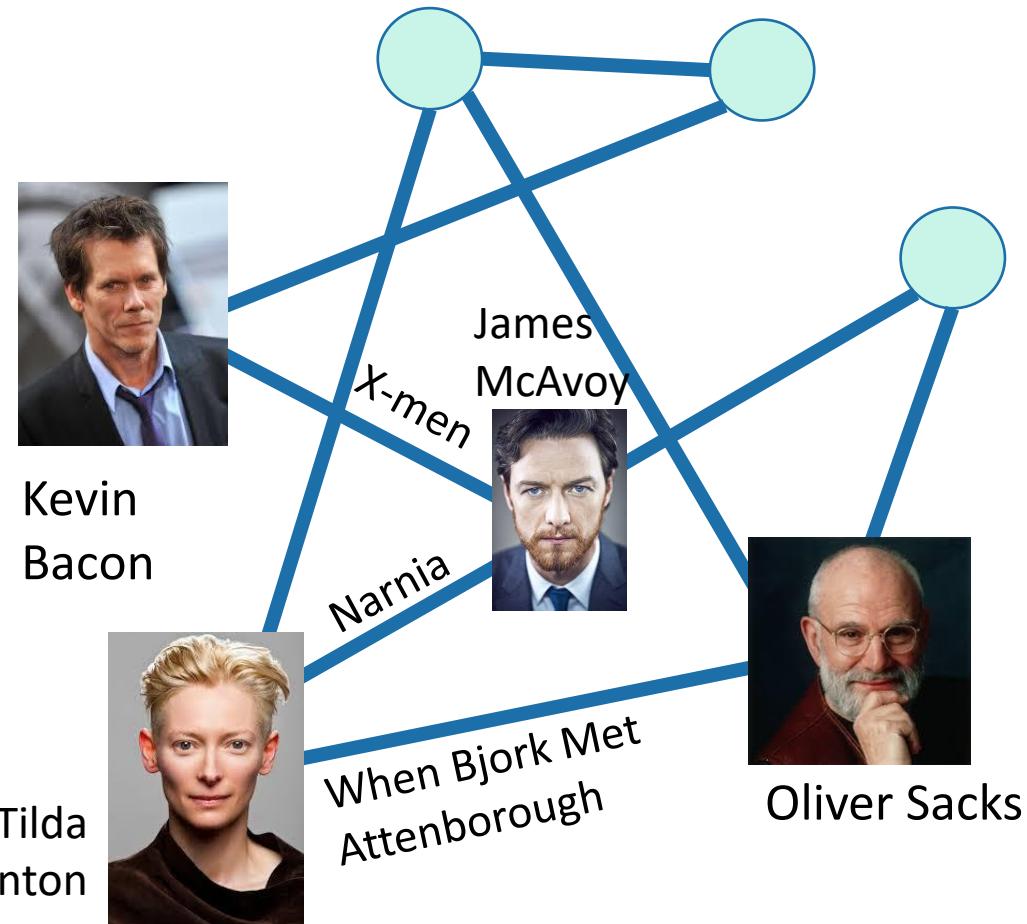
Print : 1 2 3 4 5 6

Bacon number

- What Samuel L. Jackson's Bacon number?



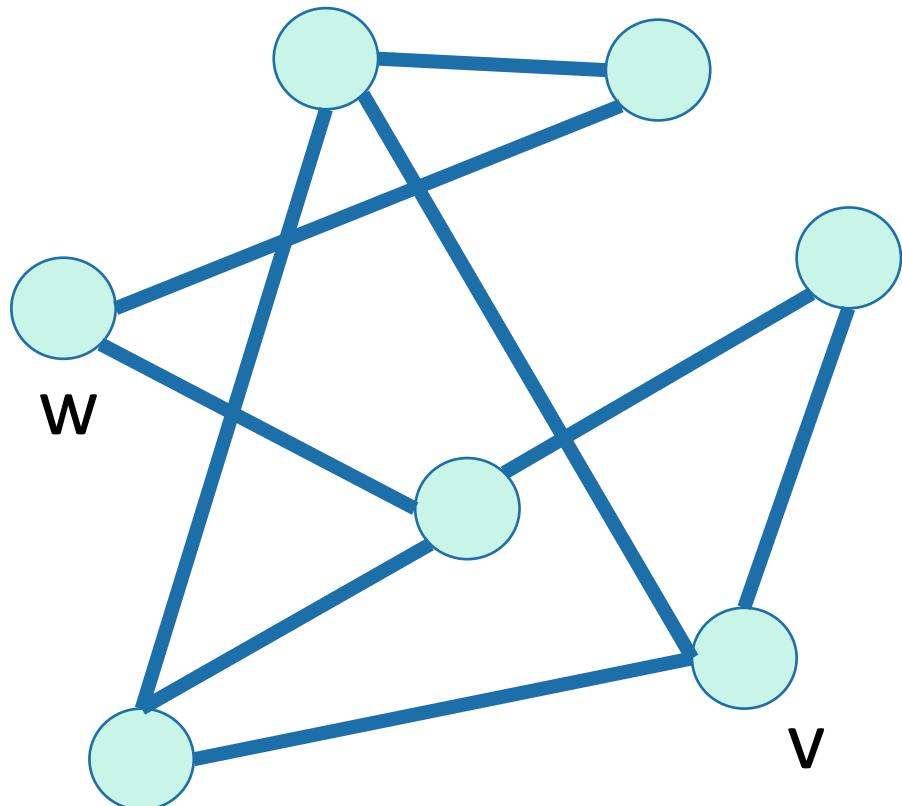
Bacon number



It is really hard to find people with Bacon number 3!

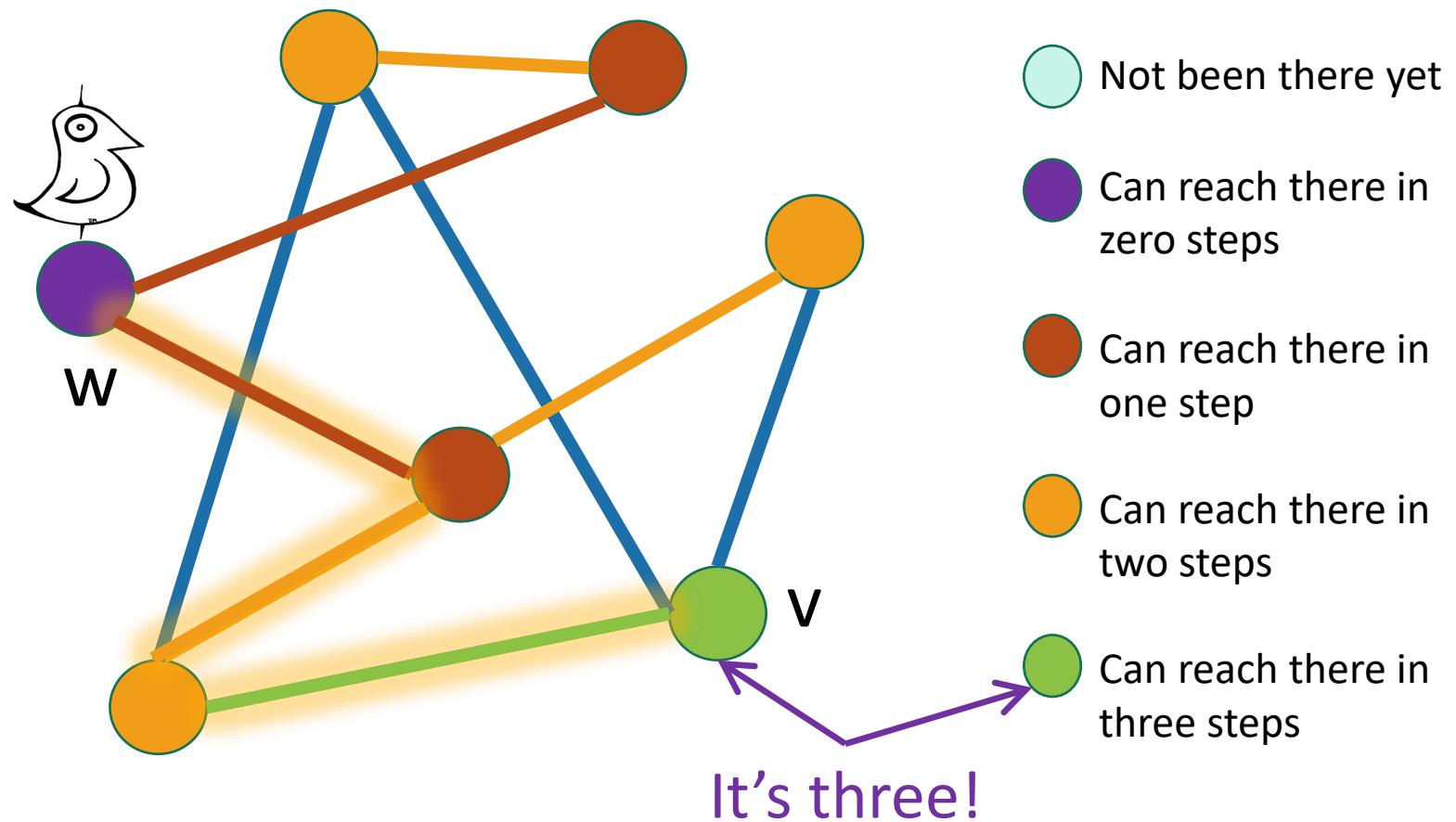
Application: shortest path

- How long is the shortest path between w and v?



Application: shortest path

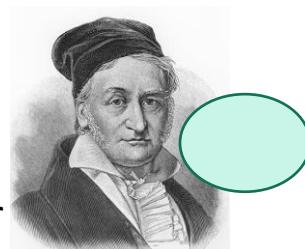
- How long is the shortest path between w and v?



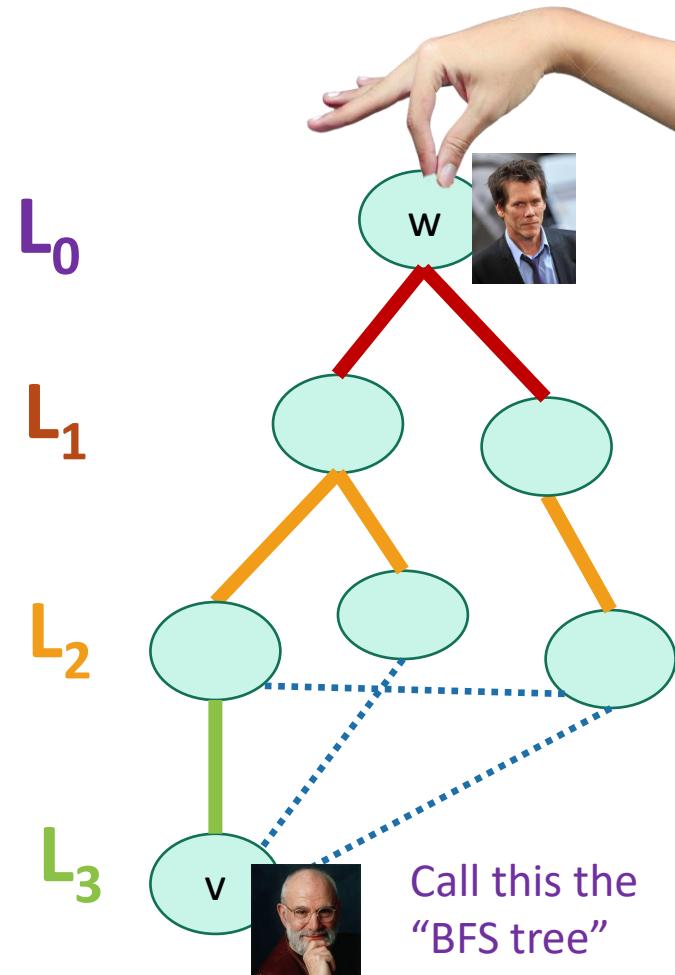
To find the **distance** between w and all other vertices v

- Do a BFS starting at w
- For all v in L_i
 - The shortest path between w and v has length i
 - A shortest path between w and v is given by the path in the BFS tree.
- If we never found v, the distance is infinite.

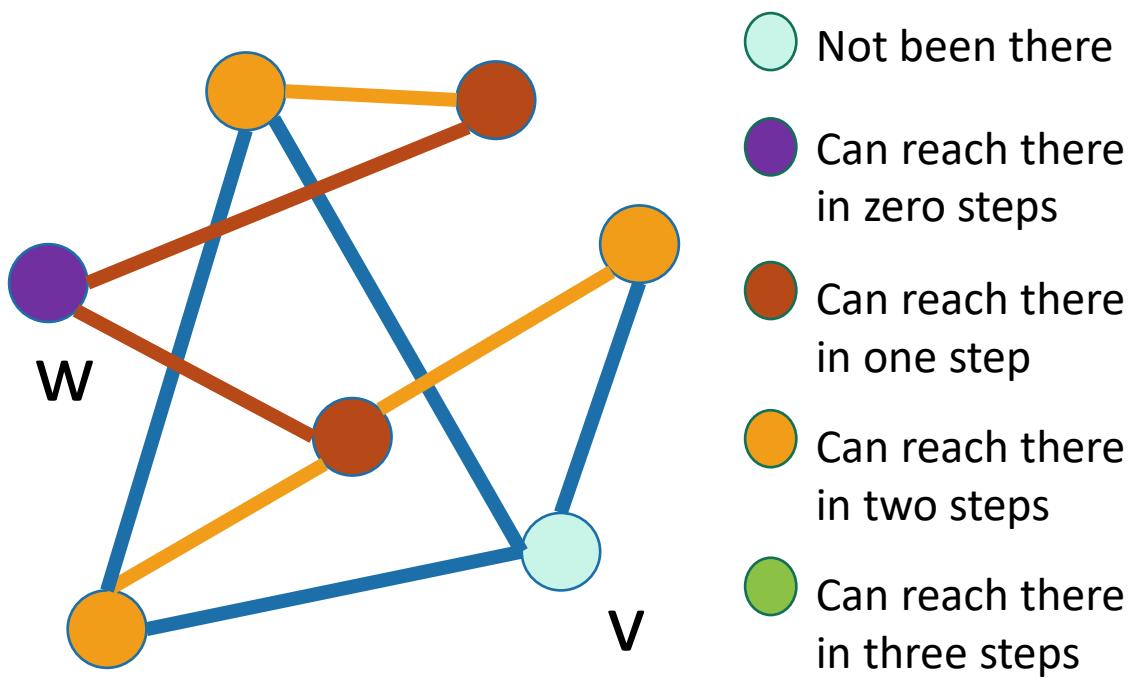
Gauss has no Bacon number



The **distance** between two vertices is the length of the shortest path between them.



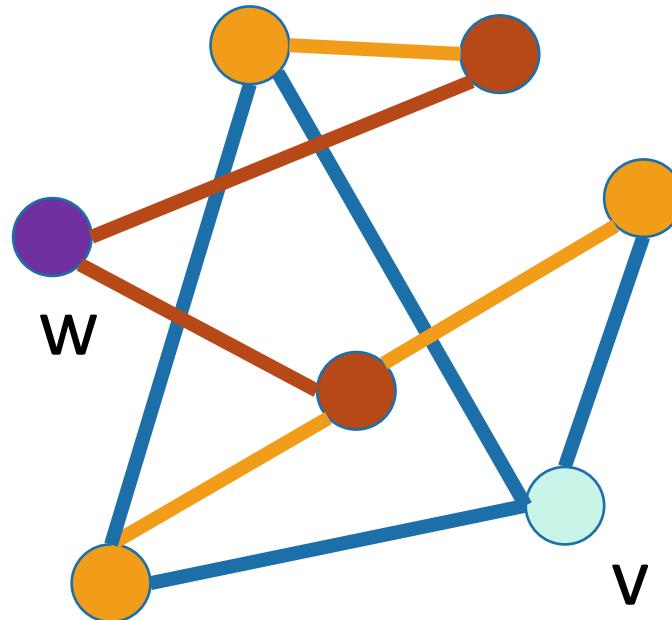
Proof idea



Proof idea

Just the idea...see
CLRS for details!

- Suppose by **induction** it's true for vertices in L_0, L_1, L_2
 - For all $i < 3$, the vertices in L_i have distance i from v .
- **Want to show:** it's true for vertices of distance 3 also.
 - aka, the shortest path between w and v has length 3.
- **Well, it has distance at most 3**
 - Since we just found a path of length 3
- **And it has distance at least 3**
 - Since if it had distance $i < 3$, it would have been in L_i .



- Not been there
- Can reach there in zero steps
- Can reach there in one step
- Can reach there in two steps
- Can reach there in three steps

n vertices and m edges.

What did we just learn?

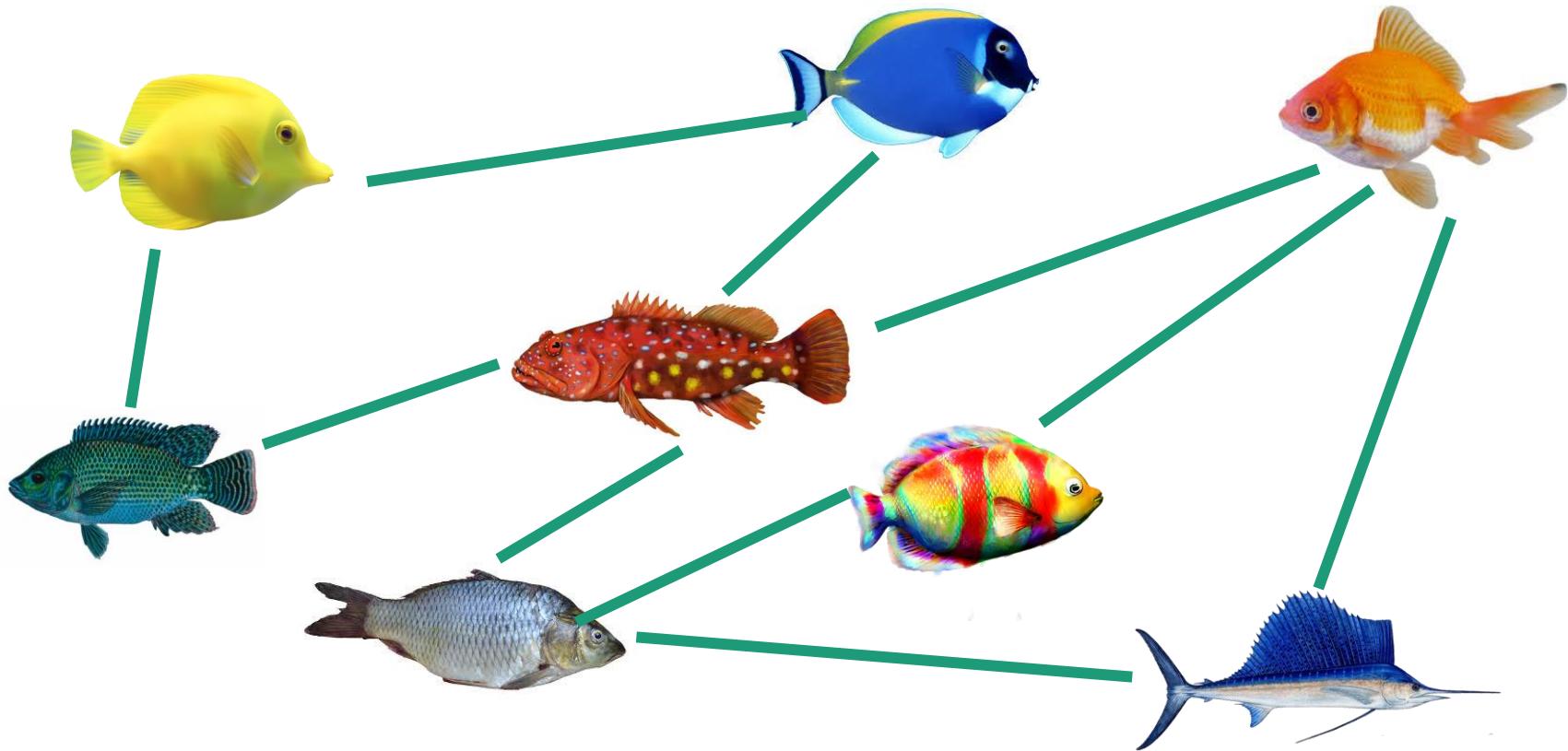
- The BFS tree is useful for computing distances between pairs of vertices.
- We can find the shortest path between u and v in time $O(m)$.

The BSF tree is also helpful for:

- Testing if a graph is bipartite or not.

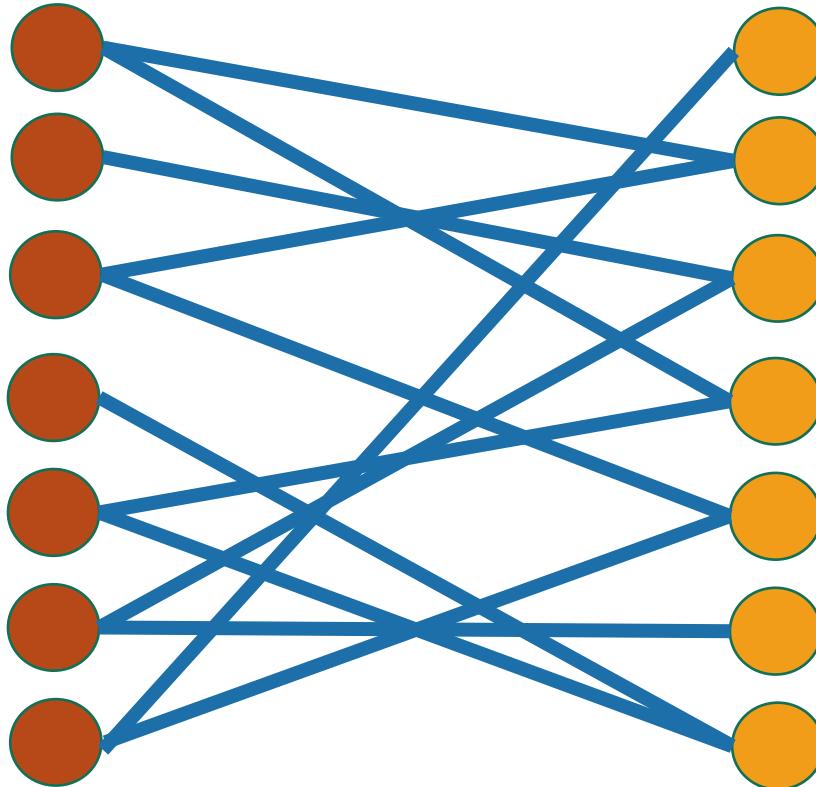
Fish Example

- Some pairs of species will fight if put in the same tank.
- You only have two tanks.
- Connected fish will fight.



Application: testing if a graph is bipartite

- Bipartite means it looks like this:



Can color the vertices red and orange so that there are no edges between any same-colored vertices

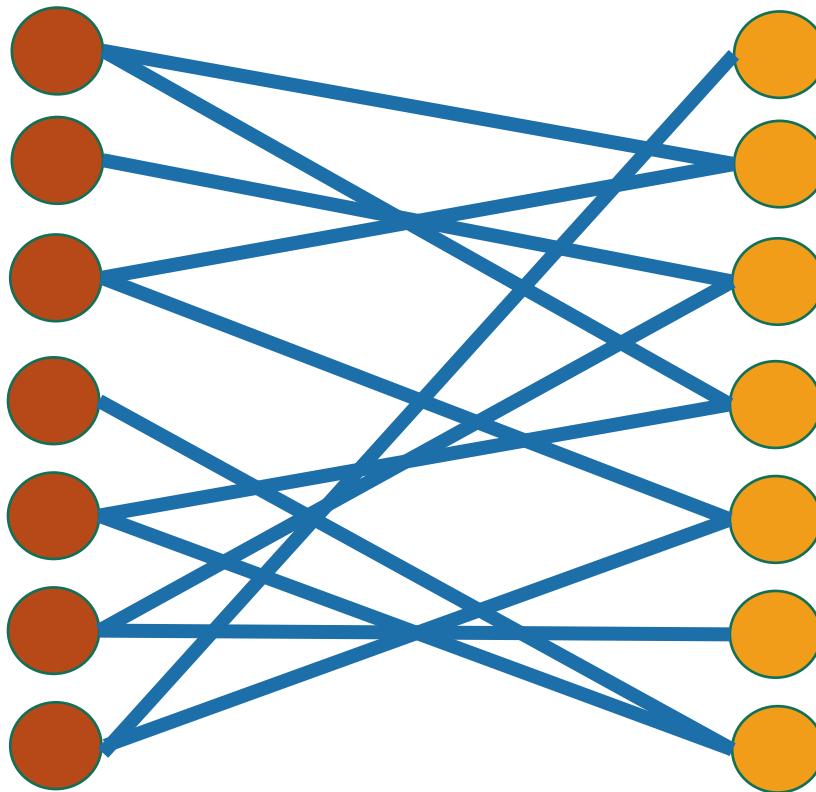
Example:

- are in tank A
- are in tank B
- if the fish fight

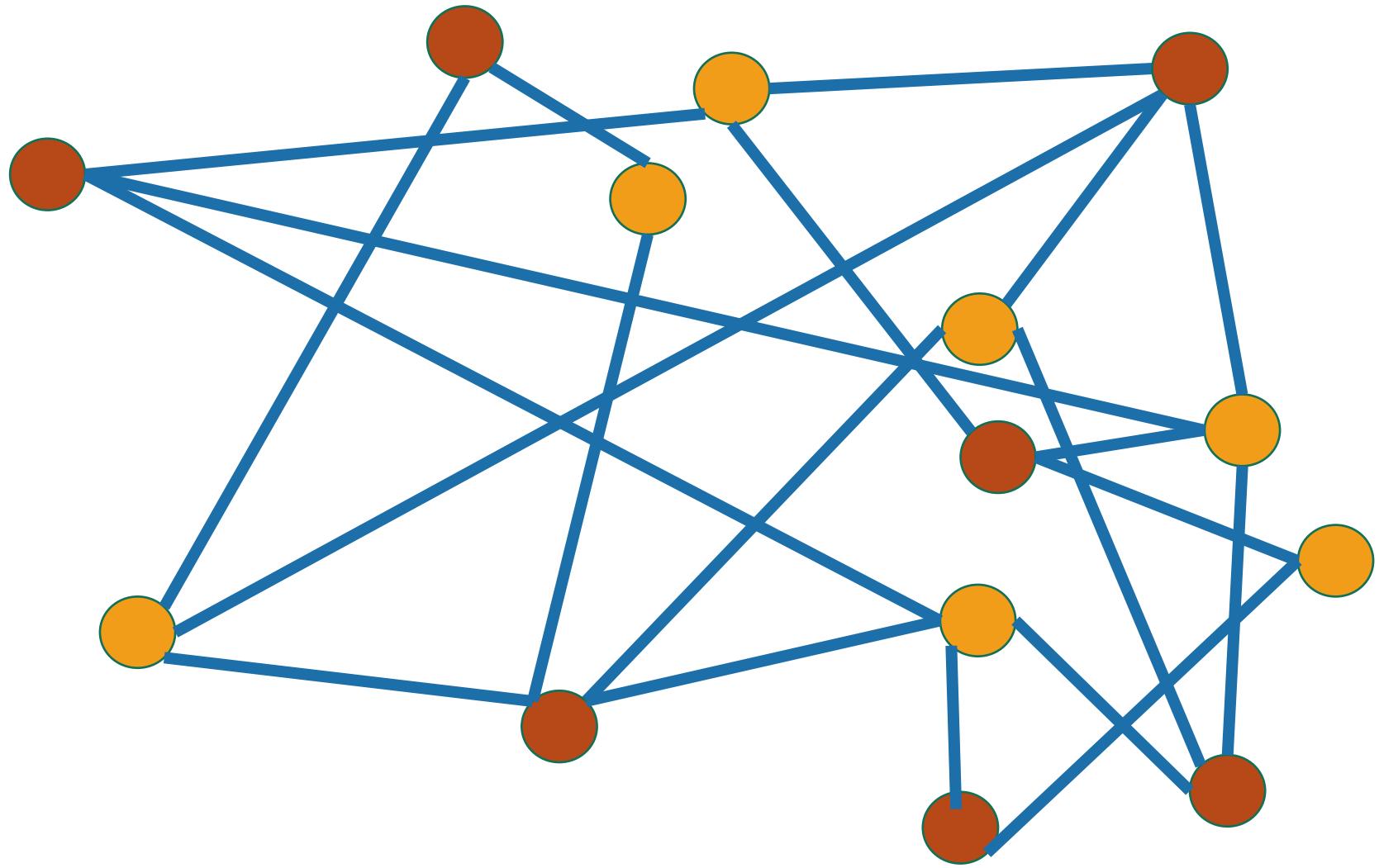
Example:

- are students
- are classes
- if the student is enrolled in the class

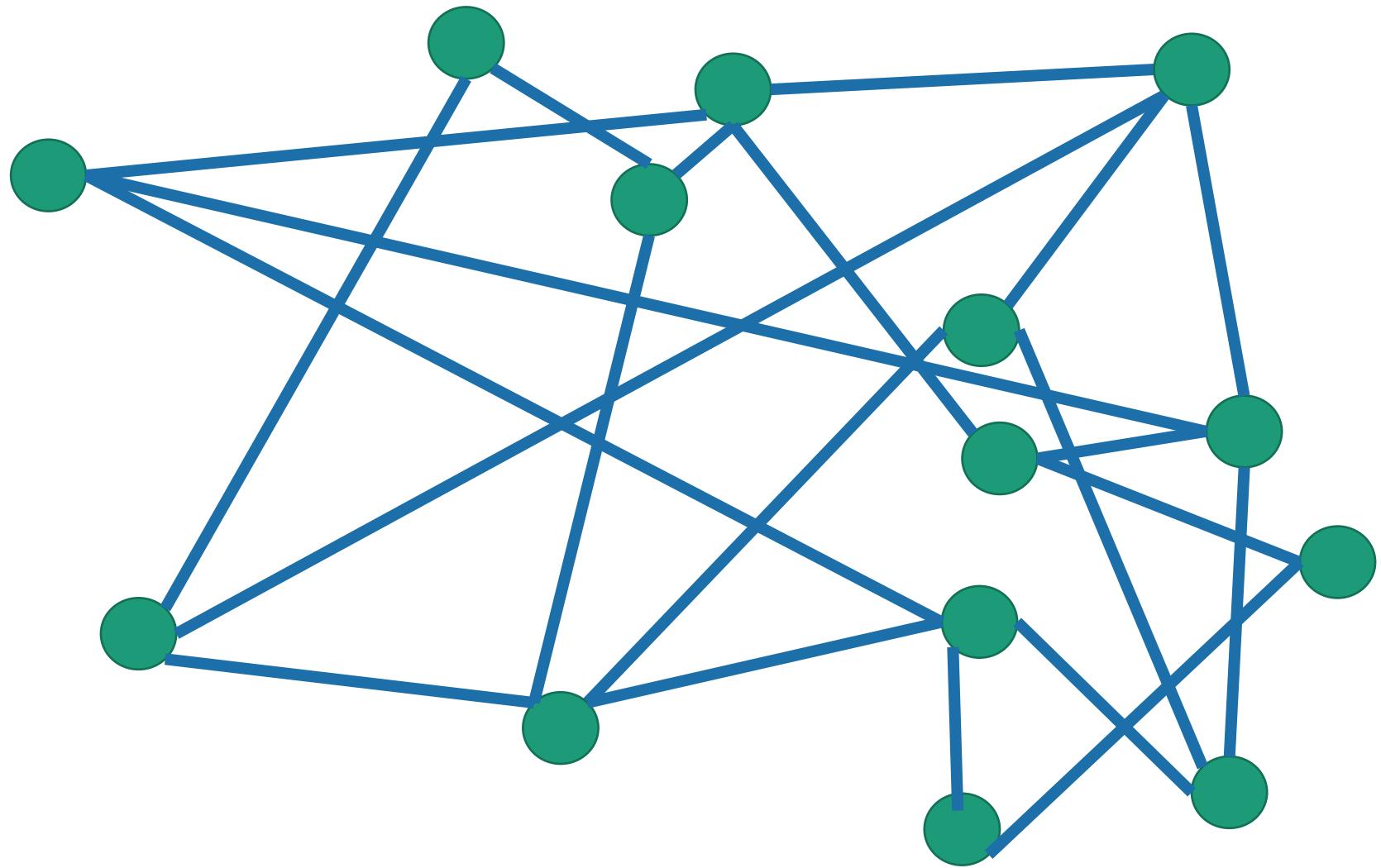
Is this graph bipartite?



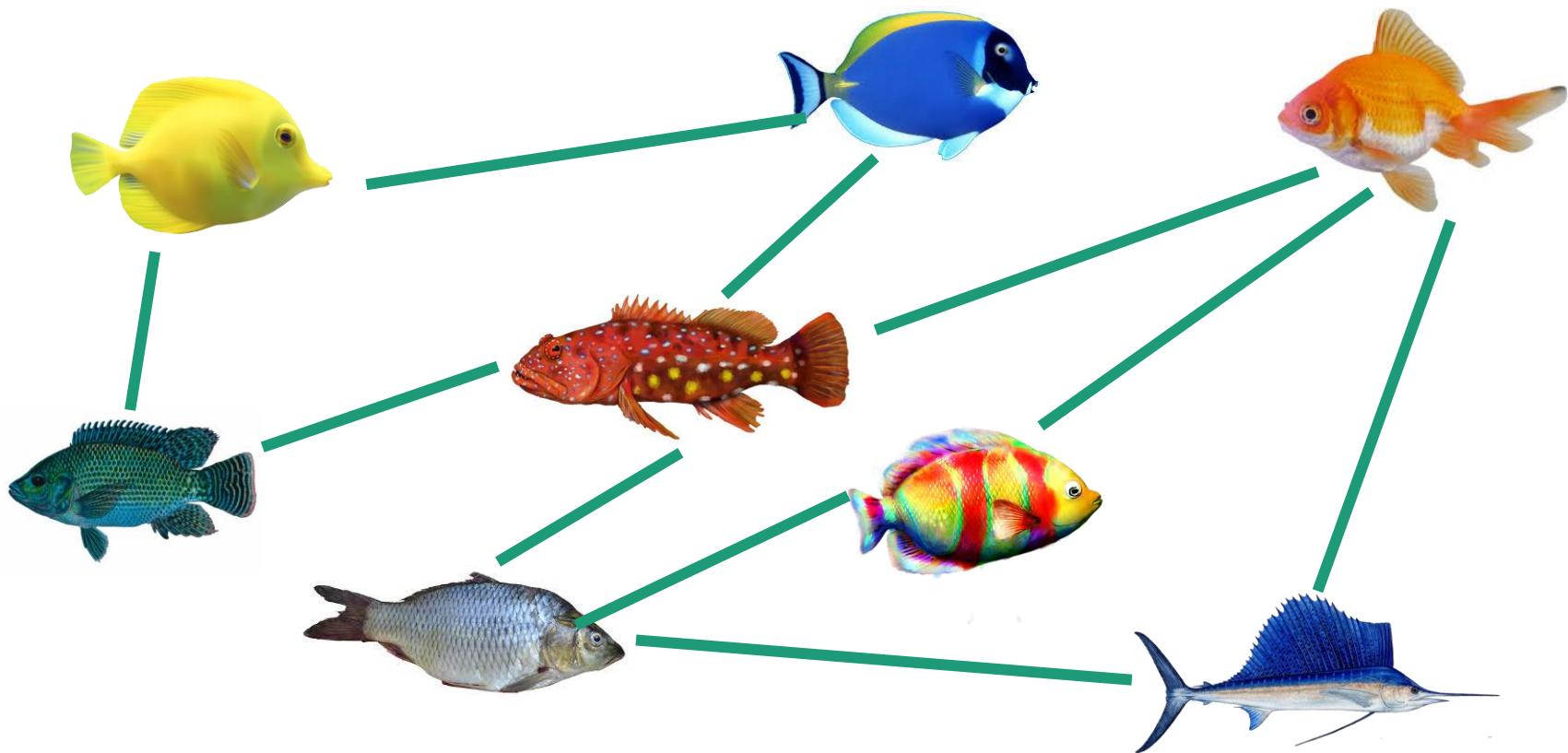
How about this one?



How about this one?

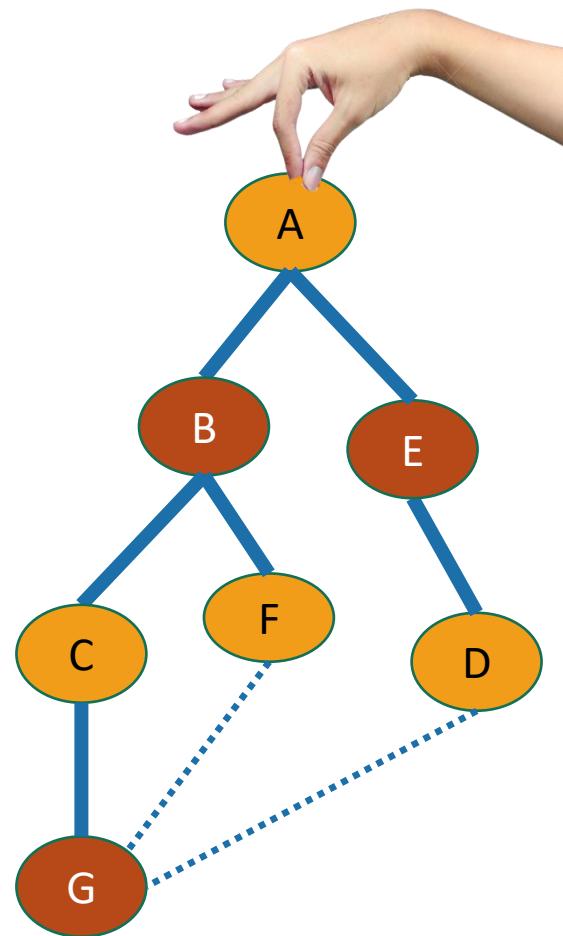


This one?



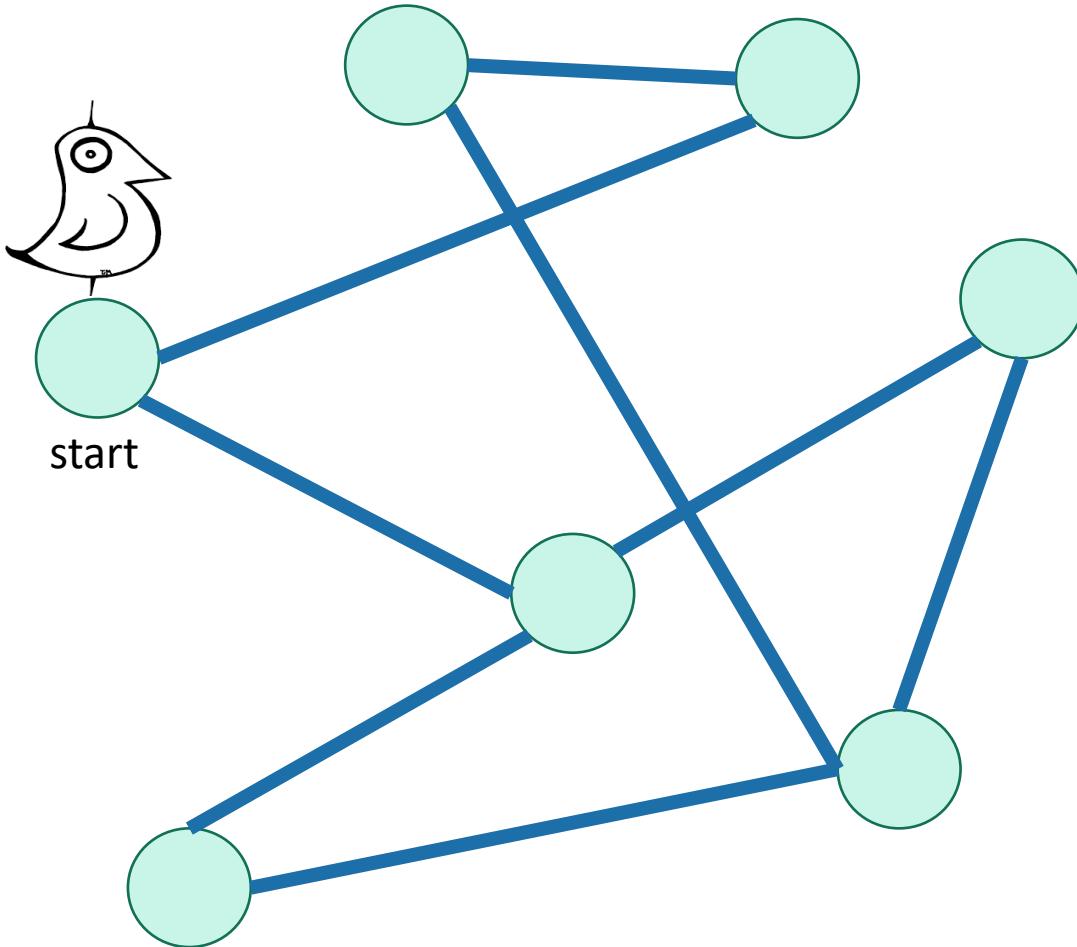
Solution using BFS

- Color the levels of the BFS tree in alternating colors.
- If you never color two connected nodes the same color, then it is bipartite.
- Otherwise, it's not.



Breadth-First Search

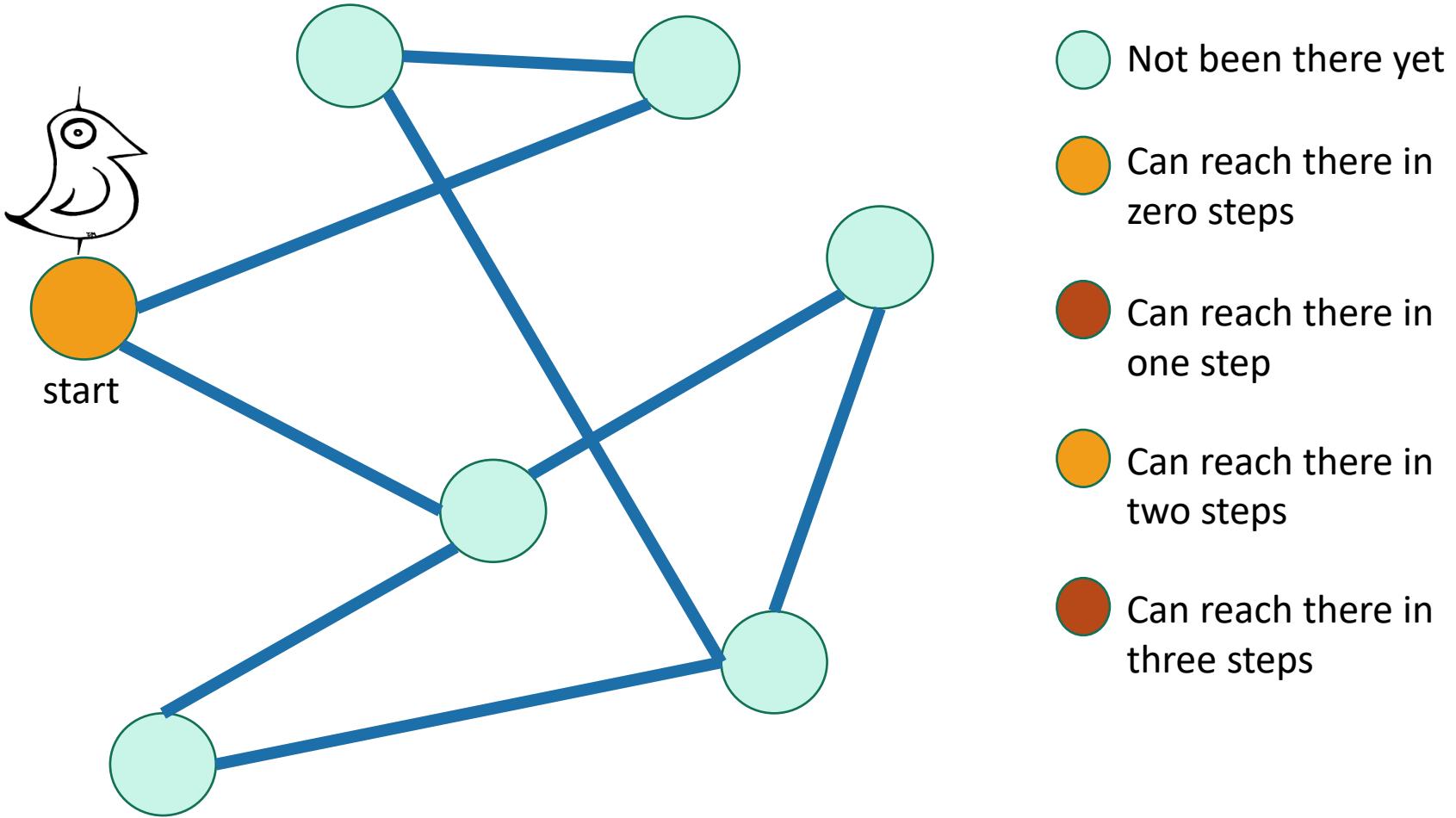
For testing bipartite-ness



- Not been there yet
- Can reach there in zero steps
- Can reach there in one step
- Can reach there in two steps
- Can reach there in three steps

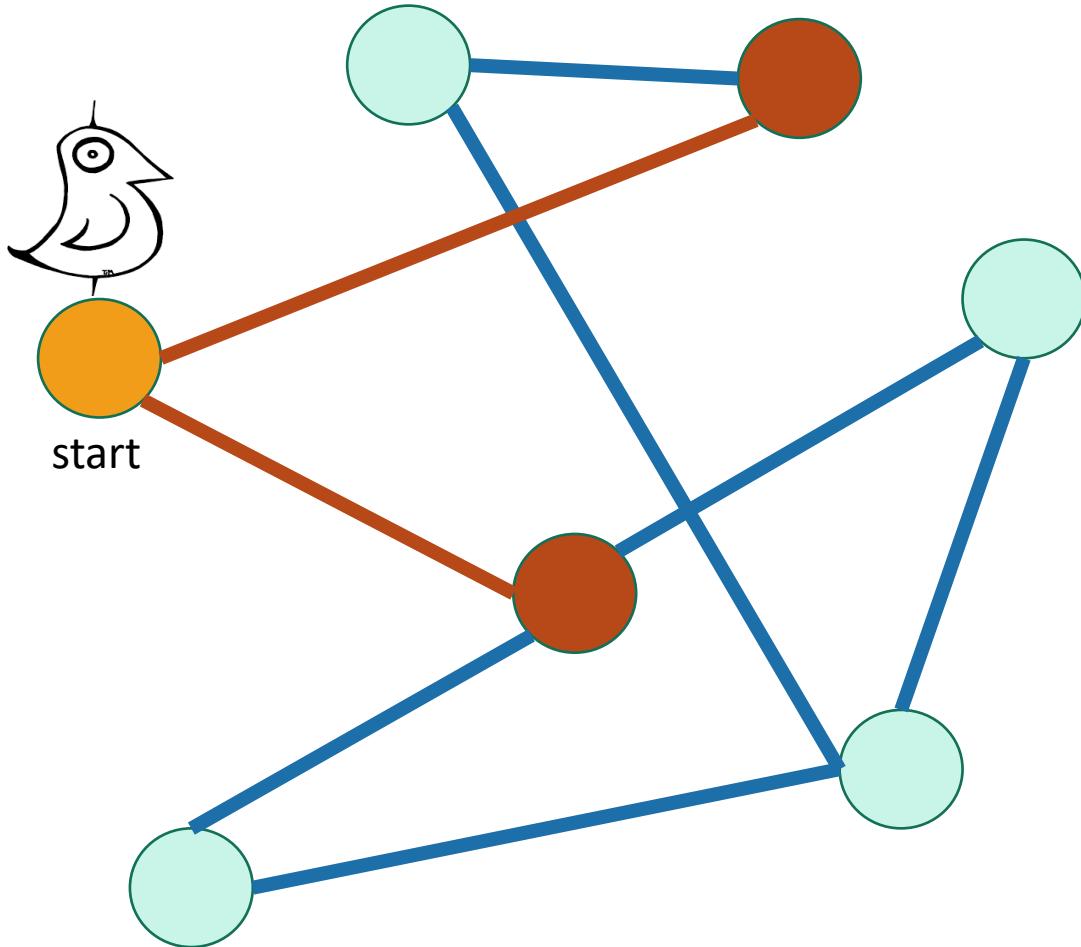
Breadth-First Search

For testing bipartite-ness



Breadth-First Search

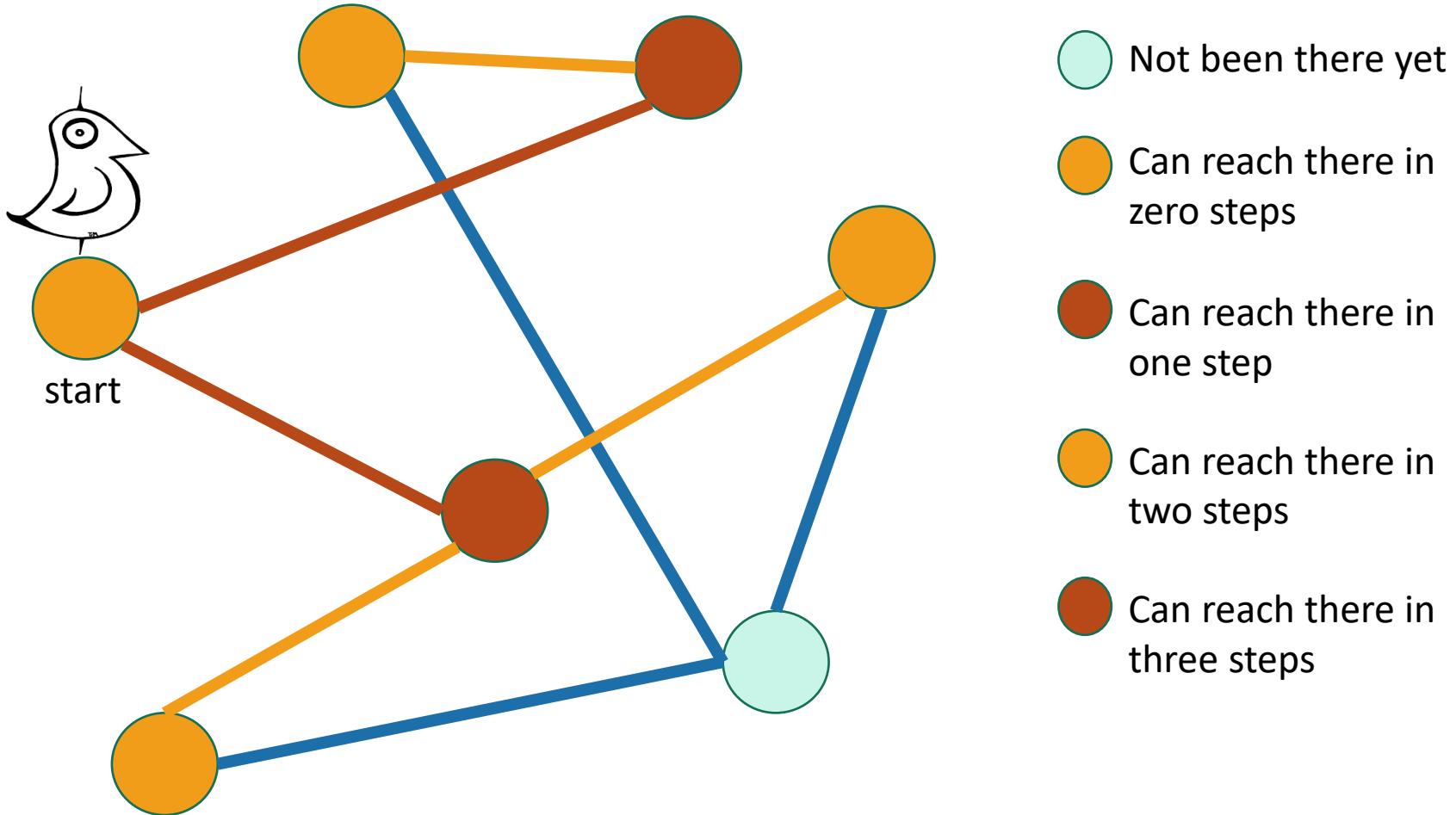
For testing bipartite-ness



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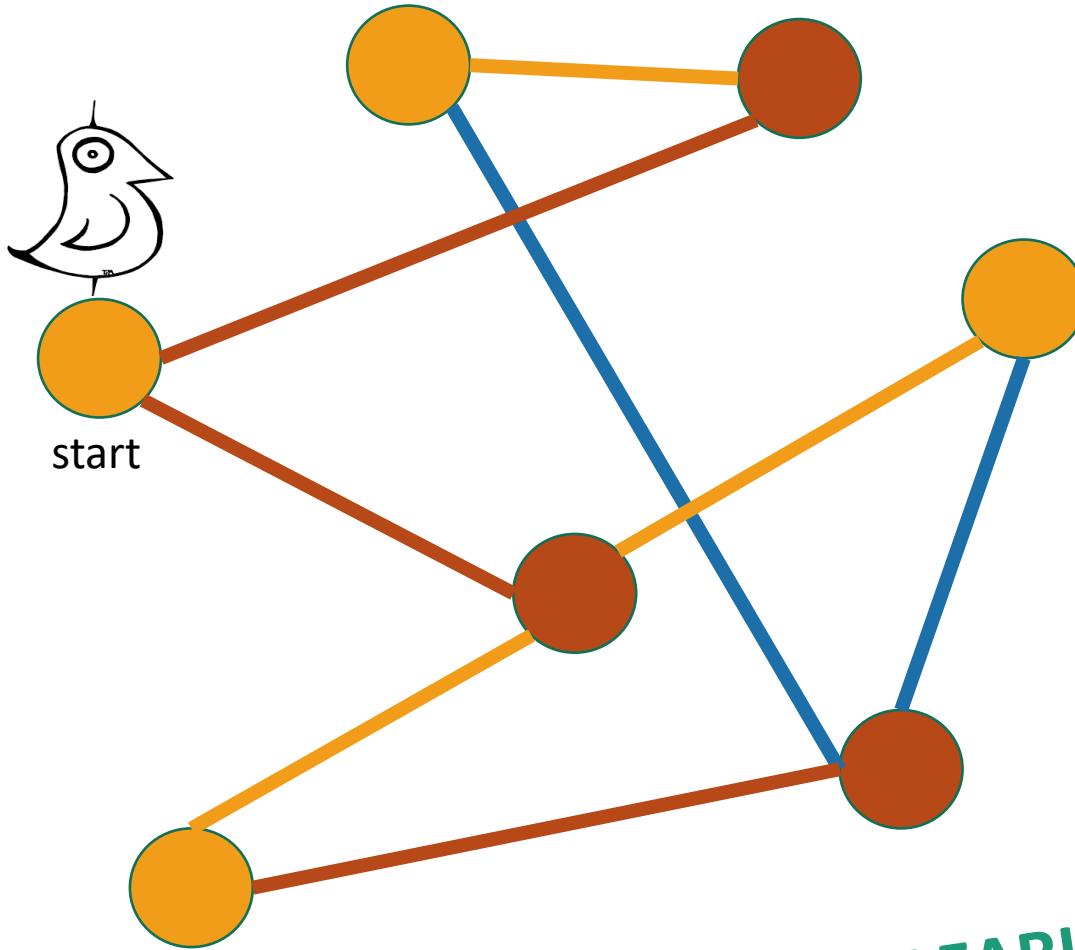
Breadth-First Search

For testing bipartite-ness



Breadth-First Search

For testing bipartite-ness

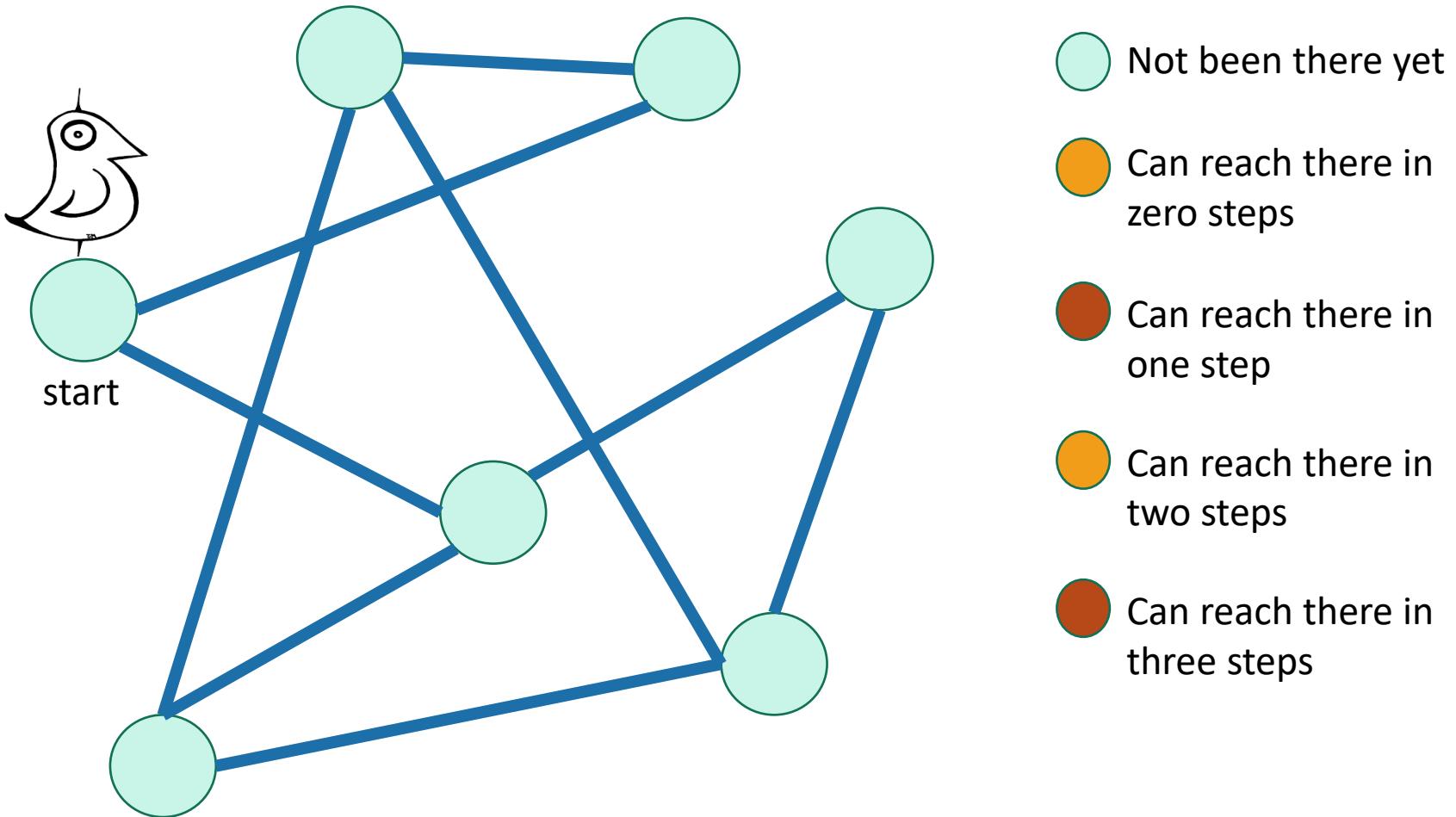


CLEARLY BIPARTITE!

- Not been there yet
- Can reach there in zero steps
- Can reach there in one step
- Can reach there in two steps
- Can reach there in three steps

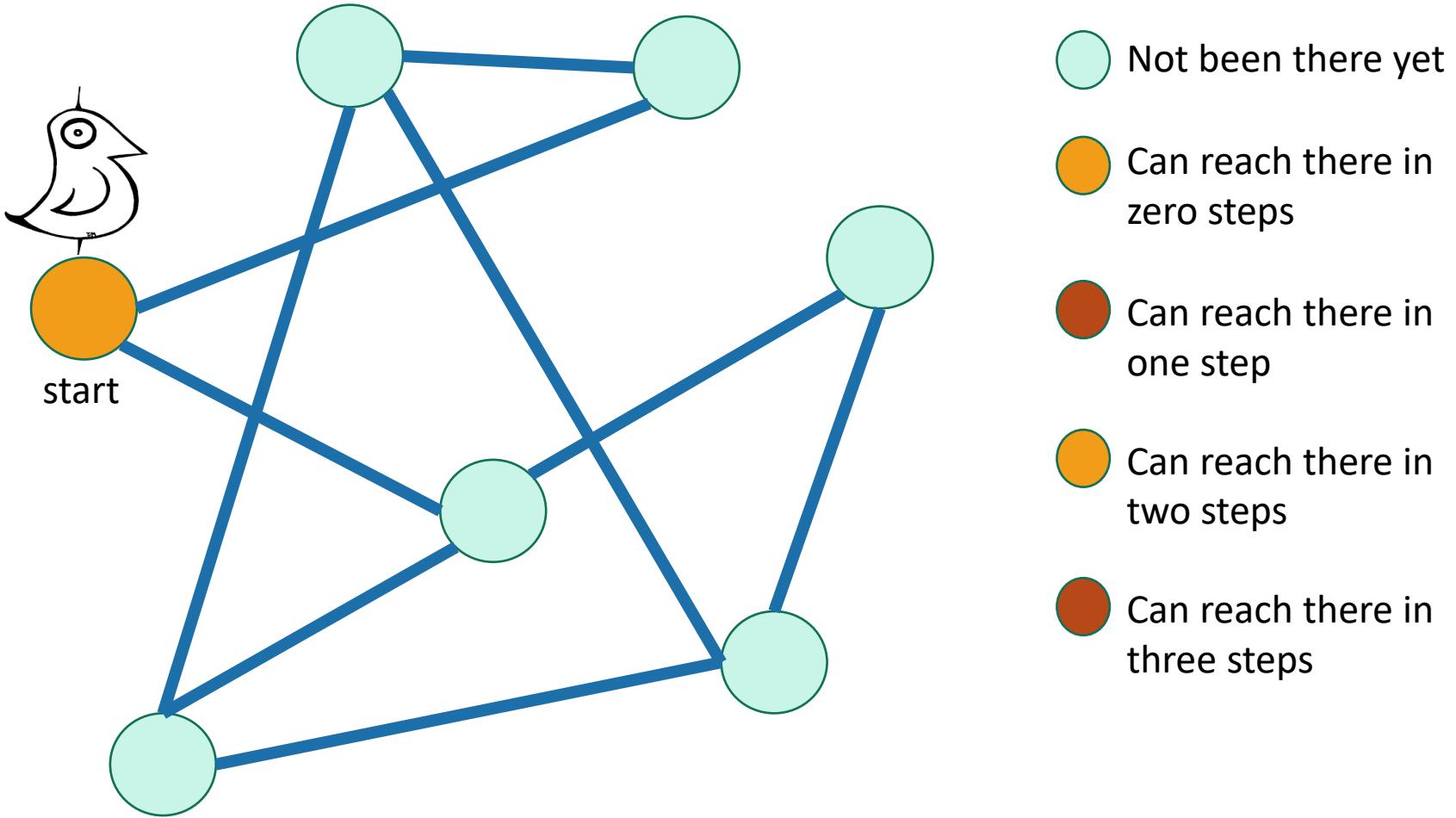
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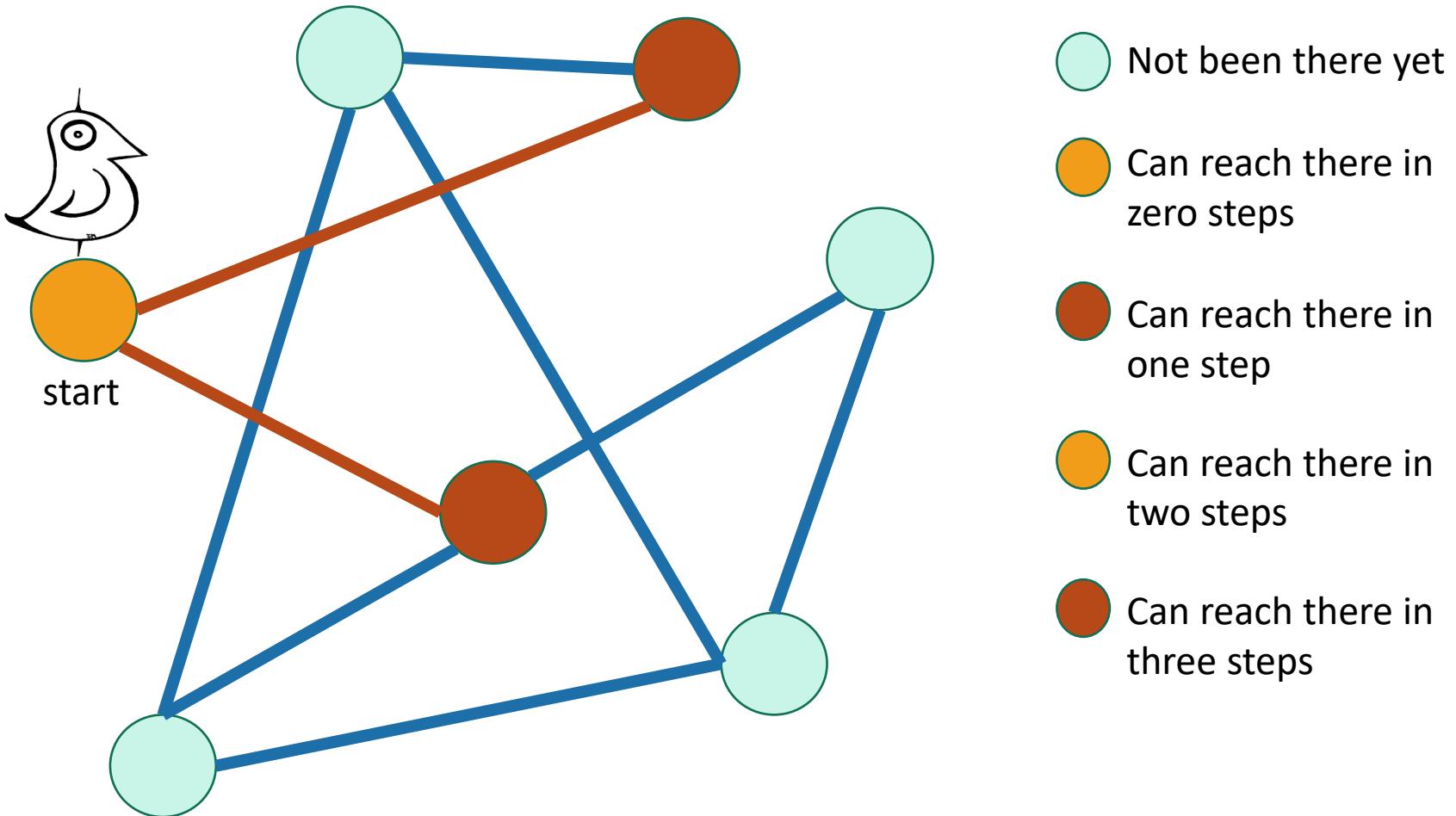
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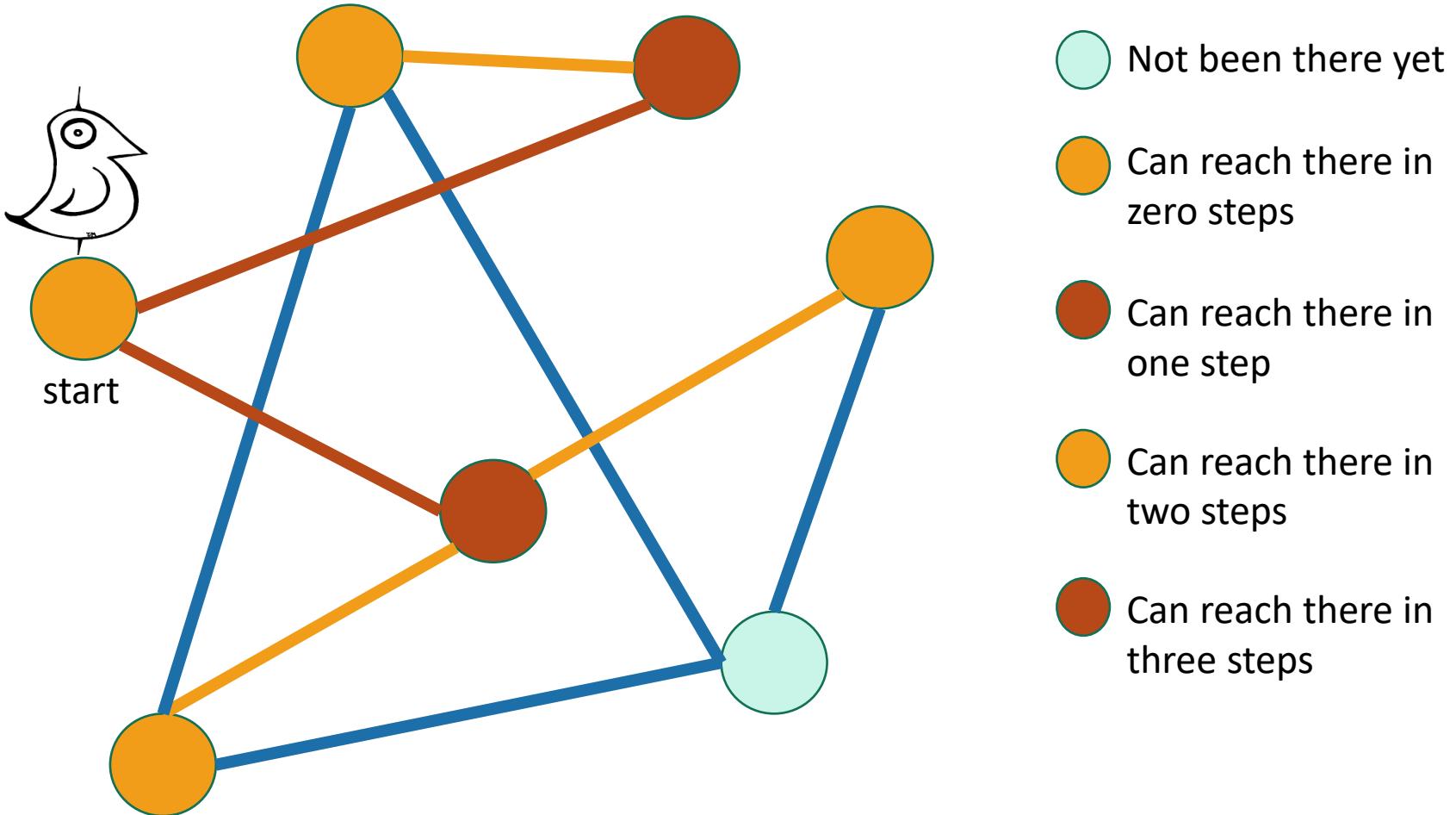
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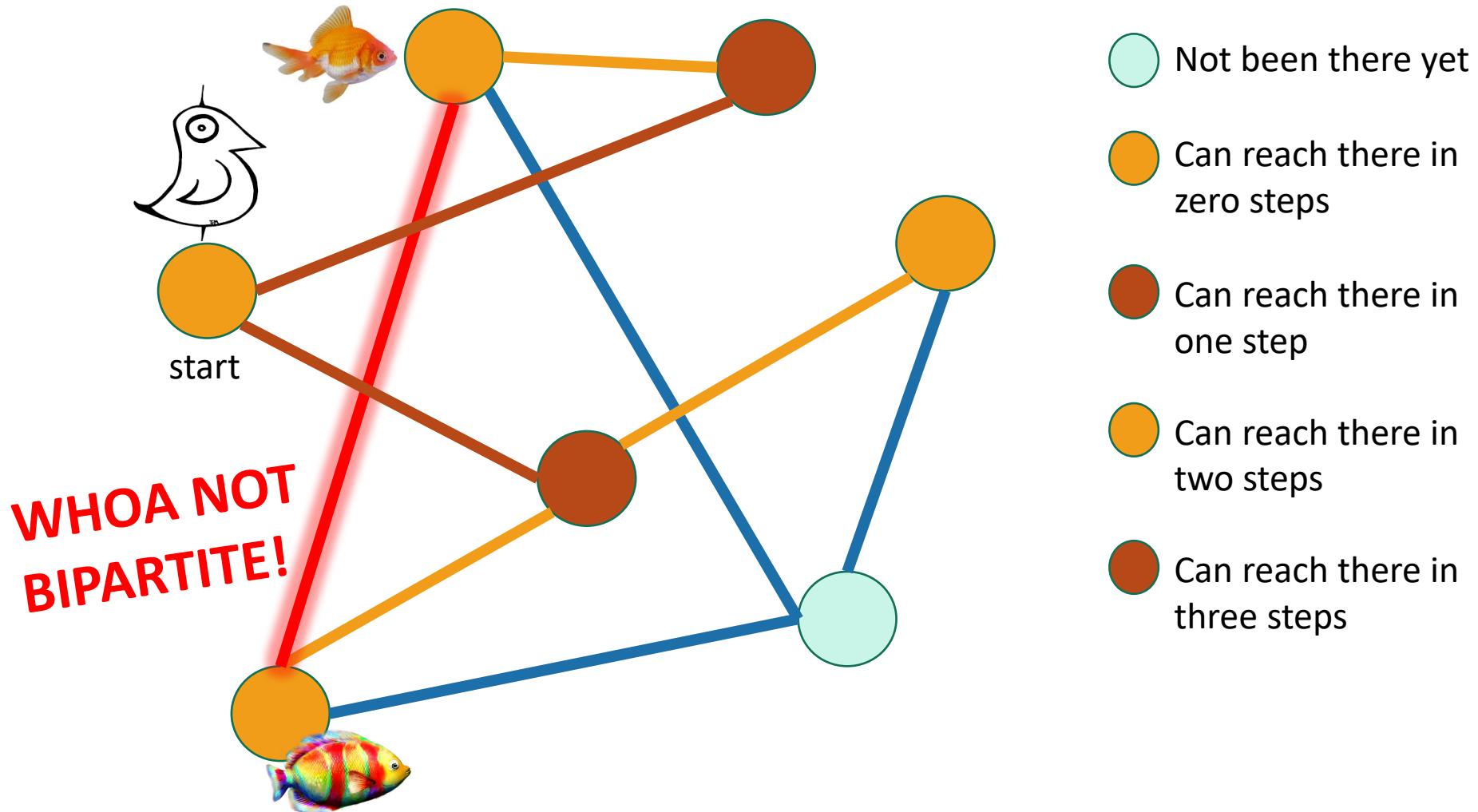
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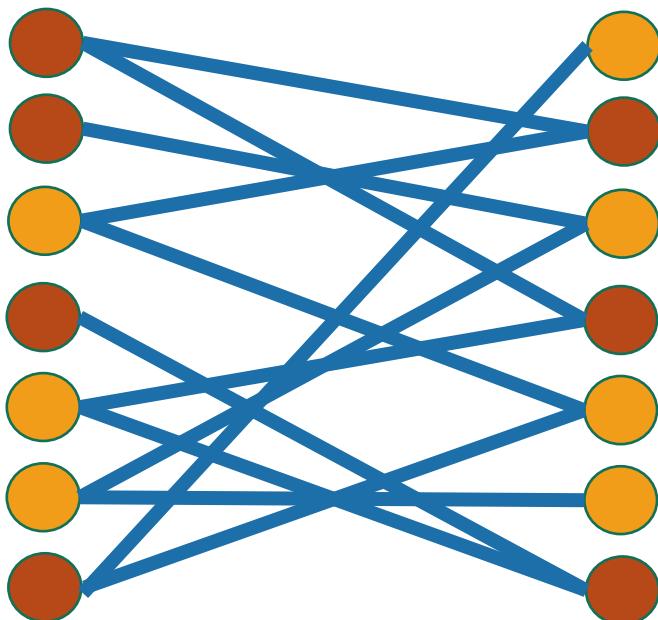
Breadth-First Search

For testing bipartite-ness



Hang on now.

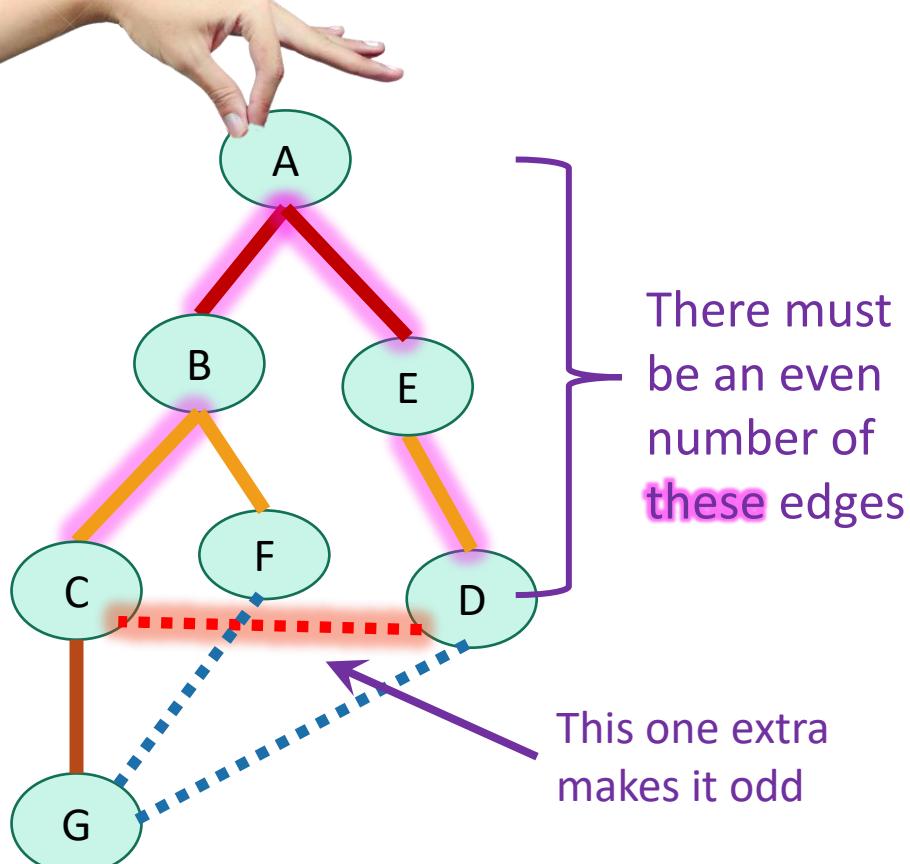
- Just because **this** coloring doesn't work, why does that mean that there is **no** coloring that works?



I can come up
with plenty of bad
colorings on this
legitimately
bipartite graph...

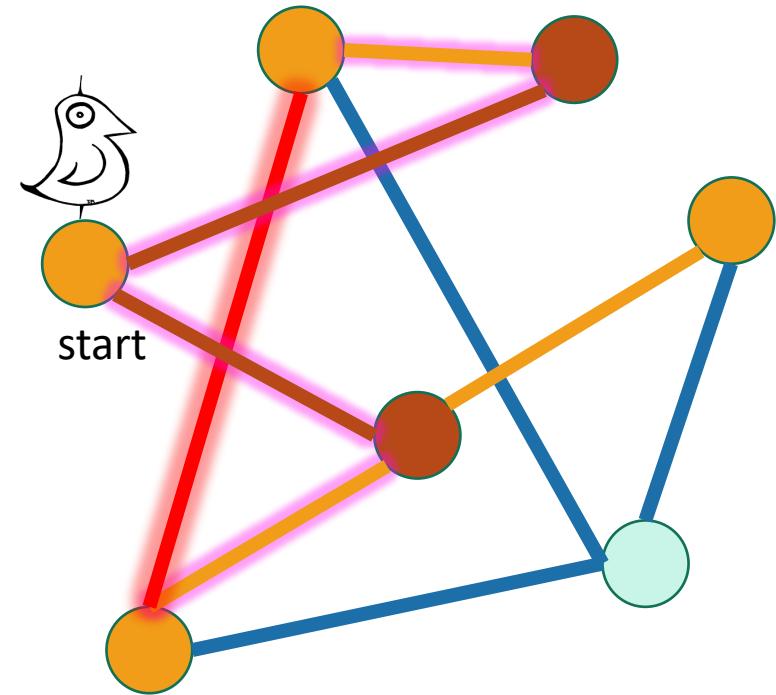
Some proof required

- If BFS colors two neighbors the same color, then it's found an **cycle of odd length** in the graph.



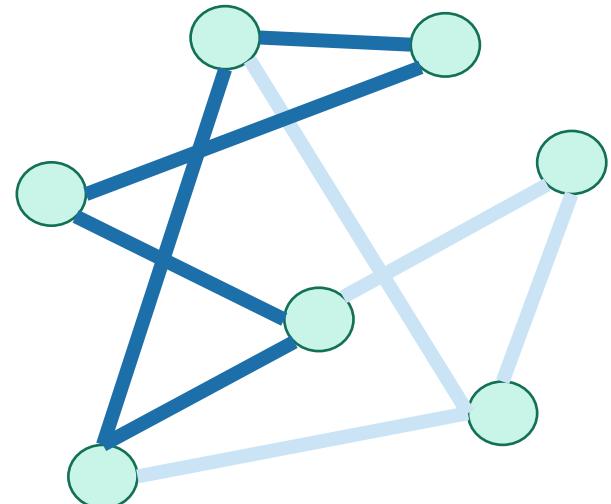
There must
be an even
number of
these edges

This one extra
makes it odd



Some proof required

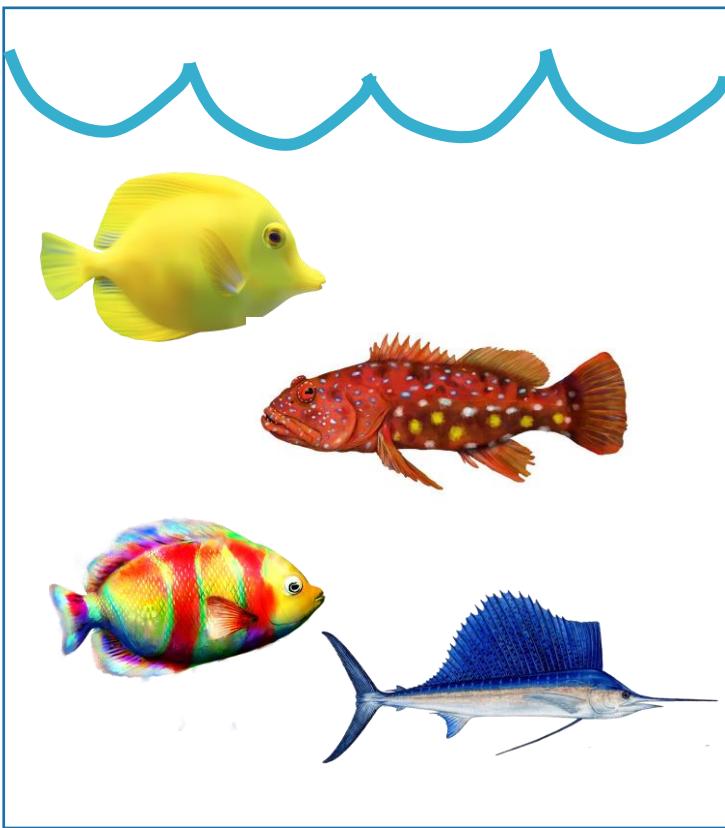
- If BFS colors two neighbors the same color, then it's found an **cycle of odd length** in the graph.
- So the graph has an **odd cycle** as a **subgraph**.
- But you can **never** color an odd cycle with two colors so that no two neighbors have the same color.
 - [Fun exercise!]
- So you can't legitimately color the whole graph either.
- **Thus it's not bipartite.**



What did we just learn?

n vertices and m edges.

BFS can be used to detect bipartite-ness in time $O(n + m)$.



Outline

- Part 0: Graphs and terminology
- Part 1: Depth-first search
 - Application: topological sorting
 - Application: in-order traversal of BSTs
- Part 2: Breadth-first search
 - Application: shortest paths
 - Application (if time): is a graph bipartite?



Recap

Recap

- Depth-first search
 - Useful for topological sorting
 - Also in-order traversals of BSTs
- Breadth-first search
 - Useful for finding shortest paths
 - Also for testing bipartiteness
- Both DFS, BFS:
 - Useful for exploring graphs, finding connected components, etc

Still open

- We can now find components in undirected graphs...
 - What if we want to find strongly connected components in directed graphs?
- How can we find shortest paths in weighted graphs?
- What is Samuel L. Jackson's Erdos number?
 - (Or, what if I want everyone's everyone-else number?)

NEXT LECTURE

- Greedy Algorithms
- Interval Scheduling
- Interval Partitioning
- Shortest Paths in a Graph
(Dijkstra)

| Week | Date | Topics |
|------|----------|---|
| 1 | 22 Feb | Introduction. Some representative problems |
| 2 | 1 March | Stable Matching |
| 3 | 8 March | Basics of algorithm analysis. |
| 4 | 15 March | Graphs (Project 1 announced) |
| 5 | 22 March | Greedy algorithms I |
| 6 | 29 March | Greedy algorithms II (Project 2 announced) |
| 7 | 5 April | Divide and conquer |
| 8 | 12 April | Midterm |
| 9 | 19 April | Dynamic Programming I |
| 10 | 26 April | Dynamic Programming II (Project 3 announced) |
| 11 | 3 May | BREAK |
| 12 | 10 May | Network Flow-I |
| 13 | 17 May | Network Flow II |
| 14 | 24 May | NP and computational intractability I |
| 15 | 31 May | NP and computational intractability II |