



# Gazelle optimization algorithm: a novel nature-inspired metaheuristic optimizer

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## Abstract

This study proposes a novel population-based metaheuristic algorithm called the Gazelle Optimization Algorithm (GOA), inspired by the gazelles' survival ability in their predator-dominated environment. Every day, the gazelle knows that if it does not outrun and outmaneuver its predators, it becomes meat for the day, and to survive, the gazelles have to escape from their predators consistently. This information is vital to proposing a new metaheuristic algorithm that uses the gazelle's survival abilities to solve real-world optimization problems. The exploitation phase of the algorithm simulates the gazelles grazing peacefully in the absence of the predator or while the predator is stalking it. The GOA goes into the exploration phase once a predator is spotted. The exploration phase consists of the gazelle outrunning and outmaneuvering the predator to a safe haven. These two phases are iteratively repeated, subject to the termination criteria, and finding optimal solutions to the optimization problems. The robustness and efficiency of the developed algorithm as an optimization tool were tested using benchmark optimization test functions and selected engineering design problems (fifteen classical, ten composited functions, and four mechanical engineering design problems). The results of the GOA are compared with nine other state-of-the-art algorithms. The simulation results obtained confirm the superiority and competitiveness of the GOA algorithm over nine state-of-the-art algorithms available in the literature. Also, the standard statistical analysis test carried out on the results further confirmed the ability of GOA to find solutions to the selected optimization problems. It also showed that GOA performed better or, in some cases, was very competitive with some state-of-the-art algorithms. Also, the results show that GOA is a potent tool for optimization that can be adapted to solve problems in different optimization domains.

**Keywords** Gazelle optimization algorithm · GOA · Metaheuristics · Optimization problems · Industrial engineering design problems · Nature-inspired · Gazelle · Population-based

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## 1 Introduction

Optimization problems are found in different spheres of human endeavors, such as engineering, medicine, computer science, and the production chain. Given some constraints, these problems are solved by either maximizing or minimizing the objective function to obtain an optimal solution. This is not an easy endeavor because these real-world problems are complex, nonlinear, multimodal, and gradient difficult. Metaheuristic algorithms have found application in solving optimization problems, among which are nature-inspired metaheuristic algorithms which are popular nowadays among researchers. These successes have been attributed to the manner these algorithms solve the problems [20]. The nature-inspired metaheuristic algorithms

mimic natural human and animal behavior and physical phenomena [24]. These algorithms have mimicked hunting, foraging, et al. mating, mutation, survival, swarming, and nesting to solve optimization problems [7, 21].

Nature-inspired metaheuristic algorithms use the evolutionary or exploratory approach to find optimal solutions to solve these problems [23]. This has led to a taxonomy of metaheuristic algorithms based on evolutionary algorithms [44], swarm intelligence algorithms [66], physical algorithms [50], and algorithms based on human behavior [38]. So many other taxonomies exist in the literature, a comprehensive consensus classification for metaheuristic algorithms has not yet been introduced. A contributing factor is the rate at which new algorithms are being proposed. The application of these metaheuristic algorithms and other computation intelligence methods in disease classification, clustering, and many others is well documented in the literature [4, 8].

Interestingly, there has been tremendous growth in the number of proposed metaheuristic algorithms that draw inspiration from nature within the last two decades. The inventors or developers of these algorithms often claim some novel and high-performing optimization process. Unfortunately, these claims are debatable because it is difficult to find a nexus between the optimization process of quite a few algorithms and natural phenomena or systems. Moreover, these algorithms have not been used to solve many complex real-world optimization problems since their first appearance in literature [6, 60]. However, as the No Free Lunch theorem observed, there is no guarantee that these proposed or existing metaheuristic algorithms would find optimal solutions to all or many real-world optimization problems. Hence, the need to develop new or hybrid methods to solve a specific problem or a range of problems optimally. It is also a testament that a specific optimization method can only solve certain problems efficiently but not all other problems.

Minimizing the values of design parameters and the overall design cost of the speed reducer design problem (SRD), pressure vessel design problem (PVD), compression spring design problem (CSD), and welded beam design problem (WBD) is a daunting task in the engineering domain. Many researchers have proposed novel or hybrid methods to find optimal solutions to these problems [15, 27, 29, 46, 51, 56, 58]. There is still the provision to find a better solution than the existing ones by developing a more robust and efficient algorithm. This motivated our work to develop a novel population-based algorithm inspired by the activities of the gazelles in the wild.

Although, studies have shown that quite a number of the proposed metaheuristic optimization methods are generally inspired by the foraging and hunting behaviors of animals in their natural habitat [25], and more so despite the

interesting survival life cycle of some animals in the wild such as the gazelle, there is no modeling that conveys the attractive adaptive survival strategy of the gazelle in nature as an optimization process. Therefore, this study presents a new metaheuristic algorithm that models the survival ability of the Gazelles being among the top prey for predators in their natural habitat. The study modeled the ability of the gazelle to escape top predators by outrunning and outmaneuvering the predators in their environment. The proposed method has a professional mathematical model that simulates the Gazelle animal's intellectual movements in nature. The proposed algorithm is used to minimize the values of design parameters and hence the overall design cost of the speed reducer design problem (SRD), pressure vessel design problem (PVD), compression spring design problem (CSD), and welded beam design problem (WBD).

The technical contribution of this research can be expressed as follows:

- The novelty of this study is in the design of the new population-based metaheuristic algorithm called the GOA, which simulates the survival abilities of the gazelles in their natural habitat.
- The inspiration of GOA is the ability of the gazelles to spot and outrun or outmaneuver the predators. The survival adaptation is divided into two phases which correspond to the exploration and exploitation phases.
- The exploitation phase of the algorithm simulates the gazelles grazing peacefully in the absence of the predator or while the predator is stalking it. The GOA goes into the exploration phase once a predator is spotted, it consists of the gazelle outrunning and outmaneuvering the predator to a haven. These phases are repeated subject to termination criteria to find optimal solutions to optimization problems.
- The various stages of GOA are described and mathematically modeled.
- The efficacy and robustness of the GOA are evaluated by solving 25 benchmark functions (15 unimodal, high-dimensional multimodal, fixed-dimensional multimodal classical functions, and 10 composite functions defined in CEC2020).
- Also, the performance of GOA in solving real-world problems is evaluated using four engineering design problems.
- The optimization results obtained from GOA are compared with nine state-of-the-art algorithms to analyze the proposed algorithm's capability.

This article is organized as follows: In Sect. 2, the background of the research is discussed. The Gazelle Optimization Algorithm (GOA) is presented in Sect. 3. Section 4 presents the experimental design. A detailed

discussion of results and findings is presented in Sect. 5. Finally, the conclusion and future work is presented in Sect. 6.

## 2 Preliminaries

A detailed taxonomy of metaheuristic algorithms can be found in [24]. However, an abridged version of the taxonomy is given in Fig. 1 to enhance our discussion in this section. Specifically, Fig. 1 gives an abridged taxonomy of algorithms reviewed in this study. The evolutionary algorithms mimic Darwin's laws of evolution and competence to solve optimization problems. The search agents' position vector is randomly generated and evolved during optimization using different updating rules uniquely defined for every algorithm. Popular in this category are the genetic algorithms (GA) [28]; others include the differential evolution (DE) [59], the artificial algae algorithm (AAA) [61], and the evolution strategy (ES) [54].

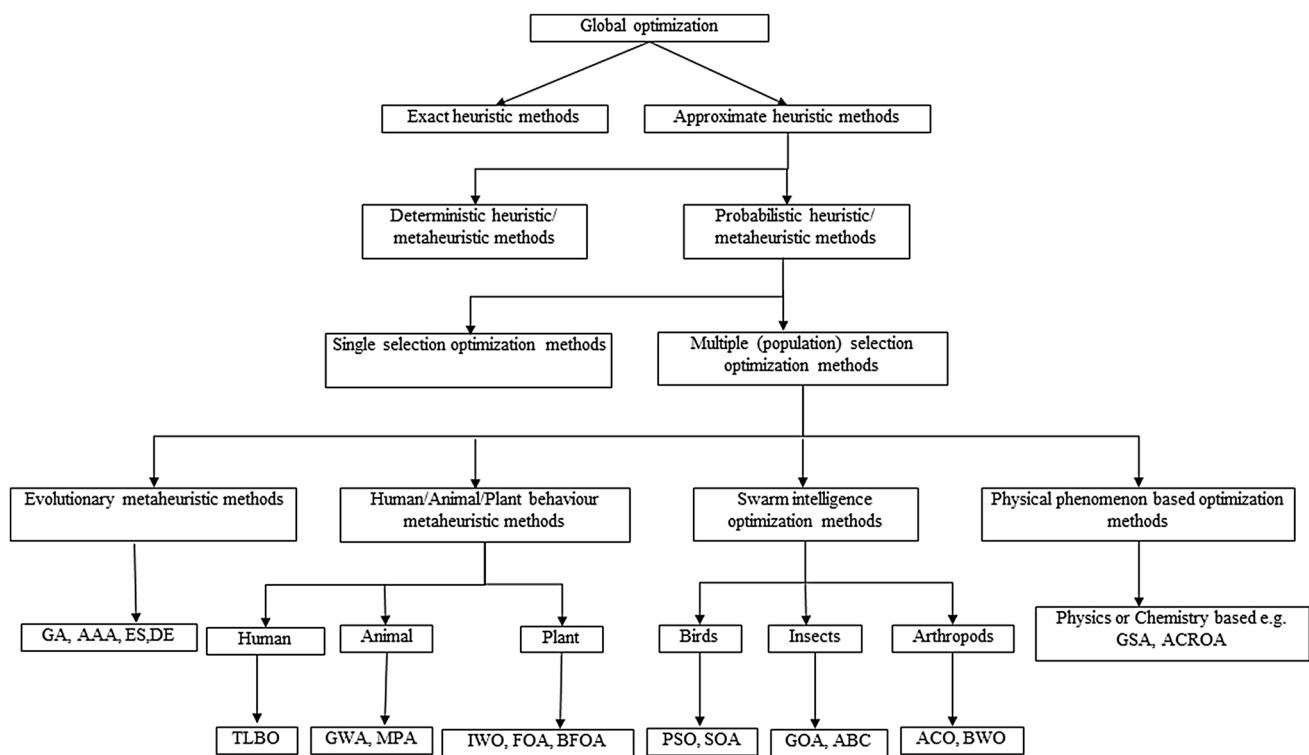
The second category is based on physical methods that typically occur in physics and chemistry. These algorithms mimic the universe's physical rules. Some examples of the physical-based methods are the simulated annealing (SA) [36], the water wave optimization (WWO) algorithm [31], gravitational search algorithm (GSA) [14, 52], and the

artificial chemical reaction optimization algorithm (ACROA) [11].

The third category is the swarm-based methods, which consist of algorithms whose optimization process imitates animals' social behavior or activities in groups to find the optimal solution. In this type of algorithm, the population shares intelligence by one solution searching in parallel with the help of others to find the optimal solution. The birds, insects, and some arthropods exhibit these behaviors and form a subcategory for this category. Popular in this category are particle swarm optimization (PSO) [35], artificial bee colony (ABC) [9, 10], and ant colony optimization (ACO) [17].

Insects like bees, fireflies, butterflies, and water striders are known to exhibit swarm intelligence. Studies have shown that they have social and structured behaviors and live together in a swarm or group. An insect represents a solution, and each insect collaborates to search for a solution to the problem. Popular in this category are the artificial bee colony (ABC) [9, 10], the firefly algorithm (FA) [22], the butterfly optimization algorithm (BOA) [12], the Water strider algorithm (WSA) [32].

Metaheuristic algorithm such as teaching-learning-based optimization (TLBO) [55] mimics human behavior. Others include the imperialist competitive algorithm (ICA) [13], and rich/poor optimization (RPO) [45]. The TLBO mimics the behavior of the different actors in the learning



**Fig. 1** A brief taxonomy of metaheuristic optimization methods

environment, whereas the RPO mimics the behavior of the poor trying to reach the level of the rich people, thereby eliminating the class gap. Some metaheuristic algorithms mimic behaviors such as plant growth. The invasive weed optimization algorithm (IWO) [64] and a forest optimization algorithm (FOA) [63] are examples of this subcategory.

New metaheuristic algorithms are being proposed at a high rate; most have a different source of inspiration, while others improve existing ones. The arithmetic optimization algorithm (AOA) was proposed by [3] and uses the distributive power of simple arithmetic operators to find an optimal solution for optimization problems. Using advanced arithmetic operators like the natural logarithm and exponential operators, [5] proposed the nAOA, which enhanced the exploratory and exploitation ability of the original AOA. Similarly, the krill herd algorithm (KH) was improved using the low discrepancy sequences for the initial population [4, 8]. A novel optimization method called ebola optimization search algorithm (EOSA), which mimics how the Ebola virus spreads, was proposed by [49]. Others include [33, 68].

The proposed novel metaheuristic algorithms have been successfully applied to find solutions that are optimal or near-optimal for problems in different domains, including the engineering field [1, 2, 67, 70]. These authors claim novelty for their algorithms, citing a new source of inspiration. However, there is still room for improvement in the quality of results obtained by these algorithms. It is believed that a more efficient and robust optimizer would be able to find better solutions for complex optimization problems.

Hybridization is another approach to developing a more robust optimizer. In this approach, the authors would seek to leverage the strong capability of the specific optimizers to perform the task of either exploration or exploitation mechanism. These vital characteristics are combined with another optimizer to obtain a resultant optimization method with enhanced exploration and exploitation features. Moreover, the general goal in most cases is to improve or hybridize the existing methods to solve challenging optimization problems. Several existing algorithms have been shown to have a limited approach to solving complicated real-world optimization problems. The hybrid algorithms offer solutions to most complex problems, and their claims are often tested and validated using real-world problems [7, 34, 37, 62, 69].

### 3 The gazelle optimization algorithm (GOA)

In this section, the gazelle optimization algorithm (GOA) is presented, and the proposed steps for optimization are formulated.

#### 3.1 Motivation

Minimizing the values of design parameters and the overall design cost of engineering design problems such as the speed reducer design problem (SRD), pressure vessel design problem (PVD), compression spring design problem (CSD), and welded beam design problem (WBD) is a daunting task. As mentioned earlier, many researchers have proposed novel or hybrid methods to find optimal solutions to these problems. However, it is believed that room still exists to find a better solution than the existing ones by developing a more robust and efficient algorithm. Therefore, this motivated our proposed work to develop a novel population-based algorithm inspired by the activities of the gazelles.

Although studies have shown that quite a number of the proposed metaheuristic optimization methods are generally inspired by the foraging and hunting behaviors of animals in their natural habitat and more so despite the interesting survival life cycle of some animals in the wild such as the gazelle, there is no modeling that conveys the attractive adaptive survival strategy of the gazelle in nature as an optimization process. The gazelle knows that if it does not outrun and outmaneuver its predators, it becomes meat for the day. The gazelles are down in the food chain and one of the most hunted prey in their habitat. They are not considered endangered; this means they are doing something right. One of those right attributes inherent in the gazelles is their escape from predators. Studies show that the predators are only successful 34% most of the time. This paper employs this information to develop a new metaheuristic algorithm that solves real-world problems using the gazelle's survival abilities.

#### 3.2 Inspiration

The gazelles inhabit the drylands covering parts of Asia, including China, stretching the Arabian Peninsula, and parts of the Sahara desert in northern Africa. They are also found in the sub-Saharan Sahel and northeast Africa, stretching from the Horn of Africa to Tanzania. The gazelles are one of the common prey for most predators. The gazelles belong to the genus *Gazella* family [19]. About 19 different gazelles exist globally, ranging from small gazelles like Thomson's and Speke's gazelle to the large gazelle-like the Dama gazelle [48]. Gazelles are light

and swift and have a strong sense of hearing, sight, and smell. These adaptive characteristics compensate for their apparent habitual vulnerabilities by allowing them to run away from their predators. The gazelles and their unusual behaviors can be observed in nature.

Gazelles are classified as herbivorous animals and feed on only plants like leaves, grasses, shoots, and other plant-based food. Like most primary consumers, they adapt to living in groups for security and social reasons. They socialize within the group, and up to 700 members can be found in a group. A large number of gazelles in a group helps the group achieve herd security. Sometimes, the social structure of the gazelle group can be based on sexes, where the females live in a smaller group with their fawns; this is common with Thomson's gazelles. On the other hand, the bachelor's herd consists only of males, who help provide for and defend the group. The gazelles have a birthrate of one or two, the frequency of birth is twice per year, with a gestation period lasting up to 6 months. It is more convenient for the gazelles to breed during the rainy season because of abundant food and water for all the group, including the newborns.

The gazelles occupy level 2 in the food chain; they are the primary prey for many predators. Typical predators of the gazelles are humans, cheetah, Asiatic and black-backed jackal, spotted hyena, wild dog, leopard, and lion [26]. In the face of danger, the gazelles warn each other by flicking their tail, stomping their feet, or leaping in the air. The act of leaping with all four feet up to 2 m in the air is called "stotting." Although the exact cause of stotting is unknown, this behavior is exhibited when nervous or otherwise excited. Also, for predators such as cheetahs and lions, the gazelle runs at exceptional speeds, reaching a top speed of 100 km/hr. A gazelle can outrun and outmaneuver the fastest predator on land (cheetah). The success of most predators depends on how well they stalk the gazelle stealthily; without the element of surprise, the gazelle most times would outrun and outmaneuver the predator at high speed.

A study of Mongolian Gazelles discovered that human activities' estimated daily hazard rate far outweighs that of the hazard rate due to natural predation. They are causing a mortality rate of 30% higher [47]. The authors also posited that though the gazelles are not endangered species, they have an annual survival rate of 0.66 which can be translated to mean predators are only successful in 0.34 situations. The following points express the gazelles' survival methods, which would be used to model the proposed algorithm.

- The most spectacular aspects are grazing and running from predators.

- The grazing aspect in the absence of predators can be used for exploitation
  - o Predators stalk gazelles while grazing
  - p The gazelle use stotting to, among others, spot predators
  - q Height of 2 m
- The ability to outrun spotted predators to a haven can be used for exploration.
  - o It cannot outrun the fastest predator but outmaneuvers it
  - p 88km/hr

### 3.3 Population initialization

The GOA is a population-based optimization algorithm that uses randomly initialized gazelles ( $X$ ) as search agents. The search agents are defined as a  $n \times d$  matrix of candidate solutions as defined in Eq. (1). The GOA uses the problem's constraint of upper bound (UB) and lower bound (LB) to stochastically define the range of values that the population vector can take.

$$X = \begin{bmatrix} x_{1,1} & x_{1,2} & \cdots & x_{1,d-1} & x_{1,d} \\ x_{2,1} & x_{2,2} & \cdots & x_{2,d-1} & x_{2,d} \\ \vdots & \vdots & & x_{i,j} & \vdots \\ x_{n,1} & x_{n,2} & \cdots & x_{n,d-1} & x_{n,d} \end{bmatrix} \quad (1)$$

where  $X$  is the matrix of the position vector of the candidate population, each position vector is stochastically generated using Eq. (2),  $x_{i,j}$  is the randomly generated vector position of the  $i^{\text{th}}$  population in the  $j^{\text{th}}$  dimension,  $n$  represents the number of gazelles, and  $d$  is the defined search space (dimension) of the optimization problem.

$$x_{i,j} = \text{rand} \times (\text{UB}_j - \text{LB}_j) + \text{LB}_j \quad (2)$$

where  $\text{rand}$  is a random number,  $\text{UB}_j$  and  $\text{LB}_j$  are upper and lower bound of the problem, respectively. In every iteration, each  $x_{i,j}$  produces a candidate solution. The minimum solution is taken to be the best-obtained solution so far. It is said that the strongest or fittest gazelles in nature are more talented in spotting, informing others of the treats, and running from predators. Thus, the best-obtained solution so far is nominated as a top gazelle to construct an Elite  $n \times d$  matrix (Eq. 3). This matrix is used for searching and finding the next step for the gazelles.

$$\text{Elite} = \begin{bmatrix} x'_{1,1} & x'_{1,2} & \cdots & x'_{1,d-1} & x'_{1,d} \\ x'_{2,1} & x'_{2,2} & \cdots & x'_{2,d-1} & x'_{2,d} \\ \vdots & \vdots & & x'_{i,j} & \vdots \\ x'_{n,1} & x'_{n,2} & \cdots & x'_{n,d-1} & x'_{n,d} \end{bmatrix} \quad (3)$$

where  $x'_{ij}$  represents the position vector of the top gazelle. The GOA considers both the predator and gazelles as search agents. Because by the time a predator is spotted stalking the gazelles, they both run in the same direction towards the haven, and when the gazelles escape, the predator will have also explored the search space. The Elite will be updated at the end of each iteration if the better gazelle substitutes the top gazelle.

### 3.3.1 The Brownian motion

A random motion in which the displacement follows the Normal (Gaussian) probability distribution function with specific mean and unit variance of  $\mu = 0$  and  $\sigma^2 = 1$ , respectively. At point  $x$ , the standard Brownian motion [18] is defined in Eq. 4.

$$f_B(x; \mu, \sigma) = \frac{1}{\sqrt{2\pi}\sigma^2} \exp\left(-\frac{(x-\mu)^2}{2\sigma^2}\right) = \frac{1}{\sqrt{2\pi}} \exp\left(-\frac{x^2}{2}\right) \quad (4)$$

### 3.3.2 The Lévy flight

The Lévy flight performs a random walk using the Lévy distribution (power-law tail) given in Eq. 5 [30].

$$L(x_j) \approx |x_j|^{1-\alpha} \quad (5)$$

where  $x_j$  denotes the flight distance, and  $\alpha = (1, 2]$  represents the power-law exponent. Equation 6 denotes the Lévy stable process as an integral [39].

$$f_L(x; \alpha, \gamma) = \frac{1}{\pi} \int_0^\infty \exp(-\gamma q^\alpha) \cos(qx) \delta q \quad (6)$$

where  $\alpha$  is the distribution index that controls everything about the motion, and  $\gamma$  denotes the scale unit. Our work used an algorithm that generates a stable Lévy motion proposed by [39]. The algorithm uses  $\alpha$  within the range of 0.3–1.99, and it is defined as in Eq. 7.

$$\text{Levy}(\alpha) = 0.05 \times \frac{x}{|y|^{\frac{1}{\alpha}}} \quad (7)$$

where  $\alpha, x$  and  $y$  are defined as follows:

$$x = \text{Normal}(0, \sigma_x^2) \text{ and } y = \text{Normal}(0, \sigma_y^2)$$

$$\sigma_x = \left[ \frac{\Gamma(1+\alpha)\sin(\frac{\pi\alpha}{2})}{\Gamma\left(\frac{(1+\alpha)}{2}\right)\alpha 2^{\frac{(\alpha-1)}{2}}} \right]^{1/\alpha}, \sigma_y = 1, \text{ and } \alpha = 1.5$$

## 3.4 Modeling the GOA

The proposed GOA algorithm simulates the survival behavior of the gazelles. The optimization process comprises grazing without a predator and running from a spotted predator to a haven. Hence, the proposed GOA algorithm optimization process consists of two phases.

### 3.4.1 Exploitation

This phase assumes the gazelles are grazing peacefully without a predator or while the predator is stalking the gazelles. In this phase, the Brownian motion characterized by uniform and controlled steps was used to effectively cover neighborhood areas of the domain. The gazelles are assumed to move in Brownian motion while grazing, as depicted in Fig. 2.

The mathematical model of this behavior is as shown in Eq. 8.

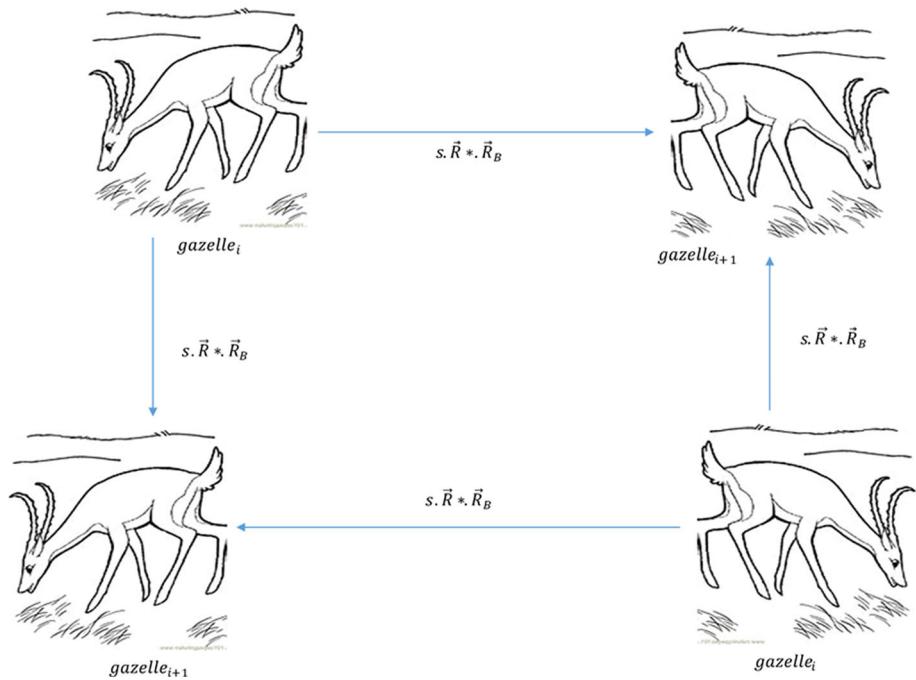
$$\text{gazelle}_{i+1} = \text{gazelle}_i + s.R * .R_B * (\text{Elite}_i - R_B * .\text{gazelle}_i) \quad (8)$$

where  $\text{gazelle}_{i+1}$  is the solution of the next iteration,  $\text{gazelle}_i$  is the solution at the current iteration,  $s$  denotes the grazing speed of the gazelles,  $\vec{R}_B$  is a vector containing random numbers representing the Brownian motion,  $R$  is a vector of uniform random numbers [0,1].

### 3.4.2 Exploration

The exploration phase kicks off the moment a predator is sighted. The gazelles react to danger by flicking their tail, stomping their feet, or stotting up to 2 m in the air with all four feet; simulated by scaling the 2 m height to a number between 0 and 1. The Lévy flight is used for this algorithm phase; the process consists of taking tiny steps and occasionally long jumps. This approach has improved searchability in optimization literature [65]. The exploration phase is depicted in Fig. 3. The gazelle runs once the predator is spotted, and the predator chases. Both runs are characterized by a sudden change of direction, which is represented by the  $\mu$ . This study assumed this change of direction occurs in every iteration; when the iteration number is odd, the gazelle goes one way and the other way when the iteration is even. The gazelle reacts first, so we assumed it runs using Lévy flight. The predator reacts later, so the study assumed its take-off run using the Brownian motion before changing to the Lévy flight later. The mathematical model of the behavior of the gazelle once it spots the predator is as shown in Eq. 9.

**Fig. 2** The grazing behavior of the gazelles signifies exploitation



$$\overrightarrow{\text{gazelle}_{i+1}} = \overrightarrow{\text{gazelle}_i} + S \cdot \mu \cdot \vec{R}_L * \vec{R}_B * \left( \overrightarrow{\text{Elite}_i} - \overrightarrow{R_L} * \overrightarrow{\text{gazelle}_i} \right) \quad (9)$$

where  $S$  is the top speed, the gazelle can reach,  $\vec{R}_L$  denotes a vector of random numbers based on Lévy distributions. The mathematical model for the behavior of the predator chasing the gazelle is shown in Eq. 10.

$$\overrightarrow{\text{gazelle}_{i+1}} = \overrightarrow{\text{gazelle}_i} + S \cdot \mu \cdot \text{CF} * \vec{R}_B * \left( \overrightarrow{\text{Elite}_i} - \overrightarrow{R_L} * \overrightarrow{\text{gazelle}_i} \right) \quad (10)$$

where  $\text{CF} = \left(1 - \frac{\text{iter}}{\text{Max\_iter}}\right)^{\left(2 \frac{\text{iter}}{\text{Max\_iter}}\right)}$  denotes the cumulative effect of the predator.

In a study of Mongolian Gazelles, the authors also posited that though the gazelles are not endangered species, they have an annual survival rate of 0.66 which can be translated to mean predators are only successful in 0.34 situations [47]. PSRs is the predator success rates, the effect affects the ability of the gazelle to escape, which means the algorithm avoids being trapped in a local minimum. The PSRs effect is modeled as in Eq. 11.

$$\overrightarrow{\text{gazelle}_{i+1}} = \begin{cases} \overrightarrow{\text{gazelle}_i} + \text{CF} \left[ \overrightarrow{\text{LB}} + \vec{R} * \left( \overrightarrow{\text{UB}} - \overrightarrow{\text{LB}} \right) \right] * \vec{U} & \text{if } r \leq \text{PSRs} \\ \overrightarrow{\text{gazelle}_i} + [\text{PSRs}(1 - r) + r] \left( \overrightarrow{\text{gazelle}_{r_1}} - \overrightarrow{\text{gazelle}_{r_2}} \right) & \text{else} \end{cases} \quad (11)$$

where  $\vec{U}$  denotes a binary vector, which is constructed by generating a random number  $r$  in  $[0,1]$  such that  $\vec{U} = \begin{cases} 0, \text{ if } r < 0.34 \\ 1, \text{ otherwise} \end{cases}$ .  $r_1$  and  $r_2$  are random indexes of the gazelle matrix.

### 3.5 Pseudocode for the proposed algorithm

The exploitation phase of the algorithm simulates the gazelles grazing peacefully in the absence of the predator or while the predator is stalking it. The GOA goes into the exploration phase once a predator is spotted. This phase consists of the gazelle outrunning and outmaneuvering the predator to a safe haven. The two steps are repeated subject to termination criteria to find optimal solutions to optimization problems. The implementation flow of these phases, as defined by their respective mathematical model, is shown in the pseudocode for the GOA given below.

**Algorithm 1:** Pseudocode of the GOA Optimizer

---

```

begin
  Initialize the algorithm parameters
  s= [0,1]
  μ= [-1,1]
  S= 88kmph
  PSRs=0.34
  R and r are random numbers [0,1]
  Initialize the gazelle populations (search agents)
  While iter<max_iter
    Calculate the fitness of the gazelles
    top-gazelle=fittest gazelle
    Construct the Elite gazelle matrix
    If r<0.5
      Exploitation:
        Update gazelles based on
        gazellei+1 = gazellei + s.R *.RB *.(Elitei - RB *.gazellei)
    Else
      Exploration:
        If mod(iter,2)==0
          μ = -1
        Else
          μ = 1
        If iter<size(gazelle,1)/2
          For the gazelle populations (i = 1,...,n/2)
          Update gazelles based on
          gazellei+1 = gazellei + S.μ.R *.RL *.(Elitei - RL *.gazellei)
        Else
          For the predator populations (i = n/2,...,n)
          Update gazelles based on
          gazellei+1 = gazellei + S.μ.CF *.RB *.(Elitei - RL *.gazellei)
      End (if)
      Fitness update
      top-gazelle update
      Elite update
      Applying PSRs effect and update based on
      gazellei+1 = {gazellei + CF[LB + R *.(UB - LB)] *.U if r ≤ PSRs
                    {gazellei + [PSRs(1 - r) + r](gazeller1 - gazeller2) else
    End while
  Return top-gazelle from the population
End

```

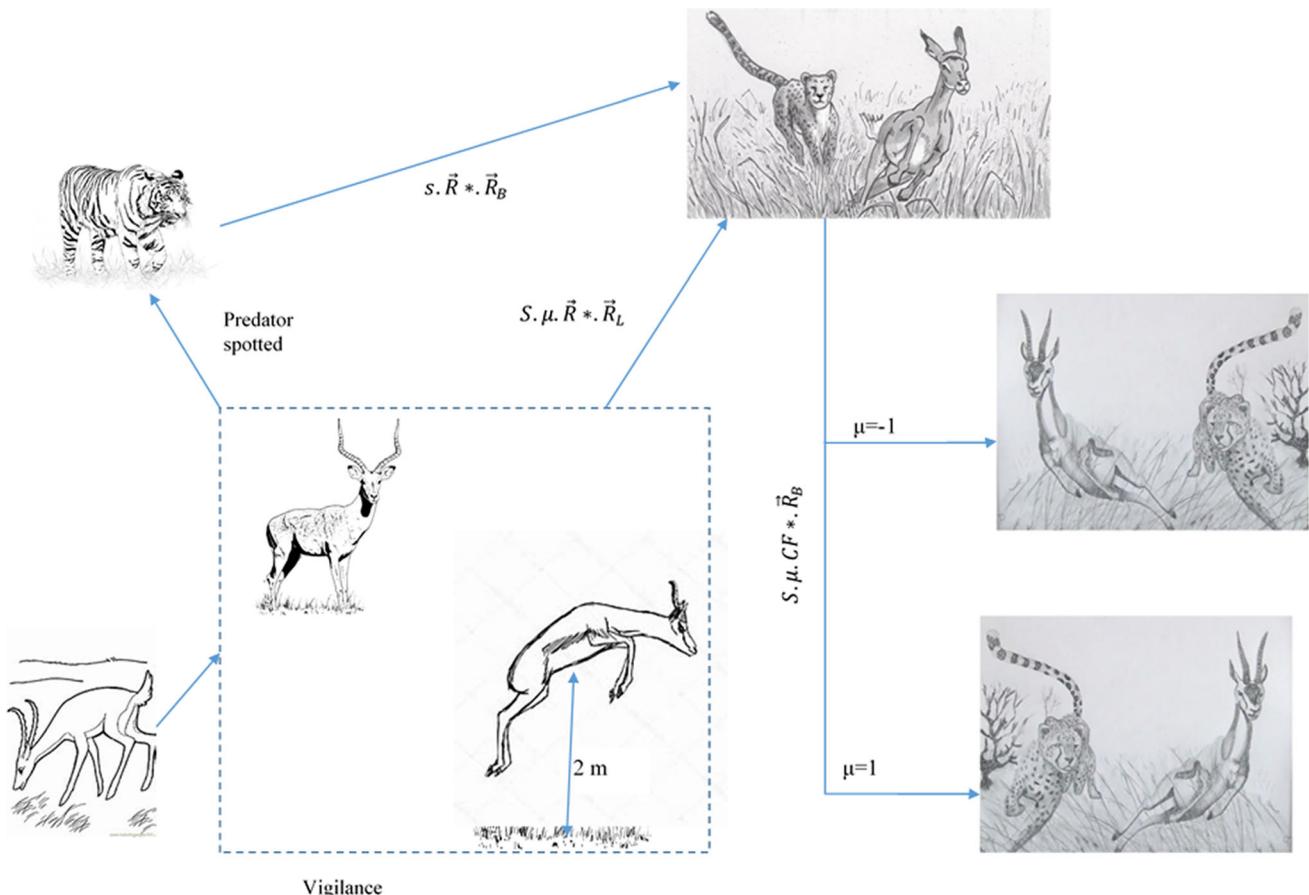
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### 3.6 Computational complexity

The dominant operations in the GOA optimization process typically rely on solution initialization, calculating the fitness functions, and updating solutions. There is  $n$  number of solutions, which corresponds to  $O(n)$ . The complexity of evolving the candidate solutions is  $O(\text{iter} \times d) + O(\text{CFE})$ . The updating process relies on equations modeled to explore the best positions that guarantee the optimal solution and correspondingly update the rest of the solutions'

positions towards the best. Therefore, the computational complexity of the whole optimization processes involved in GOA is  $O(\text{iter} \times d \times n + \text{CFE} \times n)$

where the total iterations permitted is called  $\text{iter}$ , the dimensionality of the given problem is called  $d$ , and  $\text{CFE}$  is the cost of evaluating the respective functions. The detailed optimization process flowchart of GOA is shown in Fig. 4. The algorithm starts by initializing the parameters  $s$ ,  $\mu$ ,  $S$ ,  $\text{PSRs}$ ,  $R$ , and  $r$ . Also, the size of the gazelle's population and the maximum number of iterations are initialized. The



**Fig. 3** Gazelles escaping from the predator signifies exploration

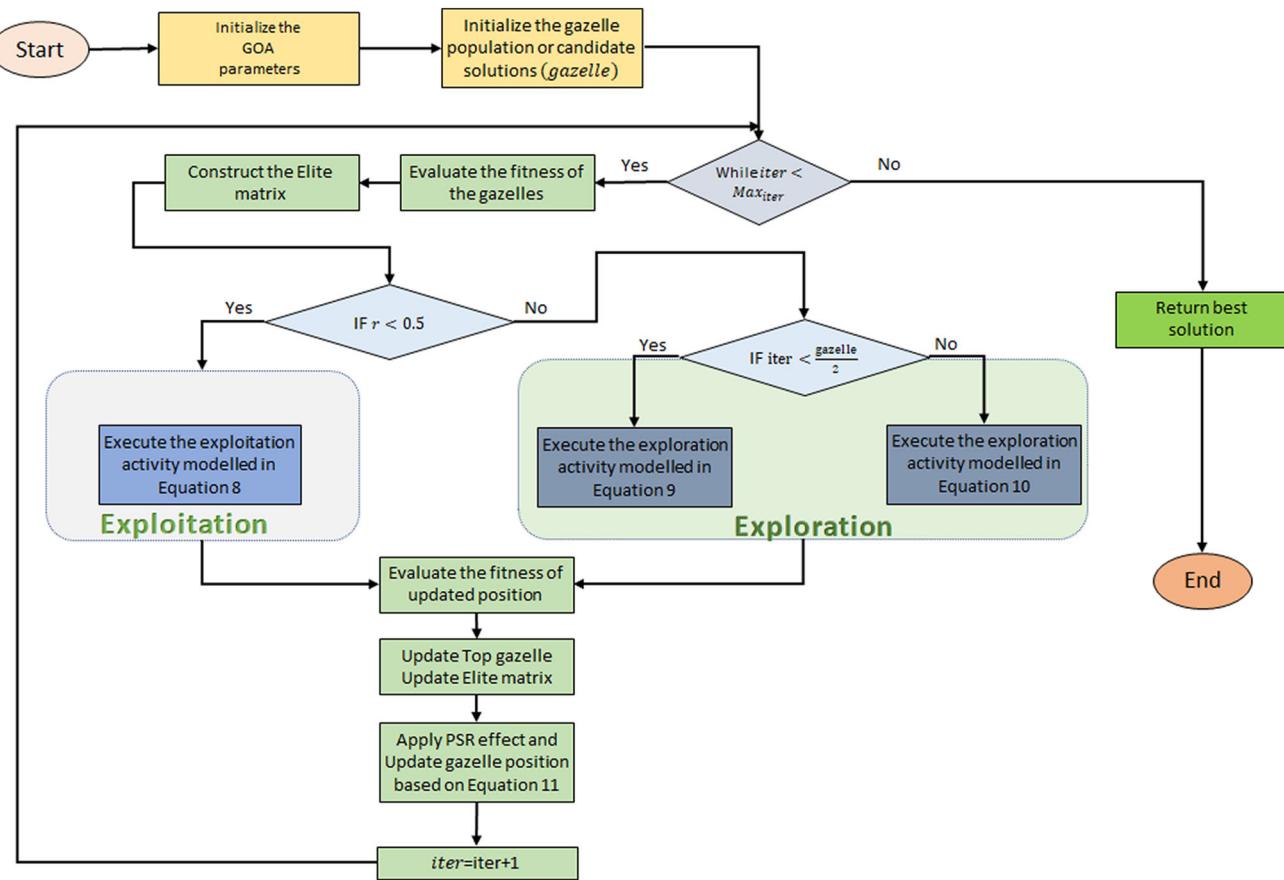
GOA moves to generate the initial population stochastically, and then the process of evolving the initial population is repetitively carried out until a stop criterion is reached. The GOA evolves the initial population by either performing exploitation or exploration. The GOA is in exploitation mode until a predator is spotted (simulated by  $r > 0.5$ ). In each case, the obtained solution is compared with the previously found solution; then, the global best-obtained solution is updated. This process continues until the stop criterion is reached. Once this happens, the global best-obtained result is returned. Finally, GOA terminates once the stop criterion is reached.

#### 4 Experiment design

This section presents the design of experiments conducted to evaluate the performance of GOA. Fifteen (15) classical test functions, ten (10) CEC 2020 test functions, and four (4) selected optimization design problems in the engineering domain were used to evaluate the GOA. The results obtained were compared with that of the following algorithms:

- Advanced arithmetic optimization algorithm (nAOA) [5]
- Arithmetic optimization algorithm (AOA) [3]
- Constriction coefficient-based PSO and GSA (CPSOGSA) [53]
- Particle swarm optimization (PSO) [35]
- Biogeography-based optimization (BBO) [57]
- Differential evolution (DE) [59]
- Salp swarm algorithm (SSA) [42]
- Sine cosine algorithm (SCA) [41]
- Grey wolf optimizer (GWO) [43]

The original MATLAB source code (available online) of the nine (9) state-of-the-art algorithms were downloaded and used. The GOA was implemented from scratch using MATLAB R2020b. The MATLAB implementation of the selected engineering problem was also used to evaluate the algorithms. The hardware used consists of the Windows 10 operating system, Intel Core i7-7700@3.60 GHz processor, and 16 G RAM. The population size and the maximum number of iterations are sensitive parameters and need to be tuned. For this study, the population size is tuned to 50, and the maximum number of iterations is tuned to 1000.



**Fig. 4** The flowchart of GOA

**Table 1** The individual algorithms control parameters settings

Algorithm	Name of the parameter	Value of the parameter
AOA, nAOA	$\alpha$	5
	$\mu$	0.05
DE	Lower bound of scaling factor	0.2
	Upper bound of scaling factor	0.8
	PCR (crossover probability)	0.8
PSO	$C_1, C_2$ (personal and social constants)	2
	Wmax (maximum inertia weight)	0.9
	Wmin (minimum inertia weight)	0.2
CPSOGSA	$< pI, < f > 2$ (control parameters)	2.05
BBO	nKeep (number of habitats retained after every generation)	0.2
	Pmutation (mutation probability)	0.9
GWO	a (area vector)	[0,2]
	$r_1, r_2$ (random vectors)	[0,1]
SCA	a (constant)	2
SSA	$c_2, c_3$ (random numbers)	[0,1]

The stop criterium is the maximum number of iterations. The number of independent runs for each algorithm is set at 30. The implementation source codes for the respective optimization algorithm are also publicly available from the

respective references. The control parameters of each algorithm used in this work are defined in the original article proposing the respective algorithms and given in Table 1.

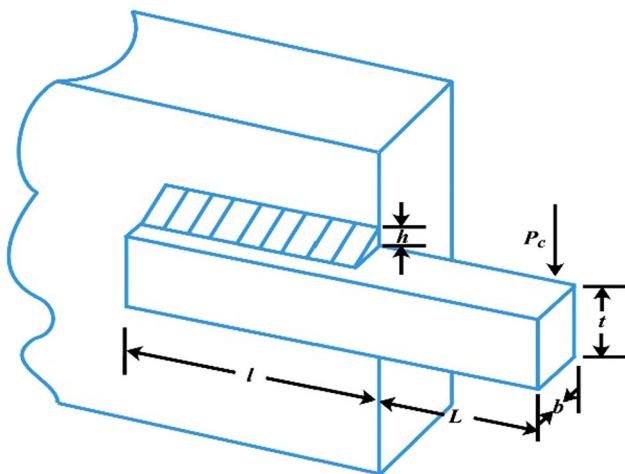
**Table 2** Classical test functions

ID	Type	Function	Dimension	Bounds	Global
F1	Unimodal	$f(x) = \sum_{i=1}^n x_i^2$	30	[-100,100]	0
F2	Unimodal	$f(x) = \sum_{i=0}^n  x_i  + \prod_{i=0}^n x_i$	30	[-10,10]	0
F3	Unimodal	$f(x) = \sum_{i=1}^d \left( \sum_{j=1}^i x_j \right)^2$	30	[-100,100]	0
F4	Unimodal	$f(x) = \max_{i \in \{1, \dots, n\}} i \leq i \leq n$	30	[-100,100]	0
F5	Unimodal	$f(x) = \sum_{i=1}^{n-1} \left[ 100(x_i - x_{i+1})^2 + (1 - x_i)^2 \right]$	30	[-30,30]	0
F6	Unimodal	$f(x) = \sum_{i=1}^n (x_i - 0.5)^2$	30	[-100,100]	0
F7	Unimodal	$f(x) = \sum_{i=0}^n i x_1^4 + \text{rand}[0, 1)$	30	[-128,128]	0
F8	Multimodal	$f(x) = \sum_{i=1}^n -x_i \sin(\sqrt{ x_i })$	30	[-500,500]	$-418.9829 \times n$
F9	Multimodal	$f(x) = 10 + \sum_{i=1}^n (x_i^2 - 10 \cos(2\pi x_i))$	30	[-5,12,5,12]	0
F10	Multimodal	$f(x) = -a \exp\left(-0.02 \sqrt{n^{-1} \sum_{i=1}^n x_i^2}\right) - \exp\left(n^{-1} \sum_{i=1}^n \cos(2\pi x_i)\right) + a + e, a = 20$	30	[-32,32]	0
F11	Multimodal	$f(X) = 1 + \frac{1}{4000} \sum_{i=1}^n x_i^2 - \prod_{i=1}^n \cos\left(\frac{x_i}{\sqrt{i}}\right)$	30	[-600,600]	0
F12	Multimodal	$f(x) = \frac{\pi}{n} \{10 \sin(\pi y_i)\} + \sum_{i=1}^{n-1} (y_i - 1)^2 \left[ 1 + 10 \sin^2(\pi y_{i+1}) + \sum_{i=1}^n u(x_i, 10, 100, 4) \right] \text{ Where } y_i = 1 + \frac{x_i + 1}{4}, u(x_i, a, k, m) = \begin{cases} K(x_i - a)^m & \text{if } x_i > a \\ 0 & -a \leq x_i \geq a \\ K(-x_i - a)^m & -a \leq x_i \end{cases}$	30	[-50,50]	0
F13	Multimodal	$f(x) = 0.1 \left( \sin^2(3\pi x_1) + \sum_{i=1}^n (x_i - 1)^2 [1 + \sin^2(3\pi x_i + 1)] + (x_n - 1)^2 [1 + \sin^2(2\pi x_n)] \right) + \sum_{i=1}^n u(x_i, 5, 100, 4)$	30	[-50,50]	0
F14	Fixed-dimension multimodal	$f(x) = \sum_{i=1}^{11} \left[ a_i - \frac{x_1 (b_i^2 + b_i x_2)}{b_i^2 + b_i x_3 + x_4} \right]^2$	4	[-5,5]	0.00030
F15	Fixed-dimension multimodal	$f(X) = \left[ 1 + (x_1 + x_2 + 1)^2 (19 - 14x_1 + 3x_1^2 - 14x_2 + 6x_1 x_2 + 3x_2^2) \right] \times \left[ 30 + (2x_1 - 3x_2)^2 (18 - 32x_1 + 12x_1^2 + 48x_2 - 36x_1 x_2 + 27x_2^2) \right]$	2	[-2,2]	3

**Table 3** The CEC 2020 functions

Type	Number	Functions	$F_i^*$
Unimodal function	F16	Shifted and rotated bent cigar function (CEC 2017 <sup>[4]</sup> F1)	100
Basic functions	F17	Shifted and rotated Schwefel's function (CEC 2014 <sup>[3]</sup> F11)	1100
	F18	Shifted and rotated Lunacek bi-Rastrigin function (CEC 2017 <sup>[4]</sup> F7)	700
	F19	Expanded Rosenbrock's plus Griewangk's function (CEC2017 <sup>[4]</sup> f19)	1900
Hybrid functions	F20	Hybrid function 1 (N = 3) (CEC 2014 <sup>[3]</sup> F17)	1700
	F21	Hybrid function 2 (N = 4) (CEC 2017 <sup>[4]</sup> F16)	1600
	F22	Hybrid function 3 (N = 5) (CEC 2014 <sup>[3]</sup> F21)	2100
Composition functions	F23	Composition function 1 (N = 3) (CEC 2017 <sup>[4]</sup> F22)	2200
	F24	Composition function 2 (N = 4) (CEC 2017 <sup>[4]</sup> F24)	2400
	F25	Composition function 3 (N = 5) (CEC 2017 <sup>[4]</sup> F25)	2500

\*Search range:  $[-100,100]^D$



**Fig. 5** The welded beam design problem

#### 4.1 Benchmark Functions

Also, the classical and composite benchmark functions used to test the robustness and efficiency of the proposed algorithm are presented in Tables 2 and 3, respectively. The benchmark functions consist of unimodal, multimodal, fixed-dimension multimodal, and composite functions. All the benchmark functions have different complexities in terms of the number and location of the global optimum solution. The unimodal functions are suitable for testing exploitation, while the multimodal functions are suitable for evaluating the exploration. The composite test functions shift the global optimum to random locations, making it challenging to find the global optimum.

#### 4.2 Engineering problem

The goal of applying GOA to engineering design problems is to find the minimum cost and values of the corresponding design parameters. The four (4) problems considered for our experiments are discussed in the next section.

##### 4.2.1 The welded beam design problem (WBD)

The welded beam design problem is formulated specifically to reduce the manufacturing cost of the design [16]. A simple illustration of the WBD is shown in Fig. 5. The WBD constraints are shear ( $\tau$ ) and beam blending ( $\theta$ ) stress, bar buckling load ( $P_c$ ), beam end deflection ( $\delta$ ), and side constraints defined as follows:

$$\begin{bmatrix} x_1 \\ x_2 \\ x_3 \\ x_4 \end{bmatrix} = \begin{bmatrix} h \\ l \\ t \\ b \end{bmatrix}$$

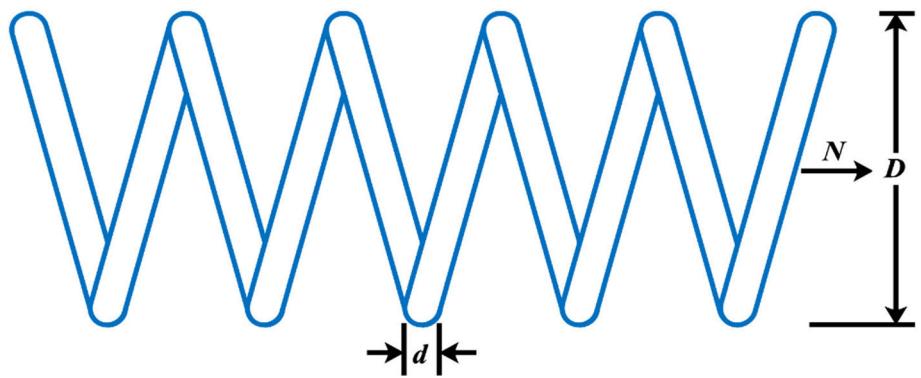
with the length ( $l$ ), height ( $t$ ), thickness ( $b$ ) and weld thickness ( $h$ ) of the bar

The WBD problem is mathematically formulated as defined in Eq. 12 [16]:

$$\begin{aligned} \text{Given } \vec{l} &= [l_1 l_2 l_3 l_4] = [h l t b] = [x_1 x_2 x_3 x_4] \min f(\vec{l}) \\ &= 1.10471 l_1^2 l_2 + 0.04811 l_3 l_4 (14.0 + l_2) \end{aligned} \quad (12)$$

subject to

**Fig. 6** Compression spring design problem



$$s_1(\vec{l}) = \tau(\vec{l}) - \tau_{\max} \leq 0,$$

$$s_2(\vec{l}) = \sigma(\vec{l}) - \sigma_{\max} \leq 0,$$

$$s_3(\vec{l}) = l_1 - l_4 \leq 0,$$

$$s_4(\vec{l}) = 1.10471l_1^2 + 0.04811l_3l_4(14.0 + l_2) - 5.0 \leq 0,$$

$$s_5(\vec{l}) = 0.125 - l_1 \leq 0,$$

$$s_6(\vec{l}) = \delta(\vec{l}) - \delta_{\max} \leq 0,$$

$$s_7(\vec{l}) = P - P_c(\vec{l}) \leq 0,$$

The intervals for the design variables are as follows:

$$0.1 \leq l_1 \leq 2,$$

$$0.1 \leq l_2 \leq 10,$$

$$0.1 \leq l_3 \leq 10,$$

$$0.1 \leq l_4 \leq 2$$

Where,

$$\tau(\vec{l}) = \sqrt{(\tau')^2 + 2\tau'\tau''(\frac{l_2}{R}) + (\tau'')^2}$$

$$\tau' = P / \sqrt{2l_1l_2}$$

$$\tau'' = MR/J$$

$$M = P \left( L + \frac{l_2}{2} \right)$$

$$R = \sqrt{\frac{l_2^2}{4} + \left( \frac{l_1 + l_3}{2} \right)^2}$$

$$J = 2 \left\{ \sqrt{2}l_1l_2 \left[ \left( \frac{l_2^2}{12} \right) + \left( \frac{l_1 + l_3}{2} \right)^2 \right] \right\}$$

$$\sigma(\vec{l}) = \frac{6PL}{l_4l_3^2}$$

$$\delta(\vec{l}) = \frac{4PL^3}{El_3^3l_4}$$

$$P_c(\vec{l}) = \frac{4.013E\sqrt{\frac{l_3^2l_4^6}{36}}}{L^2} \left( 1 - \frac{l_3}{2L} \sqrt{E/4G} \right).$$

The values for parameters of WBD are as follows:

$$\begin{aligned} \sigma_{\max} &= 30000 \text{ psi}, P = 6000 \text{ lb}, L = 14 \text{ in}, \delta_{\max} = 0.25 \text{ in}, E \\ &= 30 \times 10^6 \text{ psi}, \tau_{\max} = 13600 \text{ psi}, \text{ and } G \\ &= 12 \times 10^6 \text{ psi}. \end{aligned}$$

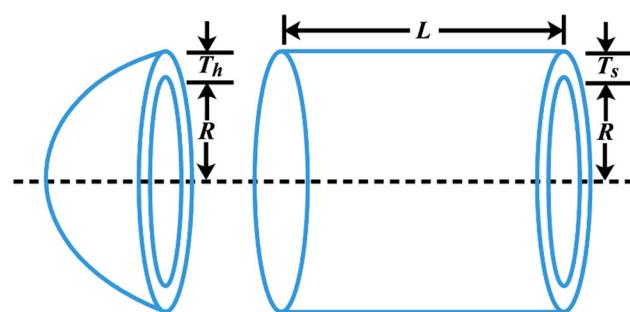
#### 4.2.2 Compression spring design problem

The compression spring design problem (CSD) falls under the continuous constrained optimization problem category. The GOA aims to find the minimum volume  $V$  of a coil spring under a constant tension/compression load. The CSD is shown in Fig. 6, and the three design variables are as follows:

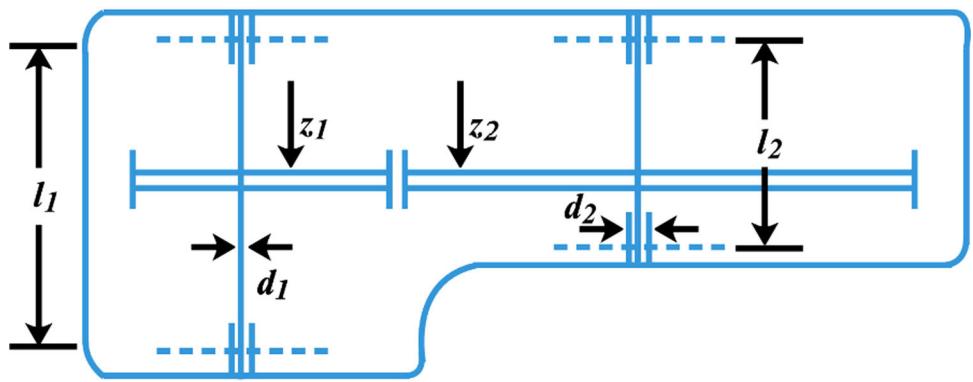
- The number of compression spring's active coils  $P = \times 1 \in [2, 15]$
- The diameter of the spring's winding  $D = \times 2 \in [0.25, 1.3]$
- The diameter of the wire  $d = \times 3 \in [0.05, 2]$

The CSD problem is modeled as in Eq. 13 (Kazemzadeh-Parsi 2014). Given that

**Fig. 7** Pressure vessel design problem



**Fig. 8** The speed reducer design problem



$$l = [l_1 l_2 l_3] = [\text{dDP}] \text{Minf}(\vec{l}) = (l_3 + 2)l_2 l_1^2 \quad (13)$$

subject to

$$s_1(\vec{l}) = 1 - \frac{l_2^2 l_3}{71,785 l_1^4} \leq 0,$$

$$s_2(\vec{l}) = \frac{4l_2^2 - l_1 l_2}{12,566(l_3 l_1^3 - l_1^4)} + \frac{1}{5108 l_1^2} \leq 0,$$

$$s_3(\vec{l}) = 1 - \frac{140.45 l_1}{l_2^2 l_3} \leq 0,$$

$$s_4(\vec{l}) = \frac{l_1 + l_2}{1.5} - 1 \leq 0$$

The intervals for the design variables are:

$$0.05 \leq l_1 \leq 2.00,$$

$$0.25 \leq l_2 \leq 1.30,$$

$$2.00 \leq l_3 \leq 15.0$$

#### 4.2.3 Pressure vessel design problem

A pressure vessel design model (PVD) consists of a cylinder closed with end caps shown in Fig. 7. The PVD has four decision variables where  $x_1$  is the thickness of the pressure vessel  $T_s$ ,  $x_2$  is the thickness of the head  $T_h$ ,  $x_3$  stands for the inner radius of the vessel  $R$ , and  $x_4$  is the length of the vessel barring head  $L$ . The mathematical model for PVD is given in Eq. 14 (Sandgren, 1990). Given that

$$\begin{aligned} l &= [l_1 l_2 l_3 l_4] = [T_s T_h R L], \\ \text{Minf}(\vec{l}) &= 0.6224 l_1 l_3 l_4 + 1.781 l_2 l_3^2 \\ &\quad + 3.1661 l_1^2 l_4 + 19.84 l_1^2 l_3 \end{aligned} \quad (14)$$

$$s_1(\vec{l}) = -l_1 + 0.0193 l_3 \leq 0,$$

$$s_2(\vec{l}) = -l_2 + 0.00954 l_3 \leq 0,$$

$$s_3(\vec{l}) = -\pi l_3^2 l_4 - \frac{4}{3} \pi l_3^3 + 1,296,000 \leq 0,$$

$$s_4(\vec{l}) = l_4 - 240 \leq 0.$$

The interval of the design variables are as follows:

$$0 \leq l_1 \leq 99,$$

$$0 \leq l_2 \leq 99,$$

$$10 \leq l_3 \leq 200,$$

$$10 \leq l_4 \leq 200.$$

#### 4.2.4 The speed reducer design problem (SRD)

Speed reducers, sometimes called the Gear-reducer, are a component found in mechanical, automobile, electrical, and hydraulic motors. They are different combinations of gears designed to increase the torque of a motor. The SRD shown in Fig. 8 is a constrained optimization problem where the goal is to minimize the weight of the gearbox subject to satisfying several gears and shaft design constraints [40]. There are seven (7) design variables where  $x_1$  is the face width (b),  $x_2$  represents the module of teeth (m),  $x_3$  is the number of teeth in the pinion (z),  $x_4$  denotes the length of the first shaft between bearings ( $l_1$ ), length of the second shaft between the bearings ( $l_2$ ) is represented by  $x_5$ , and the diameter of first ( $d_1$ ) and second shafts ( $d_2$ ) are denoted by  $x_6$  and  $x_7$ , respectively. This problem is modeled mathematically as given in Eq. 15. Given that

$$x = [x_1, x_2, x_3, x_4, x_5, x_6, x_7] = [b, m, z, l_1, l_2, d_1, d_2]$$

$$\begin{aligned} \text{minf}(x) &= 0.7854 x_1 x_2^2 (10/x_2^3 + 14.9334 x_3 - 43.0934) \\ &\quad - 1.508 x_1 (x_6^2 + x_7^2) \\ &\quad + 7.4777 (x_6^3 + x_7^3) + 0.7854 (x_4 x_6^2 + x_5 x_7^2) \end{aligned} \quad (15)$$

**Table 4** Classical test functions results

Function	Value	GOA	nAOA	AOA	CPSOGSA	PSO	BBO	DE	SSA	SCA	GWO
F1	Best	0	0	0	0	9.5172	2.29E-05	42,925	2.63E-08	0	0
	Worst	0	0	0	10,000	18.136	8.67E-05	42,925	5.67E-08	696.27	0
	Average	0	0	0	333.34	13.444	4.19E-05	42,925	4.11E-08	54.54	0
	SD	0	0	0	1825.7	1.938	1.40E-05	0	7.65E-09	131.35	0
	Median	0	0	0	0	13.215	3.97E-05	42,925	4.09E-08	12.608	0
F2	Best	0	0	0	1.33E-08	1.1176	0.000406	3.04E + 64	0.50286	0	0
	Worst	0	0	0	37.179	1.8525	0.000786	3.04E + 64	5.577	0.088169	0
	Average	0	0	0	6.5001	1.5457	0.000577	3.04E + 64	2.2844	0.008764	0
	SD	0	0	0	10.193	0.16489	9.91E-05	2.10E + 49	1.3134	0.017489	0
	Median	0	0	0	2.12	1.5591	0.000578	3.04E + 64	2.2475	0.002618	0
F3	Best	0	0	0.028066	4682.7	763.79	75,123	2.04E + 07	492.25	0	0
	Worst	0	37.769	0.028066	19,313	1645.6	1.04E + 05	2.16E + 07	5346.2	53,336	8.43E-08
	Average	0	3.5291	0.028066	11,217	1196.1	92,273	2.10E + 07	2064.9	30,544	4.63E-09
	SD	0	7.4743	0	3878	232	6863.9	3.55E + 05	1031.9	8914.2	1.66E-08
	Median	0	0.001567	0.028066	11,018	1186.4	93,192	2.09E + 07	1674.5	30,143	0
F4	Best	0	0	0.048503	36.83	1.7755	17.173	50	7.3504	0	0
	Worst	0	0.000753	0.048503	91.583	2.337	25.5	50	17.661	72.124	0
	Average	0	2.85E-05	0.048503	71.609	2.0562	22.31	50	12.889	57.926	0
	SD	0	0.000138	0	21.181	0.1326	1.9203	0	2.6895	6.3537	0
	Median	0	0	0.048503	84.441	2.0756	22.446	50	12.579	57.157	0
F5	Best	42.474	48.423	48.589	37.48	215.33	94.204	5.68E + 09	44.065	42.474	45.491
	Worst	45.681	48.423	48.589	333.44	1146.7	345.16	5.70E + 09	917.32	3.22E + 06	48.618
	Average	44.392	48.423	48.589	86.64	388.35	198.38	5.70E + 09	123.75	4.03E + 05	46.925
	SD	0.60983	0	0	72.325	196.54	58.302	5.51E + 06	165.11	6.98E + 05	0.82685
	Median	44.408	48.423	48.589	48.218	336.48	186.17	5.70E + 09	86.282	1.12E + 05	47.031
F6	Best	0.001075	3.2651	6.3098	0	7.9195	2.07E-05	44,213	2.29E-08	0.001075	0.4075
	Worst	0.97399	3.2651	6.3098	10,100	17.546	5.60E-05	44,213	5.49E-08	108.77	2.254
	Average	0.45976	3.2651	6.3098	336.68	13.459	3.92E-05	44,213	3.92E-08	31.097	1.5687
	SD	0.26899	0	0	1844	2.228	1.03E-05	0	8.26E-09	23.44	0.48281
	Median	0.52767	3.2651	6.3098	0	13.64	3.90E-05	44,213	3.78E-08	23.937	1.6134
F7	Best	4.14E-05	0.000399	2.10E-05	0.073662	0.005496	0.048251	9.22E + 08	0.081729	0.000399	0.000139
	Worst	4.14E-05	0.007156	2.10E-05	0.20935	0.01469	0.097603	1.03E + 09	0.28857	3.5654	0.00159
	Average	4.14E-05	0.001537	2.10E-05	0.13048	0.009487	0.07612	9.83E + 08	0.17227	0.55889	0.00075
	SD	0	0.001296	0	0.029784	0.002134	0.013977	3.13E + 07	0.059453	0.70736	0.000363
	Median	4.14E-05	0.001246	2.10E-05	0.12726	0.009685	0.072471	9.88E + 08	0.16779	0.29078	0.0007

**Table 4** (continued)

Function	Value	GOA	nAOA	AOA	CPSOGSA	PSO	BBO	DE	SSA	SCA	GWO
F8	Best	− 9520.9	− 8119.8	− 14,685	− 13,887	− 14,357	− 14,017	216.79	− 15,000	− 14,685	− 11,538
	Worst	− 9520.9	− 8119.8	− 9703.6	− 9948.7	− 11,706	− 12,282	216.79	− 9282.5	− 4692.2	− 4207.9
	Average	− 9520.9	− 8119.8	− 11,714	− 12,072	− 13,148	− 13,206	216.79	− 12,151	− 5265.9	− 9177.7
	SD	0	0	1383	999.81	661.03	401.9	1.04E − 13	1051.4	382.11	1291.2
	Median	− 9520.9	− 8119.8	− 11,410	− 12,165	− 13,206	− 13,114	216.79	− 12,285	− 5098	− 9183.1
F9	Best	0	0	0	101.49	44.375	180.45	42,925	27.859	0	0
	Worst	0	75.997	0	286.55	104.22	216.55	42,925	115.42	255.17	0
	Average	0	2.5332	0	207.91	77.126	200.5	42,925	67.392	63.554	0
	SD	0	13.875	0	50.507	16.854	9.1328	0	25.928	51.514	0
	Median	0	0	0	209.44	79.097	201.05	42,925	61.19	53.079	0
F10	Best	0	0	0	0	0.84275	0.001041	19.943	1.3743	0	0
	Worst	0	0	0	19.428	1.421	0.001561	19.943	4.449	20.452	0
	Average	0	0	0	9.6014	1.1896	0.00132	19.943	2.7351	16.422	0
	SD	0	0	0	7.9606	0.15145	0.000135	3.61E-15	0.73797	8.0367	0
	Median	0	0	0	11.214	1.1812	0.001297	19.943	2.5792	20.354	0
F11	Best	0	0	0.16318	0.62438	1.0795	2.05E-05	11.731	2.37E-06	0	0
	Worst	0	0	0.16318	181.03	1.1639	0.000633	11.731	0.024869	4.3584	0.016052
	Average	0	0	0.16318	16.126	1.1178	0.000102	11.731	0.005679	1.1952	0.001698
	SD	0	0	0	38.218	0.021276	0.000111	2.98E-07	0.007263	0.75567	0.004534
	Median	0	0	0.16318	4.1845	1.1171	6.94E-05	11.731	0.000278	1.0596	0
F12	Best	6.00E-05	0.26752	0.57062	5.2018	0.014925	7.72E-06	2.18E + 09	2.892	6.00E-05	0.017852
	Worst	0.022871	0.26752	0.57062	16.931	0.033278	4.91E-05	2.18E + 09	13.398	3.61E + 06	0.11162
	Average	0.007391	0.26752	0.57062	8.9293	0.023089	2.42E-05	2.18E + 09	6.821	3.47E + 05	0.064446
	SD	0.006435	0	0	2.938	0.005007	1.07E-05	19.298	2.3759	8.27E + 05	0.025162
	Median	0.006151	0.26752	0.57062	8.9987	0.022166	2.26E-05	2.18E + 09	6.0235	2622.4	0.064121
F13	Best	0.024287	3.991	4.7546	0	0.31685	5.20E-05	3.90E + 09	1.94E-05	0.024287	0.79601
	Worst	0.70659	3.991	4.7546	54.71	0.70711	0.000199	3.90E + 09	74.103	7.60E + 06	1.8762
	Average	0.32705	3.991	4.7546	20.918	0.53361	0.00012	3.90E + 09	29.587	6.09E + 05	1.3201
	SD	0.17498	0	0	15.471	0.097484	3.55E-05	0	21.985	1.44E + 06	0.31049
	Median	0.34353	3.991	4.7546	20.555	0.53472	0.000126	3.90E + 09	30.517	1.08E + 05	1.3115
F14	Best	0.000307	0.000687	0.000377	0.000331	0.000308	0.000371	0.40836	0.00035	0.000307	0.000307
	Worst	0.000307	0.000687	0.000377	0.020363	0.020363	0.000752	0.40836	0.001413	0.00146	0.056543
	Average	0.000307	0.000687	0.000377	0.004082	0.002778	0.000631	0.40836	0.000773	0.000838	0.003696
	SD	9.57E-15	0	0	0.007412	0.006007	0.000112	1.13E-16	0.000279	0.000388	0.011185
	Median	0.000307	0.000687	0.000377	0.000745	0.000664	0.000668	0.40836	0.000737	0.000681	0.000307

**Table 4** (continued)

Function	Value	GOA	nAOA	AOA	CPSOGSA	PSO	BBO	DE	SSA	SCA	GWO
F15	Best	3	3	3	3	3	3	2275	3	3	3
	Worst	3	3	3	3	30	3	2275	3	3	3
	Average	3	3	3	3	4.8	3	2275	3	3	3
	SD	0	6.70E-16	0	1.57E-15	6.8501	1.22E-15	0	6.63E-14	8.71E-06	3.17E-06
	Median	3	3	3	3	3	3	2275	3	3	3

Subject to

$$g_1(x) = \frac{27}{x_1 x_2^2 x_3} - 1 \leq 0,$$

$$g_2(x) = \frac{397.5}{x_1 x_2^2 x_3^2} - 1 \leq 0,$$

$$g_3(x) = \frac{1.93 x_4^2}{x_2 x_6^4 x_3} - 1 \leq 0,$$

$$g_4(x) = \frac{1.93 x_5^2}{x_2 x_7^4 x_3} - 1 \leq 0,$$

$$g_5(x) = \frac{\sqrt{\left(\frac{745 x_4}{x_2 x_3}\right)^2 + 16 \times 10^6}}{110 x_6^3} - 1 \leq 0,$$

$$g_6(x) = \frac{\sqrt{\left(\frac{745 x_5}{x_2 x_3}\right)^2 + 157.5 \times 10^6}}{85 x_7^3} - 1 \leq 0,$$

$$g_7(x) = \frac{x_2 x_3}{40} - 1 \leq 0,$$

$$g_8(x) = \frac{5 x_2}{x_1} - 1 \leq 0,$$

$$g_9(x) = \frac{x_1}{12 x_2} - 1 \leq 0,$$

$$g_{10}(x) = \frac{1.5 x_6 + 1.9}{x_4} - 1 \leq 0,$$

$$g_{11}(x) = \frac{1.5 x_7 + 1.9}{x_5} - 1 \leq 0$$

The interval is given as follows:

$$2.6 \leq x_1 \leq 3.6, 0.7 \leq x_2 \leq 0.8, 17 \leq x_3 \leq 28, 7.3 \leq x_4 \leq 8.3, \\ 7.3 \leq x_5 \leq 8.3, 2.9 \leq x_6 \leq 3.9, 5.0 \leq x_7 \leq 5.5$$

## 5 Results and discussion

This section presents and discusses the results of the experiments conducted on the benchmark functions and the four (4) engineering problems. The results were presented using the ‘best,’ ‘worst,’ ‘average,’ ‘standard deviation (SD),’ and ‘median’ indicators. All the algorithms are compared using mean, standard deviation, Friedman’s test, and Wilcoxon signed-rank test to check the performance differences between all algorithms for all functions. Friedman’s test assumes a 0.05 significance level and the null hypothesis that there is no significant difference between the mean results of all the participating algorithms. The alternative hypothesis is that there is a significant difference in the mean results of the participating algorithms. The Wilcoxon signed-rank test set a 0.05 significance level,  $R+$  represents the sum of ranks in which the algorithm appearing first outperforms the algorithm

**Table 5** Results for composite functions (CEC2020)

Function	Value	GOA	nAOA	AOA	CPSOGSA	PSO	BBO	DE	SSA	SCA	GWO
F16	Best	100.04	3.89E + 08	1.62E + 09	101.38	101.9	103.43	199.57	147.43	100.04	5734.3
	Worst	101.51	7.61E + 09	1.03E + 10	12,725	8494.5	9805	6437.4	9096.2	1.91E + 09	3.43E + 08
	Average	100.43	3.42E + 09	5.11E + 09	5175.8	2008.6	1343.2	1759.2	2893.4	6.96E + 08	1.33E + 07
	SD	0.35345	1.75E + 09	2.22E + 09	3826.3	2336.6	2201.4	1467.8	2845.9	3.24E + 08	6.28E + 07
	Median	100.35	3.36E + 09	5.35E + 09	5158.1	1236.3	285.98	1250.8	1756.6	6.69E + 08	21,409
F17	Best	1106.6	1352.5	1266	1439.3	1107	1113.6	1246.5	1320.7	1106.6	1116.7
	Worst	1495.8	2693	2787.2	2642.2	1709.9	2115	1804.1	2378.9	2760.4	1941.2
	Average	1246.4	2073.7	2124	2149.9	1399.6	1681.2	1540.9	1861.6	2308.3	1535.4
	SD	107.35	291.63	329.5	355.12	137.58	244.51	130.05	276.66	224.26	233.62
	Median	1246.8	2121	2123.4	2182.9	1445	1704.3	1557.6	1886	2386.9	1475.1
F18	Best	711.23	733.87	759.98	722.29	703.6	715.05	716.7	716.96	711.23	714.36
	Worst	724.6	816.59	821.47	788.59	725.1	735.73	725.64	772.3	802.59	749.27
	Average	719.59	766.69	798.12	752.59	716.98	722.02	721.45	734.23	776.62	727.71
	SD	3.0154	22.882	17.539	16.364	4.9699	4.9793	2.3513	12.331	9.9176	9.3905
	Median	720.2	762.72	803.36	754.74	717.67	722.41	721.17	730.78	775.65	727.69
F19	Best	1900.5	2402.4	3735.6	1900.6	1900.3	1900.5	1901.1	1900.8	1900.5	1900.7
	Worst	1901.8	1.32E + 05	2.77E + 05	1903.7	1902	1906.5	1902.2	1904.4	1941.3	1904.1
	Average	1901.2	31,536	50,020	1901.8	1901.1	1901.9	1901.6	1901.6	1919	1902.4
	SD	0.31242	29,526	56,624	0.83199	0.46064	1.2235	0.25486	0.9302	8.2064	0.75162
	Median	1901.1	25,638	37,525	1901.6	1901	1901.5	1901.6	1901.2	1916.7	1902.5
F20	Best	1734.7	6757.7	3968.2	1994.7	1871.2	2461	4359.2	2681.8	1734.7	3029.7
	Worst	1799.6	5.95E + 05	6.78E + 05	1.56E + 05	10,218	1.31E + 05	80,032	15,641	77,282	6.11E + 05
	Average	1756.1	1.51E + 05	1.43E + 05	14,147	4709.3	24,327	28,146	5928.7	31,187	69,600
	SD	16.75	1.36E + 05	1.33E + 05	29,030	2794.8	33,785	17,680	3600.6	21,634	1.69E + 05
	Median	1752	1.26E + 05	1.42E + 05	6575.3	3619	10,825	23,485	4417.1	23,966	5745.1
F21	Best	1600	1600.8	1600.5	1600	1600	1600	1600	1600	1600	1600
	Worst	1600	1659.2	1622.9	1724.9	1658.5	1617.6	1600.4	1617.1	1601.7	1625.5
	Average	1600	1617.3	1611.5	1630.3	1612.6	1602.8	1600.2	1601.6	1601	1603
	SD	0.0000893	18.114	8.3504	35.718	14.912	5.8203	0.10355	4.2149	0.21847	6.6623
	Median	1600	1617.2	1616	1616.8	1616.8	1600.6	1600.2	1600.5	1601	1600.3
F22	Best	2102.9	2750.9	2768.6	2341.5	2217.7	2161.5	2271.8	2424.6	2102.9	2708.6
	Worst	2122.5	30,182	9834.9	22,472	4542.1	28,362	8615.2	26,567	15,558	18,025
	Average	2110	9998.4	6090.4	5808.2	2718.8	9738.9	3340.3	6743.2	7865	9151.4
	SD	5.5831	7532.6	2245.1	4995.4	456.78	7763.2	1260.2	6332.9	3543	4892.4
	Median	2108.4	7917.4	6060	3030.7	2742.5	7488.6	2992	3418.5	7416	7390.2

**Table 5** (continued)

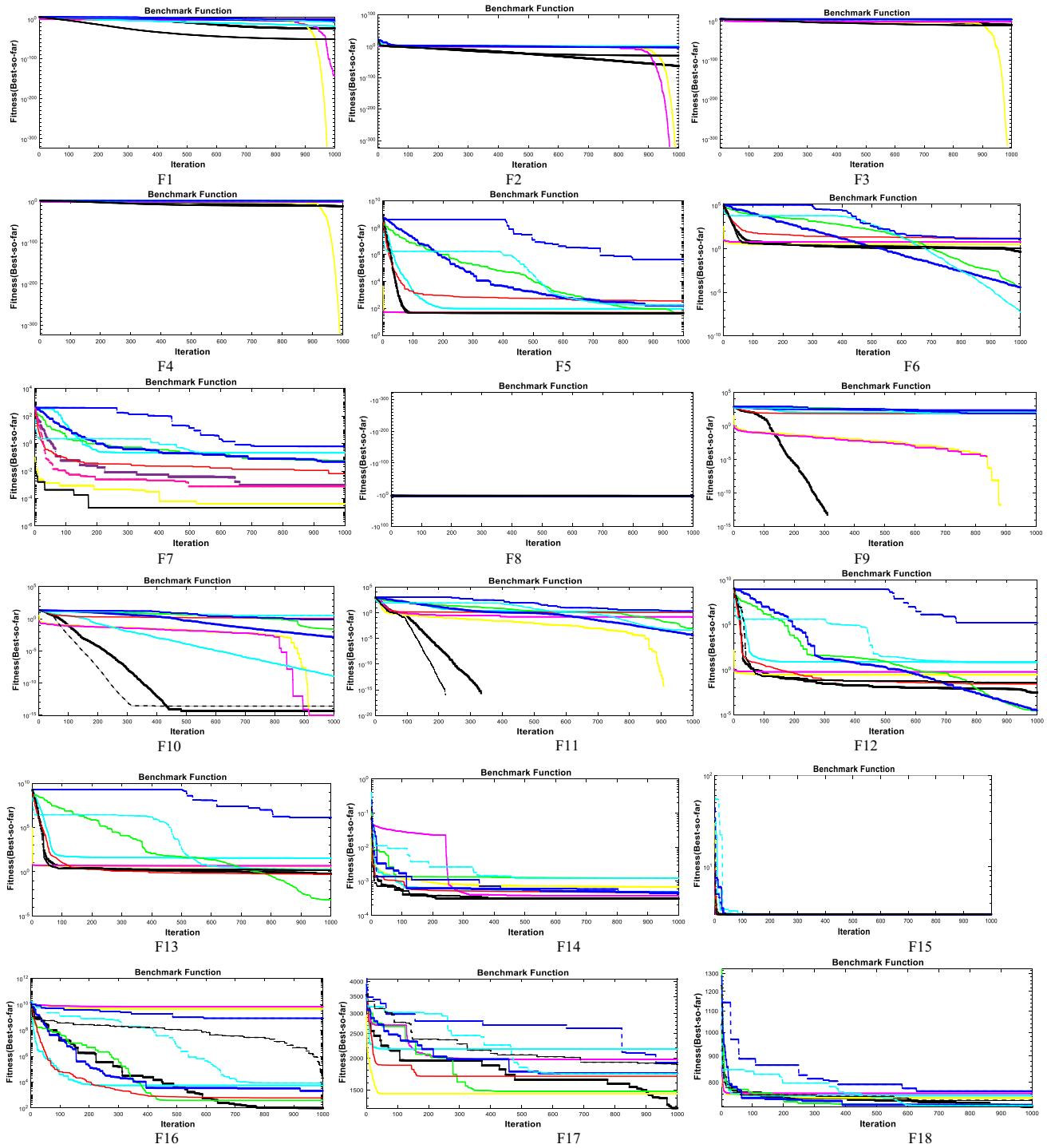
Function	Value	GOA	nAOA	AOA	CPSOGSA	PSO	BBO	DE	SSA	SCA	GWO
F23	Best	2200.2	2361.5	2478.1	2300.6	2300.7	2300.3	2261.9	2223	2200.2	2300.9
	Worst	2303.5	2665.4	3865.3	2308.9	2892.2	2304.6	2302.1	2308.8	2502	2322.5
	Average	2286.7	2504.8	2786.2	2302.7	2321.6	2302	2298.8	2299	2374.9	2304.7
	SD	35.252	71.753	274.14	1.5989	107.77	1.043	7.5443	18.101	39.318	4.7891
	Median	2301.8	2500.9	2743.1	2302.6	2301.9	2301.8	2300.7	2303.1	2369.6	2302.4
	Best	2418.4	2500.2	2569.3	2500	2729.4	2400	2646.2	2500	2418.4	2502
F24	Worst	2734.1	2892.6	2892.7	2816.8	2756.2	2760.4	2755.4	2786.3	2798.3	2774.7
	Average	2523.3	2815	2799.1	2755	2740.5	2725.3	2737	2740.7	2774.8	2735.5
	SD	67.32	80.696	78.55	78.937	6.0925	76.252	23.448	46.867	40.458	45.785
	Median	2500.2	2827	2819.1	2772.8	2740.9	2743.3	2745	2746.3	2783	2740.1
	Best	2601.3	2903.7	2929.6	2897.8	2897.8	2897.8	2899.2	2897.8	2601.3	2888.1
	Worst	2897.8	3218.2	3625.1	3024.2	2947.1	2950.6	2946.6	2949.3	2988.6	2949.7
F25	Average	2871.8	3005.8	3171.8	2999.8	2921.6	2932	2918.5	2918.8	2962.1	2937.3
	SD	80.727	67.982	148.27	41.001	23.392	22.204	14.58	23.897	12.341	17.896
	Median	2897.7	2983.4	3140.7	2943.9	2921.7	2945.1	2917.2	2898.8	2961.8	2946

appearing second, and  $R_+$  denotes the sum of ranks for which the algorithm appearing second outperforms the algorithm appearing first. The null hypothesis is that there is no significant difference between the mean results of the two participating algorithms. The alternative hypothesis is that there is a significant difference in the mean results of the two participating algorithms.

### 5.1 Results for benchmark functions

The classical and composite test function evaluates how the GOA escapes from local optima and performs exploitation and exploration. The unimodal functions F1–F7 are perfect for testing the exploitation capability of GOA. They have only one global optimum. Looking at Table 4, it can be seen that GOA was able to find the global optimum for F1–F4 and near-optimal for F5–F7, with observed premature convergence in F5 and F6. Similarly, functions F8–F15 have many local optima located randomly within the search space. These functions are well suited for exploration tests. The GOA, in most cases, is observed to return the least values for the ‘mean’ and ‘standard-deviation’ indicators. The composite functions (CEC2020) provide a test for the ability of GOA to escape local minima. It can be seen from Table 5 that GOA found the optimal solution for F16, F19, F21, and F23 and near-optimal for the remaining functions. In all, the GOA also returned the least values for the ‘mean and standard deviation’ indicators and outperformed the nine algorithms considered for all the functions. The convergence graphs in Fig. 9 illustrate this advantage graphically for both the classical and composite functions. It is seen that the proposed algorithm converges steadily towards the optimal result for most functions.

Though GWO, nAOA, and AOA were very competitive, finding the optimal solutions accordingly, the superiority of GOA is confirmed by the result of Friedman’s test and Wilcoxon signed test. The mean values were used for Friedman’s test. A p-value of 0.00 returned, less than the 0.05 significance level, indicates that the obtained results for classical test functions for all the algorithms considered are significantly different. The mean ranks and other test statistics for classical test functions are presented in Table 6. The GOA clearly returned the lowest mean rank value, closely followed by GWO, AOA, and nAOA. This implies that the GOA is ranked first, GWO is ranked second, AOA is ranked third, and the rest are ranked, as shown in Table 6. The results for the Wilcoxon signed test on classical benchmark test functions are presented in Table 7. It can be seen that GOA obtained higher  $R_+$  values than  $R_-$  or ties in all the cases. This implies that GOA significantly outperforms nAOA, CPSOGSA, PSO, DE, SSA, SCA, and GWO for all of the classical

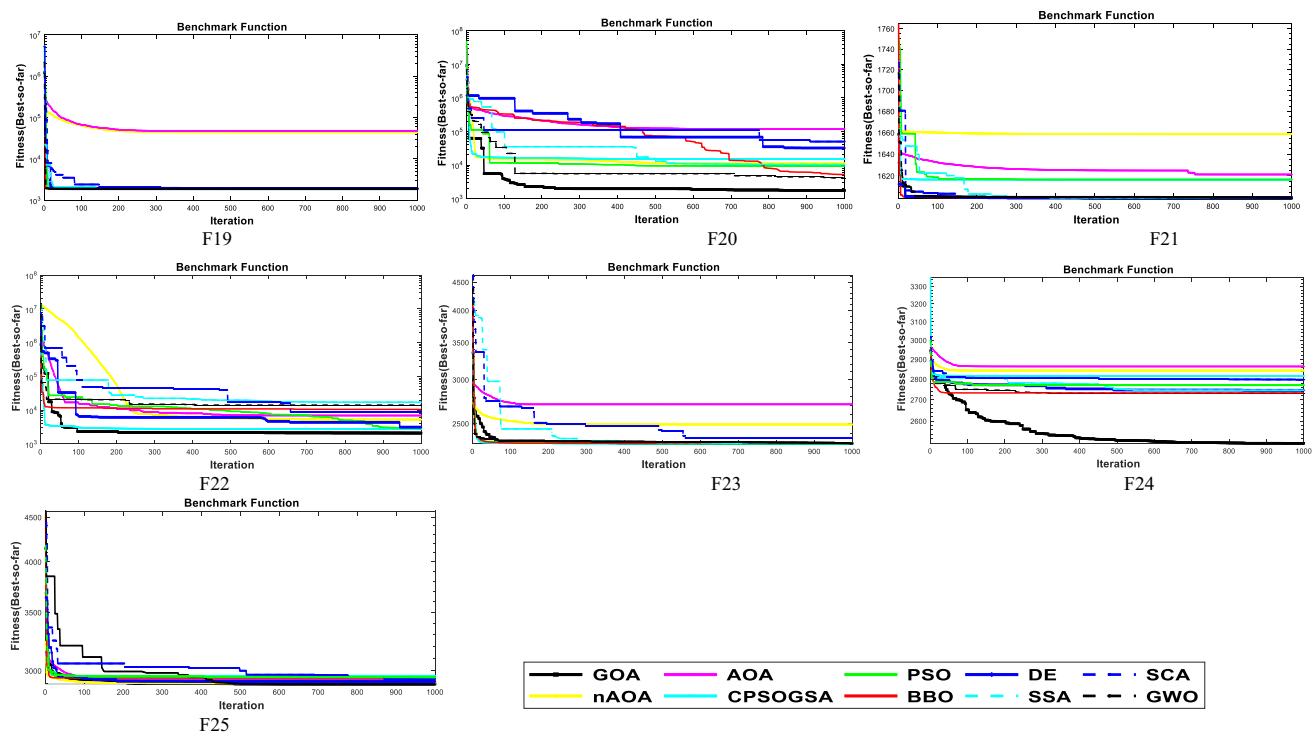


**Fig. 9** Convergence for classical and composite functions

benchmark test functions. According to the p-values returned by Wilcoxon's test at  $\alpha = 0.05$ , there is a significant difference in the performance of the algorithms in 8 out of 9 cases, which means that GOA significantly outperforms 8 out of 9 algorithms on 15 test functions.

Furthermore, the GOA is less than, equal to, or better than other algorithms in 10, 20, and 104 out of 134 cases.

In the same vein, the mean ranks and other test statistics for CEC 2020 test functions are presented in Table 8. A  $p$ -value of 0.00 returned, less than the 0.05 significance level, indicates that the obtained results for all the algorithms

**Fig. 9** continued**Table 6** Friedman's test results for classical test functions

Algorithm	Mean rank	Rank
GOA	2.57	1
nAOA	4.32	4
AOA	4.29	3
CPSOGSA	8.43	8
PSO	6.29	7
BBO	4.86	5
DE	10.79	10
SSA	6.07	6
SCA	8.71	9
GWO	3.89	2

*Test statistics*

N	14
Chi-square	79.574
Df	10
P-value	0.000

considered are significantly different. The GOA returned the lowest mean rank value, closely followed by DE, PSO, and SSA. This implies that the GOA is ranked first, DE is ranked second, PSO is ranked third, and the rest are ranked, as shown in Table 8. The results for the Wilcoxon signed test on CEC 2020 test functions are presented in Table 9. It can be seen that GOA obtained higher  $R +$  values than  $R -$  or ties in all the cases. This implies that GOA

significantly outperforms all the algorithms used in the comparison for all the CEC 2020 test functions. According to the p-values returned by Wilcoxon's test at  $\alpha = 0.05$ , there is a significant difference in the performance of the algorithms in all cases, which means that GOA significantly outperforms the 9 algorithms on 10 test functions. Furthermore, the GOA is less than, equal to, or better than other algorithms in 2, 0, and 88 out of 90 cases. Thus, it can be concluded that the performance of GOA is better in terms of search quality, efficiency, and robustness.

## 5.2 Results for engineering problems

As explained previously, the proposed GOA was used to solve four (4) mechanical engineering design problems. The constraint handling was handled by the penalty method (simple scalar penalty functions), where the algorithm is punished when there is a constraint violation. Similarly, the results are presented using best, worst, average, standard deviation (SD), and median performance indicators. The mean, standard deviation, and Wilcoxon signed-rank test were used to compare the different algorithms.

### 5.2.1 The welded beam design problem (WBD)

Table 10 shows the results of the GOA and nine other state-of-the-art algorithms used to compare the performance of the proposed algorithm. The GOA and SCA returned the

**Table 7** Wilcoxon signed-rank test results for classical test functions

Pairwise comparison		N	Mean Rank	Sum of ranks	Z	p-value
nAOA—GOA	Negative ranks	0	0	0	− 2.803 <sup>a</sup>	0.005
	Positive ranks	10	5.5	55		
	Ties	5				
	Total	15				
AOA—GOA	Negative ranks	2	5.5	11	− 1.682 <sup>a</sup>	0.093
	Positive ranks	8	5.5	44		
	Ties	5				
	Total	15				
CPSOGSA—GOA	Negative ranks	1	13	13	− 2.480 <sup>a</sup>	0.013
	Positive ranks	13	7.08	92		
	Ties	1				
	Total	15				
PSO—GOA	Negative ranks	1	15	15	− 2.556 <sup>a</sup>	0.011
	Positive ranks	14	7.5	105		
	Ties	0				
	Total	15				
BBO—GOA	Negative ranks	4	9	36	− 1.036 <sup>a</sup>	0.3
	Positive ranks	10	6.9	69		
	Ties	1				
	Total	15				
DE—GOA	Negative ranks	0	0	0	− 3.297 <sup>a</sup>	0.001
	Positive ranks	14	7.5	105		
	Ties	0				
	Total	14				
SSA—GOA	Negative ranks	2	9.5	19	− 2.103 <sup>a</sup>	0.035
	Positive ranks	12	7.17	86		
	Ties	1				
	Total	15				
SCA—GOA	Negative ranks	0	0	0	− 3.296 <sup>a</sup>	0.001
	Positive ranks	14	7.5	105		
	Ties	1				
	Total	15				
GWO—GOA	Negative ranks	0	0	0	− 2.666 <sup>a</sup>	0.008
	Positive ranks	9	5	45		
	Ties	6				
	Total	15				

<sup>a</sup>Based on negative ranks

best cost value for the WBD. The GOA returned minimum values for mean and SD compared to other algorithms and optimal results for the design variables  $h$ ,  $l$ ,  $t$ , and  $b$ . The results showed the superiority and stability of the GOA, and good statistical results were obtained. The Wilcoxon signed-rank test null hypothesis is that “the mean distribution of the obtained results is the same.” The mean comparison, the  $p$ -values, and Z scores of all the algorithms considered are shown in Table 11. It can be seen that all comparisons returned a positive rank except that with GWO. A negative rank means that the performance of

GOA is inferior, while a positive rank implies otherwise. The result confirms that GOA outperformed all the algorithms used in the comparison, judging by the number of positive ranks returned, and is stable around the desired result. From Fig. 10, the convergence of GOA, nAOA, AOA, GWO, SCA, SSA, and CPSOGSA have similar behavior and lie close to each other. They converged steadily towards the optimal solution. The convergence curve for PSO, BBO, and DE confirms their sub-optimal results for the cost.

**Table 8** Friedman's test results for CEC 2020 test functions

Algorithm	Mean rank	Rank
GOA	1.20	1
nAOA	9.00	10
AOA	8.80	9
CPSOGSA	6.40	7
PSO	3.60	3
BBO	4.70	5
DE	3.25	2
SSA	4.55	4
SCA	7.60	8
GWO	5.90	6
Test statistics		
N	10	
Chi-Square	62.465	
df	9	
P-value	0.000	

**Table 9** Wilcoxon signed-rank test results for CEC 2020 test functions

Pairwise comparison		N	Mean Rank	Sum of ranks	Z	p-value
nAOA—GOA	Negative ranks	0	.00	.00	− 2.803 <sup>a</sup>	0.005
	Positive ranks	10	5.50	55.00		
	Ties	0				
	Total	10				
AOA—GOA	Negative ranks	0	.00	.00	− 2.803 <sup>a</sup>	0.005
	Positive ranks	10	5.50	55.00		
	Ties	0				
	Total	10				
CPSOGSA—GOA	Negative ranks	0	.00	.00	− 2.803 <sup>a</sup>	0.005
	Positive ranks	10	5.50	55.00		
	Ties	0				
	Total	10				
PSO—GOA	Negative ranks	2	1.50	3.00	− 2.497 <sup>a</sup>	0.013
	Positive ranks	8	6.50	52.00		
	Ties	0				
	Total	10				
BBO—GOA	Negative ranks	0	.00	.00	− 2.803 <sup>a</sup>	0.005
	Positive ranks	10	5.50	55.00		
	Ties	0				
	Total	10				
DE—GOA	Negative ranks	0	.00	.00	− 2.803 <sup>a</sup>	0.005
	Positive ranks	10	5.50	55.00		
	Ties	0				
	Total	10				
SSA—GOA	Negative ranks	0	.00	.00	− 2.803 <sup>a</sup>	0.005
	Positive ranks	10	5.50	55.00		
	Ties	0				
	Total	10				
SCA—GOA	Negative ranks	0	.00	.00	− 2.803 <sup>a</sup>	0.005
	Positive ranks	10	5.50	55.00		
	Ties	0				
	Total	10				
GWO—GOA	Negative ranks	0	.00	.00	− 2.803 <sup>a</sup>	0.005
	Positive ranks	10	5.50	55.00		
	Ties	0				
	Total	10				

<sup>a</sup>Based on negative ranks

**Table 10** Results for WBD

	h	l	t	b	Best	Worst	Average	SD	Median
GOA	0.181033	3.754998	9.036718	0.205729	<b>1.6957</b>	1.7468	1.7146	0.016293	1.7099
nAOA	0.126065	10	10	0.205654	1.8614	2.5375	2.3225	0.22526	2.4409
AOA	0.201542	3.470693	10	0.202481	1.7837	2.7743	2.117	0.26616	2.0254
CPSOGSA	0.194444	3.803513	7.956822	0.265357	1.6975	2.3115	1.8375	0.16261	1.7858
PSO	0.784094	2	2	2	1.09E + 14	1.09E + 14	1.09E + 14	0.047676	1.09E + 14
BBO	0.948771	1.632445	2	2	1.09E + 14	1.09E + 14	1.09E + 14	0.14866	1.09E + 14
DE	0.185461	3.654591	9.037761	0.205724	1.09E + 14	1.09E + 14	1.09E + 14	0.047676	1.09E + 14
SSA	0.181033	3.754998	9.036718	0.205729	1.6958	2.2096	1.7828	0.11086	1.739
SCA	0.142986	4.823892	9.17727	0.205437	<b>1.6957</b>	1.8961	1.8238	0.034276	1.8191
GWO	0.204848	3.273856	9.038443	0.205732	1.696	1.7024	1.6976	0.0014958	1.697

The algorithms with the best or superior solutions are given in bold

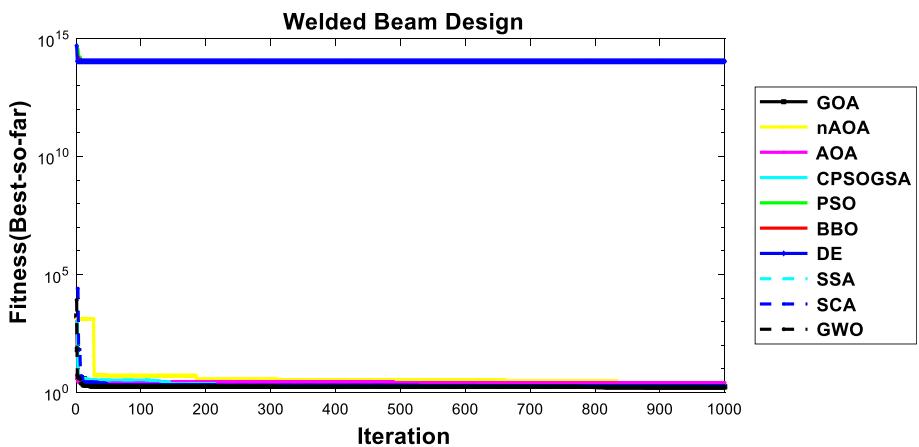
**Table 11** Wilcoxon signed ranks test statistics for WBD

Pairwise comparison		N	Mean Rank	Sum of ranks	Z	p-value
nAOA—GOA	Negative ranks	2	1.50	3.00	− 2.310 <sup>a</sup>	0.021
	Positive ranks	7	6.00	42.00		
	Ties	0				
	Total	9				
	AOA—GOA	Negative ranks	2	3.00		
	Positive ranks	7	5.57	39.00		
	Ties	0				
	Total	9				
	CPSOGSA—GOA	Negative ranks	1	9.00		
	Positive ranks	8	4.50	36.00		
	Ties	0				
	Total	9				
PSO—GOA	Negative ranks	2	4.00	8.00	− 1.733 <sup>a</sup>	0.083
	Positive ranks	7	5.29	37.00		
	Ties	0				
	Total	9				
	BBO—GOA	Negative ranks	2	4.50		
	Positive ranks	7	5.14	36.00		
	Ties	0				
	Total	9				
	DE—GOA	Negative ranks	2	3.00		
	Positive ranks	7	5.57	39.00		
	Ties	0				
	Total	9				
SSA—GOA	Negative ranks	0	.00	.00	− 1.972 <sup>a</sup>	0.049
	Positive ranks	5	3.00	15.00		
	Ties	4				
	Total	9				
	SCA—GOA	Negative ranks	2	2.00		
	Positive ranks	6	5.33	32.00		
	Ties	1				
	Total	9				
	GWO—GOA	Negative ranks	5	6.40		
	Positive ranks	4	3.25	13.00		
	Ties	0				
	Total	9				

<sup>a</sup>Based on negative ranks

<sup>b</sup>Based on positive ranks

**Fig. 10** Convergence behavior of WBD



**Table 12** Result for CSD

	d	D	N	Best	Worst	Average	SD	Median
GOA	0.134406	1.16761	14.27422	<b>3.6619</b>	3.7124	3.6611	5.22E-06	3.6619
nAOA	0.149138	1.298134	15	3.931	8.0337	5.2189	1.0723	4.7877
AOA	0.147326	1.3	15	3.8481	7.1003	5.9769	0.7302	6.1513
CPSOGSA	0.134017	1.158641	14.44255	3.6619	3.7314	3.6764	0.024788	3.6619
PSO	2	1.2	2	409.77	409.77	409.77	2.89E-13	409.77
BBO	2	1.2	2	409.78	409.89	409.8	0.031362	409.79
DE	2	1.2	2	409.77	410.59	409.8	0.14961	409.77
SSA	0.134406	1.16761	14.27422	<b>3.6619</b>	3.7302	3.6795	0.017067	3.676
SCA	0.13948	1.278697	12.47937	<b>3.6619</b>	3.7399	3.6908	0.023965	3.6845
GWO	0.139127	1.3	11.88924	<b>3.6619</b>	3.6619	3.6619	5.23E-06	3.6619

The algorithms with the best or superior solutions are given in bold

**Table 13** Wilcoxon signed ranks test statistics for CSD

Pairwise comparison		N	Mean rank	Sum of ranks	Z	p-value
nAOA—GOA	Negative ranks	0	.00	.00	- 2.666 <sup>a</sup>	0.008
	Positive ranks	9	5.00	45.00		
	Ties	0				
	Total	9				
AOA—GOA	Negative ranks	0	.00	.00	- 2.666 <sup>a</sup>	0.008
	Positive ranks	9	5.00	45.00		
	Ties	0				
	Total	9				
CPSOGSA—GOA	Negative ranks	2	1.50	3.00	- 1.859 <sup>a</sup>	0.063
	Positive ranks	5	5.00	25.00		
	Ties	2				
	Total	9				
PSO—GOA	Negative ranks	2	2.50	5.00	- 2.075 <sup>a</sup>	0.038
	Positive ranks	7	5.71	40.00		
	Ties	0				
	Total	9				
BBO—GOA	Negative ranks	1	4.00	4.00	- 2.192 <sup>a</sup>	0.028
	Positive ranks	8	5.13	41.00		
	Ties	0				
	Total	9				

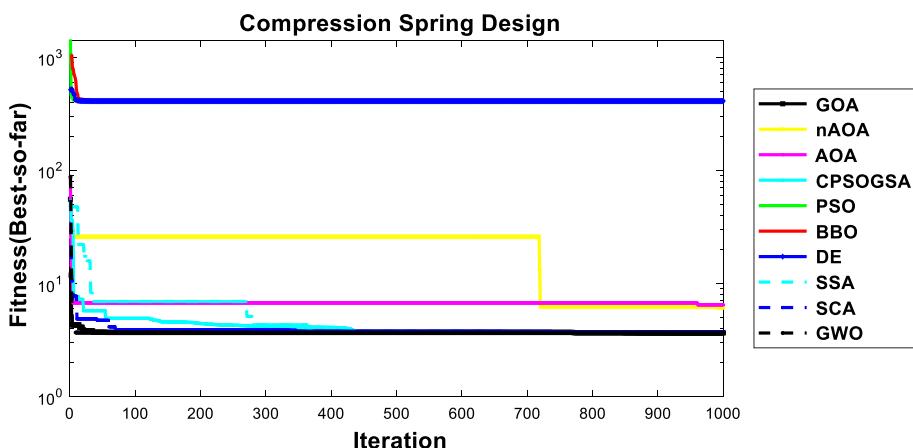
**Table 13** (continued)

Pairwise comparison		N	Mean rank	Sum of ranks	Z	p-value
DE—GOA	Negative ranks	1	4.00	4.00	− 2.194 <sup>a</sup>	0.028
	Positive ranks	8	5.13	41.00		
	Ties	0				
	Total	9				
SSA—GOA	Negative ranks	0	.00	.00	− 2.023 <sup>a</sup>	0.043
	Positive ranks	5	3.00	15.00		
	Ties	4				
	Total	9				
SCA—GOA	Negative ranks	1	8.00	8.00	− 1.400 <sup>a</sup>	0.161
	Positive ranks	7	4.00	28.00		
	Ties	1				
	Total	9				
GWO—GOA	Negative ranks	5	4.00	20.00	− 1.014 <sup>b</sup>	0.31
	Positive ranks	2	4.00	8.00		
	Ties	2 <sup>a</sup>				
	Total	9				

<sup>a</sup>Based on negative ranks

<sup>b</sup>Based on positive ranks

**Fig. 11** Convergence behavior of CSD



### 5.2.2 The compression spring design problem (CSD)

The results for CSD are shown in Table 12. It clearly shows that GOA, SSA, SCA, and GWO returned the best cost value; however, the GOA has the minimum values for mean, SD, and optimal results for the values for the design variables d, D, and N. This confirms the stability and superiority of GOA. The results of the Wilcoxon signed-rank test are shown in Table 13, and the comparison of the mean of GOA and all the algorithms considered returned a positive rank except that with GWO, the p-values and Z scores are shown in Table 13. A negative rank means that the performance of GOA is inferior, while a positive rank implies otherwise. The p-values indicate that the results of

PSO, BBO, SSA, SCA, GWO, DE, and CPSOGSA are not statistically significant (*p*-values greater than the tolerance level of 0.05). The convergence shown in Fig. 11 confirms the respective performance of the algorithms used. The GOA, GWO, SCA, SSA, and CPSOGSA converged steadily towards the optimal solution. The nAOA and AOA are close to the optimal solution, whereas the PSO, BBO, and DE converged toward sub-optimal results for the cost.

### 5.2.3 The pressure vessel design problem (PVD).

The results shown in Table 14 show that the GOA returned the minimum value for the ‘Best’ indicator; the GOA outperforms all other algorithms in the ‘Average’ and ‘SD’

**Table 14** Result for PVD

	T <sub>s</sub>	T <sub>h</sub>	R	L	Best	Worst	Average	SD	Median
GOA	0.547865	0.246925	43.49665	160.0482	4527.5	5106.1	4533.6	279.92	4702.6
nAOA	0.461132	0.240119	40.31962	200	4527.6	5527.6	4577.6	296.3	4727.3
AOA	0.376376	0.394446	40.64409	200	4739.7	6763.7	5592.3	549.35	4902
CPSOGSA	1.093574	10	65.22523	10	5302.6	7638.3	5926.5	524.32	6624.3
PSO	10	10	53.7325	71.23984	2.04E + 05	2.04E + 05	2.04E + 05	0.70492	2.04E + 05
BBO	10	10	57.44469	48.42015	2.04E + 05	2.12E + 05	2.06E + 05	2100.2	2.05E + 05
DE	10	10	53.52146	72.6505	2.04E + 05	2.04E + 05	2.04E + 05	0.18563	2.04E + 05
SSA	0.472805	0.02345	40.735	194.2972	5302.6	6638.5	5658.6	502.02	5668.4
SCA	10	0.5432	40.32316	200	5302.6	6062.5	5435.3	1414.6	5656.2
GWO	1.09575	0.02134	65.226	10	5302.6	6063.2	5680	1145.6	5702.8

**Table 15** Wilcoxon signed ranks test statistics for PVD

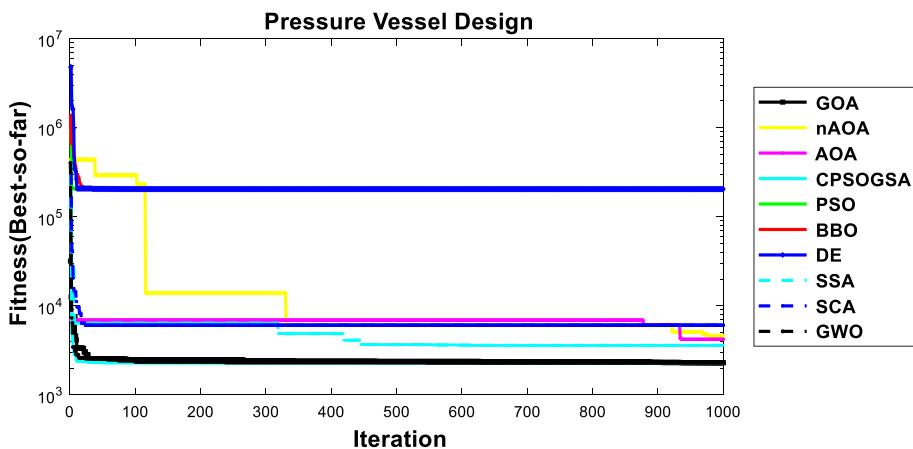
Pairwise comparison		N	Mean Rank	Sum of ranks	Z	p-value
nAOA—GOA	Negative Ranks	1	3.00	3.00	− 2.100 <sup>a</sup>	0.036
	Positive Ranks	7	4.71	33.00		
	Ties	1				
	Total	9				
AOA—GOA	Negative Ranks	1	2.00	2.00	− 2.429 <sup>a</sup>	0.015
	Positive Ranks	8	5.38	43.00		
	Ties	0				
	Total	9				
CPSOGSA—GOA	Negative Ranks	2	3.50	7.00	− 1.540 <sup>a</sup>	0.123
	Positive Ranks	6	4.83	29.00		
	Ties	1				
	Total	9				
PSO—GOA	Negative Ranks	2	4.50	9.00	− 1.601 <sup>a</sup>	0.109
	Positive Ranks	7	5.14	36.00		
	Ties	0				
	Total	9				
BBO—GOA	Negative Ranks	1	4.00	4.00	− 2.192 <sup>a</sup>	0.028
	Positive Ranks	8	5.13	41.00		
	Ties	0				
	Total	9				
DE—GOA	Negative Ranks	2	4.50	9.00	− 1.601 <sup>a</sup>	0.109
	Positive Ranks	7	5.14	36.00		
	Ties	0				
	Total	9				
SSA—GOA	Negative Ranks	1	1.00	1.00	− 1.753 <sup>a</sup>	0.08
	Positive Ranks	4	3.50	14.00		
	Ties	4				
	Total	9				

**Table 15** (continued)

Pairwise comparison		N	Mean Rank	Sum of ranks	Z	p-value
SCA—GOA	Negative Ranks	2	1.50	3.00	− 1.859 <sup>a</sup>	0.063
	Positive Ranks	5	5.00	25.00		
	Ties	2				
	Total	9				
GWO—GOA	Negative Ranks	1	5.00	5.00	− 1.521 <sup>a</sup>	0.128
	Positive Ranks	6	3.83	23.00		
	Ties	2				
	Total	9				

<sup>a</sup>Based on negative ranks

**Fig. 12** Convergence behavior of PVD



performance indicators. The Wilcoxon signed-rank test (Table 15) returned a positive rank and p-values less than 0.05 for the mean comparison of GOA with SSA, nAOA, AOA, DE, PSO, and BBO, which indicates that results with GOA having the better performance are significant. This performance is attributed to the convergence of the GOA towards the optimal value. Similar observations can be made on the convergence behavior of PVD shown in Fig. 12. It confirms the performance of the respective algorithms discussed earlier.

#### 5.2.4 The speed reducer design problem (SRD)

Table 16 shows the results of the algorithms used to compare the performance of the GOA. The GOA and CPSOGSA returned a very competitive result for the ‘Best’ cost value for the SRD; however, CPSOGSA converged quickly towards the best results, evident from the values returned by ‘SD and Median’ for CPSOGSA. GOA returned minimum values for the design variables  $x_1, x_2, x_3, x_4, x_5, x_6, x_7$ . The results showed the competitiveness and stability of the GOA, and good statistical results were obtained. The comparison of the mean of GOA and all the algorithms considered returned a positive rank

except that with CPSOGSA, the p-values and Z scores are shown in Table 17. A negative rank means that the performance of GOA is inferior, while a positive rank implies otherwise. The result confirms that GOA returned a better performance and is stable around the desired result. Figure 13 shows that the convergence of GOA, nAOA, AOA, GWO, SCA, SSA, and CPSOGSA have similar behavior and lie close to each other. They converged steadily towards the optimal solution. The convergence curve for PSO, BBO, and DE confirms their sub-optimal results for the cost.

## 6 Conclusion and future work

### 6.1 Conclusion

This paper proposed a new metaheuristic algorithm called the Gazelle Optimization Algorithm (GOA), inspired by the gazelles’ survival ability in their predator-dominated environment. Every day, the gazelle knows that if it does not outrun and outmaneuver its predators, it becomes meat for the day. Despite being down in the food chain, they are not classified as endangered, which means they are doing

**Table 16** Result for SRD

	$\times 1$	$\times 2$	$\times 3$	$\times 4$	$\times 5$	$\times 6$	$\times 7$	Best	Worst	Average	SD	Median
GOA	3.5	0.7	17	7.3	7.7	3.349371	5.287884	<b>2994.1</b>	3007.9	2998.2	3.7715	2996.9
nAOA	3.5	0.7	17	7.3	8.3	3.570621	5.314766	3065.7	3214.8	3135.5	47.609	3114.6
AOA	3.6	0.7	17	7.3	8.3	3.436436	5.361661	3073.4	3224	3134.1	42.143	3122.1
CPSOGSA	3.5	0.7	17	7.3	7.7	3.350056	5.285531	<b>2993.6</b>	2993.6	2993.6	5.47E-13	2993.6
PSO	5.5	5	5	5.5	5.5	5	5.101362	1.32E + 07	1.32E + 07	1.32E + 07	4.02E-09	1.32E + 07
BBO	5.5	5	5	5.5	5.5	5	5.101362	1.32E + 07	1.32E + 07	1.32E + 07	3.79E-09	1.32E + 07
DE	5.5	5	5	5.5	5.5	5	5.101362	1.32E + 07	1.32E + 07	1.32E + 07	4.24E-09	1.32E + 07
SSA	3.5	0.7	17	7.6	7.7	3.438005	5.285632	2999.3	3068.4	3018.6	19.416	3010.7
SCA	3.6	0.7	17	8.2	8.3	3.548614	5.291943	2994.1	3160.3	3098.3	28.036	3091.5
GWO	3.5	0.7	17	7.5	8.3	3.349558	5.285315	2995.7	3008.2	3001	3.349	3000.8

The algorithms with the best or superior solutions are given in bold

something right. One of those right things the gazelles do is to escape from predators. However, studies show that the predators are successful only 34% time. This study used this information to develop a new metaheuristic algorithm that uses the gazelle's survival abilities to solve real-world problems.

The newly developed algorithm was modeled as a population-based metaheuristic algorithm whose initial population is stochastically generated. The optimization process is two-phased: the exploitation phase of the algorithm simulates the gazelles grazing peacefully in the absence of the predator or while the predator is stalking it. The GOA goes into the exploration phase once a predator is spotted, it consists of the gazelle outrunning and outmaneuvering the predator to a haven. These phases are repeated subject to termination criteria to find optimal solutions to optimization problems. Each phase is modeled mathematically by different equations and tested using 25 benchmark functions (15 classical and 10 composited functions) and three (3) engineering design problems.

The simulation results confirm the superiority and competitiveness of the proposed algorithm over nine state-of-the-art algorithms available in the literature. These algorithms are candidate representations of different categories of metaheuristic algorithms available in the literature. Five different performance metrics were used to report the results. Also, the results are statistically compared using Friedman's Two-Way Analysis of Variance by Ranks and the Wilcoxon test. The GOA returned optimal solutions for most of the problems considered and was very competitive in the remaining function. Standard statistical results also confirmed the superiority of the GOA over the selected state-of-the-art algorithms considered. Finally, the results showed that the proposed GOA could solve most problems, perform better than the previous algorithms, and compete with better methods.

## 6.2 Future work

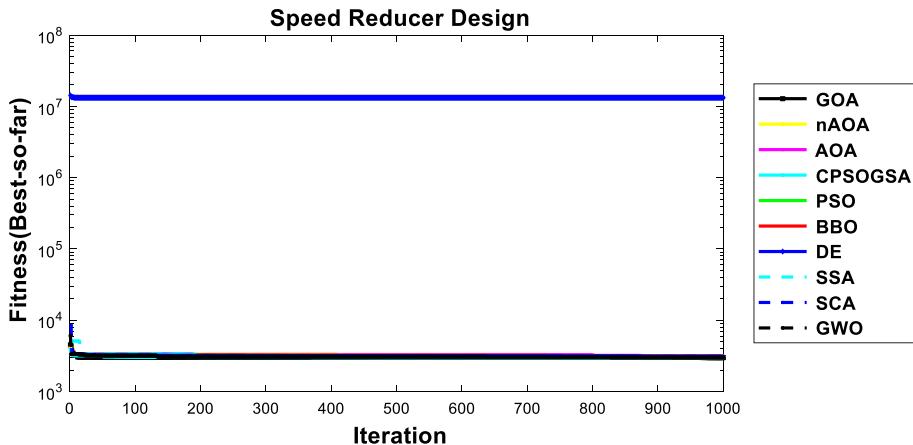
For future research direction, researchers can try to overcome the drawback of premature convergence observed in F5 and F6. Researchers could also consider hybridizing the GOA with other algorithms or using chaotic maps with properties like stochasticity, ergodicity, and complex non-linear motion to overcome this drawback. In addition, GOA could be applied to many other real-world problems, such as parallel machine scheduling problems, economic load dispatch problems of electronic science, and medical image processing.

**Table 17** Wilcoxon signed ranks test statistics for SRD

Pairwise comparison		<i>N</i>	Mean Rank	Sum of Ranks	<i>Z</i>	<i>p</i> -value
nAOA—GOA	Negative Ranks	1	1.00	1.00	− 2.701 <sup>a</sup>	0.007
	Positive Ranks	9	6.00	54.00		
	Ties	2				
	Total	12				
AOA—GOA	Negative Ranks	1	1.00	1.00	− 2.701 <sup>a</sup>	0.007
	Positive Ranks	9	6.00	54.00		
	Ties	2				
	Total	12				
CPSOGSA—GOA	Negative Ranks	9	5.78	52.00	− 2.497 <sup>b</sup>	0.013
	Positive Ranks	1	3.00	3.00		
	Ties	2				
	Total	12				
PSO—GOA	Negative Ranks	5	4.60	23.00	− 1.255 <sup>a</sup>	0.209
	Positive Ranks	7	7.86	55.00		
	Ties	0				
	Total	12				
BBO—GOA	Negative Ranks	5	4.60	23.00	− 1.255 <sup>a</sup>	0.209
	Positive Ranks	7	7.86	55.00		
	Ties	0				
	Total	12				
DE—GOA	Negative Ranks	5	4.60	23.00	− 1.255 <sup>a</sup>	0.209
	Positive Ranks	7	7.86	55.00		
	Ties	0				
	Total	12				
SSA—GOA	Negative Ranks	4	2.50	10.00	− 2.045 <sup>a</sup>	0.041
	Positive Ranks	7	8.00	56.00		
	Ties	1				
	Total	12				
SCA—GOA	Negative Ranks	1	1.00	1.00	− 2.701 <sup>a</sup>	0.007
	Positive Ranks	9	6.00	54.00		
	Ties	2				
	Total	12				
GWO—GOA	Negative Ranks	4	3.75	15.00	− 1.600 <sup>a</sup>	0.11
	Positive ranks	7	7.29	51.00		
	Ties	1				
	Total	12				

<sup>a</sup>Based on negative ranks<sup>b</sup>Based on positive ranks

**Fig. 13** Convergence behavior of SRD



**Data availability statements** Data is available from the authors upon reasonable request.

## Declarations

**Conflict of Interest** The authors declare that there is no conflict of interest regarding the publication of this paper.

**Ethical approval** This article does not contain any studies with human participants or animals performed by any of the authors.

**Informed consent** Informed consent was obtained from all individual participants included in the study.

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