

# Aircraft observations of cloud droplet number concentration: Implications for climate studies

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## SUMMARY

Droplet number concentration ( $N_d$ ) is a major parameter affecting cloud physical processes and cloud optical characteristics. In most climate models,  $N_d$  is usually assumed to be constant or a function of the droplet and aerosol number concentration ( $N_a$ ). Three types of cloud systems over Canada, namely Arctic clouds, maritime boundary-layer clouds, and winter storms, were studied to obtain values of  $N_d$  as a function of temperature ( $T$ ). The probability density function of  $N_d$  was also calculated to show the variability of this parameter. The results show that  $N_d$  reaches a maximum at about 10°C (200 cm<sup>-3</sup>) and then decreases gradually to a minimum (~1–3 cm<sup>-3</sup>) at about –35°C. A comparison of relationships between  $N_d$  and  $N_a$  indicates that estimates of  $N_d$  from  $N_a$  can have an uncertainty of about 30–50 cm<sup>-3</sup>, resulting in up to a 42% uncertainty in cloud short-wave radiative forcing. This study concludes that the typical fixed values of  $N_d$ , which are ~100 cm<sup>-3</sup> and ~200 cm<sup>-3</sup> for maritime and continental clouds, respectively, and the present relationships of  $N_d$  to  $N_a$ , could result in a large uncertainty in the heat and moisture budgets of the earth's atmosphere. It is suggested that the use of relationships between  $N_d$  and  $T$  can improve climate simulations.

KEYWORDS: Climate change Droplet and aerosol number concentrations

## 1. INTRODUCTION

Changes in cloud microphysical parameters depend on thermodynamical and dynamical conditions, and aerosol–cloud interactions. Small changes in the particle size, shape, concentration, and mass can affect incoming and outgoing radiation, and this leads to significant changes in the earth–atmosphere energy budget, which result in a large uncertainty in climate simulations (Gultepe and Isaac 1996; Randall *et al.* 1998; Gultepe and Isaac 2002). Slingo (1990) stated that the top-of-the-atmosphere radiative forcing by doubled carbon dioxide can be balanced by increases of 15–20% in the amount of low clouds and 20–35% in liquid-water path, and by decreases of approximately 15–20% in mean drop radius. More recently, Rotstajn (1999a,b) used a global climate model to study the indirect radiative forcing due to modifications in liquid cloud properties. He found that indirect radiative forcing of about –2.1 W m<sup>-2</sup> results from a 1% increase in cloudiness, a 6% increase in liquid water path, and a 7% decrease in effective radius. Knyazikhin *et al.* (2002) stated that the weakest link in the current radiative transfer models is the handling of cloud particle distribution. For example, a particle size distribution described in a cumulative way, rather than using a single particle size, may lead to increased cloud absorption. This suggests that a fixed value of droplet concentration,  $N_d$ , which is often used in model simulations, can cause a large uncertainty in climate simulations. All of the above references indicate that the uncertainty in  $N_d$  computed from empirical relationships is important and should be considered for climate-sensitivity studies.

The estimates of aerosol indirect forcing due to increasing aerosols (Penner *et al.* 2001) include increases in  $N_d$  associated with changes in cloud albedo (first indirect effect) and an increase in precipitation efficiency leading to decreased cloud amounts (second indirect effect). As stated by Penner *et al.* (2001), the uncertainty in the calculation of the indirect aerosol forcing (from –1.1 to –4.8 W m<sup>-2</sup>) is relatively

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large, and considerable effort is required to quantify its effects on climate change. Incorrect cloud fields, as might be simulated with poorly known  $N_d$ , impact a number of parameters, including air temperature ( $T$ ), cloud water condensate and turbulent heat flux, which, in turn, could alter precipitation processes (Wetzel and Bates 1995).

Brenguier *et al.* (2000) studied a plane-parallel model for the parametrization of clouds in global climate models to estimate the effect of the vertical profile of microphysical parameters on radiative transfer calculations for boundary-layer clouds. Using cloud radiance measurements and assuming adiabatic conditions, retrieved  $N_d$  values were underestimated as compared to measured  $N_d$ . They suggested further analysis is needed. In their work, they also suggested that cloud optical thickness is proportional to the 5/3 power of the cloud physical thickness and the 1/3 power of  $N_d$ . They concluded that a very high accuracy of  $N_d$  is not required for the following reasons: (i)  $N_d$  is naturally very variable within the clouds; (ii) the indirect effect is sensitive to the cube root of  $N_d$ ; and (iii) the expected change in  $N_d$  due to pollution is a factor of two to ten. Their work suggested that cloud radiative properties are particularly sensitive to cloud physical thickness and liquid water path.

The droplet effective radius ( $r_{\text{eff}}$ ) is a function of  $N_d$ , and is also related to: cloud physical thickness, breadth of the particle spectra, liquid water content (LWC), and the available cloud condensation nuclei (Martin *et al.* 1994; Wood and Field 2000). When clouds become convectively active, microphysical parameters are influenced by vertical air motion. Increased vertical motions can result in larger droplets due to higher supersaturation values, and consequently  $r_{\text{eff}}$  becomes larger and  $N_d$  smaller because of coalescence. This suggests that stratiform clouds with embedded cells (Gultepe *et al.* 2000) may have smaller  $N_d$  compared to clouds without cells. It is not well known how turbulence affects the development of particle spectra, but a study by Kato *et al.* (2001) suggests that increased turbulent motions might also result in large droplets (large effective sizes) and fewer small droplets.

In this study, values of  $N_d$ , obtained from *in situ* measurements collected during three field projects (the Alliance Icing Research Study (AIRS), the Radiation Aerosols and Climate Experiment (RACE), and the First International Regional Experiment Arctic Cloud Experiment (FIRE.ACE)), were analysed to show the relationship between  $N_d$  and  $T$ , and then the probability density function (PDF) of  $N_d$  was calculated to signify the variability in  $N_d$ . The results are then compared with those of earlier studies. Finally, the use of  $N_d$  in climate simulations is discussed and conclusions given.

## 2. OBSERVATIONS

The *in situ* observations used in the analysis were collected using instruments mounted on the National Research Council Convair-580 aircraft during RACE (Gultepe *et al.* 1996), FIRE.ACE (Gultepe and Isaac 2002), and AIRS (Isaac *et al.* 2001) field campaigns; these took place over the Bay of Fundy and Central Ontario during August 1995, over the Arctic Ocean during April 1998, and over the Ontario region during the winter of 1999–2000, respectively. The observations collected during RACE, FIRE.ACE, and AIRS represent midlatitude summer clouds, Arctic clouds, and midlatitude winter clouds. The vast majority of the clouds sampled were stratiform. Measurements were made at 1 s intervals and the typical aircraft true air speed was approximately  $85 \text{ m s}^{-1}$ .

The 1 s average observations of LWC and total water content (TWC),  $N_d$ , aerosol number concentration ( $N_a$ ) and  $T$  are used to derive relationships between  $N_d$  and  $T$  and between  $N_d$  and  $N_a$ . LWC and  $N_d$  are obtained from hot-wire probes (Nevzorov and

King probes) and Particle Measuring Systems (PMS) Forward Scattering Spectrometer Probes (FSSP), respectively. Under most circumstances, the Nevzorov probe LWC and TWC measurements are accurate to 10–15% (Korolev *et al.* 1998). The FSSP-100 probes had size ranges of either 3–45 or 5–95  $\mu\text{m}$ . Uncertainties in LWC and  $N_d$  can be about 15% and 30%, respectively (Baumgardner *et al.* 1990). During FIRE.ACE and AIRS,  $N_a$  was obtained using a PMS Passive Cavity Aerosol Spectrometer Probe (PCASP), with a size range of 0.13–3  $\mu\text{m}$ . During RACE,  $N_a$  measurements were obtained from a PMS Active Scattering Aerosol Spectrometer Probe (ASASP) over a size range from 0.17 to 2.0  $\mu\text{m}$ . The air temperature was measured by a reverse flow temperature probe with an accuracy of  $\pm 1$  degC. A Rosemount icing detector and the Nevzorov LWC/TWC probe were used to segregate regions with supercooled liquid water from glaciated regions (Cober *et al.* 2001).

### 3. ANALYSIS AND RESULTS

A parametrization of  $N_d$  versus  $T$  can be used in climate models to estimate effective size (Gultepe *et al.* 2002). For this purpose,  $N_d$  was averaged over 5 degC intervals, and a best fit was obtained to the mean values for the entire dataset, including AIRS, RACE, and FIRE.ACE. In this work, only liquid segments were considered in the analysis, and mixed phase conditions were eliminated using the Rosemount icing detector and hot-wire probes. The clouds were defined as segments along which  $N_d > 0.1 \text{ cm}^{-3}$  and  $\text{LWC} > 0.005 \text{ g m}^{-3}$ . Figure 1(a) shows the 1 s data of  $N_d$  versus  $T$ . The mean and 20, 50 and 80 percentile values of  $N_d$  gradually decrease with decreasing  $T$ . The percentile values and the 1 s data indicate that a large variability in  $N_d$  exists, and this should be considered for future climate studies. The importance of  $N_d$  variability in climate studies was also stated by Brenguier *et al.* (2000). It is possible that the 20% and 80% values of  $N_d$ , can be used to represent variability in climate simulations. The equation for the best fit in Fig. 1(a) is:

$$N_d = -0.071T^2 + 2.213T + 141.56. \quad (1)$$

Gultepe and Isaac (1997) found similar trends in LWC, with LWC decreasing with decreasing  $T$  in stratiform clouds.

In Fig. 1(b),  $N_a$  versus  $T$  for cloud-free regions is shown. In order to obtain cloud-free regions,  $\text{TWC} < 0.001 \text{ g m}^{-3}$  and  $N_d$  for standard size ranges  $< 0.1 \text{ cm}^{-3}$  are used, because the PCASP and ASASP measurements were significantly affected by cloud particles (Gultepe and Isaac 2002). The  $N_a$  values clearly decreased at low temperatures for both FIRE.ACE and AIRS. Large values of  $N_a$  are found for  $T$  greater than about  $-10^\circ\text{C}$ , where large values of  $N_d$  are also observed. Since colder temperatures are usually found at higher altitudes, this trend of  $N_a$  with temperature may also indicate the variability with height. Isaac *et al.* (1998), using similar instrumentation, found that aerosol concentrations often decrease with height.

The PDFs of the  $N_d$  parameter were also determined for AIRS, RACE, and FIRE.ACE field programmes, representing winter mixed-phase clouds, summer stratiform clouds, and Arctic boundary-layer clouds, respectively, because PDFs are commonly used in modelling studies. The seven standard types of PDF were used to quantify the PDF type for each field project. The PDF types are shown in Table 1. The mean and standard deviation (sd) values for  $N_d$  from each field programme, as well as related parameters such as skewness, were estimated using 1 s observations. The observed and estimated PDFs were then compared to conclude which type of PDF could be used to represent the  $N_d$  distributions (see Fig. 2).

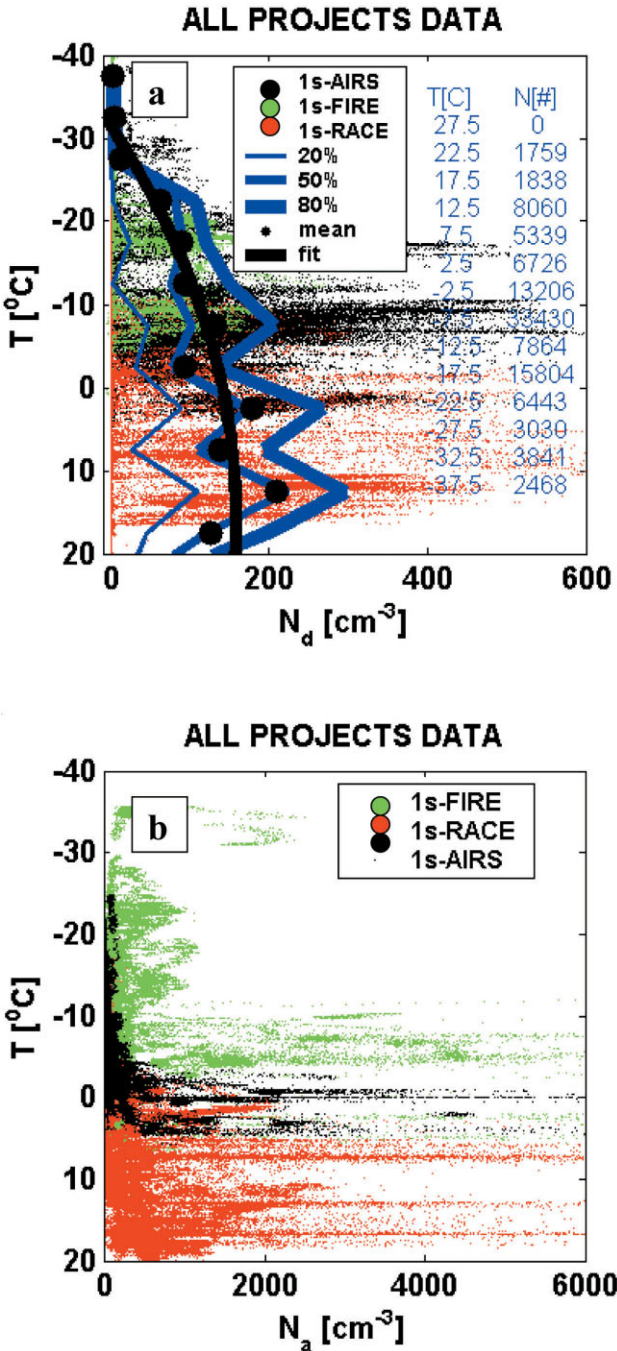


Figure 1. (a) Droplet number concentration ( $N_d$ ) versus temperature ( $T$ ); mean and percentiles together with a fit line, and the number of points (#) used in  $N_d$  measurements at 5 degC intervals are also shown. (b) Aerosol number concentration ( $N_a$ ) versus  $T$  for cloud-free regions. From the three field projects AIRS, FIRE and RACE (see text for details).

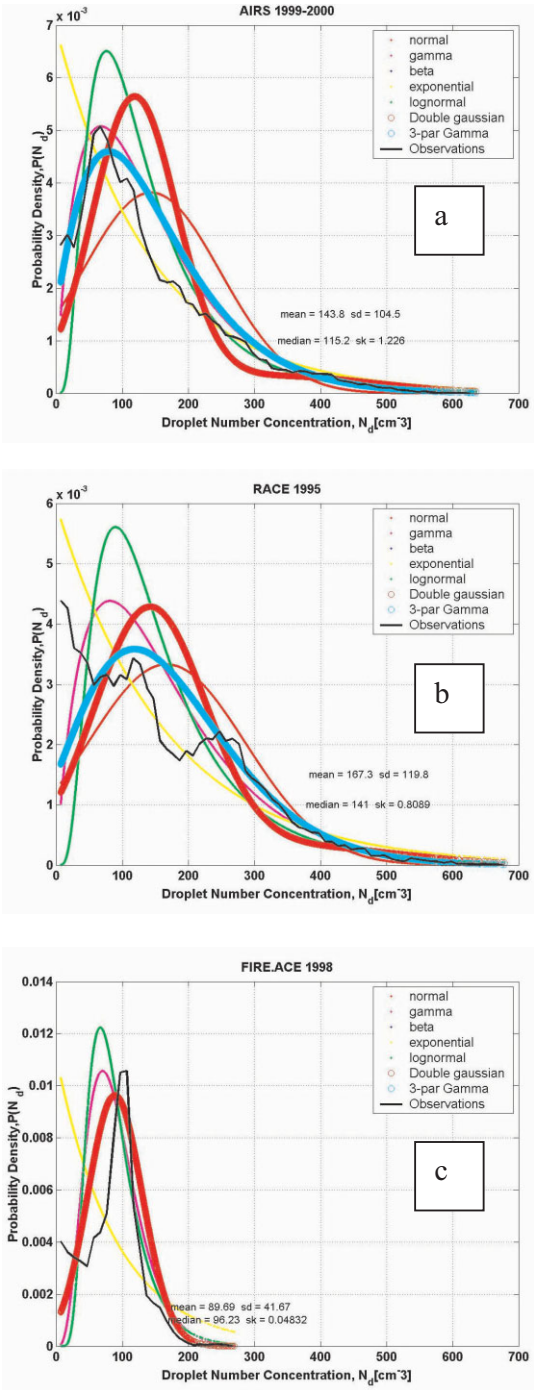


Figure 2. Probability density function (PDF) of droplet number concentration ( $N_d$ ) observations for the field projects: (a) AIRS, (b) RACE, and (c) FIRE.ACE discussed in the text. The seven types of PDFs are shown along with the measured PDF. Values of each mean, standard deviation (sd), median and skewness (sk) are also given.

TABLE 1. THE VARIOUS PROBABILITY DENSITY FUNCTION FORMULAE USED IN DROPLET NUMBER ( $N_d$ ) ESTIMATION

Distribution function	Probability density function formula	Parameter	Related parameters	Related parameters	Comments
Normal (Gaussian)	$f(x) = \frac{1}{\sigma\sqrt{2\pi}} \exp\left\{-\frac{(x-\mu)^2}{2\sigma^2}\right\}$	$\mu, \sigma$			$\mu$ is the mean, $\sigma$ is the standard deviation
Lognormal	$f(x) = \frac{1}{x\sigma_l\sqrt{2\pi}} \exp\left\{-\frac{(\ln(x)-\mu_l)^2}{2\sigma_l^2}\right\}$	$\mu, \sigma$	$u_l = \frac{1}{2} \ln\left(\frac{\mu^4}{\mu^2 + \sigma^2}\right)$	$\sigma_l = \sqrt{\ln\left(\frac{\sigma^2 + \mu^2}{\mu^2}\right)}$	
Gamma (2-parameter)	$f(x) = \frac{1}{\beta^\alpha \Gamma(\alpha)} x^{\alpha-1} \exp\left(-\frac{x}{\beta}\right)$	$\alpha, \beta$	$\beta = \frac{\sigma^2}{\mu}$	$\alpha = \frac{\mu}{\beta}$	$\Gamma$ is the gamma function, $\beta$ is a parameter
Gamma (3-parameter)	$f(x) = \frac{\lambda^M}{\Gamma(M)}  x - x_o ^{M-1} \exp\{-\lambda x - x_o \}$	$M, \lambda, x_o$	$M = \frac{4}{Sk^2}$ $\lambda = \frac{\sqrt{M}}{\sigma}$	$x_o = \bar{x} - \frac{2\sigma}{Sk}$	$Sk$ is the skewness
Beta (2-parameter)	$f(x) = \frac{1}{\beta(\alpha, b)} x^{\alpha-1} (1-x)^{b-1} I(0, 1)(x)$	$\alpha, b, \beta$	$\alpha = \frac{1-\mu-(\sigma/\mu)^2\mu}{(\sigma/\mu)^2} > 0$	$b = \left(\frac{1-\mu}{\mu}\right) \alpha > 0$	$\beta$ is the Beta function
LWFGVC (Double Gaussian)	$f(s) = \frac{\alpha}{\sqrt{2\pi}\sigma_1} \exp\left\{-\frac{1}{2}\left(\frac{s-s_1}{\sigma_1}\right)^2\right\} + \frac{1-\alpha}{\sqrt{2\pi}\sigma_2} \exp\left\{-\frac{1}{2}\left(\frac{s-s_2}{\sigma_2}\right)^2\right\}$				Lewellen and Yoh (1993)
Exponential	$f(x) = \frac{1}{\mu} \exp\left(-\frac{x}{\mu}\right)$	$\mu$			

One significant difference between data from these three field projects is that the mean (median) values of  $N_d$  were not constant, they ranged from: 144 (115)  $\text{cm}^{-3}$  for AIRS (Fig. 2(a)); 167 ( $<10$ )  $\text{cm}^{-3}$  for RACE (Fig. 2(b)); and 90 (96)  $\text{cm}^{-3}$  for FIRE.ACE (Fig. 2(c)). The most important point in comparing the PDFs from the three field programmes is that the PDF shapes are significantly different. This can partly be due to the effect of temperature, as shown in Fig. 1. For example, the cloud temperature during FIRE.ACE was generally the lowest ( $-35$  to  $0^\circ\text{C}$ ) and the temperature in RACE clouds was generally the highest ( $-10$  to  $15^\circ\text{C}$ ) of the three projects (Fig. 1(a)). When the temperature is low, there is usually less available moisture and dynamical forcing is reduced. This leads to less cloud condensation nuclei being activated and thus a reduced  $N_d$ . The observed differences in the PDFs indicate that assuming only one spectral shape for  $N_d$  within a General Circulation Model (GCM) and for remote sensing studies can result in large uncertainties in the derived parameters.

The PDFs for AIRS (Fig. 2(a)) and RACE (Fig. 2(b)) are best represented with a gamma distribution as:

$$PDF(N_d) = \frac{1}{b^a \Gamma(a)} x^{a-1} \exp\left(-\frac{x}{b}\right), \quad (2)$$

where the mean ( $144 \text{ cm}^{-3}$ ) and variance ( $105 \text{ cm}^{-3}$ ) of the data for AIRS are equal to  $axb$  and  $axb^2$ . For RACE,  $PDF(N_d)$  is an exponential distribution (Fig. 2(b)), which is a simple form of the gamma distribution with  $a = 1$  and  $b = \text{mean}$  ( $167 \text{ cm}^{-3}$ ). For FIRE.ACE, the distribution is represented by a Gaussian PDF (Lewellen and Yoh 1993) (Fig. 2(c)). When the skewness vanishes ( $\sim 0$ ; as in this case), the double Gaussian PDF is reduced to a single Gaussian distribution in which mean and sd are directly obtained from the data. The mean concentration difference between the fitted PDFs for the gamma and Gaussian distributions is found to be about  $25\text{--}30 \text{ cm}^{-3}$ ; this can be significant for climate studies.

#### 4. DISCUSSION

Radiative transfer models (Hunt 1973; Hansen and Travis 1974; Stephens and Tsay 1990) and remote sensing retrievals (Liu et al. 2003) use a modified gamma size distribution for cloud studies as:

$$n(r) = cr^{(1-3b)/b} \exp\{-r/(ab)\}, \quad (3)$$

where  $c$  is the normalization constant obtained as:

$$c = N_{dt}(ab)^{(2b-1)/b} / \Gamma\{(1-2b)/b\}, \quad (4)$$

where  $n(r)$  is the particle number density. The total number concentration,  $N_{dt}$ , is usually assumed to be constant in the calculations (Hunt 1973; Menon *et al.* 2002);  $\Gamma$  is the gamma function,  $a = r_{\text{eff}}$ , and  $b$  is the effective variance,  $v_{\text{eff}}$ , which is assumed to be 0.193 for stratiform clouds (Stephens and Tsay 1990) and depends on cloud type. It can be written that  $n(r) = f(N_{dt}, r_{\text{eff}}, v_{\text{eff}})$  and the uncertainty in  $n(r)$  is related to  $N_{dt}$ . Figure 3 shows  $n(r)$  versus  $r$  for various  $N_{dt}$  ranging from 50 up to  $500 \text{ cm}^{-3}$  with  $r_{\text{eff}} = 10$  (15)  $\mu\text{m}$  and  $v_{\text{eff}} = 0.20$  (0.10), representing stratus (cumulus) clouds. This plot shows that  $n(r)$  is strongly related to  $N_{dt}$ , and its values need to be accurately determined for various cloud systems.

Establishing the dependency of  $N_d$  on  $N_a$  is limited by uncertainties in measurements of both parameters, also the limited datasets and the analysis techniques used for

various cloud types. Detailed analyses of  $N_d$  versus  $N_a$  by Gultepe and Isaac (1996, 1999) were obtained using 20 km averages. Figure 4, obtained from both works, suggests that variability in the data was significant, and there was no unique relationship between  $N_d$  and  $N_a$ . Martin *et al.* (1994) and Leaitch *et al.* (1996) analysed the  $N_d$  and  $N_a$  data using averages over the entire cloud leg, and Leaitch *et al.* summarized the results for only sulphate particles. Differences in the two investigations may be due to their analysis techniques. If the Martin *et al.* (1994) equations are combined, as in Jones *et al.* (1994), the difference between values of  $N_d$  (Fig. 4) can be as large as  $60 \text{ cm}^{-3}$ , which can result in about a 40% change in short-wave (SW) cloud-forcing (CF) values (see discussion following Eq. (13)).

The effect of uncertainties in  $N_d$  on cloud albedo ( $A$ ) can be estimated using a relationship between optical thickness and cloud physical characteristics (Platnick and Twomey 1994):

$$\tau_{\text{ext}} = \pi Q_{\text{ext}} \left\{ \frac{LWC}{\rho_w (4/3)\pi} \right\}^{2/3} N_d^{1/3} \Delta z, \quad (5)$$

where  $Q_{\text{ext}}$  is the Mie efficiency factor assumed to be approximately 2 for large particles at the visible and near-infrared radiation;  $\Delta z$  is the cloud physical thickness, and  $\rho_w$  is water density. Based on Eq. (5),  $\tau_{\text{ext}}$  is related to the  $1/3$  power of  $N_d$ . The effect of  $N_d$  on calculations of  $\tau_{\text{ext}}$  can also be obtained from the following definition of extinction parameters:

$$\sigma_{\text{ext}} = \pi Q_{\text{ext}}(x) N_d r_s^2, \quad (6)$$

(Hansen and Travis 1974) where particle mean surface radius,  $r_s$ , and  $N_d$  are directly related to  $\sigma_{\text{ext}}$ . Using  $k = r_v^3 / r_{\text{eff}}^3$  ( $r_v$  is the mean volume radius) and  $r_{\text{eff}} = r_v^3 / r_s^2$  (Brennguier *et al.* 2000):

$$\sigma_{\text{ext}} = \pi k Q_{\text{ext}}(x) N_d r_{\text{eff}}^2. \quad (7)$$

This shows that if we multiply both sides by  $\Delta z$ , we get the optical thickness as

$$\tau_{\text{ext}} = \pi k Q_{\text{ext}}(x) N_d r_{\text{eff}}^2 \Delta z, \quad (8)$$

where  $\tau_{\text{ext}}$  is directly related to first power of  $N_d$  in contrast to the  $1/3$  power of  $N_d$  in Eq. (5). Equation (8) shows that Eq. (5) is not a unique equation to correlate  $\tau_{\text{ext}}$  with  $N_d$ . However, using simple, but approximate relationships between  $r_{\text{eff}}$ ,  $N_d$  and LWC, Eqs. (5) and (8) can be shown to very similar. If we take a natural logarithm given by the formula  $d(\ln x) = dx/x$ , assuming only  $N_d$  and  $r_{\text{eff}}$  are variables, Eq. (8) becomes:

$$\frac{d\tau_{\text{ext}}}{\tau_{\text{ext}}} = \frac{dN_d}{N_d} + 2 \frac{dr_{\text{eff}}}{r_{\text{eff}}}, \quad (9)$$

and by neglecting nonlinear terms in the Taylor series (Mandel 1984), Eq. (6) is written as:

$$\frac{\Delta \tau_{\text{ext}}}{\tau_{\text{ext}}} = \frac{\Delta N_d}{N_d} + 2 \frac{\Delta r_{\text{eff}}}{r_{\text{eff}}}. \quad (10)$$

Then, assuming  $\tau_{\text{ext}} = 5$ ,  $N_d = 100 \text{ cm}^{-3}$ ,  $r_{\text{eff}} = 10 \text{ } \mu\text{m}$ , and applying a 10% change in both  $r_{\text{eff}}$  ( $1 \text{ } \mu\text{m}$ ) and  $N_d$  ( $10 \text{ cm}^{-3}$ ),  $\Delta \tau_{\text{ext}}$  is estimated to be about 1.5, resulting in a 30% uncertainty in  $\tau_{\text{ext}}$ .

Using Eq. (5) and assuming a conservative scattering in the cloud, the two-stream approximation results in an albedo–optical-thickness relationship (Hansen and Travis 1974; Lacis and Hansen 1974; Platnick and Twomey 1994) as

$$A \cong \{\sqrt{3}(1 - g)\tau_{\text{ext}}\} \{2 + \sqrt{3}(1 - g)\tau_{\text{ext}}\}, \quad (11)$$



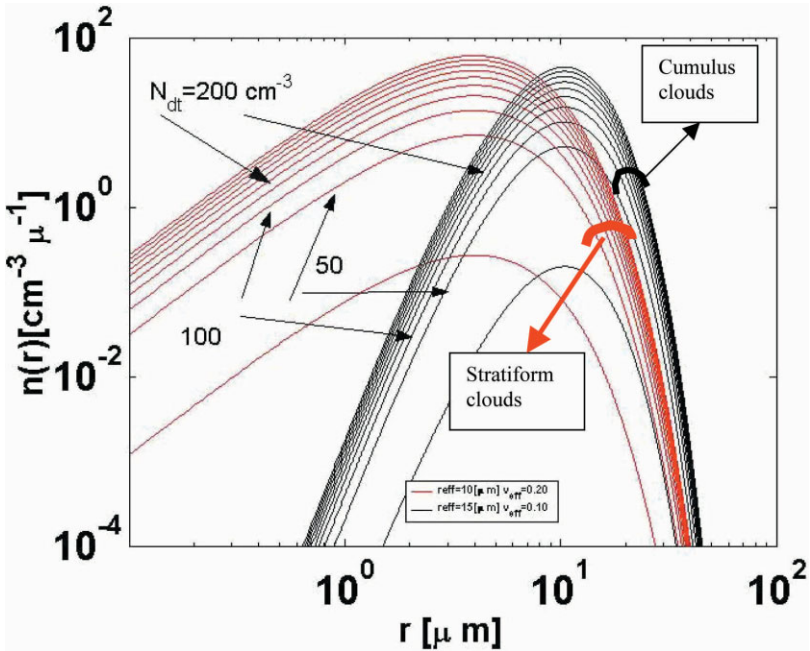


Figure 3. A modified gamma size distribution for various values of droplet effective radius ( $r_{\text{eff}}$ ), effective variance ( $v_{\text{eff}}$ ), and total number concentration ( $N_{\text{dt}}$ ) that are used in calculation of the value of constant  $c$  in Eq. (4).

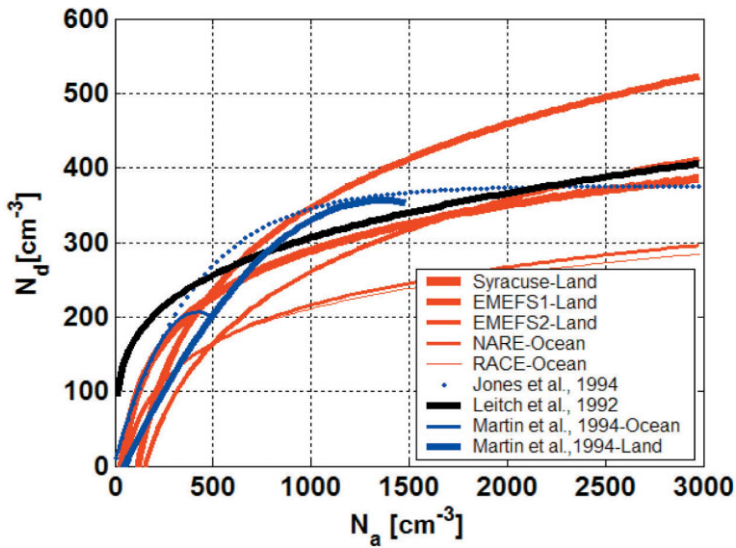


Figure 4. The relationships between droplet number concentration ( $N_d$ ) and aerosol number concentration ( $N_a$ ) obtained from observations given in Gultepe and Isaac (1996, 1999) and from other works.

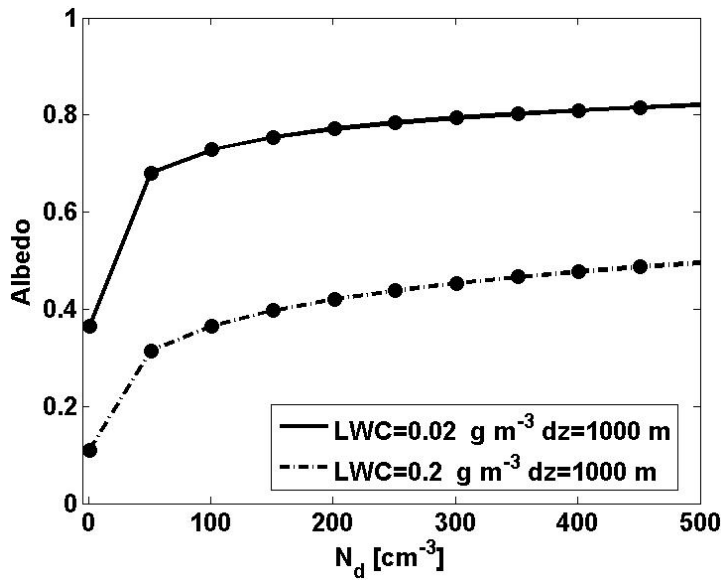


Figure 5. Cloud planetary albedo ( $A$ ) versus droplet number concentration ( $N_d$ ) for two values of liquid water content (LWC) assuming a cloud physical thickness of 1000 m.

where  $g$  is the asymmetry factor, which for simplicity is assumed to be 0.85 for liquid clouds but in reality it is a function of particle size. The mean values for  $N_d$  used in some GCMs range from 60 up to 600  $\text{cm}^{-3}$  (Table 2). The use of mean values greater than 200  $\text{cm}^{-3}$  for GCMs can result in overestimation of planetary albedo (Fig. 5). Figure 5 shows cloud planetary albedo versus  $N_d$  calculated using Eqs. (5) and (11), where LWC is assumed constant at either 0.2 or 0.02  $\text{g m}^{-3}$  within a 1000 m cloud layer. It is also clear that for small values of  $N_d$  ( $<100 \text{ cm}^{-3}$ ),  $A$  changes more than for the same amount of change in large  $N_d$  values. A small change in  $N_d$ , for either large or small values of  $N_d$ , can be important for calculations of the heat budget. It should be noted that changes in  $N_d$  are not only important for radiative effects but also for physical processes and precipitation conversion processes.

Using  $\text{LWC} = 0.02 \text{ g m}^{-3}$  and  $\Delta z = 1 \text{ km}$  for a typical boundary-layer non-precipitating liquid cloud layer,  $\tau_{\text{ext}}$  is calculated for two values of  $N_d$  (100 and 150  $\text{cm}^{-3}$ ). The corresponding values of  $A$  change from approximately 0.35 to 0.40, indicating that a 15% change in  $A$  occurs for a 50% change in  $N_d$  (Fig. 5). Using the values of  $A_{100} = 0.35$  ( $N_d = 100 \text{ cm}^{-3}$ ) and  $A_{150} = 0.40$  ( $N_d = 150 \text{ cm}^{-3}$ ), and assuming the planetary albedo for clear sky ( $A_{\text{cl}}$ ) is 0.30 and the mean solar constant ( $S_0$ ) is about 1380  $\text{W m}^{-2}$  (Wallace and Hobbs 1977), the respective SW radiative cloud forcings (Sohn and Robertson 1993) for  $N_d = 100 \text{ cm}^{-3}$  and  $N_d = 150 \text{ cm}^{-3}$  are estimated from:

$$CF_{100} = S_0(A_{100} - A_{\text{cl}}), \tag{12}$$

and

$$CF_{150} = S_0(A_{150} - A_{\text{cl}}). \tag{13}$$

Then,  $(CF_{150} - CF_{100})/CF_{100}$  becomes 40%, indicating that a 50% change in  $N_d$  can result in a 40% change in SW CF. This value can increase or decrease depending on the  $N_d$  values used in Eq. (5). A summary of the column average of  $T$  values from models and observations from the Atmospheric Radiation Measurement site indicated

TABLE 2. DROPLET NUMBER CONCENTRATION ( $N_d$ ) VALUES USED IN GCM STUDIES AND RESULTS FROM THE PRESENT WORK

Reference	Model type or Observations	$N_d$ ( $\text{cm}^{-3}$ )	Condition
Lee <i>et al.</i> 1997	NCAR CCM2 <sup>1</sup>	150	Ocean
		600	Land
Ghan <i>et al.</i> 1999	NCAR CCM2 <sup>1</sup>	100	Both
Del Genio <i>et al.</i> 1996	GISS <sup>2</sup>	60	Ocean
		170	Land
Rotstayn 1999a	CSIRO <sup>3</sup>	100	Ocean
	GCM	500	Land
Present work	Data type	Mean (Median)	Cloud type
AIRS	<i>In situ</i>	144(115)	Winter storms
FIRE-ACE	<i>In situ</i>	90(96)	Arctic boundary-layer clouds
RACE	<i>In situ</i>	167(141)	Marine boundary-layer clouds

<sup>1</sup>National Center for Atmospheric Research Community Climate Model.

<sup>2</sup>Goddard Institute for Space Studies.

<sup>3</sup>Commonwealth Scientific and Industrial Research Organization.

For other acronyms see text.

that the difference in temperature between observations and single-column models can be as high as 10 degC, showing that the representation of clouds in the climate models needs to be improved (Ackerman and Stokes 2003).

## 5. CONCLUSIONS

The following conclusions related to characteristics of  $N_d$  have been reached:

- (i) When  $N_d$  was held constant in GCMs (Table 2), the values in different models for clouds over oceans ranged from 60 to 150  $\text{cm}^{-3}$ , while the values over land ranged from 100 to 600  $\text{cm}^{-3}$ . Thus there is no unique value to be used in GCMs for  $N_d$ .
- (ii)  $N_{dt}$ , currently used as a constant value in gamma size distributions, cannot be fixed because  $N_d$  is found to be related to  $T$  and this can affect SW cloud forcing. For example, a 50% change in  $N_d$  from 100 to 150  $\text{cm}^{-3}$  can result in a change of approximately 40% in SW forcing.
- (iii) Various analysis methods used in the relationships between  $N_d$  and  $N_a$  complicate the accurate estimation of  $N_d$ . In some GCMs,  $N_d$  is related to sulphate particle concentration (Penner *et al.* 2001); however, such particles may only represent 30–40% of the total mass of particles, consequently this technique can also lead to an underestimation of  $N_d$ .
- (iv) The PDFs obtained from the present work indicate that  $N_d$  sizes can be represented by a gamma, exponential, or normal distribution, and mean values were found to be significantly different for each cloud system. A gamma size distribution is not unique for all datasets. These variations can be due to environmental and cloud physical–dynamical conditions that affect the particle size distribution.
- (v) The use of  $N_d$  PDFs for polluted and clear environments in climate studies can reduce the uncertainty that may arise due to the relationships between  $N_d$  and  $N_a$  currently used, or they can be compared with model outputs to better understand microphysics–climate interactions.

(vi) The results also indicate that relationships between  $\tau_{\text{ext}}$  and  $N_d$  (Eqs. (5) and (8)) are not unique. A 30% change in  $\tau_{\text{ext}}$  (Eq. (8)) can be caused by a 10% change in  $N_d$  (near  $100 \text{ cm}^{-3}$ ) and a 10% change in  $r_{\text{eff}}$  (near  $10 \mu\text{m}$ ) when cloud physical thickness is fixed. The  $r_{\text{eff}}$  contribution to the 30% change in optical thickness is twice the  $N_d$  contribution.

The above conclusions indicate that there are many difficulties in the representation of liquid clouds within climate models. We need to develop better methods for determining  $N_d$ . Certainly using constant values over land or ocean cannot accurately represent nature. The use of a single PDF is also open to question because there are many variables, such as  $T$ , that can affect  $N_d$ .

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