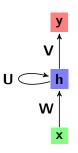
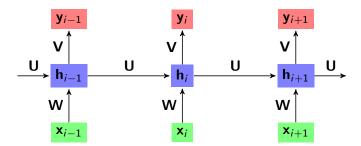
# Fancy RNNs

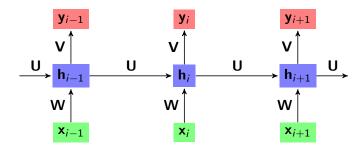
CS114 Lab 9

Kenneth Lai

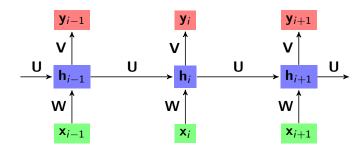
March 20, 2020







▶ Output  $\mathbf{y}_i$  depends on hidden state  $\mathbf{h}_i$  (i.e. current word  $\mathbf{x}_i$  and history/(past) context  $\mathbf{h}_{i-1}$ )



- Output y<sub>i</sub> depends on hidden state h<sub>i</sub> (i.e. current word x<sub>i</sub> and history/(past) context h<sub>i-1</sub>)
- What about future context?

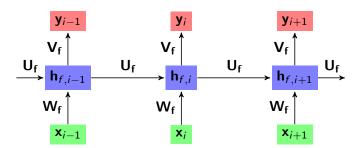
#### Bidirectional RNNs

► Idea: Train two RNNs: passing the input into one forward and one backward

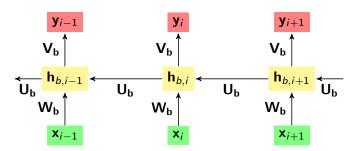
#### Bidirectional RNNs

- Idea: Train two RNNs: passing the input into one forward and one backward
- ▶ Output  $\mathbf{y}_i$  depends on forward hidden state  $\mathbf{h}_{f,i}$  and backward hidden state  $\mathbf{h}_{b,i}$

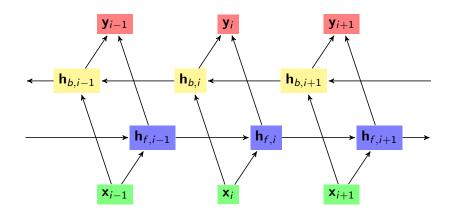
#### Forward RNN

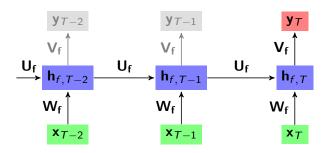


### **Backward RNN**

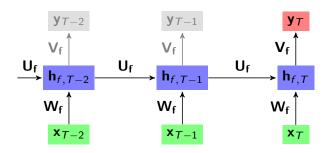


### **Bidirectional RNN**

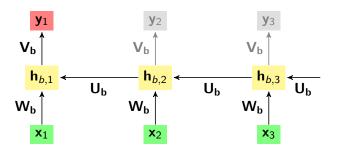




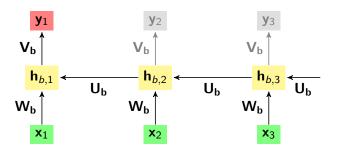
ightharpoonup  $\mathbf{h}_{f,T}$  encodes the whole text



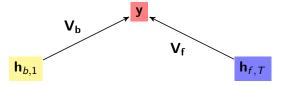
- $ightharpoonup \mathbf{h}_{f,T}$  encodes the whole text
  - Use  $\mathbf{h}_{f,T}$  to predict class  $\mathbf{y}_T$  of entire document

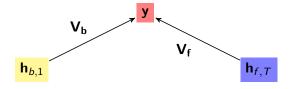


- $ightharpoonup \mathbf{h}_{f,T}$  encodes the whole text
  - ▶ Use  $\mathbf{h}_{f,T}$  to predict class  $\mathbf{y}_T$  of entire document
- ▶  $\mathbf{h}_{b,1}$  also encodes the whole text



- $\blacktriangleright$  **h**<sub>f,T</sub> encodes the whole text
  - ▶ Use  $\mathbf{h}_{f,T}$  to predict class  $\mathbf{y}_T$  of entire document
- ▶ **h**<sub>b.1</sub> also encodes the whole text
  - ▶ Use  $\mathbf{h}_{b,1}$  to predict class  $\mathbf{y}_1$  of entire document





▶ Use  $\mathbf{h}_{f,T}$  and  $\mathbf{h}_{b,1}$  to predict class  $\mathbf{y}$  of entire document

▶  $\mathbf{h}_{i-1}$  encodes the (past, in a forward RNN) context  $\mathbf{x}_1, ..., \mathbf{x}_{i-1}$ 

- ▶  $\mathbf{h}_{i-1}$  encodes the (past, in a forward RNN) context  $\mathbf{x}_1, ..., \mathbf{x}_{i-1}$ 
  - ▶ But mostly  $\mathbf{x}_{i-1}$ , less  $\mathbf{x}_{i-2}$ , even less  $\mathbf{x}_{i-3}$ , ..., very little  $\mathbf{x}_1$

- ▶  $\mathbf{h}_{i-1}$  encodes the (past, in a forward RNN) context  $\mathbf{x}_1, ..., \mathbf{x}_{i-1}$ 
  - ▶ But mostly  $\mathbf{x}_{i-1}$ , less  $\mathbf{x}_{i-2}$ , even less  $\mathbf{x}_{i-3}$ , ..., very little  $\mathbf{x}_1$
- Context is local

► Example: subject-verb agreement

- Example: subject-verb agreement
- ▶ The flights the airline was cancelling were full.

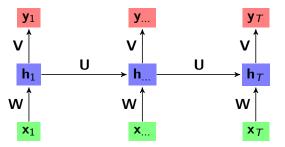
- Example: subject-verb agreement
- ▶ The flights the airline was cancelling were full.

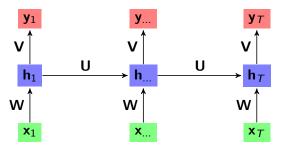
- Example: subject-verb agreement
- ► The flights the airline was cancelling were full.
  - ► The context for "was" is mostly "airline"

- Example: subject-verb agreement
- ► The flights the airline was cancelling were full.
  - ► The context for was is mostly airline

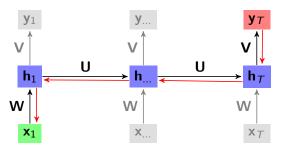
- Example: subject-verb agreement
- ► The flights the airline was cancelling were full.
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  - ► The context for were is mostly cancelling, was, airline

- Example: subject-verb agreement
- ► The flights the airline was cancelling were full.
  - ► The context for was is mostly airline
  - ► The context for were is mostly cancelling, was, airline
    - Very little flights



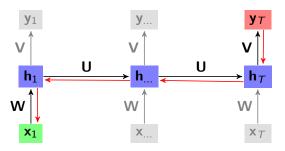


▶ What is  $\nabla_{\mathbf{W},1,T}L$ ?

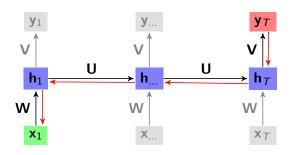


▶ What is  $\nabla_{\mathbf{W},1,T}L$ ?

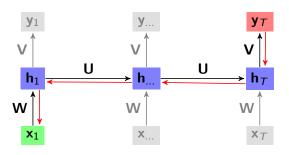
- ► For all layers *I*:
- ▶ For an output layer  $\mathcal{L}$ :
  - $\qquad \qquad \boldsymbol{\delta}_{\mathcal{L}} = \mathbf{\hat{y}} \mathbf{y}$
- ► For a non-output layer *J* (with next layer *K*):



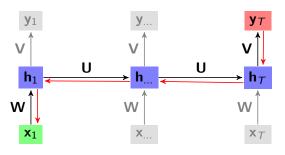
$$\nabla_{\mathbf{W},1,T} L = \delta_{\mathbf{h}_1} \odot \mathbf{x}_1$$



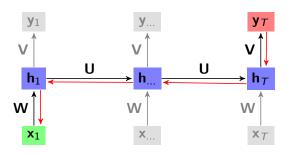
$$\nabla_{\mathbf{W},1,T} L = \delta_{\mathbf{h}_1} \odot \mathbf{x}_1$$
  
=  $(\mathbf{U}^T \cdot \delta_{\mathbf{h}_2}) \odot \sigma'(\mathbf{z}_{\mathbf{h}_1}) \odot \mathbf{x}_1$ 



$$\begin{split} \nabla_{\mathbf{W},1,\mathcal{T}} \mathcal{L} &= \delta_{\mathbf{h}_1} \odot \mathbf{x}_1 \\ &= (\mathbf{U}^{\mathcal{T}} \cdot \delta_{\mathbf{h}_2}) \odot \sigma'(\mathbf{z}_{\mathbf{h}_1}) \odot \mathbf{x}_1 \\ &= (\mathbf{U}^{\mathcal{T}} \cdot ((\mathbf{U}^{\mathcal{T}} \cdot \delta_{\mathbf{h}_3}) \odot \sigma'(\mathbf{z}_{\mathbf{h}_2}))) \odot \sigma'(\mathbf{z}_{\mathbf{h}_1}) \odot \mathbf{x}_1 \end{split}$$

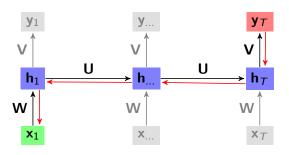


$$\begin{split} \nabla_{\mathbf{W},1,\mathcal{T}} \mathcal{L} &= \delta_{\mathbf{h}_1} \odot \mathbf{x}_1 \\ &= \left( \mathbf{U}^{\mathcal{T}} \cdot \delta_{\mathbf{h}_2} \right) \odot \sigma'(\mathbf{z}_{\mathbf{h}_1}) \odot \mathbf{x}_1 \\ &= \left( \mathbf{U}^{\mathcal{T}} \cdot \left( \left( \mathbf{U}^{\mathcal{T}} \cdot \delta_{\mathbf{h}_3} \right) \odot \sigma'(\mathbf{z}_{\mathbf{h}_2}) \right) \right) \odot \sigma'(\mathbf{z}_{\mathbf{h}_1}) \odot \mathbf{x}_1 \\ &= \left( \mathbf{U}^{\mathcal{T}} \cdot \left( \left( \mathbf{U}^{\mathcal{T}} \cdot \left( \left( \mathbf{U}^{\mathcal{T}} \cdot \ldots \odot \sigma'(\mathbf{z}_{\mathbf{h}_2}) \right) \right) \right) \odot \sigma'(\mathbf{z}_{\mathbf{h}_1}) \odot \mathbf{x}_1 \\ \end{split}$$



$$\begin{split} \nabla_{\mathbf{W},\mathbf{1},T} L &= \delta_{\mathbf{h}_1} \odot \mathbf{x}_1 \\ &= (\mathbf{U}^T \cdot \delta_{\mathbf{h}_2}) \odot \sigma'(\mathbf{z}_{\mathbf{h}_1}) \odot \mathbf{x}_1 \\ &= (\mathbf{U}^T \cdot ((\mathbf{U}^T \cdot \delta_{\mathbf{h}_3}) \odot \sigma'(\mathbf{z}_{\mathbf{h}_2}))) \odot \sigma'(\mathbf{z}_{\mathbf{h}_1}) \odot \mathbf{x}_1 \\ &= (\mathbf{U}^T \cdot ((\mathbf{U}^T \cdot ((\mathbf{U}^T \cdot .... \odot \sigma'(\mathbf{z}_{\mathbf{h}_2}))) \odot \sigma'(\mathbf{z}_{\mathbf{h}_1}) \odot \mathbf{x}_1 \end{split}$$

▶ If weights/derivatives are small, vanishing gradient

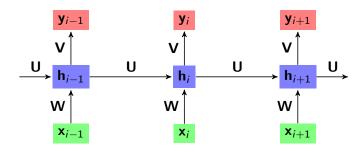


$$\begin{split} \nabla_{\mathbf{W},\mathbf{1},T} L &= \delta_{\mathbf{h}_1} \odot \mathbf{x}_1 \\ &= (\mathbf{U}^T \cdot \delta_{\mathbf{h}_2}) \odot \sigma'(\mathbf{z}_{\mathbf{h}_1}) \odot \mathbf{x}_1 \\ &= (\mathbf{U}^T \cdot ((\mathbf{U}^T \cdot \delta_{\mathbf{h}_3}) \odot \sigma'(\mathbf{z}_{\mathbf{h}_2}))) \odot \sigma'(\mathbf{z}_{\mathbf{h}_1}) \odot \mathbf{x}_1 \\ &= (\mathbf{U}^T \cdot ((\mathbf{U}^T \cdot ((\mathbf{U}^T \cdot .... \odot \sigma'(\mathbf{z}_{\mathbf{h}_2}))) \odot \sigma'(\mathbf{z}_{\mathbf{h}_1}) \odot \mathbf{x}_1 \end{split}$$

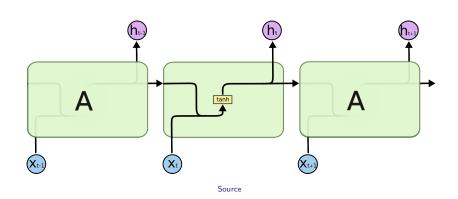
- If weights/derivatives are small, vanishing gradient
- ▶ If weights/derivatives are large, exploding gradient

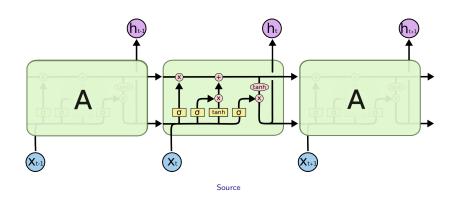


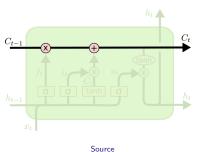
## Simple RNN

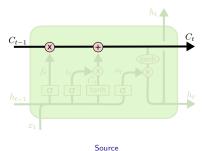


# Simple RNN

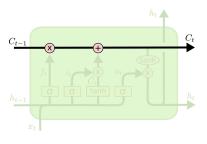




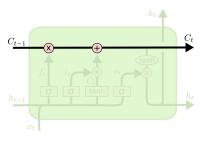




Separate memory (cell) state

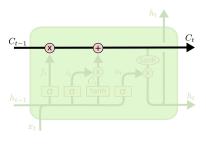


- ► Separate memory (cell) state
  - Reading from and writing to memory controlled by gates



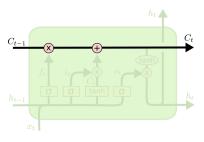
Source

- ► Separate memory (cell) state
  - Reading from and writing to memory controlled by gates
    - ► Each gate contains one or two neural network layers



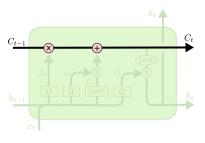
Source

- ► Separate memory (cell) state
  - Reading from and writing to memory controlled by gates
    - ► Each gate contains one or two neural network layers
  - ► State persists across time



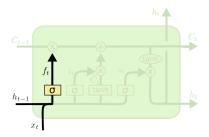
Source

- ► Separate memory (cell) state
  - Reading from and writing to memory controlled by gates
    - Each gate contains one or two neural network layers
  - State persists across time
    - May remember information from long ago

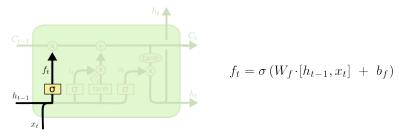


Source

- Separate memory (cell) state
  - Reading from and writing to memory controlled by gates
    - ► Each gate contains one or two neural network layers
  - State persists across time
    - May remember information from long ago
    - Gradients for memory don't decay with time

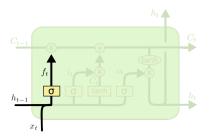


$$f_t = \sigma \left( W_f \cdot [h_{t-1}, x_t] + b_f \right)$$



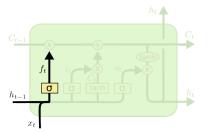
Source

Neural network layer with logistic activation function



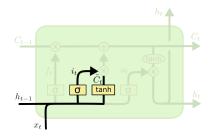
$$f_t = \sigma \left( W_f \cdot [h_{t-1}, x_t] + b_f \right)$$

- Neural network layer with logistic activation function
- Element-wise multiplication of forget gate output with memory state

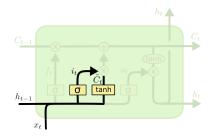


$$f_t = \sigma\left(W_f \cdot [h_{t-1}, x_t] + b_f\right)$$

- Neural network layer with logistic activation function
- Element-wise multiplication of forget gate output with memory state
  - ▶ Mask: What parts of memory to forget/remember?



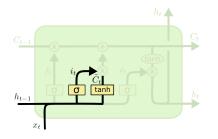
$$i_t = \sigma \left( W_i \cdot [h_{t-1}, x_t] + b_i \right)$$
  
$$\tilde{C}_t = \tanh(W_C \cdot [h_{t-1}, x_t] + b_C)$$



$$i_t = \sigma \left( W_i \cdot [h_{t-1}, x_t] + b_i \right)$$
  
$$\tilde{C}_t = \tanh(W_C \cdot [h_{t-1}, x_t] + b_C)$$

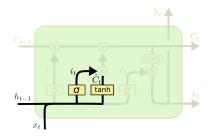
Source

Two parts



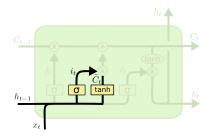
$$i_t = \sigma \left( W_i \cdot [h_{t-1}, x_t] + b_i \right)$$
  
$$\tilde{C}_t = \tanh(W_C \cdot [h_{t-1}, x_t] + b_C)$$

- Two parts
  - 1. Candidate choice



$$i_t = \sigma \left( W_i \cdot [h_{t-1}, x_t] + b_i \right)$$
  
$$\tilde{C}_t = \tanh(W_C \cdot [h_{t-1}, x_t] + b_C)$$

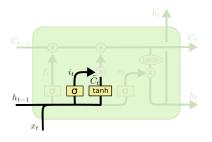
- ► Two parts
  - 1. Candidate choice
    - Logistic activation function



$$i_t = \sigma (W_i \cdot [h_{t-1}, x_t] + b_i)$$
  

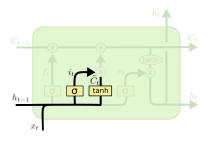
$$\tilde{C}_t = \tanh(W_C \cdot [h_{t-1}, x_t] + b_C)$$

- ► Two parts
  - 1. Candidate choice
    - ► Logistic activation function
    - What parts of memory to update?



$$i_t = \sigma \left( W_i \cdot [h_{t-1}, x_t] + b_i \right)$$
  
$$\tilde{C}_t = \tanh(W_C \cdot [h_{t-1}, x_t] + b_C)$$

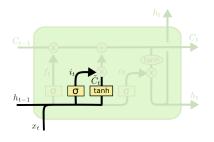
- ► Two parts
  - 1. Candidate choice
    - Logistic activation function
    - What parts of memory to update?
  - 2. Candidate values



$$i_t = \sigma \left( W_i \cdot [h_{t-1}, x_t] + b_i \right)$$
  

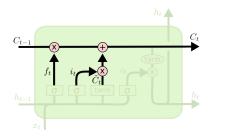
$$\tilde{C}_t = \tanh(W_C \cdot [h_{t-1}, x_t] + b_C)$$

- Two parts
  - 1. Candidate choice
    - ▶ Logistic activation function
    - What parts of memory to update?
  - 2. Candidate values
    - Tanh activation function

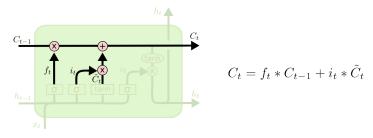


$$i_t = \sigma \left( W_i \cdot [h_{t-1}, x_t] + b_i \right)$$
  
$$\tilde{C}_t = \tanh(W_C \cdot [h_{t-1}, x_t] + b_C)$$

- ► Two parts
  - 1. Candidate choice
    - Logistic activation function
    - What parts of memory to update?
  - 2. Candidate values
    - Tanh activation function
    - ▶ How much to update them by?

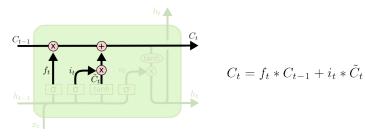


$$C_t = f_t * C_{t-1} + i_t * \tilde{C}_t$$

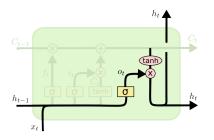


Source

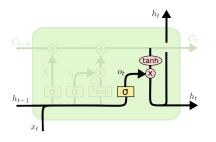
► Element-wise multiplication of two outputs



- ► Element-wise multiplication of two outputs
- ► Then element-wise addition with memory state



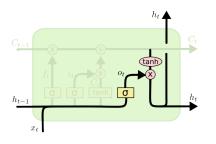
$$o_t = \sigma (W_o [h_{t-1}, x_t] + b_o)$$
$$h_t = o_t * \tanh (C_t)$$



$$o_t = \sigma (W_o [h_{t-1}, x_t] + b_o)$$
$$h_t = o_t * \tanh (C_t)$$

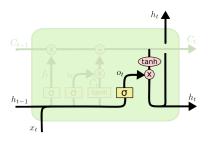
Source

► Logistic activation function



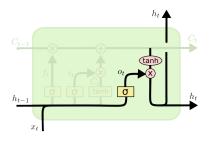
$$o_t = \sigma (W_o [h_{t-1}, x_t] + b_o)$$
$$h_t = o_t * \tanh (C_t)$$

- Logistic activation function
  - ▶ What parts of memory to output?



$$o_t = \sigma (W_o [h_{t-1}, x_t] + b_o)$$
$$h_t = o_t * \tanh (C_t)$$

- Logistic activation function
  - What parts of memory to output?
- ▶ Element-wise multiplication with tanh of memory state



$$o_t = \sigma (W_o [h_{t-1}, x_t] + b_o)$$
$$h_t = o_t * \tanh (C_t)$$

- ► Logistic activation function
  - ▶ What parts of memory to output?
- ▶ Element-wise multiplication with tanh of memory state
  - ► This is the "hidden layer output" that gets passed on to the output layer/next time step