







# Predicting NO2 concentrations

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# Abstract

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## 1. Introduction

NO<sub>2</sub> is defined by the U.S. Environmental Protection Agency (EPA) as a criteria air pollutant, meaning it poses a risk to human and environmental health. The primary National Ambient Air Quality Standard (NAAQS) for NO<sub>2</sub> is set at a 53 ppb annual average [1]. NO<sub>2</sub> can cause respiratory irritation and can aggravate respiratory diseases such as asthma (US EPA, n.d., B). NO<sub>2</sub> can also react with other chemicals in the atmosphere to form both particulate matter (PM) and tropospheric ozone (US EPA, n.d., B). PM and ozone are also criteria air pollutants and are harmful to human health. NO<sub>2</sub> also contributes to the formation of acid rain, smog, and nutrient pollution in coastal waters (US EPA, n.d., B). The primary source of NO<sub>2</sub> emissions is fossil fuel combustion, particularly from traffic and power plants (US EPA, n.d., B).

Therefore, understanding and predicting the spatial variability of NO<sub>2</sub> emissions is of great importance to public health. However, prediction of air quality can be complicated due to the number of factors that affect local air quality, ranging from meteorology to land use. Machine learning models are a useful tool to interpret and find relationships in complex data.

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This report proposes a machine learning model to predict NO<sub>2</sub> concentrations spatially. First, a literature review was undertaken to understand what machine learning models have typically performed well in predicting air quality. Next, an exploratory data analysis (EDA) was performed on the Bechle et al (2015) dataset. Finally, multiple linear regression, neural network and random forest models were built and results were compared to see which method had the lowest mean-squared error (MSE).

## 2. Methods

### 2.1 Literature Review

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There are a number of studies examining how machine learning models can be used to predict air quality. Seven studies were examined as part of this literature review, and can be broadly categorized into 2 areas: predicting PM<sub>2.5</sub> and predicting the Air Quality Index (AQI)/ Air Pollution Index (API). One exception is that one of the studies examining AQI also predicted NO<sub>x</sub> concentrations.

#### 2.1.1 PM<sub>2.5</sub>

Chen et al (2018) explored the use of random forest models to predict PM<sub>2.5</sub> concentrations spatially in China and compared them to multiple linear regression and generalized additive models. While the study began with a large number of predictors, these were narrowed down to ground-based measurements, satellite retrieved AOD data, urban cover data and meteorological data. The random forests model had the greatest predictive power of all the models considered, with a RMSE of 28.1 µg/m<sup>3</sup> on a daily scale (R<sup>2</sup> = 83%), improving to 10.7 µg/m<sup>3</sup> (R<sup>2</sup> = 86%) and 6.9 µg/m<sup>3</sup> (R<sup>2</sup>=86%) on monthly and annual time-scales, respectively.

Xu et al (2018) likewise considered a number of machine learning models for PM<sub>2.5</sub> prediction in British Columbia, Canada. 8 models were examined in this study: 1) multiple linear regression (MLR), 2) Bayesian Regularized Neural Networks (BRNN), 3) Support Vector Machines with Radial Basis Function Kernel (SVM), 4) Least Absolute Shrinkage and Selection Operator (LASSO), 5) Multivariate Adaptive Regression Splines (MARS), 6) Random forest (RF), 7) eXtreme Gradient Boosting (XGBoost), and 8) Cubist. The predictors included humidity, temperature, albedo, normalized difference vegetation index (NDVI), height of the planetary boundary layer (HPBL), wind speed, distance to the ocean, elevation, and calendar month beside the ground level monthly averaged PM<sub>2.5</sub> data collected from 63 stations between 2001 to 2014 as well as 3km resolution AOD data from MODIS. This study found that the cubist model had the highest accuracy (RMSE=2.64 µg/m<sup>3</sup> and R<sup>2</sup>=0.48) and the the MLR had the lowest accuracy (MSE = 3.24 µg/m<sup>3</sup> and R<sup>2</sup>=0.22). The predictors with the most influence were monthly AOD and elevation.

Enebish et al (2020) considered 6 different machine learning models for PM<sub>2.5</sub> prediction in Mongolia: 1) RF, 2) gradient boosting, 3) support vector machine (SVM) with a radial basis kernel, 4) multivariate adaptive regression splines (MARS), 5) generalized linear model with elastic net penalties (a type of MLR), and 6) generalized additive model. These models were run for annual data, cold season and warm season. Parameters considered were air pollution monitoring data, meteorology, land use and population. Across all time periods, the RF had the best R<sup>2</sup> and RMSE values. Over the entire period using the hold-out test set, RF had a RMSE of 12.92 (R<sup>2</sup> = 0.96), and the cold season and warm season had RMSE of 21.23 (R<sup>2</sup> = 0.92) and 7.44 (R<sup>2</sup> = 0.84), respectively.

## 2.1.2 AQI/API

Azid et al (2014) used a multilayered perceptron feed-forward artificial neural network model to predict API, using daily measurements of NO<sub>2</sub>, SO<sub>2</sub>, CO, PM<sub>10</sub> and O<sub>3</sub> over a period of 7 years in Malaysia. The best RMSE and R<sup>2</sup> occurred when the hidden nodes were set to 6, and were 0.618 and 10.017, respectively.

Gu et al (2020) focuses on predicting the AQI in Shenzhen, China. The dataset consists of 365 sets of daily pollution data over one calendar year (2018), and the purpose was to develop a model to predict AQI. Pollution measurements included PM<sub>2.5</sub>, PM<sub>10</sub>, SO<sub>2</sub>, CO, NO<sub>2</sub> and O<sub>3</sub>. Unlike other studies, Gu et al 2020 did not take into account meteorological factors and related urban characteristics. Two SVM models were developed: smart adaptive particle swarm optimization and particle swarm optimization, SAPSO-SVM and PSO-SVM, respectively. Additionally, a back propagation (BP) neural network model was developed. SAPSO-SVM had a test set classification accuracy of 91.62%, and PSO-SVM 88.56%. For the BP-neural network model, ten iterations of the algorithm best fit the test data set, where the percent error ranged from 18.41% for PM<sub>2.5</sub> to 30.29% for SO<sub>2</sub>. While Gu et al stated that both models were a good fit for the data, by using different statistical comparisons to explain model fit, it is not clear which of the two models has a better predictive ability, although it appears to be SAPSO-SVM. The paper listed a number of limitations associated with its neural network, particularly the limited data points.

Liu et al (2019) developed SVM and RF models to predict hourly AQI in Beijing, China and hourly NO<sub>x</sub> in an Italian city. Parameters included historical hourly averaged AQI concentrations for PM<sub>2.5</sub>, O<sub>3</sub>, SO<sub>3</sub>, PM<sub>10</sub> and NO<sub>2</sub> in Beijing (five years), and hourly averaged responses for CO, non-methane hydrocarbons, benzene, NO<sub>x</sub> and NO<sub>2</sub> in the Italian city (1 year). The SVM performed better in predicting AQI with a RMSE 7.666 (R<sup>2</sup>=0.9776), but the RF model performed better in predicting NO<sub>x</sub> concentrations (RMSE = 83.67, R<sup>2</sup> = 0.8401).

Singh et al (2013) used ensemble learning methods to predict air quality index in Lucknow, India. They trained four different models: single decision tree (SDT), decision tree forest (DTF), decision treeboost (DTB) and SVM. While decision trees can be different from random forest, it appears in Singh's

methodology that the DTF and DTB involve randomization with replacement from the training dataset to create separate models, which are then used to predict the entire data from the subsets. This is consistent with RF models—essentially RF are ensemble decision trees. The parameters included in the model are 5 years of data on: daily air quality measurements (SO<sub>2</sub>, NO<sub>2</sub>, SPM and RSPM) meteorology (air temperature, T(°C), relative humidity, RH (%), wind speed, WS(km h<sup>-1</sup>), evaporation (mm), and daily sunshine period, SS (h)). The DTF and DTB models outperformed the SVM models. DTB performed the best, with a RMSE of 4.38 (R<sup>2</sup> = 0.92).

### 2.1.3 Comparison of PM<sub>2.5</sub> and AQI/API studies

The main difference between the PM<sub>2.5</sub> and the AQI studies is that studies examining PM<sub>2.5</sub> tended to only examine one pollutant, whereas AQI studies consisted of measuring and modeling a number of different pollutants. Therefore, some AQI models were more interested in classification than predicting a specific pollutant spatially or temporally. As a result, different parameters tended to be included in the model depending on if it was predicting PM<sub>2.5</sub> or AQI. For example, meteorological data tended to be included in PM<sub>2.5</sub> studies, but not in studies examining API/AQI. Additionally, different types models tended to perform best depending on the target prediction.

A common limitation of all of the studies is the volume of missing data. In Chen et al (2018), the model had only two years of ground-based measurements to train the model on (2014-2016), and then predicted PM<sub>2.5</sub> concentrations for a ten year period (2005 to 2014). Xu et al, 2018 also discussed the challenge of missing data, averaging hourly and daily measurements where available to monthly concentrations to use in model development. Enebish et al, 2020 discussed there being few air quality monitoring stations and insufficient data to well represent the high seasonal variability of PM<sub>2.5</sub> concentrations. Additionally, Gu et al (2020) only used pollution data from one year within one region in China, and Liu et al (2019) used pollution data from one Italian city over one year.

Additionally, all studies considered meteorology when constructing the machine learning model. The dataset in our study does not include meteorology, potentially leaving out an important predictive factor.

The models in each of these studies is summarized in Table 2.1 below:

**Table 2.1 Models used in literature** | PM<sub>2.5</sub> | Both PM<sub>2.5</sub> and AQI | | — | ———— | | **MLR** (Xu et al, 2018; Enebish et al, 2020; Chen et al, 2018) | **RF** (Chen et al, 2018; Xu et al, 2018; Singh et al, 2013; Liu et al, 2019; Enebish et al, 2020) | | **LASSO** (Xu et al, 2018) | **Neural Network** (Azid et al, 2014; Xu et al, 2018, Gu et al, 2020) | | **MARS** (Xu et al, 2018; Enebish et al, 2020) | **SVM** (Xu et al, 2018; Gu et al, 2020; Liu et al, 2019; Enebish et al, 2020; Singh et al, 2013) | | **Gradient Boosting** (Xu et al, 2018; Enebish et al, 2020) | | **Cubist** (Xu et al, 2018) | | **Generalized additive model** (Enebish et al, 2020; Chen et al, 2018) | | **Mixed effects models** (Chen et al, 2018) | |

As we can see above more models were used to predict PM<sub>2.5</sub> than AQI, and the ones that were used in AQI studies were also used in predicting PM<sub>2.5</sub>. The best-predicting models in each study are shown in Table 2.2, alongside their RMSE and R<sup>2</sup> values.

**Table 2.2 Best models in each study** | Study | Target Prediction | Best Model | RMSE | R<sup>2</sup> | | ———— | ———— | ———— | ———— | | Chen et al (2018) | Annual average PM<sub>2.5</sub> | Random Forest | 6.9 | 0.86 | | Xu et al (2018) | Monthly average PM<sub>2.5</sub> | Cubist | 2.6 | 0.48 | | Enebish et al (2020) | Annual average PM<sub>2.5</sub> | Random Forest | 12.9 | 0.96 | | Azid et al (2014) | Daily AQI | Neural network | 10.0 | 0.62 | | Gu et al (2020) | Daily AQI | SVM | n.a. | n.a. | | Singh et al (2013) | Daily AQI | Random Forest | 4.4 | 0.92 | | Liu et al (2019) | Hourly AQI | SVM | 7.7 | 0.98 | | Liu et al (2019) | Houly NO<sub>x</sub> | Random Forest | 83.7 | 0.84 |

Table 2.2 demonstrates that RF models tend to provide the most accurate prediction when considering a single pollutant, with 3/4 studies looking at PM<sub>2.5</sub> or NO<sub>x</sub> having RF as the best predicting model. When examining AQI, SVM models tend to work best, with 2/4 studies finding SVM provides the best prediction.

The RMSE and R<sup>2</sup> values vary significantly for each study. This can be attributed to the different geographic areas considered, varying spatial resolutions, amount of uncertainty in the data sources, prediction type and different parameters included in the model.

Because the objective of this study is to predict a single variable (NO<sub>2</sub> concentrations), then the models used by PM<sub>2.5</sub> studies are the most relevant. Therefore, in our model analysis, we will use MLR, neural networks and RF.

## 2.2 Exploratory Data Analysis

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### 2.3 Model

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#### 2.3.1 Multiple Linear Regression

#### 2.3.2 Neural Networks

#### 2.3.3 Random Forest

Trees and tree algorithm is among the most widely applied machine learning algorithms. It includes random forest, gradient boosting decision trees, XGBoost, etc. The fundament of trees algorithm is decision tree, which can be divided into classification tree and regression tree. In this project, the label is the observed NO<sub>2</sub> concentration, thus, regression tree algorithm can be applied to make the prediction.

Random forest is a combination of tree predictors based on model aggregation ideas. It is realized by creating an ensemble of trees by generating random vectors that govern the growth of trees and letting them vote for the most popular label [2].

Random forest have the advantages of low overfit, low noise affect. However, for random forest regression, a relatively large number of features are required to reduce the test set error[2].

Variable selection and feature engineering are very important in data preprocess of random forest. The two main objectives are to find variables highly related to the response variable, and to find a small number of variables sufficient to a good prediction of the response variable[3].

Based on previous exploratory data analysis, the raw dataset contains valuable land-use variables in series including impervious surfaces, population, major road length, residential road length, and total road length within different buffers. It also include elevation, distance to coast, latitude, longitude, linear combinations of satellite data and WRF-Chem output. The variables within their series are highly correlated, so a few representative ones should be selected out based on the second criteria mentioned before. Here we select one for each kind of land-use variables. It is interesting that high correlations have been found between some of these land-use variables, like road lengths. Thus, we only selected the road length having the highest relationship with the variable to be predicted. Latitude and longitude are binned and one-hot coded. Around 20% of the raw data were selected out for prediction validation.

Random forest model in this project is created with scikit-learn RandomForestRegressor library. GridSearchCV was imported to conduct hyperparameter optimization. The model generally get a MSE around 3.2 in the validation data. However, on the test data it did not show a good performance (MES = 4.2). The first reason might be that random forest algorithm perform worse on regression problems than on classification problems since it cannot give a continuous prediction. It is also weak on predicting values with a magnitudes beyond the train dataset, meaning that it cannot confidently handle noise or outliers. It prefers high dimensional, large-scale data, however, in this project the data is in a relatively low scale.

In conclusion, random forest is possibly not a very appropriate algorithm to make prediction in regression problems from dataset in a low-scale and a low-dimension. # 3. Results # 4. Discussion

# References

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