

# The Principle of Computer System

*Hardware/Software interface*

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# 联系方式

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# Course outline

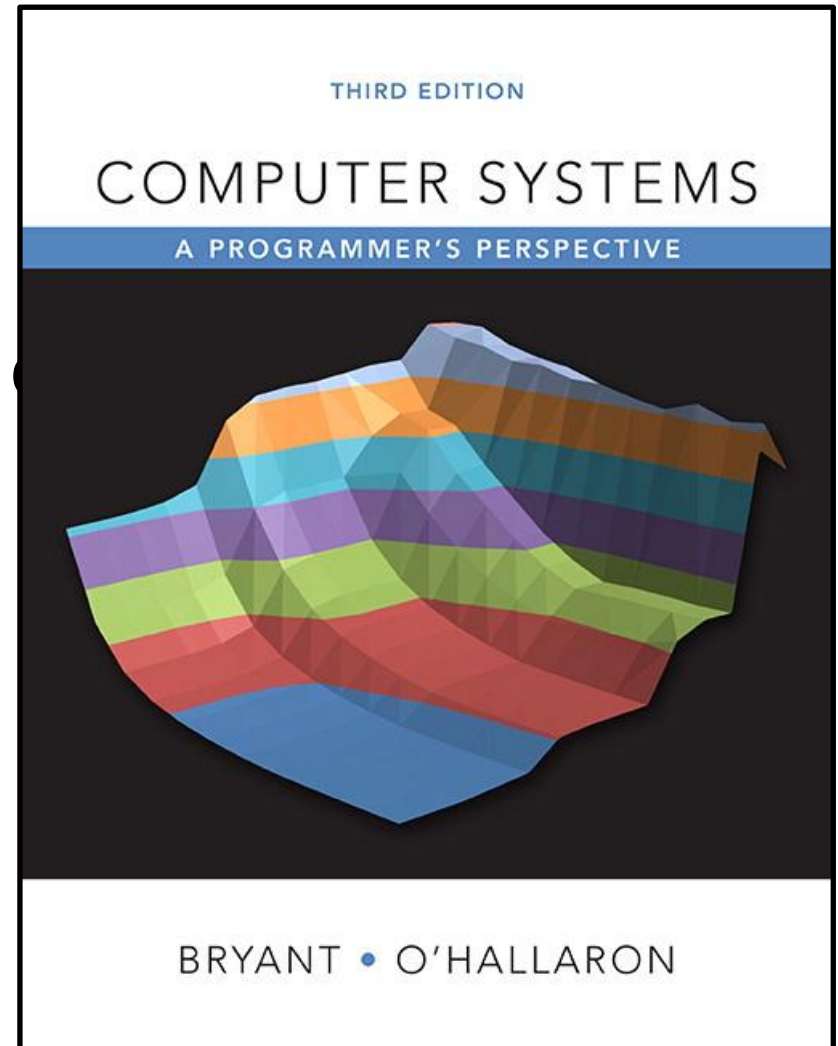
- Name:

## Computer Systems

- Students:

Undergraduate students in Computer Science department.

- Score : 4.5
- Hours/week: 3.5-2
- Total: 88 hours



# 《计算机系统原理》课程目标

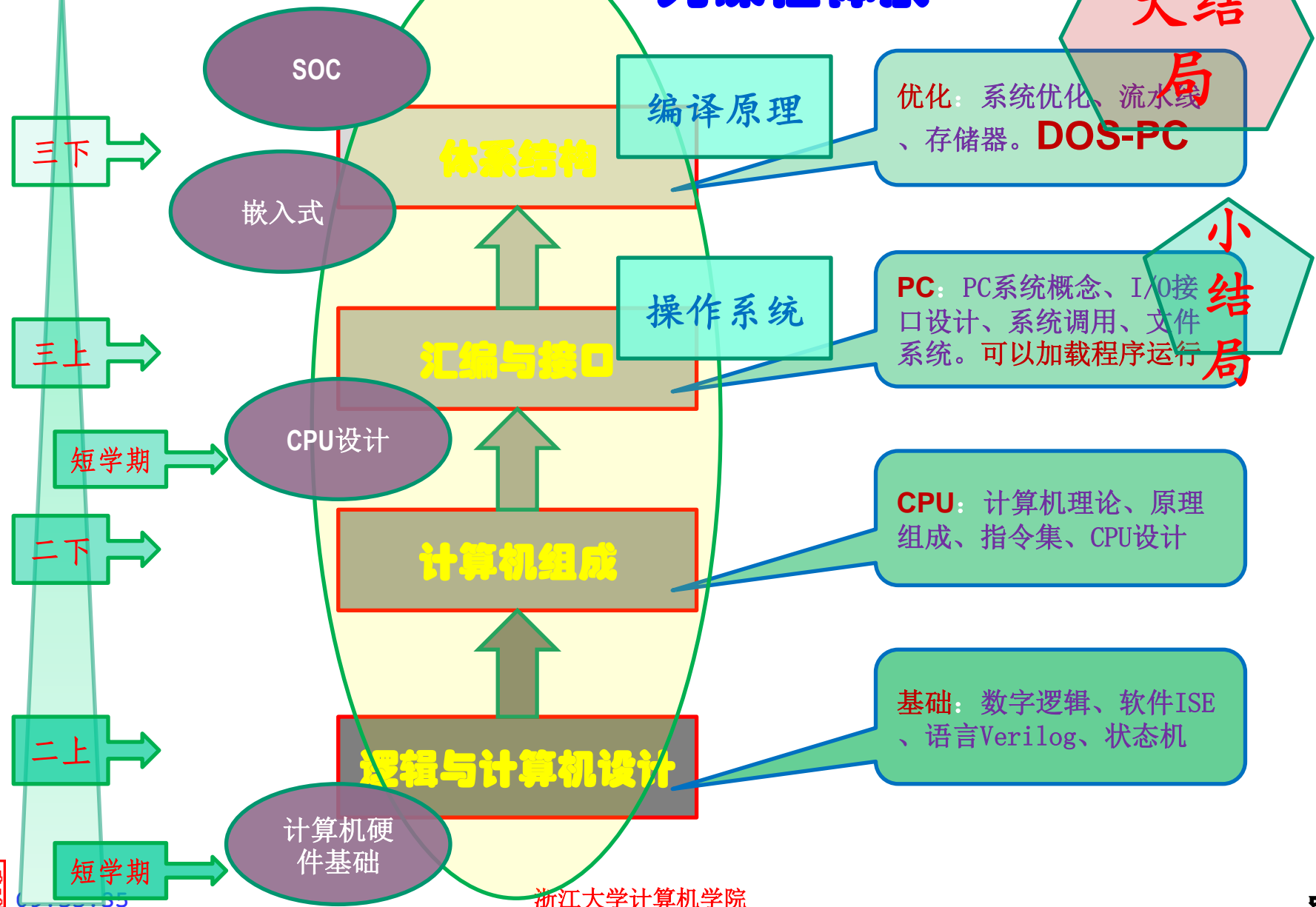
- 利用一个学期，覆盖《逻辑与计算机设计》、《计算机组成》、《体系结构》与《汇编与接口》等计算机硬件系统系列主要课程。作为非计算机技术方向，学习了解掌握计算机硬件、计算机系统方面知识的主要课程。
  - ☆前导课程：《C语言程序设计》
- 强烈建议不是只想玩软件的同学，改选 **《计算机组成》**！

# 计算机系统能力培养系列课程体系



时间轴

主干线





# Virtual Memory: Concepts

15-213: Introduction to Computer Systems  
17<sup>th</sup> Lecture, Oct. 27, 2015

**Instructors:**



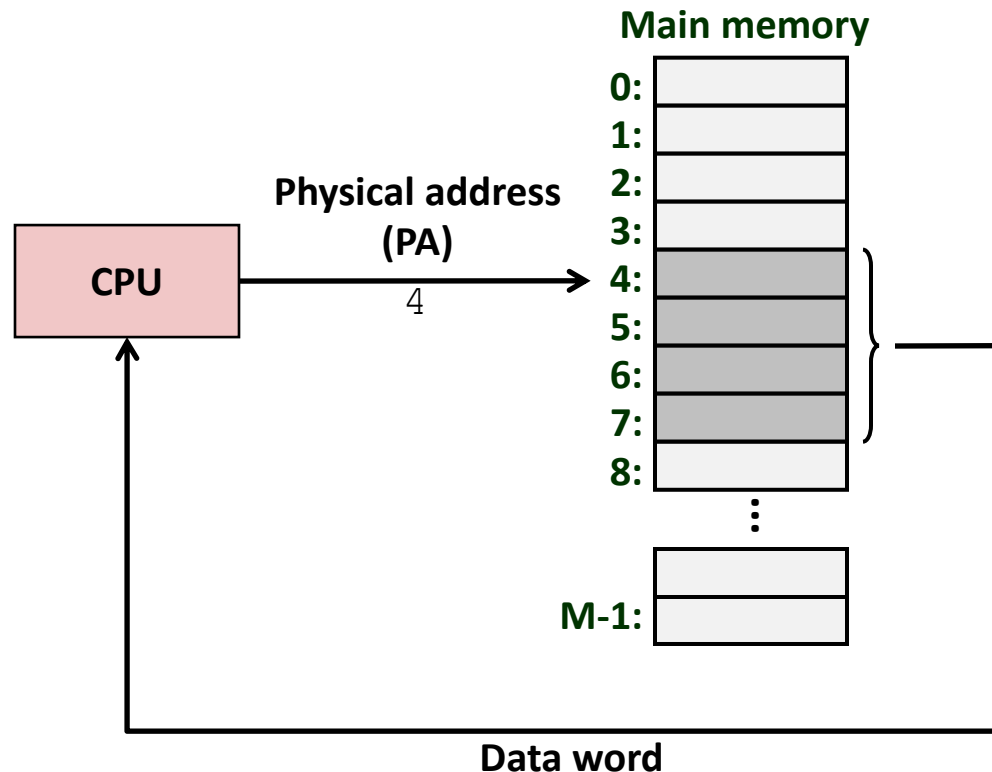


# Today

- **Address spaces**
- VM as a tool for caching
- VM as a tool for memory management
- VM as a tool for memory protection
- Address translation



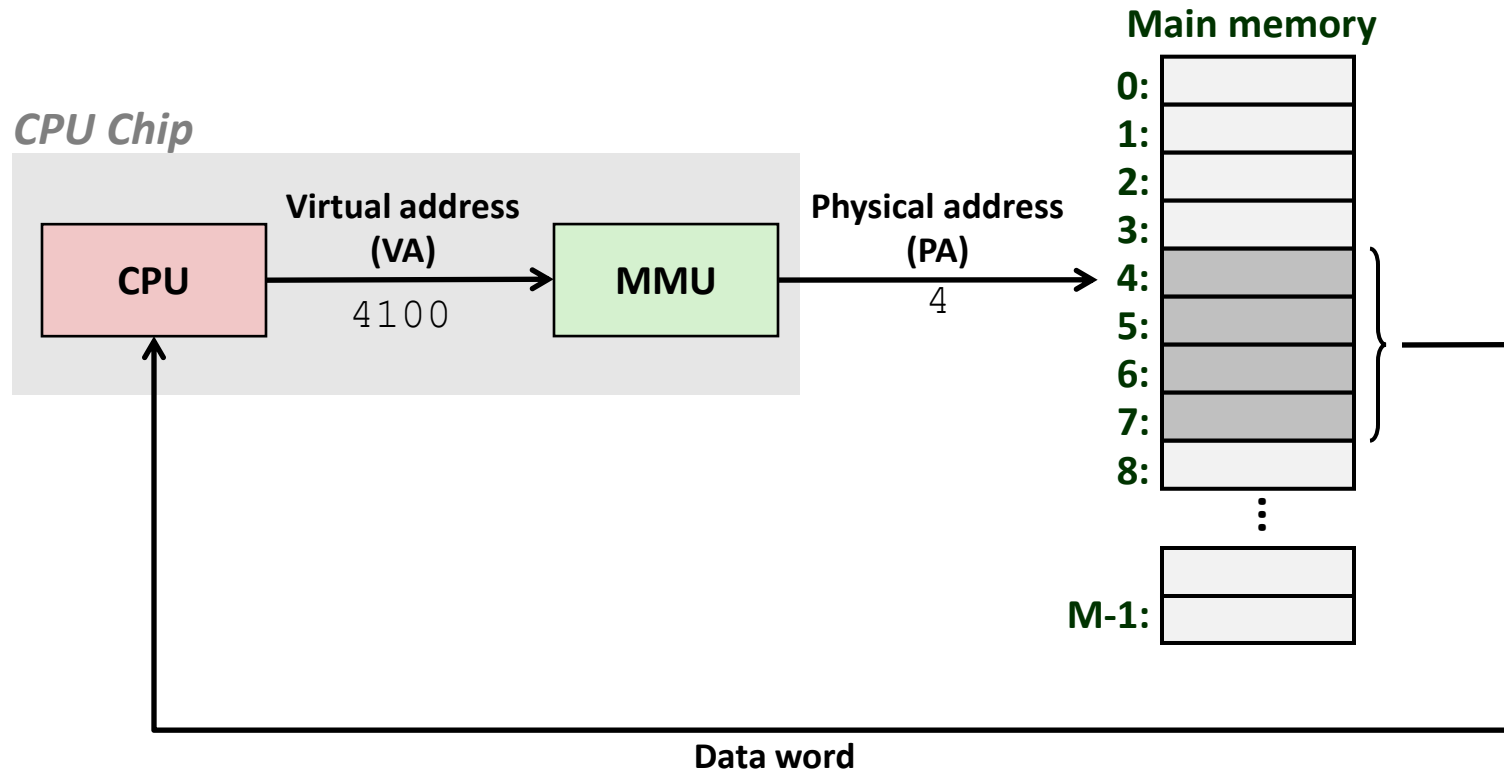
# A System Using Physical Addressing



- Used in “simple” systems like embedded microcontrollers in devices like cars, elevators, and digital picture frames



# A System Using Virtual Addressing



- Used in all modern servers, laptops, and smart phones
- One of the great ideas in computer science

# Address Spaces

- **Linear address space:** Ordered set of contiguous non-negative integer addresses:

$\{0, 1, 2, 3 \dots \}$

- **Virtual address space:** Set of  $N = 2^n$  virtual addresses  
 $\{0, 1, 2, 3, \dots, N-1\}$

- **Physical address space:** Set of  $M = 2^m$  physical addresses  
 $\{0, 1, 2, 3, \dots, M-1\}$

# Why Virtual Memory (VM)?

- **Uses main memory efficiently**
  - Use DRAM as a cache for parts of a virtual address space
- **Simplifies memory management**
  - Each process gets the same uniform linear address space
- **Isolates address spaces**
  - One process can't interfere with another's memory
  - User program cannot access privileged kernel information and code



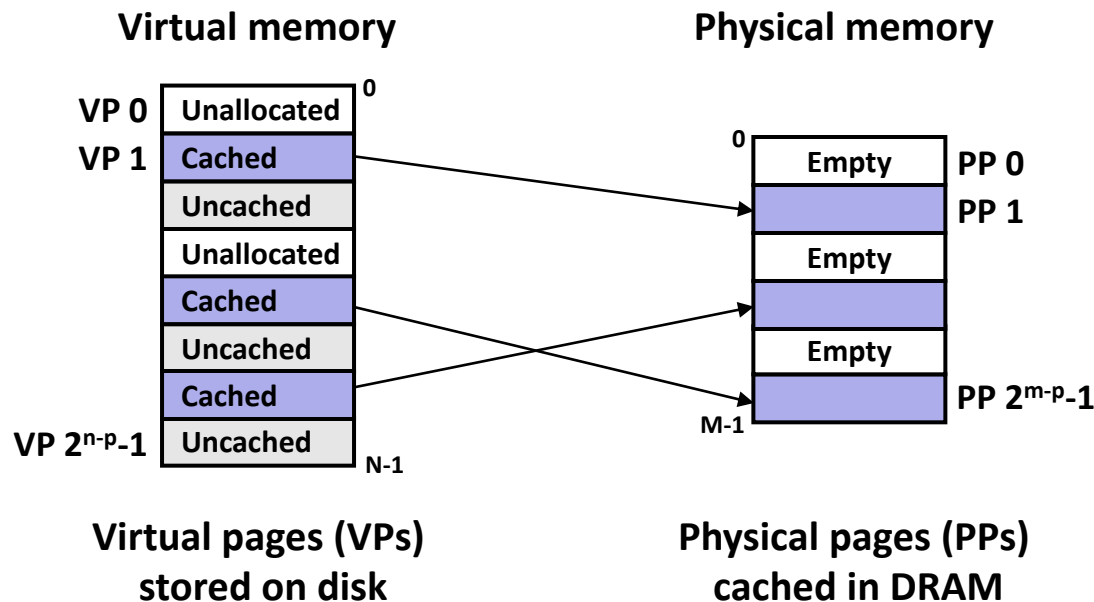
# Today

- Address spaces
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# VM as a Tool for Caching

- Conceptually, **virtual memory** is an array of  $N$  contiguous bytes stored on disk.
- The contents of the array on disk are cached in **physical memory (DRAM cache)**
  - These cache blocks are called *pages* (size is  $P = 2^p$  bytes)



# DRAM Cache Organization

## ■ DRAM cache organization driven by the enormous miss penalty

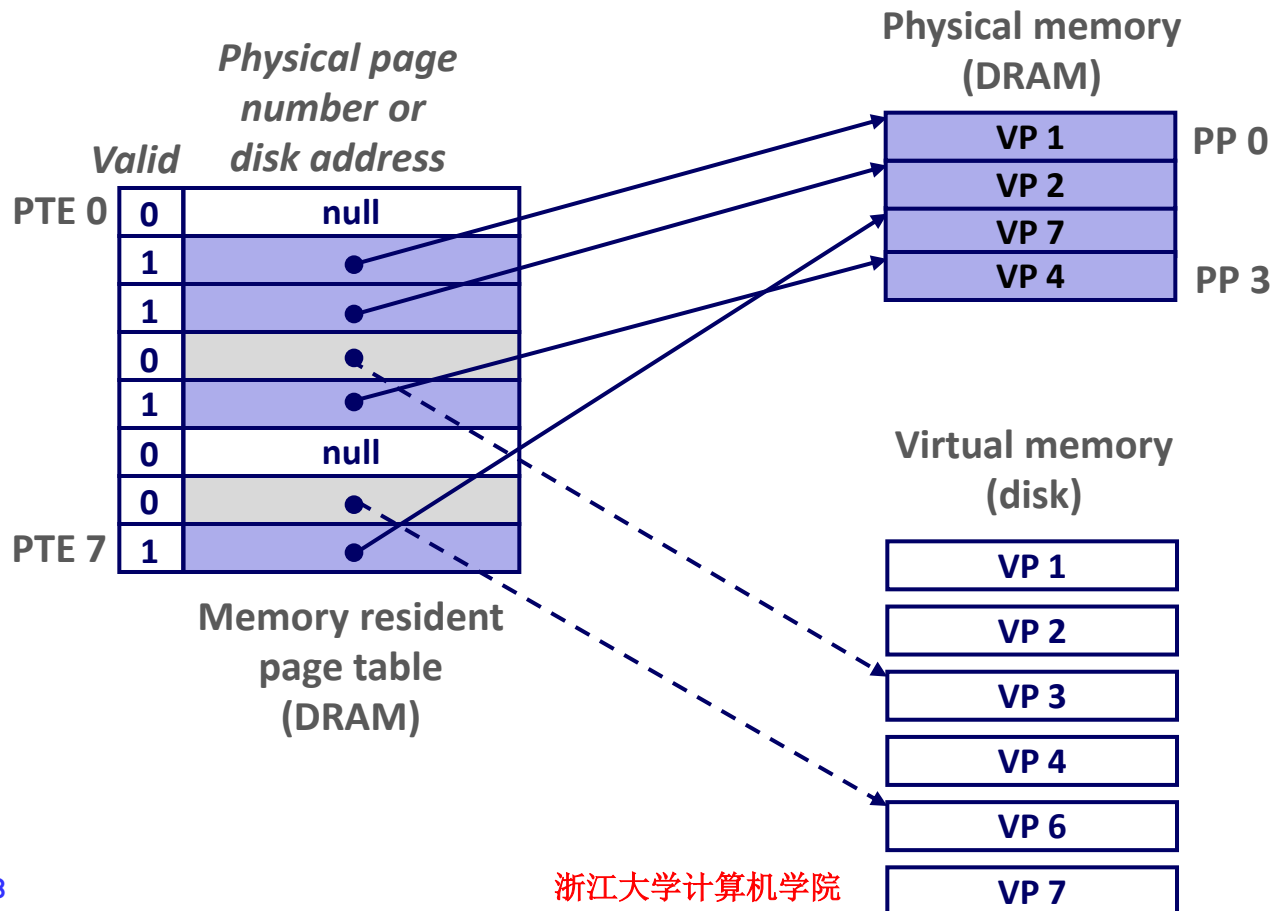
- DRAM is about **10x** slower than SRAM
- Disk is about **10,000x** slower than DRAM

## ■ Consequences

- Large page (block) size: typically 4 KB, sometimes 4 MB
- Fully associative
  - Any VP can be placed in any PP
  - Requires a “large” mapping function – different from cache memories
- Highly sophisticated, expensive replacement algorithms
  - Too complicated and open-ended to be implemented in hardware
- Write-back rather than write-through

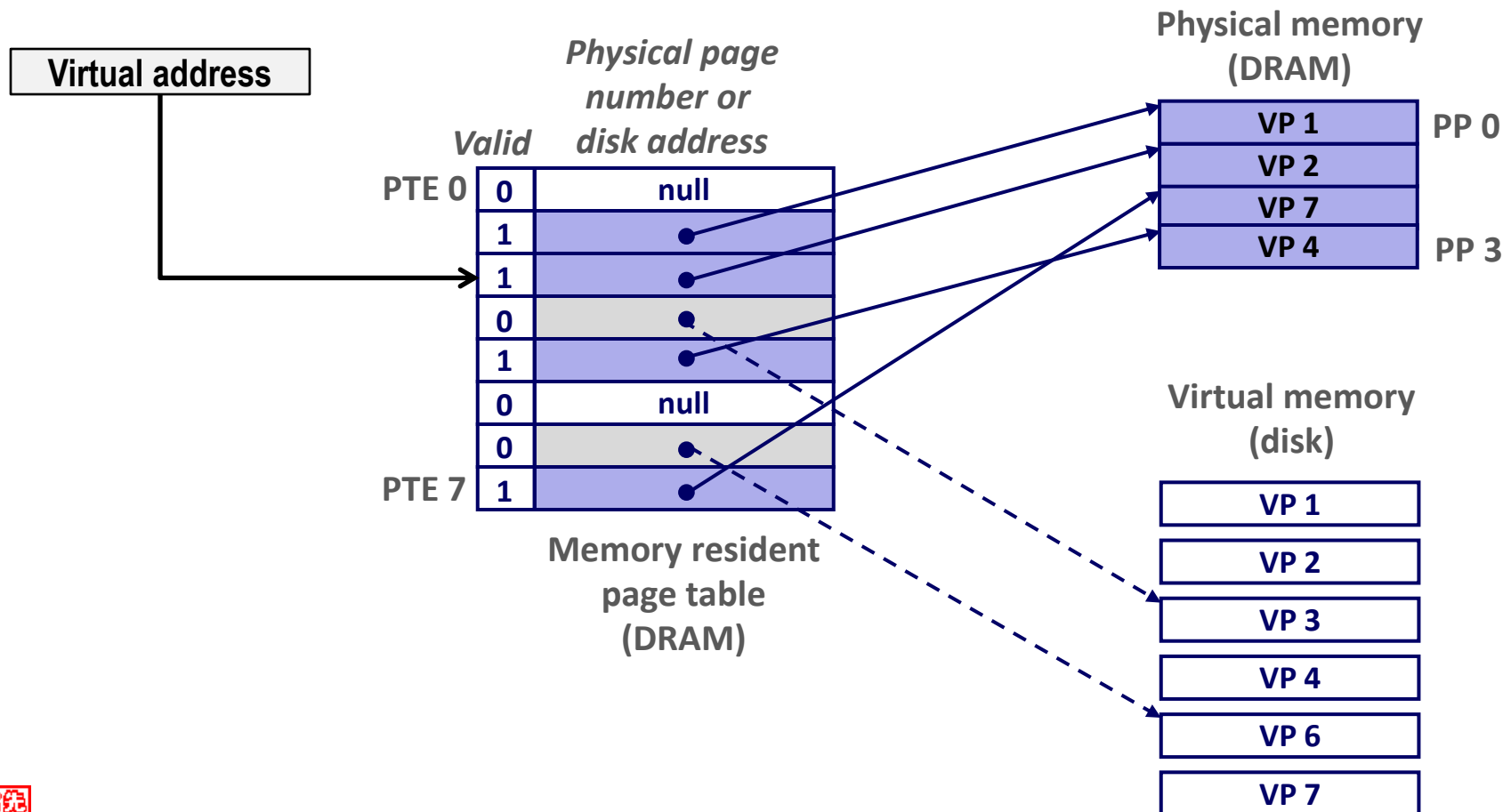
# Enabling Data Structure: Page Table

- A **page table** is an array of page table entries (PTEs) that maps virtual pages to physical pages.
  - Per-process kernel data structure in DRAM



# Page Hit

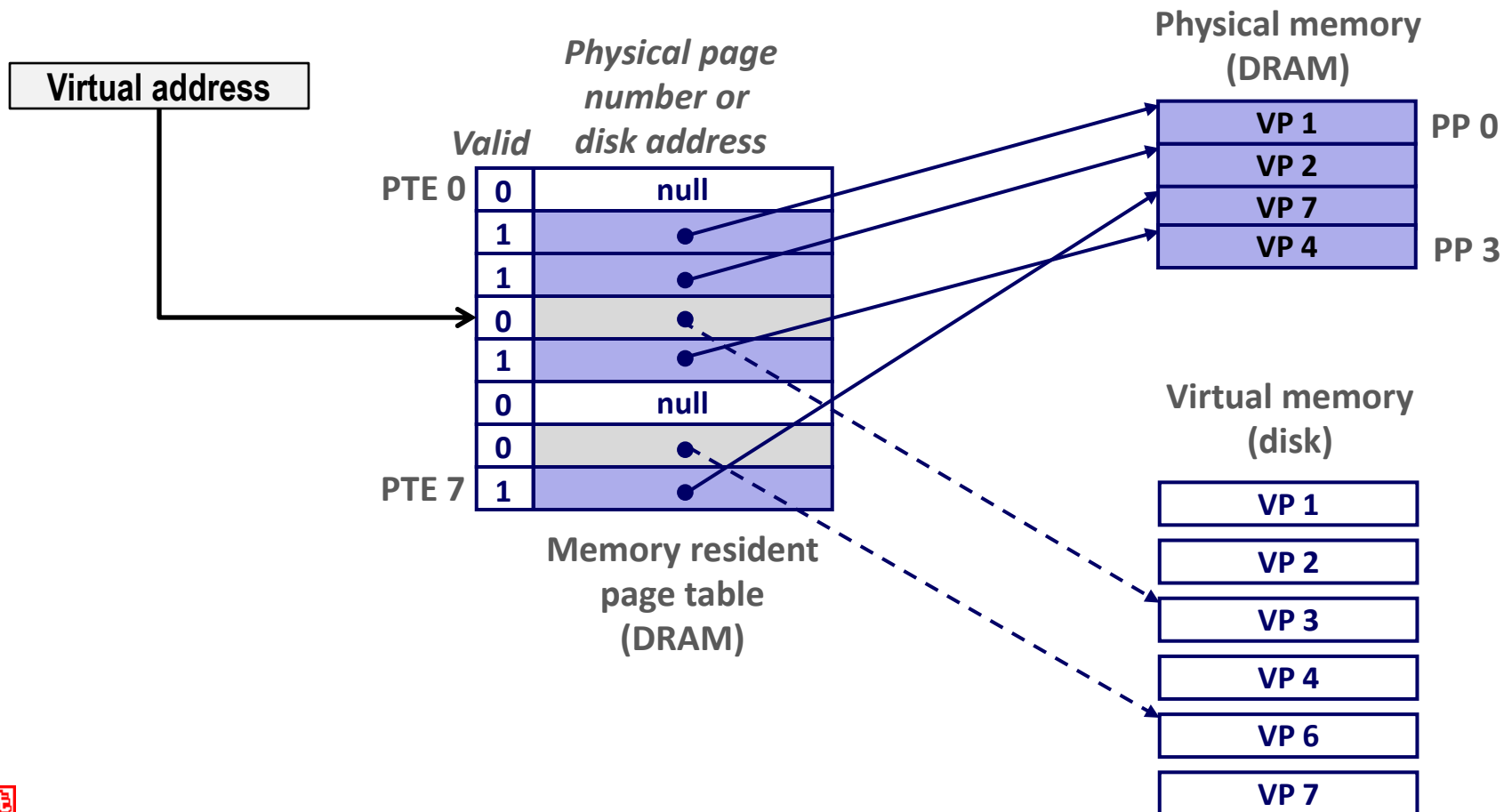
- **Page hit:** reference to VM word that is in physical memory (DRAM cache hit)





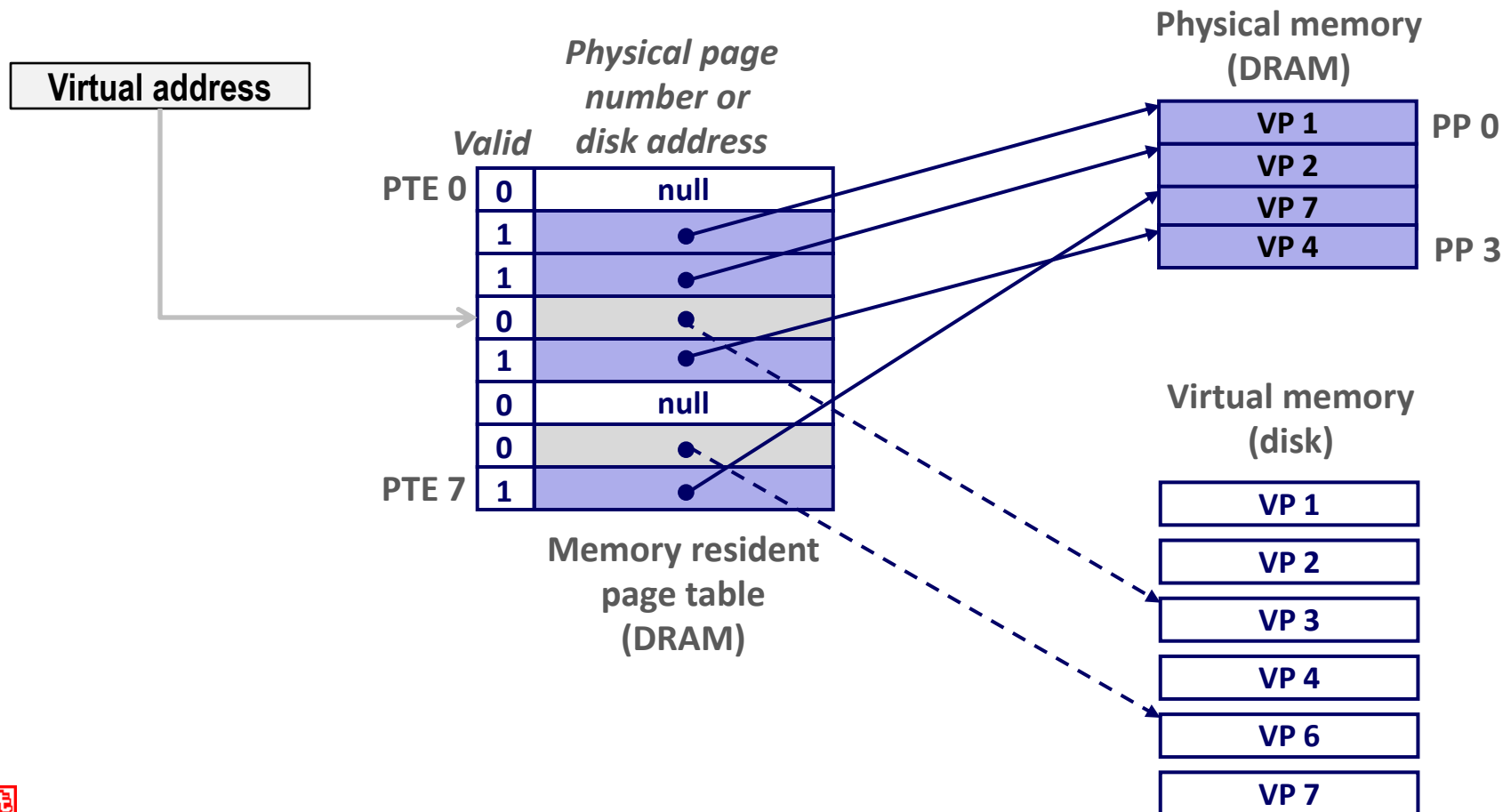
# Page Fault

- **Page fault:** reference to VM word that is not in physical memory (DRAM cache miss)



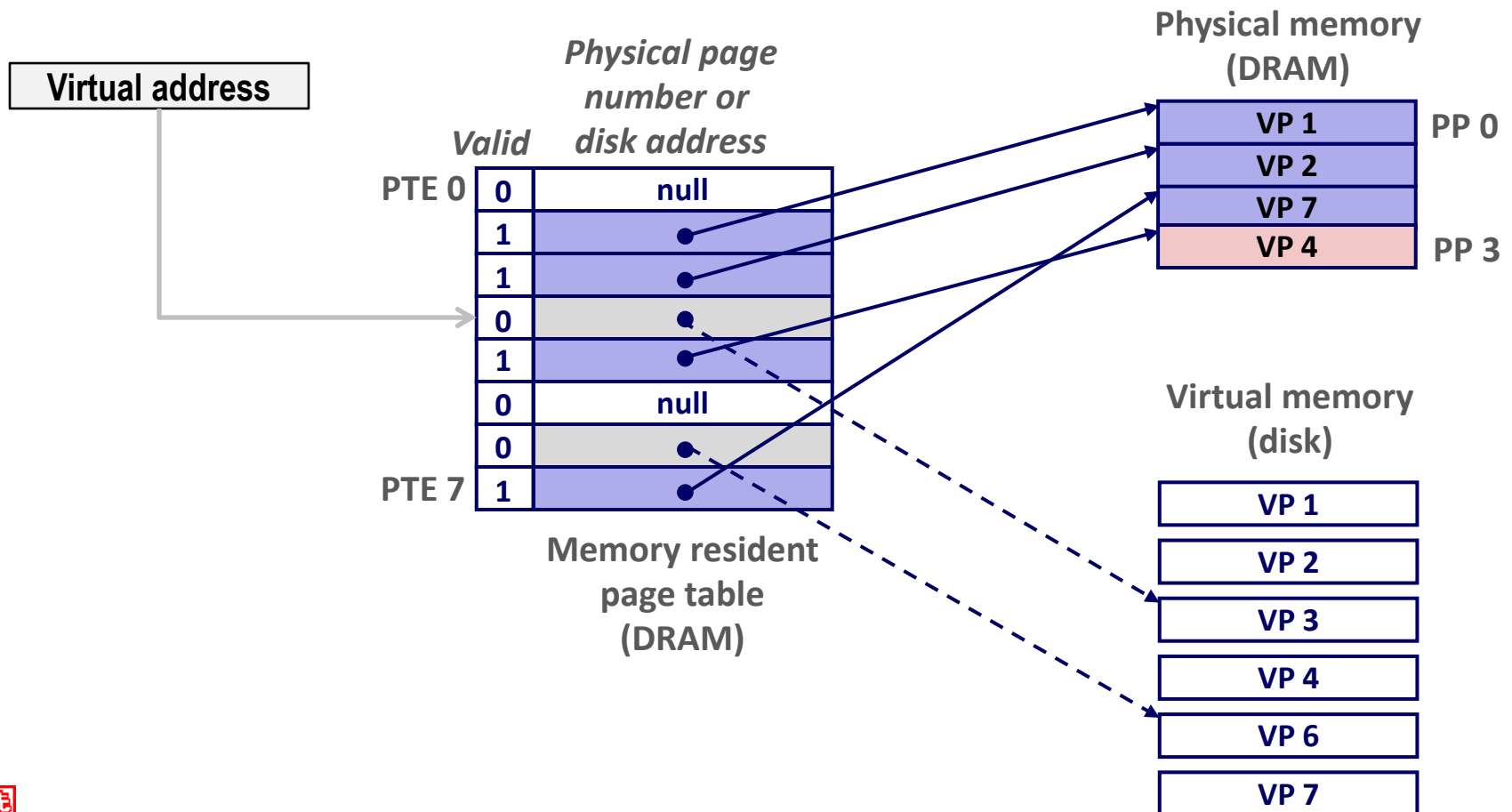
# Handling Page Fault

- Page miss causes page fault (an exception)



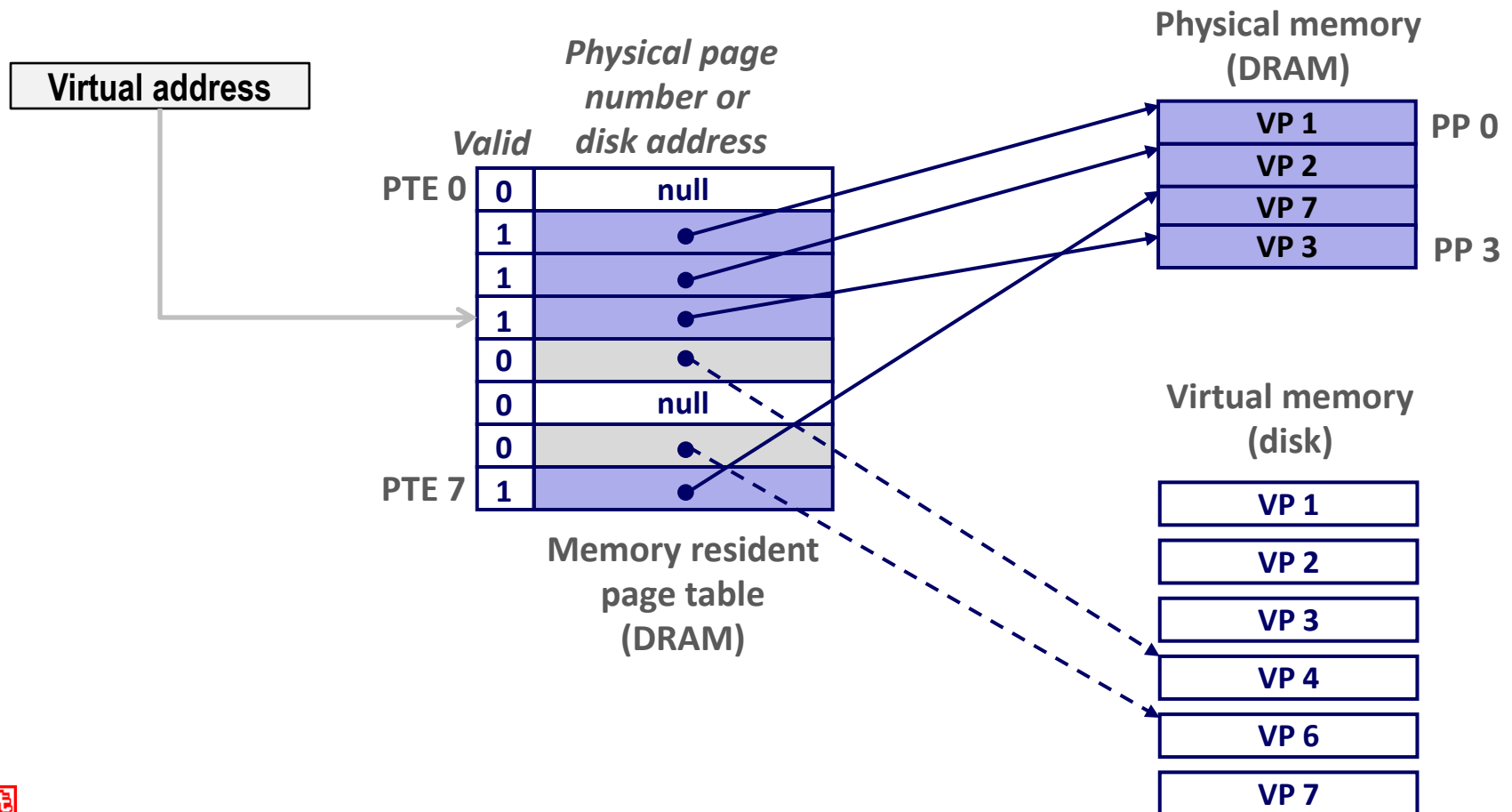
# Handling Page Fault

- Page miss causes page fault (an exception)
- Page fault handler selects a victim to be evicted (here VP 4)



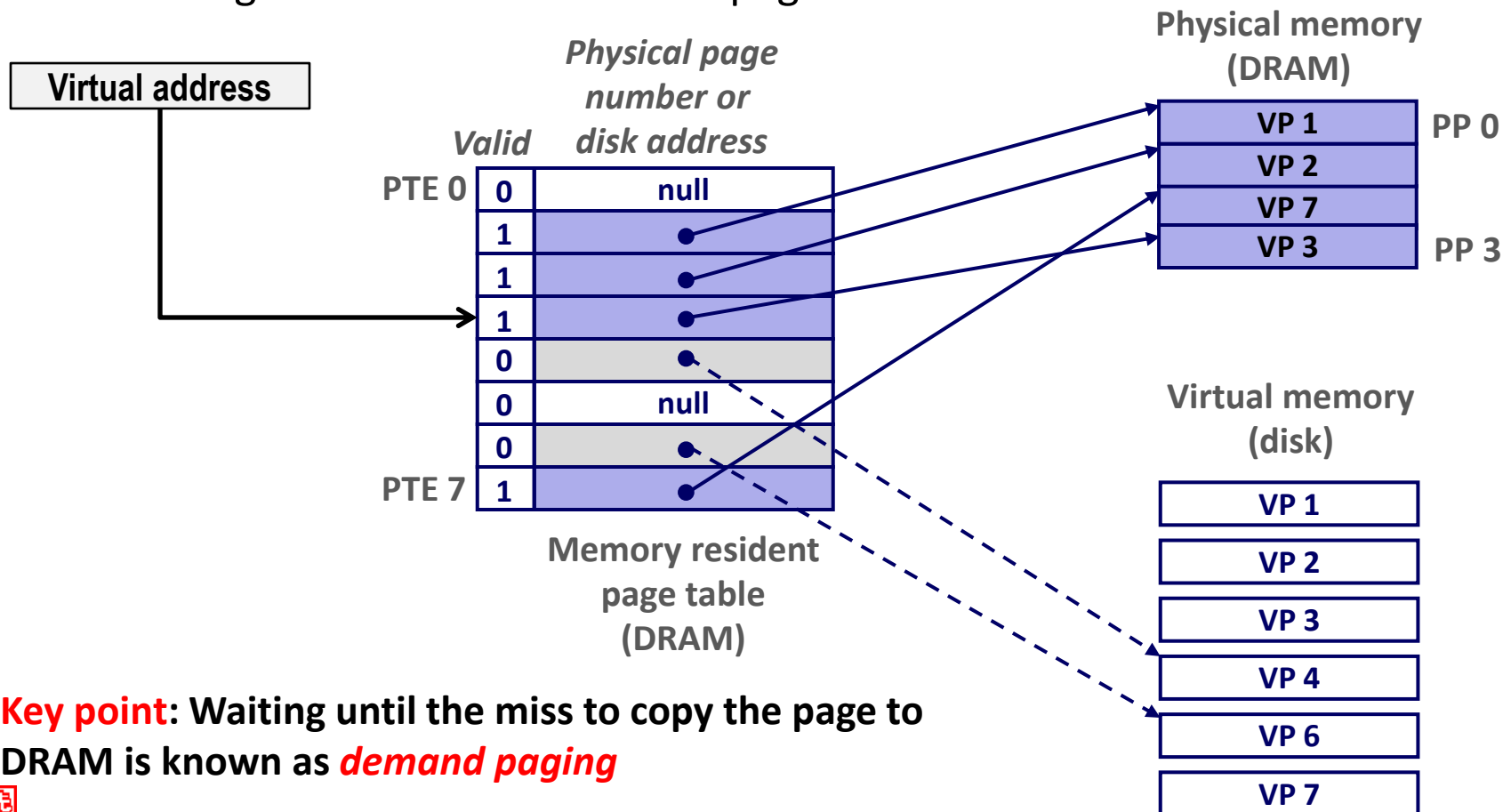
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- Page miss causes page fault (an exception)
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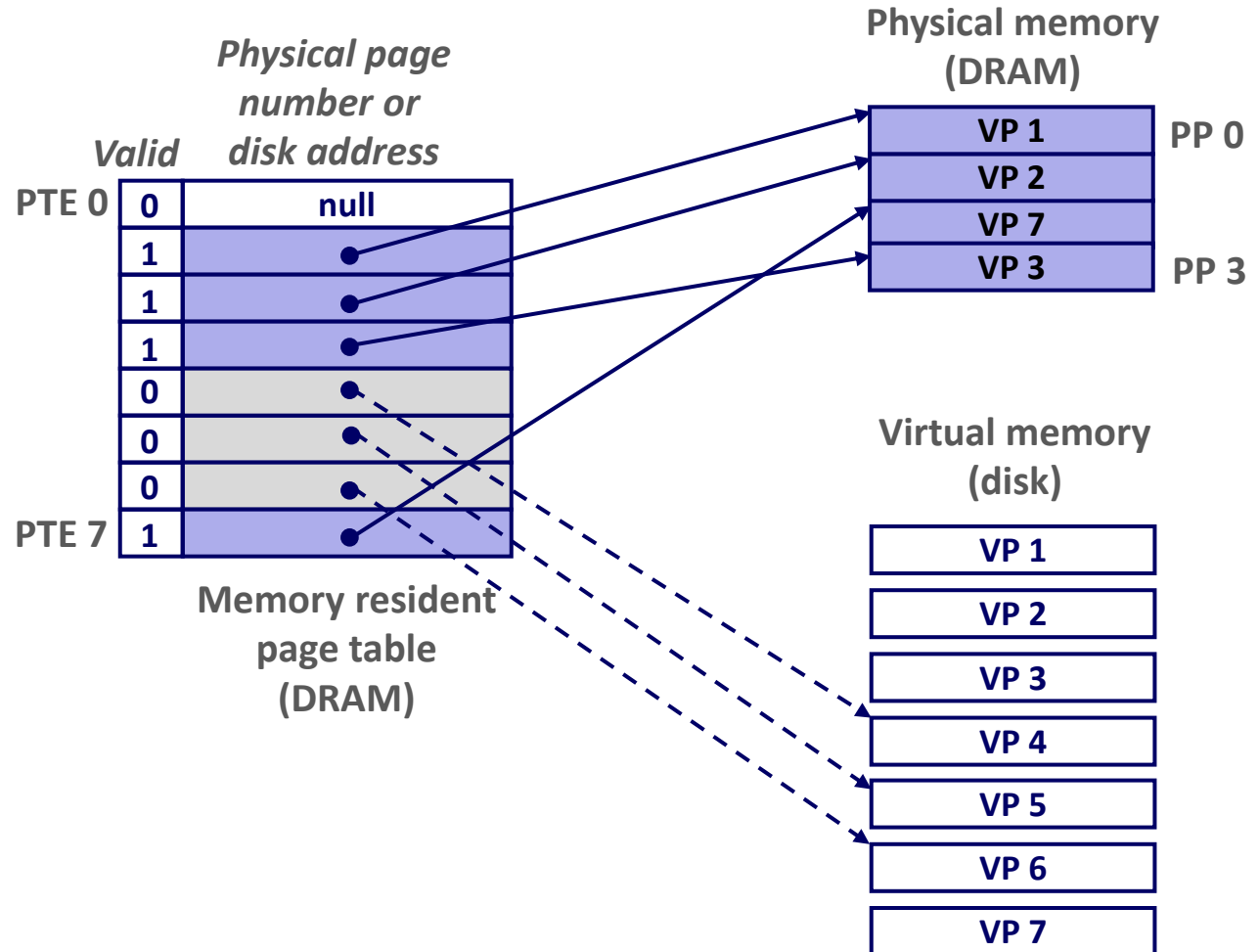
# Handling Page Fault

- Page miss causes page fault (an exception)
- Page fault handler selects a victim to be evicted (here VP 4)
- Offending instruction is restarted: page hit!



# Allocating Pages

- Allocating a new page (VP 5) of virtual memory.





# Locality to the Rescue Again!

- Virtual memory seems terribly inefficient, but it works because of locality.
- At any point in time, programs tend to access a set of active virtual pages called the *working set*
  - Programs with better temporal locality will have smaller working sets
- If (working set size < main memory size)
  - Good performance for one process after compulsory misses
- If ( SUM(working set sizes) > main memory size )
  - *Thrashing*: Performance meltdown where pages are swapped (copied) in and out continuously





# Today

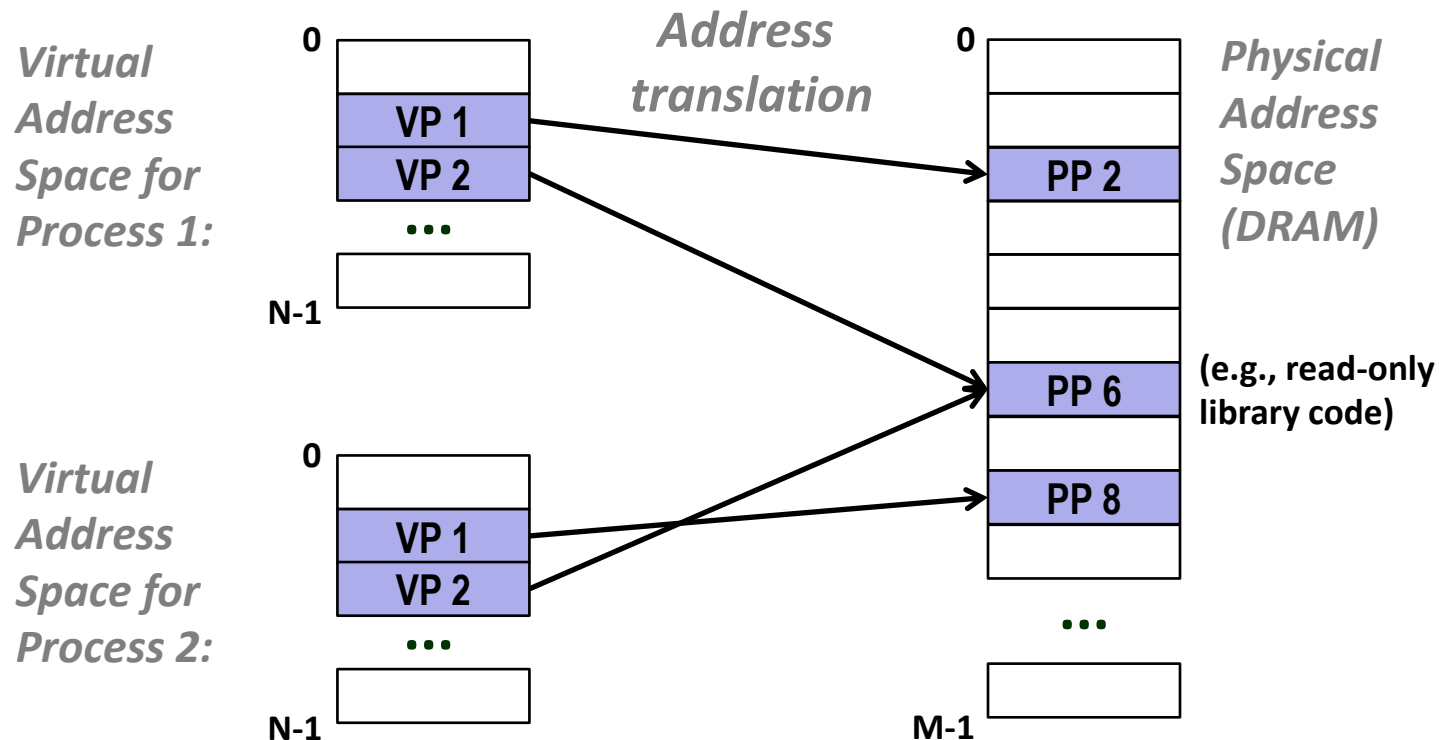
- Address spaces
- VM as a tool for caching
- **VM as a tool for memory management**
- VM as a tool for memory protection
- Address translation





# VM as a Tool for Memory Management

- **Key idea: each process has its own virtual address space**
  - It can view memory as a simple linear array
  - Mapping function scatters addresses through physical memory
    - Well-chosen mappings can improve locality



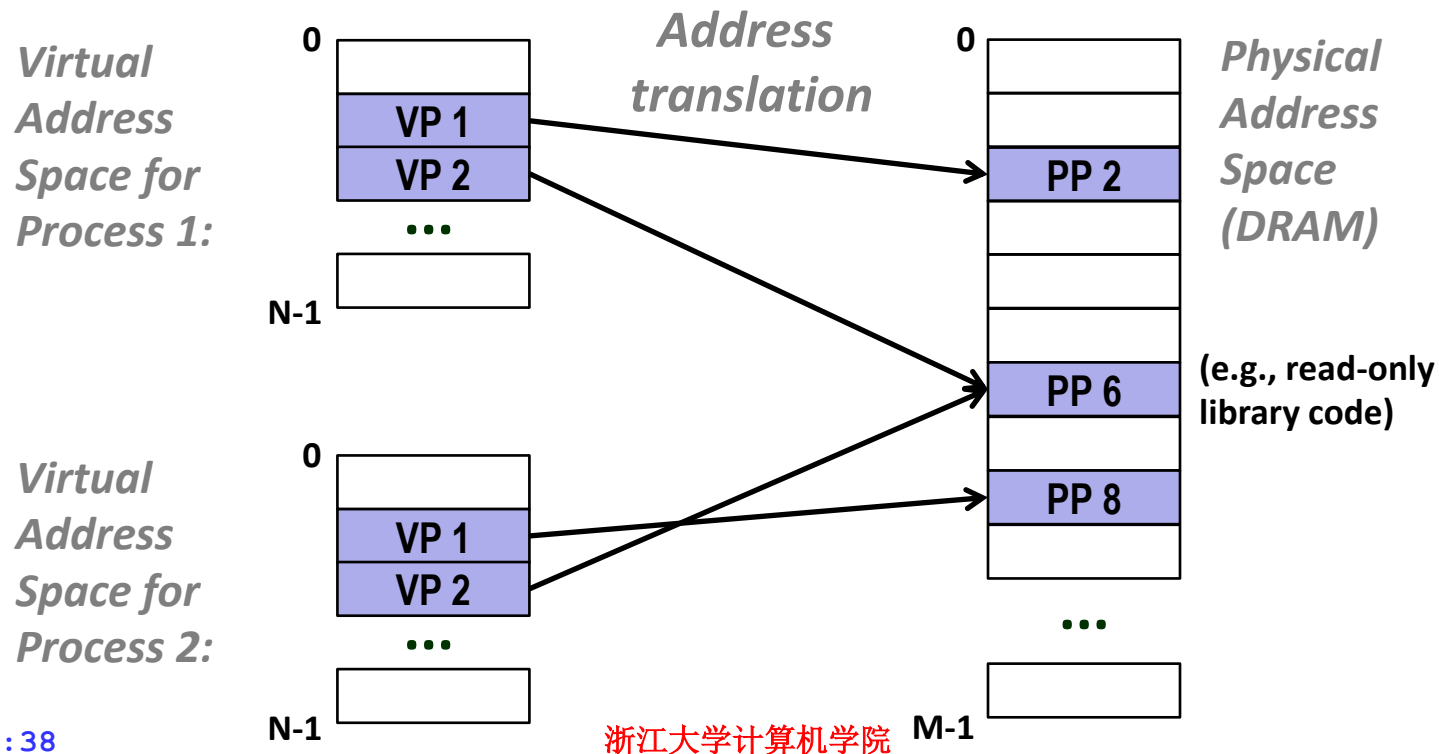
# VM as a Tool for Memory Management

## ■ Simplifying memory allocation

- Each virtual page can be mapped to any physical page
- A virtual page can be stored in different physical pages at different times

## ■ Sharing code and data among processes

- Map virtual pages to the same physical page (here: PP 6)



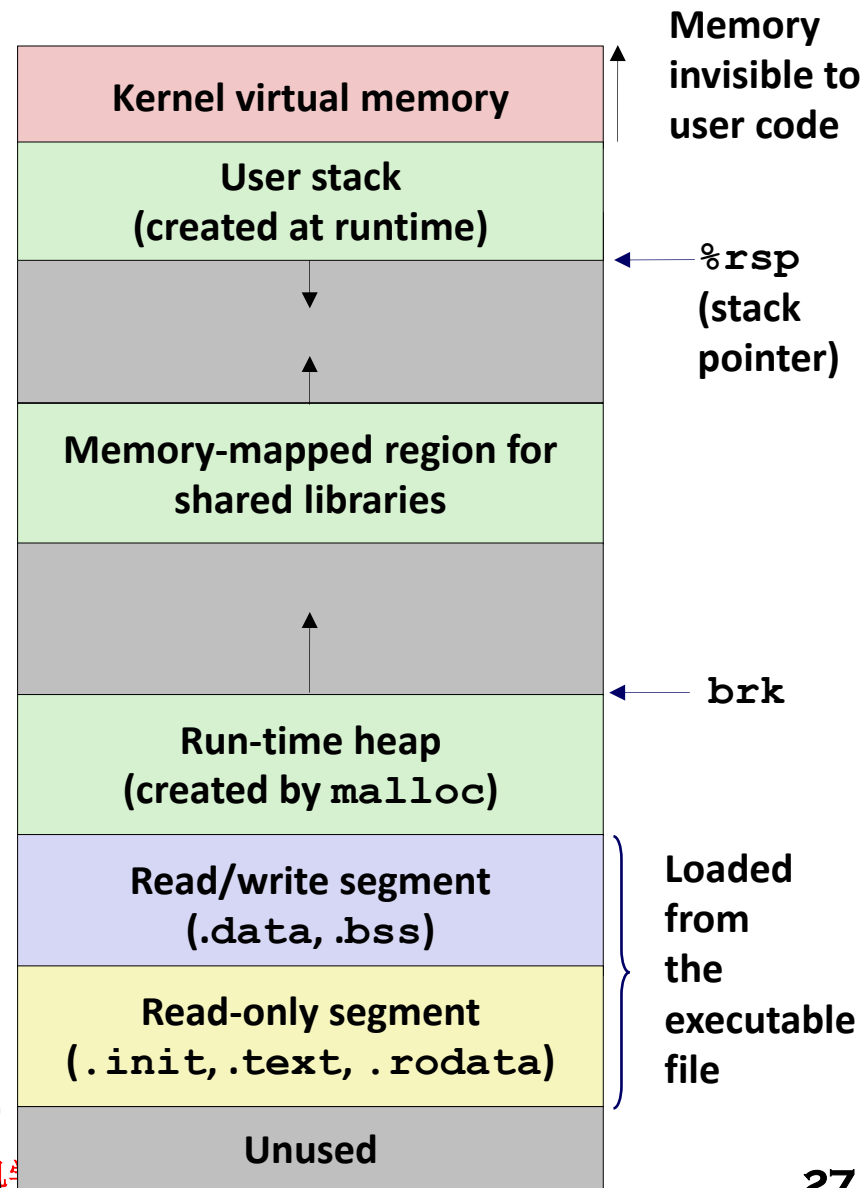
# Simplifying Linking and Loading

## ■ Linking

- Each program has similar virtual address space
- Code, data, and heap always start at the same addresses.

## ■ Loading

- **execve** allocates virtual pages for `.text` and `.data` sections & creates PTEs marked as invalid
- The `.text` and `.data` sections are copied, page by page, on demand by the virtual memory system





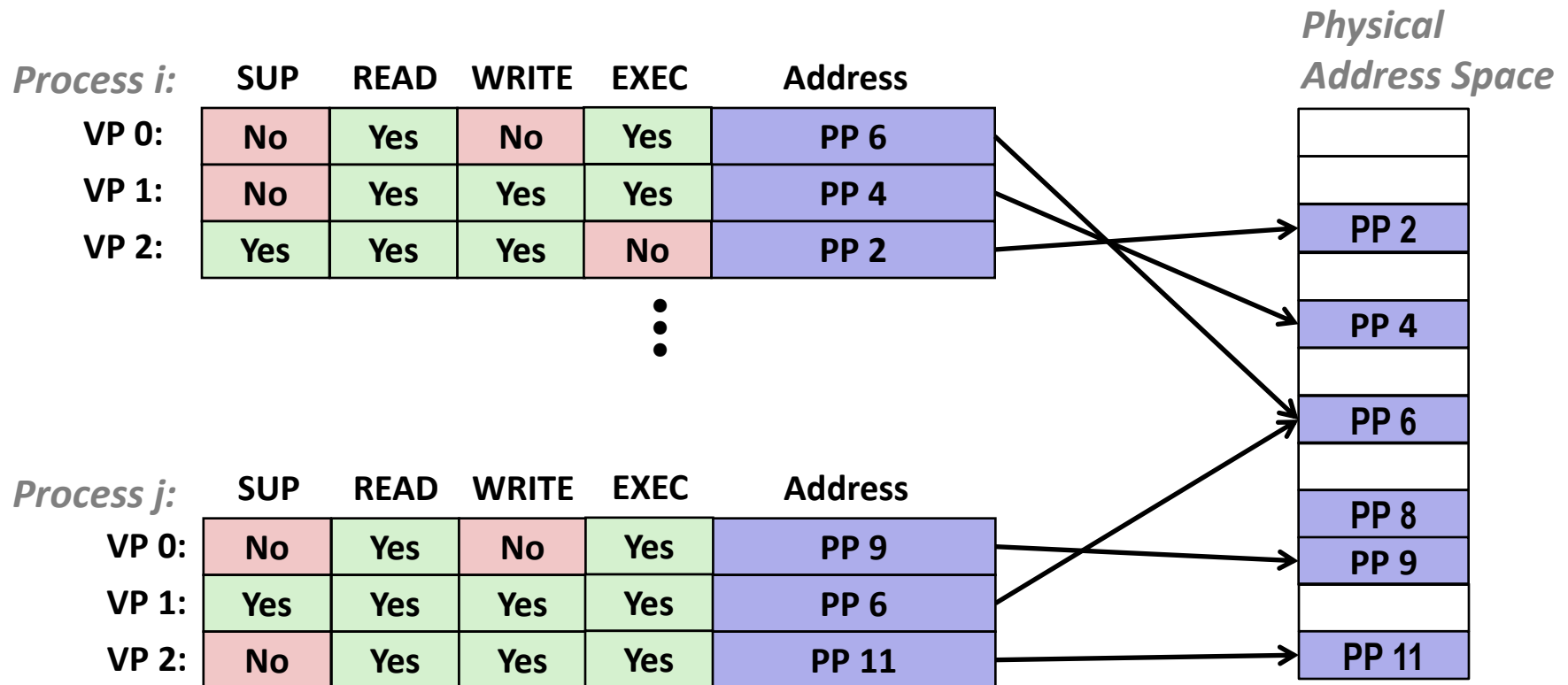
# Today

- Address spaces
- VM as a tool for caching
- VM as a tool for memory management
- **VM as a tool for memory protection**
- Address translation



# VM as a Tool for Memory Protection

- Extend PTEs with permission bits
- MMU checks these bits on each access





# Today

- Address spaces
- VM as a tool for caching
- VM as a tool for memory management
- VM as a tool for memory protection
- **Address translation**



# VM Address Translation

## ■ Virtual Address Space

- $V = \{0, 1, \dots, N-1\}$

## ■ Physical Address Space

- $P = \{0, 1, \dots, M-1\}$

## ■ Address Translation

- $MAP: V \rightarrow P \cup \{\emptyset\}$

- For virtual address  $a$ :

- $MAP(a) = a'$  if data at virtual address  $a$  is at physical address  $a'$  in  $P$
- $MAP(a) = \emptyset$  if data at virtual address  $a$  is not in physical memory
  - Either invalid or stored on disk

# Summary of Address Translation Symbols

## ■ Basic Parameters

- $N = 2^n$  : Number of addresses in virtual address space
- $M = 2^m$  : Number of addresses in physical address space
- $P = 2^p$  : Page size (bytes)

## ■ Components of the virtual address (VA)

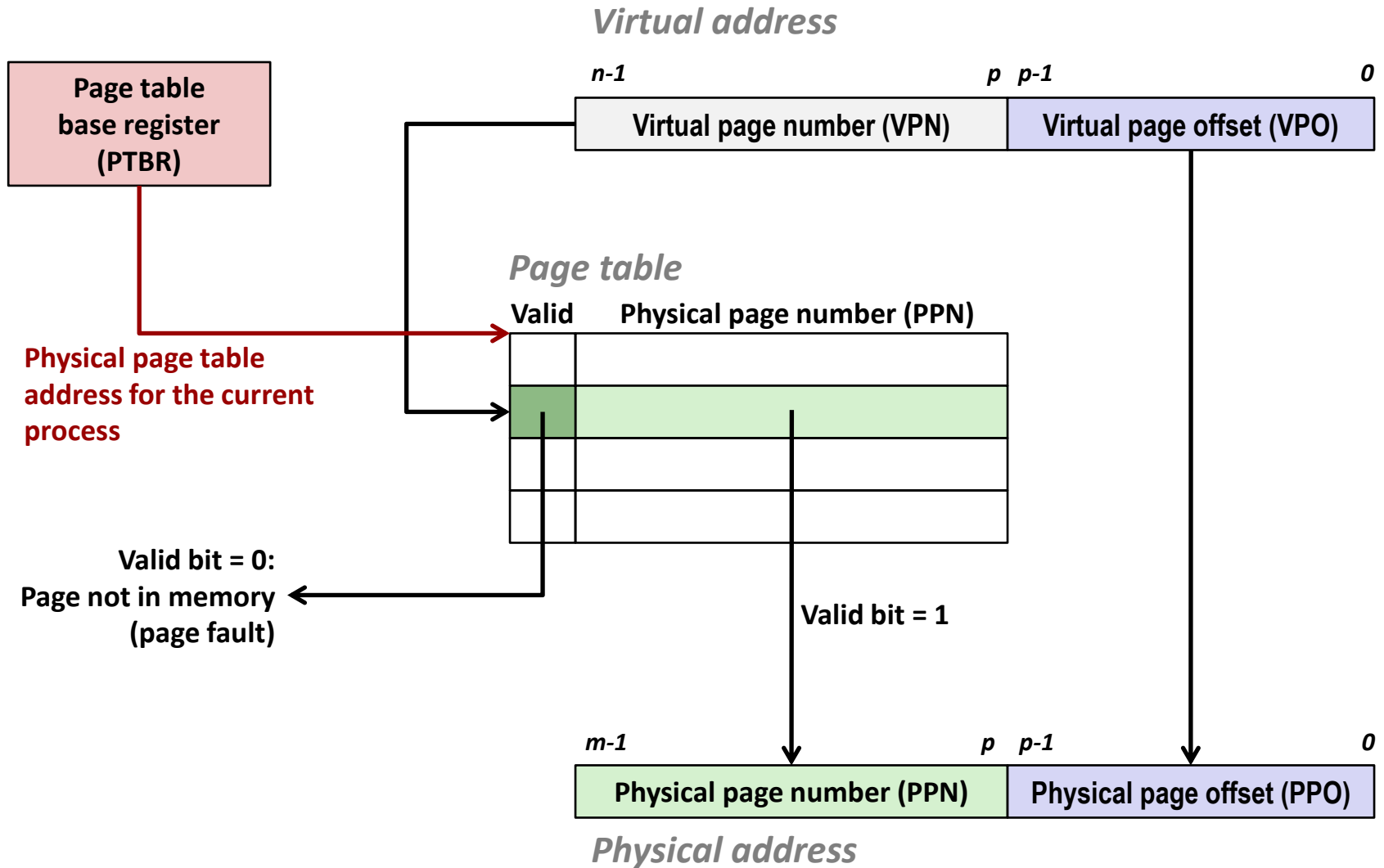
- TLBI: TLB index
- TLBT: TLB tag
- VPO: Virtual page offset
- VPN: Virtual page number

## ■ Components of the physical address (PA)

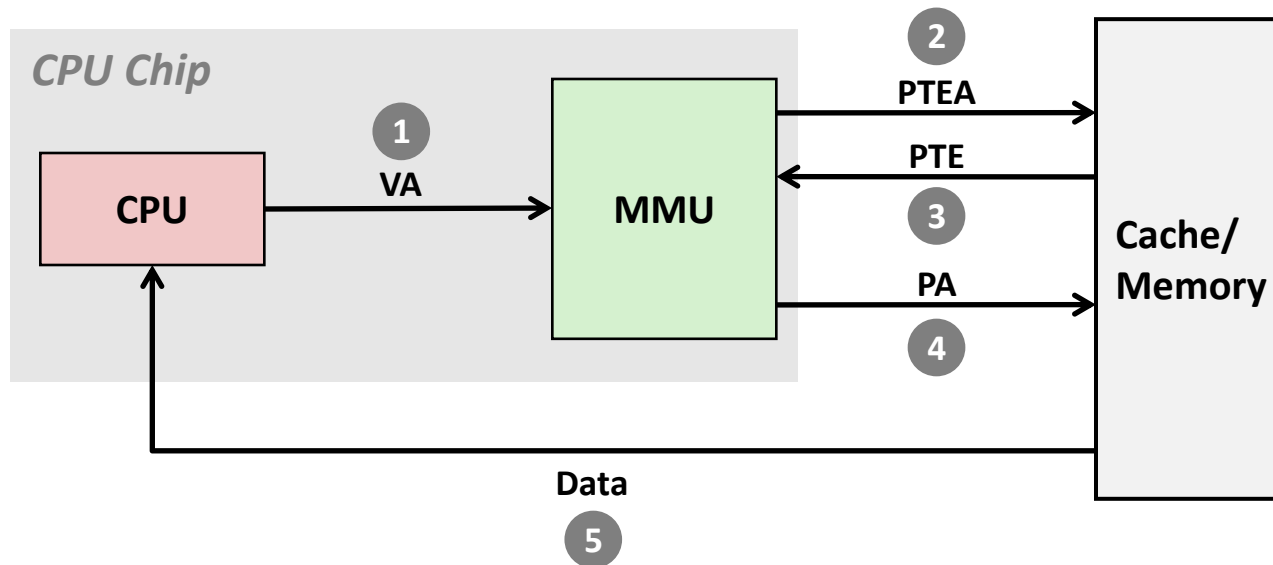
- PPO: Physical page offset (same as VPO)
- PPN: Physical page number



# Address Translation With a Page Table

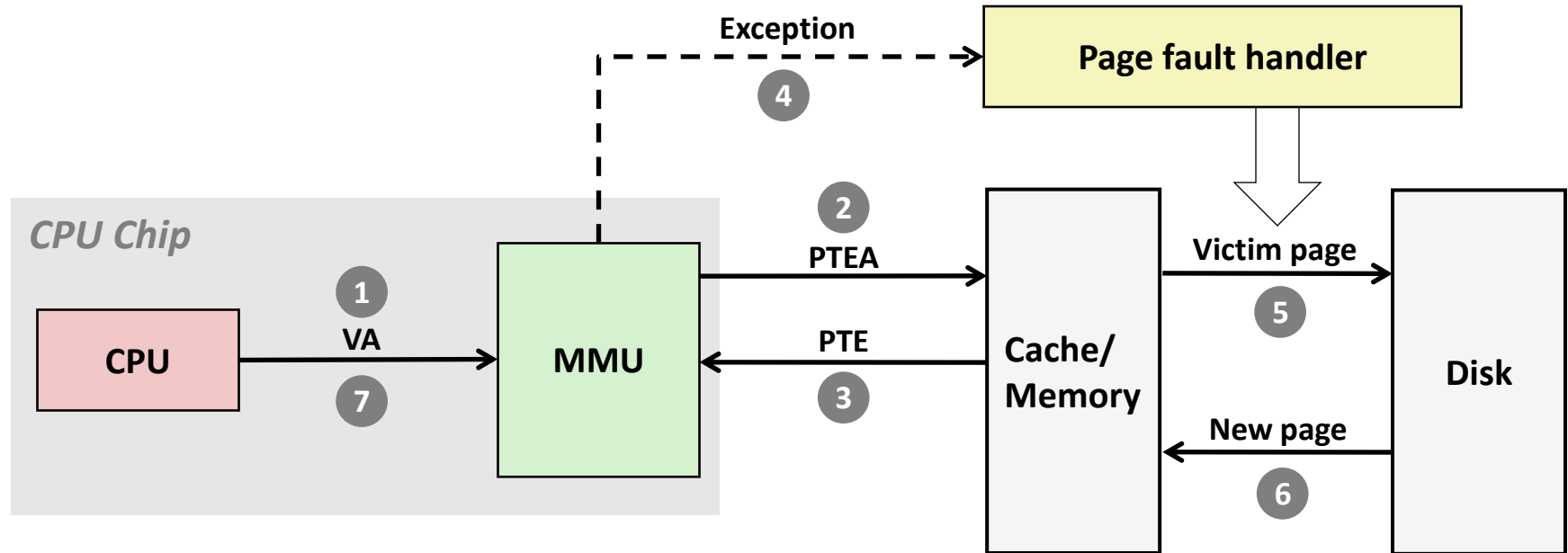


# Address Translation: Page Hit



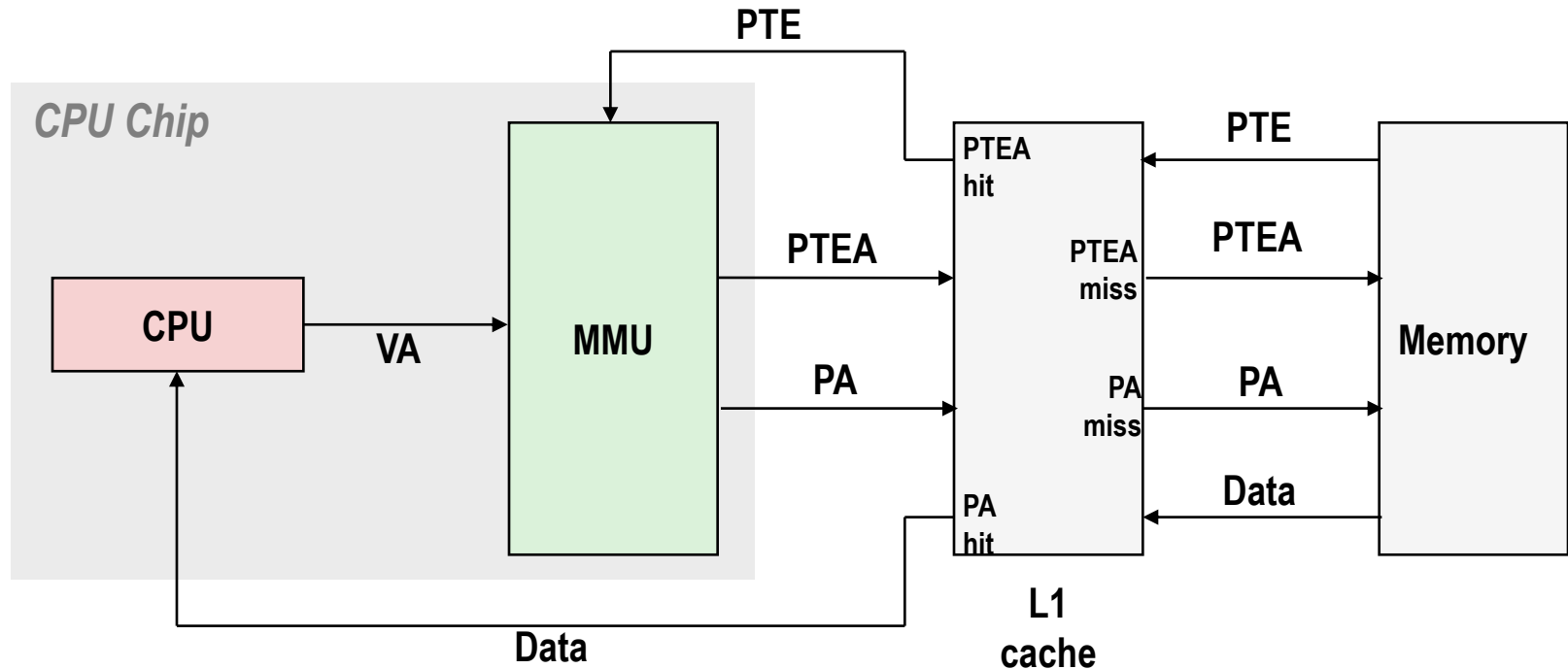
- 1) Processor sends virtual address to MMU
- 2-3) MMU fetches PTE from page table in memory
- 4) MMU sends physical address to cache/memory
- 5) Cache/memory sends data word to processor

# Address Translation: Page Fault



- 1) Processor sends virtual address to MMU
- 2-3) MMU fetches PTE from page table in memory
- 4) Valid bit is zero, so MMU triggers page fault exception
- 5) Handler identifies victim (and, if dirty, pages it out to disk)
- 6) Handler pages in new page and updates PTE in memory
- 7) Handler returns to original process, restarting faulting instruction

# Integrating VM and Cache



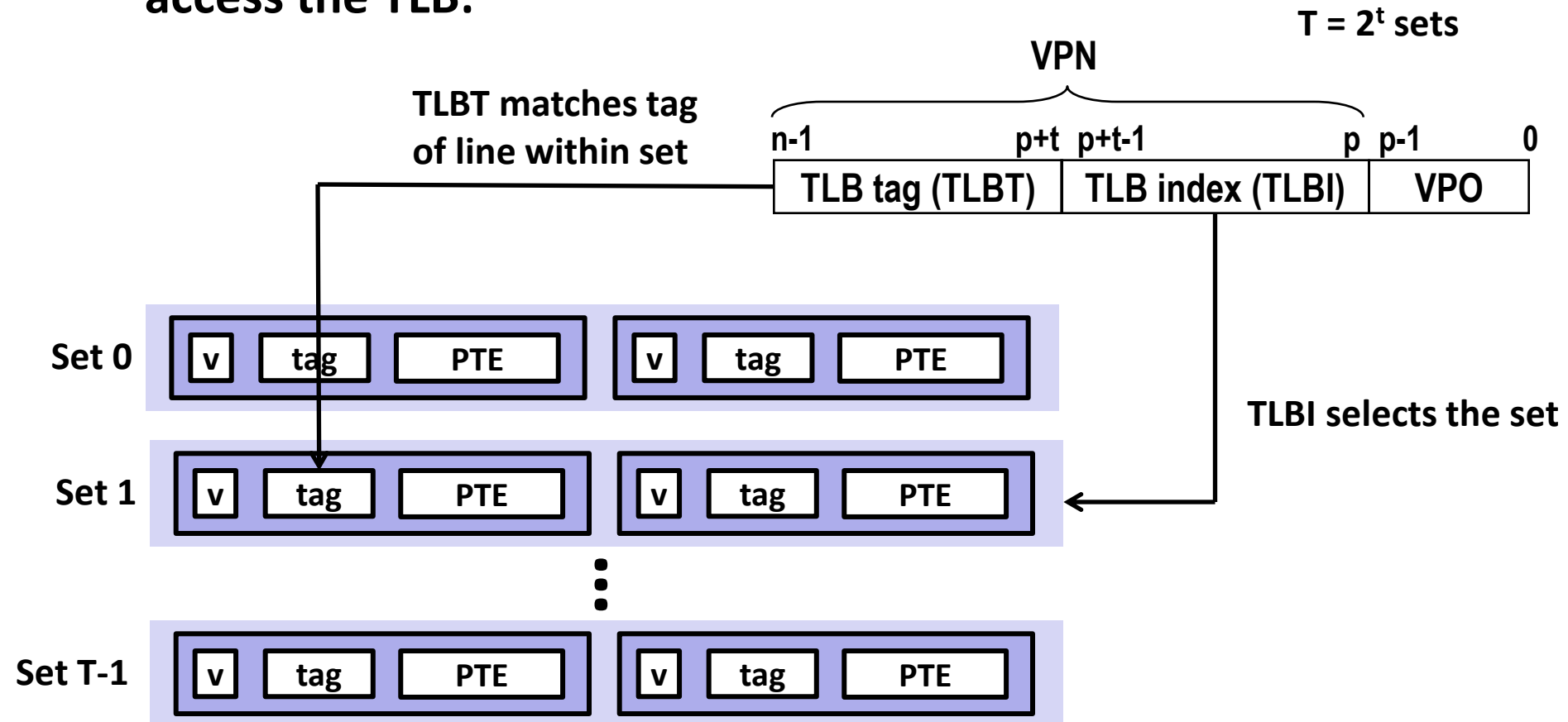
**VA: virtual address, PA: physical address, PTE: page table entry, PTEA = PTE address**

# Speeding up Translation with a TLB

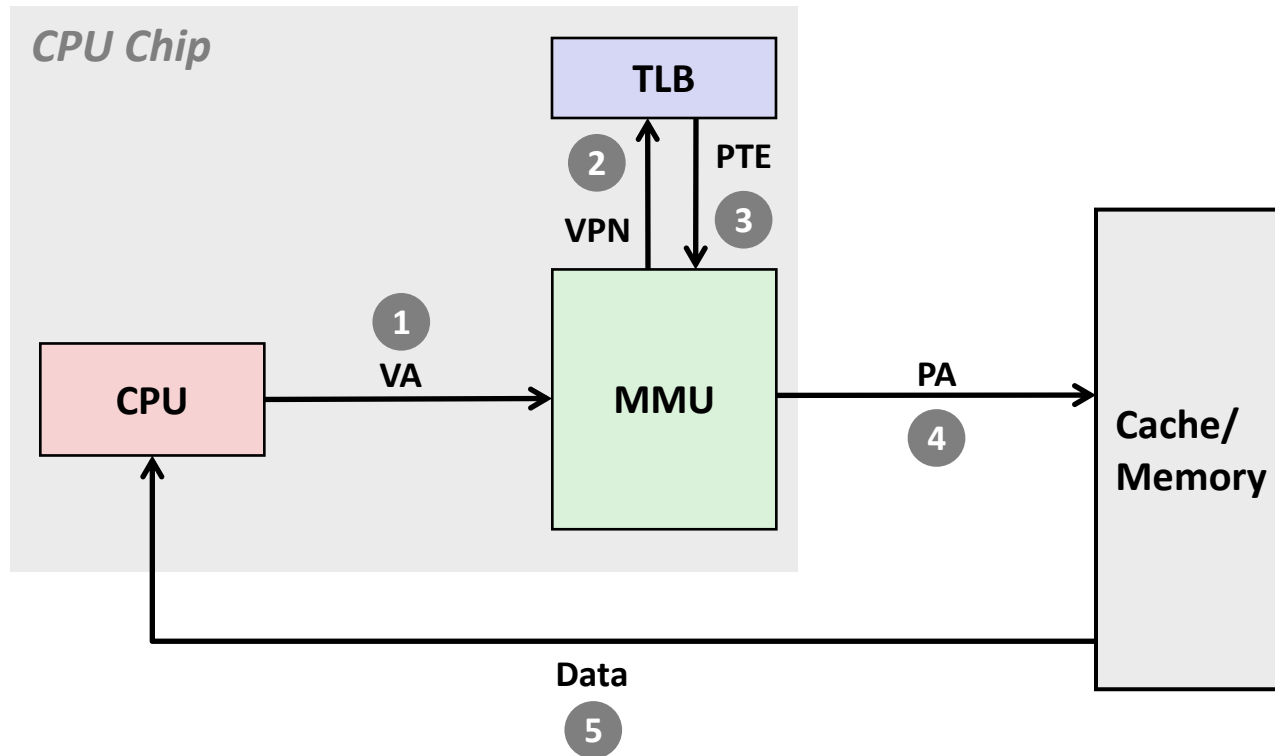
- Page table entries (PTEs) are cached in L1 like any other memory word
  - PTEs may be evicted by other data references
  - PTE hit still requires a small L1 delay
- Solution: *Translation Lookaside Buffer* (TLB)
  - Small set-associative hardware cache in MMU
  - Maps virtual page numbers to physical page numbers
  - Contains complete page table entries for small number of pages

## Accessing the TLB

- MMU uses the VPN portion of the virtual address to access the TLB:

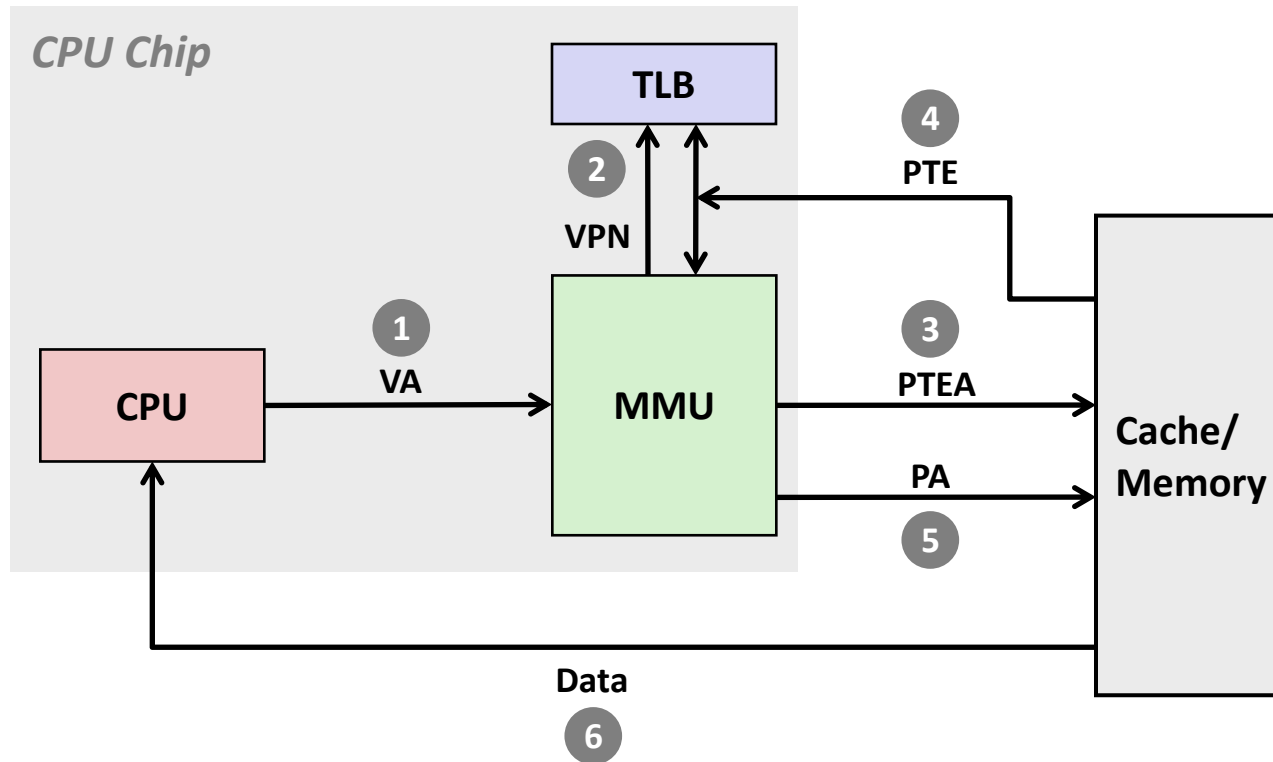


# TLB Hit



A TLB hit eliminates a memory access

# TLB Miss



**A TLB miss incurs an additional memory access (the PTE)**

Fortunately, TLB misses are rare. Why?



# Multi-Level Page Tables

## ■ Suppose:

- 4KB ( $2^{12}$ ) page size, 48-bit address space, 8-byte PTE

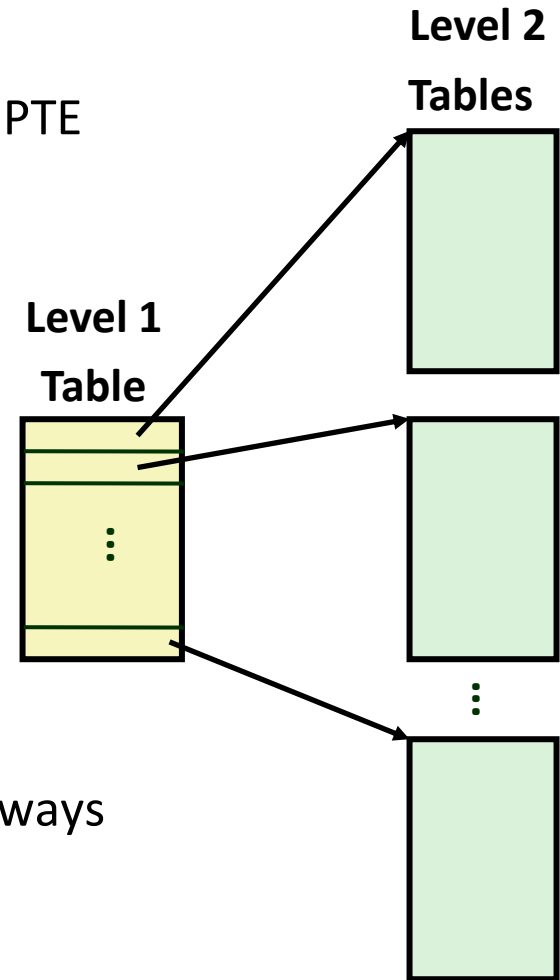
## ■ Problem:

- Would need a 512 GB page table!
  - $2^{48} * 2^{-12} * 2^3 = 2^{39}$  bytes

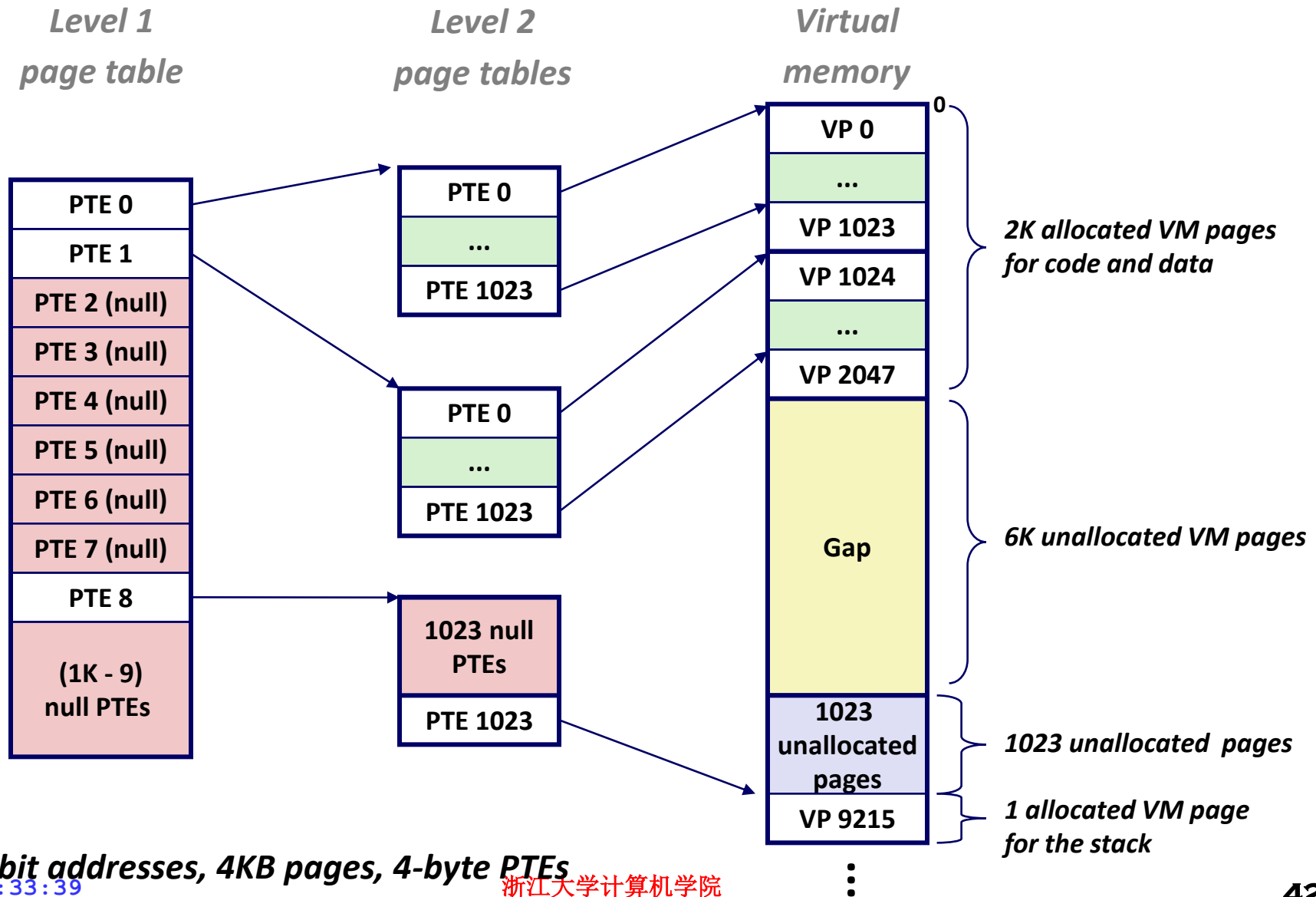
## ■ Common solution: Multi-level page table

## ■ Example: 2-level page table

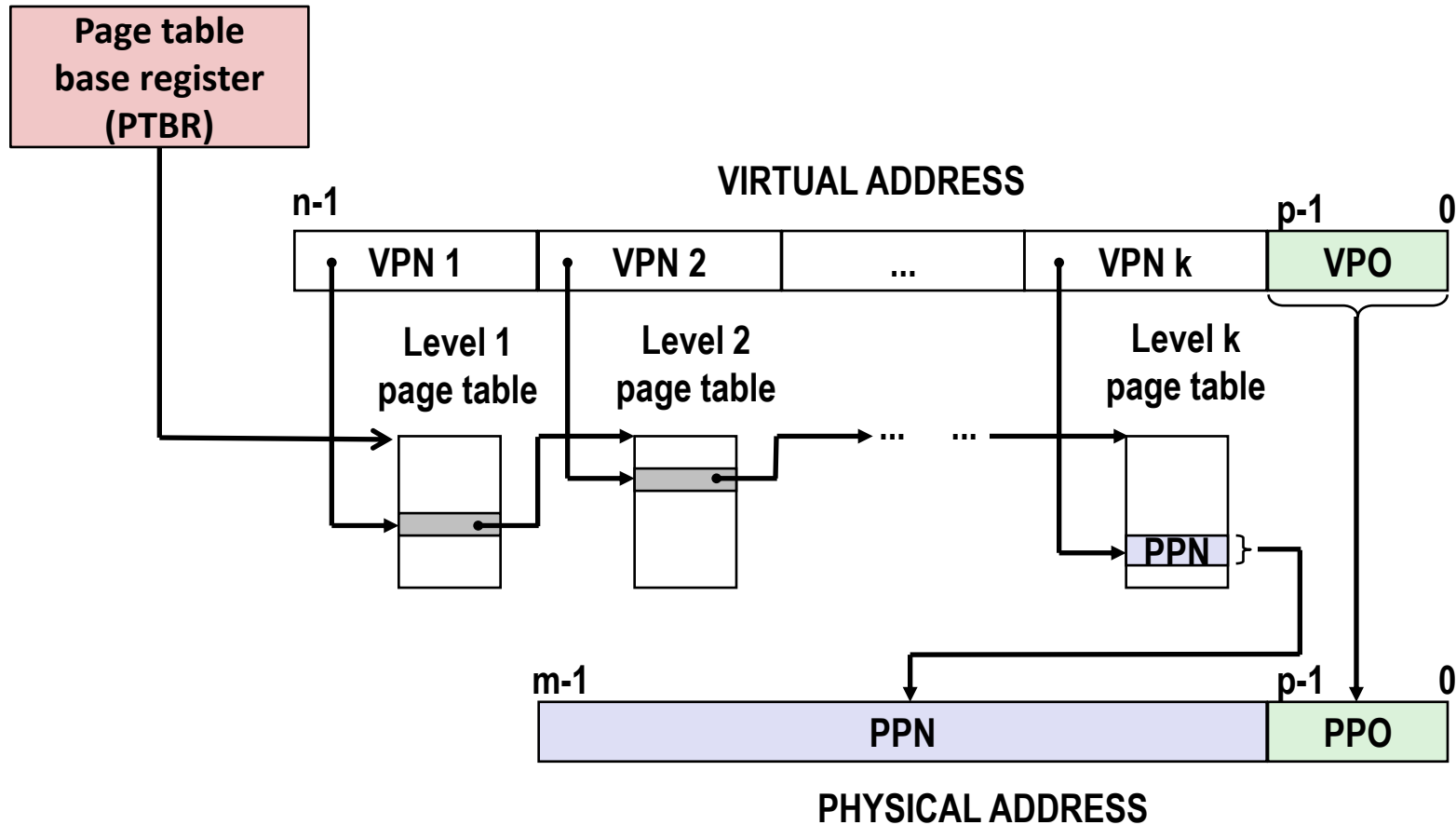
- Level 1 table: each PTE points to a page table (always memory resident)
- Level 2 table: each PTE points to a page (paged in and out like any other data)



# A Two-Level Page Table Hierarchy



# Translating with a k-level Page Table



# Summary

## ■ Programmer's view of virtual memory

- Each process has its own private linear address space
- Cannot be corrupted by other processes

## ■ System view of virtual memory

- Uses memory efficiently by caching virtual memory pages
  - Efficient only because of locality
- Simplifies memory management and programming
- Simplifies protection by providing a convenient interpositioning point to check permissions



# Virtual Memory: Systems

15-213: Introduction to Computer Systems  
18<sup>th</sup> Lecture, Oct. 29, 2015

**Instructors:**





# Today

- Simple memory system example
- Case study: Core i7/Linux memory system
- Memory mapping



# Review of Symbols

## ■ Basic Parameters

- $N = 2^n$  : Number of addresses in virtual address space
- $M = 2^m$  : Number of addresses in physical address space
- $P = 2^p$  : Page size (bytes)

## ■ Components of the virtual address (VA)

- TLBI: TLB index
- TLBT: TLB tag
- VPO: Virtual page offset
- VPN: Virtual page number

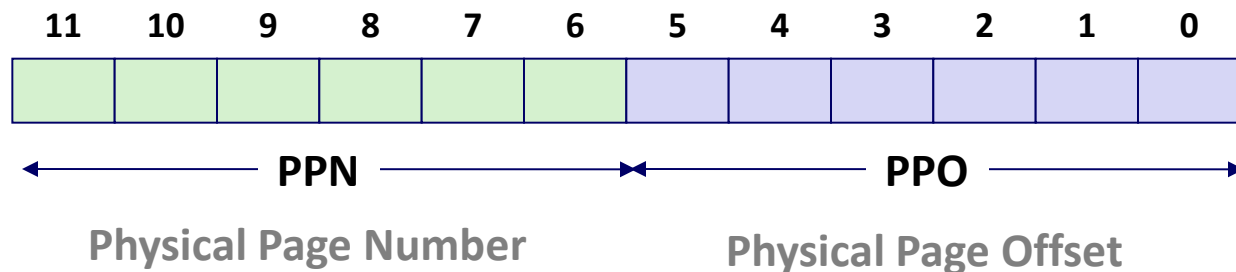
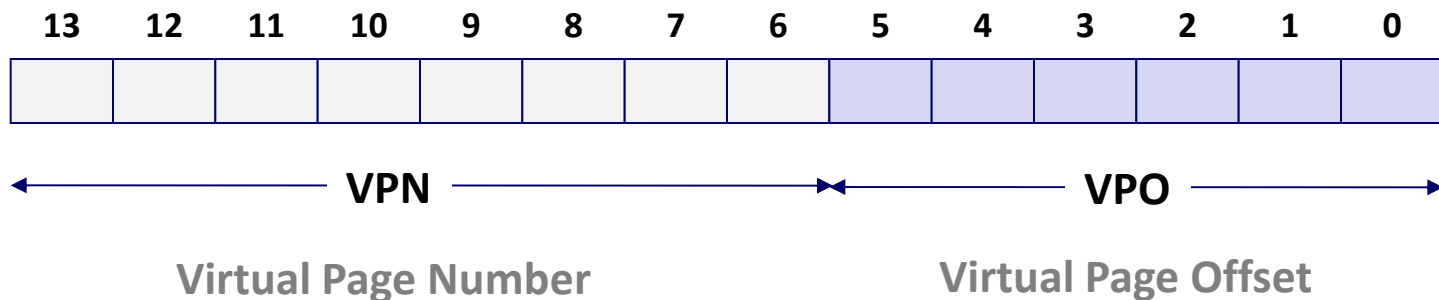
## ■ Components of the physical address (PA)

- PPO: Physical page offset (same as VPO)
- PPN: Physical page number
- CO: Byte offset within cache line
- CI: Cache index
- CT: Cache tag

# Simple Memory System Example

## ■ Addressing

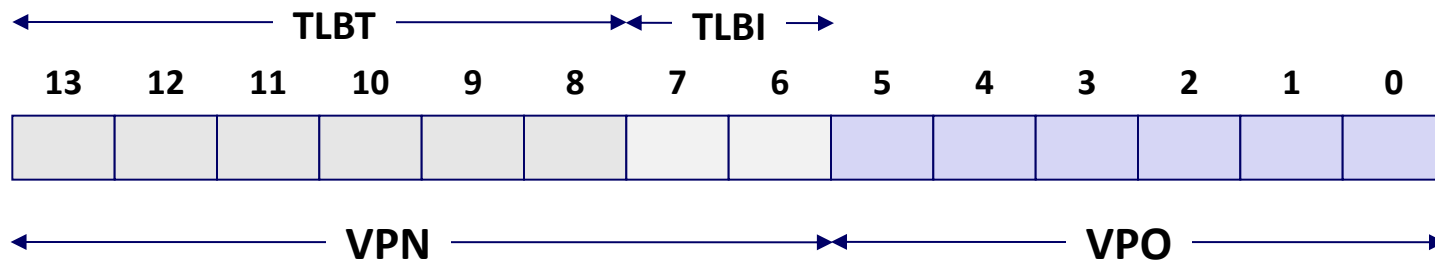
- 14-bit virtual addresses
- 12-bit physical address
- Page size = 64 bytes





# 1. Simple Memory System TLB

- 16 entries
- 4-way associative



Set	Tag	PPN	Valid	Tag	PPN	Valid	Tag	PPN	Valid	Tag	PPN	Valid
0	03	—	0	09	0D	1	00	—	0	07	02	1
1	03	2D	1	02	—	0	04	—	0	0A	—	0
2	02	—	0	08	—	0	06	—	0	03	—	0
3	07	—	0	03	0D	1	0A	34	1	02	—	0

## 2. Simple Memory System Page Table

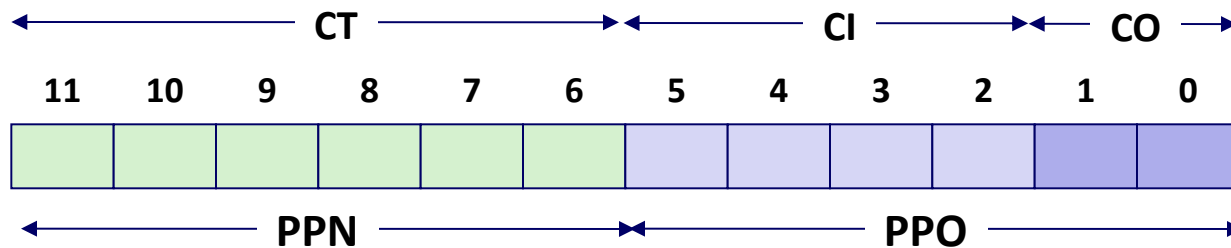
Only show first 16 entries (out of 256)

<i>VPN</i>	<i>PPN</i>	<i>Valid</i>
00	28	1
01	—	0
02	33	1
03	02	1
04	—	0
05	16	1
06	—	0
07	—	0

<i>VPN</i>	<i>PPN</i>	<i>Valid</i>
08	13	1
09	17	1
0A	09	1
0B	—	0
0C	—	0
0D	2D	1
0E	11	1
0F	0D	1

# 3. Simple Memory System Cache

- 16 lines, 4-byte block size
- Physically addressed
- Direct mapped

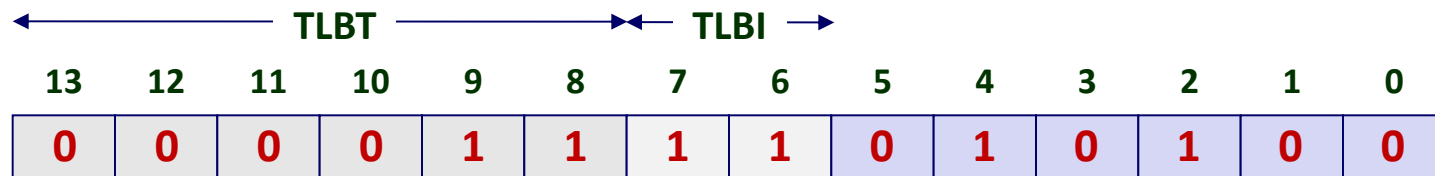


Idx	Tag	Valid	B0	B1	B2	B3
0	19	1	99	11	23	11
1	15	0	—	—	—	—
2	1B	1	00	02	04	08
3	36	0	—	—	—	—
4	32	1	43	6D	8F	09
5	0D	1	36	72	F0	1D
6	31	0	—	—	—	—
7	16	1	11	C2	DF	03

Idx	Tag	Valid	B0	B1	B2	B3
8	24	1	3A	00	51	89
9	2D	0	—	—	—	—
A	2D	1	93	15	DA	3B
B	0B	0	—	—	—	—
C	12	0	—	—	—	—
D	16	1	04	96	34	15
E	13	1	83	77	1B	D3
F	14	0	—	—	—	—

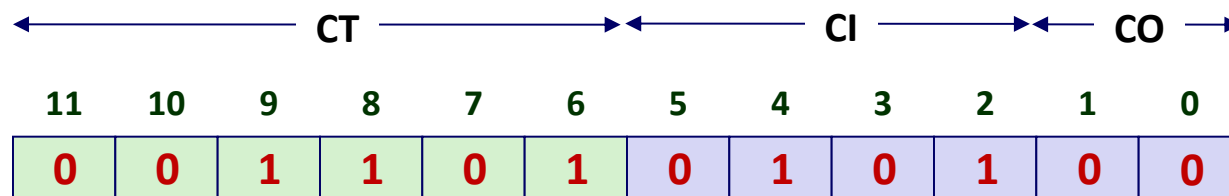
# Address Translation Example #1

Virtual Address: 0x03D4



VPN 0x0F TLBI 0x3 TLBT 0x03 TLB Hit? Y Page Fault? N PPN: 0x0D

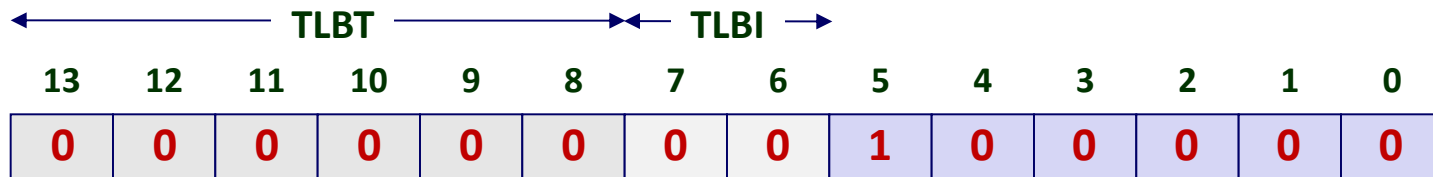
Physical Address



CO 0 CI 0x5 CT 0x0D Hit? Y Byte: 0x36

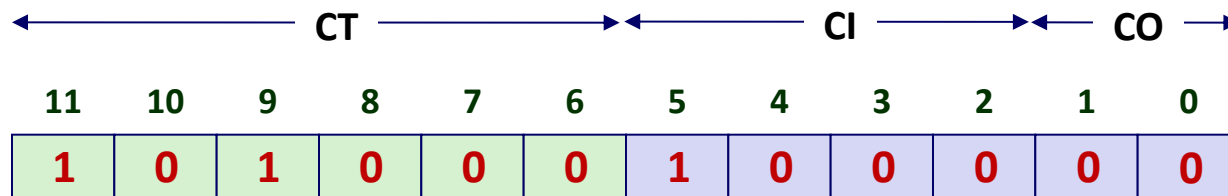
# Address Translation Example #2

Virtual Address: 0x0020



VPN 0x00    TLBI 0    TLBT 0x00    TLB Hit? N    Page Fault? N    PPN: 0x28

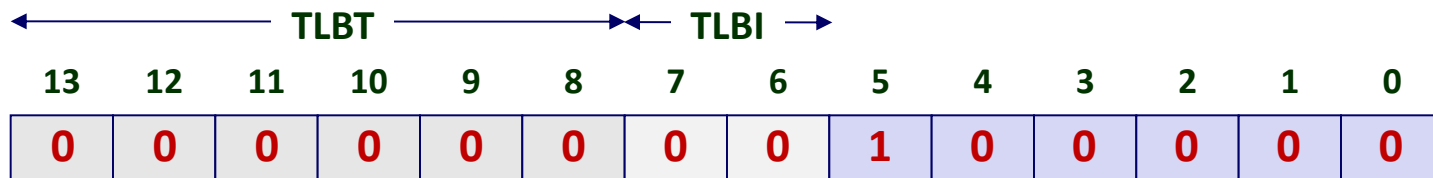
## Physical Address



CO 0    CI 0x8    CT 0x28    Hit? N    Byte: Mem

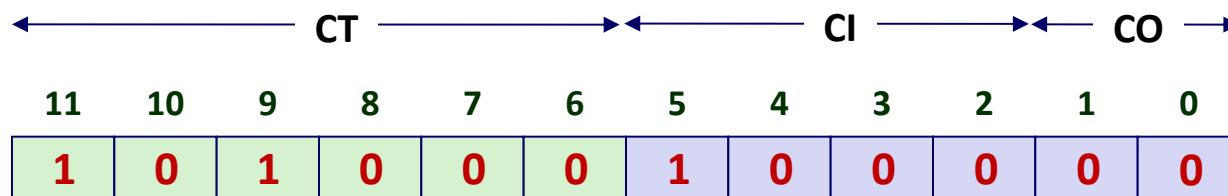
# Address Translation Example #3

Virtual Address: 0x0020



VPN 0x00    TLBI 0    TLBT 0x00    TLB Hit? N    Page Fault? N    PPN: 0x28

## Physical Address



CO 0    CI 0x8    CT 0x28    Hit? N    Byte: Mem



# Today

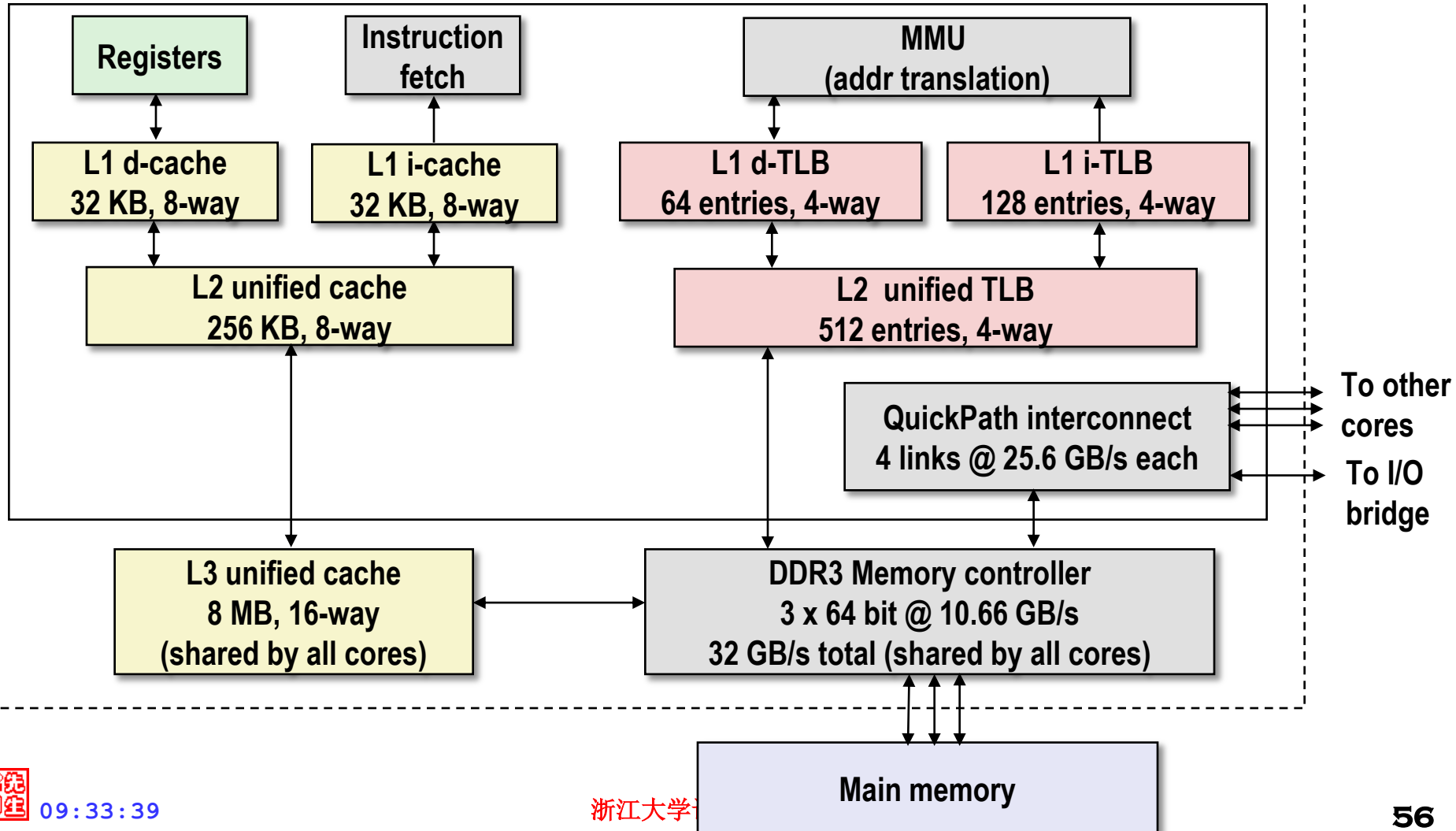
- Simple memory system example
- **Case study: Core i7/Linux memory system**
- Memory mapping



# Intel Core i7 Memory System

## Processor package

### Core x4





# Review of Symbols

## ■ Basic Parameters

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- $M = 2^m$  : Number of addresses in physical address space
- $P = 2^p$  : Page size (bytes)

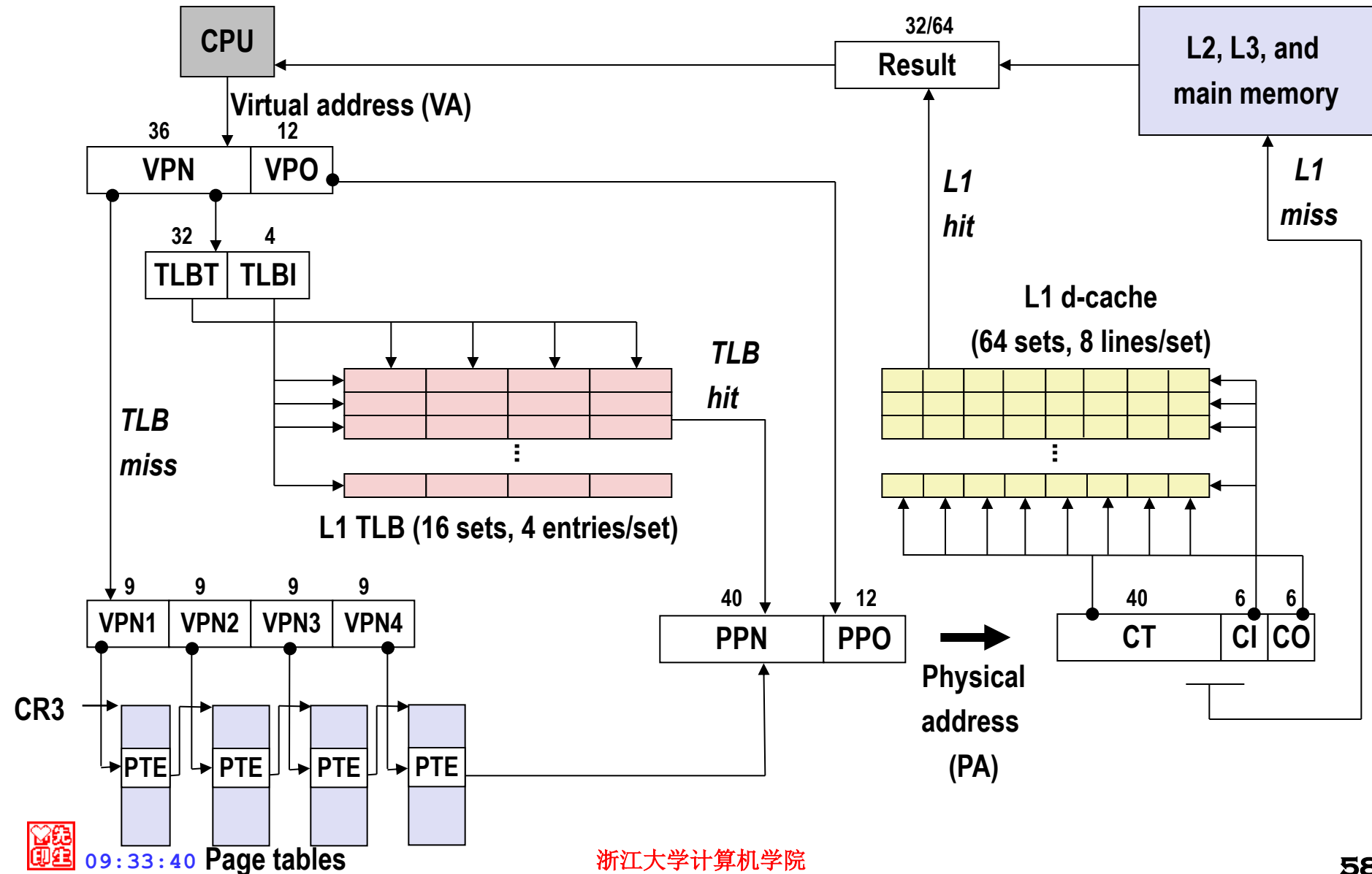
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- TLBI: TLB index
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- CO: Byte offset within cache line
- CI: Cache index
- CT: Cache tag

# End-to-end Core i7 Address Translation



# Core i7 Level 1-3 Page Table Entries

63	62	52	51	12	11	9	8	7	6	5	4	3	2	1	0
XD	Unused	Page table physical base address				Unused	G	PS		A	CD	WT	U/S	R/W	P=1
Available for OS (page table location on disk)															P=0

**Each entry references a 4K child page table. Significant fields:**

**P:** Child page table present in physical memory (1) or not (0).

**R/W:** Read-only or read-write access access permission for all reachable pages.

**U/S:** user or supervisor (kernel) mode access permission for all reachable pages.

**WT:** Write-through or write-back cache policy for the child page table.

**A:** Reference bit (set by MMU on reads and writes, cleared by software).

**PS:** Page size either 4 KB or 4 MB (defined for Level 1 PTEs only).

**Page table physical base address:** 40 most significant bits of physical page table address (forces page tables to be 4KB aligned)

**XD:** Disable or enable instruction fetches from all pages reachable from this PTE.

# Core i7 Level 4 Page Table Entries

63	62	52	51	12	11	9	8	7	6	5	4	3	2	1	0
XD	Unused	Page physical base address				Unused	G		D	A	CD	WT	U/S	R/W	P=1
Available for OS (page location on disk)															P=0

**Each entry references a 4K child page. Significant fields:**

**P:** Child page is present in memory (1) or not (0)

**R/W:** Read-only or read-write access permission for child page

**U/S:** User or supervisor mode access

**WT:** Write-through or write-back cache policy for this page

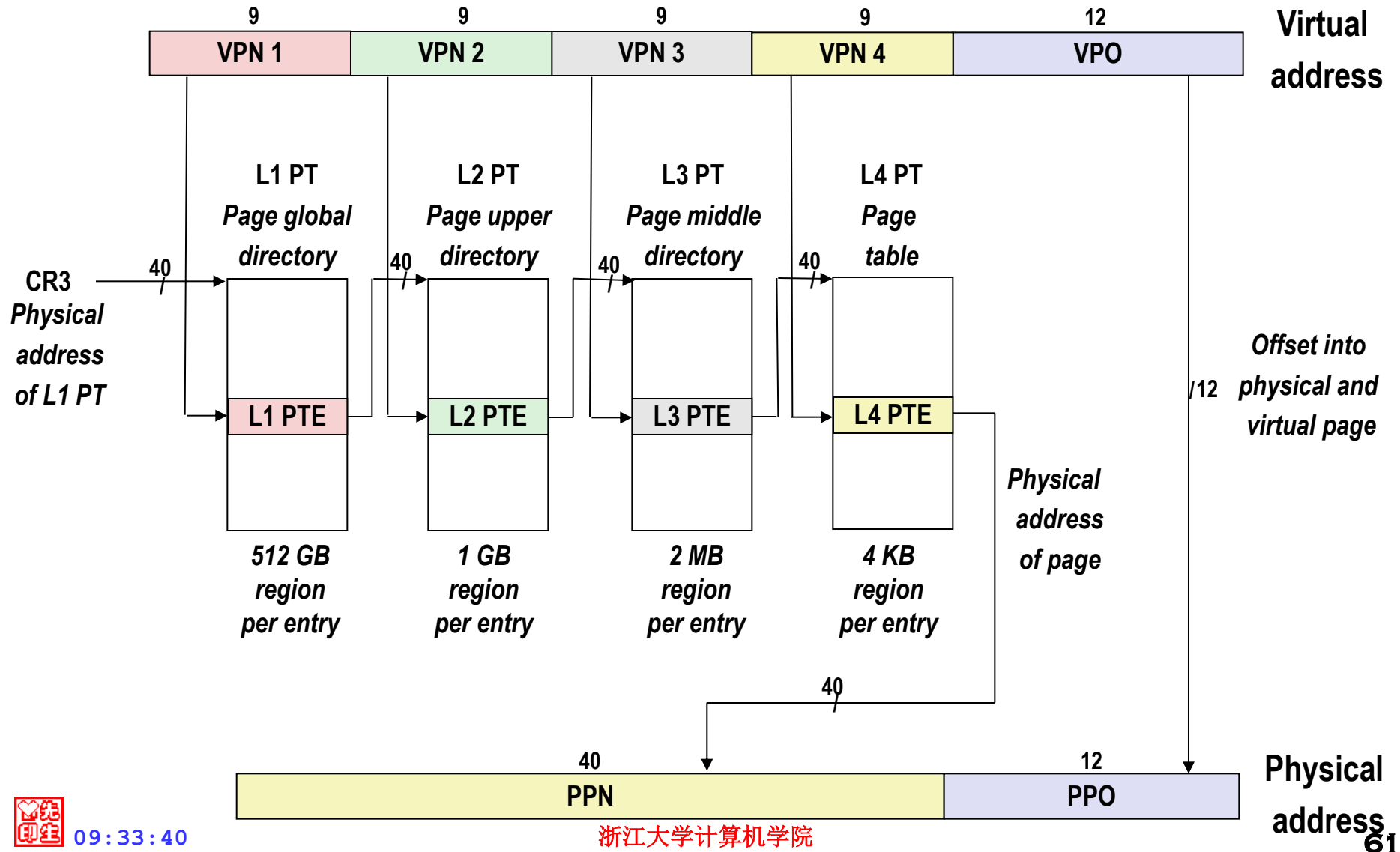
**A:** Reference bit (set by MMU on reads and writes, cleared by software)

**D:** Dirty bit (set by MMU on writes, cleared by software)

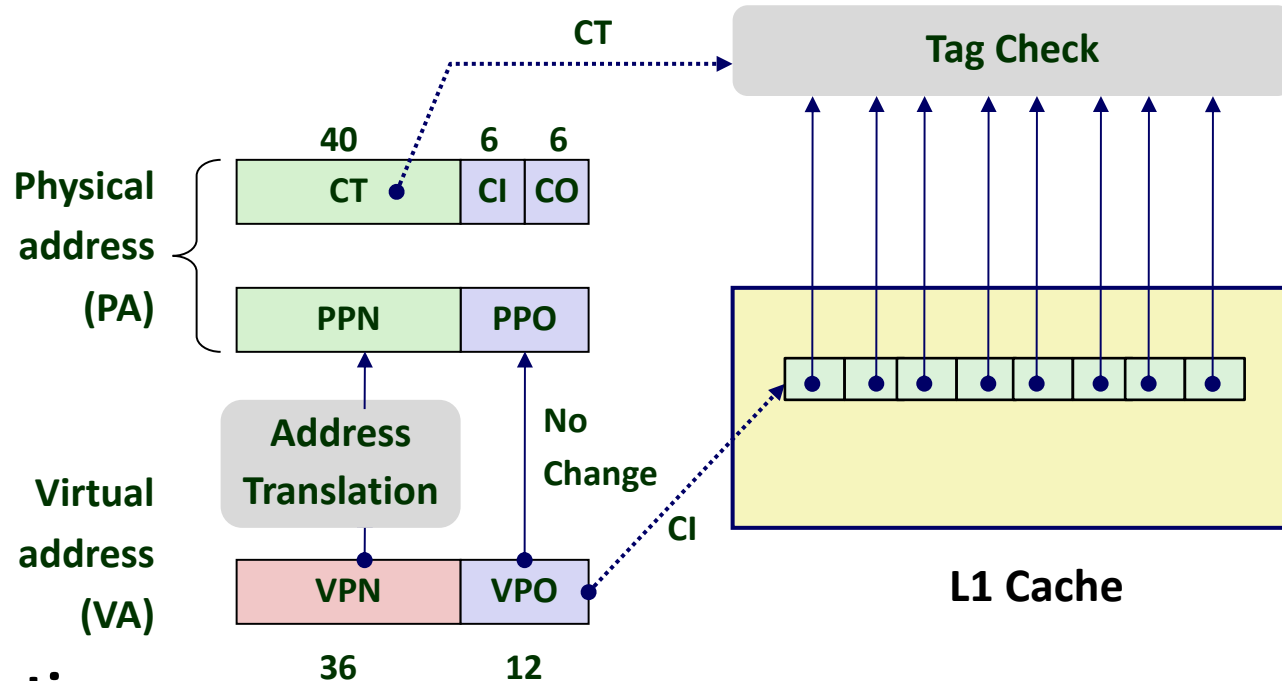
**Page physical base address:** 40 most significant bits of physical page address  
(forces pages to be 4KB aligned)

**XD:** Disable or enable instruction fetches from this page.

# Core i7 Page Table Translation



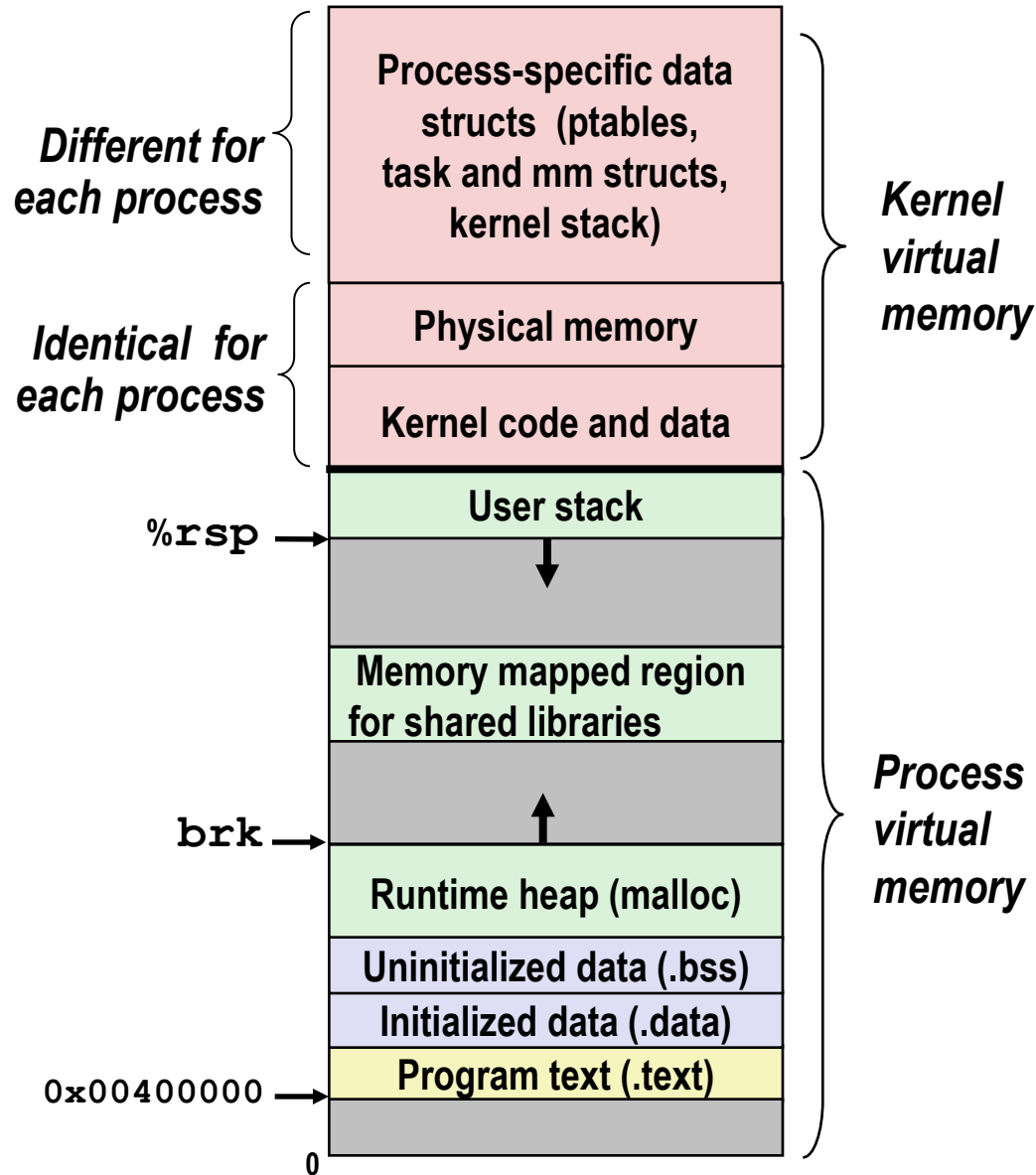
# Cute Trick for Speeding Up L1 Access



## ■ Observation

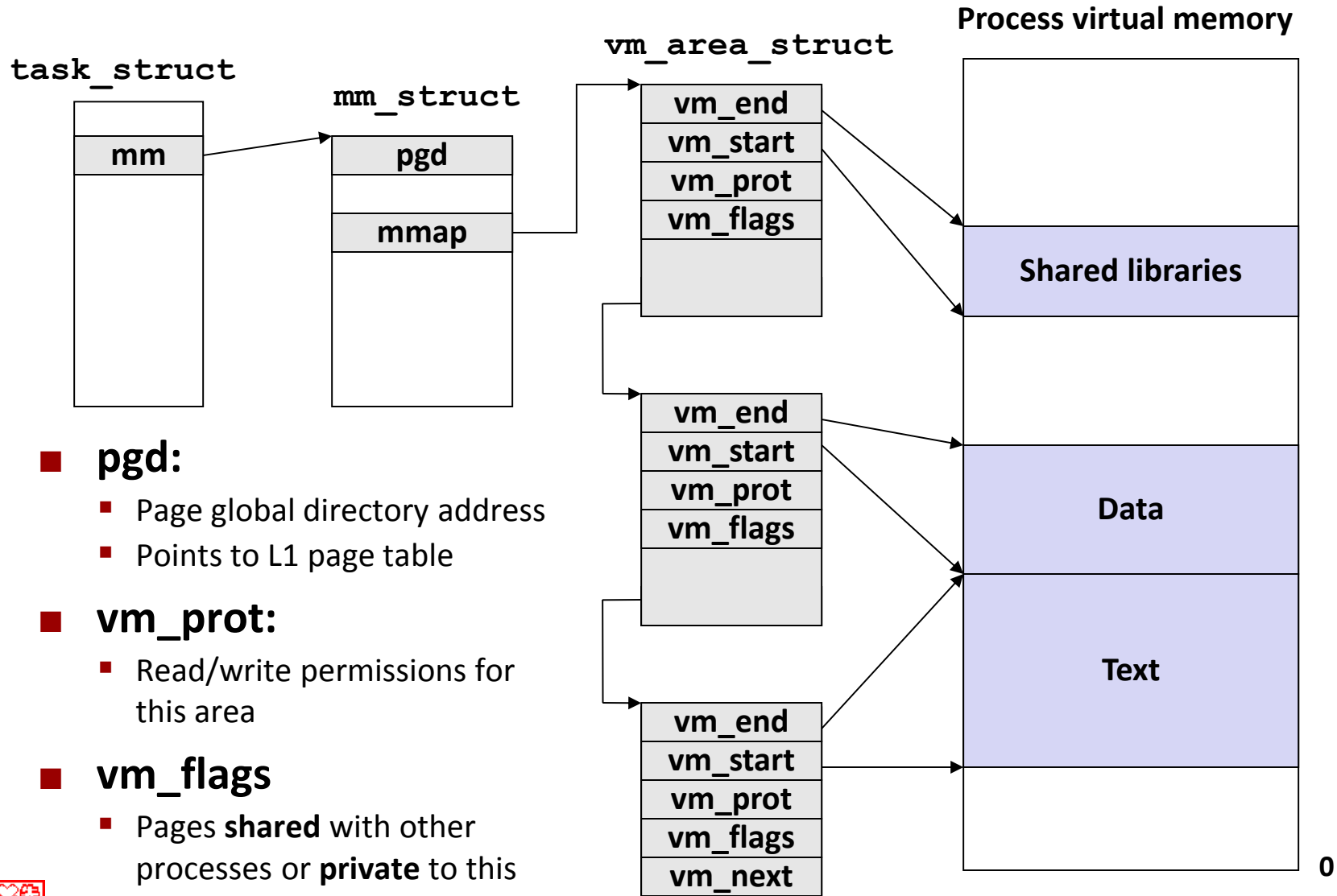
- Bits that determine CI identical in virtual and physical address
- Can index into cache while address translation taking place
- Generally we hit in TLB, so PPN bits (CT bits) available next
- “Virtually indexed, physically tagged”
- Cache carefully sized to make this possible

# Virtual Address Space of a Linux Process





# Linux Organizes VM as Collection of “Areas”

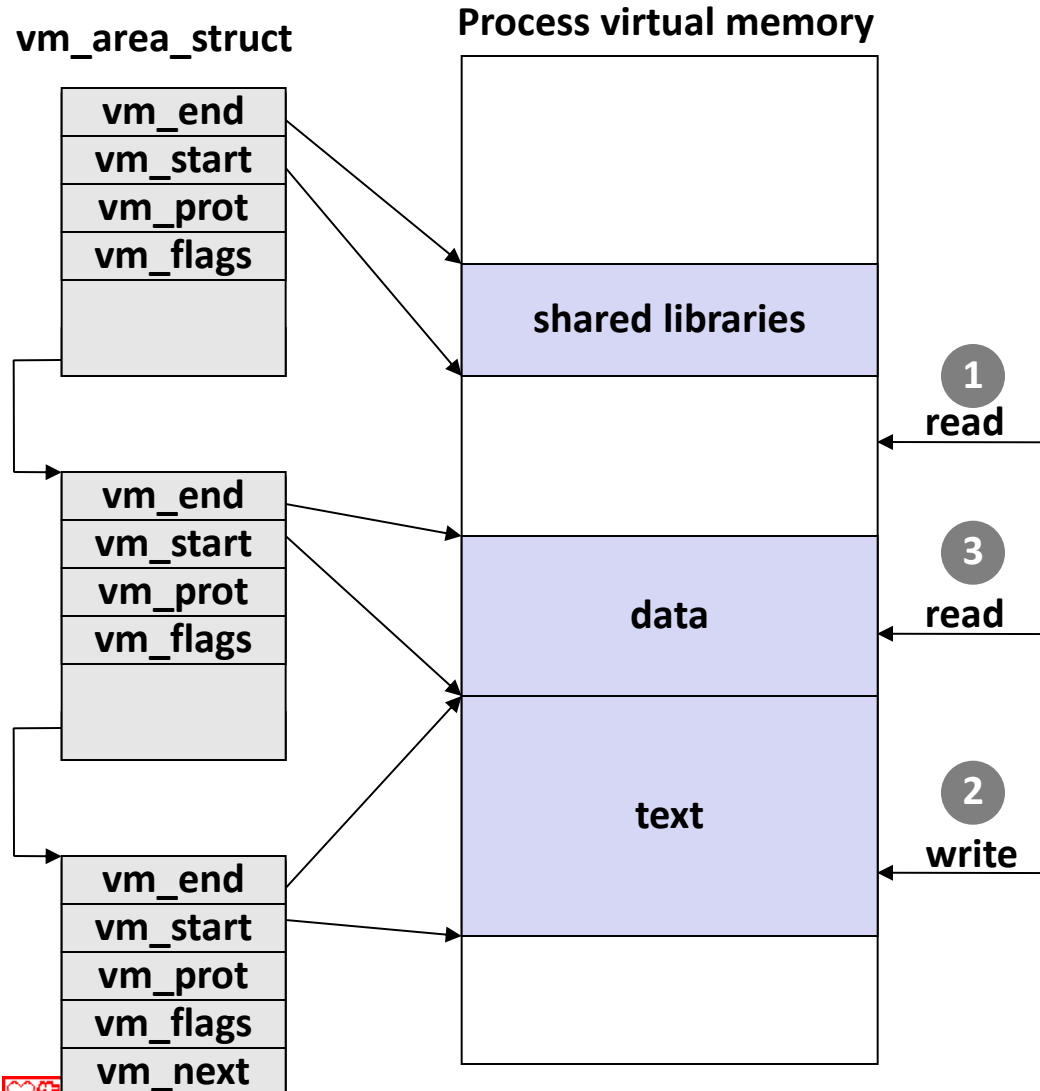


- **pgd:**
  - Page global directory address
  - Points to L1 page table
- **vm\_prot:**
  - Read/write permissions for this area
- **vm\_flags**
  - Pages **shared** with other processes or **private** to this process





# Linux Page Fault Handling



**Segmentation fault:**  
accessing a non-existing page

**Normal page fault**

**Protection exception:**  
e.g., violating permission by  
writing to a read-only page (Linux  
reports as Segmentation fault)



# Today

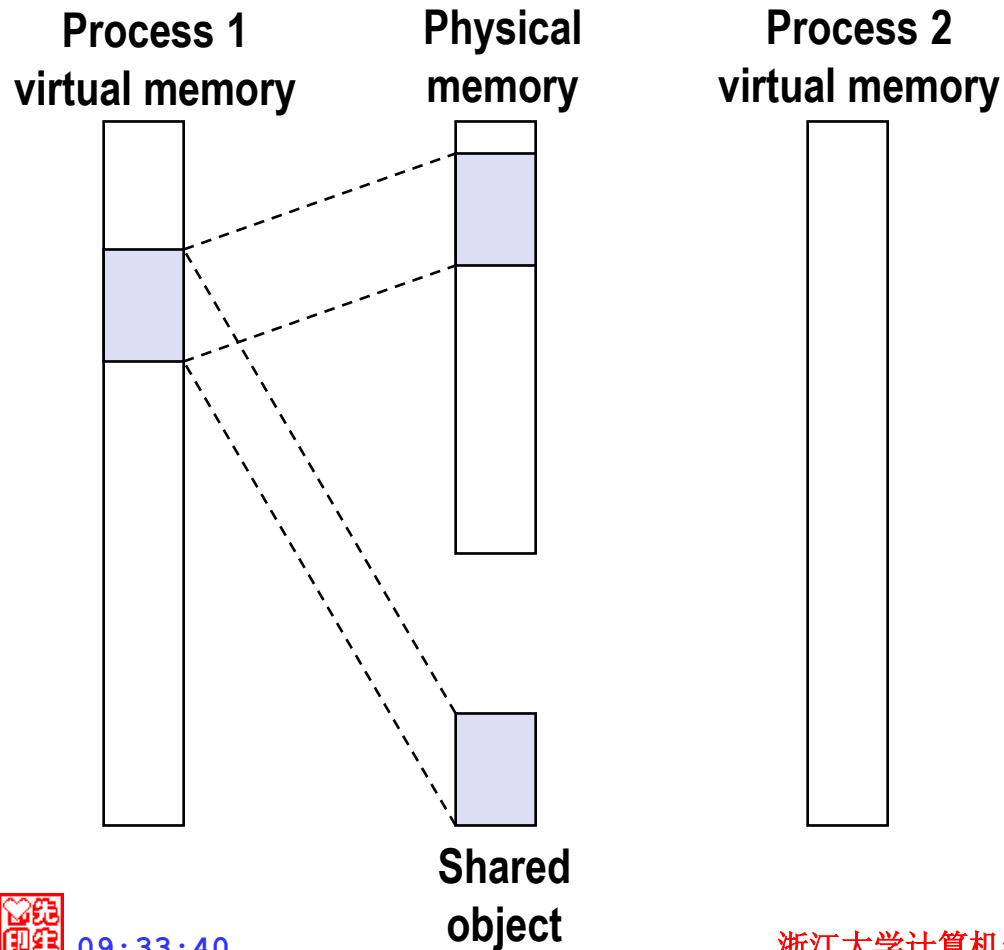
- Simple memory system example
- Case study: Core i7/Linux memory system
- **Memory mapping**



# Memory Mapping

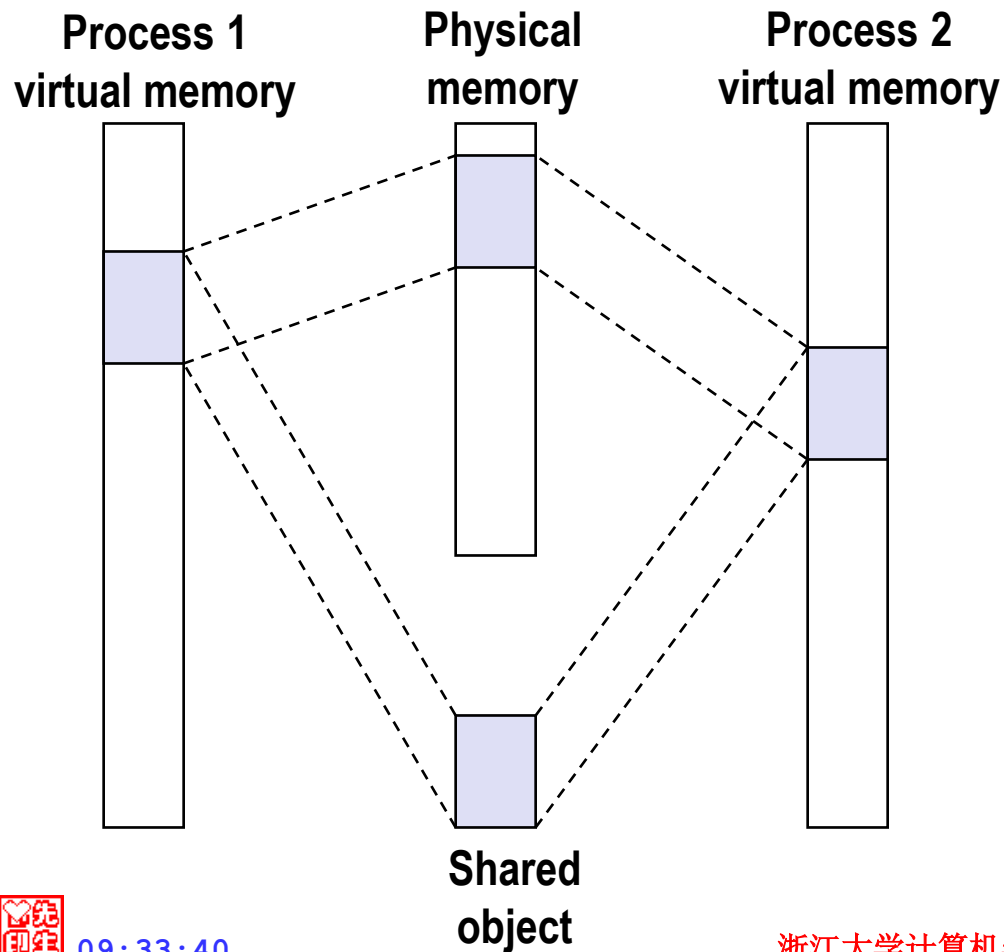
- VM areas initialized by associating them with disk objects.
  - Process is known as *memory mapping*.
- Area can be *backed by* (i.e., get its initial values from) :
  - *Regular file* on disk (e.g., an executable object file)
    - Initial page bytes come from a section of a file
  - *Anonymous file* (e.g., nothing)
    - First fault will allocate a physical page full of 0's (*demand-zero page*)
    - Once the page is written to (*dirtied*), it is like any other page
- Dirty pages are copied back and forth between memory and a special *swap file*.

# Sharing Revisited: Shared Objects



- Process 1 maps the shared object.

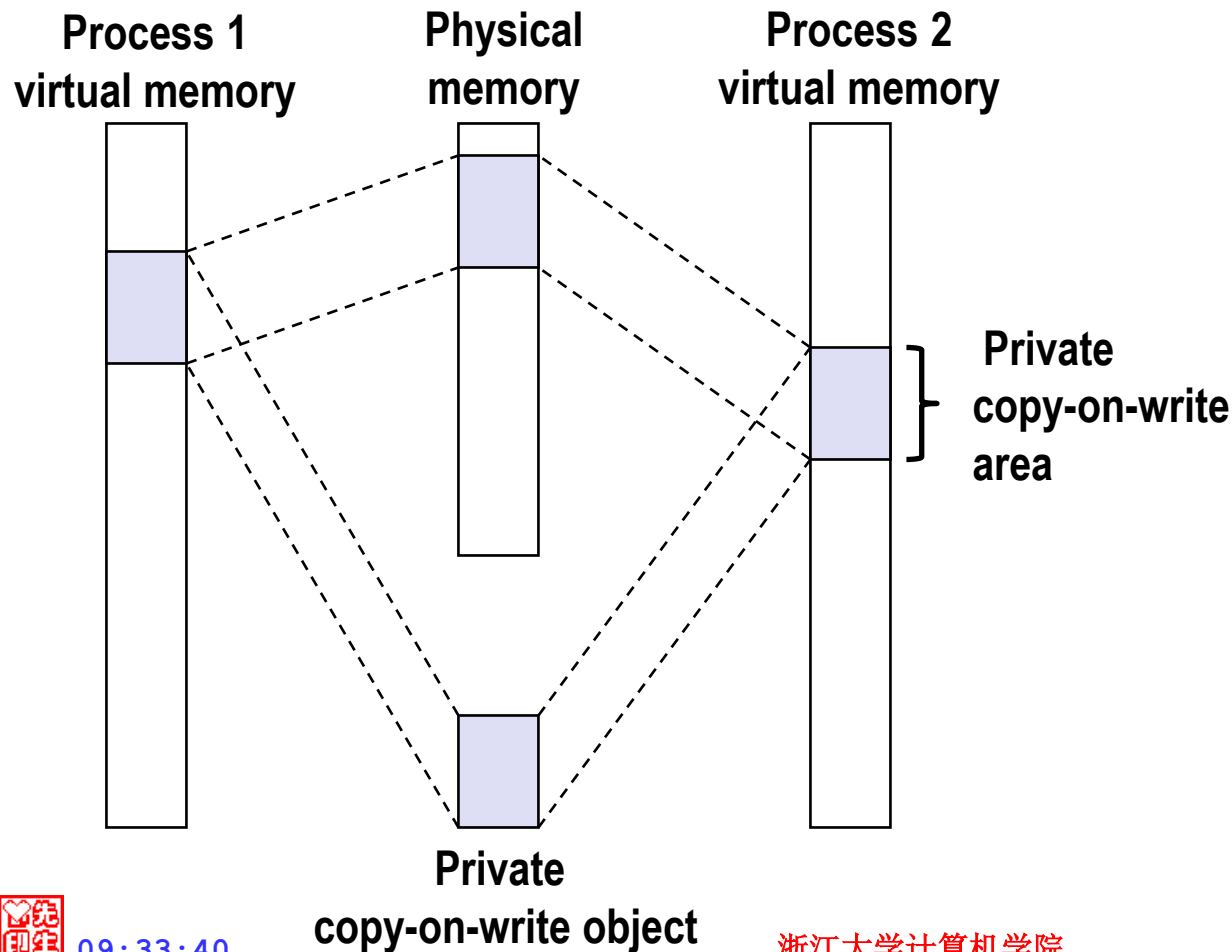
# Sharing Revisited: Shared Objects



- **Process 2 maps the shared object.**
- **Notice how the virtual addresses can be different.**

# Sharing Revisited:

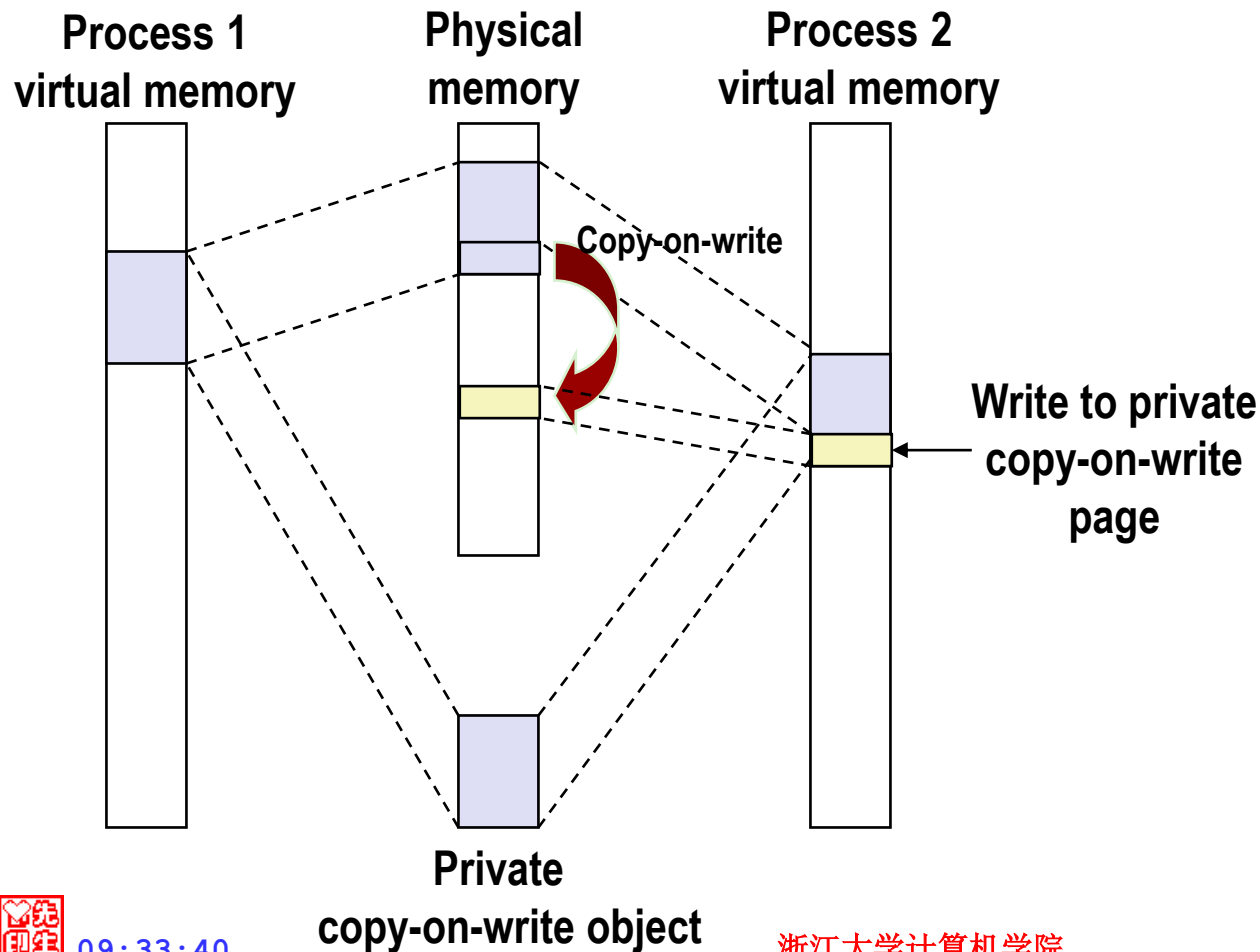
## Private Copy-on-write (COW) Objects



- Two processes mapping a **private copy-on-write (COW)** object.
- Area flagged as private copy-on-write
- PTEs in private areas are flagged as read-only

# Sharing Revisited:

## Private Copy-on-write (COW) Objects



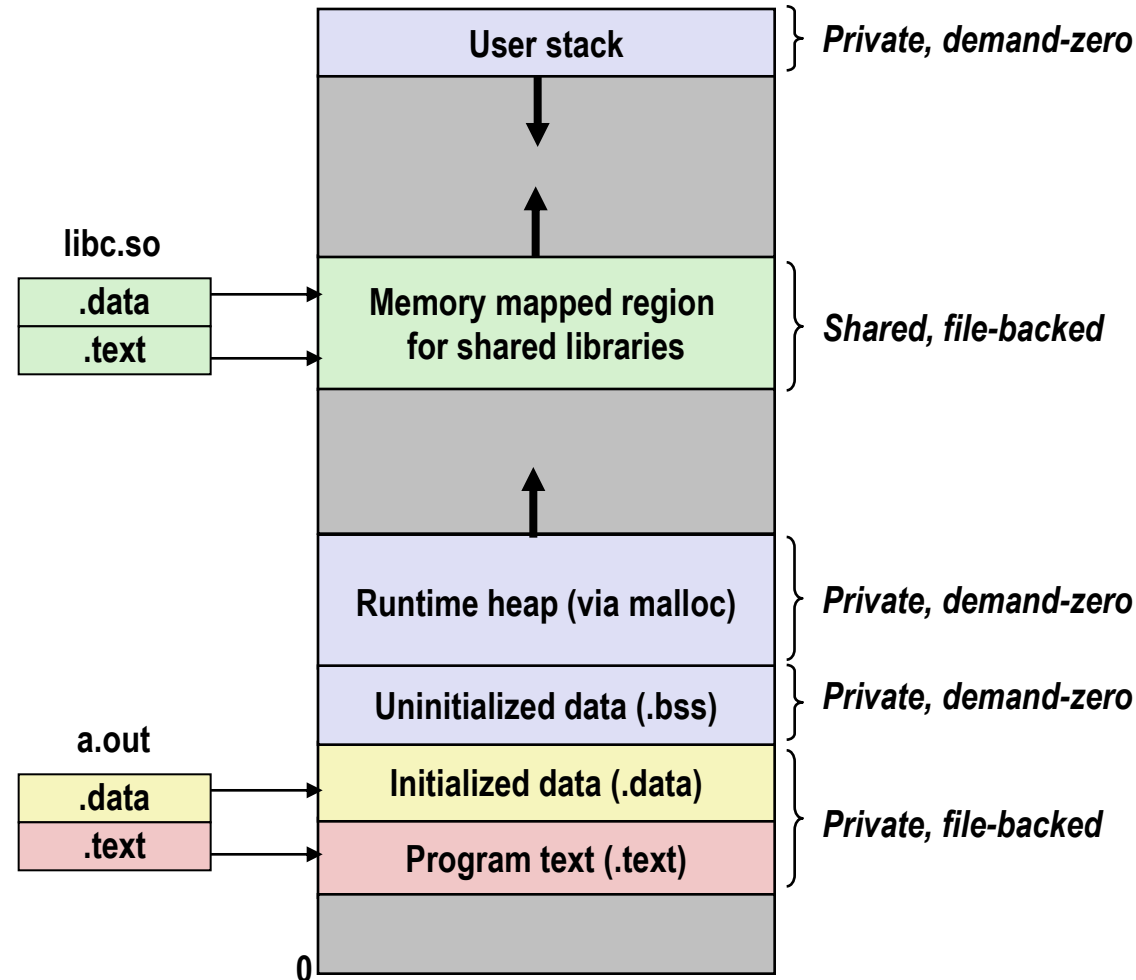
- Instruction writing to private page triggers protection fault.
- Handler creates new R/W page.
- Instruction restarts upon handler return.
- Copying deferred as long as possible!

# The `fork` Function Revisited

- VM and memory mapping explain how `fork` provides private address space for each process.
- To create virtual address for new new process
  - Create exact copies of current `mm_struct`, `vm_area_struct`, and page tables.
  - Flag each page in both processes as read-only
  - Flag each `vm_area_struct` in both processes as private COW
- On return, each process has exact copy of virtual memory
- Subsequent writes create new pages using COW mechanism.



# The execve Function Revisited



- To load and run a new program `a.out` in the current process using `execve`:
- Free `vm_area_struct`'s and page tables for old areas
- Create `vm_area_struct`'s and page tables for new areas
  - Programs and initialized data backed by object files.
  - `.bss` and stack backed by anonymous files.
- Set PC to entry point in `.text`
  - Linux will fault in code and data pages as needed.

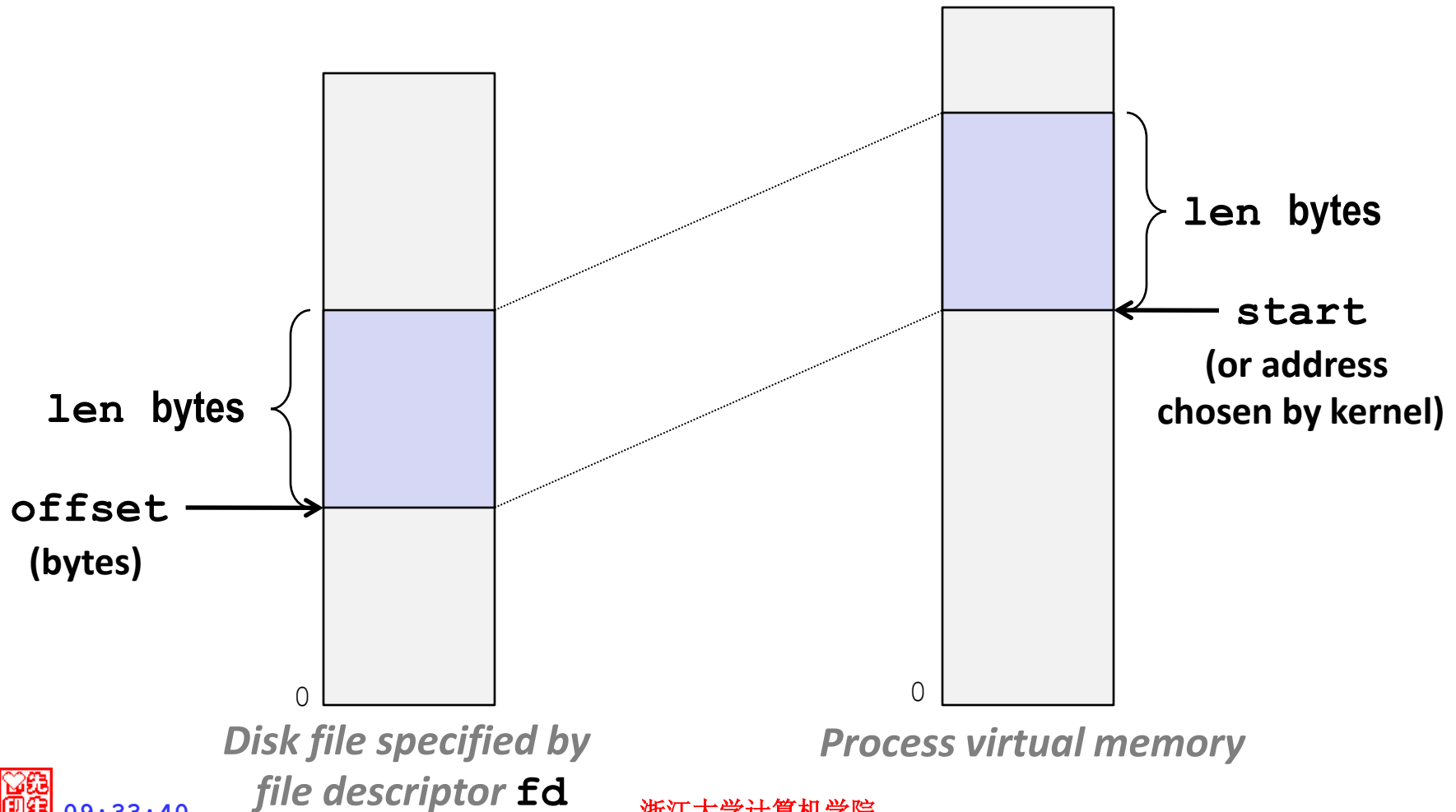
# User-Level Memory Mapping

```
void *mmap(void *start, int len,  
           int prot, int flags, int fd, int offset)
```

- Map `len` bytes starting at offset `offset` of the file specified by file description `fd`, preferably at address `start`
  - `start`: may be 0 for “pick an address”
  - `prot`: `PROT_READ`, `PROT_WRITE`, ...
  - `flags`: `MAP_ANON`, `MAP_PRIVATE`, `MAP_SHARED`, ...
  
- Return a pointer to start of mapped area (may not be `start`)

# User-Level Memory Mapping

```
void *mmap(void *start, int len,  
           int prot, int flags, int fd, int offset)
```





# Example: Using mmap to Copy Files

- Copying a file to stdout without transferring data to user space .

```
#include "csapp.h"

void mmapcopy(int fd, int size)
{

    /* Ptr to memory mapped area */
    char *bufp;

    bufp = Mmap(NULL, size,
                PROT_READ,
                MAP_PRIVATE,
                fd, 0);
    Write(1, bufp, size);
    return;
}
```

mmapcopy.c

```
/* mmapcopy driver */
int main(int argc, char **argv)
{
    struct stat stat;
    int fd;

    /* Check for required cmd line arg */
    if (argc != 2) {
        printf("usage: %s <filename>\n",
               argv[0]);
        exit(0);
    }

    /* Copy input file to stdout */
    fd = Open(argv[1], O_RDONLY, 0);
    Fstat(fd, &stat);
    mmapcopy(fd, stat.st_size);
    exit(0);
}
```

mmapcopy.c