WhileCC-approximability and Acceptability of Elementary Functions

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Computability of Functions on ${\mathbb R}$

For total functions on \mathbb{R} , the following models of computation are equivalent for all functions that are effectively locally uniformly continuous [Tucker and Zucker, 2005]:

- GL-computability,
- tracking computability,
- multipolynomial approximability, and
- WhileCC-approximability.

What about **partial** functions? 1/x, $\sqrt[n]{x}$, ...

For partial functions on \mathbb{R} , Fu and Zucker [2014] generalize effectively locally uniform continuity to acceptability to get an equivalence.

Problem

How general is this class of acceptable functions?

Useful First Step Towards Solution

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Background - Effective Local Uniform Continuity

Definition ([Fu and Zucker, 2014])

A function f on U is effectively locally uniformly continuous w.r.t. an effective open exhaustion $(U_n)_{n\in\mathbb{N}}$ of U, if there is a recursive function $M:\mathbb{N}^2 \to \mathbb{N}$ such that for all $k,l\in\mathbb{N}$ and all $x,y\in U_l$:

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Definition ([Tenenbaum and Pollard, 1985])

The elementary functions on \mathbb{R} are partial functions defined by expressions built up from

- computable reals, and
- the variable x,

by applying (repeatedly) the basic operations below on elementary functions f,g:

- (f+g)(x) = f(x) + g(x)
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- $\operatorname{root}_{n,f}(x) = \sqrt[n]{f(x)}$ where $0 < n \in \mathbb{N}$
- $\bullet \ \exp_f(x) = e^{f(x)}$
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Problem

The domains of elementary functions are not all open!

- We define $\sqrt[n]{x} = 0$ for x < 0 when n is even.
- We extend the definition of $\arcsin(x)$ to be $\frac{\pi}{2}$ for x>1 and to be $-\frac{\pi}{2}$ for x<-1.

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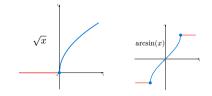
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- $\operatorname{div}_f(x) = \frac{1}{f(x)}$ where $\frac{1}{0} = \uparrow$
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- $\bullet \ \ln_f(x) = \ln(f(x))$
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- $\bullet \ \sin_f(x) = \sin(f(x))$
- $\arcsin_f(x) = \arcsin(f(x))$

Problem

The domains of elementary functions are not all open!

- We define $\sqrt[n]{x} = 0$ for x < 0 when n is even.
- We extend the definition of $\arcsin(x)$ to be $\frac{\pi}{2}$ for x>1 and to be $-\frac{\pi}{2}$ for x<-1.



Definition ([Tenenbaum and Pollard, 1985])

The **elementary functions** on \mathbb{R} are partial functions defined by expressions built up from

- computable reals, and
- \bullet the variable x,

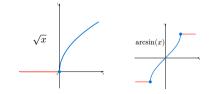
by applying (repeatedly) the basic operations below on elementary functions f,g:

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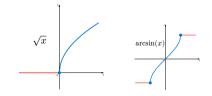
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Contributions

Recall: Equivalence Theorem, [Fu and Zucker, 2014]

For any acceptable function $f: \mathbb{R} \to \mathbb{R}$ and any effective open exhaustion X for $\mathbf{dom}(f)$, the following are equivalent:

- f is an α -computable function.
- f is **WhileCC**-approximable.
- ullet f is GL-computable w.r.t. X.
- ullet is effectively locally uniformly multipolynomially approximable w.r.t. X.

Theorem 1 (WhileCC-approximability Theorem)

All elementary functions are WhileCC-approximable.

Theorem 2 (Acceptability Theorem)

All elementary functions are acceptable.

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Theorem 2.1 (Acceptability Theorem: Part 1)

The domain of any elementary function has an effective open exhaustion.

Theorem 2.2 (Acceptability Theorem: Part 2)

Any elementary function is effectively locally uniformly continuous w.r.t. an effective open exhaustion for its domain.

Theorem 1 (WhileCC-approximability Theorem)

All elementary functions are WhileCC-approximable

This is the easiest part, yet occupies about 30 pages of my master's thesis . . . ©

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Terms

$$t^s ::= x^s \mid F(t_1^{s_1}, \dots, t_m^{s_m})$$

Statements

Procedures

$0_{R},\ 1_{R},\ -1_{R}:\ woheadrightarrow \mathbb{R}$	
$+_{N}, \times_{N} : \mathbb{N} \times \mathbb{N} \twoheadrightarrow \mathbb{N}$	
tt, ff: \rightarrow \mathbb{B}	
and, or : $\mathbb{B} \times \mathbb{B} \twoheadrightarrow \mathbb{B}$	
$not:\mathbb{B} woheadrightarrow\mathbb{B}$	
$=_N$, $<_N$: $\mathbb{N} \times \mathbb{N} \twoheadrightarrow \mathbb{B}$	

Statement	Possible Values for n
$n := choose\ (k : nat) : k < 0$	
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Syntax

- Terms
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Syntax

Terms

$$t^s ::= x^s \mid F(t_1^{s_1}, \dots, t_m^{s_m})$$
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Algebra ${\cal R}$

$$0_R, 1_R, -1_R: \rightarrow \mathbb{R}$$

$$+_{R}$$
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and, or :
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Syntax

- Terms
- $t^s ::= x^s \mid F(t_1^{s_1}, \dots, t_m^{s_m})$ Statements
 - S ::=skip | div | $\bar{x} := \bar{t} \mid S_1 \mid S_2 \mid$ | if b then S_1 else S_2 fi while b do S_0 od
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 $n := \mathsf{choose}\ (z : \mathsf{nat}) : P(z, \bar{t})$

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$\mathsf{Algebra}\ \mathcal{R}$

$$0_{\mathsf{R}}, 1_{\mathsf{R}}, -1_{\mathsf{R}}: \rightarrow \mathbb{R}$$

 $+_{\mathsf{R}}, \times_{\mathsf{R}}: \mathbb{R} \times \mathbb{R} \rightarrow \mathbb{R}$
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 $\mathsf{inv}_\mathsf{R}:\,\mathbb{R}\,\to\,\mathbb{R}$

 $ON: \rightarrow IA$

tt, ff: $woheadrightarrow \mathbb{B}$

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Algebra \mathcal{R}

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$$\begin{array}{l} \text{inv}_{R}:\,\mathbb{R}\longrightarrow\mathbb{R} \end{array}$$

 $0_N : \rightarrow \mathbb{N}$ $suc_N : \mathbb{N} \to \mathbb{N}$

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Algebra \mathcal{R}

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Algebia /c

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$$=_{\mathsf{real}}$$
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$$(\uparrow \quad \text{if } x = y$$

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Syntax

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Semantics

$$\begin{split} & \mathsf{inv}_{\mathsf{R}}(x) = \begin{cases} 1/x & \text{if } x \neq 0 \\ \uparrow & \text{otherwise} \end{cases} \\ =_{\mathsf{real}}(x,y) = \begin{cases} \mathsf{ff} & \text{if } x \neq y \\ \uparrow & \text{otherwise} \end{cases} \\ <_{\mathsf{R}}(x,y) = \begin{cases} \mathsf{tt} & \text{if } x < y \\ \mathsf{ff} & \text{if } x > y \\ \uparrow & \text{if } x = y \end{cases} \end{split}$$

Syntax

Algebra \mathcal{R}

 0_R , 1_R , -1_R : $\rightarrow \mathbb{R}$

 $+_{\mathsf{R}}$. \times_{R} : $\mathbb{R} \times \mathbb{R} \to \mathbb{R}$

 $+_{N}$, \times_{N} : $\mathbb{N} \times \mathbb{N} \twoheadrightarrow \mathbb{N}$

and, or : $\mathbb{B} \times \mathbb{B} \twoheadrightarrow \mathbb{B}$

=N. $\leq N$: $\mathbb{N} \times \mathbb{N} \to \mathbb{B}$

 $=_{\mathsf{real}}$, $<_{\mathsf{R}} : \mathbb{R} \times \mathbb{R} \to \mathbb{B}$

 $inv_R: \mathbb{R} \to \mathbb{R}$

 $0_N: \rightarrow \mathbb{N}$ suc_N: $\mathbb{N} \rightarrow \mathbb{N}$

tt. ff : \rightarrow \mathbb{B}

 $not : \mathbb{B} \to \mathbb{B}$

Terms

$$t^s ::= x^s \mid F(t_1^{s_1}, \dots, t_m^{s_m})$$
 • Statements

G

$$\begin{split} S ::= \\ \text{skip} & \mid \text{div} \mid \bar{x} := \bar{t} \mid S_1 \ S_2 \\ & \mid \text{if} \ b \ \text{then} \ S_1 \ \text{else} \ S_2 \ \text{fi} \\ & \mid \text{while} \ b \ \text{do} \ S_0 \ \text{od} \\ & \mid n := \text{choose} \ (z : \text{nat}) : P(z, \bar{t}) \end{split}$$

Procedures

$$P ::= \operatorname{proc} D \operatorname{begin} S \operatorname{end}$$

Statement	Possible Values for n
$n := choose \ \ (k : nat) : k < 0$	{↑}
$n := {\sf choose} \ \ (k : {\sf nat}) : {\sf toReal}(k) = 0$	{↑}
$n := choose \ \ (k : nat) : k < k+1$	$\{0,1,2,\cdots\}$
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- $x \in \mathbf{dom}(f) \implies \uparrow \notin P^{\mathcal{R}}(x,n) \subseteq \mathbf{Nbd}(f(x),2^{-n})$, and
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where $\mathbf{Nbd}(y,r)$ has the standard definition of neighborhood on $\mathbb R$ i.e.

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Goal

Construct WhileCC-procedures approximating elementary functions by induction

Challenge: Using comparison operators introduces undefinedness

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Problem

When defining piecewise functions, comparison makes a hole!

Example: Even Root - First Attempt

```
\begin{array}{ll} \operatorname{proc} & \text{in } x : \operatorname{real} c : \operatorname{nat} \\ \operatorname{begin} & \text{if } x <_{\mathbb{R}} 0 \text{ then} \\ & \operatorname{return} 0 \\ & \operatorname{else} \\ & \operatorname{return} \operatorname{Root}(x,c) \\ & \operatorname{fi} \\ \operatorname{end} \end{array}
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- Find an overlapping interval where both pieces are defined
- Use the nondeterminism of "choose"

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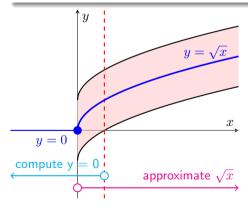
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Theorem 2.1 (Acceptability Theorem: Part 1)

Let $f: \mathbb{R} \to \mathbb{R}$ be an elementary function. Then, $\mathbf{dom}(f)$ has an effective open exhaustion.

First attempt - Strengthening:

Elementary function constructions preserve the property that the domain has an effective open exhaustion.

- Base cases $\sqrt{(e.g. \sin(x))}$
- Addition and multiplication \checkmark (e.g. (f+g)(x))
- Composition case has a counterexample:

$$f(x) = id|_{(-1,1)}$$
 and $g(x) = \begin{cases} 0 & \text{if } -1 \le x \le 1 \\ 1 & \text{otherwise} \end{cases}$

 $\mathbf{dom}(f)$ has an effective open exhaustion \checkmark $\mathbf{dom}(g)$ has an effective open exhaustion \checkmark $\mathbf{dom}(f \circ q) = [-1,1]$ has no open exhaustion \checkmark

Strengthened to proving exhaustion reflection property

For any open set U with an effective open exhaustion, $f^{-1}(U)$ has an effective open exhaustion.

Proof: By induction

- Base cases √
- Composition √
- Addition and multiplication X

Adding decomposition of + and \cdot

$$(f+g)(x) = f(x) + g(x)$$
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- $\bullet \ Add(x,y) = x + y,$
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Strengthened to proving exhaustion reflection property

For any open set U with an effective open exhaustion, $f^{-1}(U)$ has an effective open exhaustion.

Proof: By induction

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Theorem (Reducing Exhaustion-reflection to to a Decision Procedure)

A function $f: \mathbb{R}^n \to \mathbb{R}^m$ is exhaustion-reflecting, if we can decide for any m-cube Q_m whether an arbitrary rational closed n-cube is completely contained in $f^{-1}(Q_m)$.

 This is very useful for proving the exhaustion-reflection property for the addition and multiplication case.

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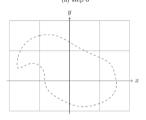
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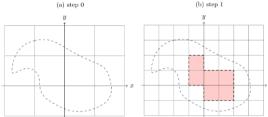
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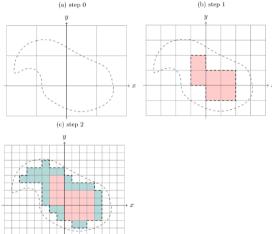
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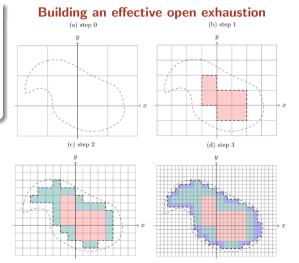
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Theorem 2.2 (Acceptability Theorem: Part 2)

Any elementary function is effectively locally uniformly continuous w.r.t. an effective open exhaustion for its domain.

(We prove that this is) equivalent to proving:

Any elementary function has a local continuity witness

Definition (Local continuity witness)

Let $f: \mathbb{R} \to \mathbb{R}$. A recursive function $N: \mathbb{Q} \times \mathbb{Q} \times \mathbb{N} \to \mathbb{N}$ is called a **local continuity witness** for f iff for any $a,b \in \mathbb{Q}$ with $[a,b] \subseteq \mathbf{dom}(f)$ and $k \in \mathbb{N}$, we have

$$\forall x, y \in (a, b) \quad |x - y| < 2^{-N(a, b, k)} \implies |f(x) - f(y)| < 2^{-k}.$$

The notion of effective local uniform continuity is independent of effective open exhaustion.

Theorem

Let $f:\mathbb{R} \to \mathbb{R}$ be WhileCC-approximable and monotone on its domain. Then f has a local continuity witness

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We proved that:

- all elementary functions are WhileCC-approximable.
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- presented an alternative characterization of acceptable functions using the local continuity witness concept, and
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- Are non-unary elementary functions acceptable?
 A generalization of acceptability in arbitrary metric spaces is given by Tucker and Zucker [2004].
- Can we extend the equivalence theorem in Fu and Zucker [2014] to acceptable partial functions of type $\mathbb{R}^m \to \mathbb{R}$?
- What functions are **WhileCC**-approximable but not While*-approximable [Tucker and Zucker, 1999]?

Conjecture

- All partial unary WhileCC-approximable functions are acceptable.
 - If the conjecture holds, are non-unary WhileCC-approximable functions acceptable?
 - **If not**, what is a model of computation that characterizes exactly the class of acceptable functions?

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- What functions are WhileCC-approximable but not While*-approximable [Tucker and Zucker, 1999]?

Conjecture:

- All partial unary WhileCC-approximable functions are acceptable.
 - If the conjecture holds, are non-unary WhileCC-approximable functions acceptable?
 - **If not**, what is a model of computation that characterizes exactly the class of acceptable functions?

Currently working on:

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A HUGE Thank you!