Lab 2: Lossless Coding

Introduction

In this lab, explore the power of entropy-based, variable length coding (VLC) techniques. Specifically, we will focus on how to implement a Huffman encoder. You may choose to create an implementation in either MATLAB or OpenCV, to compress grayscale and colour components of an image.

The first part of this lab is for you to understand how to generate a histogram from a set of symbols present in a source (such as an audio sequence or image). You will then use the histogram to calculate the Entropy associated with a given source, and use this to assess the potential for entropy-based compression on different sources.

In the second part of this lab, you will design and construct the core elements of the Huffman coding process:

- 1. Using histogram results to build a binary tree for encoding the source.
- 2. Extracting prefix codes for each symbol from the binary tree.
- 3. Using the codes to encode the source into a bit stream.
- 4. Using the binary tree to decode the bit stream.

Ultimately, the goal is to apply this Huffman coder to an actual source (e.g. image file). However, you should pick a small test sequence to simplify the problem and build your implementation around that first. For instance, if you have trouble building the tree via a program, you may construct the tree manually (I.e. make a 2D Huffman array as per step 1, for a known sequence such as that determined in), and use that tree with your function to encode/decode for parts 3 & 4.

Preliminary (try this on paper first)

The message ("OPENTHEDOOR") is given to you. Here you are required to build a Huffman encoding tree manually (by hand):

- 1. Select the unique letters and record their probability of occurrence
- 2. Calculate the entropy associated with the above message
- 3. Draw out and plot the Huffman tree
- 4. Assign a binary code for the leaves (state assumptions)
- 5. Derive the coded binary sequence (based on your assumptions)
- 6. Calculate the compression ratio for your encoding

Part I: Calculating the Entropy of a Source

- 1. Create a function probs=myEntropy(X) that calculates relative occurrence of different symbols within given input sequence (X). The function should return a vector of probabilities corresponding to the elements in X
- 2. Write a function that, given a vector of probabilities, calculates it's entropy: H = entropy(prob);

Use your program to calculate the entropy for the following test sequence:
'ONESMARTFELLAHEFELTSMARTTWOSMARTFELLASTHEYFELTSMART'

- 4. Measure the entropy in at least two different image files: one grayscale, and one colour image for the colour image, find the entropy in the chroma channels (for this you should do a colour space conversion from RGB —> YCbCr first, then apply your program to each channel separately (the symbols are the intensity values). Comment on the results (what do the results signify?)
- 5. Calculate the compression ratio that would result for each sequence assuming a code could be found that gave this ideal entropy (compare against the original bit depth used for the sample: e.g. 8 bit grayscale image (uses 8 bpp).

Part II: Huffman Encoder

As stated in the introduction, our primary goal for this lab is to construct the various components of the Huffman Encoder, namely:

- 1. Use histogram to build a binary tree for encoding the source.
- 2. Extract prefix codes for each symbol from the binary tree.
- 3. Using the codes to encode the source into a bit stream.
- 4. Using the binary tree to decode the bit stream.

Step 1:

We start by building a function that constructs the actual binary tree. The simplest way to implement a Huffman tree is through a 2D array. Assuming that there are initially n symbols to encode, the size of the array should be: HuffTree[2n-1][4] (i.e. (2n-1) x 4)

Each entry (row) in the array represents one node in the tree. The first n nodes are leaf nodes (the original symbols), the remaining nodes are merged nodes (non-leaf nodes generated when we merge two existing nodes).

The 1st column indicates the weight (probability) of a given symbol (or merged node) The 2nd column indicates the index of the nodes parent (in the tree)

The 3rd & 4th columns indicate the index of the left and right children of the current node (in the tree). A node can have a "-1" for parent/child if there is no parent/child (e.g. leaf nodes have left and right child = -1 (see figure below)

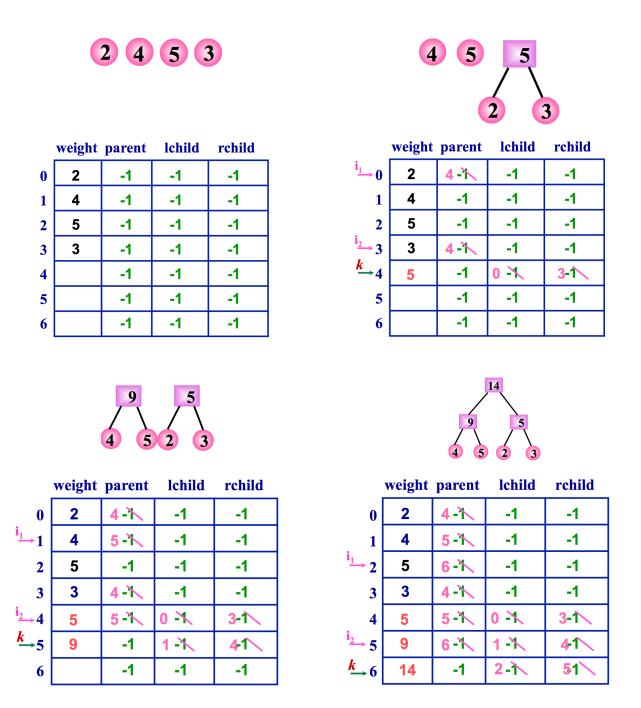


Figure 1: Huffman encoding process

Step 2:

Write a function that converts the Huffman tree to a list of code words

<u>Step 3:</u>

Use the code words to encode an input sequence (e.g. use sequence from Part I initially, then apply to an image file)

Step 4:

Use the Huffman tree to decode the encoded sequence (from step 3) - note that the last node in the 2D array is always the "root" node, so you can trace the tree from here. Hint: recursion is useful here

In your report, you should include sample inputs and resultant outputs (e.g. if you worked on an image, show the image as a figure, and the resulting histogram/probability of intensity pixels, entropy, codebook, compression ratio achieved, etc.

Question: For a RGB colour image file (24 bpp), explain how would you maximize compression using only chroma subsampling and the Huffman encoder?

Submission:

Copy report (PDF in IEEE format as per blackboard), and source files (matlab *.m) into a new folder named according to the following format:

```
ele725 lab2 username
```

Now zip and submit this folder using the following commands (in the terminal):

```
zip -r ele725_lab2_username.zip ele725_lab2_username submit ele725 lab2 ele725_lab2_username.zip
```

DEMO DUE: Week 6 lab session

REPORT DUE: 12 midnight (Friday 10 October, 2014)

RUBRIC	2 (pts)	3 (pts)	5 (pts)
	Prelim (show by end of week 5 lab)	Demo (week 6 in lab)	Report (week 6 friday)