



# A unified algorithm based on HTS and self-adapting PSO for the construction of octagonal and rectilinear SMT

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## Abstract

The Steiner minimal tree (SMT) problem is an NP-hard problem, which is the best connection model for a multi-terminal net in global routing problem. This paper presents a unified algorithm for octagonal and rectilinear SMT construction based on hybrid transformation strategy (HTS) and self-adapting particle swarm optimization. Firstly, an effective HTS is proposed to enlarge the search space and improve the convergence speed. Secondly, the proposed HTS in the evolutionary process may produce an ineffective solution, and consequently the crossover and mutation operators of genetic algorithm (GA) based on union-find sets is proposed. Thirdly, a self-adapting strategy that can adjust the acceleration coefficients is proposed to further improve the convergence and the quality of the proposed algorithm. Finally, the hybrid transformation can be applied to GA and the proposed algorithm can be applied to rectilinear architecture. To our best knowledge, the proposed algorithm is the first unified algorithm to solve the SMT construction under both octagonal and rectilinear architecture. The experimental results show that the proposed algorithm can efficiently provide a better solution for SMT problem both in octagonal and rectilinear architectures than others. Moreover, the algorithm can obtain several topologies of SMT, which is beneficial for optimizing congestion in VLSI global routing stage.

**Keywords** Hybrid transformation strategy · Particle swarm optimization · Self-adapting strategy · Unified algorithm · Octagonal steiner minimal tree · Rectilinear steiner minimal tree

## 1 Introduction

Global routing is a very important step in very large scale integration (VLSI) physical design. The Steiner minimal tree (SMT) is the best connection model for the multi-terminal net in VLSI global routing, and thus, SMT construction is also very important (Xu and Hong 2009). The SMT problem is to find a minimum cost routing tree that connects a given pin set by introducing additional points (Steiner points).

The research on global routing is mainly focused on the construction of the routing tree and the design of the global routing algorithm in rectilinear architecture, and some good research has been done (Han et al. 2014; Scheifele 2016, Siddiqi and Sait 2017, Held et al. 2017). In particular, there are some competitions held on ISPD and then NCTU-R (Dai et al. 2009), NTHU-Route2.0 (Chang et al. 2010), NCTU-GR (Dai et al. 2012), NCTU-GR2.0 (Liu et al. 2013) and other global routers have emerged.

So far, most routing algorithms are proposed for rectilinear architecture. However, the routing model based on rectilinear architecture requires that the connections between the pins

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can only be horizontal and vertical, resulting in limited ability to optimize the interconnect length. As a result, more and more researchers are interested in the non-Manhattan architecture which can make full use of routing resources and achieve shorter interconnect length.

In order to better study the routing problem based on non-Manhattan architecture, the first work is to study the construction of SMT in non-Manhattan architecture. The branch and bound method was proposed to construct a hexagonal SMT, but is only suitable for small-scale problems (Thurber and Xue 1999). Coulston (2003) used the accurate algorithm and a series of pruning strategies to construct the octagonal SMT. Compared with rectilinear Steiner minimal tree (RSMT), it can achieve 1.16% shorter wirelength with more time. In view of the high time complexity of exact algorithms, some heuristic strategies are adopted to construct the non-Manhattan architecture Steiner trees. An algorithm with the time complexity  $O(|V| + |E|)$  was proposed to construct the octagonal Steiner tree (Chiang and Chiang 2002), but the constructed tree must be isomorphic. A heuristic algorithm with the time complexity  $O(n^3 \log n)$  was proposed to solve the hexagonal Steiner tree problem (Samanta et al. 2006), which is based on greedy algorithm. Two algorithms based on octagonal spanning graph were proposed for the construction of octagonal Steiner minimal tree (OSMT) (Zhu et al. 2005). The first one used an edge replacement technology, and the other one used the triangle contraction method. Both methods are based on greedy algorithms. A modified algorithm is proposed to construct a time-driven octagonal Steiner tree. The delay of the longest path is effectively reduced by adjusting the longest path of the hexagonal routing (Yan 2008). Based on Hanan grid and Elmore delay model, Samanta et al. (2011) constructed the timing driven Steiner tree and verified that the Elmore delay model has higher calculation accuracy than the linear delay model. Based on graph theory,  $k$ -IDEA algorithm was used to generate multiple sets of routing solutions (Koh and Madden 2000). The shorter wirelength is obtained, but excessive vias are generated.

Constructing the non-Manhattan SMT is an NP-hard problem (Garey and Johnson 1977). The above researches on non-Manhattan SMT are all based on exact algorithm and traditional heuristic algorithm. However, the time complexity of exact algorithm increases exponentially with the extension of problem scale. Most of the traditional heuristic algorithms are based on greedy strategy and easy to fall into the local optimum. The above two methods did not make full use of the geometric properties of non-Manhattan architecture and cannot guarantee the quality of SMT. These methods provided less suitable method for the topology optimization, and therefore, it is not satisfactory in terms of time efficiency, wirelength and so on.

The SMT problem is an NP-hard problem, so some evolutionary algorithms which have shown good application

prospect in solving NP-hard problems were used to solve RSMT (Arora and Mose 2009; Liu et al. 2011, Abhinandan et al. 2013, Bhattacharya et al. 2014; Manna et al. 2015; Kundu et al. 2016, 2017) and OSMT problem (Arora and Mose 2009; Liu et al. 2012). As a swarm-based evolutionary method, particle swarm optimization (PSO) was proposed by Eberhar and Kennedy (1995). PSO algorithm has many advantages such as global search and stable (Xue et al. 2013; Agrawal and Silakari 2014; Rada-Vilela et al. 2013; Wang et al. 2017). In recent years, PSO algorithm has been widely and successfully applied in many fields and proved to be a powerful optimization tool by means of continuous simulation and theoretical analysis (Ruiz-Cruz et al. 2013; Liu et al. 2015a,b,c; Xu et al. 2016; Huang et al. 2017).

Therefore, an efficient unified algorithm based on hybrid transformation strategy (HTS) and PSO, namely HTS-PSO, is proposed to solve the construction of OSMT and RSMT problem. This paper makes the following contributions.

- To our best knowledge, HTS-PSO is the first unified algorithm to solve the SMT construction under both octagonal and rectilinear architecture. And it can achieve the best solution. With less runtime, our algorithm reduces 8.65%, 9.93%, 0.83%, and 1.22% than recently published methods (Kundu et al. 2017, 2016; Manna et al. 2015; Bhattacharya et al. 2014) on wirelength, respectively. Moreover, the algorithm can obtain several topologies of SMTs, which is beneficial for optimizing congestion in VLSI global routing stage.
- An efficient HTS is proposed to enlarge the search space and accelerate the convergence of the proposed algorithm. Meanwhile, HTS can be applied to genetic algorithm (GA) and the proposed algorithm can also be applied to rectilinear architecture. Therefore, the proposed HTS has high versatility.
- For the acceleration coefficients, an effective self-adapting adjustment strategy is adopted to achieve fast convergence rate. Meanwhile, the crossover and mutation operators of genetic algorithm based on union-find sets is proposed to avoid invalid solution. Moreover, when the proposed algorithm is used to solve large-scale problems, its optimization ability is becoming stronger. Therefore, the proposed algorithm is more advantageous and suitable for solving VLSI routing problems.

The rest of the paper is organized as follows. In Sect. 2, the preliminaries are presented. Section 3 introduces the hybrid transformation strategies. In Sect. 4, the corresponding implementation details and the global convergence proof of HT-PSO are described. To prove the good performance of the proposed HTS-PSO, several comparisons are presented in Sect. 5. Section 6 concludes this paper.

**Table 1** The input information for the pins of net

Number	1	2	3	4	5	6	7	8
X-coordinate	33	2	42	47	34	38	37	20
Y-coordinate	33	9	35	2	1	2	5	4

## 2 Preliminaries

### 2.1 Problem Formulation

**Definition 1** In the  $\lambda$ -geometry, the routing direction is  $i\pi/\lambda$ , where  $i$  is an arbitrary integer and  $\lambda$  is an integer. Different routing directions are obtained by different values of  $i$  and  $\lambda$ .

- (1) Rectilinear architecture: The value of  $\lambda$  is set to 2, i.e., the routing direction is  $i\pi/2$ , which includes  $0^\circ$  and  $90^\circ$ , namely horizontal and vertical orientations. Rectilinear architecture belongs to Manhattan architecture.
- (2) Octagonal architecture: The value of  $\lambda$  is set to 4, i.e., the routing direction is  $i\pi/4$ , which includes  $0^\circ$ ,  $45^\circ$ ,  $90^\circ$  and  $135^\circ$ . Octagonal architecture belongs to non-Manhattan architecture.

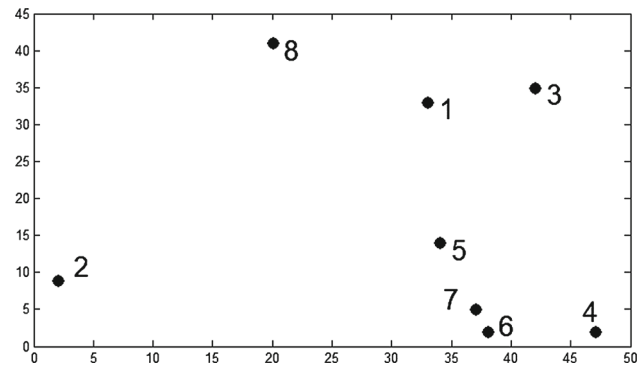
The SMT problem based on octagonal architecture is more complicated and challenging than the one based on rectilinear architecture. Therefore, we first study the efficient algorithm for the OSMT problem and then extend it to the RSMT problem.

**OSMT problem:** Given a set of  $n$  pins  $P = \{P_1, P_2, P_3, \dots, P_m\}$  and each  $P_i$  represented by a coordinate of  $(x_i, y_i)$ , construct an OSMT to connect the pins in  $P$  through some Steiner points, where the direction of routing path can be  $45^\circ$  and  $135^\circ$ , in addition to the traditional horizontal and vertical directions. For example, there is a routing net with 8 pins and the input information of the pins is given in Table 1. The layout distribution of the given pins is shown in Fig. 1. The coordinate pairs of pin 1 is (33,33), as shown in Column 2 of Table 1.

### 2.2 Definitions

**Definition 2 (Pseudo-Steiner point)** For convenience, we assume that the connection point except the pins is called the pseudo-Steiner point (PSP). In Fig. 2, the point  $S$  is PSP, and PSP contains the Steiner point.

**Definition 3 (Choice 0):** In Fig. 2a, let  $A = (x_1, y_1)$  and  $B = (x_2, y_2)$  be the two endpoints of line segment  $L$ , where  $x_1 < x_2$ . The Choice 0 of  $L$  is shown in Fig. 2b, which leads rectilinear edge from  $A$  to  $S$  firstly, and then leads octagonal edge from  $S$  to  $B$ .


**Fig. 1** The layout distribution of the given pins in Table 1

**Definition 4 (Choice 1):** The Choice 1 of  $L$  is shown in Fig. 2b, which leads octagonal edge from  $A$  to  $S$  firstly, and then leads rectilinear edge from  $S$  to  $B$ .

**Definition 5 (Choice 2):** The Choice 2 of  $L$  is shown in Fig. 2c, which leads vertical edge from  $A$  to  $S$  firstly, and then leads horizontal edge from  $S$  to  $B$ .

**Definition 6 (Choice 3):** The Choice 3 of  $L$  is shown in Fig. 2d, which leads horizontal edge from  $A$  to  $S$  firstly, and then leads vertical edge from  $S$  to  $B$ .

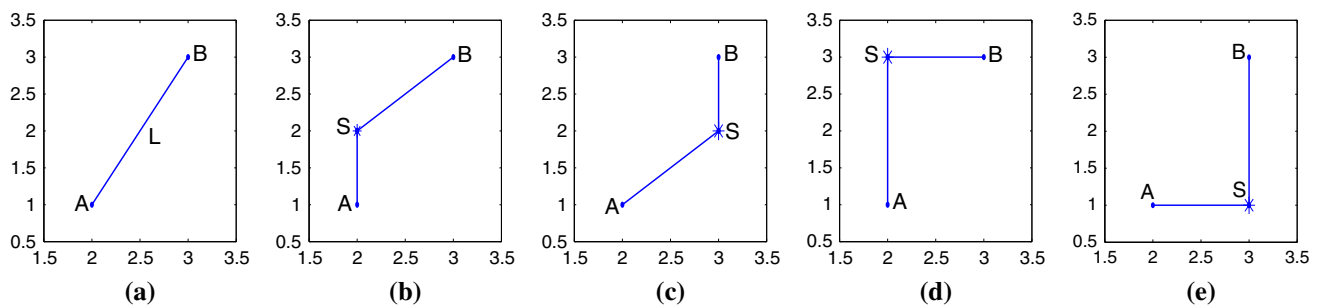
### 2.3 Basic PSO Algorithm

PSO is a swarm intelligence method, which considers that a swarm contain  $p$  particles in a  $D$ -dimensional continuous solution space. Each  $i$ th particle has its own position and velocity. Assuming that the search space is  $D$ -dimensional, the position of the  $i$ th particle is denoted as a  $D$ -dimensional vector:  $X_i = (X_{i1}, X_{i2}, \dots, X_{iD})$  and the best particle in the swarm is denoted as  $P_g$ . The best previous position of the  $i$ th particle is recorded and represented as  $P_i = (P_{i1}, P_{i2}, \dots, P_{iD})$ , while the velocity for the  $i$ th particle can be defined by another  $D$ -dimensional vector:  $V_i = (V_{i1}, V_{i2}, \dots, V_{iD})$ . According to these definitions, the particle position and velocity can be calculated according to the following equations.

$$V_i^{t+1} = w \times V_i^t + c_1 r_1 (P_i^t - X_i^t) + c_2 r_2 (P_g^t - X_i^t) \quad (1)$$

$$X_i^{t+1} = X_i^t + V_i^{t+1} \quad (2)$$

where  $w$  is an inertia weight.  $c_1$  and  $c_2$  are acceleration coefficients.  $r_1$  and  $r_2$  are both random numbers on the interval  $[0, 1]$ .



**Fig. 2** Four choices of PSP for the given line segment. **a** Line segment *L*, **b** Choice 0, **c** Choice 1, **d** Choice 2, **e** Choice 3

### 3 Hybrid transformation strategy

#### 3.1 PSP transformation

For the OSMT construction (Liu et al. 2012), it used PSP transformation strategy and each PSP selection method contains four choices, namely Choice 0, Choice 1, Choice 2 and Choice 3, respectively. Based on PSP transformation strategy, the proposed algorithm takes the wirelength as the optimization target and achieves short wirelength than RSMT, and the average wirelength can be reduced by 9.68% in Table 2.

**Property 1** Only with PSP transformation strategy based on minimum spanning tree (MST), the optimization ability of OSMT construction algorithm is limited.

If the algorithm uses only PSP transformation strategy, then it is a method based on MST to construct SMT. According to Ma et al. (2000), for the rectilinear architecture, the wirelength of SMT based on MST construction may be 1.5 times than exact solution and has a certain distance from the optimal solution to the accurate RSMT. As shown in (3),  $\text{cost}(\text{MST})$  represents the length of the SMT based on MST and  $\text{cost}(\text{RSMT})$  represents the exact solution of RSMT. For the octagonal routing problem with more routing direction, the maximum distance will be greater.

$$\text{cost}(\text{MST})/\text{cost}(\text{RSMT}) < 3/2 \quad (3)$$

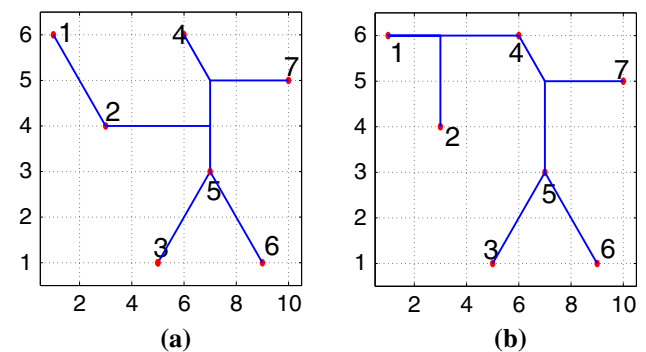
Therefore, for constructing SMT, only PSP transformation strategy is executed, the solution space does not necessarily contain the optimal solution and even 0.5 times farther from the optimal solution. Therefore, we need to redesign the transformation strategy. Then another basic transformation strategy, namely edge transformation ('E transformation' represents 'edge transformation' in this paper), will be introduced in next subsection.

#### 3.2 E transformation

**Property 2** The introduction of E transformation enlarges the search space of the algorithm, and it has the opportunity to

**Table 2** Comparison between PSP transformation and RSMT

Circuit	Pin#	RSMT	PSP	Imp(%) / RSMT
1	8	17,928	16,918	5.64
2	9	20,478	18,041	11.90
3	10	21,969	19,696	10.35
4	20	35,675	32,207	9.72
5	50	53,518	48,020	10.27
6	70	62,633	56,433	9.90
7	100	75,584	68,855	8.90
8	410	158,206	141,894	10.31
9	500	170,924	154,825	9.42
10	1000	246,731	221,090	10.39
Average				9.68



**Fig. 3** The necessity of E transformation in the evolutionary process. **a** Tree topology A, **b** Tree topology B

obtain better routing solution than PSP transformation. Even in some cases, it can help the algorithm to find the optimal solution.

**Property 3** With the introduction of E transformation, the search space of our proposed algorithm contains the exact solution for rectilinear routing.

The update operation of PSO for constructing OSMT (Liu et al. 2012) only considers the PSP transformation. However, in the process of constructing RSMT or OSMT, the

**Table 3** Comparison among E transformation, PSP transformation and RSMT

TEST	RSMT	PSP	E	Imp/RSMT(%)	Imp/PSP (%)
1	17,928	16,918	16,921	5.62	− 0.02
2	20,478	18,041	18,023	11.99	0.10
3	21,969	19,696	19,397	11.71	1.52
4	35,675	32,207	32,163	9.85	0.14
5	53,518	48,020	48,027	10.26	− 0.02
6	62,633	56,433	56,551	9.71	− 0.21
7	75,584	68,855	68,991	8.72	− 0.20
8	158,206	141,894	141,443	10.60	0.32
9	170,924	154,825	155,820	8.84	− 0.64
10	246,731	221,090	221,324	10.30	− 0.11
Average				9.76	0.09

topology of the routing tree is variable. For example, in Fig. 3, in the evolutionary process of constructing OSMT, there are two different topologies. The edge (4,2) exists in the routing tree shown in Fig. 3b, but does not exist in the routing tree in Fig. 3a. In Fig. 3, the transformation of the two edges is not possible only through PSP transformation strategy. Therefore, in the update operation of the RSMT or OSMT construction algorithm, E transformation strategy is needed in addition to PSP transformation strategy. Moreover, E transformation strategy can further enhance the wirelength optimization ability. In Table 3, E transformation can reduce the wirelength by 0.09% compared with PSP transformation. Meanwhile, E transformation is introduced into the update operation and it can ensure that the search space of the proposed algorithm (Liu et al. 2011) contains the optimal solution. And compared with the RSMT construction algorithm (Liu et al. 2011), the average wirelength reduction of 9.76% is obtained.

### 3.3 Combinations of HTS

Considering the respective advantages of PSP transformation and E transformation, we assume that these two transformations can be combined with different combinations to find the optimal HTS. In Table 4, we list all the combinations of HTS, including PSP:PSP, E:E, (PSP+E):(PSP+E), PSP:(PSP+E), (PSP+E):E, E:(PSP+E), (PSP+E):PSP, E:PSP, PSP:E. We divide the iterative process of the algorithm into the first half and the second half. In Table 4, for combinations CM1, CM2 and CM3, the first half and the second half are using the same transformation strategy. CM1 is PSP transformation, CM2 is E transformation and CM3 is the basic hybrid strategy that will be described later.

#### 3.3.1 Combination of PSP and E transformation

**Property 4** The hybrid strategy with PSP and E transformation can further enlarge the search space. However, it may

**Table 4** The different combinations of HTS

Number	First half	Second half	Abbreviated way
1	PSP	PSP	CM1
2	E	E	CM2
3	PSP+E	PSP +E	CM3
4	PSP	PSP+E	CM4
5	PSP +E	E	CM5
6	E	PSP+E	CM6
7	PSP+E	PSP	CM7
8	E	PSP	CM8
9	PSP	E	CM9

have smaller convergence rate and even in some cases it cannot converge to better solution than PSP or E transformation.

The direct hybrid idea is that both PSP and E transformations are combined during the whole iterative process. We apply this method to the previous algorithm, and the results are obtained in Table 5. From Table 5, it can be seen that part of the circuits, HTS based on PSP and E transformation (it is represented by 'PSP+E', known as 'CM3') has the larger search space than PSP transformation. However, due to the large search space of CM3, in some circuits, its optimization ability is weaker than PSP or E transformation. In Table 5, for Circuit 1,5,6,7,8,9,10, CM3 is worse than PSP transformation, and for Circuit 5,7,8,10, CM3 is worse than E transformation. Finally, the SMT algorithm based on CM3 is compared with the RSMT algorithm. In Table 6, CM3 can achieve the 9.67% average improvements over RSMT algorithm on wirelength.

#### 3.3.2 The hybrid transformation strategy CM8

**Property 5** E transformation strategy can enlarge the search space, and PSP transformation can accelerate convergence in the later iteration.



**Table 5** Comparison among CM3, PSP and E transformation

Circuit	PSP	E	CM3	Imp/PSP (%)	Imp/E (%)
1	16,918	16,921	16,922	− 0.02	0.00
2	18,041	18,023	18,023	0.10	0.00
3	19,696	19,397	19,397	1.52	0.00
4	32,207	32,163	32,112	0.29	0.16
5	48,020	48,027	48,141	− 0.25	− 0.24
6	56,433	56,551	56,498	− 0.11	0.09
7	68,855	68,991	69,334	− 0.70	− 0.50
8	141,894	141,443	142,282	− 0.27	− 0.59
9	154,825	155,820	155,392	− 0.37	0.27
10	221,090	221,324	221,612	− 0.24	− 0.13
Average				− 0.01	− 0.09

**Table 6** Comparison between CM3 and RSMT

Circuit	RSMT	CM3	Imp/RSMT
1	17,928	16,922	5.61
2	20,478	18,023	11.99
3	21,969	19,397	11.71
4	35,675	32,112	9.99
5	53,518	48,141	10.05
6	62,633	56,498	9.80
7	75,584	69,334	8.27
8	158,206	142,282	10.07
9	170,924	155,392	9.09
10	246,731	221,612	10.18
Average			9.67

E:PSP hybrid transformation strategy, known as CM8 which refers to E transformation, is adopted in the early stage of the algorithm, and the latter only PSP transformation is adopted. In Table 7, the hybrid transformation strategy CM8 can achieve the 0.25% average improvements over PSP transformation on wirelength. In Table 7, CM8 can achieve the 9.91% average improvements over the RSMT construction algorithm on wirelength.

### 3.3.3 The hybrid transformation strategy CM7

**Property 6** PSP+E can further enlarge the search space in the early iteration and then find a better topology. Meanwhile, based on a better topology, the PSP can speed up the convergence to obtain a better solution in the later iteration.

CM7 is that E and PSP transformation are combined in the early stage of the algorithm, and later only PSP transformation is adopted. CM7 can achieve the 0.37% average improvements over PSP transformation on wirelength in Table 8. So the optimization effect of CM7 with PSP+E is

better than CM8 with E. In Table 8, CM7 can achieve the 10.01% average improvements over the RSMT construction algorithm on wirelength.

For CM7, in the early stage of iterative algorithm, to a certain extent, PSP+E can expand the more search space than CM8. And later using PSP transformation helps the algorithm to converge to a better solution in the richer search space.

### 3.3.4 Other hybrid transformation strategies

In Table 9, we list all combinations of HTS, from CM1 to CM9 and then test the wirelength optimization ability of these hybrid transformation strategies. Finally, we choose the best hybrid transformation strategy CM7 as an efficient HTS. We combine the best HTS with PSO, and then, an efficient algorithm based on the best HTS and self-adapting PSO, namely HTS-PSO, is proposed to solve the OSMT problem more efficiently.

## 4 Details of HTS-PSO algorithm

As (1) and (2) mentioned in Sect. 2.3, it is obvious that the basic PSO cannot obtain a discrete solution for the OSMT problem as a result of its continuous nature. It has guided many discrete PSO algorithms to solve discrete problems (Liu et al. 2010; Costas et al. 2012; Liu et al. 2015a,b,c). In our previous works, the importance of VLSI physical design and the advantages of PSO have made many research in partitioning, floorplanning, and routing (Chen et al. 2010; Guo et al. 2014; Liu et al. 2015a,b,c; Huang et al. 2017). For the OSMT problem, an effective algorithm based on HTS and self-adapting PSO are presented in this paper.

**Table 7** Comparison among CM8, PSP and E transformation

Circuit	RSMT	PSP	CM8	Imp/RSMT (%)	Imp/PSP (%)
1	17,928	16,918	16,923	5.61	− 0.03
2	20,478	18,041	18,023	11.99	0.10
3	21,969	19,696	19,397	11.71	1.52
4	35,675	32,207	32,099	10.02	0.33
5	53,518	48,020	48,090	10.14	− 0.15
6	62,633	56,433	56,352	10.03	0.14
7	75,584	68,855	68,915	8.82	− 0.09
8	158,206	141,894	141,497	10.56	0.28
9	170,924	154,825	154,410	9.66	0.27
10	246,731	221,090	220,725	10.54	0.17
Average				9.91	0.25

**Table 8** Comparison among CM7, PSP and E transformation

Circuit	RSMT	PSP	CM7	Imp/RSMT (%)	Imp/PSP (%)
1	17,928	16,918	16,921	5.62	− 0.02
2	20,478	18,041	18,023	11.99	0.10
3	21,969	19,696	19,397	11.71	1.52
4	35,675	32,207	32,073	10.10	0.41
5	53,518	48,020	48,058	10.20	− 0.08
6	62,633	56,433	56,326	10.07	0.19
7	75,584	68,855	68,790	8.99	0.09
8	158,206	141,894	141,124	10.80	0.54
9	170,924	154,825	154,001	9.90	0.53
10	246,731	221,090	220,266	10.73	0.37
Average				10.01	0.37

#### 4.1 Encoding strategy

The encoding strategy of a spanning tree usually includes two ways: Prufer number encoding (Gottlieb et al. 2001) and edge-vertex encoding.

**Definition 7** (*Puffer number*) The Puffer number encoding gives a one-one mapping between the spanning tree of the  $N$  nodes and the string of numbers of  $n - 2$ . It is customarily used to mark these nodes with numbers. The resulting string is called the Puffer number.

**Property 7** An edge-vertex encoding strategy is more suitable for evolutionary algorithms than Prufer number. Furthermore, an efficient decoding of Prufer number has the time cost that is  $O(n \log n)$ , while edge-vertex encoding strategy does not have to spend time decoding it.

The length of the Puffer number encoding is 1/3 of the length of the edge-vertex encoding. However, since one digit of the Puffer number encoding is changed, the topology of the entire spanning tree is greatly changed. And thus the optimal topological information of the particle cannot be well retained. Therefore, edge-vertex encoding is more suitable

**Table 9** Comparison between various combinations of HTS and RSMT

Number	First half	Second half	Imp/RSMT (%)
CM1	PSP	PSP	9.28
CM2	E	E	9.76
CM3	PSP+E	PSP+E	9.67
CM4	PSP	PSP+E	9.54
CM5	PSP+E	E	9.40
CM6	E	PSP+E	9.36
CM7	PSP+E	PSP	10.01
CM8	E	PSP	9.91
CM9	PSP	E	9.84

for the PSO algorithm and retains the optimal topological information of particles during the iterative process. Meanwhile, changing one digit of the edge-vertex encoding does not have a huge impact on the topology. Therefore, the proposed HTS-PSO algorithm adopts the edge-vertex encoding to encode a particle, which is more suitable for PSO, GA and other evolutionary algorithms.

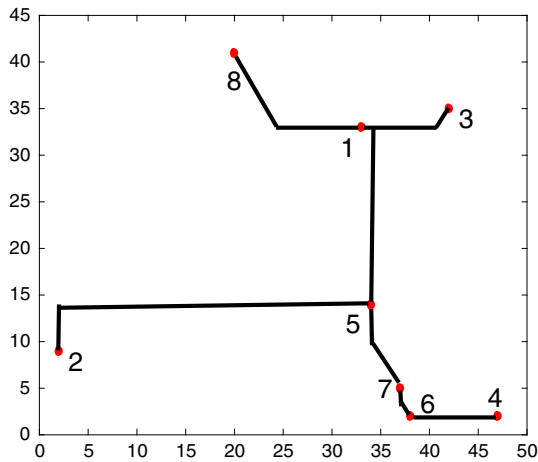


Fig. 4 One routing tree with eight pins

One candidate Steiner tree is represented as lists of spanning tree edges and the choice of pseudo-Steiner point which specifies the transformation from the spanning tree edge to the octagonal edge. Each choice of pseudo-Steiner point, includes four types in Definitions 3–6 and the value is 0, 1, 2 or 3 which denotes Choice 0, Choice 1, Choice 2 or Choice 3, respectively.

If a net has  $n$  pins, a spanning tree would have  $n-1$  edges and one extra digit which is the fitness of particle. For each three digits, the first two digits represent one edge that include two vertices and the last digit indicates the choice of pseudo-Steiner point. Thus the length of one particle is  $3 \times (n-1) + 1$ . For example, one routing tree ( $n = 8$ ) shown in Fig. 4 can be expressed as one particle whose encoding can be represented as the following numeric string.

760641751512130181522**74.63**

where the length of the particle is  $3 \times (8 - 1) + 1 = 22$ , the bold number 74.63 is the fitness of the particle and each italic number represents the choice of PSP for each edge. The coordinates of all pins are derived from Table 1. From Fig. 4, we can calculate the total length of the tree is 133.04 and then the fitness is 74.63 which is calculated according to (5). The first substring (7,6,0) represents one edge of the spanning tree in Fig. 4 which composed of Pin 7 and Pin 6 with Choice 0.

## 4.2 The Fitness Function

**Definition 8** The length of the Octilinear Steiner tree is the sum of the lengths of all the edge segments in the tree, which is calculated as follows.

$$L(T_x) = \sum_{e_i \in T_x} l(e_i) \quad (4)$$

where  $l(e_i)$  represents the length of each segment  $e_i$  in the tree  $T_x$ .

When calculating the sum of the length of each edge segment in octagonal Steiner tree, all the edge segments are divided into the following four types: horizontal edge segments, vertical edge segments,  $45^\circ$  edge segments, and  $135^\circ$  edge segments. First of all, the algorithm divides all the edge segment into the above four types. Then rotates the  $45^\circ$  edge clockwise direction to be the horizontal edge, and simultaneously rotates the  $135^\circ$  edge clockwise direction to be the vertical edge. The horizontal edge is arranged from the bottom to top and then from left to right according to the coordinate of the left pin. Simultaneously arrange the vertical edge from left to right and then from bottom to top according to the coordinate of the lower pin. Finally, the length of octagonal Steiner tree is the sum of the total length of these edges excluding overlap segments.

The smaller the fitness value, the better the particle is represented. Thus the particle fitness function is designed as follows.

$$fitness = \frac{1}{L(T_x) + 1} \times 10,000 \quad (5)$$

## 4.3 Update formula of the particle

The OSMT problem is the discrete optimization problem. Therefore, the update formula of basic PSO for particles can be no longer suitable for solving the OSMT problem. For this reason, the crossover and mutation operators combined with union-find partition are designed to construct the discrete update formula of particles for HTS-PSO algorithm. The redesigned particle update formula is defined as follows.

$$X_i^t = N_3(N_2(N_1(X_i^{t-1}, w), c_1), c_2) \quad (6)$$

where  $w$  is an inertia weight,  $c_1$  and  $c_2$  are acceleration constants.  $N_1$  denotes the mutation operation and  $N_2, N_3$  denote the crossover operations.

The introduction of E transformation further optimizes the wirelength of the Steiner tree. However, the introduction of E transformation may lead to the appearance of loop and generate the invalid solution in the iterative process. For this reason, the union-find sets which keeps track of the connected components is integrated into the following update operators.

The velocity of particles can be written as follows.

$$W_i^t = N_1(X_i^{t-1}, w) = \begin{cases} M(X_i^{t-1}), & r_1 < w \\ X_i^{t-1}, & \text{others} \end{cases} \quad (7)$$



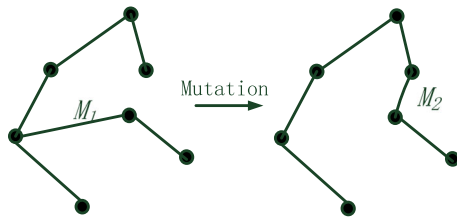


Fig. 5 Mutation operation with the Union-Find partition

#### Algorithm 1 Mutation operator( $p$ )

**Input:** Particle  $p$   
**Output:** New particle  
Initialize each pin's partition to singletons  
 $r = \text{random}(1, n - 1)$ ; //  $n$  is the number of pins  
**for** each edge  $e_i$  of  $p$  **do**  
    **if**  $e_i \neq e_r$  **then**  
         $\text{Union\_partition}(u, v)$ ; //  $u$  and  $v$  is endpoint of  $e_i$ ,  $u$  and  $v$  are merged into the same set  
    **end if**  
**end for**  
**while** **true** **do**  
     $p_1 = \text{random}(1, n - 1)$ ; // generate a random number between  $(1, n-1)$   
     $p_2 = \text{random}(1, n - 1)$ ;  
    **if**  $\text{Find\_set}(p_1) \neq \text{Find\_set}(p_2)$  **then**  
         $\text{Union\_partition}(p_1, p_2)$ ; //  $p_1$  and  $p_2$  are not in the same set  
         $\text{generate\_edge}(p_1, p_2)$ ;  
        **break**;  
    **end if**  
**end while**

where  $w$  denotes the mutation probability.  $r_1$  is a random number on the interval  $[0, 1)$ .

Mutation operator randomly deletes an edge from the spanning tree and replaces it with another randomly generated edge. In order to make sure that the spanning tree is connected, we use a union-find set to record all the rest of points as two sets after one edge is deleted and randomly select a point from the two point sets, respectively and then connect the two points to form a new spanning tree. The principle is shown in Fig. 5, where edge  $M_1$  represents the edge to be removed, and edge  $M_2$  represents the new edge. The pseudo code of mutation operator is shown in Algorithm 1.

The cognitive personal experience of particles can be written as follows.

$$S_i^t = N_2(W_i^t, c_1) = \begin{cases} C_p(W_i^t), & r_2 < c_1 \\ W_i^t, & \text{others} \end{cases} \quad (8)$$

where  $c_1$  denotes the crossover probability between the particles and the personal optimal solution.  $r_2$  is a random number on the interval  $[0, 1)$ .

The cooperative global experience of particles can be written as follows.

$$X_i^t = N_3(S_i^t, c_2) = \begin{cases} C_g(S_i^t), & r_3 < c_2 \\ S_i^t, & \text{others} \end{cases} \quad (9)$$

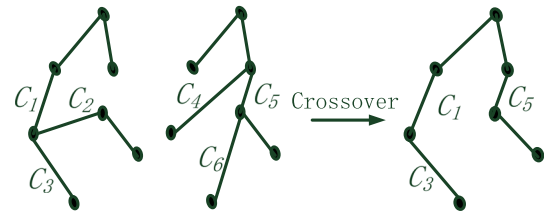


Fig. 6 Crossover operation with the Union-Find partition

#### Algorithm 2 Crossover operator( $p, q$ )

**Input:** Particle  $p$  and  $q$   
**Output:** New particle  
Initialize each pin's partition to singletons  
 $\text{Sort\_edge}(p, u)$ ; // sort edge of  $p$  according to the serial number of the first endpoint  $u$   
 $\text{Sort\_edge}(p, v)$ ; // sort edge of  $p$  according to the serial number of the second endpoint  $v$   
 $\text{Sort\_edge}(q, u)$ ;  
 $\text{Sort\_edge}(q, v)$ ;  
 $\text{set1} = \text{Select\_same\_edge}(p, q)$ ;  
 $\text{set2} = \text{Select\_different\_edge}(p, q)$ ;  
 $\text{Union\_partition}(u, v, \text{set1})$ ; // merge each edge of set1  
 $\text{New\_particle} = \text{Generate\_edge}(\text{set1})$ ;  
**while**  $\text{New\_particle}$  is not a complete tree **do**  
     $L(u, v) = \text{Random\_select\_edge}(\text{set2})$ ;  
    **if**  $\text{Find\_set}(u) \neq \text{Find\_set}(v)$  **then**  
        add  $L(u, v)$  to  $\text{New\_particle}$ ;  
         $\text{Union\_partition}(u, v, L)$ ;  
    **end if**  
**end while**

where  $c_2$  denotes the crossover probability between the particles and the global optimal solution.  $r_3$  is a random number on the interval  $[0, 1)$ .

When implementing the crossover operator in (8) and (9), we firstly sort the edges according to the serial number of pins from small to large in both the spanning tree. Secondly, we use union-find set to choose the same edge from the two sorted tree as a set and the rest of the different edges as another set and then the first set of edges directly as the new spanning tree's edges. Finally, we select one edge randomly from the second set and add to the new spanning tree, while we prevent generating circle by using union-find set. The principle is shown in Fig. 6, where  $C_1, C_2, C_3, C_4, C_5, C_6$  represent the different edges between two spanning trees, and the set  $C_1, C_3, C_5$  represent new edges of the new spanning tree. The pseudo code of crossover operator is shown in Algorithm 2.

#### 4.4 Parameter adjustment analysis

**Property 8** The setting of inertia weight affects the balance between local search ability and global search ability of particle.

From the velocity update formula, the first part provides the flight impetus of particle in search space and represents the effect of previous velocity on the flight trajectory. Thus

inertia weight is a numerical value which indicates the extent of such influence.

**Property 9** Larger inertia weight will make the algorithm has strong global search ability.

Property 8 and (1) show that inertia weight decides how much previous velocity will be preserved. Thus a larger inertia weight can strengthen the capability of searching the unreached area. It is conducive to enhance the global search ability of the algorithm and jump out of the local minima. A smaller inertia weight suggests that the algorithm mainly searches near the current solution. It is conducive to enhance the local search ability and accelerate convergence.

In order to ensure a stronger global search, a larger inertia weight early in the program and a smaller one in the later stages to guarantee the local search is employed (Shi and Eberhart 1998). Simulation on four kinds of different benchmark functions showed that such strategy of parameters actually improved the performance of PSO.

**Property 10** Larger acceleration coefficients  $c_1$  may cause wandering in local scope. Larger acceleration coefficients  $c_2$  will make the algorithm prematurely converge on local optimal solution.

Acceleration coefficients  $c_1$  and  $c_2$  are used in communicating between particles. According to Property 10, when the fitness value of the current particle is worse than the average fitness value of the current population, the current particle should require a greater degree of movement to the optimal particle and a larger acceleration coefficients  $c_2$  is necessary. On the contrary, when the fitness value of the current particle is better than the average fitness value of the current population, the current particle could move within its own local scope and a larger acceleration coefficients  $c_1$  is more helpful. In this way, the algorithm with the self-adapting parameter adjustment strategy will guarantee detailed search in local scope, not have to move directly to the position of global optimal in early phases, and speed up convergence in the later stages.

Similar to Chen et al. (2010), we have tested several kinds of the initial value of the inertia weight and the final value of the inertia weight in Oliver30 TSP which is minimization problem. Each experimental setting is conducted five runs, and each average is calculated. Consequently, the initial value  $w_{start} = 0.95$  and the final value of  $w_{end} = 0.4$  are considered as the optimal combination of parameter settings.

Based on the above analysis, we adopt the idea of linear decline proposed by Shi and Eberhart (1998) to update inertia weight  $w$  which decreases linearly from 0.95 to 0.4 according to (10). Acceleration coefficients  $c_1$  and  $c_2$  are updated by the self-adapting parameter adjustment strategy according to (11) and (12), respectively.

$$w = w_{start} - \frac{w_{start} - w_{end}}{\text{evaluations}} \times \text{eval} \quad (10)$$

$$c_1 = \begin{cases} 0.5 \times \left(1 + \frac{f_{ave} - f_i}{f_{ave} - f_{min}}\right), & f_i < f_{ave} \\ 0.5 \times \frac{f_{max} - \text{fitness}}{f_{max} - f_{ave}}, & \text{otherwise} \end{cases} \quad (11)$$

$$c_2 = 1 - c_1 \quad (12)$$

where evaluations represent the maximum number of iterations and eval represents the current iteration.  $f_i$  represents the fitness value of the current particle  $i$ , and  $f_{ave}$ ,  $f_{max}$ ,  $f_{min}$  represent the average, the maximum, minimum fitness value of the current population, respectively.

#### 4.5 Procedure of HTS-PSO

The detailed procedure of HTS-PSO can be summarized as follows.

- Step 1: Load circuit net-list data and sort them in ascending order according to the size of coordinates.
- Step 2: Initialize the population size, maximum iterations, inertia weight, and acceleration coefficients. Then the initial population is randomly generated.
- Step 3: Calculate the fitness of each particle among the initial population according to (5). And the personal optimal solution of each particle is set as itself and select the particle with minimal fitness as the global optimal solution of the initial population.
- Step 4: The proposed HTS is used as the basic operation of particle update method.
- Step 5: Adjust the position and velocity of each particle according to (7)–(9), including three steps: the retention of particle's previous velocity, learning the best direction of particles, and learning the optimal direction of the current population.
- Step 6: And then calculate the fitness value of each particle. If its fitness value is less than the personal optimal value, it is set as the personal optimal solution. If its fitness value is less than the global optimal value of the population, it is set as the global optimal solution.
- Step 7: Check the termination condition. If fulfilled, the run is terminated and the solution is obtained. Otherwise, go to Step5.

#### 4.6 Complexity of HTS-PSO

**Lemma 1** Assume that the population size is  $p$ , the number of iterations is  $iters$ , and the number of pins is  $n$ , and then, the complexity of the HTS-PSO algorithm is  $O(iters \times p \times n \log n)$ .

**Proof** In the internal loop of the HTS-PSO algorithm, from step 2 to step 6, mutation operations, crossover operations,

and fitness value calculation operations are included. For mutation and crossover operations, since the time complexity of the union-find set is slightly more than linear time, the sorting method whose complexity is  $O(n \log n)$  dominates the algorithm complexity of these operations. In the calculation of fitness, the complexity of computing the length of routing tree is determined by the complexity of the sorting method. Therefore, the complexity of the internal loop of the algorithm is  $O(n \log n)$ . At the same time, the complexity of the external loop of the algorithm is mainly related to the size of the population and the number of iterations of the algorithm. Therefore, the complexity of the HTS-PSO algorithm is  $O(\text{iters} \times p \times n \log n)$ .  $\square$

#### 4.7 Convergence analysis of HTS-PSO

**Theorem 1** *The Markov chain of HTS-PSO is finite and homogeneous.*

**Proof** Follow the similar method of Lv et al. (2009) and Liu et al. (2015a, b, c), and we can prove that the Markov chain of HTS-PSO is finite and homogeneous. The definition of finite Markov chain can be referred as Rudolph (1994).  $\square$

**Theorem 2** *Transition probability matrix of the Markov chain made up of HTS-PSO is positive definite.*

**Proof** Follow the similar method of Rudolph (1994) and Liu et al. (2015a, b, c), we can prove that transition probability matrix of the Markov chain made up of HTS-PSO is positive definite.

The limit theorem for Markov chain (Rudolph 1994) is the basis for the convergence of the algorithm. The limit theorem explains that the long-term probability of Markov chain does not depend on its initial states.  $\square$

**Lemma 2** *If mutation probability, the algorithm is an ergodic irreducible Markov chain which has only one limited distribution and nothing to do with the initial distribution. Moreover, the probability at a random time and random state is greater than zero.*

**Proof** At the  $t$ th time, the  $j$ th state probability distribution of population  $X(t)$  is:

$$P_j(t) = \sum_{i \in S} P_i(1) P_{ij}^{(t)}, \quad t = 1, 2, \dots \quad (13)$$

According to Theorem 2, we can get the formulation as following:

$$P_j(\infty) = \lim_{t \rightarrow \infty} \left( \sum_{i \in S} P_i(1) P_{ij}^{(t)} \right) = \sum_{i \in S} P_i(1) P_{ij}^{(\infty)} > 0, \quad \forall j \in S \quad (14)$$

$\square$

**Definition 9** Suppose a stochastic variant  $Z_t = \max \{f(x_k^{(t)}(i)) | k = 1, 2, \dots, N\}$  which represents individual best fitness at the  $t$ th step and  $i$ th state of the population. Then the algorithm converges to the global optimum, if and only if

$$\lim_{t \rightarrow \infty} P\{Z_t = Z^*\} = 1 \quad (15)$$

where  $Z^* = \max\{f(x) | x \in S\}$  represents the global optimum.

**Theorem 3** *For any  $i$  and  $j$ , the time transiting of an ergodic Markov chain from the  $i$ th state to the  $j$ th state is limited.*

**Theorem 4** *HTS-PSO algorithm can converge to the global optimum.*

**Proof** Suppose that  $i \in S$ ,  $Z_t < Z^*$  and  $P_i(t)$  is the probability of HTS-PSO algorithm at  $i$ th state and the  $t$ th step. Obviously  $P\{Z_t \neq Z^*\} \geq P_i(t)$ ; hence, we can know that  $P\{Z_t = Z^*\} \leq 1 - P_i(t)$ .

According to Lemma 2, the  $i$ th state probability of the operator in HTS-PSO algorithm is  $P_i(\infty) > 0$ , then

$$\lim_{t \rightarrow \infty} P\{Z_t = Z^*\} \leq 1 - P_i(\infty) < 1 \quad (16)$$

Observe a new population such as  $X_t^+ = \{Z_t, X_t\}$ ,  $t \geq 1$ ,  $x_{ti} \in S$  denoting the search space (which is a finite set or a countable set), where  $Z_t$ , the same to that in Definition 9, represents individual best fitness in current population.  $X_t$  denotes the population during the search. As it is easy to prove that the group shift process  $\{X_t^+, t \geq 1\}$  is still a homogeneous and ergodic Markov chain, we can know that

$$\begin{aligned} P_j^+(t) &= \sum_{i \in S} P_i^+(1) P_{ij}^+(t) \\ P_{ij}^+ &> 0 \quad (\forall i \in S, \forall j \in S_0) \\ P_{ij}^+ &= 0 \quad (\forall i \in S, \forall j \notin S_0) \end{aligned} \quad (17)$$

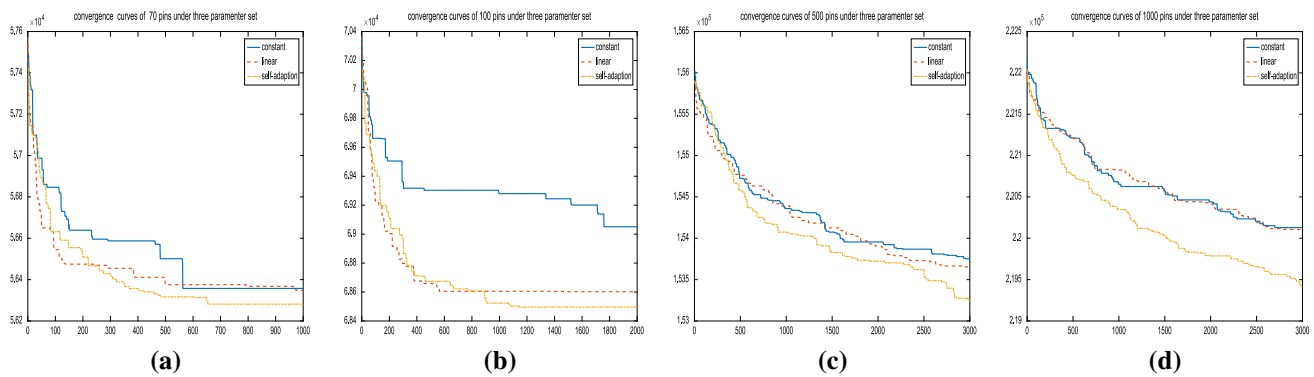
So

$$\begin{aligned} (P_{ij}^+)^t &\rightarrow 0 \quad (t \rightarrow \infty) \\ P_j^+(\infty) &\rightarrow 0 \quad (j \notin S_0) \\ \lim_{t \rightarrow \infty} P\{Z_t = Z^*\} &= 1 \end{aligned} \quad (18)$$

$\square$

## 5 Experiment results

In order to verify the performance and effectiveness of the algorithm and its related strategies, experimental comparisons are shown in detail from Sects 5.1–5.8. Two benchmark



**Fig. 7** The algorithm convergence comparison based on the three adjustment strategies. **a** Test 6: 70 pins, **b** Test 7: 100 pins, **c** Test 9: 500 pins, **d** Test 10: 1000 pins

circuit suites, namely GEO and ISPD, respectively, are used in this paper (Alpert 1998, Zachariasen 2003). The circuit scales of GEO and ISPD are given in Tables 2 and 18, respectively. It can be seen from Tables 2 and 18, the scale of GEO including the number of total pins from 8 to 1000, while the scale of ISPD including the number of total pins from 44,266 to 26,900 and the number of nets from 11,507 to 64,227.

### 5.1 Validation of the self-adapting parameter adjustment strategy

For the adjustment strategy of the acceleration coefficients in PSO, Ratnaweera et al. (2004) proposed a kind of strategy which employs a larger  $c_1$  and a smaller  $c_2$  in the early phases and the opposite in the later. Similar to Chen et al. (2010),  $c_1 = 0.82 - 0.5$  and  $c_2 = 0.4 - 0.83$  are considered as the optimal combination of parameter settings. The acceleration coefficients of our algorithm are based on the proposed self-adapting parameter adjustment strategy. The adaptive adjustment strategy of the acceleration coefficients is compared with the linear adjustment strategy (Ratnaweera 2004) and the constant strategy (Eberhar and Kennedy 1995), and then, the convergence of the three algorithms is shown in Fig. 7.

For the test circuits 6, 7, 9, 10, it can be seen that the proposed algorithm based on the self-adapting parameter adjustment has the best convergence performance, the linear parameter adjustment strategy is in the middle, and the constant strategy is the worst. Similarly, in other test circuits, the proposed self-adapting parameter strategy has the better convergence effect than others. In view of the limitation of space, the convergence comparison of other test circuits is not shown in the paper. In summary, the self-adapting parameter adjustment strategy proposed in this paper can further increase the diversity of the population and accelerate the convergence.

**Table 10** The influence of HTS on wirelength optimization under different threshold values

Threshold value	Imp/RSMT (%)	Imp/OSMT (%)
0.0	9.68	0.00
0.1	10.07	0.44
0.2	10.10	0.46
0.3	10.04	0.40
0.4	10.02	0.38
0.5	10.01	0.37
0.6	9.96	0.31
0.7	9.91	0.25
0.8	9.83	0.17
0.9	9.69	0.01
1.0	9.67	−0.01

### 5.2 Validation of hybrid transformation strategies

In previous sections, HTS divides the whole iterative process into the first half and the second half, and the threshold parameter for the partition is 0.5. To selection the better threshold parameter for our proposed algorithm, we first range the threshold parameter  $\alpha$  from 0.0 to 1.0 with increasing the value by 0.1. Secondly, we implement the proposed algorithm based on the threshold parameter that have been set already. Finally, the experimental results are listed in Table 10.

From Table 10, the proposed algorithm has the best effect on the proposed HTS when the threshold value is 0.2. Based on  $\alpha = 0.2$ , our algorithm achieves 10.10% shorter wirelength than RSMT and 0.46% shorter wirelength than OSMT. Therefore, the threshold parameter of the proposed algorithm is set as 0.2.

### 5.3 Versatility validation of the proposed HTS

The efficient HTS in this paper can be applied to a variety of evolutionary algorithms, including PSO, GA, etc. Thus it has

**Table 11** The comparison results between HTS based on GA and two SMT construction algorithms

Circuit	RSMT	OSMT	GA	Imp/RSMT (%)	Imp/OSMT(%)
1	17,928	16,918	16,925	5.60	− 0.04
2	20,478	18,041	18,023	11.99	0.10
3	21,969	19,696	19,397	11.71	1.52
4	35,675	32,207	32,052	10.16	0.48
5	53,518	48,020	48,112	10.10	− 0.19
6	62,633	56,433	56,180	10.30	0.45
7	75,584	68,855	69,016	8.69	− 0.23
8	158,206	141,894	141,778	10.38	0.08
9	170,924	154,825	154,490	9.61	0.22
10	246,731	221,090	221,028	10.42	0.03
Average				9.90	0.24

good versatility. The application of the proposed HTS in two typical evolutionary algorithms, including PSO and GA, is designed in this paper, and the experimental results are given in Table 10 and Table 11, respectively. The two algorithms achieve the 9.90% and 10.10% reduction on the wirelength than RSMT, respectively. Meanwhile, the two algorithms achieve 0.24% and 0.46% reduction on the wirelength than RSMT, respectively.

#### 5.4 Validation of HTS for rectilinear architecture

We further apply the proposed HTS for the rectilinear architecture, that is, the RSMT construction based on our proposed HTS, and the experimental results are shown in Table 12. In Table 12, HRSMT indicates that the proposed HTS is involved, while RSMT indicates that HTS is not involved. Experimental results have shown that the proposed HTS designed for the rectilinear architecture can achieve 2.46% of the average wirelength optimization than the method without HTS.

In summary, the proposed HTS can bring better wirelength optimization ability for both the rectilinear architecture and octagonal architecture, and it provides a good application prospect for designing the unified algorithm and solving the routing problem based on different architectures. Finally, from Table 12, not only can the proposed HTS further enhance the wirelength optimization of rectilinear architecture, but also has an ability to obtain accurate solutions.

#### 5.5 Validation of multiple topologies

For Circuit 3, four different topologies can be obtained by running the proposed algorithm several times, as shown in Fig. 8. In fact, there are more than 4 kinds of topologies available with the same wirelength for Circuit 3, and only four topologies are shown in Fig. 8 due to limited space. The experimental results show that the proposed algorithm can

**Table 12** The effectiveness of the RSMT construction algorithm based on HTS

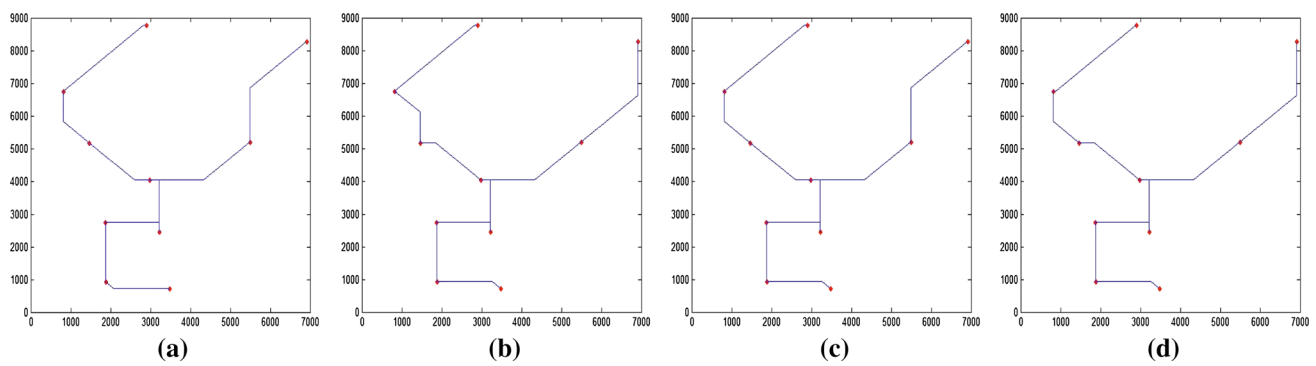
Circuit	RSMT	HRMST	Imp/RSMT (%)
1	17,928	17,693	1.31
2	20,478	19,797	3.33
3	21,969	21,226	3.38
4	35,675	35,072	1.69
5	53,518	52,025	2.79
6	62,633	61,129	2.40
7	75,584	74,416	1.55
8	158,206	153,672	2.87
9	170,924	166,592	2.53
10	246,731	239,824	2.80
Average			2.46

obtain many different topologies of RSMT and OSMT under the same or near optimal wirelength. The ability to obtain multiple Steiner trees with different topologies can help to provide different topology options for congestion optimization in the global routing stage.

#### 5.6 Statistical results of several population-based techniques

To verify the superiority of the proposed method in terms of robustness, statistical results of the proposed method compared with two well-established population-based techniques are shown in Tables 13–16. These two compared population-based techniques are a differential evolution (DE) technique proposed by Manna et al. (2015), and an artificial bee colony optimization technique (ABC) proposed by Bhattacharya et al. (2014). The experimental results of Table 13 and Table 14 are based on the benchmark circuits GEO, while the ones of Table 15 and Table 16 are based on the benchmark circuits ISPD.





**Fig. 8** Four different topologies with the same wirelength for Circuit 3

**Table 13** Statistical results of several population-based techniques in the circuits of GEO (1)

Circuit	Best value						Mean value					
	Absolute values			Normalized values			Absolute values			Normalized values		
	ABC	DE	Ours	ABC	DE	Ours	ABC	DE	Ours	ABC	DE	Ours
1	16,918	17,506	16,900	1.001	1.036	1.000	16,918	17,540	16,921	1.000	1.037	1.000
2	18,041	18,041	18,023	1.001	1.001	1.000	18,041	18,041	18,023	1.001	1.001	1.000
3	19,696	19,703	19,397	1.015	1.016	1.000	19,696	19,734	19,397	1.015	1.017	1.000
4	32,250	32,810	32,039	1.007	1.024	1.000	32,405	32,849	32,063	1.011	1.025	1.000
5	48,196	49,138	47,883	1.007	1.026	1.000	48,603	49,184	48,027	1.012	1.024	1.000
6	56,984	57,547	56,086	1.016	1.026	1.000	57,447	57,596	56,350	1.019	1.022	1.000
7	70,414	70,368	68,427	1.029	1.028	1.000	70,548	70,416	68,625	1.028	1.026	1.000
8	143,242	142,996	140,520	1.019	1.018	1.000	143,681	143,183	140,898	1.020	1.016	1.000
9	156,352	155,742	153,377	1.019	1.015	1.000	156,533	155,929	153,708	1.018	1.014	1.000
10	222,450	221,818	219,637	1.013	1.010	1.000	222,626	222,028	219,954	1.012	1.009	1.000
Average				1.013	1.020	1.000				1.014	1.019	1.000

**Table 14** Statistical results of several population-based techniques in the circuits of GEO (2)

Circuit	SD						Runtime (in s)					
	Absolute values			Normalized values			Absolute values			Normalized values		
	ABC	DE	Ours	ABC	DE	Ours	ABC	DE	Ours	ABC	DE	Ours
1	0.0	100.8	15.7	0.000	6.404	1.000	0.12	0.37	0.12	1.000	3.033	1.000
2	0.0	0.0	0.0	/	/	1.000	0.14	0.41	0.13	1.075	3.060	1.000
3	0.0	93.6	0.0	/	/	1.000	0.16	0.46	0.16	1.058	2.968	1.000
4	71.2	99.9	31.0	2.300	3.227	1.000	0.39	1.07	0.31	1.272	3.511	1.000
5	259.3	70.4	93.9	2.762	0.750	1.000	1.29	4.17	0.89	1.447	4.688	1.000
6	231.4	41.0	144.8	1.598	0.283	1.000	2.01	6.52	1.41	1.432	4.639	1.000
7	55.1	25.9	149.5	0.369	0.173	1.000	3.10	11.32	2.20	1.410	5.147	1.000
8	168.5	102.9	187.6	0.898	0.549	1.000	15.78	74.23	13.31	1.185	5.576	1.000
9	142.7	113.9	180.5	0.790	0.631	1.000	19.62	95.52	17.08	1.149	5.593	1.000
10	100.3	103.2	184.1	0.545	0.560	1.000	42.55	276.33	40.00	1.064	6.909	1.000
Average				1.158	1.572	1.000				1.209	4.513	1.000

**Table 15** Statistical results of several population-based techniques in the circuits of ISPD (1)

Circuit	Best value						Mean value					
	Absolute values			Normalized values			Absolute values			Normalized values		
	ABC	DE	Ours	ABC	DE	Ours	ABC	DE	Ours	ABC	DE	Ours
ibm01	56,730	56,722	56,139	1.011	1.010	1.000	56,734	56,726	56,145	1.010	1.010	1.000
ibm02	156,480	157,287	155,122	1.009	1.014	1.000	156,495	157,299	155,135	1.009	1.014	1.000
ibm03	135,217	135,661	134,147	1.008	1.011	1.000	135,234	135,671	134,165	1.008	1.011	1.000
ibm04	151,006	151,539	149,871	1.008	1.011	1.000	151,024	151,549	149,879	1.008	1.011	1.000
ibm06	258,951	260,638	257,013	1.008	1.014	1.000	258,982	260,666	257,030	1.008	1.014	1.000
ibm07	338,482	340,042	335,859	1.008	1.012	1.000	338,512	340,060	335,874	1.008	1.012	1.000
ibm08	376,283	378,120	372,903	1.009	1.014	1.000	376,312	378,132	372,938	1.009	1.014	1.000
ibm09	385,513	387,186	382,695	1.007	1.012	1.000	385,549	387,209	382,722	1.007	1.012	1.000
ibm10	537,828	539,909	533,192	1.009	1.013	1.000	537,857	539,940	533,218	1.009	1.013	1.000
Average				1.008	1.012	1.000				1.008	1.012	1.000

**Table 16** Statistical results of several population-based techniques in the circuits of ISPD (2)

Circuit	SD						Runtime (in min)					
	Absolute values			Normalized values			Absolute values			Normalized values		
	ABC	DE	Ours	ABC	DE	Ours	ABC	DE	Ours	ABC	DE	Ours
ibm01	3.1	2.0	3.0	1.037	0.671	1.000	0.98	3.35	0.75	1.310	4.454	1.000
ibm02	7.0	6.8	6.8	1.038	1.000	1.000	1.88	6.27	1.41	1.327	4.433	1.000
ibm03	12.9	6.4	12.3	1.051	0.524	1.000	1.63	5.40	1.13	1.443	4.791	1.000
ibm04	7.2	5.2	6.0	1.201	0.870	1.000	1.89	6.41	1.34	1.410	4.786	1.000
ibm06	23.6	11.4	11.9	1.985	0.957	1.000	2.71	8.77	1.95	1.386	4.491	1.000
ibm07	20.3	12.6	8.3	2.434	1.507	1.000	3.53	11.46	2.62	1.347	4.373	1.000
ibm08	22.4	7.9	24.5	0.918	0.323	1.000	4.73	15.65	3.49	1.356	4.485	1.000
ibm09	26.0	11.7	15.1	1.719	0.775	1.000	4.07	13.16	2.99	1.364	4.406	1.000
ibm10	18.8	19.4	13.7	1.375	1.418	1.000	6.11	19.86	4.53	1.349	4.381	1.000
Average				1.418	0.894	1.000				1.366	4.511	1.000

Each algorithm runs 20 times. The population size of the three population-based techniques is set to 100, while the maximum iterations is set to 1000. In Table 13, on the best value, our algorithms outperform ABC and DE by 1.3% and 2.0%, respectively, while on the mean value, 1.4% and 1.9%, respectively. In Table 14, on the standard deviation, our algorithms outperform ABC and DE by 15.8% and 57.2%, respectively, while on the runtime, it speeds up 1.209X and 4.513X, respectively. Similar to the results of the benchmark circuit GEO, the results of the benchmark circuit ISPD in Tables 15 and 16 show our algorithm achieve the better values than ABC and DE on the best value, the mean value, the standard deviation, and the runtime, respectively (except for the standard deviation compared with DE).

## 5.7 Compared with recent published methods

To verify the superiority of the proposed method, four recent published methods (Kundu et al. 2017, namely KNN, Kundu

et al. 2016, namely SAT, Manna et al. 2015; Bhattacharya et al. 2014) are used to compared with our proposed algorithm, and the results are shown in Tables 17 and 18, respectively. Our algorithm, ABC, and DE are all population-based techniques, and thus, the wirelength and runtime are both the mean values of 20 runs. In Table 17, our algorithm reduces 9.93% and 8.65% than SAT and KNN on wirelength, respectively, while it speeds up 1306.61X and 8.13X, respectively. In Table 18, our algorithm reduces 0.83% and 1.22% than ABC and DE on wirelength, respectively, while it speeds up 1.37X and 4.51X, respectively.

## 5.8 Compared with three typical evolutionary algorithms

To further verify the superiority of the proposed PSO algorithm, three typical evolutionary algorithms (Moscatto 1989, namely MA, Numaoka 1996, namely BEA, Holland 1992 namely GA) are redesigned for our problem and used to com-

**Table 17** Compared with SAT and KNN

Circuit	# Nets	# Points	Wirelength					Runtime (in min)				
			SAT	KNN	Ours	Imp/SAT	Imp/KNN	SAT	KNN	Ours	Imp/SAT (%)	Imp/KNN (%)
ibm01	11,507	44,266	61,005	61,071	56,145	7.97	8.07	152.63	3.77	0.75	203.03	5.01
ibm02	18,429	78,171	172,518	167,359	155,135	10.08	7.30	1144.17	9.75	1.41	808.73	6.89
ibm03	21,621	75,710	150,138	147,982	134,165	10.64	9.34	1817.97	10.75	1.13	1612.51	9.54
ibm04	26,163	89,591	164,998	164,838	149,879	9.16	9.07	1993.45	11.55	1.34	1488.82	8.63
ibm06	33,354	124,299	289,705	280,998	257,030	11.28	8.53	3952.85	20.85	1.95	2023.80	10.67
ibm07	44,394	164,369	368,015	368,780	335,874	8.73	8.92	4385.32	23.95	2.62	1673.66	9.14
ibm08	47,944	198,180	431,879	413,201	372,938	13.65	9.74	5844.37	27.82	3.49	1674.72	7.97
ibm09	50,393	187,872	418,382	417,543	382,722	8.52	8.34	3333.63	23.08	2.99	1116.22	7.73
ibm10	64,227	269,000	588,079	583,102	533,218	9.33	8.55	5250.10	34.50	4.53	1157.98	7.61
Average						9.93	8.65				1306.61	8.13

**Table 18** Compared with ABC and DE

Circuit	Wirelength					Runtime (in min)				
	ABC	DE	Ours	Imp/ABC	Imp/DE	ABC	DE	Ours	Imp/ABC (%)	Imp/DE (%)
ibm01	56,734	56,726	56,145	1.04	1.02	0.98	3.35	0.75	1.31	4.45
ibm02	156,495	157,299	155,135	0.87	1.38	1.88	6.27	1.41	1.33	4.43
ibm03	135,234	135,671	134,165	0.79	1.11	1.63	5.40	1.13	1.44	4.79
ibm04	151,024	151,549	149,879	0.76	1.10	1.89	6.41	1.34	1.41	4.79
ibm06	258,982	260,666	257,030	0.75	1.39	2.71	8.77	1.95	1.39	4.49
ibm07	338,512	340,060	335,874	0.78	1.23	3.53	11.46	2.62	1.35	4.37
ibm08	376,312	378,132	372,938	0.90	1.37	4.73	15.65	3.49	1.36	4.48
ibm09	385,549	387,209	382,722	0.73	1.16	4.07	13.16	2.99	1.36	4.41
ibm10	537,857	539,940	533,218	0.86	1.24	6.11	19.86	4.53	1.35	4.38
Average				0.83	1.22				1.37	4.51

**Table 19** Compared with MA and BEA (1)

Circuit	Best value						Mean value					
	Absolute values			Normalized values			Absolute values			Normalized values		
	MA	BEA	Ours	MA	BEA	Ours	MA	BEA	Ours	MA	BEA	Ours
1	16,918	17,488	16,900	1.001	1.035	1.000	17,197	17,678	16,900	1.018	1.046	1.000
2	18,040	18,041	18,023	1.001	1.001	1.000	18,083	18,276	18,023	1.003	1.014	1.000
3	19,695	19,703	19,397	1.015	1.016	1.000	19,701	19,880	19,397	1.016	1.025	1.000
4	32,511	32,836	32,090	1.013	1.023	1.000	32,684	33,093	32,154	1.016	1.029	1.000
5	48,580	49,138	47,902	1.014	1.026	1.000	48,914	49,322	48,040	1.018	1.027	1.000
6	57,213	57,612	56,444	1.014	1.021	1.000	57,392	57,695	56,526	1.015	1.021	1.000
7	70,044	70,486	68,905	1.017	1.023	1.000	70,230	70,594	69,128	1.016	1.021	1.000
8	143,136	143,535	141,512	1.011	1.014	1.000	143,465	143,667	141,883	1.011	1.013	1.000
9	155,882	156,172	154,514	1.009	1.011	1.000	156,270	156,481	154,782	1.010	1.011	1.000
10	222,032	222,324	220,818	1.005	1.007	1.000	222,434	222,543	221,052	1.006	1.007	1.000
Average				1.010	1.018	1.000				1.013	1.021	1.000

**Table 20** Compared with MA and BEA (2)

Circuit	SD						Runtime (in s)					
	Absolute values			Normalized values			Absolute values			Normalized values		
	MA	BEA	Ours	MA	BEA	Ours	MA	BEA	Ours	MA	BEA	Ours
1	193.5	163.6	0.0	/	/	1.000	0.20	0.21	0.04	4.900	5.150	1.000
2	58.2	154.2	0.0	/	/	1.000	0.24	0.21	0.05	5.333	4.600	1.000
3	3.5	159.6	0.0	/	/	1.000	0.28	0.20	0.06	5.018	3.691	1.000
4	94.5	129.4	44.5	2.123	2.907	1.000	0.68	0.25	0.10	6.657	2.431	1.000
5	172.9	107.9	89.5	1.933	1.206	1.000	2.22	0.47	0.29	7.769	1.636	1.000
6	103.9	47.1	76.1	1.366	0.619	1.000	3.55	0.55	0.52	6.876	1.060	1.000
7	97.8	51.8	147.2	0.664	0.352	1.000	5.84	0.76	0.70	8.406	1.092	1.000
8	134.3	93.2	188.0	0.714	0.496	1.000	37.28	2.85	4.18	8.923	0.682	1.000
9	170.8	158.1	157.5	1.085	1.004	1.000	47.56	3.81	5.45	8.729	0.700	1.000
10	146.0	102.5	111.5	1.309	0.920	1.000	110.87	8.00	13.00	8.530	0.615	1.000
Average				1.313	1.072	1.000				7.114	2.166	1.000

**Table 21** Compared with GA (1)

Circuit	Best value				Mean value			
	Absolute values		Normalized values		Absolute values		Normalized values	
	GA	Ours	GA	Ours	GA	Ours	GA	Ours
1	17,255	16,900	1.021	1.000	17,722	16,900	1.049	1.000
2	18,383	18,023	1.020	1.000	18,377	18,023	1.020	1.000
3	19,707	19,397	1.016	1.000	19,938	19,397	1.028	1.000
4	33,149	32,090	1.033	1.000	33,166	32,154	1.031	1.000
5	49,147	47,902	1.026	1.000	49,362	48,040	1.028	1.000
6	57,573	56,444	1.020	1.000	57,714	56,526	1.021	1.000
7	70,490	68,905	1.023	1.000	70,557	69,128	1.021	1.000
8	143,352	141,512	1.013	1.000	143,725	141,883	1.013	1.000
9	156,059	154,514	1.010	1.000	156,443	154,782	1.011	1.000
10	222,364	220,818	1.007	1.000	222,580	221,052	1.007	1.000
Average			1.019	1.000			1.023	1.000

**Table 22** Compared with GA (2)

Circuit	SD				Runtime (in s)			
	Absolute values		Normalized values		Absolute values		Normalized values	
	GA	Ours	GA	Ours	GA	Ours	GA	Ours
1	196.5	0.0	/	1.000	0.06	0.04	1.375	1.000
2	0.0	0.0	/	1.000	0.06	0.05	1.333	1.000
3	153.5	0.0	/	1.000	0.07	0.06	1.182	1.000
4	11.0	44.5	0.248	1.000	0.13	0.10	1.275	1.000
5	98.8	89.5	1.104	1.000	0.33	0.29	1.140	1.000
6	76.1	76.1	1.000	1.000	0.48	0.52	0.922	1.000
7	43.2	147.2	0.293	1.000	0.72	0.70	1.035	1.000
8	157.2	188.0	0.836	1.000	3.54	4.18	0.846	1.000
9	178.5	157.5	1.134	1.000	4.43	5.45	0.814	1.000
10	134.7	111.5	1.208	1.000	10.22	13.00	0.786	1.000
Average			0.832	1.000			1.071	1.000

pare with the proposed PSO algorithm. The results are shown in Tables 19, 20, 21, and 22, respectively. Our algorithm, MA, BEA, and GA are all typical evolutionary algorithms, and thus, the wirelength and runtime are both the mean values of 20 runs. The population size of the four algorithms is set to 50, while the maximum iterations is set to 500. In Table 19, on the best value, our algorithm outperforms MA and BAE by 1.0% and 1.8%, respectively, while on the mean value, 1.3% and 2.1%, respectively. In Table 20, on the standard deviation, our algorithm outperforms MA and BAE by 31.3% and 7.2%, respectively, while on the runtime, it speeds up 7.114X and 2.166X, respectively. In Table 21, on the best value, our algorithm outperforms GA by 1.9%, while on the mean value, 2.3%. In Table 22, on the standard deviation, GA outperforms our algorithm by 16.8%, while on the runtime, it speeds up 1.071X.

## 6 Conclusions

In this paper, we design an efficient hybrid transformation strategy, which has achieved good wirelength optimization compared with other transformation strategy and then select the best threshold parameter for our proposed algorithm. Meanwhile, in order to enhance the diversity of PSO algorithm, a self-adapting adjustment strategy is proposed to update the acceleration coefficients. Further, an efficient hybrid transformation strategy with PSO is proposed to construct the OSMT. Compared with the recently published methods, it can obtain the best wirelength with the least runtime. The proposed algorithm can obtain different topologies of OSMT, which is conducive to the congestion optimization in VLSI global routing stage.

Then the proposed hybrid transformation strategy can be applied in PSO and genetic algorithm, and it shows that it has very good versatility for evolutionary algorithms. Finally, the proposed hybrid transformation strategy is well extended to the rectilinear architecture, so that the hybrid transformation strategy can be applied effectively in different routing architecture. It overcomes the difficulty of designing different algorithms for different routing architectures in previous work and provides help and guidance for designing a unified algorithm to solve routing problems under different routing architectures. The final experimental results show the feasibility and effectiveness of the related strategies and algorithms in this paper.

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## Compliance with ethical standards

**Conflict of interest** All the authors declare that they have no conflict of interest.

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