A Compositional Pattern-Match Coverage Checker

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One of a compiler's roles is to warn if a function defined by pattern matching does not cover its inputs—that is, if there are missing or redundant patterns. Generating such warnings accurately is difficult for modern languages due to the myriad interaction of language features when pattern matching. This is especially true in Haskell, a language with a complicated pattern language that is made even more complicated by extensions offered by the Glasgow Haskell Compiler (GHC). Although GHC has spent a significant amount of effort towards improving its pattern-match coverage warnings, there are still several cases where it reports inaccurate warnings.

We introduce a coverage checking algorithm called Lower Your Guards, which boils down the complexities of pattern matching into *guard trees*. While the source language may have many exotic forms of patterns, guard trees only have three different constructs, which vastly simplifies the coverage checking process. Our algorithm is modular, allowing for new forms of source-language patterns to be handled with little changes to the overall structure of the algorithm. We have implemented the algorithm in GHC and demonstrate places where it performs better than GHC's current coverage checker, both in accuracy and performance.

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#### 1 INTRODUCTION

Pattern matching is a tremendously useful feature in Haskell and many other programming languages, but it must be wielded with care. Consider the following example of pattern matching gone wrong:

```
f :: Int \rightarrow Bool

f :: Int \rightarrow Bool
```

The f function exhibits two serious flaws. One obvious issue is that there are two clauses that match on 0, and due to the top-to-bottom semantics of pattern matching, this makes the f 0 = False clause completely unreachable. Even worse is that f never matches on any patterns besides 0, making it not fully defined. Attempting to invoke f 1, for instance, will fail.

To avoid these mishaps, compilers for languages with pattern matching often emit warnings whenever a programmer misuses patterns. Such warnings indicate if a function is missing clauses (i.e., if it is *non-exhaustive*), if a function has completely overlapping clauses (i.e., if it is *redundant*), or if a function has a right-hand side that cannot be reached (i.e., if it is *inaccessible*). We refer to the combination of checking for exhaustivity, redundancy, and accessibility as *pattern-match coverage checking*. Coverage checking is the first line of defence in catching programmer mistakes when defining code that uses pattern matching.

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Coverage checking for a set of equations matching on algebraic data types is a well studied (although still surprisingly tricky) problem—see Section 8 for this related work. But the coverage-checking problem becomes *much* harder when one includes the raft of innovations that have become part of a modern programming language like Haskell, including: view patterns, pattern guards, pattern synonyms, overloaded literals, bang patterns, lazy patterns, as-patterns, strict data contructors, empty case expressions, and long-distance effects (Section 5.1). Particularly tricky are GADTs [Xi et al. 2003], where the *type* of a match can determine what *values* can possibly appear; and local type-equality constraints brought into scope by pattern matching [Vytiniotis et al. 2011].

The current state of the art for coverage checking in a richer language of this sort is GADTs Meet Their Match [Karachalias et al. 2015], or GMTM for short. It presents an algorithm that handles the intricacies of checking GADTs, lazy patterns, and pattern guards. We argue that this algorithm is insufficient in a number of key ways. It does not account for a number of important language features and even gives incorrect results in certain cases. Moreover, the implementation of this algorithm in GHC is inefficient and has proved to be difficult to maintain due to its complexity.

In this paper we propose a new, compositional coverage-checking algorithm, called Lower Your Guards (LYG), that is much simpler, more modular, *and* more powerful than GMTM. We make the following contributions:

- We characterise the nuances of coverage checking that not even the algorithm in Karachalias
  et al. [2015] handles (Section 2). We also identify issues in GHC's implementation of this
  algorithm.
- We give an overview of our new algorithm LYG in Section 3. The key insight is to abandon the notion of structural pattern matching altogether, and instead desugar all the complexities of pattern matching into a very simple language of *guard trees*, with just three constructs (Section 3.1). Coverage checking on these guard trees becomes remarkably simple, returning an *annotated tree* (Section 3.2) decorated with *refinement types*. Finally, provided we have access to a suitable way to find inhabitants of a refinement type, we can report accurate coverage errors (Section 3.3).
- We shore up the intuitions of Section 3 with a formal treatment in Section 4.
- We demonstrate the compositionality of LYG in Section 6 by augmenting it with several language extensions. Although these extensions can change the source language in significant ways, the effort needed to incorporate them into the algorithm is comparatively small.
- We discuss how to optimize the performance of LYG (Section 6) and implement a proof of concept in GHC (Section 7).

We discuss the wealth of related work in Section 8.

#### 2 THE PROBLEM WE WANT TO SOLVE

What makes coverage checking so difficult in a language like Haskell? At first glance, implementing a coverage checking algorithm might appear simple: just check that every function matches on every possible combination of data constructors exactly once. A function must match on every possible combination of constructors in order to be exhaustive, and it must must on them exactly once to avoid redundant matches.

This algorithm, while concise, leaves out many nuances. What constitutes a "match"? Haskell has multiple matching constructs, including function definitions, case expressions, and guards. How does one count the number of possible combinations of data constructors? This is not a simple exercise since term and type constraints can make some combinations of constructors unreachable if matched on. Moreover, what constitutes a "data constructor"? In addition to traditional data constructors, GHC features *pattern synonyms* [Pickering et al. 2016], which provide an abstract

way to embed arbitrary computation into patterns. Matching on a pattern synonym is syntactically identical to matching on a data constructor, which makes coverage checking in the presence of pattern synonyms challenging.

Prior work on coverage checking (which we will expound upon further in Section 8) accounts for some of these nuances, but not all of them. In this section we identify all of the language features that complicate coverage checking. While these features may seem disparate at first, we will later show in Section 4 that these ideas can all fit into a unified framework.

#### 2.1 Guards

 Guards are a flexible form of control flow in Haskell. Here is a function that demonstrates various capabilities of guards:

```
\begin{array}{lll} \textit{guardDemo} :: \textit{Char} \rightarrow \textit{Char} \rightarrow \textit{Int} \\ \textit{guardDemo} \ \textit{c1} \ \textit{c2} \\ & | \ \textit{c1} == \ \text{`a'} & = \ 0 \\ & | \ \text{`b'} \leftarrow \textit{c1} & = \ 1 \\ & | \ \textit{let} \ \textit{c1'} = \textit{c1}, \ \text{`c'} \leftarrow \textit{c1'}, \ \textit{c2} == \ \text{`d'} = \ 2 \\ & | \ \textit{otherwise} & = \ 3 \end{array}
```

The first guard is a *boolean guard* that succeeds (i.e., evaluates its right-hand side) if the expression in the guard returns *True*. The second guard is a *pattern guard* that succeeds if the pattern in the guard successfully matches. Moreover, a guard can have let bindings or even multiple checks, as the third guard demonstrates. The fourth guard uses *otherwise*, which is simply defined as *True*.

Guards can be thought of as a generalization of patterns, and we would like to include them as part of coverage checking. Checking guards is significantly more complicated than checking ordinary pattern matches, however, since guards can contain arbitrary expressions. Consider this implementation of the *signum* function:

```
signum :: Int \rightarrow Int
signum x \mid x > 0 = 1
\mid x = 0 = 0
\mid x < 0 = -1
```

Intuitively, signum is exhaustive since the combination of (>), (==), and (<) covers all possible Ints. This is much harder for a machine to check, however, since that would require knowledge about the properties of Int inequalities. In fact, coverage checking for guards in the general case is an undecidable problem. While we cannot accurately check all uses of guards, we can at least give decent warnings for some common use cases for guards. For instance, take the following functions:

```
not :: Bool \rightarrow Bool not2 :: Bool \rightarrow Bool not3 :: Bool \rightarrow Bool not b \mid False \leftarrow b = True not2 \ False = True not3 \ x \mid x \leftarrow False = True not3 \ True \leftarrow False not3 \ True \leftarrow False
```

Clearly all are equivalent. Our coverage checking algorithm should find that all three are exhaustive, and indeed, LYG does so. We explore the subset of guards that LYG can check in more detail in Ryan: Cite relevant section.

### 2.2 Programmable patterns

Expressions in guards are far from the only source of undecidability that the coverage checker must cope with. GHC extends the pattern language in ways that are also impossible to check in the general case. We consider two such extensions here: view patterns and pattern synonyms.

 $Text.null :: Text \rightarrow Bool$ 

 2.2.1 View patterns. View patterns allow arbitrary computation to be performed while pattern matching. When a value v is matched against a view pattern  $f \to p$ , the match is successful when f v successfully matches against the pattern p. For example, one can use view patterns to succintly define a function that computes the length of Haskell's opaque Text data type:

```
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-- Checks if a Text is empty

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Text.uncons :: Text \rightarrow Maybe (Char, Text)

-- If a Text is non-empty, return Just (x, xs),

-- where x is the first character and xs is the rest of the Text

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length :: Text \rightarrow Int

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length (Text.null \rightarrow True) = 0

length (Text.uncons \rightarrow Just (\_, xs)) = 1 + length xs
```

When compiled, a view pattern desugars into a pattern guard. The desugared version of *length*, for instance, would look like this:

```
\begin{array}{ll} \textit{length'} :: \textit{Text} \rightarrow \textit{Int} \\ \textit{length'} \ t \mid \textit{True} \leftarrow \textit{Text.null} \ t &= \textit{True} \\ \mid \textit{Just} \ (\_, xs) \leftarrow \textit{Text.uncons} \ t = \textit{False} \end{array}
```

As a result, any coverage-checking algorithm that can handle guards can also handle view patterns, provided that the view patterns desugar to guards that are not too complex. For instance, LYG would not be able to conclude that *length* is exhaustive, but it would be able to conclude that the *safeLast* function below is exhaustive:

```
safeLast :: [a] \rightarrow Maybe \ a

safeLast \ (reverse \rightarrow [\ ]) = Nothing

safeLast \ (reverse \rightarrow (x : \_)) = Just \ x
```

2.2.2 Pattern synonyms. Pattern synonyms [Pickering et al. 2016] allow abstraction over patterns themselves. Pattern synonyms and view patterns can be useful in tandem, as the pattern synonym can present an abstract interface to a view pattern that does complicated things under the hood. For example, one can define *length* with pattern synonyms like so:

```
\begin{array}{ll} \textit{pattern Nil} :: \textit{Text} & \textit{length} :: \textit{Text} \rightarrow \textit{Int} \\ \textit{pattern Nil} \leftarrow (\textit{Text.null} \rightarrow \textit{True}) & \textit{length Nil} = 0 \\ \textit{pattern Cons} :: \textit{Char} \rightarrow \textit{Text} \rightarrow \textit{Text} & \textit{length (Cons } x \; xs) = 1 + \textit{length } xs \\ \textit{pattern Cons } x \; xs \leftarrow (\textit{Text.uncons} \rightarrow \textit{Just} (x, xs)) \end{array}
```

How should a coverage checker handle pattern synonyms? One idea is to simply look through the definitions of each pattern synonym and verify whether the underlying patterns are exhaustive. This would be undesirable, however, because (1) we would like to avoid leaking the implementation details of abstract pattern synonyms, and (2) even if we *did* look at the underlying implementation, it would be challenging to automatically check that the combination of *Text.null* and *Text.uncons* is exhaustive.

Intuitively, Text.null and Text.uncons together are exhaustive. GHC allows programmers to communicate this sort of intuition to the coverage checker in the form of COMPLETE sets  $^1$ . A COMPLETE set is a combination of data constructors and pattern synonyms that should be regarded

<sup>&</sup>lt;sup>1</sup>https://downloads.haskell.org/~ghc/8.8.3/docs/html/users\_guide/glasgow\_exts.html#pragma-COMPLETE

as exhaustive when a function matches on all of them. For example, declaring {-# COMPLETE Nil, Cons #-} is sufficient to make the definition of *length* above compile without any exhaustivity warnings. Since GHC does not (and cannot, in general) check that all of the members of a COMPLETE set actually comprise a complete set of patterns, the burden is on the programmer to ensure that this invariant is upheld.

#### 2.3 Strictness

 The evaluation order of pattern matching can impact whether a pattern is reachable or not. While Haskell is a lazy language, programmers can opt into extra strict evaluation by giving the fields of a data type strict fields, such as in this example:

```
data Void -- No data constructors
data SMaybe a = SJust !a | SNothing
v :: SMaybe Void \rightarrow Int
v SNothing = 0
```

The SJust constructor is strict in its field, and as a consequence, evaluating  $SJust \perp$  to weak-head normal form (WHNF) will diverge. This has consequences when coverage checking functions that match on SMaybe values, such as v. The definition of v is curious, since it appears to omit a case for SJust. We could imagine adding one:

```
v(S \mathcal{J}ust_{-}) = 1
```

It turns out, however, that the RHS of this case can never be reached. The only way to use SJust is to construct a value of type  $SMaybe\ Void$  is  $SJust\ \bot$ , since Void has no data constructors. Because SJust is strict in its field, matching on SJust will cause  $SJust\ \bot$  to diverge, since matching on a data constructor evaluates it to WHNF. As a result, there is no argument one could pass to v to make it return 1, which makes the SJust case unreachable.

2.3.1 Bang patterns. Strict fields are the primary mechanism for adding extra strictness in ordinary Haskell, but GHC adds another mechanism in the form of bang patterns. A bang pattern such as !pat indicates that matching against pat always evaluates it to WHNF. While data constructor matches are normally the only patterns that match strictly, bang patterns extend this treatment to other patterns. For example, one can rewrite the earlier v example to use the standard, lazy Maybe data type:

```
v' :: Maybe Void \rightarrow Int

v' Nothing = 0

v' (Just!) = 1
```

The  $\Im ust$  case in v' is unreachable for the same reasons that the  $S \Im ust$  case in v is unreachable. Due to the presence of bang patterns, a strictness-aware coverage-checking algorithm must be consider the effects of strictness on any possible pattern, not just those arising from matching on data constructors with strict fields.

#### 2.4 Type-equality constraints

Besides strictness, another way for pattern matches to be rendered unreachable is by way of *equality constraints*. A popular method for introducing equalities between types is matching on GADTs [Xi et al. 2003]. The following examples demonstrate the interaction between GADTs and coverage checking:

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Meta variables
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                                                       ::=
                                                             clause
        a, b, c
                 Type variables
                                             clause
                                                       ::=
                                                             f pat match
            Κ
                 Data constructors
                                                             x \mid \_ \mid K \overline{pat} \mid x@pat \mid !pat \mid expr \rightarrow pat
                                                pat
             P
                 Pattern synonyms
                                             match
                                                              = expr \mid grhs
                                                       ::=
                 Type constructors
             T
                                                             | \overline{quard} = expr
                                               grhs
                                                       ::=
              l
                 Literal
                                             guard
                                                             pat \leftarrow expr \mid expr \mid let x = expr
                 Expressions
         expr
```

**Fig. 1.** Source syntax

```
data T a b whereg1 :: T Int b \rightarrow b \rightarrow Intg2 :: T a b \rightarrow T a b \rightarrow IntT1 :: T Int Boolg1 T1 False = 0g2 T1 T1 = 0T2 :: T Char Boolg1 T1 True = 1g2 T2 T2 = 1
```

When g1 matches against T1, the b in the type T Int b is known to be a Bool, which is why matching the second argument against False or True will typecheck. Phrased differently, matching against T1 brings into scope an equality constraint between the types b and Bool. GHC has a powerful type inference engine that is equipped to reason about type equalities of this sort [Vytiniotis et al. 2011].

Just as important as the code used in the g1 function is the code that is *not* used in g1. One might wonder if g1 not matching its first argument against T2 is an oversight. In fact, the exact opposite is true: matching on T2 would be rejected by the typechecker. This is because T2 is of type T Char Bool, but the first argument to g1 must be of type T Int b. Matching against T2 would be tantamount to saying that Int and Char are the same type, which is not the case. As a result, g1 is exhaustive even though it does not match on all of T's data constructors.

The presence of type equalities is not always as clear-cut as it is in g1. Consider the more complex g2 function, which matches on two arguments of the type T a b. While matching the arguments against T1 T1 or T2 T2 is possible, it is not possible to match against T1 T2 or T2 T1. To see why, suppose the first argument is matched against T1, giving rise to an equality between a and Int. If the second argument were then matched against T2, we would have that a equals Char. By the transitivity of type equality, we would have that Int equals Char. This cannot be true, so matching against T1 T2 is impossible (and similarly for T2 T1).

Concluding that *g2* is exhaustive requires some non-trivial reasoning about equality constraints. In GHC, the same engine that typechecks GADT pattern matches is also used to rule out cases made unreachable by type equalities. Besides GHC's current coverage checker [Karachalias et al. 2015], there are a variety of other coverage checking algorithms that account for GADTs, including those for OCaml [Garrigue and Normand 2011], Dependent ML [Xi 1998a,b, 2003], and Stardust [Dunfield 2007]. LYG continues this tradition—see Ryan: What section? for LYG's take on GADTs.

## 3 OVERVIEW OF OUR SOLUTION

In this section, we aim to provide an intuitive understanding of LYG by way of deriving the intermediate representations of the pipeline step by step from motivating examples.

Figure 2 depicts a high-level overview over this pipeline. Desugaring the complex source Haskell syntax to the very elementary language of guard trees Gdt via  $\mathcal D$  is an incredible simplification for the checking process. At the same time,  $\mathcal D$  is the only transformation that is specific to Haskell,

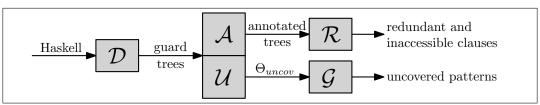


Fig. 2. Bird's eye view of pattern match checking

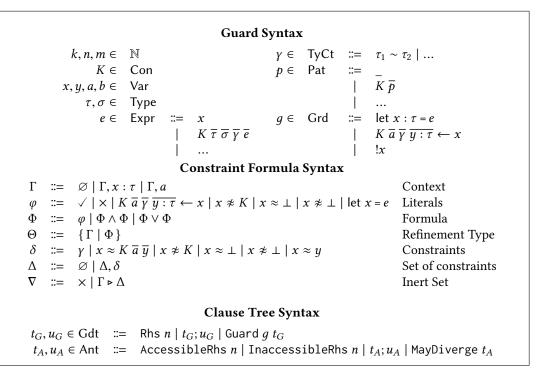


Fig. 3. IR Syntax

implying easy applicability to other languages. The resulting guard tree is then processed by two different functions,  $\mathcal{A}$  and  $\mathcal{U}$ , which compute redundancy information and uncovered patterns, respectively.  $\mathcal{A}$  boils down this information into an annotated tree Ant, for which the set of redundant and inaccessible right-hand sides can be computed in a final pass of  $\mathcal{R}$ .  $\mathcal{U}$ , on the other hand, returns a refinement type [Rushby et al. 1998; Xi and Pfenning 1998] representing the set of uncovered values, for which  $\mathcal{G}$  can generate the inhabiting patterns to show to the user.

## 3.1 Desugaring to guard trees

 To understand what language we should desugar to, consider the following 3am attempt at lifting equality over *Maybe*:

```
liftEq Nothing Nothing = True
liftEq (Just x) (Just y)
| x == y = True
| otherwise = False
```

Fig. 4. Graphical notation

Function definitions in Haskell allow one or more *guarded right-hand sides* (GRHS) per syntactic *clause* (see fig. 1). For example, *liftEq* has two clauses, the second of which defines two GRHSs. Semantically, neither of them will match the call site *liftEq* ( $\mathcal{J}ust$  1) *Nothing*, leading to a crash.

To see that, we can follow Haskell's top-to-bottom, left-to-right pattern match semantics. The first clause fails to match  $\mathcal{J}ust\ 1$  against Nothing, while the second clause successfully matches 1 with x but then fails trying to match Nothing against  $\mathcal{J}ust\ y$ . There is no third clause, and the uncovered tuple of values ( $\mathcal{J}ust\ 1$ ) Nothing that falls out at the bottom of this process will lead to a crash.

Compare that to matching on (Just 1) (Just 2): While matching against the first clause fails, the second matches x to 1 and y to 2. Since there are multiple GRHSs, each of them in turn has to be tried in a top-to-bottom fashion. The first GRHS consists of a single boolean guard (in general we have to consider each of them in a left-to-right fashion!) that will fail because 1/=2. The second GRHS is tried next, and because *otherwise* is a boolean guard that never fails, this successfully matches.

Note how both the pattern matching per clause and the guard checking within a syntactic *match* share top-to-bottom and left-to-right semantics. Having to make sense of both pattern and guard semantics seems like a waste of energy. Perhpas we can express all pattern matching by (nested) pattern guards, thus:

```
liftEq mx my

| Nothing \leftarrow mx, Nothing \leftarrow my = True

| Just x \leftarrow mx, Just y \leftarrow my | x == y = True

| otherwise = False
```

Transforming the first clause with its single GRHS is easy. But the second clause already has two GRHSs, so we need to use *nested* pattern guards. This is not a feature that Haskell offers (yet), but it allows a very convenient uniformity for our purposes: after the successful match on the first two guards left-to-right, we try to match each of the GRHSs in turn, top-to-bottom (and their individual guards left-to-right).

Hence LYG desugars the source syntax to the following *guard tree* (see fig. 3 for the full syntax and fig. 4 the corresponding graphical notation):

This representation is quite a bit more explicit than the original program. For one thing, every source-level pattern guard is strict in its scrutinee, whereas the pattern guards in our tree language

are not, so we had to insert *bang guards*. By analogy with bang patterns, !x evaluates x to WHNF, which will either succeed or diverge. Moreover, the pattern guards in Grd only scrutinise variables (and only one level deep), so the comparison in the boolean guard's scrutinee had to be bound to an auxiliary variable in a let binding.

Pattern guards in Grd are the only guards that can possibly fail to match, in which case the value of the scrutinee was not of the shape of the constructor application it was matched against. The Gdt tree language determines how to cope with a failed guard. Left-to-right matching semantics is captured by Guard, whereas top-to-bottom backtracking is expressed by sequence (;). The leaves in a guard tree each correspond to a GRHS.

## 3.2 Checking guard trees

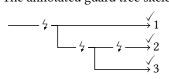
 Coverage checking works by gradually refining the set of reaching values Ryan: Did you mean to write "reachable values" here? "Reaching values" reads strangely to me. SG: I was thinking "reaching values" as in "reaching definitions": The set of values that reach that particular piece of the guard tree. as they flow through the guard tree until it produces two outputs. One output is the set of uncovered values that wasn't covered by any clause, and the other output is an annotated guard tree skeleton Ant with the same shape as the guard tree to check, capturing redundancy and divergence information.

For the example of *liftEq*'s guard tree  $t_{liftEq}$ , we represent the set of values reaching the first clause by the *refinement type* {  $(mx: Maybe\ a, my: Maybe\ a) \mid \checkmark$  } (which is a  $\Theta$  from fig. 3) . This set is gradually refined until finally we have  $\Theta_{liftEq} := \{ (mx: Maybe\ a, my: Maybe\ a) \mid \Phi \}$  as the uncovered set, where the predicate  $\Phi$  is semantically equivalent to:

```
(mx \not\approx \bot \land (mx \not\approx Nothing \lor (Nothing \leftarrow mx \land my \not\approx \bot \land my \not\approx Nothing)))
 \land (mx \not\approx \bot \land (mx \not\approx Just \lor (Just x \leftarrow mx \land my \not\approx \bot \land (my \not\approx Just))))
```

Every  $\vee$  disjunct corresponds to one way in which a pattern guard in the tree could fail. It is not obvious at all for humans to read off inhbaitants from this representation, but we will give an intuitive treatment of how to do so in the next subsection.

The annotated guard tree skeleton corresponding to  $t_{liftEq}$  looks like this:



A GRHS is deemed accessible ( $\checkmark$ ) whenever there is a non-empty set of values reaching it. For the first GRHS, the set that reaches it looks like  $\{(mx, my) \mid mx \not\approx \bot, \text{Nothing} \leftarrow mx, my \not\approx \bot, \text{Nothing} \leftarrow my\}$ , which is inhabited by (Nothing, Nothing). Similarly, we can find inhabitants for the other two clauses.

A 4 denotes possible divergence in one of the bang guards and involves testing the set of reaching values for compatibility with i.e.  $mx \approx \bot$ . We cannot know in advance whether mx, my or t are  $\bot$  (hence the three uses of 4), but we can certainly rule out *otherwise*  $\approx \bot$  simply by knowing that it is defined as *True*. But since all GRHSs are accessible, there is nothing to report in terms of redundancy and the 4 decorators are irrelevant.

Perhaps surprisingly and most importantly, Grd with its three primitive guards, combined with left-to-right or top-to-bottom semantics in Gdt, is expressive enough to express all pattern matching in Haskell (cf. the desugaring function  $\mathcal D$  in fig. 5)! We have yet to find a language extension that does not fit into this framework.

3.2.1 Why do we not report redundant GRHSs directly? Why not compute the redundant GRHSs directly instead of building up a whole new tree? Because determining inaccessibility vs. redundancy is a non-local problem. Consider this example and its corresponding annotated tree after checking: SG: I think this kind of detail should be motivated in a prior section and then referenced here for its solution.

$$g :: () \rightarrow Int$$

$$g () \mid False = 1$$

$$\mid True = 2 \qquad \sim$$

$$g - = 3$$

Is the first GRHS just inaccessible or even redundant? Although the match on () forces the argument, we can delete the first GRHS without changing program semantics, so clearly it is redundant. But that wouldn't be true if the second GRHS wasn't there to "keep alive" the () pattern!

In general, at least one GRHS under a ¼ may not be flagged as redundant (×). Thus the checking algorithm can't decide which GRHSs are redundant (vs. just inaccessible) when it reaches a particular GRHS.

## 3.3 Generating inhabitants of a refinement type

The predicate literals  $\varphi$  of refinement types look quite similar to the original Grd language, so how is checking them for emptiness an improvement over reasoning about about guard trees directly? To appreciate the transition, it is important to realise that semantics of Grds are *highly non-local!* Left-to-right and top-to-bottom match semantics means that it is hard to view Grds in isolation; we always have to reason about whole Gdts. By contrast, refinement types are self-contained, which means the process of generating inhabitants can be treated separately from the process of coverage checking.

Apart from generating inhabitants of the final uncovered set for non-exhaustive match warnings, there are two points at which we have to check whether a refinement type has become empty: To determine whether a right-hand side is inaccessible and whether a particular bang guard may lead to divergence and requires us to wrap a 4.

Take the final uncovered set  $\Theta_{liftEq}$  after checking liftEq above as an example. A bit of eyeballing liftEq's definition reveals that Nothing (fust) is an uncovered pattern, but eyeballing the constraint formula of  $\Theta_{liftEq}$  seems impossible in comparison. A more systematic approach is to adopt a generate-and-test scheme: Enumerate possible values of the data types for each variable involved (the pattern variables mx and my, but also possibly the guard-bound x, y and t) and test them for compatibility with the recorded constraints.

Starting from mx my, we enumerate all possibilities for the shape of mx, and similarly for my. The obvious first candidate in a lazy language is  $\bot$ ! But that is a contradicting assignment for both mx and my independently. Refining to *Nothing Nothing* contradicts with the left part of the top-level  $\land$ . Trying  $\exists ust \ y \ (y \ fresh)$  instead as the shape for my yields our first inhabitant! Note that y is unconstrained, so  $\bot$  is a trivial inhabitant. Similarly for ( $\exists ust \ \_$ ) *Nothing* and ( $\exists ust \ \_$ ) ( $\exists ust \ \_$ ).

Why do we have to test guard-bound variables in addition to the pattern variables? It is because of empty data types and strict fields. For example, v from section 2.3 does not have any uncovered patterns. And our approach should see that by looking at its uncovered set  $\{x : Maybe\ Void \mid x \not\approx \bot \land x \not\approx \text{Nothing}\}$ . Specifically, the candidate  $SJust\ y$  (for fresh y) for x should be rejected, because there is no inhabitant for y!  $\bot$  is ruled out by the strict field and Void has no data constructors with which to instantiate y. Hence it is important to test guard-bound variables for inhabitants, too.

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```
\mathcal{D}(defn) = Gdt, \mathcal{D}(clause) = Gdt, \mathcal{D}(grhs) = Gdt
                                          \mathcal{D}(quard) = \overline{Grd}, \mathcal{D}(x, pat) = \overline{Grd}
\mathcal{D}(clause_1 \dots clause_n)
\mathcal{D}(f \ pat_1 \dots pat_n = expr)
                                                       = \mathcal{D}(x_1, pat_1) \dots \mathcal{D}(x_n, pat_n) \longrightarrow k
\mathcal{D}(f \ pat_1 \dots pat_n \ grhs_1 \dots grhs_m) = ----- \mathcal{D}(x_1, pat_1) \dots \mathcal{D}(x_n, pat_n) - \mathcal{D}(grhs_1)
                                                       = \mathcal{D}(quard_1) \dots \mathcal{D}(quard_n) \longrightarrow k
\mathcal{D}(| quard_1 ... quard_n = expr)
\mathcal{D}(pat \leftarrow expr)
                                                       = let x = expr, \mathcal{D}(x, pat)
\mathcal{D}(expr)
                                                       =
                                                             let b = expr, \mathcal{D}(b, True)
\mathcal{D}(\text{let } x = expr)
                                                             let x = expr
\mathcal{D}(x,y)
                                                       = let y = x
\mathcal{D}(x, \_)
                                                       = \epsilon
\mathcal{D}(x, K pat_1 \dots pat_n)
                                                       = !x, K y_1 ... y_n \leftarrow x, \mathcal{D}(y_1, pat_1), ..., \mathcal{D}(y_n, pat_n)
                                                       = let y = x, \mathcal{D}(y, pat)
\mathcal{D}(x,y@pat)
                                                       = !x, \mathcal{D}(x, pat)
\mathcal{D}(x,!pat)
\mathcal{D}(x, expr \to pat)
                                                       = let y = expr x, \mathcal{D}(y, pat)
```

Fig. 5. Desugaring Haskell to Gdt

#### 4 FORMALISM

The previous section gave insights into how we represent coverage checking problems as guard trees and provided an intuition for how to check them for exhaustiveness and redundancy. This section formalises these intuitions in terms of the syntax (cf. fig. 3) we introduced earlier.

As in the previous section, we divide this section into three main parts: desugaring, coverage checking, and finding inhabitants of the resulting refinement types. The latter subtask proves challenging enough to warrant two additional subsections.

**SG:** We should talk about why "constructor applications" in Expr, Grd,  $\varphi$  and  $\delta$  have different number of arguments. Not sure where.

### 4.1 Desugaring to guard trees

Figure 5 outlines the desugaring step from source Haskell to our guard tree language Gdt. It is assumed that the top-level match variables  $x_1$  through  $x_n$  in the *clause* cases have special, fixed names. All other variables that aren't bound in arguments to  $\mathcal{D}$  have fresh names.

Consider this example function:

```
f (Just (!xs, \_)) ys@Nothing = 1

f Nothing (g \rightarrow True) = 2
```

Under  $\mathcal{D}$ , this desugars to

The definition of  $\mathcal{D}$  is straight-forward, but a little expansive because of the realistic source language. Its most intricate job is keeping track of all the renaming going on to resolve name mismatches. Other than that, the desugaring follows from the restrictions on the Grd language, such as the fact that source-level pattern guards also need to emit a bang guard on the variable representing the scrutinee.

Note how our naïve desugaring function generates an abundance of fresh temporary variables. In practice, the implementation of  $\mathcal D$  can be smarter than this by looking at the pattern (which might be a variable match or @-pattern) when choosing a name for a variable.

### 4.2 Checking guard trees

Figure 6 shows the two main functions for checking guard trees.  $\mathcal U$  carries out exhaustiveness checking by computing the set of uncovered values for a particular guard tree, whereas  $\mathcal A$  computes the corresponding annotated tree, capturing redundancy information.  $\mathcal R$  extracts a triple of accessible, inaccessible and redundant GRHS from such an annotated tree.

Both  $\mathcal U$  and  $\mathcal A$  take as their second parameter the set of values  $\mathit{reaching}$  the particular guard tree node. If no value reaches a particular tree node, that node is inaccessible. The definition of  $\mathcal U$  follows the intuition we built up earlier: It refines the set of reaching values as a subset of it falls through from one clause to the next. This is most visible in the ; case (top-to-bottom composition), where the set of values reaching the right (or bottom) child is exactly the set of values that were uncovered by the left (or top) child on the set of values reaching the whole node. A GRHS covers every reaching value. The left-to-right semantics of Guard are respected by refining the set of values reaching the wrapped subtree, depending on the particular guard. Bang guards and let bindings don't do anything beyond that refinement, whereas pattern guards additionally account for the possibility of a failed pattern match. Note that a failing pattern guard is the  $\mathit{only}$  way in which the uncovered set can become non-empty!

When  $\mathcal A$  hits a GRHS, it asks  $\mathcal G$  for inhabitants of  $\Theta$  to decide whether the GRHS is accessible or not. Since  $\mathcal A$  needs to compute and maintain the set of reaching values just the same as  $\mathcal U$ , it has to call out to  $\mathcal U$  for the ; case. Out of the three guard cases, the one handling bang guards is the only one doing more than just refining the set of reaching values for the subtree (thus respecting left-to-right semantics). A bang guard !x is handled by testing whether the set of reaching values  $\Theta$  is compatible with the assignment  $x \approx \bot$ , which again is done by asking  $\mathcal G$  for concrete inhabitants of the resulting refinement type. If it is inhabited, then the bang guard might diverge and we need to wrap the annotated subtree in a  $\frac{1}{2}$ .

Pattern guard semantics are important for  $\mathcal U$  and bang guard semantics are important for  $\mathcal A$ . But what about let bindings? They are in fact completely uninteresting to the checking process, but making sense of them is important for the precision of the emptiness check involving  $\mathcal G$ . Of course, "making sense" of an expression is an open-ended endeavour, but we'll see a few reasonable ways to improve precision considerably at almost no cost, both in section 4.4 and section 5.3.

```
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                                                                                                                                                 Operations on \Theta
                                                                                                                                                                                       = \{ \Gamma \mid \Phi \wedge \varphi \}
                                                                                                           \{\Gamma \mid \Phi\} \dot{\wedge} \varphi
                                                                                                           \{\Gamma \mid \Phi_1\} \cup \{\Gamma \mid \Phi_2\} = \{\Gamma \mid \Phi_1 \vee \Phi_2\}
                                                                                                                                       Checking Guard Trees
                                                                                                                                                         \mathcal{U}(\Theta, t_G) = \Theta
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597
                                         \mathcal{U}(\{\Gamma \mid \Phi\}, \mathsf{Rhs}\ n)
                                                                                                                                                         = \{ \Gamma \mid \times \}
598
                                         \mathcal{U}(\Theta, t; u)
                                                                                                                                                        = \mathcal{U}(\mathcal{U}(\Theta, t), u)
                                         \mathcal{U}(\Theta, \text{Guard}(!x) t)
                                                                                                                                                        = \mathcal{U}(\Theta \dot{\wedge} (x \not\approx \bot), t)
                                         \mathcal{U}(\Theta, \text{Guard (let } x = e) \ t)
                                                                                                                                                        = \mathcal{U}(\Theta \dot{\wedge} (\text{let } x = e), t)
                                         \mathcal{U}(\Theta,\mathsf{Guard}\;(K\;\overline{a}\;\overline{\gamma}\;\overline{y:\tau}\leftarrow x)\;t) \;\;=\;\; (\Theta\;\dot{\wedge}\;(x\;\not\approx K))\;\cup\; \mathcal{U}(\Theta\;\dot{\wedge}\;(K\;\overline{a}\;\overline{\gamma}\;\overline{y:\tau}\leftarrow x),t)
602
                                                                                                                                                        \mathcal{A}(\Delta, t_G) = t_A
603
                                                                                                                                                      \begin{cases} \text{InaccessibleRhs } n, & \mathcal{G}(\Theta) = \emptyset \\ \text{AccessibleRhs } n, & \text{otherwise} \end{cases}
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                          \mathcal{A}(\Theta, \mathsf{Rhs}\; n)
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606
                                                                                                                                          = \mathcal{A}(\Theta, t); \mathcal{A}(\mathcal{U}(\Theta, t), u) 
 = \begin{cases} \mathcal{A}(\Theta \dot{\wedge} (x \not\approx \bot), t), & \mathcal{G}(\Theta \dot{\wedge} (x \approx \bot)) = \emptyset \\ \text{MayDiverge } \mathcal{A}(\Theta \dot{\wedge} (x \not\approx \bot), t) & \text{otherwise} \end{cases} 
                          \mathcal{A}(\Theta,(t;u))
607
608
                          \mathcal{A}(\Theta, \mathsf{Guard}(!x) t)
609
                                                                                                                                         = \mathcal{A}(\Theta \dot{\wedge} (\text{let } x = e), t)
                          \mathcal{A}(\Theta, \text{Guard (let } x = e) \ t)
610
                          \mathcal{A}(\Theta, \mathsf{Guard}\ (K\ \overline{a}\ \overline{\gamma}\ \overline{y:\tau} \leftarrow x)\ t) = \mathcal{A}(\Theta\ \dot{\wedge}\ (K\ \overline{a}\ \overline{\gamma}\ \overline{y:\tau} \leftarrow x), t)
611
612
                                                                                                                                                    \mathcal{R}(t_A) = (\overline{k}, \overline{n}, \overline{m})
613
                                                                                                                                             = (n, \epsilon, \epsilon)
                                                         \mathcal{R}(AccessibleRhs n)
614
                                                        \begin{array}{lll} \mathcal{R}(\mathsf{Access1b1eRns}\;n) &=& (n,\varepsilon,\varepsilon) \\ \mathcal{R}(\mathsf{Inaccessib1eRhs}\;n) &=& (\varepsilon,n,\varepsilon) \\ \\ \mathcal{R}(t;u) &=& (\overline{k}\;\overline{k'},\overline{n}\;\overline{n'},\overline{m}\;\overline{m'}) \;\; \mathrm{where} \;\; \frac{(\overline{k},\overline{n},\overline{m})}{(\overline{k'},\overline{n'},\overline{m'})} = \mathcal{R}(t) \\ \\ \mathcal{R}(\mathsf{MayDiverge}\;t) &=& \begin{cases} (\varepsilon,m,\overline{m'}) & \mathrm{if}\;\mathcal{R}(t) = (\varepsilon,\varepsilon,m\;\overline{m'}) \\ \\ \mathcal{R}(t) & \mathrm{otherwise} \end{cases}        
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```

Fig. 6. Coverage checking

## 4.3 Generating inhabitants of a refinement type

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The key function for the emptiness test is  $\mathcal{G}$  in fig. 7, which generates a set of patterns which inhabit a given refinement type  $\Theta$ . There might be multiple inhabitants, and C will construct multiple  $\nabla$ s, each representing at least one inhabiting assignment of the refinement predicate  $\Phi$ . Each such assignment corresponds to a pattern vector, so  $\mathcal{E}$  expands the assignments in a  $\nabla$  into multiple pattern vectors.

But what is  $\nabla$ ? It's a pair of a type context  $\Gamma$  and a  $\Delta$ , a set of mutually compatible constraints  $\delta$ , or a proven incomatibility  $\times$  between such a set of constraints. C will arrange it that every constructed  $\nabla$  satisfies a number of well-formedness constraints:

- I1 *Mutual compatibility*: No two constraints in  $\nabla$  should conflict with each other.
- I2 Triangular form: A  $x \approx y$  constraint implies absence of any other constraints mentioning x in its left-hand side.

Generate inhabitants of 
$$\Theta$$

$$\begin{tabular}{|c|c|c|c|c|}\hline $\mathcal{G}(\Theta) = \mathcal{P}(\overline{p})$\\ $\mathcal{G}(\set{\Gamma \mid \Phi}) = \{\mathcal{E}(\nabla, \mathsf{dom}(\Gamma)) \mid \nabla \in \mathcal{C}(\Gamma \triangleright \varnothing, \Phi)\}$\\ \end{tabular}$$

#### Construct inhabited $\nabla s$ from $\Phi$

$$C(\nabla, \Phi) = \mathcal{P}(\nabla)$$

$$C(\nabla, \varphi) = \begin{cases} \{\Gamma' \triangleright \Phi'\} & \text{where } \Gamma' \triangleright \Phi' = \nabla \oplus_{\varphi} \varphi \\ \emptyset & \text{otherwise} \end{cases}$$

$$C(\nabla, \Phi_1 \land \Phi_2) = \bigcup \{C(\nabla', \Phi_2) \mid \nabla' \in C(\nabla, \Phi_1)\}$$

$$C(\nabla, \Phi_1 \lor \Phi_2) = C(\nabla, \Phi_1) \cup C(\nabla, \Phi_2)$$

# Expand variables to Pat with $\nabla$

$$\mathcal{E}(\nabla, \overline{x}) = \overline{p}$$

$$\mathcal{E}(\nabla, \overline{x}) = \overline{p}$$

$$\mathcal{E}(\nabla, \epsilon) = \epsilon$$

$$\mathcal{E}(\Gamma \triangleright \Delta, x_1...x_n) = \begin{cases} (K \ q_1...q_m) \ p_2...p_n & \text{if } \Delta(x) \approx K \ \overline{a} \ \overline{y} \in \Delta \\ & \text{and } (q_1...q_m \ p_2...p_n) \in \mathcal{E}(\Gamma \triangleright \Delta, y_1...y_m x_2...x_n) \\ & \text{where } (p_2...p_n) \in \mathcal{E}(\Gamma \triangleright \Delta, x_2...x_n) \end{cases}$$

## Finding the representative of a variable in $\Delta$

$$\Delta(x) = y$$

$$\Delta(x) = \begin{cases} \Delta(y) & x \approx y \in \Delta \\ x & \text{otherwise} \end{cases}$$

**Fig. 7.** Generating inhabitants of  $\Theta$  via  $\nabla$ 

I3 Single solution: There is at most one positive constructor constraint  $x \approx K \overline{a} \overline{y}$  for a given x.

We refer to such a  $\nabla$  as an *inert set*, in the sense that its constraints are of canonical form and already checked for mutual compatibility (I1), in analogy to a typechecker's implementation.

It is helpful at times to think of a  $\Delta$  as a partial function from x to its *solution*, informed by the single positive constraint  $x \approx K \ \overline{a} \ \overline{y} \in \Delta$ , if it exists. For example,  $x \approx Nothing$  can be understood as a function mapping x to *Nothing*. This reasoning is justified by I3. Under this view,  $\Delta$  looks like a substitution. As we'll see later in section 4.4, this view is supported by immense overlap with unification algorithms.

I2 is actually a condition on the represented substitution. Whenever we find out that  $x \approx y$ , for example when matching a variable pattern y against a match variable x, we have to merge all the other constraints on x into y and say that y is the representative of x's equivalence class. This is so that every new constraint we record on y also affects x and vice versa. The process of finding the solution of x in  $x \approx y$ ,  $y \approx Nothing$  then entails walking the substitution, because we have to look up (in the sense of understanding  $\Delta$  as a partial function) twice: The first lookup will find x's representative y, the second lookup on y will then find the solution Nothing.

In denoting looking up the representative by  $\Delta(x)$  (cf. fig. 7), we can assert that x has *Nothing* as a solution simply by writing  $\Delta(x) \approx Nothing \in \Delta$ .

Each  $\Delta$  is one of possibly many valid variable assignments of the particular  $\Phi$  it is constructed for. In contrast to  $\Phi$ , there is no disjunction in  $\Delta$ , which makes it easy to check if a new constraint is compatible with the existing ones without any backtracking. Another fundamental difference is that  $\delta$  has no binding constructs (so every variable has to be bound in the  $\Gamma$  part of  $\nabla$ ), whereas pattern bindings in  $\varphi$  bind constructor arguments.

C is the function that breaks down a  $\Phi$  into multiple  $\nabla$ s, maintaining the invariant that no such  $\nabla$  is  $\times$ . At the heart of C is adding a  $\varphi$  literal to the  $\nabla$  under construction via  $\oplus_{\varphi}$  and filtering out any unsuccessful attempts (via intercepting the  $\times$  failure mode of  $\oplus_{\varphi}$ ) to do so. Conjunction is handled by the equivalent of a *concatMap*, whereas a disjunction corresponds to a plain union.

Expanding a  $\nabla$  to a pattern vector in  $\mathcal{E}$  is syntactically heavy, but straightforward: When there is a solution like  $\Delta(x) \approx \mathcal{J}ust\ y$  in  $\Delta$  for the head x of the variable vector of interest, expand y in addition to the rest of the vector and wrap it in a  $\mathcal{J}ust$ . I3 guarantees that there is at most one such solution and  $\mathcal{E}$  is well-defined.

#### 4.4 Extending the inert set

 After tearing down abstraction after abstraction in the previous sections we are nearly at the heart of LYG: Figure 8 depicts how to add a  $\varphi$  constraint to an inert set  $\nabla$ .

It does so by expressing a  $\varphi$  in terms of once again simpler constraints  $\delta$  and calling out to  $\oplus_{\delta}$ . Specifically, for a lack of binding constructs in  $\delta$ , pattern bindings extend the context and disperse into separate type constraints and a positive constructor constraint arising from the binding. The fourth case of  $\oplus_{\delta}$  finally performs some limited, but important reasoning about let bindings: In case the right-hand side was a constructor application (which is not to be confused with a pattern binding, if only for the difference in binding semantics!), we add appropriate positive constructor and type constraints, as well as recurse into the field expressions, which might in turn contain nested constructor applications. All other let bindings are simply discarded. We'll see an extension in section 5.3 which will expand here. The last case of  $\oplus_{\varphi}$  turns the syntactically and semantically identical subset of  $\varphi$  into  $\delta$  and adds that constraint via  $\oplus_{\delta}$ .

Which brings us to the prime unification procedure,  $\oplus_{\delta}$ . Consider adding a positive constructor constraint like  $x \approx \mathcal{J}ust$  y: The unification procedure will first look for any positive constructor constraint involving the representative of x with that same constructor. Let's say there is  $\Delta(x) = z$  and  $z \approx \mathcal{J}ust$   $u \in \Delta$ . Then  $\oplus_{\delta}$  decomposes the new constraint just like a classic unification algorithm operating on the transitively implied equality  $\mathcal{J}ust$   $y \approx \mathcal{J}ust$  u, by equating type and term variables with new constraints, i.e.  $y \approx u$ . The original constraint, although not conflicting (thus maintaining wellformed-ness condition I1), is not added to the inert set because of I2.

If there was no positive constructor constraint with the same constructor, it will look for such a constraint involving a different constructor, like  $x \approx Nothing$ , in which case the new constraint is incompatible with the existing solution. There are two other ways in which the constraint can be incompatible: If there was a negative constructor constraint  $x \not\approx Just$  or if any of the fields were not inhabited, which is checked by the  $\nabla \vdash x$  judgment in fig. 9. Otherwise, the constraint is compatible and is added to  $\Delta$ .

Adding a negative constructor constraint  $x \not \in Just$  is quite similar, as is handling of positive and negative constraints involving  $\bot$ . The idea is that whenever we add a negative constraint that doesn't contradict with positive constraints, we still have to test if there are any inhabitants left.

Adding a type constraint  $\gamma$  drives this paranoia to a maximum: After calling out to the type-checker (the logic of which we do not and would not replicate in this paper or our implementation) to assert that the constraint is consistent with the inert set, we have to test *all* variables in the

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```
Add a formula literal to the inert set
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                                      \nabla \oplus_{\varphi} \times
                                                                                                                 = X
740
                                      \nabla \oplus_{\varphi} \checkmark
                                                                                                                 = \nabla
741
                            \Gamma \triangleright \Delta \oplus_{\varphi} K \overline{a} \overline{\gamma} \overline{y : \tau} \leftarrow x
                                                                                                                 = \Gamma, \overline{a}, \overline{y : \tau} \triangleright \Delta \oplus_{\delta} \overline{\gamma} \oplus_{\delta} x \approx K \overline{a} \overline{y}
742
                            \Gamma \triangleright \Delta \oplus_{\varphi} \text{ let } x : \tau = K \ \_ \overline{\sigma} \ \overline{\gamma} \ \overline{e} \quad = \quad \Gamma, x : \tau, \overline{a} \triangleright \Delta \oplus_{\delta} \ \overline{a \sim \tau'} \ \oplus_{\delta} \ x \approx K \ \overline{a} \ \overline{y} \ \oplus_{\varphi} \ \overline{\text{let } y : \tau' = e}
743
                                                                                                                where \overline{a} \overline{y} \# \Gamma, \overline{e : \tau'}
744
                            \Gamma \triangleright \Delta \oplus_{\varphi} \text{ let } x : \tau = y = \Gamma, x : \tau \triangleright \Delta \oplus_{\delta} x \approx y
745
                            \Gamma \triangleright \Delta \oplus_{\varphi} \text{let } x : \tau = e
                                                                                                           = \Gamma, x : \tau \triangleright \Delta
746
                            \Gamma \triangleright \Delta \oplus_{\varphi} \varphi
                                                                                                                 = \Gamma \triangleright \Delta \oplus_{\delta} \varphi
747
748
                                                                                                      Add a constraint to the inert set
749
750
                                                                                                                                      \nabla \oplus_{\delta} \delta = \nabla
751
752
                                                                          = \begin{cases} \Gamma \triangleright (\Delta, \gamma) & \text{if type checker deems } \gamma \text{ compatible with } \Delta \\ & \text{and } \forall x \in \mathsf{dom}(\Gamma) : \Gamma \triangleright (\Delta, \gamma) \vdash \Delta(x) \\ \times & \text{otherwise} \end{cases}
753
754
                   \Gamma \triangleright \Delta \oplus_{\delta} x \approx K \; \overline{a} \; \overline{y} = \begin{cases} \Gamma \triangleright \Delta \oplus_{\delta} \; \overline{a \sim b} \; \oplus_{\delta} \; \overline{y} \approx \overline{z} & \text{if } \Delta(x) \approx \kappa \; v \neq ---\\ \times & \text{if } \Delta(x) \approx K' \; \overline{b} \; \overline{z} \in \Delta\\ \Gamma \triangleright (\Delta, \Delta(x) \approx K \; \overline{a} \; \overline{y}) & \text{if } \Delta(x) \not\approx K \not\in \Delta \; \text{and} \; \overline{\Gamma} \triangleright \Delta \vdash \Delta(y)\\ \times & \text{otherwise} \end{cases}
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                                                             756
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                     \Gamma \triangleright \Delta \oplus_{\delta} x \not\approx \bot
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769
770
                                                                                                                                                                                                                                                        if \Delta(x) = \Delta(y)
771
                                                                                      \Gamma \triangleright ((\Delta \setminus \Delta(x)), \Delta(x) \approx \Delta(y)) \oplus_{\delta} ((\Delta \cap \Delta(x))[\Delta(y)/\Delta(x)]) otherwise
772
773
                                                                         \Delta \setminus x = \Delta
                                                                                                                                                                                             \Delta \cap x = \Delta
774
                                                                           \emptyset \setminus x = \emptyset
                                                                                                                                                                                  \emptyset \cap x = \emptyset
775
                                         (\Delta, x \approx K \overline{a} \overline{y}) \setminus x = \Delta \setminus x
                                                                                                                                             (\Delta, x \approx K \overline{a} \overline{y}) \cap x = (\Delta \cap x), x \approx K \overline{a} \overline{y}
776
                                                   (\Delta, x \not\approx K) \setminus x = \Delta \setminus x
                                                                                                                                                  (\Delta, x \not\approx K) \cap x = (\Delta \cap x), x \not\approx K
777
                                                   (\Delta, x \approx \bot) \setminus x = \Delta \setminus x
                                                                                                                                                        (\Delta, x \approx \bot) \cap x = (\Delta \cap x), x \approx \bot
778
                                                   (\Delta, x \not\approx \bot) \setminus x = \Delta \setminus x
                                                                                                                                                     (\Delta, x \not\approx \bot) \cap x = (\Delta \cap x), x \not\approx \bot
779
                                                                                                                                                                        (\Delta, \delta) \cap x = \Delta \cap x
                                                                 (\Delta, \delta) \setminus x = (\Delta \setminus x), \delta
780
```

**Fig. 8.** Adding a constraint to the inert set  $\nabla$ 

$$\frac{(\Gamma \triangleright \Delta \oplus_{\delta} x \approx \bot) \neq \times}{\Gamma \triangleright \Delta \vdash x} \vdash_{\text{BOT}} \frac{\left[ \overline{\nabla} \vdash x \right]}{x : \tau \in \Gamma \quad \text{Cons}(\Gamma \triangleright \Delta, \tau) = \bot} \vdash_{\text{NoCpl}} \frac{x : \tau \in \Gamma \quad \text{Cons}(\Gamma \triangleright \Delta, \tau) = \bot}{\Gamma \triangleright \Delta \vdash x} \vdash_{\text{NoCpl}} \frac{x : \tau \in \Gamma \quad K \in \text{Cons}(\Gamma \triangleright \Delta, \tau)}{\Gamma \triangleright \Delta \vdash x} \vdash_{\text{Inst}} \frac{x : \tau \in \Gamma \quad K \in \text{Cons}(\Gamma \triangleright \Delta, \tau)}{\Gamma \triangleright \Delta \vdash x} \vdash_{\text{Inst}} \frac{x : \tau \in \Gamma \quad K \in \text{Cons}(\Gamma \triangleright \Delta, \tau)}{\Gamma \triangleright \Delta \vdash x} \vdash_{\text{Inst}} \frac{K}{\Gamma \triangleright \Delta \vdash x} \vdash_{\text{Inst}} \frac{K}{\Gamma$$

Fig. 9. Inhabitance test

domain of  $\Gamma$  for inhabitants, because the new type constraint could have rendered a type empty. To demonstrate why this is necessary, imagine we have  $x: a \triangleright x \not\in \bot$  and try to add  $a \sim Void$ . Although the type constraint is consistent, x in  $x: a \triangleright x \not\in \bot$ ,  $a \sim Void$  is no longer inhabited. There is room for being smart about which variables we have to re-check: For example, we can exclude variables whose type is a non-GADT data type.

The last case of  $\oplus_{\delta}$  equates two variables  $(x \approx y)$  by merging their equivalence classes. Consider the case where x and y don't already belong to the same equivalence class and thus have different representatives  $\Delta(x)$  and  $\Delta(y)$ .  $\Delta(y)$  is arbitrarily chosen to be the new representative of the merged equivalence class. Now, to uphold the well-formedness condition I2, all constraints mentioning  $\Delta(x)$  have to be removed and renamed in terms of  $\Delta(y)$  and then re-added to  $\Delta$ . That might fail, because  $\Delta(x)$  might have a constraint that conflicts with constraints on  $\Delta(y)$ , so it is better to use  $\oplus_{\delta}$  rather than to add it blindly to  $\Delta$ .

#### 4.5 Inhabitation test

**SG**: We should find better subsection titles that clearly distinguish "Testing ( $\Theta$ ) for Emptiness" from "Inhabitation Test(ing a particular variable in  $\nabla$ )". The process for adding a constraint to an inert set above (which turned out to be a unification procedure in disguise) frequently made use of an *inhabitation test*  $\nabla \vdash x$ , depicted in fig. 9. In contrast to the emptiness test in fig. 7, this one focuses on a particular variable and works on a  $\nabla$  rather than a much higher-level  $\Theta$ .

The  $\vdash$ Bot judgment of  $\nabla \vdash x$  tries to instantiate x to  $\bot$  to conclude that x is inhabited.  $\vdash$ Inst instantiates x to one of its data constructors. That will only work if its type ultimately reduces to a

 data type under the type constraints in  $\nabla$ . Rule +NoCPL will accept unconditionally when its type is not a data type, i.e. for  $x:Int \rightarrow Int$ .

Note that the outlined approach is complete in the sense that  $\nabla \vdash x$  is derivable (if and) only if x is actually inhabited in  $\nabla$ , because that means we don't have any  $\nabla s$  floating around in the checking process that actually aren't inhabited and trigger false positive warnings. But that also means that the  $\vdash$  relation is undecidable! Consider the following example:

```
841 data T = MkT ! T

842 f :: SMaybe T \rightarrow ()

843 f SNothing = ()
```

This is exhaustive, because T is an uninhabited type. Upon adding the constraint  $x \not\approx SNothing$  on the match variable x via  $\oplus_{\delta}$ , we perform an inhabitation test, which tries to instantiate the SJust constructor via  $\vdash INST$ . That implies adding (via  $\oplus_{\delta}$ ) the constraints  $x \approx SJust$   $y, y \not\approx \bot$ , the latter of which leads to an inhabitation test on y. That leads to instantiation of the MkT constructor, which leads to constraints  $y \approx MkT$   $z, z \not\approx \bot$ , and so on for z etc.. An infinite chain of fruitless instantiation attempts!

In practice, we implement a fuel-based approach that conservatively assumes that a variable is inhabited after n such iterations and consider supplementing that with a simple termination analysis in the future.

#### 5 POSSIBLE EXTENSIONS

LYG is well equipped to handle the fragment of Haskell it was designed to handle. But GHC (and other languages, for that matter) extends Haskell in non-trivial ways. This section exemplifies how our solution can be easily supplemented to deal with new language features or measures for increasing the precision of the checking process.

## 5.1 Long-distance information

Coverage checking as described also works for **case** expressions (with the appropriate desugaring function) and nested function definitions, like in the following example:

```
f True = 1

f x = ...(case x of

False \rightarrow 2

True \rightarrow 3) ...
```

LYG as is will not produce any warnings for this definition. But the reader can easily make the "long distance connection" that the last GRHS of the **case** expression is redundant! That simply follows by context-sensitive reasoning, knowing that x was already matched against True.

In fact, LYG does exactly the same kind of reasoning when checking f! Specifically, the set of values reaching the second GRHS (which we test for inhabitants to determine whether the GRHS is accessible)  $\Theta_{rhs2}$  encodes the information we are after. We just have to start checking the **case** expression starting from  $\Theta_{rhs2}$  as the initial set of reaching values instead of  $\{x : Bool \mid \checkmark\}$ .

## 5.2 Empty case

As can be seen in fig. 1, Haskell function definitions need to have at least one clause. That leads to an awkward situation when pattern matching on empty data types, like *Void*:

```
absurd :: Void \rightarrow a
absurd \_ = \bot
absurd !\_ = \bot
```

 Clearly, neither option is satisfactory to implement *absurd*: The first one would actually return  $\bot$  when called with  $\bot$ , thus masking the original  $\bot$  with the error thrown by  $\bot$ . The second one would diverge alright, but it is unfortunate that we still have to provide a RHS that we know will never be entered. In fact, LYG will mark the second option as having an inaccessible RHS!

GHC provides an extension, called EmptyCase, that introduces the following bit of new syntax:

```
absurd x = \mathbf{case} \ x \ \mathbf{of} \ \{ \ \}
```

Such a case expression without any alternatives evaluates its argument to WHNF and crashes when evaluation returns.

Although we did not give the syntax of case expressions in fig. 1, it is quite easy to see that Gdt lacks expressive power to desugar EmptyCase into, since all leaves in a guard tree need to have corresponding RHSs. Therefore, we need to introduce Empty to Gdt and AntEmpty to Ant. The new Empty case has to be handled by the checking functions and is a neutral element to; as far as  $\mathcal U$  is concerned:

```
\mathcal{U}(\Theta, \mathsf{Empty}) = \Theta
\mathcal{A}(\Theta, \mathsf{Empty}) = \mathsf{AntEmpty}
```

Since EmptyCase, unlike regular case, evaluates its scrutinee to WHNF *before* matching any of the patterns, the set of reaching values is refined with a  $x \not\in \bot$  constraint before traversing the guard tree. So, for checking an empty case, the call to  $\mathcal{U}$  looks like  $\mathcal{U}(\Theta \land (x \not\in \bot), \mathsf{Empty})$ , where  $\Theta$  is the context-sensitive set of reaching values, possibly enriched with long distance information (cf. section 5.1).

### 5.3 View patterns

Our source syntax had support for view patterns to start with (cf. fig. 1). And even the desugaring we gave as part of the definition of  $\mathcal{D}$  in fig. 5 is accurate. But this desugaring alone is insufficient for the checker to conclude that *safeLast* from section 2.2.1 is an exhaustive definition! To see why, let's look at its guard tree:

```
|\text{let } y_1 = \text{reverse } x_1, !y_1, \text{Nothing} \leftarrow y_1 \longrightarrow 1
|\text{let } y_2 = \text{reverse } x_1, !y_2, \text{ Just } t_1 \leftarrow y_2, !t_1, (t_2, t_3) \leftarrow t_1 \longrightarrow 2
```

Although  $y_1$  and  $y_2$  bind syntactically equivalent expressions, our simple desugaring function doesn't see that and allocated fresh names for each of them. That in turn means that both the match on  $y_1$  and  $y_2$  by itself are inexhaustive. But due to referential transparency, the result of *reverse*  $x_1$  doesn't change! By making the connection between  $y_1$  and  $y_2$ , the checker could infer that the match was exhaustive.

This can be fixed at any level of abstraction (i.e. in  $\mathcal{D}$  or  $\oplus_{\varphi}$ ) by maintaining equivalence classes of semantically equivalent expressions. For the example above, handling let  $y_2$  =  $reverse\ x_1$  in the second branch would entail looking up the equivalence class of  $reverse\ x_1$  and finding out that it is also bound by  $y_1$ , so we can handle let  $y_2$  =  $y_1$  instead and make sense of the  $y_1 \not\approx Nothing$  constraint that fell through from the first branch to conclude that the match is exhaustive.

In fact, that is just like performing an on-the-fly global value numbering (GVN) of expression [Kildall 1973]! We decided to perform (an approximation to) GVN at the level of  $\bigoplus_{\varphi}$ , because it is more broadly applicable there and a very localised change:

```
\Gamma \triangleright \Delta \oplus_{\varphi} \text{ let } x : \tau = e = \Gamma \triangleright \Delta \oplus_{\varphi} \text{ let } x : \tau = r_i \text{ where } i \text{ is global value number of } e
```

 Where  $r_i$  is the representative of the equivalence class of expressions with global value number i. Thus, our implementation will not emit any warnings for a definition like safeLast.

5.4 Pattern synonyms

To accommodate checking of pattern synonyms P, we first have to extend the source syntax and IR syntax by adding the syntactic concept of a ConLike:  $P \in PS$ 

**SG:** For coverage checking purposes, we assume that pattern synonym matches are strict, just like data constructor matches. This is not generally true, but #17357 has a discussion of why being conservative is too disruptive to be worth the trouble. Should we talk about that? It concerns the definition of  $\mathcal{D}$ , namely whether to add a !x on the match var or not. Maybe a footnote?

Assuming every definition encountered so far is changed to handle ConLikes C now instead of data constructors K, everything should work almost fine. Why then introduce the new syntactic variant in the first place? Consider

```
pattern P = ()
pattern Q = ()
n = \text{case } P \text{ of } Q \rightarrow 1; P \rightarrow 2
```

Knowing that the definitions of P and Q completely overlap, we can see that Q will cover all values that could reach P, so clearly P is redundant. A sound approximation to that would be not to warn at all. And that's reasonable, after all we established in section 2.2.2 that reasoning about pattern synonym definitions is undesirable.

But equipped with long distance information from the scrutinee expression, the checker would mark the *first case alternative* as redundant, which clearly is unsound! Deleting the first alternative would change its semantics from returning 1 to returning 2. In general, we cannot assume that arbitrary pattern synonym definitions are disjunct. That is in stark contrast to data constructors, which never overlap.

The solution is to tweak the clause of  $\oplus_{\delta}$  dealing with positive ConLike constraints  $x \approx C \overline{a} \overline{\psi}$ :

$$\Gamma \triangleright \Delta \oplus_{\delta} x \approx C \; \overline{a} \; \overline{y} = \begin{cases} \Gamma \triangleright \Delta \oplus_{\delta} \; \overline{a \sim b} \; \oplus_{\delta} \; \overline{y} \approx \overline{z} & \text{if } \Delta(x) \approx C \; \overline{b} \; \overline{z} \in \Delta \\ \times & \text{if } \Delta(x) \approx C' \; \overline{b} \; \overline{z} \in \Delta \\ \Gamma \triangleright (\Delta, \Delta(x) \approx C \; \overline{a} \; \overline{y}) & \text{if } \Delta(x) \not\approx C \notin \Delta \; \text{and} \; \overline{\Gamma} \triangleright \Delta \vdash \Delta(y) \\ \times & \text{otherwise} \end{cases}$$

Where the suggestive notation  $C \cap C' = \emptyset$  is only true if C and C' don't overlap, if both are data constructors, for example.

Note that the slight relaxation means that the constructed  $\nabla$  might violate I3, specifically when  $C \cap C' \neq \emptyset$ . In practice that condition only matters for the well-definedness of  $\mathcal{E}$ , which in case of multiple solutions (i.e.  $x \approx P, x \approx Q$ ) has to commit to one them for the purposes of reporting warnings. Fixing that requires a bit of boring engineering.

# 5.5 COMPLETE pragmas

In a sense, every algebraic data type defines its own builtin COMPLETE set, consisting of all its data constructors, so the coverage checker already manages a single COMPLETE set.

We have ⊢Inst from fig. 9 currently making sure that this COMPLETE set is in fact inhabited. We also have ⊢NoCpl that handles the case when we can't find *any* COMPLETE set for the given type

(think  $x:Int \rightarrow Int$ ). The obvious way to generalise this is by looking up all COMPLETE sets attached to a type and check that none of them is completely covered:

$$\frac{(\Gamma \triangleright \Delta \oplus_{\delta} x \approx \bot) \neq \times}{\Gamma \triangleright \Delta \vdash x} \vdash \text{Bot} \qquad \frac{x : \tau \in \Gamma \quad \text{Cons}(\Gamma \triangleright \Delta, \tau) = \overline{C_1, ..., C_{n_i}}^i}{\overline{\text{Inst}(\Gamma \triangleright \Delta, x, C_j) \neq \times}^i} \vdash \text{Inst}$$

to a type and check that none of them is completely covered: 
$$\frac{(\Gamma \triangleright \Delta \oplus_{\delta} x \approx \bot) \neq \times}{\Gamma \triangleright \Delta \vdash x} \vdash \text{BoT} \qquad \frac{x : \tau \in \Gamma \quad \text{Cons}(\Gamma \triangleright \Delta, \tau) = \overline{C_1, ..., C_{n_i}}^i}{\overline{\text{Inst}(\Gamma \triangleright \Delta, x, C_j) \neq \times}^i} \vdash \text{Inst}$$

$$\Gamma \triangleright \Delta \vdash x \qquad \vdash \text{Inst}$$

$$\Gamma \triangleright \Delta \vdash x \qquad \vdash \text{Inst}$$

$$\Gamma \triangleright \Delta \vdash x \qquad \vdash \text{Cons}(\Gamma \triangleright \Delta, \tau) = \begin{cases} \overline{C_1, ..., C_{n_i}}^i & \tau = T \ \overline{\sigma} \ \text{and} \ T \ \text{type constructor with COMPLETE sets} \ \overline{C_1, ..., C_{n_i}}^i \\ \text{(after normalisation according to the type constraints in } \Delta) \\ \text{otherwise} \end{cases}$$

$$SG: \text{What do you think of the indexing on } C_i? \text{ It's not entirely accurate, but do we want to cloud}$$

**SG:** What do you think of the indexing on  $C_i$ ? It's not entirely accurate, but do we want to cloud the presentation with i.e.  $\overline{C_{i,1},...,C_{i,n_i}}^i$ ?

Cons was changed to return a list of all available COMPLETE sets, and ⊢INST tries to find an inhabiting ConLike in each one of them in turn. Note that +NoCpl is gone, because it coincides with HINST for the case where the list returned by Cons was empty. The judgment has become simpler and and more general at the same time!

Note that checking against multiple COMPLETE sets so frequently is computationally intractable. We will worry about that in section 6.

#### 5.6 Literals

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1028 1029 The source syntax in fig. 1 deliberately left out literal patterns l. Literals are very similar to nullary data constructors, with one caveat: They don't come with a builtin COMPLETE set. Before section 5.5, that would have meant quite a bit of hand waving and complication to the ⊢ judgment. Now, literals can be handled like disjunct pattern synonyms (i.e.  $l_1 \cap l_2 = \emptyset$  for any two literals  $l_1, l_2$ ) without a COMPLETE set!

We can even handle overloaded literals, but will find ourselves in a similar situation as with pattern synonyms:

```
instance Num () where
  fromInteger = ()
n = case (0 :: ()) of 1 \rightarrow 1: 0 \rightarrow 2
```

Considering overloaded literals to be disjunct would mean marking the first alternative as redundant, which is unsound. Hence we regard overloaded literals as possibly overlapping, so they behave exactly like nullary pattern synonyms without a COMPLETE set.

#### 5.7 Newtypes

Newtypes have strange semantics. Here are two key examples that distinguish it from data types:

```
newtype N a = N a f :: N \ Void \rightarrow Bool \rightarrow Int g1 :: N \ () \rightarrow Bool \rightarrow Int g2 :: N \ () \rightarrow Bool \rightarrow Int f \_ True = 1 g1 \ (N \_) \ True = 2 f(N \_) \ True = 2
g1(N!) True = 2
                                                             g2 !(N \_) True = 1
```

The definition of f is subtle. Contrary to the situation with data constructors, the second GRHS is redundant: The pattern match on the Newtype constructor is a no-op. Conversely, the bang pattern in the third GRHS forces not only the Newtype constructor, but also its wrapped thing. That could lead to divergence for a call site like  $f \perp False$ , so the third GRHS is *inaccessible* (because every value it could cover was already covered by the first GRHS), but not redundant. A perhaps

```
\begin{array}{llll} cl ::= K \mid P \mid N & & N \in & \mathsf{NT} \\ & C \in & K \mid P \mid N \end{array}
1031
                                        \mathcal{D}(x, N \ pat_1 \dots pat_n) = N \ y_1 \dots y_n \leftarrow x, \mathcal{D}(y_1, pat_1), \dots, \mathcal{D}(y_n, pat_n)
1033
                    \frac{(\Gamma \triangleright \Delta \oplus_{\delta} x \approx \bot) \neq \times}{x : \tau \in \Gamma \quad \tau \text{ not a Newtype}} + \text{Bot} \qquad \frac{x : \tau \in \Gamma \quad \text{Cons}(\Gamma \triangleright \Delta, \tau) = \overline{C_1, ..., C_{n_i}}^i}{\text{Inst}(\Gamma \triangleright \Delta, x, C_j) \neq \times} + \text{Inst}} + \text{Inst}
1037
1039
                                                       \tau Newtype with constructor N wrapping \sigma
                                                    x: \tau \in \Gamma y \# \Gamma \Gamma, y: \sigma \triangleright \Delta \oplus_{\delta} x \approx N \ y \vdash y Hinst
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```

Fig. 10. Extending coverage checking to handle Newtypes

surprising consequence is that the definition of f is exhaustive, because after N *Void* was deprived of its sole inhabitant  $\bot \equiv N \bot$  by the third GRHS, there is nothing left to match on.

Figure 10 outlines a solution (based on that for pattern synonyms for brevity) that handles f correctly. The idea is to treat Newtype pattern matches lazily (so compared to data constructor matches,  $\mathcal{D}$  omits the !x). The other significant change is to the  $\vdash$  judgment form, where we introduce a new rule  $\vdash$ NT that is specific to Newtypes, which can no longer be proven inhabited by either  $\vdash$ INST or  $\vdash$ BOT.

**SG:** I think that just assuming Newtype constructors to have strict fields achieves the same, but is a more surgical change, probably saving some space.

But g1 crushes this simple hack. We would mark its second GRHS as inaccessible when it is clearly redundant, because the  $x \not\approx \bot$  constraint on the match variable x wasn't propagated to the wrapped (). The inner bang pattern has nothing to evaluate. This is arguably a small downside and doesn't even regress in terms of soundness.

**SG:** We could save about 1/4 of a page by stopping here and omitting the changes to  $\oplus_{\delta}$ .

We counter that with another refinement: We just add  $x \approx Ny$  and  $y \not\approx \bot$  constraints whenever we add  $x \not\approx \bot$  constraints when we know that x is a Newtype with constructor N (similarly for  $x \approx \bot$ ). Both g1 and g2 will be handled correctly.

**SG:** Needless to say, we won't propagate  $\perp$  constraints when we only find out (by additional type info) that something is a Newtype *after* adding the constraints (think *SMaybe a* and we later find that  $a \sim N \ Void$ ), but let's call it a day.

An alternative, less hacky solution would be treating Newtype wrappers as coercions and at the level of  $\Delta$  consider equivalence classes modulo coercions. That entails a slew of modifications and has deep ramifications throughout the presentation.

#### 5.8 Strictness

Instead of extending the source language, let's discuss ripping out a language feature, for a change! So far, we have focused on Haskell as the source language of the checking process, which is lazy by default. Although the desugaring function makes sure that the difference in evaluation strategy of the source language quickly becomes irrelevant, it raises the question of how much our approach could be simplified if we targeted a source language that was strict by default, such as OCaml or Idris.

First off, both languages offer language support for laziness and lazy pattern matches, so the question rather becomes whether the gained simplification is actually worth risking unusable or even unsound warning messages when making use of laziness. If the answer is "No", then there isn't anything to simplify, just relatively more  $x \approx \bot$  constraints to handle.

Otherwise, in a completely eager language we could simply drop !x from Grd and MayDiverge from Ant. Actually, Ant and  $\mathcal R$  could go altogether and  $\mathcal A$  could just collect the redundant GRHS directly!

Since there wouldn't be any bang guards, there is no reason to have  $x \approx \bot$  and  $x \not\approx \bot$  constraints either. Most importantly, the  $\vdash$ BoT judgment form has to go, because  $\bot$  does not inhabit any types anymore.

#### 6 IMPLEMENTATION

The implementation of LYG in GHC accumulates quite a few tricks that go beyond the pure formalism. This section is dedicated to describing these.

Warning messages need to reference source syntax in order to be comprehensible by the user. At the same time, coverage checks involving GADTs need a type-checked program, so the only reasonable design to run the coverage checker between type-checking and desugaring to GHC Core, a typed intermediate representation lacking the connection to source syntax. We perform coverage checking in the same tree traversal as desugaring.

**SG:** New implementation (pre !2753) has 3850 lines, out of which 1753 is code. Previous impl as of GHC 8.6.5 had 3118 lines, out of which 1438 were code. Not sure how to sell that.

## 6.1 Interleaving $\mathcal{U}$ and $\mathcal{A}$

The set of reaching values is an argument to both  $\mathcal{U}$  and  $\mathcal{A}$ . given a particular set of reaching values and a guard tree, one can see by a simple inductive argument that both  $\mathcal{U}$  and  $\mathcal{A}$  are always called at the same arguments! Hence for an implementation it makes sense to compute both results together, if only for not having to recompute the results of  $\mathcal{U}$  again in  $\mathcal{A}$ .

But there's more: Looking at the last clause of  $\mathcal{U}$  in fig. 6, we can see that we syntactically duplicate  $\Theta$  every time we have a pattern guard. That can amount to exponential growth of the refinement predicate in the worst case and for the time to prove it empty!

Clearly, the space usage won't actually grow exponentially due to sharing in the implementation, but the problems for runtime performance remain. What we really want is to summarise a  $\Theta$  into a more compact canonical form before doing these kinds of *splits*. But that's exactly what  $\nabla$  is! Therefore, in our implementation we don't really build up a refinement type but pass around the result of calling C on what would have been the set of reaching values.

You can see the resulting definition in fig. 11. The readability of the interleaving of both functions is clouded by unwrapping of pairs. Other than that, all references to  $\Theta$  were replaced by a vector of

```
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                                                                       1129
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                                                                                                                                                          = (\overline{\nabla}_{2}, t_{A}; u_{A}) \text{ where } \frac{(\overline{\nabla}_{1}, t_{A}) = \mathcal{UA}(\overline{\nabla}, t_{G})}{(\overline{\nabla}_{2}, u_{A}) = \mathcal{UA}(\overline{\nabla}_{1}, u_{G})}
= \begin{cases} (\overline{\nabla}', t_{A}), & \overline{\nabla} \dot{\oplus}_{\varphi} (x \approx \bot) = \epsilon \\ (\overline{\nabla}', \text{MayDiverge } t_{A}) & \text{otherwise} \end{cases}
where (\overline{\nabla}', t_{A}) = \mathcal{UA}(\overline{\nabla} \dot{\oplus}_{\varphi} (x \not\approx \bot), t_{G})
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                           \begin{array}{lll} & \text{where } (\overline{\nabla}', t_A) = \mathcal{U} \\ \mathcal{U} \\ \mathcal{U} \\ \overline{\nabla}, \text{Guard } (\text{let } x = e) \ t) & = & \mathcal{U} \\ \mathcal{U} \\ \mathcal{T} \\ \overline{\nabla}, \text{Guard } (K \ \overline{a} \ \overline{\gamma} \ \overline{y} : \overline{\tau} \leftarrow x) \ t_G) & = & ((\overline{\nabla} \oplus_{\varphi} (x \not\approx K)) \ \overline{\nabla}', t_A) \end{array}
1144
1145
1146
                                                                                                                                                                                   where (\overline{\nabla}', t_A) = \mathcal{UH}(\overline{\nabla} \oplus_{\varphi} (K \overline{a} \overline{\gamma} \overline{y} : \tau \leftarrow x), t_G)
1147
```

Fig. 11. Fast coverage checking

 $\nabla s$ .  $\mathcal{U} \mathcal{A}$  requires that these  $\nabla s$  are non-empty, i.e. not  $\times$ . This invariant is maintained by adding  $\varphi$  constraints through  $\dot{\oplus}_{\varphi}$ , which filters out any  $\nabla$  that would become empty. All mentions of  $\mathcal{G}$  are gone, because we never were interested in inhabitants in the first place, only whether there where any inhabitants at all! In this new representation, whether a vector of  $\nabla$  is inhabited is easily seen by syntactically comparing it to the empty vector,  $\epsilon$ .

#### 6.2 Throttling for graceful degradation

Even with the tweaks from section 6.1, checking certain pattern matches remains NP-hard SG: Cite something here or earlier, bring an example. Naturally, there will be cases where we have to conservatively approximate in order not to slow down compilation too much. After all, coverage checking is just a static analysis pass without any effect on the produced binary! Consider the following example:

```
1167  f1, f2 :: Int \rightarrow Bool

1168  g =

1169  | True \leftarrow f1 \ 0, True \leftarrow f2 \ 0 = ()

1170  | True \leftarrow f1 \ 1, True \leftarrow f2 \ 1 = ()

1171  ...

1172  | True \leftarrow f1 \ N, True \leftarrow f2 \ N = ()
```

Here's the corresponding guard tree:

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  $| \text{let } t_1 = f1 \ 0, !t_1, True \leftarrow t_1, \text{let } t_2 = f2 \ 0, !t_2, True \leftarrow t_2 \longrightarrow 1$   $| \text{let } t_3 = f1 \ 1, !t_3, True \leftarrow t_3, \text{let } t_4 = f2 \ 1, !t_4, True \leftarrow t_4 \longrightarrow 2$   $| \dots \longrightarrow \dots \longrightarrow \dots$   $| \text{let } t_{2*N+1} = f1 \ N, !t_{2*N+1}, True \leftarrow t_{2*N+1}, \text{let } t_{2*N+2} = f2 \ N, !t_{2*N+2}, True \leftarrow t_{2*N+2} \longrightarrow N$ 

Each of the N GRHS can fall through in two distinct ways: By failure of either pattern guard involving f1 or f2. Each way corresponds to a way in which the vector of reaching  $\nabla s$  is split. For example, the single, unconstrained  $\nabla$  reaching the first equation will be split in one  $\nabla$  that records that either  $t_1 \not\approx True$  or that  $t_2 \not\approx True$ . Now two  $\nabla s$  fall through and reach the second branch, where they are split into four  $\nabla s$ . This exponential pattern repeats N times, and leads to horrible performance!

There are a couple of ways to go about this. First off, that it is always OK to overapproximate the set of reaching values! Instead of *refining*  $\nabla$  with the pattern guard, leading to a split, we could just continue with the original  $\nabla$ , thus forgetting about the  $t_1 \not\approx True$  or  $t_2 \not\approx True$  constraints. In terms of the modeled refinement type,  $\nabla$  is still a superset of both refinements.

Another realisation is that each of the temporary variables binding the pattern guard expressions are only scrutinised once, within the particular branch they are bound. That makes one wonder why we record a fact like  $t_1 \not\approx True$  in the first place. Some smart "garbage collection" process might get rid of this additional information when falling through to the next equation, where the variable is out of scope and can't be accessed. The same procedure could even find out that in the particular case of the split that the  $\nabla$  falling through from the f1 match models a superset of the  $\nabla$  falling through from the f2 match (which could additionally diverge when calling f2). This approach seemed far to complicated for us to pursue.

Instead, we implement *throttling*: We limit the number of reaching  $\nabla s$  to a constant. Whenever a split would exceed this limit, we continue with the original reaching  $\nabla$  (which as we established is a superset, thus a conservative estimate) instead. Intuitively, throttling corresponds to *forgetting* what we matched on in that particular subtree.

Throttling is refreshingly easy to implement! Only the last clause of UA, where splitting is performed, needs to change:

$$\mathcal{UA}(\overline{\nabla},\mathsf{Guard}\;(K\;\overline{a}\;\overline{\gamma}\;\overline{y}:\overline{\tau}\leftarrow x)\;t_G) = (\left\lfloor (\overline{\nabla}\;\dot{\oplus}_{\varphi}\;(x\not\approx K))\,\overline{\nabla}'\,\right\rfloor_{\overline{\nabla}},t_A)$$
 where  $(\overline{\nabla}',t_A) = \mathcal{UA}(\overline{\nabla}\;\dot{\oplus}_{\varphi}\;(K\;\overline{a}\;\overline{\gamma}\;\overline{y}:\overline{\tau}\leftarrow x),t_G)$ 

where the new throttling operator  $\lfloor \_ \rfloor$  is defined simply as

$$\left[\overline{\nabla}\right]_{\overline{\nabla}'} = \begin{cases} \overline{\nabla} & \text{if } |\{\overline{\nabla}\}| \leqslant K \\ \overline{\nabla}' & \text{otherwise} \end{cases}$$

with *K* being an arbitrary constant. We use 30 as an arbitrary limit in our implementation (dynamically configurable via a command-line flag) without noticing any false positives in terms of exhaustiveness warnings outside of the test suite.

For the sake of our above example we'll use 4 as the limit. The initial  $\nabla$  will be split by the first equation in two, which in turn results in 4  $\nabla$ s reaching the third equation. Here, splitting would result in 8  $\nabla$ s, so we throttle, so that the same four  $\nabla$ s reaching the third equation also reach the fourth equation, and so on. Basically, every equation is checked for overlaps *as if* it was the third equation, because we keep on forgetting what was matched beyond that.

## 6.3 Maintaining residual COMPLETE sets

Our implementation applies a few hacks to make the inhabitation test as efficient as possible. For example, we represent  $\Delta s$  by a mapping from variables to their positive and negative constraints for easier indexing. But there are also asymptotical improvements. Consider the following function:

f is exhaustively defined. For seeing that we need to perform an inhabitation test for the match variable x after the last clause. The test will conclude that the builtin COMPLETE set was completely overlapped. But in order to conclude that, our algorithm tries to instantiate x (via HINST) to each of its 1000 constructors and try to add a positive constructor constraint! What a waste of time, given that we could just look at the negative constraints on x before trying to instantiate x. But asymptotically it shouldn't matter much, since we're doing this only once at the end.

Except that is not true, because we also perform redundancy checking! At any point in f's definition there might be a match on P, after which all remaining clauses would be redundant by the user-supplied COMPLETE set. Therefore, we have to perform the expensive inhabitation test *after* every clause, involving O(n) instantiations each.

Clearly, we can be smarter about that! Indeed, we cache *residual* COMPLETE *sets* in our implementation: Starting from the full COMPLETE sets, we delete ConLikes from them whenever we add a new negative constructor constraint, maintaining the invariant that each of the sets is inhabited by at least one constructor. Note how we never need to check the same constructor twice (except after adding new type constraints), thus we have an amortised O(n) instantiations for the whole checking process.

## 7 EVALUATION

**Ryan:** Put a snappy intro here

#### 7.1 Reporting uncovered patterns

Our formalism in fig. 7 is subtly buggy when it comes to presenting uncovered patterns to the user. Consider a definition like f True = (). The computed uncovered set of f is the refinement type  $\{x : Bool \mid x \not\approx \bot, x \not\approx True\}$ , which crucially contains no positive information! As a result, the result of expanding the resulting  $\nabla$  (which looks quite similar) with  $\mathcal E$  just unhelpfully reports  $\_$  as an uncovered pattern.

Our implementation thus splits the  $\nabla$  into (possibly multiple) sub- $\nabla$ s with positive information on variables we have negative information on before handing off to  $\mathcal{E}$ .

#### 7.2 GHC issues

Implementing LYG in GHC has fixed a litany of bug reports related to coverage checking. These include:

- Better compile-time performance [GHC issue 2015a, 2016e, 2019a,b]
- More accurate warnings for empty case expressions [GHC issue 2015b, 2017f, 2018e,g, 2019c]
- More accurate warnings due to LYG's desugaring [GHC issue 2016c,d, 2017d, 2018a, 2020c]
- More accurate warnings due to improved term-level reasoning [GHC issue 2016a, 2017a, 2018b,c,d,h, 2019d,e,h]

• More accurate warnings due to tracking long-distance information [GHC issue 2019k, 2020a,b]

- Improved treatment of COMPLETE sets [GHC issue 2016b, 2017b,c,e,g, 2018j, 2019f,g,i]
- Better treatment of strictness, bang patterns, and newtypes [GHC issue 2018f,i, 2019j,l]

# 8 RELATED WORK

### 8.1 Comparison with GADTs Meet Their Match

Karachalias et al. [2015] present GADTs Meet Their Match (GMTM), an algorithm which handles many of the subtleties of GADTs, guards, and laziness mentioned earlier in this section. Despite this, the GMTM algorithm still gives incorrect warnings in many cases.

8.1.1 GMTM does not consider laziness in its full glory. The formalism in Karachalias et al. [2015] incorporates strictness constraints, but these constraints can only arise from matching against data constructors. GMTM does not consider strict matches that arise from strict fields of data constructors or bang patterns. A consequence of this is that GMTM would incorrectly warn that  $\nu$  (section 2.3) is missing a case for SJust, even though such a case is unreachable. LYG, on the other hand, more thoroughly tracks strictness when desugaring Haskell programs.

8.1.2 GMTM's treatment of guards is shallow. GMTM can only reason about guards through an abstract term oracle. Although the algorithm is parametric over the choice of oracle, in practice the implementation of GMTM in GHC uses an extremely simple oracle that can only reason about guards in a limited fashion. More sophisticated uses of guards, such as in the safeLast function from section 2.2.1, will cause GMTM to emit erroneous warnings.

While GMTM's term oracle is customizable, it is not as simple to customize as one might hope. The formalism in Karachalias et al. [2015] represents all guards as  $p \leftarrow e$ , where p is a pattern and e is an expression. This is a straightforward, syntactic representation, but it also makes it more difficult to analyse when e is a complicated expression. This is one of the reasons why it is difficult for GMTM to accurately give warnings for the safeLast function, since it would require recognizing that both clauses scrutinise the same expression in their view patterns.

LYG makes analysing term equalities simpler by first desugaring guards from the surface syntax to guard trees. The  $\oplus_{\varphi}$  function, which is roughly a counterpart to GMTM's term oracle, can then reason about terms arising from patterns. While  $\oplus_{\varphi}$  is already more powerful than a trivial term oracle, its real strength lies in the fact that it can easily be extended, as LYG's treatment of pattern synonyms (section 5.4) demonstrates. While GMTM's term oracle could be improved to accomplish the same thing, it is unlikely to be as straightforward of a process as extending  $\oplus_{\varphi}$ .

Ryan: Should we mention something about the performance of GMTM here?

# 8.2 Comparison with similar coverage checkers

8.2.1 Structural and semantic pattern matching analysis in Haskell. Kalvoda and Kerckhove [2019] implement a variation of GMTM that leverages an SMT solver to give more accurate coverage warnings for programs that use guards. For instance, their implementation can conclude that the signum function from section 2.1 is exhaustive. This is something that LYG cannot do out of the box, although it would be possible to extend  $\oplus_{\varphi}$  with SMT-like reasoning about booleans and integer arithmetic. Ryan: Sebastian: is this the thing that would need to be extended? SG: Yes, I imagine that  $\oplus_{\varphi}$  would match on arithmetic expressions and then add some kind of new  $\delta$  constraint to  $\Delta$ .  $\oplus_{\delta}$  would then have to do the actual linear arithmetic reasoning, e.g., conclude from  $x \not\in e, x \not\ni e, x \not\ni e$  (and  $x \not\cong \bot$ ) that x is not inhabited, quite similar to a COMPLETE set.

8.2.2 Warnings for pattern matching. Maranget [2007] presents a coverage checking algorithm for OCaml. While OCaml is a strict language, the algorithm claims to be general enough to handle

languages with non-strict semantics such as Haskell. That claim however builds on a broken understanding of laziness. Given the following definition

```
f True = 1
f = 2
```

Maranget implies that  $f \perp$  evaluates to 2, which is of course incorrect. Also replacing the wild card by a match on *False* would no longer be a complete match according to their formalism.

SG: We can shorten this as needed. It's just a rant at this point, I'm afraid...

Apart from that, his algorithm would report *Nothing* as a missing clause of the definition  $g(Just\ True) = 1$ , but would fail to report the nested  $Just\ False$ , which is clearly unsound according to our definition. **SG**: Define soundness and completeness!

Maranget comes up with surprisingly tricky test cases which exponentially blew up compilation time of GHC at the time. We added them into our testsuite<sup>2</sup> as regression tests and made sure that throttling (section 6.2) maintains linear performance characteristics.

8.2.3 Elaborating dependent (co)pattern matching. Cockx and Abel [2018] design a coverage checking algorithm for a dependently typed language with both pattern matching and copattern matching, which is a feature that GHC lacks. While the source language for their algorithm is much more sophisticated than GHC's, their algorithm is similar to LYG in that it first desugars definitions by clauses to case trees. Case trees present a simplified form of pattern matching that is easier to check for coverage, much like guard trees in LYG. Guard trees could take inspiration from case trees should a future version of GHC add dependent types or copatterns.

## 8.3 Positive and negative information

LYG's use of positive and negative constructor constraints inert sets is inspired by Sestoft [1996], which uses positive and negative information to implement a pattern-match compiler for ML. Sestoft utilises positive and negative information to generate decision trees that avoid scrutinizing the same terms repeatedly. This insight is equally applicable to coverage checking and is one of the primary reasons for LYG's efficiency.

But also accurate redundancy warnings involving COMPLETE sets hinge on negative constraints. For why this isn't possible in other checkers that only track positive information, such as those of Karachalias et al. [2015] (section 8.1) and Maranget [2007] (section 8.2.2), consider the following example:

```
1357 pattern True' = True

1358 {-# COMPLETE True', False #-}

1359 f False = 1

1360 f True' = 2

1361 f True = 3

1362
```

GMTM would have to commit to a particular COMPLETE set when encountering the match on *False*, without any semantic considerations. Choosing {*False*, *True*'} here will mark the third GRHS as redundant, while choosing {*False*, *True*} won't. GHC's implementation used to try each COMPLETE set in turn and had a complicated metric based on the number and kinds of warnings the choice of each one would generate to disambiguate <sup>3</sup>, which was complicated, but broken still<sup>4</sup>.

On the front of efficiency, consider

```
<sup>2</sup>#17264
```

<sup>3</sup>https://downloads.haskell.org/~ghc/latest/docs/html/users\_guide/glasgow\_exts.html#disambiguating-between-multiple-complete-pragm 4#13363

```
1373 data T = A1 \mid ... \mid A1000
1374 h A1 = 1
1375 h A1 = 2
```

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GMTM first splits the value vector (roughly corresponding to one of our  $\Delta s$  without negative constructor constraints) into 1000 alternatives over the first match variable, and then *each* of the 999 value vectors reaching the second GRHS into another 1000 alternatives over the second match variable. Negative constraints allow us to compress the 999 value vectors falling through into a single one indicating that the match variable can no longer be A1. Maranget detects wildcard matches to prevent blowup, but only can find a subset of all uncovered patterns in doing so (section 8.2.2).

## 8.4 Refinement types in coverage checking

In addition to LYG, Liquid Haskell uses refinement types to perform a limited form of exhaustivity checking [Vazou et al. 2014, 2017]. While exhaustiveness checks are optional in ordinary Haskell, they are mandatory for Liquid Haskell, as proofs written in Liquid Haskell require user-defined functions to be total (and therefore exhaustive) in order to be sound. For example, consider this non-exhaustive function:

```
1390 fibPartial :: Integer \rightarrow Integer
1391 fibPartial 0 = 0
1392 fibPartial 1 = 1
1393
```

When compiled, GHC fills out this definition by adding an extra fibPartial = error "undefined" clause. Liquid Haskell leverages this by giving error the refinement type:

```
error :: \{v : String \mid false\} \rightarrow a
```

As a result, attempting to use *fibPartial* in a proof will yield an inconsistent environment (and therefore fail to verify) unless the user can prove that *fibPartial* is only ever invoked with the arguments 0 or 1.

Ryan: Other things from GMTM's related work?

#### 9 CONCLUSION

#### TODO:

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