# Measurement of $J/\psi$ at forward and backward rapidity in p+p, p+Al, p+Au, and ${}^{3}\text{He}+\text{Au}$ collisions at $\sqrt{s_{NN}}=200~\text{GeV}$

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Charmonium is a valuable probe in heavy-ion collisions to study the properties of the quark gluon plasma, and is also an interesting probe in small collision systems to study cold nuclear matter effects, which are also present in large collision systems. With the recent observations of collective behavior of produced particles in small system collisions, measurements of the modification of charmonium in small systems have become increasingly relevant. We present the results of  $J/\psi$  measurements at forward and backward rapidity in various small collision systems, p+p, p+Al, p+Au and  $^{3}He+Au$ , at  $\sqrt{s_{NN}}$ =200 GeV. The results are presented in the form of the observable  $R_{AB}$ , the nuclear modification factor, a measure of the ratio of the  $J/\psi$  invariant yield compared to the scaled yield in p+p collisions. We examine the rapidity, transverse momentum, and collision centrality dependence of nuclear effects on  $J/\psi$  production with different projectile sizes p and <sup>3</sup>He, and different target sizes Al and Au. The modification is found to be strongly dependent on the target size, but to be very similar for p+Au and  $^{3}He+Au$ . However, for 0%-20% central collisions at backward rapidity, the modification for  ${}^{3}\text{He}+\text{Au}$  is found to be smaller than that for p+Au, with a mean fit to the ratio of  $0.89 \pm 0.03(\text{stat}) \pm 0.08(\text{syst})$ , possibly indicating final state effects due to the larger projectile size.

#### INTRODUCTION

tive to that in p+p collisions. The effects that cause this 159 d+Au collisions [13] (|y|<1). modification are often referred to as cold nuclear matter 160 the energy density and temperature produced in the col-  $^{162}$  ported data for  $J/\psi$  [14, 15] and  $\psi(2S)$  [16, 17] (-4.46 < lision of a single proton with a nucleus were not sufficient  $^{163}$  y < -2.96 and 2.03 < y < 3.53). LHCb has reported fects that can modify charm production in p+A collisions 165 4.0). CMS has reported  $J/\psi$  [19] and  $\psi(2S)$  [20] data include modification of the nuclear-parton-distribution  $^{166}$  (-2.4 < y < 1.93 and  $p_T$  > 4 GeV/c). ATLAS has refunctions (nPDFs) in a nucleus [1, 2], initial state parton <sup>167</sup> ported  $J/\psi$  [21] and charmonium [22] data (|y| < 2 and energy loss [3], breakup of the forming charmonium in  $p_T > 8 \text{ GeV}/c$ ). These measurements show a significant These mechanisms are generally expected to act in the 171 sults. early stages of the collision, and effect either the produc- 172 tion rates of charm quarks or their propagation through 173 duced in the collision are not important in p or d+Athe nucleus. All of these processes are strongly (and dif- 174 collision at colliders was called into question by the obferently) dependent on the rapidity and transverse mo- 175 servation of strong suppression of the  $\psi(2S)$  relative to mentum of the produced charmonium, and the collision 176 the  $J/\psi$  in central d+Au collisions [11], and then in p+Pb energy. They are therefore best studied using p+A data 177 collisions [16]. Because CNM effects on the production covering the broadest possible range of collision energy, 178 of charm quarks and their transport through the nucleus rapidity and transverse momentum.

 $d+{\rm Au},\ p+{\rm Au},\ ^3{\rm He}+{\rm Au}$  and  $p+{\rm Al}$  collisions have been <sup>181</sup> can be reproduced by the co-mover break up model [23], studied at  $\sqrt{s_{\scriptscriptstyle NN}}=200$  GeV. The PHENIX experiment <sup>182</sup> where charmonium is dissociated by interactions with published data on  $J/\psi$  production in d+Au collisions 183 over the rapidity intervals 1.2 < |y| < 2.2 and |y| < 1840.35 [9, 10]. PHENIX also reported measurements of 185 bound  $\psi(2S)$ . The observation of flow-like behavior in

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modification in d+Au collisions (|y| < 0.35) [11], followed by measurements of the ratio of  $\psi(2S)$  to  $J/\psi$  in The cross section for production of charmonium in pro- 157 p+Al, p+Au and  $^3He+Au$  collisions at  $\sqrt{s_{_{NN}}}=200~{\rm GeV}$ ton collisions with heavy nuclei is strongly modified rela-  $^{158}$  (1.2 < |y| < 2.2) [12]. STAR has reported  $J/\psi$  data for

At the Large Hadron Collider (LHC) p+Pb collisions (CNM) effects because of the long-time presumption that 161 have been studied at  $\sqrt{s} = 5.02$  TeV. ALICE has reto form a deconfined quark-gluon plasma. The CNM ef-  $^{164}$   $\psi(2S)$  data [18] (-5.0 < y < -2.5 and 1.5 < y <collisions with target nucleons [4, 5], coherent gluon sat-  $^{169}$  energy, rapidity and  $p_T$  dependence of the modification uration [6, 7], and transverse momentum broadening [8]. 170 of charmonia production compared to the scaled p+p re-

The assumption that effects due to soft particles proare expected to affect both states similarly, they do not At the Relativistic Heavy Ion Collider (RHIC) p+p, 180 appear to be able to explain this observation. However, it produced particles in the final state, which naturally gives a larger suppression effect on the much more weakly the  $\psi(2S)$  in small collision systems, first with nuclear 186 p+Pb collisions at LHC (see for example [24]) and later in d+Au collisions at RHIC [25, 26] suggested that a quark-gluon plasma of small size may be formed in high energy collisions of these light systems. This led to the application of transport models to p+Pb and d+Au data, which were originally developed for charmonium produc-

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tion in heavy ion collisions [27, 28]. A plasma phase 247 in these small collision systems gives different suppres- 248 tracker (MuTr) embedded in a magnetic field followed by sion between the charmonia states and allows a descrip- 249 a muon identifier (MuID). Each MuTr comprises three tion of the data. In the case of most central midrapidity 250 stations of cathode strip chambers, inside a magnet with d+Au collisions at  $\sqrt{s_{_{NN}}}=200$  GeV, additional suppres- 251 a radial field integral of  $\int$  B · dl=0.72 T·m. It provides sion beyond CNM effects has been predicted of approxi- 252 a momentum measurement for charged particles. Each mately 20% for the  $J/\psi$ , and 55% for the  $\psi(2S)$  [27], in 253 MuID is composed of five layers (referred to as gap 0– good agreement with the data [9, 11].

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(RHIC) provided collisions of p+Al, p+Au and <sup>3</sup>He+Au <sup>256</sup> ration of muons and hadrons based on their penetration for a systematic study of small systems. A comparison of 257 depth at a given reconstructed momentum. The MuID flow data from p+Au, d+Au and  ${}^{3}He+Au$  with hydrody- 258 in each arm is also used to trigger events containing two namic models found that the data were all consistent with 259 or more muon tracks per event, called a dimuon trigger, hydrodynamic flow in the most central collisions [29-31]. 250 and each muon track is required to have at least one hit An obvious question is whether increased energy density 261 in either gap 3 or gap 4. A more detailed discussion of provided by the <sup>3</sup>He projectile in comparison to the pro- <sup>262</sup> the PHENIX muon arms can be found in Ref. [34, 35]. ton produces any observable effect on charmonium mod- 263 ification in collisions with a Au target.

inclusive  $J/\psi$  production in p+Al, p+Au and <sup>3</sup>He+Au <sup>266</sup> BBC comprises two arrays of 64 quartz Cerenkov deteccollisions at  $\sqrt{s_{NN}} = 200$  GeV. The inclusive  $J/\psi$  cross 267 tors located at  $z = \pm 144$  cm from the nominal interaction section includes feed-down from  $\psi(2S)$  and  $\chi_c$  states, and 268 point, and has an acceptance covering the full azimuth a smaller contribution from B-meson decays. The results 269 and  $3.1 < |\eta| < 3.9$ . They also provide a minimum bias are directly compared to p+p collisions at the same center 270 (MB) trigger by requiring at least one hit in each BBC. of mass energy by calculating the nuclear modification 271 The BBC trigger efficiency, determined from the Van der factor  $R_{AB}$ . The data are presented as a function of  $J/\psi$  272 Meer scan technique [36], is 55%  $\pm$ 5% for inelastic p+p $p_T$ , rapidity, and centrality, and compared to theoretical 273

### EXPERIMENTAL SETUP

The PHENIX detector [32] comprises two central arm spectrometers at midrapidity and two muon arm spectrometers at forward and backward rapidity. The detector configuration during the data taking in 2014 and 2015 is shown in Fig. 1. The data presented here are from  $J/\psi \to \mu^+\mu^-$  decays recorded with the muon arm spectrometers. The muon spectrometers have full azimuthal acceptance, covering  $-2.2 < \eta < -1.2$  (south arm) and  $1.2 < \eta < 2.4$  (north arm). Each muon arm comprises a Forward Silicon Vertex Tracker (FVTX), followed by a hadron absorber and a muon spectrometer.

The FVTX [33] is a silicon detector designed to measure a precise collision vertex (also constrained by the Silicon Vertex Tracker (VTX) at midrapidity), and to provide precise tracking for charged particles entering the muon spectrometer before undergoing multiple scattering in the hadron absorber. The FVTX was not used in this inclusive  $J/\psi$  analysis, because the acceptance 293 is reduced when requiring muon arm tracks that match tracks in the FVTX. Following the FVTX is the hadron 294 absorber, composed of layers of copper, iron, and stain- 295 collected in 2014, and p+p, p+Al, and p+Au data colless steel, corresponding to 7.2 nuclear interaction lengths 296 lected in 2015. All data sets were recorded at a center  $(\lambda_I)$ . The absorber suppresses hadrons in front of the 297 of mass energy  $\sqrt{s_{NN}}$  =200 GeV. The events considered muon arm by a factor of approximately 1000, thus sig- 298 here are triggered by the dimuon trigger and are required nificantly reducing hadronic background for muon based 299 to have a vertex within  $\pm 30$  cm of the center of the intermeasurements.

Each of the muon spectrometers is composed of a muon 4) of steel absorber (4.8 (5.4)  $\lambda_I$  for south (north) arm) In 2014 and 2015, the Relativistic Heavy Ion Collider 255 and two planes of Iarocci tubes. This enables the sepa-

The beam-beam counters (BBC) are used to determine the collision vertex position along the beam axis  $(z_{BBC})$ In this paper we present PHENIX measurements of  $_{265}$  with a resolution of roughly 2 cm in p+p collisions. Each events and  $79\% \pm 2\%$  for events with midrapidity particle production [37, 38]. In p+Al, p+Au, and  $^3He+Au$ collisions, charged particle multiplicity in the BBC in the Au/Al-going direction ( $-3.9 < \eta < -3.1$ ) is used to categorize the event centrality. The BBC trigger efficiency is  $72\% \pm 4\%$ ,  $84\% \pm 3\%$ , and  $88\% \pm 4\%$  of inelastic p+Al, p+Au, and  $^3He+Au$  collisions, respectively.

> A Glauber model, combined with a simulation of the BBC response, is used to relate charged particle multiplicity in the BBC to parameters that characterize the collision centrality, as described in [38]. The analysis pro-<sup>284</sup> duces the average number of nucleon-nucleon collisions in 285 each centrality category. It also produces centrality dependent BBC bias correction factors which account for the correlation between BBC charge and the presence of 288 a hard scattering in the event, and are applied as a multiplicative correction on invariant yields. Table I shows 290 the values of  $\langle N_{\rm coll} \rangle$  and BBC bias correction factor from this analysis.

# DATA ANALYSIS

# Data set

The data sets used in this analysis are <sup>3</sup>He+Au data action region. The corresponding integrated luminosity

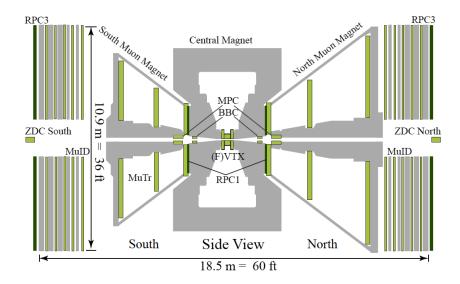


FIG. 1. Side view of the PHENIX detector in 2014 and 2015.

TABLE I.  $\langle N_{coll} \rangle$  and BBC bias correction factors for different centrality bins of p+Al, p+Au and  $^3He+Au$  collisions.

| Collision system              | Centrality          | $\langle N_{\mathrm coll} \rangle$ | Bias factor       |
|-------------------------------|---------------------|------------------------------------|-------------------|
| p+Al                          | 0%-20%              | $3.4 \pm 0.3$                      | $0.81 \pm 0.01$   |
|                               | 20% – 40%           | $2.4 \pm 0.1$                      | $0.90 \pm 0.02$   |
|                               | 40% - 72%           | $1.7 \pm 0.1$                      | $1.04 \pm 0.04$   |
|                               | 0%-100%             | $2.1 \pm 0.1$                      | $0.80 \pm 0.02$   |
|                               |                     |                                    |                   |
| $p{+}\mathrm{Au}$             | $0\% \!\!-\!\! 5\%$ | $9.7 {\pm} 0.6$                    | $0.86 {\pm} 0.01$ |
|                               | 5% - 10%            | $8.4 {\pm} 0.6$                    | $0.90 \pm 0.01$   |
|                               | 10% – 20%           | $7.4 {\pm} 0.5$                    | $0.94 {\pm} 0.01$ |
|                               | 20% – 40%           | $6.1 {\pm} 0.4$                    | $0.98 \pm 0.01$   |
|                               | 40% – 60%           | $4.4 \pm 0.3$                      | $1.03 \pm 0.01$   |
|                               | 60% - 84%           | $2.6 \pm 0.2$                      | $1.00 \pm 0.06$   |
|                               | 0% - 100%           | $4.7 \pm 0.3$                      | $0.86 {\pm} 0.01$ |
|                               |                     |                                    |                   |
| $^{3}\mathrm{He}\mathrm{+Au}$ | 0% - 20%            | $22.3 \pm 1.7$                     | $0.95 {\pm} 0.01$ |
|                               | 20% – 40%           | $14.8 \pm 1.1$                     | $0.95 {\pm} 0.01$ |
|                               | 40% - 88%           | $5.5 {\pm} 0.4$                    | $1.03 \pm 0.01$   |
|                               | 0% - 100%           | $10.4 {\pm} 0.7$                   | $0.89 {\pm} 0.01$ |
|                               |                     |                                    |                   |

was used to estimate the background due to random combinations of kinematically unrelated tracks. A modified Hagedorn function was used to represent the correlated background due to kinematically related tracks. For  $J/\psi$ signal extraction, Crystal-ball functions [41] were used to describe the  $J/\psi$  and  $\psi(2S)$  peaks, similar to the previous analysis in small collision systems [12]:

$$f(m) = N \cdot \exp\left(-\frac{(m - \bar{m})^2}{2\sigma^2}\right), \text{ for } \frac{m - \bar{m}}{\sigma} > -\alpha$$

$$f(m) = N \cdot A \cdot \left(B - \frac{(m - \bar{m})^2}{\sigma}\right)^{-n}, \text{ for } \frac{m - \bar{m}}{\sigma} \le -\alpha,$$

$$A = \left(\frac{n}{|\alpha|}\right)^n \cdot \exp\left(-\frac{|\alpha|^2}{2}\right), B = \frac{n}{|\alpha|} - |\alpha|, (1)$$

 $_{302}$  p+Au, and 18 nb<sup>-1</sup> for  $^{3}$ He+Au collisions.

# $J/\psi$ signal extraction

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mass spectra constructed from combinations of unlike-327 ulations. In cases where the statistical precision of the sign tracks that are identified as muons (see Fig. 2). The  $_{228}$  data led to poor definition of the  $J/\psi$  signal shape, the mass spectra contain muon pairs from  $J/\psi$  decays, as 329 mass and width of the  $J/\psi$  peak were fixed and a syswell as significant contributions from combinations of real 330 tematic uncertainty was assigned to the yield based on muons not from a  $J/\psi$ , as well as misidentified hadrons. 331 tests made with higher statistics cases. The statistical Details about the dimuon selection to reduce the back- 332 uncertainties related to the extraction of the  $J/\psi$  yields ground contributions are described in [39, 40].

The mass spectrum constructed from like-sign tracks 334 procedure.

 $_{320}$  where  $\sigma$  and  $\bar{m}$  are the width and mass centroid of the is 47 pb<sup>-1</sup> for p+p, 590 nb<sup>-1</sup> for p+Al, 138 nb<sup>-1</sup> for  $a_{321}^{320}$  where  $a_{321}^{320}$  and  $a_{321}^{320}$  Gaussian component of the line shape and  $a_{321}^{320}$  and  $a_{321}^{320}$  Gaussian component of the line shape and  $a_{321}^{320}$ 322 parameters describing the tail.

The crystal-ball shape and tail parameters for the  $\psi(2S)$  were fixed with respect to the  $J/\psi$  parameters, using the PDG database value [42] for the energy dif-Yields of  $J/\psi$  mesons were extracted from the invariant 326 ference and a width broadening factor taken from sim-333 were determined from a covariance matrix in the fitting

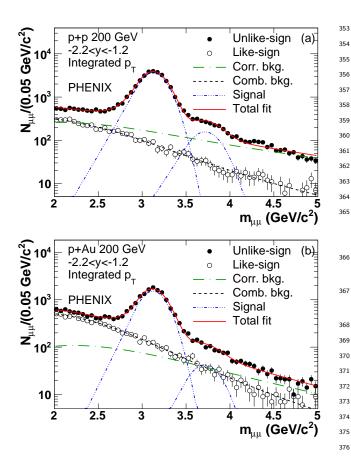


FIG. 2. Invariant mass distributions of unlike-sign and likesign dimuons in MB p+p and p+Au collisions in the south 378 muon arm. Fit results to extract the  $J/\psi$  signal are also 379 presented.

#### **Background** estimation

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The random combinatorial background in the unlike- 385 sign mass spectrum was approximated by combining all 386 like-sign tracks from the same events. There is a small 387 correlated contribution to the like-sign pairs from jets 388 and open bottom; however, compared to the other back- 389 ground sources, this is small.

pairs from charm, bottom, jets, and Drell-Yan. Because 392 arms is mainly from different inefficient detector areas. the correlated background cannot be estimated independently from the data, it must be fitted to the mass spectrum when the  $J/\psi$  yield is extracted. Fitting the cor- 393 related background effectively compensates for the small correlated component included in the like-sign estimation of the combinatorial background.

We describe the correlated background using a modi-351 fied Hagedorn function [39, 43, 44]:

$$\frac{d^2N}{dm_{\mu\mu}dp_T} = \frac{p_0}{\left[\exp\left(-p_1m_{\mu\mu} - p_2m_{\mu\mu}^2\right) + m_{\mu\mu}/p_3\right]^{p_4}}, \quad \text{and} \quad \frac{dy}{dm_{\mu\mu}dp_T} = \frac{\Delta y \, \varepsilon_{\text{Ae}}\varepsilon_{\text{trig}} \, N_{\text{evt}}}{\left[\exp\left(-p_1m_{\mu\mu} - p_2m_{\mu\mu}^2\right) + m_{\mu\mu}/p_3\right]^{p_4}}, \quad \text{and} \quad \frac{dy}{dm_{\mu\mu}dp_T} = \frac{\Delta y \, \varepsilon_{\text{Ae}}\varepsilon_{\text{trig}} \, N_{\text{evt}}}{\left[\exp\left(-p_1m_{\mu\mu} - p_2m_{\mu\mu}^2\right) + m_{\mu\mu}/p_3\right]^{p_4}}, \quad \text{and} \quad \frac{dy}{dm_{\mu\mu}dp_T} = \frac{\Delta y \, \varepsilon_{\text{Ae}}\varepsilon_{\text{trig}} \, N_{\text{evt}}}{\left[\exp\left(-p_1m_{\mu\mu} - p_2m_{\mu\mu}^2\right) + m_{\mu\mu}/p_3\right]^{p_4}}, \quad \text{and} \quad \frac{dy}{dm_{\mu\mu}dp_T} = \frac{\Delta y \, \varepsilon_{\text{Ae}}\varepsilon_{\text{trig}} \, N_{\text{evt}}}{\left[\exp\left(-p_1m_{\mu\mu} - p_2m_{\mu\mu}^2\right) + m_{\mu\mu}/p_3\right]^{p_4}}, \quad \text{and} \quad \frac{dy}{dm_{\mu\mu}dp_T} = \frac{\Delta y \, \varepsilon_{\text{Ae}}\varepsilon_{\text{trig}} \, N_{\text{evt}}}{\left[\exp\left(-p_1m_{\mu\mu} - p_2m_{\mu\mu}^2\right) + m_{\mu\mu}/p_3\right]^{p_4}}, \quad \frac{dy}{dm_{\mu\mu}dp_T} = \frac{\Delta y \, \varepsilon_{\text{Ae}}\varepsilon_{\text{trig}} \, N_{\text{evt}}}{\left[\exp\left(-p_1m_{\mu\mu} - p_2m_{\mu\mu}^2\right) + m_{\mu\mu}/p_3\right]^{p_4}}, \quad \frac{dy}{dm_{\mu\mu}dp_T} = \frac{\Delta y \, \varepsilon_{\text{Ae}}\varepsilon_{\text{trig}} \, N_{\text{evt}}}{\left[\exp\left(-p_1m_{\mu\mu} - p_2m_{\mu\mu}^2\right) + m_{\mu\mu}/p_3\right]^{p_4}}, \quad \frac{dy}{dm_{\mu\mu}dp_T} = \frac{\Delta y \, \varepsilon_{\text{Ae}}\varepsilon_{\text{trig}} \, N_{\text{evt}}}{\left[\exp\left(-p_1m_{\mu\mu} - p_2m_{\mu\mu}^2\right) + m_{\mu\mu}/p_3\right]^{p_4}}, \quad \frac{dy}{dm_{\mu\mu}dp_T} = \frac{\Delta y \, \varepsilon_{\text{Ae}}\varepsilon_{\text{trig}} \, N_{\text{evt}}}{\left[\exp\left(-p_1m_{\mu\mu} - p_2m_{\mu\mu}^2\right) + m_{\mu\mu}/p_3\right]^{p_4}}, \quad \frac{dy}{dm_{\mu\mu}dp_T} = \frac{\Delta y \, \varepsilon_{\text{Ae}}\varepsilon_{\text{trig}} \, N_{\text{evt}}}{\left[\exp\left(-p_1m_{\mu\mu} - p_2m_{\mu\mu}^2\right) + m_{\mu\mu}/p_3\right]^{p_4}}, \quad \frac{dy}{dm_{\mu\mu}dp_T} = \frac{\Delta y \, \varepsilon_{\text{Ae}}\varepsilon_{\text{trig}} \, N_{\text{evt}}}{\left[\exp\left(-p_1m_{\mu\mu} - p_2m_{\mu\mu}^2\right) + m_{\mu\mu}/p_3\right]^{p_4}}, \quad \frac{dy}{dm_{\mu\mu}dp_T} = \frac{\Delta y \, \varepsilon_{\text{Ae}}\varepsilon_{\text{trig}} \, N_{\text{evt}}}{\left[\exp\left(-p_1m_{\mu\mu} - p_2m_{\mu\mu}^2\right) + m_{\mu\mu}/p_3\right]^{p_4}}, \quad \frac{dy}{dm_{\mu\mu}dp_T} = \frac{\Delta y \, \varepsilon_{\text{Ae}}\varepsilon_{\text{trig}} \, N_{\text{evt}}}{\left[\exp\left(-p_1m_{\mu\mu} - p_2m_{\mu\mu}^2\right) + m_{\mu\mu}/p_3\right]^{p_4}}, \quad \frac{dy}{dm_{\mu}dp_T} = \frac{\Delta y \, \varepsilon_{\text{Ae}}\varepsilon_{\text{trig}} \, N_{\text{evt}}}{\left[\exp\left(-p_1m_{\mu\mu} - p_2m_{\mu\mu}^2\right) + m_{\mu\mu}/p_3\right]^{p_4}}, \quad \frac{dy}{dm_{\mu}dp_T} = \frac{\Delta y \, \varepsilon_{\text{evt}}}{\left[\exp\left(-p_1m_{\mu\mu} - p_2m_{\mu\mu}^2\right) + m_{\mu\mu}/p_3\right]^{p_4}}, \quad \frac{dy}{dm_{\mu}dp_T} = \frac{\Delta y \, \varepsilon_{\text{evt}}}{\left[\exp\left(-p_1m_{\mu\mu} - p_2m_{\mu\mu}^2\right) + m_{\mu\mu}/p_3\right]^{p_4}}, \quad \frac{dy}{dm_{\mu}dp_T} = \frac{\Delta y$$

malization parameter,  $p_4$  is the high mass tail parameter, and  $p_1$ ,  $p_2$  and  $p_3$  are additional fit parameters. It was found during the analysis that when fitting mass spectra with poor statistical precision, the shape of the correlated background was not well defined. This led to a contribution of less than 10% to the point-to-point uncertainty in the  $J/\psi$  yields. Therefore, the shape of the correlated background as a function of  $p_T$  (determined by  $p_1$ ,  $p_2$  and  $p_3$ ) was constrained using simulation results based on a detailed study of dimuon mass spectra [9, 39, 45, 46]. A systematic uncertainty on the  $J/\psi$  yield was assigned for this procedure by refitting the data with various combinations of correlated background parameters left free.

# Efficiency correction

#### Acceptance and Reconstruction Efficiency

The study of acceptance and reconstruction efficiency of dimuons from  $J/\psi$  decays has been performed using a GEANT4-based full detector simulation [47]. In this simulation, the MuTr and MuID detector efficiencies are set to values determined from the data. An emulator of the dimuon trigger response is included in the simulation to account for the trigger efficiency. As these efficiencies depend on the instantaneous luminosity being sampled, each data set is divided into three groups with different beam interaction rates, and corrected yields with separate corrections are compared. A systematic uncertainty is assigned to the extracted  $J/\psi$  cross sections to reflect the differences, see Sec. III G for details.

The PYTHIA8 event generator package [48] is utilized to generate full  $J/\psi$  events used for the full Geant4 detector simulation. To take into account effects from background hits, the simulated hits of PYTHIA8 events are embedded into real data events, separated into centrality classes of the collision system. The track reconstruction is then run on the data with embedded simulated hits to examine the effects of the underlying event on the reconstruction efficiency. Figure 3 shows the acceptance and reconstruction efficiency for the  $J/\psi$  as a function of  $p_T$ The correlated background comprises unlike-sign muon  $^{391}$  in p+p collisions. The difference between the two muon

#### Invariant yield and nuclear modification factor

The invariant yield of dimuons from  $J/\psi$  decays in a given rapidity and centrality bin for the integrated  $p_T$ range is

$$B_{ll}\frac{dN}{dy} = \frac{1}{\Delta y} \frac{c_{\rm BBC}}{\varepsilon_{\rm Ae}\varepsilon_{\rm trig}} \frac{N_{J/\psi}}{N_{\rm evt}},\tag{3}$$

(2) 395 is the width of the rapidity bin,  $N_{J/\psi}$  is the number of where  $m_{\mu\mu}$  is the reconstructed  $J/\psi$  mass,  $p_0$  is a nor- 396  $J/\psi$  obtained from the fit procedure,  $c_{\rm BBC}$  is the BBC

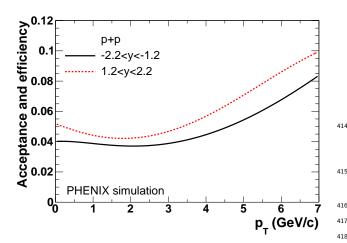


FIG. 3. Acceptance and reconstruction efficiency as a function of  $p_T$  for dimuons from  $J/\psi$  decays in p+p collisions.

bias correction factor described in Table I,  $N_{\text{evt}}$  is the number of sampled MB events in the given centrality bin,  $\varepsilon_{\mathrm{Ae}}$  is the  $J/\psi$  acceptance and reconstruction efficiency,  $^{\mbox{\tiny 423}}$ and  $\varepsilon_{\text{trig}}$  is the dimuon trigger efficiency.

The invariant yield in a y,  $p_T$ , and centrality bin is

$$\frac{B_{ll}}{2\pi p_T} \frac{d^2 N}{dy dp_T} = \frac{1}{2\pi p_T \Delta p_T \Delta p_T \Delta p_T} \frac{c_{\text{BBC}}}{\varepsilon_{\text{Ae}} \varepsilon_{\text{trig}}} \frac{N_{J/\psi}}{N_{\text{evt}}}, \quad (4)$$

 $N_{\rm evt}$  is the number of events in the centrality bin. Based  $_{^{429}}$ on the invariant yields calculated with Eq. 4, the  $J/\psi$  nu- 430 clear modification factor  $R_{AB}$  for a given y,  $p_T$ , and cen-431 trality bin is formed to quantify nuclear effects in p+Al, 432 p+Au, and  $^3He+Au$  collisions. The  $R_{AB}$  is defined as

$$R_{AB} = \frac{1}{\langle N_{coll} \rangle} \frac{d^2 N^{AB} / dy dp_T}{d^2 N^{pp} / dy dp_T}, \tag{5}$$

where  $d^2N^{AB}/dydp_T$  is the  $J/\psi$  invariant yield for a cer-  $^{437}$ tain centrality bin of A+B collisions,  $d^2N^{pp}/dydp_T$  is the 438 corresponding  $J/\psi$  invariant yield for p+p collisions, and 439  $\langle N_{\mathrm coll} 
angle$  is the mean number of binary collisions for that 440 centrality bin in A+B collisions.

# $\langle p_T^2 \rangle$ calculation

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The  $\langle p_T^2 \rangle$  values for various centrality bins in all collision systems have been calculated over the full measured  $p_T$  range  $(0 < p_T < 7 \text{ GeV}/c)$ . We do not extrapolate the  $p_T$  distribution beyond 7 GeV/c. A previous study [10] determined that extrapolating to infinite  $p_T$ increased the  $\langle p_T^2 \rangle$  values by 3%. The value of  $\langle p_T^2 \rangle$  is calculated numerically using the following formula:

$$\langle p_T^2 \rangle = \frac{\sum_{i=0}^N p_{T,i}^2 w_i}{\sum_{i=0}^N w_i},\tag{6}$$

where  $p_{T,i}$  is the center of the i-th  $p_T$  bin, and  $w_i$  is the weight factor proportional to the  $J/\psi$  invariant yield in the  $p_T$  bin:

$$w_i = p_{T,i} dp_{T,i} \left( \frac{B_{ll}}{2\pi p_T} \frac{d^2 N}{dy dp_T} \right)_i, \tag{7}$$

where  $dp_{T,i}$  is the width of the bin.

#### Systematic Uncertainties

In the measurements we present in the next section, Type A uncertainties are random point to point uncertainties, and are dominated by the statistical precision of the data. Type B systematic uncertainties are correlated point to point uncertainties. Type C global uncertainties 421 are fractional uncertainties that apply to all measure-422 ments uniformly.

#### Signal extraction

As discussed in Sec. III C, the modified Hagedorn function in Eq. 2 was used to describe the correlated background. Initial parameters were estimated based on the previous measurement of dimuon mass spectra [39, 45], where  $\Delta p_T$  is the width of the  $p_T$  bin, and in this case 428 and two parameters,  $p_0$  and  $p_4$ , were left free to describe dimuon mass distributions in the data more properly. For the systematic uncertainty study, additional parameters,  $p_1, p_2,$  and  $p_3,$  in the modified Hagedorn function were also freed in the fit procedure. We observe 1.4%-2.8%variations of  $J/\psi$  counts depending on rapidity,  $p_T$ , and

> To describe the combinatorial background shape, the modified Hagedorn function in Eq. 2, used for the correlated background component, was also used to fit likesign dimuon mass distributions. The effect of statistical fluctuations in the like-sign dimuon mass distributions was studied by varying the shape based on the statistical uncertainties of the fit parameters. We observe 1.0%-442 4.4% variations of  $J/\psi$  counts depending on rapidity,  $p_T$ , and centrality.

The uncertainty related to fixing the  $J/\psi$  mass centroid and width was evaluated by directly comparing the difference in yields with the parameters free versus fixed, which ranges from 1.1%–2.9% uncertainty.

Table II lists all Type B uncertainties arising from the  $J/\psi$  signal extraction.

#### Acceptance and efficiency correction

The acceptance and reconstruction efficiency correction and trigger efficiency correction are obtained from simulation, so discrepancies between the data and calculations can be a source of systematic uncertainty. The discrepancies can be due to a variation in the detector

TABLE II. Fractional systematic uncertainties on the signal extraction in p+p, p+Al, p+Au, and  ${}^{3}He+Au$  collisions at forward (north arm) and backward (south arm) rapidity.

| System             | Source       | Forward     | Backward    | Type |
|--------------------|--------------|-------------|-------------|------|
| p+p                | Corr. bkg.   | 1.4%        | 1.8%        | В    |
| p+Al               |              | 1.4%        | 1.8%        | В    |
| $p+\mathrm{Au}$    |              | 1.9% – 2.7% | 1.4% – 2.8% | В    |
| <sup>3</sup> He+Au |              | 2.3% – 2.4% | 1.4% – 2.8% | В    |
| p+p                | Comb. bkg.   | <1.0%       | <1.0%       | В    |
| p+Al               |              | 1.0%        | 4.4%        | В    |
| $p+\mathrm{Au}$    |              | 1.0%        | 1.0%        | В    |
| <sup>3</sup> He+Au |              | 1.0%        | 2.7%        | В    |
| p+p                | Signal shape | -           | -           | В    |
| p+Al               |              | 1.1%        | 1.1%        | В    |
| p+Au               |              | 0% - 1.5%   | 0% - 2.9%   | В    |
| <sup>3</sup> He+Au |              | 1.5%        | 2.9%        | В    |

performance during the data taking period and/or inaccuracy of detector geometry and dead channel maps in the simulation. To quantify these effects, we divide each data set into three groups of different detector efficiency, based on the beam instantaneous luminosity and calculated invariant yields with separate correction factors. In this comparison we observe 1.5\%-5.0\% variations, depending on rapidity and data set, and assign this variation as a systematic uncertainty. In addition, we compare the azimuthal angle  $\phi$  distribution of tracks in the MuTr between the data and simulation, and assign a 2.5%-6.0% systematic uncertainty depending on rapidity and data set.

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In the simulation procedure, PYTHIA8 was used to generate  $J/\psi$  events, and initial  $J/\psi$  rapidity and  $p_T$  shapes in PYTHIA8 are tuned to match the measurements in p+pand d+Au collisions [10, 40, 49]. These two different assumptions of the distributions are used as bounds to estimate the sensitivity of this analysis to the shapes of 504 these distributions in p+Al, p+Au, and  $^3He+Au$  colli-  $^{505}$  ularly in p+p and p+Al runs, it is possible to have multisions, which are not known  $a\ priori$ . The variation of ac- 506ceptance and reconstruction efficiency between two sets of rapidity and  $p_T$  distributions is less than 2%, so we 508 assigned a 2% conservative systematic uncertainty.

lack of knowledge of the  $J/\psi$  polarization was studied as 511 5%. However, the instantaneous luminosity dependence described in [40]. Because there is no precise measure- 512 of the acceptance and efficiency correction is already inment of  $J/\psi$  polarization, a maximum polarization value 513 cluded as a systematic uncertainty, and so no additional (±1 in the helicity frame) was considered to study the 514 systematic uncertainty is assigned. systematic uncertainty. The variation of dimuon acceptance becomes larger as  $J/\psi p_T$  decreases, and 9%–20% systematic uncertainties are assigned depending on  $p_T$ . 515 We assumed that the  $J/\psi$  polarization is not significantly modified in p+Al, p+Au, and  $^3He+Au$  collisions, and  $^{516}$ this uncertainty is canceled in the  $R_{AB}$  calculation. This 517 tematic uncertainty of the invariant yield as a function assumption was also made in a similar PHENIX analysis  $_{518}$  of  $p_T$ . The systematic uncertainties are mostly point-to-

To evaluate a systematic uncertainty on the dimuon  $p_T$  bins are linearly correlated. The upper

trigger efficiency, the single muon trigger efficiency in the MB triggered data obtained with a large number of muon samples was compared with the emulated single muon trigger efficiency determined from simulation. This difference was propagated to the uncertainty in the dimuon trigger efficiency based on a previous study [39], and a 1.0%–4.8% systematic uncertainty was assigned. The Type B systematic uncertainties related to acceptance and efficiency correction are shown in Table III.

TABLE III. Fractional systematic uncertainties on the acceptance and efficiency correction in p+p, p+Al, p+Au and <sup>3</sup>He+Au collisions at forward (north arm) and backward (south arm) rapidity.

| System             | Source          | Forward             | Backward    | Type |
|--------------------|-----------------|---------------------|-------------|------|
| p+p                | Run variation   | 4.0%                | 4.7%        | В    |
| p+Al               |                 | 2.8%                | 3.3%        | В    |
| $p+\mathrm{Au}$    |                 | 1.6%                | 3.5%        | В    |
| <sup>3</sup> He+Au |                 | 1.5%                | 5.0%        | В    |
| p+p                | $\phi$ Matching | 5.8%                | 5.0%        | В    |
| p+Al               | 7               | 3.6%                | 3.3%        | В    |
| p+Au               |                 | 3.4%                | 4.0%        | В    |
| <sup>3</sup> He+Au |                 | 3.1%                | 2.5%        | В    |
| all                | Initial shape   | 2.0%                | 2.0%        | В    |
| all                | $J/\psi$ pol.   | $10\%\!\!-\!\!20\%$ | 9% - 20%    | В    |
| p+p                | Trigger eff.    | 1.0% - 1.7%         | 1.0% - 2.6% | В    |
| p+Al               |                 | 1.0% - 1.8%         | 2.0% – 4.6% | В    |
| p+Au               |                 | 1.0% – 1.7%         | 1.0% - 4.8% | В    |
| <sup>3</sup> He+Au |                 | 1.0% – 2.4%         | 1.0% – 2.4% | В    |

# Multiple interaction

Due to the high instantaneous beam luminosity, particple inelastic collisions from a single beam crossing, which can affect the invariant yield calculation. To investigate this effect, the variation among invariant yields in three groups of different instantaneous luminosity for each data The uncertainty in the dimuon acceptance caused by 510 set was studied, revealing a yield variation smaller than

4. 
$$\langle p_T^2 \rangle$$

The  $\langle p_T^2 \rangle$  uncertainty is calculated based on the sysfor  $J/\psi$  nuclear modification in d+Au collisions [10]. <sub>519</sub> point correlated, and we assumed that the uncertainties

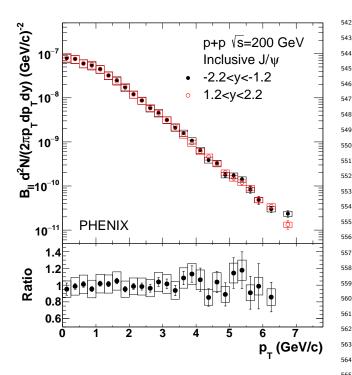


FIG. 4.  $J/\psi$  invariant yields as a function of  $p_T$  in p+p collisions at  $\sqrt{s} = 200$  GeV. The ratio between the values for the two muon arms is presented in the bottom panel. Bars (boxes) around data points represent point-to-point uncorrelated (correlated) uncertainties. There is also a global systematic uncertainty of 10.1%

and lower limits of invariant yield in each  $p_T$  bin are 573 taken to calculate the upper and lower limits of  $\langle p_T^2 \rangle$ .

# 5. $\langle N_{coll} \rangle$ and BBC efficiency

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The systematic uncertainties on the BBC efficiency and the determination of  $\langle N_{coll} \rangle$  in p+Al, p+Au, and <sup>3</sup>He+Au collisions described in Table I are evaluated by following the procedure developed in the previous PHENIX analyses of d+Au data [38]. The systematic 580 uncertainty on the BBC efficiency in p+p collisions obtained in [37] is 10.1%.

#### RESULTS IV.

In this section, we present invariant yield, nuclear mod- 586 ification factor, and  $\langle p_T^2 \rangle$  results at forward and back- 587 ward rapidity. There have been significant changes to 588 p+Al collisions, seen in Fig. 6, shows only weak modificathe muon arm configuration and to the simulation frame- 589 work because the d+Au data set was recorded. Figure 4 590 rapidity. shows the  $J/\psi$  invariant yield as a function of  $p_T$  in  $p+p_{591}$ collisions at  $\sqrt{s} = 200$  GeV at forward and backward ra- 592 binning for central collisions than was previously availpidity, where bars (boxes) represent point-to-point un-  $_{593}$  able from d+Au. The rapidity dependence in six centralcorrelated (correlated) uncertainties. The global system- 594 ity bins for p+Au collisions, seen in Fig. 7, shows a factor

between the forward and backward rapidity regions is presented in the bottom panel, where the systematic uncertainty due to the  $J/\psi$  polarization cancels in the ratio. The invariant yields at forward and backward rapidity are consistent within the systematic uncertainties, confirming that the detector efficiency is well understood.

Plots and tables of invariant yield are presented for the other collision systems in the Appendix. We focus here on the nuclear modification factors.

Figure 5 shows the rapidity dependence of the nuclear modification factor for 0%-100% centrality in p+Al, p+Au, and  $^3He+Au$  collisions. The rapidity dependence of the nuclear modification for different centrality classes is shown for p+Al in Fig. 6, for p+Au in Fig. 7, and for  $^{3}$ He+Au in Fig. 8.

Figures 9 and 10 show the nuclear modification factor as a function of  $p_T$  for 0%-100% p+Al, p+Au, and <sup>3</sup>He+Au collisions at backward and forward rapidity. The  $p_T$  dependence in different centrality classes is presented for p+Al in Fig. 11, for p+Au in Fig. 12 and 13, and for <sup>3</sup>He+Au in Fig. 14. The modification as a function of  $p_T$  in 0%–20% central collisions is compared between p+Al and p+Au in Fig. 15. Similar comparisons where the target is identical, but the projectile is different are shown for 0%–20% central collisions comparing d+Au and p+Au in Fig. 16 and comparing  $^3He+Au$  and p+Au in Fig. 17.

The  $p_T$  integrated nuclear modification factor for p+Al, p+Au and  ${}^{3}He+Au$  as a function of  $\langle N_{coll} \rangle$ 571 is shown at both forward and backward rapidity in Figs. 18 and 19. A comparison between p+Al, p+Auand <sup>3</sup>He+Au modifications when plotted as a function of the average nuclear thickness sampled by the charmonium production is presented in Fig. 20. Figure 21 shows the mean  $p_T$  squared values for the three systems p+Al, p+Au and  ${}^{3}He+Au$  as a function of  $\langle N_{coll} \rangle$  for  $p_T < 7 \text{ GeV}/c$  at forward and backward rapidity.

# **DISCUSSION**

## Rapidity dependence

The rapidity dependence of the modification for 0%-100% centrality, seen in Fig. 5, shows only weak modification for p+Al collisions. For both p+Au and  $^3He+Au$ significant suppression is seen at forward rapidity, with smaller suppression at backward rapidity. The modifications for p+Au and  $^3He+Au$  are very similar.

The rapidity dependence in three centrality bins for tion in all centrality bins, both at forward and backward

The p+Au data presented here contain finer centrality atic uncertainty is 10.1%. The ratio of invariant yields 595 of more than two suppression at the most forward rapid-

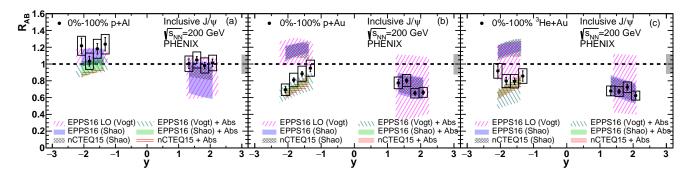


FIG. 5. Nuclear modification factor of inclusive  $J/\psi$  as a function of rapidity for 0%-100% p+Al (a), p+Au (b), and  $^3He+Au$  (c) collisions. Bars (boxes) around data points represent point-to-point uncorrelated (correlated) uncertainties. The theory bands are discussed in the text.

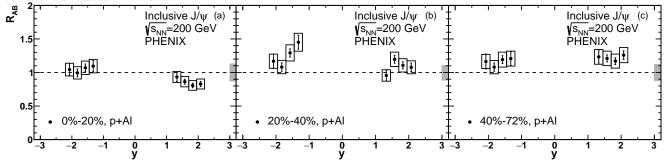


FIG. 6. Nuclear modification factor of inclusive  $J/\psi$  as a function of rapidity in three centrality bins for p+Al collisions. Bars (boxes) around data points represent point-to-point uncorrelated (correlated) uncertainties.

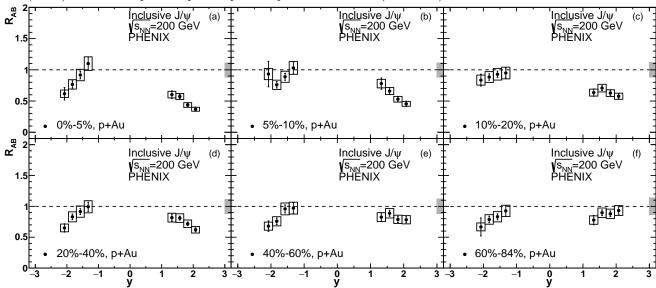


FIG. 7. Nuclear modification factor of inclusive  $J/\psi$  as a function of rapidity in six centrality bins for p+Au collisions. Bars (boxes) around data points represent point-to-point uncorrelated (correlated) uncertainties.

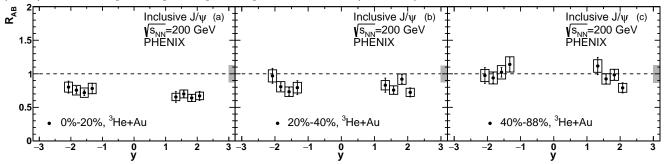


FIG. 8. Nuclear modification factor of inclusive  $J/\psi$  as a function of rapidity in three centrality bins for <sup>3</sup>He+Au collisions. Bars (boxes) around data points represent point-to-point uncorrelated (correlated) uncertainties.

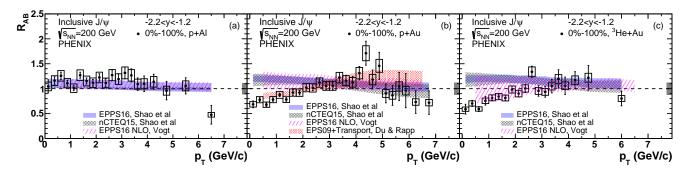


FIG. 9. Nuclear modification factor of inclusive  $J/\psi$  as a function of  $p_T$  at backward rapidity (Al/Au-going direction) for 0%-100% p+Al, p+Au, and <sup>3</sup>He+Au collisions. Bars (boxes) around data points represent point-to-point uncorrelated (correlated) uncertainties. The theory bands are discussed in the text.

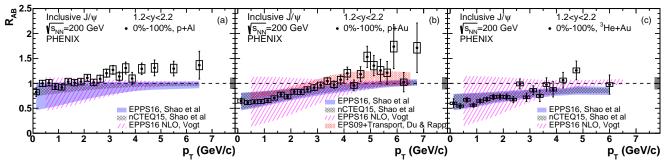


FIG. 10. Nuclear modification factor of inclusive  $J/\psi$  as a function of  $p_T$  at forward rapidity  $(p/^3$ He-going direction) for 0%-100% p+Al, p+Au, and <sup>3</sup>He+Au collisions. Bars (boxes) around data points represent point-to-point uncorrelated (correlated) uncertainties. The theory bands are discussed in the text.

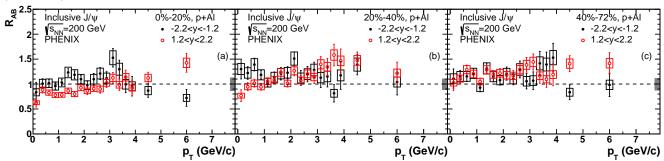


FIG. 11. Nuclear modification factor of inclusive  $J/\psi$  as a function of  $p_T$  in three centrality bins for p+Al collisions. Bars (boxes) around data points represent point-to-point uncorrelated (correlated) uncertainties.

ity in the 0%-5% centrality bin, and a marked increase 610 scribed in [50], while the  $J/\psi$  mass and scale parameters in suppression with increasing rapidity in the forward di- 611 are discussed in [51]. The Shao, et al. model calculations rection. At backward rapidity, the modifications in all 612 for p+Au collisions are based on a Bayesian reweighting centrality bins show little centrality dependence, all be- 613 method which uses tighter  $J/\psi$  constraints from p+Pbing somewhat suppressed.

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data is compared in Fig. 5 with model calculations from 617 momentum. The reweighting however is not applied for R. Vogt [50, 51] and Shao et al. [52–55] showing the ef- 618 lighter <sup>3</sup>He and Al nuclei, with the predictions for these fect of nPDF modifications using the Eskola-Paakkinen- 619 nuclei based on the original method described in [53-Paukkunen-Salgado (EPPS16) [1] next-to-leading order  $_{620}$  55]. For these predictions, the previous PHENIX  $J/\psi$ imental tests of quantum chromodynamics (nCTEQ15) 622 The calculations were performed at three different energy NLO parameterizations [2]. The Vogt EPPS16 NLO  $_{623}$  scales ( $\mu_0$ , 0.5  $\mu_0$ , and 2  $\mu_0$ ) and provide two different shadowing calculations in general follow the methods de-

614 data at the LHC [52]. The dominant uncertainty in the reweighting method is the energy scale dependence,  $\mu_0$ , The rapidity dependence of the 0%–100% centrality 616 where  $\mu_0^2 = M^2 + p_T^2$  for the  $J/\psi$  mass and transverse (NLO) and/or nuclear coordinated theoretical and exper- $_{621}$  measurement in p+p collisions [40] is used as a baseline.

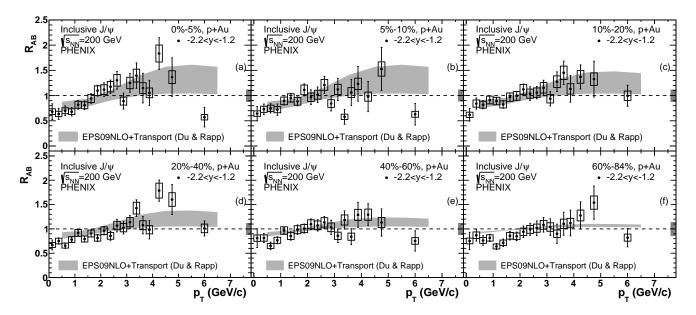


FIG. 12. Nuclear modification factor of inclusive  $J/\psi$  as a function of  $p_T$  at -2.2 < y < -1.2 in six centrality bins for p+Au collisions. Bars (boxes) around data points represents point-to-point uncorrelated (correlated) uncertainties. The theory bands are discussed in the text. Note that the theory bands compared with the 0%-5% and 5%-10% centrality data are for 0%-10%.

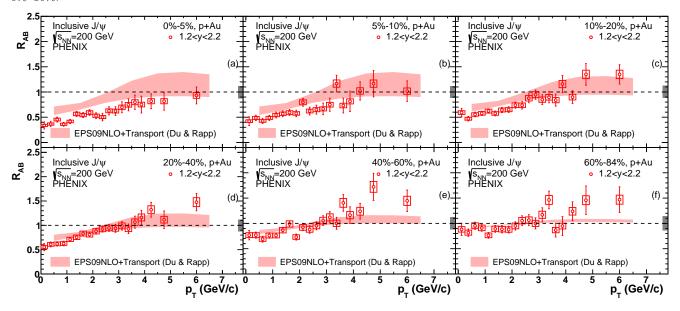


FIG. 13. Nuclear modification factor of inclusive  $J/\psi$  as a function of  $p_T$  at 1.2 < y < 2.2 in six centrality bins for p+Au collisions. The theory bands are discussed in the text. Note that the theory bands compared with the 0%-5% and 5%-10% centrality data are for 0%-10%.

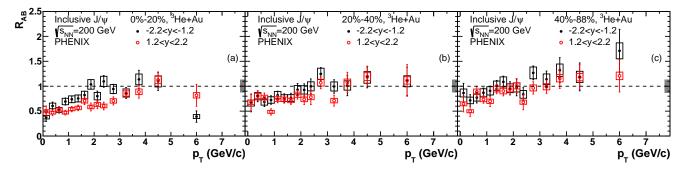


FIG. 14. Nuclear modification factor of inclusive  $J/\psi$  as a function of  $p_T$  in three centrality bins for <sup>3</sup>He+Au collisions.

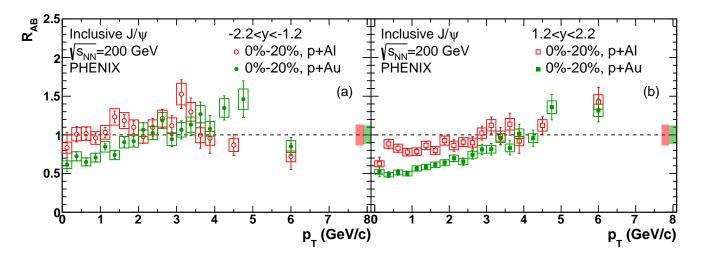


FIG. 15. Comparison of nuclear modification factor of  $J/\psi$  as a function of  $p_T$  in 0%–20% centrality p+Al and p+Au collisions. Bars (boxes) around data points represent point-to-point uncorrelated (correlated) uncertainties.

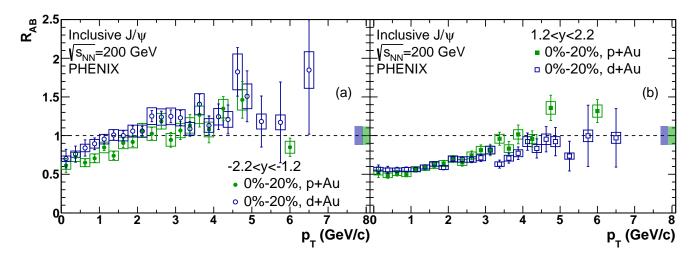


FIG. 16. Comparison of nuclear modification factor of  $J/\psi$  as a function of  $p_T$  in 0%–20% centrality d+Au [10] and p+Aucollisions. Bars (boxes) around data points represent point-to-point uncorrelated (correlated) uncertainties.

confidence levels (68% and 90% CL). The uncertainty  $_{641}$  expected to overpredict the modification in p+Au and band shown is for the 68% CL, and we have taken the 642 <sup>3</sup>He+Au at backward rapidity. envelope of the uncertainty bands from the calculations 626 at the three energy scales. 627

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ward rapidity for all three systems, and for p+Al at 645 to absorption cross sections derived from shadowing corbackward rapidity. For p+Au and <sup>3</sup>He+Au at back- <sup>646</sup> rected data measured at a broad range of beam enerward rapidity the calculated modifications are too large 647 gies [4]. The model assumes that the  $c\bar{c}$  pair size grows by roughly 40%. However, the calculations do not con- 648 linearly with time until it reaches the size of a fully tain effects of nuclear absorption, which is expected 649 formed charmonium meson. Then the absorption cross to be important at backward rapidity in PHENIX at 650 section depends on the proper time before the pair es- $\sqrt{s_{NN}} = 200 \text{ GeV}$  [4], where the nuclear crossing time is 651 capes the target. The effect of the modification due to comparable with the charmonium formation time. That 652 nuclear absorption at backward rapidity from this model is not expected to be the case at forward rapidity in 653 is added to Fig. 5, by folding it into the shadowing cal-PHENIX at  $\sqrt{s_{_{NN}}}=200$  GeV, or at the rapidities of in-  $_{654}$  culation. The results indicate that the measured moditerest at LHC energies. Because nuclear absorption is 655 fications are reasonably consistent with shadowing plus not included in the model calculations, they should be 656 nuclear absorption.

An estimate of the effect of nuclear absorption at back-The calculations describe the data very well at for- 644 ward rapidity can be obtained from a model [5] fitted

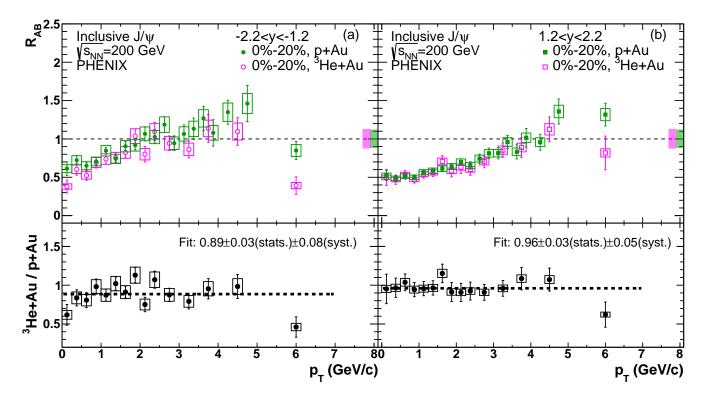


FIG. 17. Comparison of nuclear modification factor of  $J/\psi$  as a function of  $p_T$  in 0%–20% centrality p+Au and  $^3He+Au$ collisions. Bars (boxes) around data points represent point-to-point uncorrelated (correlated) uncertainties.

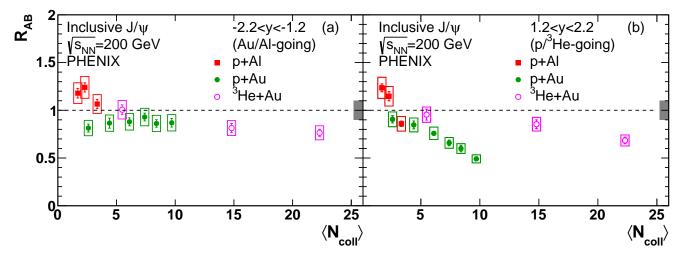


FIG. 18. Nuclear modification factor of  $J/\psi$  as a function of  $\langle N_{coll} \rangle$  for p+Al, p+Au, and  $^3He+Au$  collisions. Bars (boxes) around data points represent point-to-point uncorrelated (correlated) uncertainties.

#### $p_T$ dependence В.

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at backward rapidity in Fig. 9 and at forward rapid- 668 absorption is expected at backward rapidity and low  $p_T$ , ity in Fig. 10, shows little modification for p+Al but 669 and calculations that do not include it should overpredict shows strong, and similar,  $p_T$  dependence for p+Au and 670 the modification there. <sup>3</sup>He+Au. These data are also compared with the calcula- 671

the calculations describe the forward rapidity data well 665 for all three collision systems and for the backward rap+Al. But the backward rapidity modification for The  $p_T$  dependence for 0%–100% centrality, seen 667 p+Au and  $^3He+Au$  is overpredicted. Significant nuclear

The p+Au modifications vs  $p_T$ , seen at forward rapidtions of Shao et al. [52]. As for the rapidity dependence, 672 ity in Fig. 13 for all centrality bins, shows very strong

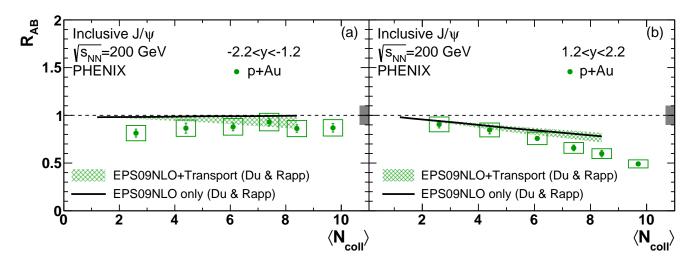


FIG. 19. Nuclear modification factor of  $J/\psi$  as a function of  $\langle N_{coll} \rangle$  for p+Au collisions compared with the transport model. Bars (boxes) around data points represent point-to-point uncorrelated (correlated) uncertainties.

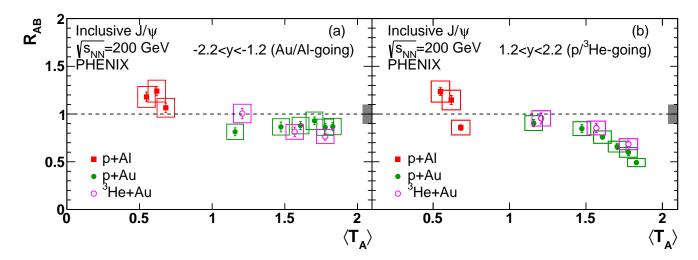


FIG. 20. Nuclear modification factor of  $J/\psi$  as a function of the mean target thickness sampled by charmonium production in the centrality bin, for p+Al, p+Au and  $^3He+Au$  collisions. Bars (boxes) around data points represent point-to-point uncorrelated (correlated) uncertainties.

dependence on centrality. The modification falls to 0.35 689 sorption cross section at backward rapidity. The  $J/\psi$ at low  $p_T$  for the 5% most central collisions. At backward 690 production cross section is described in [57], and charged rapidity, as shown in Fig. 12 the suppression is consid- 691 particle multiplicity [60], hadronic dissociation rates [27], erably weaker at low  $p_T$  for the most central collisions,  $_{692}$  and open charm production cross sections [57] are also but it changes more slowly with centrality. The result 693 considered. The calculations reproduce the data at high is that for collision centralities above 20% the behavior  $_{694}$   $p_T$ , but generally underpredict the suppression at low of the modification versus  $p_T$  becomes rather similar at 695  $p_T$  at forward rapidity. Because the modification of  $J/\psi$ forward and backward rapidity.

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The theory curves shown in Figs. 12 and 13 are adapted transport models provided by X. Du and R. Rapp, based on the original transport model by Zhao & Rapp for A+Acollisions [56]. The theory was extended for d+A collisions [27] and most recently for p+A collisions [57]. The transport model includes a fireball generated by a Monte-Carlo Glauber model [58] in addition to shadowing from Eskola-Paukkunen-Salgado (EPS09) [59] NLO and an abproduction in the transport model is not very strong at forward rapidity, the suppression there is dominated by the EPS09 shadowing contribution.

The comparison in Fig. 15 of 0%-20% p+Al with p+Au $_{700}$  modifications contrasts the weak modification in central p+Al collisions with the strong modification, particularly at forward rapidity, in central p+Au collisions.

In a previous PHENIX measurement of charged particle multiplicity [60], it was found that twice as many par-

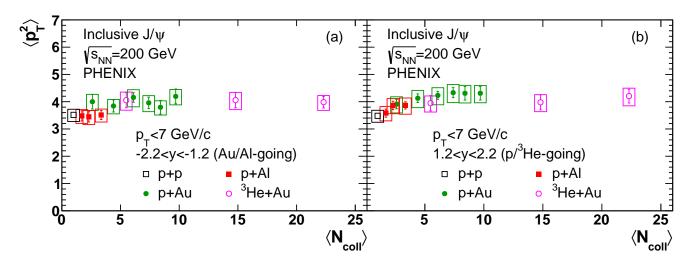


FIG. 21.  $\langle p_T^2 \rangle$  of  $J/\psi$  for  $p_T < 7 \text{ GeV}/c$  as a function of  $\langle N_{coll} \rangle$  for p+Al, p+Au, and  $^3\text{He}+Au$  collisions. Bars (boxes) around data points represent point-to-point uncorrelated (correlated) uncertainties.

than in 0%-20% central p+Au collisions. To look for ev-  $_{714}$  ification predicted by Du and Rapp. The theory calculaidence of an effect from this, Fig. 17 shows a direct com- 715 tion shows both the CNM baseline and the effect of the parison between the modifications for p+Au and <sup>3</sup>He+Au <sub>716</sub> transport model. Again, it is seen that the suppression in the 0%-20% centrality bin. The ratio of <sup>3</sup>He+Au to <sub>717</sub> is underpredicted. At backward rapidity some nuclear p+Au is included in Fig. 17. All systematic uncertainties 718 absorption is expected. At forward rapidity, it appears from the p+Au and  $^3He+Au$  systems are included except  $_{79}$  that the CNM effects are not strong enough to explain the the initial shape uncertainty, which cancels upon taking 720 data. However, the model predicts a suppression beyond the ratio. All systematic uncertainties stemming from 721 CNM effects at backward rapidity for central collisions the p+p system cancel. A mean value has been fitted 722 of approximately 10%. to the ratios, and the result is shown on the plot together with the fit uncertainty and the uncertainty from the systematic errors. The systematic uncertainty was determined by remaking the fit with all points moved to the upper or lower limits of their systematic uncertainty. The ratio at forward rapidity is

$$\overline{R_{^{3}\text{HeAu}}/R_{\text{pAu}}} = 0.96 \pm 0.03(\text{stat}) \pm 0.05(\text{syst}),$$

which is consistent with unity. At backward rapidity it is

$$\overline{R_{^{3}\text{HeAu}}/R_{\text{pAu}}} = 0.89 \pm 0.03(\text{stat}) \pm 0.05(\text{syst}),$$

The results are consistent with  $J/\psi$  production being reduced for the <sup>3</sup>He projectile, with the backward rapidity ratio having a probability of 90% of being less than one.

# $\langle N_{coll} \rangle$ dependence

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The  $p_T$  integrated modifications as a function of  $\langle N_{coll} \rangle$  736 process at that  $r_T$  – which is proportional to  $T_A(r_T)$ . in each centrality bin are shown in Fig. 18. No scaling 737 with  $\langle N_{coll} \rangle$  is expected between p+Au and <sup>3</sup>He+Au, be- <sub>738</sub> fications plotted versus  $\langle T_A \rangle$ , in each centrality bin. The cause <sup>3</sup>He+Au will have roughly three times as many col- 739 modifications seem to fall on a common curve within unlisions as p+Au in the same centrality class. The  $\langle N_{coll} \rangle$  740 certainties, as would be expected if they were primarily dependence of the p+Au modification is shown again in  $_{741}$  due to CNM effects.

ticles are produced in 0%-20% central <sup>3</sup>He+Au collisions <sub>713</sub> Fig. 19, where it is compared with the  $p_T$  integrated mod-

Modifications that are due to CNM effects (including nuclear absorption) would be expected to depend on the thickness of the target nucleus at the impact parameter of the nucleon that was involved in the hard process. The nuclear thickness can be written

$$T_A(r_T) = \int \rho_A(z, r_T) \ dz, \tag{8}$$

where  $\rho_A(z, r_T)$  is the density distribution of nucleons in nucleus A taken from the Woods-Saxon distribution used in the Glauber model discussed in section II. The parameter z is the location in the nucleus along the beam direction, and  $r_T$  is the transverse distance from the center of the nucleus.  $T_A(r_T)$  is the average number of nucleons per unit area at the projectile nucleon impact parameter  $r_T$ . To get the average value of  $T_A$  sampled for charmo-731 nium production within a given centrality bin, the values of  $T_A(r_T)$  are weighted by the distribution of  $r_T$  values vithin the centrality bin, to reflect the number of pro-734 jectile nucleons having one or more inelastic collisions at that  $r_T$ , and additionally by the probability of a hard

Figure 20 shows the p+Al, p+Au and  $^3He+Au$  modi-

on a common curve for all three systems. The  $\langle N_{coll} \rangle$  788 low  $p_T$  for central collisions. dependence is mild, with  $\langle p_T^2 \rangle$  increasing from 3.3 in p+p 789 collisions to approximately 4 in p+Au and <sup>3</sup>He+Au col- 790 with centrality at forward rapidity, reaching approxilisions. The  $\langle p_T^2 \rangle$  is very similar between forward and  $_{791}$  mately 0.5 for the 5% most central collisions. The modibackward rapidity, as was also observed in d+Au colli- 792 fication at backward rapidity is found to have weak censions [10].

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### SUMMARY AND CONCLUSIONS

We have presented invariant yields for inclusive  $J/\psi$ production in p+p,  $p+{\rm Al}$ ,  $p+{\rm Au}$  and  $^3{\rm He}+{\rm Au}$  collisions at  $\sqrt{s_{_{NN}}}=200$  GeV, and the corresponding nuclear modifications for  $p{+}\mathrm{Al},\; p{+}\mathrm{Au}$  and  $^{3}\mathrm{He}{+}\mathrm{Au}.$  The  $_{800}$ new p+Au results are found to agree within uncertainties with the previous PHENIX d+Au results [9].

The p+Al modifications are found to be much weaker at all centralities than those in p+Au. The 0%-100% centrality data for p+Al are found to be well described in rapidity and  $p_T$  by calculations containing only shadowing effects from the EPPS16 NLO and nCTEQ15 NLO parameterizations, aside from slightly underpredicting the modification at 4--6 GeV/c at forward rapidity.

The 0%-100% centrality p+Au and  $^3He+Au$  data are also compared with calculations based on the EPPS16 NLO and nCTEQ15 NLO shadowing parameterizations. At forward rapidity, the calculations describe the p+Auand  ${}^{3}\text{He}+\text{Au}$  modifications well in both rapidity and  $p_{T}$ , again with the exception of slightly underpredicting the modification at 4-6 GeV/c at forward rapidity. At backward rapidity, the calculations overpredict the modifications. We found that adding the predicted nuclear absorption modification taken from previous work to the backward rapidity  $p_T$  integrated data reduced the modifications to values consistent with the data.

The ratio of the  ${}^{3}\text{He}+\text{Au}$  and p+Au modifications for the 0%–20% centrality bin at forward rapidity is

$$\overline{R_{^{3}\text{HeAu}}/R_{\text{pAu}}} = 0.96 \pm 0.03(\text{stat}) \pm 0.05(\text{syst}),$$

which is smaller but consistent with unity. At backward rapidity it is

$$\overline{R_{^{3}\text{HeAu}}/R_{\text{pAu}}} = 0.89 \pm 0.03(\text{stat}) \pm 0.05(\text{syst}),$$

The results are consistent with a reduction in the modifi- 830 cation for the heavier projectile case. Given the system- 831 atic uncertainty, the backward rapidity ratio has a 90% 832 probability of being less than 1.0.

For p+Au at forward rapidity, the nuclear modification  $^{834}$ vs  $p_T$  shows very strong centrality dependence, dropping 835 of collisions. At backward rapidity the suppression is 837 the Former Soviet Union, the Hungarian American En-Comparison with theory calculations that include EPS09 839 Foundation, and the US-Israel Binational Science Founshadowing and a final state transport model are able to 840 dation. reproduce the general shape of the  $p_T$  dependence at each

The  $\langle p_T^2 \rangle$  values versus  $\langle N_{coll} \rangle$ , shown in Fig. 21, fall 787 centrality, but greatly underpredict the suppression at

The  $p_T$  integrated modification for p+Au drops steeply trality dependence. Because nuclear absorption is evidently important at backward rapidity, the weak centrality dependence there is likely due to a trade-off between anti-shadowing and nuclear absorption. It was found that plotting the modification vs  $\langle T_A \rangle$  for each centrality bin caused them to fall on a common line for all three systems, as would be expected if CNM effects dominate.

### ACKNOWLEDGMENTS

We thank the staff of the Collider-Accelerator and Physics Departments at Brookhaven National Laboratory and the staff of the other PHENIX participating institutions for their vital contributions. We acknowledge support from the Office of Nuclear Physics in the Office of Science of the Department of Energy, the National Science Foundation, Abilene Christian University Research Council, Research Foundation of SUNY, and Dean of the College of Arts and Sciences, Vanderbilt University (U.S.A), Ministry of Education, Culture, Sports, Science, and Technology and the Japan Society for the Promotion of Science (Japan), Conselho Nacional de Desenvolvimento Científico e Tecnológico and Fundação de Amparo <sup>814</sup> à Pesquisa do Estado de São Paulo (Brazil), Natural Science Foundation of China (People's Republic of China), Croatian Science Foundation and Ministry of Science and Education (Croatia), Ministry of Education, Youth and Sports (Czech Republic), Centre National de la Recherche Scientifique, Commissariat à l'Énergie Atomique, and Institut National de Physique Nucléaire et de Physique des Particules (France), Bundesministerium für Bildung und Forschung, Deutscher Akademischer Austausch Dienst, and Alexander von Humboldt Stiftung (Germany), J. Bolyai Research Scholarship, EFOP, the New National Excellence Program (ÚNKP), NKFIH, and OTKA (Hungary), Department of Atomic Energy and Department of Science and Technology (India), Israel Science Foundation (Israel), Basic Science Research and SRC(CENuM) Programs through NRF funded by the Ministry of Education and the Ministry of Science and ICT (Korea). Physics Department, Lahore University of Management Sciences (Pakistan), Ministry of Education and Science, Russian Academy of Sciences, Federal Agency of Atomic Energy (Russia), VR and Wallenberg Foundation (Sweden), the U.S. Civilian Research and to approximately 0.35 at low  $p_T$  in the most central 5%  $^{836}$  Development Foundation for the Independent States of weaker for central collisions, but it changes more slowly. 838 terprise Scholarship Fund, the US-Hungarian Fulbright

### APPENDIX

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The invariant yields for all data sets are presented in 842 this appendix. Figure 22 shows inclusive  $J/\psi$  invari-843 ant yield as a function of rapidity in MB p+p, p+Al, 844 p+Au, and  $^3He+Au$  collisions, and the invariant yields 845 in p+Al, p+Au, and  $^3He+Au$  collisions are scaled with  $\langle N_{coll} \rangle$  to compare with the invariant yield in p+p col-847 lisions. In this and following figures showing results of 848 invariant yield measurement, bars (boxes) around data points represent point-to-point uncorrelated (correlated) uncertainties. Figures 23, 24, and 25 show inclusive  $J/\psi$ 851 invariant yield as a function of rapidity in different cen-852 trality of p+Al, p+Au, and  $^3He+Au$  collisions, respec-853 tively. Invariant yields in p+Al, p+Au, and  $^3He+Au$ 854 collisions are scaled with  $\langle N_{coll} \rangle$ , and the p+p result is also presented in each panel. Figures 26, 27, and 28 show inclusive  $J/\psi$  invariant yield as a function of  $p_T$  in different centrality of p+Al, p+Au, and  $^3He+Au$  collisions, respectively.

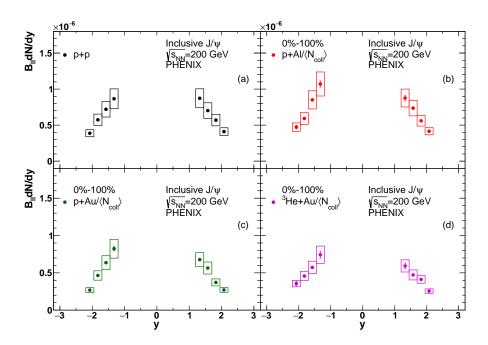


FIG. 22.  $J/\psi$  invariant yield as a function of y in MB p+p, p+Al, p+Au, and  $^3He+Au$  collisions. Bars (boxes) around data points represents point-to-point uncorrelated (correlated) uncertainties. There is also a global uncertainty of 10.1%, 11.5%, 12.1% and 12.2% corresponding to p+p, p+Al, p+Au and  $^3He+Au$  yields.

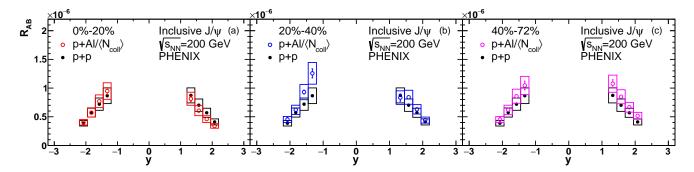


FIG. 23.  $J/\psi$  invariant yield as a function of y in various centrality bins of p+Al collisions. Bars (boxes) around data points represents point-to-point uncorrelated (correlated) uncertainties. There is also a global uncertainty of 13.6%, 12.2%, and 12.3% corresponding to 0%–20%, 20%–40% and 40%–72% centrality.

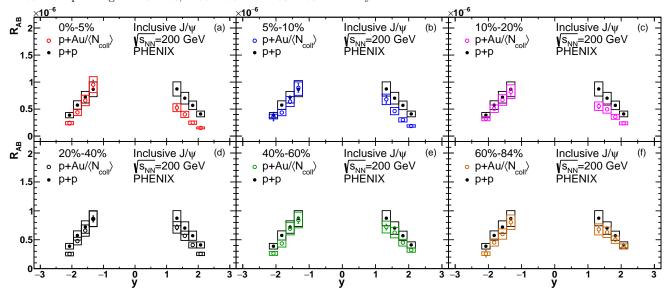


FIG. 24.  $J/\psi$  invariant yield as a function of y in various centrality bins of p+Au collisions. Bars (boxes) around data points represents point-to-point uncorrelated (correlated) uncertainties. There is also a global uncertainty of 11.9%, 11.8%, 12.2%, 12.1%, 12.2% and 14.0% corresponding to 0%-5%, 5%-10%, 10%-20%, 20%-40%, 40%-60%, and 60%-84% centrality.

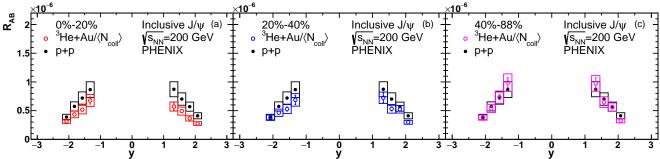


FIG. 25.  $J/\psi$  invariant yield as a function of y in various centrality bins of  ${}^{3}\text{He+Au}$  collisions. Bars (boxes) around data points represents point-to-point uncorrelated (correlated) uncertainties. There is also a global uncertainty of 12.7%, 12.6%, and 13.4% corresponding to 0%–20%, 20%–40%, and 40%–88% centrality.

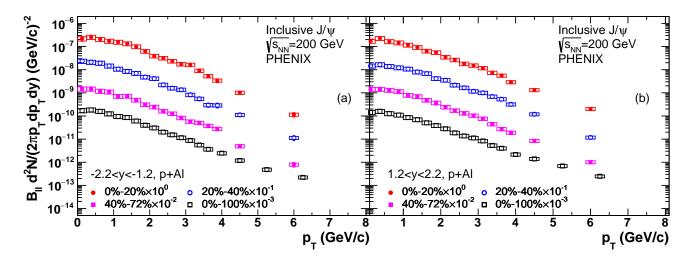


FIG. 26.  $J/\psi$  invariant yield as a function of  $p_T$  in various centrality bins of p+Al collisions, and the yields in each centrality bin are scaled for better visibility. Bars (boxes) around data points represents point-to-point uncorrelated (correlated) uncertainties. There is also a global uncertainty of 10.2%, 10.3%, 10.9% and 10.4% corresponding to 0%–20%, 20%–40%, 40%–72%, and 0%–100% centrality.

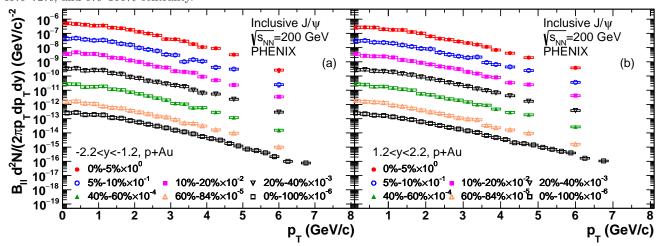


FIG. 27.  $J/\psi$  invariant yield as a function of  $p_T$  in various centrality bins of p+Au collisions, and the yields in each centrality bin are scaled for better visibility. Bars (boxes) around data points represents point-to-point uncorrelated (correlated) uncertainties. There is also a global uncertainty of 11.8% for 60%-84% centrality and 10.2% for all remaining centralities.

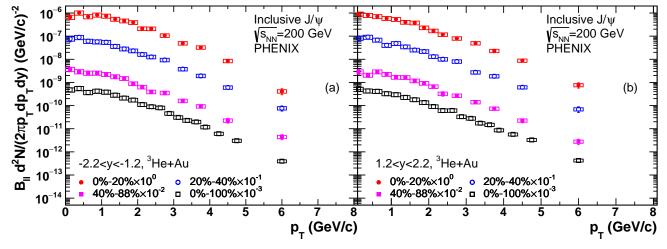


FIG. 28.  $J/\psi$  invariant yield as a function of  $p_T$  in various centrality bins of  $^3{\rm He}+{\rm Au}$  collisions, and the yields in each centrality bin are scaled for better visibility. Bars (boxes) around data points represents point-to-point uncorrelated (correlated) uncertainties. There is also a global uncertainty of 10.7% for 40%–88% centrality and 10.2% for all remaining centralities.

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