llms

November 28, 2023

1 LLM Feature Extraction and Fine-Tuning for Sentence Classification

```
[]: %%capture
    ! pip install tqdm boto3 requests regex sentencepiece sacremoses
    ! pip install transformers

[]: from google.colab import drive
    drive.mount('/content/drive')

Mounted at /content/drive

[]: import collections
    import json
    import numpy as np
    import torch
    import torch.nn as nn
```

1.1 dataset and data loaders

import torch.nn.functional as F

from torch.utils.data import Dataset, DataLoader

from transformers import AutoTokenizer, AutoModel

import tqdm

```
self._data = [json.loads(1) for 1 in fin]
        self._n_classes = len(set([datum['label'] for datum in self._data]))
    def __getitem__(self, index):
        return self._data[index]
    def __len__(self):
        return len(self._data)
    @property
    def n classes(self):
        return self._n_classes
    Ostaticmethod
    def collate_fn(tokenizer, device, batch):
        The collate function that compresses a training batch.
            batch[list[dict[str, Any]]]: data in the batch.
            labels[torch.LongTensor]: the labels in the batch.
            sentences[dict[str, torch.Tensor]]: sentences converted by_{\square}
\hookrightarrow tokenizers.
        111
        # get target labels
        labels = torch.tensor([datum['label'] for datum in batch]).long().
→to(device)
        # encode sentences with tokenizer
        sentences = tokenizer(
            [datum['sentence'] for datum in batch],
            return_tensors='pt', # pt = pytorch style tensor
            padding=True
        )
        for key in sentences:
            sentences[key] = sentences[key].to(device)
        return labels, sentences
def construct_datasets(prefix, batch_size, tokenizer, device):
    111
    Constructs datasets and data loaders.
      Args:
```

```
prefix[str]: prefix of the dataset (e.g., dbpedia_).
    batch_size[int]: maximum number of examples in a batch.
    tokenizer: model tokenizer that converts sentences to integer tensors.
    device[torch.device]: the device (cpu/qpu) that the tensor should be on.
  Returns:
    datasets[dict[str, Dataset]]: a dict of constructed datasets.
    dataloaders[dict[str, DataLoader]]: a dict of constructed data loaders.
111
datasets = collections.defaultdict()
dataloaders = collections.defaultdict()
for split in SPLITS:
    # dataset
    datasets[split] = DBPediaDataset(f'{prefix}{split}.json')
    # dataloader
    dataloaders[split] = DataLoader(
        datasets[split],
        batch_size=batch_size,
        shuffle=(split == 'train'),
        collate_fn=lambda x:DBPediaDataset.collate_fn(tokenizer, device, x)
    )
return datasets, dataloaders
```

1.2 classifer architecture

```
class Classifier(nn.Module):

def __init__(self, in_size, layer_sizes:list, layer_acts:list):

# call parent constructor
super(Classifier, self).__init__()

# construct layers (last layer is output layer)
self.layers = nn.ModuleList()
for i, layer_size in enumerate(layer_sizes):
    if i == 0:
        # layer = nn.Linear(in_size, layer_size)
        # layer.weight.data.uniform_(-0.01, 0.01)
        # layer.bias.data.zero_()
        # self.layers.append(layer)
        self.layers.append(nn.Linear(in_size, layer_size))
    else:
```

```
# layer = nn.Linear(layer_sizes[i-1], layer_size)
# layer.weight.data.uniform_(-0.01, 0.01)
# layer.bias.data.zero_()
# self.layers.append(layer)
self.layers.append(nn.Linear(layer_sizes[i-1], layer_size))

# set each layer's activation function
self.layer_acts = layer_acts

def forward(self, x):

for i, layer in enumerate(self.layers):
    x = layer(x)
    x = self.layer_acts[i](x)

return x
```

1.3 1. BERT [CLS] feature extraction for classification

1.3.1 setup

```
[]: # set hyperparameters
     batch_size = 32
     classifier_hidden_size = 32
     # load BERT tokenizer and model
     bert_tokenizer = AutoTokenizer.from_pretrained('bert-base-cased')
     bert_model = AutoModel.from_pretrained('bert-base-cased')
     \rightarrow huggingface.co/transformers/v3.0.2/model\_doc/auto.html#automodel
     if torch.cuda.is_available(): # use GPU if available
         bert_model = bert_model.cuda()
     # construct datasets with BERT tokenizer
     datasets, dataloaders = construct_datasets(
         prefix='/content/drive/MyDrive/LLMs/data/dbpedia_',
         batch_size=batch_size,
         tokenizer=bert_tokenizer,
         device=bert_model.device
     # # sanity check
     # datasets['train'].__getitem__(0)
     # # sanity check
     # dtrain0 = next(iter(dataloaders['train']))
     # # labels
```

```
# dtrain0[0]
# # sentences
# dtrain0[1].keys() # ['input_ids', 'token_type_ids', 'attention_mask']
# import pandas as pd
# pd.DataFrame(dtrain0[1]['input_ids'])
```

```
tokenizer_config.json: 0%| | 0.00/29.0 [00:00<?, ?B/s]

config.json: 0%| | 0.00/570 [00:00<?, ?B/s]

vocab.txt: 0%| | 0.00/213k [00:00<?, ?B/s]

tokenizer.json: 0%| | 0.00/436k [00:00<?, ?B/s]

model.safetensors: 0%| | 0.00/436M [00:00<?, ?B/s]
```

1.3.2 train and eval util funcs

```
[]: | # func for extracting frozen BERT token representations for sentences and
     \rightarrowpooling
     def extract_bert_rep(pretrained_lm, sentences:dict, pooling:str):
         # extract frozen BERT token representations for sentences
         with torch.no grad(): # keep BERT params fixed
             unpooled_features = pretrained_lm(**sentences)['last_hidden_state'] #__
      \hookrightarrow (B, L, D): (batch_size, num token in sentence, BERT rep dimension)
         # get pooled_features across tokens for each sentence
         if pooling == 'first':
             pooled_features = unpooled_features[:, 0, :] # (B, D)
         elif pooling == 'mean':
             # mask padding tokens (where attention_mask is 0) with nan
             unpooled_features_masked = unpooled_features.masked_fill(
                 sentences['attention_mask'].unsqueeze(-1)==0,
                 float('nan')
             # max-pooling across tokens (L dimension) in each sentence
             pooled_features = unpooled_features_masked.nanmean(dim=1) # (B, D)
         elif pooling == 'max':
```

```
# mask padding tokens (where attention_mask is 0) with -inf
unpooled_features_masked = unpooled_features.masked_fill(
    sentences['attention_mask'].unsqueeze(-1)==0,
    float('-inf')
)

# max-pooling across tokens (L dimension) in each sentence
pooled_features, _ = unpooled_features_masked.max(dim=1) # (B, D)
return pooled_features
```

```
[]: # func for running 1 epoch of training
     def train1epoch(classifier, train_dataloader, optimizer, criterion, u
     →pretrained_lm, rep_extractor, pooling):
         # progress bar
         pbar = tqdm.tqdm(train_dataloader)
         # turn on training mode
         classifier.train()
         # reset epoch_loss tracker
         epoch_loss = 0
         # iter through mini-batches to train 1 epoch
         for labels, sentences in pbar: # sentences is a dict (['input_ids', _
     → 'token_type_ids', 'attention_mask']) of tensors of shape (B, L)
             # extract frozen BERT token representations for sentences; get [CLS]_{\sqcup}
      →token (first token) representation
             cls_features = rep_extractor(pretrained_lm=pretrained_lm,__
     →sentences=sentences, pooling=pooling) # (B, D)
             # train
            optimizer.zero_grad() # zero the gradient buffers
             output = classifier(cls_features)
            loss = criterion(output, labels)
            loss.backward()
            optimizer.step() # does the update
             epoch_loss += loss.item()
             # print('\n')
             # print(f' batch loss: {loss.item()}')
             # print(f' epoch loss: {epoch_loss}')
```

```
[]: # eval
     def eval(classifier, eval_dataloader, pretrained_lm, rep_extractor, pooling):
         # progress bar
         pbar = tqdm.tqdm(eval_dataloader)
         # turn on eval mode
         classifier.eval()
         # set trackers
         ycorrect = 0
         ytotal = 0
         # turn off gradient calc to reduce memory consumption
         with torch.no_grad():
             # iter through mini-batches in eval_dataloader
             for labels, sentences in pbar:
                 # extract frozen BERT token representations for sentences; get_
      → [CLS] token (first token) representation
                 cls_features = rep_extractor(pretrained_lm=pretrained_lm,__
      ⇒sentences=sentences, pooling=pooling) # (B, D)
                 # get classifier predictions on eval_data
                 probs = F.softmax(classifier(cls_features), dim=1)
                 ypred = torch.argmax(probs, dim=1)
                 # count correct predictions
                 ycorrect += torch.sum(torch.eq(ypred, labels)).item()
                 # total num of obs in eval_data
                 ytotal += len(labels)
         # compute accuracy
         yaccu = ycorrect / ytotal
         return yaccu
```

```
[]: # wrapper for train & eval

def main_process(classifier, name, optimizer, criterion, dataloaders, □

→pretrained_lm, rep_extractor, pooling, max_epochs=10, early_stopping=3):
```

```
# initialize vars: track metrics
   epoch_losses = []
  train_evals = []
  dev_evals = []
  # initialize vars: track best classifier
  best_dev_eval = 0
  best_classifier_epoch = -1
   # train and eval
  for epoch in range(max_epochs):
      print(f'EPOCH {epoch+1}')
       # train
       print(f'---- TRAIN ----')
       epoch_loss = train1epoch(
           classifier=classifier,
           train_dataloader=dataloaders['train'],
           optimizer=optimizer,
           criterion=criterion,
          pretrained_lm=pretrained_lm,
          rep_extractor=rep_extractor,
          pooling=pooling
       )
      print(f' epoch loss: {epoch_loss}')
       epoch_losses.append(epoch_loss)
      print(f'---- EVAL ----')
       # # eval on training set
       # train_eval = eval(classifier=classifier,_
→eval_dataloader=dataloaders['train'], pretrained_lm=pretrained_lm, u
→rep_extractor=rep_extractor, pooling=pooling)
       # print(f' train accuracy: {train_eval}')
       # train_evals.append(train_eval)
       # eval on dev set
       dev_eval = eval(classifier=classifier,__
→eval_dataloader=dataloaders['dev'], pretrained_lm=pretrained_lm,
→rep_extractor=rep_extractor, pooling=pooling)
      print(f' dev accuracy: {dev_eval}')
      dev_evals.append(dev_eval)
       # update best classifier based on dev eval
```

```
if dev_eval > best_dev_eval:
           # save state_dict of best classifier so far
           torch.save(classifier.state_dict(), '/content/drive/MyDrive/LLMs/
→classifiers/'+name+'_best.pth.tar')
           # update which epoch best_classifier is from
           best classifier epoch = epoch
           # update best_dev_accu
           best_dev_eval = dev_eval
       print(f' best classifier from epoch {best_classifier_epoch+1}')
       # early stopping based on dev eval
       if early_stopping is not None:
           if epoch - best_classifier_epoch >= early_stopping:
               print('=== EARLY STOPPING ===')
               break
   # end training epochs
   # load state_dict of best classifier (modifies input classifier in place)
   if epoch != best_classifier_epoch:
       print(f'load best classifier...')
       classifier.load_state_dict(torch.load('/content/drive/MyDrive/LLMs/

¬classifiers/'+name+'_best.pth.tar'))
   # eval best classifier on devtest set
   print(f'---- EVAL ----')
   print(f'eval best classifier on devtest...')
   devtest_eval = eval(classifier=classifier,__
→eval_dataloader=dataloaders['test'], pretrained_lm=pretrained_lm,_
→rep_extractor=rep_extractor, pooling=pooling)
   print(f'devtest accuracy: {devtest_eval}\n')
   return epoch_losses, train_evals, dev_evals, best_dev_eval, devtest_eval
```

1.3.3 run experiments

```
[]: # run 1 epoch of training 5 times with random seeds

# specify seeds for each run
seeds = [42, 645, 234, 534, 56]
```

```
# for storing dev and devtest accuracy of each run
final_dev_evals_bert_cls_frozen = []
devtest_evals_bert_cls_frozen = []
for i, seed in enumerate(seeds):
   print(f'====== RUN {i+1} ====== ')
   # set random seed: https://pytorch.org/docs/stable/notes/randomness.html
   torch.manual_seed(seed)
   torch.cuda.manual seed all(seed)
   torch.backends.cudnn.deterministic = True
   torch.backends.cudnn.benchmark = False
    # instantiate classifier for current run
    classifier = Classifier(
        in_size=bert_model.config.hidden_size,
        layer_sizes=[classifier_hidden_size, datasets['train'].n_classes],
        layer_acts=[nn.ReLU(), nn.Identity()]
   ).to(bert_model.device)
    # instantiate optimizer for current run
   optimizer = torch.optim.Adam(classifier.parameters(), lr=5e-4)
    # set loss function
   loss_func = nn.CrossEntropyLoss()
    # train and eval current classifier
    _, _, _, final_dev_eval, devtest_eval = main_process(
        classifier=classifier,
       name='bert_cls_frozen_seed'+str(seed),
       optimizer=optimizer,
       criterion=loss_func,
       dataloaders=dataloaders,
       pretrained_lm=bert_model,
       rep_extractor=extract_bert_rep,
       pooling='first',
       max_epochs=1,
       early_stopping=3
   final_dev_evals_bert_cls_frozen.append(final_dev_eval)
   devtest_evals_bert_cls_frozen.append(devtest_eval)
```

```
====== RUN 1 ======
EPOCH 1
```

```
---- TRAIN ----
100% | 313/313 [00:41<00:00, 7.50it/s]
  epoch loss: 411.33130034804344
---- EVAL ----
100%|
         | 32/32 [00:03<00:00, 8.51it/s]
  dev accuracy: 0.962
 best classifier from epoch 1
---- F.VAI. ----
eval best classifier on devtest...
          | 32/32 [00:03<00:00, 8.95it/s]
devtest accuracy: 0.95
====== RUN 2 ======
EPOCH 1
---- TRAIN ----
        | 313/313 [00:41<00:00, 7.63it/s]
  epoch loss: 409.298469632864
---- EVAL ----
         | 32/32 [00:03<00:00, 8.66it/s]
100%|
 dev accuracy: 0.958
 best classifier from epoch 1
---- EVAL ----
eval best classifier on devtest...
100%|
        | 32/32 [00:03<00:00, 8.89it/s]
devtest accuracy: 0.955
====== RUN 3 ======
EPOCH 1
---- TRAIN ----
       | 313/313 [00:41<00:00, 7.50it/s]
  epoch loss: 387.23794293403625
---- EVAL ----
100%|
         | 32/32 [00:04<00:00, 7.92it/s]
  dev accuracy: 0.968
 best classifier from epoch 1
---- EVAL ----
eval best classifier on devtest...
100%| | 32/32 [00:04<00:00, 7.75it/s]
```

```
devtest accuracy: 0.975
    ====== RUN 4 ======
    EPOCH 1
    ---- TRAIN ----
    100%|
              | 313/313 [00:43<00:00, 7.19it/s]
      epoch loss: 390.3639376461506
    ---- EVAL ----
    100%|
              | 32/32 [00:03<00:00, 8.16it/s]
      dev accuracy: 0.972
      best classifier from epoch 1
    ---- EVAL ----
    eval best classifier on devtest...
              | 32/32 [00:03<00:00, 8.34it/s]
    devtest accuracy: 0.969
    ====== RUN 5 ======
    EPOCH 1
    ---- TRAIN ----
    100%|
              | 313/313 [00:43<00:00, 7.21it/s]
      epoch loss: 502.01690328121185
    ---- EVAL ----
    100%|
              | 32/32 [00:03<00:00, 8.16it/s]
      dev accuracy: 0.899
      best classifier from epoch 1
    ---- EVAL ----
    eval best classifier on devtest...
              | 32/32 [00:03<00:00, 8.38it/s]
    devtest accuracy: 0.889
[]: print(final_dev_evals_bert_cls_frozen)
    print(devtest_evals_bert_cls_frozen)
    [0.962, 0.958, 0.968, 0.972, 0.899]
    [0.95, 0.955, 0.975, 0.969, 0.889]
[]: dev_accu_mean_bert_cls_frozen = np.mean(final_dev_evals_bert_cls_frozen)
    dev_accu_std_bert_cls_frozen = np.std(final_dev_evals_bert_cls_frozen)
```

Across the 5 runs, the mean accuracy on the dev set is 0.9518, with a standard deviation of 0.0268.

The best-performing classifier (w.r.t. dev set accuracy) has an accuracy of 0.969 on the test set.

1.4 2. mean-pooling and max-pooling across tokens

1.4.1 mean-pooling

```
[]: # run 1 epoch of training 5 times with random seeds
     # specify seeds for each run
     seeds = [42, 645, 234, 534, 56]
     # for storing dev and devtest accuracy of each run
     final_dev_evals_bert_mean_frozen = []
     devtest_evals_bert_mean_frozen = []
     for i, seed in enumerate(seeds):
         print(f'====== RUN {i+1} ======= ')
         # set random seed: https://pytorch.org/docs/stable/notes/randomness.html
         torch.manual seed(seed)
         torch.cuda.manual_seed_all(seed)
         torch.backends.cudnn.deterministic = True
         torch.backends.cudnn.benchmark = False
         # instantiate classifier for current run
         classifier = Classifier(
             in_size=bert_model.config.hidden_size,
             layer_sizes=[classifier_hidden_size, datasets['train'].n_classes],
             layer_acts=[nn.ReLU(), nn.Identity()]
         ).to(bert_model.device)
         # instantiate optimizer for current run
```

```
optimizer = torch.optim.Adam(classifier.parameters(), lr=5e-4)
# set loss function
loss_func = nn.CrossEntropyLoss()
# train and eval current classifier
_, _, _, final_dev_eval, devtest_eval = main_process(
    classifier=classifier,
    name='bert_mean_frozen_seed'+str(seed),
    optimizer=optimizer,
    criterion=loss func,
    dataloaders=dataloaders,
    pretrained_lm=bert_model,
    rep_extractor=extract_bert_rep,
    pooling='mean',
    max_epochs=1,
    early_stopping=3
final_dev_evals_bert_mean_frozen.append(final_dev_eval)
devtest_evals_bert_mean_frozen.append(devtest_eval)
```

```
====== RUN 1 ======
EPOCH 1
---- TRAIN ----
         | 313/313 [00:41<00:00, 7.61it/s]
  epoch loss: 379.73703303933144
---- EVAL ----
100%|
          | 32/32 [00:03<00:00, 8.52it/s]
 dev accuracy: 0.956
 best classifier from epoch 1
---- F.VAI. ----
eval best classifier on devtest...
          | 32/32 [00:03<00:00, 8.40it/s]
100%|
devtest accuracy: 0.959
====== RUN 2 ======
EPOCH 1
---- TRAIN ----
          | 313/313 [00:42<00:00, 7.41it/s]
 epoch loss: 361.24872237443924
---- EVAL ----
100%|
         | 32/32 [00:03<00:00, 8.06it/s]
```

```
dev accuracy: 0.966
 best classifier from epoch 1
---- EVAL ----
eval best classifier on devtest...
         | 32/32 [00:04<00:00, 7.88it/s]
devtest accuracy: 0.963
====== RUN 3 ======
EPOCH 1
---- TRAIN ----
          | 313/313 [00:44<00:00, 7.09it/s]
  epoch loss: 346.2587603032589
---- EVAL ----
       | 32/32 [00:04<00:00, 7.53it/s]
100%|
 dev accuracy: 0.977
 best classifier from epoch 1
---- EVAL ----
eval best classifier on devtest...
        | 32/32 [00:04<00:00, 7.81it/s]
devtest accuracy: 0.973
====== RUN 4 ======
EPOCH 1
---- TRAIN ----
          | 313/313 [00:44<00:00, 7.04it/s]
 epoch loss: 338.6590254753828
---- EVAL ----
         | 32/32 [00:04<00:00, 7.73it/s]
 dev accuracy: 0.973
 best classifier from epoch 1
---- EVAL ----
eval best classifier on devtest...
         | 32/32 [00:04<00:00, 7.69it/s]
100%|
devtest accuracy: 0.97
====== RUN 5 ======
EPOCH 1
---- TRAIN ----
100% | 313/313 [00:45<00:00, 6.91it/s]
```

```
epoch loss: 380.0158703774214
    ---- EVAL ----
    100%
              | 32/32 [00:03<00:00, 8.01it/s]
      dev accuracy: 0.963
      best classifier from epoch 1
    ---- EVAL ----
    eval best classifier on devtest...
    100%
              | 32/32 [00:03<00:00, 8.25it/s]
    devtest accuracy: 0.948
[]: print(final_dev_evals_bert_mean_frozen)
     print(devtest_evals_bert_mean_frozen)
    [0.956, 0.966, 0.977, 0.973, 0.963]
    [0.959, 0.963, 0.973, 0.97, 0.948]
[]: dev_accu_mean_bert_mean_frozen = np.mean(final_dev_evals_bert_mean_frozen)
     dev_accu_std_bert_mean_frozen = np.std(final_dev_evals_bert_mean_frozen)
     print(
     f'Across the 5 runs, the mean accuracy on the dev set is ____
     →{round(dev_accu_mean_bert_mean_frozen, 4)}, \
     with a standard deviation of {round(dev_accu_std_bert_mean_frozen, 4)}. \
     \nThe best-performing classifier (w.r.t. dev set accuracy) has an accuracy of \
     {round(devtest_evals_bert_mean_frozen[np.
     ⇒argmax(final_dev_evals_bert_mean_frozen)], 4)} on the test set.'
     )
```

Across the 5 runs, the mean accuracy on the dev set is 0.967, with a standard deviation of 0.0074.

The best-performing classifier (w.r.t. dev set accuracy) has an accuracy of 0.973 on the test set.

1.4.2 max-pooling

```
[]: # run 1 epoch of training 5 times with random seeds

# specify seeds for each run
seeds = [42, 645, 234, 534, 56]

# for storing dev and devtest accuracy of each run
final_dev_evals_bert_max_frozen = []
```

```
devtest_evals_bert_max_frozen = []
for i, seed in enumerate(seeds):
   print(f'====== RUN {i+1} ====== ')
   # set random seed: https://pytorch.org/docs/stable/notes/randomness.html
   torch.manual seed(seed)
   torch.cuda.manual seed all(seed)
   torch.backends.cudnn.deterministic = True
   torch.backends.cudnn.benchmark = False
    # instantiate classifier for current run
    classifier = Classifier(
        in_size=bert_model.config.hidden_size,
        layer_sizes=[classifier_hidden_size, datasets['train'].n_classes],
        layer_acts=[nn.ReLU(), nn.Identity()]
   ).to(bert_model.device)
    # instantiate optimizer for current run
   optimizer = torch.optim.Adam(classifier.parameters(), lr=5e-4)
    # set loss function
   loss_func = nn.CrossEntropyLoss()
    # train and eval current classifier
    _, _, _, final_dev_eval, devtest_eval = main_process(
        classifier=classifier,
       name='bert_max_frozen_seed'+str(seed),
        optimizer=optimizer,
        criterion=loss_func,
       dataloaders=dataloaders,
       pretrained_lm=bert_model,
       rep_extractor=extract_bert_rep,
       pooling='max',
       max_epochs=1,
       early_stopping=3
   final_dev_evals_bert_max_frozen.append(final_dev_eval)
   devtest_evals_bert_max_frozen.append(devtest_eval)
```

```
====== RUN 1 ======

EPOCH 1

---- TRAIN ----

100%| | 313/313 [00:40<00:00, 7.75it/s]
```

```
epoch loss: 611.1268037557602
---- EVAL ----
100%|
          | 32/32 [00:03<00:00, 8.66it/s]
 dev accuracy: 0.751
 best classifier from epoch 1
---- EVAL ----
eval best classifier on devtest...
         | 32/32 [00:03<00:00, 8.66it/s]
devtest accuracy: 0.746
====== RUN 2 ======
EPOCH 1
---- TRAIN ----
        | 313/313 [00:42<00:00, 7.37it/s]
  epoch loss: 649.1984082460403
---- EVAL ----
100%|
         | 32/32 [00:03<00:00, 8.27it/s]
 dev accuracy: 0.634
 best classifier from epoch 1
---- EVAL ----
eval best classifier on devtest...
          | 32/32 [00:03<00:00, 8.37it/s]
devtest accuracy: 0.63
====== RUN 3 ======
EPOCH 1
---- TRAIN ----
         | 313/313 [00:42<00:00, 7.30it/s]
  epoch loss: 688.3176131248474
---- EVAL ----
100%|
         | 32/32 [00:03<00:00, 8.14it/s]
 dev accuracy: 0.5
 best classifier from epoch 1
---- EVAL ----
eval best classifier on devtest...
        | 32/32 [00:03<00:00, 8.41it/s]
100%|
devtest accuracy: 0.497
====== RUN 4 ======
```

```
EPOCH 1
    ---- TRAIN ----
    100%
              | 313/313 [00:43<00:00, 7.19it/s]
     epoch loss: 680.7080105543137
    ---- EVAL ----
    100%|
             | 32/32 [00:03<00:00, 8.11it/s]
     dev accuracy: 0.515
     best classifier from epoch 1
    ---- EVAL ----
    eval best classifier on devtest...
             | 32/32 [00:03<00:00, 8.34it/s]
    devtest accuracy: 0.518
    ====== RUN 5 ======
    EPOCH 1
    ---- TRAIN ----
             | 313/313 [00:44<00:00, 7.09it/s]
    100%1
      epoch loss: 618.6238803863525
    ---- EVAL ----
             | 32/32 [00:03<00:00, 8.06it/s]
    100%|
      dev accuracy: 0.637
     best classifier from epoch 1
    ---- EVAL ----
    eval best classifier on devtest...
             | 32/32 [00:03<00:00, 8.25it/s]
    devtest accuracy: 0.633
[]: print(final_dev_evals_bert_max_frozen)
    print(devtest_evals_bert_max_frozen)
    [0.751, 0.634, 0.5, 0.515, 0.637]
    [0.746, 0.63, 0.497, 0.518, 0.633]
[]: dev_accu_mean_bert_max_frozen = np.mean(final_dev_evals_bert_max_frozen)
    dev_accu_std_bert_max_frozen = np.std(final_dev_evals_bert_max_frozen)
    print(
    →{round(dev_accu_mean_bert_max_frozen, 4)}, \
```

Across the 5 runs, the mean accuracy on the dev set is 0.6074, with a standard deviation of 0.092.

The best-performing classifier (w.r.t. dev set accuracy) has an accuracy of 0.746 on the test set.

1.5 3. comparing pooling techniques

- As shown in the above results, mean-pooling achieved the highest sentence classification accuracy with frozen BERT features.
- First-token pooling has quite similar performance to mean-pooling, but slightly worse (by only around $1\sim2\%$).
- Max-pooling had the lowest accuracy, and its classification accuracy was 20~35% lower than that of mean-pooling and first-token pooling.

1.6 4. BERT fine-tuning with [CLS] features

encoder.layer.11.attention.self.key.weight
encoder.layer.11.attention.self.key.bias
encoder.layer.11.attention.self.value.weight

```
[]: # check BERT last two layers (layers 10 and 11)
     for name, param in bert_model.named_parameters():
         if name.startswith('encoder.layer.10') or name.startswith('encoder.layer.
     →11'): print(name)
    encoder.layer.10.attention.self.query.weight
    encoder.layer.10.attention.self.query.bias
    encoder.layer.10.attention.self.key.weight
    encoder.layer.10.attention.self.key.bias
    encoder.layer.10.attention.self.value.weight
    encoder.layer.10.attention.self.value.bias
    encoder.layer.10.attention.output.dense.weight
    encoder.layer.10.attention.output.dense.bias
    encoder.layer.10.attention.output.LayerNorm.weight
    encoder.layer.10.attention.output.LayerNorm.bias
    encoder.layer.10.intermediate.dense.weight
    encoder.layer.10.intermediate.dense.bias
    encoder.layer.10.output.dense.weight
    encoder.layer.10.output.dense.bias
    encoder.layer.10.output.LayerNorm.weight
    encoder.layer.10.output.LayerNorm.bias
    encoder.layer.11.attention.self.query.weight
    encoder.layer.11.attention.self.query.bias
```

```
encoder.layer.11.attention.output.LayerNorm.weight
   encoder.layer.11.attention.output.LayerNorm.bias
   encoder.layer.11.intermediate.dense.weight
   encoder.layer.11.intermediate.dense.bias
   encoder.layer.11.output.dense.weight
   encoder.layer.11.output.dense.bias
   encoder.layer.11.output.LayerNorm.weight
   encoder.layer.11.output.LayerNorm.bias
[]: # run 1 epoch of training 5 times with random seeds
    # specify seeds for each run
    seeds = [42, 645, 234, 534, 56]
    # for storing dev and devtest accuracy of each run
    final_dev_evals_bert_cls_tune = []
    devtest_evals_bert_cls_tune = []
    for i, seed in enumerate(seeds):
        print(f'====== RUN {i+1} ====== ')
        # set random seed: https://pytorch.org/docs/stable/notes/randomness.html
        torch.manual_seed(seed)
        torch.cuda.manual seed all(seed)
        torch.backends.cudnn.deterministic = True
        torch.backends.cudnn.benchmark = False
        bert_model_tune = AutoModel.from_pretrained('bert-base-cased')
        if torch.cuda.is_available(): # use GPU if available
           bert_model_tune = bert_model_tune.cuda()
        # instantiate classifier for current run
        classifier = Classifier(
           in_size=bert_model_tune.config.hidden_size,
           layer_sizes=[classifier_hidden_size, datasets['train'].n_classes],
           layer_acts=[nn.ReLU(), nn.Identity()]
        ).to(bert_model_tune.device)
```

encoder.layer.11.attention.self.value.bias
encoder.layer.11.attention.output.dense.weight
encoder.layer.11.attention.output.dense.bias

```
######## add BERT last two layers' parameters to params to be optimized
 →############
    params = list()
    for name, param in bert_model_tune.named_parameters():
        if name.startswith('encoder.layer.10') or name.startswith('encoder.
 →layer.11'): params.append(param)
    # instantiate optimizer for current run
    optimizer = torch.optim.Adam(params + list(classifier.parameters()), __
 \rightarrowlr=5e-4)
 # set loss function
    loss_func = nn.CrossEntropyLoss()
    # train and eval current classifier
    _, _, _, final_dev_eval, devtest_eval = main_process(
        classifier=classifier,
        name='bert cls tune seed'+str(seed),
        optimizer=optimizer,
        criterion=loss func,
        dataloaders=dataloaders,
        pretrained_lm=bert_model_tune,
        rep_extractor=extract_bert_rep,
        pooling='first',
        max_epochs=1,
        early_stopping=3
    final_dev_evals_bert_cls_tune.append(final_dev_eval)
    devtest_evals_bert_cls_tune.append(devtest_eval)
====== RUN 1 ======
EPOCH 1
---- TRAIN ----
100%|
         | 313/313 [00:42<00:00, 7.36it/s]
 epoch loss: 392.02118411660194
---- EVAL ----
         | 32/32 [00:03<00:00, 8.20it/s]
100%|
 dev accuracy: 0.967
 best classifier from epoch 1
---- F.VAI. ----
eval best classifier on devtest...
100%|
         | 32/32 [00:03<00:00, 8.41it/s]
```

```
devtest accuracy: 0.973
====== RUN 2 ======
EPOCH 1
---- TRAIN ----
100%|
          | 313/313 [00:43<00:00, 7.21it/s]
  epoch loss: 389.5255144238472
---- EVAL ----
100%|
         | 32/32 [00:03<00:00, 8.12it/s]
  dev accuracy: 0.966
 best classifier from epoch 1
---- EVAL ----
eval best classifier on devtest...
         | 32/32 [00:03<00:00, 8.28it/s]
devtest accuracy: 0.964
====== RUN 3 ======
EPOCH 1
---- TRAIN ----
          | 313/313 [00:44<00:00, 7.08it/s]
  epoch loss: 428.09788951277733
---- EVAL ----
100%|
        | 32/32 [00:04<00:00, 7.96it/s]
  dev accuracy: 0.973
 best classifier from epoch 1
---- EVAL ----
eval best classifier on devtest...
         | 32/32 [00:03<00:00, 8.08it/s]
devtest accuracy: 0.972
====== RUN 4 ======
EPOCH 1
---- TRAIN ----
          | 313/313 [00:44<00:00, 7.10it/s]
  epoch loss: 432.39132446050644
---- EVAL ----
100%|
         | 32/32 [00:04<00:00, 7.95it/s]
 dev accuracy: 0.939
 best classifier from epoch 1
```

```
eval best classifier on devtest...
    100%
              | 32/32 [00:03<00:00, 8.22it/s]
    devtest accuracy: 0.931
    ====== RUN 5 ======
    EPOCH 1
    ---- TRAIN ----
    100%|
              | 313/313 [00:44<00:00, 7.07it/s]
      epoch loss: 396.9234875738621
    ---- EVAL ----
    100%
              | 32/32 [00:04<00:00, 7.93it/s]
      dev accuracy: 0.969
     best classifier from epoch 1
    ---- EVAL ----
    eval best classifier on devtest...
              | 32/32 [00:03<00:00, 8.12it/s]
    100%
    devtest accuracy: 0.972
[]: print(final_dev_evals_bert_cls_tune)
    print(devtest_evals_bert_cls_tune)
    [0.967, 0.966, 0.973, 0.939, 0.969]
    [0.973, 0.964, 0.972, 0.931, 0.972]
[]: dev_accu_mean_bert_cls_tune = np.mean(final_dev_evals_bert_cls_tune)
    dev_accu_std_bert_cls_tune = np.std(final_dev_evals_bert_cls_tune)
    print(
    →{round(dev_accu_mean_bert_cls_tune, 4)}, \
    with a standard deviation of {round(dev_accu_std_bert_cls_tune, 4)}. \
    \nThe best-performing classifier (w.r.t. dev set accuracy) has an accuracy of \
    {round(devtest_evals_bert_cls_tune[np.argmax(final_dev_evals_bert_cls_tune)],_
     \hookrightarrow 4)} on the test set.'
    )
```

---- EVAL ----

Across the 5 runs, the mean accuracy on the dev set is 0.9628, with a standard deviation of 0.0121.

The best-performing classifier (w.r.t. dev set accuracy) has an accuracy of 0.972 on the test set.

- Fine-tuning BERT with [CLS] features produced slightly better classification accuracy compared to using frozen BERT [CLS] features.
- However, classification accuracy after fine-tuning BERT with [CLS] features did not exceed mean-pooling with frozen BERT features.
- Classification accuracy after fine-tuning BERT with [CLS] features still exceeded max-pooling with frozen BERT features by far.

1.7 5. GPT-2

1.7.1 setup

```
[]: # set hyperparameters
     batch_size = 32
     classifier_hidden_size = 32
     # load GPT-2 tokenizer
     gpt2_tokenizer = AutoTokenizer.from_pretrained('gpt2')
     gpt2_tokenizer.pad_token = gpt2_tokenizer.eos_token
     # load GPT-2 model
     gpt2_model = AutoModel.from_pretrained('gpt2') # https://huggingface.co/
     → transformers/v3.0.2/model_doc/auto.html#automodel
     if torch.cuda.is_available(): # use GPU if available
         gpt2_model = gpt2_model.cuda()
     # construct datasets with GPT2 tokenizer
     gpt2_datasets, gpt2_dataloaders = construct_datasets(
         prefix='/content/drive/MyDrive/LLMs/data/dbpedia ',
         batch_size=batch_size,
         tokenizer=gpt2 tokenizer,
         device=gpt2_model.device
     )
     # # sanity check
     # datasets['train'].__getitem__(0)
     # # sanity check
     # dtrain0 = next(iter(dataloaders['train']))
     # # labels
     # dtrain0[0]
     # # sentences
     # dtrain0[1].keys() # ['input_ids', 'attention_mask']
     # import pandas as pd
     # pd.DataFrame(dtrain0[1]['input_ids'])
```

```
[]: # func for extracting frozen GPT2 token representations for sentences and \_ \to pooling
```

```
def extract_gpt2_rep(pretrained_lm, sentences:dict, pooling:str):
    # extract frozen GPT2 token representations for sentences
   with torch.no_grad(): # keep GPT2 params fixed
       unpooled_features = pretrained_lm(**sentences).last_hidden_state # (B,__
→L, D): (batch_size, num token in sentence, GPT2 rep dimension)
    # get pooled_features across tokens for each sentence
   if pooling == 'first':
       pooled_features = unpooled_features[:, 0, :] # (B, D)
   elif pooling == 'last':
       pooled features = unpooled features[range(unpooled features.shape[0]),

→sentences['attention_mask'].sum(dim=1) - 1, :] # (B, D)
   elif pooling == 'mean':
        # mask padding tokens (where attention_mask is 0) with nan
       unpooled_features_masked = unpooled_features.masked_fill(
            sentences['attention_mask'].unsqueeze(-1)==0,
           float('nan')
        )
        # max-pooling across tokens (L dimension) in each sentence
       pooled features = unpooled features masked.nanmean(dim=1) # (B, D)
    elif pooling == 'max':
        # mask padding tokens (where attention_mask is 0) with -inf
       unpooled_features_masked = unpooled_features.masked_fill(
            sentences['attention_mask'].unsqueeze(-1)==0,
           float('-inf')
        # max-pooling across tokens (L dimension) in each sentence
       pooled features, = unpooled features masked.max(dim=1) # (B, D)
   return pooled_features
```

1.7.2 frozen representations

Since GPT models are left-hand-side context only, we will use the last content token representation as classifier input.

```
[]: # run 1 epoch of training 5 times with random seeds
# specify seeds for each run
```

```
seeds = [42, 645, 234, 534, 56]
# for storing dev and devtest accuracy of each run
final_dev_evals_gpt2_last_frozen = []
devtest_evals_gpt2_last_frozen = []
for i, seed in enumerate(seeds):
   print(f'====== RUN {i+1} ====== ')
    # set random seed: https://pytorch.org/docs/stable/notes/randomness.html
   torch.manual seed(seed)
   torch.cuda.manual_seed_all(seed)
   torch.backends.cudnn.deterministic = True
   torch.backends.cudnn.benchmark = False
    # instantiate classifier for current run
    classifier = Classifier(
        in_size=gpt2_model.config.hidden_size,
        layer_sizes=[classifier_hidden_size, gpt2_datasets['train'].n_classes],
        layer_acts=[nn.ReLU(), nn.Identity()]
   ).to(gpt2_model.device)
    # instantiate optimizer for current run
   optimizer = torch.optim.Adam(classifier.parameters(), lr=5e-4)
   # set loss function
   loss_func = nn.CrossEntropyLoss()
    # train and eval current classifier
    _, _, _, final_dev_eval, devtest_eval = main_process(
        classifier=classifier,
       name='gpt2_last_frozen_seed'+str(seed),
       optimizer=optimizer,
        criterion=loss_func,
       dataloaders=gpt2_dataloaders,
       pretrained_lm=gpt2_model,
       rep_extractor=extract_gpt2_rep,
       pooling='last',
       max_epochs=1,
       early_stopping=3
   final_dev_evals_gpt2_last_frozen.append(final_dev_eval)
   devtest_evals_gpt2_last_frozen.append(devtest_eval)
```

```
====== RUN 1 ======
EPOCH 1
---- TRAIN ----
100%|
        | 313/313 [00:45<00:00, 6.93it/s]
 epoch loss: 368.22356283664703
---- EVAL ----
        | 32/32 [00:04<00:00, 7.99it/s]
100%|
 dev accuracy: 0.934
 best classifier from epoch 1
---- EVAL ----
eval best classifier on devtest...
100%|
         | 32/32 [00:03<00:00, 8.17it/s]
devtest accuracy: 0.937
====== RUN 2 ======
EPOCH 1
---- TRAIN ----
100%|
        | 313/313 [00:45<00:00, 6.95it/s]
 epoch loss: 518.4893066287041
---- EVAL ----
        | 32/32 [00:04<00:00, 7.90it/s]
100%|
 dev accuracy: 0.915
 best classifier from epoch 1
---- EVAL ----
eval best classifier on devtest...
100%|
          | 32/32 [00:04<00:00, 7.85it/s]
devtest accuracy: 0.911
====== RUN 3 ======
EPOCH 1
---- TRAIN ----
100%|
        | 313/313 [00:45<00:00, 6.94it/s]
 epoch loss: 387.91995015740395
---- EVAL ----
100%|
          | 32/32 [00:04<00:00, 7.93it/s]
  dev accuracy: 0.934
 best classifier from epoch 1
---- EVAL ----
eval best classifier on devtest...
```

```
100%|
              | 32/32 [00:03<00:00, 8.06it/s]
    devtest accuracy: 0.941
    ====== RUN 4 ======
    EPOCH 1
    ---- TRAIN ----
    100%|
              | 313/313 [00:45<00:00, 6.85it/s]
      epoch loss: 361.42160111665726
    ---- EVAL ----
    100%|
              | 32/32 [00:04<00:00, 7.74it/s]
      dev accuracy: 0.933
      best classifier from epoch 1
    ---- EVAL ----
    eval best classifier on devtest...
              | 32/32 [00:04<00:00, 7.36it/s]
    devtest accuracy: 0.948
    ====== RUN 5 ======
    EPOCH 1
    ---- TRAIN ----
    100%|
              | 313/313 [00:46<00:00, 6.77it/s]
      epoch loss: 438.7018740475178
    ---- EVAL ----
              | 32/32 [00:04<00:00, 7.69it/s]
    100%|
      dev accuracy: 0.908
      best classifier from epoch 1
    ---- EVAL ----
    eval best classifier on devtest...
              | 32/32 [00:04<00:00, 7.93it/s]
    devtest accuracy: 0.906
[]: print(final_dev_evals_gpt2_last_frozen)
    print(devtest_evals_gpt2_last_frozen)
    [0.934, 0.915, 0.934, 0.933, 0.908]
    [0.937, 0.911, 0.941, 0.948, 0.906]
```

Across the 5 runs, the mean accuracy on the dev set is 0.9248, with a standard deviation of 0.0111.

The best-performing classifier (w.r.t. dev set accuracy) has an accuracy of 0.937 on the test set.

1.7.3 fine-tuning

h.11.mlp.c_fc.bias h.11.mlp.c_proj.weight h.11.mlp.c_proj.bias

```
[]: # check GPT2 last two layers
     for name, param in gpt2_model.named_parameters():
         if name.startswith('h.10') or name.startswith('h.11'): print(name)
    h.10.ln_1.weight
    h.10.ln_1.bias
    h.10.attn.c_attn.weight
    h.10.attn.c_attn.bias
    h.10.attn.c_proj.weight
    h.10.attn.c_proj.bias
    h.10.ln_2.weight
    h.10.ln_2.bias
    h.10.mlp.c_fc.weight
    h.10.mlp.c_fc.bias
    h.10.mlp.c_proj.weight
    h.10.mlp.c_proj.bias
    h.11.ln_1.weight
    h.11.ln_1.bias
    h.11.attn.c_attn.weight
    h.11.attn.c_attn.bias
    h.11.attn.c_proj.weight
    h.11.attn.c_proj.bias
    h.11.ln_2.weight
    h.11.ln 2.bias
    h.11.mlp.c_fc.weight
```

```
[]: # run 1 epoch of training 5 times with random seeds
    # specify seeds for each run
    seeds = [42, 645, 234, 534, 56]
    # for storing dev and devtest accuracy of each run
    final_dev_evals_gpt2_last_tune = []
    devtest_evals_gpt2_last_tune = []
    for i, seed in enumerate(seeds):
       print(f'====== RUN {i+1} ====== ')
       # set random seed: https://pytorch.org/docs/stable/notes/randomness.html
       torch.manual_seed(seed)
       torch.cuda.manual_seed_all(seed)
       torch.backends.cudnn.deterministic = True
       torch.backends.cudnn.benchmark = False
       gpt2_model_tune = AutoModel.from_pretrained('gpt2')
       if torch.cuda.is available(): # use GPU if available
           gpt2_model_tune = gpt2_model_tune.cuda()
       # instantiate classifier for current run
       classifier = Classifier(
           in_size=gpt2_model_tune.config.hidden_size,
          layer_sizes=[classifier_hidden_size, datasets['train'].n_classes],
           layer_acts=[nn.ReLU(), nn.Identity()]
       ).to(gpt2_model_tune.device)
       ######## add GPT2 last two layers' parameters to params to be optimized.
     params = list()
       for name, param in gpt2_model_tune.named_parameters():
           if name.startswith('h.10') or name.startswith('h.11'): params.
     →append(param)
       # instantiate optimizer for current run
       optimizer = torch.optim.Adam(params + list(classifier.parameters()), ___
     \rightarrowlr=5e-4)
```

```
# set loss function
    loss_func = nn.CrossEntropyLoss()
    # train and eval current classifier
    _, _, _, final_dev_eval, devtest_eval = main_process(
        classifier=classifier,
        name='gpt2_last_tune_seed'+str(seed),
        optimizer=optimizer,
        criterion=loss_func,
        dataloaders=gpt2_dataloaders,
        pretrained_lm=gpt2_model_tune,
        rep_extractor=extract_gpt2_rep,
        pooling='last',
        max_epochs=1,
        early_stopping=3
    )
    final_dev_evals_gpt2_last_tune.append(final_dev_eval)
    devtest_evals_gpt2_last_tune.append(devtest_eval)
====== RUN 1 ======
          | 313/313 [00:46<00:00, 6.71it/s]
  epoch loss: 393.1364585161209
```

```
EPOCH 1
---- TRAIN ----
100%|
---- EVAL ----
100%|
          | 32/32 [00:04<00:00, 7.98it/s]
 dev accuracy: 0.93
 best classifier from epoch 1
---- EVAL ----
eval best classifier on devtest...
          | 32/32 [00:03<00:00, 8.08it/s]
devtest accuracy: 0.923
====== RUN 2 ======
EPOCH 1
---- TRAIN ----
          | 313/313 [00:45<00:00, 6.85it/s]
100%|
  epoch loss: 490.42394882440567
---- EVAL ----
100%|
          | 32/32 [00:04<00:00, 7.73it/s]
  dev accuracy: 0.912
 best classifier from epoch 1
```

```
---- EVAL ----
eval best classifier on devtest...
100%|
          | 32/32 [00:04<00:00, 7.81it/s]
devtest accuracy: 0.906
====== RUN 3 ======
EPOCH 1
---- TRAIN ----
100%|
         | 313/313 [00:46<00:00, 6.75it/s]
 epoch loss: 349.20694893598557
---- EVAL ----
100%|
        | 32/32 [00:04<00:00, 7.73it/s]
  dev accuracy: 0.922
 best classifier from epoch 1
---- EVAL ----
eval best classifier on devtest...
         | 32/32 [00:04<00:00, 7.86it/s]
devtest accuracy: 0.91
====== RUN 4 ======
EPOCH 1
---- TRAIN ----
         | 313/313 [00:47<00:00, 6.66it/s]
  epoch loss: 407.39679250121117
---- EVAL ----
100%|
          | 32/32 [00:04<00:00, 7.68it/s]
 dev accuracy: 0.934
 best classifier from epoch 1
---- EVAL ----
eval best classifier on devtest...
         | 32/32 [00:04<00:00, 7.66it/s]
devtest accuracy: 0.926
====== RUN 5 ======
EPOCH 1
---- TRAIN ----
         | 313/313 [00:46<00:00, 6.77it/s]
  epoch loss: 407.05772137641907
---- EVAL ----
```

```
100%
              | 32/32 [00:04<00:00, 7.61it/s]
      dev accuracy: 0.929
      best classifier from epoch 1
    ---- EVAL ----
    eval best classifier on devtest...
               | 32/32 [00:04<00:00, 7.78it/s]
    devtest accuracy: 0.922
[]: print(final_dev_evals_gpt2_last_tune)
     print(devtest_evals_gpt2_last_tune)
    [0.93, 0.912, 0.922, 0.934, 0.929]
    [0.923, 0.906, 0.91, 0.926, 0.922]
[]: dev_accu_mean_gpt2_last_tune = np.mean(final_dev_evals_gpt2_last_tune)
     dev_accu std_gpt2 last_tune = np.std(final_dev_evals_gpt2_last_tune)
     print(
     f'Across the 5 runs, the mean accuracy on the dev set is ____
     →{round(dev_accu_mean_gpt2_last_tune, 4)}, \
     with a standard deviation of {round(dev_accu_std_gpt2_last_tune, 4)}. \
     \nThe best-performing classifier (w.r.t. dev set accuracy) has an accuracy of \
     {round(devtest_evals_gpt2_last_tune[np.argmax(final_dev_evals_gpt2_last_tune)],_
     \hookrightarrow4)} on the test set.'
    Across the 5 runs, the mean accuracy on the dev set is 0.9254, with a standard
    deviation of 0.0077.
    The best-performing classifier (w.r.t. dev set accuracy) has an accuracy of
    0.926 on the test set.
[]:
[]:
[]:
```