

Ideal Abstractions for Well-Structured Transition Systems

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VMCAI'12

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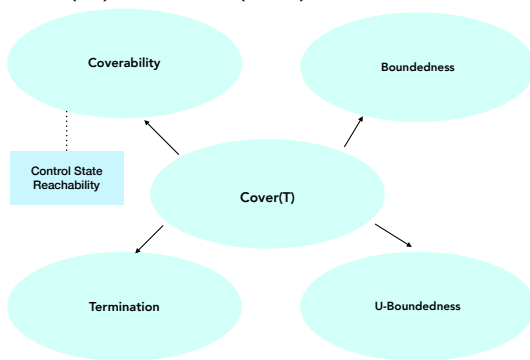
CS 735 FM-CAS '18

Outline

- 1 Motivation
- 2 Preliminaries
- 3 Mathematical Foundations of Abstract Interpretation
- 4 Ideal Abstraction
- 5 Ideal KM Algorithm
- 6 Acceleration vs Widening

Motivation

- Consider a WSTS $T = (S, S_0, \rightarrow, \leq)$
- $Cover(T) = \downarrow Post^*(\downarrow S_0)$



- The covering set problem is not decidable in general

- Backward coverability algorithm - not feasible in practice

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- Look for forward coverability algorithms e.g. Karp-Miller
- Forward algorithms usually compute the covering set
- More useful - characterises a good approximation of the reachability set
- When is this decidable?

- Upward Closure $\uparrow Y$ of a set $Y \subseteq X$ is $\uparrow Y = \{x \in X \mid \exists y \in Y. y \leq x\}$
- Downward Closure $\downarrow Y$ of a set $Y \subseteq X$ is
 $\downarrow Y = \{x \in X \mid \exists y \in Y. y \geq x\}$
- An upper bound $x \in X$ of a set $Y \subseteq X$ is such that $\forall y \in Y. y \leq x$
- The notion of lower bound is defined dually.
- A nonempty set $D \subseteq X$ is called directed if $\forall x, y \in D, \exists c$ st $c \in D$ and $x \leq c$ and $y \leq c$
- A set $I \subseteq X$ is an ideal of X if I is downward-closed and directed
- $Idl(X)$ denotes the set of all ideals of X also referred to as the *ideal completion* of X

- A poset $L(\leq)$ is called a lattice if every two elements have a unique *lub* and *glb*

$$\mathcal{L} = (L, \leq, \top, \perp, \sqcup, \sqcap)$$

where \sqcup, \sqcap denote the *lub* and *glb* operators

\top and \perp denote the greatest and least elements

- Complete lattice - all its subsets have a *lub* and a *glb*
- A monotone function $f : L \rightarrow L$ on a complete lattice L is called *continuous* if for every directed subset D of L , $\sqcup f(D) = f(\sqcup D)$

Kleene's fixed point theorem

Theorem : Given an increasing and continuous function over a complete lattice, $f : L \rightarrow L$, its least fixed point $\text{lfp}^{\leq}(f) \in L$ exists and is given by $\sqcup \{f^i(\perp) \mid i \in \mathbb{N}\}$

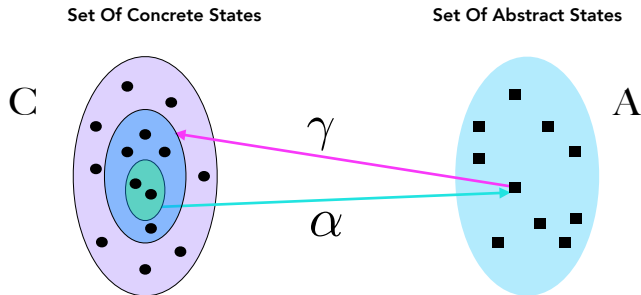
Proof :

- Observe that $f^0(\perp) = \perp \leq f^1(\perp)$ and since f is increasing, $\{f^i(\perp)\}$ is a non decreasing sequence
- Therefore $\mathbb{M} = \{\perp, f^0(\perp), f^1(\perp), \dots\}$ is a directed subset of L
- Let $m = \sqcup(\mathbb{M})$ and since f is continuous, we have

$$f(\sqcup(\mathbb{M})) = f(m) = \sqcup(f(\mathbb{M}))$$

- Also observe, $f(\mathbb{M}) = \mathbb{M} \setminus \{\perp\}$. But $\sqcup(\mathbb{M}) = \sqcup(\mathbb{M} \setminus \{\perp\})$, therefore, $f(m) = m$ i.e m is a fixed point.
- For any fixed point l , $f^0(\perp) = \perp \leq l$ which means $\forall i \ f^i(\perp) \leq l$ and therefore $m \leq l$

Abstract Framework



- Any operator op in the concrete domain can be lifted to the abstract domain as $op_A = \alpha \circ op \circ \gamma$

Abstract Framework

- Concrete Lattice $\mathcal{C} = (\mathcal{P}(S), \subseteq, \cup, \cap, S, \emptyset)$
- Abstract Lattice $\mathcal{A} = (A, \leq, \sqcup, \sqcap, \top, \perp)$
- Abstraction function $\alpha : \mathcal{P}(S) \rightarrow A$
- Concretization function $\gamma : A \rightarrow \mathcal{P}(S)$
- α and γ form a **Galois Connection** iff
 - (i) $S_1 \subseteq \gamma(\alpha(S_1))$ for all $S_1 \subseteq S$
 - (ii) $\alpha(\gamma(x)) \leq x$ for all $x \in A$
- Equivalently, $\forall S_1 \subseteq S, x \in A, \alpha(S_1) \leq x \Leftrightarrow S_1 \subseteq \gamma(x)$ (Prove!)
- If γ is also injective then (α, γ) is called Galois insertion

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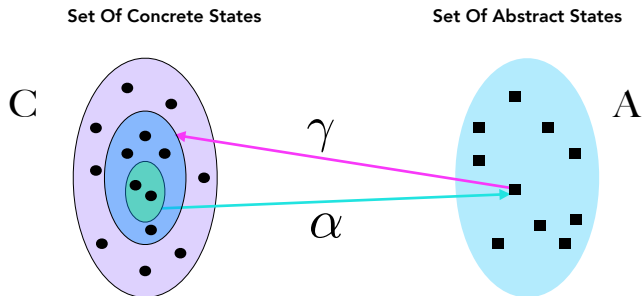
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- We have already seen this in action!

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- $post_{\downarrow} \stackrel{\text{def}}{=} \alpha_{\downarrow} \circ post \circ \gamma_{\downarrow}$

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- (α, γ) form a Galois Insertion between the concrete domain $\mathcal{P}(\mathcal{S})$ and the abstract domain \mathcal{D}_{Idl}
- $post_{Idl} = \alpha \circ post \circ \gamma$

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- The lfp of the sequence $\{F_{Idl}^i(\perp)\}$ is exactly the ideal decomposition of the cover set
- Effectivity Conditions for checking for lfp:
 - F_{Idl} must be computable
 - $I_1 \sqsubseteq I_2$ must be decidable ($F_{Idl}(I) \sqsubseteq I$)
- Height of D_{Idl} : Not necessarily finite \Rightarrow

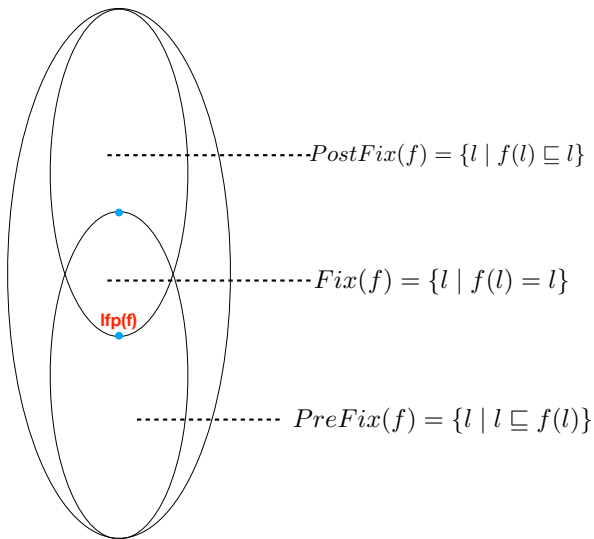
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- Stabilization of $\{F_{Idl}^i(\perp)\}$ is still not guaranteed

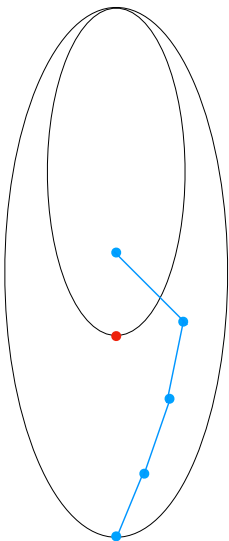
Solution: Widening

- Let $\nabla : \mathcal{P}(X) \rightarrow X$ be a partial function with the following conditions:
 - *Covering* : For all $Y \subseteq X$, if $\nabla(Y)$ is defined then for all $y \in Y, y \subseteq \nabla(Y)$
 - *Termination* : For every ascending chain $\{x_i\}_{i \in \mathbb{N}}$ in $X(\subseteq)$, the sequence $y_0 = x_0, y_i = \nabla(\{x_0, \dots, x_i\})$, is well-defined and an ascending stabilizing chain

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- Assume $S(\leq)$ is a bqo (not just a wqo)
- Advantage: Now, $Idl(S)$ and $\mathcal{P}(S)$ are bqos

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- For a finite ascending chain $C = \{L_i\} \subseteq \mathcal{D}_{Idl}$ define
$$\begin{aligned}\nabla : \mathcal{P}(\mathcal{D}_{Idl}) &\rightarrow \mathcal{D}_{Idl}: \\ \nabla(\{L_0\}) &= L_0 \\ \nabla(\{L_0, \dots, L_k\}) &= \\ \nabla(\{L_0, \dots, L_{k-1}\}) \sqcup \{\nabla_S(\mathcal{I}) \mid \mathcal{I} \text{ is a maximal ascending chain in} \\ \nabla(\{L_0, \dots, L_{k-1}\}) \sqcup L_k\}\end{aligned}$$

Widening: Proof

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- 1 ∇ is a widening operator for the domain \mathcal{D}_{Idl}
- 2 Covering Property: Easy to Verify
- 3 Termination Condition
 - Assume there is an ascending chain L_i for which $W_0 = \{L_0\}, W_{i+1} = \nabla(L_0, \dots, L_{i+1})$ is not stabilising
 - Consider $l_i \in W_i$, st $l_i \not\subseteq l$ for all $l \in W_{i-1}$
 - $\{l_i\}$ has an ascending subsequence in $Idl(S)(\subseteq)$ (bqo!)
 - Consider the sequence $J_0 = l_{i_0}$ and $J_{k+1} = \nabla_S(\{l_{i_0}, \dots, l_{i_k}\})$.
 - $\{J_i\}$ stabilises, say $J_j = J_{j+1}$ where j is the index where it does
 - $l_{i_{j+1}} \subseteq J_{j+1} = J_j \subseteq l$ st $l \in W_{i_j}$

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- We therefore define our analysis in terms of the widening sequence $\{W_i\}_{i \in \mathbb{N}}$ as follows:

$$W_0 = \phi$$

$$W_{i+1} = \nabla(\{W_0, \dots, W_i, F_{Idl}(W_i) \sqcup W_i\})$$

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- Here, $W_i = W_{i+1}$ would also imply that $W_{i+2} = \nabla(\{W_0, \dots, W_i, F_{Idl}(W_i) \sqcup W_i\}) = W_i$ and similarly for further iterates

$$\text{Cover}(T) \subseteq \bigcup_{i \in \mathbb{N}} \{W_i\}$$

- The covering property of the widening operator means that $W_i \sqsupseteq F_{idl}^i(\perp)$ at each step of the sequence

Completion of a WSTS

- Let $\mathcal{S} = (X, \xrightarrow{\Sigma}, \leq)$ be a labeled WSTS. The completion of \mathcal{S} is the labeled transition system $\hat{\mathcal{S}} = (Idl(X), \xrightarrow{\Sigma}, \subseteq)$ such that $I \xrightarrow{a} J$ if, and only if,

$$J \in IdealDecomp(\downarrow Post_{\mathcal{S}}(I, a))$$

Levels

An infinite sequence of ideals $l_0, l_1 \dots \in \text{Idl}(X)$ is an acceleration candidate if $l_0 \subset l_1 \subset \dots$ is strictly increasing.

Definition

The n^{th} level of $\text{Ideals}(X)$ is inductively defined as

$$\text{Acc}_0(X) = \text{Ideals}(X)$$

$$\text{Acc}_n(X) = \left\{ \bigcup_{i \in \mathbb{N}} l_i : l_0, l_1, \dots \in \text{Acc}_{n-1}(X) \right\}$$

where l_0, l_1, \dots is an acceleration candidate in $\text{Acc}_{n-1}(X)$

Note that $\text{Acc}_n(X) \subseteq \text{Acc}_{n-1}(X)$. We say that $\text{Idl}(X)$ has finitely many levels if there exists n such that $\text{Acc}_n(X) = \emptyset$.

$$\text{Ideals}(\mathbb{N}^d) = (\mathbb{N} \cup \{\omega\})^d$$

$$\text{Acc}_n(\mathbb{N}^d) = \{I \in \mathbb{N}_\omega^d : I \text{ has at least } n \text{ occurrences of } \omega\}$$

Acceleration in WSTS

Let $\mathcal{S} = (X, \xrightarrow{\Sigma}, \leq)$ be a WSTS st $\hat{\mathcal{S}}$ is deterministic.

Let $w \in \Sigma^+$ and $I \in \text{Ideals}(X)$ The *acceleration of I under w* is defined as

$$w^\infty(I) \stackrel{\text{def}}{=} \begin{cases} \bigcup_{k \in \mathbb{N}} w^k(I) & \text{if } I \subset w(I) \\ I & \text{otherwise} \end{cases}$$

Note that $w^\infty(I)$ is also an ideal

Let $\mathcal{S} = (X, \xrightarrow{\Sigma}, \leq)$ be a WSTS such that \mathcal{S} has strong monotonicity, and $\hat{\mathcal{S}}$ is deterministic and has strict strong monotonicity. For every $I \in \text{Ideal}(X)$ and $w \in \Sigma^+$,

- ① if $\text{Post}_{\hat{\mathcal{S}}}(I, w) \neq \emptyset$ and $I \in \text{Acc}_n(X)$ for some $n \in \mathbb{N}$, then $w(I) \in \text{Acc}_n(X)$
- ② if $I \subset w(I)$ and $I \in \text{Acc}_n(X)$ for some $n \in \mathbb{N}$, then $w^\infty(I) \in \text{Acc}_{n+1}(X)$

The Ideal Karp Miller Algorithm

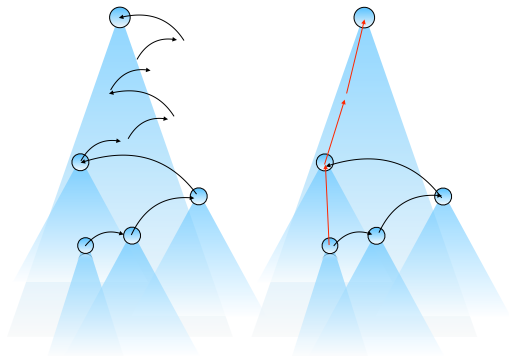
Algorithm 4.1: Ideal Karp-Miller algorithm.

```
1 initialize a tree  $\mathcal{T}$  with root  $r: \langle I_0, 0 \rangle$ 
2 while  $\mathcal{T}$  contains an unmarked node  $c: \langle I, n \rangle$  do
3   if  $c$  has an ancestor  $c': \langle I', n' \rangle$  s.t.  $I' = I$  then mark  $c$ 
4   else
5     if  $c$  has an ancestor  $c': \langle I', n' \rangle$  s.t.  $I' \subset I$ 
6       and  $n' = n$  /* no acceleration occurred between  $c'$  and  $c$  */ then
7          $w \leftarrow$  sequence of labels from  $c'$  to  $c$ 
8         replace  $c: \langle I, n \rangle$  by  $c: \langle w^\infty(I), n + 1 \rangle$ 
9       for  $a \in \Sigma$  do
10         if  $a(I)$  is defined then
11           add arc labeled by  $a$  from  $c$  to a new child  $d: \langle a(I), n \rangle$ 
12       mark  $c$ 
13 return  $\mathcal{T}$ 
```

Conditions for termination

- \mathcal{S} has strong monotonicity
- $\hat{\mathcal{S}}$ is deterministic and has strict strong monotonicity
- $\text{Ideals}(X)$ has finitely many levels

Acceleration vs Widening



Summary

- We know that computing the cover set is undecidable in general because it decides boundedness
- We looked at a class of WSTS where we can compute the cover set using the notion of acceleration and levels
- We also looked at another work around that gives good approximations to cover set in practice with mild constraints on WSTS