

Heap Internals







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Before You Begin

Estimated time to complete this lab

50 minutes

Objectives:

After completing this lab, we will be able to:

- Understand different types of allocation units in SQL Server
- Understand modification internals in a heap
- Understand how heap modifications can lead to excessive I/O

Prerequisites

Before working on this lab, you must have:

Basic administration experience with SQL Server

Lab scenario

A heap is a table without a clustered index. One or more non-clustered indexes can be created on a heap. This lab is divided into three exercises. In the first exercise we will look at different types of allocation units available in SQL Server. In the second exercise we will observe how page modification is done in a heap and in the third exercise we will troubleshoot I/O issues related to page modification in heap.





Tips to complete this lab successfully

Following these tips will be helpful in completing the lab successfully in time

- All lab files are located in C:\vLabs\Heap_Internals folder
- The script(s) are divided into various sections marked with 'Begin', 'End' and 'Steps'. As per the instructions, execute the statements between particular sections only or for a particular step
- Read the instructions carefully and do not deviate from the flow of the lab
- In case you execute the entire script by mistake or miss a step or get confused midway, simply 'Restart' the VM from the VM control panel to restart/redo the lab





Exercise 1: Understanding Allocation Units in a Heap

Scenario

In this exercise, we will look at different types of allocation units in SQL Server.

Tasks	Detailed Steps
Launch SQL Server Management Studio	 Click Start All Programs SQL Server 2012 SQL Server Management Studio or Double click SQL Server Management Studio shortcut on the desktop In the Connect to Server dialog box, click Connect
Open 1_AllocationUnit.sql	 Click File Open File or press (Ctrl + O) Navigate to C:\vLabs\ Select 1_AllocationUnit.sql and click Open
Execute the statement(s) in the 'Setup' section	The setup section performs the following: • SQLMaestros database is created • SQLMaestros schema is created In 1_AllocationUnit.sql, review and execute the statement(s) in section 'Begin: Setup' and 'End: Setup'





```
-- Create a database named SQLMaestros
                       USE master:
                       G0
                       IF EXISTS(SELECT * FROM sys.databases WHERE name='SQLMaestros')
                       ALTER DATABASE [SQLMaestros] SET SINGLE_USER WITH ROLLBACK IMMEDIATE;
                       DROP DATABASE [SQLMaestros];
                       CREATE DATABASE SQLMaestros;
                       USE SQLMaestros;
                       SET NOCOUNT ON;
                       GO
                       -- Create a schema named SQLMaestros
                       CREATE SCHEMA [SQLMaestros] AUTHORIZATION [dbo];
                       GO
                       -- End: Setup
CREATE Table 1 table
                       Execute the following statement(s) to CREATE Table1 table with fixed length data types
                       Note: INT, CHAR, DATETIME etc. data types are considered as fixed length data types because irrespective of their actual usage they
                       always take their maximum allocated space. For example if CHAR (1000) is defined during the table creation, irrespective of the size
                       of the character string that is being inserted, 1000 bytes of space will be used.
                       -- Step 1: Create Table1 table in SQLMaestros database
                       CREATE TABLE [SQLMaestros].[Table1](
                              Column1 INT,
                              Column2 CHAR(9000));
                       GO
```





```
Messages
                          Yn Editor
                            Msg 131, Level 15, State 2, Line 4
                            The size (9000) given to the column 'Column2'
                            exceeds the maximum allowed for any data type (8000).
                        Observation: The above statement produces an error since maximum allowable size for any data type is 8000 bytes.
CREATE Table1 table
                        Execute the following statement(s) to CREATE Table1 table with fixed length data type
                        -- Step 2: Create Table1 table in SQLMaestros database
                        CREATE TABLE [SQLMaestros].[Table1](
                                Column1 INT,
                                Column2 CHAR(3000),
                                Column3 CHAR(3000),
                                Column4 CHAR(3000));
                        GO
                                   Messages
                            Msg 1701, Level 16, State 1, Line 2
                            Creating or altering table 'Table1' failed because the minimum row size
                            would be 9011, including 7 bytes of internal overhead. This exceeds the
                            maximum allowable table row size of 8060 bytes.
                        Observation: The above statement produces an error since maximum allowable row size for fixed length data types is 8060 bytes.
CREATE Table 1 table
                        Execute the following statement(s) to CREATE Table 1 table with fixed length, variable length and LOB data types
                        Note: VARCHAR, NVARCHAR etc. data types are considered as variable length data types because irrespective of their actual
                        definition during the creation of the table, they always use space according to the usage. For example during the table definition if
                        we have mentioned VARCHAR(1000) and during the insertion only five character is being inserted then actual space usage will be
                        five bytes. Also note that there is some space overhead associated with variable data type's explanation for which is beyond the
                        scope of this lab. NTEXT, IMAGE etc. data types are considered as LOB data type.
                        -- Step 3: Create Table1 table in SQLMaestros database
```





```
CREATE TABLE [SQLMaestros].[Table1](
                           Column1 INT,
                           Column2 VARCHAR(3000),
                           Column3 VARCHAR(3000),
                           Column4 VARCHAR(3000),
                           Column5 NTEXT);
                       GO
                       Note: For a variable length data type maximum allowable row size per row can exceed the 8060 bytes limit (Row Overflow).
View allocation details
                       Execute the following statement(s) to view allocation details for Table1 table
                        -- Step 4: View allocation details for Table1 table
                       SELECT object name(object id) AS NAME
                               ,partition id
                               ,partition number AS pnum
                               , rows
                               ,allocation unit id AS au id
                               ,type_desc AS page_type_desc
                               ,total pages AS pages
                        FROM sys.partitions p
                       INNER JOIN sys.allocation_units au ON p.partition_id = au.container_id
                       WHERE object id = object id('SQLMaestros.Table1');
                       GO
                                            Messages
                                  Results
                            Editor
                              NAME
                                     partition id
                                                       pnum
                                                             rows
                                                                   au id
                                                                                     page_type_desc
                                                                                                           pages
                              Table1
                                     72057594039042048
                                                                   72057594043564032
                                                                                     IN ROW DATA
                                                                                                            0
                                                              0
                         2
                              Table 1 72057594039042048
                                                                   72057594043695104
                                                                                     LOB DATA
                                                                                                            0
                                                              0
                         3
                              Table 1 72057594039042048
                                                              0
                                                                   72057594043629568
                                                                                     ROW OVERFLOW DATA
                                                                                                            0
                       Explanation: We have created Table 1 table with three different data types; fixed length (INT), variable length (VARCHAR) and LOB
                        (NTEXT).
                           • IN ROW DATA: All data types except LOB data, max allowable size per row cannot exceed 8060 bytes
```





LOB_DATA: Large object (LOB) data (TEXT, NTEXT, IAMGE, XML, large value types, and CLR user-defined types) ROW OVERFLOW DATA: (VARCHAR, NVARCHAR, VARBINARY, sql variant, or CLR user-defined type columns). The length of each one of these columns must still remain within the limit of 8000 bytes; however, their combined widths can exceed the 8060-byte limit Execute the following statement(s) to INSERT a single record in Table1 table **INSERT** a single record Note: Value is supplied for Column1 column only, rest are NULL. -- Step 5: Insert a single fixed length record in Table1 table INSERT INTO [SQLMaestros].[Table1] VALUES(1,NULL,NULL,NULL,NULL); GO View allocation details Execute the following statement(s) to view allocation details of **Table1** table -- Step 6: View data page and IAM page allocation due to the above insert SELECT extent file id AS file id ,allocated page page id AS page id ,page type desc AS page type ,allocation_unit_type_desc AS allocation_unit ,extent page id ,allocated page iam page id AS iam page id FROM sys.dm db database page allocations(DB ID(), OBJECT ID('SQLMaestros.Table1'), NULL, NULL, 'DETAILED'); GO Results Messages Editor file id page type allocation unit extent page id iam page id page id IAM PAGE IN_ROW_DATA 296 296 NULL 1 287 DATA_PAGE IN_ROW_DATA 280 296





Observation: Column1 column data type is **INT**, which is a fixed length data type of 4 bytes. We are not inserting any data for **Column5** (LOB data type) column, thus in this case allocation unit(s) will be **IN_ROW_DATA**.

Note: sys.dm_db_database_page_allocations() is an undocumented DMF available only in SQL Server 2012. Below is the parameter list that can be passed into this DMF

INSERT a single record

Execute the following statement(s) to INSERT a single record in Table1 table

Note: Values are supplied for all columns except Column5 (Not inserting any LOB data)

```
-- Step 7: Insert a single record in Table1 table

DECLARE @data1 VARCHAR(3000)

SET @data1 = REPLICATE('A',3000)

INSERT INTO [SQLMaestros].[Table1] VALUES (2,@data1,@data1,@data1,NULL);

GO
```

Note: Total row size for this insert is (4+3000+3000+3000) bytes or 9004 bytes, which is greater than maximum row size of 8060 bytes in **IN_ROW_DATA** allocation and we are not supplying any value for LOB data type, thus in this case **ROW_OVERFLOW_DATA** allocation will be done.





View allocation details Execute the following statement(s) to view allocation details of **Table1** table -- Step 8: View data page and IAM page allocation due to the above insert SELECT extent file id AS file id ,allocated page page id AS page id ,page type desc AS page type ,allocation_unit_type_desc AS allocation_unit ,extent_page_id ,allocated_page_iam_page_id AS iam_page_id FROM sys.dm_db_database_page_allocations(DB_ID(), OBJECT_ID('SQLMaestros.Table1'), NULL, NULL, 'DETAILED'); GO. Results Messages Editor extent_page_id file id page_id page_type allocation_unit iam page id 1 296 IAM PAGE IN_ROW_DATA 296 NULL 296 1 287 DATA PAGE IN_ROW_DATA 280 296 3 1 299 DATA PAGE IN_ROW_DATA 296 298 IAM PAGE ROW_OVERFLOW_DATA 296 NULL 5 1 297 TEXT_MIX_PAGE ROW_OVERFLOW_DATA 296 298 Observation: Due to the above insert three new pages are allocated (299, 298, and 297), data page 299 with IN ROW DATA allocation and text mix page 297 with **ROW OVERFLOW DATA** allocation. **INSERT** a single record Execute the following statement(s) to **INSERT** a single record in **Table1** table Note: Values are supplied for Column1 and Column5 columns (Inserting LOB data) -- Step 9: Insert a single record of LOB data type in Table1 table INSERT INTO [SQLMaestros].[Table1] VALUES (3,NULL,NULL,'TEXT'); GO Note: Column5 column data type is NTEXT which is a LOB data type, thus in this case allocation will be LOB DATA type.





Execute the following statement(s) to view allocation details for **Table1** table View allocation details -- Step 10: View data page and IAM page allocation due to the above insert SELECT extent file id AS file id ,allocated_page_page_id AS page_id ,page type desc AS page type ,allocation unit type desc AS allocation unit ,extent page id ,allocated_page_iam_page_id AS iam_page_id FROM sys.dm_db_database_page_allocations(DB_ID(), OBJECT_ID('SQLMaestros.Table1'), NULL, NULL, 'DETAILED'); GO Results Messages Editor file_id page_id page_type allocation_unit extent page id iam_page_id 1 296 IAM PAGE 296 NULL IN_ROW_DATA 2 DATA_PAGE IN_ROW_DATA 280 296 1 287 1 299 DATA PAGE IN_ROW_DATA 296 296 1 301 IAM PAGE LOB_DATA 296 NULL 296 5 300 TEXT_MIX_PAGE LOB_DATA 301 ROW_OVERFLOW_DATA 6 1 298 IAM PAGE 296 NULL 1 297 296 298 Observation: New page (300) of TEXT_MIX_PAGE type is allocated with LOB_DATA allocation and an IAM page (301) to track this allocation. Close all the query Close all the query windows () and if **SSMS** asks to save changes, click **NO** windows





Summary

In this exercise, we have learnt:

- Restrictions on column widths and row size
- How to view page allocations with sys.dm_db_database_page_allocations DMF
- About IN_ROW_DATA allocation
- About ROW_OVERFLOW_DATA allocation
- About LOB_DATA allocation





Exercise 2: Understanding Heap Page Modification Internals

Scenario

In this exercise, we will look at heap page modification due to update and we will also look at forwarding and forwarded record that occurs only in heap.

Tasks	Detailed Steps
Open 2_HeapModificationInternals.sql	 Click File Open File or press (Ctrl + O) Navigate to C:\vLabs\ Select 2_HeapModificationInternals.sql and click Open
Execute the statement(s) in the 'Setup' section	The setup section performs the following: • Table2 table is created with 3 records In 2_HeapModificationInternals.sql, Review and execute the statement(s) in section 'Begin: Setup' and 'End: Setup'
	GO Create Table2 table in SQLMaestros database CREATE TABLE [SQLMaestros].[Table2](Column1 INT,





```
Column2 VARCHAR(4000),
                                 Column3 VARCHAR(4000),
                                 Column4 CHAR(10));
                              GO
                              -- Insert 3 records in Table2 table
                              INSERT INTO [SQLMaestros].[Table2] VALUES(1, 'smalldata', 'smalldata');
                             INSERT INTO [SQLMaestros].[Table2] VALUES(2, 'smalldata', 'smalldata');
                              INSERT INTO [SQLMaestros].[Table2] VALUES(3,'smalldata','smalldata');
                              GO
                              -- End: Setup
View data page allocation
                              Execute the following statement(s) to view data page allocated to Table2 table due to above INSERT
                              -- Step 1: Data pages allocated to table Table2 due to above insert
                              SELECT allocated page page id
                                    ,page_type_desc
                              FROM sys.dm db database page allocations(DB ID(), OBJECT ID('SQLMaestros.Table2'), NULL, NULL,
                              'DETAILED')
                              WHERE page type = 1;
                              GO
                                 Editor Editor Results
                                                 Messages
                                                                    database id
                                   allocated_page_page_id
                                                       page_type_desc
                                                                               object_id
                                                                                         index id
                                    302
                                                       DATA PAGE
                                                                    17
                                                                               949578421
```





View data page memory dump

Execute the following statement(s) to view memory dump of data page of **Table2** table (Replace 302 in the below statement with the **allocated_page_page_id** we got from step 1)

```
-- Step 2: View data page memory dump
DBCC TRACEON(3604);
DBCC PAGE('SQLMaestros',1,302,3); --Page ID will change in your case
GO
```

Observation: In the **PAGE HEADER** section of the above DBCC output **m_freeCnt** is showing 7958, which means the data page has 7958 bytes of free space. Currently all the three records are there in this single page.

Note: In order to view DBCC PAGE output in SSMS we have to enable Trace Flag 3604. DBCC PAGE() command can be used to view a page contents. Below is the complete parameter list that we can pass into DBCC PAGE() command:





Execute the following statement(s) to **UPDATE** a single record(WHERE COL1 = 2) in **Table2** table **UPDATE** a single record -- Step 3: Update a single record in Table2 table DECLARE @DATA VARCHAR(4000) SET @DATA = REPLICATE('bigdata',570); UPDATE [SOLMaestros].[Table2] SET Column2 = @DATA, Column3 = @DATA WHERE Column1 = 2; GO Explanation: A page can contain a maximum of 8060 bytes of data. In the previous task we have observe that TABLE2 data page has 7958 bytes of free space. We are updating one record with an additional 8000 bytes of data. In order to perform this **UPDATE** SQL Server will create a new page that will hold this updated data (**FORWARDED RECORD**). And the existing page where the original record was will now hold a pointer to this new page (FORWARDING STUB). View data page allocation Execute the following statement(s) to view data page allocation for Table2 table due to the above UPDATE -- Step 4: View sata pages allocated after above update SELECT allocated page page id ,page_type_desc FROM sys.dm db database page allocations(DB ID(), OBJECT ID('SQLMaestros.Table2'), NULL, NULL, 'DETAILED') WHERE page_type = 1; GO Messages Results 1 Editor allocated_page_page_id page_type_desc database_id object_id DATA PAGE 1 302 17 981578535 304 DATA PAGE 17 981578535 0 **Observation:** Before **UPDATE** operation we have a single data page (302), and after update a new data page (304) is allocated.





```
View memory dump of the old
                              Execute the following statement(s) to view memory dump of the old page (302) in Table2 table (Replace 302 in the below
                              command with allocated page page id we got in step 1)
page
                              -- Step 5: View old page memory dump
                              DBCC PAGE('SQLMaestros',1,302,3); -- Page ID will change in your case
                               Record Type = FORWARDING_STUB
                                                                   Record Attributes =
                                                                                              Record Size = 9
                               Memory Dump @0x000000006D07A08D
                               04300100 00010000 00
                                                                                                  .0......
                               Forwarding to = file 1 page 304 slot 0
View memory dump of the new
                              Execute the following statement(s) to view memory dump of the new page (304) in Table2 table (Replace 304 in the below
                              statement with page id we get in the output of step 5 'Forwarding to' segment )
page
                              -- Step 6: View new page memory dump
                              DBCC PAGE('SQLMaestros',1,304,3); -- Page ID will change in your case
                               Record Type = FORWARDED RECORD
                                                                    Record Attributes = NULL BITMAP VARIABLE COLUMNS
                                Record Size = 8019
                                Memory Dump @0x000000006E3AA060
                                000000000000000: 32001200 02000000 736d616c 6c646174 61200400 2......smalldata ...
                                00000000000014: 000300b3 0f491f53 9f626967 64617461 62696764 ...3.I.Sbigdatabigd
                                000000000000028: 61746162 69676461 74616269 67646174 61626967 atabigdatabigdatabig
                               Forwarded from = file 1 page 302 slot 1
```





Close all the query windows	Close all the query windows () and if SSMS asks to save changes, click NO

Summary

In this exercise, we have learnt:

- Concept of heap page modification.
- Forwarding and forwarded record in heap.
- How to view a page contents using DBCC PAGE command
- How to use sys.dm_db_database_page_allocations DMF to view page information





Exercise 3: Troubleshooting Heap Page Modifications

Scenario

In this exercise, we will look at performance issues caused by heap page modification and how to troubleshoot issues caused by heap page modification.

Tasks	Detailed Steps
Open 3_TroubleshootingHeap.sql	 Click File Open File or press (Ctrl + O) Navigate to C:\vLabs\ Select 3_TroubleshootingHeap.sql and click Open
Execute the statement(s) in the 'Setup' section	The setup section performs the following: • Table2 table is created with 1000 records In 3_TroubleshootingHeap.sql, Review and execute the statement(s) in section 'Begin: Setup' and 'End: Setup'
	USE SQLMaestros; SET NOCOUNT ON; GO
	Create Table3 table in SQLMaestros database CREATE TABLE [SQLMaestros].[Table3](Column1 INT, Column2 VARCHAR(4000), Column3 VARCHAR(4000), Column4 CHAR(9))





```
GO
                           -- Insert 1000 records in Table3 table
                           DECLARE @COUNT INT;
                           SET @COUNT = 1;
                           WHILE @COUNT < 1001
                           BEGIN
                                   INSERT INTO [SQLMaestros].[Table3]
                                   VALUES (
                                          @COUNT
                                          ,'smalldata'
                                          ,'smalldata'
                                          ,'smalldata'
                                  SET @COUNT = @COUNT + 1;
                           END
                           -- End: Setup
                           Execute the following statement(s) to SELECT data from Table3 table
Execute a SELECT
statement
                           Note: We have enabled statistics i/o by SET STATISTICS IO ON which will display I/O statistics for the workload.
                           -- Step 1: Execute a select statement on Table3 table
                           SET STATISTICS IO ON;
                           SELECT * FROM [SQLMaestros].[Table3];
                           GO
                                                Messages
                               Editor Results
                               Table 'Table3'. Scan count 1, logical reads 6, physical reads 0,
                           Observation: Currently the query is requiring six logical reads. In the next section we will explain this.
```

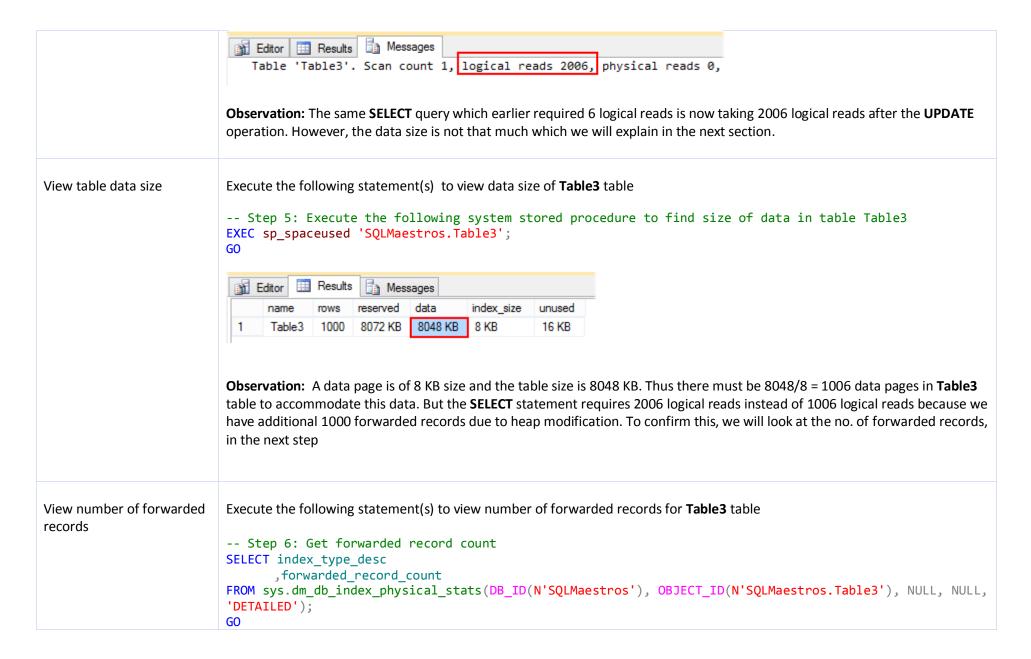




View table data size	Execute the following statement(s) to view data size of Table3 table
	Step 2: Execute the following system stored procedure to find size of data in Table3 table EXEC sp_spaceused 'SQLMaestros.Table3'; GO
	Editor Results Messages
	name rows reserved data index_size unused
	1 Table3 1000 56 KB 48 KB 8 KB 0 KB
	Observation: A data page size is 8 KB and the table size is 48 KB. Thus there must be 48/8 = 6 data pages in Table3 table to accommodate the data. That's the reason why we get 6 logical reads in the above SELECT statement.
Execute an UPDATE statement	Execute the following statement(s) to UPDATE Column2 and Column3 columns of Table3 table Step 3: Update records in Column2 and Column3 of Table3 DECLARE @DATA VARCHAR(4000) SET @DATA = REPLICATE('bigdata',570); UPDATE [SQLMaestros].[Table3] SET Column2 = @DATA, Column3 = @DATA; GO
	Explanation: A page can contain a maximum of 8060 bytes of data. Here we are updating all the records with an additional 8000 bytes of data per row. In order to perform this UPDATE , SQL Server will allocate new pages that will hold this updated data (FORWARDED RECORD). And the existing pages that contained the original records will now hold a pointer to the new pages (FORWARDING_STUB).
Execute a SELECT statement	Execute the following statement(s) to SELECT data from Table3 table
	Step 4: Execute a select query on Table3 table SELECT * FROM [SQLMaestros].[Table3]; GO

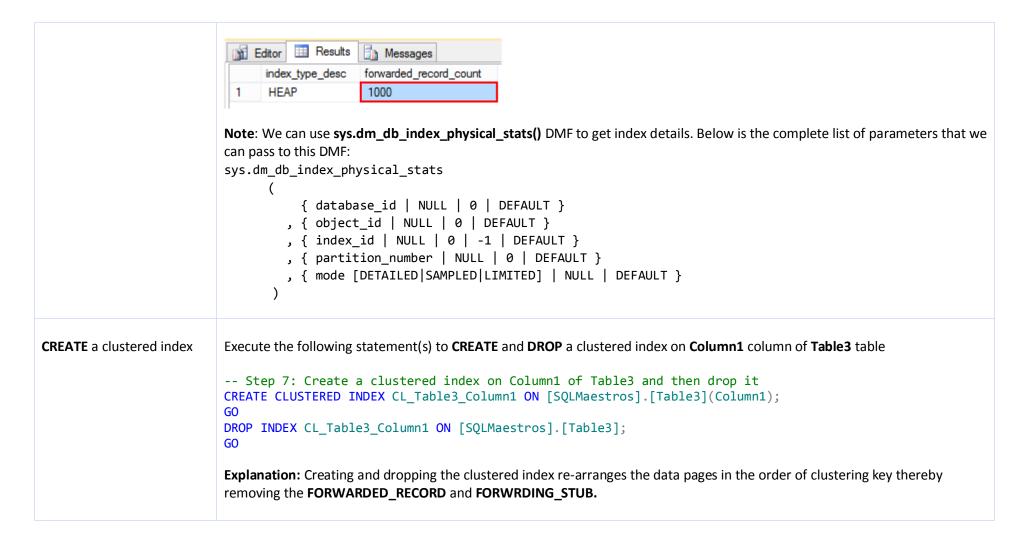






















Cleanup	Execute the following script in Cleanup section
	USE [master] GO ALTER DATABASE [SQLMaestros] SET SINGLE_USER WITH ROLLBACK IMMEDIATE; GO DROP DATABASE [SQLMaestros]; GO
Close all the query windows	Close all the query windows () and if SSMS asks to save changes, click NO

Summary

In this exercise, we have learnt:

- How heap modifications can lead to extra data pages (forwarded records).
- Using sp_spaceused system stored procedure to find the data size of a table.
- Troubleshooting heap modification by creating a clustered index and then dropping it.
- Concept of logical reads and how it's directly related to the table data size and heap modification.

