

Week 1

1.1 Gaussian Curvature (Neves)

6/28:

- Plan:
 1. What is a surface?
 2. What is the tangent space?
 3. What are the principal curvatures?
 4. What is the Gaussian curvature?
- In analysis:
 1. What is a function?
 2. What is the derivative?
 3. What is the Hessian of function?
 4. 2nd derivative test (determinant of Hessian).
- **Surface:** A subset $\sigma \subseteq \mathbb{R}^3$ such that for all $p \in \Sigma$, there's a neighborhood B of p in \mathbb{R}^3 so that $\Sigma \cap B$ "looks like a disk." More precisely, there exists an open neighborhood $U \subseteq \mathbb{R}^2$ and a map $\varphi : U \rightarrow \Sigma \cap B \subseteq \mathbb{R}^3$ such that
 - i) φ is continuous and smooth.
 - ii) φ is a bijection (with φ^{-1} continuous).
 - iii) $d\varphi|_x : \mathbb{R}^2 \rightarrow \mathbb{R}^3$ is injective for all $x \in U$.
- **Chart:** The quantity (φ, U) near $p \in U$.
- Examples:
 - A) Plane. $\Sigma = \mathbb{R}^2 \times \{0\} \subseteq \mathbb{R}^3$ is a surface with chart $\varphi : \mathbb{R}^2 \rightarrow \Sigma$ where $(x, y) \mapsto (x, y, 0)$.
 - B) Sphere. $\Sigma = \{\vec{u} \in \mathbb{R}^3 \mid |\vec{u}| = 1\}$.
 - Charts: Consider the sets $U = \{(x_1, x_2) \mid x_1^2 + x_2^2 < 1\} \subseteq \mathbb{R}^2$. Let $\varphi_1^+ : U \rightarrow \Sigma \cap \{(x, y, z) \mid x > 0\}$ be defined by $\varphi_1^+(u_1, u_2) = (\sqrt{1 - x_1^2 - x_2^2}, u_1, u_2)$, $\varphi_1^- : U \rightarrow \Sigma \cap \{(x, y, z) \mid x < 0\}$ be defined by $\varphi_1^-(u_1, u_2) = (-\sqrt{1 - x_1^2 - x_2^2}, u_1, u_2)$.
 - Same thing for $\varphi_2^\pm, \varphi_3^\pm$.
 - C) A cone $\Sigma = \{(x, y, z) \mid z = \sqrt{x^2 + y^2}\}$ is *not* a surface because it fails property (iii).
 - D) The closed unit disk $\Sigma = \{(x, y, 0) \mid x^2 + y^2 \leq 1\}$ is also not a surface.
- **Tangent space:** Let $\Sigma \subseteq \mathbb{R}^3$ be a surface and let $p \in \Sigma$. Then $T_p \Sigma \subseteq \mathbb{R}^3$ is the z -plane so that $p + T_p \Sigma$ is the affine plane that best approximates Σ near p .
 - Best linear approximation near the surface.

- Very similar/analogous to the derivative.
- If (φ, U) is a chart near p , then $T_p\Sigma = \text{span} \left\{ \frac{\partial \varphi}{\partial x_1}(\bar{x}_1, \bar{x}_2), \frac{\partial \varphi}{\partial x_2}(\bar{x}_1, \bar{x}_2) \right\}$.
- Proves linear independence of above vectors.
- Principal curvatures.
- Let $\Sigma \subseteq \mathbb{R}^3$ be a surface, $p \in \Sigma$, and \vec{N} be a unit normal vector defined around p (i.e., $\vec{N}(q) \cdot \vec{v} = 0$ for all q near p and $\vec{v} \in T_q\Sigma$).
- Choose $\vec{v} \in T_p\Sigma$ such that $|\vec{v}| = 1$. Set $P_v = \text{span} \{ \vec{v}, \vec{N}(p) \}$. Claim: $(\Sigma - p) \cap P_v$ is a curve near the origin.
- **Principal curvature:** The reciprocal of the radius of the circle in P_v that best approximates $(\Sigma - p) \cap P_v$ near the origin. *Also known as $K(\vec{v})$.*
 - The sign is positive if the center of the circle is in the direction of $\vec{N}(p)$ and negative otherwise.
 - If the sign of $\vec{N}(p)$ changes, then $K(\vec{v})$ will change in sign.
- If we change \vec{N} by $-\vec{N}$, then the new $K(\vec{v})$ is the opposite of the old one.
- Given $p \in \Sigma$ and $\vec{N}(p)$ a normal vector at p , we define $K_1(p)$ to have the maximum $K(\vec{v})$ over all unit vectors $\vec{v} \in T_p\Sigma$ and $K_2(p) = \min\{K(\vec{v}) \mid \vec{v} \in T_p\Sigma, |\vec{v}| = 1\}$.
- K_1, K_2 are computable quantities.

1.2 Lecture 1.6: An Explicit Formula for the Catalan Numbers

6/29: • Theorem (discovered by Euler):

$$C_n = \frac{1}{n+1} \binom{2n}{n} = \frac{(2n)!}{n!(n+1)!}$$

- Examples:
 - $C_1 = \frac{1}{2} \binom{2}{1} = 1$.
 - $C_2 = \frac{1}{3} \binom{4}{2} = 2$.
 - $C_3 = \frac{1}{4} \binom{6}{3} = 5$.
- Dyck path:
 - We'll study paths starting with $(0,0)$, going on each step either from (x,y) to $(x+1, y+1)$ or from (x,y) to $(x+1, y-1)$.
 - Consider the number of ways to get to each point on the integer grid $\mathbb{Z} \times \mathbb{Z}$ from $(0,0)$.
 - Generates a rotated Pascal's triangle.
 - The number of paths from $(0,0)$ to $(a+b, a-b)$, i.e., a moves up and b moves down is $\binom{a+b}{b}$.
- Proposition: C_n is equal to the number of paths from $(0,0)$ to $(2n,0)$ which are contained in the upper half-plane ($y \geq 0$).
 - Proof: C_n is the number of sequences of brackets.
 - Transform a sequence of brackets into a path by $(\mapsto \nearrow$ and $) \mapsto \searrow$.
 - The condition $\#(= \#)$ implies that the paths start at $(0,0)$ and end at $(2n,0)$.
 - The condition that in every initial segment, $\#(= \#)$ implies that the path lies in the upper half plane.

- Reflection principle:
 - The number of paths from A to B in the upper half plane is equal to the number of paths from A to B minus the number of paths from A to B that intersect the line $y = -1$.
 - Symbolically, $C_n = \binom{2n}{n} - ?$
 - There exists a one-to-one correspondence between two sets: The set of all paths from A to B intersecting ℓ and the set of all paths from A to B' , where B' is the reflection of B across ℓ .
- Thus, the number of paths from A to B that intersect the line $y = -1$ is equal to the number of paths from A to $(2n, -2)$.
- Therefore,

$$\begin{aligned} \binom{2n}{n} - \binom{2n}{n-1} &= \frac{(2n)!}{n!n!} \left(1 - \frac{n}{n+1}\right) \binom{2n}{n-1} \\ &= \frac{1}{n+1} \binom{2n}{n} \end{aligned}$$

- Note that it's not obvious that $\frac{1}{n+1} \binom{2n}{n}$ is an integer unless you present it as the difference of two binomials (i.e., as $\binom{2n}{n} - \binom{2n}{n-1}$).
- Exercise:
 - Take a path from A to B intersecting ℓ and find the closest point of $P \cap \ell$ to B . Reflect the segment of the path after this point.